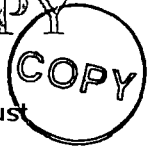




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Form **990-PF**

## Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

OMB No 1545 0052

2012

Department of the Treasury  
Internal Revenue Service

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2012, or tax year beginning \_\_\_\_\_, 2012, and ending \_\_\_\_\_

FOUNDATION FOR RETINAL RESEARCH 666 DUNDEE ROAD, SUITE 1102 NORTHBROOK, IL 60062		<b>A</b> Employer identification number 36-4232603
		<b>B</b> Telephone number (see the instructions) 847-562-9400
<b>G</b> Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		<b>C</b> If exemption application is pending, check here <input type="checkbox"/>
<b>H</b> Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>D</b> 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
<b>I</b> Fair market value of all assets at end of year (from Part II, column (c), line 16) \$ 769,816.		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
<b>J</b> Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____		<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)</i>	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc. received (att sch)	708,736.			
2 Ck <input type="checkbox"/> if the foundn is not req to att Sch B				
3 Interest on savings and temporary cash investments	938.	938.		
4 Dividends and interest from securities				
5a Gross rents				
b Net rental income or (loss)				
6a Net gain/(loss) from sale of assets not on line 10				
b Gross sales price for all assets on line 6a				
7 Capital gain net income (from Part IV, line 2)				
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit/(loss) (att sch)				
11 Other income (attach schedule)				
SEE STATEMENT 1	39,466.			
12 Total. Add lines 1 through 11	749,140.	938.	0.	
13 Compensation of officers, directors, trustees, etc	0.			
14 Other employee salaries and wages	77,545.			
15 Pension plans, employee benefits	9,730.			
16a Legal fees (attach schedule)				
b Accounting fees (attach sch) SEE ST 2	6,150.			
c Other prof fees (attach sch)				
17 Interest				
18 Taxes (attach schedule)(see instrs)				
19 Depreciation (attach sch) and depletion	53.			
20 Occupancy				
21 Travel, conferences, and meetings	4,476.			
22 Printing and publications	6,843.			
23 Other expenses (attach schedule)				
SEE STATEMENT 3	163,490.	2,659.		
24 Total operating and administrative expenses. Add lines 13 through 23	268,287.	2,659.		
25 Contributions, gifts, grants paid PART XV	434,871.			434,871.
26 Total expenses and disbursements. Add lines 24 and 25	703,158.	2,659.	0.	434,871.
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements	45,982.			
b Net investment income (if negative enter 0)		0.		
c Adjusted net income (if negative enter 0)			0.	

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end of year amounts only (See instructions)			
		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
A S S E T S	1 Cash – non-interest-bearing				
	2 Savings and temporary cash investments	711,960.	749,167.	749,167.	
	3 Accounts receivable	329.			
	Less allowance for doubtful accounts		329.	329.	
	4 Pledges receivable	20,320.			
	Less allowance for doubtful accounts	6,505.	20,320.	20,320.	
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7 Other notes and loans receivable (attach sch)				
	Less allowance for doubtful accounts				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges				
	10a Investments – U S and state government obligations (attach schedule)				
	b Investments – corporate stock (attach schedule)				
	c Investments – corporate bonds (attach schedule)				
	11 Investments – land, buildings, and equipment basis				
Less accumulated depreciation (attach schedule)					
12 Investments – mortgage loans					
13 Investments – other (attach schedule)					
14 Land, buildings, and equipment basis	8,934.				
Less accumulated depreciation (attach schedule) SEE STMT 4	8,934.	53.			
15 Other assets (describe )					
16 <b>Total assets</b> (to be completed by all filers – see the instructions Also, see page 1, item l)		718,518.	769,816.	769,816.	
L I A B I L I T I E S	17 Accounts payable and accrued expenses	18,562.	23,878.		
	18 Grants payable				
	19 Deferred revenue				
	20 Loans from officers, directors, trustees, & other disqualified persons				
	21 Mortgages and other notes payable (attach schedule)				
	22 Other liabilities (describe )				
	23 <b>Total liabilities</b> (add lines 17 through 22)		18,562.	23,878.	
N E T A S S E T S  O F F U N D S	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. <input checked="" type="checkbox"/>				
	24 Unrestricted	331,939.	191,694.		
	25 Temporarily restricted	368,017.	554,244.		
	26 Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input type="checkbox"/>				
	27 Capital stock, trust principal, or current funds				
	28 Paid in or capital surplus, or land, building, and equipment fund				
	29 Retained earnings, accumulated income, endowment, or other funds				
	30 <b>Total net assets or fund balances</b> (see instructions)		699,956.	745,938.	
	31 <b>Total liabilities and net assets/fund balances</b> (see instructions)		718,518.	769,816.	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	699,956.
2 Enter amount from Part I, line 27a	2	45,982.
3 Other increases not included in line 2 (itemize)	3	
4 Add lines 1, 2, and 3	4	745,938.
5 Decreases not included in line 2 (itemize)	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	745,938.

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)		(b) How acquired P -- Purchase D -- Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a N/A				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))	
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any		
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss)		[ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 ]		2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)		[ If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0 in Part I, line 8 ]		3

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2011	261,039.	633,706.	0.411924
2010	350,987.	797,749.	0.439972
2009	297,873.	646,704.	0.460602
2008	210,170.	421,962.	0.498078
2007	212,360.	210,964.	1.006617
2 Total of line 1, column (d)			2 2.817193
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.563439
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5			4 567,890.
5 Multiply line 4 by line 3			5 319,971.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6
7 Add lines 5 and 6			7 319,971.
8 Enter qualifying distributions from Part XII, line 4			8 434,871.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

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**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)**

<p><b>1 a</b> Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary – see instrs)</p> <p><b>b</b> Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b</p> <p><b>c</b> All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b)</p> <p><b>2</b> Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)</p> <p><b>3</b> Add lines 1 and 2</p> <p><b>4</b> Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)</p> <p><b>5</b> Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-</p> <p><b>6</b> Credits/Payments</p> <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;"><b>a</b> 2012 estimated tax pmts and 2011 overpayment credited to 2012</td> <td style="width: 5%;"><b>6 a</b></td> <td style="width: 35%;"></td> </tr> <tr> <td><b>b</b> Exempt foreign organizations – tax withheld at source</td> <td><b>6 b</b></td> <td></td> </tr> <tr> <td><b>c</b> Tax paid with application for extension of time to file (Form 8868)</td> <td><b>6 c</b></td> <td></td> </tr> <tr> <td><b>d</b> Backup withholding erroneously withheld</td> <td><b>6 d</b></td> <td></td> </tr> </table> <p><b>7</b> Total credits and payments Add lines 6a through 6d</p> <p><b>8</b> Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached</p> <p><b>9</b> Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed</p> <p><b>10</b> Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid</p> <p><b>11</b> Enter the amount of line 10 to be Credited to 2013 estimated tax</p>	<b>a</b> 2012 estimated tax pmts and 2011 overpayment credited to 2012	<b>6 a</b>		<b>b</b> Exempt foreign organizations – tax withheld at source	<b>6 b</b>		<b>c</b> Tax paid with application for extension of time to file (Form 8868)	<b>6 c</b>		<b>d</b> Backup withholding erroneously withheld	<b>6 d</b>		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td style="width: 5%; text-align: center;">1</td><td style="width: 65%;"></td><td style="width: 30%; text-align: right;">0.</td></tr> <tr><td style="text-align: center;">2</td><td></td><td style="text-align: right;">0.</td></tr> <tr><td style="text-align: center;">3</td><td></td><td style="text-align: right;">0.</td></tr> <tr><td style="text-align: center;">4</td><td></td><td style="text-align: right;">0.</td></tr> <tr><td style="text-align: center;">5</td><td></td><td style="text-align: right;">0.</td></tr> <tr><td style="text-align: center;">7</td><td></td><td style="text-align: right;">0.</td></tr> <tr><td style="text-align: center;">8</td><td></td><td></td></tr> <tr><td style="text-align: center;">9</td><td></td><td style="text-align: right;">0.</td></tr> <tr><td style="text-align: center;">10</td><td></td><td></td></tr> <tr><td style="text-align: center;">11</td><td style="text-align: center;">Refunded</td><td></td></tr> </table>	1		0.	2		0.	3		0.	4		0.	5		0.	7		0.	8			9		0.	10			11	Refunded	
<b>a</b> 2012 estimated tax pmts and 2011 overpayment credited to 2012	<b>6 a</b>																																										
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**Part VII A Statements Regarding Activities**

		Yes	No
<b>1 a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1 a		X
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1 b		X
<b>c</b> Did the foundation file Form 1120-POL for this year?	1 c		X
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ 0. (2) On foundation managers ▶ \$ 0.			
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ 0.			
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i>	2		X
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>	3		X
<b>4 a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4 a		X
<b>b</b> If 'Yes,' has it filed a tax return on Form 990-T for this year?	4 b		N/A
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i>	5		X
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6		X
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XIV</i>	7	X	
<b>8 a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) <u>IL</u>			
<b>b</b> If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation</i>	8 b	X	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>	9		X
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>	10		X

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**Part VII-A** Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address _____ N/A	13	X	
14 The books are in care of <u>DAVID BRINT</u> Telephone no <u>847-562-9400</u> Located at <u>666 DUNDEE ROAD, SUITE 1102 NORTHBROOK IL</u> ZIP + 4 <u>60062</u>			
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year N/A	15		N/A
16 At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If 'Yes,' enter the name of the foreign country	16		X

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

		Yes		No
<b>1 a</b> During the year did the foundation (either directly or indirectly)				
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
<b>b</b> If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1 b			N/A
<b>c</b> Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1 c			X
<b>2</b> Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
<b>a</b> At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If 'Yes,' list the years <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>				
<b>b</b> Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions)	2 b			N/A
<b>c</b> If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>				
<b>3 a</b> Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
<b>b</b> If 'Yes,' did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)	3 b			N/A
<b>4 a</b> Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a			X
<b>b</b> Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4 b			X

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**Part VII-B: Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

5 a During the year did the foundation pay or incur any amount to

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?  Yes  No
- (3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No
- (4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)  Yes  No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

b If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

N/A  Yes  No

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

Yes  No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If 'Yes' to 6b, file Form 8870

6 b X

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

Yes  No

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7 b

**Part VIII: Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DAVID BRINT 1985 DALE HIGHLAND PARK, IL 60035	PRESIDENT 2.00	0.	0.	0.
ALAN G. SCHWARTZ 666 RICE STREET HIGHLAND PARK, IL 60035	TREASURER 2.00	0.	0.	0.
ELIZABETH BRINT 1985 DALE HIGHLAND PARK, IL 60035	VICE PRES 2.00	0.	0.	0.
MICHAEL CORNELL 1148 ILLINOIS ROAD WILMETTE, IL 60091	VICE PRES 2.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 -- see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0



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**Part X** **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes			
a Average monthly fair market value of securities	1 a		
b Average of monthly cash balances	1 b	576,538.	
c Fair market value of all other assets (see instructions)	1 c		
d Total (add lines 1a, b, and c)	1 d	576,538.	
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	0.	
2 Acquisition indebtedness applicable to line 1 assets	2	0.	
3 Subtract line 2 from line 1d	3	576,538.	
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	8,648.	
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	567,890.	
6 Minimum investment return. Enter 5% of line 5	6	28,395.	

**Part XI** **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

1 Minimum investment return from Part X, line 6			
2a Tax on investment income for 2012 from Part VI, line 5	2 a		
b Income tax for 2012 (This does not include the tax from Part VI)	2 b		
c Add lines 2a and 2b	2 c		
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	28,395.	
4 Recoveries of amounts treated as qualifying distributions	4		
5 Add lines 3 and 4	5	28,395.	
6 Deduction from distributable amount (see instructions)	6		
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	28,395.	

**Part XII** **Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes			
a Expenses, contributions, gifts, etc. - total from Part I column (d), line 26	1 a	434,871.	
b Program-related investments - total from Part IX-B	1 b		
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2		
3 Amounts set aside for specific charitable projects that satisfy the			
a Suitability test (prior IRS approval required)	3 a		
b Cash distribution test (attach the required schedule)	3 b		
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	434,871.	
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5		
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	434,871.	

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				28,395.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			0.	
b Total for prior years 20__, 20__, 20__		0.		
3 Excess distributions carryover, if any, to 2012				
a From 2007	201,983.			
b From 2008	210,170.			
c From 2009	265,691.			
d From 2010	311,162.			
e From 2011	229,400.			
f Total of lines 3a through e	1,218,406.			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ 434,871.				
a Applied to 2011, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required – see instructions)		0.		
c Treated as distributions out of corpus (Election required – see instructions)	0.			
d Applied to 2012 distributable amount				28,395.
e Remaining amount distributed out of corpus	406,476.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,624,882.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount – see instructions		0.		
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount – see instructions			0.	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)	201,983.			
9 Excess distributions carryover to 2013 Subtract lines 7 and 8 from line 6a	1,422,899.			
10 Analysis of line 9				
a Excess from 2008	210,170.			
b Excess from 2009	265,691.			
c Excess from 2010	311,162.			
d Excess from 2011	229,400.			
e Excess from 2012	406,476.			

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**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a  If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year				(e) Total
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a 'Assets' alternative test – enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test – enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

SEE STATEMENT 5

b The form in which applications should be submitted and information and materials they should include

SEE STATEMENT FOR LINE 2A

c Any submission deadlines

SEE STATEMENT FOR LINE 2A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE STATEMENT FOR LINE 2A

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**Part XV Supplementary Information (continued)**

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<i>a Paid during the year</i>				
SEE STATEMENT 6				
<b>Total</b>			▶ <b>3 a</b>	<b>434,871.</b>
<i>b Approved for future payment</i>				
<b>Total</b>			▶ <b>3 b</b>	





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**Schedule B**  
(Form 990, 990-EZ,  
or 990-PF)

Department of the Treasury  
Internal Revenue Service

## Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF

OMB No 1545 0047

**2012**

Name of the organization

FOUNDATION FOR RETINAL RESEARCH

Employer identification number

36-4232603

Organization type (check one)

Filers of:

Form 990 or 990-EZ

Section:

- 501(c)(\_\_\_\_) (enter number) organization  
 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation  
 527 political organization

Form 990-PF

- 501(c)(3) exempt private foundation  
 4947(a)(1) nonexempt charitable trust treated as a private foundation  
 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**

**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

### General Rule

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. (Complete Parts I and II.)

### Special Rules

- For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ \_\_\_\_\_

**Caution:** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF) but it **must** answer 'No' on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2, of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

**BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.**

Schedule B (Form 990, 990-EZ, or 990-PF) (2012)

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Schedule B (Form 990, 990-EZ, or 990-PF) (2012)

Page 1 of 2 of Part 1

Name of organization

Employer identification number

FOUNDATION FOR RETINAL RESEARCH

36-4232603

**Part I** **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ISABELLE'S EYES C/O RITA RALSTON ----- 1713 CONGRESS DRIVE ----- TURNERSVILLE, NJ 08012 -----	\$ 7,529.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution )
2	ANITA AND DAVID HOFFMAN ----- 841 MARION AVE ----- HIGHLAND PARK, IL 60035 -----	\$ 9,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution )
3	BAKERSFIELD TRACK CLUB, INC ----- P. O. BOX 22705 ----- BAKERSFIELD, CA 93390 -----	\$ 25,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution )
4	BAXTER CONSTRUCTION CO, LLC ----- 3225 AVENUE N. ----- FORT MADISON, IA 52627 -----	\$ 25,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution )
5	LAURA HOFFMAN ----- 250 BISHOP ----- ATHENS, GA 30606 -----	\$ 8,500.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution )
6	MIDTOWN CHARITABLE FOUNDATION ----- 165 TOWNSHIP LINE ROAD ----- JENKINTOWN, PA 19046 -----	\$ 160,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution )

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Schedule B (Form 990, 990-EZ, or 990-PF) (2012)

Page 2 of 2 of Part 1

Name of organization <b>FOUNDATION FOR RETINAL RESEARCH</b>	Employer identification number <b>36-4232603</b>
--	---

**Part I** **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
7	PAULA HOFFMAN ----- 2028 N. WINCHESTER AVE., #2F ----- CHICAGO, IL 60614 -----	\$ 8,400.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
8	PEER PEDERSEN ----- 161 N. CLARK ST., STE 3100 ----- CHICAGO, IL 60601 -----	\$ 5,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
9	ROBERT ZIMELIS ----- 2 N. LASALLE ST #1/TH FL ----- CHICAGO, IL 60602 -----	\$ 5,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
10	RUNNING AWAY ENTERPRISESS, LLC ----- 430 LAKE COOK ROAD, SUITE 1C ----- DEERFIELD, IL 60015 -----	\$ 13,800.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
11	THE EARL AND BRENDA SHAPIRO FDN ----- 111 E. WACKER DR., # 2607 ----- CHICAGO, IL 60601 -----	\$ 167,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
12	THOMAS WILSON AND JILL GARLING ----- P. O. BOX 55766 ----- BOSTON, MA 02205 -----	\$ 5,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)



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Schedule B (Form 990, 990-EZ, or 990-PF) (2012)

Page 1 to 1 of Part III

Name of organization

FOUNDATION FOR RETINAL RESEARCH

Employer identification number

36-4232603

**Part III** Exclusively religious, charitable, etc, individual contributions to section 501(c)(7), (8) or (10)

organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry

For organizations completing Part III, enter total of exclusively religious, charitable, etc, contributions of \$1,000 or less for the year (Enter this information once See instructions )

▶ \$ \_\_\_\_\_ N/A

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	N/A		
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	

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2012

## FEDERAL STATEMENTS

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FOUNDATION FOR RETINAL RESEARCH

36-4232603

8/23/13

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**STATEMENT 1  
FORM 990-PF, PART I, LINE 11  
OTHER INCOME**

	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
OTHER INCOME	\$ 39,466.		
<b>TOTAL</b>	<u>\$ 39,466.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

**STATEMENT 2  
FORM 990-PF, PART I, LINE 16B  
ACCOUNTING FEES**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING AND AUDITING FEES	\$ 6,150.			
<b>TOTAL</b>	<u>\$ 6,150.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

**STATEMENT 3  
FORM 990-PF, PART I, LINE 23  
OTHER EXPENSES**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK SERVICE CHARGES	\$ 2,659.	\$ 2,659.		
CONFERENCE EXPENSES	82,473.			
CREDIT CARD FEES	5,438.			
GENERAL AND ADMIN EXPENSE	28,957.			
HEALTH BENEFITS	3,669.			
INSURANCE	669.			
MEMBERSHIP EXPENSE	75.			
OFFICE EXPENSES	6,835.			
POSTAGE	916.			
PROMOTIONAL ITEMS	31,200.			
WEB SITE MAINTENANCE	599.			
<b>TOTAL</b>	<u>\$ 163,490.</u>	<u>\$ 2,659.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

**STATEMENT 4  
FORM 990-PF, PART II, LINE 14  
LAND, BUILDINGS, AND EQUIPMENT**

CATEGORY	BASIS	ACCUM. DEPREC.	BOOK VALUE	FAIR MARKET VALUE
MACHINERY AND EQUIPMENT	\$ 8,934.	\$ 8,934.	\$ 0.	\$ 0.
<b>TOTAL</b>	<u>\$ 8,934.</u>	<u>\$ 8,934.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

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FOUNDATION FOR RETINAL RESEARCH

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**STATEMENT 5  
FORM 990-PF, PART XV, LINE 2A-D  
APPLICATION SUBMISSION INFORMATION**

NAME OF GRANT PROGRAM: NONE  
 NAME: DAVID BRINT  
 CARE OF:  
 STREET ADDRESS: 666 DUNDEE ROAD, SUITE 1104  
 CITY, STATE, ZIP CODE: NORTHBROOK, IL 60062  
 TELEPHONE: 224-927-5063  
 E-MAIL ADDRESS:  
 FORM AND CONTENT: WRITTEN OR ELECTRONIC FORMATS ARE ACCEPTABLE; SHOULD BE IN STANDARD FORMAT FOR MEDICAL RESEARCH.  
 SUBMISSION DEADLINES: NONE; ACCEPTED YEAR-ROUND  
 RESTRICTIONS ON AWARDS: NONE

**STATEMENT 6  
FORM 990-PF, PART XV, LINE 3A  
RECIPIENT PAID DURING THE YEAR**

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
THE FOUNDATION FIGHTING BLINDNESS 7168 COLUMBIA GATEWAY DRIVE, #100 COLUMBIA, MD 21046	NONE	501(C) (3)	FUNDS RESEARCH AT VARIOUS INSTITUTIONS IN THE AREA OF DEGENERATIVE RETINAL DISEASES.	\$ 150,000.
UMC ST. RADBOUD P. O. BOX 9101 6500 HB NIJMEGEN, NETHERLANDS	NONE	501(C) (3)	FUND RESEARCH ON LEBER CONGENITAL AMAUROSIS AT UMC ST. RADBOUD.	26,556.
DOHENY EYE INSTITUTE 1450 SAN PABLO STREET, DEI 3050 LOS ANGELES, CA 90033	NONE	501(C) (3)	RESEARCH	50,000.
UNIVERSITY OF PENNSYLVANIA 422 CURRIE BLVD PHILADELPHIA, PA 19104	NONE	501(C) (3)	EDUCATION	167,000.
MONTREAL CHILDREN'S FOUNDATION 2300 TUPPER MONTREAL, H3H1P3 CANADA	NONE	501(C) (3)	FUNDS RESEARCH AT VARIOUS INSTITUTIONS IN THE AREA OF DEGENERATIVE RETINAL DISEASES.	27,000.

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## FEDERAL STATEMENTS

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FOUNDATION FOR RETINAL RESEARCH

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STATEMENT 6 (CONTINUED)  
FORM 990-PF, PART XV, LINE 3A  
RECIPIENT PAID DURING THE YEAR

<u>NAME AND ADDRESS</u>	<u>DONEE RELATIONSHIP</u>	<u>FOUND- ATION STATUS</u>	<u>PURPOSE OF GRANT</u>	<u>AMOUNT</u>
PEKING UNION MEDICAL COLLEGE HOSPITAL 53 DONGDAN NORTH STREET DONGCHENG, BEIJING CHINA	NONE	501 (C) (3)	RESEARCH	\$ 14,315.
TOTAL				<u>\$ 434,871.</u>