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Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

OMB No 1545-0052

2012

Department of the Treasury Internal Revenue Service

3 0 2013

SCANNED MAY

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

	endar year 2012, or tax year beginning	, 2012	, and ending		, , , , , , , , , , , , , , , , , , , ,	
	foundation WHIPPLE FAMILY FOUNDATION			Α	Employer identification null 36-4212048	nber
Number a	and street (or P O box number if mail is not delivered to street OX 151	eet address)	Room/suite	В	Telephone number (see the (847) 235-28	•
City or to		State	ZIP code			
LAKE	FOREST	_	60045-0151	C	If exemption application is	pending, check here
G Ch	eck all that apply: Initial return Final return	Initial Return of a fore Amended return	mer public charity	D	1 Foreign organizations, chec	ck here
	Address change	Name change		1	2 Foreign organizations mee	ting the 85% test, check
H C	Section 4947(a)(1) nonexempt charitable tr	(c)(3) exempt private for	oundation orivate foundation	E	here and attach computation If private foundation status	
	air market value of all assets at end of year J Acc	ounting method. X C		-	under section 507(b)(1)(A)	
(i ► S		Other (specify) column (d) must be on	i cash basis.)	F	If the foundation is in a 60 under section 507(b)(1)(B)	
Part		(a) Revenue and	(b) Net investmen		1	i
	Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))	expenses per books	income	11	(c) Adjusted net	(d) Disbursements for charitable purposes (cash basis only)
	Contributions, gifts, grants, etc, received (att sch)					
	2 Ck X if the foundn is not req to att Sch B			-	· · · · · · · · · · · · · · · · · · ·	
	3 Interest on savings and temporary cash investments					1 ,
	4 Dividends and interest from securities	31,943.	31,94	13.		,
	5 a Gross rents b Net rental income				,	
-	or (loss)	<u>'</u>				
R E	6 a Net gain/(loss) from sale of assets not on line 10 b Gross sales price for all		,			1
E V E N	assets on line 6a 7 Capital gain net income (from Part IV, line 2)			0.		
	8 Net short-term capital gain					, ,
U E	9 Income modifications			-		
_	10 a Gross sales less returns and allowances	, ,			4	
	b Less Cost of goods sold	,			7	, , , , , , , , , , , , , , , , , , , ,
	c Gross profit/(loss) (att sch)		'			
	11 Other income (attach schedule)					
	10 7-4-1 0-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1					
	12 Total. Add lines 1 through 11 13 Compensation of officers, directors, trustees, etc	31,943. 12,000.	31,94 10,80			1,200.
	14 Other employee salaries and wages	12,000.	10,00	, o .		1,200.
	15 Pension plans, employee benefits	-				
A	16a Legal fees (attach schedule)	299.				299.
Ņ.	b Accounting fees (attach sch)		RFC	<u> </u>	VED	
οŅ	c Other prof fees (attach sch) 17 Interest	· · · · · · · · · · · · · · · · · · ·			181	
O Î P S E T R R	18 Taxes (attach schedule)(see instrs) See Line 18 Stmt	785.	VAIV	2	2013 61	705
A A	19 Depreciation (attach	705.	 			785.
1 1	sch) and depletion 20 Occupancy				L LIT	1
N Ý G E	20 Occupancy 21 Travel, conferences, and meetings		OGI	וכ	14, 01	<u></u>
A E N X D P	22 Printing and publications		<u></u>			
	23 Other expenses (attach schedule)					
E N S	See Line 23 Stmt	25.			ļ	· · · · · · · · · · · · · · · · · · ·
Ĕ	24 Total operating and administrative expenses. Add lines 13 through 23	13,109.	10,80	n .		2 284
J	25 Contributions, gifts, grants paid	63,250.	10700			2,284. 63,250.
	26 Total expenses and disbursements. Add lines 24 and 25	76,359.	10,80	00.		65,534.
	27 Subtract line 26 from line 12:	юр,	-		1 ,	10,001
	a Excess of revenue over expenses and disbursements	-44,416.	1		,	
	b Net investment income (if negative, enter -0-)	. ,	21,14	3.		
	C Adjusted net income (if negative, enter -0-)	•				
	or Danaguark Daduction Act Natice, see inst					Farm 000 DF (2012)

Dar	- 11	Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End of	
ran	. 11	(See instructions)	(a) Book Value	(b) Book Value	(c) Fair Market Value
	1	Cash - non-interest-bearing	72,863.	28,447.	28,447.
	2	Savings and temporary cash investments			
	3	Accounts receivable			
		Less: allowance for doubtful accounts			
	4	Pledges receivable			
		Less. allowance for doubtful accounts			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other			
		disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach sch)			
S		Less: allowance for doubtful accounts			
S	8	Inventories for sale or use			
A S S E T	9	Prepaid expenses and deferred charges			
S	l	Investments – U.S. and state government obligations (attach schedule)			
	b	o Investments — corporate stock (attach schedule) SEE STMT	1,374,133.	1,374,133.	1,391,781.
	0	: investments — corporate bonds (attach schedule) .			
	11	Investments – land, buildings, and equipment basis ►			
		Less accumulated depreciation (attach schedule)			
	12	Investments – mortgage loans			
	13	Investments - other (attach schedule)			
	14	Land, buildings, and equipment basis ►			
		Less accumulated depreciation (attach schedule)			
	15	Other assets (describe ►)			
	16	Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I)	1,446,996.	1,402,580.	1,420,228.
Ļ	17	Accounts payable and accrued expenses			1
A B	18	Grants payable .			
В	19	Deferred revenue			'
Ļ	20	Loans from officers, directors, trustees, & other disqualified persons			
Ţ	21	Mortgages and other notes payable (attach schedule)			
į	22	Other liabilities (describe)			
E S	23	Total liabilities (add lines 17 through 22)			
		Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.			
ΝF	24	Unrestricted .			,
N F E U T N	25	Temporarily restricted			ţ1
n	26	Permanently restricted			1
A B S L A N S		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.			
E L T A	27	Capital stock, trust principal, or current funds	1,446,996.	1,402,580.	
SNC	28	Paid-in or capital surplus, or land, building, and equipment fund			
O E	29	Retained earnings, accumulated income, endowment, or other funds			
RS	30	Total net assets or fund balances (see instructions)	1,446,996.	1,402,580.	ı
	31	Total liabilities and net assets/fund balances (see instructions)	1,446,996.	1,402,580.	
Par		Analysis of Changes in Net Assets or Fund Balance	es		
1	Total	net assets or fund balances at beginning of year — Part II, colum of-year figure reported on prior year's return)	n (a), line 30 (must agre		1 446 006
		r amount from Part I, line 27a		1	1,446,996. -44,416.
		increases not included in line 2 (itemize)	•	2	-44,410.
		lines 1, 2, and 3			1,402,580.
5		ises not included in line 2 (itemize)		5	1,302,300.
_		net assets or fund balances at end of year (line 4 minus line 5) -	- Part II, column (b). line		1,402,580.
		\ \	, (×)		_,,

Fa	(a) List and describe	the kınd(s) of property sold (e g , reale, or common stock, 200 shares MLC	al estate,	(b) How acqui P — Purchas D — Donatio		(d)Date sold (month day, year)
1:	•			D - Donatio	111	
	<u></u> b					
	<u></u>					
	<u>. </u>					
	- 9					
	(e) Gross sales price	(f) Depreciation allowed	(g) Cost or other basis	· · · · · · · · · · · · · · · · · · ·	(h) Gain or	(loss)
	(c) areas saids pries	(or allowable)	plus expense of sale	´	(e) plus (f) m	inus (g)
	3					
	<u> </u>					
_	• •				·	
	d		• • •			
	9					
		ng gain in column (h) and owned by	the foundation on 12/31/69		da Corno (Colo	(h)
	(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i over column (j), if any	,	(I) Gains (Coli gain minus column (k than -0-) or Losses (fr), but not less
	3			<u> </u>		
)					
			 -			· ·
	d			 		
	9				· · · · · · · · · · · · · · · · · · ·	
2	Capital gain net income or (net	capital loss) — If gain, also If (loss), ent	enter in Part I, line 7 er -0- in Part I, line 7		2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) a	and (6):			
	If dain, also enter in Part I, line	8, column (c) (see instructions). If (loss) enter 0			
	in Part I, line 8	o, column (c) (see instructions). If (ioss), eriter -0-		3	
Pai	t V Qualification Under	r Section 4940(e) for Reduce	d Tax on Net Investme	nt Incom	e	
If 'Ye	es,' the foundation does not quali	ion 4942 tax on the distributable among fy under section 4940(e). Do not com	plete this part		Yes	X No
1		each column for each year, see the	instructions before making a	ny entries		
	(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use asset	s ((d) Distribution column (b) divided l	
	2011	67,246.	1,316	-220-		0.051090
	2010	69,558.	1,311			0.053031
	2009	52,927.	1,162			0.045517
	2008	101,094.	1,425			0.070914
	2007	96,715.	1,812			0.053369
2	Total of line 1, column (d)			.	2	0.273921
3	Average distribution ratio for the number of years the foundation	e 5-year base period — divide the tota has been in existence if less than 5	al on line 2 by 5, or by the years		3	0.054784
4	Enter the net value of noncharit	able-use assets for 2012 from Part X	line 5		4	1,337,701.
			,	<u> </u>		1,331,101.
5	Multiply line 4 by line 3				5	73,285.
6	Enter 1% of net investment inco	ome (1% of Part I, line 27b)	•	_	6	211.
7	Add lines 5 and 6				7	73,496.
8	Enter qualifying distributions fro	om Part XII, line 4			8	65,534.
	If line 8 is equal to or greater the Part VI instructions	an line 7, check the box in Part VI, li	ne 1b, and complete that par	t using a 1	% tax rate See the	

LOUIT 330-FF (SOIS) DAT MHIBELE				5-421204			age 4
	n Investment Income (Section			ee instructio	ons)		
1 a Exempt operating foundations described in		and enter 'N/A'					
Date of ruling or determination letter:	(attach copy of letter	r if necessary —	see instrs)				
b Domestic foundations that meet th	` ' '	Part V,	<u> </u>	1			<u>423.</u>
check here ► ☐ and enter 1% of			į				
c All other domestic foundations enter 2% of	line 27b Exempt foreign organizations enter	r 4% of Part I, line 12	2, column (b)				
2 Tax under section 511 (domestic s	section 4947(a)(1) trusts and taxable	e					
foundations only Other's enter -0-)				2			0.
3 Add lines 1 and 2				3			423.
4 Subtitle A (income) tax (domestic	section 4947(a)(1) trusts and taxab	le foundations or	ly Others enter -0-)	4			0.
5 Tax based on investment income.	. Subtract line 4 from line 3. If zero	or less, enter -0-		5			423.
6 Credits/Payments:							1
a 2012 estimated tax pmts and 2011 overpayr	nent credited to 2012	6	a 39	<u>2.</u>			
b Exempt foreign organizations — ta	x withheld at source	_ 6	b				
c Tax paid with application for exten	sion of time to file (Form 8868)	6	c 45	<u>o.</u>			
d Backup withholding erroneously wi	thheld	6	d				
7 Total credits and payments Add II	nes 6a through 6d			7		{	842.
8 Enter any penalty for underpayme	nt of estimated tax. Check here	ıf Form 2220	s attached	8			
9 Tax due If the total of lines 5 and 8 is more	re than line 7, enter amount owed .	_		▶ 9			
10 Overpayment. If line 7 is more than the tol	tal of lines 5 and 8, enter the <mark>amount overp</mark> a	aid		▶ 10		- 4	419.
11 Enter the amount of line 10 to be Credited		419	. Refunded	.▶ 11			
Part VII-A Statements Regard	ing Activities						
1 a During the tax year, did the founda	ation attempt to influence any nation	nal, state, or loca	l legislation or did it			Yes	No
participate or intervene in any poli	tical campaign?	, 2.0.0, 0,	. regionation or and it		1 a		Х
b Did it spend more than \$100 during	g the year (either directly or indirect	tly) for political p	urnoses				
(see the instructions for definition)		ayy for political p	,		1 b		Х
If the answer is 'Yes' to 1a or 1b. a	attach a detailed description of the a	activities and con	nies of any materials o	ublished			
or distributed by the foundation in	connection with the activities		nee or any materials p			ĺ	
c Did the foundation file Form 1120-		•	•		1 c		Х
d Enter the amount (if any) of tax on							
(1) On the foundation ► \$ _ e Enter the reimbursement (if any) p		indation manager			_	ĺ	
foundation managers . • \$	and by the foundation during the year	ar for political ex	penditure tax imposed	OH		ĺ	
2 Has the foundation engaged in any	activities that have not previously	been reported to	the IRS?		2		X
If 'Yes,' attach a detailed description					-		<u> </u>
		100				1	
3 Has the foundation made any char of incorporation, or bylaws, or other	iges, not previously reported to the er similar instruments? <i>If 'Yes.' atta</i>	IRS, in its govern ch a conformed o	ning instrument, article	es .	3		X
4 a Did the foundation have unrelated				,	4 a	 	X
b If 'Yes,' has it filed a tax return on			V - ***		4 b		X
5 Was there a liquidation, termination, dissolu		ear?	•		5		$\frac{\hat{x}}{x}$
If 'Yes,' attach the statement requi			• •				1
6 Are the requirements of section 50	າ8(e) (relating to sections 4941 thro	ugh 4945) satisfie	ed either]
 By language in the governing ins 		3 · · · · , · · · · · · · · · · · · · · · · · · ·			1 1		
•		4 4b-4					li
with the state law remain in the	ly amends the governing instrument governing instrument	t so that no mand	aatory directions that d	ontlict	6	X	
•	issets at any time during the year? If 'Yes,' co	omplete Part II. colum	n (c), and Part XV		7	X	\vdash
8 a Enter the states to which the found		,	. ,,				\vdash
IL - Illinois		(1.1.2.11.211.	• •				
b If the answer is 'Yes' to line 7, has the foun	idation furnished a conv of Form 990 PF to th	ne Attorney General			<u> </u>		
(or designate) of each state as required by	General Instruction G? If 'No,' attach explanati	ion .			8 b	X	
9 Is the foundation claiming status as	s a private operating foundation with	hin the meaning	of section 4942(i)(3) o	r 4942(1)(5)			
for calendar year 2012 or the taxat	ble year beginning in 2012 (see inst	ructions for Part	XIV)? If 'Yes,' comple	te Part XIV	9		X
10 Did any persons become substantia	al contributors during the tax year?	If 'Yes.' attach a	schedule listing their	names			_
and addresses					10		<u>x</u>
BAA				F	orm 990	0-PF	(2012)

11 At any hims during the year, did the foundation, directly or indirectly, own a controlled within the meaning of section \$12(0)(3)? If "Yes," attack schedule (see instructions) 12 Did the foundation make a distribution to a denor advised fund over which the foundation or a disqualified person had advisory provingers? If "Yes," attack statemet; feel enstructions? 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? 14 The books are in care of ** IN NHI-PPLE_INC** 15 Section 3497(a)(1) increasing characteristic strains from 990-FF in lieu of Form 1041 - Check here and enter the amount of tax exempt interest received or accrued during the year. 16 At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a balk, securities, or other financial account in a foreign country? 16 See the instructions for exceptions and filing requirements for form 1DF 90-221 If "Yes," enter the name of the foreign country. 17 Part VII-B Statements Regardling Activities for Which Form 4720 May Be Required 18 File Form 4720 Havy item is checked in the "Yes" column, unless an exception applies. 19 During the year did the foundation (either directly or indirectly): 10 Engage in the sale or exchange, or elessing of property with a disqualified person? 10 Forms poods, services, or facilities to (or accept them from) a disqualified person? 10 Forms poods, services, or facilities to (or accept them from) a disqualified person? 11 Form 4720 Forms poods, services, or facilities to for accept them from) a disqualified person? 12 Forms poods, services, or facilities to for accept them from) a disqualified person? 13 Forms poods, services, or facilities to for accept them from) a disqualified person? 14 Forms poods, services, or facilities to for accept them from) a disqualified person?	Par	t VII-A Statements Regarding Activities (continued)			
advisory privileges? If Yes, attach statement (see instructions) 31. Dut the roundation comply with the public inseption requirements for its annual returns and exemption application? Website address N_A 17. The books are in care of * JN. WHIPPLE INC Located at * PO_BOX_151 Located at * PO	11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		х
Website address 14 The books are in care of N WHIPPLE INC	12		12		x
Located at PO_BOX_151 LAKE_FOREST_II_Z P+4 G0145-0.151	13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Х	
Located at PO_BOX_151 LAKE_FOREST_II_Z P+4 G0145-0.151		Website address			
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1941 — Check here and enter the amount of tax-exempt interest received or accrued during the year 16 At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22 I If Yes, enter the name of the foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22 I If Yes, enter the name of the foreign country? File Form 4720 flary Ikem is checked in the Yes' column, unless an exception applies. 1 a During the year did the foundation (either directly or indirectly)? (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception, Check, No, if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating with 190 days.) bif any answer is, Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Pay and the Agree of the Agree of the Agree of Agree (Agree) (A	14	The books are in care of JN WHIPPLE INC Telephone no (84)	7) 235	-285	51_
and enter the amount of tax-exempt interest received or accrued during the year 16 At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bark, securities, or other infancial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If Yes, enter the name of the foreign country. See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If Yes, enter the name of the foreign country. Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required File Form 4720 If any them is checked in the Yes' column, unless an exception applies. 1 a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception, Check No' if the foundation agreed to make a grant to or to employ the official for a penod after termination of government services, it terminating within 30 days). (6) Agree to pay money or property to a government official? (Exception, Check No' if the foundations section 3 4941(6)3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance (see instructions)? Organizations relying to the foundati			<u> 151</u>		
Yes No No No No No No No N	15			•	Ш
See the instructions for exceptions and filing requirements for Form TD F 90-22 1 if Yes, enter the name of the foreign country." See the instructions for exceptions and filing requirements for Form TD F 90-22 1 if Yes, enter the name of the foreign country." Fart VII-B Statements Regarding Activities for Which Form 4720 May Be Required File Form 4720 if any Item is checked in the Yes' column, unless an exception applies. 1a During the year did the foundation (either directly or indirectly). 1a During the year did the foundation (either directly or indirectly). 1a During the year did the foundation (either directly or indirectly). 1a During the year did the foundation (either directly or indirectly). 1a During the year did the foundation (either directly or indirectly). 1a During the year did the foundation (either directly or indirectly). 1a During the year did the foundation (either directly or indirectly). 1a During the year did the foundation (either directly or indirectly). 1b I gain an indirectly indirect		and enter the amount of tax-exempt interest received or accrued during the year .		1	
Foreign country.* Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies. 1 a During the year did the foundation (either directly or indirectly)* 10 Engage in the sale or exchange, or leasing of property with a disqualified person?	16	bank, securities, or other financial account in a foreign country?	16	res	
File Form 4720 if any item is checked in the 'Ves' column, unless an exception applies. 1 a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person): (6) Agree to pay money or property to a government official? (Exception, Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) b) If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Requilations section 53 4941 (0)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance (see instructions)? It is a subject to distribute income (section 494(3)(3) or 4942(9)(3)		foreign country 🟲		:	
1 a During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any moome or assels to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception, Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) b) If any answer is Yes' to 1a(1)-(6), did any of the acts fall to qualify under the exceptions described in Regulations section 53 4941(a)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance (see instructions)? Corporations relying on a current notice regarding disaster assistance (see instructions)? 1b	Par				
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		jeopardize its charitable purpose that had not been removed from jeopardy before the first day of	4 b		
Form 990-PF (2012)	BAA)-PF (2	

Part VII-B Statements Regarding Activiti	ies for Which Form	1 4720 May Be Req	uired (continued)			
5 a During the year did the foundation pay or incur	•			.		
(1) Carry on propaganda, or otherwise attempt	t to influence legislation	(section 4945(e))?	∐ Yes X	No		
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?						
(3) Provide a grant to an individual for travel, study, or other similar purposes?						
(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)						
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes X No.						
b If any answer is 'Yes' to 5a(1)-(5), did any of the described in Regulations section 53.4945 or in (see instructions)?	he transactions fail to qua a current notice regardi	ualify under the exception ng disaster assistance	ons	5 b	_ x	
Organizations relying on a current notice regar	ding disaster assistance	check here	▶ [1	
c If the answer is 'Yes' to question 5a(4), does the tax because it maintained expenditure responsing the 'Yes,' attach the statement required by Regulation.	ibility for the grant?	•	Yes] No		
6 a Did the foundation, during the year, receive any on a personal benefit contract?	y funds, directly or indire	ectly, to pay premiums	∏Yes 🛣	No		
b Did the foundation, during the year, pay premiu	ums, directly or indirectly	y, on a personal benefit		6 b	X	
If 'Yes' to 6b, file Form 8870			a 🗆 🗆 🗔	1		
7 a At any time during the tax year, was the foundable b If 'Yes,' did the foundation receive any proceed				No 7 b		
Part VIII Information About Officers, D						
and Contractors						
1 List all officers, directors, trustees, foundation						
(a) Name and address	(b) Title, and average hours per week devoted to position	(c)Compensation (If not paid, enter -0-)	(d)Contributions to employee benefit plans and deferred compensation	(e)Expense a other allow		
JAY N WHIPPLE III						
PO Box 2113, 4073 Shore Lane	PRES/SEC/TREAS				_	
Boca Grande FL 33921-2113 JAY N WHIPPLE IV	0.00	0.	0.		0.	
475 E DEERPATH RD	VP/DIR					
LAKE FOREST IL 60045	0.00	0.	0.		0.	
ASHLEY H WHIPPLE					_	
429 HAMPTON TERRACE	DIR					
LIBERTYVILLE IL 60048	0.00	0.	0.	-	0.	
SARA G WHIPPLE 475 E DEERPATH RD	DIR					
LAKE FOREST IL 60045	0.00	0.	٥.		0.	
2 Compensation of five highest-paid employees				er 'NONE.'	<u>.</u>	
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d)Contributions to employee benefit plans and deferred compensation	(e)Expense acother allow		
NONE		· · · · · · · · · · · · · · · · · · ·	0011110111011			
		i				
		-				
			,			
Total number of other employees paid over \$50,000	!		<u> </u>			
BAA	TEEA0306 12			Form 990-Pi	None (2012)	

Form 990-PF (2012) JAY WHIPPLE FAMILY FOUNDATION 36-42	:12048 Page 7
Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid and Contractors (continued)	
3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'	<u> </u>
(a) Name and address of each person paid more than \$50,000 (b) Type of service	(c) Compensation
NONE	
Total number of others receiving over \$50,000 for professional services	None
	Hone
Part IX-A Summary of Direct Charitable Activities	
List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
	-
2	0.
3	-
	1
4	
]
Part IX-B Summary of Program-Related Investments (see instructions)	<u> </u>
Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 NONE	-
	<u> </u>
2	
	-
All other program-related investments. See instructions	

TEEA0307 12/22/12

Total. Add lines 1 through 3

BAA

0.

None

Form **990-PF** (2012)

Page 8

Pa	see instructions.)	reign	toundations,
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes a Average monthly fair market value of securities	1 a	1,358,072.
I	b Average of monthly cash balances	1 b	
(Fair market value of all other assets (see instructions)	1 c	
(d Total (add lines 1a, b, and c)	1 d	1,358,072.
(Reduction claimed for blockage or other factors reported on lines 1a and 1c		
	(attach detailed explanation) 1 e	L	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	1,358,072.
4	Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	20,371.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,337,701.
6	Minimum investment return. Enter 5% of line 5	6	66,885.
Par	t XI. Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private opera and certain foreign organizations check here ► and do not complete this part.)	ting fo	oundations
1	Minimum investment return from Part X, line 6	1	66,885.
2 8	a Tax on investment income for 2012 from Part VI, line 5 2a 423.		
1	b Income tax for 2012 (This does not include the tax from Part VI.)	1. 1	
•	Add lines 2a and 2b .	2 c	423.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	66,462.
4	Recoveries of amounts treated as qualifying distributions .	4	
5	Add lines 3 and 4	5	66,462.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	66,462.
Pai	rt XII Qualifying Distributions (see ınstructions)		
	Expenses, contributions, gifts, etc – total from Part I, column (d), line 26	1 a	65,534.
	Program-related investments – total from Part IX-B	1 b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the a Suitability test (prior IRS approval required)	3 a	
	Cash distribution test (attach the required schedule)	3 b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	65,534.
	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	65,534.
	Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the qualifies for the section 4940(e) reduction of tax in those years.	ــــــــــــــــــــــــــــــــــــــ	
BAA			Form 990-PF (2012)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				66,462.
2 Undistributed income, if any, as of the end of 2012				00,402.
a Enter amount for 2011 only		•	0.	
b Total for prior years 20 , 20 , 20			<u> </u>	
3 Excess distributions carryover, if any, to 2012:				
a From 2007 8,835.				
b From 2008 30, 150.		1		
c From 2009 0.				
d From 2010 4,280.				
e From 2011 1,793.				
f Total of lines 3a through e	45,058.			:
4 Qualifying distributions for 2012 from Part			'	
XII, line 4· ► \$65,534.				
a Applied to 2011, but not more than line 2a	, , , , , , , , , , , , , , , , , , , ,			
b Applied to undistributed income of prior years (Election required – see instructions)				
c Treated as distributions out of corpus (Election required – see instructions)				
d Applied to 2012 distributable amount		, .		65,534.
e Remaining amount distributed out of corpus	0.	<u> </u>		05,554.
5 Excess distributions carryover applied to 2012	928.			928.
(If an amount appears in column (d), the	320.		· · · · · · · · · · · · · · · · · · ·	920.
same amount must be shown in column (a))				
6 Enter the net total of each column as				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	44,130.			
b Prior years' undistributed income Subtract				
line 4b from line 2b		0.		
Enter the amount of prior years' undistribut- ed income for which a notice of deficiency				-
ed income for which a notice of deficiency has been issued, or on which the section				
4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable				
amount - see instructions	···	0.		
e Undistributed income for 2011 Subtract line 4a from				
line 2a Taxable amount — see instructions		<u> </u>	0.	
f Undistributed income for 2012. Subtract lines	,			
4d and 5 from line 1. This amount must be				
distributed in 2013				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed				
by section 170(b)(1)(F) or 4942(g)(3)			,	
(see instructions)				<u> </u>
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)		1	,	
applied on line 5 or line 7 (see instructions)	7,907.			··· · · · · · · · · · · · · · · · · ·
9 Excess distributions carryover to 2013.	26.000			
Subtract lines 7 and 8 from line 6a 10 Analysis of line 9	36,223.	,		
a Excess from 2008 30,150.				
b Excess from 2009 0.	,			
c Excess from 2010 4,280.	٠٠,	·	,	
d Excess from 2011 1,793.		`		
e Excess from 2012 0.				

Part XIV Private Operating Foundat	ions (see instru	ictions and Part	VII-A, question	9)	N/A
1 a If the foundation has received a ruling or de is effective for 2012, enter the date of the r	etermination letter t	hat it is a private op	erating foundation,	and the ruling	-
b Check box to indicate whether the foundation	-	atına foundatıon des	scribed in section	4942(j)(3) or	4942(j)(5)
2a Enter the lesser of the adjusted net	Tax year		Prior 3 years	9,(-,-	- 57(-7
income from Part I or the minimum investment return from Part X for	(a) 2012	(b) 2011	(c) 2010	(d) 2009	(e) Total
each year listed .					
b 85% of line 2a.					
 Qualifying distributions from Part XII, line 4 for each year listed 					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a 'Assets' alternative test - enter				ļ	
(1) Value of all assets	- ··				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test — enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
· · · · · · · · · · · · · · · · · · ·					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(III)					
(3) Largest amount of support from an exempt organization .					
(4) Gross investment income					-
Part XV Supplementary Information assets at any time during the	(Complete this e year – see in:	part only if the structions.)	foundation had	\$5,000 or more	in
1 Information Regarding Foundation Manage		<u> </u>			
a List any managers of the foundation who had close of any tax year (but only if they have JAY N WHIPPLE III	ave contributed mor contributed more th	e than 2% of the tot an \$5,000). (See se	tal contributions rece ection 507(d)(2))	erved by the foundat	on before the
b List any managers of the foundation who over a partnership or other entity) of which the form	vn 10% or more of oundation has a 10°	the stock of a corpo % or greater interes	ration (or an equally t.	/ large portion of the	ownership of
2 Information Regarding Contribution, Grant					
Check here X If the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d					
a The name, address, and telephone number	or e-mail of the pe	rson to whom applic	cations should be ad	dressed [.]	
b The form in which applications should be submitted and information and materials they should include:					
·					
c Any submission deadlines					
d Any restrictions or limitations on awards, su	ich as by geographi	cal areas charitable	e fields, kinds of ins	titutions or other fa	ctors:
2. mg (continuons of miniations on awards, st	ion as by geograpin	our areas, charitable	o noids, kinds of Ilis	andions, or other la	7.O.D

3 Grants and Contributions Paid During the Yea	r or Approved for Futu	re Payment		
Recipient	f recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of	Purpose of grant or contribution	Amount
Name and address (home or business)	substantial contributor	recipient		
a Paid during the year				
SEE ATTACHED SCHEDULE			GENERAL	
	NONE	P		
SE SIMI 2 IL 60045				63,250
	ı			
Total		<u> </u>	► 3a	63,250.
b Approved for future payment				03/2301
	!			
		!		
1				
ļ				
Total			► 3h	<u>. </u>

D-4 V// A	A i	filmaadaa Duadusim	- ^ -4:4:
Part XVI-A	Anaivsis o	it income-Producini	3 ACHVIIIES
	,a., 0.0 0	f Income-Producing	<i>,</i> , , , , , , , , , , , , , , , , , ,

Enter gross amounts unless otherwise indicated.	Unrelated	d business income	Excluded 1	by section 512, 513, or 514	(e)	
Program service revenue	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	Related or exempt function income (See instructions.)	
a						
b						
c						
d					··, ···	
e						
f						
g Fees and contracts from government agencies						
2 Membership dues and assessments			ļ			
3 Interest on savings and temporary cash investments .						
4 Dividends and interest from securities .			14	31,943.		
5 Net rental income or (loss) from real estate			4			
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property .						
7 Other investment income .						
8 Gain or (loss) from sales of assets other than inventory			18	0.		
9 Net income or (loss) from special events .						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue						
a						
b		<u>-</u>	<u> </u>			
c		· · · · · · · · · · · · · · · · · · ·				
d			1			
e						
12 Subtotal. Add columns (b), (d), and (e)		_		31,943.		
13 Total. Add line 12, columns (b), (d), and (e)				. 13	31,943.	
(See worksheet in line 13 instructions to verify calculation	ns)				· ·	

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
N/A

Form 990-PF (2012) JAY WHIPPLE FAMILY FOUNDATION 36-4212048 Part XVII | Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

d	old the organization dire- escribed in section 501 elating to political organ	(c) of the Code (of	ngage in any of t ther than section	the following wit 501(c)(3) organ	th any other	er organization or in section 52	27,	'	Yes	No
	ransfers from the report		a noncharitable	exempt organiza	ation of					
	l) Cash .	J		, ,				1 a (1)		Х
(2	2) Other assets							1 a (2)		Х
b 0	ther transactions									
(1	1) Sales of assets to a	noncharitable exe	mpt organization					1 b (1)		Х
(2	2) Purchases of assets	from a noncharita	ble exempt orga	nızatıon				1 b (2)		х
(3	3) Rental of facilities, e	quipment, or othe	r assets					1 b (3)		Х
(4	4) Reimbursement arra	ngements			•			1 b (4)		Х
(5	5) Loans or loan guarar	ntees						1 b (5)		Х
(6	6) Performance of servi	ces or membersh	ip or fundraising	solicitations				1 b (6)		Х
c S	haring of facilities, equi	pment, mailing lis	its, other assets,	or paid employ	ees			1 c		Х
th a	the answer to any of the goods, other assets, ny transaction or sharin	or services given g arrangement, sl	by the reporting t how in column (d	foundation. If th i) the value of the	e foundati	on received les	s than fair mar	ket value in	of	
(a) Line	e no (b) Amount involve	d (c) Name	of noncharitable exen	npt organization	(d)	Description of tran	nsfers, transactions	, and sharing arrai	<u>ng</u> ement	:S
				.						
					<u> </u>					
										
				-						
										
					-	<u>.</u>				
			· · · · ·							
		+							-	
					-					
					+					
-					 					
			· · · · · · · · · · · · · · · · · · ·		 					
										
					-					
							 -			
de	the foundation directly escribed in section 501 ('Yes,' complete the foll	(c) of the Code (ot owing schedule.	ated with, or relat ther than section	ted to, one or m 501(c)(3)) or in	nore tax-ex section 5	kempt organiza 27?	tions	Yes	s X	No
	(a) Name of organiz	zation	(b) Type	of organization	า	(0) Description o	f relationship		
							·			
										
	· · · · · · · · · · · · · · · · · · ·									
		//	<u> </u>							
Sign	Under penalties of perjury, I d correct, and complete Declar	eclare that I have examation of preparer (other	nined this return, including than taxpayer) is base	ding accompanying sed on all information	schedules and of which pre	d statements, and to parer has any know	the best of my kno ledge	May the	IRS disci	uss
Here	Signature of officer or truste			5/73// Date	<u> </u>	PRESIDE		this retur preparer (see inst	shown b (uctions)	pelow 1?
	Print/Type preparer		Preparer's	·		Date	1000	I., PTIN	Yes	No
Do: 4			h	1/1 -		5/15/	2 Check	」 "	1200	
Paid	MICHAEL LO		I F INC	* C		1/1/	self-employed		302	
Prepa		J N WHIPP					Firm's EIN 36	5-3194330		
Use O	iny address	LAKE FORE			IL 600	045-0151	Phone no (8	0471 225	2051	
BAA		THE PORE	<u> </u>		TT 600	742-012T	Traione no ()	347) 235-		
DAN								Form 99 6	J-PF ()	ZU 12)

Form 990-PF, Page 1, Part I, Line 18

Line 18 Stmt

Taxes	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb_
2011 FORM 990 EST TAXES 3Q	350.			350.
FOREIGN TAXES WITHHELD ON FOREIG	435.			435.

Form 990-PF, Page 1, Part I, Line 23

Line 23 Stmt

Other expenses:	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
ATTORNEY GENERAL	15.			
SECRETARY OF STATE	10.			

Total <u>25.</u>

JAY WHIPPLE FAMILY FOUNDATION 36-4212048 INVESTMENTS 12/31/2012

# OF SHARES	SECURITY	TICKER	PURCHASE DATE	COST	FAIR MARKET VALUE 12/31/2012	UN- REALIZED GAIN/LOSS
	SHARES TR MSCI EAFE INDEX	EFA	11/30/2004	\$170,940	\$187,638	\$16,698
	SHARES TR RUSSELL 2000 INDEX FD SHARES TR S&P 500 INDEX FD	IWM IVV	11/30/2004 2/1/2007	\$44,171 \$1,159,021	\$59,023 \$1,145,120	\$14,851 -\$13,901
Т	OTALS			\$1,374,132	\$1,391,781	\$17,648

Jay Whipple Family Foundation 36-4212048 Contributions 2012

Date	Check #	Description	Amounts
1/25/12	2151	Brown University	1,000.00
1/25/12	2152	Daniel Murphy Scholarship Fund	12,000.00
1/25/12	2153	Foxcroft School	1,000.00
1/25/12	2154	Lake Forest Country Day School	500.00
1/25/12	2155	Middlesex School	1,000.00
1/25/12	2156	Neighborhood Academy	1,000.00
1/25/12	2157	Phillips Exeter Academy	10,000.00
1/25/12	2158	Proctor Academy	2,500.00
1/25/12	2159	The Children's Place	2,000.00
1/25/12	2160	Church of the Holy Spirit	500.00
1/25/12	2161	Church of the Holy Spirit	250.00
1/25/12	2162	Community Church	1,000.00
1/25/12	2163	Friends of Boca Grande Com Ctr	500.00
1/25/12	2164	Greater Chgo Food Depository	5,000.00
1/25/12	2165	John Austin Cheley Foundation	1,500.00
1/25/12	2166	Lake Forest Hospital Fund	2,000.00
1/25/12	2167	Middleburg Humane Foundation	1,000.00
1/25/12	2168	Orphans of the Storm	1,000.00
1/25/12	2169	Atlanta Braves Foundation	500.00
1/25/12	2171	Fundaniños	1,000.00
1/25/12	2172	St. Judes Children's Research Hospital	10,000.00
1/25/12	2173	Susan G Komen	5,000.00
1/25/12	2174	Doctors Without Borders	2,500.00
10/15/12	2180	Susan G. Komen	500.00
		Total	63,250.00