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Form **990-PF** Department of the Treasury Internal Revenue Service

Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

Open to public Inspection

For e	alen	dar year 2012 or tax year beginning		, and ending		
Nar	ne of	foundation			A Employer identification	n number
Α	LB	ERT J. AND SUSAN E. ROT	FOUNDATION		36-3653679)
_		nd street (or PO box number if mail is not delivered to stree		Room/suite	B Telephone number	
_P	.0	. BOX 222			(630)369-8	3383
City	or to	own, state, and ZIP code			C If exemption application is p	pending, check here
_N	AP:	ERVILLE, IL 60565				
G C	heck	all that apply: Initial return	Initial return of a fo	rmer public charity	D 1. Foreign organization	s, check here
		Final return	Amended return		2. Foreign organizations me	peting the 85% test
		Address change	Name change		Foreign organizations me check here and attach co	omputation
H C	٦.	type of organization: X Section 501(c)(3) e			E If private foundation sta	
<u> </u>		ction 4947(a)(1) nonexempt charitable trust	Other taxable private foundating method: X Cash	Accrual	under section 507(b)(1	
		· I	ther (specify)	Acciual	F If the foundation is in a under section 507(b)(1	
<i>;;</i>		977, 440. (Part I, cold	umn (d) must be on cash l	pasis.)	under Section 507(b)(1)(b), check here
	rt I	Analysis of Revenue and Expenses	(a) Revenue and	(b) Net investment	(c) Adjusted net	(d) Disbursements
		(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))	expenses per books	income	income	for charitable purposes (cash basis only)
	1	Contributions, gifts, grants, etc., received			N/A	
	2	Check X if the foundation is not required to attach Sch. B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	25,740.	25,740.		STATEMENT 1
	5a	Gross rents	5,047.	5,047.		STATEMENT 2
	_	Net rental income or (loss)1,512.	20 014	<u> </u>		STATEMENT 3
e	6a	Net gain or (loss) from sale of assets not on line 10 Gross sales price for all	20,814.			
Revenue	7	Gross sales price for all assets on line 6a 113, 922.		20,814.	RECEIV	# <u>U</u>
æ	7 8	Capital gain net income (from Part IV, line 2) Net short-term capital gain		20,014.		8
	9	Income modifications			MAY 292	.1
	10a	Gross sales less returns and allowances			10	1 2
	b	Less Cost of goods sold			OCDEN	IIIT
	C	Gross profit or (loss)			L CODEIV	
	11	Other income	3,976.	3,976.		STATEMENT 4
	12	Total. Add lines 1 through 11	55,577.	55,577.		
	13	Compensation of officers, directors, trustees, etc	0.	0.		0.
	14	Other employee salaries and wages	<u> </u>		 	
es	15	Pension plans, employee benefits Legal fees				
		Accounting fees STMT 5	2,632.	1,320.		1,320.
ă		Other professional fees STMT 6	31.	31.		0.
and Administrative Expens		Interest				
rati	18	Taxes STMT 7	1,004.	1,004.		0.
nist	19	Depreciation and depletion	936.	936.		
Ē	20	Occupancy		<u>-</u>		
Ă	21	Travel, conferences, and meetings				<u> </u>
ano	22	Printing and publications	1.600			
ing	23	Other expenses STMT 8	1,680.	1,564.		0.
Operating	24	Total operating and administrative	6,283.	4 OF E		1 220
ဝိ	25	expenses. Add lines 13 through 23 Contributions, gifts, grants paid	142,246.	4,855.		1,320. 142,246.
	26	Total expenses and disbursements.	142,240.			142,240.
		Add lines 24 and 25	148,529.	4,855.		143,566.
	27	Subtract line 26 from line 12:				
	l	Excess of revenue over expenses and disbursements	<92,952.	>		
	b	Net investment income (if negative, enter -0-)		50,722.		
		Adjusted net income (if negative, enter -0-)	<u> </u>		N/A	
2235 12-0	01 5-12	LHA For Paperwork Reduction Act Notice, see	instructions.			Form 990-PF (2012)

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	D-PF (2012) ALBERT J. AND SUSAN E. R	Beginning of year	End o	<u>3653679 </u>
art I		(a) Book Value	(b) Book Value	(c) Fair Market Value
!	Cash - non-interest-bearing	0.000	2 500	2 500
	Savings and temporary cash investments	8,880.	3,588.	3,588
1	Accounts receivable		= -	* -
Ì	Less: allowance for doubtful accounts			
	Pledges receivable			* • * * * * * * * * * * * * * * * * * *
i	Less: allowance for doubtful accounts			
1 -	Grants receivable			
ı	Receivables due from officers, directors, trustees, and other			
l	disqualified persons			
1	Other notes and loans receivable	-		
	Less: allowance for doubtful accounts			
	Inventories for sale or use			
	Prepaid expenses and deferred charges			
	Investments - U.S. and state government obligations	771 045	690,502.	016 050
	Investments - corporate stock STMT 9	771,845.	690,502.	916,058
	Investments - corporate bonds			<u> </u>
	Investments - land, buildings, and equipment basis	*		-
	Less accumulated depreciation Investments - mortgage loans			
	Investments - other STMT 10	10,520.	9,415.	9,415
	Land, buildings, and equipment: basis 5,629.	10,520.	7,413.	<u> </u>
	Less accumulated deprecation STMT 11 5,629.	, ,		
	Other assets (describe STATEMENT 12)	53,822.	48,379.	48,379
10	Other assets (asset be P TITI DITIET T 2)	33,022.	40,312.	10,57.
16	Total assets (to be completed by all filers)	845,067.	751,884.	977,440
	Accounts payable and accrued expenses	231.	, , , , , , , , , , , , , , , , , , , ,	
	Grants payable			
	Deferred revenue			
1	Loans from officers, directors, trustees, and other disqualified persons			
	Mortgages and other notes payable			
1	Other liabilities (describe)			
23	Total liabilities (add lines 17 through 22)	231.	0.	
	Foundations that follow SFAS 117, check here			
	and complete lines 24 through 26 and lines 30 and 31.			,
24	, cancallone mattered cities in , check note			,
_	and complete lines 24 through 26 and lines 30 and 31.			٠
25	and complete lines 24 through 26 and lines 30 and 31. Unrestricted			,
25	and complete lines 24 through 26 and lines 30 and 31. Unrestricted Temporarily restricted			,
25	and complete lines 24 through 26 and lines 30 and 31. Unrestricted Temporarily restricted Permanently restricted			•
25 26	and complete lines 24 through 26 and lines 30 and 31. Unrestricted Temporarily restricted Permanently restricted Foundations that do not follow SFAS 117, check here	844,836.	751,884.	•
25 26 27	and complete lines 24 through 26 and lines 30 and 31. Unrestricted Temporarily restricted Permanently restricted Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.	0.	0.	•
25 26 27 28	and complete lines 24 through 26 and lines 30 and 31. Unrestricted Temporarily restricted Permanently restricted Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. Capital stock, trust principal, or current funds	0.	0.	•
25 26 27 28 29	and complete lines 24 through 26 and lines 30 and 31. Unrestricted Temporarily restricted Permanently restricted Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. Capital stock, trust principal, or current funds Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	•
25 26 27 28 29 30	and complete lines 24 through 26 and lines 30 and 31. Unrestricted Temporarily restricted Permanently restricted Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. Capital stock, trust principal, or current funds Paid-in or capital surplus, or land, bldg., and equipment fund Retained earnings, accumulated income, endowment, or other funds Total net assets or fund balances	0. 0. 844,836.	0. 0. 751,884.	•
25 26 27 28 29 30	and complete lines 24 through 26 and lines 30 and 31. Unrestricted Temporarily restricted Permanently restricted Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. Capital stock, trust principal, or current funds Paid-in or capital surplus, or land, bldg., and equipment fund Retained earnings, accumulated income, endowment, or other funds	0.	0.	
25 26 27 28 29 30	and complete lines 24 through 26 and lines 30 and 31. Unrestricted Temporarily restricted Permanently restricted Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. Capital stock, trust principal, or current funds Paid-in or capital surplus, or land, bldg., and equipment fund Retained earnings, accumulated income, endowment, or other funds Total net assets or fund balances Total liabilities and net assets/fund balances	0. 0. 844,836. 845,067.	0. 0. 751,884.	
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25 26 27 28 29 30 31	and complete lines 24 through 26 and lines 30 and 31. Unrestricted Temporarily restricted Permanently restricted Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. Capital stock, trust principal, or current funds Paid-in or capital surplus, or land, bldg., and equipment fund Retained earnings, accumulated income, endowment, or other funds Total net assets or fund balances Total liabilities and net assets/fund balances Total liabilities of Changes in Net Assets or Fund Ba	0. 0. 844,836. 845,067.	0. 0. 751,884.	844,83
25 26 27 28 29 30 31 Total (mus	and complete lines 24 through 26 and lines 30 and 31. Unrestricted Temporarily restricted Permanently restricted Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. Capital stock, trust principal, or current funds Paid-in or capital surplus, or land, bldg., and equipment fund Retained earnings, accumulated income, endowment, or other funds Total net assets or fund balances Total liabilities and net assets/fund balances III Analysis of Changes in Net Assets or Fund Ba	0. 0. 844,836. 845,067.	0. 0. 751,884. 751,884.	
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25 26 27 28 29 30 31 Total (mus Enter Other	and complete lines 24 through 26 and lines 30 and 31. Unrestricted Temporarily restricted Permanently restricted Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. Capital stock, trust principal, or current funds Paid-in or capital surplus, or land, bldg., and equipment fund Retained earnings, accumulated income, endowment, or other funds Total net assets or fund balances Total liabilities and net assets/fund balances III Analysis of Changes in Net Assets or Fund Banet assets or fund balances at beginning of year - Part II, column (a), line 3 are assets or fund balances at beginning of year seturn) amount from Part I, line 27a	0. 0. 844,836. 845,067.	0. 0. 751,884. 751,884.	844,836 <92,952
25 26 27 28 29 30 31 Total (mus Enter Other Add)	and complete lines 24 through 26 and lines 30 and 31. Unrestricted Temporarily restricted Permanently restricted Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. Capital stock, trust principal, or current funds Paid-in or capital surplus, or land, bldg., and equipment fund Retained earnings, accumulated income, endowment, or other funds Total net assets or fund balances Total liabilities and net assets/fund balances III Analysis of Changes in Net Assets or Fund Balance assets or fund balances at beginning of year - Part II, column (a), line 3 and agree with end-of-year figure reported on prior year's return) amount from Part I, line 27a rincreases not included in line 2 (itemize)	0. 0. 844,836. 845,067.	0. 0. 751,884. 751,884.	<92,952

(a) Each and describe the land(s) of property sold (cp., rariel estate), 2-burchaspe (cp., burchaspe 2-burchaspe (moc., day, yr.) 11 18 SEE ATTACHED STATEMENT (a) Gross sales price (b) Depreciation allowed (co. allowable) (co. allowable) (b) Cost or other basis (h) Gain or (foss) (b) Place (f) Thinnis (g) (b) Depreciation allowed (co. allowable) (co. allowable) (co. allowable) (d) Experiment (h) and owned by the foundation on 12/3/169 (d) F.M.V. as of 12/3/169 (e) Capital gain net income or (net capital loss) (if) F.M.V. as of 12/3/169 (if) Gains (Co.(h)) pain menus on 12/3/169 (if) F.M.V. as of 12/3/169 (if) Experiment (h) and owned by the foundation on 12/3/169 (if) Experiment (h) and owned by the foundation on 12/3/169 (if) F.M.V. as of 12/3/169 (if) Experiment (h) and owned by the foundation on 12/3/169 (if) Experiment (h) and owned by the foundation on 12/3/169 (if) Experiment (h) and owned by the foundation on 12/3/169 (if) Experiment (h) and owned by the foundation on 12/3/169 (if) Experiment (h) and owned by the foundation on 12/3/169 (if) Experiment (h) and owned by the foundation on 12/3/169 (if) Experiment (h) and owned by the foundation on 12/3/169 (if) Experiment (h) and owned by the foundation on 12/3/169 (if) Experiment (h) and owned by the foundation on 12/3/169 (if) Experiment (h) and owned by the foundation of 12/3/169 (if) Experiment (h) and owned by the foundation of 12/3/169 (if) Experiment (h) and owned (h) and owned by the foundation of 12/3/169 (if) Experiment (h) and owned (orm 990-PF (2012) ALBE Part IV Capital Gains a	ERT J. AND SUSAN	N E. RO	T FOUNDA	TION		36	<u>-365</u>	3679	Page 3
SEE ATTACHED STATEMENT (e) Gross sales price (f) Depreciation allowed (for allowable) (g) Cest or other basis plue expense of asile (h) Gain or (loss) (c) plus (i) minus (ii) 113,922. Complete only for assets showing gain in column (h) and owned by the foundation on 1226/169 (ii) F.M.V. as of 1231/69 (iii) Adjusted basis (iii) Adjusted basis (iii) Adjusted basis (iii) Adjusted basis (iii) F.M.V. as of 1231/69 (iii) Adjusted basis (iii) Adjust	_					I P - Pii	rchase I	(c) Date ad (mo., day	cquired y, yr.)	(d) Date (mo., da	e sold ly, yr.)
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(e) Gross sales price (f) Depresation allowed (or allowable) a	_		STATEMENT			<u> </u>				. <u>-</u>	<u>-</u>
(e) Gross sales price (1) Depresation allowed (p) Cost or other basis (b) Sim or (loss) 1	_									-	
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e 113,922. 93,108. 20,814. Complete only for assets showing gain in column (h) and owned by the foundation on 1201/69 (i) Excess of cot. (i) column (k), but not less than 49-jor cot. (k), but		(e) Gross sales price									
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(i) F.M.V. as of 12/31/69 (i) Adjusted basis as of 12/31/69 (over cot. (i), if any cot. (ii) Losses (from cot. (iii)) cot. (iii) and cot. (iii)	_		gain in column (h) and owned by	the foundation		-	(1)	Gains (Co	l. (h) gain i		, , , ,
Capital gam net income or (net capital loss) If gam, also enter in Part I, line 7 If gam, also enter in Part I, line 7 If gam, also enter in Part I, line 7 If gam, also enter in Part I, line 7 If gam, also enter in Part I, line 7 If gam, also enter in Part I, line 7 If gam, also enter in Part I, line 8 Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income (For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.) If section 4940(d)(2) applies, leave this part blank. Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? If Yea, the foundation does not quality under section 4940(e). Do not complete this part. In Enter the appropriate amount in each column for each year; see the instructions before making any entries. If Sease period years (b) Calendar year (or lax year beginning in) Adjusted qualifying distributions Net value of nontharitable-use assets (col. (b) divided by col. (c)) 2011 133,162. 1,095,181. 121589 2010 98,757. 1,174,352. 084095 2009 103,762. 1,038,308. 099934 2008 92,319. 1,167,321. 0,79986 2007 117,376. 1,167,321. 0,79986 2007 117,376. 1,402,646. 083662 Total of line 1, column (d) 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years 4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5 5 Multiply line 4 by line 3 6 Enter 1% of net investment income (1% of Part I, line 27b) 7 Add lines 5 and 6 8 Enter qualifying distributions from Part XII, line 4 If the 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.	_	(i) F.M.V. as of 12/31/69		(k) Ex over	cess of col. (1) col. (1), if any		còl.	(k), but no	t less than	-0-) or	
Capital gain net income or (net capital loss) (If gain, also enter in Part I, line 7 20,814. 2 Capital gain net income or (net capital loss) (If gain, also enter in Part I, line 7 20,814. 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If gain, also enter in Part I, line 8, column (c). If gain, also enter in Part I, line 8, column (c). If gain, also enter in Part I, line 8, column (c). If gain, also enter in Part I, line 8, column (c). If gain, also enter in Part I, line 8, column (c). If gain, also enter in Part I, line 8, column (c). If gain, also enter in Part I, line 8, column (c). If gain, also enter in Part I, line 8, column (c). If gain, also enter in Part I, line 8, column (c). If gain, also enter in Part I, line 8, column (c). If gain, also enter in Part I, line 8, column (c). If gain, also enter in Part I, line 8, column (c). If gain, also enter in Part I, line 7, and I, line 8, column (c). If gain, also enter in Part I, line 7, and I, line 8, column (d). If gain, also enter in Part I, line 7, and I, line 8, column (d). If gain, also enter in Part I, line 7, and I, line 8, column (d). If gain, also enter in Part I, line 7, and I, line 8, column (d). If gain, also enter in Part I, line 7, and I, line 8, and I, line 10, line	_					_					
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e 20,814. 2 Capital gain net income or (net capital loss) { if gain, also enter in Part I, line 7 } 20,814. 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter-0- in Part I, line 8 Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income (For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.) If section 4940(d)(2) applies, leave this part blank. Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes X No If Yes, the foundation does not qualify under section 4940(e), Do not complete this part. 1 Enter the appropriate amount in each column for each year; see the instructions before making any entries. 1 Enter the appropriate amount in each column for each year; see the instructions before making any entries. 2011 133,162. 1,095,1811. 121589 2010 298,757. 1,174,352. 0.084095 2009 103,762. 1,038,308. 0.99934 2009 103,762. 1,038,308. 0.99934 2009 20,319. 1,167,321. 0.79086 2007 2117,376. 1,402,646. 0.83682 2 Total of line 1, column (d) 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the loundation has been in existence if less than 5 years 4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5 5 Multiply line 4 by line 3 6 Enter 1% of net investment income (1% of Part I, line 27b) 7 Add lines 5 and 6 8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.				-							
2 Capital gain net income or (net capital loss) If igain, also enter in Part I, line 7 If gain, also enter in Part I, line 7 If gain, also enter in Part I, line 8 If gain, also enter in Part I, line 8 If gain, also enter in Part I, line 8 Part V [Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income (For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.) If section 4940(d)(2) applies, leave this part blank. Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? If yes, the foundation does not qualify under section 4940(e). Do not complete this part. I Enter the appropriate amount in each column for each year; see the instructions before making any entires. Calendar year (or tax year beginning in) Adjusted qualifying distributions Net value of noncharitable-use assets Obstribution ratio (col. (i)) divided by col. (ci)	_		· · · · · · · · · · · · · · · · · · ·			- 				20	811
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter 0- in Part I, line 8, column (c). If (loss), enter 0- in Part I, line 8, column (c). If (loss), enter 0- in Part I, line 8, column (c). If (loss), enter 0- in Part I, line 8, column (c). If (loss), enter 0- in Part I, line 8, column (c). If (loss), enter 0- in Part I, line 8, column (c). If (loss), enter 0- in Part I, line 8, column (c). If ves. the foundation buble for the section 4940(e) for Reduced Tax on Net Investment income. If section 4940(d)(2) applies, leave this part blank. Was the foundation lable for the section 4942 tax on the distributable amount of any year in the base period? If ves. the foundation does not qualify under section 4940(e). Do not complete this part. I Enter the appropriate amount in each column for each year; see the instructions before making any entries. (a) (b) Calendar year (or fax year beginning in) Adjusted qualifying distributions Adjusted qualifying distributions Net value of noncharitable-use assets (c) Distribution ratio (col. (b) divided by col. (c)) 2011 133,162. 1,095,181. 1,174,352. 084095 2009 103,762. 1,174,352. 084095 2008 92,319. 1,167,321. 0,79086 2007 117,376. 1,167,321. 0,79086 2007 117,376. 1,167,321. 0,99347 4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5 4 987,167. Multiply line 4 by line 3 5 92,475. 6 Enter 1% of net investment income (1% of Part I, line 27b) 7 Add lines 5 and 6 8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.		· · · · · · · · · · · · · · · · · · ·	If gain, also ente	er in Part I, line O- in Part I, line	7 7	} 2				•	
If gan, also enter in Part I, line 8, column (c). If (loss), enter 2- in Part I, line 8 N/A Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income	3	Net short-term capital gain or (loss	•						•		
Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income (For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.) If section 4940(d)(2) applies, leave this part blank. Was the foundation lable for the section 4942 tax on the distributable amount of any year in the base period? Yes X No No No Yes X No No Yes X No		If gain, also enter in Part I, line 8, c		- (-/-		}					
For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.) If section 4940(d)(2) applies, leave this part blank. Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? If Yes, the foundation does not qualify under section 4940(e). Do not complete this part. I Enter the appropriate amount in each column for each year; see the instructions before making any entries. (a) Base period years (a) Calendar year (or tax year beginning in) Adjusted qualifying distributions Adjusted qualifying distributions (b) 133,162. 1,095,181. 121589 2010 98,757. 1,174,352. 084095 2009 103,762. 1,038,308. 099934 2008 92,319. 1,167,321. 079086 2007 117,376. 1,402,646. 083682 2 Total of line 1, column (d) 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years 4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5 5 Multiply line 4 by line 3 6 Enter 1% of net investment income (1% of Part I, line 27b) 7 Add lines 5 and 6 8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.			der Section 4940(a) for	r Poducod	Tay on Not	J 3	mont Inc		N/A		
If section 4940(d)(2) applies, leave this part blank. Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No Yes The foundation liable for the section 4940(e), Do not complete this part. Enter the appropriate amount in each column for each year; see the instructions before making any entries. Age	_						ment inc	ome	- · · · · · · · · · · · · · · · · · · ·	****	
Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No Yes No				4540(a) tax uii	net investment in	come.)					
1	lf	section 4940(d)(2) applies, leave this	s part blank.								
Enter the appropriate amount in each column for each year; see the instructions before making any entries. Calendar year (or lax year beginning in) Adjusted qualifying distributions Net value of noncharitable-use assets Col. (b) divided by col. (c)	W	as the foundation liable for the section	on 4942 tax on the distributable ar	mount of any ye	ar in the base peri	od?				Yes [X No
Base period years Adjusted qualifying distributions Net value of noncharitable-use assets Distribution ratio (col. (b) divided by col. (c))						-					
2011 133 , 162 1 , 174 , 352 084 095	1	 		instructions bef	ore making any er					/d\	
2011 133,162 1,095,181 .121589 2010 98,757 1,174,352 .084095 2009 103,762 1,038,308 .099934 2008 92,319 1,167,321 .079086 2007 117,376 1,402,646 .083682 2 Total of line 1, column (d) 2		Base period years		stributions	Net value of nor		e-use assets	(0	Distribu	ition ratio	'a\\
2010 98,757. 1,174,352	-		9 ""/						or (n) aivi		
2009 103,762. 1,038,308. .099934 2008 92,319. 1,167,321. .079086 2007 117,376. 1,402,646. .083682 2 Total of line 1, column (d) 2 .468386 .093677 .093											
Total of line 1, column (d) 2		2009	10	3,762.							
2 Total of line 1, column (d) 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years 4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5 5 Multiply line 4 by line 3 6 Enter 1% of net investment income (1% of Part I, line 27b) 7 Add lines 5 and 6 8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.	_									.07	9086
Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years 4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5 5 Multiply line 4 by line 3 6 Enter 1% of net investment income (1% of Part I, line 27b) 7 Add lines 5 and 6 8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.	_	2007	11	<u> </u>		1,40	02,646	•		.08	<u> 3682</u>
the foundation has been in existence if less than 5 years 3 .093677 4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5 4 .987,167. 5 .92,475. 6 Enter 1% of net investment income (1% of Part I, line 27b) 7 Add lines 5 and 6 8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.			year base period, daude the testel	an iona O bu C	- h Ab	-6		2		.46	8386
5 92,475. 6 Enter 1% of net investment income (1% of Part I, line 27b) 7 Add lines 5 and 6 8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.	3			on tine 2 by 5,	or by the number (or years		3		.09	3677
6 Enter 1% of net investment income (1% of Part I, line 27b) 7 Add lines 5 and 6 7 92,982. 8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.	4	Enter the net value of noncharitable	e-use assets for 2012 from Part X,	line 5				4		987,	<u> 167.</u>
7 Add lines 5 and 6 7 92,982. 8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.	5	Multiply line 4 by line 3						5		92,	475.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.	6	Enter 1% of net investment income	e (1% of Part I, line 27b)					6			507.
If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.	7	Add lines 5 and 6						7		92,	982.
If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	, , ,	•					8		143,	566.
		See the Part VI instructions.	ine 7, check the box in Part VI, line	e 1b, and comp	lete that part using	a 1% tax	rate.				

	990-PF (2012) ALBERT J. AND SUSAN E. ROT FOUND tVI Excise Tax Based on Investment Income (Section 4940(a)	ATION			36536		otio	Page 4
Parl	Exempt operating foundations described in section 4940(d)(2), check here \to \to \text{ and enter '}	<u> </u>	5), 01 4	240 -	266 III	Stru	Cuo	115)
	Date of ruling or determination letter:(attach copy of letter if necessa Domestic foundations that meet the section 4940(e) requirements in Part V, check here						_	07
	• • • • • • • • • • • • • • • • • • • •	and enter 1%	1	1				07.
	of Part I, line 27b	art Lina 10 ani (h)	1					
	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of P		ر ا	ا ۵				^
	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others	enter -u-)	+	2				<u>0.</u> 07.
	Add lines 1 and 2 Subtitle A (veces) toy (demostra control 4047(a)(1) trusts and toyoble foundations only. Others	antor O \	}	3				0.
	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others	enter -u-)	}	4				07.
	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		}	5				07.
	Credits/Payments:	. 1	680.					
	2012 estimated tax payments and 2011 overpayment credited to 2012		000.	1				
	Exempt foreign organizations - tax withheld at source	·						
	Tax paid with application for extension of time to file (Form 8868)			l				:
	Backup withholding erroneously withheld Total and a supports Add loss of the unit of	<u> </u>				-	c	0 0
	Total credits and payments. Add lines 6a through 6d		}	7				80.
	Enter any penalty for underpayment of estimated tax. Check here if Form 2220 is attached			8				
	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed			9			1	73.
	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	173 . Refu		10				0.
	Enter the amount of line 10 to be: Credited to 2013 estimated tax **T VII-A Statements Regarding Activities**	1 / 3 . Retur	iaea 📂 📗	11				<u> </u>
	During the tax year, did the foundation attempt to influence any national, state, or local legislation	or did it participate or	unton/one	·n			Yes	No
	any political campaign?	i oi uiu ii participate oi	IIIICI VCIIC	""	-	1a		X
	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (s	eas instructions for date	nition\2			1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and co		-	dor	F	עו		
	distributed by the foundation in connection with the activities.	pies of arry materials	publishe	u oi	-			
	Did the foundation file Form 1120-POL for this year?					1c	•	х
	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year	•				-10		
	(1) On the foundation. \blacktriangleright \$ (2) On foundation managers. \blacktriangleright		0.					}
	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure							
	managers. > \$ 0.	an impocou on rounda			İ			
	Has the foundation engaged in any activities that have not previously been reported to the IRS?					2		x
	If "Yes," attach a detailed description of the activities.					_		
	Has the foundation made any changes, not previously reported to the IRS, in its governing instru	ment, articles of incorr	oration, o	r	1			1
	bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes				ľ	3	~	х
	Did the foundation have unrelated business gross income of \$1,000 or more during the year?				[4a		Х
	If "Yes," has it filed a tax return on Form 990-T for this year?			N.	/A [4b_		
	Was there a liquidation, termination, dissolution, or substantial contraction during the year?					5		X
11	If "Yes," attach the statement required by General Instruction T.							
	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:							
	By language in the governing instrument, or							
	 By state legislation that effectively amends the governing instrument so that no mandatory dire 	ections that conflict with	the state	law	Ì			
	remain in the governing instrument?					6	X	
7 D	Did the foundation have at least \$5,000 in assets at any time during the year?				Γ	7	X	
	If "Yes," complete Part II, col. (c), and Part XV.				Γ			
8a E	Enter the states to which the foundation reports or with which it is registered (see instructions).	>						ŀ
	IL							}
_	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorn	ey General (or designat	e)					}
	of each state as required by General Instruction G? If "No," attach explanation				İ	8b	Х	
9 ls	Is the foundation claiming status as a private operating foundation within the meaning of section	4942(j)(3) or 4942(j)(5) for caler	ndar				
у	year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," comp	lete Part XIV				9		Х
10 D	Did any persons become substantial contributors during the tax year? if "Yes," attach a schedule listi	ing their names and addres	ses			10		X

	990-PF (2012) ALBERT J. AND SUSAN E. ROT FOUNDATION 36-3653	<u> 3679</u>		Page <u>5</u>
Pa	rt VII-A Statements Regarding Activities (continued)			
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of			
	section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		<u>X</u>
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges?			1
	If "Yes," attach statement (see instructions)	12		<u> X</u>
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
	Website address ► N/A			
14	The books are in care of \blacktriangleright ALBERT J. ROT Telephone no. \blacktriangleright 630 – 36	<u> 59-8</u>	383	
	Located at ► 2651 S. WASHINGTON, NAPERVILLE, IL ZIP+4 ►60	<u>)565</u>		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here			
	and enter the amount of tax-exempt interest received or accrued during the year	N	/A	
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank,		Yes	No
	securities, or other financial account in a foreign country?	16		<u>X</u>
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign			
	country			
Pa	rt VII-B Statements Regarding Activities for Which Form 4720 May Be Required		,	
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.	L	Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	ŀ		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from)			
	a disqualified person?	Ì		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?		.	
	(5) Transfer any income or assets to a disqualified person (or make any of either available			
	for the benefit or use of a disqualified person)?			
	(6) Agree to pay money or property to a government official? (Exception. Check "No"			
	ıf the foundation agreed to make a grant to or to employ the official for a period after			
	termination of government service, if terminating within 90 days.)			
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations			
	section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here			
C	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected			
	before the first day of the tax year beginning in 2012?	1c		<u>X</u>
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation			
	defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning			
	before 2012?			
	If "Yes," list the years			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect			
	valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach	.		
	statement - see instructions.) N/A	2b		
C	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.			
٥.	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time			
Ja	during the year? Light Test Survey and the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time Yes X No			
	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after			
U	May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose			
	of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C,			
	Form 4720, to determine if the foundation had excess business holdings in 2012.) N/A	24		
4-	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	3b		X
	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that	4a		
U	had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		X
		m 990	-PF /	
	· ·	550	\	-0.21

Part VII-B Statements Regarding Activities for Which	Form 4720 May Bo	N Page sing of a	<u>36-36536</u>	<u>79 </u>	Page 6
	Form 4720 May Be I	Requirea (contin	ued)		
5a During the year did the foundation pay or incur any amount to:	10.57.33	_			
(1). Carry on propaganda, or otherwise attempt to influence legislation (section).	` ''		es X No		
(2) Influence the outcome of any specific public election (see section 4955);	or to carry on, directly or indir		(TE)		
any voter registration drive?	- 0		es X No		
(3) Provide a grant to an individual for travel, study, or other similar purpose		L Y	es X No		
(4) Provide a grant to an organization other than a charitable, etc., organizati	on described in Section	Π,	es X No		
509(a)(1), (2), or (3), or section 4940(d)(2)?	s or adventional avenages or		es Las No		
(5) Provide for any purpose other than religious, charitable, scientific, literary the prevention of cruelty to children or animals?	y, or educational purposes, or		es X No		
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify u	ador the eventions described		es Lad No		
section 53.4945 or in a current notice regarding disaster assistance (see instr		iii neguladons	NT / 2		
Organizations relying on a current notice regarding disaster assistance check	•		N/A	5b	
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption		unad			•
expenditure responsibility for the grant?		N/A 🔲 Y		ĺ	
If "Yes," attach the statement required by Regulations section 53.494		1/A 10	es L No		1
6a Did the foundation, during the year, receive any funds, directly or indirectly, to	' '		i		
a personal benefit contract?	, pay promiums un	[] v.	es X No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a	nersonal benefit contract?	10		6b	x
If "Yes" to 6b, file Form 8870	personal benefit contract,			00	
7a At any time during the tax year, was the foundation a party to a prohibited tax	shelter transaction?		es X No		
b If "Yes," did the foundation receive any proceeds or have any net income attrib				7b	+
Information About Officers Divisions Two		nagers, Highly		10	
Part VIII Paid Employees, and Contractors					
List all officers, directors, trustees, foundation managers and their	compensation.	-			
	(b) Title, and average	(c) Compensation	(d) Contributions to employee benefit plans and deferred	(e) Ex	opense nt, other
(a) Name and address	hours per week devoted to position	(If not paid, enter -0-)	and deferred compensation	accoun	nt, other ances
ALBERT J. ROT	PRESIDENT				
P.O. BOX 222		!		l	
NAPERVILLE, IL 60565	5.00	0.	0.		0.
SUSAN E. ROT	VICE-PRESIDEN	r T			
P.O. BOX 222					
NAPERVILLE, IL 60565	5.00	0.	0.		0.
KEITH A. ROT	DIRECTOR				
P.O. BOX 222				İ	
NAPERVILLE, IL 60565	2.00	0.	0.		0.
RICHARD K. ROT	DIRECTOR				
P.O. BOX 222					
NAPERVILLE, IL 60565	2.00	0.	0.		0.
2 Compensation of five highest-paid employees (other than those in	T	enter "NONE."	(d) 0		
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week	(c) Compensation	(d) Contributions to employee benefit plans and deferred	(e) Ex accoun	opense ot, other
	devoted to position		compensation	allow	ances
NONE	_{			[
	 	-		·	
	4				
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	<u> </u>	 			
	_				
Total number of other employees paid over \$50,000	·	J			0
The state of the s		-	Form	990-PF	<u>_</u>
			7 01111	1 1	(=012)

-3653679 Page 7
(c) Compensation
▶ 0
<u> </u>
F
Expenses
_
-
71,711.
-
80,394.
00,334.
_
<u> </u>
Amount
7 anount
-
1
4
4
0.
Form 990-PF (2012)

P	art X Minimum Investment Return (All domestic foundations must complete this part. Foreign four	ndations	, see instructions)
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
-	Average monthly fair market value of securities	1a	934,440.
	Average of monthly cash balances	1b	6,233.
C	Fair market value of all other assets	1c	61,527.
d	Total (add lines 1a, b, and c)	1d	1,002,200.
е	Reduction claimed for blockage or other factors reported on lines 1a and		
	1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,002,200.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	15,033.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	987,167.
6	Minimum investment return Enter 5% of line 5	6	49,358.
Р	art XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and foreign organizations check here and do not complete this part.)	d certain	
1	Minimum investment return from Part X, line 6	1	49,358.
2a	Tax on investment income for 2012 from Part VI, line 5		
Ь	Income tax for 2012. (This does not include the tax from Part VI.)		
C	Add lines 2a and 2b	2c	507.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	48,851.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	48,851.
6	Deduction from distributable amount (see instructions)	6	0.
7_	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	48,851.
P	art XII Qualifying Distributions (see instructions)		
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
а	Expenses, contributions, gifts, etc total from Part I, column (d), line 26	1a	143,566.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	143,566.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment		_ _
	income. Enter 1% of Part I, line 27b	5	507.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	143,059.
	Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation of 4940(e) reduction of tax in those years.	ualifies f	or the section

Form **990-PF** (2012)

Undistributed Income (see instructions) Part XIII (a) (b) (c) (d) Years prior to 2011 Corpus 2011 2012 1 Distributable amount for 2012 from Part XI, 48,851. line 7 2 Undistributed income, if any, as of the end of 2012 0 a Enter amount for 2011 only b Total for prior years: 0 . 3 Excess distributions carryover, if any, to 2012: 47,922 a From 2007 34,607. **b** From 2008 c From 2009 52,505. 40,472. d From 2010 79,745. e From 2011 255,251 f Total of lines 3a through e 4 Qualifying distributions for 2012 from Part XII, line 4: ► \$_ 143,566 a Applied to 2011, but not more than line 2a 0 b Applied to undistributed income of prior 0. years (Election required - see instructions) c Treated as distributions out of corpus 0 (Election required - see instructions) 48,851. d Applied to 2012 distributable amount 94,715 e Remaining amount distributed out of corpus 0. 0 ، Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a)) 6 Enter the net total of each column as indicated below: 349,966 a Corpus Add lines 3f, 4c, and 4e Subtract line 5 b Prior years' undistributed income. Subtract 0. line 4b from line 2b c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously 0 assessed d Subtract line 6c from line 6b. Taxable 0. amount - see instructions e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount - see instr. 0 f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must 0. be distributed in 2013 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by 0 section 170(b)(1)(F) or 4942(g)(3) 8 Excess distributions carryover from 2007 47,922 not applied on line 5 or line 7 9 Excess distributions carryover to 2013. 302,044 Subtract lines 7 and 8 from line 6a 10 Analysis of line 9: a Excess from 2008 <u>34,607.</u> 52,505. b Excess from 2009 c Excess from 2010 40,472.

d Excess from 2011

e Excess from 2012

79,745

94.715

Form 990-PF (2012) ALBERT	J. AND SUSA	N E. ROT FO	UNDATION	36-36	53679 Page 10
Part XIV Private Operating I			-A, question 9)	N/A	
1 a If the foundation has received a ruling					
foundation, and the ruling is effective f	•	-	▶ ∟	14040440	140()(5)
b Check box to indicate whether the four		ng toundation described i		4942(<u>j)(3)</u> or49	42(j)(5)
2 a Enter the lesser of the adjusted net	Tax year (a) 2012	(b) 2011	Prior 3 years (c) 2010	(d) 2009	(e) Total
income from Part I or the minimum	(8) 2012	(6) 2017	(6) 2010	(4) 2003	(6) 10141
investment return from Part X for					
each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII,					
line 4 for each year listed				 -	
d Amounts included in line 2c not					
used directly for active conduct of exempt activities					
e Qualifying distributions made directly					
for active conduct of exempt activities.					
Subtract line 2d from line 2c Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from					
an exempt organization					
(4) Gross investment income					
Part XV Supplementary Info			if the foundatior	n had \$5,000 or mo	ore in assets
at any time during	the year-see inst	ructions.)			
1 Information Regarding Foundation a List any managers of the foundation w year (but only if they have contributed SEE STATEMENT 13	ho have contributed more		ributions received by the	e foundation before the clos	se of any tax
b List any managers of the foundation w			or an equally large port	ion of the ownership of a pa	artnership or
other entity) of which the foundation h	as a 10% or greater intere	St.			
2 Information Regarding Contribu Check here ▶ ☐ if the foundation	only makes contributions	to preselected charitable	organizations and does		
the foundation makes gifts, grants, etc			<u></u>	complete items 2a, b, c, and	l d.
a The name, address, and telephone nur	nder or e-mail of the perso	on to wnom applications s	snould be addressed:		
SEE STATEMENT 14				<u>-</u>	
b The form in which applications should	be submitted and informa	tion and materials they st	nould include:		
c Any submission deadlines:					
d Any restrictions or limitations on award	ds, such as by geographic	al areas, charitable fields,	kinds of institutions, or	other factors:	

3 Grants and Contributions Paid During the Recipient	If recipient is an individual.	ayinent		
Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year	or substantial contributor	roospioni		· · · · · · · · · · · · · · · · · · ·
CALLIE ANDERSON	NONE		TUITION	
213 HARTWAY CT.				
MONTGOMERY, IL 60538				5,111
KOLBY ATCHISON	NONE		TUITION	
460 SPRING HILL DR				
ALGONQUIN, IL 60102				5,000
JEREMY BERNAS	NONE		TUITION	
18690 MANCHESTER LANE				
WARRENVILLE, IL 60555				2,500
D.C. REIDER DECKER 25410 NC12/PO BOX 130	NONE		TUITION	
WAVES IL 27982				12,000
JOSANNA ENRIQUEZ	NONE		TUITION	
1307 MODAFF ROAD APT B7				
NAPERVILLE IL 60565 Total SEE (CONTINUATION SHEE	T(S)	▶ 3a	100 142,246
b Approved for future payment				142,240
NONE				
Total			> 3b	

Part XVI-A **Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.	Unrelated	business income		d by section 512, 513, or 514	(e)
•	(a) Business code	(b) Amount	(C) Exclu- sion code	(d) Amount	Related or exempt function income
1 Program service revenue:	Code		1000		
a	- .	 -	 		
b			+		
<u> </u>					
d			-		
e				- -	
g Fees and contracts from government agencies	-		 		
2 Membership dues and assessments		· · · · · · · · · · · · · · · · · · ·		···-	
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	25,740.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property			16	1,512.	
b Not debt-financed property					
6 Net rental income or (loss) from personal					
property					
7 Other investment income			14	3,976.	
8 Gain or (loss) from sales of assets other than inventory			18	20,814.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a	_				
b	_		_		
c	_	·			-
đ	_				
e	_				
12 Subtotal. Add columns (b), (d), and (e)		0	•	52,042.	0.
13 Total. Add line 12, columns (b), (d), and (e)				13	52,042.
(See worksheet in line 13 instructions to verify calculations.))				

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).					
+						
	· · · · · · · · · · · · · · · · · · ·					
!						

Sign and b	r penalties of perjury, I declare that I have examined the elief that true, correct, and complete Declaration of processing the control of the elief that th				
S/fgi	nature of officer or tr us fee	Dáte /	Title		
	Print/Type preparer's name	Preparer's signature	Date	Check if self- employed	PTIN
Paid	PATRICK RYAN	Jahrkun	05/14/13		P00739728
Preparer Use Only	Firm's name ► FGMK , LLC	1, 0		Firm's EIN ▶	36-2929601
	Firm's address ▶ 2801 LAKES	IDE DRIVE, 3RD FLO	OOR		
	BANNOCKBUR	N, IL 60015		Phone no.	847-374-0400
					Form 990-PF (2012

Jupital dallio and ac					
(a) List and 2-story b	d describe the kind(s) of property sol rick warehouse; or common stock, 2	d, e.g., real estate, 00 shs. Mt C Co.		(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SOUTHWESTERN I	D - Donation P	<u> </u>	10/17/12		
b CREDIT SUISSE			P		08/06/12
c GOVT NATIL MTG			P		08/06/12
d GOVT NATL MTG		· -	P		08/06/12
e AUTONATION INC			P		07/05/12
	INV		P	VARIOUS	
g CORNING			P		07/05/12
h EMC CORP-MASS			P		07/05/12
MOTOROLA MOBIL	ITY HOLDINGS INC		P	09/14/95	05/22/12
PENTAIR LTD			P	VARIOUS	<u>VARIOUS</u>
_k					
<u> </u>				ļ	
m					
<u>n</u>					
0	40 Decrees the college	4.104		1	
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(e) (e)) Gain or (loss) plus (f) minus (g)	
a 5,145.		5,034.			111.
b 10,806.		11,115.			<u><309.</u> >
c 11,464.		10,083.		1	1,381.
d 31,725.		33,170.			<u><1,445.</u> >
e 14,113.		4,212.			9,901.
$\frac{f}{g}$ 19,537.		5,235. 17,705.	· · · ·		14,302. <8,077.>
g 9,628. h 9,983.		5,422.			4,561.
1,480.		1,132.			348.
41.		1,132.			41.
k					<u> </u>
1			· -		
m					
n					
0					
Complete only for assets show	ng gain in column (h) and owned by	,	(I) Los	sses (from col. (h))	
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (ı) over col. (ı), ıf any	Gains (excess but r	of col. (h) gain ove not less than "-0-")	r col. (k),
a					111.
b					<309.>
С					1,381.
d					<1,445.>
<u>e</u>					9,901.
<u>f</u>					14,302.
9		ļ			<u><8,077.</u> >
<u>h</u>		<u> </u>			4,561.
!	<u> </u>				348.
<u> </u>					41.
<u>k</u>			- .		
m					
n					
0	-				
		Ded Live 7			
2 Capital gain net income or (net c	1 11 (1000), 011101	, I	2		20,814.
3 Net short-term capital gain or (lo If gain, also enter in Part I, line 8	ss) as defined in sections 1222(5) ar	nd (6):			
If (loss), enter "-0-" in Part I, line		J	3	N/A	

Part XV Supplementary Information				
3 Grants and Contributions Paid During the			·	
Recipient	If recipient is an individual, show any relationship to any foundation manager	Foundation status of	Purpose of grant or contribution	Amount
Name and address (home or business)	or substantial contributor	recipient		
MORGAN B FARRINGTON	NONE		TUITION	
41 LAHINCH DRIVE				
LEMONT, IL 60439				2,250,
STEPHANIE KURCAB	NONE		TUITION	
2500 W 75TH STREET				
WOODRIDGE IL 60517				1,500.
		_		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
CONNIE LU	NONE		TUITION	
22904 N RIDGEWOOD LANE KILDEER IL 60047				5,000.
				3,000.
BRENDAN MOORES	NONE		TUITION	
1179 LAKEWOOD CIRCLE				0.500
NAPERVILLE, IL 60540				2,500.
EVAN NETSCH	none		TUITION	
8 PALMETTO LANE				
SOUTHERN SHORES, NC 27949				3,750.
DANIELLE PELKE	NONE		TUITION	
13819 ISLE ROYAL CIRCLE				
PLAINFIELD, IL 60544				5,000.
ELENA PETRUSEVSKA	NONE		TUITION	
817 BONNIE BRAE LANE				
BOLINGBROOK, IL 60440		,-		2,500.
NICK PODRAZA	NONE		TUITION	
1705 WIESBROOK LANE				
BATAVIA, IL 60510				2,500.
MARC PRASSE	NONE		TUITION	
816 MAGNOLIA LANE				
NAPERVILLE IL 60540				3,000.
MEGAN REITSMA	NONE		TUITION	
537 HERITAGE LANE			1011011	
LOCKPORT, IL 60441				1,000.
Total from continuation sheets				117 535.

. ALBERT J. AND SUSAN E. ROT FOUNDATION 36-3653679

Part XV | Supplementary Information

Part XV Supplementary Information				,
3 Grants and Contributions Paid During the				<u> </u>
Recipient	If recipient is an individual, show any relationship to any foundation manager	Foundation status of	Purpose of grant or contribution	Amount
Name and address (home or business)	or substantial contributor	recipient		
ALEXANDER SALDANA	NONE		TUITION	
769 SPRING ST				
AURORA, IL 60505				3,425.
FABIAN SALDANA	NONE		TUITION	
769 SPRING ST				5 505
AURORA, IL 60505				5,575.
KAYAN TODIWALLA	NONE		TUITION	
1648 RICHFIELD TRAIL				F 000
ROMEOVILLE, IL 60446				5,000.
JASON WELGE 938 S SPRING AVE	NONE		TUITION	
LAGRANGE IL 60525				5.000.
			,	
STEPHEN CLANCY	NONE		RETURN OF TUITION	
801 EIGHTEENTH ST NW	HOME		RETURN OF TOTTION	
WASHINGTON, DC 20077				<1,000.
FIRST PRESBYTERIAN CHURCH OF DUPAGE	NONE		GENERAL DONATION	
180 N WEBER ROAD			:	
BOLINGBROOK, IL 60440				20,000.
WOUNDED WARRIER PROJECT	NONE		GENERAL DONATION	
4899 BELFORT ROAD-SUITE 300				
JACKSONVILLE, FL 32256				10,000.
CANCER COALITION FOR LIFE, INC	NONE		GENERAL DONATION	
1012 ANNE ROAD				5 000
NAPERVILLE, IL 60540				5,000.
UNITED SERVICE ORGANIZATION INC	NONE		GENERAL DONATION	
2111 WILSON BLVD SUITE 1200 ARLINGTON VA 22201				5,000.
				3,000.
PRINCE MO CHACEC	MONE		DENEDAL DONATION	
BRIDGE TO SUCCESS 1236 S HALSTED STREET	NONE		GENERAL DONATION	
CHICAGO, IL 60607				5,000.
Total from continuation sheets				

Part XV Supplementary Information	Part XV Supplementary Information			
3 Grants and Contributions Paid During the	Year (Continuation)	,		
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of	Purpose of grant or contribution	Amount
Name and address (home or business)	or substantial contributor	recipient	Contribution	
THE SALVATION ARMY	NONE		GENERAL DONATION	
5040 NORTH PULASKI CHICAGO IL 60630				5,000
entendo, 11 0000				3,000
PARALYZED VETERANS OF AMERICA	NONE		GENERAL DONATION	
801 EIGHTEENTH ST NW				
WASHINGTON, DC 20077	-			10,000
THE MORTON ARBORETUM 4100 IL ROUTE 53	NONE		STOCK DONATION	
LISLE, IL 60532				10,535
				į
				:
Total from continuation sheets				

FORM 990-PF DI	IVIDENDS AND	INTEREST FROM	SECURITIES	STATEMENT	1
SOURCE		GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A))
JP MORGAN STIFEL NICOLAUS	-	20,886. 4,854.	0.	20,88 4,85	
TOTAL TO FM 990-PF, PAR	RT I, LN 4	25,740.	0.	25,74	10.
FORM 990-PF	REN'	TAL INCOME		STATEMENT	2
KIND AND LOCATION OF PE	ROPERTY		ACTIVITY NUMBER	GROSS RENTAL INCO)ME
OLD FARM MARKETPLACE (2	1	5,04	17.		
TOTAL TO FORM 990-PF, I	PART I, LINE	5 A		5,04	17.
		5A AL EXPENSES	· · · · · · · · · · · · · · · · · · ·	5,04 STATEMENT	3
TOTAL TO FORM 990-PF, FORM 990-PF DESCRIPTION			AMOUNT		
FORM 990-PF		AL EXPENSES ACTIVITY NUMBER	31. 691. 154. 22. 1,004. 304. 182. 65. 76. 936. 70.	STATEMENT	3
FORM 990-PF DESCRIPTION PROFESSIONAL FEES MANAGEMENT FEES REPAIRS FIRE ALARM PROPERTY TAXES UTILITIES LANDSCAPING SCAVENGER AMORTIZATION DEPRECIATION	RENTA	AL EXPENSES ACTIVITY NUMBER	31. 691. 154. 22. 1,004. 304. 182. 65. 76. 936.	STATEMENT	35.

	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
	3,084.	3,084.	
LINE 11 =	3,976.	3,976.	
ACCOUNT	ING FEES		STATEMENT 5
(A) EXPENSES PER BOOKS			(D) CHARITABLE PURPOSES
2,632	. 1,320.	•	1,320.
2,632	1,320	•	1,320.
THER PROFE	SSIONAL FEES	2	STATEMENT 6
(A) EXPENSES PER BOOKS			(D) CHARITABLE PURPOSES
31	. 31.	•	0.
31	. 31.	•	0.
TA	XES	S	STATEMENT 7
(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
1,004	. 1,004.	•	0.
•			
	(A) EXPENSES PER BOOKS 2,632 2,632 THER PROFE (A) EXPENSES PER BOOKS 31 31 TAI (A) EXPENSES PER BOOKS	REVENUE PER BOOKS 3,084.892.	REVENUE PER BOOKS MENT INCOME 3,084. 892. 892. LINE 11 3,976. 3,976. ACCOUNTING FEES (A) (B) (C) ADJUSTED NET INCOME 2,632. 1,320. THER PROFESSIONAL FEES (A) (B) (C) ADJUSTED NET INCOME 2,632. 1,320. THER PROFESSIONAL FEES (A) (B) (C) ADJUSTED NET INCOME 31. 31. 31. 31. 31. TAXES (A) (B) (C) ADJUSTED NET INCOME 31. 31. 31.

FORM 9.90-PF	OTHER E	XPENSES		STATEMENT	8
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST MENT INCOM			
OFFICE EXPENSES MISCELLANEOUS MANAGEMENT FEES REPAIRS FIRE ALARM UTILITIES LANDSCAPING SCAVENGER INSURANCE	101. 15. 691. 154. 22. 304. 182. 65.	69 15 2 30 18 6	4. 2. 4.		0.
AMORTIZATION TO FORM 990-PF, PG 1, LN 23	1,680.	1,56	4.		0.
FORM 990-PF DESCRIPTION	CORPORAT		BOOK VALUE	STATEMENT FAIR MARKET VALUE	9
BROKERAGE ACCOUNT - STIFEL NOBROKERAGE ACCOUNT - JP MORGAN			55,844. 634,658.	48,82 867,23	
TOTAL TO FORM 990-PF, PART I	I, LINE 10B	_	690,502.	916,05	8.
FORM 990-PF	OTHER INV	ESTMENTS		STATEMENT	10
DESCRIPTION		LUATION ETHOD	BOOK VALUE	FAIR MARKET VALUE	1
K-1 INVESTMENTS	_	FMV	9,415.	9,41	.5.
TOTAL TO FORM 990-PF, PART I	I, LINE 13		9,415.	9,41	.5.

COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
3,700. 1,929.	3,700. 1,929.	0.
5,629.	5,629.	0.
OTHER ASSETS		STATEMENT 12
BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
53,822.	47,699. 680.	47,699. 680.
53,822.	48,379.	48,379.
	OTHER BASIS 3,700. 1,929. 14 5,629. OTHER ASSETS BEGINNING OF YR BOOK VALUE 53,822. 0.	OTHER BASIS DEPRECIATION 3,700. 1,929. 1,929. OTHER ASSETS BEGINNING OF YEAR BOOK VALUE 53,822. 0. 47,699. 680.

NAME OF MANAGER

ALBERT J. ROT KEITH A. ROT

FORM 990-PF GRANT APPLICATION SUBMISSION INFORMATION PART XV, LINES 2A THROUGH 2D

STATEMENT 14

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

ALBERT J. ROT P.O. BOX 222 NAPERVILLE, IL 60565

TELEPHONE NUMBER

630-416-2100

FORM AND CONTENT OF APPLICATIONS

QUESTIONNAIRE - "STUDENT NEEDS ANALYSIS SERVICE" FORM OF A.C.T. TO BE COMPLETED BY APPLICANTS. THE INDEPENDENT A.C.T. ORGANIZATION FORWARDS COMPLETED APPLICATIONS TO THE ROT FOUNDATION.

ANY SUBMISSION DEADLINES

NONE

RESTRICTIONS AND LIMITATIONS ON AWARDS

STUDENTS THROUGHOUT U.S. MAY APPLY FOR AID. STUDENTS FROM HIGH SCHOOLS IN COMMUNITY ARE GIVEN PRIORITY.