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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

2012

Open to public inspection

For calendar year 2012 or tax year beginning

, and ending

Name of foundation Baxter International Foundation		A Employer identification number 36-3159396
Number and street (or P.O. box number if mail is not delivered to street address) One Baxter Parkway		B Telephone number 847-948-2000
City or town, state, and ZIP code Deerfield, IL 60015		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 65,029,300.		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐
J Accounting method: ☐ Cash ☒ Accrual ☐ Other (specify) _____		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		30,000,000.		N/A	
2 Check ☐ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		2,082.	2,082.		Statement 1
4 Dividends and interest from securities		1,552,100.	1,552,100.		Statement 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		976,258.			
b Gross sales price for all assets on line 6a 20,491,707.					
7 Capital gain net income (from Part IV, line 2)			976,258.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		4,310.	4,310.		Statement 3
12 Total. Add lines 1 through 11		32,534,750.	2,534,750.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages		31,299.	0.		31,299.
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees					
c Other professional fees Stmt 4		243,000.	115,527.		127,474.
17 Interest					
18 Taxes Stmt 5		59,617.	6,981.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings		2,939.	0.		2,939.
22 Printing and publications		6,464.	0.		6,464.
23 Other expenses Stmt 6		13,490.	0.		13,490.
24 Total operating and administrative expenses. Add lines 13 through 23		356,809.	122,508.		181,666.
25 Contributions, gifts, grants paid		2,871,815.			2,871,815.
26 Total expenses and disbursements. Add lines 24 and 25		3,228,624.	122,508.		3,053,481.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		29,306,126.			
b Net investment income (if negative, enter -0-)			2,412,242.		
c Adjusted net income (if negative, enter -0-)				N/A	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	325,925.	182,924.	182,924.
	2 Savings and temporary cash investments	709,036.	206,332.	206,332.
	3 Accounts receivable ▶ 73,515.			
	Less: allowance for doubtful accounts ▶	64,943.	73,515.	73,515.
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock Stmt 7	31,936,008.	64,566,529.	64,566,529.
	c Investments - corporate bonds			
Liabilities	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers)	33,035,912.	65,029,300.	65,029,300.
	17 Accounts payable and accrued expenses	170,313.	54,060.	
	18 Grants payable			
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	170,313.	54,060.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒ X			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	32,865,599.	64,975,240.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐			
	and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
28 Paid-in or capital surplus, or land, bldg., and equipment fund				
29 Retained earnings, accumulated income, endowment, or other funds				
30 Total net assets or fund balances	32,865,599.	64,975,240.		
31 Total liabilities and net assets/fund balances	33,035,912.	65,029,300.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	32,865,599.
2 Enter amount from Part I, line 27a	2	29,306,126.
3 Other increases not included in line 2 (itemize) ▶ Mark to Market Adjustment	3	2,803,515.
4 Add lines 1, 2, and 3	4	64,975,240.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	64,975,240.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	Publicly Traded	P	Various	Various
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 20,491,707.		19,515,449.	976,258.
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			976,258.
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	976,258.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	3,970,085.	33,991,492.	.116796
2010	4,180,159.	33,978,032.	.123025
2009	3,792,804.	31,946,480.	.118724
2008	3,999,539.	40,293,138.	.099261
2007	3,093,284.	45,730,762.	.067641

2 Total of line 1, column (d)	2	.525447
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.105089
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	54,089,876.
5 Multiply line 4 by line 3	5	5,684,251.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	24,122.
7 Add lines 5 and 6	7	5,708,373.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	3,053,481.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	48,245.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	48,245.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	48,245.
6 Credits/Payments:			
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a	64,000.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	64,000.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	15,755.	
11 Enter the amount of line 10 to be: Credited to 2013 estimated tax ☐ 15,755. Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☐ \$ 0. (2) On foundation managers. ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ IL		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address www.baxter.com/about baxter/foundation/index.html	13	X	
14	The books are in care of Donna Namath Telephone no. 847-948-3321 Located at One Baxter Parkway Deerfield, IL ZIP+4 60015			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?		1c
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? If "Yes," list the years	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)		2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?		4b

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?
- (3) Provide a grant to an individual for travel, study, or other similar purposes?
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

[illegible]

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

[illegible]**Total number of other employees paid over \$50,000**

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	54,299,281.
b	Average of monthly cash balances	1b	614,299.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	54,913,580.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	54,913,580.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	823,704.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	54,089,876.
6	Minimum investment return. Enter 5% of line 5	6	2,704,494.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	2,704,494.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	48,245.
b	Income tax for 2012. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	48,245.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	2,656,249.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	2,656,249.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	2,656,249.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	3,053,481.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	3,053,481.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	3,053,481.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				2,656,249.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2012:				
a From 2007	918,319.			
b From 2008	2,015,312.			
c From 2009	2,216,110.			
d From 2010	2,544,938.			
e From 2011	2,339,022.			
f Total of lines 3a through e	10,033,701.			
4 Qualifying distributions for 2012 from Part XII, line 4: ► \$	3,053,481.			
a Applied to 2011, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2012 distributable amount				2,656,249.
e Remaining amount distributed out of corpus	397,232.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	10,430,933.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7	918,319.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	9,512,614.			
10 Analysis of line 9:				
a Excess from 2008	2,015,312.			
b Excess from 2009	2,216,110.			
c Excess from 2010	2,544,938.			
d Excess from 2011	2,339,022.			
e Excess from 2012	397,232.			

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Dollars For Doers One Baxter Parkway Deerfield, IL 60015				23,700.
Foundation Grants Paid in 2012 One Baxter Parkway Deerfield, IL 60015				2,222,737.
Matching Gifts Paid in 2012 One Baxter Parkway Deerfield, IL 60015				625,378.
Total			3a	2,871,815.
b Approved for future payment				
None				
Total			3b	0.

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

OMB No 1545-0047

2012

Name of the organization

Baxter International Foundation

Employer identification number

36-3159396

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐

501(c)() (enter number) organization

☐4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐

527 political organization

Form 990-PF

☒

501(c)(3) exempt private foundation

☐

4947(a)(1) nonexempt charitable trust treated as a private foundation

☐

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**☒

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules☐

For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.☐For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year ▶ \$ _____**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).**LHA** For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2012)

Name of organization	Employer identification number
Baxter International Foundation	36-3159396

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	BAXTER CORPORATION ONE BAXTER PARKWAY DEERFIELD, IL 60015	\$ 30,000,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)

Name of organization

Employer identification number

Baxter International Foundation

36-3159396

Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization Baxter International Foundation	Employer identification number 36-3159396
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Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once) ▶ \$ _____

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

The Baxter International Foundation
2012- Part VIII - Officers, Directors & Managers
Board of Directors

Name & Address	Title	Avg. hours per week devoted to position	Contributions to employee benefit plans	Expense Acct. other allowances	Compensation (if any)
Robert Hombach Baxter Healthcare Corporation One Baxter Parkway Deerfield, IL 60015	President	1 hr. per wk.	-0-	-0-	-0-
Donna Namath Baxter Healthcare Corporation One Baxter Parkway Deerfield, IL 60015	Secretary & Executive Director	30 hrs. per wk.	-0-	-0-	-0-
Charles W. Thurman Baxter Healthcare Corporation One Baxter Parkway Deerfield, IL 60015	Treasurer	1 hr. per wk	-0-	-0-	-0-
Alice J. Campbell Baxter Healthcare Corporation One Baxter Parkway Deerfield, IL 60015	Board Member	8 hrs. per week	-0-	-0-	-0-
Robert M. Davis Baxter Healthcare Corporation One Baxter Parkway Deerfield, IL 60015	Board Member	1/2 hr. per wk.	-0-	-0-	-0-
Shaun Newlon Baxter Healthcare Corporation 25212 W. Illinois Route 120 Round Lake, IL 60073	Board Member	1/2 hr. per week	-0-	-0-	-0-
Katherine (Kathy) Azuara Baxter Healthcare Corporation 25212 W. Illinois Route 120 Round Lake, IL 60073	Board Member	1/2 hr. per week	-0-	-0-	-0-
Peter Nicklin Baxter Healthcare SA Hertistrasse 2 8304 Wallisellen CH-8304 Switzerland	Board Member	1/2 hr. per week	-0-	-0-	-0-
Jodi Devlin Baxter Healthcare Corporation One Baxter Parkway Deerfield, IL 60015	Board Member Elected Aug 14, 2012 Resigned Fall 2012	1/2 hr. per wk.	-0-	-0-	-0-
Douglas Hunt Baxter Healthcare Corporation One Baxter Way Westlake Village, CA 91362	Board Member Elected December 11, 2012	1/2 hr. per week	-0-	-0-	-0-

Form 990-PF Interest on Savings and Temporary Cash Investments Statement 1

Source	Amount
Interest Income - Oasis Investments	1,880.
Interest Income - Related Portfolio	202.
Total to Form 990-PF, Part I, line 3, Column A	2,082.

Form 990-PF Dividends and Interest from Securities Statement 2

Source	Gross Amount	Capital Gains Dividends	Column (A) Amount
Realized Dividend Income Portfolio	1,552,100.	0.	1,552,100.
Total to Form 990-PF, Part I, line 4	1,552,100.	0.	1,552,100.

Form 990-PF Other Income Statement 3

Description	(a) Revenue Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income
MISCELLANEOUS INCOME	4,310.	4,310.	
Total to Form 990-PF, Part I, line 11	4,310.	4,310.	

Form 990-PF Other Professional Fees Statement 4

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Other Professional Services	243,000.	115,527.		127,474.
To Form 990-PF, Pg 1, line 16c	243,000.	115,527.		127,474.

Form 990-PF	Taxes			Statement	5
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
Tax	52,636.	0.			0.
Foreign Tax	6,981.	6,981.			0.
To Form 990-PF, Pg 1, ln 18	59,617.	6,981.			0.

Form 990-PF	Other Expenses			Statement	6
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
Dues and Subscriptions	4,045.	0.			4,045.
Promotion	9,445.	0.			9,445.
To Form 990-PF, Pg 1, ln 23	13,490.	0.			13,490.

Form 990-PF	Corporate Stock		Statement	7
Description	Book Value	Fair Market Value		
Securities	64,566,529.	64,566,529.		
Total to Form 990-PF, Part II, line 10b	64,566,529.	64,566,529.		

The Baxter International Foundation 2012 XV 3ad IRS Report

Unconditional Grants Paid in 2012
2/16/2013

Organization and Project Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
<u>Civic</u>					
Council on Foundations (Annual Support)					
2013 Council on Foundations Annual Support	17657	11/28/2012	\$3,860.00	Foundation Grants	33359
\$3,860 00	00004555				
Donors Forum of Chicago					
2012 Donors Forum Annual Support	17476	5/31/2012	\$7,892.31	Foundation Grants	00033264
\$7,892.31					
Lake County Haven					
Director's Discretionary Grant-Rob Davis	17577	9/21/2012	\$3,000.00	Directors Discretionary Grant	33337
\$3,000.00					
Northern Illinois Food Bank					
Directors Discretionary Grant- Bob Hombach	17592	9/20/2012	\$1,500.00	Directors Discretionary Grant	33334
\$1,500.00					
		Total Civic	\$16,252 31		
		(4 items)			
<u>Disaster Relief-Health</u>					
American Red Cross of Greater Chicago					
Hurricane Sandy Disaster Relief-New York area	17655	12/27/2012	\$200,000.00	Discretionary Grant Disaster Relief	33382
\$200,000 00					

Organization and Project Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
American Red Cross-National <i>Pikes Peak Chapter of the American Red Cross</i> \$5,000.00	17552	7/12/2012	\$5,000.00	Discretionary Grant Disaster Relief	00033295
The Denver Center for Crime Victims <i>Disaster Relief for victims of Aurora, CO shootings 3 Baxter families impacted</i> \$5,000.00	17561	8/31/2012	\$5,000.00	Discretionary Grant Disaster Relief	33311
Habitat for Humanity Thailand <i>Thailand flooding discretionary disaster relief grant 11-2011</i> \$10,000.00	17327 2003911	1/30/2012	\$10,000.00	Discretionary Grant Disaster Relief	Wire Transfer Approved November 2011-Directors Discretionary Grant Thailand Flooding 11-2011
Hope International Development Agency-Japan <i>Discretionary Disaster Relief Grant for salary of new development officer to assist with Japan earthquake March 15, 2011</i> <i>Foundation Amount \$90,000.00</i> <i>Employee Matching Gift for Disaster Relief</i> \$35,000.00 \$125,000.00	17196 2003846	8/9/2012	\$62,500.00	Discretionary Grant Disaster Relief	33305 Approved March 2011-Discretionary Disaster Relief for Japan Earthquake 2011
Total Disaster Relief-Health (5 items)			\$282,500.00		
Education Catholic Central High School <i>Directors Discretionary Grant-Kathy Azuara</i> \$3,000.00	17453	7/5/2012	\$3,000.00	Directors Discretionary Grant	00033282

Organization and Project Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
Scholarship America (Cash Grants) 2012 Baxter International Foundation Scholarship Program Scholarship awards: \$157,469.77 \$157,469.77	17551	7/12/2012	\$157,469.77	Foundation Grants	00033292 Scholarship Program for 2012
Scholarship America (Cash Grants) 2012 Second Half Scholarship Awards \$103,500.00	17658	11/28/2012	\$103,500.00	Foundation Grants	33366
University of Colorado Foundation Directors Discretionary Grant-Bob Hombach-Education \$1,500.00	17591	9/27/2012	\$1,500.00	Directors Discretionary Grant	33339
Westminster College - PA Director's Discretionary Grant-Unrestricted funds \$3,000.00	17560	7/30/2012	\$3,000.00	Directors Discretionary Grant	33298
Total Education (5 items)			\$268,469.77		
<u>Health</u> Acceptame Como Soy, A.C. Improve & Extend medical care offered to children with disabilities who attend the CAMI3 and/or live in the neighborhood \$36,600.00	17492	11/28/2012	\$36,600.00	Foundation Grants	Wire Transfer

Organization and Project Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
Achievable <i>To increase clinic services to include a full range of primary and specialty care services that meet the unique needs of people with developmental disabilities</i> \$72,800.00	17371 1 of 2 Payments	7/16/2012	\$36,400.00	Foundation Grants	00033271
The Albert Schweitzer Fellowship <i>Fellows for Life</i> \$64,500.00	17504	11/28/2012	\$64,500.00	Foundation Grants	33368
American Diabetes Association-MOVE Together <i>MOVE Together</i> \$35,000.00	17408	8/28/2012	\$35,000.00	Foundation Grants	33312
Americares India <i>To support a two year scalable pilot initiative aimed at reducing health disparities within pre-selected communities across the urban slums of Andheri East in Mumbai, India, through the use of mobile medical vans, patient tracking and education programs</i> \$85,553.00	16979 2003756	3/21/2012	\$26,666.00	Foundation Grants	33232 Approved December 2010
Auckland City Mission <i>To secure funding for salary of mental health professional as part of an extension of the current medical service</i> \$41,636.25	17077 2003789	6/26/2012	\$41,636.25	Foundation Grants	00033291 Approved April 2011
Barrington Youth & Family Services <i>To subsidize the Latino Families Initiative project expansion by adding a bilingual counseling program to an additional middle school</i> \$28,678.00	17238 2003873	1/30/2012	\$28,678.00	Foundation Grants	pending Approved August 2011

Organization and Project Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
BraveHearts Therapeutic Riding & Educational Center <i>BraveHearts Therapeutic Riding & Educational Center Position of Volunteer Coordination</i> \$40,000.00	17517	9/12/2012	\$20,000 00	Foundation Grants	33331
Center on Halsted <i>for support of youth and mental health programs for more accessible mental services for young and the expansion of collaboration of between program for older adults and mental health services. funding will support psychologist/mental health manager</i> \$40,000.00	17284 2003945	4/23/2012	\$40,000 00	Foundation Grants	00033253 Approved December 2011
Centro de Promocao Social Borore <i>To hire a specialized nutritionist in community health to run and coordinate the project</i> \$52,534 00	17204 2003927	2/9/2012	\$52,534.00	Foundation Grants	33219 Approved December 2011
Children's Home & Aid Society of Illinois <i>Expansion of the School-Based Mental Health program in the Humboldt Park community of Chicago by hiring of a new, full-time Master's-level Bi-Lingual Therapist serving Cameron Elementary School</i> \$49,418 00	17512	9/27/2012	\$49,418.00	Foundation Grants	33341
ChildVision <i>Equine Therapy Project, GracePark</i> \$132,177 00	17409	7/24/2012	\$132,177.00	Foundation Grants	33297
Conejo Free Clinic <i>Expanded Services through new Operations Manager position in Ventura County, California</i> \$80,000.00	16797 2003674	2/27/2012	\$40,000 00	Foundation Grants	33222 Approved June 2010

Organization and Project Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
Coolmine Therapeutic Community <i>to cover salary for additional nurse to assess the health needs of clients in Coolmine residential and day programs ensuring physical, social, psychological and health education needs are met.</i> \$50,390 00	17268 2003942	6/13/2012	\$50,390.00	Foundation Grants	00033272 Approved December 2011
Delta State University <i>To increase mental health services to children and families from disadvantaged and medically underserved areas of the Mississippi Delta</i> \$70,038 00	17169 2003943	2/9/2012	\$28,898.00	Foundation Grants	33223 Approved December 2011
Delta State University <i>To increase mental health services to children and families from disadvantaged and medically underserved areas of the Mississippi Delta</i> \$70,038.00	17169 2003943	9/21/2012	\$41,140.00	Foundation Grants	33336 the original pmt of \$70,038 was split into 2 so they could get funds needed for. play therapy room supplies for \$15,000 marketing supplies/advertising for \$1,000 resource materials for \$10,000 provider number for \$400 assessment instruments for \$2,498
East Bay Agency for Children <i>Child Assault Prevention Training Center (CAPTC) deliver 30 additional child abuse and assault prevention education, parenting, health and safety workshops in Hayward and South Alameda County</i> \$32,900 00	17532	9/10/2012	\$32,900.00	Foundation Grants	33326
Eisner Pediatric & Family Medical Center <i>To expand onsite pharmacy</i> \$75,000 00	17410	12/27/2012	\$75,000 00	Foundation Grants	33390

Organization and Project Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
HealthReach Inc. <i>Acute Care Program in Lake County, Illinois</i> \$96,000.00	16503 2003494	2/28/2012	\$20,568 00	Foundation Grants	33230 Approved September 2009
Home of the Sparrow <i>Transitional Shelter Program</i> \$49,478 00	17407 1	5/31/2012	\$49,478.00	Foundation Grants	00033265
Housing Opportunities for Women <i>to expand access to direct healthcare services to homeless women through health services program, which is Hows mental health services program</i> \$20,000 00	17224 2003874	2/27/2012	\$20,000 00	Foundation Grants	33228 Approved August 2011
Little City Foundation <i>To promote positive well being for children and adults with developmental disabilities by developing agency wide program initiative that ensures accessibility to fitness and wellness opportunities</i> \$15,000.00	17293 2003944	8/9/2012	\$15,000 00	Foundation Grants	33306 Approved December 2011
The Night Ministry <i>Enhancing the Outreach and Health Ministry Program through the addition of a Case Manager</i> \$9,000 00	17527	9/10/2012	\$9,000.00	Foundation Grants	33324
Northpointe Resources <i>Healthcare support for individuals with intellectual/developmental disabilities</i> \$100,000.00	17399 1	7/31/2012	\$50,000.00	Foundation Grants	33278
The Oak Park River Forest Infant Welfare Society <i>Preventive dental care services</i> \$34,569 00	17403 1	5/29/2012	\$34,569 00	Foundation Grants	00033259

Organization and Project Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
OMNI Youth Services <i>Seven Challenges Program</i> \$50,000.00	17414	8/28/2012	\$50,000.00	Foundation Grants	33317
Prevenir Association <i>To support lets take care of ourselves for material resources and a budget for psychologist-program coordinator, psychologist, social worker and sociologist that includes travel and expenses related to the supervision and evaluation of the program</i> \$66,450.00	17558 1	7/24/2012	\$33,225.00	Foundation Grants	00033296
Ride On Therapeutic Horsemanship <i>To support direct access to their physical, speech and occupational therapy-hippotherapy(riding horses) program for mentally and physically disabled children and adults</i> \$85,000.00	17269 2003926	4/12/2012	\$85,000.00	Foundation Grants	33244 Approved December 2011
Serenity Inc. <i>Expanded Children's Mental and Physical Health Services in Mountain Home, Arkansas</i> \$54,836.00	16915 2003712	3/27/2012	\$27,418.00	Foundation Grants	33237 Approved September 2010
SOS Childrens Villages Costa Rica <i>To fund 2 psychology professionals for the children at the Village</i> \$46,320.00	17277 2003929	1/11/2012	\$46,320.00	Foundation Grants	33208 Approved December 2011
Youth Organizations Umbrella, Inc. <i>Addition of a Youth & Family Counselor to the clinical team</i> \$10,000.00	17529	9/10/2012	\$10,000.00	Foundation Grants	33327
Total Health (31 items)			\$1,282,515.25		

Organization and Project Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
<u>Health Prize Programs</u>					
AUPHA <i>To support 3 years for the William B. Graham Prize for Health Services Research = 2012, 2013, 2014</i> \$267,000.00	17079 2003795	9/12/2012	\$89,000.00	Foundation Grants	33329 Approved April 2011
Health and Medicine Policy Research Group <i>Chicago Area Schweitzer Fellows 2012-2013 and Fellows for Life</i> \$65,000.00	17274 2003928	1/11/2012	\$65,000.00	Foundation Grants	33204 Approved December 2011
HRET - Health Research And Educational Trust <i>To support the 2011 and 2012 Foster G. McGaw award honoring outstanding commitment to community service by a health care institution</i> \$420,000.00	16983 2003750	5/11/2012	\$210,000.00	Foundation Grants	00033252 Approved December 2010
Total Health Prize Programs (3 items)			\$364,000.00		
<u>Social Service</u>					
Canaan Childrens Home in Sri Lanka <i>Director's Discretionary Grant-Peter Nicklin-2012</i> \$3,000.00	17644	11/28/2012	\$3,000.00	Directors Discretionary Grant	33358
NISRA Foundation <i>Director's Discretionary Grant-Shaun Newlon-2012</i> \$3,000.00	17601	11/28/2012	\$3,000.00	Directors Discretionary Grant	33364

Organization and Project Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
YWCA of Lake County	17562	9/27/2012	\$3,000.00	Directors Discretionary Grant	33340
<i>Directors Discretionary Grant for Donna Namath</i>					
\$3,000.00					
			<u>Total Social Service</u>	<u>\$9,000.00</u>	
			(3 items)		
			<u>Grand Total</u>	<u>\$2,222,737.33</u>	
			(51 items)		

The Baxter International Foundation 2012 XV 3ad IRS Report

Matching Gifts Paid in 2012
4/12/2013

Organization and Project Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
Culture					
JK Group, Inc. (Arts & Culture) Matching Gifts-Culture \$4,829 37	17395 3	2/8/2012	\$4,829 37	Foundation Grants	33215
JK Group, Inc. (Arts & Culture) Quarterly funding for matching gifts-arts & culture \$15,258 22	17425 FY11Q401M G01	2/27/2012	\$15,258 22	Foundation Grants	33235
JK Group, Inc. (Arts & Culture) Matching Gifts Quarterly Funding - Culture Invoice #FY12OneTime01MEF Employee Giving Campaign One Time Matching Gifts \$652.00	17457	5/23/2012	\$652.00	Foundation Grants	33256
JK Group, Inc. (Arts & Culture) Quarterly Funding for Matching Gifts - Culture Invoice #FY12Q101MG01 \$11,969 48	17460	5/23/2012	\$11,969 48	Foundation Grants	00033258
JK Group, Inc. (Arts & Culture) FY12Q1MEFSpecial-01 Payment Missed from Q1 \$2,056.43	17546	7/2/2012	\$2,056 43	Foundation Grants	00033284 Invoice # FY12Q1MEFSpecial-01
JK Group, Inc. (Arts & Culture) Matching Gifts-Arts & Culture Q2 \$9,590.03	17575	8/28/2012	\$9,590.03	Foundation Grants	33316

Organization and Project Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
JK Group, Inc. (Arts & Culture) Matching Gifts-Arts & Culture Q2 \$9,590 03	17575	9/18/2012	\$9,590 03	Foundation Grants	Wire Transfer Reissue of Payment [19775] for \$9,590 03, paid on 8/28/2012
JK Group, Inc. (Arts & Culture) Matching Gifts-Arts & Culture Q2	17575	10/1/2012	(\$9,590 03)	Foundation Grants	VOID - 33316 Void of Payment [19775] for \$9,590 03, paid on 8/28/2012. The original check was lost There was a stop payment places on check # 33316. The Q2 payment was made via Wire Transfer.
\$9,590.03					
JK Group, Inc. (Arts & Culture) Matching Gifts Q3-2012-Arts & Culture \$6,211.00	17672	12/14/2012	\$6,211.00	Foundation Grants	33374
		Total Culture (9 items)	\$50,566.53		
Education					
JK Group, Inc. (Education) funding for Education invoice # fy11q40Imef \$31,276 25	17393 1	2/8/2012	\$31,276.25	Foundation Grants	33215
JK Group, Inc. (Education) quarterly funding for matching gifts-education invoice # FY11Q40IMG01 \$83,764.81	17423 fy11q401mg0 1	2/27/2012	\$83,764.81	Foundation Grants	33233

Organization and Project Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
JK Group, Inc. (Education) Matching Gifts Quarterly Funding - Education Invoice #FY12OneTime01MEF Employee Giving Campaign One Time Matching Gifts \$5,896.50	17455	5/23/2012	\$5,896.50	Foundation Grants	33266
JK Group, Inc. (Education) Quarterly Funding for Matching Gifts - Education Invoice #FY12Q101MG01 \$84,579.00	17458	5/23/2012	\$84,579.00	Foundation Grants	00033258
JK Group, Inc. (Education) FY12Q1MEFSpecial-01 Payment Missed from Q1 \$10,306.35	17547	7/2/2012	\$10,306.35	Foundation Grants	00033285 FY12Q1MEFSpecial-01
JK Group, Inc. (Education) Matching Gifts-Education Q2 \$60,639.65	17573	8/28/2012	\$60,639.65	Foundation Grants	33315
JK Group, Inc. (Education) Matching Gifts-Education Q2 \$60,639.65	17573	9/18/2012	\$60,639.65	Foundation Grants	Wire Transfer Reissue of Payment [19773] for \$60,639.65, paid on 8/28/2012
JK Group, Inc. (Education) Matching Gifts-Education Q2	17573	10/1/2012	(\$60,639.65)	Foundation Grants	VOID - 33315 Void of Payment [19773] for \$60,639.65, paid on 8/28/2012. Check number 33315 was lost. There was a stop payment placed on the lost check & the payment was made via wire transfer.....AR
\$60,639.65					

Organization and Project Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
JK Group, Inc. (Education) MG-Education-Special (missed) Payment \$100.00	17659	11/28/2012	\$100.00	Foundation Grants	33361
JK Group, Inc. (Education) Matching Gifts 2012 Q3-Education \$41,362.00	17673	12/14/2012	\$41,362.00	Foundation Grants	33375
Health					
JK Group, Inc. (Health) funding for health matching gift invoice # fy11q401mef \$53,595.62	17394 1	2/8/2012	\$53,595.62	Foundation Grants	33215
JK Group, Inc. (Health) Quarterly funding for matching gift for health invoice #FY11Q401MG01 \$42,881.35	17424 FY11Q401M G01	2/27/2012	\$42,881.35	Foundation Grants	33234
JK Group, Inc. (Health) Matching Gifts Quarterly Funding - Health Invoice #FY12OneTime01MEF Employee Giving Campaign One Time Matching Gifts \$5,787.50	17456	5/23/2012	\$5,787.50	Foundation Grants	33256
JK Group, Inc. (Health) Quarterly Funding for Matching Gifts - Health Invoice #FY12Q101MG01 \$37,753.08	17459	5/23/2012	\$37,753.08	Foundation Grants	00033258
Total Education (10 items)			\$317,924.56		

Organization and Project Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
JK Group, Inc. (Health) FY12Q1MEFSpecial-01 Payment Missed from Q1 \$14,417.30	17548	7/2/2012	\$14,417.30	Foundation Grants	00033286 FY12Q1MEFSpecial-01
JK Group, Inc. (Health) Matching Gifts-Health Q2 \$68,478.34	17574	8/28/2012	\$68,478.34	Foundation Grants	33313
JK Group, Inc. (Health) Matching Gifts-Health Q2 \$68,478.34	17574	9/18/2012	\$68,478.34	Foundation Grants	Wire Transfer Reissue of Payment [19774] for \$68,478.34, paid on 8/28/2012.
JK Group, Inc. (Health) Matching Gifts-Health Q2	17574	10/1/2012	(\$68,478.34)	Foundation Grants	VOID - 33313 Void of Payment [19774] for \$68,478.34, paid on 8/28/2012. Check # 33313 was lost There was a stop payment placed on the check. The payment was made via Wire Transfer.
\$68,478.34					
JK Group, Inc. (Health) MG-Health-Special (missed) Payment \$165.00	17660	11/28/2012	\$165.00	Foundation Grants	33362
JK Group, Inc. (Health) Matching Gifts 2012 Q3-Health \$33,808.92	17674	12/14/2012	\$33,808.92	Foundation Grants	33373
		Total Health (10 items)	\$256,887.11		
		Grand Total (29 items)	\$625,378.20		

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Dollars for Doers Paid in 2012

4/11/2013

Organization and Project Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
<u>\$/Doers - Civic</u>					
101 Gamaliel Volunteer Fire Department <i>Dollars for Doers - Robert Rodgers - Civic</i> \$500.00	17481	6/11/2012	\$500.00	Foundation Grants	00033269 Robert Rodgers - Dollars for Doers - Civic
Boy Scouts of America - Chickasaw Council, Tallaha District <i>\$\$ for Doers- Tish Givens-Boy Scouts Chickasaw Council-2012</i> \$300 00	17646	11/12/2012	\$300.00	Foundation Grants	33350
Boy Scouts of America - Chickasaw Council, Tallaha District <i>\$\$ for Doers- Ralph William Tierce Jr -2012</i> \$500.00	17649	11/12/2012	\$500 00	Foundation Grants	33351
Boy Scouts of America - Chickasaw Council, Tallaha District <i>\$\$ for Doers-Steve Raines-Civic</i> \$500.00	17664	11/28/2012	\$500 00	Foundation Grants	33357
Boy Scouts of America - Chickasaw Council, Tallaha District <i>\$\$ for Doers-Steve Raines-Civic</i> \$500.00	17665	11/28/2012	\$500 00	Foundation Grants	33356
Boy Scouts of America, Piedmont Council <i>\$ for Doers-Kevin Christopher Smith-Civic</i> \$500.00	17429 1	3/2/2012	\$500.00	Foundation Grants	33240

Organization and Project Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
Boy Scouts of America, Piedmont Council \$ for Doers- James Barlowe- Civic \$500.00	17430 2	3/2/2012	\$500.00	Foundation Grants	33241 \$ for Doers-James Barlowe-Social Services
Central Delta Humane Society \$\$ for Doers-Georgia Smith \$200.00	17579	9/10/2012	\$200.00	Foundation Grants	33321
Central Delta Humane Society \$\$ for Doers- David Humpherys \$200.00	17582	9/10/2012	\$200.00	Foundation Grants	33322
Charter Oak Youth Softball and Baseball Organization \$ for Doers-Juan Carlos Ramos-Civic \$500.00	17385 1	1/30/2012	\$500.00	Foundation Grants	33214
Girl Scout Troop 30109 Dollars for Doers - Tish Givens - Civic \$500.00	17485	6/15/2012	\$500.00	Foundation Grants	00033276 Dollars for Doers - Tish Givens - Civic
Greyhounds Only Inc. \$ for doers-Kathleen Naomi-Civic \$200.00	17431 1	3/2/2012	\$200.00	Foundation Grants	33239
Lake Villa Township Lions Club Dollars For Doers- Michelle Marturano-Civic \$300.00	17497	6/14/2012	\$300.00	Foundation Grants	00033290
Lysander Youth Hockey Inc. \$ for Doers-Peter Schroeder \$200.00	17572	8/16/2012	\$200.00	Foundation Grants	33309
San Dimas Youth Softball Association \$ for Doers-Juan Carlos Ramos \$500.00	17571	8/16/2012	\$500.00	Foundation Grants	33310

Organization and Project Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
Save A Pet, Inc. \$ for Doers-Dorothy Koons-Civic \$500.00	17569	8/9/2012	\$500 00	Foundation Grants	33307
Warren Blue Devil Travelers Baseball Club \$ for Doers-Mark Schilling-Civic \$500.00	17418 1	2/20/2012	\$500 00	Foundation Grants	33225
Warren Blue Devil Travelers Baseball Club Dollars for Doers - Marc DeLuca - Civic \$500.00	17473	5/29/2012	\$500 00	Foundation Grants	00033262
Total \$/Doers - Civic (18 items)			\$7,400.00		
<u>\$/Doers - Culture</u>					
Conejo Chinese Cultural Association \$\$ for Doers- Lia Yang Zhang-Civic \$500 00	17647	11/12/2012	\$500 00	Foundation Grants	33352
Conejo Chinese Cultural Association \$\$ for Doers- Lia Yang Zhang-Civic	17647	11/15/2012	(\$500.00)	Foundation Grants	VOID - 33352 Void of Payment [19813] for \$500.00, paid on 11/12/2012 The check was made out to the wrong organization. A stop was placed and re-issued to Pacific Pearl Music Association.
\$500 00					
Conejo Chinese Cultural Association \$\$ for Doers-Yingchun Wu-Culture \$500.00	17679	12/21/2012	\$500 00	Foundation Grants	33378
Crossroads Blues Society Dollars for Doers - Ralph William Tierce - Cultural \$500.00	17472	5/29/2012	\$500 00	Foundation Grants	00033260 Ralph Tierce - Cultural

Organization and Project Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
P M & L Theatre \$ for Doers-Donna Ahear-Culture	17330 2003914	11/12/2012	(\$300.00)	Foundation Grants	VOID - 33182 Void of Payment [19597] for \$300.00, paid on 12/7/2011. Original check was never cashed Check #33182 was cancelled and the new check number is #33355 issued on 10/30/2012, however it counts toward 2011 payment.
\$300.00					
P M & L Theatre \$ for Doers-Donna Ahear-Culture	17330 2003914	11/12/2012	\$300.00	Foundation Grants	33354 Reissue of Payment [19597] for \$300.00, paid on 12/7/2011. Original check was never cashed. Check #33182 was cancelled and the new check number is #33355 issued on 10/30/2012, however it counts toward 2011 payment.
\$300.00					
Pacific Pearl Music Association \$\$ for Doers- Lia Yang Zhang-Civic	17647	11/28/2012	\$500.00	Foundation Grants	33365 Reissue of Payment [19813] for \$500.00, paid on 11/12/2012.
\$500.00					
Snow City Arts Foundation \$\$ for Doers-Catherine Ann Skala-Arts/Culture	17685	12/28/2012	\$500.00	Foundation Grants	33384
\$500.00					
Total \$/Doers - Culture (8 items)			\$2,000.00		
<u>\$/Doers - Education</u>					

Organization and Project Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
CCSD 46 Education Foundation <i>Dollars for Doers-Paul Kokkin-Education</i> \$400.00	17479	7/5/2012	\$400.00	Foundation Grants	00033289 Paul Kokkin CCSD 46 Education Foundation - Education
Delta State University Center for Community and Economic Development <i>Dollars for Doers - Twila Proctor - Education (Breast Education and Early Detection Project)</i> \$200.00	17482	6/11/2012	\$200.00	Foundation Grants	00033280 Dollars for Doers - Twila Proctor - Education
Delta State University Center for Community and Economic Development <i>\$\$ for Doers-Barbara Davis- Education</i> \$200.00	17483	6/11/2012	\$200.00	Foundation Grants	00033274 Dollars for Doers - Barbara Davis - Education Breast Education and Early Detection
Delta State University Center for Community and Economic Development <i>Dollars for Doers - Paul Wallace - Education</i> \$200.00	17484	6/11/2012	\$200.00	Foundation Grants	00033273 Dollars for Doers - Paul Wallace - Education Breast Education and early detection program
Devonshire PTO <i>\$ for Doers-Sherry Hofmann-Education</i> \$500.00	17498	6/15/2012	\$500.00	Foundation Grants	00033275
Meadow & Arts & Technology Elementary School <i>\$ for Doers-David Lake-Education</i> \$500.00	17392 1	2/8/2012	\$500.00	Foundation Grants	33220
Science and Technology Group <i>\$\$ for Doers- Amber Williamson</i> \$500.00	17653	11/12/2012	\$500.00	Foundation Grants	33348

Organization and Project Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
Science and Technology Group \$\$ for Doers-Ann M Lance-Education \$500.00	17663	11/28/2012	\$500.00	Foundation Grants	33367
Washington School PTO Dollars for Doers- Karen Fresh - Education \$300.00	17486	6/11/2012	\$300.00	Foundation Grants	00033279
Westlake High School Dollars for Doers - Cindy Wu Xinping - Education \$500.00	17487	6/11/2012	\$500.00	Foundation Grants	00033270 Dollars for Doers - Cindy Wu Xinping - Education

Organization and Project Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
American Cancer Society \$\$ for Doers-Heather Edwards-Health \$500.00	17681	12/27/2012	\$500.00	Foundation Grants	33380
American Diabetes Association \$\$ for Doers- Grant Woodard-2012- ADA \$200.00	17645	11/12/2012	\$200.00	Foundation Grants	33349
American Diabetes Association \$\$ for Doers-Greg Anderson-Health \$300.00	17661	12/27/2012	\$300.00	Foundation Grants	33381
Brigham and Women's Hospital \$\$ for Doers-Karla Zevallos-Health \$500.00	17474	4/15/2012	\$500.00	Foundation Grants	33048
Children's Chain \$\$ for Doers-Ellen Norris-Health \$300.00	17585	9/12/2012	\$300.00	Foundation Grants	33332
A Foundation Building Strength for Nemaline Myopathy \$ for Doers-Patricia A Mitchell-Health \$500.00	17583 Highlight	9/12/2012	\$500.00	Foundation Grants	33328
March of Dimes Foundation \$\$ for Doers-MacKenzie Curt-Health \$200.00	17586	9/12/2012	\$200.00	Foundation Grants	33333
NICASA \$\$ for Doers - Valery Gallagher- Health \$500.00	17463	5/29/2012	\$500.00	Foundation Grants	00033261 Valery Gallagher - Health
Northwest Community Hospital \$ for Doers- Martha Tomek-Health \$300.00	17366 1	1/11/2012	\$300.00	Foundation Grants	33206

Organization and Project Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
Northwest Community Hospital Foundation \$ for Doers-Elizabeth Eich-Health \$300.00	17377 1	1/17/2012	\$300.00	Foundation Grants	33210
Olivet Medical Ministry Inc. \$\$ for Doers-Michael Cook-Health \$500.00	17662	12/14/2012	\$500.00	Foundation Grants	33372
The University of Chicago \$ for Doers-Dr Lee Blumenfeld- Health \$500.00	17428 1	3/2/2012	\$500.00	Foundation Grants	33229
Total \$/Doers - Health (17 items)			\$6,500.00		
<u>\$/Doers - Soc. Serv.</u>					
Bolivar County 4-H \$ for Doers-William K Woo-Social Services \$200.00	17386 1	1/30/2012	\$200.00	Foundation Grants	33211
City Community Services \$\$ for Doers-Rebecca Tholmer \$200.00	17584	9/10/2012	\$200.00	Foundation Grants	33323
Grant Township Area Athletic Association \$\$ for Doers- Mathew Mayfield-2012 \$500.00	17650	11/12/2012	\$500.00	Foundation Grants	33353
Junior Auxiliary of Cleveland \$ for Doers-Amber Smith-Social Service \$500.00	17367 2	1/11/2012	\$500.00	Foundation Grants	33205
Junior Auxiliary of Cleveland \$ for Doers-Kellie Cutts-Social Service \$500.00	17398 1	2/9/2012	\$500.00	Foundation Grants	33218

Organization and Project Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
One Hope United \$ for Doers-Amy Monroe-Social Service \$200.00	17419 1	2/20/2012	\$200.00	Foundation Grants	33221
One Hope United Dollars for Doers - Danette Williamson - Social Service \$400.00	17480	6/15/2012	\$400.00	Foundation Grants	00033277 Danette Williamson - Dollars for Doers - Social Service
Serenity Inc. \$\$ for Doers-Linda Crofts-2012 \$500.00	17648	11/12/2012	\$500.00	Foundation Grants	33355
United States All Stars NFP \$ for Doers-Michelle Humbert-Social Service \$500.00	17568	8/9/2012	\$500.00	Foundation Grants	33308
United Way of Cleveland-Bolivar County \$\$ for Doers-Shaneca Roberts-2012 \$500.00	17678	12/21/2012	\$500.00	Foundation Grants	33379
Total \$/Doers - Soc Serv (10 items)			\$4,000.00		
Grand Total (63 items)			\$23,700.00		

SUMMARY REALIZED GAIN/LOSS REPORT
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EQUITY												

ASSET ID	SECURITY DESCRIPTION	MAT DATE MAT RATE		TRD DT	STL DT	SELL RATE	NET SECTY GN/LS		NET BAS SECTY GN/LS			
SHS SELL/BTC	LOT LOCAL PRINCIPAL NET	LOCAL COST	BASE COST	LOCAL SECTY GN/LS	BASE SECTY GN/LS	BASE CURR GN/LS						
SHARES/PAR	BASE PRINCIPAL NET	* * * A V E R A G E F U N D * * *										

EQUITY												

02799T966	BERNSTEIN INTER DURATION	266.45		23APR12	23APR12	1.000000					18.51	
	661			18.51								
	17.766											
	668	200.24		23MAY12	23MAY12	1.000000					7.55	
	12.922			7.55								
	672	706.75		21JUN12	21JUN12	1.000000					-18.25	
	45.605			-18.25								
CXL	672	-706.75		21JUN12	21JUN12	1.000000					18.25	
	-45.605			18.25								
	673	660.26		21JUN12	21JUN12	1.000000					28.24	
	42.605			28.24								
	674	329.50		19JUL12	19JUL12	1.000000					17.50	
	21.262			17.50								
	679	646.71		19SEP12	19SEP12	1.000000					34.17	
	41.721			34.17								
	684	282.87		23OCT12	23OCT12	1.000000					16.15	
	18.244			16.15								
	685	322.84		19NOV12	19NOV12	1.000000					19.06	
	20.822			19.06								
	693	328.00		19DEC12	19DEC12	1.000000					14.40	
	21.149			14.40								

ASSET ID		SECURITY DESCRIPTION		MAT DATE MAT RATE		TRD DT		STL DT		SELL RATE		NET SECTY GN/LS	
SH SELL/BTC		LOT LOCAL PRINCIPAL NET		LOCAL COST		LOCAL SECTY GN/LS		BASE SECTY GN/LS		BASE CURR GN/LS		NET BAS SECTY GN/LS	
SHARES/PAR		BASE PRINCIPAL NET		BASE COST		BASE SECTY GN/LS		BASE SECTY GN/LS		BASE CURR GN/LS		NET BAS SECTY GN/LS	
				* * * A V E R A G E		F U N D		* * *					
02799T966	TOTAL	3,192.45		3,036.87		155.58				.00		155.58	
085807105	SANFORD C BERNSTEIN FUND II IN BERNSTEIN INTM DUR INSTL PRT	284.96		284.61		.35		23APR12	23APR12	1.000000		.35	
651										.00			
17.766													
CXL 651		-284.96		-284.61		-.35		23APR12	23APR12	1.000000		-.35	
-17.766										.00			
655		284.96		284.61		.35		23APR12	23APR12	1.000000		.35	
17.766										.00			
CXL 655		-284.96		-284.61		-.35		23APR12	23APR12	1.000000		-.35	
-17.766										.00			
670		688.50		687.22		1.28		21JUN12	21JUN12	1.000000		1.28	
42.605										.00			
CXL 670		-688.50		-687.22		-1.28		21JUN12	21JUN12	1.000000		-1.28	
-42.605										.00			
692		342.40		343.25		-.85		19DEC12	19DEC12	1.000000		-.85	
21.149										.00			
CXL 692		-342.40		-343.25		.85		19DEC12	19DEC12	1.000000		.85	
-21.149										.00			
085807105	TOTAL	.00		.00		.00				.00		.00	
USD TOTAL		3,192.45		3,036.87		155.58				.00		155.58	

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BAXTER INTL FOUNDATION
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ASSET ID	SECURITY DESCRIPTION	MAT DATE	MAT RATE	TRD DT	STL DT	SELL RATE	NET SECTY GN/LS
SH SELL/BTC	LOT LOCAL PRINCIPAL NET	LOCAL COST	LOCAL SECTY GN/LS				NET SECTY GN/LS
SHARES//PAR	BASE PRINCIPAL NET	BASE COST	BASE SECTY GN/LS	BASE CURR GN/LS			NET BAS SECTY GN/LS
		* * * A V E R A G E	F U N D * * *				

EQUITY TOTAL

3,192.45 3,036.87 155.58 .00 155.58

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BAXTER INTL FOUNDATION
SANFORD BERNSTEIN
SUMMARY REALIZED GAIN/LOSS REPORT
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USD US DOLLAR		BASE		BASE	
		SEC GAIN	CURR GAIN	TOTAL GAIN	
GROSS GAINS					
EQUITY		155.58	.00	155.58	
CURRENCY TOTAL		155.58	.00	155.58	

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SANFORD BERNSTEIN
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USD US DOLLAR		BASE SEC GN/LS	BASE CURR GN/L	BASE TOTAL GN/L
NET GAINS/LOSSES				
EQUITY		155.58	.00	155.58
CURRENCY TOTAL		155.58	.00	155.58

FUND TOTAL GAINS		BASE SEC GAIN	BASE CURR GAIN	BASE TOTAL GAIN
GROSS GAINS				
	EQUITY	155.58	.00	155.58
	FUND TOTAL	155.58	00	155.58

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SANFORD BERNSTEIN

AS-OF DATE: 31DEC12

SUMMARY REALIZED GAIN/LOSS REPORT

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FUND GAINS/LOSSES

	BASE SEC GN/LS	BASE CURR GN/L	BASE TOTAL GN/L
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NET GAINS/LOSSES

EQUITY

155.58

.00

155.58

FUND TOTAL

155.58

.00

155.58

FUND TOTALS	BASE PRINCIPAL NET	BASE COST	SHARES	# OF TRANSACTIONS
EQUITY	3,192.45	3,036.87	196.491	18
TOTAL	3,192.45	3,036.87	196.491	18

1RPTCNTLP/S RG83305 PURCHASE SALES 01

1RPTCNTLP/S RG83305 PURCHASE SALES 01

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BAXTER INTL FOUNDATION
INSTITUTIONAL CAPITAL
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ASSET ID	SECURITY DESCRIPTION	MAT DATE	MAT RATE	TRD DT	STL DT	SELL RATE	NET SECTY GN/LS
SH SELL/BTC	LOT LOCAL PRINCIPAL NET	LOCAL COST	BASE SECTY GN/LS	BASE CURR GN/LS	NET BAS SECTY GN/LS		
SHARES/PAR	BASE PRINCIPAL NET	* * * A V E R A G E F U N D * * *					

EQUITY							

G2554F113	COVIDIEN PLC	COMMON STOCK USD.2	04APR12	10APR12	1.000000		
027		15,853.97	5,707.58		.00		5,707.58
400.000							
297		14,322.61	1,500.53	15JUN12	1.000000		1,500.53
300.000					.00		
467		4,774.20	839.17	01AUG12	1.000000		839.17
100.000					.00		
556		14,322.61	2,761.19	20AUG12	1.000000		2,761.19
300.000					.00		
G2554F113	TOTAL						
-----	-----	-----	-----	-----	-----	-----	-----
60,081.86		49,273.39	10,808.47		.00		10,808.47
H0023R105	ACE LTD	COMMON STOCK CHF29.34	27JAN12	01FEB12	1.000000		
545		14,686.28	2,699.63		.00		2,699.63
250.000							
605		26,435.30	6,188.62	02FEB12	1.000000		6,188.62
450.000					.00		
618		44,058.83	10,814.24	03FEB12	1.000000		10,814.24
750.000					.00		
630		35,247.06	8,498.15	06FEB12	1.000000		8,498.15
600.000					.00		
021		11,884.61	2,762.08	04APR12	1.000000		2,762.08
200.000					.00		
298		19,865.20	1,939.81	15JUN12	1.000000		1,939.81
300.000					.00		

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ASSET ID	SECURITY DESCRIPTION	MAT DATE	MAT RATE	TRD DT	STL DT	SELL RATE	NET SECTY GN/LS	NET BAS SECTY GN/LS
465	7,314.33	6,621.73	01AUG12	06AUG12	1.000000	692.60	692.60	
100.000					.00			
552	14,719.03	13,243.47	20AUG12	23AUG12	1.000000	1,475.56	1,475.56	
200.000					.00			
750	167,474.60	139,056.42	23OCT12	26OCT12	1.000000	28,418.18	28,418.18	
2,100.000					.00			
H0023R105	374,587.77	311,098.90	21FEB12	24FEB12	1.000000	63,488.87	63,488.87	
-----					.00			
H27013103	84,022.22	84,805.92	22FEB12	27FEB12	1.000000	-783.70	-783.70	
569					.00			
5,450.000								
573	92,994.71	91,808.25	22FEB12	27FEB12	1.000000	1,186.46	1,186.46	
5,900.000					.00			
H27013103	177,016.93	176,614.17	27JAN12	01FEB12	1.000000	402.76	402.76	
-----					.00			
038222105	11,613.52	12,983.91	04APR12	10APR12	1.000000	-1,370.39	-1,370.39	
560					.00			
950.000								
043	13,194.31	15,034.00	15JUN12	20JUN12	1.000000	-1,839.69	-1,839.69	
1,100.000					.00			
316	14,189.18	16,683.37	20AUG12	23AUG12	1.000000	-2,494.19	-2,494.19	
1,300.000					.00			
568	19,035.25	20,533.38	12OCT12	17OCT12	1.000000	-1,498.13	-1,498.13	
1,600.000					.00			
679	20,149.37	23,741.72	15OCT12	18OCT12	1.000000	-3,592.35	-3,592.35	
1,850.000					.00			
685	54,034.96	63,525.15				-9,490.19	-9,490.19	
4,950.000								

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SH SELL/BTC	LOT LOCAL PRINCIPAL NET	LOCAL COST	LOCAL SECY GN/LS	BASE SECY GN/LS	BASE CURR GN/LS			
SHARES/PAR	BASE PRINCIPAL NET	* * * A V E R A G E F U N D * * *						
694	25,126.98	28,875.07	16OCT12	19OCT12	1.000000		-3,748.09	
2,250.000					.00			
695	31,262.41	35,933.42	16OCT12	19OCT12	1.000000		-4,671.01	
2,800.000					.00			
699	2,785.43	3,208.34	17OCT12	22OCT12	1.000000		-422.91	
250.000					.00			
701	6,135.17	7,058.35	17OCT12	22OCT12	1.000000		-923.18	
550.000					.00			
703	17,303.97	19,891.71	17OCT12	22OCT12	1.000000		-2,587.74	
1,550.000					.00			
706	28,972.18	33,366.75	17OCT12	22OCT12	1.000000		-4,394.57	
2,600.000					.00			
712	10,056.37	11,550.03	18OCT12	23OCT12	1.000000		-1,493.66	
900.000					.00			
715	22,359.89	25,666.73	18OCT12	23OCT12	1.000000		-3,306.84	
2,000.000					.00			
716	39,082.22	44,916.77	18OCT12	23OCT12	1.000000		-5,834.55	
3,500.000					.00			
721	1,117.47	1,283.34	19OCT12	24OCT12	1.000000		-165.87	
100.000					.00			
725	6,012.63	7,058.35	19OCT12	24OCT12	1.000000		-1,045.72	
550.000					.00			
726	7,652.99	8,983.35	19OCT12	24OCT12	1.000000		-1,330.36	
700.000					.00			
			19OCT12	24OCT12	1.000000			

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SH SELL/BTC	LOT LOCAL PRINCIPAL NET	LOCAL COST	BASE COST	LOCAL SECY GN/LS	BASE CUPR GN/LS			
SHARES/PAR	BASE PRINCIPAL NET	*** AVERAGE ***		FUND ***				
732	31,049.48	36,575.09	-5,525.61	00			-5,525.61	
2,850.000								
742	31,430.94	37,216.75	22OCT12	25OCT12	1.000000		-5,785.81	
2,900.000			-5,785.81		.00			
751	35,489.38	42,350.10	23OCT12	26OCT12	1.000000		-6,860.72	
3,300.000			-6,860.72		.00			
759	31,071.93	37,216.75	24OCT12	29OCT12	1.000000		-6,144.82	
2,900.000			-6,144.82		.00			
765	9,595.94	11,550.03	25OCT12	30OCT12	1.000000		-1,954.09	
900.000			-1,954.09		.00			
768	39,503.64	47,483.44	25OCT12	30OCT12	1.000000		-7,979.80	
3,700.000			-7,979.80		.00			
777	21,322.92	25,666.73	26OCT12	31OCT12	1.000000		-4,343.81	
2,000.000			-4,343.81		.00			
778	40,483.53	48,766.78	26OCT12	31OCT12	1.000000		-8,283.25	
3,800.000			-8,283.25		.00			
789	30,669.42	37,216.75	31OCT12	05NOV12	1.000000		-6,547.33	
2,900.000			-6,547.33		.00			
799	85,732.03	101,383.57	01NOV12	06NOV12	1.000000		-15,651.54	
7,900.000			-15,651.54		.00			
007	56,866.20	67,375.16	02NOV12	07NOV12	1.000000		-10,508.96	
5,250.000			-10,508.96		.00			

ASSET ID	SECURITY DESCRIPTION		MAT DATE MAT RATE		TRD DT	STL DT	SELL RATE	NET SECY GN/LS	
	SH SELL/BTC	LOT LOCAL PRINCIPAL NET	LOCAL COST	LOCAL SECY GN/LS	BASE SECY GN/LS	BASE CURR GN/LS		NET BAS SECY GN/LS	
	SHARES/PAR	BASE PRINCIPAL NET	BASE COST	BASE SECY GN/LS	F U N D * * *				
038222105	TOTAL	743,299.71	873,094.89	-129,795.18		00		-129,795.18	
039483102	ARCHER DANIELS MIDLAND CO	11,933.77	14,091.40	-2,157.63	27JAN12	01FEB12	1.000000	-2,157.63	
	552						.00		
	400.000								
	761	3,173.44	3,522.85	-349.41	19MAR12	22MAR12	1.000000	-349.41	
	100.000						.00		
	763	9,508.14	10,568.55	-1,060.41	19MAR12	22MAR12	1.000000	-1,060.41	
	300.000						.00		
	028	12,713.75	14,091.40	-1,377.65	04APR12	10APR12	1.000000	-1,377.65	
	400.000						.00		
	307	17,101.86	18,214.60	-1,112.74	15JUN12	20JUN12	1.000000	-1,112.74	
	550 000						.00		
	473	5,130.88	6,574.35	-1,443.47	01AUG12	06AUG12	1.000000	-1,443.47	
	200.000						.00		
	564	13,043.00	16,435.88	-3,392.88	20AUG12	23AUG12	1.000000	-3,392.88	
	500.000						.00		
	673	16,832.26	19,723.06	-2,890.80	11OCT12	16OCT12	1.000000	-2,890.80	
	600.000						.00		
	677	19,629.93	23,010.23	-3,380.30	12OCT12	17OCT12	1.000000	-3,380.30	
	700.000						.00		
	683	19,637.14	23,010.23	-3,373.09	15OCT12	18OCT12	1.000000	-3,373.09	
	700.000						.00		
	692	34,327.27	39,446.11	-5,118.84	16OCT12	19OCT12	1.000000	-5,118.84	
	1,200.000						.00		
					17OCT12	22OCT12	1.000000		

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SH SELL/BTC	LOT LOCAL PRINCIPAL NET	LOCAL COST	LOCAL SECTY GN/LS	TRD DT	STL DT	SELL RATE	NET SECTY GN/LS	NET BAS SECTY GN/LS
SHARES/PAR	BASE PRINCIPAL NET	BASE COST	BASE SECTY GN/LS	* * * A V E R A G E F U N D * * *	BASE CURR GN/LS			
700	14,491.12	16,435.88	-1,944.76			00	-1,944.76	
500.000								
720	2,882.63	3,287.18	-404.55	19OCT12	24OCT12	1.000000	-404.55	
100.000								
723	5,758.91	6,574.35	-815.44	19OCT12	24OCT12	1.000000	-815.44	
200.000								
728	31,465.56	36,158.94	-4,693.38	19OCT12	24OCT12	1.000000	-4,693.38	
1,100.000								
736	2,831.51	3,287.18	-455.67	22OCT12	25OCT12	1.000000	-455.67	
100.000								
740	25,257.39	29,584.58	-4,327.19	22OCT12	25OCT12	1.000000	-4,327.19	
900.000								
748	35,489.33	42,733.29	-7,243.96	23OCT12	26OCT12	1.000000	-7,243.96	
1,300.000								
754	2,709.17	3,287.18	-578.01	24OCT12	29OCT12	1.000000	-578.01	
100.000								
756	25,695.88	31,228.17	-5,532.29	24OCT12	29OCT12	1.000000	-5,532.29	
950.000								
763	8,083.79	9,861.53	-1,777.74	25OCT12	30OCT12	1.000000	-1,777.74	
300.000								
764	16,184.69	19,723.06	-3,538.37	25OCT12	30OCT12	1.000000	-3,538.37	
600.000								
771	6,748.49	8,217.94	-1,469.45	26OCT12	31OCT12	1.000000	-1,469.45	
250.000								
772	6,746.09	8,217.94	-1,471.85	26OCT12	31OCT12	1.000000	-1,471.85	

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ASSET ID	SECURITY DESCRIPTION	MAT DATE	MAT RATE	TRD DT	STL DT	SELL RATE	NET SECTY GN/LS
SH SELL/STC	LOT LOCAL PRINCIPAL NET	LOCAL COST	BASE SECTY GN/LS	BASE SECTY GN/LS	BASE CURR GN/LS	NET BAS SECTY GN/LS	
SHARES/PAR	BASE PRINCIPAL NET	* * * A V E R A G E F U N D * * *					
250.000							
775	20,243.24	24,653.82	26OCT12	31OCT12	1.000000	-4,410.58	
750.000					.00		
784	36,322.29	44,376.88	31OCT12	05NOV12	1.000000	-8,054.59	
1,350.000					.00		
792	5,434.87	6,574.35	01NOV12	06NOV12	1.000000	-1,139.48	
200.000					.00		
796	28,446.49	34,515.35	01NOV12	06NOV12	1.000000	-6,068.86	
1,050.000					.00		
002	24,330.77	29,584.58	02NOV12	07NOV12	1.000000	-5,253.81	
900.000					.00		
010	7,969.32	9,861.53	05NOV12	08NOV12	1.000000	-1,892.21	
300.000					.00		
011	58,435.30	72,317.87	05NOV12	08NOV12	1.000000	-13,882.57	
2,200.000					.00		
014	5,316.88	6,574.35	06NOV12	09NOV12	1.000000	-1,257.47	
200.000					.00		
016	23,945.58	29,584.58	06NOV12	09NOV12	1.000000	-5,639.00	
900.000					.00		
021	16,918.60	21,366.65	07NOV12	13NOV12	1.000000	-4,448.05	
650.000					.00		
023	37,795.87	47,664.05	07NOV12	13NOV12	1.000000	-9,868.18	
1,450.000					.00		
026	2,608.77	3,287.18	08NOV12	14NOV12	1.000000	-678.41	
100.000					.00		

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SH SELL/BTC	LOT LOCAL PRINCIPAL NET	LOCAL COST	BASE COST	LOCAL SECY GN/LS	BASE CURR GN/LS			
SHARES/PAR	BASE PRINCIPAL NET	* * * A V E R A G E F U N D * * *						
030	33,598.39	42,733.29	09NOV12	14NOV12	1.000000		-9,134.90	
1,300.000					.00			
034	2,558.47	3,287.18	09NOV12	15NOV12	1.000000		-728.71	
100.000					.00			
035	7,675.32	9,861.53	09NOV12	15NOV12	1.000000		-2,186.21	
300.000					.00			
042	51,010.45	65,743.52	09NOV12	15NOV12	1.000000		-14,733.07	
2,000.000					.00			
044	7,594.83	9,861.53	12NOV12	15NOV12	1.000000		-2,266.70	
300.000					.00			
046	32,875.61	42,733.29	12NOV12	15NOV12	1.000000		-9,857.68	
1,300.000					.00			
057	27,657.56	36,158.93	13NOV12	16NOV12	1.000000		-8,501.37	
1,100.000					.00			
039483102	TOTAL	778,114.61	948,026.34			.00	-169,911.73	
054937107	BB+T CORP	12,152.01	COMMON STOCK USD5.	27JAN12	01FEB12	1.000000		
553		10,860.67				.00	1,291.34	
450.000								
648	22,166.12	18,101.12	09FEB12	13FEB12	1.000000		4,065.00	
750.000					.00			
654	28,015.25	22,928.09	09FEB12	14FEB12	1.000000		5,087.16	
950.000					.00			
036	17,151.42	13,274.16	04APR12	10APR12	1.000000		3,877.26	
550.000					.00			
308	16,183.38	15,100.68	15JUN12	20JUN12	1.000000		1,082.70	
					.00			

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ASSET ID	SECURITY DESCRIPTION	MAT DATE	MAT RATE	TRD DT	STL DT	SELL RATE	NET SECTY GN/LS
SH SELL/ETC	LOT LOCAL PRINCIPAL NET	LOCAL COST	BASE SECTY GN/LS	BASE CURR GN/LS	NET BAS SECTY GN/LS		
SHARES/PAR	BASE PRINCIPAL NET	* * * A V E R A G E F U N D * * *					
550.000							
479	9,388.28	8,274.37	01AUG12	06AUG12	1.000000		1,113.91
300.000			1,113.91		.00		
563	15,897.49	13,790.62	20AUG12	23AUG12	1.000000		2,106.87
500.000			2,106.87		.00		
579	31,114.10	27,581.25	23AUG12	28AUG12	1.000000		3,532.85
1,000.000			3,532.85		.00		
587	27,960.57	24,823.12	24AUG12	29AUG12	1.000000		3,137.45
900.000			3,137.45		.00		
710	16,480.71	15,169.69	18OCT12	23OCT12	1.000000		1,311.02
550.000			1,311.02		.00		
015	8,756.68	8,274.37	06NOV12	09NOV12	1.000000		482.31
300.000			482.31		.00		
019	9,802.02	9,653.44	07NOV12	13NOV12	1.000000		148.58
350.000			148.58		.00		
054937107	TOTAL	215,068.03	187,831.58		.00		27,236.45
05534B760	BCE INC	COMMON STOCK NPV	27JAN12	01FEB12	1.000000		5,495.61
547	14,141.47	8,645.86	5,495.61		.00		
350.000							
594	44,707.32	27,172.69	01FEB12	06FEB12	1.000000		17,534.63
1,100.000			17,534.63		.00		
610	54,819.48	33,348.31	02FEB12	07FEB12	1.000000		21,471.17
1,350.000			21,471.17		.00		
620	66,773.07	40,759.04	03FEB12	08FEB12	1.000000		26,014.03
1,650.000			26,014.03		.00		

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ASSET ID	SECURITY DESCRIPTION	MAT DATE	MAT RATE	TRD DT	STL DT	SELL RATE	NET SECTY GN/LS
SH SELL/STC	LOT LOCAL PRINCIPAL NET	LOCAL COST	BASE SECTY GN/LS	BASE CURR GN/LS	NET BAS SECTY GN/LS		
SHARES/PAR	BASE PRINCIPAL NET	* * * A V E R A G E F U N D * * *					
302	18,217.84	14,765.42	3,452.42	15JUN12	20JUN12	1.000000	3,452.42
450.000							
477	12,699.22	9,843.62	2,855.60	01AUG12	06AUG12	1.000000	2,855.60
300.000							
555	13,570.88	9,843.62	3,727.26	20AUG12	23AUG12	1.000000	3,727.26
300.000							
583	80,217.90	59,061.69	21,156.21	23AUG12	28AUG12	1.000000	21,156.21
1,800.000							
05534B760	TOTAL	203,440.25	101,706.93				101,706.93
067901108	BARRICK GOLD CORP	COMMON STOCK NPV	7,858.31	01AUG12	06AUG12	1.000000	-1,345.46
474	6,512.85						
200.000							
562	14,378.43	15,716.61	-1,338.18	20AUG12	23AUG12	1.000000	-1,338.18
400.000							
067901108	TOTAL	23,574.92	-2,683.64				-2,683.64
09247X101	BLACKROCK INC	COMMON STOCK USD.01	8,660.77	27JAN12	01FEB12	1.000000	734.79
541	9,395.56						
50.000							
565	9,168.17	8,660.77	507.40	30JAN12	02FEB12	1.000000	507.40
50.000							
566	9,164.05	8,660.77	503.28	30JAN12	02FEB12	1.000000	503.28
50.000							
574	9,089.01	8,660.77	428.24	31JAN12	03FEB12	1.000000	428.24
50.000							
575	9,094.72	8,660.77	433.95	31JAN12	03FEB12	1.000000	433.95
50.000							

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SH SELL/BTC	LOT LOCAL PRINCIPAL NET	LOCAL COST	BASE SECY GN/LS	BASE SECY GN/LS	BASE CURR GN/LS	NET BAS SECY GN/LS	
SHARES/PAR	BASE PRINCIPAL NET	* * * A V E R A G E F U N D * * *					
583	9,151.70	8,660.77	01FEB12	06FEB12	1.000000	490.93	490.93
50.000			490.93		.00		
584	18,360.46	17,321.53	01FEB12	06FEB12	1.000000	1,038.93	1,038.93
100.000			1,038.93		.00		
600	18,337.17	17,321.53	02FEB12	07FEB12	1.000000	1,015.64	1,015.64
100.000			1,015.64		.00		
613	18,590.39	17,321.53	03FEB12	08FEB12	1.000000	1,268.86	1,268.86
100.000			1,268.86		.00		
685	59,392.21	51,964.60	01MAR12	06MAR12	1.000000	7,427.61	7,427.61
300 000			7,427.61		.00		
700	53,891.50	47,634.22	08MAR12	13MAR12	1.000000	6,257.28	6,257.28
275.000			6,257.28		.00		
707	9,836.41	8,660.77	09MAR12	14MAR12	1.000000	1,175.64	1,175.64
50.000			1,175.64		.00		
013	10,206.02	8,660.77	04APR12	10APR12	1.000000	1,545.25	1,545.25
50.000			1,545.25		.00		
09247X101	TOTAL						
-----	243,677.37	220,849.57			.00	22,827.80	22,827.80
12572Q105	CME GROUP INC	COMMON STOCK USD.01					
014	14,304.92	12,046.53	04APR12	10APR12	1.000000	2,258.39	2,258.39
50.000			2,258.39		.00		
295	13,815.44	12,919.72	15JUN12	20JUN12	1.000000	895.72	895.72
50.000			895.72		.00		
599	76,838.53	72,350.45	05SEP12	10SEP12	1.000000	4,488.08	4,488.08
1,400.000			4,488.08		.00		
627	55,447.40	49,094.95	18SEP12	21SEP12	1.000000	6,352.45	6,352.45
			6,352.45		.00		

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SH SELL/BTC	LOT LOCAL PRINCIPAL NET	LOCAL COST	BASE COST	LOCAL SECTY GN/LS	BASE CURR GN/LS		NET BAS SECTY GN/LS
SHARES/PAR	BASE PRINCIPAL NET	BASE COST	AVERAGE	FUND			
950.000							
632	40,560.87	36,175.23	19SEP12	24SEP12	1.000000		4,385.64
700.000							
713	63,245.94	56,846.78	18OCT12	23OCT12	1.000000		6,399.16
1,100.000							
714	86,137.52	77,518.34	18OCT12	23OCT12	1.000000		8,619.18
1,500.000							
724	19,956.55	18,087.61	19OCT12	24OCT12	1.000000		1,868.94
350.000							
729	67,813.96	62,014.67	19OCT12	24OCT12	1.000000		5,799.29
1,200.000							
738	19,673.68	18,087.61	22OCT12	25OCT12	1.000000		1,586.07
350.000							
739	39,449.22	36,175.23	22OCT12	25OCT12	1.000000		3,273.99
700.000							
12572Q105	TOTAL	497,244.03	451,317.12				45,926.91
14040H105	CAPITAL ONE FINANCIAL CORP	COMMON STOCK USD.01					
031	24,940.74	19,981.87	04APR12	10APR12	1.000000		4,958.87
450.000							
274	5,242.18	4,900.04	13JUN12	18JUN12	1.000000		342.14
100.000							
275	5,241.05	4,900.04	13JUN12	18JUN12	1.000000		341.01
100.000							
278	83,863.56	78,400.69	13JUN12	18JUN12	1.000000		5,462.87
1,600.000							

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SH SELL/BTC	LOT LOCAL PRINCIPAL NET	LOCAL COST	BASE SECTY GN/LS	BASE SECTY GN/LS	BASE CURR GN/LS			
SHARES/PAR	BASE PRINCIPAL NET	*** AVERAGE ***						
367	74,311.73	68,600.61	22JUN12	27JUN12	1.000000		5,711.12	
1,400.000					.00			
478	16,696.12	14,700.13	01AUG12	06AUG12	1.000000		1,995.99	
300.000					.00			
561	22,590.33	19,600.17	20AUG12	23AUG12	1.000000		2,990.16	
400.000					.00			
14040H105	TOTAL	232,885.71	21,802.16		.00		21,802.16	
166764100	CHEVRON CORP	COMMON STOCK USD.75	30JAN12	02FEB12	1.000000		22,219.15	
570	71,702.91	49,483.76			.00			
700.000								
17275R102	CISCO SYSTEMS INC	COMMON STOCK USD.001	27JAN12	01FEB12	1.000000		2,804.83	
561	18,576.89	15,772.06			.00			
950.000								
045	27,438.27	22,412.93	04APR12	10APR12	1.000000		5,025.34	
1,350.000					.00			
317	27,255.38	28,992.18	15JUN12	20JUN12	1.000000		-1,736.80	
1,600.000					.00			
378	169,077.28	183,013.12	27JUN12	02JUL12	1.000000		-13,935.84	
10,100.000					.00			
382	8,150.31	9,060.06	28JUN12	03JUL12	1.000000		-909.75	
500.000					.00			
495	63,526.57	72,480.44	01AUG12	06AUG12	1.000000		-8,953.87	
4,000.000					.00			

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SH SELL/BTC	LOT LOCAL PRINCIPAL NET	LOCAL COST	BASE COST	LOCAL SECTY GN/LS	BASE CURR GN/LS	NET BAS SECTY GN/LS	
SHARES/PAR	BASE PRINCIPAL NET	BASE COST	BASE SECTY GN/LS	BASE SECTY GN/LS	BASE CURR GN/LS	NET BAS SECTY GN/LS	
		* * * A V E R A G E F U N D * * *					
17275R102	TOTAL	314,024.70	331,730.79	-17,706.09	.00	-17,706.09	
172967424	CITIGROUP INC		COMMON STOCK USD.01	12MAR12	15MAR12	1.000000	
732		78,032.99	70,303.70	7,729.29	.00	7,729.29	
2,300.000							
050		61,328.77	53,491.94	04APR12	10APR12	1.000000	
1,750.000				7,836.83	.00	7,836.83	
485		13,392.19	15,404.68	01AUG12	06AUG12	1.000000	
500.000				-2,012.49	.00	-2,012.49	
172967424	TOTAL	152,753.95	139,200.32	13,553.63	.00	13,553.63	
191216100	COCA COLA CO/THE		COMMON STOCK USD.25	04JAN12	09JAN12	1.000000	
426		31,321.70	24,904.99	6,416.71	.00	6,416.71	
450.000							
469		43,362.61	35,973.87	13JAN12	19JAN12	1.000000	
650.000				7,388.74	.00	7,388.74	
513		3,423.10	2,767.22	23JAN12	26JAN12	1.000000	
50.000				655.88	.00	655.88	
521		13,585.67	11,068.88	24JAN12	27JAN12	1.000000	
200.000				2,516.79	.00	2,516.79	
529		6,794.23	5,534.44	25JAN12	30JAN12	1.000000	
100.000				1,259.79	.00	1,259.79	
535		20,436.29	16,603.32	26JAN12	31JAN12	1.000000	
300.000				3,832.97	.00	3,832.97	
546		20,260.59	16,603.32	27JAN12	01FEB12	1.000000	
300.000				3,657.27	.00	3,657.27	
296		15,180.49	13,664.86	15JUN12	20JUN12	1.000000	
				1,515.63	.00	1,515.63	

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SH SELL/BTC	LOT LOCAL PRINCIPAL NET	LOCAL COST	BASE COST	LOCAL SECTY GN/LS	BASE CURR GN/LS			
SHARES/PAR	BASE PRINCIPAL NET	* * * A V E R A G E	F U N D * * *					
200.000								
327	95,102.25	85,405.41	18JUN12	21JUN12	1.000000	9,696.84		
1,250.000								
339	98,830.93	88,821.62	19JUN12	22JUN12	1.000000	10,009.31		
1,300.000								
353	97,889.62	88,821.62	20JUN12	25JUN12	1.000000	9,068.00		
1,300.000								
416	77,012.87	68,324.32	13JUL12	18JUL12	1.000000	8,688.55		
1,000.000								
434	77,026.77	68,324.32	20JUL12	25JUL12	1.000000	8,702.45		
1,000.000								
098	41,193.19	37,578.38	26NOV12	29NOV12	1.000000	3,614.81		
1,100.000								
191216100	TOTAL	641,420.31	564,396.57	77,023.74	.00	77,023.74		
20825C104	CONOCOPHILLIPS	COMMON STOCK USD.01	04APR12	10APR12	1.000000	1,301.67		
022	22,756.02	21,454.35						
300.000								
118	17,987.97	18,113.75	24APR12	27APR12	1.000000	-125.78		
250.000								
122	147,495.42	148,532.78	24APR12	27APR12	1.000000	-1,037.36		
2,050.000								
129	138,555.31	141,287.28	25APR12	30APR12	1.000000	-2,731.97		
1,950.000								
165	58,922.81	60,594.15	08MAY12	11MAY12	1.000000	-1,671.34		
1,100.000								

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SH SELL/BTC	LOT LOCAL PRINCIPAL NET	LOCAL COST	LOCAL SECTY GN/LS	BASE SECTY GN/LS	BASE CURR GN/LS	NET BAS SECTY GN/LS	
SHARES/PAR	BASE PRINCIPAL NET	BASE COST	BASE SECTY GN/LS	FUND ***			
181	80,851.18	82,628.38	11MAY12	16MAY12	1.000000		-1,777.20
1,500.000					.00		
188	58,094.09	60,594.15	14MAY12	17MAY12	1.000000		-2,500.06
1,100.000					.00		
260	78,189.49	79,874.11	08JUN12	13JUN12	1.000000		-1,684.62
1,450.000					.00		
267	75,928.55	77,119.83	11JUN12	14JUN12	1.000000		-1,191.28
1,400.000					.00		
328	96,088.07	96,399.78	18JUN12	21JUN12	1.000000		-311.71
1,750.000					.00		
344	305,729.89	302,970.74	19JUN12	22JUN12	1.000000		2,759.15
5,500.000					.00		
20825C104	TOTAL	1,089,569.30			00		-8,970.50
231021106	CUMMINS INC	COMMON STOCK USD2.5	09MAR12	14MAR12	1.000000		2,664.12
711	23,919.66	21,255.54			.00		
200.000							
717	5,901.06	5,313.89	12MAR12	15MAR12	1.000000		587.17
50.000					.00		
718	11,799.85	10,627.77	12MAR12	15MAR12	1.000000		1,172.08
100.000					.00		
724	82,537.12	74,394.40	12MAR12	15MAR12	1.000000		8,142.72
700.000					.00		
769	36,783.98	31,883.31	20MAR12	23MAR12	1.000000		4,900.67
300.000					.00		
776	5,972.76	5,313.89	22MAR12	27MAR12	1.000000		658.87
					.00		

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SH SELL/BTC	LOT LOCAL PRINCIPAL NET	LOCAL COST	BASE SECTY GN/LS	BASE CURR GN/LS	NET BAS SECTY GN/LS		
SHARES/PAR	BASE PRINCIPAL NET	* * * A V E R A G E F U N D * * *					
50.000							
778	41,963.96	37,197.20	22MAR12	27MAR12	1.000000	4,766.76	4,766.76
350.000							
792	35,496.67	31,883.31	28MAR12	02APR12	1.000000	3,613.36	3,613.36
300.000							
015	5,793.62	5,313.89	04APR12	10APR12	1.000000	479.73	479.73
50.000							
466	9,394.28	10,437.35	01AUG12	06AUG12	1.000000	-1,043.07	-1,043.07
100.000							
TOTAL	259,562.96	233,620.55				25,942.41	25,942.41
231021106							
292505104	ENCANA CORP	COMMON STOCK NPV	20AUG12	23AUG12	1.000000	888.59	888.59
565	15,591.45	14,702.86					
700.000							
30231G102	EXXON MOBIL CORP	COMMON STOCK NPV	04JAN12	09JAN12	1.000000	2,586.36	2,586.36
425	34,185.34	31,598.98					
400.000							
487	51,741.02	47,398.47	18JAN12	23JAN12	1.000000	4,342.55	4,342.55
600.000							
593	84,216.78	78,997.45	01FEB12	06FEB12	1.000000	5,219.33	5,219.33
1,000.000							
608	70,983.66	67,147.83	02FEB12	07FEB12	1.000000	3,835.83	3,835.83
850.000							
024	29,618.12	28,011.81	04APR12	10APR12	1.000000	1,606.31	1,606.31
350.000							
301	32,957.26	33,017.87	15JUN12	20JUN12	1.000000	-60.61	-60.61
400.000							

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SH SELL/BTC	LOT LOCAL PRINCIPAL NET	LOCAL COST	BASE SECTY GN/LS	BASE CURR GN/LS	NET BAS SECTY GN/LS		
SHARES/PAR	BASE PRINCIPAL NET	BASE COST	BASE SECTY GN/LS	BASE CURR GN/LS	NET BAS SECTY GN/LS		
		* * * A V E R A G E F U N D * * *					
366	78,460.55	78,417.45	22JUN12	27JUN12	1.000000		43.10
950.000			43.10		.00		
372	76,573.98	78,417.45	25JUN12	28JUN12	1.000000		-1,843.47
950.000			-1,843.47		.00		
471	8,712.30	8,254.47	01AUG12	06AUG12	1.000000		457.83
100.000			457.83		.00		
554	26,307.31	24,763.40	20AUG12	23AUG12	1.000000		1,543.91
300.000			1,543.91		.00		
30231G102	TOTAL	493,756.32	17,731.14		.00		17,731.14
369604103	GENERAL ELECTRIC CO	COMMON STOCK USD.06	04APR12	10APR12	1.000000		4,901.17
047	31,511.45	26,610.28	4,901.17		.00		
1,600.000							
314	22,890.23	20,664.12	15JUN12	20JUN12	1.000000		2,226.11
1,150.000			2,226.11		.00		
491	14,521.17	12,578.16	01AUG12	06AUG12	1.000000		1,943.01
700.000			1,943.01		.00		
566	16,683.38	14,375.04	20AUG12	23AUG12	1.000000		2,308.34
800.000			2,308.34		.00		
369604103	TOTAL	85,606.23	74,227.60		.00		11,378.63
438516106	HONEYWELL INTERNATIONAL INC	COMMON STOCK USD1.	04APR12	10APR12	1.000000		16,931.31
039	48,019.96	31,088.65	16,931.31		.00		
800.000							
304	27,911.87	24,253.60	15JUN12	20JUN12	1.000000		3,658.27
500.000			3,658.27		.00		
476	17,395.11	14,552.16	01AUG12	06AUG12	1.000000		2,842.95
300.000			2,842.95		.00		

ASSET ID	SECURITY DESCRIPTION	MAT DATE	MAT RATE	TRD DT	STL DT	SELL RATE	NET SECTY GN/LS
SH SELL/BTC	LOT LOCAL PRINCIPAL NET	LOCAL COST	BASE COST	LOCAL SECTY GN/LS	BASE CURR GN/LS	NET BAS SECTY GN/LS	
SHARES/PAR	BASE PRINCIPAL NET	* * * A V E R A G E	F U N D * * *				
560	23,711.22	19,402.88	4,308.34	20AUG12	23AUG12	1.000000	4,308.34
400.000							
438516106	117,038.16	89,297.29	27,740.87			.00	27,740.87
TOTAL							
46625H100	JPMORGAN CHASE + CO	COMMON STOCK USD1.	02MAR12	07MAR12	1.000000		-284.36
689	12,181.73	12,466.09	-284.36			.00	-284.36
300.000							
702	44,474.61	45,709.01	-1,234.40	08MAR12	13MAR12	1.000000	-1,234.40
1,100.000						.00	
713	26,674.02	27,009.87	-335.85	09MAR12	14MAR12	1.000000	-335.85
650.000						.00	
731	78,794.77	81,029.61	-2,234.84	12MAR12	15MAR12	1.000000	-2,234.84
1,950.000						.00	
797	34,184.08	31,165.24	29MAR12	03APR12	1.000000		3,018.84
750.000						.00	
048	72,956.41	68,563.52	04APR12	10APR12	1.000000		4,392.89
1,650.000						.00	
183	197,702.14	227,664.82	11MAY12	16MAY12	1.000000		-29,962.68
5,350.000						.00	
190	196,498.07	231,920.23	14MAY12	17MAY12	1.000000		-35,422.16
5,450.000						.00	
305	17,307.11	21,277.09	15JUN12	20JUN12	1.000000		-3,969.98
500.000						.00	
475	10,844.75	12,766.25	01AUG12	06AUG12	1.000000		-1,921.50
300.000						.00	

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SH SELL/BTC	LOT LOCAL PRINCIPAL NET	LOCAL COST	BASE COST	LOCAL SECTY GN/LS	BASE CURR GN/LS	NET BAS SECTY GN/LS	
SHARES/PAR	BASE PRINCIPAL NET	BASE COST	AVERAGE	FUND			
46625H100	TOTAL	691,617.69	759,571.73	-67,954.04	.00	-67,954.04	
478160104	JOHNSON + JOHNSON	427	COMMON STOCK USD1.	04JAN12	09JAN12	1.000000	-209.61
		42,447.89	42,657.50	-209.61	.00		
	650.000						
438		42,058.29	42,657.50	06JAN12	11JAN12	1.000000	-599.21
	650.000			-599.21	.00		
497		42,199.59	42,657.50	19JAN12	24JAN12	1.000000	-457.91
	650.000			-457.91	.00		
505		48,877.91	49,220.19	20JAN12	25JAN12	1.000000	-342.28
	750.000			-342.28	.00		
514		26,026.54	26,250.77	23JAN12	26JAN12	1.000000	-224.23
	400.000			-224.23	.00		
523		32,484.47	32,813.46	24JAN12	27JAN12	1.000000	-328.99
	500.000			-328.99	.00		
616		42,663.43	42,657.50	03FEB12	08FEB12	1.000000	5.93
	650.000			5.93	.00		
624		84,858.73	85,314.99	06FEB12	09FEB12	1.000000	-456.26
	1,300.000			-456.26	.00		
637		91,330.46	91,877.68	07FEB12	10FEB12	1.000000	-547.22
	1,400.000			-547.22	.00		
468		6,951.34	6,658.79	01AUG12	06AUG12	1.000000	292.55
	100.000			292.55	.00		
553		20,335.10	19,976.38	20AUG12	23AUG12	1.000000	358.72
	300.000			358.72	.00		

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SH SELL/BTC	LOT LOCAL PRINCIPAL NET	LOCAL COST	LOCAL SECY GN/LS	BASE SECY GN/LS	BASE CURR GN/LS		NET BAS SECY GN/LS
SHARES/PAR	BASE PRINCIPAL NET	* * * A V E R A G E F U N D * * *					
478160104	TOTAL	480,233.75	482,742.26	-2,508.51	00		-2,508.51
478366107	JOHNSON CONTROLS INC	COMMON STOCK USD.01388	27JAN12	01FEB12	1.000000		
554	14,262.47	11,675.37	2,587.10	.00			2,587.10
450.000							
037	17,453.96	14,269.89	04APR12	10APR12	1.000000		3,184.07
550.000			3,184.07	00			
310	18,280.84	18,919.95	15JUN12	20JUN12	1.000000		-639.11
650.000			-639.11	.00			
413	55,789.23	61,126.00	12JUL12	17JUL12	1.000000		-5,336.77
2,100.000			-5,336.77	.00			
484	9,725.78	11,643.05	01AUG12	06AUG12	1.000000		-1,917.27
400.000			-1,917.27	.00			
478366107	TOTAL	115,512.28	117,634.26	-2,121.98	.00		-2,121.98
517834107	LAS VEGAS SANDS CORP	COMMON STOCK USD.001	12MAR12	15MAR12	1.000000		
721	13,593.50	12,154.04	1,439.46	.00			1,439.46
250.000							
726	60,169.14	53,477.77	12MAR12	15MAR12	1.000000		6,691.37
1,100.000			6,691.37	.00			
735	16,723.16	14,584.85	13MAR12	16MAR12	1.000000		2,138.31
300.000			2,138.31	.00			
736	22,274.43	19,446.46	13MAR12	16MAR12	1.000000		2,827.97
400.000			2,827.97	.00			
737	30,663.04	26,738.88	13MAR12	16MAR12	1.000000		3,924.16
550.000			3,924.16	.00			
740	111,411.59	97,232.30	13MAR12	16MAR12	1.000000		14,179.29
			14,179.29	.00			

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SH SELL/BTC	LOT LOCAL PRINCIPAL NET	LOCAL COST	BASE SECTY GN/LS	BASE CURR GN/LS	NET BAS SECTY GN/LS		
SHARES/PAR	BASE PRINCIPAL NET	* * * A V E R A G E F U N D * * *					
2,000.000							
746	44,406.96	38,892.92	14MAR12	19MAR12	1.000000	5,514.04	
800.000							
751	34,221.28	29,169.69	15MAR12	20MAR12	1.000000	5,051.59	
600.000							
517834107	TOTAL						
	333,463.10	291,696.91				41,766.19	
548661107	LOWE S COS INC						
006	60,191.01	COMMON STOCK USD 5	02NOV12	07NOV12	1.000000	11,952.85	
1,800.000		48,238.16					
043	78,819.48	66,997.45	09NOV12	15NOV12	1.000000	11,822.03	
2,500.000							
073	58,233.73	49,578.11	15NOV12	20NOV12	1.000000	8,655.62	
1,850.000							
132	80,374.45	61,637.65	10DEC12	13DEC12	1.000000	18,736.80	
2,300.000							
140	60,885.68	46,898.21	12DEC12	17DEC12	1.000000	13,987.47	
1,750.000							
548661107	TOTAL						
	338,504.35	273,349.58				65,154.77	
565849106	MARATHON OIL CORP						
421	32,098.72	COMMON STOCK USD1.	03JAN12	06JAN12	1.000000	8,773.60	
1,050.000		23,325.12					
478	29,339.90	21,103.68	17JAN12	20JAN12	1.000000	8,236.22	
950.000							
481	80,158.27	57,757.45	17JAN12	20JAN12	1.000000	22,400.82	
2,600.000							
			18JAN12	23JAN12	1.000000		

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SH SELL/BTC	LOT LOCAL PRINCIPAL NET	LOCAL COST	BASE SECTY GN/LS	BASE CURR GN/LS	NET BAS SECTY GN/LS		
SHARES/PAR	BASE PRINCIPAL NET	* * * A V E R A G E F U N D * * *					
491	83,350.70	58,868.18	24,482.52	.00	24,482.52		
2,650.000							
565849106	TOTAL						
	224,947.59	161,054.43	63,893.16	.00	63,893.16		
56585A102	MARATHON PETROLEUM CORP	COMMON STOCK	04APR12	10APR12	1.000000		
019	6,356.10	5,294.96	1,061.14	.00	1,061.14		
150.000							
456	16,744.18	13,083.73	3,660.45	31JUL12	03AUG12	1.000000	
350.000							
458	28,665.97	22,429.26	6,236.71	31JUL12	03AUG12	1.000000	
600.000							
459	35,795.34	28,036.57	7,758.77	31JUL12	03AUG12	1.000000	
750.000							
461	316,870.71	248,590.97	68,279.74	31JUL12	03AUG12	1.000000	
6,650.000							
56585A102	TOTAL						
	404,432.30	317,435.49	86,996.81	.00	86,996.81		
58155Q103	MCKESSON CORP	COMMON STOCK USD 01	01AUG12	06AUG12	1.000000		
472	17,856.59	17,792.52	64.07	.00	64.07		
200.000							
611	17,504.54	17,783.75	-279.21	11SEP12	14SEP12	1.000000	
200.000							
612	21,790.99	22,229.69	-438.70	12SEP12	17SEP12	1.000000	
250.000							
615	61,301.47	62,243.14	-941.67	13SEP12	18SEP12	1.000000	
700.000							

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SH SELL/BFC	LOT LOCAL PRINCIPAL NET	LOCAL COST	LOCAL SECTY GN/LS	BASE SECTY GN/LS	BASE CURR GN/LS			
SHARES/PAR	BASE PRINCIPAL NET	*** AVERAGE ***						
58155Q103	TOTAL	118,453.59	120,049.10	-1,595.51		.00	-1,595.51	
58933Y105	MERCK + CO. INC.	443	COMMON STOCK USD.5	09JAN12	12JAN12	1.000000		
		43,885.57	31,974.56	11,911.01		.00	11,911.01	
	1,150.000							
	548	13,490.49	9,731.39	27JAN12	01FEB12	1.000000		
	350.000			3,759.10		.00	3,759.10	
	609	32,664.45	23,633.37	02FEB12	07FEB12	1.000000		
	850.000			9,031.08		.00	9,031.08	
	794	53,997.76	38,925.55	28MAR12	02APR12	1.000000		
	1,400.000			15,072.21		.00	15,072.21	
	800	56,916.97	41,705.95	29MAR12	03APR12	1.000000		
	1,500.000			15,211.02		.00	15,211.02	
	004	36,248.90	26,413.77	30MAR12	04APR12	1.000000		
	950.000			9,835.13		.00	9,835.13	
	008	32,647.85	23,633.37	02APR12	05APR12	1.000000		
	850.000			9,014.48		.00	9,014.48	
	130	82,318.64	70,532.91	25APR12	30APR12	1.000000		
	2,150.000			11,785.73		.00	11,785.73	
	132	61,406.46	52,489.60	26APR12	01MAY12	1.000000		
	1,600.000			8,916.86		.00	8,916.86	
	303	17,583.35	16,156.37	15JUN12	20JUN12	1.000000		
	450.000			1,426.98		.00	1,426.98	
	395	60,466.98	52,059.40	02JUL12	06JUL12	1.000000		
	1,450.000			8,407.58		.00	8,407.58	
				03JUL12	09JUL12	1.000000		

ASSET ID	SECURITY DESCRIPTION	MAT DATE	MAT RATE	TRD DT	STL DT	SELL RATE	NET SECTY GN/LS	NET BAS SECTY GN/LS
SH SELL/BTC	LOT LOCAL PRINCIPAL NET	LOCAL COST	BASE COST	LOCAL SECTY GN/LS	BASE CURR GN/LS			
SHARES/PAR	BASE PRINCIPAL NET	* * * A V E R A G E	F U N D * * *					
398	20,832.58	17,951.52	2,881.06		.00		2,881.06	
500.000								
412	57,597.36	50,264.25		11JUL12	16JUL12	1.000000		7,333.11
1,400.000						.00		
417	78,026.99	64,625.47		13JUL12	18JUL12	1.000000		13,401.52
1,800.000						.00		
422	77,865.53	64,625.47		16JUL12	19JUL12	1.000000		13,240.06
1,800.000						.00		
439	332,720.32	276,453.38		23JUL12	26JUL12	1.000000		56,266.94
7,700.000						.00		
441	281,314.07	236,960.05		24JUL12	27JUL12	1.000000		44,354.02
6,600.000						.00		
445	139,965.63	117,797.86		25JUL12	30JUL12	1.000000		22,167.77
3,281.000						.00		
58933Y105	1,479,949.90	1,215,934.24				.00		264,015.66
TOTAL								
59156R108	METLIFE INC	COMMON STOCK USD.01		27JAN12	01FEB12	1.000000		-2,451.87
555	17,812.15	20,264.02				.00		
500.000								
644	20,655.95	22,290.43		08FEB12	13FEB12	1.000000		-1,634.48
550.000						.00		
651	7,547.85	8,105.61		09FEB12	14FEB12	1.000000		-557.76
200.000						.00		
655	37,264.88	40,528.05		09FEB12	14FEB12	1.000000		-3,263.17
1,000.000						.00		
719	3,758.67	4,052.80		12MAR12	15MAR12	1.000000		-294.13
100.000						.00		

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SH SELL/BTC	LOT LOCAL PRINCIPAL NET	LOCAL COST	LOCAL SECY GN/LS	BASE SECY GN/LS	BASE CURR GN/LS	NET BAS SECY GN/LS	
SHARES/PAR	BASE PRINCIPAL NET	* * * A V E R A G E F U N D * * *					
720	5,646.61	6,079.21	12MAR12	15MAR12	1.000000		-432.60
150.000			-432.60		.00		-432.60
730	69,632.00	74,976.89	12MAR12	15MAR12	1.000000		-5,344.89
1,850.000			-5,344.89		.00		-5,344.89
779	22,355.53	24,316.83	22MAR12	27MAR12	1.000000		-1,961.30
600.000			-1,961.30		.00		-1,961.30
025	12,965.45	14,184.82	04APR12	10APR12	1.000000		-1,219.37
350.000			-1,219.37		.00		-1,219.37
223	4,370.23	5,761.88	31MAY12	05JUN12	1.000000		-1,391.65
150.000			-1,391.65		.00		-1,391.65
224	4,359.65	5,761.88	31MAY12	05JUN12	1.000000		-1,402.23
150.000			-1,402.23		.00		-1,402.23
228	67,081.90	88,348.79	31MAY12	05JUN12	1.000000		-21,266.89
2,300.000			-21,266.89		.00		-21,266.89
231	9,910.02	13,444.38	01JUN12	06JUN12	1.000000		-3,534.36
350.000			-3,534.36		.00		-3,534.36
233	64,772.98	88,348.79	01JUN12	06JUN12	1.000000		-23,575.81
2,300.000			-23,575.81		.00		-23,575.81
234	37,596.11	51,856.90	04JUN12	07JUN12	1.000000		-14,260.79
1,350.000			-14,260.79		.00		-14,260.79
284	7,300.98	9,603.13	14JUN12	19JUN12	1.000000		-2,302.15
250.000			-2,302.15		.00		-2,302.15
285	8,760.31	11,523.76	14JUN12	19JUN12	1.000000		-2,763.45
300.000			-2,763.45		.00		-2,763.45
			14JUN12	19JUN12	1.000000		

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SB SELL/BTC	LOT LOCAL PRINCIPAL NET	LOCAL COST	BASE COST	LOCAL SECTY GN/LS	BASE CURR GN/LS	NET BAS SECTY GN/LS	
SHARES/PAR	BASE PRINCIPAL NET	* * * A V E R A G E F U N D * * *					
290	55,453.10	72,983.78	-17,530.68			.00	-17,530.68
1,900.000							
486	15,137.16	19,206.26	-4,069.10	01AUG12	06AUG12	1.000000	-4,069.10
500.000							
535	86,970.55	96,031.29	-9,060.74	15AUG12	20AUG12	1.000000	-9,060.74
2,500.000							
575	59,358.75	65,301.28	-5,942.53	22AUG12	27AUG12	1.000000	-5,942.53
1,700.000							
59156R108	TOTAL	742,970.78	-124,259.95			.00	-124,259.95
594918104	MICROSOFT CORP	COMMON STOCK USD.006.25		13JAN12	19JAN12	1.000000	1,749.38
470	21,115.84	19,366.46	1,749.38			.00	
750.000							
506	26,570.09	23,239.76	3,330.33	20JAN12	25JAN12	1.000000	3,330.33
900.000							
578	39,740.26	34,859.64	4,880.62	31JAN12	03FEB12	1.000000	4,880.62
1,350.000							
659	44,313.75	37,441.83	6,871.92	10FEB12	15FEB12	1.000000	6,871.92
1,450.000							
698	3,199.89	2,582.20	617.69	08MAR12	13MAR12	1.000000	617.69
100.000							
704	62,497.35	50,352.81	12,144.54	08MAR12	13MAR12	1.000000	12,144.54
1,950.000							
799	35,123.86	28,404.15	6,719.71	29MAR12	03APR12	1.000000	6,719.71
1,100.000							
042	29,606.18	24,530.85	5,075.33	04APR12	10APR12	1.000000	5,075.33
950.000							

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SH SELL/BTC	LOT LOCAL PRINCIPAL NET	LOCAL COST	BASE COST	LOCAL SECY GN/LS	BASE CURR GN/LS			
SHARES/PAR	BASE PRINCIPAL NET	* * * A V E R A G E F U N D * * *						
315	34,378.47	32,561.01	1,817.46	15JUN12	20JUN12	1.000000		1,817.46
1,150.000						.00		
333	302,634.75	287,386.30	15,248.45	18JUN12	21JUN12	1.000000		15,248.45
10,150.000						.00		
343	145,850.71	134,491.13	11,359.58	19JUN12	22JUN12	1.000000		11,359.58
4,750.000						.00		
487	14,702.17	14,156.96	545.21	01AUG12	06AUG12	1.000000		545.21
500.000						.00		
102	140,734.32	148,878.10	-8,143.78	29NOV12	04DEC12	1.000000		-8,143.78
5,200.000						.00		
113	220,753.19	239,063.87	-18,310.68	04DEC12	07DEC12	1.000000		-18,310.68
8,350.000						.00		
116	179,134.91	193,255.23	-14,120.32	05DEC12	10DEC12	1.000000		-14,120.32
6,750.000						.00		
124	80,569.74	87,322.73	-6,752.99	07DEC12	12DEC12	1.000000		-6,752.99
3,050.000						.00		
133	81,836.37	87,322.73	-5,486.36	10DEC12	13DEC12	1.000000		-5,486.36
3,050.000						.00		
137	14,961.42	15,746.72	-785.30	11DEC12	14DEC12	1.000000		-785.30
550.000						.00		
594918104	TOTAL	1,477,723.27	1,460,962.48			.00		16,760.79
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SH SELL/BTC	LOT LOCAL PRINCIPAL NET	LOCAL COST	BASE COST	LOCAL SECTY GN/LS	BASE CURR GN/LS		NET BAS SECTY GN/LS
SHARES/PAR	BASE PRINCIPAL NET	* * * A V E R A G E F U N D * * *					
61166W101	MONSANTO CO	COMMON STOCK USD.01	27JAN12	01FEB12	1.000000		
542	12,093.51	9,609.01	2,484.50		.00		2,484.50
150.000							
299	23,735.82	21,658.27	2,077.55	20JUN12	1.000000		2,077.55
300.000					.00		
559	34,786.06	28,877.69	5,908.37	23AUG12	1.000000		5,908.37
400.000					.00		
103	41,178.03	32,487.40	8,690.63	05DEC12	1.000000		8,690.63
450.000					.00		
155	23,026.68	18,048.55	4,978.13	26DEC12	1.000000		4,978.13
250.000					.00		
156	36,893.57	28,877.69	8,015.88	26DEC12	1.000000		8,015.88
400.000					.00		
61166W101	TOTAL	139,558.61	32,155.06		.00		32,155.06
66987V109	NOVARTIS AG ADR	ADR	20JAN12	25JAN12	1.000000		
509	56,173.82	55,446.31	727.51		.00		727.51
1,000.000							
016	2,751.18	2,772.32	-21.14	04APR12	1.000000		-21.14
50.000					.00		
66987V109	TOTAL	58,925.00	706.37		.00		706.37
674599105	OCCIDENTAL PETROLEUM CORP	COMMON STOCK USD.2	27JAN12	01FEB12	1.000000		
544	20,084.61	14,244.99	5,839.62		.00		5,839.62
200.000							
587	24,847.17	17,806.24	7,040.93	01FEB12	1.000000		7,040.93
250.000					.00		
602	19,712.46	14,244.99	5,467.47	02FEB12	1.000000		5,467.47

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SH SELL/BTC	LOT LOCAL PRINCIPAL NET	LOCAL COST	BASE COST	LOCAL SECTY GN/LS	BASE CURR GN/LS	NET BAS SECTY GN/LS	
SHARES/PAR	BASE PRINCIPAL NET	BASE COST	BASE SECTY GN/LS	BASE SECTY GN/LS	BASE SECTY GN/LS	NET BAS SECTY GN/LS	
		* * * A V E R A G E F U N D * * *					
200.000							
643	46,619.69	32,051.23	08FEB12	13FEB12	1.000000	14,568.46	14,568.46
450.000							
483	35,201.21	32,849.39	01AUG12	06AUG12	1.000000	2,351.82	2,351.82
400.000							
774	56,513.37	57,605.67	26OCT12	31OCT12	1.000000	-1,092.30	-1,092.30
700.000							
786	166,305.14	172,817.01	31OCT12	05NOV12	1.000000	-6,511.87	-6,511.87
2,100.000							
797	103,297.37	106,981.96	01NOV12	06NOV12	1.000000	-3,684.59	-3,684.59
1,300.000							
106	189,302.40	209,849.22	03DEC12	06DEC12	1.000000	-20,546.82	-20,546.82
2,550.000							
674599105	TOTAL	661,883.42	658,450.70			3,432.72	3,432.72
690768403	OWENS ILLINOIS INC	COMMON STOCK USD.01	04APR12	10APR12	1.000000	-1,033.31	-1,033.31
023	6,799.37	7,832.68					
300.000							
713448108	PEPSICO INC	COMMON STOCK USD.017	06JAN12	11JAN12	1.000000	1,568.35	1,568.35
437	26,155.33	24,586.98					
400.000							
446	29,537.57	27,660.35	10JAN12	13JAN12	1.000000	1,877.22	1,877.22
450.000							
450	3,238.68	3,073.37	11JAN12	17JAN12	1.000000	165.31	165.31
50.000							
451	3,214.18	3,073.37	11JAN12	17JAN12	1.000000	140.81	140.81
50.000							

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SH SELL/BTC	LOT LOCAL PRINCIPAL NET	LOCAL COST	BASE COST	LOCAL SECTY GN/LS	BASE CURR GN/LS		NET BAS SECTY GN/LS
SHARES/PAR	BASE PRINCIPAL NET	* * * A V E R A G E F U N D * * *					
455	48,495.87	46,100.58	2,395.29	11JAN12	17JAN12	1.000000	2,395.29
750.000						.00	
461	6,465.27	6,146.74	318.53	12JAN12	18JAN12	1.000000	318.53
100.000						.00	
463	19,390.03	18,440.23	949.80	12JAN12	18JAN12	1.000000	949.80
300.000						.00	
468	25,776.78	24,586.98	1,189.80	13JAN12	19JAN12	1.000000	1,189.80
400.000						.00	
475	29,194.89	27,660.35	1,534.54	17JAN12	20JAN12	1.000000	1,534.54
450.000						.00	
656	211,199.90	202,842.54	8,357.36	09FEB12	14FEB12	1.000000	8,357.36
3,300.000						.00	
713448108	TOTAL	402,668.50	384,171.49	18,497.01		.00	18,497.01
717081103	PFIZER INC	COMMON STOCK USD 05	27JAN12	01FEB12	1.000000		
562	23,633.04	17,775.55	5,857.49			.00	5,857.49
1,100.000							
046	33,396.90	24,810.79	8,586.11	04APR12	10APR12	1.000000	8,586.11
1,500.000						.00	
058	21,067.77	15,713.50	5,354.27	10APR12	13APR12	1.000000	5,354.27
950.000						.00	
175	39,471.76	33,763.15	5,708.61	09MAY12	14MAY12	1.000000	5,708.61
1,750.000						.00	
182	39,986.43	33,763.15	6,223.28	11MAY12	16MAY12	1.000000	6,223.28
1,750.000						.00	
204	38,573.66	32,798.49	5,775.17	17MAY12	22MAY12	1.000000	5,775.17
						.00	

ASSET ID	SECURITY DESCRIPTION		MAT DATE MAT RATE		TRD DT	STL DT	SELL RATE	NET SECTY GN/LS	
	SH SELL/BTC	LOT LOCAL PRINCIPAL NET	LOCAL COST	LOCAL SECTY GN/LS	BASE SECTY GN/LS	BASE CURR GN/LS		NET BAS SECTY GN/LS	
	SHARES/PAR	BASE PRINCIPAL NET	BASE COST	BASE SECTY GN/LS	BASE SECTY GN/LS	BASE CURR GN/LS		NET BAS SECTY GN/LS	
			* * * A V E R A G E F U N D * * *						
		1,700.000							
					15JUN12	20JUN12	1.000000		
	318	37,429.41	31,833.82	5,595.59			.00	5,595.59	
	1,650.000								
					23JUL12	26JUL12	1.000000		
	438	35,378.50	28,939.84	6,438.66			.00	6,438.66	
	1,500.000								
					01AUG12	06AUG12	1.000000		
	494	43,136.03	34,727.81	8,408.22			.00	8,408.22	
	1,800.000								
					06AUG12	09AUG12	1.000000		
	507	38,805.20	30,869.16	7,936.04			.00	7,936.04	
	1,600.000								
					12NOV12	15NOV12	1.000000		
	050	91,591.24	73,314.26	18,276.98			.00	18,276.98	
	3,800.000								
717081103	TOTAL								
		442,469.94	358,309.52	84,160.42			.00	84,160.42	
718546104	PHILLIPS 66				01AUG12	06AUG12	1.000000		
	482	15,317.65	13,173.11	2,144.54			.00	2,144.54	
	400.000								
					09AUG12	13AUG12	1.000000		
	515	45,772.42	37,872.70	7,899.72			.00	7,899.72	
	1,150.000								
					21AUG12	24AUG12	1.000000		
	571	18,905.69	14,819.75	4,085.94			.00	4,085.94	
	450.000								
					22AUG12	27AUG12	1.000000		
	574	40,950.58	32,932.78	8,017.80			.00	8,017.80	
	1,000.000								
					14SEP12	19SEP12	1.000000		
	618	40,046.09	27,992.86	12,053.23			.00	12,053.23	
	850.000								
					18SEP12	21SEP12	1.000000		
	626	40,776.46	29,639.50	11,136.96			.00	11,136.96	
	900.000								

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SH SELL/BTC	LOT	LOCAL PRINCIPAL NET	LOCAL COST	LOCAL SECTY GN/LS	BASE COST	BASE SECTY GN/LS	FUND	BASE CURR GN/LS	NET BAS SECTY GN/LS				
SHARES/PAR		BASE PRINCIPAL NET	* * * A V E R A G E	* * *									

631		25,116.72	18,113.03	19SEP12	24SEP12	1.000000	7,003.69				7,003.69		
550.000													
650		2,333.36	1,646.64	02OCT12	05OCT12	1.000000	686.72				686.72		
50.000													
651		25,647.63	18,113.03	02OCT12	05OCT12	1.000000	7,534.60				7,534.60		
550.000													
730		60,513.74	44,459.26	19OCT12	24OCT12	1.000000	16,054.48				16,054.48		
1,350.000													
049		107,140.57	74,098.76	12NOV12	15NOV12	1.000000	33,041.81				33,041.81		
2,250.000													
055		23,563.97	16,466.39	13NOV12	16NOV12	1.000000	7,097.58				7,097.58		
500.000													
060		162,545.17	113,618.10	13NOV12	16NOV12	1.000000	48,927.07				48,927.07		
3,450.000													
067		58,028.07	41,165.98	14NOV12	19NOV12	1.000000	16,862.09				16,862.09		
1,250.000													
718546104	TOTAL	666,658.12	484,111.89				182,546.23				182,546.23		

742718109	PROCTER + GAMBLE CO/THE	COMMON STOCK	27JAN12	01FEB12	1.000000		867.78				867.78		
549		22,551.81	21,684.03										
350.000													
038		36,978.48	34,451.44	04APR12	10APR12	1.000000	2,527.04				2,527.04		
550.000													
242		73,293.27	77,672.04	05JUN12	08JUN12	1.000000	-4,378.77				-4,378.77		
1,200.000													
261		112,916.14	116,508.07	08JUN12	13JUN12	1.000000	-3,591.93				-3,591.93		

ASSET ID	SECURITY DESCRIPTION	MAT DATE	MAT RATE	TRD DT	STL DT	SELL RATE	NET SECTY GN/LS
SH SELL/BFC	LOT LOCAL PRINCIPAL NET	LOCAL COST	BASE SECTY GN/LS	BASE SECTY GN/LS	BASE CURR GN/LS	NET BAS SECTY GN/LS	
SHARES/PAR	BASE PRINCIPAL NET	* * * A V E R A G E F U N D * * *					
1,800.000							
329	133,530.71	139,162.41	18JUN12	21JUN12	1.000000	-5,631.70	
2,150.000							
340	149,859.12	155,344.09	19JUN12	22JUN12	1.000000	-5,484.97	
2,400.000							
347	15,021.39	16,181.68	20JUN12	25JUN12	1.000000	-1,160.29	
250.000							
351	24,049.46	25,890.68	20JUN12	25JUN12	1.000000	-1,841.22	
400.000							
357	288,627.13	310,688.18	20JUN12	25JUN12	1.000000	-22,061.05	
4,800.000							
373	71,414.47	77,672.04	25JUN12	28JUN12	1.000000	-6,257.57	
1,200.000							
404	39,681.42	42,072.36	06JUL12	11JUL12	1.000000	-2,390.94	
650.000							
411	58,295.92	61,490.37	11JUL12	16JUL12	1.000000	-3,194.45	
950.000							
464	6,415.35	6,472.67	01AUG12	06AUG12	1.000000	-57.32	
100.000							
527	56,483.19	55,017.70	13AUG12	16AUG12	1.000000		1,465.49
850.000							
531	50,032.72	48,545.03	14AUG12	17AUG12	1.000000		1,487.69
750.000							
582	80,010.01	77,672.04	23AUG12	28AUG12	1.000000		2,337.97
1,200.000							

ASSET ID	SECURITY DESCRIPTION	MAT DATE	MAT RATE	TRD DT	STL DT	SELL RATE	NET SECTY GN/LS
SH SELL/BTC	LOT LOCAL PRINCIPAL NET	LOCAL COST	BASE COST	LOCAL SECTY GN/LS	BASE CURR GN/LS	NET BAS SECTY GN/LS	
SHARES/PAR	BASE PRINCIPAL NET	BASE COST	BASE SECTY GN/LS	FUND ***			
659	90,476.02	84,144.71	05OCT12	11OCT12	1.000000	6,331.31	
1,300.000							
661	186,550.43	174,762.10	08OCT12	11OCT12	1.000000	11,788.33	
2,700.000							
665	158,057.06	148,871.42	09OCT12	12OCT12	1.000000	9,185.64	
2,300.000							
670	293,525.18	278,324.82	10OCT12	15OCT12	1.000000	15,200.36	
4,300.000							
742718109	TOTAL	1,947,769.28	-4,858.60				-4,858.60
80105N105	SANOFI ADR	ADR					
447	18,075.95	17,275.56	10JAN12	13JAN12	1.000000	800.39	
500.000							
453	17,932.65	17,275.56	11JAN12	17JAN12	1.000000	657.09	
500.000							
464	16,128.14	15,548.00	12JAN12	18JAN12	1.000000	580.14	
450.000							
472	17,700.80	17,275.56	13JAN12	19JAN12	1.000000	425.24	
500.000							
476	17,596.56	17,275.56	17JAN12	20JAN12	1.000000	321.00	
500.000							
477	25,049.22	24,185.78	17JAN12	20JAN12	1.000000	863.44	
700.000							
489	27,084.97	25,913.34	18JAN12	23JAN12	1.000000	1,171.63	
750.000							
029	15,009.70	13,820.45	04APR12	10APR12	1.000000	1,189.25	

ASSET ID	SECURITY DESCRIPTION	MAT DATE	MAT RATE	TRD DT	STL DT	SELL RATE	NET SECTY GN/LS
SH SELL/BTC	LOT LOCAL PRINCIPAL NET	LOCAL COST	BASE COST	LOCAL SECTY GN/LS	BASE CURR GN/LS	NET BAS SECTY GN/LS	
SHARES/PAR	BASE PRINCIPAL NET	* * * A V E R A G E	F U N D * * *				

400.000							
197	195,079.40	201,713.63	16MAY12	21MAY12	1.000000		-6,634.23
5,650.000							
206	159,590.93	166,012.11	17MAY12	22MAY12	1.000000		-6,421.18
4,650.000							
481	12,203.48	10,710.46	01AUG12	06AUG12	1.000000		1,493.02
300.000							
516	59,976.74	51,767.21	08AUG12	13AUG12	1.000000		8,209.53
1,450.000							
521	57,913.48	49,982.14	09AUG12	14AUG12	1.000000		7,931.34
1,400.000							
549	118,811.49	103,534.43	17AUG12	22AUG12	1.000000		15,277.06
2,900.000							
576	98,848.02	85,683.67	22AUG12	27AUG12	1.000000		13,164.35
2,400.000							
584	111,865.02	98,179.20	23AUG12	28AUG12	1.000000		13,685.82
2,750.000							
80105N105	TOTAL	916,152.66	52,713.89		00		52,713.89

845467109	SOUTHWESTERN ENERGY CO	COMMON STOCK USD.01	04APR12	10APR12	1.000000		-2,077.97
026	10,428.05	12,506.02			00		
350.000							
854502101	STANLEY BLACK + DECKER INC	COMMON STOCK USD2.5	04APR12	10APR12	1.000000		2,571.11
017	7,708.33	5,137.22			00		
100.000							
469	6,674.35	6,382.86	01AUG12	06AUG12	1.000000		291.49
100.000							

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SH SELL/BTC	LOT LOCAL PRINCIPAL NET	LOCAL COST	BASE COST	BASE SECTY GN/LS	BASE CURR GN/LS		NET BAS SECTY GN/LS
SHARES/PAR	BASE PRINCIPAL NET	* * * A V E R A G E F U N D * * *					
854502101	TOTAL	14,382.68	11,520.08	2,862.60	.00		2,862.60
882508104	TEXAS INSTRUMENTS INC		COMMON STOCK USD1.	27JAN12	01FEB12	1.000000	
558	24,483.27	20,699.18		3,784.09	.00		3,784.09
750.000							
049	54,520.49	46,918.13	04APR12	10APR12	1.000000		7,602.36
1,700.000			7,602.36	.00			
490	16,592.62	17,946.76	01AUG12	06AUG12	1.000000		-1,354.14
600.000			-1,354.14	.00			
882508104	TOTAL	95,596.38	85,564.07	10,032.31	.00		10,032.31
887317303	TIME WARNER INC		COMMON STOCK USD.01	27JAN12	01FEB12	1.000000	
556	22,562.56	19,914.71	2,647.85	.00			2,647.85
600.000							
040	29,515.41	26,714.20	04APR12	10APR12	1.000000		2,801.21
800.000			2,801.21	.00			
312	33,937.98	33,094.09	15JUN12	20JUN12	1.000000		843.89
950.000			843.89	.00			
444	36,721.05	33,094.09	25JUL12	30JUL12	1.000000		3,626.96
950.000			3,626.96	.00			
488	19,817.05	17,417.94	01AUG12	06AUG12	1.000000		2,399.11
500.000			2,399.11	.00			
498	91,187.30	78,380.75	02AUG12	07AUG12	1.000000		12,806.55
2,250.000			12,806.55	.00			
524	23,495.47	19,159.74	10AUG12	15AUG12	1.000000		4,335.73
550.000			4,335.73	.00			
625	8,976.15	6,967.18	18SEP12	21SEP12	1.000000		2,008.97
			2,008.97	.00			

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SH SELL/BTC	LOT LOCAL PRINCIPAL NET	LOCAL COST	BASE COST	LOCAL SECTY GN/LS	BASE CURR GN/LS	NET BAS SECTY GN/LS	
SHARES/PAR	BASE PRINCIPAL NET	* * * A V E R A G E F U N D * * *					

200.000				19SEP12	24SEP12	1.000000	
630	22,774.73	17,417.94		5,356.79		.00	5,356.79
500.000							
636	16,090.22	12,192.56		21SEP12	26SEP12	1.000000	
350.000				3,897.66		.00	3,897.66
038	26,786.93	20,901.53		09NOV12	15NOV12	1.000000	
600.000				5,885.40		.00	5,885.40
089	79,878.89	60,962.80		20NOV12	26NOV12	1.000000	
1,750.000				18,916.09		.00	18,916.09
887317303	411,743.74	346,217.53		65,526.21		.00	65,526.21
TOTAL							

902973304	US BANCORP	COMMON STOCK USD.01		11JAN12	17JAN12	1.000000	
457	105,399.22	79,400.39		25,998.83		.00	25,998.83
3,750.000							
465	65,153.37	48,698.90		12JAN12	18JAN12	1.000000	
2,300.000				16,454.47		.00	16,454.47
902973304	170,552.59	128,099.29		42,453.30		.00	42,453.30
TOTAL							

903914109	ULTRA PETROLEUM CORP	COMMON STOCK NPV		17JAN12	20JAN12	1.000000	
479	24,772.42	29,232.10		-4,459.68		.00	-4,459.68
1,000.000							
496	6,037.38	7,308.02		19JAN12	24JAN12	1.000000	
250.000				-1,270.64		.00	-1,270.64
501	38,076.07	46,771.35		19JAN12	24JAN12	1.000000	
1,600.000				-8,695.28		.00	-8,695.28
507	21,292.87	26,308.89		20JAN12	25JAN12	1.000000	
900.000				-5,016.02		.00	-5,016.02

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SH SELL/BTC	LOT LOCAL PRINCIPAL NET	LOCAL COST	LOCAL SECTY GN/LS	TRD DT	STL DT	SELL RATE	NET SECTY GN/LS
SHARES/PAR	BASE PRINCIPAL NET	MAT DATE	MAT RATE	TRD DT	STL DT	SELL RATE	NET SECTY GN/LS
		*** AVERAGE ***					
903914109	TOTAL						
		90,178.74	109,620.36	-19,441.62		.00	-19,441.62
91324F102	UNITEDHEALTH GROUP INC		COMMON STOCK USD.01	04APR12	10APR12	1.000000	
032	26,515.70	21,899.40		4,616.30		.00	4,616.30
450.000							
300	17,905.84	15,808.60		15JUN12	20JUN12	1.000000	
300.000				2,097.24		.00	2,097.24
470	5,133.38	5,361.97		01AUG12	06AUG12	1.000000	
100.000				-228.59		.00	-228.59
558	21,192.28	21,447.87		20AUG12	23AUG12	1.000000	
400.000				-255.59		.00	-255.59
91324P102	TOTAL						
		70,747.20	64,517.84	6,229.36		.00	6,229.36
92553P201	VIACOM INC CLASS B		COMMON STOCK USD.001	27JAN12	01FEB12	1.000000	
550	16,759.42	13,020.17		3,739.25		.00	3,739.25
350.000							
680	32,848.72	26,040.34		28FEB12	02MAR12	1.000000	
700.000				6,808.38		.00	6,808.38
033	20,730.81	16,740.22		04APR12	10APR12	1.000000	
450.000				3,990.59		.00	3,990.59
492	37,038.21	33,535.56		01AUG12	06AUG12	1.000000	
800.000				3,502.65		.00	3,502.65
92553P201	TOTAL						
		107,377.16	89,336.29	18,040.87		.00	18,040.87

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SH SELL/BTC	LOT LOCAL PRINCIPAL NET	LOCAL COST	BASE SECTY GN/LS	BASE SECTY GN/LS	BASE CURR GN/LS			
SHARES/PAR	BASE PRINCIPAL NET	*** AVERAGE ***						
92857W209	VODAFONE GROUP PLC SP ADR	ADR		27JAN12	01FEB12	1.000000		
559	20,358.35	19,607.48	750.87			.00	750.87	
750.000								
041	24,709.12	23,603.25	1,105.87	04APR12	10APR12	1.000000		1,105.87
900 000						.00		
313	28,764.10	28,113.97	650.13	15JUN12	20JUN12	1.000000		650.13
1,050.000						.00		
489	17,666.60	16,101.98	1,564.62	01AUG12	06AUG12	1.000000		1,564.62
600.000						.00		
567	29,318.54	26,836.63	2,481.91	20AUG12	23AUG12	1.000000		2,481.91
1,000.000						.00		
92857W209	TOTAL							
	120,816.71	114,263.31	6,553.40			.00		6,553.40
949746101	WELLS FARGO + CO	COMMON STOCK USD1.666		27JAN12	01FEB12	1.000000		
557	19,281.87	15,092.94	4,188.93			.00		4,188.93
650.000								
577	17,561.24	13,931.94	3,629.30	31JAN12	03FEB12	1.000000		3,629.30
600.000						.00		
580	86,350.15	68,498.71	17,851.44	31JAN12	03FEB12	1.000000		17,851.44
2,950.000						.00		
729	45,358.23	33,668.86	11,689.37	12MAR12	15MAR12	1.000000		11,689.37
1,450 000						.00		
741	68,505.50	49,922.79	18,582.71	13MAR12	16MAR12	1.000000		18,582.71
2,150.000						.00		
758	45,568.56	31,346.87	14,221.69	16MAR12	21MAR12	1.000000		14,221.69
1,350.000						.00		
				20MAR12	23MAR12	1.000000		

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SH SELL/BTC	LOT LOCAL PRINCIPAL NET	LOCAL COST	BASE SECTY GN/LS	BASE SECTY GN/LS	BASE CURR GN/LS	NET BAS SECTY GN/LS	
SHARES/PAR	BASE PRINCIPAL NET	*** AVERAGE ***	FUND ***				
771	34,094.38	23,219.90	10,874.48	00		10,874.48	
1,000.000							
793	34,185.23	23,219.90	28MAR12 10,965.33	02APR12	1.000000	10,965.33	
1,000.000							
034	15,225.45	10,448.96	04APR12 4,776.49	10APR12	1.000000	4,776.49	
450.000							
110	41,578.81	34,976.35	19APR12 6,602.46	24APR12	1.000000	6,602.46	
1,250.000							
306	15,982.14	13,990.54	15JUN12 1,991.60	20JUN12	1.000000	1,991.60	
500.000							
480	10,195.27	8,394.32	01AUG12 1,800.95	06AUG12	1.000000	1,800.95	
300.000							
557	13,600.93	11,192.43	20AUG12 2,408.50	23AUG12	1.000000	2,408.50	
400.000							
157	62,759.37	50,365.95	20DEC12 12,393.42	26DEC12	1.000000	12,393.42	
1,800.000							
949746101	510,247.13	388,270.46	121,976.67			121,976.67	
TOTAL							
959802109	8,882.35	8,958.82	04APR12 -76.47	10APR12	1.000000	-76.47	
035							
500.000							
493	17,124.61	17,890.53	01AUG12 -765.92	06AUG12	1.000000	-765.92	
1,000.000							
787	243,658.28	335,447.53	31OCT12 -91,789.25	05NOV12	1.000000	-91,789.25	
18,750.000							
800	125,406.18	178,905.35	01NOV12 -53,499.17	06NOV12	1.000000	-53,499.17	
10,000.000							

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SH SELL/BTC	LOT LOCAL PRINCIPAL NET	LOCAL COST	LOCAL SECTY GN/LS	BASE SECTY GN/LS	BASE CURR GN/LS	NET BAS SECTY GN/LS	
	SHARES/PAR	BASE	COST	FUND			
		* * * A V E R A G E F U N D * * *					
959802109	TOTAL	395,071.42	541,202.23	-146,130.81		.00	-146,130.81
USD TOTAL		20,488,514.57	19,512,411.70	976,102.87		.00	976,102.87
EQUITY TOTAL		20,488,514.57	19,512,411.70	976,102.87		.00	976,102.87

ASSET ID	SECURITY DESCRIPTION	MAT DATE	MAT RATE	TRD DT	STL DT	SELL RATE	NET SECTY GN/LS	NET BAS SECTY GN/LS	
SH SELL/BTC	LOT LOCAL PRINCIPAL NET	LOCAL COST	LOCAL SECTY GN/LS						
SHARES/PAR	BASE PRINCIPAL NET	BASE COST	BASE SECTY GN/LS						
* * * A V E R A G E F U N D * * *									

FOREIGN CASH									

CAD	CANADIAN DOLLAR			16APR12	16APR12	1.001550			
	064	5,940.38	5,940.38	.00			.00		
	5,940.380	5,931.19	5,955.57	.00		-24.38	-24.38		
CAD	CXL 064	-5,940.38	-5,940.38	16APR12	16APR12	1.001550	.00		
	-5,940.380	-5,931.19	-5,955.57	.00		24.38	24.38		
CAD	065	5,940.38	5,940.38	16APR12	16APR12	1.001550	.00		
	5,940.380	5,931.19	5,955.57	.00		-24.38	-24.38		
CAD TOTAL		5,940.38	5,940.38	.00			.00		
-----		5,931.19	5,955.57	.00		-24.38	-24.38		
FOREIGN CASH TOTAL		5,931.19	5,955.57	.00		-24.38	-24.38		

USD US DOLLAR				
		BASE	BASE	BASE
		SEC GAIN	CURR GAIN	TOTAL GAIN
GROSS GAINS				
EQUITY		1,860,232.30	.00	1,860,232.30
CURRENCY TOTAL		1,860,232.30	.00	1,860,232.30

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USD

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USD US DOLLAR		BASE SEC LOSS		BASE CURR LOSS		BASE TOTAL LOSS

GROSS LOSSES						
		-884,129.43		.00		-884,129.43

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USD US DOLLAR	BASE SEC GN/LS	BASE CURR GN/L	BASE TOTAL GN/L
NET GAINS/LOSSES			
EQUITY	976,102.87	.00	976,102.87
CURRENCY TOTAL	976,102.87	.00	976,102.87

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CAD	CANADIAN DOLLAR				
		LOCAL SEC GAIN	LOCAL CURR GAIN	LOCAL TOTAL GAIN	LOCAL TOTAL GAIN
		BASE SEC GAIN	BASE CURR GAIN	BASE CURR GAIN	BASE TOTAL GAIN

GROSS LOSSES

FOREIGN CASH	.00	.00	.00	.00	.00
	.00		-24.38	-24.38	-24.38

CURRENCY TOTAL	.00	.00	.00	.00	.00
	.00		-24.38	-24.38	-24.38

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CAD CANADIAN DOLLAR		BASE SEC GN/LS	BASE CURR GN/L	BASE TOTAL GN/L
NET GAINS/LOSSES				
FOREIGN CASH		.00	-24.38	-24.38
CURRENCY TOTAL		.00	-24.38	-24.38

FUND TOTAL GAINS		BASE		BASE	
		SEC GAIN	CURR GAIN	TOTAL GAIN	
GROSS GAINS					
EQUITY		1,860,232.30	.00	1,860,232.30	
FUND TOTAL		1,860,232.30	.00	1,860,232.30	

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FUND TOTAL LOSSES			
	BASE SEC LOSS	BASE CURR LOSS	BASE TOTAL LOSS
GROSS LOSSES			
EQUITY	-884,129.43	.00	-884,129.43
FOREIGN CASH	.00	-24.38	-24.38
FUND TOTAL	-884,129.43	-24.38	-884,153.81

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FUND GAINS/LOSSES

	BASE SEC GN/LS	BASE CURR GN/L	BASE TOTAL GN/L
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NET GAINS/LOSSES

EQUITY	976,102.87	.00	976,102.87
FOREIGN CASH	.00	-24.38	-24.38
FUND TOTAL	976,102.87	-24.38	976,078.49

FUND TOTALS	BASE PRINCIPAL NET	BASE COST	SHARES	# OF TRANSACTIONS
EQUITY	20,488,514.57	19,512,411.70	563,956.000	457
FOREIGN CASH	5,931.19	5,955.57	5,940.380	3
TOTAL	20,494,445.76	19,518,367.27	569,896.380	460

Grantee	Request Type	Project Description	Grant Date	Grant Amount	Amount Paid	Payment Status & Date	Total Paid Amount	Report Type	Due date	Received Date	Amount Remaining	Diversio n* >5%	Report Notes	Status of Grant
Acceptame Como Soy, A.C. Calle Aldama #1 Col Santa Maria Ahuacatlilan Cuernavaca Morelos, Mexico CP 62100 Mexico	Cash Grants 15318	To expand to provide full dental and orthopedic/orthotic services to very low income children with serious disabilities in Cuernavaca, Mexico	Approved 12/7/2006	\$50,400.00	\$25,200.00	Paid 3/10/2008	\$50,400.00	Final Grant Report	5/30/2009	9/27/2009	\$0.00	NO	Satisfactory Final Report Received	This grantee fulfilled all requirements. The grant is now closed satisfactory
Americares India 88 Hamilton Avenue Stanford, CT 06902	Cash Grants 16979	To support a two year scalable pilot initiative aimed at reducing health disparities within pre-selected communities across the urban slums of Andheri East in Mumbai, India, through the use of mobile medical vans, patient tracking and education programs	Approved 12/15/2010	\$85,553.00	\$58,887.00	Paid 1/10/2011	\$85,553.00	Interim Report	1/28/2008	1/28/2008	\$0.00	NO	Satisfactory Interim report received for next payment	GRANT IN PROGRESS
Apoyo, Educacion Y Rehabilitacion Social Del Individuo A.C. (Casa Hogar Amor) Calle Aquiles Serdan No 101 Ext Cuauhtemoc, Col El Empleado Cuernavaca, Morelos 62250 Mexico	Cash Grants 16178	To increase basic healthcare services and psychological care for homeless and abandoned children in Cuernavaca, Morelos, Mexico	Approved 12/15/2008	\$19,200.00	\$19,200.00	Paid 2/23/2009	\$19,200.00	Final Grant Report	3/15/2010	2/6/2013	\$0.00	NO	Satisfactory Final Report Received	This grantee fulfilled all requirements. The grant is now closed satisfactory
Arrastao Movimento de Promocao Humana Rua Dr. Joviano Pacheco de Aguiar, 255 Jardim Bom Reluigio, Campo Limpo SP-CEP 05788-290 Brazil	Cash Grants 16502	To increase nutrition and hygiene practices to improve health in the Campo Limpo region of Sao Paulo, Brazil	Approved 9/24/2009	\$40,267.00	\$0.00	Paid 12/7/2009	\$40,267.00	Final Grant Report	12/15/2010	4/1/2011	\$0.00	NO	Satisfactory Final Report Received	This grantee fulfilled all requirements. The grant is now closed satisfactory
Asociacion Atilio de la Vales de Cartago 200 m east and 300 m North Banco Credito Agrario de Cartago 37-7050 Cartago Cartago, Costa Rica 37-7050 Costa Rica	Cash Grants 16595	For a geriatric psychologist to increase cognitive functioning and improve participation in physical and other therapy for elderly living in a nursing facility in Cartago, Costa Rica (36 months)	Approved 12/15/2009	\$34,920.00	\$11,640.00	Scheduled to be Paid 2/15/2013	\$34,920.00	Interim Report	7/23/2012	11/13/2012	\$0.00	NO	Satisfactory Interim report received for next payment	GRANT IN PROGRESS
Asociacion Atilio de la Vales de Cartago 200 m east and 300 m North Banco Credito Agrario de Cartago 37-7050 Cartago Cartago, Costa Rica 37-7050 Costa Rica	Cash Grants 14897	For expanded services to include physical therapy for the elderly living in this nursing home in Cartago, Costa Rica	Approved 12/12/2005	\$19,320.00	\$9,660.00	Paid 7/30/2007	\$19,320.00	Interim Report	1/15/2011	5/11/2011	\$0.00	NO	Received final report after multiple attempts to collect it	This grantee fulfilled all requirements. The grant is now closed satisfactory
Asociacion Oxcense Pro Salud Mental/ASAPME HUESCA C/Nuestra Señora De Cillas 3 Znd. CP 22 002 Huesca Spain	Cash Grants 16914	For expanded therapy services for mental health patients in the Sobanilla area of Spain	Approved 9/28/2010	\$82,666.00	\$82,666.00	Paid 2/15/2011	\$82,666.00	Final Grant Report	4/15/2012	2/7/2013	\$0.00	NO	Satisfactory Final Report Received	This grantee fulfilled all requirements. The grant is now closed satisfactory
Asociacao de Apolo ao Projeto Quibote Coronel Lisboa Street Number 713 Sao Paulo, Sao Paulo 04020-041 Brazil	Cash Grants 16176	To increase health and nutrition services to at risk street children in Sao Paulo, Brazil	Approved 12/15/2008	\$65,908.00	\$65,908.00	Paid 2/2/2009	\$65,908.00	Final Grant Report	3/15/2010	11/10/2010	\$0.00	NO	Satisfactory Final Report Received	This grantee fulfilled all requirements. The grant is now closed satisfactory
Asociacao Oba do Berco Rua do Chico Nunes 173 Sao Paulo, SP 05734-110 Brazil	Cash Grants 15960	For a specialized nurse to train staff in basic health services, hygiene and emergency care in Sao Paulo, Brazil	Approved 6/26/2008	\$33,381.00	\$0.00	Paid 9/22/2008	\$33,381.00	Final Grant Report	11/30/2009	3/8/2010	\$0.00	NO	Satisfactory Final Report Received	This grantee fulfilled all requirements. The grant is now closed satisfactory
Asociacao Pro-Hope Apolo a Crianca Rua Joaquim Tavora, 1428 Sao Paulo, SP-CP 04015-014 Brazil	Cash Grants 15345	To expand psychology and occupational therapy services for underprivileged children with life-threatening illnesses, transplant patients and their families in Sao Paulo, Brazil (18 months)	Approved 6/21/2007	\$55,056.00	\$18,352.00	Paid 1/19/2009	\$55,056.00	Interim Report	2/28/2010	12/7/2009	\$0.00	NO	Satisfactory Interim report received for next payment	This grantee fulfilled all requirements. The grant is now closed satisfactory
Associazione Nazionale Subvedenti-Onlus Via Celeste Clericelli, 22 20133 Milan Italy	Cash Grants 16912	To increase specialized services in assistive devices for people with very low vision and blindness in Milan, Italy	Approved 9/28/2010	\$82,666.00	\$82,666.00	Paid 12/27/2010	\$82,666.00	Final Grant Report	2/27/2012	4/6/2012	\$0.00	NO	Satisfactory Final Report Received	This grantee fulfilled all requirements. The grant is now closed satisfactory

Request-Grantee	Type	Project Description	Grant Date	Grant Amount	Amount Paid	Payment Status & Date	Total Paid Amount	Report Type	Due date	Received Date	Amount Remaining	Diversio n° >5%	Report Notes	Status of Grant
Auckland City Mission P.O. Box 5352 Wellsley Street Auckland 1141, New Zealand	Cash Grants 17077	To secure funding for salary of mental health professional as part of an extension of the current medical service	Approved 4/14/2011	\$41,636.25	\$41,636.25	Paid 6/26/2012	\$41,636.25	Final Grant Report	8/26/2013	N/A	N/A	N/A	GRANT IN PROGRESS	GRANT IN PROGRESS
Beat Wensum House, 103 Prince of Wales Road Norwich, Norfolk NR1 1DW UK	Cash Grants 15938	To increase services to migrant families addressing eating disorders in Thailand, UK	Approved 6/24/2008	\$36,360.00	\$36,360.00	Paid 7/7/2008	\$36,360.00	Final Grant Report	7/31/2009	8/12/2009	\$0.00	NO	Satisfactory Final Report Received	This grantee fulfilled all requirements. The grant is now closed satisfactory.
Brigadas de Amistad, I.A.P. Ofic. Medo Nervio 115 Col. Sanchez Collin Toluca, Mexico CP 50150 Mexico	Cash Grants 14524	To support the salary for one year of a psychologist providing counseling for ex-offenders recovering from substance abuse and their families as they start a new life (24 months)	Approved 4/7/2005	\$20,000.00	\$10,000.00 \$10,000.00	Paid 7/31/2006 Paid 5/17/2005	\$20,000.00	Final Grant Report Interim Report	9/30/2007 4/20/2006	1/21/2009 7/10/2006	\$0.00 \$0.00	NO NO	Satisfactory Final Report Received Received Satisfactory Interim Report	This grantee fulfilled all requirements. The grant is now closed satisfactory.
Canbaz no Kai 16-16 Kubobaru-cho Miyakonoko City, Miyazaki Prefecture Japan	Cash Grants 15977	Training for staff working with people with disabilities to upgrade rehabilitation services prepare them to live independently in the Miyazaki area of Japan (24 months)	Approved 6/26/2008	\$28,000.00	\$14,000.00 \$14,000.00	Paid 8/31/2009 Paid 8/4/2008	\$28,000.00	Final Grant Report Interim Report	11/30/2010 8/7/2009	12/1/2009	\$0.00 \$0.00	NO NO	Satisfactory Final Report Received Satisfactory Interim report received for next payment	This grantee fulfilled all requirements. The grant is now closed satisfactory.
Extraordinary Grant 2010 Haiti Earthquake	Cash Grants 16777	To provide transitional assistance and rehabilitation through the establishment of twenty reproductive health posts for services, training, and the re establishment of health facilities in Haiti after the 2010 earthquake	Approved 5/24/2010	\$100,000.00	\$100,000.00	Paid 6/15/2010	\$100,000.00	Final Grant Report	7/31/2011	2/8/2011	\$0.00	NO	Satisfactory Final Report Received	This grantee fulfilled all requirements. The grant is now closed satisfactory.
Contribution from the Foundation's Disaster Relief Employee Matching Fund Program for the Haiti earthquake January 2010	Cash Grants 16768	Extraordinary Grant Employee Matching Fund Contribution from the Foundation's Disaster Relief Employee Matching Fund Program for the Myanmar Cyclone Relief Effort	Approved 5/13/2010	\$75,000.00	\$75,000.00	Paid 5/17/2010	\$75,000.00	Final Grant Report	7/31/2011	2/8/2011	\$0.00	NO	Satisfactory Final Report Received	This grantee fulfilled all requirements. The grant is now closed satisfactory.
CARE 151 Ellis Street NE Atlanta, GA 30303-2420	Cash Grants 16134	Extraordinary Grant Employee Matching Fund Contribution from the Foundation's Disaster Relief Employee Matching Fund Program for the Myanmar Cyclone Relief Effort	Approved 10/24/2008	\$2,477.81	\$2,477.81	Paid 10/24/2008	\$2,477.81	Final Grant Report	1/20/2009	12/22/2008	\$0.00	NO	Satisfactory Final Report Received	This grantee fulfilled all requirements. The grant is now closed satisfactory.
Caritas Malta--Foundation for the Rehabilitation of Drug Abusers 5 Lion Street Floriana FRN 1514 Malta	Cash Grants 16035 Cash Grants 15945	Contribution from the Foundation's Disaster Relief Employee Matching Fund Program for the Myanmar Cyclone Relief Effort Support CARE's Emergency Cyclone Response in Myanmar on May 3, 2008	Approved 8/4/2008 Approved 5/21/2008	\$15,388.71 \$150,000.00	\$15,388.71 \$0.00	Paid 8/4/2008 Paid 6/13/2008	\$15,388.71 \$150,000.00	Final Grant Report Final Grant Report	1/20/2009 1/20/2009	12/22/2008 12/22/2008	\$0.00 \$0.00	NO NO	Satisfactory Final Report Received Satisfactory Final Report Received	This grantee fulfilled all requirements. The grant is now closed satisfactory.
Casa Da Crianca Betinho-Lar Expilrita Para Excepcionais Rua Vacanga N 300 Vila Carrao Sao Paulo, SP Cep 03433-025 Brazil	Cash Grants 16175	To increase counseling for substance abuse and mental health services, prevention of sexually transmitted infections and related professional training in Malta (24 months)	Approved 12/15/2008	\$98,000.00	\$45,250.00 \$45,250.00	Paid 6/8/2010 Paid 2/9/2009	\$98,000.00	Final Grant Report Interim Report	7/31/2011 1/15/2010	7/6/2011 5/14/2010	\$0.00 \$0.00	NO NO	Satisfactory Final Report Received Satisfactory Interim report received for next payment	This grantee fulfilled all requirements. The grant is now closed satisfactory.
Casa Da Crianca Betinho-Lar Expilrita Para Excepcionais Rua Vacanga N 300 Vila Carrao Sao Paulo, SP Cep 03433-025 Brazil	Cash Grants 15575	To support the addition of a highly skilled nurse to improve care and staff retention at this free shelter for children and youth with severe neurological disabilities in Sao Paulo, Brazil Highly skilled nurse in Sao Paulo, Brazil	Approved 6/21/2007	\$35,444.00	\$17,722.00 \$17,722.00	Paid 1/19/2009 Paid 9/5/2007	\$35,444.00	Final Grant Report Interim Report	3/19/2010 10/8/2008	9/24/2010 12/2/2008	\$0.00 \$0.00	NO NO	Satisfactory Final Report Received Satisfactory Interim report received for next payment	This grantee fulfilled all requirements. The grant is now closed satisfactory.
Centro de Promocao Social Bororo Avenida General Gliberto do Couto e Silva, 93 Jardim Lucelia, Sao Paulo 04852-228 Brazil	Cash Grants 17204	To hire a specialized nutritionist in community health to run and coordinate the project	Approved 8/22/2011	\$52,534.00	\$52,534.00	Paid 2/9/2012	\$52,534.00	Final Grant Report	4/9/2013	N/A	N/A	N/A	GRANT IN PROGRESS	GRANT IN PROGRESS
Centro Educativo El Carmelo Barrio Cuba Calle 10b Avenida 28 San Jose, San Jose Costa Rica	Cash Grants 16068	To increase psychological services to at risk children and their families in San Jose, Costa Rica Expansion of psychological services in San Jose, Costa Rica	Approved 9/26/2008	\$33,600.00	\$33,600.00	Paid 10/20/2008	\$33,600.00	Final Grant Report	12/31/2009	3/17/2010	\$0.00	NO	Satisfactory Final Report Received	This grantee fulfilled all requirements. The grant is now closed satisfactory.
Centro Asprilla Rosa Lar--Casa Andre Luis Av. Andre Luis 723 - Picanco Guarulhos, SP 07082-050 Brazil	Cash Grants 15638	To increase the professional and technical skills of multidisciplinary staff in this institution that serves people with serious developmental and physical disabilities in Sao Paulo, Brazil	Approved 10/8/2007	\$60,000.00	\$25,000.00 \$25,000.00	Paid 4/13/2009 Paid 4/4/2008	\$60,000.00	Final Grant Report Interim Report	4/9/2010 4/4/2009	9/27/2010 2/20/2009	\$0.00 \$0.00	NO NO	Satisfactory Final Report Received Satisfactory Interim report received for next payment	This grantee fulfilled all requirements. The grant is now closed satisfactory.

Grantee	Request Type	Project Description	Grant Date	Grant Amount	Amount Paid	Payment Status & Date	Total Paid Amount	Report Type	Due Date	Received Date	Amount Remaining	Diversio n > 5%	Report Notes	Status of Grant
Charities Aid Foundation India - CAF R-39 Ground Floor South Extension, Part II New Delhi 110 049 India	Cash Grants 16300	To expand programs to prevent non communicable chronic diseases in India	Approved 4/16/2009	\$240,000.00	\$120,000.00	Paid 11/10/2010	\$240,000.00	Final Grant Report	12/18/2011	10/25/2012	\$0.00	NO	Satisfactory Final Report Received	This grantee fulfilled all requirements. The grant is now closed satisfactory
	Cash Grants 15130	To initiate and incubate a Chronic Care Initiative to increase awareness among high-risk populations and educate medical personnel on prevention, available treatment options, and best practices, improve access and availability of treatment of chronic diseases in India	Approved 6/19/2006	\$260,000.00	\$170,000.00	Paid 1/19/2007	\$260,000.00	Interim Report	9/1/2009	9/1/2009	\$0.00	NO	Satisfactory Interim Report received for next payment	This grantee fulfilled all requirements. The grant is now closed satisfactory
	Cash Grants 17409	To support the salaries of two full time equine therapists and a project manager for one year	Approved 4/12/2012	\$132,177.00	\$132,177.00	Paid 7/24/2012	\$132,177.00	Interim Report	8/9/2007	9/27/2007	\$0.00	NO	Satisfactory Interim Report received for next payment	This grantee fulfilled all requirements. The grant is now closed satisfactory
ChildVision - St Joseph's Centre for the Visually Impaired Grace Park Road, Dublin 9, Ireland	Cash Grants 15962	Expanded psychomotor rehabilitation services for young children with developmental disabilities through dance and music. Expanded psychomotor rehabilitation services	Approved 6/26/2008	\$46,792.00	\$0.00	Paid 10/20/2008	\$46,792.00	Final Grant Report	12/20/2009	4/6/2010	\$0.00	NO	Satisfactory Final Report Received	This grantee fulfilled all requirements. The grant is now closed satisfactory
Compania de Danza Mobile Asociacion Bajos Puente de Cristo de la Expiracion Local 1 Seville 41001 Spain	Cash Grants 15962	To increase physical rehabilitation services to children with cerebral palsy and other neuromuscular disabilities	Approved 12/12/2007	\$50,000.00	\$25,000.00	Paid 8/4/2009	\$50,000.00	Final Grant Report	9/29/2010	8/25/2010	\$0.00	NO	Satisfactory Final Report Received	This grantee fulfilled all requirements. The grant is now closed satisfactory
Con Nositros, A.C. Calle de los Doctores No.99 Colonía Lomas de Jutepec CP.62566 Jutepec, Morelos Mexico	Cash Grants 15709	To cover salary for additional nurse to assess the health needs of clients in Coolmine residential and day programs ensuring physical, social, psychological and health education needs are met.	Approved 12/9/2011	\$50,390.00	\$50,390.00	Paid 6/13/2012	\$50,390.00	Final Grant Report	8/13/2013	N/A	N/A	N/A	GRANT IN PROGRESS	GRANT IN PROGRESS
Coolmine Therapeutic Community Ashleigh House Damastown, Dublin 15 Ireland	Cash Grants 15962	To expand and stabilize mental health services to highly disadvantaged children, youth and families in Jardim Sao Luis neighborhood of Sao Paulo, Brazil	Approved 7/1/2009	\$51,742.00	\$51,742.00	Paid 8/4/2009	\$51,742.00	Final Grant Report	10/31/2010	1/10/2011	\$0.00	NO	Satisfactory Final Report Received	This grantee fulfilled all requirements. The grant is now closed satisfactory
Fundacao Julia Rua Nova Do Tuparequera 117 Jardim Sao Luis Sao Paulo, SP 05820-200 Brazil	Cash Grants 15959	To increase the availability of mental health services through a Center for Psychological Care for children, youth, and adults from the low-income Jardim Sao Luis community of Sao Paulo, Brazil	Approved 6/26/2008	\$57,402.00	\$57,402.00	Paid 7/23/2008	\$57,402.00	Final Grant Report	9/10/2009	9/10/2009	\$0.00	NO	Satisfactory Final Report Received	This grantee fulfilled all requirements. The grant is now closed satisfactory
Fundacion Oratorio don Bosco Downtown San Jose Avenue 09, among Street 00 and 02 San Jose Costa Rica	Cash Grants 15642	To support the salaries for two social workers and two psychologists for increased counseling services to high-risk children and adolescents in San Jose, Costa Rica	Approved 10/8/2007	\$68,040.00	\$68,040.00	Paid 12/14/2007	\$68,040.00	Final Grant Report	2/10/2009	2/16/2009	\$0.00	NO	Satisfactory Final Report Received	This grantee fulfilled all requirements. The grant is now closed satisfactory
Fundacion Para La Lucha Contra Las Infecciones Nosocomiales F.L.L.N. Apolinario Figueroa 973 Piso 7º, dto. "C" Ciudad Autonoma de Buenos Aires (1416), Buenos Aires Argentina	Cash Grants 16285	For assistance to enable program expansion in Argentina	Approved 4/16/2009	\$6,500.00	\$6,500.00	Paid 3/16/2009	\$6,500.00	Final Grant Report	7/2/2010	4/15/2011	\$0.00	NO	Satisfactory Final Report Received	This grantee fulfilled all requirements. The grant is now closed satisfactory
Gunsawirra Limited 30 George Street Refrers, NSW 2016 Australia	Cash Grants 16988	For support of managers salaries for family project	Approved 4/14/2011	\$50,000.00	\$20,000.00	Paid 8/4/2011	\$50,000.00	Final Grant Report	6/13/2012	2/19/2013	\$0.00	NO	Satisfactory Final Report Received	This grantee fulfilled all requirements. The grant is now closed satisfactory
Habitat for Humanity Thailand Sukhumvit 21, Klongtoey Nua, Wattanan 253 Anake Building, 12th Floor Bangkok 10110 Thailand	Cash Grants 17327	Thailand flooding discretionary disaster relief grant 11 2011	Approved 12/9/2011	\$10,000.00	\$10,000.00	Paid 1/30/2012	\$10,000.00	Final Grant Report	12/10/2012	7/5/2012	\$0.00	NO	Satisfactory Final Report Received	This grantee fulfilled all requirements. The grant is now closed satisfactory
Hope House Foxford County Mayo Ireland	Cash Grants 15840	To improve and expand substance abuse counseling services by supporting the addition and training of a new additions counselor in County Mayo, Ireland	Approved 4/14/2008	\$120,000.00	\$60,000.00	Paid 9/8/2010	\$120,000.00	Final Grant Report	10/15/2011	1/20/2012	\$0.00	NO	Satisfactory Final Report Received	This grantee fulfilled all requirements. The grant is now closed satisfactory
Hope International Development Agency- BPDN	Cash Grants 15962	Discretionary Disaster Relief Grant for salary of new development officer to assist with organ donation	Approved 4/14/2008	\$62,500.00	\$62,500.00	Paid 7/11/2009	\$62,500.00	Final Grant Report	10/9/2013	N/A	N/A	N/A	GRANT IN PROGRESS	This grantee fulfilled all requirements. The grant is now closed satisfactory

Grantee	Request Type	Project Description	Grant Date	Grant Amount	Amount Paid	Payment Status & Date	Total Paid Amount	Report Type	Due Date	Received Date	Amount Remaining	Diversio n° >5%	Report Notes	Status of Grant
3C Kamiya Building 1-16-2 Sakae Nakaku, nagoya-shi Japan	Cash Grants 17196	March 15, 2011 Foundation Amount \$90,000.00 Employee Matching Gift for Disaster Relief \$35,000.00	Approved 8/22/2011	\$125,000.00	\$62,500.00	Paid 9/12/2011	\$125,000.00	Interim Report	8/22/2012	7/26/2012	\$0.00		Satisfactory Interim report received for next payment	GRANT IN PROGRESS
INTERPRET Monbijoutstrasse 61 3007 Bern Switzerland	Cash Grants 15711	To increase the use by primary care physicians of available interpretation services to improve healthcare services and patient safety	Approved 12/12/2007	\$11,714.00	\$11,714.00	Paid 2/11/2008	\$11,714.00	Final Grant Report	2/10/2009	8/28/2008	\$0.00	NO	Satisfactory Final Report Received	This grantee fulfilled all requirements. The grant is now closed satisfactory
JER Organization Daimi Tobuond Building 2-16 Aegabacho Shinjuku-ku, Tokyo Japan	Cash Grants 17195	Discretionary Disaster Relief Grant to fund a soup kitchen for support of Ishinomaki after Japan earthquake March 15, 2011	Approved 8/22/2011	\$50,000.00	\$50,000.00	Paid 10/7/2011	\$50,000.00	Final Grant Report	12/7/2012	12/20/2012	\$0.00	NO	Satisfactory Final Report Received	This grantee fulfilled all requirements. The grant is now closed satisfactory
Kispex Schmauserstrasse 85 Zurich 8057 Switzerland	Cash Grants 17179	To provide palliative and psychological support for family members of seriously ill children	Approved 8/22/2011	\$48,211.21	\$48,211.21	Paid 12/21/2011	\$48,211.21	Final Grant Report	2/21/2013	N/A	N/A	N/A	GRANT IN PROGRESS	GRANT IN PROGRESS
Medicos del Mundo Argentina 48 calle Alvear 1082 Buenos Aires, Capital Federal Argentina	Cash Grants 15848	To train 60 health promoters in 16 neighborhoods in Buenos Aires, Argentina	Approved 4/14/2008	\$31,820.00	\$31,820.00	Paid 6/5/2008	\$31,820.00	Final Grant Report	9/30/2009	OVERDUE	UNK	UNK	FINAL REPORT OVERDUE	The Foundation has made continuous and repeated attempts to obtain the required grantee report but was unsuccessful. The Foundation has administratively closed this grant. This grantee is ineligible to receive future funding or other support from the Foundation
New Life Counselling Services 25 Arcoy Road Belfast BT14 7HX Northern Ireland	Cash Grants 16587	To expand mental health counselling services in Northern Ireland through the use of volunteer trained professional counsellors	Approved 12/15/2009	\$166,270.00	\$83,816.00 \$82,454.00	Paid 5/23/2011 Paid 1/18/2010	\$166,270.00	Final Grant Report Interim Report	7/23/2012 5/1/2011	1/29/2013 5/16/2011	\$0.00 \$0.00	NO NO	Satisfactory Final Report Received Satisfactory Interim report received for next payment	This grantee fulfilled all requirements. The grant is now closed satisfactory
New Zealand Red Cross Level 3, Moleworth Street Thorndon, Wellington 6141 New Zealand	Cash Grants 17152	Discretionary Disaster Relief Grant for the New Zealand Earthquake	Approved 8/22/2011	\$5,000.00	\$5,000.00	Paid 6/27/2011	\$5,000.00	Final Grant Report	8/27/2012	OVERDUE	UNK	UNK	FINAL REPORT OVERDUE	The Foundation has made continuous and repeated attempts to collect the final report and will continue to do so, given the good history the Foundation has with this organization.
Orden Hospitalaria De San Juan De Dios Carretera Ba No 17-45 SUR Colombia	Cash Grants 16509	To expand and improve maternal and child health in Soacha, Bogota, Colombia	Approved 9/24/2009	\$81,996.00	\$81,996.00	Paid 6/8/2010	\$81,996.00	Final Grant Report	8/8/2011	1/24/2012	\$0.00	NO	Satisfactory Final Report Received	This grantee fulfilled all requirements. The grant is now closed satisfactory.
Parramatta Mission 119 Macquarie Street Parramatta 2150 Australia	Cash Grants 16980	Provide a full time position for a registered health professional to provide assessments onsite at Headspace, a youth friendly health service	Approved 12/15/2010	\$90,010.00	\$90,010.00	Paid 2/15/2011	\$90,010.00	Final Grant Report	3/15/2012	OVERDUE	UNK	UNK	FINAL REPORT OVERDUE	The Foundation has made continuous and repeated attempts to collect the final report and will continue to do so, given the good history the Foundation has with this organization.
Plan India E-12 Kailash Colony New Delhi 110048 India	Cash Grants 17067	To enhance employment opportunities to underprivileged women by technical skills training	Approved 4/14/2011	\$52,680.00	\$52,680.00	Paid 6/8/2010	\$52,680.00	Final Grant Report	8/6/2012	10/16/2012	\$0.00	NO	Satisfactory Final Report Received	This grantee fulfilled all requirements. The grant is now closed satisfactory
Pro Integral Av. Antonio Aguayo de Aguilar 163.5 B-014 Lisbon 1050-014 Portugal	Cash Grants 17358	To support the care of survivors for maternal resources and a budget for psychologist program coordinator, psychologist, social worker and sociologist that includes travel and expenses related to the consultation and assistance of the survivors.	Approved 4/12/2012	\$66,450.00	\$33,225 SCHEDULED \$33,225.00	PAYMENT SCHEDULED Paid 7/24/2012	\$33,225.00	Final Grant Report Interim Report	12/24/2014 9/24/2013	N/A N/A	N/A N/A	N/A N/A	GRANT IN PROGRESS	GRANT IN PROGRESS
Kompetenzzentrum für Menschen mit einer Hirnverletzung Almendstrasse 6, Sursee CH-6210 Switzerland	Cash Grants 17236	To support the expansion of psychological support services, with full time staff psychologist for clients and their families.	Approved 8/22/2011	\$44,350.00	\$44,350.00	Paid 9/29/2011	\$44,350.00	Final Grant Report	11/29/2012	OVERDUE	UNK	UNK	FINAL REPORT OVERDUE	The Foundation has made continuous and repeated attempts to collect the final report and will continue to do so, given the good history the Foundation has with this organization.
Project Concern International 5151 Murphy Canyon Road, Suite 320 San Diego, CA 92123	Cash Grants 16309	To improve healthcare services to adolescents in crisis and at-risk in Delhi and Haryana, India Improving Access to Healthcare Services for Adolescents in Crisis in Delhi and Haryana, India	Approved 4/16/2009	\$130,946.00	\$63,385.00 \$67,561.00	Paid 2/15/2011 Paid 10/30/2009	\$130,946.00	Final Grant Report Interim Report	3/15/2012 10/15/2010	3/1/2012 9/21/2010	\$0.00 \$0.00	NO NO	Satisfactory Final Report Received Satisfactory Interim report received for next payment	This grantee fulfilled all requirements. The grant is now closed satisfactory

Request Grantee	Type	Project Description	Grant Amount	Amount Paid	Payment Status & Date	Total Paid Amount	Report Type	Due date	Received Date	Amount- Remaining	Disburse- ment %	Report Notes	Status of Grant
Cash Grants 17326		Discretionary Disaster Relief Grant for Japan Earthquake March 2011 Additional Ireland wire transfer for Japan road by JK Group on 11/10/2011 ****see note on page 4	\$704.35	\$704.35	Paid 11/16/2011	\$704.35	Final Grant Report	12/10/2012	OVERDUE	UNK	UNK	FINAL REPORT OVERDUE	The Foundation has made continuous and repeated attempts to collect the final report and will continue to do so given the good history the Foundation has with this organization
Cash Grants 17197		Discretionary Disaster Relief Grant for Japan Earthquake March 2011 Total Amount: 281,196.90 Foundation Amount: \$153,098.45	\$153,098.45	\$292.23 \$152,806.22	Paid 10/16/2011 Paid 9/12/2011	\$153,098.45	Final Grant Report	12/16/2012	OVERDUE	UNK	UNK	FINAL REPORT OVERDUE	The Foundation has made continuous and repeated attempts to collect the final report and will continue to do so given the good history the Foundation has with this organization
Cash Grants 16778		To help disabled victims of the January 2010 earthquake in Haiti to optimize their clinical outcomes and quality of life by establishing a comprehensive rehabilitation center providing direct services, while building long term, national capacity Extraordinary Grant Haiti Earthquake Post earthquake comprehensive rehabilitation program	\$100,000.00	\$100,000.00	Paid 6/8/2010	\$100,000.00	Final Grant Report	8/9/2011	OVERDUE	UNK	UNK	FINAL REPORT OVERDUE	The Foundation has made continuous and repeated attempts to collect the final report and will continue to do so given the good history the Foundation has with this organization
Cash Grants 16167		To build long term capacity in rehabilitation services for victims of the earthquake in Sichuan, China	\$175,000.00	\$87,500.00 \$87,500.00	Paid 2/15/2010 Paid 1/19/2009	\$175,000.00	Final Grant Report Interim Report	5/15/2011 4/28/2011	5/25/2011 3/17/2011	\$0.00 \$0.00	NO NO	Satisfactory Final Report Received Satisfactory Interim report received for next payment	This grantee fulfilled all requirements. The grant is now closed satisfactory
Cash Grants 15717		To extend eye care services to rural areas by establishing eye care stations in counties and villages throughout Guangxi Province, China Strengthening Pediatric Eye Care in Guangxi Province, China	\$60,181.00	\$23,840.00 \$22,451.00 \$13,890.00	Paid 6/8/2010 Paid 6/1/2009 Paid 2/11/2008	\$60,181.00	Final Grant Report Interim Report Interim Report	8/8/2011 6/30/2010 1/8/2009	OVERDUE 5/10/2010 4/1/2009	UNK \$0.00 \$0.00	UNK NO NO	FINAL REPORT OVERDUE Satisfactory Interim report received for next payment Satisfactory Interim report received for next payment	The Foundation has made continuous and repeated attempts to collect the final report and will continue to do so given the good history the Foundation has with this organization
Cash Grants 16695		To expand eating disorders prevention and counseling services in schools, through the internet and at a center in Madrid Spain Expansion of eating disorders counseling services in Madrid, Spain	\$50,000.00	\$50,000.00	Paid 6/15/2010	\$50,000.00	Final Grant Report	6/30/2011	1/24/2012	\$0.00	NO	Satisfactory Final Report Received	This grantee fulfilled all requirements. The grant is now closed satisfactory
Cash Grants 16800		To increase health education for children and parents and train local women to be health promoters in their community living around the Guatemala City Garbage Dump	\$18,200.00	\$18,200.00	Paid 10/25/2010	\$18,200.00	Final Grant Report	11/29/2011	1/31/2012	\$0.00	NO	Satisfactory Final Report Received	This grantee fulfilled all requirements. The grant is now closed satisfactory
Cash Grants 16172		To provide psychological support to families and children in intensive care in Brussels, Belgium Psychological support for families with children in intensive care in Brussels, Belgium	\$44,540.00	\$44,540.00	Paid 5/21/2009	\$44,540.00	Final Grant Report	7/15/2010	4/18/2011	\$0.00	NO	Satisfactory Final Report Received	This grantee fulfilled all requirements. The grant is now closed satisfactory
Cash Grants 17046		For purchasing equipment and staffing a new mobile health unit over two years in India (24 months)	\$97,138.65	\$97,138.65	Paid 7/14/2011	\$97,138.65	Final Grant Report Interim Report	6/30/2013 9/13/2012	N/A 1/14/2013	N/A \$15,508.02	N/A NO	GRANT IN PROGRESS Satisfactory Interim report received for next payment	GRANT IN PROGRESS
Cash Grants 15975		To expand the Medical Program for Disabled Children providing a charity medical care and health services program to children in an additional school for disabled children in Shanghai	\$86,342.00	\$43,171.00 \$43,171.00	Paid 10/12/2009 Paid 9/8/2008	\$86,342.00	Final Grant Report Interim Report	11/12/2010 7/15/2009	10/20/2010 8/18/2009	\$0.00 \$0.00	NO NO	Satisfactory Interim Report Received Satisfactory Interim Report Received for Next Payment	This grantee fulfilled all requirements. The grant is now closed satisfactory
Cash Grants 17277		To fund two psychology professionals for the children at the Village (12 months)	\$46,320.00	\$46,320.00	Paid 1/11/2012	\$46,320.00	Final Grant Report	3/11/2013	N/A	N/A	N/A	GRANT IN PROGRESS	GRANT IN PROGRESS
Cash Grants 10139		Support for a dental care program (48 months)	\$20,300.00	\$5,075.00 \$5,075.00 \$5,075.00 \$5,075.00	Paid 12/28/2007 Paid 11/15/2006 Paid 11/4/2005 Paid 10/21/2002	\$20,300.00	Final Grant Report Interim Report Interim Report Interim Report	3/30/2009 10/3/2007 10/3/2006 10/3/2004	5/4/2009 12/6/2007 10/6/2006 11/18/2004	\$0.00 \$0.00 \$0.00 \$0.00	NO NO NO NO	Satisfactory Final Report Received Satisfactory Interim Report Received for Next Payment Satisfactory Interim Report Received for Next Payment Satisfactory Interim Report Received for Next Payment	This grantee fulfilled all requirements. The grant is now closed satisfactory
Cash Grants 16711		To support a new outreach officer to extend psychological support services to people with spinal cord injuries and their families in western Ireland (12 months)	\$25,000.00	\$25,000.00	Paid 6/15/2010	\$25,000.00	Final Grant Report	6/30/2011	5/3/2011	\$0.00	NO	Satisfactory Final Report Received	This grantee fulfilled all requirements. The grant is now closed satisfactory

Grantee	Request Type	Project Description	Grant Date	Grant Amount	Amount Paid	Payment Status & Date	Total Paid Amount	Report Type	Due date	Received Date	Amount Remaining	Diversio n° >5%	Report Notes	Status of Grant
Stiftung Mutterhilfe Badenerstrasse 18 CH-8004 Zurich Switzerland	Cash Grants 16398	To conduct community outreach to increase access of psychological services for expectant parents and those with very young children in Zurich, Switzerland (12 months)	Approved 7/1/2009	\$70,574.00	\$70,574.00	Paid 8/4/2009	\$70,574.00	Final Grant Report	6/15/2011	9/27/2011	\$0.00	NO	Satisfactory Final Report Received	This grantee fulfilled all requirements. The grant is now closed satisfactory.
Stockholms Lämnings Stortorget 3 Box 2266 SE-103 17 Stockholm, Sweden	Cash Grants 16185	To expand psychotherapy services to youth by adding a psychotherapist and other staff to allow more time for treatment in Stockholm, Sweden (12 months)	Approved 12/15/2008	\$70,000.00	\$70,000.00	Paid 6/29/2009	\$70,000.00	Final Grant Report	8/29/2010	12/22/2010	\$0.00	NO	Satisfactory Final Report Received	This grantee fulfilled all requirements. The grant is now closed satisfactory.
The Carers Association Market Square Tullamore Co., Offaly Ireland	Cash Grants 16505	To establish family carers support and training services in County Mayo, Ireland (24 months)	Approved 9/24/2009	\$173,380.00	\$85,930.00 \$87,450.00	Paid 9/12/2011 2/15/2010	\$173,380.00	Final Grant Report Interim Report	11/12/2012 4/15/2011	4/4/2012 3/2/2011	\$0.00 \$26,898.00	NO NO	Satisfactory Final Report Received Satisfactory Interim report received for next payment	This grantee fulfilled all requirements. The grant is now closed satisfactory.
The Irish Hospice Foundation Morrison Chambers 32 Nassau Street Dublin 2	Cash Grants 15976	For a pilot program to train healthcare specialists in palliative care to expand access to non cancer patients in Dublin, Ireland (24 months)	Approved 6/26/2008	\$109,390.00	\$54,695.00 \$54,695.00	Paid 2/15/2011 11/16/2009	\$109,390.00	Final Grant Report Interim Report	4/15/2012 1/16/2011	OVERDUE 1/20/2011	UNK \$0.00	UNK NO	FINAL REPORT OVERDUE Satisfactory Interim report received for next payment	The Foundation has made continuous and repeated attempts to collect the final report and will continue to do so given the good history the Foundation has with
The Irish Society for the Prevention of Crusalty to Children ISPCC Head Office 29 Lower Baggot Street Dublin 2 Ireland	Cash Grants 16593	To expand counselling services to teens and their families in County Mayo, Ireland (24 months)	Approved 12/15/2009	\$153,649.00	\$77,720.00 \$75,929.00	Paid 1/18/2011 2/15/2010	\$153,649.00	Final Grant Report Interim Report	3/30/2012 1/15/2011	1/25/2012 1/18/2011	\$0.00 \$0.00	NO	Satisfactory Final Report Received Satisfactory Interim report received for next payment	This grantee fulfilled all requirements. The grant is now closed satisfactory.
VZW Kinderkankerfonds 185 De Pincklaan 9000 Gent Belgium	Cash Grants 16712	To increase in home curative and palliative support for children suffering from life threatening and serious chronic diseases and their families in Belgium (12 months)	Approved 6/29/2010	\$70,224.00	\$70,224.00	Paid 2/25/2011	\$70,224.00	Final Grant Report	4/25/2012	2/7/2013	\$0.00	NO	Satisfactory Final Report Received	This grantee fulfilled all requirements. The grant is now closed satisfactory.

ASSET ID		ASSET DESCRIPTION		RATE		MATURITY DATE		MKT PRICE	
		UNITS	LOCAL	UNIT COST	TOTAL COST	UNIT PRICE	MARKET VALUE	%	CURR
		ORIGINAL FACE	BASE	UNIT COST	TOTAL COST	UNIT PRICE	MARKET VALUE	%	FUND

CASH EQUIVALENTS

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US DOLLAR

8611238A8	SSGA FDS	.005	MONEY MARKET FUND	EXCHANGE RATE	1.000000000
325,548.70	100.000000	325,548.70	100.000000	=====	
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325,548.70		325,548.70		0.79	0.00
				-----	----
				325,548.70	0.79
				0.79	0.00

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ASSET ID	ASSET DESCRIPTION	RATE	MATURITY DATE	MKT PRICE				
UNITS	LOCAL	UNIT COST	TOTAL COST	UNIT PRICE	MARKET VALUE	% CURR	UNREAL GN/LS	
ORIGINAL FACE	BASE	UNIT COST	TOTAL COST	UNIT PRICE	MARKET VALUE	% FUND	UNREAL GN/LS	
EQUITIES								
+++++++								
US DOLLAR					EXCHANGE RATE			
					=====			
G2554F113	COVIDIEN PLC	47.742041	COMMON STOCK USD.2	57.740000	883,422.00	2.14	152,968.77	
15,300.000			730,453.23			2.14		
H0023R105	ACE LTD	67.236372	COMMON STOCK CHF29.34	79.800000	877,800.00	2.13	138,199.91	
11,000.000			739,600.09			2.13		
00724F101	ADOBE SYSTEMS INC	33.602187	COMMON STOCK USD.0001	37.680000	700,848.00	1.70	75,847.33	
18,600.000			625,000.67			1.70		
054937107	BB+T CORP	27.581247	COMMON STOCK USD5.	29.110000	737,938.50	1.79	38,753.90	
25,350.000			699,184.60			1.79		
05534B760	BCE INC	32.812051	COMMON STOCK NPV	42.940000	841,624.00	2.04	198,507.80	
19,600.000			643,116.20			2.04		
067901108	BARRICK GOLD CORP	39.237608	COMMON STOCK NPV	35.010000	815,733.00	1.98	-98,503.27	
23,300.000			914,236.27			1.98		
09247X101	BLACKROCK INC	185.945690	COMMON STOCK USD.01	206.710000	738,988.25	1.79	74,232.41	
3,575.000			664,755.84			1.79		
14040H105	CAPITAL ONE FINANCIAL CORP	49.289470	COMMON STOCK USD.01	57.930000	1,239,702.00	3.00	184,907.34	
21,400.000			1,054,794.66			3.00		

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ASSET ID	ASSET DESCRIPTION	RATE	MATURITY DATE	MKT PRICE	UNITS	LOCAL	UNIT COST	TOTAL COST	UNIT PRICE	MARKET VALUE	% CURR	UNREAL GN/LS
ORIGINAL FACE	BASE	UNIT COST	UNIT COST	TOTAL COST	UNIT PRICE	MARKET VALUE	% FUND	UNREAL GN/LS				
438516106	HONEYWELL INTERNATIONAL INC			COMMON STOCK USD1.								
24,800.000	48.507203			1,202,978.64	63.470000	1,574,056.00	3.81	371,077.36				
							3.81					
466258100	JPMORGAN CHASE + CO			COMMON STOCK USD1.								
31,300.000	42.396333			1,327,005.21	43.970000	1,376,261.00	3.33	49,255.79				
							3.33					
478160104	JOHNSON + JOHNSON			COMMON STOCK USD1.								
21,550.000	67.781278			1,460,686.54	70.100000	1,510,655.00	3.66	49,968.46				
							3.66					
478366107	JOHNSON CONTROLS INC			COMMON STOCK USD.01388								
34,200.000	28.702967			981,641.48	30.700000	1,049,940.00	2.54	68,298.52				
							2.54					
548661107	LOWE S COS INC			COMMON STOCK USD.5								
8,000.000	26.798978			214,391.82	35.520000	284,160.00	0.69	69,768.18				
							0.69					
565849106	MARATHON OIL CORP			COMMON STOCK USD1.								
24,850.000	30.527922			758,618.85	30.660000	761,901.00	1.84	3,282.15				
							1.84					
580135101	MCDONALD S CORP			COMMON STOCK USD 01								
7,750.000	91.908754			712,292.84	88.210000	683,627.50	1.66	-28,665.34				
							1.66					
581550103	MCKESSON CORP			COMMON STOCK USD.01								
10,650.000	89.063626			948,527.62	96.960000	1,032,624.00	2.50	84,096.38				
							2.50					
591568108	METLIFE INC			COMMON STOCK USD.01								
10,550.000	38.412517			405,252.05	32.940000	347,517.00	0.84	-57,735.05				
							0.84					
594918104	MICROSOFT CORP			COMMON STOCK USD.006.25								
19,400.000	28.630404			555,429.84	26.730000	518,562.00	1.26	-36,867.84				
							1.26					

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ASSET ID	ASSET DESCRIPTION	RATE	MATURITY DATE	MKT PRICE				
ORIGINAL FACE	UNITS LOCAL BASE	UNIT COST	UNIT COST	TOTAL COST	UNIT PRICE	MARKET VALUE	% CURR	UNREAL GN/LS
61166W101	MONSANTO CO	72.194218		COMMON STOCK USD.01	94.650000	1,178,392.50	2.85	279,574.49
	12,450.000			898,818.01			2.85	
61945C103	MOSAIC CO/THE	54.560614		COMMON STOCK USD 01	56.630000	39,641.00	0.10	1,448.57
	700.000			38,192.43			0.10	
637071101	NATIONAL OILWELL VARCO INC	70.308740		COMMON STOCK USD.01	68.350000	454,527.50	1.10	-13,025.62
	6,650.000			467,553.12			1.10	
66987V109	NOVARTIS AG ADR	56.812564		ADR	63.300000	1,500,210.00	3.63	153,752.24
	23,700.000			1,346,457.76			3.63	
674599105	OCCIDENTAL PETROLEUM CORP	82.293813		COMMON STOCK USD.2	76.610000	578,405.50	1.40	-42,912.79
	7,550.000			621,318.29			1.40	
690768403	OWENS ILLINOIS INC	24.526502		COMMON STOCK USD.01	21.270000	476,596.89	1.15	-72,968.44
	22,407.000			549,565.33			1.15	
717081103	PFIZER INC	19.293227		COMMON STOCK USD.05	25.080000	1,812,030.00	4.39	418,094.37
	72,250.000			1,393,935.63			4.39	
845467109	SOUTHWESTERN ENERGY CO	31.885584		COMMON STOCK USD 01	33.410000	644,813.00	1.56	29,421.23
	19,300.000			615,391.77			1.56	
854502101	STANLEY BLACK + DECKER INC	67.383430		COMMON STOCK USD2.5	73.970000	739,700.00	1.79	65,865.70
	10,000.000			673,834.30			1.79	
882508104	TEXAS INSTRUMENTS INC	29.759185		COMMON STOCK USD1	30.940000	1,661,478.00	4.02	63,409.74
	53,700.000			1,598,068.26			4.02	

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ASSET ID		ASSET DESCRIPTION		RATE	MATURITY DATE	MKT PRICE	
ORIGINAL FACE	UNITS	LOCAL BASE	UNIT COST	TOTAL COST	UNIT PRICE	MARKET VALUE	% CURR & FUND
987317303	TIME WARNER INC			COMMON STOCK USD.01			
41,500.000			34.835888	1,445,689.34	47.830000	1,984,945.00	4.81 4.81
91324P102	UNITEDHEALTH GROUP INC			COMMON STOCK USD.01			
21,100.000			53.543941	1,129,777.16	54.240000	1,144,464.00	2.77 2.77
92553P201	VIACOM INC CLASS B			COMMON STOCK USD.001			
25,600.000			42.068651	1,076,957.47	52.740000	1,350,144.00	3.27 3.27
92857W209	VODAFONE GROUP PLC SP ADR			ADR			
56,800.000			26.872340	1,526,348.94	25.190000	1,430,792.00	3.46 3.46
949746101	WELLS FARGO + CO			COMMON STOCK USD1.666			
23,450.000			27.981081	656,156.34	34.180000	801,521.00	1.94 1.94
----- 1,030,382.000				----- 37,096,604.94		----- 40,970,421.14	----- 99.21 99.21
							----- 145,364.66 ----- 3,873,816.20

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(LOCAL) UNITS (BASE) ORIGINAL FACE	TOTAL COST TOTAL COST	MARKET VALUE MARKET VALUE	% FUND % FUND	CURR CURR	UNREAL SEC GN/LS UNREAL SEC GN/LS	UNREAL CURR GN/LS UNREAL CURR GN/LS	TOTAL UNREAL GN/LS TOTAL UNREAL GN/LS
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** US DOLLAR **

CASH EQUIVALENTS

EXCHANGE RATE 1.000000000

325,548.70

325,548.70

0.79

0.00

0.00

325,548.70

0.79

0.00

0.00

EQUITIES

1,030,382.000

37,096,604.94

99.21

3,873,816.20

3,873,816.20

37,096,604.94

99.21

3,873,816.20

3,873,816.20

TOTALS FOR US DOLLAR

1,355,930.70

37,422,153.64

100.00

3,873,816.20

3,873,816.20

37,422,153.64

100.00

3,873,816.20

3,873,816.20

KW41
USD

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CURRENCY	TOTAL COST	MARKET VALUE	% FUND	UNREAL SEC GN/LS	UNREAL CURR GN/LS	TOTAL UNREAL GN/LS
CASH EQUIVALENTS						
US DOLLAR	325,548.70	325,548.70	0.79	0.00	0.00	0.00
TOTALS FOR CASH EQUIVALENTS	325,548.70	325,548.70	0.79	0.00	0.00	0.00

KW41
USD

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CURRENCY	TOTAL COST	MARKET VALUE	% FUND	UNREAL SEC GN/LS	UNREAL CURR GN/LS	TOTAL UNREAL GN/LS
EQUITIES						

US DOLLAR	37,096,604.94	40,970,421.14	99.21	3,873,816.20	0.00	3,873,816.20
TOTALS FOR EQUITIES	37,096,604.94	40,970,421.14	99.21	3,873,816.20	0.00	3,873,816.20

KW41
USD

BAXTER INTL FOUNDATION
INSTITUTIONAL CAPITAL
ALPHA ACCOUNT POSITION APPRAISAL
FUND SUMMARY

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UNITS ORIGINAL FACE NOTIONAL PAR	TOTAL COST	MARKET VALUE	% FUND	UNREAL SEC GN/LS	UNREAL CURR GN/LS	TOTAL UNREAL GN/LS
-----	-----	-----	-----	-----	-----	-----
CASH EQUIVALENTS						

325,548.70	325,548.70	325,548.70	0.79	0.00	0.00	0.00
EQUITIES						

1,030,382.000	37,096,604.94	40,970,421.14	99.21	3,873,816.20	0.00	3,873,816.20
-- FUND TOTAL --						

1,355,930.70	37,422,153.64	41,295,969.84	100.00	3,873,816.20	0.00	3,873,816.20
-----	-----	-----	-----	-----	-----	-----

MTMCNTLMATXRG91002 MCH APPRAISAL RG91002 D01

KW38
USD

BAXTER INTL FOUNDATION
SANFORD BERNSTEIN
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ASSET ID		ASSET DESCRIPTION		RATE	MATURITY DATE	MKT PRICE				
UNITS		LOCAL	UNIT COST	TOTAL COST		UNIT PRICE	MARKET VALUE	% CURR	UNREAL GN/LS	
ORIGINAL FACE		BASE	UNIT COST	TOTAL COST		UNIT PRICE	MARKET VALUE	% FUND	UNREAL GN/LS	

CASH
++++

US DOLLAR				EXCHANGE RATE	1.000000000
-----				-----	
-119,216.34		1.000000	-119,216.34	1.000000	0.00
-----			-----	-----	-----
-119,216.34			-119,216.34	0.50	0.00
				0.50	

ASSET ID		ASSET DESCRIPTION		RATE	MATURITY DATE	MKT PRICE	
ORIGINAL FACE	UNITS	LOCAL	UNIT COST	TOTAL COST	UNIT PRICE	MARKET VALUE	% CURR
		BASE	UNIT COST	TOTAL COST	UNIT PRICE	MARKET VALUE	% FUND
							UNREAL GN/LS
							UNREAL GN/LS

EQUITIES

++++++

				EXCHANGE RATE				
				=====				

02799T966	BERNSTEIN INTER DURATION							
1,452,680.933	15.514890	22,538,184.77		16.243228	23,596,227.61	99.50	1,058,042.84	
						99.50		
					-----	-----	-----	-----
1,452,680.933		22,538,184.77			23,596,227.61	99.50	1,058,042.84	
						99.50		
						99.50		

KW38
USD

BAXTER INTL FOUNDATION
SANFORD BERNSTEIN
ALPHA ACCOUNT POSITION APPRAISAL
CURRENCY SUMMARY

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(LOCAL) UNITS (BASE) ORIGINAL FACE	TOTAL COST TOTAL COST	MARKET VALUE MARKET VALUE	% CURR % FUND	UNREAL SEC GN/LS UNREAL SEC GN/LS	UNREAL CURR GN/LS UNREAL CURR GN/LS	TOTAL UNREAL GN/LS TOTAL UNREAL GN/LS
----	-----	-----	-----	-----	-----	-----
*** US DOLLAR ***						EXCHANGE RATE 1.000000000
CASH						
----	-----	-----	-----	-----	-----	-----
-119,216.34	-119,216.34	-119,216.34	0.50	0.00		0.00
	-119,216.34	-119,216.34	0.50	0.00	0.00	0.00
EQUITIES						
-----	-----	-----	-----	-----	-----	-----
1,452,680.933	22,538,184.77	23,596,227.61	99.50	1,058,042.84		1,058,042.84
	22,538,184.77	23,596,227.61	99.50	1,058,042.84	0.00	1,058,042.84
TOTALS FOR US DOLLAR						
-----	-----	-----	-----	-----	-----	-----
1,333,464.59	22,418,968.43	23,477,011.27	100.00	1,058,042.84		1,058,042.84
	22,418,968.43	23,477,011.27	100.00	1,058,042.84	0.00	1,058,042.84
=====	=====	=====	=====	=====	=====	=====

CURRENCY	TOTAL COST	MARKET VALUE	% FUND	UNREAL SEC GN/LS	UNREAL CURR GN/LS	TOTAL UNREAL GN/LS
CASH						

US DOLLAR	-119,216.34	-119,216.34	0.50	0.00	0.00	0.00
TOTALS FOR CASH	-119,216.34	-119,216.34	0.50	0.00	0.00	0.00

CURRENCY	TOTAL COST	MARKET VALUE	% FUND	UNREAL SEC GN/LS	UNREAL CURR GN/LS	TOTAL UNREAL GN/LS
EQUITIES						

US DOLLAR	22,538,184.77	23,596,227.61	99.50	1,058,042.84	0.00	1,058,042.84
TOTALS FOR EQUITIES	22,538,184.77	23,596,227.61	99.50	1,058,042.84	0.00	1,058,042.84

	UNITS	TOTAL COST	MARKET VALUE	% FUND	UNREAL SEC GN/LS	UNREAL CURR GN/LS	TOTAL UNREAL GN/LS
ORIGINAL FACE							
NOTIONAL PAR							
	-119,216.34	-119,216.34	-119,216.34	0.50	0.00	0.00	0.00
EQUITIES							
	1,452,680.933	22,538,184.77	23,596,227.61	99.50	1,058,042.84	0.00	1,058,042.84
-- FUND TOTAL --							
	1,333,464.59	22,418,968.43	23,477,011.27	100.00	1,058,042.84	0.00	1,058,042.84

MTMCMTLMTXRG91002 MCH APPRAISAL RG91002 D01

KW41
USD

BAKTER INTL FOUNDATION
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ASSET ID	ASSET DESCRIPTION		RATE	MATURITY DATE	MKT PRICE				
UNITS	LOCAL	UNIT COST	TOTAL COST		UNIT PRICE	MARKET VALUE	% CURR	UNREAL GN/LS	
ORIGINAL FACE	BASE	UNIT COST	TOTAL COST		UNIT PRICE	MARKET VALUE	% FUND	UNREAL GN/LS	

CASH EQUIVALENTS
+++++

US DOLLAR			EXCHANGE RATE		1.000000000
=====					
8611238A8	SSGA FDS	.005	MONEY MARKET FUND		
325,548.70	100.000000	325,548.70	100.000000	325,548.70	0.79
		-----		-----	-----
325,548.70		325,548.70		325,548.70	0.79
					0.79
					0.00