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Form 990-PF

Department of the Treasury
Internal Revenue ServiceReturn of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052

2012

Open to public inspection

For calendar year 2012 or tax year beginning

, and ending

Name of foundation THE PERLMAN FAMILY FOUNDATION FOUNDED BY LOUIS AND ANITA PERLMAN		A Employer identification number 36-2670190
Number and street (or P.O. box number if mail is not delivered to street address) HAVI GROUP LP, 3010 HIGHLAND PKWY		Room/suite 400
City or town, state, and ZIP code DOWNERS GROVE, IL 60515		B Telephone number 630-353-4200
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		C If exemption application is pending, check here ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		D 1 Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 2,071,754. (Part I, column (d) must be on cash basis)		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐ F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		74.	74.		STATEMENT 1
4 Dividends and interest from securities		61,052.	61,052.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		93,054.			
b Gross sales price for all assets on line 6a		1,220,113.			
7 Capital gain net income (from Part IV, line 2)			93,054.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		154,180.	154,180.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 3		36,750.	36,750.		0.
c Other professional fees STMT 4		24,243.	24,243.		0.
17 Interest		20.	20.		0.
18 Taxes STMT 5		6,790.	6,790.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 6		1,850.	1,850.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		69,653.	69,653.		0.
25 Contributions, gifts, grants paid		839,360.			839,360.
26 Total expenses and disbursements. Add lines 24 and 25		909,013.	69,653.		839,360.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-754,833.			
b Net investment income (if negative, enter -0-)			84,527.		
c Adjusted net income (if negative, enter -0-)				N/A	

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	338,989.	237,562.	237,562.
	3 Accounts receivable			
	Less: allowance for doubtful accounts			
	4 Pledges receivable			
	Less: allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable			
	Less: allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations STMT 7	73,926.	69,990.	69,609.
	b Investments - corporate stock STMT 8	1,659,889.	1,212,213.	1,445,871.
	c Investments - corporate bonds STMT 9	497,019.	295,225.	306,377.
11 Investments - land, buildings, and equipment basis				
Less: accumulated depreciation				
12 Investments - mortgage loans				
13 Investments - other STMT 10	15,017.	15,017.	12,335.	
14 Land, buildings, and equipment basis				
Less: accumulated depreciation				
15 Other assets (describe)				
16 Total assets (to be completed by all filers)	2,584,840.	1,830,007.	2,071,754.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here X			
	27 Capital stock, trust principal, or current funds	3,245,898.	2,491,065.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	-661,058.	-661,058.	
	30 Total net assets or fund balances	2,584,840.	1,830,007.	
	31 Total liabilities and net assets/fund balances	2,584,840.	1,830,007.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,584,840.
2 Enter amount from Part I, line 27a	2	-754,833.
3 Other increases not included in line 2 (itemize)	3	0.
4 Add lines 1, 2, and 3	4	1,830,007.
5 Decreases not included in line 2 (itemize)	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,830,007.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PER ATTACHED	P		
b PER ATTACHED	P		
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 235,596.		225,479.	10,117.
b 984,517.		901,580.	82,937.
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			10,117.
b			82,937.
c			
d			
e			

2 Capital gain net income or (net capital loss)	2	93,054.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	404,822.	2,873,112.	.140900
2010	548,752.	3,123,382.	.175692
2009	527,111.	3,257,822.	.161799
2008	113,160.	4,148,137.	.027280
2007	348,260.	4,862,977.	.071615

2 Total of line 1, column (d)	2	.577286
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.115457
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	2,335,216.
5 Multiply line 4 by line 3	5	269,617.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	845.
7 Add lines 5 and 6	7	270,462.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	839,360.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)	1	845.
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	2	0.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).	3	845.
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
3	Add lines 1 and 2	5	845.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		
6	Credits/Payments:		
a	2012 estimated tax payments and 2011 overpayment credited to 2012	6a	3,400.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	3,400.
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	2.
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	2,553.
11	Enter the amount of line 10 to be: Credited to 2013 estimated tax ☒ 880. Refunded ☒	11	1,673.

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ IL		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation		X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	X	
14	The books are in care of T.F. PERLMAN, HAVI GROUP L.P. Telephone no. 630-353-4210 Located at 3010 HIGHLAND PKWY, #400, DOWNERS GROVE, IL ZIP+4 60515			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).		
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No If "Yes," list the years		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
THEODORE F. PERLMAN	PRES			
3010 HIGHLAND PARKWAY, SUITE 400				
DOWNERS GROVE, IL 60515	0.00	0.	0.	0.
DORENE DUNKLEMAN	TREAS			
3010 HIGHLAND PARKWAY, SUITE 400				
DOWNERS GROVE, IL 60515	0.00	0.	0.	0.
HARRIETTE L. PERLMAN	VP/SEC'Y			
3010 HIGHLAND PARKWAY, SUITE 400				
DOWNERS GROVE, IL 60515	0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 THE FOUNDATION CARRIES ON NO DIRECT CHARITABLE ACTIVITIES. IT'S ONLY ACTIVITY IS CONTRIBUTING TO AND SUPPORTING OTHER TAX-EXEMPT CHARITABLE ORGANIZATIONS, WHICH IN TURN CARRY OUT DIRECT ACTIVITIES.	0.
2	0.
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	2,128,222.
b	Average of monthly cash balances	1b	242,556.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	2,370,778.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	2,370,778.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	35,562.
5	Net value of noncharitable-use assets Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,335,216.
6	Minimum investment return. Enter 5% of line 5	6	116,761.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	116,761.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	845.
b	Income tax for 2012. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	845.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	115,916.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	115,916.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	115,916.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	839,360.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	839,360.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	845.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	838,515.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				115,916.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2012:				
a From 2007	124,750.			
b From 2008				
c From 2009	365,468.			
d From 2010	394,919.			
e From 2011	267,562.			
f Total of lines 3a through e	1,152,699.			
4 Qualifying distributions for 2012 from Part XII, line 4: ► \$	839,360.			
a Applied to 2011, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2012 distributable amount				115,916.
e Remaining amount distributed out of corpus	723,444.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,876,143.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7	124,750.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	1,751,393.			
10 Analysis of line 9:				
a Excess from 2008				
b Excess from 2009	365,468.			
c Excess from 2010	394,919.			
d Excess from 2011	267,562.			
e Excess from 2012	723,444.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) **N/A**

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities					
Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed.

N/A

b The form in which applications should be submitted and information and materials they should include:

N/A

c Any submission deadlines:

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

N/A

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ANTI-DEFAMATION LEAGUE 605 THIRD AVE. NEW YORK, NY 101583560	NONE	501C	CIVIC & SOCIAL	26,000.
B'NAI B'RITH YOUTH ORGANIZATION 2020 K ST. NW WASHINGTON, DC 20006	NONE	501C	CIVIC & SOCIAL	100,000.
BEBER CAMP 4930 OAKTON ST., STE. 4019 SKOKIE, IL 60077	NONE	501C	CIVIC & SOCIAL	10,000.
BETH EMET-THE FREE SYNAGOGUE 1224 DEMPSTER ST. EVANSTON, IL 60202	NONE	501C	CIVIC & SOCIAL	10,000.
BRANDEIS UNIVERSITY 415 SOUTH ST. WALTHAM, MA 024532728	NONE	501C	CIVIC & SOCIAL	50,000.
Total	SEE CONTINUATION SHEET(S) ▶ 3a			839,360.
b Approved for future payment				
NONE				
Total	▶ 3b			0.

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.



Signature of officer or trustee

Date _____

4/8/13

► PRESIDENT

May the IRS discuss this return with the preparer shown below (see Instr)?

☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

MICHAEL S. SOLHEIM,
CPA

Preparer's signature

4.5.16

Date

3/19/13

Check ☐ if self-employed

PTIN

P00570974

Firm's name ► WINEBERG SOLHEIM HOWELL & SHAIN, PC

Firm's EIN ► 36-2700600

Firm's address ► 180 N LASALLE ST, STE 2200
CHICAGO, IL 60601

Phone no. 312/372-0440

Form **990-PF** (2012)

THE PERLMAN FAMILY FOUNDATION
FOUNDED BY LOUIS AND ANITA PERLMAN

36-2670190

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CENTER FOR ENRICHED LIVING 280 SAUNDERS RD. RIVERWOODS, IL 600153835	NONE	501C	CIVIC & SOCIAL	500.
CHICAGO FOUNDATION FOR EDUCATION 400 N. MICHIGAN AVE. CHICAGO, IL 60611	NONE	501C	CIVIC & SOCIAL	200.
CHICAGO SHAKESPEARE THEATER 800 E. GRAND AVE. CHICAGO, IL 60611	NONE	501C	CIVIC & SOCIAL	1,750.
CHILDREN'S MEMORIAL FOUNDATION 2300 CHILDREN'S PLAZA, BOX 4 CHICAGO, IL 606143394	NONE	501C	CIVIC & SOCIAL	23,600.
COLLEGE BOUND OPPORTUNITIES 2033 N. MILWAUKEE AVE. RIVERWOODS, IL 60015	NONE	501C	CIVIC & SOCIAL	500.
FAMILY SERVICES OF GLENCOE 675 VILLAGE CT. GLENCOE, IL 600221613	NONE	501C	CIVIC & SOCIAL	15,000.
HILLEL-UNIVERSITY OF MICHIGAN 1429 HILL ST., MANDELL BERMAN CTR ANN ARBOR, MI 48104	NONE	501C	CIVIC & SOCIAL	360.
HOLY FAMILY MINISTRIES 550 FRONTAGE RD. NORTHFIELD, IL 60093	NONE	501C	CIVIC & SOCIAL	5,000.
JEWISH COMMUNITY CENTER - FT LAUDERDALE 6501 W. SUNRISE BLVD. FT LAUDERDALE, FL 33313	NONE	501C	CIVIC & SOCIAL	7,500.
JEWISH COMMUNITY CENTER OF CHICAGO 30 S. WELLS ST., STE. 4000 CHICAGO, IL 60606	NONE	501C	CIVIC & SOCIAL	214,200.
Total from continuation sheets				643,360.

THE PERLMAN FAMILY FOUNDATION
FOUNDED BY LOUIS AND ANITA PERLMAN

36-2670190

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
JEWISH COMMUNITY CENTERS OF NORTH AMERICA 520 EIGHTH AVE. NEW YORK, NY 10018	NONE	501C	CIVIC & SOCIAL	5,000.
JEWISH FEDERATION OF BROWARD COUNTY 5890 S. PINE ISLAND RD. FT LAUDERDALE, FL 333285933	NONE	501C	CIVIC & SOCIAL	24,900.
JEWISH FEDERATION OF PALM BEACH COUNTY 4601 COMMUNITY DR. WEST PALM BEACH, FL 33417	NONE	501C	CIVIC & SOCIAL	5,000.
JEWISH UNITED FUND 30 S. WELLS ST., STE. 4000 CHICAGO, IL 606065056	NONE	501C	CIVIC & SOCIAL	91,600.
JEWSIH COUNCIL FOR YOUTH SERVICES 180 W. WASHINGTON ST., STE. 1100 CHICAGO, IL 606022314	NONE	501C	CIVIC & SOCIAL	7,500.
JUVENILE DIABETES RESEARCH FOUNDATION 11 S. LASALLE ST., STE. 1800 CHICAGO, IL 606031344	NONE	501C	CIVIC & SOCIAL	3,800.
MARCH OF DIMES 111 W. JACKSON BLVD., STE. 2200 CHICAGO, IL 60604	NONE	501C	CIVIC & SOCIAL	7,500.
MARWEN 833 N. ORLEANS CHICAGO, IL 60610	NONE	501C	CIVIC & SOCIAL	1,000.
NATIONAL FRAGILE X FOUNDATION PO BOX 37 WALNUT CREEK, CA 94597	NONE	501C	CIVIC & SOCIAL	200.
NORTH SHORE CONGREGATION OF ISRAEL 1185 SHERIDAN RD. GLENCOE, IL 600221613	NONE	501C	CIVIC & SOCIAL	50,000.
Total from continuation sheets.				

THE PERLMAN FAMILY FOUNDATION
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36-2670190

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NORTHERN ILLINOIS FOOD BANK 600 INDUSTRIAL DR. ST CHARLES, IL 60174	NONE	501C	CIVIC & SOCIAL	1,000.
OHR TORAH STONE 49 W. 45TH ST. NEW YORK, NY 10036	NONE	501C	CIVIC & SOCIAL	50,000.
SCIENCE FIST FOUNDATION 6614 N. CENTRAL AVE. CHICAGO, IL 60646	NONE	501C	CIVIC & SOCIAL	35,000.
SPERTUS INSTITUTE OF JEWISH STUDIES 610 S. MICHIGAN AVE. CHICAGO, IL 606051901	NONE	501C	CIVIC & SOCIAL	12,750.
THE BIRTHRIGHT ISRAEL FOUNDATION 33 E. 33RD ST., 7TH FL. NEW YORK, NY 10018	NONE	501C	CIVIC & SOCIAL	1,250.
UNITED STATES HOLOCAUST MUSEUM P.O. BOX 1852 HIGHLAND PARK, IL 60035	NONE	501C	CIVIC & SOCIAL	12,500.
WEIZMANN INSTITUTE OF SCIENCE 180 N. STETSON AVE. CHICAGO, IL 606017633	NONE	501C	CIVIC & SOCIAL	10,000.
WELLNESS HOUSE 131 N. COUNTY LINE RD. HINSDALE, IL 60521	NONE	501C	CIVIC & SOCIAL	5,750.
YEMIN ORDE 12230 WILKINS AVE. ROCKVILLE, MD 20852	NONE	501C	CIVIC & SOCIAL	50,000.
Total from continuation sheets				

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
CHECKING	22.
MORGAN STANLEY	52.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	74.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
MORGAN STANLEY	61,052.	0.	61,052.
TOTAL TO FM 990-PF, PART I, LN 4	61,052.	0.	61,052.

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BOOKKEEPING	34,000.	34,000.		0.
TAX PREP	2,750.	2,750.		0.
TO FORM 990-PF, PG 1, LN 16B	36,750.	36,750.		0.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	11,408.	11,408.		0.
OFFICE	12,835.	12,835.		0.
TO FORM 990-PF, PG 1, LN 16C	24,243.	24,243.		0.

FORM 990-PF	TAXES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL TAX	5,398.	5,398.		0.
FOREIGN TAX WITHHELD	1,392.	1,392.		0.
TO FORM 990-PF, PG 1, LN 18	6,790.	6,790.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CUSTODY FEES	1,850.	1,850.		0.
TO FORM 990-PF, PG 1, LN 23	1,850.	1,850.		0.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT	7
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DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
MORGAN STANLEY		X	25,000.	24,923.
MORGAN STANLEY	X		44,990.	44,686.
TOTAL U.S. GOVERNMENT OBLIGATIONS			44,990.	44,686.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS			25,000.	24,923.
TOTAL TO FORM 990-PF, PART II, LINE 10A			69,990.	69,609.

FORM 990-PF	CORPORATE STOCK	STATEMENT	8
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
MORGAN STANLEY	1,212,213.	1,445,871.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,212,213.	1,445,871.

FORM 990-PF	CORPORATE BONDS	STATEMENT	9
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
MORGAN STANLEY	295,225.	306,377.
TOTAL TO FORM 990-PF, PART II, LINE 10C	295,225.	306,377.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	10
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MORGAN STANLEY	COST	15,017.	12,335.
TOTAL TO FORM 990-PF, PART II, LINE 13		15,017.	12,335.

Morgan Stanley

Private Wealth Management

Supplemental Report

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(312) 706-4000

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Realized Gains & Losses CONSOLIDATED : PERLMAN FAMILY FOUNDATION 01/01/2012 to 12/31/2012 Trade Date Reporting

Valuation Currency: USD
Sort Order: Closing Date

Shares or Face Value	Security Description	Purchase Date	Sale Date	Cost	Proceeds	Gain (Loss)	
						Short Term	Long Term
6 000	KUMBA IRON ADR	07/24/2008	01/04/2012	183 60	383 21		199 61
27 000	VODAFONE GP PLC ADS NEW	07/30/2009	01/05/2012	546 68	741 87		195 19
82 000	OAO GAZPROM ADR LEVEL 1 ADR PROGRAM	10/14/2010	01/20/2012	886 41	963 39		76 98
78 000	HOME RETAIL GROUP PLC ADR	01/06/2011	01/26/2012	992 07	492 08		(499 99)
20 000	3 M CO	07/29/2009	01/30/2012	1,383 47	1 745 13		361 66
31 000	ABBOTT LABS NPV	03/08/2011	01/30/2012	1 508 15	1,680 59	172 44	
30 000	ACCENTURE PLC	09/30/2009	01/30/2012	1,120 43	1 707 46		587 03
97 000	ALLIANZ SE ADR	05/20/2010	01/30/2012	987 07	1,062 12		75 05
191 000	ALSTOM ADR	08/18/2011	01/30/2012	840 17	691 40	(148 77)	
42 000	ALTRIA GROUP INC	03/08/2011	01/30/2012	1,073 52	1 191 33	117 81	
60 000	ALTRIA GROUP INC	07/29/2009	01/30/2012	1 060 34	1,705 70		645 36
67 000	ALUMINA LTD SPONSORED ADR	05/18/2010	01/30/2012	379 09	367 17		(11 92)
7 000	AMER EXPRESS CO COM	03/08/2011	01/30/2012	313 53	344 39	30 86	
24 000	ANGLOGOLD ASHANTI LIMITED ADR NEW	07/30/2009	01/30/2012	894 81	1 103 35		208 54
5 000	APPLE INC	03/08/2011	01/30/2012	1 776 65	2,264 78	488 13	
4 000	APPLE INC	07/19/2010	01/30/2012	962 60	1 811 68		849 08
8 000	ARCOS DORADOS HOLDINGS INC	05/23/2011	01/30/2012	176 02	169 72	(6 30)	
40 000	ASTRAZENECA PLC SPONS ADR	07/29/2009	01/30/2012	1 838 40	1,919 00		80 60
8 000	ASTRAZENECA PLC SPONS ADR	04/22/2010	01/30/2012	360 97	383 75		22 78
31 000	ASTRAZENECA PLC SPONS ADR	01/14/2010	01/30/2012	1,525 55	1,487 04		(38 51)
40 000	AT&T INC	07/29/2009	01/30/2012	1,037 60	1,169 74		132 14
	ISIN US00206R1023						
10 000	AUTOMATIC DATA PROCESSING INC	03/08/2011	01/30/2012	504 50	549 96	45 46	
25 000	AUTOMATIC DATA PROCESSING INC	07/29/2009	01/30/2012	937 65	1,369 55		431 90

With cash sale reporting rules apply to this sale

EN162FCB-20130214-145541

Morgan Stanley

Private Wealth Management

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Realized Gains & Losses CONSOLIDATED : PERLMAN FAMILY FOUNDATION 01/01/2012 to 12/31/2012 Trade Date Reporting

Valuation Currency: USD
Sort Order: Closing Date

Shares or Face Value	Security Description	Purchase Date	Sale Date	Cost	Proceeds	Gain (Loss)	
						Short Term	Long Term
23 000	AXIS CAPITAL HLDGS	10/21/2009	01/30/2012	698 21	704 70		6 49
33 000	BARRICK GOLD CORP COM	07/30/2009	01/30/2012	1 121 60	1 623 24		501 64
30 000	BOEING CO USD\$ COM	07/29/2009	01/30/2012	1 298 85	2 222 05		923 20
60 000	BRISTOL MYERS SQUIBB CO USD 10	07/29/2009	01/30/2012	1 296 45	1 936 76		640 31
8 000	C H ROBINSON WORLDWIDE INC	08/04/2009	01/30/2012	435 62	545 22		109 60
3 000	CAMECO CORP CAD COM	05/24/2010	01/30/2012	72 11	69 94		(2 17)
79 000	CAMECO CORP CAD COM	04/06/2010	01/30/2012	2 140 75	1 841 74		(299 01)
63 000	CAMECO CORP CAD COM	02/10/2010	01/30/2012	1 696 78	1 468 73		(228 05)
2 000	CAMECO CORP CAD COM	07/30/2009	01/30/2012	54 32	46 63		(7 69)
114 000	CARREFOUR SA (F) SPONSORED ADR	10/22/2009	01/30/2012	1 042 75	523 25		(519 50)
180 000	CARREFOUR SA (F) SPONSORED ADR	10/05/2009	01/30/2012	1 572 93	826 18		(746 75)
67 000	CARREFOUR SA (F) SPONSORED ADR	09/25/2009	01/30/2012	606 95	307 52		(299 43)
10 000	CATERPILLAR INC COM	03/08/2011	01/30/2012	1 030 10	1 104 88	74 78	
9 000	CENTRAIS ELETRICAS B RASILEIRAS SA ELECTR	07/30/2009	01/30/2012	137 23	90 93		(46 30)
16 000	CHEVRON CORPORATION	03/08/2011	01/30/2012	1 634 88	1 650 06	15 18	
10 000	CHEVRON CORPORATION	07/29/2009	01/30/2012	670 77	1 025 18		354 41
24 000	COCA COLA CO 25	03/08/2011	01/30/2012	1 575 04	1 617 49	42 45	
20 000	CONOCOPHILLIPS	10/29/2009	01/30/2012	1 008 92	1 368 57		359 65
58 000	DAI NIPPON PRINTING CO LTD	07/30/2009	01/30/2012	835 55	607 24		(228 31)
14 000	DAIICHI SANKYO CO LTD SPONSORED ADR LEVEL 1	03/30/2011	01/30/2012	265 62	261 93	(3 69)	
30 000	DIAGEO PLC SPONS ADR NEW	07/29/2009	01/30/2012	1 804 90	2 668 82		863 92
31 000	DISCOVERY HLDG CO	08/12/2011	01/30/2012	1 213 23	1 330 68	117 45	
30 000	DOMINION RES INC	07/27/2010	01/30/2012	1 293 40	1 469 85		176 45
30 000	DU PONT E I DENEMOURS & CO COM	12/31/2009	01/30/2012	1 017 53	1 521 91		504 38
54 000	EAST JAPAN RY CO	02/23/2011	01/30/2012	622 38	562 12	(60 26)	

11' Wash sale reporting rules apply to this sale

EM62FCR-20130214-145541

Morgan Stanley

Private Wealth Management

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Realized Gains & Losses CONSOLIDATED : PERLMAN FAMILY FOUNDATION 01/01/2012 to 12/31/2012 Trade Date Reporting

Valuation Currency: USD
Sort Order: Closing Date

Shares or Face Value	Security Description	Purchase Date	Sale Date	Cost	Proceeds	Gain (Loss)	
						Short Term	Long Term
103 000	ELECTRICITY DE FRANCE FRF SHARES	03/25/2010	01/30/2012	1,063 71	458 34		(605 37)
50 000	ELI LILLY & CO COM	07/29/2009	01/30/2012	1,748 50	1,969 56		221 06
56 000	EMC CORP MASS COM STK	07/29/2009	01/30/2012	851 09	1 442 79		591 70
17 000	ESTEE LAUDER COS INC CL A	03/08/2011	01/30/2012	781 15	981 79	200 64	
27 000	EXPEDITORS INTL WASH INC COM STK	07/29/2009	01/30/2012	897 70	1,204 65		306 95
9 000	EXPRESS SCRIPTS INC	02/25/2011	01/30/2012	499 79	460 55	(39 24)	
24 000	EXPRESS SCRIPTS INC	12/03/2010	01/30/2012	1,298 44	1 228 15		(70 29)
24 000	EXXON MOBIL CORP	03/08/2011	01/30/2012	2 022 69	2 041 88	19 19	
11 000	FREEPORT MCMORAN COPPER & GOLD C OM	03/08/2011	01/30/2012	545 60	506 10	(39 50)	
34 000	FUJI PHOTO FILM CO LTD ADR	11/25/2009	01/30/2012	939 80	839 10	(100 70)	
12 000	GENERAL ELEC CO	03/08/2011	01/30/2012	248 31	226 74	(21 57)	
120 000	GENERAL ELEC CO	07/29/2009	01/30/2012	1 470 00	2 263 15		793 15
40 000	GENUINE PARTS CO COM	07/29/2009	01/30/2012	1,410 63	2,555 77		1,145 14
10 000	GLAXO SMITHKLINE SPONS PLC ADR	04/22/2010	01/30/2012	388 37	449 79		61 42
19 000	GLAXO SMITHKLINE SPONS PLC ADR	01/14/2010	01/30/2012	796 28	854 60		58 32
58 000	GOLD FIELDS LTD SP ADR	07/30/2009	01/30/2012	674 34	964 60		290 26
5 000	GREEN MOUNTAIN COFFEE ROASTERS	11/07/2011	01/30/2012	349 99	265 34	(84 65)	
19 000	HACHUUNI BK LTD ADR	07/31/2009	01/30/2012	1,116 82	1,096 84		(19 98)
50 000	HCP INC REIT	06/29/2010	01/30/2012	1,578 62	2 074 11		495 49
40 000	HEALTH CARE REIT INC COM	07/29/2009	01/30/2012	1 515 08	2,275 71		760 63
30 000	HEINZ H J CO COM	07/29/2009	01/30/2012	1,154 13	1,560 53		406 40
2 000	HOME RETAIL GROUP PLC ADR	04/01/2011	01/30/2012	25 26	12 38	(12 88)	
72 000	HOME RETAIL GROUP PLC ADR	01/06/2011	01/30/2012	915 75	445 67		(470 08)
50 000	HSBC HOLDINGS PLC ADR	07/29/2009	01/30/2012	2,354 69	2,065 80		(288 89)
72 000	INTEL CORP	03/08/2011	01/30/2012	1,522 19	1 922 94	400 75	
110 000	INTEL CORP	11/23/2009	01/30/2012	2,129 96	2,939 14		809 18

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						Short Term	Long Term
9 000	INTL BUSINESS MACHS CORP	03/08/2011	01/30/2012	1 460 34	1 733 53	273 19	
44 000	INVESCO LTD	08/15/2011	01/30/2012	802 99	996 43	193 44	
22 000	INVESCO LTD	05/26/2011	01/30/2012	521 89	498 22	(23 67)	
11 000	INVESCO LTD	05/26/2011	01/30/2012	260 94	248 56	(12 38)	
291 000	INVESCO LTD	05/07/2010	01/30/2012	5,923 92	6 575 56	651 64	
89 000	ISHARES RUSSELL 2000INDEX GROWTH US ETF	08/10/2009	01/30/2012	5,495 74	8,077 72	2 581 98	
13 000	JOHNSON & JOHNSON	03/08/2011	01/30/2012	788 79	853 06	64 27	
30 000	JOHNSON & JOHNSON	07/29/2009	01/30/2012	1 838 85	1 966 64	127 79	
20 000	KIMBERLY CLARK CORP	07/29/2009	01/30/2012	1,168 02	1 428 77	260 75	
35 000	KINROSS GOLD CORP NEW	02/04/2010	01/30/2012	577 93	400 35	(177 58)	
100 000	KINROSS GOLD CORP NEW	10/30/2009	01/30/2012	1,826 38	1,143 87	(682 51)	
93 000	KOREA ELEC PWR CO SPONS ADR	08/14/2009	01/30/2012	1,204 29	1 119 09	(85 20)	
23 000	KRAFT FOODS INC SEE CUSIP 609207105	03/08/2011	01/30/2012	721 74	876 97	155 23	
50 000	KRAFT FOODS INC SEE CUSIP 609207105	07/29/2009	01/30/2012	1,423 50	1,908 52	485 02	
69 000	MARINE HARVEST ASA UNSPONSORED ADR	08/24/2011	01/30/2012	762 95	730 00	(32 95)	
5 000	MASTERCARD INC	08/03/2009	01/30/2012	992 25	1 750 68	758 43	
32 000	MCDONALDS CORP COM	01/08/2011	01/30/2012	2 400 64	3,158 09	757 45	
20 000	MCGRAW HILL COMPANIE S INC USDI COM	03/08/2011	01/30/2012	759 40	924 30	164 90	
7 000	MEDTRONIC INC COM	03/08/2011	01/30/2012	276 08	272 01	(4 07)	
30 000	MERCK & CO	03/08/2011	01/30/2012	988 20	1,165 94	177 74	
75 000	MERCK & CO	09/29/2011	01/30/2012	2,436 81	2,912 19	475 38	
70 000	MICROSOFT CORP	07/29/2009	01/30/2012	1,648 50	2,068 46	419 96	
2 000	MS&AD INS GROUP HLDGS ADR	02/24/2010	01/30/2012	24 66	20 40	(4 26)	

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						Short Term	Long Term
62 000	MS&AD INS GROUP HLDGS ADR	07/30/2009	01/30/2012	778 72	632 38		(146 34)
22 000	NESTLE SA CHF10 SPONS ADR 20 ADRS - 1 REG'D)	03/08/2011	01/30/2012	1,228 70	1,278 61	49 91	
54 000	NEWCREST MINING LTD SPONSORED AD R	07/31/2009	01/30/2012	1,343 64	1,954 76		611 12
30 000	NEWS CORP CL A COM	03/08/2011	01/30/2012	517 34	572 08	54 74	
5 000	NEXEN INC COM	05/18/2010	01/30/2012	107 32	90 39		(16 93)
52 000	NEXEN INC COM	10/28/2009	01/30/2012	1,141 68	940 02		(201 66)
70 000	NEXEN INC COM	07/30/2009	01/30/2012	1,418 18	1,265 42		(152 76)
40 000	NEXTERA ENERGY INC	07/29/2009	01/30/2012	2,257 90	2,405 79		147 89
18 000	NINTENDO LTD UNSPONSORED ADR	07/30/2009	01/30/2012	602 10	299 51		(302 59)
60 000	NIPPON TELEG & TEL CORP SPONS ADR	07/30/2009	01/30/2012	1,233 48	1 511 57		278 09
144 000	NOKIA CORP ADR SHRS EACH REPSTG 1 A SHARE	07/30/2009	01/30/2012	1,877 40	714 22		(1,163 18)
81 000	QAO GAZPROM ADR LEVEL 1 ADR PROGRAM	10/14/2010	01/30/2012	875 60	973 60		98 00
17 000	OCCIDENTAL PETE CORP	03/08/2011	01/30/2012	1,740 63	1,689 46	(51 17)	
12 000	PEPSICO INC	03/08/2011	01/30/2012	762 24	784 48	22 24	
52 000	PHILIP MORRIS INTL	03/08/2011	01/30/2012	3,336 32	3,888 74	552 42	
20 000	PHILIP MORRIS INTL	07/29/2009	01/30/2012	934 90	1 494 43		559 53
78 000	POLYUS GOLD INTL LTDGDR ** ISIN US73180Y2037 **	07/26/2011	01/30/2012	270 66	248 81	(21 85)	
8 000	PRAXAIR INC COM	03/08/2011	01/30/2012	776 48	850 51	74 03	
20 000	PROCTER & GAMBLE CO	03/08/2011	01/30/2012	1,237 13	1,262 20	25 07	
7 000	QUALCOMM INC	03/08/2011	01/30/2012	395 71	409 78	14 07	
3 000	QUALCOMM INC	05/28/2010	01/30/2012	106 41	175 24		68 83
39 000	QUALCOMM INC	03/31/2010	01/30/2012	1,639 55	2 278 13		638 58

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						Short Term	Long Term
30 000	ROCHE HOLDING AG BASEL ADR	03/08/2011	01/30/2012	1,063 20	1,271 97	208 77	
33 000	ROCKWELL COLL	07/29/2009	01/30/2012	1,311 29	1 911 46		600 17
35 000	ROHM CO LTD UNSPONSORED ADR (JAPAN)	07/30/2009	01/30/2012	1,286 25	854 33		(431 92)
10 000	ROYAL DUTCH SH A	03/08/2011	01/30/2012	705 60	707 84	2 24	
40 000	ROYAL DUTCH SHELL PLC SPON ADR	03/28/2011	01/30/2012	2,892 95	2 895 68	2 73	
47 000	RUSS 2000 VALUE COM STK US ETFs	08/10/2009	01/30/2012	2,555 86	3,297 55		741 69
61 000	SANOFI-AVENTIS ADR	07/30/2009	01/30/2012	2,005 63	2,256 81		251 18
28 000	SCRIPPS NETWORKS INTER VI	07/29/2009	01/30/2012	888 41	1,231 77		343 36
201 000	SEKISUI HOUSE LTD SPONS ADR	08/04/2009	01/30/2012	1,885 38	1,869 26		(16 12)
12 000	SEVEN & I HOLDINGS-UNSPN ADR	07/30/2009	01/30/2012	555 00	670 90		115 90
47 000	SHISEIDO LTD SPONSORED ADR	07/30/2009	01/30/2012	780 59	850 68		70 09
7 000	SIEMENS AG SPONS ADR	07/30/2009	01/30/2012	556 85	661 34		104 49
47 000	SK TELECOM CO LTD AD R (SPONSORED) REP 1	07/30/2009	01/30/2012	789 04	650 46		(138 58)
35 000	STARWOOD HOTELS & RESORTS	05/31/2011	01/30/2012	2 134 12	1,908 70	(225 42)	
252 000	SUMITOMO MITSUI TRUST HOLDINGS I NC SPONSORED AMERICAN DEPOSITOR	04/01/2011	01/30/2012	881 45	771 10	(110 35)	
47 000	SUNCOR ENERGY INC	02/01/2010	01/30/2012	1,522 63	1 608 75		86 12
19 000	SUNCOR ENERGY INC	07/29/2009	01/30/2012	580 24	650 34		70 10
23 000	SWISSCOM AG- SPONSORED ADR	05/06/2010	01/30/2012	770 43	906 41		135 98
54 000	TALISMAN ENERGY	10/26/2011	01/30/2012	736 66	648 69		
10 000	TARGET CORP COM STK	03/08/2011	01/30/2012	514 80	502 55	(12 25)	
31 000	TELECOM ITALIA A	07/30/2009	01/30/2012	341 61	263 41		(78 20)
21 000	TELECOM PT ADREPPRESENTING 20 ORD	02/17/2011	01/30/2012	702 13	641 95	(60 18)	
21 000	TERADATA CORP	12/03/2010	01/30/2012	873 73	1,119 95		246 22
5 000	TEXAS INSTRUMENTS IN C USD1 COM	03/08/2011	01/30/2012	178 60	160 71	(17 89)	
118 000	TNT EXPRESS NV AMSTERDAM ADR	07/20/2011	01/30/2012	1,192 36	968 76	(223 60)	

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						Short Term	Long Term
23 000	TOTAL S A SPON ADR	03/08/2011	01/30/2012	1 385 98	1 208 10	(177 88)	
12 000	TOYOTA MTR CORP COM STK	09/08/2010	01/30/2012	828 51	878 02	49 51	
15 000	TRANSOCEAN LTD	12/07/2011	01/30/2012	681 04	699 88	18 84	
40 000	TRAVELERS COS INC	07/29/2009	01/30/2012	1 714 18	2 331 15	616 97	
56 000	UBS AG-REG	07/30/2009	01/30/2012	770 54	761 58	(8 96)	
60 000	UNILEVER N V COM SHR	07/29/2009	01/30/2012	1 600 20	2 005 86	405 66	
40 000	VERIZON COMMUNICATIONS COM STK	07/29/2009	01/30/2012	1 186 18	1 500 37	314 19	
60 000	VODAFONE GP PLC ADS NEW	07/29/2009	01/30/2012	1 177 20	1 627 76	450 56	
29 000	VODAFONE GP PLC ADS NEW	07/30/2009	01/30/2012	587 18	785 59	198 41	
24 000	WACOAL HOLDINGS CORP KYOTO ADR	08/04/2009	01/30/2012	1 571 52	1 539 42	(32 10)	
25 000	WAL MART STORES INC	03/08/2011	01/30/2012	1 305 00	1 530 97	225 97	
30 000	WELLS FARGO COMPANY	09/28/2011	01/30/2012	749 54	875 68	126 14	
73 000	WOLTERS KLUWER N V SPONSORED ADR	07/30/2009	01/30/2012	1 365 10	1 313 97	(51 13)	
33 000	GENERAL DYNAMICS CORP COM	03/08/2011	01/31/2012	2 513 94	2 285 05	(228 89)	
3 000	KUMBA IRON ADR	03/02/2011	01/31/2012	202 10	205 69	3 59	
9 000	KUMBA IRON ADR	08/03/2009	01/31/2012	252 00	617 08	365 08	
3 000	KUMBA IRON ADR	07/24/2008	01/31/2012	91 80	205 69	113 89	
14 000	MEDTRONIC INC COM	03/08/2011	01/31/2012	552 16	543 23	(8 93)	
55 000	WALGREEN CO COM	03/08/2011	01/31/2012	2 327 60	1 825 61	(501 99)	
10 000	PT UNITED TRACTORS-UNSPON ADR (INDONESIA)	03/23/2009	02/02/2012	113 50	656 48	542 98	
5 000	MASTERCARD INC	08/03/2009	02/03/2012	992 25	1 940 66	948 41	
125 000	SOCIETE GENERALE PARIS ADR	05/17/2010	02/08/2012	1 104 78	798 45	(306 33)	
119 000	SOCIETE GENERALE PARIS ADR	07/30/2009	02/08/2012	1 501 78	760 12	(741 66)	
48 000	TERADATA CORP	12/03/2010	02/13/2012	1 997 10	2 956 19	959 09	
13 000	APPLE INC	07/19/2010	02/15/2012	3 128 46	6 535 48	3 407 02	
3 000	TOYOTA MTR CORP COM STK	09/13/2010	02/16/2012	212 49	240 71	34 22	

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						Short Term	Long Term
2 000	TOYOTA MTR CORP COM STK	09/08/2010	02/16/2012	138 08	164 48		26 40
4 000	DESARROLLADORA HOMEX SAB DE CV	03/02/2011	02/17/2012	104 34	81 70	(22 64)	
52 000	DESARROLLADORA HOMEX SAB DE CV	11/27/2009	02/17/2012	1,770 42	1 062 08		(708 34)
18 000	DESARROLLADORA HOMEX SAB DE CV	11/06/2009	02/17/2012	600 52	367 64		(232 88)
10 000	DESARROLLADORA HOMEX SAB DE CV	08/03/2009	02/17/2012	363 80	204 25		(159 55)
6 000	DESARROLLADORA HOMEX SAB DE CV	12/26/2008	02/17/2012	130 35	122 55		(7 80)
15 000	DESARROLLADORA HOMEX SAB DE CV	11/09/2007	02/17/2012	750 00	306 37		(443 63)
3 000	DESARROLLADORA HOMEX SAB DE CV	10/03/2007	02/17/2012	183 06	61 27		(121 79)
50 000	TIGER BRANDS LTD ADR	07/30/2009	02/17/2012	1 020 00	1 598 49		578 49
32 000	TNT EXPRESS NV AMSTERDAM ADR	08/30/2011	02/17/2012	290 39	402 24	111 85	
161 000	TNT EXPRESS NV AMSTERDAM ADR	07/20/2011	02/17/2012	1,626 87	2 021 76	396 89	
15 000	PHILIPPINE LONG DISTANCE TEL CO SPONS ADR	10/03/2007	02/21/2012	804 56	985 33		180 77
90 000	TNT EXPRESS NV AMSTERDAM ADR	08/30/2011	02/23/2012	816 73	1 147 83	331 10	
2 000	MS&AD INS GROUP HLDGS ADR	08/04/2010	02/27/2012	22 75	21 03		(1 72)
23 000	MS&AD INS GROUP HLDGS ADR	02/24/2010	02/27/2012	308 28	262 88		(45 40)
42 000	TNT EXPRESS NV AMSTERDAM ADR	09/30/2011	02/27/2012	302 04	520 74	218 70	
37 000	TNT LXPRESS NV AMSTERDAM ADR	08/30/2011	02/27/2012	335 77	458 75	122 98	
	PT SEMEN GRESIK PERSERO UNSPONSORED ADR (INDONESIA)	12/03/2010	03/07/2012	10 49	13 33		2 84
37 000	COMPANHIA ENERGETICA DE MINAS GERAIS	01/14/2009	03/08/2012	409 40	839 68		430 28
69 000	COMPANHIA ENERGETICA DE MINAS GERAIS	08/01/2007	03/08/2012	1 121 31	1 565 88		444 57
29 000	SANOFI-AVENTIS ADR	07/30/2009	03/08/2012	953 50	1,094 13		140 63
12 000	GREEN MOUNTAIN COFFEE ROASTERS	11/07/2011	03/12/2012	839 99	619 94	(220 05)	
10 000	PT SEMEN GRESIK PERSERO UNSPONSORED ADR (INDONESIA)	12/03/2010	03/13/2012	209 79	247 73		37 94

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						Short Term	Long Term
15 000	PT SEMEN GRESIK PERSERO UNSPONSORED ADR (INDONESIA)	11/10/2010	03/13/2012	320.83	371.60		50.77
77 000	PT SEMEN GRESIK PERSERO UNSPONSORED ADR (INDONESIA)	04/06/2010	03/13/2012	1,395.80	1,907.52		511.72
81 000	ALLIANZ SE ADR	05/20/2010	03/23/2012	824.25	976.84		152.59
135 000	ALSTOM ADR	08/18/2011	03/23/2012	593.84	533.24	(60.60)	
61 000	ALUMINA LTD SPONSORED ADR	05/18/2010	03/23/2012	345.14	303.81		(41.33)
9 000	ANGLOGOLD ASHANTI LIMITED ADR NEW	07/30/2009	03/23/2012	335.56	339.56		4.00
6 000	APPLE INC	07/19/2010	03/23/2012	1,443.90	3,582.35		2,138.45
23 000	ASTRAZENECA PLC SPONS ADR	04/22/2010	03/23/2012	1,037.77	1,039.70		1.93
28 000	AXIS CAPITAL HLDGS	10/21/2009	03/23/2012	850.00	912.77		62.77
21 000	BARRICK GOLD CORP COM	07/30/2009	03/23/2012	713.75	918.23		204.48
56 000	CAMECO CORP CAD COM	05/24/2010	03/23/2012	1,346.09	1,281.42		(64.67)
6 000	CARREFOUR SA (F) SPONSORED ADR	12/02/2010	03/23/2012	51.98	28.98		(23.00)
192 000	CARREFOUR SA (F) SPONSORED ADR	10/28/2009	03/23/2012	1,688.18	927.34		(760.84)
58 000	CARREFOUR SA (F) SPONSORED ADR	10/22/2009	03/23/2012	530.52	280.13		(250.39)
61 000	CENTRAIS ELETRICAS B RASILEIRAS SA ELECTR	07/30/2009	03/23/2012	930.11	584.98		(345.13)
117 000	DAI NIPPON PRINTING CO LTD	07/30/2009	03/23/2012	1,685.50	1,176.99		(508.51)
23 000	DAIICHI SANKYO CO LTD SPONSORED ADR LEVEL I	04/26/2011	03/23/2012	437.65	432.39	(5.26)	
6 000	DAIICHI SANKYO CO LTD SPONSORED ADR LEVEL I	03/30/2011	03/23/2012	113.84	112.80	(1.04)	
26 000	EAST JAPAN RY CO	02/28/2011	03/23/2012	299.19	278.71		(20.48)
34 000	EAST JAPAN RY CO	02/23/2011	03/23/2012	391.87	364.47		(27.40)
90 000	ELECTRICITY DE FRANCE FRF SHARES	11/10/2010	03/23/2012	796.13	422.99		(373.14)
79 000	ELECTRICITY DE FRANCE FRF SHARES	03/25/2010	03/23/2012	815.86	371.29		(444.57)

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						Short Term	Long Term
65 000	ERICSSON L M TEL CO ADR B SEK 10	02/08/2012	03/23/2012	625 22	649 98	24 76	
166 000	FINMECCANICA SPA ROMA UNSPONSORED ADR REPRESENTING	11/22/2010	03/23/2012	975 33	376 81		(598 52)
122 000	FINMECCANICA SPA ROMA UNSPONSORED ADR REPRESENTING	05/17/2010	03/23/2012	687 92	276 93		(410 99)
30 000	FUJI PHOTO FILM CO LTD ADR	11/25/2009	03/23/2012	829 23	721 48		(107 75)
30 000	GLAXO SMITHKLINE SPONS PLC ADR	04/22/2010	03/23/2012	1 165 10	1,354 02		188 92
20 000	GOLD FIELDS LTD SP ADR	07/30/2009	03/23/2012	232 53	277 06		44 53
9 000	HACHIJUNI BK LTD ADR	07/31/2009	03/23/2012	529 02	513 80		(15 22)
12 000	HOME RETAIL GROUP PLC ADR	09/06/2011	03/23/2012	90 93	92 76	1 83	
44 000	HOME RETAIL GROUP PLC ADR	06/09/2011	03/23/2012	522 19	340 11	(182 08)	
64 000	HOME RETAIL GROUP PLC ADR	04/01/2011	03/23/2012	808 23	494 71	(313 52)	
11 000	INPALA PLATINUM SPONADR	03/08/2012	03/23/2012	228 93	225 05	(3 88)	
35 000	KINROSS GOLD CORP NEW	08/18/2010	03/23/2012	541 31	352 22		(189 09)
21 000	KINROSS GOLD CORP NEW	02/04/2010	03/23/2012	346 76	211 34		(135 42)
32 000	KOREA ELEC PWR CO SPONS ADR	08/14/2009	03/23/2012	414 38	318 83		(95 55)
38 000	MARINE HARVEST ASA UNSPONSORED ADR	08/24/2011	03/23/2012	420 17	389 11	(31 06)	
57 000	MS&AD INS GROUP HLDGS ADR	08/11/2010	03/23/2012	647 39	590 51		(56 88)
62 000	MS&AD INS GROUP HLDGS ADR	08/04/2010	03/23/2012	705 22	642 30		(62 92)
11 000	NEWCREST MINING LTD SPONSORED AD R	07/31/2009	03/23/2012	273 70	335 27		61 57
66 000	NEXEN INC COM	05/18/2010	03/23/2012	1 416 56	1,234 67		(181 89)
12 000	NINTENDO LTD UNSPONSORED ADR	10/04/2010	03/23/2012	380 68	228 72		(151 96)
16 000	NINTENDO LTD UNSPONSORED ADR	08/27/2009	03/23/2012	519 74	304 95		(214 79)
36 000	NINTENDO LTD UNSPONSORED ADR	07/30/2009	03/23/2012	1,204 20	686 14		(518 06)
52 000	NIPPON TELEG & TEL CORP SPONS ADR	07/30/2009	03/23/2012	1 069 02	1,195 58		126 56

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						Short Term	Long Term
52 000	NOKIA CORP ADR SHRS EACH REPSTG 1 A SHARE	04/30/2010	03/23/2012	633 89	275 07		(358 82)
135 000	NOKIA CORP ADR SHRS EACH REPSTG 1 A SHARE	11/18/2009	03/23/2012	1,883 32	714 13		(1,169 19)
35 000	NOKIA CORP ADR SHRS EACH REPSTG 1 A SHARE	07/30/2009	03/23/2012	456 31	185 15		(271 16)
74 000	QAO GAZPROM ADR LEVEL 1 ADR PROGRAM	08/11/2011	01/23/2012	796 64	926 46	129 82	
12 000	QAO GAZPROM ADR LEVEL 1 ADR PROGRAM	10/14/2010	03/23/2012	129 72	150 24		20 52
119 000	PANASONIC CORP ADR	10/20/2009	03/23/2012	1,716 43	1,062 17		(654 26)
85 000	POLYUS GOLD INTL LTDGDR ** ISIN US73180Y2037 **	07/26/2011	03/23/2012	294 95	245 64	(49 31)	
31 000	ROHM CO LTD UNSPONSORED ADR (JAPAN)	07/30/2009	01/23/2012	1 139 25	769 71		(369 54)
37 000	SANOI-AVENTIS ADR	07/30/2009	03/23/2012	1 216 53	1,414 71		198 18
89 000	SEKISUI HOUSE LTD SPONS ADR	08/04/2009	03/23/2012	834 82	853 49		18 67
19 000	SEVEN & I HOLDINGS-UNSPN ADR	07/30/2009	03/23/2012	878 75	1,075 57		196 82
51 000	SHISEIDO LTD SPONSORED ADR	07/30/2009	03/23/2012	847 03	891 46		44 43
10 000	SIEMENS AG SPONS ADR	07/30/2009	03/23/2012	795 50	1 025 47		229 97
110 000	SK TELECOM CO LTD AD R (SPONSORED) REP 1	07/30/2009	03/23/2012	1 846 68	1 532 27		(314 41)
243 000	SUMITOMO MITSUI TRUST HOLDINGS I NC SPONSORED AMERICAN DEPOSITOR	04/01/2011	03/23/2012	849 97	799 45	(50 52)	
26 000	SWISSCOM AG- SPONSORED ADR	05/06/2010	03/23/2012	870 92	1,033 48		162 56
64 000	TALISMAN ENERGY	10/26/2011	01/23/2012	873 07	840 01	(33 06)	
203 000	TELECOM ITAL A	07/30/2009	03/23/2012	2,236 98	1,970 58		(266 40)
9 000	TELKOM PT ADRREPRESENTING 20 ORD	02/24/2011	03/23/2012	301 48	267 31		(34 17)
6 000	TELKOM PT ADRREPRESENTING 20 ORD	02/17/2011	03/23/2012	200 61	178 20		(22 41)

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						Short Term	Long Term
1 000	TOYOTA MTR CORP COM STK	10/21/2010	03/23/2012	71 58	83 55		11 97
8 000	TOYOTA MTR CORP COM STK	09/13/2010	03/23/2012	566 63	668 39		101 76
4 000	TRANSOCEAN LTD	12/08/2011	03/23/2012	175 35	224 15	48 80	
11 000	TRANSOCEAN LTD	12/07/2011	03/23/2012	499 43	616 40	116 97	
73 000	UBS AG-REG	07/30/2009	03/23/2012	1,004 46	1 033 66		29 20
14 000	VIVENDI SA UNSPONSORED ADR	03/08/2012	03/23/2012	259 50	259 55	0 05	
51 000	VODAFONE GP PLC ADS NEW	07/30/2009	03/23/2012	1 032 62	1 412 24		379 62
10 000	WACOAL HOLDINGS CORP KYOTO ADR	08/04/2009	03/23/2012	654 80	597 49		(57 31)
61 000	WOLTERS KLUWER N V SPONSORED ADR	07/30/2009	03/23/2012	1 140 70	1,155 31		14 61
36 000	CIELO S A ADR	05/18/2010	03/30/2012	840 54	1 206 08		365 54
18 000	CIELO S A ADR	03/08/2010	03/30/2012	381 83	603 04		221 21
25 000	COMPANHIA ENERGETICA DE MINAS GERAIS	08/03/2009	04/03/2012	339 20	614 66		275 46
51 000	COMPANHIA ENERGETICA DE MINAS GERAIS	01/14/2009	04/03/2012	564 30	1,253 92		689 62
158 000	ALLIANZ SE ADR	08/09/2011	04/05/2012	1 746 18	1 777 46	31 28	
26 000	ALLIANZ SE ADR	05/20/2010	04/05/2012	264 57	292 49		27 92
103 000	ALSTOM ADR	10/03/2011	04/05/2012	327 76	368 73	40 97	
283 000	ALSTOM ADR	08/18/2011	04/05/2012	1,244 86	1 013 11	(231 75)	
112 000	ALUMINA LTD SPONSORED ADR	09/26/2011	04/05/2012	594 75	559 38	(35 37)	
187 000	ALUMINA LTD SPONSORED ADR	05/18/2010	04/05/2012	1,058 04	933 97		(124 07)
31 000	ANGLOGOLD ASHANTI LIMITED ADR NEW	02/08/2011	04/05/2012	1,414 03	1,058 00		(356 03)
31 000	ANGLOGOLD ASHANTI LIMITED ADR NEW	01/21/2010	04/05/2012	1 209 06	1,058 01		(151 05)
37 000	ANGLOGOLD ASHANTI LIMITED ADR NEW	07/30/2009	04/05/2012	1,379 50	1,262 78		(116 72)
70 000	ASTRAZENECA PLC SPONS ADR	02/08/2011	04/05/2012	3 387 90	3 092 52		(295 38)

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						Short Term	Long Term
11 000	ASTRAZENECA PLC SPONS ADR	04/22/2010	04/05/2012	496 33	485 97		(10 36)
63 000	AXIS CAPITAL HLDGS	01/25/2010	04/05/2012	1 796 70	2 114 86		318 16
5 000	AXIS CAPITAL HLDGS	10/21/2009	04/05/2012	151 78	167 85		16 07
40 000	BARRICK GOLD CORP COM	01/20/2011	04/05/2012	1 877 13	1 632 14		(244 99)
39 000	BARRICK GOLD CORP COM	12/17/2009	04/05/2012	1 500 80	1 591 33		90 53
41 000	BARRICK GOLD CORP COM	07/30/2009	04/05/2012	1 393 51	1 672 94		279 43
39 000	CAMECO CORP CAD COM	12/19/2011	04/05/2012	666 39	797 32	130 93	
30 000	CAMECO CORP CAD COM	08/26/2011	04/05/2012	665 99	613 33	(52 66)	
44 000	CAMECO CORP CAD COM	08/08/2011	04/05/2012	994 48	899 54	(94 94)	
10 000	CAMECO CORP CAD COM	06/02/2011	04/05/2012	283 18	204 44	(78 74)	
72 000	CAMECO CORP CAD COM	03/15/2011	04/05/2012	2 323 44	1 471 98		(851 46)
1 000	CAMECO CORP CAD COM	05/24/2010	04/05/2012	24 04	20 44		(3 60)
345 000	CARREFOUR SA (F) SPONSORED ADR	12/19/2011	04/05/2012	1 474 12	1 521 41	47 29	
244 000	CARREFOUR SA (F) SPONSORED ADR	10/04/2011	04/05/2012	1 092 05	1 076 02	(16 03)	
193 000	CARREFOUR SA (F) SPONSORED ADR	12/02/2010	04/05/2012	1 672 08	851 11		(820 97)
233 000	CENTRAIS ELETRICAS B RASILEIRAS SA ELECTR	07/30/2009	04/05/2012	3 552 71	2 121 32		(1 431 39)
448 000	DAI NIPPON PRINTING CO LTD	07/30/2009	04/05/2012	6 453 89	4 287 26		(2 166 63)
79 000	DAIICHI SANKYO CO LTD SPONSORED ADR LEVEL 1	04/26/2011	04/05/2012	1 503 23	1 369 03	(134 20)	
137 000	EAST JAPAN RY CO	02/28/2011	04/05/2012	1 576 52	1 422 02		(154 50)
191 000	ELECTRICITY DE FRANCE FRF SHARES	12/16/2011	04/05/2012	889 77	819 37	(70 40)	
65 000	ELECTRICITY DE FRANCE FRF SHARES	04/07/2011	04/05/2012	511 43	278 84	(232 59)	
222 000	ELECTRICITY DE FRANCE FRF SHARES	11/10/2010	04/05/2012	1 963 79	952 36		(1 011 43)
110 000	ERICSSON L M TEL CO ADR B SEK 10	02/10/2012	04/05/2012	1 044 70	1 065 24	20 54	
39 000	ERICSSON L M TEL CO ADR B SEK 10	02/08/2012	04/05/2012	375 14	377 68	2 54	
233 000	FINMECCANICA SPA ROMA UNSPONSORED ADR REPRESENTING	01/25/2012	04/05/2012	524 53	540 55	16 02	

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						Short Term	Long Term
593 000	FINMECCANICA SPA ROMA UNSPONSORED ADR REPRESENTING	08/04/2011	04/05/2012	2,074 08	1 375 73	(698 35)	
498 000	FINMECCANICA SPA ROMA UNSPONSORED ADR REPRESENTING	11/22/2010	04/05/2012	2 926 00	1,155 33		(1,770 67)
123 000	FUJI PHOTO FILM CO LTD ADR	11/25/2009	04/05/2012	3 399 85	2,778 50		(621 35)
70 000	GLAXO SMITHKLINE SPONS PLC ADR	02/08/2011	04/05/2012	2,719 78	3,148 53		428 75
11 000	GLAXO SMITHKLINE SPONS PLC ADR	04/22/2010	04/05/2012	427 20	494 77		67 57
63 000	GOLD FIELDS LTD SP ADR	02/04/2010	04/05/2012	700 82	810 79		109 97
153 000	GOLD FIELDS LTD SP ADR	07/30/2009	04/05/2012	1,778 85	1,969 06		190 21
8 000	HACHIJUNI BK LTD ADR	10/02/2009	04/05/2012	427 85	448 47		20 62
17 000	HACHIJUNI BK LTD ADR	07/31/2009	04/05/2012	999 26	952 99		(46 27)
135 000	HOME RETAIL GROUP PLC ADR	12/20/2011	04/05/2012	688 43	903 13	214 70	
57 000	HOME RETAIL GROUP PLC ADR	09/06/2011	04/05/2012	431 91	381 32	(50 59)	
36 000	INPALA PLATINUM SPONADR	03/08/2012	04/05/2012	749 24	689 74	(59 50)	
125 000	KINROSS GOLD CORP NEW	01/17/2012	04/05/2012	1,313 08	1,150 51	(162 57)	
51 000	KINROSS GOLD CORP NEW	10/14/2011	04/05/2012	728 48	469 41	(259 07)	
26 000	KINROSS GOLD CORP NEW	09/27/2011	04/05/2012	399 74	239 31	(160 43)	
59 000	KINROSS GOLD CORP NEW	02/24/2011	04/05/2012	922 36	543 04	(379 32)	
88 000	KINROSS GOLD CORP NEW	01/21/2011	04/05/2012	1,507 04	809 96	(697 08)	
23 000	KINROSS GOLD CORP NEW	08/18/2010	04/05/2012	355 72	211 69	(144 03)	
52 000	KOREA ELEC PWR CO SPONS ADR	11/23/2010	04/05/2012	640 29	501 79	(138 50)	
207 000	KOREA ELEC PWR CO SPONS ADR	08/14/2009	04/05/2012	2 680 50	1,997 53	(682 97)	
13 000	MARINE HARVEST ASA UNSPONSORED ADR	09/09/2011	04/05/2012	141 72	131 43	(10 29)	
119 000	MARINE HARVEST ASA UNSPONSORED ADR	09/08/2011	04/05/2012	1,325 40	1,203 05	(122 35)	
12 000	MARINE HARVEST ASA UNSPONSORED ADR	08/24/2011	04/05/2012	132 69	121 32	(11 37)	

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						Short Term	Long Term
277 000	MS&AD INS GROUP HLDGS ADR	04/08/2011	04/05/2012	3,017 69	2 731 16	(286 53)	
82 000	MS&AD INS GROUP HLDGS ADR	08/11/2010	04/05/2012	931 34	808 50		(122 84)
46 000	NEWCREST MINING LTD SPONSORED AD R	12/16/2011	04/05/2012	1,434 00	1,322 93	(111 07)	
18 000	NEWCREST MINING LTD SPONSORED AD R	02/08/2011	04/05/2012	687 53	517 67		(169 86)
46 000	NEWCREST MINING LTD SPONSORED AD R	05/28/2010	04/05/2012	1 258 92	1,322 93		64 01
13 000	NEWCREST MINING LTD SPONSORED AD R	07/11/2009	04/05/2012	323 47	373 87		50 40
56 000	NEXEN INC COM	12/12/2011	04/05/2012	819 11	996 48	177 37	
51 000	NEXEN INC COM	09/27/2011	04/05/2012	880 60	907 50	26 90	
53 000	NEXEN INC COM	10/07/2010	04/05/2012	1,098 78	943 09		(155 69)
18 000	NEXEN INC COM	05/18/2010	04/05/2012	386 34	320 29		(66 05)
51 000	NINTENDO LTD UNSPONSORED ADR	08/01/2011	04/05/2012	1 010 38	949 59	(60 79)	
29 000	NINTENDO LTD UNSPONSORED ADR	05/24/2011	04/05/2012	812 81	539 97	(272 84)	
34 000	NINTENDO LTD UNSPONSORED ADR	10/04/2010	04/05/2012	1,078 61	633 07		(445 54)
52 000	NIPPON TELEG & TEL CORP SPONS ADR	12/14/2010	04/05/2012	1,176 56	1,148 76		(27 80)
172 000	NIPPON TELEG & TEL CORP SPONS ADR	07/30/2009	04/05/2012	3,535 97	3 799 73		263 76
14 000	NOKIA CORP ADR SHRS EACH REPSTG 1 A SHARE	06/08/2011	04/05/2012	87 44	72 15	(15 29)	
190 000	NOKIA CORP ADR SHRS EACH REPSTG 1 A SHARE	05/31/2011	04/05/2012	1,336 06	979 20	(356 86)	
88 000	NOKIA CORP ADR SHRS EACH REPSTG 1 A SHARE	03/16/2011	04/05/2012	709 69	453 52		(256 17)
202 000	NOKIA CORP ADR SHRS EACH REPSTG 1 A SHARE	02/11/2011	04/05/2012	1,902 09	1,041 04		(861 05)

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						Short Term	Long Term
49 000	NOKIA CORP ADR SHRS EACH REPSTG 1 A SHARE	05/24/2010	04/05/2012	493 68	252 53		(241 15)
21 000	NOKIA CORP ADR SHRS EACH REPSTG 1 A SHARE	04/30/2010	04/05/2012	255 99	108 23		(147 76)
137 000	GAZPROM ADR LEVEL 1 ADR PROGRAM	09/30/2011	04/05/2012	1,327 52	1,680 95	353 43	
104 000	GAZPROM ADR LEVEL 1 ADR PROGRAM	08/11/2011	04/05/2012	1,119 60	1,276 05	156 45	
81 000	PANASONIC CORP ADR	03/17/2011	04/05/2012	959 21	705 09		(254 12)
160 000	PANASONIC CORP ADR	09/27/2010	04/05/2012	2,203 15	1,392 77		(810 38)
5 000	PANASONIC CORP ADR	10/20/2009	04/05/2012	72 12	43 52		(28 60)
71 000	POLYUS GOLD INTL LTDGDR ** ISIN US73180Y2037 **	09/15/2011	04/05/2012	247 78	210 87	(36 91)	
242 000	POLYUS GOLD INTL LTDGDR ** ISIN US73180Y2037 **	08/03/2011	04/05/2012	873 62	718 72	(154 90)	
205 000	POLYUS GOLD INTL LTDGDR ** ISIN US73180Y2037 **	07/26/2011	04/05/2012	711 35	608 83	(102 52)	
6 000	ROHM CO LTD UNSPONSORED ADR (JAPAN)	12/16/2011	04/05/2012	132 26	144 90	12 64	
80 000	ROHM CO LTD UNSPONSORED ADR (JAPAN)	09/09/2010	04/05/2012	2,470 43	1,931 95	(538 48)	
5 000	ROHM CO LTD UNSPONSORED ADR (JAPAN)	07/30/2009	04/05/2012	183 75	120 75	(63 00)	
96 000	SANOFI-AVENTIS ADR	07/30/2009	04/05/2012	3 156 40	3 547 12	390 72	
36 000	SEKISUI HOUSE LTD SPONS ADR	06/22/2011	04/05/2012	323 18	337 67	14 49	
197 000	SEKISUI HOUSE LTD SPONS ADR	08/04/2009	04/05/2012	1,847 86	1,847 82	(0 04)	
52 000	SEVEN & I HOLDINGS-UNSPN ADR	07/30/2009	04/05/2012	2,405 00	3,043 49	638 49	
70 000	SHISEIDO LTD SPONSORED ADR	05/31/2011	04/05/2012	1,187 71	1,193 47	5 76	
76 000	SHISEIDO LTD SPONSORED ADR	05/27/2011	04/05/2012	1 293 73	1,295 77	2 04	
24 000	SHISEIDO LTD SPONSORED ADR	07/30/2009	04/05/2012	398 60	409 19	10 59	

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						Short Term	Long Term
7 000	SIEMENS AG SPONS ADR	09/27/2011	04/05/2012	662 99	677 58	14 59	
14 000	SIEMENS AG SPONS ADR	07/30/2009	04/05/2012	1 113 70	1 355 17		241 47
88 000	SK TELECOM CO LTD AD R (SPONSORED) REP 1	02/24/2011	04/05/2012	1 543 41	1 201 35		(342 06)
29 000	SK TELECOM CO LTD AD R (SPONSORED) REP 1	08/19/2009	04/05/2012	455 38	395 90		(59 48)
199 000	SK TELECOM CO LTD AD R (SPONSORED) REP 1	07/30/2009	04/05/2012	3 340 81	2 716 68		(624 13)
560 000	SUMITOMO MITSUI TRUST HOLDINGS I NC SPONSORED AMERICAN DEPOSITOR	04/01/2011	04/05/2012	1 958 77	1 713 56		(245 21)
74 000	SWISSCOM AG- SPONSORED ADR	05/06/2010	04/05/2012	2 478 76	2 900 73		421 97
54 000	TALISMAN ENERGY	10/26/2011	04/05/2012	723 73	682 08	(41 65)	
25 000	TALISMAN ENERGY	01/19/2012	04/05/2012	294 33	315 78	21 45	
65 000	TALISMAN ENERGY	10/26/2011	04/05/2012	886 72	821 03	(65 69)	
50 000	TELECOM ITAL A	12/10/2010	04/05/2012	531 96	453 49		(78 47)
331 000	TELECOM ITAL A	07/30/2009	04/05/2012	3 647 48	3 002 10		(645 38)
49 000	TELKOM PT ADR REPRESENTING 20 ORD	02/24/2011	04/05/2012	1 641 36	1 605 20		(36 16)
26 000	TOYOTA MTR CORP COM STK	10/21/2010	04/05/2012	1 861 08	2 178 49		317 41
26 000	TRANSOCEAN LTD	12/08/2011	04/05/2012	1 139 75	1 338 71	198 96	
69 000	UBS AG-REG	02/08/2012	04/05/2012	995 48	903 20	(92 28)	
30 000	UBS AG-REG	08/03/2011	04/05/2012	471 90	392 69	(79 21)	
8 000	UBS AG-REG	06/28/2011	04/05/2012	141 04	104 72	(36 32)	
102 000	UBS AG-REG	07/30/2009	04/05/2012	1 403 49	1 335 17		(68 32)
40 000	VIVENDI SA UNSPONSORED ADR	03/08/2012	04/05/2012	741 41	689 98	(51 43)	
133 000	VODAFONE GP PLC ADS NEW	07/30/2009	04/05/2012	2 692 92	3 632 71		939 79
37 000	WACOAL HOLDINGS CORP KYOTO ADR	08/04/2009	04/05/2012	2 422 75	2 088 60		(334 15)
45 000	WOLTERS KLUWER N V SPONSORED ADR	08/05/2011	04/05/2012	825 17	779 83	(45 34)	
111 000	WOLTERS KLUWER N V SPONSORED ADR	07/30/2009	04/05/2012	2 075 70	1 923 58		(152 12)

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						Short Term	Long Term
55 000	DOMINION RES INC	07/27/2010	04/16/2012	2,371.24	2,776.72		405.48
970 000	NOKIA CORP ADR SHRS EACH REPRESENTS 1 A SHARE	07/29/2009	04/17/2012	12,600.30	3,944.51		(8,655.79)
242 000	CALPINE CORP	03/02/2011	04/26/2012		4,372.56	4,372.56	
46 000	COMPANHIA DE BEBIDAS-PR ADR	10/22/2009	04/30/2012	1,294.66	1,944.55		649.89
39 000	SHOPRITE HOLDINGS LTD ORD UNSPONSORED ADR (SOUTH AFRICA)	08/04/2009	04/30/2012	660.14	1,340.71		680.57
37 000	C H ROBINSON WORLDWIDE INC	11/04/2010	05/02/2012	2,014.74	2,306.55		291.81
20 000	CIELO S A ADR	08/23/2011	05/03/2012	7.37	12.02		4.65
30 000	TERADATA CORP	12/03/2010	05/09/2012	927.04	1,421.30	494.26	
	COMPANHIA ENERGETICA DE MINAS GERAIS	03/26/2010	05/11/2012	1,248.18	2,131.95		883.77
26 000	MERCK & CO	03/08/2011	05/14/2012	856.44	996.74		140.30
20,000 000	PROVINCE OF ONTARIO CANADA 1.875% DUE 11/19/2012 @ 100.00	02/18/2010	05/16/2012	20,012.21	20,151.78		139.57
22 000	CME GROUP INC	03/23/2012	05/17/2012	6,500.75	5,659.46	(841.29)	
12 000	ACCENTURE PLC	05/10/2011	06/01/2012	684.13	661.78		(22.35)
50 000	AIR PRODS & CHEMS INC COM	06/18/2010	06/01/2012	3,583.68	3,857.91		274.23
135 000	AMER ELEC PWR INC COM	12/11/2009	06/01/2012	4,749.04	5,183.04		434.00
32 000	AMER EXPRESS CO COM	01/12/2011	06/01/2012	1,435.43	1,708.12		272.69
47 000	AT&T INC	07/30/2009	06/01/2012	1,243.62	1,592.32		348.70
10 000	ISIN US00206R1023 BAXTER INTERNATIONAL INC USD1 COM	07/10/2009	06/01/2012	563.70	502.08		(61.62)
24 000	BRISTOL MYERS SQUIBB CO USD 10	08/16/2011	06/01/2012	681.36	797.74	116.38	
12 000	CARDINAL HEALTH INC COM	12/29/2010	06/01/2012	463.12	484.78		21.66
8 000	CENTURYLINK INC	09/14/2011	06/01/2012	277.27	297.11	19.84	

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						Short Term	Long Term
210 000	CORNING INC COM	11/15/2010	06/01/2012	3,853 96	2,655 24		(1,198 72)
17 000	COVIDIEN PLC	08/19/2009	06/01/2012	674 46	863 07		188 61
15 000	DOLLAR TREE INC	12/11/2009	06/01/2012	484 78	1 502 52		1,017 74
80 000	DOLLAR TREE INC	07/30/2009	06/01/2012	2,500 16	8 013 41		5,513 25
55 000	MICROSOFT CORP	11/04/2009	06/01/2012	1 550 45	1 573 51		23 06
13 000	NORFOLK SOUTHERN CORP COM	12/11/2009	06/01/2012	679 06	837 70		158 64
50 000	OCCIDENTAL PETE CORP	02/10/2010	06/01/2012	3,872 93	3,928 91		55 98
31 000	PEPSICO INC	07/30/2009	06/01/2012	1,772 89	2 091 83		318 94
39 000	PHILIP MORRIS INTL	07/30/2009	06/01/2012	1,837 91	3 225 61		1,387 70
23 000	PNC FINANCIAL SERVICES GRP COM STK	07/30/2009	06/01/2012	837 25	1,335 81		508 56
5 000	POWERSHARES QQQ NASDAQ 100	12/11/2009	06/01/2012	220 35	302 76		82 41
120 000	POWERSHARES QQQ NASDAQ 100	07/30/2009	06/01/2012	4,786 79	7,266 36		2 479 57
80 000	PROCTER & GAMBLE CO	02/09/2011	06/01/2012	5,152 24	4,924 68		(227 56)
12 000	TARGET CORP COM STK	08/16/2011	06/01/2012	591 82	687 46	95 64	
28 000	VISA INC CLASS A	04/15/2010	06/01/2012	2,640 76	3,141 52		500 76
16 000	WALT DISNEY CO (HOLDING COMPANY)	10/05/2009	06/01/2012	441 10	712 78		271 68
90 000	WEATHERFORD INTL LTD	12/11/2009	06/01/2012	1 436 39	1,057 86		(378 53)
110 000	WEATHERFORD INTL LTD	07/30/2009	06/01/2012	2,022 89	1,292 94		(729 95)
59 000	WISCONSIN ENERGY CORP COM	12/11/2009	06/01/2012	1,410 44	2 226 61		816 17
31 000	YUM BRANDS INC	08/05/2010	06/01/2012	1,292 92	2,013 40		720 48
10 000	3 M CO	07/29/2009	06/04/2012	691 74	821 88		130 14
70 000	ALTRIA GROUP INC	07/29/2009	06/04/2012	1,237 06	2 227 35		990 29
70 000	AT&T INC	07/29/2009	06/04/2012	1 815 80	2,391 15		575 35
	ISIN US00206R1023						
110 000	BRISTOL MYERS SQUIBB CO USD 10	07/29/2009	06/04/2012	2 376 83	3,676 11		1,299 28
10 000	CHEVRON CORPORATION	07/29/2009	06/04/2012	670 77	961 87		291 10

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						Short Term	Long Term
20 000	DIAGEO PLC SPONS ADR NEW	07/29/2009	06/04/2012	1,203 27	1 869 55	666 28	
20 000	DOMINION RES INC	07/27/2010	06/04/2012	862 27	1,030 37	168 10	
20 000	DU PONT E I DENEMOURS & CO COM	12/31/2009	06/04/2012	678 35	933 77	255 42	
50 000	ELI LILLY & CO COM	07/29/2009	06/04/2012	1,748 50	1 998 45	249 95	
50 000	GENERAL ELEC CO	07/29/2009	06/04/2012	612 50	902 47	289 97	
10 000	GENUINE PARTS CO COM	07/29/2009	06/04/2012	352 66	605 48	252 82	
20 000	HCP INC REIT	06/29/2010	06/04/2012	626 56	782 58	156 02	
50 000	HEINZ H J CO COM	07/29/2009	06/04/2012	1,923 54	2,619 44	695 90	
10 000	JOHNSON & JOHNSON	07/29/2009	06/04/2012	612 95	620 68	7 73	
30 000	KIMBERLY CLARK CORP	07/29/2009	06/04/2012	1,752 03	2 356 74	604 71	
40 000	KRAFT FOODS INC SEE CUSIP 609207105	07/29/2009	06/04/2012	1,138 80	1 501 16	362 36	
5 000	MICROSOFT CORP	09/07/2010	06/04/2012	120 14	141 75	21 61	
35 000	MICROSOFT CORP	07/29/2009	06/04/2012	824 25	992 22	167 97	
60 000	NEXTERA ENERGY INC	07/29/2009	06/04/2012	3,386 84	3,867 52	480 68	
30 000	PHILIP MORRIS INTL	07/29/2009	06/04/2012	1,402 36	2,443 74	1,041 38	
30 000	TRAVELERS COS INC	07/29/2009	06/04/2012	1 285 63	1 806 85	521 22	
110 000	VERIZON COMMUNICATIONS COM STK	07/29/2009	06/04/2012	3 261 98	4 539 49	1,277 51	
60 000	VODAFONE GP PLC ADS NEW	07/29/2009	06/04/2012	1,177 20	1,605 56	428 36	
65 000	PHILLIPS 66 WI	10/29/2009	06/11/2012	1 502 43	2 125 07	622 64	
	KOC HOLDINGS AS	03/02/2011	06/19/2012	12 88	12 55	(0 33)	
	COMPANHIA DE BEBIDAS-PR ADR	03/31/2011	06/21/2012		0 62	0 62	
	COMPANHIA DE BEBIDAS-PR ADR	03/02/2011	06/21/2012		0 86	0 86	
8 000	ACCENTURE PLC	05/10/2011	06/25/2012	456 09	450 22	(5 87)	
10 000	AMER EXPRESS CO COM	01/12/2011	06/25/2012	448 57	561 37	112 80	
2 000	APPLE INC	07/30/2009	06/25/2012	327 38	1 143 91	816 53	

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						Short Term	Long Term
13 000	AT&T INC ISIN US00206R1023	07/30/2009	06/25/2012	343 98	453 10		109 12
13 000	BA YTER INTERNATIONAL INC USD1 COM	07/30/2009	06/25/2012	732 81	666 03		(66 78)
3 000	BLACKROCK INC	11/25/2011	06/25/2012	455 38	501 79	46 41	
13 000	BRISTOL MYERS SQUIBB CO USD 10	08/16/2011	06/25/2012	369 07	441 14	72 07	
9 000	CARDINAL HEALTH INC COM	12/29/2010	06/25/2012	347 34	368 13		20 79
11 000	CENTURYLINK INC	09/14/2011	06/25/2012	381 24	419 25	38 01	
7 000	COLGATE PALMOLIVE CO COM	11/25/2011	06/25/2012	609 42	693 91	84 49	
13 000	COVIDIEN PLC	08/19/2009	06/25/2012	515 76	679 92		164 16
10 000	CROWN CASTLE INTERNATIONAL COR COM STK	06/01/2012	06/25/2012	531 20	561 63	30 43	
15 000	EXXON MOBIL CORP	07/30/2009	06/25/2012	1 065 90	1 206 79		140 89
32 000	GENERAL ELEC CO	07/30/2009	06/25/2012	425 15	621 24		196 09
15 000	HONEYWELL INTERNATIONAL L INC COM STK	09/23/2010	06/25/2012	654 17	809 60		155 43
42 000	JPMORGAN CHASE & CO	07/30/2009	06/25/2012	1 626 50	1 466 79		(159 71)
27 000	MICROSOFT CORP	11/04/2009	06/25/2012	761 13	805 00	43 87	
13 000	NORFOLK SOUTHERN CORP COM	12/11/2009	06/25/2012	679 07	887 59		208 52
10 000	ORACLE CORP	07/30/2009	06/25/2012	223 20	273 14		49 94
12 000	PEPSICO INC	07/30/2009	06/25/2012	686 28	821 77		135 49
8 000	PHILIP MORRIS INTL	07/30/2009	06/25/2012	377 01	673 70		296 69
9 000	PNC FINANCIAL SERVICES GRP COM STK	07/30/2009	06/25/2012	323 70	522 58		198 88
9 000	QUALCOMM INC	01/11/2010	06/25/2012	438 70	482 06		43 36
7 000	SEMPRA ENERGY COM STK	06/01/2012	06/25/2012	447 44	465 13	17 69	
16 000	SUNCOR ENERGY INC	07/30/2009	06/25/2012	516 26	429 34		(86 92)
6 000	TARGET CORP COM STK	08/16/2011	06/25/2012	295 91	342 07	46 16	

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						Short Term	Long Term
8 000	THERMO FISHER	06/01/2012	06/25/2012	394 40	398 31	3 91	
7 000	TRAVELERS COS INC	06/01/2012	06/25/2012	425 67	436 37	10 70	
7 000	UNITED TECHNOLOGIES CORP	07/30/2009	06/25/2012	383 25	515 53		132 28
4 000	V F CORP	06/01/2012	06/25/2012	537 60	562 10	24 50	
6 000	VISA INC CLASS A	04/15/2010	06/25/2012	565 88	732 34		166 46
2 000	WALGREEN CO COM	10/13/2010	06/25/2012	69 66	58 13		(11 53)
12 000	WALT DISNEY CO (HOLDING COMPANY)	10/05/2009	06/25/2012	330 82	559 97		229 15
4 000	WILLIAMS COS THE COM	06/01/2012	06/25/2012	116 18	109 75	(6 43)	
12 000	WISCONSIN ENERGY CORP COM	12/11/2009	06/25/2012	286 87	463 35		176 48
10 000	XCEL ENERGY INC COM STK	06/01/2012	06/25/2012	279 86	276 62	(3 24)	
5 000	YUM BRANDS INC	08/05/2010	06/25/2012	208 54	326 14		117 60
38 000	COMPANHIA ENERGETICA DE MINAS GERAIS	03/26/2010	06/25/2012	454 30	700 39		246 09
27 000	COMPANHIA ENERGETICA DE MINAS GERAIS	08/03/2009	06/25/2012	298 49	497 65		199 16
50 000	PT PERUSAHAAN GAS NEGARA PERSARO TBK UNSPONSORED ADR (INDONESIA)	06/15/2011	07/03/2012	1 167 28	942 07		(225 21)
27 000	PT PERUSAHAAN GAS NEGARA PERSARO TBK UNSPONSORED ADR (INDONESIA)	04/12/2011	07/03/2012	642 23	508 72		(133 51)
17 000	PT PERUSAHAAN GAS NEGARA PERSARO TBK UNSPONSORED ADR (INDONESIA)	06/07/2011	07/03/2012	398 28	320 31		(77 97)
50 000	PT PERUSAHAAN GAS NEGARA PERSARO TBK UNSPONSORED ADR (INDONESIA)	05/09/2011	07/03/2012	1 227 04	942 08		(284 96)
27 000	PT PERUSAHAAN GAS NEGARA PERSARO TBK UNSPONSORED ADR (INDONESIA)	04/12/2011	07/03/2012	618 22	508 72		(109 50)
20,000 000	GEN ELEC CAP CRP SER A GLOBAL FLOATER DUE 07/27/2012 @ 100 00	12/18/2009	07/27/2012	20,000 00	20,000 00		
53 000	SUNCOR ENERGY INC	06/01/2012	08/01/2012	1 404 50	1 622 28	217 78	
10 000	SUNCOR ENERGY INC	12/11/2009	08/01/2012	345 08	306 09		(38 99)

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						Short Term	Long Term
79 000	SUNCOR ENERGY INC	07/30/2009	08/01/2012	2,549 03	2 418 11		(130 92)
10 000	ALTRIA GROUP INC	07/29/2009	08/07/2012	176 72	349 69		172 97
50 000	ASTRAZENECA PLC SPONS ADR	07/29/2009	08/07/2012	2,298 00	2 407 44		109 44
15 000	AT&T INC ISIN US00206R1023	07/29/2009	08/07/2012	389 10	561 88		172 78
10 000	CHEVRON CORPORATION	07/29/2009	08/07/2012	670 77	1,121 27		450 50
10 000	DIAGEO PLC SPONS ADR NEW	07/29/2009	08/07/2012	601 64	1,072 57		470 93
20 000	DU PONT E I DENEMOURS & CO COM	12/31/2009	08/07/2012	678 35	1,007 17		328 82
20 000	ELI LILLY & CO COM	07/29/2009	08/07/2012	699 40	853 18		153 78
75 000	GENERAL ELEC CO	07/29/2009	08/07/2012	918 75	1 586 96		668 21
173 040	HARBOR FD INTL FD	04/13/2012	08/07/2012	9,991 33	10 000 00	8 67	
20 000	HCP INC REIT	06/29/2010	08/07/2012	624 12	911 77		287 65
20 000	HEALTH CARE REIT INC COM	07/29/2009	08/07/2012	737 48	1 179 77		442 29
25 000	HSBC HOLDINGS PLC ADR	07/29/2009	08/07/2012	1,177 35	1 096 22		(81 13)
40 000	INTEL CORP	11/23/2009	08/07/2012	774 53	1,060 77		286 24
33 000	ISHARES RUSSELL 2000INDEX GROWTH US ETF	08/10/2009	08/07/2012	2,037 75	3 036 37		998 62
15 000	JOHNSON & JOHNSON	07/29/2009	08/07/2012	919 42	1,023 87		104 45
29 000	JOHNSON CTLS INC COM	06/01/2012	08/07/2012	843 03	739 09	(103 94)	
115 000	JOHNSON CTLS INC COM	07/13/2011	08/07/2012	4 763 35	2,930 89		(1 832 46)
40 000	KRAFT FOODS INC SEE CUSIP 609207105	07/29/2009	08/07/2012	1 138 80	1,632 36		493 56
199 000	MARKET VECTORS AGRIBUSINESS	06/22/2011	08/07/2012	10,412 99	10,032 02		(380 97)
15 000	MERCK & CO	09/29/2011	08/07/2012	487 36	660 43	173 07	
25 000	MICROSOFT CORP	09/07/2010	08/07/2012	600 70	756 98		156 28
25 000	RAYTHEON COMPANY COM	04/16/2012	08/07/2012	1 320 82	1 392 46	71 64	
26 000	ROCKWELL COLL	05/26/2011	08/07/2012	1 560 62	1,331 43		(229 19)
108 000	ROCKWELL COLL	02/04/2011	08/07/2012	7,148 17	5,530 56		(1,617 61)

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						Short Term	Long Term
14 000	ROCKWELL COLL	07/29/2009	08/07/2012	556 30	716 92		160 62
50 000	UNILEVER N V COM SHR	07/29/2009	08/07/2012	1,333 50	1,756 96		423 46
15 000	VERIZON COMMUNICATIONS COM STK	07/29/2009	08/07/2012	444 82	666 73		221 91
4 000	ACCENTURE PLC	05/10/2011	08/08/2012	228 04	247 19		19 15
42 000	AKBANK TURK ANON	01/20/2009	08/08/2012	172 00	318 35		146 35
33 000	AMERICA MOVIL SAB DE CV	11/21/2008	08/08/2012	460 51	867 88		407 37
2 000	APPLE INC	07/30/2009	08/08/2012	327 38	1 241 51		914 13
4 000	AT&T INC	07/30/2009	08/08/2012	105 84	149 71		43 87
	ISIN US00206R1023						
11 000	BANCO MACRO S A ADR	03/17/2008	08/08/2012	258 36	144 64		(113 72)
9 000	BAXTER INTERNATIONAL INC USDI COM	12/11/2009	08/08/2012	524 25	528 46		4 21
2 000	BAXTER INTERNATIONAL INC USDI COM	07/30/2009	08/08/2012	112 74	117 44		4 70
13 000	BIDVEST GROUP LTD (FORMERLY BI ADR)	03/02/2011	08/08/2012	584 88	652 58		67 70
11 000	CENTURYLINK INC	09/14/2011	08/08/2012	381 24	461 98	80 74	
10 000	CHEVRON CORPORATION	03/16/2011	08/08/2012	1,014 31	1,124 07		109 76
44 000	CHINA CONSTRUCTION BANK CORP UNSPONSORED ADR REPRESENTING	04/21/2010	08/08/2012	743 36	604 98		(138 38)
11 000	CHINA MOBILE LTD FORMERLY CHINA MOBILE HK LTD SP-ADR	01/26/2012	08/08/2012	550 32	631 27	80 95	
51 000	CIA SIDERURGICA NACIONAL SPONS A DR REPR 4 ORDS	02/05/2010	08/08/2012	729 06	284 57		(444 49)
14 000	CIELO S A ADR	11/04/2010	08/08/2012	257 85	426 01		168 16
69 000	CIELO S A ADR	05/26/2010	08/08/2012	1,177 16	2 099 62		922 46
2 000	CIELO S A ADR	05/18/2010	08/08/2012	46 70	60 86		14 16
19 000	CLICKS GROUP LTD UNSPONSORED ADR	05/17/2011	08/08/2012	468 60	505 38		36 78
1 000	CNOOC LTD ADR	06/28/2012	08/08/2012	193 00	207 03	14 03	

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						Short Term	Long Term
491 000	COMMERCIAL INTERNATIONAL BANK ADR	08/03/2009	08/08/2012	2,192 93	2 111 25		(81 68)
10 000	COMPANHIA DE BEBIDAS-PR ADR	03/02/2011	08/08/2012	281 45	387 39		105 94
96 000	COMPANHIA ENERGETICA DE MINAS GERAIS	11/19/2010	08/08/2012	1,337 31	1,888 72		551 41
90 000	COMPANHIA ENERGETICA DE MINAS GERAIS	03/26/2010	08/08/2012	1,075 97	1,770 67		694 70
6 000	COVIDIEN PLC	08/19/2009	08/08/2012	238 04	341 93		103 89
8 000	CROWN CASTLE INTERNATIONAL COR COM STK	06/01/2012	08/08/2012	424 96	494 54	69 58	
10 000	DEERE & CO COM	08/01/2012	08/08/2012	782 10	791 68	9 58	
5 000	EXXON MOBIL CORP	07/30/2009	08/08/2012	355 30	441 34		86 04
9 000	GENERAL ELEC CO	07/30/2009	08/08/2012	119 57	189 08		69 51
18 000	GRUPO TELEVISIA SA ADR	11/12/2007	08/08/2012	400 32	422 80		22 48
5 000	GRUPO TELEVISIA SA ADR	10/03/2007	08/08/2012	144 50	117 45		(27 05)
3 000	HONEYWELL INTERNATIONAL L INC COM STK	09/23/2010	08/08/2012	130 84	176 78		45 94
8 000	INFOSYS TECHNOLOGIES ADR (REPR 1/2 INR10 ORD)	07/30/2009	08/08/2012	339 63	332 39		(7 24)
5 000	JPMORGAN CHASE & CO	07/30/2009	08/08/2012	193 63	185 59		(8 04)
12 000	KB FINANCIAL GROUP ADR	08/12/2011	08/08/2012	456 74	385 91	(70 83)	
60 000	KIMBERLY CLARK DE MEXICO S A B DE C V	10/15/2007	08/08/2012	472 80	613 78		140 98
20 000	KOC HOLDINGS AS	12/09/2009	08/08/2012	260 69	393 99		133 30
8 000	LUKOIL (OAO) ADR EACH REPR 4 ORD RUB0 25	08/12/2011	08/08/2012	444 44	476 22	31 78	
14 000	MARSH & MCLENNAN COS INC COM	08/01/2012	08/08/2012	465 32	475 42	10 10	
44 000	MASSMART HLDGS LTD ADR	07/26/2011	08/08/2012	1,752 80	1,813 63		60 83
7 000	MOBILE TELESYSTEMS SP ADR	01/15/2009	08/08/2012	69 23	132 16		62 93

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						Short Term	Long Term
29 000	MOBILE TELESYSTEMS SP ADR	05/01/2008	08/08/2012	870 34	547 50		(322 84)
29 000	NEDBANK GROUP LTD SPONS ADR	07/07/2008	08/08/2012	339 30	642 33		303 03
9 000	NETEASE COM INC COM STK	09/03/2009	08/08/2012	372 56	494 89		122 33
5 000	NORFOLK SOUTHERN CORP COM	12/11/2009	08/08/2012	261 18	373 64		112 46
45 000	OAO GAZPROM ADR LEVEL 1 ADR PROGRAM	" 08/12/2011	08/08/2012	515 27	443 24		
13 000	ORACLE CORP	07/30/2009	08/08/2012	290 16	404 68		114 52
11 000	ORASCOM CONSTR INDS S A E ADR	12/30/2010	08/08/2012	546 57	476 72		(69 85)
31 000	ORIFLAME COSMETICS SA ADR	02/03/2009	08/08/2012	351 85	557 67		205 82
3 000	PEPSICO INC	07/30/2009	08/08/2012	171 57	217 79		46 22
4 000	PHILIP MORRIS INTL	07/30/2009	08/08/2012	188 50	367 03		178 53
9 000	PHILIPPINE LONG DISTANCE TEL CO SPONS ADR	10/03/2007	08/08/2012	482 73	586 33		103 60
49 000	PRETORIA PORTLAND CEMENT CO LTD ADR	07/30/2009	08/08/2012	365 05	316 04		(49 01)
81 000	PT BK MANDIRI PERSERO TBK UNSP	12/23/2010	08/08/2012	573 54	680 38		106 84
8 000	PT SEMEN GRESIK PERSERO UNSPONSORED ADR (INDONESIA)	12/03/2010	08/08/2012	167 83	209 03		41 20
8 000	PT UNITED TRACTORS-UNSPON ADR (INDONESIA)	03/23/2009	08/08/2012	90 80	365 99		275 19
37 000	PTT EXPL & PRODTN PUB LTD ADR	07/26/2011	08/08/2012	459 84	349 27		(110 57)
7 000	QUALCOMM INC	01/11/2010	08/08/2012	341 21	430 07		88 86
18 000	SANLAM LTD ADR	07/30/2009	08/08/2012	229 50	406 97		177 47
64 000	SBERBANK RUSSIA SPONSORED ADR	" 08/04/2011	08/08/2012	918 54	769 90		
6 000	SHINHAN FINL GROUP CO LTD SPN A DR	06/17/2008	08/08/2012	568 20	379 07		(189 13)
1 000	SHINHAN FINL GROUP CO LTD SPN A DR	03/19/2008	08/08/2012	94 94	63 18		(31 76)

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						Short Term	Long Term
9 000	SHOPRITE HOLDINGS LTD ORD UNSPON SORED ADR (SOUTH AFRICA)	10/22/2009	08/08/2012	152 34	363 68		211 34
3 000	SPDR S&P MIDCAP 400 ETUTSER1 S&P DCRP	08/01/2012	08/08/2012	511 74	523 82	12 08	
25 000	SPDR S&P MIDCAP 400 ETUTSER1 S&P DCRP	07/19/2012	08/08/2012	4 325 00	4 365 15	40 15	
14 000	STANDARD BANK GROUP LIMITED UNSP ONS ADR SEE CUSIP 853118206	07/30/2009	08/08/2012	341 60	401 09		59 49
47 000	TAIWAN SEMICONDUCTOR MANUFACTU ADR	01/18/2008	08/08/2012	401 18	663 62		262 44
4 000	TARGET CORP COM STK	08/16/2011	08/08/2012	197 27	251 87	54 60	
23 000	TELKOM PT ADREPRESENTING 20 ORD	06/27/2008	08/08/2012	739 10	896 28		157 18
8 000	THERMO FISHER	06/01/2012	08/08/2012	394 40	458 06	63 66	
14 000	TIGER BRANDS LTD ADR	07/30/2009	08/08/2012	285 60	469 68		184 08
41 000	TURKCELL ILETISIM HIZMET SPONS ADR NEW	05/08/2008	08/08/2012	738 57	564 56		(174 01)
17 000	TURKCELL ILETISIM HIZMET SPONS ADR NEW	04/24/2008	08/08/2012	328 33	234 08		(94 25)
2 000	UNITED TECHNOLOGIES CORP	07/30/2009	08/08/2012	109 50	154 93	45 43	
23 000	VALE S A CLASS A ADR	02/10/2010	08/08/2012	521 48	424 11		(97 37)
21 000	VODACOM GROUP ADR	05/29/2012	08/08/2012	259 26	249 68	(9 58)	
8 000	WALGREEN CO COM	06/08/2011	08/08/2012	346 15	289 67		(56 48)
23 000	WALGREEN CO COM	10/13/2010	08/08/2012	801 14	832 81	31 67	68 36
3 000	WALT DISNEY CO (HOLDING COMPANY)	10/05/2009	08/08/2012	82 71	151 07		
14 000	WILLIAMS COS THE COM	06/01/2012	08/08/2012	406 64	442 11	35 47	
7 000	WOOLWORTHS HOLDINGS LTD SOUTH ADR	03/19/2012	08/08/2012	421 99	470 38	48 39	
2 000	XCEL ENERGY INC COM STK	06/01/2012	08/08/2012	55 97	57 67	1 70	

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						Short Term	Long Term
13 000	YPF SOCIEDAD ANONIMA SPONS ADR REPR CL D	03/28/2011	08/08/2012	552 26	150 27		(401 99)
75 000	EXPRESS SCRIPTS HOLDING COMPANY	02/25/2011	08/16/2012	4,164 92	4,564 99		400 07
190 000	ANGLO AMER	08/08/2012	08/20/2012	3 002 00	2 845 69	(156 31)	
15,000 000	CALIFORNIA ST ECONOMIC RECOVERY 4 000% CALLABLE 08/24/2012 @ 100 00	10/26/2011	08/24/2012	15 000 00	15 000 00		
68 000	AMER EXPRESS CO COM	01/12/2011	08/30/2012	3 050 29	3,860 33		810 04
30,000 000	WEICHA I PWR CO LTD ADR	12/29/2010	09/04/2012	8 41	4 88		(3 53)
23 000	GOLDMAN SACHS FLOATER DUE 09/29/2014 @ 100 00	12/17/2009	09/06/2012	29 612 26	29 576 10		(36 16)
200 000	BECTON DICKINSON & CO COM	03/08/2011	09/11/2012	1 834 25	1,802 60		(31 65)
1 000	BRISTOL MYERS SQUIBB CO USD 10	07/29/2009	09/14/2012	4 321 50	6 662 09		2 340 59
2 000	CIELO S A ADR	11/04/2010	09/17/2012	18 57	28 33		9 76
25,000 000	ONTARIO PROVINCE 0 950% DUE 05/26/2015 @ 100 00	11/04/2010	09/17/2012	36 84	56 66		19 82
26 000	ACCENTURE PLC	05/16/2012	09/20/2012	24 995 31	25 281 25	285 94	
20 000	AUTOMATIC DATA PROCESSING INC	09/30/2009	09/25/2012	971 04	1 691 26		720 22
24 000	DISCOVERY HLDG CO	07/29/2009	09/25/2012	750 12	1,168 57		418 45
45 000	EMC CORP MASS COM STK	08/12/2011	09/25/2012	939 28	1,410 92		471 64
22 000	EXPEDITORS INTL WASH INC COM STK	07/29/2009	09/25/2012	683 91	1 229 37		545 46
16 000	EXPRESS SCRIPTS HOLDING COMPANY	07/29/2009	09/25/2012	731 46	795 72		64 26
461 874	HARBOR FD INTL FD	02/25/2011	09/25/2012	888 52	1 007 33		118 81
94 000	ISHARES RUSSELL 2000INDEX GROWTH US ETF	04/13/2012	09/25/2012	26 668 61	27 500 00	831 39	
3 000	MASTERCARD INC	08/10/2009	09/25/2012	5,804 49	9 049 53		3 245 04
15 000	QUALCOMM INC	08/03/2009	09/25/2012	595 35	1 358 45		763 10
		06/25/2010	09/25/2012	522 21	947 68		425 47

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						Short Term	Long Term
18 000	QUALCOMM INC	05/28/2010	09/25/2012	638 44	1,137 21		498 77
60 000	RUSS 2000 VALUE COM STK US ETFs	08/10/2009	09/25/2012	3,262 79	4,493 89		1 231 10
22 000	SCRIPPS NETWORKS INTER WI	07/29/2009	09/25/2012	698 04	1,347 68		649 64
14 000	STANLEY BLACK & DECKER INC	09/19/2012	09/25/2012	1,064 82	1,064 11		
28 000	STARWOOD HOTELS & RESORTS	05/31/2011	09/25/2012	1 707 30	1 609 96		(97 34)
64 000	SUNCOR ENERGY INC	10/07/2010	09/25/2012	2,168 42	2 103 64		(64 78)
11 000	SUNCOR ENERGY INC	02/01/2010	09/25/2012	356 36	361 56		5 20
18 000	T-ROWE PRICE GROUP INC	06/22/2012	09/25/2012	1,090 44	1 137 39	46 95	
50 000 000	TOTAL CAPITAL 3 125% DUE 10/02/2015 @ 100 00	09/25/2009	09/25/2012	49 889 54	53 507 00		3 617 46
33 000	WELLS FARGO COMPANY	09/28/2011	09/25/2012	824 49	1 152 99	328 50	
11 000	WYNN RESORTS	07/11/2012	09/25/2012	1 123 17	1,253 42	130 25	
28 000	ABBOTT LABS NPV	03/08/2011	09/26/2012	1 362 20	1 937 55		575 35
7 000	AIR PRODS & CHEMS INC COM	03/08/2011	09/26/2012	625 45	577 83		(47 62)
53 000	AKBANK TURK ANON	07/30/2009	09/26/2012	444 65	416 04		(28 61)
2 000	AKBANK TURK ANON	01/20/2009	09/26/2012	8 19	15 70		7 51
49 000	ALTRIA GROUP INC	03/08/2011	09/26/2012	1,252 44	1 672 33		419 89
6 000	AMER EXPRESS CO COM	03/08/2011	09/26/2012	268 74	339 95		71 21
39 000	AMERICA MOVIL SAB DE CV	11/21/2008	09/26/2012	544 25	975 75		431 50
9 000	APPLE INC	03/08/2011	09/26/2012	3 197 97	5 979 73		2 781 76
16 000	ARCOS DORADOS HOLDINGS INC	05/23/2011	09/26/2012	352 05	240 63		(111 42)
9 000	AUTOMATIC DATA PROCESSING INC	03/08/2011	09/26/2012	454 05	525 67		71 62
27 000	BANCO DO BRASIL S A ADR	01/29/2010	09/26/2012	413 85	342 89		(70 96)
2 000	BANCO DO BRASIL S A ADR	01/05/2010	09/26/2012	35 34	25 40		(9 94)
134 000	BANCO DO BRASIL S A ADR	01/04/2010	09/26/2012	2 389 45	1 701 76		(687 69)
33 000	BANCO NACRO S A ADR	03/17/2008	09/26/2012	775 07	473 53		(301 54)

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						Short Term	Long Term
18 000	BIDVEST GROUP LTD (FORMERLY BI ADR)	03/02/2011	09/26/2012	809 83	887 92		78 09
21 000	CHEVRON CORPORATION	03/08/2011	09/26/2012	2,145 78	2 447 07		301 29
26 000	CHINA CONSTRUCTION BANK CORP UNSPONSORED ADR REPRESENTING	05/10/2010	09/26/2012	435 39	349 69		(85 70)
57 000	CHINA CONSTRUCTION BANK CORP UNSPONSORED ADR REPRESENTING	04/21/2010	09/26/2012	962 99	766 63		(196 36)
21 000	CHINA MOBILE LTD FORMERLY CHINA MOBILE HK LTD SP-ADR	01/26/2012	09/26/2012	1,050 62	1 145 52	94 90	
28 000	CIELO S A ADR	11/04/2010	09/26/2012	520 03	746 46		226 43
28 000	CLICKS GROUP LTD UNSPONSORED ADR	05/17/2011	09/26/2012	690 56	761 86		71 30
4 000	CNOOC LTD ADR	06/28/2012	09/26/2012	772 00	790 86	18 86	
75 000	COCA COLA CO 25	03/08/2011	09/26/2012	2,460 99	2 853 68		392 69
87 000	COMMERCIAL INTERNATIONAL BANK ADR	08/03/2009	09/26/2012	388 56	493 27		104 71
18 000	COMPANHIA DE BEBIDAS-PR ADR	03/02/2011	09/26/2012	506 61	683 80		177 19
17 000	CONOCOPHILLIPS	03/08/2011	09/26/2012	1,020 25	971 35		(48 90)
36 000	EXXON MOBIL CORP	03/08/2011	09/26/2012	3,034 03	3,296 80		262 77
6 000	FRANKLIN RES INC COM	03/08/2011	09/26/2012	753 36	739 78		(13 58)
51 000	GENERAL ELEC CO	03/08/2011	09/26/2012	1,055 31	1 129 11		73 80
3 000	GRUPO TELEvisa SA ADR	01/16/2009	09/26/2012	43 89	69 00		25 11
25 000	GRUPO TELEvisa SA ADR	11/12/2007	09/26/2012	556 00	574 98		18 98
13 000	HSBC HOLDINGS PLC ADR	03/08/2011	09/26/2012	700 70	602 53		(98 17)
10 000	INFOSYS TECHNOLOGIES ADR (REPR 1/2 INR10 ORD)	07/30/2009	09/26/2012	424 54	471 98		47 44
5 000	INTEL CORP	03/08/2011	09/26/2012	105 71	112 74		7 03
9 000	INTL BUSINESS MACHS CORP	03/08/2011	09/26/2012	1 460 34	1 842 52		382 18
29 000	JOHNSON & JOHNSON	03/08/2011	09/26/2012	1,759 61	2,005 01		245 40

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						Short Term	Long Term
28 000	JPMORGAN CHASE & CO	03/08/2011	09/26/2012	1 292 84	1 127 81		(165 03)
24 000	KB FINANCIAL GROUP ADR	08/12/2011	09/26/2012	913 49	835 18		(78 31)
71 000	KIMBERLY CLARK DE MEXICO S A B DE C V	10/15/2007	09/26/2012	559 49	793 76		234 27
28 000	KOC HOLDINGS AS	12/18/2009	09/26/2012	375 59	561 10		185 51
4 000	KOC HOLDINGS AS	12/09/2009	09/26/2012	52 14	80 16		28 02
13 000	KRAIT FOODS INC SEE CUSIP 609207105	03/08/2011	09/26/2012	407 94	537 27		129 33
4 000	LUKOIL (OAO) ADR EACH REPR 4 ORD RUB0 25	09/06/2011	09/26/2012	231 63	243 23		11 60
7 000	LUKOIL (OAO) ADR EACH REPR 4 ORD RUB0 25	08/12/2011	09/26/2012	388 89	425 66		36 77
9 000	MCDONALDS CORP COM	03/08/2011	09/26/2012	675 18	837 79		162 61
13 000	MCGRAW HILL COMPANIE S INC USDI COM	03/08/2011	09/26/2012	493 61	700 81		207 20
7 000	MEDTRONIC INC COM	03/08/2011	09/26/2012	276 08	302 88		26 80
19 000	MERCK & CO	03/08/2011	09/26/2012	625 86	857 26		231 40
45 000	MOBILE TELESYSTEMS SP ADR	01/15/2009	09/26/2012	445 05	778 93		333 88
35 000	NEDBANK GROUP LTD SPONS ADR	07/07/2008	09/26/2012	409 50	767 88		358 38
28 000	NESTLE SA CHF10 SPONS ADR 20 ADRS - 1 REC'D	03/08/2011	09/26/2012	1 563 80	1 779 64		215 84
2 000	NETEASE COM INC COM STK	11/02/2009	09/26/2012	75 20	104 12		28 92
9 000	NETEASE COM INC COM STK	09/03/2009	09/26/2012	372 57	468 52		95 95
42 000	NEWS CORP CL A COM	03/08/2011	09/26/2012	724 27	1 015 11		290 84
13 000	NOVO NORDISK A/S ADR	03/08/2011	09/26/2012	1 647 10	2 060 06		412 96
70 000	OAO GAZPROM ADR LEVEL 1 ADR PROGRAM	08/12/2011	09/26/2012	801 54	700 68		(100 86)
19 000	ORACLE CORP	05/15/2012	09/26/2012	512 92	582 33	69 41	
16 000	ORASCOM CONSTR INDS S A E ADR	12/30/2010	09/26/2012	795 01	750 38		(44 63)

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						Short Term	Long Term
39 000	ORIFLAME COSMETICS SA ADR	02/03/2009	09/26/2012	442 65	666 49		223 84
16 000	PEPSICO INC	03/08/2011	09/26/2012	1 016 32	1,132 61		116 29
45 000	PHILIP MORRIS INTL	03/08/2011	09/26/2012	2,887 20	4 058 90		1 171 70
19 000	PHILIPPINE LONG DISTANCE TEL CO SPONS ADR	10/03/2007	09/26/2012	1 019 10	1,246 18		227 08
28 000	PHILLIPS 66 W1	03/08/2011	09/26/2012	998 78	1,286 01		287 23
5 000	PRAXAIR INC COM	03/08/2011	09/26/2012	485 30	525 63		40 33
49 000	PRETORIA PORTLAND CEMENT CO LTD ADR	07/30/2009	09/26/2012	365 05	339 56		(25 49)
24 000	PROCTER & GAMBLE CO	03/08/2011	09/26/2012	1,484 56	1 671 56		187 00
69 000	PT BK MANDIRI PERSERO TBK UNSP	12/23/2010	09/26/2012	488 56	574 06		85 50
16 000	PT SEMEN GRESIK PERSERO UNSPONSORED ADR (INDONESIA)	12/03/2010	09/26/2012	332 49	450 38		117 89
36 000	PTT EXPL & PRODTN PUB LTD ADR	07/26/2011	09/26/2012	447 41	354 59		(92 82)
12 000	QUALCOMM INC	03/08/2011	09/26/2012	678 36	746 99		68 63
19 000	ROCHE HOLDING AG BASEL ADR	03/08/2011	09/26/2012	673 36	893 35		219 99
15 000	ROYAL DUTCH SII A	03/08/2011	09/26/2012	1,058 40	1 052 07		(6 33)
8 000	SABMILLER PLC SPONS ADR	03/22/2012	09/26/2012	323 80	351 99	28 19	
25 000	SANLAM LTD ADR	07/30/2009	09/26/2012	318 75	552 23		233 48
109 000	SBERBANK RUSSIA SPONSORED ADR	08/04/2011	09/26/2012	1 564 26	1 269 82		(132 36)
12 000	SHINHAN FINL GROUP CO LTD SPN A DR	06/17/2008	09/26/2012	1,136 40	799 06		(337 34)
19 000	SHOPRITE HOLDINGS LTD ORD UNSPON SORED ADR (SOUTH AFRICA)	10/22/2009	09/26/2012	321 61	775 18		453 57
25 000	STANDARD BANK GROUP LIMITED UNSP ONS ADR SEE CUSIP 853118206	07/30/2009	09/26/2012	610 00	639 98		29 98
30 000	TAIWAN SEMICONDUCTOR MANUFACTU ADR	08/11/2009	09/26/2012	307 21	445 79		138 58

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						Short Term	Long Term
37 000	TAIWAN SEMICONDUCTOR MANUFACTU ADR	08/03/2009	09/26/2012	390 35	549 80		159 45
1 000	TAIWAN SEMICONDUCTOR MANUFACTU ADR	01/18/2008	09/26/2012	8 54	14 86		6 32
32 000	TARGET CORP COM STK	03/08/2011	09/26/2012	1 647 36	2,051 15		403 79
31 000	TELKOM PT ADRPRESENTING 20 ORD	06/27/2008	09/26/2012	996 18	1 178 28		182 10
9 000	TIGER BRANDS LTD ADR	08/03/2009	09/26/2012	185 85	293 21		107 36
6 000	TIGER BRANDS LTD ADR	07/30/2009	09/26/2012	132 40	195 47		73 07
9 000	TIME WRNR CBL	09/02/2011	09/26/2012	575 87	844 36		268 49
17 000	TOTAL S A SPON ADR	03/08/2011	09/26/2012	1,024 42	867 66		(156 76)
1 000	TURKCELL ILETISIM HIZMET SPONS ADR NEW	07/02/2008	09/26/2012	14 71	15 44		0 73
54 000	TURKCELL ILETISIM HIZMET SPONS ADR NEW	05/08/2008	09/26/2012	972 76	833 74		(139 02)
13 000	UNITED TECHNOLOGIES CORP	03/08/2011	09/26/2012	1,077 44	1 021 77		(55 67)
55 000	VALE S A CLASS A ADR	02/10/2010	09/26/2012	1 247 02	962 47		(284 55)
35 000	VODACOM GROUP ADR	05/29/2012	09/26/2012	432 11	430 49	(1 62)	
23 000	WAL MART STORES INC	03/08/2011	09/26/2012	1 200 60	1,712 65		512 05
15 000	WALGREEN CO COM	03/08/2011	09/26/2012	634 80	542 01		(92 79)
24 000	WALT DISNEY CO (HOLDING COMPANY)	03/08/2011	09/26/2012	1 038 00	1,247 25		209 25
90 000	WEICHAI PWR CO LTD ADR	12/29/2010	09/26/2012	1,909 08	1,063 77		(845 31)
11 000	WOOL WORTHS HOLDINGS LTD SOUTH ADR	03/19/2012	09/26/2012	663 12	787 58	124 46	
5 000	XILINX INC COM	05/15/2012	09/26/2012	164 72	167 19	2 47	
19 000	YPF SOCIEDAD ANONIMA SPONS ADR REPR CL D	03/28/2011	09/26/2012	807 15	248 89		(528 88)
	KRAFT FDS GRP	03/08/2011	10/01/2012	11 02	15 81		4 79
	KRAFT FDS GRP	07/29/2009	10/01/2012	10 03	15 85		5 82

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						Short Term	Long Term
10 000	3 M CO	07/29/2009	10/03/2012	691 74	938 07		246 33
14 000	ACCENTURE PLC	05/10/2011	10/03/2012	798 15	984 59		186 44
10 000	ALTRIA GROUP INC	07/29/2009	10/03/2012	176 72	341 09		164 37
10 000	ASTRAZENECA PLC SPONS ADR	07/29/2009	10/03/2012	459 60	469 18		9 58
20 000	AT&T INC	07/29/2009	10/03/2012	518 80	765 18		246 38
	ISIN US00206R1023						
16 000	AT&T INC	07/30/2009	10/03/2012	423 36	611 50		188 14
	ISIN US00206R1023						
15 000	BARRICK GOLD CORP COM	06/01/2012	10/03/2012	629 64	616 18	(13 46)	
15 000	BAXTER INTERNATIONAL INC USD I COM	02/09/2011	10/03/2012	742 76	918 27		175 51
1 000	BAXTER INTERNATIONAL INC USD I COM	12/11/2009	10/03/2012	58 25	61 22		2 97
3 000	BLACKROCK INC	11/25/2011	10/03/2012	455 38	543 19	87 81	
8 000	BRISTOL MYERS SQUIBB CO USD 10	08/16/2011	10/03/2012	227 12	268 07		40 95
4 000	CARDINAL HEALTH INC COM	12/29/2010	10/03/2012	154 37	159 35		4 98
5 000	CATERPILLAR INC COM	08/01/2012	10/03/2012	416 36	425 14	8 78	
10 000	CHEVRON CORPORATION	07/29/2009	10/03/2012	670 77	1,168 77		498 00
5 000	CHEVRON CORPORATION	03/16/2011	10/03/2012	507 15	582 48		75 33
20 000	CISCO SYSTEMS INC	09/14/2012	10/03/2012	392 50	380 39	(12 11)	
8 000	COLGATE PALMOLIVE CO COM	11/25/2011	10/03/2012	696 48	864 38	167 90	
15 000	CONOCOPHILLIPS	10/29/2009	10/03/2012	583 33	856 33		273 00
14 000	COVIDIEN PLC	08/19/2009	10/03/2012	555 44	837 88		282 44
5 000	CROWN CASTLE INTERNATIONAL COR COM STK	06/01/2012	10/03/2012	265 60	333 19	67 59	
5 000	DEERE & CO COM	08/01/2012	10/03/2012	391 05	409 49	18 44	
10 000	DIAGEO PLC SPONS ADR NEW	07/29/2009	10/03/2012	601 63	1 143 27		541 64
10 000	DU PONT E I DENEMOURS & CO COM	12/31/2009	10/03/2012	339 18	494 18		155 00

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						Short Term	Long Term
30 000	ELI LILLY & CO COM	07/29/2009	10/03/2012	1 049 10	1,447 16		398 06
10 000	EMC CORP MASS COM STK	08/07/2012	10/03/2012	270 54	269 89	(0 65)	
12 000	EXXON MOBIL CORP	07/30/2009	10/03/2012	852 72	1,097 37		244 65
45 000	GENERAL ELEC CO	07/29/2009	10/03/2012	551 25	1,030 92		479 67
39 000	GENERAL ELEC CO	07/30/2009	10/03/2012	518 16	892 30		374 14
10 000	HCP INC REIT	06/29/2010	10/03/2012	312 36	454 18		141 82
10 000	HEALTH CARE REIT INC COM	07/29/2009	10/03/2012	358 93	593 38		234 45
10 000	HEINZ H J CO COM	07/29/2009	10/03/2012	384 71	566 68		181 97
9 000	HONEYWELL INTERNATIONAL L INC COM STK	09/23/2010	10/03/2012	392 50	549 61		157 11
15 000	HSBC HOLDINGS PLC ADR	07/29/2009	10/03/2012	706 41	710 38		3 97
10 000	JOHNSON & JOHNSON	07/29/2009	10/03/2012	612 95	691 18		78 23
10 000	JPMORGAN CHASE & CO	03/27/2012	10/03/2012	462 13	408 39	(53 74)	
44 000	JPMORGAN CHASE & CO	07/30/2009	10/03/2012	1 703 95	1,793 83		89 88
10 000	KIMBERLY CLARK CORP	07/29/2009	10/03/2012	584 01	865 38		281 37
16 000	MARSH & MCLENNAN COS INC COM	08/01/2012	10/03/2012	531 79	547 82	16 03	
15 000	MERCK & CO	09/29/2011	10/03/2012	487 36	686 08		198 72
13 000	MICROSOFT CORP	11/04/2009	10/03/2012	366 47	387 00		20 53
10 000	NEXTERA ENERGY INC	07/29/2009	10/03/2012	564 47	708 78		144 31
4 000	NORTFOLK SOUTHERN CORP COM	12/11/2009	10/03/2012	208 94	262 55		53 61
17 000	ORACLE CORP	07/30/2009	10/03/2012	379 44	540 75		161 31
9 000	PEPSICO INC	07/30/2009	10/03/2012	514 71	637 00		122 29
10 000	PHILIP MORRIS INTL	07/29/2009	10/03/2012	467 45	928 37		460 92
6 000	PHILIP MORRIS INTL	07/30/2009	10/03/2012	282 76	556 96		274 20
18 000	PNC FINANCIAL SERVICES GRP COM STK	07/30/2009	10/03/2012	647 41	1,158 63		511 22
6 000	QUALCOMM INC	01/11/2010	10/03/2012	292 47	371 63		79 16

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						Short Term	Long Term
10 000	RAYTHEON COMPANY COM	04/16/2012	10/03/2012	528 33	549 78	21 45	
10 000	ROYAL DUTCH SHELL PLC SPON ADR	03/28/2011	10/03/2012	723 24	715 58	(7 66)	
5 000	SCHLUMBERGER LTD 0 01 (CURACAO)	12/11/2009	10/03/2012	306 52	355 44	48 92	
8 000	SEMPRA ENERGY COM STK	06/01/2012	10/03/2012	511 36	527 82	16 46	
2 000	SPDR S&P MIDCAP 400 ETUTSER1 S&P DCRP	08/01/2012	10/03/2012	341 16	359 35	18 19	
8 000	TARGET CORP COM STK	08/16/2011	10/03/2012	394 55	504 70		110 15
9 000	THERMO FISHER	06/01/2012	10/03/2012	443 70	538 63	94 93	
10 000	TRAVELERS COS INC	07/29/2009	10/03/2012	428 54	689 58		261 04
11 000	TRAVELERS COS INC	06/01/2012	10/03/2012	668 91	758 65	89 74	
20 000	UNILEVER N V COM SHR	07/29/2009	10/03/2012	533 40	717 98		184 58
8 000	UNITED TECHNOLOGIES CORP	07/30/2009	10/03/2012	438 00	628 38		190 38
7 000	V F CORP	06/01/2012	10/03/2012	940 80	1,124 73	183 93	
10 000	VERIZON COMMUNICATIONS COM STK	07/29/2009	10/03/2012	296 54	462 38		165 84
6 000	VISA INC CLASS A	04/15/2010	10/03/2012	565 88	830 44		264 56
20 000	VODAFONE GP PLC ADS NEW	07/29/2009	10/03/2012	392 40	576 18		183 78
5 000	WALGREEN CO COM	06/08/2011	10/03/2012	216 35	181 89		(34 46)
11 000	WALT DISNEY CO (HOLDING COMPANY)	10/05/2009	10/03/2012	303 25	577 81		274 56
30 000	WILLIAMS COS THE COM	06/01/2012	10/03/2012	871 37	1 094 37	223 00	
4 000	WISCONSIN ENERGY CORP COM	12/11/2009	10/03/2012	95 62	150 99		55 37
7 000	XCEL ENERGY INC COM STK	06/01/2012	10/03/2012	195 90	194 52	(1 38)	
9 000	YUM BRANDS INC	08/05/2010	10/03/2012	375 36	597 94		222 58
49 000	ACCENTURE PLC	09/30/2009	10/19/2012	1,830 05	3,321 88		1,491 83
32 000	CIA SIDERURGICA NACIONAL SPONS A DR REPR 4 ORDS	06/22/2011	10/22/2012	383 68	181 05		(202 63)
15 000	CIA SIDERURGICA NACIONAL SPONS A DR REPR 4 ORDS	05/11/2011	10/22/2012	211 24	84 86		(126 38)

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						Short Term	Long Term
8,000	CIA SIDERURGICA NACIONAL SPONS A DR REPR 4 ORDS	03/02/2011	10/22/2012	128.85	45.26		(83.59)
90,000	CIA SIDERURGICA NACIONAL SPONS A DR REPR 4 ORDS	12/15/2010	10/22/2012	1,472.10	509.20		(962.90)
215,000	CIA SIDERURGICA NACIONAL SPONS A DR REPR 4 ORDS	02/05/2010	10/22/2012	3,073.50	1,216.42		(1,857.08)
20,000,000	FEDERAL FARM CREDIT BANK 0.500% CALLABLE 10/25/2012 @ 100.00	10/19/2011	10/25/2012	20,000.00	20,000.00		
95,000	WISCONSIN ENERGY CORP COM	12/11/2009	10/25/2012	2,271.05	3,581.41		1,310.36
14,000	SHOPRITE HOLDINGS LTD ORD UNSPON SORED ADR (SOUTH AFRICA)	10/22/2009	10/26/2012	236.97	569.11		332.14
20,000	BIDVEST GROUP LTD (FORMERLY BI ADR)	03/02/2011	11/01/2012	899.81	950.79		50.98
5,000	BAXTER INTERNATIONAL INC USDI COM	02/09/2011	11/06/2012	247.59	330.02		82.43
1,000	BLACKROCK INC	11/25/2011	11/06/2012	151.79	191.10	39.31	
3,000	CARDINAL HEALTH INC COM	12/29/2010	11/06/2012	115.78	121.52		5.74
20,000	CATERPILLAR INC COM	08/01/2012	11/06/2012	1,665.45	1,763.94	98.49	
3,000	CROWN CASTLE INTERNATIONAL COR COM STK	06/01/2012	11/06/2012	159.36	202.04	42.68	
35,000	DEERE & CO COM	08/01/2012	11/06/2012	2,737.35	3,013.40	276.05	
1,000	EXXON MOBIL CORP	07/30/2009	11/06/2012	71.06	91.54		20.48
3,000	HONEYWELL INTERNATIONAL L INC COM STK	09/23/2010	11/06/2012	130.84	190.43		59.59
2,000	MICROSOFT CORP	12/11/2009	11/06/2012	59.68	59.66		(0.02)
2,000	UNITED TECHNOLOGIES CORP	07/30/2009	11/06/2012	109.50	160.05		50.55
1,000	VISA INC CLASS A	04/15/2010	11/06/2012	94.31	143.69		49.38
2,000	XCEL ENERGY INC COM STK	06/01/2012	11/06/2012	55.97	55.09	(0.88)	
2,000	YUM BRANDS INC	08/05/2010	11/06/2012	83.41	146.19		62.78

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						Short Term	Long Term
5 000	JPMORGAN CHASE & CO	07/30/2009	11/07/2012	193 63	207 81		14 18
4 000	PEPSICO INC	12/11/2009	11/07/2012	246 74	276 00		29 26
45 000	PEPSICO INC	07/30/2009	11/07/2012	2,573 54	3 105 04		531 50
1 000	SCHLUMBERGER LTD	12/11/2009	11/07/2012	61 30	69 68		8 38
20 000	SPDR S&P MIDCAP 400 ETUTSERI S&P DCRP	08/01/2012	11/07/2012	3,411 56	3,607 39	195 83	
3 000	THERMO FISHER	06/01/2012	11/07/2012	147 90	183 58	35 68	
33 000	MCGRAW HILL COMPANIE S INC USDI COM	03/08/2011	11/08/2012	1,253 01	1,693 05		440 04
20 000	MEDTRONIC INC COM	03/08/2011	11/08/2012	788 80	827 24		38 44
2 000	INFOSYS TECHNOLOGIES ADR (REPR 1/2 INR 10 ORD)	03/02/2011	11/14/2012	134 47	83 76		(50 71)
7 000	INFOSYS TECHNOLOGIES ADR (REPR 1/2 INR 10 ORD)	08/03/2009	11/14/2012	310 17	293 14		(17 03)
38 000	INFOSYS TECHNOLOGIES ADR (REPR 1/2 INR 10 ORD)	07/30/2009	11/14/2012	1,613 26	1 591 34		(21 92)
305 000	INVESCO VAN KAMPEN DYNAMIC CRE	08/07/2009	11/15/2012	3,183 71	3,644 67		460 96
2,090 000	INVESCO VAN KAMPEN DYNAMIC CRE	08/03/2009	11/15/2012	21,818 56	24,974 93		3,156 37
37 000	INVESCO VAN KAMPEN DYNAMIC CRE	05/31/2011	11/16/2012	476 26	442 43		(33 83)
42 000	INVESCO VAN KAMPEN DYNAMIC CRE	03/31/2011	11/16/2012	553 98	502 22		(51 76)
43 000	INVESCO VAN KAMPEN DYNAMIC CRE	02/28/2011	11/16/2012	558 11	514 17		(43 94)
43 000	INVESCO VAN KAMPEN DYNAMIC CRE	01/31/2011	11/16/2012	557 14	514 18		(42 96)
44 000	INVESCO VAN KAMPEN DYNAMIC CRE	12/08/2010	11/16/2012	546 62	526 13		(20 49)
45 000	INVESCO VAN KAMPEN DYNAMIC CRE	11/05/2010	11/16/2012	546 99	538 09		(8 90)
47 000	INVESCO VAN KAMPEN DYNAMIC CRE	07/09/2010	11/16/2012	539 53	562 00		22 47
45 000	INVESCO VAN KAMPEN DYNAMIC CRE	06/08/2010	11/16/2012	533 11	538 09		4 98
40 000	INVESCO VAN KAMPEN DYNAMIC CRE	05/06/2010	11/16/2012	529 02	478 30		(50 72)

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						Short Term	Long Term
41 000	INVESCO VAN KAMPEN DYNAMIC CRE	04/07/2010	11/16/2012	527 90	490 26		(37 64)
41 000	INVESCO VAN KAMPEN DYNAMIC CRE	02/04/2010	11/16/2012	522 34	490 26		(32 08)
37 000	INVESCO VAN KAMPEN DYNAMIC CRE	09/08/2009	11/16/2012	400 91	442 43		41 52
2,000 000	INVESCO VAN KAMPEN DYNAMIC CRE	08/10/2009	11/16/2012	20 940 00	23,915 08		2,975 08
1,785 000	INVESCO VAN KAMPEN DYNAMIC CRE	08/07/2009	11/16/2012	18,632 55	21,344 21		2,711 66
15 000	WOOL WORTHS HOLDINGS LTD SOUTH ADR	03/19/2012	11/19/2012	904 26	1,171 08	266 82	
20 000 000	NEW YORK ST URBAN DEV CORP REV 2 032% DUE 12/15/2012 @ 100 00	11/18/2009	12/17/2012	20,000 00	20 000 00		
11 000	PT SEMEN GRESIK PERSERO UNSPONSORED ADR (INDONESIA)	12/03/2010	12/28/2012	228 58	352 56		123 98
TOTAL PORTFOLIO				1,127,058 52	1,219,611 83	10,117.41	82,936.71

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