Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No. 1545-0052

2012

Open to Public Inspection

For calendar year 2012 or tax year beginning , **2012, and ending** , **20**

Name of foundation: The Cummins Foundation, Inc.

A Employer identification number: 35-6042373

Number and street (or P O box number if mail is not delivered to street address): Box 3005, M/C 60113

Room/suite:

B Telephone number (see instructions): (812) 377-3321

City or town, state, and ZIP code: Columbus, IN 47202-3005

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 44,908,322

J Accounting method: ☐ Cash ☒ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)	14,105,100			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	41,486	41,486	41,486	
	4	Dividends and interest from securities	341,047	341,047	341,047	
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	(178,522			
	b	Gross sales price for all assets on line 6a 5,935,323				
	7	Capital gain net income (from Part IV, line 2)		0		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)	0			
	11	Other income (attach schedule)	849,857			
	12	**Total.** Add lines 1 through 11	15,158,968	382,533	382,533	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	13,300			
	c	Other professional fees (attach schedule)	893,150			
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	10,000			
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	49,971			
	24	**Total operating and administrative expenses.** Add lines 13 through 23	966,421	0	0	0
	25	Contributions, gifts, grants paid	6,895,220			7,328,386
	26	**Total expenses and disbursements.** Add lines 24 and 25	7,861,641	0	0	7,328,386
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	7,297,327			
	b	**Net investment income** (if negative, enter -0-)		382,533		
	c	**Adjusted net income** (if negative, enter -0-)			382,533	

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For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** (2012)

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	192,676	327,672	327,672
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ 500,000			
		Less: allowance for doubtful accounts ▶	750,000	500,000	500,000
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	500	500	500
	10a	Investments—U.S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	36,726,411	43,975,392	43,975,392
	14	Land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶ Interest Receivable)	0	104,758	104,758
	16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	37,669,587	44,908,322	44,908,322
Liabilities	17	Accounts payable and accrued expenses	65,000	439,574	
	18	Grants payable	1,212,166	779,000	
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	1,277,166	1,218,574	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted	36,392,421	43,689,748	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	36,392,421	43,689,748	
	31	**Total liabilities and net assets/fund balances** (see instructions)	37,669,587	44,908,322	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	36,392,421
2	Enter amount from Part I, line 27a	2	7,297,327
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	43,689,748
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	43,689,748

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo , day, yr)
1a	See Attached Schedule			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	5,935,323		6,113,845	(178,522)
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	(178,522)
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	(178,522)

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	9,667,351	30,388,558	0.3181
2010	7,258,872	19,726,286	0.3680
2009	5,978,994	14,276,837	0.4188
2008	6,131,792	15,865,008	0.3865
2007	5,765,469	13,595,963	0.4241

2	**Total** of line 1, column (d)	2	1.9155
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.3831
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	43,890,946
5	Multiply line 4 by line 3	5	16,814,621
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	3,825
7	Add lines 5 and 6	7	16,818,446
8	Enter qualifying distributions from Part XII, line 4	8	7,328,386

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________________ **(attach copy of letter if necessary—see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	7,651
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	
3	Add lines 1 and 2			3	7,651
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	7,651
6	Credits/Payments:				
a	2012 estimated tax payments and 2011 overpayment credited to 2012	6a	8,488		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments. Add lines 6a through 6d			7	8,488
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	0
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	837
11	Enter the amount of line 10 to be: **Credited to 2013 estimated tax** ▶ 837 **Refunded** ▶			11	0

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ ________ **(2)** On foundation managers. ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	N/A	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ IN			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		x
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		x
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	x	

Website address ▶ www.cummins.com

14 The books are in care of ▶ Selina Morris Telephone no. ▶ (812) 377-0078

Located at ▶ Cummins Inc., 500 Jackson St., Columbus, IN ZIP+4 ▶ 47202-3005

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		x
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b	N/A	
	Organizations relying on a current notice regarding disaster assistance check here ▶☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c		x
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)*	3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		x
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		x

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? . ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? . . . . ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? . . . . . ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) . . . . ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here . . . . ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . ☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . **6b**

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? . **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Exhibit B				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 . . . . ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services . . . . ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 . . . . ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:				
a	Average monthly fair market value of securities			1a	43,778,371
b	Average of monthly cash balances			1b	112,575
c	Fair market value of all other assets (see instructions)			1c	
d	**Total** (add lines 1a, b, and c)			1d	43,890,946
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e			
2	Acquisition indebtedness applicable to line 1 assets			2	
3	Subtract line 2 from line 1d			3	43,890,946
4	Cash deemed held for charitable activities. Enter 1 ½ % of line 3 (for greater amount, see instructions)			4	
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4			5	43,890,946
6	**Minimum investment return.** Enter 5% of line 5			6	2,194,547

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	2,194,547
2a	Tax on investment income for 2012 from Part VI, line 5	2a	7,651		
b	Income tax for 2012. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	7,651
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	2,186,896
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	2,186,896
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	2,186,896

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	7,328,386
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	7,328,386
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	7,328,386

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

			(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1	Distributable amount for 2012 from Part XI, line 7					2,186,896
2	Undistributed income, if any, as of the end of 2012:					
a	Enter amount for 2011 only					
b	Total for prior years: 20____,20____,20____					
3	Excess distributions carryover, if any, to 2012:					
a	From 2007	5,103,342				
b	From 2008	5,347,402				
c	From 2009	5,266,964				
d	From 2010	6,275,086				
e	From 2011	8,154,935				
f	**Total** of lines 3a through e		30,147,729			
4	Qualifying distributions for 2012 from Part XII, line 4: ▶ $ 7,328,386					
a	Applied to 2011, but not more than line 2a					
b	Applied to undistributed income of prior years (Election required—see instructions)					
c	Treated as distributions out of corpus (Election required—see instructions)					
d	Applied to 2012 distributable amount					2,186,896
e	Remaining amount distributed out of corpus		5,141,490			
5	Excess distributions carryover applied to 2012 *(If an amount appears in column (d), the same amount must be shown in column (a).)*					
6	**Enter the net total of each column as indicated below:**					
a	Corpus. Add lines 3f, 4c, and 4e. Subtract line 5		35,289,219			
b	Prior years' undistributed income. Subtract line 4b from line 2b			0		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d	Subtract line 6c from line 6b. Taxable amount—see instructions			0		
e	Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount—see instructions				0	
f	Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013					0
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)					
8	Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)		5,103,342			
9	**Excess distributions carryover to 2013.** Subtract lines 7 and 8 from line 6a		30,185,877			
10	Analysis of line 9:					
a	Excess from 2008	5,347,402				
b	Excess from 2009	5,266,964				
c	Excess from 2010	6,275,086				
d	Excess from 2011	8,054,935				
e	Excess from 2012	5,141,490				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling . . . . . . ▶ N/A

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					0
b 85% of line 2a	0	0	0	0	0
c Qualifying distributions from Part XII, line 4 for each year listed					0
d Amounts included in line 2c not used directly for active conduct of exempt activities . .					0
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . .	0	0	0	0	0
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter: **(1)** Value of all assets					0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . .					0
c "Support" alternative test—enter: **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0
(3) Largest amount of support from an exempt organization . . .					0
(4) Gross investment income . . .					0

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

Paula Blewett, Administrative Assistant, Box 3005, M/C 60028, Columbus, IN 47202-3005 (812)377-3746

b The form in which applications should be submitted and information and materials they should include:

See Exhibit C

c Any submission deadlines:

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

See Exhibit C

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
Approved during 2012, paid during 2012				6,741,220
Approved prior to 2012, paid during 2012				587,166
See Exhibit D				
Total . . . . ▶ 3a				7,328,386
b Approved for future payment				
Approved prior to 2012, not yet paid				625,000
Approved during 2011, not yet paid				154,000
See Exhibit E				
Total . . . . ▶ 3b				779,000

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					41,486
4 Dividends and interest from securities					341,047
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					(178,522)
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0		0	204,011

13 **Total.** Add line 12, columns (b), (d), and (e) 13 204,011

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
3	Interest earned on cash awaiting distribution and from investments
4	Dividends earned from investments
5	Gain/(Loss) on the sale of investments

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	**1a(1)**		x
	(2) Other assets	**1a(2)**		x
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	**1b(1)**		x
	(2) Purchases of assets from a noncharitable exempt organization	**1b(2)**		x
	(3) Rental of facilities, equipment, or other assets	**1b(3)**		x
	(4) Reimbursement arrangements	**1b(4)**		x
	(5) Loans or loan guarantees	**1b(5)**		x
	(6) Performance of services or membership or fundraising solicitations	**1b(6)**		x
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	**1c**		x

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

▶ Marsha K. Allamanno | 8/15/13 | ▶ Treasurer

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN

Firm's name ▶

Firm's EIN ▶

Firm's address ▶

Phone no.

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**

OMB No. 1545-0047

2012

Name of the organization	**Employer identification number**
The Cummins Foundation, Inc.	35-6042373

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33⅓ % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of $5,000 or more during the year . . . . ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990; or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization	Employer identification number
The Cummins Foundation, Inc.	35-6042373

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Cummins Inc. Box 3005, M/C 60113 Columbus, IN 47202-3005	$ 14,100,000	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)

Name of organization	Employer identification number
The Cummins Foundation, Inc.	35-6042373

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization

The Cummins Foundation, Inc.

Employer identification number

35-6042373

Part III ***Exclusively*** **religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year.** Complete columns **(a)** through **(e)** and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **$1,000 or less** for the year. (Enter this information once. See instructions.) ▶ $

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

The Cummins Foundation Inc.
35-6042373
Schedule 1

Part I
Line 1 - Contributions, gifts, grants, etc. received

Date Accrued	Contributor	Amount
03/31/12	Cummins Inc.	$7,100,000
06/30/12	Cummins Inc.	$7,000,000
	Total	$14,100,000

Line 6 (990-PF) Gain/Loss from Sale of Assets Other Than Inventory

						5,935,323	6,113,845				(178,522)
Index	Check "X" if Sale of Security	Description	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Net Gain/(Loss)
1		1028 41 - FNMA Pool #468910	11/20/12		12/04/12	1,028	1,030				(2)
2		12308 94 - CMO NCUA GTD NTS TR	11/26/12		12/06/12	12,309	12,490				(181)
3		500000 - Treasury Bill Due 12 27 12	11/27/12		12/06/12	499,970	499,958				12
4		10305 54 - CMO GE COML MTG CORP	11/29/12		12/10/12	10,306	10,878				(572)
5		1250000 - Treasury Bill Due 12 27 12	11/29/12		12/10/12	1,249,941	1,249,914				27
6		250000 - Treasury Bill Due 12 27 12	11/27/12		12/11/12	249,990	249,984				6
7		500000 - United States Treas NTS DTD	11/27/12		12/12/12	499,668	499,549				119
8		4612 9 - CMO Wells Fargo COML MTG TR	11/27/12		12/17/12	4,613	4,616				(3)
9		125000 - Merrill Lynch & Co Inc	11/26/12		12/19/12	133,605	133,414				191
10		330000 - United States Treas NTS DTD	11/27/12		12/21/12	329,807	329,709				98
11		390 93 - FNMA SER	12/03/12		12/25/12	391	396				(5)
12		Sale of Vanguard Stock	99/99/99		99/99/99	2,822,761	3,121,907				(299,146)
13		Sale of Northern Trust Ultra Short Fixed Income Fund	99/99/99		99/99/99	20,349	-				20,349
14		Sale of Northern Trust Ultra Short Fixed Income Fund	99/99/99		99/99/99	100,586	-				100,586

Line 11 (990-PF) - Other Income

		849,857			
	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	Unrealized Gain/(Loss) on Investments	849,857			
2					
3					
4					
5					
6					
7					
8					
9					
10					

Line 16b (990-PF) - Accounting Fees

13,300

	Name of Organization or Person Providing Service	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	Blue & Co., LLC - Audit Fees	8,200			
2	In-kind Accounting Services	5,100			
3					
4					
5					
6					
7					
8					
9					
10					

Line 16c (990-PF) - Other Fees

	Name of Organization or Person Providing Service	893,150 Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	Cummins Inc. - Administrative Fees	824,478			
2	Global Giving - Consultant Fees	68,672			
3					
4					
5					
6					
7					
8					
9					
10					

Line 18 (990-PF) - Taxes

	Name of Organization or Person Providing Service	10,000 Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	Tax on investment income	10,000			
2					
3					
4					
5					
6					
7					
8					
9					
10					

Line 23 (990-PF) - Other Expenses

		49,971			
	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	Memberships	37,500			
2	Software Training	9,157			
3	Administrative Expense	2,659			
4	Investment Expense	655			
5					
6					
7					
8					
9					
10					

Part II, Line 7 (990-PF) - Other Notes

						500,000		500,000	
	Borrower's Name	Check "X" if Business	Check "X" if 501(c)3 Org.	Original Amount	Net Balance Due Beginning of Year	Balance Due End of Year	Allowance for Doubtful Accts End of Year	FMV of Other Notes	Security Provided
1	City of Columbus		X			500,000	-	500,000	
2									
3									
4									
5									
6									
7									
8									
9									
10									

Part II, Line 13 (990-PF) - Investments - Other

			36,726,411	43,975,392	43,975,392
	Item or Category	**Basis of Valuation**	**Book Value Beg. Of Year**	**Book Value End Of Year**	**FMV End of Year**
1	Mutual Fund		31,355,733	33,160,148	33,160,148
2	Money Market Funds		5,370,678	10,815,244	10,815,244
3					
4					
5					
6					
7					
8					
9					
10					

Part VI, Line 6a (990-PF) - Estimated Tax Payments

	Date		Amount
1 Credit from prior year return	-	1	488
2 First quarter estimated tax payment	-	2	2,000
3 Second quarter estimated tax payment	-	3	2,000
4 Third quarter estimated tax payment	-	4	2,000
5 Fourth quarter estimated tax payment	-	5	2,000
6 Other payments	-	6	
7 Total	-	7	8,488

Exhibit B
Page 1 of 2

The Cummins Foundation Inc.

35-6042373

A Statement Attached to and Made Part of
Return of Private Foundation Exempt from
Income Tax for Year Ended 12-31-12

Form 990-PF, Page 6, Part VIII, Item I – Compensation of
Officers, Directors, Trustees, Foundation Managers

Name & Address	Title	Time Devoted To Business	Compensation
Tom Linebarger Cummins Inc. Box 3005 – M/C 60913 Columbus, IN 47202-3005	Chairman	Nominal	None
Jean Blackwell Cummins Inc. One American Square Suite 1800 – M/C C0014 Indianapolis, IN 46282	CEO	33% of time	None
Tony Satterthwaite Cummins Inc. 3850 Victoria Street, North M/C – OC300 Shoreview, MN 55126	Director	Nominal	None
Mark Gerstle Cummins Inc. 500 Jackson Street Box 3005 – M/C 60903 Columbus, IN 47202-3005	Director	Nominal	None

Name & Address	Title	Time Devoted To Business	Compensation
Will Miller The Wallace Foundation 5 Penn Plaza New York, NY 10001	Director	Nominal	None
Marya Rose Cummins Inc. One American Square Suite 1800 M/C C0014 Indianapolis, IN 46282	Director	Nominal	None
Pat Ward Cummins Inc. Box 3005 – M/C 60911 Columbus, IN 47202-3005	Director	Nominal	None
Anant Talaulicar Cummins Inc. Box 3005 – M/C C0045 Columbus, IN 47202-3005	Director	Nominal	None
Marsha Allamanno Cummins Inc. 301 Jackson Street M/C 91671 Columbus, IN 47202-3005	Treasurer	Nominal	None
Mary Chandler Cummins Inc. One American Square Suite 1800 – M/C C0014 Indianapolis, IN 46282	Secretary	Nominal	None

Exhibit C

The Cummins Foundation Inc.
35-6042373

A Statement Attached to and Made Part of
Return of Private Foundation Exempt form
Income Tax for Year Ended 12-31-12

Page 10, Part XV, Line 2 (b) and (d)- Information regarding contribution, grant, gift, loan, scholarships, etc. programs.

The Foundation's budget year is from January 1 through December 31. The Foundation directors meet four times a year to consider new programs and approve grants. The staff has authority to make grants under $250,000 and larger grants requiring immediate action may be approved by the Executive Committee between meetings.

Inquiries and proposals may be submitted in writing at anytime during the year. A preliminary proposal should include a brief description of the problem being addressed, outcomes anticipated, the operating budget and additional financial requirements necessary.

The Foundation primarily makes grants in the communities where the Company has business interests. Cummins believes a Company is only as healthy as the communities where we do business. The present global priority areas include: the environment, education and social justice. The Foundation looks for projects where Cummins employees are engaged in making a meaningful and significant community contribution and where possible specific and measurable outcomes have been defined to guide the work.

The Foundation does not support political causes or candidates, or sectarian religious activities. No grants are made to individuals.

The Cummins Foundation, Inc.
35-6042373
Exhibit D
Grants Summary

Unpaid Commitments Per Return As Of 1-1-12	Reconciling Items*	Commitments Made In 2012	Commitments Paid In 2012	Rounding	Unpaid Commitments Per Return As Of 12-31-12
		Dr	Cr		
1,212,166	0	6,895,220	(7,328,386)	0	779,000

2012 Paid Program Grants - Cummins Foundation

Payee Organization Project Title, Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
Court Appointed Advocates for Children *2012 CASA for Kids Playhouse Project* $1,000 00	20699	02/10/12	$1,000 00	Foundation	5170 2012 CASA for Kids Playhouse Project
American Red Cross *Support for the 'Heroes Campaign 2012'* $3,000 00	20733	03/30/12	$3,000 00	Foundation	5189 Support for the 'Heroes Campaign 2012'
Amherst H. Wilder Foundation *Early childhood services, families, critical elements for long-term sustainability and expansion* $100,500 00	20779	05/16/12	$100,500 00	Foundation	5210 Early childhood services, families, critical elements for long-term sustainability and expansion
Amigos of Costa Rica *Funding for Estrategia to advance science and technology in Costa Rica* $25,000 00	20716	02/24/12	$25,000 00	Foundation	EFT Funding for Estrategia to advance science and technology in Costa Rica
Global Giving Asociacion en la Rehabilitacion y Recreacion con Equinoterapia, A C *AFIC SLP Philanthropic Award Winner* $8,000 00	20804	06/13/12	$8,000 00	Foundation	EFT-06/13/2012 AFIC SLP Philanthropic Award Winner
Asociacion Filantropica Cummins, A.C. *3-year grant for the Steve Knaebel Award to SLP Philanthropy ($13K per year for 2009, 2010 & 2011)* $39,000 00	19999	11/28/12	$13,000 00	Prior year payments	EFT- 11/28/2012 Final Payment of 3-year grant to the Steve Knaebel Award to SLP Philanthropy
Global Giving Asociacion Mexicana de Ayuda a Ninos *AFIC Philanthropic Awards* $26,316 00	20782	05/10/12	$26,316 00	Foundation	EFT AFIC Philanthropic Awards
Autism Speaks *Event Sponsor - 2012 Lead Off For A Cure* $25,000 00	20724	03/08/12	$25,000 00	Foundation	5184 Event Sponsor - 2012 Lead Off For A Cure - Event Sponsor
Backfield in Motion, Inc. *Funding of $20,000 to support transportation services and $5,000 to support maintenance for vehicle fleet* $25,000 00	20802	06/15/12	$25,000 00	Foundation	5220 Funding for transportation services - $20,000 and maintenance - $5,000
Bartholomew Consolidated School Corporation *Environmental Challenge Recipient* $10,000 00	20708	02/28/12	$10,000 00	Foundation	5179 Environmental Challenge Recipient
Bartholomew Consolidated School Corporation *Purchase 6 Machinery Handbooks at $92 63 each = $555 78* $555 78	20714	02/17/12	$555 78	Foundation	5173 Purchase 6 Machinery Handbooks at $92 63 each = $555 78.
Bartholomew Consolidated School Foundation *First payment of a 3 year grant for the Mindy Lewis*	20884	11/30/12	$5,000 00	Foundation	5262

2012 Paid Program Grants - Cummins Foundation

08/14/2013

Payee Organization Project Title, Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
& Diversity Awards for 2012-2014 $15,000 00					First of 3 year grant - 2012, 2013, & 2014 @ $5,000 per year
Funding for "Minds on Math" program - 2 installments - 2012 and 2013 $20,000 00	20887	12/12/12	$12,000 00	Foundation	5308 First installment of multi-year grant for "Minds on Math"
Multi-year installments grant for 2012 & 2013 (Book Buddies) $15,000 00	20888	12/12/12	$10,000 00	Foundation	5307 First installment of multi-year payments for "Book Buddies
BCSC bus transportation - Henryville - Habitat builds $1,500 00	20953	12/20/12	$1,500 00	Foundation	5313 BCSC bus transportation - Henryville - Habitat builds
BCSC C4 Engineering Drawing Program *Provide part-time instructor for BCSC C-4 Engineering Drawing Department* $13,000 00	20761	04/19/12	$13,000 00	Foundation	5199 Part-time instructor - C4 Enginnering Drawing Department
Global Giving Beijing Institute of Technology *China Strategic Education Initiative - Dr Lyn Scholarship Funds* $3,963 00	20764	05/10/12	$3,963 00	Foundation	EFT China Strategic Education Initiative - Dr Lyn Scholarship Funds
Big Brothers Big Sisters of Central Indiana *Support of the implementation phase of the Six Sigma project conducted through a partnership between BBBSCI & Cummins through the past 18 months to improve the 12 month retention rate of their mentoring relationships Payment 1 of 2* $110,000 00	20589	03/14/12	$75,000 00	Prior year payments	5188 2nd and last installment of a two year grant - Total grant $110,000
The BOMA Fund *Establish businesses and empower women in Northern Kenya* $25,000 00	20742	03/30/12	$25,000 00	Foundation	5190 Establish businesses and empower women in Northern Kenya
Brown County Community Foundation *Support Brown County Career Resource Center in providing computer hardware and software upgrade that will enable the center to provide certified training and classes for its students* $12,500 00	20669	01/12/12	$12,500 00	Prior year payments	5161 Purchase of computer hardware and software for the Brown County Career Resource Center
2013 United Way Employee Match $43,642 98	20938	12/12/12	$43,642 98	Foundation	5263 2013 United Way Employee Match
Charleston County School District *Provide supplemental science and environmental education to fourth grade students* $79,989 00	20818	08/30/12	$79,989 00	Foundation	5241 Supplemental science and environmental education
Global Giving Chaucer Technology School *Environmental Challenge Recipient* $5,000 00	20712	08/02/12	$5,000 00	Foundation	EFT-08/02/2012 Environmental Challenge Recipient
City of Elkhart *2012 Environmental Challenge Recipient* $10,000 00	20705	03/08/12	$10,000 00	Foundation	5186 2012 Environmental Challenge

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Payee Organization Project Title, Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes Recipient
					Recipient
Columbus Area Arts Council					
$20 for general operating expense for 2012, and $5K for the 2012 UnCommon Cau$e $25,000 00	20755	04/05/12	$25,000 00	Foundation	5194 $20 for general operation expenses for 2012 and $5K for the 2012 UnCommon Cau$e
Two year commitment for Rock the Park - $10K in 2011 and $10K in 2012 $20,000 00	20427	08/15/12	$10,000 00	Prior year payments	5237 Final Payment of a two year commitment for Rock the Park
Columbus Area Chamber of Commerce					
Funding of the Strategic Community Leadership Projects $10,000 00	20680	01/12/12	$10,000 00	Foundation	5163 Funding of the Strategic Community Leadership Projects
Columbus Area Chamber of Commerce Foundation					
Support funding for the Executive Administrator for CAMEO, CYP and LBC Partnership $10,000 00	20839	08/15/12	$10,000 00	Foundation	5234 Support funding for the Executive Administrator for CAMEO, CYP and LBC Partnership.
Columbus Park Foundation					
Expansion of The People Trails in Columbus, IN $150,000 from Cummins Foundation and $100,000 from Columbus Committee $150,000 00	20834	08/02/12	$250,000 00	Foundation	EFT-08/02/2012 Expansion of The People Trails
Columbus Regional Hospital Foundation					
Donation in memory of Nancy Ann Hamilton $500 00	20844	08/20/12	$500 00	Foundation	5239 Donation in memory of Nancy Ann Hamilton
CommonBond Communities					
2011 Environmental Challenge Recipient $10,000 00	20702	02/28/12	$10,000 00	Foundation	5176 2011 Environmental Challenge Recipient
Community Center of Hope, Inc.					
Food Bank Initiative $5,000 00	20718	02/28/12	$5,000 00	Foundation	5174 Food Bank Initiative
Community Education Coalition					
Grant to support a Project Manager for the Graduation Coaches Program $30,000 00	20668	01/12/12	$30,000 00	Prior year payments	5159 Project Manager - Graduation Coaches Program
Support for the IU Center for Art & Design - Columbus $1M paid out over years 2011-2014 at $250K per year $250K is conditional for year 5 $1,000,000 00	20428	03/14/12	$250,000 00	Prior year payments	5187 IU Center for Art & Design - Columbus - 2nd payment of $1M Grant of $250K - yearly 2011 thru 2014
Final payment of $375K CONDITIONAL Grant in support of the Early Childhood Education Initiative $125,000 00	20830	07/31/12	$125,000 00	Foundation	5232 Final payment of $375K CONDITIONAL Grant in support of the Early Childhood Education Initiative
Community Emergency Assistance Program, Inc.					
Funding for software licensing, backup and firewall	20777	05/09/12	$29,000 00	Foundation	5207

Payee Organization Project Title, Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
solutions for new computer system $29,000 00					Funding for software licensing, backup and firewall solutions for new computer system
Concord Neighborhood Center *Donation - Operating Funds* $10,000 00	20809	06/15/12	$10,000 00	Foundation	5223 Donation
Increase awareness and visibility of Concord to potential clients and funders $20,000 00	20867	10/12/12	$20,000.00	Foundation	5254 Increase awareness and visibility of Concord to potential clients and funders
Donation in the name of Carole Casto $1,000 00	20886	11/30/12	$1,000 00	Foundation	5260 Donation in the name of Carole Casto
Connected Community Partnership Inc. *Provide website services to other non-profits in Columbus and Seymour* $13,500 00	20868	10/12/12	$13,500 00	Foundation	5255 Provide website services to other non-profits in Columbus and Seymour
The Conservation Fund *2012 Corporate Sponsor* $2,500 00	20810	06/18/12	$2,500 00	Foundation	5224 2012 Corporate Sponsor
Courage Center *Funding to Elevate the Courage Center's AT program to a national level of prominence* $222,270 00	20685	01/12/12	$222,270 00	Foundation	5165 Funding to establish a "National" rehabilitation center of excellence
Crisis Ministries *Funding for 6 Sigma project and Deep Freezer* $20,222.00	20801	06/15/12	$20,222 00	Foundation	5219 Funding for 6 Sigma Project and Deep Freezer
Cummins India Foundation *Enviromental Challenge awards - Janwani - $10,000, The Poona School & Home for Blind - $15,000, Swayamsiddha Apang & Samaj vikas Kendra - $5,000* $30,000 00	20760	04/02/12	$30,000 00	Foundation	EFT - 04/02/12 3 - India Environmental Challenge Awards
Shree Ramkrishna Charities - Final Payment from Cummins Foundation	20301	08/10/12	$25,000 00	Foundation	EFT - 08/10/2012
Global Giving Dandelion Middle School *Extracurricular programs for student's with physical and psychological needs* $18,885 00	20832	08/02/12	$18,885.00	Foundation	EFT-08/02/2012 Extracurricular programs for student's with physical and psychological needs
Global Giving Dandelion School *Eco-School Energy and Water Efficiency $18,100 - Incubator and $20,400 CDG* $20,400 00	20824	06/13/12	$38,500 00	Foundation	EFT-06/13/2012 Eco-School Energy and Water Efficiency - $20,400 CDG
Decatur County United Fund, Inc. *2013 United Way Employee Match* $4,971 02	20936	12/12/12	$4,971 02	Foundation	5264 2013 United Way Employee Match
Developmental Services Inc					

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Payee Organization **Project Title, Grant Amount**	**Grant No.** **Ref. No.**	**Payment** **Date**	**Payment** **Amount**	**Payment** **Fund**	**Check Number** **Notes**
Funding to purchase and assemble 5 greenhouses $15,065 00	20778	05/09/12	$15,065 00	Foundation	5206 Funding to purchase and assemble 5 greenhouses
Dream It Do It Western New York, Inc. *Three year grant Conditions on the 2nd and 3rd year* $90,000 00	20954	12/20/12	$90,000 00	Foundation	EFT- 12/20/2012 First payment of three year contditional grant (2nd and 3rd years)
Global Giving Durham Wildlife Trust *Develop wildlife friendly areas in urban areas* $9,608 00	20693	05/10/12	$9,608 00	Foundation	EFT Develop wildlife friendly areas in urban areas
On-site viewing platform made from recycled plastic and a viewing screen made from recycled plastic $12,250 00	20827	07/25/12	$12,250 00	Foundation	EFT - 07/25/2012 On-site viewing platform made from recycled plastic and a viewing screen made from recycled plastic
EARTH University Foundation *4th payment - this was a 4 year $60K grant for scholarships - $15K per year from 2009 through 2012 2009 was paid under a separate entry in GIFTS* $15,000 00	20747	04/24/12	$15,000 00	Prior year payments	5202 4th and final payment of a 4 year $60K grant for scholarships
East Side Community Center. *Materials to help define and remodel space* $50,000 00	20831	08/15/12	$50,000 00	Foundation	5236 Materials to help define and remodel space
Global Giving Ecoficina *Youth at Risk - promoting a training course using recycled material* $19,400 00	20833	08/02/12	$19,400 00	Foundation	EFT-08/02/2012 Youth at Risk - promoting a training course using recycled material
Ecumenical Assembly of Bartholomew County Churches *Love Chapel - Environmental Challenge Recipient* $10,000 00	20706	02/28/12	$10,000 00	Foundation	5178 Love Chapel - Environmental Challenge Recipient
2-year grant to the Emergency Assistance Fund The payment in July 2012 will be the last payment for the EAF Any additional funding to Love Chapel will need to go through a CIT & involve some degree of employee engagement $20,000 00	20509	08/30/12	$10,000 00	Prior year payments	5242 Emergency Assistance Fund - Final Payment of two-year grant
Global Giving Education Business Partnership *To implement and promote a suitably equipped Employability School for young people from all over Kent* $106,112 00	20748	04/10/12	$106,112 00	Foundation	EFT- 04/10/2012 To implement and promote a suitably equipped Employability School for young people from all over Kent
Global Giving Falconer's Hill Infant School *Conditional Grant - Conditions of $55,000 raised by Falconer's and $55,000 given by Daventry Plant*	20774	07/24/12	$55,000 00	Foundation	EFT - 07/24/2012

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Payee Organization **Project Title, Grant Amount**	**Grant No.** **Ref. No.**	**Payment Date**	**Payment Amount**	**Payment Fund**	**Check Number** **Notes**
$55,000 00					Conditional Grant - Conditions of $55,000 raised by Falconer's and $55,000 given by Daventry Plant
Funding based on fundraising or pledges of $129,000 $77,000 00	20943	12/13/12	$77,000 00	Foundation	EFT 12/13/2012 Funding based on fundraising or pledges of $129,000
Family Nurturing Center					
Conditions of Grant to match dollar per dollar met - up to $25,000 $25,000 00	20785	05/09/12	$25,000 00	Foundation	5208 Reissue of Payment [12150] for $25,000 00, paid on 5/9/2012
Conditions of Grant to match dollar per dollar met - up to $25,000 $25,000 00	20785	05/09/12	($25,000 00)	Foundation	VOID - 5204 Void of Payment [12150] for $25,000 00, paid on 5/9/2012.
Conditions of Grant to match dollar per dollar met - up to $25,000 $25,000 00	20785	05/09/12	$25,000 00	Foundation	5204 Conditions of Grant to match dollar per dollar met - up to $25,000
Flowertown Elementary School					
Funding for Green Bees Greenhouse $9,815 00	20684	01/24/12	$9,815 00	Foundation	5166 Funding for Green Bees Greenhouse
Food Bank of Eastern New Mexico					
Funding to Purchase a New Refrigerated Truck $123,055 00	20686	01/12/12	$123,055 00	Foundation	5164 Funding for a "Refrigerated Truck"
Reduce electrical utilization/reduce carbon foot print $17,000 00	20826	07/30/12	$17,000 00	Foundation	5230 Reduce electrical utilization/reduce carbon foot print - Incubator Grant
Global Giving Friends of Nature					
Funding for the Urban Community based Zero-Waste Project $20,000 00	20682	01/24/12	$20,000 00	Foundation	Global Giving - EFT Funding for the Urban Community based Zero-Waste Project.
Public Education Campaign, Recycling System Workshops $17,309 00	20807	06/13/12	$17,309 00	Foundation	EFT-06/13/2012 Public Education Campaign, Recycling System Workshops
Gleaners Food Bank of Indiana Inc.					
Funding to cover painting contractors $7,700 00	20694	01/26/12	$7,700 00	Foundation	5168 Funding to cover painting contractors
Improve client tracking and reporting of data for Gleaners and nine other food panties $13,100 00	20866	10/12/12	$13,100 00	Foundation	5256 Improve client tracking and reporting of data for Gleaners and nine other food pantries
CMEP final Match Money for year three of the Back Sacks Program $25,000 00	20874	10/31/12	$25,000 00	Foundation	5258 CMEP final Match Money for year three of the Back Sacks Program.
Seymour Engine Plant final Match Money for year three of the Back Sacks Program $20,000 00	20873	10/31/12	$20,000 00	Foundation	5257 Seymour Engine Plant final Match Money for year three of the Back Sacks Program
Global Giving					

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Global Village of Beijing					
Environmental Challenge Recipient - $10,000 from Chogqing CIT and $10,000 from Chengdu Branch CIT $20,000 00	20711	03/28/12	$20,000 00	Foundation	EFT - 03/28/2012 Invoice # Cummins 3152012-1 = Global Village of Beijing and Sandwich School of Technology
Solution for household waste and agriculture pollution $24,737 00	20781	05/10/12	$24,737 00	Foundation	EFT Solution for household waste and agriculture pollution
Greater Twin Cities United Way					
2013 United Way Employee Match $272,804 46	20934	12/12/12	$272,804 46	Foundation	5265 2013 United Way Employee Match
Habitat for Humanity of Indiana					
Sponsorship for the Henryville Rebuild Project - Henryville, Indiana $50,000 00	20847	09/14/12	$50,000 00	Foundation	5244 Henryville Rebuild Project - Henryville, Indiana
Global Giving Hacsung Orphange					
General Support for the Orphange $5,000 00	20821	07/03/12	$5,000 00	Foundation	EFT-07/03/2012 General Support for the Orphange
Hands on Nashville					
Fund Phase 2 project - repair flood damaged waterways in Nashville-Davidson County $32 000 00	20855	09/14/12	$32,000 00	Foundation	5246 Repair flood damaged waterways in Nashville-Davidson County
Global Giving Harewood Hill Lodge					
Short break and day care service for children and young people with physical and learning disabilities $72,050.00	20825	07/24/12	$72,050 00	Foundation	EFT - 07/24/2012 Short break and day care service for children and young people with physical and learning disabilities.
Harold Hatter					
Project Manager for Bartholomew Consolidated Schools	20671	01/12/12	$36,100 00	Foundation	
Henry County United Fund					
2013 United Way Employee Match $50 00	20933	12/12/12	$50 00	Foundation	5266 2013 United Way Employee Match
Heritage Fund of Bartholomew County					
Bond Analysis $30,000 00	20763	04/19/12	$30,000 00	Foundation	5201 Bond Analysis
Donation to the Dave Galle Scholarship Fund $10,000 00	20862	09/19/12	$10,000 00	Foundation	5249 Donation to the Dave Galle Scholarship Fund
Global Giving Hotel Hope					
Environment Challenge Recipient $5,000 00	20709	05/15/12	$5,000 00	Foundation	EFT Environment Challenge Recipient
Housing Partnerships, Inc.					
Environmental Challenge Recipient $10,000 00	20707	02/28/12	$10,000 00	Foundation	5177 Environmental Challenge Recipient
Energy efficiency improvments for elderly in Elizabethtown, IN	20797	05/31/12	$12,950 00	Foundation	5215

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Payee Organization Project Title, Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
$12,950 00					Energy Efficiency Improvements - Elizabethtown, IN
Global Giving Huazhong University of Science and Technology *China Strategic Education Initiative - Dr Lyn Scholarship Funds* $3,963 00	20770	05/10/12	$3,963 00	Foundation	EFT China Strategic Education Initiative - Dr Lyn Scholarship Funds
Indiana Repertory Theatre *Event "Gold" Sponsorship* $6,000 00	20719	02/28/12	$6,000.00	Foundation	5175 Event "Gold" Sponsorship
Indiana University *Donation to the Lee Hamilton Fellowship Award* $10,000 00	20715	02/17/12	$10,000.00	Foundation	5172 Donation to the Lee Hamilton Fellowship Award
Initiative for Global Development *Grant to offset cost for participant to attend the New Strategies Forum in May, 2012* $3,500 00	20744	04/02/12	$3,500 00	Foundation	5193 Grant to offset cost for participant to attend the New Strategies Forum in May, 2012
Global Giving Institucion Potosina Pro-Educacion Especializada A C *Funding to build a vocational training space for people with intellectual disabilities* $75.000 00	20681	01/24/12	$75,000 00	Foundation	Global Giving - EFT Funding to build a vocational training space for people with intellectual disabilities
Global Giving International Association of the Lions Club *Install two water dams/pans in the Samburu region of eastern Kenya* $35.300 00	20697	02/08/12	$35,300 00	Foundation	Global Giving - EFT Install two water dams/pans in the Samburu region of eastern Kenya
Global Giving Ithemba Institute of Technology *Ithemba Institute of Technology Second and last installment of a two-year grant* $125,000 00	20758	04/10/12	$125,000 00	Foundation	EFT - 04/10/2012 Ithemba Institute of Technology. Second and last installment of a two-year grant
Global Giving Ivy Tech Foundation *Graduation Coaches Program - Q2 2012 request amount Conditions have been met* $175,000 00	20787	05/16/12	$175,000 00	Foundation	EFT - May 16, 2012 Graduation Coaches Program - Q2 2012 request amount. Conditions have been met.
Jackson County United Way *2013 United Way Employee Match* $55.849 64	20932	12/12/12	$55,849 64	Foundation	5267 2013 United Way Employee Match
Jamestown Community College Foundation Inc. *Provide support to assist with the purchase of science equipment for the new science facility at Jamestown Community College* $50,000 00	20271	09/28/12	$16,666 00	Foundation	5251 Final Payment of 3-year grant of $50K to provide support for the purchase of equipment for the new science facility

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Payee Organization Project Title, Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
Jefferson County United Way *2013 United Way Employee Match* $3,433 00	20931	12/12/12	$3,433 00	Foundation	5268 2013 United Way Employee Match
Jennings County United Way *2013 United Way Employee Match - Jennings $31,320 61 and Ripley $1,786 00 Total $33,106 61* $33,106 61	20930	12/12/12	$33,106 61	Foundation	5269 2013 United Way Employee Match
Juarez United Way *2013 United Way Employee Match* $8,527 16	20935	12/12/12	$8,527 16	Foundation	5270 2013 United Way Employee Match
Kandu Industries, Inc. *Environmental Challenge Recipient* $10,000 00	20704	02/28/12	$10,000 00	Foundation	5180 Environmental Challenge Recipient
Global Giving Kwa-Bhekilanga Secondary School *Improve passing rate of mathematics students in Grades 8-10 through software program* $46,500 00	20942	12/13/12	$15,500 00	Foundation	EFT 12/13/2012 Multi-year agreement @ $15,500 each year three years 2012, 2013, 2014
Ladson Elementary School *Funding for a Greenhouse and Outdoor classroom on the grounds of Ladson Elementary School* $23,868 00	20679	01/12/12	$23,868 00	Foundation	5162 Funding for Greenhouse and Outdoor classroom
The Library Project, Inc. *Funding for Elementary School Libraries throughout China* $79,233 00	20727	03/14/12	$79,233 00	Foundation	EFT Funding for Elementary School Libraries throughout China
Global Giving Maharashtra Arogya Mandal (MAM) *Ravi Subramaniam Honorarium* $5,000 00	20775	05/10/12	$5,000 00	Foundation	EFT Ravi Subramaniam Honorarium
Marshfield Area United Way *2013 United Way Employee Match* $3,127 85	20929	12/12/12	$3,127 85	Foundation	5271 2013 United Way Employee Match
Global Giving Masakhane Creche *Environmental Challenge Recipient* $5,000 00	20710	05/10/12	$5,000 00	Foundation	EFT Environmental Challenge Recipient
Metro United Way of Floyd County *2013 United Way Match - Clark $2,014 80, Floyd $852 00, and Harrison $120 00 Total $2,986 80* $2,986 80	20928	12/12/12	$2,986 80	Foundation	5272 2013 United Way Match - Clark $2,014 80, Floyd $852 00, and Harrison $120 00 Total- $2,986 80
Mile High United Way *2013 United Way Match* $480 00	20927	12/12/12	$480 00	Foundation	5273 2013 United Way Match
The Mind Trust *Summer Advantage Program - Conditional Grant - 2nd Payment* $100,000 00	20674	06/13/12	$100,000 00	Prior year payments	EFT-06/13/2012 Summer Advantage Program - Conditional Grant - 2nd Payment.

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Mineral Point Unified School District *Provide a safe, eco-friendly and ADA accessible playground* $37,075 00	20717	03/08/12	$37,075 00	Foundation	5185 Provide a safe, eco-friendly and ADA accessible playground
Montgomery United Fund for You *2013 United Way Match* $6,780 00	20926	12/12/12	$6,780 00	Foundation	5274 2013 United Way Match
National Society of Black Engineers *3-year grant to support NSBE's Seek Summer Camp at $25K each year - 2011/2012/2013 Khai Jones is the Cummins liaison for this program* $75,000 00	20500	06/15/12	$25,000 00	Prior Year Payments - Foundation	5218 Payment #2 of 3 year grant for the NSBE's Seek Summer Camp
Northeast Region - Canine Companions for Independence *Donation in memory of Nancy Ann Hamilton* $500 00	20842	08/15/12	$500 00	Foundation	5238 Donation in memory of Nancy Ann Hamilton
Orphan Grain Train - Indiana Branch *Inventory control system, hardware and software* $5,500 00	20848	09/14/12	$5,500 00	Foundation	5245 Inventory control system, hardware and software
Global Giving Patronato Pro Regenracion del Parque Juan H Sanchez A C *Improve water quality of Parque Morales Boating Lake in downtown San luis Potosi Incubator Grant* $88,000 00	20870	10/02/12	$88,000 00	Foundation	EFT - 10/02/2012 Improve water quality of Parque Morales Boating Lake in downtown San luis Potosi Incubator Grant
Global Giving Promocion Social Integral A C (Colonia Juvenil) *AFIC Philanthropy Award* $17,409 00	20783	05/10/12	$17,409 00	Foundation	EFT AFIC Philanthropic Awards
Putnam County School System *Lab equipment for the "Stem" program* $25,000 00	20803	07/13/12	$25,000 00	Foundation	5227 Lab equipment for the "Stem" program
Student volunteerism of three Global Priority Areas $4,500 00	20819	07/13/12	$4,500 00	Foundation	5229 Student volunteerism of three Global Priority Areas
The Putnam/Overton County Long Term Recovery Committee, Inc. *Disaster Relief - Tornado* $10,000 00	20800	06/15/12	$10,000 00	Foundation	5217 Disaster Relief
Ripley County Community Foundation, Inc. *Funds to help build better warning systems in the town of Holton, IN* $20,000 00	20829	07/30/12	$20,000 00	Foundation	5231 Funds to help build better warning systems in the town of Holton, IN
Global Giving Rowan Gate Primary School *Improve lighting at Rowan Gate Primary School* $27,000 00	20876	11/07/12	$27,000 00	Foundation	EFT-11/07/2012 Improve lighting at Rowan Gate Primary School

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Rush County United Fund *2013 United Way Match* $288 00	20924	12/12/12	$288 00	Foundation	5275 2013 United Way Match
Global Giving Sandwich Technology School *Environmental Challenge Recipient* $5,000 00	20713	03/28/12	$5,000 00	Foundation	EFT - 03/28/2012 Due Diligence Fees - Global Village of Beijing and Sandwich School of Technology
Sans Souci Inc. *Environmental Challenge Recipient* $10,000 00	20703	02/28/12	$10,000 00	Foundation	5181 Environmental Challenge Recipient
Save the Children Federation Inc. *Contribution to Save the Children Federation Inc 's Children in Emergencies Fund to support Education in Emergencies This is a $750K CONDITIONAL grant, 2nd Installment* $250,000 00	20746	04/03/12	$250,000 00	Foundation	EFT 2nd Installment of a $750,000 Conditional Grant
Second Harvest Foodbank of Southern Wisconsin *Implement warehouse efficiency improvements* $103,552 00	20820	07/13/12	$103,552 00	Foundation	5228 Implement warehouse efficiency improvements
Global Giving Secours Populaire Francais - Comite de Quimper *Improve Safety and Storage in the Secours Populaire Francais building* $11,700 00	20875	11/07/12	$11,700 00	Foundation	EFT-11/07/2012 Improve Safety and Storage in the Secours Populaire Francais building
Seymour Community Schools *The Mind Trust/Summer Advantage Program - Funds for Transportation 2012* $5,000 00	20673	06/15/12	$5,000 00	Prior year payments	5221 Transportation for Summer Advantage Program for 2012 (Final Payment)
Global Giving Shanghai Jiao Tong University *China Strategic Education Initiative - Dr Lyn Scholarship Funds* $3,963 00	20765	05/10/12	$3,963 00	Foundation	EFT China Strategic Education Initiative - Dr Lyn Scholarship Funds
Global Giving Shanghai Roots & Shoots *Organic garden program* $8,100 00	20869	10/02/12	$8,100 00	Foundation	EFT - 10/02/2012 Organic garden program
Shelby County United Fund, Inc. *2013 United Way Match* $20,535 50	20923	12/12/12	$20,535 50	Foundation	5276 2013 United Way Match
Global Giving SIFE China *Collaborate with SIFE China to provide safety, health and nutritional training to fifteen Migrant Worker's Schools* $40,635 00	20692	02/08/12	$40,635 00	Foundation	Global Giving - EFT Collaborate with SIFE China to provide safety, health and nutritional training to fifteen Migrant Worker's Schools
Funding to reduce straw waste air pollution and reuse to increase income and standard of living $8,225 00	20849	08/30/12	$8,225 00	Foundation	EFT08/30/2012 Reduce straw waste air pollution and

Payee Organization Project Title, Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
					reuse to increase income and standard of living
Stoughton Area School District *Matching Funds to implement "Fab Lab" site in Stoughton High School* $100,000 00	20952	12/19/12	$100,000 00	Foundation	5312 Matching Funds to implement "Fab Lab" site in Stoughton High School
Strategic Twin-Counties Education Partnership (STEP) *Funding for Executive Director for STEP Program* $75,000.00	20878	11/01/12	$75,000 00	Foundation	EFT - 11/01/2012 Funding for Executive Director for STEP Program
Global Giving Tembaleta Trust *Funding for The Tembaleta Trust Reading Project* $8,600 00	20941	12/13/12	$8,600 00	Foundation	EFT 12/13/2012 Funding for The Tembaleta Trust Reading Project
Global Giving Tianjin University *China Strategic Education Initiatve - Dr Lyn Scholarship Funds* $3,963 00	20766	05/10/12	$3,963 00	Foundation	EFT China Strategic Education Initiatve - Dr Lyn Scolarship Funds
Trident United Way *2013 United Way Match* $114.055 54	20922	12/12/12	$114,055 54	Foundation	5277 2013 United Way Match
Turning Point Shelter for Domestic Violence *2012 Dance Marathon* $3,000 00	20885	11/30/12	$3,000 00	Foundation	5261 2012 Dance Marathon
United Fund of Warren County *2013 United Way Employee Match* $27,352 90	20921	12/12/12	$27,352.90	Foundation	5278 2013 United Way Match
United Way of Bartholomew County *Cummins Foundation Match - The Tony and Marya Rose Foundation* $1.000 00	20676	01/12/12	$1,000 00	Foundation	5156 Cummins Foundation Match - The Tony and Marya Rose Foundation
Training expense support - for United Way of Bartholomew County Andar system $700 00	20789	05/17/12	$700 00	Foundation	5212 Training expense support - Andar System
211 (United Way) Multi-County EEEC Event (Door Hanger Blitz) $1.000 00	20863	09/28/12	$1,000 00	Foundation	5250 211 (United Way) Multi-County EEEC Event (Door Hanger Blitz)
2013 - United Way Employee Match $1.190,623 72	20908	12/12/12	$1,190,623 72	Foundation	5279 2013 United Way Match
United Way of Monroe County *2013 - United Way Employee Match* $9,016 00	20909	12/12/12	$9,016 00	Foundation	5280 2013 United Way Match
United Way of Broward County *2013 United Way Employee Match* $700 00	20919	12/12/12	$700 00	Foundation	5281 2013 United Way Match
United Way of Cattaraugus County *2013 United Way Employee Match* $11.739 00	20918	12/12/12	$11,739 00	Foundation	5282 2013 United Way Match

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2012 Paid Program Grants - Cummins Foundation

Payee Organization Project Title, Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
United Way of Central Indiana *2013 - United Way Employee Match for Boone-$7044 00, Hancock-$3,196 00, Hamilton-$16,663 08, Hendricks-$960 00, Marion-$134,926 31, and Morgan-$6,080 08 - Totaling $168,869 47* $168,869 47	20907	12/12/12	$168,869 47	Foundation	5283 2013 - United Way Employee Match for Boone-$7044 00, Hancock-$3,196 00; Hamilton-$16,663.08, Hendricks-$960 00; Marion-$134,926.31, and Morgan-$6,080 08 - Totaling $168,869 47
United Way of Dane County *2013 United Way Employee Match for Mineral Point - $7,789 20 and Stoughton - $25,030 57 = Total $32,819 77* $32,819 77	20917	12/12/12	$32,819 77	Foundation	5284 2013 United Way Employee Match for Mineral Point - $7,789.20 and Stoughton - $25,030 57 = Total $32,819 77
United Way of Eastern New Mexico, Inc. *2013 United Way Employee Match* $3,191 00	20937	12/12/12	$3,191 00	Foundation	5285 2013 United Way Employee Match
United Way of El Paso County *2013 United Way Employee Match* $3,408 00	20916	12/12/12	$3,408 00	Foundation	5286 2013 United Way Employee Match
United Way of Elkhart County *2013 United Way Employee Match* $2,590 75	20915	12/12/12	$2,590 75	Foundation	5287 2013 United Way Employee Match
United Way of Erie County *2013 United Way Employee Match* $3,055 00	20920	12/12/12	$3,055 00	Foundation	5288 2013 United Way Employee Match
United Way of Franklin County *2013 - United Way Employee Match* $1,176 00	20906	12/12/12	$1,176 00	Foundation	5289 2013 United Way Employee Match
United Way of Greater Cincinnati - Northern Kentucky *2013 United Way Employee Match* $22,993 03	20939	12/12/12	$22,993 03	Foundation	5290 2013 United Way Employee Match
United Way of Greater Cincinnati (Dearborn County) *2013 - United Way Employee Match* $471 60	20903	12/12/12	$471 60	Foundation	5291 2013 United Way Employee Match
United Way of Greater Lafayette & Tippecanoe County *2013 - United Way Employee Match* $600 00	20902	12/12/12	$600 00	Foundation	5292 2013 United Way Employee Match
United Way of Howard County *2013 United Way Employee Match* $240 00	20914	12/12/12	$240 00	Foundation	5293 2013 United Way Employee Match
United Way of Jay County *2013 United Way Employee Match* $100 08	20913	12/12/12	$100 08	Foundation	5294 2013 United Way Employee Match

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2012 Paid Program Grants - Cummins Foundation

Payee Organization Project Title, Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
United Way of Johnson County					
2013 - United Way Employee Match $116,631 70	20901	12/12/12	$116,631 70	Foundation	EFT 2013 - United Way Employee Match
United Way of Madison County					
2013 - United Way Employee Match $1,232 00	20900	12/12/12	$1,232 00	Foundation	5295 2013 United Way Employee Match
United Way of Metropolitan Nashville					
2013 - United Way Employee Match $93,999 62	20912	12/12/12	$93,999.62	Foundation	5296 2013 United Way Employee Match
United Way of North Central Iowa					
2013 - United Way Employee Match $6,493 00	20911	12/12/12	$6,493 00	Foundation	2013 United Way Employee Match
United Way of Perry County					
2013 - United Way Employee Match $168 00	20899	12/12/12	$168 00	Foundation	5298 2013 United Way Employee Match
United Way of Putnam County					
2013 - United Way Employee Match $25,660 89	20905	12/12/12	$25,660 89	Foundation	5300 2013 United Way Employee Match
United Way of Putnam County					
2013 - United Way Employee Match $144 00	20904	12/12/12	$144 00	Foundation	5299 2013 United Way Employee Match
United Way of Scott County					
2013 - United Way Employee Match $2,764 00	20897	12/12/12	$2,764 00	Foundation	5301 2013 United Way Employee Match
United Way of South Central Indiana					
2013 - United Way Employee Match $844 00	20896	12/12/12	$844 00	Foundation	5302 2013 United Way Employee Match
United Way of Southern Chautauqua County					
2013 - United Way Employee Match $138,418 66	20910	12/12/12	$138,418 66	Foundation	EFT 2013 - United Way Employee Match
United Way of Southwestern Indiana					
2013 - United Way Employee Match $312 00	20895	12/12/12	$312 00	Foundation	5303 2013 United Way Employee Match
United Way of the Mid-South					
Cummins Foundations Match for 2012 Campaign $23,851 36	20687	01/24/12	$23,851 36	Foundation	5167 Cummins Foundations Match for 2012 Campaign
2013 United Way Employee Match $20,870 12	20946	12/14/12	$20,870 12	Foundation	5311 2013 United Way Employee Match
United Way of the Wabash Valley					
2013 - United Way Employee Match $240 00	20894	12/12/12	$240 00	Foundation	5304 2013 United Way Employee Match
United Way of Whitewater Valley					
2013 - United Way Employee Match $372 00	20893	12/12/12	$372 00	Foundation	5305 2013 United Way Employee Match
United Way Tar River Region					
2013 United Way Match $205,956 72	20925	12/12/12	$205,956 72	Foundation	EFT 2013 - United Way Employee Match
Upper Cumberland Long Term Recovery Committee Inc					

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2012 Paid Program Grants - Cummins Foundation

Payee Organization Project Title, Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
Recovery of March 12, 2012 Tornadoes - Middle TN region $20,000 00	20788	05/16/12	$20,000 00	Foundation	5211 Recovery of March 12, 2012 Tornadoes - Middle TN region
Global Giving The Welland Rivers Trust *Funding for Restoration of the Stamford Mill Stream* $32,000 00	20683	05/10/12	$32,000 00	Foundation	EFT Funding for Restoration of the Stamford Mill Stream
Wisconsin Vietnam Veterans Memorial Project Inc. *Funds for completion of the DVD project, roof replacement and building replacement* $20,000 00	20864	09/28/12	$20,000 00	Foundation	5252 Funds for completion of the DVD project, roof replacement and building replacement
Global Giving Wuhan University of Technology_Auto & Power *China Strategic Education Initiative - Dr Lyn Scholarship Funds* $4,756 00	20768	05/10/12	$4,756 00	Foundation	EFT China Strategic Education Initiative - Dr Lyn Scholarship Funds
Global Giving **Wuxi Social Welfare Centre** *Build seven specialized rooms to improve the physical, psychological, and social ability of children with cerebral palsy through scientific, correct, and effective functional rehabilitation training* $203,520 00	20749	04/10/12	$203,520 00	Foundation	EFT - 04/10/2012 Build seven specialized rooms to improve the physical, psychological, and social ability of children with cerebral palsy through scientific, correct, and effective functional rehabilitation training
Global Giving Xi'an Jiao Tong University *China Strategic Education Initiative - Dr Lyn Scholarship Funds* $3,963 00	20767	05/10/12	$3,963 00	Foundation	EFT China Strategic Education Initiative - Dr. Lyn Scholarship Funds
Global Giving Yorkshire Wildlife Trust *To start the renovation of farm buildings on a community farm run by the Yorkshire Wildlife Trust* $55,000 00	20691	02/08/12	$55,000 00	Foundation	Global Giving - EFT To start the renovation of farm buildings on a community farm run by the Yorkshire Wildlife Trust.
Global Giving Young Enterprise *"Helping Children Succeed Through Entrepreneurship"* $10,200 00	20877	11/07/12	$10,200 00	Foundation	EFT-11/07/2012 "Helping Children Succeed Through Entrepreneurship"
Youth Leadership Bartholomew County *Support for annual student leadership seminar on Sept 2012 hosted by the Columbus Service League and Rotary* $1,000 00	20822	07/13/12	$1,000 00	Foundation	5226 Support for annual student leadership seminar on Sept. 2012

Grand Total $7,328,385 73

The Cummins Foundation Inc.
35-6042373
Exhibit E
2012 Grant Summary - Unpaid Commitments
Balance as of 12/31/2012

The Cummins Foundation - 2012 Grant Summary - Unpaid Commitments	**Balance**
	12/31/12
IU Center for Art & Design	$500,000
$1M Grant, $250K each year for 4-years beginning 2011	
National Society of Black Engineers	$25,000
$75k Grant, $25K each year for 3-years beginning 2011	
MN Indian Women's Resource Center	$100,000
$100K Grant,	
Bartholomew Consolidated School Foundation	
Bartholomew Consolidated School Foundation - Diversity Award	$10,000
Bartholomew Consolidated School Foundation - Book Buddies	$5,000
Bartholomew Consolidated School Foundation - Minds on Math	$8,000
Global Giving - Kwa-Bhekilange Secondary School	$31,000
$100K Grant, No payments made in 2012	
The Mind Trust - Summer Advantage Program	$100,000
$100K Grant	
Total	$779,000

Form **8868**

(Rev January 2013)

Department of the Treasury
rnal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ **File a separate application for each return.**

OMB No. 1545-1709

If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box . . . . ▶ ☐

- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (*e-file*). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit *www.irs.gov/efile* and click on *e-file for Charities & Nonprofits.*

Part I **Automatic 3-Month Extension of Time.** Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension—check this box and complete Part I only . . . . ▶ ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Enter filer's identifying number, see instructions

Type or print	Name of exempt organization or other filer, see instructions. The Cummins Foundation, Inc.	Employer identification number (EIN) or 35-6042373
File by the due date for filing your return See instructions	Number, street, and room or suite no If a P.O. box, see instructions. Box 3005, M/C 60113	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. Columbus, IN 47202-3005	

Enter the Return code for the return that this application is for (file a separate application for each return) 04

Application Is For	**Return Code**	**Application Is For**	**Return Code**
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
orm 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ Selina Morris

7011 2970 0002 7480 0992

AMS
5/7/13

Telephone No. ▶ (812) 377-0078 FAX No. ▶

- If the organization does not have an office or place of business in the United States, check this box . . . . ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ________ . If this is for the whole group, check this box . . . . ▶ ☐ . If it is for part of the group, check this box . . . . ▶ ☐ and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until August 15 , 20 13 , to file the exempt organization return for the organization named above. The extension is for the organization's return for:

▶ ☒ calendar year 20 12 or

▶ ☐ tax year beginning ________ , 20 ___ , and ending ________ , 20 ___ .

2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	**3a**	**$** 4,080
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	**3b**	**$** 8,488
c	**Balance due.** Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	**3c**	**$** 0.00

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

For Privacy Act and Paperwork Reduction Act Notice, see instructions.