Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

For calendar year 2012, or tax year beginning , 2012, and ending ,

Name of foundation
ROBERT G. SHAW FAMILY FOUNDATION

A Employer identification number
35-2205289

Number and street (or P O box number if mail is not delivered to street address) — Room/suite
P.O. BOX 9

B Telephone number (see the instructions)
(847) 641-6481

City or town — State — ZIP code
LAKE FOREST — IL — 60045

C If exemption application is pending, check here ☐

G Check all that apply ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

I Fair market value of all assets at end of year (*from Part II, column (c), line 16*) ► $ 857,011.

J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify)
(*Part I, column (d) must be on cash basis*)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I **Analysis of Revenue and Expenses** (*The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions)*)

	Line	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc, received (att sch)				
	2 Ck ► ☒ if the foundn is **not** req to att Sch B				
	3 Interest on savings and temporary cash investments	1,337.	1,337.		
	4 Dividends and interest from securities	23,093.	23,093.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain/(loss) from sale of assets not on line 10				
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)		814.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit/(loss) (att sch)				
	11 Other income (attach schedule) PROMISSORY NOTE INTEREST	3,400.	3,400.		
	12 **Total.** Add lines 1 through 11	27,830.	28,644.		
OPERATING AND ADMINISTRATIVE EXPENSES	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach sch) L-16b Stmt	1,829.			
	c Other prof fees (attach sch) L-16c Stmt	5,184.	5,184.		
	17 Interest				
	18 Taxes (attach schedule)(see instrs) See Line 18 Stmt	521.			
	19 Depreciation (attach sch) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) See Line 23 Stmt	218.			
	24 **Total operating and administrative expenses.** Add lines 13 through 23	7,752.	5,184.		
	25 Contributions, gifts, grants paid	37,900.			37,900.
	26 **Total expenses and disbursements.** Add lines 24 and 25	45,652.	5,184.		37,900.
	27 Subtract line 26 from line 12:				
	a **Excess of revenue over expenses and disbursements**	-17,822.			
	b **Net investment income** (if negative, enter -0-)		23,460.		
	c **Adjusted net income** (if negative, enter -0-)				

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Part II Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
ASSETS 1	Cash — non-interest-bearing			
2	Savings and temporary cash investments	18,045.	43,916.	43,916.
3	Accounts receivable ►			
	Less allowance for doubtful accounts ►			
4	Pledges receivable ►			
	Less allowance for doubtful accounts ►			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach sch) ► 90,000.	L-7 Stmt		
	Less allowance for doubtful accounts ► 0.	90,000.	90,000.	90,000.
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments — U S and state government obligations (attach schedule)			
b	Investments — corporate stock (attach schedule) L-10b Stmt	716,280.	672,355.	694,115.
c	Investments — corporate bonds (attach schedule)			
11	Investments — land, buildings, and equipment basis ►			
	Less accumulated depreciation (attach schedule) ►			
12	Investments — mortgage loans			
13	Investments — other (attach schedule) L-13 Stmt		28,689.	28,460.
14	Land, buildings, and equipment basis ►			
	Less accumulated depreciation (attach schedule) ►			
15	Other assets (describe ► L-15 Stmt)	0.	520.	520.
16	**Total assets** (to be completed by all filers — see the instructions Also, see page 1, item I)	824,325.	835,480.	857,011.
LIABILITIES 17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, & other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ►)			
23	**Total liabilities** (add lines 17 through 22)			
NET ASSETS OR FUND BALANCES	**Foundations that follow SFAS 117, check here ► ☐ and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ► X and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds			
28	Paid-in or capital surplus, or land, building, and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds	824,325.	835,480.	
30	**Total net assets or fund balances** (see instructions)	824,325.	835,480.	
31	**Total liabilities and net assets/fund balances** (see instructions)	824,325.	835,480.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	824,325.
2	Enter amount from Part I, line 27a	2	-17,822.
3	Other increases not included in line 2 (itemize) ► UNREALIZED INCOME (NET)	3	28,977.
4	Add lines 1, 2, and 3	4	835,480.
5	Decreases not included in line 2 (itemize) ►	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	835,480.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a	FIFTH THIRD SECURITIES, INC.-ACCT 067-442798(L.T)	P	08/23/10	01/09/12
b	WELLS FARGO ADVISORS-ACCT 7670-3187	P	01/03/12	01/05/12
c	WELLS FARGO ADVISORS-ACCT 7670-3187	P	Various	01/05/12
d	WELLS FARGO ADVISORS-ACCT 7670-3187(L.T.)	P	Various	Various
e	See Columns (a) thru (d)			

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	18,000.		18,012.	-12.
b	241.		240.	1.
c	38,874.		41,007.	-2,133.
d	4,107.		3,746.	361.
e	See Columns (e) thru (h)		47,852.	2,597.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
a				-12.
b				1.
c				-2,133.
d	0.	0.	0.	361.
e	See Columns (i) thru (l)	0.	0.	2,597.

2 Capital gain net income or (net capital loss) — If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 — **2** 814.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)

If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 — **3** 0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2011	45,367.	784,029.	0.057864
2010	60,850.	890,457.	0.068336
2009	35,350.	891,124.	0.039669
2008	154,326.	944,572.	0.163382
2007	206,603.	1,035,524.	0.199515

2	**Total** of line 1, column (d)	2	0.528766
3	Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.105753
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	844,041.
5	Multiply line 4 by line 3	5	89,260.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	235.
7	Add lines 5 and 6	7	89,495.
8	Enter qualifying distributions from Part XII, line 4	8	37,900.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 — see instructions)**

1 a Exempt operating foundations described in section 4940(d)(2), check here ► ☐ and enter 'N/A' on line 1 Date of ruling or determination letter _______ **(attach copy of letter if necessary — see instrs)** b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► ☐ and enter 1% of Part I, line 27b c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b)		1	469.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3 Add lines 1 and 2		3	469.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	469.
6 Credits/Payments			
a 2012 estimated tax pmts and 2011 overpayment credited to 2012	6a 216.		
b Exempt foreign organizations — tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c 450.		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d		7	666.
8 Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9 **Tax due** If the total of lines 5 and 8 is more than line 7, enter amount owed ►		9	
10 **Overpayment** If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ►		10	197.
11 Enter the amount of line 10 to be **Credited to 2013 estimated tax** ► 197.	**Refunded** ►	11	

Part VII-A **Statements Regarding Activities**

		Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?	1b		X
*If the answer is 'Yes' to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ► $ ______ **(2)** On foundation managers ► $ ______			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► $ ______			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If 'Yes,' attach a detailed description of the activities*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes*	3		X
4 a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If 'Yes,' has it filed a tax return on **Form 990-T** for this year?	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If 'Yes,' attach the statement required by General Instruction T*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, column (c), and Part XV*	7	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) ► ______			
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses*	10		X

Part VII-A. Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► N/A

14 The books are in care of ► ROBERT G. SHAW Telephone no ► (847) 641-6481

Located at ► P.O.BOX 9 LAKE FOREST, IL ZIP + 4 ► 60045

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** – Check here ► ☐

and enter the amount of tax-exempt interest received or accrued during the year ► | 15 |

			Yes	No
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If 'Yes,' enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

			Yes	No
1 a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ **Yes** ☒ **No**			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ **Yes** ☒ **No**			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ **Yes** ☒ **No**			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ **Yes** ☒ **No**			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ **Yes** ☒ **No**			
	(6) Agree to pay money or property to a government official? (**Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ **Yes** ☒ **No**			
b	If any answer is 'Yes' to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1 b		
	Organizations relying on a current notice regarding disaster assistance check here ► ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1 c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ **Yes** ☒ **No**			
	If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement – see instructions)	2 b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here			
	► 20__ , 20__ , 20__ , 20__			
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ **Yes** ☒ **No**			
b	If 'Yes,' did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)*	3 b		
4 a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4 b		X

Part VII-B **Statements Regarding Activities for Which Form 4720 May Be Required** *(continued)*

5 a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **5 b**

Organizations relying on a current notice regarding disaster assistance check here ► ☐

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53 4945-5(d)

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6 b** X

If 'Yes' to 6b, file Form 8870

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? **7 b**

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
ROBERT G. SHAW P.O. BOX 9, LAKE FOREST, IL 60045	PRES/TREAS/SEC 0.00	0.	0.	0.
ROBERT G. SHAW P.O. BOX 9 LAKE FOREST IL 60045	DIRECTOR 0.00	0.	0.	0.
MARY H. COAN P.O. BOX 9 LAKE FOREST IL 60045	DIRECTOR 0.00	0.	0.	0.
CAROL R. PAYNE 1162 LYNETTE DRIVE LAKE FOREST IL 60045	DIRECTOR 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 -see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ► None

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services ►		None

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ►	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes		
a	Average monthly fair market value of securities	1a	718,761.
b	Average of monthly cash balances	1b	19,549.
c	Fair market value of all other assets (see instructions)	1c	118,584.
d	**Total** (add lines 1a, b, and c)	1d	856,894.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	856,894.
4	Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	12,853.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	844,041.
6	**Minimum investment return.** Enter 5% of line 5	6	42,202.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	42,202.
2a	Tax on investment income for 2012 from Part VI, line 5 — 2a 469.		
b	Income tax for 2012 (This does not include the tax from Part VI) — 2b		
c	Add lines 2a and 2b	2c	469.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	41,733.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	41,733.
6	*Deduction from distributable amount (see instructions)*	6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	41,733.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	37,900.
b	Program-related investments — total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	37,900.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	37,900.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				41,733.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			0.	
b Total for prior years 20 __, 20 __, 20 __				
3 Excess distributions carryover, if any, to 2012				
a From 2007 155,761.				
b From 2008 108,445.				
c From 2009 0.				
d From 2010 16,853.				
e From 2011 6,650.				
f **Total** of lines 3a through e	287,709.			
4 Qualifying distributions for 2012 from Part XII, line 4 ► $ 37,900.				
a Applied to 2011, but not more than line 2a				
b Applied to undistributed income of prior years (Election required — see instructions)				
c Treated as distributions out of corpus (Election required — see instructions)				
d Applied to 2012 distributable amount				37,900.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2012 *(If an amount appears in column (d), the same amount must be shown in column (a))*	3,833.			3,833.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	283,876.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount — see instructions		0.		
e Undistributed income for 2011. Subtract line 4a from line 2a Taxable amount — see instructions			0.	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)	151,928.			
9 **Excess distributions carryover to 2013.** Subtract lines 7 and 8 from line 6a	131,948.			
10 Analysis of line 9				
a Excess from 2008 108,445.				
b Excess from 2009 0.				
c Excess from 2010 16,853.				
d Excess from 2011 6,650.				
e Excess from 2012 0.				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling ►

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2012	**(b)** 2011	**(c)** 2010	**(d)** 2009	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a 'Assets' alternative test — enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test — enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year – see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here ► [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
***a** Paid during the year*				
FIRST PRESBYTERIAN CHURCH 700 N. SHERIDAN RD. LAKE FOREST, IL 60045	N/A	PUBLIC	UNRESTRICTED	6,000.
LAKE FOREST-LAKE BLUFF HISTORICAL SOCIETY 361 E. WESTMINSTER, LAKE FOREST IL 60045	N/A	PUBLIC	UNRESTRICTED	1,500.
ARS VIVA 1560 RUDD COURT LIBERTYVILLE IL 60048	N/A	PUBLIC	UNRESTRICTED	1,750.
UNIVERSITY OF MICHIGAN 3003 S. STATE STREET, SUITE 9000 ANN ARBOR MI 48109-1299		PUBLIC	UNRESTRICTED	2,000.
SPECIAL OLYMPICS ILLINOIS 605 E. WILLOW STREET NORMAL IL 61761		PUBLIC	UNRESTRICTED	200.
FAMILY MATTERS 7731 N. MARSHFIELD CHICAGO IL 60626		PUBLIC	UNRESTRICTED	100.
AMERICAN CANCER SOCIETY PO BOX 831 NEWTOWN CT 06470		PUBLIC	UNRESTRICTED	100.
LAKE FOREST SYMPHONY 50 E. OLD MILL ROAD LAKE FOREST IL 60045		PUBLIC	UNRESTRICTED	1,600.
BELOIT COLLEGE 700 COLLEGE STREET BELOIT WI 53511		PUBLIC	UNRESTRICTED	10,000.
See Line 3a statement				14,650.
Total			► 3a	37,900.
***b** Approved for future payment*				
Total			► 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income (See instructions)
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	1,337.	
4 Dividends and interest from securities			14	23,093.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	3,400.	
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				27,830.	
13 Total. Add line 12, columns (b), (d), and (e)				13	27,830.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
	N/A

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1 a (1)		X
(2) Other assets	1 a (2)		X
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1 b (1)		X
(2) Purchases of assets from a noncharitable exempt organization	1 b (2)		X
(3) Rental of facilities, equipment, or other assets	1 b (3)		X
(4) Reimbursement arrangements	1 b (4)		X
(5) Loans or loan guarantees	1 b (5)		X
(6) Performance of services or membership or fundraising solicitations	1 b (6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1 c		X

d If the answer to any of the above is 'Yes,' complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2 a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

X [signature] 7/31/13 PRESIDENT

Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
ROBERT C. GEBERT	[signature]	07/26/13		P01436802
Firm's name ▶ GEBERT & MORLEY, LLC			Firm's EIN ▶ 36-4200864	
Firm's address ▶ 137 N OAK PARK AVE STE 201 OAK PARK IL 60301			Phone no (708) 763-9995	

Form 990-PF Part II, Lines 6 and 7

Receivables

2012

Name: ROBERT G. SHAW FAMILY FOUNDATION

Employer Identification Number: 35-2205289

Lender Information

Loan Receivable Type: 4
Borrower's Name: JAMES P. RICHTER
Borrower's Title: NONE
Check Box, if Borrower is a Business: ☐
Relationship of Borrower (other loans only): UNRELATED
Repayment Terms: ON DEMAND
Borrower's Security: UNSECURED
Purpose of Loan: INVESTMENT
Description of Consideration: CASH - 90000
Original Amount: 25,000.
Beginning Year Balance: 90,000.
Year End Balance: 90,000.
FMV of at Year End: 90,000.
FMV of Consideration: 90,000.
Allowance for Doubtful Accounts (other loans only): 0.
Date of Note: OCTOBER 15, 1997
Maturity Date: ON DEMAND
Interest Rate: VARIABLE

Loan Receivable Type:
Borrower's Name:
Borrower's Title:
Check Box, if Borrower is a Business: ☐
Relationship of Borrower (other loans only):
Repayment Terms:
Borrower's Security:
Purpose of Loan:
Description of Consideration:
Original Amount:
Beginning Year Balance:
Year End Balance:
FMV of at Year End:
FMV of Consideration:
Allowance for Doubtful Accounts (other loans only):
Date of Note:
Maturity Date:
Interest Rate:

Loan Receivable Type:
Borrower's Name:
Borrower's Title:
Check Box, if Borrower is a Business: ☐
Relationship of Borrower (other loans only):
Repayment Terms:
Borrower's Security:
Purpose of Loan:
Description of Consideration:
Original Amount:
Beginning Year Balance:
Year End Balance:
FMV of at Year End:
FMV of Consideration:
Allowance for Doubtful Accounts (other loans only):
Date of Note:
Maturity Date:
Interest Rate:

Loan Receivable Type:
Borrower's Name:
Borrower's Title:
Check Box, if Borrower is a Business: ☐
Relationship of Borrower (other loans only):
Repayment Terms:
Borrower's Security:
Purpose of Loan:
Description of Consideration:
Original Amount:
Beginning Year Balance:
Year End Balance:
FMV of at Year End:
FMV of Consideration:
Allowance for Doubtful Accounts (other loans only):
Date of Note:
Maturity Date:
Interest Rate:

Form 990-PF, Page 1, Part I, Line 18
Line 18 Stmt

Taxes	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
EXCISE TAXES	484.			
FOREIGN TAXES	37.			
Total	521.			

Form 990-PF, Page 1, Part I, Line 23
Line 23 Stmt

Other expenses.	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
FILING FEES EXPENSE	25.			
OTHER SUNDRY EXPENSE	193.			
Total	218.			

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income
Columns (a) thru (d)

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
WELLS FARGO ADVISORS-ACCT 7670-3187(L.T.)	P	Various	Various
WELLS FARGO ADVISORS-ACCT 7670-3187(CAP. GAIN DISTRIB.)	P	Various	Various
iSHARES S&P GSCI COMMODITY-INDEXED TRUST	P	Various	Various

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income
Columns (e) thru (h)

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
49,679.		47,804.	1,875.
770.		0.	770.
0.		48.	-48.
Total		47,852.	2,597.

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income
Columns (i) thru (l)

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (column (h) gain minus column (k), but not less than -0-) **or** losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
0.	0.	0.	1,875.
0.	0.	0.	770.
			-48.

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income Continued
Columns (i) thru (l)

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (column (h) gain minus column (k), but not less than -0-) **or** losses (from column (h))
(i) Fair Market Value as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of column (i) over column (j), if any	
Total	0.	0.	2,597.

Form 990-PF, Page 11, Part XV, line 3a
Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a *Paid during the year*				
STANFORD UNIVERSITY 450 SERRA MALL STANFORD CA 94305		PUBLIC	UNRESTRICTED	Person or ☐ Business ☐ 200.
AMERICAN PLAYERS THEATRE 5950 GOLF COURSE ROAD SPRING GREEN WI 53588		PUBLIC	UNRESTRICTED	Person or ☐ Business ☐ 500.
CARNEGIE MELLON UNIVERSITY P.O. BOX 371525 PITTSBURGH PA 15251		PUBLIC	UNRESTRICTED	Person or ☐ Business ☐ 2,500.
CHICAGO MASTER SINGERS 165 E. DEERPATH LAKE FOREST IL 60045		PUBLIC	UNRESTRICTED	Person or ☐ Business ☐ 100.
AMERICAN HEART ASSOCIATION 208 S. LASALLE ST., #1500 CHICAGO IL 60604		PUBLIC	UNRESTRICTED	Person or ☐ Business ☐ 100.
AMERICAN INSTITUTE FOR CANCER RESEARCH 1759 R STREET, NW WASHINGTON DC 20009		PUBLIC	UNRESTRICTED	Person or ☐ Business ☐ 50.
CASA CENTRAL 1343 N CALIFORNIA AVE CHICAGO IL 60622		PUBLIC	UNRESTRICTED	Person or ☐ Business ☐ 100.
COLLEGE BOUND OPPORTUNITIES 2033 N MILWAUKEE AVE, #246 RIVERWOODS IL 60015		PUBLIC	UNRESTRICTED	Person or ☐ Business ☐ 200.
CITADEL THEATRE COMPANY 300 S. WAUKEGAN ROAD LAKE FOREST IL 6004		PUBLIC	UNRESTRICTED	Person or ☐ Business ☐ 100.
ELAWA FARM FOUNDATION 1401 MIDDLEFORK DRIVE LAKE FOREST IL 6004		PUBLIC	UNRESTRICTED	Person or ☐ Business ☐ 250.
LAKE FOREST-LAKE BLUFF SENIOR CITIZENS F 100 E. MILL ROAD LAKE FOREST IL 60045		PUBLIC	UNRESTRICTED	Person or ☐ Business ☐ 100.

Form 990-PF, Page 11, Part XV, line 3a Continued
Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a *Paid during the year*				
LAKE FOREST GRADUATE SCHOOL 1905 W. FIELD CT. LAKE FOREST IL 60045		PUBLIC	UNRESTRICTED	Person or ☐ Business ☐ 10,000.
STARLIGHT CHILDRENS FOUNDATION-MIDWEST 30 E. ADAMS ST. CHICAGO IL 60603		PUBLIC	UNRESTRICTED	Person or ☐ Business ☐ 250.
UNITED WAY OF THE NORTH SHORE 540 FRONTAGE ROAD NORTHFIELD IL 60035		PUBLIC	UNRESTRICTED	Person or ☐ Business ☐ 200.
Total				14,650.

Form 990-PF, Page 1, Part I
Line 16b - Accounting Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
GEBERT & MORLEY, LLC	TAX PREPARATION	1,829.			
Total		1,829.			

Form 990-PF, Page 1, Part I
Line 16c - Other Professional Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
WELLS FARGO ADVISORS	ADVISORY SERVICES	5,184.	5,184.		
Total		5,184.	5,184.		

Form 990-PF, Page 2, Part II, Line 10b
L-10b Stmt

Line 10b - Investments - Corporate Stock:	End of Year Book Value	Fair Market Value
FIFTH THIRD SECURITIES	198,129.	200,163.

Form 990-PF, Page 2, Part II, Line 10b Continued
L-10b Stmt

Line 10b - Investments - Corporate Stock:	End of Year Book Value	End of Year Fair Market Value
WELLS FARGO ADVISORS	474,226.	493,952.
Total	672,355.	694,115.

Form 990-PF, Page 2, Part II, Line 13
L-13 Stmt

Line 13 - Investments - Other:	End of Year Book Value	End of Year Fair Market Value
iSHARES S&P GSCI COMMODITY INDEXED TRUST	28,689.	28,460.
Total	28,689.	28,460.

Form 990-PF, Page 2, Part II, Line 15
Other Assets Stmt

Line 15 - Other Assets:	Beginning Year Book Value	End of Year Book Value	End of Year Fair Market Value
EST. FEDERAL EXCISE TAX PAYMENTS	0.	520.	520.
Total	0.	520.	520.

2012 Informational Tax Reporting Statement

ROBERT G SHAW FAMILY FNDN

Account No 067-442798 Customer Service 888-889-1025
Recipient ID No 35-2205289 Payer's Fed ID Number 04-3523567

Note: This information is not reported to the IRS. It may assist you in tax return preparation.

2012 Proceeds from Broker and Barter Exchange Transactions*

Long-term transactions (h)

(IRS Form 1099-B box numbers are shown below in **bold type**)

Action	**1a** Date of Sale or Exchange	**1b** Date of Acquisition	**1e** Quantity Sold	**2a** Sales Price of Stocks, Bonds, etc (b)	**3** Cost or Other Basis (a)	Gain/Loss (-)	**5** Wash Sale Loss Disallowed	**4** Federal Income Tax Withheld	**13** State	**15** State Tax Withheld
8 Description, **1d** Stock or Other Symbol, CUSIP										
HARTFORD FLOATING RATE CL C, HFLCX, 416648533										
Sale	01/09/12	08/23/10	2,083 333	18,000 00	18,012 89	-12 89	0 50			
TOTALS				18,000.00	18,012.89(c)			0.00		
Long-Term Realized Gain						0.00				
Long-Term Realized Loss						-12.89				
Wash Sale Loss Disallowed							0.50			

(a) Cost or other basis provided may include adjustments including, but not limited to, dividend reinvestment, return of capital/principal, wash sale loss disallowed, amortization, accretion, acquisition premium, bond premium, market discount, market premium, and option premium

(b) Gross proceeds less commissions

(c) Totals of Cost or Other Basis include all tax lots with known basis Totals do not include tax lots with unknown basis

(h) All gain/loss information may not be reflected due to incomplete cost basis information

Amortization, accretion, and similar adjustments to cost basis are not provided for short-term instruments, unit investment trusts, or securities of foreign issuers

See the supplemental gain/loss sections of this statement for options, foreign currency transactions, and closed short positions opened prior to 2011 Also refer to the gain/loss sections to see details on adjustments made to cost basis for fixed income securities and for the local currency values for internationally denominated equities and fixed income securities

*Our records indicate that you are an exempt recipient for 1099 reporting purposes. This statement provided is based on IRS information reporting requirements for individuals as of the preparation date and is NOT furnished to the IRS. You may be subject to different income tax reporting requirements. Please contact your tax advisor if you have any questions.

2012 SUMMARY AMENDMENT

Amended Date: 2/22/13

ROBERT G SHAW
FAMILY FOUNDATION
PO BOX 9
LAKE FOREST IL 60045-0009

Your Financial Advisor :
JOHN PAVELKA
666 WALNUT STREET
DES MOINES, IA 50309
(309) 944-5380

count Number: 7670-3187

Year-End Account Summary

This Information Is NOT provided to the Internal Revenue Service, but Is being provided to you for courtesy purposes. Please consult with your Financial Advisor or Tax Advisor regarding specific questions.

or non covered transactions, we provide supplemental cost basis information, when it is available, to assist you with completing your tax return, however, THIS INFORMATION IS OT PROVIDED TO THE INTERNAL REVENUE SERVICE.

HORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
roceeds from Broker and Barter Exchange Transactions for 2012 SUPPLEMENTAL INFORMATION

Description (Box 8) Stock or Other Symbol (Box 1d)	Quantity Sold (Box 1e)	Unit Price	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Sales Price (Box 2a)*	Cost or Other Basis (Box 3)	Gain or Loss Amount	Transaction Description
GOLDMAN SACHS TRUST STRATEGIC INCOME FD CL NSTITUTIONAL CUSIP 38145C646	25 3360	9 53000	01/03/12	01/05/12	241.48	240.44	1.04	SALE
TOTAL SHORT TERM GAINS OR LOSSES FOR COVERED SECURITIES					241.48	240.44	1.04	

HORT TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
oceeds from Broker and Barter Exchange Transactions for 2012 SUPPLEMENTAL INFORMATION

Description (Box 8) Stock or Other Symbol (Box 1d)	Quantity Sold (Box 1e)	Unit Price	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Sales Price (Box 2a)*	Cost or Other Basis (Box 3)	Gain or Loss Amount	Transaction Description
GOLDMAN SACHS TRUST STRATEGIC INCOME FD CL NSTITUTIONAL CUSIP 38145C646	4,079.1110	9 53000	VARIOUS	01/05/12	38,873 90	41,006.62	-2,132.72	SALE
TOTAL SHORT TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES					38,873.90	41,006.62	-2,132.72	

ONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
oceeds from Broker and Barter Exchange Transactions for 2012 SUPPLEMENTAL INFORMATION

Description (Box 8) Stock or Other Symbol (Box 1d)	Quantity Sold (Box 1e)	Unit Price	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Sales Price (Box 2a)*	Cost or Other Basis (Box 3)	Gain or Loss Amount	Transaction Description
POWERSHARES DIVIDEND ACHIEVERS PORTFOLIO CUSIP 73935X732	80.0000	15.88400	01/20/11	11/13/12	1,270.70	1,136 55	134 15	SALE

738

YT112956 037078 056180701112 YNNNN NNNNN NNNNNN 000003

Amended Date: 2/22/13

ROBERT G SHAW
FAMILY FOUNDATION
PO BOX 9
LAKE FOREST IL 60045-0009

Your Financial Advisor :
JOHN PAVELKA
666 WALNUT STREET
DES MOINES, IA 50309
(309) 944-5380

:count Number: 7670-3187

Year-End Account Summary

This Information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes. Please consult with your Financial Advisor or Tax Advisor regarding specific questions.

.ONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)

continued

?roceeds from Broker and Barter Exchange Transactions for 2012 — SUPPLEMENTAL INFORMATION

Description (Box 8) Stock or Other Symbol (Box 1d)	Quantity Sold (Box 1e)	Unit Price	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Sales Price (Box 2a)*	Cost or Other Basis (Box 3)	Gain or Loss Amount	Transaction Description
SPDR S&P DIVIDEND ET CUSIP 78464A763	50 0000	56 72200	01/20/11	11/13/12	2,836 04	2,609.27	226 77	SALE
TOTAL LONG TERM GAINS OR LOSSES FOR COVERED SECURITIES					4,106.74	3,745 82	360.92	

.ONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)

?roceeds from Broker and Barter Exchange Transactions for 2012 — SUPPLEMENTAL INFORMATION

Description (Box 8) Stock or Other Symbol (Box 1d)	Quantity Sold (Box 1e)	Unit Price	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Sales Price (Box 2a)*	Cost or Other Basis (Box 3)	Gain or Loss Amount	Transaction Description
FIRST TRUST MATERIAL ET ALPHADEX FUND CUSIP 33734X168	60.0000	24 02000	08/24/10	11/13/12	1,441.17	1,134 00	307 17	SALE
ISHARES MSCI CANADA ET INDEX FUND CUSIP 464286509	150 0000	27.12480	VARIOUS	05/07/12	4,068 63	4,121 80	-53 17	SALE
ISHARES S&P U S PREFERRED STOCK CUSIP 464288687	300 0000	39 78000	08/24/10	11/13/12	11,933 73	11,923 97	9 76	SALE
PIMCO FDS PAC INVT MGMT SER LOW DURATION FD INSTL CL CUSIP 693390304	469 9250	10 64000	03/16/11	11/13/12	5,000.00	4,896 62	103 38	SALE
POWERSHARES DIVIDEND ACHIEVERS PORTFOLIO CUSIP 73935X732	320.0000	15 88400	12/23/10	11/13/12	5,082.76	4,502 40	580 36	SALE
SPDR S&P DIVIDEND ET CUSIP 78464A763	200 0000	55.95800	12/23/10	05/07/12	11,191 35	10,446 00	745 35	SALE
	50 0000	56 72200	12/23/10	11/13/12	2,836 03	2,611.50	224 53	SALE

2012 SUMMARY AMENDMENT

Amended Date: 2/22/13

ROBERT G SHAW
FAMILY FOUNDATION
PO BOX 9
LAKE FOREST IL 60045-0009

Your Financial Advisor :
JOHN PAVELKA
666 WALNUT STREET
DES MOINES, IA 50309
(309) 944-5380

count Number: 7670-3187

Year-End Account Summary

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes. Please consult with your Financial Advisor or Tax Advisor regarding specific questions.

ONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6) ***continued***

roceeds from Broker and Barter Exchange Transactions for 2012 — SUPPLEMENTAL INFORMATION

Description (Box 8) Stock or Other Symbol (Box 1d)	Quantity Sold (Box 1e)	Unit Price	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Sales Price (Box 2a)*	Cost or Other Basis (Box 3)	Gain or Loss Amount	Transaction Description
Subtotal	250.0000				14,027.38	13,057.50	969.88	
JSB CAPITAL XII 6.30% DUE 02/15/2067 CALL STARTING 02/15/12 CUSIP 903305209	325 0000	N/A	08/24/10	05/18/12	8,125.00	8,167.22	-42.22	REDEMPTION
TOTAL LONG TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES					49,678.67	47,803.51	1,875.16	

ox 2a. Sales price less commissions and option premiums

740

Form **8868** (Rev January 2013)

Department of the Treasury Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

► File a separate application for each return.

OMB No 1545 1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ► [X]
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extention on a previously filed Form 8868

Electronic filing *(e-file)*. You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions) For more details on the electronic filing of this form, visit *www irs gov/efile* and click on *e-file for Charities & Nonprofits*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6-month extension -check this box and complete Part I only ► [ ]

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number, see instructions

Type or print	Name of exempt organization or other filer, see instructions ROBERT G. SHAW FAMILY FOUNDATION	Employer identification number (EIN) or 35-2205289
File by the due date for filing your return See instructions	Number, street, and room or suite number If a P O box, see instructions P.O. BOX 9	Social security number (SSN)
	City town or post office, state, and ZIP code For a foreign address, see instructions LAKE FOREST IL 60045	

Enter the Return code for the return that this application is for (file a separate application for each return) 04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (section 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► ROBERT G. SHAW

Telephone No ► (847) 295-3777 FAX No ►

- If the organization does not have an office or place of business in the United States, check this box ► [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ____ If this is for the whole group, check this box ► [ ] If it is for part of the group, check this box ► [ ] and attach a list with the names and EINs of all members the extension is for

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until Aug 15, 20 13, to file the exempt organization return for the organization named above The extension is for the organization's return for

► [X] calendar year 20 12 or

► [ ] tax year beginning ________, 20 ___, and ending ________, 20 ___

2 If the tax year entered in line 1 is for less than 12 months, check reason [ ] Initial return [ ] Final return [ ] Change in accounting period

3 a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	3a	$ 450.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit	3b	$ 0.
c Balance due. Subtract line 3b from line 3a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	3c	$ 450.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions