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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012, or tax year beginning 01-01-2012 , and ending 12-31-2012

Name of foundation
ANNE & MEAD MONTGOMERY FAMILY FOUNDATION

A Employer identification number
35-2196822

Number and street (or P O box number if mail is not delivered to street address)
945 OLD GREEN BAY RD

Room/suite

B Telephone number (see instructions)

City or town, state, and ZIP code
WINNETKA, IL 60093

C If exemption application is pending, check here ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 1,135,846

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify) _
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	32,021	32,021		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	57,001			
	b	Gross sales price for all assets on line 6a 331,719				
	7	Capital gain net income (from Part IV, line 2)		57,001		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	89,022	89,022		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	1,025	1,025		
	c	Other professional fees (attach schedule)	8,596	8,596		
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	1,053	1,053		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	60	60		
	24	**Total operating and administrative expenses.** Add lines 13 through 23	10,734	10,734		0
	25	Contributions, gifts, grants paid	63,045			63,045
	26	**Total expenses and disbursements.** Add lines 24 and 25	73,779	10,734		63,045
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	15,243			
	b	**Net investment income** (if negative, enter -0-)		78,288		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	3,645	3,596	3,596
	2	Savings and temporary cash investments	11,585	49,164	49,164
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	460,337	486,416	614,442
	c	Investments—corporate bonds (attach schedule)	460,537	412,171	468,644
	11	Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	936,104	951,347	1,135,846
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)		0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	936,104	951,347	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see page 17 of the instructions)	936,104	951,347	
	31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	936,104	951,347	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	936,104
2	Enter amount from Part I, line 27a	2	15,243
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	951,347
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	951,347

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	SEE ATTACHED - BMO HARRIS L/T	P		
b	SEE ATTACHED - BMO HARRIS S/T	P		
c	SEE ATTACHED - BMO HARRIS L/T	P		
d	SEE ATTACHED - BMO HARRIS L/T	P		
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	222,835		169,868	52,967
b	51,583		55,471	-3,888
c	17,280		14,773	2,507
d	37,443		34,606	2,837
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				52,967
b				-3,888
c				2,507
d				2,837
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	57,001
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	65,578	1,151,540	0 056948
2010	72,827	1,060,185	0 068693
2009	61,891	957,304	0 064651
2008	72,080	1,234,163	0 058404
2007	66,306	1,499,021	0 044233

2	**Total** of line 1, column (d)	2	0 292929
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 058586
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	1,124,862
5	Multiply line 4 by line 3	5	65,901
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	783
7	Add lines 5 and 6	7	66,684
8	Enter qualifying distributions from Part XII, line 4	8	63,045

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ____________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	1,566
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	
3	Add lines 1 and 2			3	1,566
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	1,566
6	Credits/Payments				
a	2012 estimated tax payments and 2011 overpayment credited to 2012	6a	880		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	880
8	Enter any **penalty** for underpayment of estimated tax Check here ☑ if Form 2220 is attached			8	1
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	687
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	
11	Enter the amount of line 10 to be **Credited to 2013 estimated tax** ▶	**Refunded** ▶		11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ______________ **(2)** On foundation managers ▶ $ ______________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6		
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ IL			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶NA

14 The books are in care of ▶M MEAD MONTGOMERY Telephone no ▶(847) 441-8980

Located at ▶945 OLD GREEN BAY RD WINNETKA IL ZIP+4 ▶60093

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 | |

			Yes	No
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No

See instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ▶

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ **Yes** ☑ **No**			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ **Yes** ☑ **No**			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ **Yes** ☑ **No**			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ **Yes** ☑ **No**			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ **Yes** ☑ **No**			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ **Yes** ☑ **No**			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ **Yes** ☑ **No**			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ **Yes** ☑ **No**			
b	If "Yes," did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		**No**
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
M MEAD MONTGOMERY 560 GREEN BAY ROAD WINNETKA, IL 60093	TRUSTEE 2 00	0	0	0
ANNE M MONTGOMERY 560 GREEN BAY ROAD WINNETKA, IL 60093	TRUSTEE 2 00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services. . . . . ▶

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See page 24 of the instructions	
3	
Total. Add lines 1 through 3. . . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	1,130,705
b	Average of monthly cash balances	1b	11,287
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	1,141,992
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	1,141,992
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	17,130
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,124,862
6	**Minimum investment return.** Enter 5% of line 5	6	56,243

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	56,243
2a	Tax on investment income for 2012 from Part VI, line 5	2a	1,566		
b	Income tax for 2012 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	1,566
3	Distributable amount before adjustments Subtract line 2c from line 1			3	54,677
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	54,677
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	54,677

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	63,045
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	63,045
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	63,045

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				54,677
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only.			14,735	
b Total for prior years 20___, 20___, 20___				
3 Excess distributions carryover, if any, to 2012				
a From 2007.				
b From 2008.				
c From 2009.				
d From 2010.				
e From 2011.				
f **Total** of lines 3a through e.				
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ $ 63,045				
a Applied to 2011, but not more than line 2a			14,735	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2012 distributable amount.				48,310
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2012 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount —see instructions				
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				6,367
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)				
9 **Excess distributions carryover to 2013.** Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2008.				
b Excess from 2009.				
c Excess from 2010.				
d Excess from 2011.				
e Excess from 2012.				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2012	**(b)** 2011	**(c)** 2010	**(d)** 2009	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				63,045
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	32,021	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			14	2,578	54,423
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				34,599	54,423

13 **Total.** Add line 12, columns (b), (d), and (e). 13 89,022

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No.	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	**1a(1)**		No
	(2) Other assets	**1a(2)**		No
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	**1b(1)**		No
	(2) Purchases of assets from a noncharitable exempt organization	**1b(2)**		No
	(3) Rental of facilities, equipment, or other assets	**1b(3)**		No
	(4) Reimbursement arrangements	**1b(4)**		No
	(5) Loans or loan guarantees	**1b(5)**		No
	(6) Performance of services or membership or fundraising solicitations	**1b(6)**		No
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	**1c**		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

******	2013-05-22	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN
DAVID J BLOCKOWICZ	DAVID J BLOCKOWICZ	2013-06-20		

Firm's name ▶	BLOCKOWICZ & TOGNOCCHI LLP	Firm's EIN ▶
Firm's address ▶	1701 E LAKE AVE STE 480 GLENVIEW, IL 60025	Phone no (847) 998-6675

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
RAVINIA FESTIVAL 418 SHERICAN RD 418 SHERIDAN RD HIGHLAND PARK, IL 60035	NONE	PUBLIC	UNRESTRICTED	350
EVANS SCHOLARS FDN ONE BRIAN RD ONE BRIAR RD GOLF, IL 60029	NONE	PUBLIC	UNRESTRICTED	4,250
MUSIC IN THE LOFT 410 S MICHIGAN 410 S MICHIGAN CHICAGO, IL 60605	NONE	PUBLIC	UNRESTRICTED	1,000
THE BUILDING STAGE 412 N CARPENTER 412 N CARPENTER CHICAGO, IL 60642	NONE	PUBLIC	UNRESTRICTED	25,000
MIDWEST PALLIATIVE HOSP 2050 CLAIRE CT 2050 CLARIE CT GLENVIEW, IL 60025	NONE	PUBLIC	UNRESTRICTED	15,000
SCC EDUCAT FDN 500 WASHINGTON 500 WASHINGTON GLENCOE, IL 60022	NONE	PUBLIC	UNRESTRICTED	200
REHABILITATION INST OF CHGO 345 E SUERIOR 345 E SUPERIOR CHICAGO, IL 60611	NONE	PUBLIC	UNRESTRICTED	4,000
FRIENDS OF ALTA PO BOX 8126 PO BOX 8126 ALTA, UT 84092	NONE	PUBLIC	UNRESTRICTED	200
CHICAGO COUNCIL OF GLOBAL AFFAIRS 332 S MICHIGAN 332 S MICHIGAN CHICAGO, IL 60604	NONE	PUBLIC	UNRESTRICTED	1,000
AMERICAN CANCER SOCIETY 250 WILLIAMS ST NW 250 WILLIAMS STNW ATLANTA, GA 30303	NONE	PUBLIC	UNRESTRICTED	5,320
STAG FOUNDATION 8600 ROCKVILLE PIKE 8600 ROCKVILLE PIKE BETHESDA, MD 20894	NONE	PUBLIC	UNRESTRICTED	300
CANCER WELLNESS CTR 215 REVERE DR 215 REVERE DR NORTHBROOK, IL 60062	NONE	PUBLIC	UNRESTRICTED	500
WTTW CHANNEL 11 5400 N ST LOUIS 5400 N ST LOUIS CHICAGO, IL 60605	NONE	PUBLIC	UNRESTRICTED	500
DISABLED AMERICAN VETERANS 2122 W TAYLOR 2122 W TAYLOR CHICAGO, IL 60612	NONE	PUBLIC	UNRESTRICTED	500
CHICAGO YOUTH SYMPHONY 410 S MICHIGAN AVE 410 S MICHIGAN AVE CHICAGO, IL 60605	NONE	PUBLIC	UNRESTRICTED	200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
BOY SCOUTS OF AMER - NE IL COUNCIL 2745 SKOKIE VALLEY RD 2745 SKOKIE VALLEY RD HIGHLAND PARK, IL 60035	NONE	PUBLIC	UNRESTRICTED	200
NORTHWESTERN SETTLEMENT 1400 W AUGUSTA BLVD 1400 W AUGUSTA BLVD CHICAGO, IL 60642	NONE	PUBLIC	UNRESTRICTED	200
NORTH SHORE UNIV HEALTH SYS KELLOGG 777 PARK AVENUE WEST 777 PARK AVENUE WEST HIGHLAND PARK, IL 60035	NONE	PUBLIC	UNRESTRICTED	200
ART INSTITUTE OF CHICAGO 111 S MICHIGAN AVE 111 S MICHIGAN AVE CHICAGO, IL 60605	NONE	PUBLIC	UNRESTRICTED	125
PANCREATIC ACTION NETWORK 1500 ROSECRANS AVE 1500 ROSECRANS AVE MANHATTEN BEACH, CA 90266	NONE	PUBLIC	UNRESTRICTED	4,000
Total . . . . ▶ **3a**				63,045

TY 2012 Accounting Fees Schedule

Name: ANNE & MEAD MONTGOMERY FAMILY FOUNDATION

EIN: 35-2196822

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BLOCKOWICZ & TOGNOCCHI LLP	1,025	1,025		

TY 2012 Compensation Explanation

Name: ANNE & MEAD MONTGOMERY FAMILY FOUNDATION

EIN: 35-2196822

Person Name	Explanation
M MEAD MONTGOMERY	
ANNE M MONTGOMERY	

TY 2012 Investments Corporate Bonds Schedule

Name: ANNE & MEAD MONTGOMERY FAMILY FOUNDATION

EIN: 35-2196822

Name of Bond	End of Year Book Value	End of Year Fair Market Value
CORPORATE & OTHER TAXABLE SCH ATT	412,171	468,644

TY 2012 Investments Corporate Stock Schedule

Name: ANNE & MEAD MONTGOMERY FAMILY FOUNDATION

EIN: 35-2196822

Name of Stock	End of Year Book Value	End of Year Fair Market Value
HARRIS BANK EQUITY SCH ATT	486,416	614,442

TY 2012 Other Expenses Schedule

Name: ANNE & MEAD MONTGOMERY FAMILY FOUNDATION

EIN: 35-2196822

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
BANK FEES	60	60		

TY 2012 Other Professional Fees Schedule

Name: ANNE & MEAD MONTGOMERY FAMILY FOUNDATION

EIN: 35-2196822

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ADVISORY FEE HARRIS BANK	8,596	8,596		

TY 2012 Taxes Schedule

Name: ANNE & MEAD MONTGOMERY FAMILY FOUNDATION

EIN: 35-2196822

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX WITHHELD HARRIS BANK	134	134		
ILLINOIS CHARITABLE FEE	15	15		
US GOV'T EXCISE TAX PYMTS	880	880		
P/Y BALANCE EXCISE TAX	24	24		

YEAR - END INVESTMENT PORTFOLIO

BMO Private Bank *MONTGOMERY ANNE & MEAD FAM FNDTN IMA*

• *Details of assets in your account*

DESCRIPTION OF ASSET		QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	- FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
CASH & SHORT-TERM								
INVESCO STIT-GOVERN TAXADVANT		49,163.520	1.0000	49,163.52	49,163.52	0 00	9.87	0 02%
TOTAL CASH & SHORT-TERM				**$49,163.52**	**$49,163.52**	**$0.00**	**$9.87**	**02%**
FIXED INCOME/LOW VOLATILITY								
Corporate and other taxable								
PIMCO EMERGING MARKETS BOND FUND Taxable funds	PEBIX	5,000.000	12 5000	62,500.00	54,150 00	8,350.00	2,690 00	4 30%
ISHARES CORE TOTAL U.S BOND ETF	AGG	800.000	111 0800	88,864.00	81,485.12	7,378.88	2,262 40	2.55%
PIMCO TOTAL RETURN INSTITUTIONAL FUND	PTTRX	8,700 000	11.2400	97,788 00	91,855 00	5,933 00	3,166 80	3.24%
VANGUARD INFLATION-PROTECTED SECURITIES FUND	VIPIX	12,667 125	11 6200	147,191 99	126,455 50	20,736.49	3,914 14	2.66%
Total Corporate and other taxable				**$396,343.99**	**$353,945.62**	**$42,398.37**	**$12,033.34**	**3.04%**
Alternatives-Low Volatility								
Opportunistic low volatility								
PIMCO HIGH YIELD FUND INSTL CL	PHIYX	7,500 000	9 6400	72,300.00	58,224 99	14,075 01	4,320.00	5.97%
Total Alternatives-Low Volatility				**$72,300.00**	**$58,224.99**	**$14,075.01**	**$4,320.00**	**5.98%**
TOTAL FIXED INCOME/LOW VOLATILITY				**$468,643.99**	**$412,170.61**	**$56,473.38**	**$16,353.34**	**3 49%**
EQUITY/HIGH VOLATILITY								
U.S. equity								
AFLAC INC Financials	AFL	80 000	53.1200	4,249.60	3,888 80	360 80	112.00	2 64%

SK

• *Details of assets in your account (continued)*

DESCRIPTION OF ASSET		QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	- FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
ALLIANCE DATA SYSTEMS CORP Information technology	ADS	110 000	144.7600	15,923.60	12,437.19	3,486.41	0 00	0.00%
AMDOCS LTD ORD Information technology	DOX	100.000	33 9900	3,399 00	3,263 71	135.29	52.00	[illegible]53%
AMGEN INC Health care	AMGN	130.000	86.2000	11,206 00	7,332.50	3,873 50	244 40	2 18%
APPLE INC Information technology	AAPL	35.000	532 1730	18,626.06	5,425 12	13,200.94	371 00	1.99%
ATHENAHEALTH INC Health care	ATHN	90 000	73.2900	6,596 10	4,823.97	1,772 13	0 00	0.00%
BIOGEN IDEC INC Health care	BIIB	100.000	146 3700	14,637.00	7,119 79	7,517.21	0 00	0.00%
CAPITAL ONE FINANCIAL CORP Financials	COF	120.000	57.9300	6,951 60	6,187 09	764 51	24 00	0.34%
CF INDUSTRIES HOLDINGS INC Materials	CF	20.000	203 1600	4,063.20	2,623 59	1,439 61	32.00	0 79%
CHEVRON CORPORATION Energy	CVX	70.000	108.1400	7,569.80	7,760 20	-190 40	252 00	[illegible]33%
CISCO SYSTEMS INC Information technology	CSCO	425.000	19 6494	8,351.00	8,631.71	-280 71	238.00	2.85%
COCA COLA CO Consumer staples	KO	200 000	36.2500	7,250.00	6,835 18	414.82	204 00	2 81%
COLGATE PALMOLIVE CO Consumer staples	CL	50 000	104 5400	5,227.00	5,195 99	31 01	124.00	2.37%

SK

• *Details of assets in your account (continued)*

DESCRIPTION OF ASSET		QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	- FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
COMCAST CORP-CL A CL A COMMON STOCK Consumer discretionary	CMCSA	270.000	37.3600	10,087.20	8,205.27	1,881.93	175 50	1.74%
CONCUR TECHNOLOGIES INC Information technology	CNQR	150.000	67 5200	10,128 00	9,921 85	206 15	0 00	0.00%
COSTCO WHOLESALE CORP NEW Consumer staples	COST	100.000	98 7300	9,873 00	6,512.45	3,360.55	110 00	1.11%
CVS CAREMARK CORP Consumer staples	CVS	70 000	48.3500	3,384.50	3,147.19	237.31	63.00	1 86%
DANAHER CORP Industrials	DHR	120 000	55.9000	6,708 00	4,454 66	2,253.34	12 00	0.18%
DIAGEO PLC AMERICAN DEPOSITORY RECEIPT Consumer staples	DEO	30 000	116 5800	3,497.40	3,527.93	-30.53	83.22	2 38%
EMC CORP MASS Information technology	EMC	210.000	25.3000	5,313.00	4,341.75	971 25	0 00	0.00%
EQUIFAX INC Industrials	EFX	60.000	54 1200	3,247.20	2,980 19	267 01	43.20	1.33%
EXPEDIA INC Consumer discretionary	EXPE	160 000	61.4400	9,830 40	8,786.98	1,043.42	83 20	0.85%
EXXON MOBIL CORPORATION Energy	XOM	195.000	86.5500	16,877.25	15,572.79	1,304.46	444.60	2 63%
FOREST LABS INC Health care	FRX	300.000	35 3200	10,596.00	10,054 99	541.01	0 00	0.00%
GENERAL ELECTRIC CORP Industrials	GE	425.000	20.9900	8,920.75	6,494.08	2,426 67	323 00	3.62%

SK

• *Details of assets in your account (continued)*

DESCRIPTION OF ASSET		QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	- FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
GOOGLE INC-CL A COMMON STOCK CL A Information technology	GOOG	22 000	707.3800	15,562 36	11,156.97	4,405.39	0.00	0 00%
HOLLYFRONTIER CORPORATION Energy	HFC	110.000	46 5500	5,120.50	4,243.79	876.71	88.00	[illegible]2%
IHS INC-CLASS A Industrials	IHS	70.000	96.0000	6,720.00	5,995.99	724.01	0.00	0 00%
INTUITIVE SURGICAL INC COMMON STOCK NEW Health care	ISRG	20 000	490.3700	9,807.40	10,029 80	-222 40	0.00	0.00%
JOHNSON & JOHNSON Health care	JNJ	120 000	70.1000	8,412 00	7,607 06	804 94	292 80	3.48%
KLA-TENCOR CORP Information technology	KLAC	60 000	47.7600	2,865.60	2,889 59	-23 99	96.00	3.35%
LILLY ELI & CO Health care	LLY	80 000	49.3200	3,945 60	3,352.79	592 81	156.80	3.97%
MICROSOFT CORP Information technology	MSFT	380.000	26.7097	10,149.69	9,658 22	491 47	349.60	[illegible]4%
MOLSON COORS BREWING CO-B Consumer staples	TAP	70 000	42 7900	2,995 30	3,103 79	-108.49	89 60	2 99%
NETAPP INC Information technology	NTAP	90.000	33 5500	3,019 50	2,504 66	514 84	0.00	0.00%
OCCIDENTAL PETE CORP Energy	OXY	55 000	76.6100	4,213.55	4,619.14	-405 59	118 80	2.82%
ORACLE CORPORATION Information technology	ORCL	210 000	33.3200	6,997.20	4,518.18	2,479.02	50.40	0 72%

SK

• *Details of assets in your account (continued)*

DESCRIPTION OF ASSET		QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	- FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
PERRIGO CO Health care	PRGO	65.000	104.0300	6,761.95	2,536 50	4,225 45	23.40	0 35%
PHILIP MORRIS INTERNATIONAL Consumer staples	PM	140 000	83.6400	11,709.60	11,861.59	-151 99	476 00	4.06%
PRICELINE COM INC Consumer discretionary	PCLN	10.000	620.3900	6,203.90	1,439.41	4,764.49	0.00	C [illegible]%
PROCTER & GAMBLE CO Consumer staples	PG	30.000	67 8900	2,036.70	1,955 10	81 60	67 44	3 31%
PRUDENTIAL FINL INC Financials	PRU	55 000	53.3300	2,933 15	2,879.67	53 48	88.00	3.00%
PULTE HOMES INC Consumer discretionary	PHM	190.000	18 1600	3,450.40	3,209.08	241.32	0 00	0 00%
QUALCOMM INC Information technology	QCOM	70.000	61 8596	4,330 17	3,749 19	580.98	70.00	1.62%
ROPER INDS INC NEW Industrials	ROP	40.000	111 4800	4,459.20	4,334 80	124.40	26 40	0 59%
SCRIPPS NETWORKS Consumer discretionary	SNI	150.000	57 9200	8,688 00	7,942.26	745 74	72 00	0 83%
TRANSDIGM GROUP INC Industrials	TDG	30.000	136 3600	4,090.80	3,664 50	426.30	0.00	0 00%
UNION PAC CORP Industrials	UNP	40 000	125 7200	5,028 80	4,926.80	102.00	110.40	2 19%
UNITEDHEALTH GROUP INC Health care	UNH	110.000	54.2400	5,966 40	6,010 39	-43 99	93.50	1.57%

• *Details of assets in your account (continued)*

DESCRIPTION OF ASSET		QUANTITY X	CURRENT UNIT VALUE =	CURRENT TOTAL MARKET VALUE -	FEDERAL TAX COST =	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
US BANCORP NEW Financials	USB	390.000	31 9400	12,456.60	10,067.74	2,388.86	304.20	2.44%
VALSPAR CORP Materials	VAL	80 000	62 4000	4,992 00	3,977.51	1,014.49	73 60	[illegible]47%
VERISIGN INC Information technology	VRSN	170.000	38.8200	6,599.40	7,556.21	-956 81	0 00	0 00%
VERISK ANALYTICS INC CL A Industrials	VRSK	70 000	50 9700	3,567.90	3,371.19	196.71	0 00	0 00%
VERIZON COMMUNICATIONS Telecommunication services	VZ	180 000	43 2700	7,788 60	7,921.78	-133 18	370 80	4.76%
VISA INC Information technology	V	50 000	151 5800	7,579 00	7,319 00	260 00	66.00	0 87%
WATSON PHARMACEUTICALS INC Health care	WPI	40 000	86.0000	3,440.00	3,414 00	26.00	0.00	0 00%
WHOLE FOODS MKT INC Consumer staples	WFM	60 000	91 1600	5,469.60	3,876 24	1,593.36	48.00	0.88%
WYNDHAM WORLDWIDE CORP Consumer discretionary	WYN	100 000	53 2100	5,321.00	5,024.99	296 01	92 00	[illegible]73%
Total U.S. equity				**$415,194.53**	**$342,242.90**	**$72,951.63**	**$6,214.86**	**1.50%**
U.S. equity funds								
MIDCAP SPDR TRUST SERIES 1 Small cap diversified equity	MDY	200.000	185.7100	37,142.00	19,714.68	17,427 32	424 00	1 14%
Total U.S. equity funds				**$37,142.00**	**$19,714.68**	**$17,427.32**	**$424.00**	**1.14%**
International								

• *Details of assets in your account (continued)*

DESCRIPTION OF ASSET		QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	- FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
ALLIED WORLD ASSURANCE CO HOLDINGS Financials	AWH	60.000	78.8000	4,728 00	4,704.60	23.40	90.00	1.90%
HARBOR INTERNATIONAL FUND #11 Developed large cap	HAINX	700.000	62.1200	43,484 00	28,175 00	15,309.00	879 20	2.02%
ING INTL SMALL CAP I Developed small cap	NAPIX	700 000	38.3600	26,852 00	17,332 00	9,520.00	561.40	[illegible]%
VANGUARD MSCI EMERGING MARKETS ETF Emerging	VWO	1,060 000	44.5300	47,201.80	30,866.88	16,334 92	1,033.50	2.19%
Total International				**$122,265.80**	**$81,078.48**	**$41,187.32**	**$2,564.10**	**2.10%**
Commodities								
PIMCO COMMODITY REALRETURN STRATEGY FUND Commodities	PCRIX	6,000 000	6.6400	39,840 00	43,380.00	-3,540 00	1,056 00	2.65%
Total Commodities				**$39,840.00**	**$43,380.00**	**-$3,540.00**	**$1,056.00**	**2.65%**
TOTAL EQUITY/HIGH VOLATILITY				**$614,442.33**	**$486,416.06**	**$128,026.27**	**$10,258.96**	**1.67%**
TOTAL ASSETS IN YOUR ACCOUNT				**$1,132,249.84**	**$947,750.19**	**$184,499.65**	**$26,622.17**	**2.35%**

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