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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012
Open to Public Inspection

For calendar year 2012, or tax year beginning 01-01-2012 , and ending 12-31-2012





Name of foundation THE FREDRIC AND LUNDY REYNOLDS FAMILY FOUNDATION		A Employer identification number 34-1953315	
Number and street (or P O box number if mail is not delivered to street address) CHAGRIN CORPORATE CENTER 20820 CHAGRIN BLVD No 300		B Telephone number (see instructions) (216) 491-3990	
City or town, state, and ZIP code SHAKER HTS, OH 44122		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <div><input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change</div>		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 1,033,887		J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) <u> </u> (Part I, column (d) must be on cash basis.)	

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	8	8		
	4 Dividends and interest from securities.	25,149	25,149		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	48,212			
	b Gross sales price for all assets on line 6a <u>491,074</u>				
	7 Capital gain net income (from Part IV , line 2)		48,212		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	73,369	73,369		
	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)	7,331	7,331		0
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	402	402		0
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	493	493		0
	24 Total operating and administrative expenses. Add lines 13 through 23	8,226	8,226		0
	25 Contributions, gifts, grants paid	207,250			207,250
	26 Total expenses and disbursements. Add lines 24 and 25	215,476	8,226		207,250
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-142,107			
	b Net investment income (if negative, enter -0-)		65,143		
	c Adjusted net income (if negative, enter -0-)				

Part II


Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

Assets	1	Cash—non-interest-bearing	57,897	854	854	
	2	Savings and temporary cash investments	41,413	35,472	35,472	
	3	Accounts receivable ▶ <u>780</u>				
		Less allowance for doubtful accounts ▶ _____	780	780	780	
	4	Pledges receivable ▶ _____				
		Less allowance for doubtful accounts ▶ _____				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)				
	7	Other notes and loans receivable (attach schedule) ▶ _____				
		Less allowance for doubtful accounts ▶ _____				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments—U S and state government obligations (attach schedule)	14,252	 58,226	58,082	
	b	Investments—corporate stock (attach schedule)	680,804	 556,836	638,126	
	c	Investments—corporate bonds (attach schedule).	279,441	 236,908	244,221	
	Liabilities	11	Investments—land, buildings, and equipment basis ▶ _____			
		Less accumulated depreciation (attach schedule) ▶ _____				
12		Investments—mortgage loans	0	43,649	44,380	
13		Investments—other (attach schedule)	10,526	 10,489	11,972	
14		Land, buildings, and equipment basis ▶ _____				
		Less accumulated depreciation (attach schedule) ▶ _____				
15		Other assets (describe ▶ _____)				
16		Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	1,085,113	943,214	1,033,887	
Net Assets or Fund Balances		17	Accounts payable and accrued expenses			
		18	Grants payable			
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶ _____)				
	23	Total liabilities (add lines 17 through 22)	0	0		
		Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
		Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	1,439,481	1,439,481		
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0		
	29	Retained earnings, accumulated income, endowment, or other funds	-354,368	-496,267		
	30	Total net assets or fund balances (see page 17 of the instructions)	1,085,113	943,214		
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	1,085,113	943,214		

Part III

Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	1,085,113
2	Enter amount from Part I, line 27a	2	-142,107
3	Other increases not included in line 2 (itemize) ▶  _____	3	208
4	Add lines 1, 2, and 3	4	943,214
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	943,214

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	SCHWAB 5787			
b	SCHWAB 5787			
c	Capital Gains Dividends	P		
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 266,204		228,996	37,208
b 221,317		213,866	7,451
c 3,553			3,553
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			37,208
b			7,451
c			3,553
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	48,212
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☒ Yes ☐ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011			
2010			
2009			
2008			
2007			

2 Total of line 1, column (d).	2	
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5.	4	
5 Multiply line 4 by line 3.	5	
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	
7 Add lines 5 and 6.	7	
8 Enter qualifying distributions from Part XII, line 4.	8	

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1			
		Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	1,303
c		All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3		Add lines 1 and 2.		3	1,303
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5		Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	1,303
6		Credits/Payments			
a		2012 estimated tax payments and 2011 overpayment credited to 2012	6a	3,336	
b		Exempt foreign organizations—tax withheld at source	6b		
c		Tax paid with application for extension of time to file (Form 8868)	6c		
d		Backup withholding erroneously withheld	6d		
7		Total credits and payments Add lines 6a through 6d.		7	3,336
8		Enter any penalty for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached		8	
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	2,033
11		Enter the amount of line 10 to be Credited to 2013 estimated tax 2,033	Refunded	11	0

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?			No
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file Form 1120-POL for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ _____ 0 (2) On foundation managers \$ _____ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) OH _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13		No
Website address ▶N/A				
14	The books are in care of ▶PARAGON ADVISORS Telephone no ▶(216) 491-3990 Located at ▶20820 CHAGRIN BOULEVARD SUITE 300 Shaker Heights OH ZIP +4 ▶44122			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ▶	15		
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. ▶ <input type="checkbox"/>	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.</i>).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(3) Provide a grant to an individual for travel, study, or other similar purposes?		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b		
Organizations relying on a current notice regarding disaster assistance check here.				
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?		<input type="checkbox"/> Yes	<input type="checkbox"/> No	
If "Yes," attach the statement required by Regulations section 53.4945–5(d).				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		No
If "Yes" to 6b, file Form 8870.				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
FREDRIC G REYNOLDS	TRUSTEE	0	0	0
630 Stonehouse Lane Montecito,CA 93108	0 00			
LUNDY E REYNOLDS	TRUSTEE	0	0	0
630 Stonehouse Lane Montecito,CA 93108	0 00			
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3.	0

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	989,716
b	Average of monthly cash balances.	1b	98,055
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	1,087,771
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	1,087,771
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	16,317
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,071,454
6	Minimum investment return. Enter 5% of line 5.	6	53,573

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	53,573
2a	Tax on investment income for 2012 from Part VI, line 5.	2a	1,303
b	Income tax for 2012 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	1,303
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	52,270
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	52,270
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	52,270


Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	207,250
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	207,250
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	207,250
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				52,270
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only.			8,570	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2012				
a From 2007.				
b From 2008.				
c From 2009.				
d From 2010.				
e From 2011.				
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ 207,250				
a Applied to 2011, but not more than line 2a			8,570	
b Applied to undistributed income of prior years (Election required—see instructions).		 0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2012 distributable amount.				52,270
e Remaining amount distributed out of corpus	146,410			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	146,410			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).	0			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions). . . .	0			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a.	146,410			
10 Analysis of line 9				
a Excess from 2008. . . .				
b Excess from 2009. . . .				
c Excess from 2010. . . .				
d Excess from 2011. . . .				
e Excess from 2012. . . .	146,410			

Part XIV

Private Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2012	(b) 2011	(c) 2010	(d) 2009	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

FREDRIC G REYNOLDS

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	207,250
b Approved for future payment				
Total			3b	0

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments.					
3 Interest on savings and temporary cash investments			14	8	
4 Dividends and interest from securities.			14	25,149	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	48,212	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. . .					
11 Other revenue a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e).		0		73,369	0
13 Total. Add line 12, columns (b), (d), and (e).			13		73,369

[illegible]

Part XVII

L

(a) Line No

2a

b

(a) Name of organization

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Signature of officer or trustee _____ Date 2013-05-09 Title _____

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No


**Paid
Preparer
Use
Only**

Print/Type preparator's name

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
JEWISH FEDERATION 524 CHAPALA SANTA BARBARA,CA 93101	N/A	PUBLIC CHARITY	BASIC OPERATING EXPENSE	1,000
KLAMATH-SISKIYOU WILDLANDS CENTER PO BOX 102 ASHLAND,OR 97520	N/A	PUBLIC CHARITY	BASIC OPERATING EXPENSE	3,000
SANSUM CLINIC 470 SOUTH PATTERSON AVE SANTA BARBARA,CA 93102	N/A	PUBLIC CHARITY	BASIC OPERATING EXPENSE	15,000
SANTA BARBARA FOOD BANK 4554 HOLLISTER AVENUE SANTA BARBARA,CA 93110	N/A	PUBLIC CHARITY	BASIC OPERATING EXPENSE	20,000
RAPE CRISIS CENTER 433 E CANON PERDIDO ST SANTA BARBARA,CA 93101	N/A	PUBLIC CHARITY	BASIC OPERATING EXPENSE	5,000
THE SCRIPPS RESEARCH INSTITUTE 10550 NORTH TORREY PINES ROAD LA JOLLA,CA 92037	N/A	PUBLIC CHARITY	BASIC OPERATING EXPENSE	2,500
US HOLOCAUST MEMORIAL MUSEUM 100 RAOUL WALLENBERG PLACE SW WASHINGTON,DC 20024	N/A	PUBLIC CHARITY	BASIC OPERATING EXPENSE	7,000
UNIVERSITY OF MIAMI SCHOOL OF NURSING PO BOX 248153 CORAL GABLES,FL 33124	N/A	PUBLIC CHARITY	BASIC OPERATING EXPENSE	10,000
PLANNED PARENTHOOD 518 GARDEN STREET SANTA BARBARA,CA 93101	N/A	PUBLIC CHARITY	BASIC OPERATING EXPENSE	25,000
SISKIYOU SCHOOL 631 CLAY STREET ASHLAND,OR 97520	N/A	PUBLIC CHARITY	BASIC OPERATING EXPENSE	5,000
B'NAI B'RITH 1000 SAN ANTONIO CREEK ROAD SANTA BARBARA,CA 93111	N/A	PUBLIC CHARITY	BASIC OPERATING EXPENSE	97,000
SANTA BARBARA MONTESSORIS 7421 MIRANO DRIVE GOLETA,CA 93117	N/A	PUBLIC CHARITY	BASIC OPERATING EXPENSE	5,000
TREASURER STATE OF OHIO 30 E BROAD STREET - 9TH FLOOR COLUMBUS,OH 43215	N/A	PUBLIC CHARITY	BASIC OPERATING EXPENSE	200
FOOD FROM THE HEART PO BOX 3908 SANTA BARBARA,CA 93130	N/A	PUBLIC CHARITY	BASIC OPERATING EXPENSE	1,000
YAD VASHEM POB 3477 JERUSALEM,CA 91034	N/A	PUBLIC CHARITY	BASIC OPERATING EXPENSE	1,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
KCRW 1900 PICO BOULEVARD SANTA MONICA,CA 90405	N/A	PUBLIC CHARITY	BASIC OPERATING EXPENSE	100
MERRAG 595 SAN YSIDRO ROAD SANTA BARBARA,CA 93108	N/A	PUBLIC CHARITY	BASIC OPERATING EXPENSE	200
MONTECITO ASSO 1469 E VALLEY ROAD SANTA BARBARA,CA 93108	N/A	PUBLIC CHARITY	BASIC OPERATING EXPENSE	250
AMERICAN RED CROSS OF SANTA BARBARA 2707 STATE STREET SANTA BARBARA,CA 93105	N/A	PUBLIC CHARITY	BASIC OPERATING EXPENSE	4,000
WICHITA STATE UNIVERSITY FOUNDATION 1845 FAIRMOUNT STREET WICHITA,KS 67260	N/A	PUBLIC CHARITY	BASIC OPERATING EXPENSE	5,000
Total  3a				207,250

TY 2012 Applied to Prior Year Election

Name: THE FREDRIC AND LUNDY REYNOLDS FAMILY FOUNDATION

EIN: 34-1953315

Election: Fredric Reynolds on behalf of the Fredric and Lundy Reynolds Family Foundation hereby elects, in accordance with Regulation 53.4942(a)-3(d)(2), to have qualifying distributions made during 2010 be applied towards 2009 undistributed net income with any remaining qualifying distributions applied against undistributed income for years prior to 2009.

**TY 2012 Investments Corporate
Bonds Schedule****Name:** THE FREDRIC AND LUNDY REYNOLDS FAMILY FOUNDATION**EIN:** 34-1953315

Name of Bond	End of Year Book Value	End of Year Fair Market Value
SCHWAB BOND FUNDS--M&N	111,318	113,836
SCHWAB CORP BONDS	125,590	130,385

**TY 2012 Investments Corporate
Stock Schedule****Name:** THE FREDRIC AND LUNDY REYNOLDS FAMILY FOUNDATION**EIN:** 34-1953315

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SCHWAB EQUITIES	385,231	446,367
SCHWAB EQUITY FUNDS--M&N	171,605	191,759

TY 2012 Investments Government
Obligations Schedule

Name: THE FREDRIC AND LUNDY REYNOLDS FAMILY FOUNDATION
EIN: 34-1953315

US Government Securities - End of Year Book Value:	58,226
US Government Securities - End of Year Fair Market Value:	58,082
State & Local Government Securities - End of Year Book Value:	0
State & Local Government Securities - End of Year Fair Market Value:	0

TY 2012 Investments - Other Schedule

Name: THE FREDRIC AND LUNDY REYNOLDS FAMILY FOUNDATION

EIN: 34-1953315

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
SCHWAB OTHER ASSETS	AT COST	10,489	11,972

TY 2012 Other Expenses Schedule

Name: THE FREDRIC AND LUNDY REYNOLDS FAMILY FOUNDATION

EIN: 34-1953315

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MISCELLANEOUS EXPENSES	293	293		0
OHIO FEES	200	200		0

TY 2012 Other Increases Schedule

Name: THE FREDRIC AND LUNDY REYNOLDS FAMILY FOUNDATION

EIN: 34-1953315

Description	Amount
Book/Tax Differences	208

TY 2012 Other Professional Fees Schedule

Name: THE FREDRIC AND LUNDY REYNOLDS FAMILY FOUNDATION

EIN: 34-1953315

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MGMT FEES	7,331	7,331		0

TY 2012 Taxes Schedule

Name: THE FREDRIC AND LUNDY REYNOLDS FAMILY FOUNDATION

EIN: 34-1953315

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX	402	402		0

3478-5787 THE FREDRIC AND LUNDY REYNOLDS

Charity Nonprof MGR: MANNING & NAPIER ADVISORS

630 STONEHOUSE LN

Total Known Realized Gain/(Loss) \$/%	Total Known Short-Term Gain/(Loss)	Total Known Long-Term Gain/(Loss)
\$44,658.47/10.08%	\$7,450.32	\$37,208.15
Total Known Proceeds	Total Known Cost Basis	
\$487,520.87	\$442,862.40	

Cost Basis - Realized Gain/(Loss) - Filtered by: Previous Year

	Symbol	Name	Sold/ Closed	Acquired/ Opened	Quantity Sold	Total Proceeds	Cost Basis	Short Term	Long Term	Total	Total %	Notes ¹
S	31344AA2	FED HM LN MTG 6.75%31BONDS DUE 03/15/31	01/09/2012	10/24/2011	2,000.00000	\$2,954.21	\$2,890.68	\$63.53		\$63.53	2.20%	
S	31344AK1	FED HM LN MTG 6.25%32BONDS DUE 07/15/32	01/09/2012	10/24/2011	2,000.00000	\$2,851.30	\$2,780.70	\$70.60		\$70.60	2.54%	
S	31359MEU3	FED NATL MTG 6.25%29BONDS DUE 05/15/29	01/09/2012	10/24/2011	2,000.00000	\$2,762.82	\$2,719.46	\$43.36		\$43.36	1.59%	
S	31359MFP3	FED NATL MTG 7.25%30BONDS DUE 05/15/30	01/09/2012	10/24/2011	2,000.00000	\$3,056.16	\$3,011.38	\$44.78		\$44.78	1.49%	
S	31359MGK3	FED NATL MTG 6.625%30BONDS DUE 11/15/30	01/09/2012	10/24/2011	2,000.00000	\$2,899.04	\$2,849.29	\$49.75		\$49.75	1.75%	
S	91282BMS6	US TREAS NT 3%01/17UST NOTE DUE 02/28/17	03/09/2012	02/27/2012	54,000.00000	\$59,427.73	\$59,720.97	(\$293.24)		(\$293.24)	(0.49%)	
S	91282BNR7	US TREAS NT 2.375%17UST NOTE DUE 07/31/17	10/25/2012	09/11/2012	22,000.00000	\$23,637.24	\$23,840.78	(\$203.54)		(\$203.54)	(0.85%)	
L	949746NY3	WELLS FARGO 4.375%13NOTES DUE 01/31/13	01/04/2012	06/18/2008	38,000.00000	\$39,194.72	\$36,710.85		\$2,483.87	\$2,483.87	6.77%	
L	ADSK	AUTODESK INC	01/31/2012	06/07/2010	160.00000	\$5,752.43	\$4,275.77		\$1,476.66	\$1,476.66	34.54%	
L	ADSK	AUTODESK INC	12/04/2012	06/07/2010	100.00000	\$3,277.54	\$2,672.36		\$605.18	\$605.18	22.65%	
S	AMADF	AMADEUS IT HOLDING ORD F	11/08/2012	11/15/2011	300.00000	\$7,158.95	\$5,223.73	\$1,935.22		\$1,935.22	37.05%	
S	AMCX	AMC NETWORKS INC CLA	07/06/2012	07/11/2011	50.00000	\$1,901.52	\$1,866.74	\$34.78		\$34.78	1.86%	
L	AMCX	AMC NETWORKS INC CLA	07/23/2012	View Lots	60.00000	\$2,541.82	\$2,286.86		\$254.96	\$254.96	11.15%	

Results: 81

Symbol	Name	Sold/ Closed	Acquired/ Opened	Quantity Sold	Total Proceeds	Cost Basis	Short Term	Long Term	Total	Total %	Notes ¹
S	AMCX	06/25/2012	07/11/2011	90.00000	\$3,287.45	\$3,360.13	(\$72.68)		(\$72.68)	(2.16%)	
S	AMZN	05/02/2012	08/17/2011	20.00000	\$4,590.95	\$3,952.45	\$638.50		\$638.50	16.15%	
S	AMZN	07/18/2012	08/17/2011	10.00000	\$2,165.91	\$1,976.22	\$189.69		\$189.69	9.60%	
L	AXP	03/30/2012	03/07/2011	30.00000	\$1,725.92	\$1,330.24		\$395.68	\$395.68	29.75%	
L	BK	01/31/2012	View Lots	450.00000	\$9,048.21	\$12,963.70	(\$2,412.71)	(\$1,502.78)	(\$3,915.49)	(30.20%)	
L	BSX	01/12/2012	View Lots	710.00000	\$3,899.09	\$4,685.61		(\$786.52)	(\$786.52)	(16.79%)	
L	BSX	02/17/2012	View Lots	1,130.00000	\$6,826.87	\$7,717.07	(\$895.18)	\$4.98	(\$690.20)	(11.54%)	
L	CSCO	05/17/2012	View Lots	550.00000	\$9,164.62	\$10,739.44	\$409.63	(\$1,984.45)	(\$1,574.82)	(14.66%)	
L	CSCO	02/10/2012	View Lots	540.00000	\$10,668.21	\$9,963.00		\$705.21	\$705.21	7.08%	
L	DIS	01/18/2012	View Lots	370.00000	\$14,407.67	\$12,163.40	\$293.14	\$1,951.13	\$2,244.27	18.45%	
L	EXFSX	08/02/2012	05/04/2010	555.00000	\$3,152.40	\$3,185.70		(\$33.30)	(\$33.30)	(1.05%)	
L	EXITX	08/02/2012	05/04/2010	492.00000	\$3,739.20	\$3,950.76		(\$211.56)	(\$211.56)	(5.35%)	
L	EXLSX	08/02/2012	05/04/2010	486.00000	\$5,938.92	\$5,506.38		\$432.54	\$432.54	7.86%	
L	EXTCX	08/02/2012	05/04/2010	252.00000	\$2,716.56	\$2,517.48		\$199.08	\$199.08	7.91%	
L	FDX	07/20/2012	View Lots	90.00000	\$8,247.57	\$7,240.72	\$846.49	\$160.36	\$1,006.85	13.91%	
L	FLS	08/12/2012	View Lots	80.00000	\$10,571.15	\$8,162.58		\$2,408.57	\$2,408.57	29.51%	
L	FLS	01/31/2012	05/20/2011	40.00000	\$4,401.65	\$4,787.61	(\$385.96)		(\$385.96)	(8.06%)	
L	GLW	08/10/2012	08/19/2011	760.00000	\$8,745.06	\$11,025.07	(\$2,280.01)		(\$2,280.01)	(20.66%)	
L	GOOG	10/25/2012	05/04/2010	30.00000	\$20,286.62	\$15,188.08		\$5,098.54	\$5,098.54	33.57%	
L	KO	06/15/2012	06/02/2010	120.00000	\$9,116.24	\$6,187.41		\$2,928.83	\$2,928.83	47.34%	
L	KR	06/14/2012	07/02/2010	400.00000	\$8,803.93	\$7,908.95		\$894.98	\$894.98	11.32%	
L	LBTYA	03/01/2012	12/01/2010	70.00000	\$3,553.44	\$2,519.19		\$1,034.25	\$1,034.25	41.05%	
L	LBTYA	03/28/2012	12/01/2010	70.00000	\$3,537.34	\$2,519.18		\$1,018.16	\$1,018.16	40.42%	
L	MA	12/18/2012	05/23/2012	20.00000	\$9,847.95	\$8,194.54	\$1,653.41		\$1,653.41	20.18%	

Symbol	Name	Sold/ Closed	Acquired/ Opened	Quantity Sold	Total Proceeds	Cost Basis	Short Term	Long Term	Total	Total %	Notes¹
MA	MASTERCARD INC	05/08/2012	View Lots	30 00000	\$12,839.71	\$6,713.78		\$6,125.93	\$6,125.93	91.24%	
MCO	MOODYS CORP	09/13/2012	12/15/2011	60 00000	\$2,604.51	\$1,965.56	\$638.95		\$638.95	32.51%	
MDRX	ALLSCRIPTS HEALTHCARE	10/09/2012	04/10/2012	410 00000	\$5,590.00	\$6,476.74	(\$886.74)		(\$886.74)	(13.69%)	
MNHYX	MANNING & NAPIER HIGH YIELD BD SERIES	08/06/2012	05/06/2010	540 00000	\$5,918.40	\$5,821.20		\$97.20	\$97.20	1.67%	
MNREX	MANNING & NAPIER REAL ESTATE SERIES	08/02/2012	05/04/2010	544 00000	\$8,087.52	\$6,631.75		\$1,435.77	\$1,435.77	21.65%	
MNSMX	MANNING & NAPIER SMALL CAP SERIES	08/02/2012	05/04/2010	809 00000	\$7,175.83	\$6,374.92		\$800.91	\$800.91	12.56%	
MON	MONSANTO CO NEW DEL	08/02/2012	View Lots	90 00000	\$7,850.94	\$4,729.15		\$3,121.79	\$3,121.79	66.01%	
NSC	NORFOLK SOUTHERN CORP	01/27/2012	02/23/2011	60 00000	\$4,432.49	\$3,784.37	\$648.12		\$648.12	17.13%	
NWSA	NEWS CORP LTD CL A CLASS A	07/16/2012	07/27/2011	240 00000	\$5,195.83	\$3,842.43	\$1,353.40		\$1,353.40	35.22%	
QCOM	QUALCOMM INC	01/31/2012	01/05/2007	110 00000	\$6,473.79	\$4,242.70		\$2,231.09	\$2,231.09	52.59%	
QGEN	QIAGEN N V F	04/27/2012	03/10/2011	70 00000	\$1,127.99	\$1,425.85		(\$297.86)	(\$297.86)	(20.89%)	
QGEN	QIAGEN N V F	12/19/2012	View Lots	310 00000	\$5,746.32	\$5,708.61		\$37.71	\$37.71	0.66%	
SCHW	THE CHARLES SCHWAB CORP	04/09/2012	View Lots	1,180 00000	\$16,395.04	\$15,405.90	\$1,729.91	(\$740.77)	\$989.14	6.42%	
SMAWF	SIEMENS A G NEW ORD F REGISTERED SHARES	08/09/2012	06/26/2012	50 00000	\$4,547.21	\$3,933.81	\$613.40		\$613.40	15.59%	
SMAWF	SIEMENS A G NEW ORD F REGISTERED SHARES	08/22/2012	06/26/2012	50 00000	\$4,637.50	\$3,933.80	\$703.70		\$703.70	17.89%	
STT	STATE STREET CORP	01/31/2012	01/24/2011	260 00000	\$10,037.55	\$12,467.21		(\$2,429.66)	(\$2,429.66)	(19.49%)	
SYENF	SYNGENTA AG TECH ORD F	08/03/2012	06/28/2011	10 00000	\$3,431.05	\$3,288.84		\$142.21	\$142.21	4.32%	
TWX	TIME WARNER INC NEW	08/02/2012	06/29/2010	200 00000	\$8,175.19	\$5,947.11		\$2,228.08	\$2,228.08	37.46%	
TWX	TIME WARNER INC NEW	08/30/2012	06/29/2010	130 00000	\$5,383.89	\$3,865.63		\$1,518.26	\$1,518.26	39.28%	
UL	UNILEVER PLC ADR NEW SPONSORED ADR 1 ADR REP 1 ORD	09/05/2012	05/06/2010	170 00000	\$6,125.26	\$4,918.16		\$1,207.10	\$1,207.10	24.54%	
UPS	UNITED PARCEL SERVICE B CLASS B	04/16/2012	08/23/2011	90 00000	\$7,126.50	\$5,640.37	\$1,486.13		\$1,486.13	26.35%	
UPS	UNITED PARCEL SERVICE B CLASS B	03/16/2012	08/23/2011	70 00000	\$5,513.06	\$4,386.95	\$1,126.11		\$1,126.11	25.67%	

Symbol	Name	Sold/ Closed	Acquired/ Opened	Quantity Sold	Total Proceeds	Cost Basis	Short Term	Long Term	Total	Total %	Notes ¹
<input checked="" type="checkbox"/> V	VISA INC CL A CLASS A	05/08/2012	View Lots	100.00000	\$11,789.01	\$7,592.99		\$4,196.02	\$4,196.02	55.26%	
WAT	WATERS CORP	08/15/2012	12/12/2011	70.00000	\$5,477.87	\$5,170.09	\$307.78		\$307.78	5.95%	

Disclosures

The Realized Gain/Loss page provides summary information of closed transactions. Not all closed transactions appear on this page. We calculate cost basis using data that you or your client have provided to us or that we have obtained from market data supplied by third party sources. We may also display cost basis data that we have not calculated but that has been supplied by you or your client, a prior custodian or another third party source. Because the accuracy of cost basis data depends upon these third party inputs, we are not able to guarantee the availability, accuracy or completeness of such cost basis data.

Only covered transactions will be reported to the IRS. Schwab does not provide tax or legal advice. Please have your clients consult with a tax advisor regarding their specific tax situation.

Footnotes apply where indicated on the data view.

Missing Indicates cost basis has not been provided for this security.

Not Tracked: Indicates original cost basis is not available.

1. a Data for this holding has been edited or provided by the Advisor.
a Data for this holding has been edited or provided by the end client.
t Data for this holding has been edited or provided by a third party.
w Cost Basis adjusted due to a wash sale.
- 3 Cost Basis for fixed income securities is now adjusted to reflect amortized/accreted values. Click the acquired/opened date to view original cost in the Details page. Note: Some securities, including variable rate/term instruments and mortgage backed securities, may only reflect original cost even when the amortization/accretion preference is on.
- 8 Total excludes missing cost basis information, or values not tracked by Schwab.

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