



See a Social Security Number? Say Something!  
Report Privacy Problems to <https://public.resource.org/privacy>  
Or call the IRS Identity Theft Hotline at 1-800-908-4490



**Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation**

**2012**

Department of the Treasury  
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year **2012**, or tax year beginning , **2012**, and ending ,

**DBJ FOUNDATION**  
1301 EAST 9TH STREET #3500  
CLEVELAND, OH 44114

**A** Employer identification number  
34-1661482

**B** Telephone number (see the instructions)  
(216) 928-2981

**C** If exemption application is pending, check here

**D** 1 Foreign organizations, check here   
2 Foreign organizations meeting the 85% test, check here and attach computation

**E** If private foundation status was terminated under section 507(b)(1)(A), check here

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

**G** Check all that apply

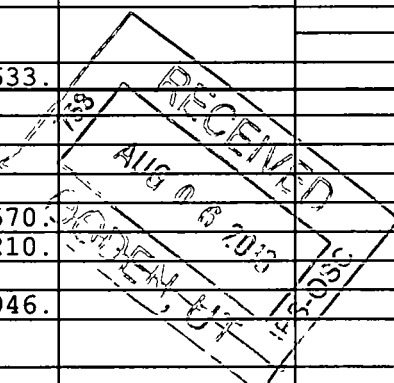
<input type="checkbox"/> Initial return	<input type="checkbox"/> Initial return of a former public charity
<input type="checkbox"/> Final return	<input type="checkbox"/> Amended return
<input type="checkbox"/> Address change	<input type="checkbox"/> Name change

**H** Check type of organization  Section 501(c)(3) exempt private foundation  
 Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundation

**I** Fair market value of all assets at end of year (from Part II, column (c), line 16)  
\$ 22,617,522.

**J** Accounting method  Cash  Accrual  
 Other (specify) \_\_\_\_\_  
(Part I, column (d) must be on cash basis.)

<b>Part I Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>REVENUE</b>	<b>1</b> Contributions, gifts, grants, etc. received (att sch)	184,820.			
	<b>2</b> <input type="checkbox"/> If the foundn is not req to att Sch B				
	<b>3</b> Interest on savings and temporary cash investments	159.	159.	N/A	
	<b>4</b> Dividends and interest from securities	437,204.	437,204.		
	<b>5 a</b> Gross rents				
	<b>b</b> Net rental income or (loss)				
	<b>6 a</b> Net gain/(loss) from sale of assets not on line 10	283,270.			
	<b>b</b> Gross sales price for all assets on line 6a	6,343,636.			
	<b>7</b> Capital gain net income (from Part IV, line 2)		283,270.		
	<b>8</b> Net short-term capital gain				
	<b>9</b> Income modifications				
	<b>10 a</b> Gross sales less returns and allowances				
<b>b</b> Less Cost of goods sold					
<b>c</b> Gross profit/(loss) (att sch)					
<b>11</b> Other income (attach schedule)					
<b>12 Total.</b> Add lines 1 through 11	905,453.	720,633.			
<b>ADMINISTRATIVE EXPENSES</b>	<b>13</b> Compensation of officers, directors, trustees, etc	0.			
	<b>14</b> Other employee salaries and wages	24,600.			24,600.
	<b>15</b> Pension plans, employee benefits				
	<b>16 a</b> Legal fees (attach schedule) SEE ST 1	362.			362.
	<b>b</b> Accounting fees (attach sch) SEE ST 2	5,140.	2,570.		2,570.
	<b>c</b> Other prof fees (attach sch) SEE ST 3	72,210.	72,210.		
	<b>17</b> Interest				
	<b>18</b> Taxes (attach schedule)(see instrs) SEE STM 4	37,445.	946.		1,959.
	<b>19</b> Depreciation (attach sch) and depletion				
	<b>20</b> Occupancy				
	<b>21</b> Travel, conferences, and meetings				
	<b>22</b> Printing and publications				
	<b>23</b> Other expenses (attach schedule) SEE STATEMENT 5	64,612.	140.		64,407.
	<b>24 Total operating and administrative expenses.</b> Add lines 13 through 23	204,369.	75,866.		93,898.
	<b>25</b> Contributions, gifts, grants paid PART XV	1,303,545.			1,303,545.
<b>26 Total expenses and disbursements.</b> Add lines 24 and 25	1,507,914.	75,866.		1,397,443.	
<b>27 Subtract line 26 from line 12:</b>					
<b>a Excess of revenue over expenses and disbursements</b>	-602,461.				
<b>b Net investment income</b> (if negative, enter -0-)		644,767.			
<b>c Adjusted net income</b> (if negative, enter -0-)					



RECEIVED AUG 12 2013 OPERATIONS

4618

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1	Cash – non-interest-bearing	338,152.	167,796.	167,796.
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach sch) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	50,000.	50,000.	50,000.
	10a	Investments – US and state government obligations (attach schedule) STATEMENT 6	462,132.	479,156.	495,147.
	b	Investments – corporate stock (attach schedule) STATEMENT 7	9,473,328.	10,382,234.	11,088,717.
	c	Investments – corporate bonds (attach schedule) STATEMENT 8	11,978,968.	10,620,933.	10,815,862.
	11	Investments – land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶				
12	Investments – mortgage loans				
13	Investments – other (attach schedule)				
14	Land, buildings, and equipment basis ▶				
	Less accumulated depreciation (attach schedule) ▶				
15	Other assets (describe ▶)				
16	<b>Total assets</b> (to be completed by all filers – see the instructions Also, see page 1, item I)	22,302,580.	21,700,119.	22,617,522.	
LIABILITIES	17	Accounts payable and accrued expenses	2,738.	2,738.	
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, & other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	<b>Total liabilities</b> (add lines 17 through 22)	2,738.	2,738.	
NET ASSET BALANCES	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. <input checked="" type="checkbox"/>				
	24	Unrestricted	22,299,842.	21,697,381.	
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input type="checkbox"/>				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, building, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	<b>Total net assets or fund balances</b> (see instructions)	22,299,842.	21,697,381.	
	31	<b>Total liabilities and net assets/fund balances</b> (see instructions)	22,302,580.	21,700,119.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	22,299,842.
2	Enter amount from Part I, line 27a	2	-602,461.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	21,697,381.
5	Decreases not included in line 2 (itemize) ▶	5	
6	<b>Total net assets or fund balances at end of year</b> (line 4 minus line 5) – Part II, column (b), line 30	6	21,697,381.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a SEE STATEMENT 9			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) <span style="float:right">[ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 ]</span>	2	283,270.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 <span style="float:right">]</span>	3	64,167.

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No

If 'Yes,' the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2011	882,281.	22,623,261.	0.038999
2010	818,655.	21,409,603.	0.038238
2009	823,006.	19,324,368.	0.042589
2008	1,536,369.	25,437,221.	0.060398
2007	1,620,407.	30,003,121.	0.054008

2 Total of line 1, column (d)	2	0.234232
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.046846
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	22,507,722.
5 Multiply line 4 by line 3	5	1,054,397.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	6,448.
7 Add lines 5 and 6	7	1,060,845.
8 Enter qualifying distributions from Part XII, line 4	8	1,397,443.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)**

1 a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1. Date of ruling or determination letter. _____ (attach copy of letter if necessary – see instrs)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	6,448.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0.
3	Add lines 1 and 2	3	6,448.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-	5	6,448.
6	Credits/Payments		
a	2012 estimated tax pmnts and 2011 overpayment credited to 2012	6 a	22,800.
b	Exempt foreign organizations – tax withheld at source	6 b	
c	Tax paid with application for extension of time to file (Form 8868)	6 c	
d	Backup withholding erroneously withheld	6 d	
7	Total credits and payments Add lines 6a through 6d	7	22,800.
8	Enter any <b>penalty</b> for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	28.
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0.
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	16,324.
11	Enter the amount of line 10 to be: Credited to 2013 estimated tax <input type="checkbox"/> 16,324.   Refunded <input checked="" type="checkbox"/> 0.	11	0.

**Part VII-A Statements Regarding Activities**

	Yes	No
1 a		X
1 b		X
<i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		
1 c		X
d		
e		
2		X
3		X
4 a		X
4 b		N/A
5		X
6	X	
7	X	
8 a		
8 b	X	
9		X
10		X

BAA

Form 990-PF (2012)

**Part VII-A** Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)			X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)			X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <b>DBJFOUNDATION.ORG</b>	X		
14	The books are in care of <b>▶ AVERY &amp; GREIG, LLP</b> Telephone no. <b>▶ (310) 829-7654</b> Located at <b>▶ 2811 WILSHIRE BLVD #700 SANTA MONICA CA</b> ZIP + 4 <b>▶ 90403</b>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	N/A		
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If 'Yes,' enter the name of the foreign country ▶		Yes	No
				X

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

		Yes	No
1 a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1 b	N/A
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1 c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).		
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If 'Yes,' list the years <b>▶ 20 __ , 20 __ , 20 __ , 20 __</b>		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions)	2 b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <b>▶ 20 __ , 20 __ , 20 __ , 20 __</b>		
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If 'Yes,' did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)	3 b	N/A
4 a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4 b	X

BAA





**Part X** **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1 a	22,615,668.
b	Average of monthly cash balances	1 b	234,811.
c	Fair market value of all other assets (see instructions)	1 c	
d	<b>Total</b> (add lines 1a, b, and c)	1 d	22,850,479.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	22,850,479.
4	Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	342,757.
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4	5	22,507,722.
6	<b>Minimum investment return.</b> Enter 5% of line 5	6	1,125,386.

**Part XI** **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,125,386.
2a	Tax on investment income for 2012 from Part VI, line 5	2 a	6,448.
b	Income tax for 2012 (This does not include the tax from Part VI)	2 b	
c	Add lines 2a and 2b	2 c	6,448.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,118,938.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	1,118,938.
6	Deduction from distributable amount (see instructions)	6	
7	<b>Distributable amount</b> as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,118,938.

**Part XII** **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc – total from Part I, column (d), line 26	1 a	1,397,443.
b	Program-related investments – total from Part IX-B	1 b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3 a	
b	Cash distribution test (attach the required schedule)	3 b	
4	<b>Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	1,397,443.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	6,448.
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	6	1,390,995.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				1,118,938.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			139,334.	
b Total for prior years: 20 __, 20 __, 20 __		0.		
3 Excess distributions carryover, if any, to 2012.				
a From 2007				
b From 2008				
c From 2009				
d From 2010				
e From 2011				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ 1,397,443.				
a Applied to 2011, but not more than line 2a			139,334.	
b Applied to undistributed income of prior years (Election required – see instructions)		0.		
c Treated as distributions out of corpus (Election required – see instructions)	0.			
d Applied to 2012 distributable amount				1,118,938.
e Remaining amount distributed out of corpus	139,171.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a) )	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5	139,171.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount – see instructions		0.		
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount – see instructions			0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	139,171.			
10 Analysis of line 9				
a Excess from 2008				
b Excess from 2009				
c Excess from 2010				
d Excess from 2011				
e Excess from 2012	139,171.			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

**1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling ▶

**b** Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

	Tax year				(e) Total
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	
<b>2 a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
<b>b</b> 85% of line 2a					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon.					
<b>a</b> 'Assets' alternative test – enter					
<b>(1)</b> Value of all assets					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
<b>c</b> 'Support' alternative test – enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)**

**1 Information Regarding Foundation Managers:**  
**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )  
 DAVID H. JACOBS JR.

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest  
 NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**  
 Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

**a** The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

SEE STATEMENT 10

**b** The form in which applications should be submitted and information and materials they should include

SEE STATEMENT FOR LINE 2A

**c** Any submission deadlines

SEE STATEMENT FOR LINE 2A

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE STATEMENT FOR LINE 2A

**Part XV** Supplementary Information (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> SEE STATEMENT 11				
<b>Total</b>				▶ <b>3 a</b> 1,303,545.
b <i>Approved for future payment</i>				
<b>Total</b>				▶ <b>3 b</b>





**Schedule B**  
**(Form 990, 990-EZ,**  
**or 990-PF)**

Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF**

OMB No 1545-0047

**2012**

Name of the organization

DBJ FOUNDATION

Employer identification number

34-1661482

**Organization type** (check one)

**Filers of:**

Form 990 or 990-EZ

**Section:**

501(c)(\_\_\_\_) (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**

**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. (Complete Parts I and II)

**Special Rules**

For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year ▶ \$ \_\_\_\_\_

**Caution:** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF) but it **must** answer 'No' on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2, of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

**BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.** Schedule B (Form 990, 990-EZ, or 990-PF) (2012)

Name of organization

Employer identification number

DBJ FOUNDATION

34-1661482

**Part I** Contributors (see instructions) Use duplicate copies of Part I if additional space is needed

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	DAVID JACOBS ----- 235 ENTRADA DR ----- SANTA MONICA, CA 90402 -----	\$ 184,820. -----	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)
-----	-----	\$ ----- -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
-----	-----	\$ ----- -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
-----	-----	\$ ----- -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
-----	-----	\$ ----- -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
-----	-----	\$ ----- -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)

Name of organization <b>DBJ FOUNDATION</b>	Employer identification number <b>34-1661482</b>
---	---

**Part II** **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	3967.358 SHARES OF VANGUARD GROWN INDEX FD SIGNAL (VIGSX)	\$ 136,675.	12/21/12
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization <b>DBJ FOUNDATION</b>	Employer identification number <b>34-1661482</b>
---	---

**Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8) or (10) organizations that total more than \$1,000 for the year.** Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year (Enter this information once See instructions ) ▶ \$ \_\_\_\_\_ N/A  
Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	N/A		
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	

**SCHEDULE D**  
**(Form 1041)**

**Capital Gains and Losses**

OMB No 1545-0092

**2012**

Department of the Treasury  
Internal Revenue Service

▶ **Attach to Form 1041, Form 5227, or Form 990-T.**  
▶ Information about Schedule D (Form 1041) and its separate instructions is at [www.irs.gov/form1041](http://www.irs.gov/form1041).

Name of estate or trust <b>DBJ FOUNDATION</b>	Employer identification number <b>34-1661482</b>
--	---

**Note:** Form 5227 filers need to complete *only* Parts I and II

**Part I Short-Term Capital Gains and Losses – Assets Held One Year or Less**

1a	(a) Description of property (Example 100 shares 7% preferred of 'Z' Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
	126,855.784 AQR MULTI STRATEGY ALT FD	10/21/11	6/05/12	1,241,893.	1,239,402.	2,491.
	89,864.637 AQR RISK PARITY INDEX FD SIGNAL	VARIOUS	4/23/12	986,700.	972,844.	13,856.
	18,587.361 DFA SHORT TERM GOVT PORT	2/10/12	12/26/12	199,975.	201,121.	-1,146.
	96,085.857 PIMCO HIGH YIELD FUND INSTL CL	11/14/11	5/01/12	898,378.	862,876.	35,502.
	48,971.596 WOA ALL ASSET I FD CL I	4/24/12	12/13/12	499,975.	489,723.	10,252.
<b>b</b> Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b						<b>1 b</b> 3,212.
2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824						<b>2</b>
3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts						<b>3</b>
4 Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2011 Capital Loss Carryover Worksheet						<b>4</b>
5 <b>Net short-term gain or (loss).</b> Combine lines 1a through 4 in column (f) Enter here and on line 13, column (3) on page 2						<b>5</b> 64,167.

**Part II Long-Term Capital Gains and Losses – Assets Held More Than One Year**

6a	(a) Description of property (Example 100 shares 7% preferred of 'Z' Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
	73,115.205 AQR RISK PARITY INDEX FD SIGNAL	VARIOUS	4/23/12	802,794.	750,718.	52,076.
	22,935.780 DFA SHORT TERM EXTENDED QUALITY PORT	12/17/10	12/13/12	249,975.	245,417.	4,558.
	86,372.047 DFA TWO YEAR GLOBAL FIXED INCOME PORT	1/27/11	2/09/12	872,333.	877,560.	-5,227.
	6,966.292 DFA US LARGE CAP	2/12/04	11/28/12	154,975.	123,942.	31,033.
<b>b</b> Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b						<b>6 b</b>
7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824						<b>7</b>
8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts						<b>8</b>
9 Capital gain distributions SEE STATEMENT 1						<b>9</b> 136,663.
10 Gain from Form 4797, Part I						<b>10</b>
11 Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2011 Capital Loss Carryover Worksheet						<b>11</b>
12 <b>Net long-term gain or (loss).</b> Combine lines 6a through 11 in column (f) Enter here and on line 14a, column (3) on page 2						<b>12</b> 219,103.

<b>Part III Summary of Parts I and II</b> Caution: Read the instructions before completing this part.		(1) Beneficiaries' (see instructions)	(2) Estate's or trust's	(3) Total
13	Net short-term gain or (loss)	13		64,167.
14	Net long-term gain or (loss):			
	a Total for year	14a		219,103.
	b Unrecaptured section 1250 gain (see line 18 of the worksheet)	14b		
	c 28% rate gain	14c		
15	Total net gain or (loss). Combine lines 13 and 14a	15		283,270.

Note: If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and do not complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the Capital Loss Carryover Worksheet, as necessary.

**Part IV Capital Loss Limitation**

16	Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of: a The loss on line 15, column (3) or b \$3,000	16	
----	---	----	--

Note: If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the Capital Loss Carryover Worksheet in the instructions to figure your capital loss carryover.

**Part V Tax Computation Using Maximum Capital Gains Rates**

Form 1041 filers. Complete this part only if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

Caution: Skip this part and complete the Schedule D Tax Worksheet in the instructions if

- Either line 14b, column (2) or line 14c, column (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero

Form 990-T trusts. Complete this part only if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34 is more than zero. Skip this part and complete the Schedule D Tax Worksheet in the instructions if either line 14b, column (2) or line 14c, column (2) is more than zero.

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)	17	
18	Enter the smaller of line 14a or 15 in column (2) but not less than zero	18	
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19	
20	Add lines 18 and 19	20	
21	If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0-	21	
22	Subtract line 21 from line 20. If zero or less, enter -0-	22	
23	Subtract line 22 from line 17. If zero or less, enter -0-	23	
24	Enter the smaller of the amount on line 17 or \$2,400	24	
25	Is the amount on line 23 equal to or more than the amount on line 24? <input type="checkbox"/> Yes. Skip lines 25 and 26, go to line 27 and check the 'No' box <input type="checkbox"/> No. Enter the amount from line 23	25	
26	Subtract line 25 from line 24	26	
27	Are the amounts on lines 22 and 26 the same? <input type="checkbox"/> Yes. Skip lines 27 thru 30, go to line 31 <input type="checkbox"/> No. Enter the smaller of line 17 or line 22	27	
28	Enter the amount from line 26 (If line 26 is blank, enter -0-)	28	
29	Subtract line 28 from line 27	29	
30	Multiply line 29 by 15% ( 15)	30	
31	Figure the tax on the amount on line 23. Use the 2012 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	31	
32	Add lines 30 and 31	32	
33	Figure the tax on the amount on line 17. Use the 2012 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	33	
34	Tax on all taxable income. Enter the smaller of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)	34	



2012

FEDERAL STATEMENTS

PAGE 1

CLIENT 039990-D

DBJ FOUNDATION

34-1661482

5/01/13

02 54PM

STATEMENT 1  
SCHEDULE D, LINE 9  
CAPITAL GAIN DISTRIBUTIONS

AMERICAN FUNDS  
SCHWAB INVESTMENTS

	\$	65,421.
		71,242.
TOTAL	\$	<u>136,663.</u>

FORM 990-PF, PART II, LINE 10A  
INVESTMENTS - U S GOVERNMENT OBLIGATIONS  
AMERICAN FUNDS

<u>QUANTITY</u>	<u>DESCRIPTION</u>	<u>SYMBOL</u>	<u>COST BASIS</u>	<u>CURRENT VALUE</u>
34,844 955	US GOVERNMENT SECURITIES FUND-A	AMUSX	<u>479,156 58</u>	<u>495,146.81</u>
<b>TOTAL U.S. GOVERNMENT OBLIGATIONS - AMERICAN FUNDS</b>			<b><u>479,156.58</u></b>	<b><u>495,146.81</u></b>

FORM 990-PF, PART II, LINE 10B  
INVESTMENTS - CORPORATE STOCK  
AMERICAN FUNDS

<u>QUANTITY</u>	<u>DESCRIPTION</u>	<u>SYMBOL</u>	<u>COST BASIS</u>	<u>CURRENT VALUE</u>
1,127 574	NEW WORLD FUND-A	NEWFX	<u>53,065 55</u>	<u>61,441.51</u>
<b>TOTAL CORPORATE STOCK - AMERICAN FUNDS</b>			<b><u>53,065.55</u></b>	<b><u>61,441.51</u></b>

FORM 990-PF, PART II, LINE 10B  
 INVESTMENTS - CORPORATE STOCK  
 SCHWAB INVESTMENTS

<b>QUANTITY</b>	<b>DESCRIPTION</b>	<b>SYMBOL</b>	<b>COST BASIS</b>	<b>CURRENT VALUE</b>
65,349 949	AQR MULTI STRATEGY ALT FD I	ASAIX	642,001 49	646,964.50
10,224 097	DFA EMERGING MARKETS	DFEVX	300,000.00	305,087 05
142,351 807	DFA T.A WORLD EX US CORE	DFTWX	1,138,987.44	1,292,554 41
93,777 753	DFA US LARGE CAP	DFLVX	2,190,390.05	2,147,510.54
41,577 331	DFA US SMALL CAP	DFSVX	980,166.10	1,089,741.85
50,561 080	VANGUARD GROWTH INDEX	VIGSX	1,529,361.83	1,715,537 44
22,594 412	VANGUARD INDEX SM CAP	VISGX	403,689 60	565,538 13
319,407 195	WOA ALL ASSET I FD CL I	WOAIX	<u>3,144,571 21</u>	<u>3,264,341 53</u>
<b>TOTAL CORPORATE STOCK - SCHWAB INVESTMENTS</b>			<b><u>10,329,167.72</u></b>	<b><u>11,027,275.45</u></b>

FORM 990-PF, PART II, LINE 10C  
INVESTMENTS - CORPORATE BONDS  
AMERICAN FUNDS

<u>QUANTITY</u>	<u>DESCRIPTION</u>	<u>SYMBOL</u>	<u>COST BASIS</u>	<u>CURRENT VALUE</u>
1,251 950	AMERICAN FUNDS MONEY FUND-A	AFAXX	1,251 95	1,251.95
337,237 926	CAPITAL WORLD BOND FUND-A	CWBFX	<u>7,008,499 01</u>	<u>7,149,444 03</u>
<b>TOTAL CORPORATE BONDS - AMERICAN FUNDS</b>			<u><b>7,009,750.96</b></u>	<u><b>7,150,695.98</b></u>

FORM 990-PF, PART II, LINE 10C  
INVESTMENTS - CORPORATE BONDS  
SCHWAB INVESTMENTS

<u>QUANTITY</u>	<u>DESCRIPTION</u>	<u>SYMBOL</u>	<u>COST BASIS</u>	<u>CURRENT VALUE</u>
274,708 993	DFA SHORT TERM EXTENDED	DFEQX	2,939,970 56	2,997,075 11
62,032 572	DFA SHORT TERM GOVT PORT	DFFGX	<u>671,211 66</u>	<u>668,090.80</u>
<b>TOTAL CORPORATE BONDS - SCHWAB INVESTMENTS</b>			<b><u>3,611,182.22</u></b>	<b><u>3,665,165.91</u></b>

# Application for Extension of Time To File an Exempt Organization Return

Department of the Treasury  
Internal Revenue Service

▶ **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

**Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868

**Electronic filing (e-file).** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions) For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on *e-file for Charities & Nonprofits*

**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension – check this box and complete Part I only   
*All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns*

Enter filer's identifying number, see instructions

<b>Type or print</b>	Name of exempt organization or other filer, see instructions <b>DBJ FOUNDATION</b>	Employer identification number (EIN) or <b>34-1661482</b>
File by the due date for filing your return. See instructions	Number, street, and room or suite number. If a P.O. box, see instructions <b>1301 EAST 9TH STREET #3500</b> City, town, or post office, state, and ZIP code. For a foreign address, see instructions <b>CLEVELAND, OH 44114</b>	Social security number (SSN)

Enter the Return code for the return that this application is for (file a separate application for each return) **04**

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (section 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

● The books are in the care of ▶ AVERY & GREIG, LLP -----

Telephone No ▶ (310) 829-7654 ----- FAX No ▶ (310) 829-7659 -----

- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_ If this is for the whole group, check this box  If it is for part of the group, check this box  and attach a list with the names and EINs of all members the extension is for

**1** I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 8/15, 2013, to file the exempt organization return for the organization named above  
 The extension is for the organization's return for  
 ▶  calendar year 2012 or  
 ▶  tax year beginning \_\_\_\_\_, 20\_\_\_\_, and ending \_\_\_\_\_, 20\_\_\_\_

**2** If the tax year entered in line 1 is for less than 12 months, check reason  Initial return  Final return  
 Change in accounting period

<b>3 a</b> If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	<b>3 a</b>	\$ <b>6,448.</b>
<b>b</b> If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit	<b>3 b</b>	\$ <b>22,800.</b>
<b>c Balance due.</b> Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	<b>3 c</b>	\$ <b>0.</b>

**Caution.** If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

CLIENT 039990

DBJ FOUNDATION

34-1661482

7/11/13

01.11PM

**STATEMENT 1**  
**FORM 990-PF, PART I, LINE 16A**  
**LEGAL FEES**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	\$ 362.			\$ 362.
<b>TOTAL</b>	<b>\$ 362.</b>	<b>\$ 0.</b>		<b>\$ 362.</b>

**STATEMENT 2**  
**FORM 990-PF, PART I, LINE 16B**  
**ACCOUNTING FEES**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	\$ 5,140.	\$ 2,570.		\$ 2,570.
<b>TOTAL</b>	<b>\$ 5,140.</b>	<b>\$ 2,570.</b>		<b>\$ 2,570.</b>

**STATEMENT 3**  
**FORM 990-PF, PART I, LINE 16C**  
**OTHER PROFESSIONAL FEES**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ADVISOR FEES	\$ 72,210.	\$ 72,210.		
<b>TOTAL</b>	<b>\$ 72,210.</b>	<b>\$ 72,210.</b>		<b>\$ 0.</b>

**STATEMENT 4**  
**FORM 990-PF, PART I, LINE 18**  
**TAXES**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL	\$ 34,540.			
FOREIGN	946.	\$ 946.		
PAYROLL TAXES	1,959.			\$ 1,959.
<b>TOTAL</b>	<b>\$ 37,445.</b>	<b>\$ 946.</b>		<b>\$ 1,959.</b>

CLIENT 039990

DBJ FOUNDATION

34-1661482

7/11/13

01 11PM

**STATEMENT 5**  
**FORM 990-PF, PART I, LINE 23**  
**OTHER EXPENSES**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK CHARGES	\$ 140.	\$ 140.		
GRANT SUPPORT	60,838.			\$ 60,773.
INSURANCE	3,634.			3,634.
<b>TOTAL</b>	<u>\$ 64,612.</u>	<u>\$ 140.</u>		<u>\$ 64,407.</u>

**STATEMENT 6**  
**FORM 990-PF, PART II, LINE 10A**  
**INVESTMENTS - U.S. AND STATE GOVERNMENT OBLIGATIONS**

U.S. GOVERNMENT OBLIGATIONS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
AMERICAN FUNDS (SEE ATTACHED)	COST	\$ 479,156.	\$ 495,147.
		\$ 479,156.	\$ 495,147.
<b>TOTAL</b>		<u>\$ 479,156.</u>	<u>\$ 495,147.</u>

**STATEMENT 7**  
**FORM 990-PF, PART II, LINE 10B**  
**INVESTMENTS - CORPORATE STOCKS**

CORPORATE STOCKS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
AMERICAN FUNDS (SEE ATTACHED)	COST	\$ 53,066.	\$ 61,442.
SCHWAB INVESTMENTS (SEE ATTACHED)	COST	10,329,168.	11,027,275.
<b>TOTAL</b>		<u>\$ 10,382,234.</u>	<u>\$ 11,088,717.</u>

**STATEMENT 8**  
**FORM 990-PF, PART II, LINE 10C**  
**INVESTMENTS - CORPORATE BONDS**

CORPORATE BONDS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
SCHWAB INVESTMENTS (SEE ATTACHED)	COST	\$ 3,611,182.	\$ 3,665,166.
AMERICAN FUNDS (SEE ATTACHED)	COST	7,009,751.	7,150,696.
<b>TOTAL</b>		<u>\$ 10,620,933.</u>	<u>\$ 10,815,862.</u>

CLIENT 039990

DBJ FOUNDATION

34-1661482

7/11/13

01:11PM

**STATEMENT 9  
FORM 990-PF, PART IV, LINE 1  
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
1	126,855.784 AQR MULTI STRATEGY ALT FD CL I	PURCHASED	10/21/2011	6/05/2012
2	73,115.205 AQR RISK PARITY INDEX FD SIGNAL	PURCHASED	VARIOUS	4/23/2012
3	89,864.637 AQR RISK PARITY INDEX FD SIGNAL	PURCHASED	VARIOUS	4/23/2012
4	22,935.780 DFA SHORT TERM EXTENDED QUALITY PORT	PURCHASED	12/17/2010	12/13/2012
5	86,372.047 DFA TWO YEAR GLOBAL FIXED INCOME PORT	PURCHASED	1/27/2011	2/09/2012
6	18,587.361 DFA SHORT TERM GOVT PORT	PURCHASED	2/10/2012	12/26/2012
7	6,966.292 DFA US LARGE CAP	PURCHASED	2/12/2004	11/28/2012
8	96,085.857 PIMCO HIGH YIELD FUND INSTL CL	PURCHASED	11/14/2011	5/01/2012
9	48,971.596 WOA ALL ASSET I FD CL I	PURCHASED	4/24/2012	12/13/2012
10	29,498.525 WOA ALL ASSET I FD CL I	PURCHASED	4/24/2012	12/26/2012
11	CAPITAL GAIN DIVIDENDS			

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
1	1241893.		1239402.	2,491.				\$ 2,491.
2	802,794.		750,718.	52,076.				52,076.
3	986,700.		972,844.	13,856.				13,856.
4	249,975.		245,417.	4,558.				4,558.
5	872,333.		877,560.	-5,227.				-5,227.
6	199,975.		201,121.	-1,146.				-1,146.
7	154,975.		123,942.	31,033.				31,033.
8	898,378.		862,876.	35,502.				35,502.
9	499,975.		489,723.	10,252.				10,252.
10	299,975.		296,763.	3,212.				3,212.
11								136,663.
								TOTAL \$ 283,270.

**STATEMENT 10  
FORM 990-PF, PART XV, LINE 2A-D  
APPLICATION SUBMISSION INFORMATION**

NAME OF GRANT PROGRAM:  
NAME: DAVID H. JACOBS, JR.  
CARE OF:  
STREET ADDRESS: 235 ENTRADA DR  
CITY, STATE, ZIP CODE: SANTA MONICA, CA 90402  
TELEPHONE:  
E-MAIL ADDRESS:  
FORM AND CONTENT: A LETTER THAT INDICATES THE PURPOSE, THE INTENT, AND PROJECTED DATE.  
SUBMISSION DEADLINES: NONE  
RESTRICTIONS ON AWARDS: LIMITED TO RELIGIOUS, COMMUNITY, AND CHARITABLE PROGRAMS.

CLIENT 039990

DBJ FOUNDATION

34-1661482

7/11/13

01 11PM

**STATEMENT 11  
FORM 990-PF, PART XV, LINE 3A  
RECIPIENT PAID DURING THE YEAR**

<u>NAME AND ADDRESS</u>	<u>DONEE RELATIONSHIP</u>	<u>FOUND- ATION STATUS</u>	<u>PURPOSE OF GRANT</u>	<u>AMOUNT</u>
CLEVELAND FOOD BANK 15500 SOUTH WATERLOO RD CLEVELAND, OH 44110			CONTRIBUTION TO TAX-EXEMPT ORG GENERAL FUND	\$ 50,000.
CLEVELAND SCHOOL FOR THE ARTS P.O. BOX 18265 CLEVELAND, OH 44118			CONTRIBUTION TO TAX-EXEMPT ORG GENERAL FUND	60,000.
CLEVELAND SIGHT CENTER 1900 EAST 101ST ST, P.O. BOX 1988 CLEVELAND, OH 44106			CONTRIBUTION TO TAX-EXEMPT ORG GENERAL FUND	50,000.
CLEVELAND ZOOLOGICAL SOCIETY 3900 WILDLIFE WAY CLEVELAND, OH 44109			CONTRIBUTION TO TAX-EXEMPT ORG GENERAL FUND	50,000.
EITELJORG MUSEUM 500 W WASHINGTON ST INDIANAPOLIS, IN 46204			CONTRIBUTION TO TAX-EXEMPT ORG GENERAL FUND	25,000.
GCHFC HABITAT FOR HUMANITY 6920 UNION AVE CLEVELAND, OH 44105			CONTRIBUTION TO TAX-EXEMPT ORG GENERAL FUND	60,000.
INDIANA UNIVERSITY FOUNDATION P.O. BOX 500 BLOOMINGTON, IN 47402			CONTRIBUTION TO TAX-EXEMPT ORG GENERAL FUND	74,000.
JACOBS AQUATIC CENTER 320 LAGUNA AVE KEY LARGO, FL 33037			CONTRIBUTION TO TAX-EXEMPT ORG GENERAL FUND	20,000.
LAKE ERIE SCIENCE CENTER 28728 WOLF ROAD BAY VILLAGE, OH 44140			CONTRIBUTION TO TAX-EXEMPT ORG GENERAL FUND	100,000.
METROHEALTH SYSTEM FOUNDATION 2500 METROHEALTH DR CLEVELAND, OH 44109			CONTRIBUTION TO TAX-EXEMPT ORG GENERAL FUND	204,545.
PROVIDENCE HOUSE 2037 WEST 32ND ST CLEVELAND, OH 44113			CONTRIBUTION TO TAX-EXEMPT ORG GENERAL FUND	30,000.

CLIENT 039990

DBJ FOUNDATION

34-1661482

7/11/13

01:11PM

STATEMENT 11 (CONTINUED)  
FORM 990-PF, PART XV, LINE 3A  
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
UNITED CEREBRAL PALSY OF GREATER CLEVELA 10011 EUCLID AVE CLEVELAND, OH 44106			CONTRIBUTION TO TAX-EXEMPT ORG GENERAL FUND	\$ 20,000.
VOCATIONAL GUIDANCE SERVICES 2239 EAST 55TH ST CLEVELAND, OH 44103			CONTRIBUTION TO TAX-EXEMPT ORG GENERAL FUND	10,000.
CUYAHOGA COUNTY PUBLIC LIBRARY 2111 SNOW RD PARMA, OH 44134			CONTRIBUTION TO TAX-EXEMPT ORG GENERAL FUND	100,000.
CORNUCOPIA INC 18120 SLOANE AVENUE LAKEWOOD, OH 44107			CONTRIBUTION TO TAX-EXEMPT ORG GENERAL FUND	30,000.
THE RETREAT 1221 WAYZATA BLVD E WAYZATA, MN 55391			CONTRIBUTION TO TAX-EXEMPT ORG GENERAL FUND	50,000.
HAND IN HAND PARENTING 555 WAVERLY ST, EDUCATION BLDG, 25 PALO ALTO, CA 94301			CONTRIBUTION TO TAX-EXEMPT ORG GENERAL FUND	210,000.
CLEVELAND RAPE CRISIS CENTER 526 SUPERIOR AVE STE 1400 CLEVELAND, OH 44114			CONTRIBUTION TO TAX-EXEMPT ORG GENERAL FUND	50,000.
CLEVELAND GLOBAL 200 PUBLIC SQUARE STE 150 CLEVELAND, OH 44114			CONTRIBUTION TO TAX-EXEMPT ORG GENERAL FUND	50,000.
GREATER CLEVELAND VOLUNTEERS 4415 EUCLID AVE STE 200 CLEVELAND, OH 44103			CONTRIBUTION TO TAX-EXEMPT ORG GENERAL FUND	10,000.
CLEVELAND METROPOLITAN SCHOOL DISTRICT 1380 E SIXTH ST CLEVELAND, OH 44114			CONTRIBUTION TO TAX-EXEMPT ORG GENERAL FUND	50,000.

TOTAL \$ 1,303,545.

CLIÉNT 039990

DBJ FOUNDATION

34-1661482

7/11/13

01 11PM

**OTHER INCOME PRODUCING ACTIVITIES  
INTEREST ON SAVINGS & CASH INVESTMENTS**

WELLS FARGO

	\$	159.
TOTAL	\$	<u>159.</u>

**OTHER INCOME PRODUCING ACTIVITIES  
DIVIDENDS/INTEREST FROM SECURITIES.**AMERICAN FUNDS  
SCHWAB

	\$	209,949.
		227,255.
TOTAL	\$	<u>437,204.</u>

**BALANCE SHEET  
CASH-NON-INTEREST-BEARING**WELLS FARGO  
SCHWAB

	\$	19,670.
		148,126.
TOTAL	\$	<u>167,796.</u>