Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012 or tax year beginning , and ending

Name of foundation: ELSIE & HARRY BAUMKER CHARITABLE FO

A Employer identification number: 34-1300465

Number and street (or P O box number if mail is not delivered to street address): 9039 BAYWOOD PARK DRIVE

Room/suite:

B Telephone number (see instructions): 727-656-8257

City or town, state, and ZIP code: SEMINOLE FL 33777-4630

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ $ 2,490,277

J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I	**Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue 1	Contributions, gifts, grants, etc , received (attach schedule)				
2	Check ▶ ☒ if the foundation is **not** required to attach Sch B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	72,735	72,735		
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	83,765			
b	Gross sales price for all assets on line 6a 1,501,784				
7	Capital gain net income (from Part IV, line 2)		83,765		
8	Net short-term capital gain			0	
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)				
12	**Total.** Add lines 1 through 11	156,500	156,500	0	
Operating and Administrative Expenses 13	Compensation of officers, directors, trustees, etc	0			
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule)				
c	Other professional fees (attach schedule) STMT 1	31,898	31,898		12,759
17	Interest				
18	Taxes (attach schedule) (see instructions) STMT 2	594	594		
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (att. sch)				
24	**Total operating and administrative expenses.** Add lines 13 through 23	32,492	32,492	0	12,759
25	Contributions, gifts, grants paid SEE STATEMENT 3	92,500			92,500
26	**Total expenses and disbursements.** Add lines 24 and 25	124,992	32,492	0	105,259
27	Subtract line 26 from line 12				
a	**Excess of revenue over expenses and disbursements**	31,508			
b	**Net investment income** (if negative, enter -0-)		124,008		
c	**Adjusted net income** (if negative, enter -0-)			0	

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1	Cash – non-interest-bearing			
	2	Savings and temporary cash investments	58,188	75,630	75,630
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less. allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (att. schedule) ▶			
		Less. allowance for doubtful accounts ▶ 0			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments – U S and state government obligations (attach schedule)			
	b	Investments – corporate stock (attach schedule) SEE STMT 4	1,485,264	1,247,072	1,426,869
	c	Investments – corporate bonds (attach schedule) SEE STMT 5	471,873	696,747	711,104
	11	Investments – land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach sch) ▶			
	12	Investments – mortgage loans			
	13	Investments – other (attach schedule) SEE STATEMENT 6	241,383	268,767	276,674
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach sch) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers – see the instructions Also, see page 1, item I)	2,256,708	2,288,216	2,490,277
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.** ▶ ☐			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
	27	Capital stock, trust principal, or current funds	2,169,017	2,183,084	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	87,691	105,132	
	30	**Total net assets or fund balances** (see instructions)	2,256,708	2,288,216	
	31	**Total liabilities and net assets/fund balances** (see instructions)	2,256,708	2,288,216	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,256,708
2	Enter amount from Part I, line 27a	2	31,508
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	2,288,216
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	2,288,216

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P – Purchase D – Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SEE ATTACHED STATEMENT	P	VARIOUS	VARIOUS
b	SEE ATTACHED STATEMENT	P	VARIOUS	VARIOUS
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	796,220		769,794	26,426
b	705,564		648,225	57,339
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				26,426
b				57,339
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 }	2	83,765
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	26,426

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	108,402	2,313,427	0.046858
2010	14,371	2,142,467	0.006708
2009	7,931	1,919,755	0.004131
2008	75,586	2,194,562	0.034442
2007	184,600	2,491,870	0.074081

2	**Total** of line 1, column (d)	2	0.166220
3	Average distribution ratio for the 5-year base period – divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.033244
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	2,288,529
5	Multiply line 4 by line 3	5	76,080
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1,240
7	Add lines 5 and 6	7	77,320
8	Enter qualifying distributions from Part XII, line 4	8	105,259

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ [ ] and enter "N/A" on line 1. Date of ruling or determination letter (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b	1	1,240
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2	3	1,240
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	1,240
6	Credits/Payments		
a	2012 estimated tax payments and 2011 overpayment credited to 2012 — 6a		
b	Exempt foreign organizations – tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments. Add lines 6a through 6d	7	
8	Enter any **penalty** for underpayment of estimated tax Check here [X] if Form 2220 is attached	8	28
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	1,268
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be **Credited to 2013 estimated tax** ▶ Refunded ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ______ **(2)** On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ OH			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ NANCY PREIS Telephone no ▶ 727-656-8257
9039 BAYWOOD PARK DRIVE
Located at ▶ SEMINOLE FL ZIP+4 ▶ 33777

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** – Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? **(Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).				
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?	☐ Yes ☒ No			
	If "Yes," list the years ▶ 20 , 20 , 20 , 20				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement – see instructions)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20 , 20 , 20 , 20				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)		3b		X
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)	☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	N/A	5b	
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	N/A ☐ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	X (No)
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address		(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NANCY PREIS 9039 BAYWOOD PARK DRIVE	SEMINOLE FL 33777-4630	TRUSTEE 20.00	0	0	0
DOROTHY RICE 4635 HOMERDALE AVENUE	TOLEDO OH 43623	TRUSTEE 6.00	0	0	0
ELIZA SEVERSON 627 4TH STREET	ST PAUL PARK MN 55071	TRUSTEE 6.00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	2,256,471
b	Average of monthly cash balances	1b	66,909
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	2,323,380
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	2,323,380
4	Cash deemed held for charitable activities Enter 1½% of line 3 (for greater amount, see instructions)	4	34,851
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,288,529
6	**Minimum investment return.** Enter 5% of line 5	6	114,426

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	114,426
2a	Tax on investment income for 2012 from Part VI, line 5 — 2a: 1,240		
b	Income tax for 2012 (This does not include the tax from Part VI) — 2b:		
c	Add lines 2a and 2b	2c	1,240
3	Distributable amount before adjustments Subtract line 2c from line 1	3	113,186
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	113,186
6	Deduction from distributable amount (see instructions)	6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	113,186

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes.		
a	Expenses, contributions, gifts, etc – total from Part I, column (d), line 26	1a	105,259
b	Program-related investments – total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	105,259
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	1,240
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	104,019

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII · Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7					113,186
2 Undistributed income, if any, as of the end of 2012.					
a Enter amount for 2011 only				114,088	
b Total for prior years 20_____ , 20_____ , 20_____					
3 Excess distributions carryover, if any, to 2012					
a From 2007					
b From 2008					
c From 2009					
d From 2010					
e From 2011					
f **Total** of lines 3a through e					
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ $ 105,259					
a Applied to 2011, but not more than line 2a				105,259	
b Applied to undistributed income of prior years (Election required – see instructions)					
c Treated as distributions out of corpus (Election required – see instructions)					
d Applied to 2012 distributable amount					
e Remaining amount distributed out of corpus					
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))					
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5					
b Prior years' undistributed income Subtract line 4b from line 2b					
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d Subtract line 6c from line 6b Taxable amount – see instructions					
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount – see instructions				8,829	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013					113,186
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)					
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)					
9 **Excess distributions carryover to 2013.** Subtract lines 7 and 8 from line 6a					
10 Analysis of line 9					
a Excess from 2008					
b Excess from 2009					
c Excess from 2010					
d Excess from 2011					
e Excess from 2012					

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test – enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test – enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year – see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed.

NANCY J. PREIS, TRUSTEE 727-656-8257
9039 BAYWOOD PARK DR SEMINOLE FL 33777

b The form in which applications should be submitted and information and materials they should include

N/A

c Any submission deadlines

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

N/A

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE STATEMENT 7				92,500
Total			▶ 3a	92,500
b Approved for future payment N/A				
Total			▶ 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	72,735	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	83,765	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0		156,500	0

13 **Total.** Add line 12, columns (b), (d), and (e) 13 156,500

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
N/A	

DAA

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
N/A			

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Nancy Theis — 8/13/13 — TRUSTEE

Signature of officer or trustee — Date — Title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed
JEFFREY P. MCCLANATHAN		8/12/2013	
Firm's name ▶ MCCLANATHAN, BURG & ASSOCIATES, LLC		PTIN	P01032372
Firm's address ▶ 150 2ND AVE N STE 600		Firm's EIN ▶	51-0597487
SAINT PETERSBURG, FL 33701-3346		Phone no	727-894-1040

Federal Statements

Statement 1 - Form 990-PF, Part I, Line 16c - Other Professional Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
PROFESSIONAL MANAGEMENT FEES	$ 31,850	$ 31,850	$	$ 12,740
OTHER FEES	48	48		19
TOTAL	$ 31,898	$ 31,898	$ 0	$ 12,759

Statement 2 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
FOREIGN TAXES PAID	$ 594	$ 594	$	$
TOTAL	$ 594	$ 594	$ 0	$ 0

Statement 3 - Form 990-PF, Part I, Line 25 - Noncash Contributions, Gifts, Grants

Amount	Noncash Description	FMV Explanation	Book Value Amount	Book Value Explanation	Date
6,000			6,000		1/10/12
10,000			10,000		1/10/12
3,000			3,000		1/13/12
2,000			2,000		1/11/12
3,000			3,000		1/19/12
5,000			5,000		1/09/12
2,000			2,000		1/11/12
4,000			4,000		1/09/12
3,000			3,000		1/09/12
10,000			10,000		1/10/12
10,000			10,000		1/09/12
10,000			10,000		1/09/12
7,500			7,500		1/13/12
5,000			5,000		1/09/12
5,000			5,000		1/10/12
7,000			7,000		2/06/12

Federal Statements

Statement 4 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
UBS FINANCIAL SERVICES	$ 1,485,264	$ 1,247,072	COST	$ 1,426,869
TOTAL	$ 1,485,264	$ 1,247,072		$ 1,426,869

Statement 5 - Form 990-PF, Part II, Line 10c - Corporate Bond Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
UBS FINANCIAL SERVICES	$ 471,873	$ 696,747	COST	$ 711,104
TOTAL	$ 471,873	$ 696,747		$ 711,104

Statement 6 - Form 990-PF, Part II, Line 13 - Other Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
MUTUAL FUNDS/CLOSED END FUNDS	$ 241,383	$ 268,767	COST	$ 276,674
TOTAL	$ 241,383	$ 268,767		$ 276,674

Federal Statements

Form 990-PF, Part XV, Line 2b - Application Format and Required Contents

Description
N/A

Form 990-PF, Part XV, Line 2c - Submission Deadlines

Description
N/A

Form 990-PF, Part XV, Line 2d - Award Restrictions or Limitations

Description
N/A

Federal Statements

Statement 7 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year

Name / Relationship	Status	Address / Purpose	Address / Amount
DEFIANCE COLLEGE		701 N CLINTON ST.	DEFIANCE OH 43512
N/A	501(C)(3)	GENERAL SUPPORT	6,000
TOLEDO ZOO		PO BOX 140130	TOLEDO OH 43614
N/A	501(C)(3)	GENERAL SUPPORT	10,000
BITTERSWEET FARMS		12660 ARCHBOLD-WHITEHOUSE	WHITEHOUSE OH 43571
N/A	501(C)(3)	GENERAL SUPPORT	3,000
TOLEDO BALLET		5001 MONROE ST. STE R20	TOLEDO OH 43623
N/A	501(C)(3)	GENERAL SUPPORT	2,000
PROJECT ABC		3613 MONROE ST	TOLEDO OH 43606
N/A	501(C)(3)	GENERAL SUPPORT	3,000
SIGHT CTR OF NE OH		1002 GARDEN LAKE PARKWAY	TOLEDO OH 43614
N/A	501(C)(3)	GENERAL SUPPORT	5,000
HOSPICE OF NW OH		30000 EAST RIVER RD	PERRYSBURG OH 43551
N/A	501(C)(3)	GENERAL SUPPORT	2,000
THE LEARNING CLUB OF TOLEDO		1702 UPTON AVE	TOLEDO OH 43607
N/A	501(C)(3)	GENERAL SUPPORT	4,000
DOUBLE ARC		3837 SECOR RD	TOLEDO OH 43623
N/A	501(C)(3)	GENERAL SUPPORT	3,000
TOLEDO MUSEUM OF ART		PO BOX 1013	TOLEDO OH 43697
N/A	501(C)(3)	GENERAL SUPPORT	10,000
TOLEDO SCHOOL FOR THE ARTS		333 14TH ST	YOLEDO OH 43604
N/A	501(C)(3)	GENERAL SUPPORT	10,000
TOLEDO SYMPHONY		PO BOX 404	TOLEDO OH 43697
N/A	501(C)(3)	GENERAL SUPPORT	10,000
SUNSET HOUSE		4040 INDIAN ROAD	TOLEDO OH 43606
N/A	501(C)(3)	GENERAL SUPPORT	7,500
TOLEDO HEARING & SPEECH CTR		3148 W CENTRAL AVE	TOLEDO OH 43606
N/A	501(C)(3)	GENERAL SUPPORT	5,000
NEW OPPORTUNITY SCHOOL		204 CHESTNUT ST.	BEREA KY 40403
N/A	501(C)(3)	GENERAL SUPPORT	5,000
UNIVERSITY OF TOLEDO FOUNDATION		2801 W. BANCROFT	TOLEDO OH 43606
N/A	501(C)(3)	GENERAL SUPPORT	7,000
TOTAL			92,500

Federal Statements

Electronic Filing - Preparer Note Explanation

Description
PAPER FILING TO ATTACH SUPPORTING DOCUMENTS TO THE RETURN.

Federal Statements

Taxable Dividends from Securities

Description		Amount	Unrelated Business Code	Exclusion Code	Postal Code	US Obs ($ or %)
DIVIDENDS AND INTEREST	$	72,735		14		
TOTAL	$	72,735				

UBS

UBS Financial Services Inc
150 Second Ave. North
Suite 1000
St Petersburg FL 33701-3342

CPZ2001289583 1212 X123 SP 0

2012 Year End Summary

Account name: BAUMKER CHARITABLE FOUNDATION
Friendly account name: Baumker DRT PMP
Account number: SP 05730 31

Your Financial Advisor:
MATTHEW L CHAMBERS
Phone: 727-822-1979/800-822-1978

BAUMKER CHARITABLE FOUNDATION
9039 BAYWOOD PARK
SEMINOLE FL 33777-4630

Summary of banking activity

	Year to date ($)
Checks	-92,500.00

Summary of gains and losses

	Amount ($)
Short term	26,425.86
Long term	57,339.12
Total	**$83,764.98**

Checks

Check number	Date cleared	Description	Amount ($)
000029	Jan 10, 12	DEFIANCE COLLEGE	6,000.00
001017	Jan 10, 12	TOLEDO ZOO	10,000.00
001018	Jan 13, 12	BITTERSWEET FARMS	3,000.00
001019	Jan 11, 12	TOLEDO BALLET	2,000.00
001020	Jan 19, 12	PROJECT ABC	3,000 00
001021	Jan 9, 12	SIGHT CTR OF NW OH	5,000.00
001022	Jan 11, 12	HOSPICE OF NW OHIO	2,000 00
001023	Jan 9, 12	THE LEARNING CLUB OF TOLEDO	4,000 00
001024	Jan 9, 12	DOUBLE ARC	3,000 00
001025	Jan 10, 12	TOLEDO MUSEUM OF ART	10,000 00
001026	Jan 9, 12	TOLEDO SCHOOL FOR THE ARTS	10,000 00
001027	Jan 9, 12	TOLEDO SYMPHONY	10,000.00
001028	Jan 13, 12	SUNSET HOUSE	7,500 00
001030	Jan 9, 12	TOLEDO HEARING & SPEECH CTR	5,000 00

continued next page

Checks (continued)

Check number	Date cleared	Description	Amount ($)
001031	Jan 10, 12	NEW OPPORTUNITY SCHOOL	5,000 00
001032	Feb 6, 12	UNIVERSITY OF TOLEDO FOUNDA	7,000.00
Total			**$92,500.00**
Total Checks			**$92,500.00**

Realized gains and losses

Estimated 2012 gains and losses for transactions with trade dates through 12/31/12 have been incorporated into this statement. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchases or VSP order.

See Important information about your statement on the last page of this statement for more information. We may not adjust gains and losses for all capital changes Cost basis for coupon tax-exempt municipal securities, include securities subject to AMT, has been adjusted for mandatory amortization of bond premium. Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided.

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
AFLAC INC	FIFO	2.000	Sep 06, 11	Jan 11, 12	87.69	67.48			20.21
	FIFO	3.000	Sep 06, 11	Jan 12, 12	131.52	101.22			30.30
	FIFO	4 000	Sep 06, 11	May 02, 12	179.11	134.95			44.16
	FIFO	25.000	Sep 06, 11	Jul 17, 12	1,076.63	843.48			233.15
AIR PROD & CHEMICAL INC	FIFO	1 000	Sep 06, 11	Jan 11, 12	87.27	78.40			8 87
	FIFO	2.000	Sep 06, 11	Jan 12, 12	179.71	156.80			22 91
	FIFO	1.000	Sep 06, 11	May 02, 12	85 72	78 40			7 32
ASTRAZENECA PLC SPON ADR	FIFO	2 000	Sep 06, 11	Jan 11, 12	93.23	88.89			4 34
	FIFO	3 000	Sep 06, 11	Jan 12, 12	141 95	133 34			8 61
	FIFO	41.000	Sep 06, 11	Jul 17, 12	1,884.40	1,822 30			62 10
AT&T INC	FIFO	203.000	Sep 06, 11	May 07, 12	6,680 97	5,659.03			1,021 94
	FIFO	134 000	Nov 29, 11	May 07, 12	4,410.10	3,777.30			632.80
BOEING COMPANY	FIFO	1.000	Sep 06, 11	Jan 11, 12	74.33	61.89			12 44
	FIFO	2 000	Sep 06, 11	Jan 12, 12	151.05	123.78			27.27
	FIFO	23.000	Sep 06, 11	May 02, 12	1,779.24	1,423.47			355 77
	FIFO	4.000	Sep 06, 11	Jul 17, 12	288.87	247 56			41 31

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
BRITISH AMER TOBACCO PLC GB SPON ADR	FIFO	1.000	Sep 06, 11	Jan 11, 12	91 49	88.40			3 09
	FIFO	2.000	Sep 06, 11	Jan 12, 12	184 11	176.79			7 32
	FIFO	10.000	Sep 06, 11	May 02, 12	1,037.37	883.96			153 41
	FIFO	15.000	Sep 06, 11	Jul 17, 12	1,572 13	1,325.94			246.19
COCA COLA CO COM	FIFO	1 000	Sep 06, 11	Jan 11, 12	67 84	69.14		-1 30	
	FIFO	2 000	Sep 06, 11	Jan 12, 12	134 93	138.27		-3 34	
	FIFO	16 000	Sep 06, 11	May 02, 12	1,231 65	1,106.19			125.46
	FIFO	7 000	Sep 06, 11	May 29, 12	528 29	483 96			44.33
	FIFO	2.000	Sep 06, 11	Jul 17, 12	154 33	138 28			16 05
COLGATE PALMOLIVE CO	FIFO	1.000	Sep 06, 11	Jan 11, 12	88 77	88 61			0.16
	FIFO	2.000	Sep 06, 11	Jan 12, 12	177 33	177 21			0.12
	FIFO	3.000	Sep 06, 11	May 02, 12	298.57	265 83			32.74
	FIFO	8.000	Sep 06, 11	May 29, 12	794.86	708 86			86 00
	FIFO	8.000	Sep 06, 11	Jul 17, 12	838.86	708.87			129.99
COLUMBIA THERMOSTAT FUND CLASS A	FIFO	14.463	Nov 29, 11	Jan 11, 12	188 89	182.09			6.80
	FIFO	27 326	Nov 29, 11	Jan 12, 12	357.70	344.03			13 67
	FIFO	138.310	Nov 29, 11	May 02, 12	1,932.19	1,741.32			190.87
	FIFO	16.758	Nov 29, 11	May 29, 12	226.74	210 99			15 75
	FIFO	18.171	Nov 29, 11	Jul 17, 12	248.94	228 77			20.17
CSX CORPORATION	FIFO	30.000	Jun 12, 12	Jul 17, 12	672.39	637 10			35.29
DIAGEO PLC NEW GB SPON ADR	FIFO	1 000	Sep 12, 11	Jan 11, 12	85.65	75 44			10 21
	FIFO	2.000	Sep 12, 11	Jan 12, 12	172.19	150.87			21 32
	FIFO	23.000	Sep 12, 11	May 02, 12	2,355 37	1,735 01			620 36
	FIFO	14.000	Sep 12, 11	Jul 17, 12	1,470.30	1,056.10			414 20
EMERSON ELECTRIC CO	FIFO	1.000	Sep 06, 11	Jan 11, 12	47.97	42.83			5.14
	FIFO	3 000	Sep 06, 11	Jan 12, 12	147.68	128 48			19.20
	FIFO	8 000	Sep 06, 11	May 29, 12	383.35	342.62			40.73

continued next page

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
ENERGY SECTOR SPDR TRUST SHARES	FIFO	4.000	Sep 06, 11	Jan 11, 12	282.15	258.07			24 08
	FIFO	8.000	Sep 06, 11	Jan 12, 12	557.82	516 16			41 66
	FIFO	21 000	May 02, 12	Sep 21, 12	1,567.22	1,495.57			71 65
	FIFO	30.000	May 29, 12	Sep 21, 12	2,238.90	1,988 30			250 60
	FIFO	6.000	Jul 17, 12	Sep 21, 12	447.78	401.50			46 28
EXXON MOBIL CORP	FIFO	35.000	Nov 29, 11	Sep 10, 12	3,142 23	2,699.43			442.80
	FIFO	1.000	May 29, 12	Sep 10, 12	89 78	82.09			7.69
GENL MILLS INC	FIFO	2.000	Sep 06, 11	Jan 11, 12	80.73	73 72			7 01
	FIFO	4.000	Sep 06, 11	Jan 12, 12	162.59	147 44			15 15
	FIFO	25.000	Sep 06, 11	May 29, 12	976 23	921.48			54.75
GLAXO SMITHKLINE PLC ADR	FIFO	5.000	Aug 13, 12	Sep 21, 12	233 39	231 66			1 73
	FIFO	388 000	Aug 13, 12	Nov 13, 12	16,685 28	17,976.75		-1,291.47	
GUGGENHEIM LONG/SHORT COMMODITIES STRATEGY CLASS A	FIFO	10.597	Sep 06, 11	Jan 11, 12	256.02	292.37		-36.35	
	FIFO	19.988	Sep 06, 11	Jan 12, 12	488 71	551 47		-62.76	
	FIFO	70 843	Sep 06, 11	May 29, 12	1,790 92	1,954.56		-163 64	
	FIFO	91 717	Nov 29, 11	Sep 21, 12	1,686.67	2,440.59		-753.92	
	FIFO	135.442	Dec 12, 11	Sep 21, 12	2,490.78	3,402.30		-911.52	
	FIFO	194 667	May 02, 12	Sep 21, 12	3,579 93	5,045.77		-1,465.84	
	FIFO	413.295	Jul 17, 12	Sep 21, 12	7,600 49	9,109.02		-1,508.53	
GUGGENHEIM MANAGED FUTURES STRATEGY FUND A	FIFO	11.166	Sep 06, 11	Jan 11, 12	267 54	279 48		-11.94	
	FIFO	21.155	Sep 06, 11	Jan 12, 12	507 93	529.51		-21 58	
	FIFO	164.273	Sep 06, 11	May 29, 12	3,729.00	4,111.75		-382.75	
	FIFO	103.718	Nov 29, 11	Sep 21, 12	2,235 12	2,488.19		-253.07	
	FIFO	429.223	May 02, 12	Sep 21, 12	9,249 76	9,721.89		-472.13	
	FIFO	163.137	Jul 17, 12	Sep 21, 12	3,515 60	3,572 69		-57.09	
ILLINOIS TOOL WORKS INC	FIFO	2.000	Sep 06, 11	Jan 11, 12	97.79	85.75			12.04
	FIFO	3.000	Sep 06, 11	Jan 12, 12	148.46	128.64			19.82

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	69 000	Sep 06, 11	May 02, 12	3,966.88	2,958.54			1,008.34
	FIFO	13.000	Sep 06, 11	May 29, 12	742 98	557.40			185.58
INTEL CORP	FIFO	3.000	Sep 06, 11	Jan 11, 12	77 21	57.90			19.31
	FIFO	6.000	Sep 06, 11	Jan 12, 12	154 79	115.80			38.99
	FIFO	78.000	Sep 06, 11	May 02, 12	2,251 36	1,505 39			745.97
INVESCO BALANCED-RISK ALLOCATION FUND A	FIFO	5,702.289	Sep 21, 12	Dec 05, 12	74,471 89	74,471 90		-0.01	
INVESCO BALANCED-RISK COMMODITY STRATEGY CLASS A	FIFO	6,667.135	Sep 21, 12	Dec 05, 12	71,138.33	74,471 90		-3,333.57	
ISHARES FTSE CHINA 25 INDEX FUND ETF	FIFO	6.000	Sep 06, 11	Jan 11, 12	219.83	219 54			0.29
	FIFO	11.000	Sep 06, 11	Jan 12, 12	404.57	402.48			2.09
	FIFO	30.000	Sep 06, 11	May 02, 12	1,142 22	1,097.67			44.55
	FIFO	79.000	Nov 29, 11	Sep 21, 12	2,753.12	2,711.80			41.32
	FIFO	95.000	May 29, 12	Sep 21, 12	3,310.70	3,217.32			93.38
	FIFO	71.000	Jul 17, 12	Sep 21, 12	2,474.32	2,325.02			149.30
ISHARES IBOXX HIGH YIELD CORP BOND	FIFO	6 000	Sep 06, 11	Jan 11, 12	535.44	516 35			19 09
	FIFO	11.000	Sep 06, 11	Jan 12, 12	979.97	946.64			33 33
	FIFO	32 000	Sep 06, 11	May 29, 12	2,854.46	2,753 85			100 61
	FIFO	4.000	Sep 06, 11	Jul 17, 12	363.16	344.23			18 93
	FIFO	8.000	Nov 29, 11	Sep 21, 12	746.16	679.92			66 24
	FIFO	520.000	Dec 15, 11	Sep 21, 12	48,500.35	45,316.30			3,184 05
	FIFO	29.000	May 02, 12	Sep 21, 12	2,704.83	2,638.71			66.12
ISHARES TRUST CORE S&P SMALL-CAP ETF	FIFO	3.000	May 02, 12	May 29, 12	216.08	225 05		-8 97	
	FIFO	21.000	May 02, 12	Sep 21, 12	1,663.65	1,575.35			88 30

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
ISHARES TRUST DJ U.S BASIC MATERIALS SECTR INDEX FD	FIFO	3.000	Sep 06, 11	Jan 11, 12	203.90	200.09			3.81
	FIFO	6 000	Sep 06, 11	Jan 12, 12	417 05	400.19			16 86
	FIFO	14.000	Sep 06, 11	May 02, 12	974.37	933.77			40 60
	FIFO	41 000	Nov 29, 11	Sep 21, 12	2,868.15	2,583.57			284 58
	FIFO	19.000	May 29, 12	Sep 21, 12	1,329 15	1,231 01			98 14
	FIFO	34.000	Jul 17, 12	Sep 21, 12	2,378.47	2,138.66			239.81
ISHARES TRUST DJ US REAL STATE INDEX FUND	FIFO	4.000	Sep 06, 11	Jan 11, 12	229.79	218.32			11 47
	FIFO	7.000	Sep 06, 11	Jan 12, 12	401.72	382.05			19.67
	FIFO	96.000	Sep 06, 11	May 02, 12	6,155.72	5,239 60			916 12
	FIFO	12.000	Sep 06, 11	May 29, 12	747.10	654 95			92.15
	FIFO	25 000	Sep 06, 11	Jul 17, 12	1,636.05	1,364 48			271 57
ISHARES TRUST RUSSELL 1000 GROWTH INDEX FUND	FIFO	14.000	Sep 06, 11	Jan 11, 12	829.48	756.26			73.22
	FIFO	26.000	Sep 06, 11	Jan 12, 12	1,546 71	1,404 50			142 21
	FIFO	262.000	Sep 06, 11	May 02, 12	17,286.95	14,152 98			3,133 97
ISHARES TRUST RUSSELL MIDCAP GROWTH INDEX FUND	FIFO	5.000	Sep 06, 11	Jan 11, 12	284.34	260.63			23.71
	FIFO	10.000	Sep 06, 11	Jan 12, 12	572 88	521 24			51.64
	FIFO	1,257.000	Sep 06, 11	Jan 31, 12	74,238.66	65,520.88			8,717 78
	FIFO	430.000	May 02, 12	Sep 21, 12	27,297.82	27,096 45			201.37
ISHARES TRUST S&P U S PFD STOCK INDEX FUND	FIFO	8.000	Sep 06, 11	Jan 11, 12	297.43	294.16			3 27
	FIFO	15 000	Sep 06, 11	Jan 12, 12	560.08	551.54			8.54
	FIFO	6.000	Sep 06, 11	May 02, 12	232.73	220.62			12.11
	FIFO	74.000	Sep 06, 11	May 29, 12	2,859.46	2,720.96			138.50
	FIFO	4.000	Sep 06, 11	Jul 17, 12	157.12	147 08			10 04
	FIFO	45.000	Nov 29, 11	Sep 21, 12	1,797.27	1,607.70			189.57

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS A	FIFO	82.209	Sep 06, 11	Jan 11, 12	1,198.60	1,216.69		-18.09	
	FIFO	155 962	Sep 06, 11	Jan 12, 12	2,278.61	2,308.24		-29.63	
	FIFO	318.941	Sep 06, 11	May 02, 12	4,838.33	4,720.33			118.00
	FIFO	130.181	Sep 06, 11	May 29, 12	1,901.94	1,926.68		-24.74	
	FIFO	111.122	Sep 06, 11	Jul 17, 12	1,649.05	1,644.60			4.45
MCDONALDS CORP	FIFO	1.000	Sep 06, 11	Jan 11, 12	99.95	88.26			11.69
	FIFO	1.000	Sep 06, 11	Jan 12, 12	100.37	88.25			12.12
	FIFO	147.000	Sep 06, 11	May 02, 12	14,287.32	12,973.78			1,313 54
	FIFO	32.000	Nov 29, 11	May 02, 12	3,110.16	2,995.36			114 80
MEDTRONIC INC	FIFO	2 000	Sep 06, 11	Jan 11, 12	77 77	67.19			10 58
	FIFO	4.000	Sep 06, 11	Jan 12, 12	154.79	134 39			20 40
	FIFO	5.000	Sep 06, 11	May 02, 12	191.54	167.99			23 55
	FIFO	9.000	Sep 06, 11	May 29, 12	335 69	302.38			33.31
	FIFO	6.000	Sep 06, 11	Jul 17, 12	229.01	201.58			27.43
MFS INTERNATIONAL DIVERSIFICATION FUND CLASS A	FIFO	41.562	Sep 06, 11	Jan 11, 12	511.63	514.54		-2 91	
	FIFO	77 882	Sep 06, 11	Jan 12, 12	962 62	964.18		-1.56	
	FIFO	553.219	Sep 06, 11	May 02, 12	7,451.86	6,848.85			603.01
	FIFO	209.935	Sep 06, 11	Jul 17, 12	2,678.77	2,599 00			79.77
NESTLE S A SPONSORED ADR REPSTG REG SHS SWITZ ADR	FIFO	1.000	Sep 06, 11	Jan 11, 12	56 48	58.33		-1.85	
	FIFO	2.000	Sep 06, 11	Jan 12, 12	113.61	116.66		-3.05	
	FIFO	6.000	Sep 06, 11	May 02, 12	365.57	349.98			15 59
	FIFO	10.000	Sep 06, 11	Jul 17, 12	599 58	583.30			16.28
	FIFO	206 000	Sep 06, 11	Aug 13, 12	12,808 00	12,015 98			792.02
	FIFO	83.000	Nov 29, 11	Aug 13, 12	5,160 50	4,573.30			587 20
	FIFO	4.000	May 29, 12	Aug 13, 12	248 70	229 56			19.14

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
NEXTERA ENERGY INC COM	FIFO	1.000	Sep 06, 11	Jan 11, 12	59.16	54.76			4 40
	FIFO	3.000	Sep 06, 11	Jan 12, 12	178 17	164.28			13.89
	FIFO	23.000	Sep 06, 11	May 02, 12	1,466.67	1,259.48			207.19
	FIFO	20.000	Sep 06, 11	May 29, 12	1,312.44	1,095.20			217.24
	FIFO	12.000	Sep 06, 11	Jul 17, 12	833.36	657.12			176.24
NORTHEAST UTILITIES	FIFO	2.000	Sep 06, 11	Jan 11, 12	58 77	66 25			2.52
	FIFO	4.000	Sep 06, 11	Jan 12, 12	137.19	132.51			4 68
	FIFO	4.000	Sep 06, 11	May 02, 12	147.23	132.51			14 72
	FIFO	21.000	Sep 06, 11	May 29, 12	771.52	695.67			75.85
	FIFO	30.000	Sep 06, 11	Jul 17, 12	1,189.66	993.81			195.85
NOVARTIS AG SPON ADR	FIFO	1 000	Sep 06, 11	Jan 11, 12	56 03	55.41			0.62
	FIFO	3.000	Sep 06, 11	Jan 12, 12	170.06	166.23			3.83
	FIFO	1.000	Sep 06, 11	May 29, 12	52 23	52.23	-3.18		
	FIFO	18 000	Sep 06, 11	Jul 17, 12	1,000.99	997.36			3.63
NTT DOCOMO INC ECH REPSTG 1/200TH COM SHS SPON ADR	FIFO	4,000	Sep 06, 11	Jan 11, 12	73.79	71.80			1.99
	FIFO	8 000	Sep 06, 11	Jan 12, 12	146.23	143.61			2.62
	FIFO	59.000	Sep 06, 11	Jul 17, 12	988.94	1,059.10		-70 16	
	FIFO	249.000	Nov 29, 11	Nov 13, 12	3,551.49	4,319.85		-768 36	
	FIFO	129.000	May 02, 12	Nov 13, 12	1,839.92	2,147.85		-307.93	
	FIFO	12 000	May 29, 12	Nov 13, 12	171.16	188.64		-17 48	
OCCIDENTAL PETROLEUM CRP	FIFO	8 000	Apr 10, 12	May 02, 12	735.90	716.68			19.22
	FIFO	2 000	Apr 10, 12	Jul 17, 12	169.81	179.17		-9.36	
PEARSON PLC SPON ADR	FIFO	4 000	Sep 06, 11	Jan 11, 12	75.30	68.36			6 94
	FIFO	8 000	Sep 06, 11	Jan 12, 12	150.39	136.72			13.67
	FIFO	1 000	Sep 06, 11	May 29, 12	18.06	17.08			0 98
	FIFO	69 000	Sep 06, 11	Jul 17, 12	1,355 60	1,179.19			176.41
PEPSICO INC	FIFO	1.000	Sep 06, 11	Jan 11, 12	64.36	62.33			2.03
	FIFO	2.000	Sep 06, 11	Jan 12, 12	129 23	124 67			4 56

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	208 000	Sep 06, 11	Feb 13, 12	13,213.98	12,965.64			248.34
	FIFO	55 000	Nov 29, 11	Feb 13, 12	3,494 08	3,501.11		-7.03	
PIMCO ALL ASSETS ALL AUTH-A	FIFO	18 401	Sep 06, 11	Jan 11, 12	186 40	199.10		-12 70	
	FIFO	34.916	Sep 06, 11	Jan 12, 12	353.70	377.79		-24.09	
	FIFO	106.742	Sep 06, 11	May 02, 12	1,140.00	1,154.95		-14 95	
	FIFO	5 695	Sep 06, 11	May 29, 12	58.49	61.62		-3 13	
	FIFO	131 261	Sep 06, 11	Jul 17, 12	1,400.55	1,420.24		-19.69	
POWERSHARES WATER RESOURCES PORTFOLIO	FIFO	12.000	Sep 06, 11	Jan 11, 12	211.43	192.04			19.39
	FIFO	23.000	Sep 06, 11	Jan 12, 12	409.16	368.10			41.06
	FIFO	189.000	Sep 06, 11	May 02, 12	3,543 67	3,024.75			518.92
	FIFO	11 000	Sep 06, 11	May 29, 12	198.10	176.05			22.05
PROCTER & GAMBLE CO	FIFO	1.000	Sep 06, 11	Jan 11, 12	66.22	62.48			3.74
	FIFO	2 000	Sep 06, 11	Jan 12, 12	131.65	124.96			6.69
	FIFO	208 000	Sep 06, 11	May 01, 12	13,195 90	12,995 67			200.23
	FIFO	60.000	Nov 29, 11	May 01, 12	3,806.51	3,753 60			52.91
SANOFI SPON ADR	FIFO	2.000	Sep 06, 11	Jan 11, 12	71.37	69.34			2 03
	FIFO	4.000	Sep 06, 11	Jan 12, 12	143.23	138.68			4.55
	FIFO	23.000	Sep 06, 11	May 02, 12	882.49	797.39			85 10
	FIFO	33.000	Sep 06, 11	Jul 17, 12	1,228.68	1,144 08			84 60
SPDR BARCLAYS CONERTIBLE SEC ETF	FIFO	5 000	Sep 06, 11	Jan 11, 12	186.24	184.44			1 80
	FIFO	10 000	Sep 06, 11	Jan 12, 12	375.79	368.88			6 91
	FIFO	1,212 000	Sep 06, 11	Jan 31, 12	46,541.93	44,708.73			1,833 20
	FIFO	43.000	Nov 29, 11	Jan 31, 12	1,651.24	1,581.84	27.26		69 40
	FIFO	1,873.000	May 02, 12	Sep 21, 12	74,729.98	72,868.57			1,861.41
	FIFO	1 000	May 29, 12	Sep 21, 12	39.90	37 18			2.72
	FIFO	23.000	Jul 17, 12	Sep 21, 12	917 67	856 98			60 69

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
SPDR INDEX SHARES FUNDS SPDR DOW JONES GLOBAL *NAME CHANGE EFF 04/09*	FIFO	3.000	Sep 06, 11	Jan 11, 12	105.44	106.76		-1.32	
	FIFO	6.000	Sep 06, 11	Jan 12, 12	211 85	213 52		-1.67	
	FIFO	64.000	Sep 06, 11	May 02, 12	2,561.00	2,277.57			283.43
	FIFO	3.000	Sep 06, 11	May 29, 12	114 89	106.76			8 13
	FIFO	21.000	Sep 06, 11	Jul 17, 12	846.84	747.33			99.51
	FIFO	38 000	Nov 29, 11	Sep 21, 12	1,561 79	1,266 71			295.08
TESCO PLC SPONS ADR UNITED KINGDOM	FIFO	4.000	Oct 10, 11	Jan 11, 12	71.39	78 24		-6.85	
	FIFO	7.000	Oct 10, 11	Jan 12, 12	106 39	136 91		-30.52	
	FIFO	672.000	Oct 10, 11	Jan 17, 12	9,795.92	13,143 63		-3,347.71	
	FIFO	228.000	Nov 29, 11	Jan 17, 12	3,323 62	4,254 48		-930.86	
TORONTO DOMINION BK NEW CANADA CAD	FIFO	2.000	Mar 12, 12	Jul 17, 12	157.49	165.64		-8.15	
UNITED PARCEL SERVICE INC CL B	FIFO	1.000	Sep 06, 11	Jan 11, 12	74.53	63.82			10 71
	FIFO	2.000	Sep 06, 11	Jan 12, 12	149 65	127.65			22 00
	FIFO	12.000	Sep 06, 11	May 02, 12	940.41	765.89			174.52
	FIFO	2.000	Sep 06, 11	May 29, 12	151.09	127.65			23.44
	FIFO	7.000	Sep 06, 11	Jul 17, 12	550.15	446.77			103.38
UNTD TECHNOLOGIES CORP	FIFO	1 000	Sep 06, 11	Jan 11, 12	75.83	69.58			6.25
	FIFO	2 000	Sep 06, 11	Jan 12, 12	154.72	139.16			15.56
	FIFO	6 000	Sep 06, 11	May 02, 12	489.70	417.47			72.23
VANGUARD MSCI EMERGING MARKETS ETF	FIFO	5.000	Sep 06, 11	Jan 11, 12	197.89	209 38		-11 49	
	FIFO	10.000	Sep 06, 11	Jan 12, 12	399 29	418 78		-19 49	
	FIFO	26.000	Sep 06, 11	May 02, 12	1,109.13	1,088.81			20 32
	FIFO	1.000	Sep 06, 11	Jul 17, 12	39 27	41 88		-2 61	
VANGUARD SECTOR INDEX FD INFORMATION TECH ETF	FIFO	6 000	Sep 06, 11	Jan 11, 12	378 95	335 25			43.70
	FIFO	12.000	Sep 06, 11	Jan 12, 12	762.29	670 52			91.77

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	159.000	Sep 06, 11	May 02, 12	11,536.10	8,884.29			2,651.81
VANGUARD SECTOR INDEX FD VANGUARD UTILITIES ETF	FIFO	3.000	Sep 06, 11	Jan 11, 12	224.33	210.06			14 27
	FIFO	5.000	Sep 06, 11	Jan 12, 12	373.14	350.09			23.05
	FIFO	30 000	Sep 06, 11	May 29, 12	2,290.44	2,100.57			189.87
	FIFO	14 000	Sep 06, 11	Jul 17, 12	1,111.29	980 26			131.03
VODAFONE GROUP PLC NEW SPON ADR	FIFO	3.000	Sep 06, 11	Jan 11, 12	82.76	77 57			5.19
	FIFO	5 000	Sep 06, 11	Jan 12, 12	139.06	129.29			9.77
	FIFO	15.000	Sep 06, 11	May 29, 12	407.24	387 85			19.39
	FIFO	25.000	Sep 06, 11	Jul 17, 12	713 58	646 43			67.15
WPP PLC SPON ADR	FIFO	21.000	Feb 06, 12	May 02, 12	1,432.79	1,341.42			91 37
	FIFO	13 000	Feb 06, 12	Sep 21, 12	918.02	830 40			87 62
	FIFO	342.000	Feb 06, 12	Nov 13, 12	21,956.96	21,845 98			110 98
	FIFO	15 000	May 29, 12	Nov 13, 12	963.02	937.05			25 97
	FIFO	11.000	Jul 17, 12	Nov 13, 12	706.22	680 02			26 20
3M CO	FIFO	1.000	Sep 06, 11	Jan 11, 12	83.75	77.46			6.29
	FIFO	2.000	Sep 06, 11	Jan 12, 12	168.53	154.93			13.60
	FIFO	165.000	Sep 06, 11	Apr 10, 12	14,036 76	12,781.26			1,255.50
	FIFO	51.000	Nov 29, 11	Apr 10, 12	4,338.64	3,946.24			392.40
Total					$796,219.82	$769,793.96		-$16,437.16	$42,863.02
Net short-term capital gains and losses									$26,425.86

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
ASTRAZENECA PLC SPON ADR	FIFO	9.000	Sep 06, 11	Sep 21, 12	430.64	400.02			30.62
AT&T INC	FIFO	3 000	Nov 17, 08	Jan 11, 12	89.66	81.36			8 30
	FIFO	5 000	Nov 17, 08	Jan 12, 12	151 04	135.61			15.43
	FIFO	44 000	Nov 17, 08	May 02, 12	1,456 02	1,193.34			262.68

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	213.000	Nov 17, 08	May 07, 12	7,010.09	5,776.86			1,233.23
BRITISH AMER TOBACCO PLC GB SPON ADR	FIFO	8 000	Sep 06, 11	Sep 21, 12	834.62	707 17			127.45
COCA COLA CO COM	FIFO	13 000	Sep 06, 11	Sep 21, 12	499.73	449.39			50 34
COLGATE PALMOLIVE CO	FIFO	7.000	Sep 06, 11	Sep 21, 12	747.62	620.25			127.37
DIAGEO PLC NEW GB SPON ADR	FIFO	7.000	Sep 12, 11	Sep 21, 12	781.53	528 04			253.49
EMERSON ELECTRIC CO	FIFO	9.000	Sep 06, 11	Sep 21, 12	452.50	385.45			67.05
ENERGY SECTOR SPDR TRUST SHARES	FIFO	1,000.000	Sep 06, 11	Sep 21, 12	74,629.91	64,519 20			10,110.71
EXXON MOBIL CORP	FIFO	1.000	Feb 26, 08	Jan 11, 12	85.18	89.77		-4.59	
	FIFO	2.000	Feb 26, 08	Jan 12, 12	168 97	179 53		-10.56	
	FIFO	6.000	Feb 26, 08	May 02, 12	516 52	538 59		-22.07	
	FIFO	4.000	Feb 26, 08	Jul 17, 12	338 35	359 06		-20 71	
	FIFO	67.000	Feb 26, 08	Sep 10, 12	6,015.12	6,014.30			0.82
	FIFO	105.000	Dec 01, 08	Sep 10, 12	9,426.69	8,049.30			1,377.39
GENL MILLS INC	FIFO	18 000	Sep 06, 11	Sep 21, 12	724.48	663.46			61.02
GUGGENHEIM LONG/SHORT COMMODITIES STRATEGY CLASS A	FIFO	2,375.518	Sep 06, 11	Sep 21, 12	43,685.78	65,540.54		-21,854.76	
GUGGENHEIM MANAGED FUTURES STRATEGY FUND A	FIFO	2,533 686	Sep 06, 11	Sep 21, 12	54,600.93	63,418 16		-8,817 23	
ILLINOIS TOOL WORKS INC	FIFO	3.000	Sep 06, 11	Sep 21, 12	182.33	128.64			53 69
INTEL CORP	FIFO	11 000	Sep 06, 11	Sep 21, 12	253.98	212.30			41 68
ISHARES FTSE CHINA 25 INDEX FUND ETF	FIFO	1,195 000	Sep 06, 11	Sep 21, 12	41,645.20	43,724.10		-2,078.90	
ISHARES IBOXX HIGH YIELD CORP BOND	FIFO	742 000	Sep 06, 11	Sep 21, 12	69,206.27	63,855.01			5,351.26
ISHARES TRUST CORE S&P SMALL-CAP ETF	FIFO	5 000	Dec 01, 08	Jan 11, 12	351 54	192.65			158 89
	FIFO	9.000	Dec 01, 08	Jan 12, 12	637.81	346.77			291 04
	FIFO	206.000	Dec 01, 08	Jan 31, 12	15,021.81	7,937 18			7,084.63

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	850.000	Jan 20, 09	Jan 31, 12	61,983.20	32,654 96			29,328.24
ISHARES TRUST DJ U.S BASIC MATERIALS SECTR INDEX FD	FIFO	656 000	Sep 06, 11	Sep 21, 12	45,890 49	43,753.76			2,136 73
ISHARES TRUST RUSSELL 1000 GROWTH INDEX FUND	FIFO	830 000	Sep 06, 11	Sep 21, 12	56,656.19	44,835.76			11,820 43
ISHARES TRUST S&P U S PFD STOCK INDEX FUND	FIFO	1,756.000	Sep 06, 11	Sep 21, 12	70,133.31	64,567.67			5,565 64
JOHNSON & JOHNSON COM	FIFO	1.000	May 08, 06	Jan 11, 12	65.00	58.77			6 23
	FIFO	2.000	May 08, 06	Jan 12, 12	130.51	117.54			12.97
	FIFO	2 000	May 08, 06	May 29, 12	125 03	117.54			7 49
	FIFO	20 000	May 08, 06	Jul 17, 12	1,359 76	1,175.41			184.35
	FIFO	4.000	May 08, 06	Sep 21, 12	276.87	235.08			41.79
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS A	FIFO	3,211 784	Sep 06, 11	Sep 21, 12	49,557.82	47,534.40			2,023 42
MEDTRONIC INC	FIFO	22 000	Sep 06, 11	Sep 21, 12	951.99	739.14			212 85
MFS INTERNATIONAL DIVERSIFICATION FUND CLASS A	FIFO	285.898	Sep 06, 11	Sep 21, 12	3,971 12	3,539.41			431.71
NEXTERA ENERGY INC COM	FIFO	3.000	Sep 06, 11	Sep 21, 12	204.86	164.28			40 58
NOVARTIS AG SPON ADR	FIFO	11.000	Sep 06, 11	Sep 21, 12	672 30	609.50			62.80
NTT DOCOMO INC ECH REPSTG 1/200TH COM SHS SPON ADR	FIFO	9 000	Sep 06, 11	Sep 21, 12	150.11	161.55		-11.44	
	FIFO	648.000	Sep 06, 11	Nov 13, 12	9,242.43	11,632.12		-2,389 69	
PEARSON PLC SPON ADR	FIFO	28.000	Sep 06, 11	Sep 21, 12	553.36	478.51			74.85
POWERSHARES WATER RESOURCES PORTFOLIO	FIFO	81.000	Sep 06, 11	Sep 21, 12	1,593.84	1,296.32			297.52
RAYTHEON CO NEW	FIFO	2.000	May 09, 06	Jan 11, 12	97.37	93.92			3 45
	FIFO	3.000	May 09, 06	Jan 12, 12	148.67	140 88			7.79
	FIFO	19.000	May 09, 06	May 02, 12	1,029.44	892.24			137.20

continued next page

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	24.000	May 30, 06	May 02, 12	1,300.34	1,087 44			212 90
	FIFO	31.000	May 30, 06	Jul 17, 12	1,724.28	1,404 61			319.67
	FIFO	5.000	May 30, 06	Sep 21, 12	292.14	226 55			65.59
SANOFI SPON ADR	FIFO	12.000	Sep 06, 11	Sep 21, 12	537.13	416 03			121.10
SPDR INDEX SHARES FUNDS SPDR DOW JONES GLOBAL *NAME CHANGE EFF 04/09*	FIFO	544.000	Sep 06, 11	Sep 21, 12	22,358.22	19,359 33			2,998 89
SPDR S&P MIDCAP 400 ETF TR	FIFO	2.000	Jun 18, 08	Jan 11, 12	329.75	315 90			13 85
	FIFO	4 000	Jun 18, 08	Jan 12, 12	662 54	631.80			30.74
	FIFO	37 000	Jun 18, 08	May 02, 12	6,676.10	5,844 15			831 95
	FIFO	11.000	Jun 18, 08	Sep 21, 12	2,021 16	1,737.45			283.71
UNTD TECHNOLOGIES CORP	FIFO	4.000	Sep 06, 11	Sep 21, 12	324.51	278.31			46.20
VANGUARD MSCI EMERGING MARKETS ETF	FIFO	42.000	Sep 06, 11	Sep 21, 12	1,797.64	1,758.85			38 79
VANGUARD SECTOR INDEX FD INFORMATION TECH ETF	FIFO	432.000	Sep 06, 11	Sep 21, 12	32,599.49	24,138 45			8,461 04
VODAFONE GROUP PLC NEW SPON ADR	FIFO	7.000	Sep 06, 11	Sep 21, 12	202.50	180 99			21 51
Total					$705,563.79	$648,224.67		-$35,209.95	$92,549 07
Net long-term capital gains or losses									$57,339.12
Net capital gains/losses:									$83,764.98

End of statement for account number SP 05730 31

Form **8868**

(Rev January 2013)

Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ **File a separate application for each return.**

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ▶ [X]
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing *(e-file)*. You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions) For more details on the electronic filing of this form, visit *www irs gov/efile* and click on *e-file for Charities & Nonprofits*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6-month extension—check this box and complete Part I only ▶ [ ]

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number, see instructions

Type or print	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
File by the due date for filing your return See instructions	ELISE AND HARRY BAUMKER CHARITABLE FOUNDATION, INC	34-1300465
	Number, street, and room or suite no If a P O box, see instructions 9039 BAYWOOD PARK DRIVE	Social security number (SSN)
	City, town or post office, state, and ZIP code For a foreign address, see instructions SEMINOLE FL 33777	

Enter the Return code for the return that this application is for (file a separate application for each return) . 04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ ELISE AND HARRY BAUMKER CHARITABLE FOUNDATION, INC

Telephone No ▶ FAX No. ▶

- If the organization does not have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______. If this is for the whole group, check this box ▶ [ ] If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension is for

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 8/15/2013, to file the exempt organization return for the organization named above The extension is for the organization's return for

▶ [X] calendar year 2012 or

▶ [ ] tax year beginning ______, and ending ______

2 If the tax year entered in line 1 is for less than 12 months, check reason. [ ] Initial return [ ] Final return [ ] Change in accounting period

3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	3a	$ 0
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit	3b	$ 0
c	**Balance due.** Subtract line 3b from line 3a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	3c	$ 0

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

• If you are filing for an Additional (Not Automatic) 3-Month Extension, complete only Part II and check this box . . . . . ▶ [X]

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

• If you are filing for an Automatic 3-Month Extension, complete only Part I (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print	Name of exempt organization	Employer identification number (EIN) or
File by the due date for filing your return. See instructions	ELISE AND HARRY BAUMKER CHARITABLE FOUNDATION, INC.	34-1300465
	Number, street, and room or suite no. If a P.O. box, see instructions.	Social security number (SSN)
	9039 BAYWOOD PARK DRIVE	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
	SEMINOLE FL 33777	

Enter the Return code for the return that this application is for (file a separate application for each return) 04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

• The books are in the care of ▶ ELISE AND HARRY BAUMKER CHARITABLE FOUNDATION, INC.

Telephone No. ▶ FAX No. ▶

• If the organization does not have an office or place of business in the United States, check this box . . . . ▶ [ ]

• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______. If this is for the whole group, check this box . . . . ▶ [ ]. If it is for part of the group, check this box . . . . ▶ [ ] and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until 11/15/2013.

5 For calendar year 2012, or other tax year beginning ______, and ending ______.

6 If the tax year entered in line 5 is for less than 12 months, check reason: [ ] Initial return [ ] Final return [ ] Change in accounting period

7 State in detail why you need the extension Waiting for additional third party information for a more complete and accurate return.

8a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	$ 0
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	$ 0
c	**Balance due.** Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	$ 0

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶ Marc D-Kaiser Title ▶ CPA Date ▶ 8/6/13