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Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

2012

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2012, or tax year beginning , 2012, and ending

DREAM ENVISION FOUNDATION
C/O BACHTELLE VOSSMAN & COMPANY
18201 VON KARMAN AVENUE #305
IRVINE, CA 92612

G Check all that apply. ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16)
\$ 5,482,179.

J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify) (Part I, column (d) must be on cash basis)

A Employer identification number
33-0935544

B Telephone number (see the instructions)
949-851-6271

C If exemption application is pending, check here ☐

D 1 Foreign organizations, check here ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc. received (att sch)				
	2 Check ☒ if the foundn is not req to att Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	140,916.	140,916.	140,916.	
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain/(loss) from sale of assets not on line 10	106,308.			
	b Gross sales price for all assets on line 6a	1,122,577.			
	7 Capital gain net income (from Part IV, line 2)		106,308.		
	8 Net short-term capital gain			68,134.	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit/(loss) (att sch)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	247,224.	247,224.	209,050.		
ADMINISTRATIVE AND OPERATING EXPENSES	13 Compensation of officers, directors, trustees, etc	0.			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach sch) SEE ST 1	10,425.			10,425.
	c Other prof fees (attach sch)				
	17 Interest				
	18 Taxes (attach schedule) (see instrs) SEE STM 2	9,508.	1,768.		7,740.
	19 Depreciation (attach sch) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) SEE STATEMENT 3	47,543.	47,543.		
	24 Total operating and administrative expenses. Add lines 13 through 23	67,476.	49,311.		18,165.
25 Contributions, gifts, grants paid STMT 4	407,570.			407,570.	
26 Total expenses and disbursements. Add lines 24 and 25	475,046.	49,311.	0.	425,735.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-227,822.				
b Net investment income (if negative, enter -0-)		197,913.			
c Adjusted net income (if negative, enter -0-)			209,050.		

Part II Balance Sheets		Beginning of year (a) Book Value	End of year	
			(b) Book Value	(c) Fair Market Value
ASSETS	1. Cash — non-interest-bearing			
	2. Savings and temporary cash investments	119,798.	153,987.	153,987.
	3. Accounts receivable			
	Less: allowance for doubtful accounts			
	4. Pledges receivable			
	Less: allowance for doubtful accounts			
	5. Grants receivable			
	6. Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7. Other notes and loans receivable (attach sch.)			
	Less: allowance for doubtful accounts			
	8. Inventories for sale or use			
	9. Prepaid expenses and deferred charges			
	10a. Investments — U.S. and state government obligations (attach schedule)			
	b. Investments — corporate stock (attach schedule)	5,013,120.	4,751,109.	5,406,050.
	c. Investments — corporate bonds (attach schedule)			
	11. Investments — land, buildings, and equipment basis			
LIABILITIES	Less: accumulated depreciation (attach schedule)			
	12. Investments — mortgage loans			
	13. Investments — other (attach schedule)			
	14. Land, buildings, and equipment basis			
	Less: accumulated depreciation (attach schedule)			
	15. Other assets (describe)			
	16. Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I)	5,132,918.	4,905,096.	5,560,037.
	17. Accounts payable and accrued expenses			
	18. Grants payable			
	19. Deferred revenue			
FUND ASSESSORS	20. Loans from officers, directors, trustees, & other disqualified persons			
	21. Mortgages and other notes payable (attach schedule)			
	22. Other liabilities (describe)			
	23. Total liabilities (add lines 17 through 22)	0.	0.	
	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.			
	24. Unrestricted			
	25. Temporarily restricted			
	26. Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.	X		
	27. Capital stock, trust principal, or current funds			
	28. Paid-in or capital surplus, or land, building, and equipment fund			
	29. Retained earnings, accumulated income, endowment, or other funds	5,132,918.	4,905,096.	
	30. Total net assets or fund balances (see instructions)	5,132,918.	4,905,096.	
	31. Total liabilities and net assets/fund balances (see instructions)	5,132,918.	4,905,096.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,132,918.
2	Enter amount from Part I, line 27a	2	-227,822.
3	Other increases not included in line 2 (itemize)	3	
4	Add lines 1, 2, and 3	4	4,905,096.
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	4,905,096.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a SEE STATEMENT 5				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	— [If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7]	2	106,308.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):	— [If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8]	3	68,134.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2011	498,715.	5,762,046.	0.086552
2010	479,652.	5,688,933.	0.084313
2009	523,112.	5,468,889.	0.095652
2008	536,063.	7,121,955.	0.075269
2007	426,992.	8,475,597.	0.050379

2 Total of line 1, column (d)	2	0.392165
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.078433
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	5,392,252.
5 Multiply line 4 by line 3	5	422,931.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,979.
7 Add lines 5 and 6	7	424,910.
8 Enter qualifying distributions from Part XII, line 4	8	425,735.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter 'N/A' on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary – see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b.		1	1,979.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	1,979.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	1,979.
6 Credits/Payments			
a 2012 estimated tax pmts and 2011 overpayment credited to 2012	6 a	4,800.	
b Exempt foreign organizations – tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c		
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments. Add lines 6a through 6d	7	4,800.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	15.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	2,806.	
11 Enter the amount of line 10 to be: Credited to 2013 estimated tax <u>2,806.</u> Refunded <u>0.</u>	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <u>\$ 0.</u> (2) On foundation managers <u>\$ 0.</u>		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <u>\$ 0.</u>		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities.</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>CA</u>		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>SUSAN BACHTELLE</u> Telephone no. <u>949-851-6271</u> Located at <u>18201 VON KARMAN, STE 305 IRVINE CA</u> ZIP + 4 <u>92612</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <u>N/A</u> and enter the amount of tax exempt interest received or accrued during the year <u>15</u>			
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If 'Yes,' enter the name of the foreign country <u></u>	16		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year did the foundation (either directly or indirectly).		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No	
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <u></u>	1 b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? If 'Yes,' list the years <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions.)	2 b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If 'Yes,' did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)	3 b	N/A
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4 b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5 a** During the year did the foundation pay or incur any amount to:**(1)** Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?☐ Yes ☒ No**(2)** Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?☐ Yes ☒ No**(3)** Provide a grant to an individual for travel, study, or other similar purposes?☐ Yes ☒ No**(4)** Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)☐ Yes ☒ No**(5)** Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5 b N/A

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d).

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6 b X

If 'Yes' to 6b, file Form 8870

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7 b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ELLYN BYE P.O. BOX 523 LAKE OSWEGO, OR 97034	PRESIDENT 5.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a Average monthly fair market value of securities	1 a	5,328,192.
b Average of monthly cash balances	1 b	146,176.
c Fair market value of all other assets (see instructions)	1 c	
d Total (add lines 1a, b, and c)	1 d	5,474,368.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	5,474,368.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	82,116.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	5,392,252.
6 Minimum investment return. Enter 5% of line 5	6	269,613.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6		1	269,613.
2a Tax on investment income for 2012 from Part VI, line 5	2 a	1,979.	
b Income tax for 2012 (This does not include the tax from Part VI)	2 b		
c Add lines 2a and 2b	2 c		1,979.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3		267,634.
4 Recoveries of amounts treated as qualifying distributions	4		
5 Add lines 3 and 4	5		267,634.
6 Deduction from distributable amount (see instructions)	6		
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7		267,634.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	425,735.
b Program-related investments — total from Part IX-B	1 b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3 a	
b Cash distribution test (attach the required schedule)	3 b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	425,735.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	1,979.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	423,756.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				267,634.
2 Undistributed income, if any, as of the end of 2012:				
a Enter amount for 2011 only			0.	
b Total for prior years: 20____, 20____, 20____		0.		
3 Excess distributions carryover, if any, to 2012:				
a From 2007	12,375.			
b From 2008	180,629.			
c From 2009	250,304.			
d From 2010	198,641.			
e From 2011 ..	220,119.			
f Total of lines 3a through e	862,068.			
4 Qualifying distributions for 2012 from Part XII, line 4. ► \$ 425,735.				
a Applied to 2011, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2012 distributable amount				267,634.
e Remaining amount distributed out of corpus	158,101.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5	1,020,169.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount — see instructions		0.		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount — see instructions			0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)	12,375.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	1,007,794.			
10 Analysis of line 9				
a Excess from 2008	180,629.			
b Excess from 2009	250,304.			
c Excess from 2010	198,641.			
d Excess from 2011	220,119.			
e Excess from 2012	158,101.			

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Total				3 a
b Approved for future payment				
Total				3 b

Enter gross amounts unless otherwise indicated.

(See worksheet in line 13 instructions to verify calculations.)

[illegible]

2012

FEDERAL STATEMENTS

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CLIENT DRE01

DREAM ENVISION FOUNDATION
C/O BACHTELLE VOSSMAN & COMPANY

33-0935544

11/11/13

11 28AM

STATEMENT 1
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	\$ 10,425.			\$ 10,425.
TOTAL	\$ 10,425.	\$ 0.	\$ 0.	\$ 10,425.

STATEMENT 2
FORM 990-PF, PART I, LINE 18
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EXCISE TAXES	\$ 7,635.			\$ 7,635.
FOREIGN TAXES	1,768.	\$ 1,768.		
FRANCHISE TAX	10.			10.
OTHER TAXES	95.			95.
TOTAL	\$ 9,508.	\$ 1,768.	\$ 0.	\$ 7,740.

STATEMENT 3
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	\$ 47,543.	\$ 47,543.		
TOTAL	\$ 47,543.	\$ 47,543.	\$ 0.	\$ 0.

STATEMENT 4
FORM 990-PF, PART I, LINE 25
CONTRIBUTIONS, GIFTS, AND GRANTSCASH GRANTS AND ALLOCATIONS

CLASS OF ACTIVITY:	COUNSELING FOR WOMEN	
DONEE'S NAME:	LA VIE, INC.	
DONEE'S ADDRESS:	261 H AVENUE	
	CORONADO, CA 92118	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	501(C)(3)	
AMOUNT GIVEN:		\$ 15,000.

CLASS OF ACTIVITY:	SUPPORT OF THE ARTS
DONEE'S NAME:	ARMORY THEATER FUND
DONEE'S ADDRESS:	1111 SOUTHWEST BROADWAY
	PORTLAND, OR 97205
RELATIONSHIP OF DONEE:	NONE
ORGANIZATIONAL STATUS OF DONEE:	501(C)(3)

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DREAM ENVISION FOUNDATION
C/O BACHTELLE VOSSMAN & COMPANY

33-0935544

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STATEMENT 4 (CONTINUED)
FORM 990-PF, PART I, LINE 25
CONTRIBUTIONS, GIFTS, AND GRANTS

AMOUNT GIVEN: \$ 200,000.

CLASS OF ACTIVITY: DISABLED PERSON ASSTNCE.
DONEE'S NAME: SEED BUSINESS NETWORK
DONEE'S ADDRESS: PO BOX 9637
LAGUNA BEACH, CA 92652RELATIONSHIP OF DONEE: NONE
ORGANIZATIONAL STATUS OF DONEE: 501(C) (3)
AMOUNT GIVEN: 16,000.CLASS OF ACTIVITY: ANIMAL ASSISTANCE/WELFARE
DONEE'S NAME: OREGON HUMANE SOCIETY
DONEE'S ADDRESS: PO BOX 11364
PORTLAND, OR 97211RELATIONSHIP OF DONEE: NONE
ORGANIZATIONAL STATUS OF DONEE: 501(C) (3)
AMOUNT GIVEN: 37,500.CLASS OF ACTIVITY: SUPPORT OF THE ARTS
DONEE'S NAME: BOSCO MILLIGAN FOUNDATION
DONEE'S ADDRESS: 701 SE GRAND AVE
PORTLAND, OR 97214RELATIONSHIP OF DONEE: NONE
ORGANIZATIONAL STATUS OF DONEE: 501(C) (3)
AMOUNT GIVEN: 1,000.CLASS OF ACTIVITY: ANIMAL ASSISTANCE/WELFARE
DONEE'S NAME: PROJECT POOCH
DONEE'S ADDRESS: 2630 NORTH PACIFIC HIGHWAY
WOODBURN, OR 97071RELATIONSHIP OF DONEE: NONE
ORGANIZATIONAL STATUS OF DONEE: 501(C) (3)
AMOUNT GIVEN: 5,820.CLASS OF ACTIVITY: SCHOLARSHIP FUND
DONEE'S NAME: MARATHON EDUCATION PARTNERS
DONEE'S ADDRESS: P.O. BOX 82007
PORTLAND, OR 97282RELATIONSHIP OF DONEE: NONE
ORGANIZATIONAL STATUS OF DONEE: 501(C) 3
AMOUNT GIVEN: 5,700.CLASS OF ACTIVITY: ANIMAL ASSISTANCE
DONEE'S NAME: DOVE LEWIS ANIMAL HOSPITAL
DONEE'S ADDRESS: 1945 NW PETTYGROVE ST.
PORTLAND, OR 97216RELATIONSHIP OF DONEE: NONE
ORGANIZATIONAL STATUS OF DONEE: 501(C) 3
AMOUNT GIVEN: 10,000.CLASS OF ACTIVITY: ANIMAL ASSISTANCE
DONEE'S NAME: OREGON ZOO FOUNDATION
DONEE'S ADDRESS: 4001 SW CANYON ROAD
PORTLAND, OR 97221RELATIONSHIP OF DONEE: NONE
ORGANIZATIONAL STATUS OF DONEE: 501(C) 3

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DREAM ENVISION FOUNDATION
C/O BACHTELLE VOSSMAN & COMPANY

33-0935544

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STATEMENT 4 (CONTINUED)
FORM 990-PF, PART I, LINE 25
CONTRIBUTIONS, GIFTS, AND GRANTS

AMOUNT GIVEN: \$ 500.

CLASS OF ACTIVITY: CHILD DEVELOPMENT
DONEE'S NAME: YACHATS YOUTH & FAMILY ACTIVITES PROGRAM
DONEE'S ADDRESS: P.O. BOX 151
YACHATS, OR 97498RELATIONSHIP OF DONEE: NONE
ORGANIZATIONAL STATUS OF DONEE: 501(C)3
AMOUNT GIVEN: 500.CLASS OF ACTIVITY: DOMESTIC VIOLENCE SHELTER
DONEE'S NAME: RAFAEL HOUSE OF PORTLAND
DONEE'S ADDRESS: 4110 SE HAWTHORNE BLVD. #503
PORTLAND, OR 97214RELATIONSHIP OF DONEE: NONE
ORGANIZATIONAL STATUS OF DONEE: 501(C)3
AMOUNT GIVEN: 500.CLASS OF ACTIVITY: SUPPORT OF THE ARTS
DONEE'S NAME: TRIANGLE PRODUCTIONS!
DONEE'S ADDRESS: 8420 SW CANYON LANE #13
PORTLAND, OR 97335RELATIONSHIP OF DONEE: NONE
ORGANIZATIONAL STATUS OF DONEE: 501(C)3
AMOUNT GIVEN: 10,000.CLASS OF ACTIVITY: EDUCATION ASSISTANCE
DONEE'S NAME: LAKE OSWEGO SCHOOL DISTRICT FOUNDATION
DONEE'S ADDRESS: P.O. BOX 70
LAKE OSWEGO, OR 97034RELATIONSHIP OF DONEE: NONE
ORGANIZATIONAL STATUS OF DONEE: 501C(3)
AMOUNT GIVEN: 500.CLASS OF ACTIVITY: DRUG AND ALCOHOL REHAB
DONEE'S NAME: DAYBREAK YOUTH SERVICES
DONEE'S ADDRESS: 101 E. EIGHTH STREET STE 120
VANCOUVER, WA 98660RELATIONSHIP OF DONEE: NONE
ORGANIZATIONAL STATUS OF DONEE: 501C(3)
AMOUNT GIVEN: 4,500.CLASS OF ACTIVITY: SUPPORT OF THE ARTS
DONEE'S NAME: LAKEWOOD THEATRE COMPANY
DONEE'S ADDRESS: 368 S. STATE STREET
LAKE OSWEGO, OR 97034RELATIONSHIP OF DONEE: NONE
ORGANIZATIONAL STATUS OF DONEE: 501C(3)
AMOUNT GIVEN: 1,000.CLASS OF ACTIVITY: SUPPORT OF THE ARTS
DONEE'S NAME: THIRD RAIL
DONEE'S ADDRESS: P.O. BOX 82389
PORTLAND, OR 97202RELATIONSHIP OF DONEE: NONE
ORGANIZATIONAL STATUS OF DONEE: 501 (C) (3)

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DREAM ENVISION FOUNDATION
C/O BACHTELLE VOSSMAN & COMPANY

33-0935544

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STATEMENT 4 (CONTINUED)
FORM 990-PF, PART I, LINE 25
CONTRIBUTIONS, GIFTS, AND GRANTS

AMOUNT GIVEN: \$ 15,000.

CLASS OF ACTIVITY: CARE OF THE POOR
DONEE'S NAME: OREGON FOOD BANK
DONEE'S ADDRESS: P.O. BOX 55370
PORTLAND, OR 97238RELATIONSHIP OF DONEE: NONE
ORGANIZATIONAL STATUS OF DONEE: 501 (C) (3)
AMOUNT GIVEN: 1,000.CLASS OF ACTIVITY: SUPPORT OF THE ARTS
DONEE'S NAME: CREATIVE ADVOCACY NETWORK
DONEE'S ADDRESS: 1241 NW JOHNSON STREET
PORTLAND, OR 97209RELATIONSHIP OF DONEE: NONE
ORGANIZATIONAL STATUS OF DONEE: 501 (C) 3
AMOUNT GIVEN: 40,000.CLASS OF ACTIVITY: SUPPORT OF THE ARTS
DONEE'S NAME: PORTLAND OPERA
DONEE'S ADDRESS: 211 SE CARUTHERS STREET
PORTLAND, OR 97214RELATIONSHIP OF DONEE: NONE
ORGANIZATIONAL STATUS OF DONEE: 501 (C) 3
AMOUNT GIVEN: 10,000.CLASS OF ACTIVITY: SUPPORT OF THE ARTS
DONEE'S NAME: LITERARY ARTS
DONEE'S ADDRESS: 224 NW 13TH AVENUE, SUITE 306
PORTLAND, OR 97209RELATIONSHIP OF DONEE: NONE
ORGANIZATIONAL STATUS OF DONEE: 501 (C) (3)
AMOUNT GIVEN: 500.CLASS OF ACTIVITY: EDUCATION ASSISTANCE
DONEE'S NAME: ST ANDREW NATIVITY SCHOOL
DONEE'S ADDRESS: 4925 NE 9TH
PORTLAND, OR 97211RELATIONSHIP OF DONEE: NONE
ORGANIZATIONAL STATUS OF DONEE: 501 (C) (3)
AMOUNT GIVEN: 2,000.CLASS OF ACTIVITY: SUPPORT OF THE ARTS
DONEE'S NAME: BROADWAY ACROSS AMERICA
DONEE'S ADDRESS: 222 SW CLAY
PORTLAND, OR 97201RELATIONSHIP OF DONEE: NONE
ORGANIZATIONAL STATUS OF DONEE: 501 (C) (3)
AMOUNT GIVEN: 2,500.CLASS OF ACTIVITY: CARE OF THE POOR
DONEE'S NAME: AMEENA PROJECT
DONEE'S ADDRESS: 12190 SW BUTNER ROAD
PORTLAND, OR 97225RELATIONSHIP OF DONEE: NONE
ORGANIZATIONAL STATUS OF DONEE: 501 (C) (3)

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DREAM ENVISION FOUNDATION
C/O BACHTELLE VOSSMAN & COMPANY

33-0935544

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STATEMENT 4 (CONTINUED)
FORM 990-PF, PART I, LINE 25
CONTRIBUTIONS, GIFTS, AND GRANTS

AMOUNT GIVEN: \$ 500.

CLASS OF ACTIVITY: COMMUNITY ASSISTANCE
DONEE'S NAME: MADONNAS CENTER
DONEE'S ADDRESS: P.O. BOX 222368
MILWAUKIE, OR 97269RELATIONSHIP OF DONEE: NONE
ORGANIZATIONAL STATUS OF DONEE: 501 (C) (3)
AMOUNT GIVEN: 1,000.CLASS OF ACTIVITY: SUPPORT OF THE ARTS
DONEE'S NAME: PORTLAND CIVIC THEATRE GUILD
DONEE'S ADDRESS: P.O. BOX 1181
PORTLAND, OR 97207RELATIONSHIP OF DONEE: NONE
ORGANIZATIONAL STATUS OF DONEE: 501 (C) (3)
AMOUNT GIVEN: 5,000.CLASS OF ACTIVITY: SUPPORT OF THE ARTS
DONEE'S NAME: PORTLAND ACTORS CONSERVANCY
DONEE'S ADDRESS: 1436 SW MONTGOMERY ST
PORTLAND, OR 97201RELATIONSHIP OF DONEE: NONE
ORGANIZATIONAL STATUS OF DONEE: 501 (C) (3)
AMOUNT GIVEN: 5,000.CLASS OF ACTIVITY: CARE OF THE POOR
DONEE'S NAME: HOSPICE OF THE WEST
DONEE'S ADDRESS: 21410 N. 19TH AVE STE 100
PHOENIX, AZ 85027RELATIONSHIP OF DONEE: NONE
ORGANIZATIONAL STATUS OF DONEE: 501 (C) (3)
AMOUNT GIVEN: 500.CLASS OF ACTIVITY: SUPPORT OF THE ARTS
DONEE'S NAME: PORTLAND CENTER STAGE
DONEE'S ADDRESS: 128 NW ELEVENTH AVE
PORTLAND, OR 97209RELATIONSHIP OF DONEE: NONE
ORGANIZATIONAL STATUS OF DONEE: 501 (C) (3)
AMOUNT GIVEN: 11,000.CLASS OF ACTIVITY: ANIMAL ASSISTANCE
DONEE'S NAME: SW WASHINGTON HUMANE SOCIETY
DONEE'S ADDRESS: 1100 NORTHEAST 192ND AVE
VANCOUVER, WA 98684RELATIONSHIP OF DONEE: NONE
ORGANIZATIONAL STATUS OF DONEE: 501 (C) (3)
AMOUNT GIVEN: 50.CLASS OF ACTIVITY: SUPPORT OF THE ARTS
DONEE'S NAME: PORTLAND PLAYHOUSE
DONEE'S ADDRESS: 602 NE PRESCOTT ST
PORTLAND, OR 97211RELATIONSHIP OF DONEE: NONE
ORGANIZATIONAL STATUS OF DONEE: 501 (C) (3)

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DREAM ENVISION FOUNDATION
C/O BACHTELLE VOSSMAN & COMPANY

33-0935544

11/11/13

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STATEMENT 4 (CONTINUED)
FORM 990-PF, PART I, LINE 25
CONTRIBUTIONS, GIFTS, AND GRANTS

AMOUNT GIVEN: \$ 5,000.

TOTAL \$ 407,570.

STATEMENT 5
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
1	SEE STATEMENT A ATTACHED	PURCHASED	VARIOUS	VARIOUS
2	SEE STATEMENT B ATTACHED	PURCHASED	VARIOUS	VARIOUS
3	SEE STATEMENT C ATTACHED	PURCHASED	VARIOUS	VARIOUS
4	SEE STATEMENT C ATTACHED	PURCHASED	VARIOUS	VARIOUS
5	SEE STATEMENT D ATTACHED	PURCHASED	VARIOUS	VARIOUS
6	SEE STATEMENT D ATTACHED	PURCHASED	VARIOUS	VARIOUS
7	SEE STATEMENT E ATTACHED	PURCHASED	VARIOUS	VARIOUS
8	SEE STATEMENT E ATTACHED	PURCHASED	VARIOUS	VARIOUS
9	SEE STATEMENT F ATTACHED	PURCHASED	VARIOUS	VARIOUS
10	SEE STATEMENT G ATTACHED	PURCHASED	VARIOUS	VARIOUS
11	SEE STATEMENT G ATTACHED	PURCHASED	VARIOUS	VARIOUS
12	SEE STATEMENT H ATTACHED	PURCHASED	VARIOUS	VARIOUS
13	SEE STATEMENT H ATTACHED	PURCHASED	VARIOUS	VARIOUS
14	SEE STATEMENT I ATTACHED	PURCHASED	VARIOUS	VARIOUS
15	SEE STATEMENT I ATTACHED	PURCHASED	VARIOUS	VARIOUS
16	SEE STATEMENT J ATTACHED	PURCHASED	VARIOUS	VARIOUS
17	SEE STATEMENT J ATTACHED	PURCHASED	VARIOUS	VARIOUS
18	SEE STATEMENT K ATTACHED	PURCHASED	VARIOUS	VARIOUS
19	SEE STATEMENT K ATTACHED	PURCHASED	VARIOUS	VARIOUS
20	SEE STATEMENT L ATTACHED	PURCHASED	VARIOUS	VARIOUS
21	SEE STATEMENT L ATTACHED	PURCHASED	VARIOUS	VARIOUS
22	SEE STATEMENT M ATTACHED	PURCHASED	VARIOUS	VARIOUS
23	SEE STATEMENT M ATTACHED	PURCHASED	VARIOUS	VARIOUS
24	SEE STATEMENT N ATTACHED	PURCHASED	VARIOUS	VARIOUS
25	SEE STATEMENT N ATTACHED	PURCHASED	VARIOUS	VARIOUS
26	SEE STATEMENT O ATTACHED	PURCHASED	VARIOUS	VARIOUS
27	SEE STATEMENT O ATTACHED	PURCHASED	VARIOUS	VARIOUS
28	PIMCO BRAVO CAPITAL GAIN FROM K-1	PURCHASED	VARIOUS	VARIOUS

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
1	15,000.		15,355.	-355.				\$ -355.
2	16,998.		18,589.	-1,591.				-1,591.
3	44,278.		36,812.	7,466.				7,466.
4	35,355.		29,363.	5,992.				5,992.
5	29,309.		26,513.	2,796.				2,796.
6	84,233.		89,039.	-4,806.				-4,806.
7	77,263.		81,728.	-4,465.				-4,465.
8	73,243.		78,990.	-5,747.				-5,747.
9	20,000.		17,803.	2,197.				2,197.
10	4,808.		4,891.	-83.				-83.
11	62,391.		62,062.	329.				329.
12	106.		0.	106.				106.

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DREAM ENVISION FOUNDATION
C/O BACHTELLE VOSSMAN & COMPANY

33-0935544

11/11/13

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STATEMENT 5 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
13	8,944.		14,369.	-5,425.				\$ -5,425.
14	966.		1,108.	-142.				-142.
15	35,152.		31,148.	4,004.				4,004.
16	35,697.		32,576.	3,121.				3,121.
17	51,757.		42,862.	8,895.				8,895.
18	78,163.		79,121.	-958.				-958.
19	96,580.		87,320.	9,260.				9,260.
20	6,787.		12,190.	-5,403.				-5,403.
21	15,482.		10,202.	5,280.				5,280.
22	6,231.		5,685.	546.				546.
23	75,955.		75,803.	152.				152.
24	7,517.		6,656.	861.				861.
25	43,449.		24,708.	18,741.				18,741.
26	41,894.		43,156.	-1,262.				-1,262.
27	153,619.		88,220.	65,399.				65,399.
28	1,400.		0.	1,400.				1,400.
							TOTAL	\$ 106,308.

Morgan Stanley

CGMI 2012 Statement Linked Realized Gain/Loss - Detail

DREAM ENVISION FOUNDATION
(SBA - WALTER SCOTT INTL)
18201 VON KARMAN, SUITE 305
IRVINE CA 92612-1070

Prepared by The Greenfield Team
Ph. 415-955-1580

Acct. 264-134364-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
Long Term							
DREYFUS INTERNATIONAL STOCK	DISRX	1,087 745	02/25/11	\$15,355.44	03/26/12	\$15,000.00	\$(355.44)
Long Term Totals:				\$15,355.44		\$15,000.00	\$(355.44)
2012 Short & Long Term Totals:				\$15,355.44		\$15,000.00	\$(355.44)

The historical realized gain and loss information shown was provided to Morgan Stanley by Citigroup Global Market Incorporated (CGMI). CGMI prepared this information for former Smith Barney accounts for tax years prior to 2013 and is solely responsible for its accuracy. If you have questions regarding this information, please contact your Financial Advisor. Gain and loss information is provided for informational purposes only. It is not a substitute for Internal Revenue Service (IRS) Form 1099 or any other IRS tax form. It is not intended to be used and should not be used for tax preparation. Unrealized gain and loss values are estimates and should be independently verified. We recommend that you contact your legal or tax advisor to determine the appropriate use of the gain and loss information provided in this statement. Gain and loss information is calculated based upon general methodologies used for calculating gain and loss. The calculations do not account for each individual client's particular circumstances. Morgan Stanley may not adjust basis for all events that you are required to take into account for tax reporting purposes and you may need to make additional adjustments to properly complete your tax returns. For accounts with Choice Select pricing, the commissions paid on your eligible equity and option purchases and sales are applied to the Total Cost on a monthly basis. With respect to estimated gains and losses for listed equity options, we have taken into account option premiums paid or received. With respect to multiple purchases and/or sales, gain and loss is calculated using an average price for all like positions. Unrealized gain and loss and realized gain and loss calculations may change due to adjustments to cost basis occurring after the date of this statement. We are not responsible for the accuracy of any gain and loss calculations based upon information provided by you or another financial institution. You are responsible for ensuring the accuracy of such information. Morgan Stanley reports the sale of securities on a First-in First-out (FIFO) basis unless a client notifies us of the specific securities to be sold. Clients wishing to use specific identification when selling securities must provide that information to us at the time of the sale.

** Gain/Loss is only calculated when an original cost basis is available

New Treasury regulations require that we report your adjusted cost basis and classify the gain or loss as either long-term or short-term on the sale of covered securities acquired on or after January 1, 2011. These regulations also require that we make basis adjustments due to wash sales, certain corporate actions and transfers by gift or inheritance, which will be reflected on your Form 1099-B. This section may not reflect all of the basis adjustments we are required to make for tax reporting purposes. The information in this section is provided for informational purposes only and should not be used in the preparation of your income tax returns.

Third-party and Morgan Stanley Wealth Management research on certain companies is available to clients of the Firm at no cost. Clients can access this research at www.morganstanleyclientserv.com or contact their Financial Advisor to request a copy of this research be sent to them.

STMT A

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Morgan Stanley

Prepared by The Greenfield Team
Ph. 415-955-1580

2012 Statement Linked Realized Gain/Loss - Detail

As of 11/07/2013

DREAM ENVISION FOUNDATION
(POLEN CAP - LARGE CAP GROWTH)
18201 VON KARMAN, SUITE 305
IRVINE CA 92612-1070

Acct. 264-134366-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
Long Term							
ACCENTURE PLC IRELAND CLA	ACN	35,000	02/25/11	\$1,819.52	12/20/12	\$2,403.33	\$583.81
CH ROBINSON WORLDWIDE INC NEW	CHRW	113,000	02/25/11	8,171.69	09/11/12	6,494.14	(1,677.55)
COACH INC	COH	122,000	02/25/11	6,623.13	07/31/12	6,059.66	(563.47)
ORACLE CORP	ORCL	60,000	02/25/11	1,975.08	12/19/12	2,040.99	65.91
Long Term Totals:				\$18,589.42		\$16,998.12	\$(1,591.30)
2012 Short & Long Term Totals:				\$18,589.42		\$16,998.12	\$(1,591.30)

Morgan Stanley

CGMI 2012 Statement Linked Realized Gain/Loss - Detail

DREAM ENVISION FOUNDATION
(POLEN CAP - LARGE CAP GROWTH)
18201 VON KARMAN, SUITE 305
IRVINE CA 92612-1070

Prepared by The Greenfield Team
Ph. 415-955-1580

Acct. 264-134366-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
Short Term							
APPLE INC	AAPL	4 000	02/25/11	\$1,388 08	02/09/12	\$1,983 00	\$594 92
	AAPL	7 000	02/25/11	2,429 14	02/13/12	3,496 06	1,066 92
	Totals			\$3,817 22		\$5,479 06	\$1,661 84
ABBOTT LABORATORIES	ABT	16 000	11/11/11	872 07	03/26/12	972 80	100 73
	ABT	19 000	11/11/11	1,035 58	02/09/12	1,047 25	11 67
	Totals			\$1,907 65		\$2,020 05	\$112 40
ACCENTURE PLC	ACN	28 000	02/25/11	1,455 62	02/09/12	1,606 60	150 98
ALLERGAN INC	AGN	18 000	02/25/11	1,326 43	02/09/12	1,576 40	249 97
C H ROBINSON WORLDWIDE INC	CHRW	18 000	02/25/11	1,301 68	02/09/12	1,146 03	(155 65)
COACH INC	COH	22 000	02/25/11	1,194 33	02/09/12	1,627 52	433 19
	COH	41 000	02/25/11	2,225 81	02/22/12	3,050 20	824 39
	Totals			\$3,420 14		\$4,677 72	\$1,257 58
COGNIZANT TECH SOLUTIONS CL A	CTSH	20 000	02/25/11	1,522 32	02/09/12	1,417 57	(104 75)
FACTSET RESEARCH SYSTEMS INC	FDS	10 000	10/07/11	913 44	02/09/12	920 18	6 74
	FDS	13 000	10/07/11	1,187 47	03/26/12	1,292 82	105 35
	Totals			\$2,100 91		\$2,213 00	\$112 09
GOOGLE INC	GOOG	3 000	02/25/11	1,834 89	02/09/12	1,831 79	(3 10)
INTUIT INC	INTU	8 000	12/15/11	410 51	02/09/12	461 27	50 76
	INTU	15 000	12/15/11	769 71	03/26/12	882 43	112 72
	Totals			\$1,180 22		\$1,343 70	\$163 48
INTUITIVE SURGICAL INC	ISRG	2 000	04/12/11	732 92	03/26/12	1,071 02	338 10
	ISRG	4 000	04/12/11	1,465 84	02/09/12	1,961 28	495 44
	Totals			\$2,198 76		\$3,032 30	\$833 54
MASTERCARD INC	MA	7 000	02/25/11	1,741 32	02/07/12	2,726 70	985 38
	MA	8 000	04/05/11	2,113 15	02/07/12	3,116 22	1,003 07
	Totals			\$3,854 47		\$5,842 92	\$1,988 45
MICROSOFT CORP	MSFT	47 000	02/25/11	1,253 87	02/09/12	1,439 11	185 24
ORACLE CORP	ORCL	45 000	02/25/11	1,481 31	02/09/12	1,287 87	(193 44)
QUALCOMM INC	QCOM	30 000	02/25/11	1,767 30	02/09/12	1,853 96	86 66
STARBUCKS CORP	SBUX	39 000	02/25/11	1,257 20	02/09/12	1,910 57	653 37
	SBUX	50 000	02/25/11	1,611 79	02/22/12	2,409 96	798 17
	Totals			\$2,868 99		\$4,320 53	\$1,451 54
T ROWE PRICE GROUP INC	TROW	30 000	02/25/11	2,005 61	02/09/12	1,756 46	(249 15)

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CGMI 2012 Statement Linked Realized Gain/Loss - Detail

DREAM ENVISION FOUNDATION
(POLEN CAP - LARGE CAP GROWTH)
18201 VON KARMAN, SUITE 305
IRVINE CA 92612-1070

Prepared by The Greenfield Team
Ph. 415-955-1580

Acct. 264-134366-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
VARIAN MEDICAL SYSTEMS INC	VAR	22,000	02/25/11	\$1,514.17	02/09/12	\$1,433.05	\$81.12
Short Term Totals:				\$36,811.56		\$44,278.12	\$7,466.56
Long Term							
APPLE INC	AAPL	6,000	02/25/11	2,082.12	03/26/12	3,582.23	1,500.11
	AAPL	7,000	02/25/11	2,429.14	03/28/12	4,328.08	1,898.94
Totals				\$4,511.26		\$7,910.31	\$3,399.05
ACCENTURE PLC	ACN	28,000	02/25/11	1,455.62	03/26/12	1,832.87	377.25
ALLERGAN INC	AGN	17,000	02/25/11	1,252.74	03/26/12	1,605.62	352.88
C.H. ROBINSON WORLDWIDE INC	CHRW	26,000	02/25/11	1,880.21	03/26/12	1,685.41	(194.80)
COACH INC	COH	26,000	02/25/11	1,411.49	03/26/12	2,047.53	636.04
	COH	51,000	02/25/11	2,768.68	07/05/12	3,054.83	286.15
Totals				\$4,180.17		\$5,102.36	\$922.19
COGNIZANT TECH SOLUTIONS CL A	CTSH	26,000	02/25/11	1,979.01	03/26/12	2,008.07	29.06
GOOGLE INC	GOOG	3,000	02/25/11	1,834.89	03/26/12	1,934.84	99.95
MICROSOFT CORP	MSFT	48,000	02/25/11	1,280.54	03/26/12	1,558.60	278.06
ORACLE CORP	ORCL	53,000	02/25/11	1,744.65	03/26/12	1,539.89	(204.76)
QUALCOMM INC	QCOM	34,000	02/25/11	2,002.94	03/26/12	2,327.69	324.75
STARBUCKS CORP	SBUX	36,000	02/25/11	1,160.49	03/26/12	1,996.52	836.03
T ROWE PRICE GROUP INC	TROW	23,000	02/25/11	1,537.63	03/26/12	1,493.71	(43.92)
VARIAN MEDICAL SYSTEMS INC	VAR	25,000	02/25/11	1,720.64	03/26/12	1,734.29	13.65
	VAR	41,000	02/25/11	2,821.86	05/15/12	2,624.71	(197.15)
Totals				\$4,542.50		\$4,359.00	\$(183.50)
Long Term Totals:				\$25,362.65		\$35,354.89	\$9,992.24
2012 Short & Long Term Totals:				\$66,174.21		\$79,633.01	\$13,458.80

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2012 Statement Linked Realized Gain/Loss - Detail

As of 11/07/2013

DREAM ENVISION FOUNDATION
(CAMBIAR INVESTORS-LG CAP VAL)
18201 VON KARMAN, SUITE 305
IRVINE CA 92612-1070

Acct. 264-134362-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
Short Term							
EATON CORPORATION	278058102	83 000	05/21/12	\$3,485.54	12/03/12	\$4,287.37	\$801.83
	278058102	19 000	07/18/12	744.16	12/03/12	981.44	237.28
Totals				\$4,229.70		\$5,268.81	\$1,039.11
ARCHER DANIELS MIDLAND	ADM	20 000	06/22/12	585.35	11/13/12	501.91	(83.44)
	ADM	30 000	07/18/12	824.60	11/13/12	752.86	(71.74)
Totals				\$1,409.95		\$1,254.77	\$(155.18)
CARNIVAL CP NEW PAIRED COM	CCL	27 000	02/21/12	834.40	08/29/12	947.72	113.32
	CCL	28 000	02/21/12	865.31	10/25/12	1,080.84	215.53
	CCL	84 000	02/21/12	2,595.92	12/19/12	3,307.49	711.57
Totals				\$4,295.63		\$5,336.05	\$1,040.42
CHEVRON CORP	CVX	31 000	04/26/12	3,272.55	10/31/12	3,393.73	121.18
FORD MOTOR CO NEW	F	94 000	10/31/12	1,028.21	12/27/12	1,170.25	142.04
CORNING INC	GLW	96 000	08/01/12	1,089.02	10/31/12	1,127.83	38.81
NYSE EURONEXT	NYX	39 000	05/02/12	953.00	12/20/12	1,235.21	282.21
PRUDENTIAL FINANCIAL INC	PRU	13 000	11/09/11	675.43	08/17/12	702.82	27.39
	PRU	69 000	11/09/11	3,584.97	11/06/12	3,962.54	377.57
Totals				\$4,260.40		\$4,665.36	\$404.96
SYMANTEC CORP	SYMC	83 000	01/31/12	1,423.82	08/17/12	1,494.43	70.61
	SYMC	102 000	01/31/12	1,749.76	12/19/12	1,906.17	156.41
	SYMC	10 000	02/01/12	174.40	12/19/12	186.88	12.48
Totals				\$3,347.98		\$3,587.48	\$239.50
TEVA PHARMACEUTICALS ADR	TEVA	14 000	11/17/11	552.05	10/23/12	562.38	10.33
UNILEVER NV NY SH NEW	UN	18 000	01/19/12	587.48	10/25/12	661.01	73.53
WESTERN UN CO	WU	82 000	02/08/12	1,487.38	10/31/12	1,045.89	(441.49)
Short Term Totals:				\$26,513.35		\$29,308.77	\$2,795.42
Long Term							
EATON CORPORATION	278058102	16 000	06/21/11	778.72	11/26/12	821.02	42.30
	278058102	27 000	06/21/11	1,314.10	08/17/12	1,249.60	(64.50)
	278058102	12 000	06/29/11	600.82	11/26/12	615.77	14.95

Third-party and Morgan Stanley Wealth Management research on certain companies is available to clients of the Firm at no cost. Clients can access this research at www.morganstanleyclientserv.com or contact their Financial Advisor to request a copy of this research be sent to them.

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2012 Statement Linked Realized Gain/Loss - Detail

As of 11/07/2013

DREAM ENVISION FOUNDATION
(CAMBIAR INVESTORS-LG CAP VAL)
18201 VON KARMAN, SUITE 305
IRVINE CA 92612-1070

Acct. 264-134362-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
278058102		66 000	06/29/11	\$3,304 52	12/03/12	\$3,409 23	\$104 71
278058102		25 000	08/18/11	973 37	12/03/12	1,291 38	318 01
Totals				\$6,971 53		\$7,387 00	\$415 47
ABBOTT LABORATORIES	ABT	17 000	09/09/10	869 60	08/03/12	1,132.91	263 31
ARCHER DANIELS MIDLAND	ADM	101 000	03/22/11	3,613 83	11/06/12	2,690 54	(923 29)
	ADM	174 000	03/22/11	6,225 81	11/13/12	4,366.62	(1,859.19)
	ADM	29 000	05/10/11	969.24	11/13/12	727 77	(241 47)
Totals				\$10,808 88		\$7,784 93	\$(3,023.95)
AETNA INC (NEW)(CT)	AET	13 000	03/22/11	464 27	11/02/12	571 97	107.70
	AET	21 000	03/22/11	749 98	10/25/12	933 31	183 33
	AET	28 000	03/22/11	999 97	10/09/12	1,175 56	175 59
	AET	50 000	10/14/11	1,886 40	11/02/12	2,199 88	313 48
	AET	10 000	10/17/11	377 03	11/02/12	439 98	62 95
Totals				\$4,477 65		\$5,320 70	\$843 05
BAXTER INTL INC	BAX	22 000	09/09/10	943 06	08/17/12	1,293 70	350 64
	BAX	67 000	09/09/10	2,872 04	11/02/12	4,329 84	1,457 80
Totals				\$3,815 10		\$5,623 54	\$1,808 44
CHEVRON CORP	CVX	10 000	03/22/11	1,056 26	08/02/12	1,085 69	29 43
	CVX	29 000	03/22/11	3,063 17	10/31/12	3,174 78	111 61
	CVX	30 000	03/22/11	3,168 79	10/12/12	3,365 00	196 21
Totals				\$7,288 22		\$7,625 47	\$337.25
CORNING INC	GLW	406 000	03/22/11	8,460 59	10/31/12	4,769 78	(3,690 81)
	GLW	80 000	09/09/11	1,080 62	10/31/12	939 86	(140 76)
Totals				\$9,541.21		\$5,709 64	\$(3,831 57)
HALLIBURTON CO	HAL	36 000	03/22/11	1,630 30	12/19/12	1,256 82	(373 48)
	HAL	37 000	03/22/11	1,675 59	08/17/12	1,303 44	(372 15)
Totals				\$3,305 89		\$2,560 26	\$(745 63)
KBR INC	KBR	21 000	03/22/11	767 60	09/28/12	622 01	(145 59)
MEDTRONIC INC	MDT	9 000	03/22/11	340 61	08/17/12	364 73	24 12
	MDT	89 000	03/22/11	3,368 22	09/19/12	3,816 97	448 75
	MDT	158 000	03/22/11	5,979 54	12/03/12	6,636 45	656 91
	MDT	5 000	09/09/11	166 85	12/03/12	210 01	43 16
Totals				\$9,855 22		\$11,028 16	\$1,172 94
THE MOSAIC CO (HLDG CO) NEW	MOS	30 000	06/23/11	1,872 91	07/23/12	1,712 62	(160 29)

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2012 Statement Linked Realized Gain/Loss - Detail As of 11/07/2013

DREAM ENVISION FOUNDATION
(CAMBIAR INVESTORS-LG CAP VAL)
18201 VON KARMAN, SUITE 305
IRVINE CA 92612-1070

Acct. 264-134362-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
NYSE EURONEXT							
	NYX	39 000	08/25/11	\$981.69	12/20/12	\$1,235.21	\$253.52
	NYX	57 000	08/26/11	1,449.41	12/20/12	1,805.30	355.89
	NYX	64 000	08/29/11	1,672.73	12/20/12	2,027.00	354.27
	NYX	73 000	08/30/11	1,888.16	12/20/12	2,312.05	423.89
Totals				\$5,991.99		\$7,379.56	\$1,387.57
TEVA PHARMACEUTICALS ADR	TEVA	142 000	03/22/11	6,870.53	10/23/12	5,704.23	(1,166.30)
	TEVA	10 000	09/09/11	385.27	10/23/12	401.71	16.44
Totals				\$7,255.80		\$6,105.94	\$(1,149.86)
UNILEVER NV NY SH NEW	UN	183 000	09/09/10	5,053.98	10/25/12	6,720.19	1,666.21
	UN	10 000	09/09/11	312.98	10/25/12	367.22	54.24
Totals				\$5,366.96		\$7,087.41	\$1,720.45
WILLIS GROUP HOLDINGS PLC	WSH	15 000	03/22/11	590.08	07/18/12	558.10	(31.98)
WESTERN UN CO	WU	359 000	03/22/11	7,686.48	10/31/12	4,578.97	(3,107.51)
	WU	138 000	08/24/11	2,263.06	10/31/12	1,760.16	(502.90)
	WU	20 000	09/09/11	310.75	10/31/12	255.10	(55.65)
Totals				\$10,260.29		\$6,594.23	\$(3,666.06)
Long Term Totals:				\$89,038.93		\$84,232.48	\$(4,806.45)
2012 Short & Long Term Totals:				\$115,552.28		\$113,541.25	\$(2,011.03)

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CGMI 2012 Statement Linked Realized Gain/Loss - Detail

DREAM ENVISION FOUNDATION
(CAMBIAR INVESTORS-LG CAP VAL)
18201 VON KARMAN, SUITE 305
IRVINE CA 92612-1070

Acct 264-134362-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
Short Term							
ACE LIMITED	ACE	10 000	03/22/11	\$622 40	02/09/12	\$736 38	\$113.98
AETNA INC NEW	AET	117 000	03/22/11	4,178 45	01/10/12	5,186 04	1,007 59
APPLIED MATERIALS INC DELAWARE	AMAT	22 000	03/22/11	333 90	02/16/12	288.68	(45 22)
	AMAT	80 000	03/22/11	1,214 20	02/28/12	1,001 18	(213 02)
	AMAT	150 000	03/22/11	2,276 63	02/09/12	1,963 79	(312 84)
	AMAT	397 000	03/22/11	6,025 47	02/27/12	4,984 48	(1,040 99)
	AMAT	24 000	06/01/11	321 58	03/02/12	292 60	(28 98)
	AMAT	67 000	06/01/11	897 74	02/28/12	838.49	(59 25)
AMAT	AMAT	20 000	09/09/11	213 60	03/02/12	243 84	30.24
AMAT	AMAT	257 000	12/13/11	2,704 00	03/02/12	3,133 31	429.31
Totals				\$13,987 12		\$12,746 37	\$(1,240 75)
APACHE CORP	APA	10 000	09/09/11	946 50	04/23/12	909 90	(36 60)
BAKER HUGHES INC	BHI	55 000	09/12/11	3,134 51	01/18/12	2,623 96	(510 55)
BHI	BHI	9 000	09/13/11	515 57	01/18/12	429 38	(86 19)
BHI	BHI	62 000	09/27/11	3,244 97	01/18/12	2,957.92	(287 05)
Totals				\$6,895 05		\$6,011 26	\$(883 79)
BANK NEW YORK MELLON CORP	BK	28 000	07/21/11	725 33	05/15/12	599 93	(125 40)
BK	BK	44 000	08/24/11	876 26	05/15/12	942 76	66 50
Totals				\$1,601 59		\$1,542 69	\$(58 90)
COOPER INDUSTRIES PLC	CBE	20 000	03/22/11	1,271 18	02/09/12	1,217 37	(53.81)
CBE	CBE	20 000	09/09/11	890.20	05/22/12	1,405 21	515 01
Totals				\$2,161 38		\$2,622 58	\$461 20
CARNIVAL CORP	CCL	5 000	02/21/12	154 52	03/26/12	162 13	7 61
CCL	CCL	20 000	02/21/12	618 08	06/07/12	646 42	28 34
Totals				\$772 60		\$808 55	\$35 95
CVS CAREMARK CORP	CVS	25 000	03/22/11	838 73	02/09/12	1,073 47	234 74
CHEVRON CORP	CVX	10 000	03/22/11	1,056 26	02/09/12	1,063 97	7 71
DEVON ENERGY CORP NEW	DVN	38 000	03/22/11	3,518.03	01/18/12	2,426 88	(1,091 15)
	DVN	41 000	03/22/11	3,795 77	02/06/12	2,612 16	(1,183 61)
	DVN	56 000	03/22/11	5,184 47	02/03/12	3,568 42	(1,616.05)
	DVN	15 000	08/10/11	1,012 44	02/06/12	955.67	(56 77)
DVN	DVN	5 000	09/09/11	314 20	02/06/12	318 55	4 35

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CGMI 2012 Statement Linked Realized Gain/Loss - Detail

DREAM ENVISION FOUNDATION
(CAMBIAR INVESTORS-LG CAP VAL)
18201 VON KARMAN, SUITE 305
IRVINE CA 92612-1070

Prepared by The Greenfield Team
Ph. 415-955-1580

Acct. 264-134362-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
EATON CORP	Totals			\$13,824.91		\$9,881.68	\$(3,943.23)
	ETN	5 000	06/21/11	\$243.35	02/16/12	\$254.54	\$11.19
	ETN	30 000	06/21/11	1,460.10	02/09/12	1,553.37	93.27
FLEXTRONICS INTL LTD USD	Totals			\$1,703.45		\$1,807.91	\$104.46
HONEYWELL INTL INC	FLEX	100 000	03/22/11	720.71	02/09/12	709.18	(11.53)
INGERSOLL-RAND PUBLIC LTD	HON	15 000	03/22/11	851.86	02/09/12	897.73	45.87
	IR	15 000	12/15/11	461.82	02/09/12	568.78	106.96
	IR	21 000	12/15/11	646.59	02/17/12	857.71	211.12
	IR	135 000	12/15/11	4,156.58	05/21/12	5,598.70	1,442.12
	IR	49 000	12/27/11	1,526.74	05/21/12	2,032.12	505.38
KBR INC	Totals			\$6,791.73		\$9,057.31	\$2,265.58
MEDTRONIC INC	KBR	30 000	03/22/11	1,096.57	02/09/12	1,013.68	(82.89)
METLIFE INC	MDT	25 000	03/22/11	946.13	02/09/12	999.98	53.85
THE MOSAIC COMPANY	MET	30 000	03/22/11	1,346.66	02/09/12	1,117.47	(229.19)
NAVISTAR INTL CORP NEW	MOS	10 000	06/23/11	624.30	03/26/12	586.87	(37.43)
	NAV	20 000	07/27/11	1,027.98	02/09/12	930.38	(97.60)
	NAV	23 000	07/27/11	1,182.18	06/15/12	691.61	(490.57)
	NAV	32 000	07/29/11	1,649.12	06/15/12	962.25	(686.87)
	NAV	36 000	07/29/11	1,855.27	06/22/12	967.12	(888.15)
	NAV	30 000	08/18/11	1,126.59	06/22/12	805.94	(320.65)
	NAV	33 000	08/25/11	1,309.60	06/22/12	886.53	(423.07)
	NAV	5 000	09/09/11	183.03	06/22/12	134.32	(48.71)
NATIONAL OILWELL VARCO INC	Totals			\$8,333.77		\$5,378.15	\$(2,955.62)
	NOV	3 000	03/22/11	236.70	02/16/12	252.32	15.62
	NOV	20 000	03/22/11	1,578.03	02/09/12	1,648.76	70.73
	NOV	27 000	05/12/11	1,852.68	05/10/12	1,851.01	(1.67)
	NOV	10 000	09/09/11	620.17	05/10/12	685.56	65.39
NYSE EURONEXT	Totals			\$4,287.58		\$4,437.65	\$150.07
	NYX	10 000	08/25/11	260.95	06/07/12	248.12	(12.83)
	NYX	15 000	08/25/11	391.46	03/26/12	454.02	62.56
ON SEMICONDUCTOR CORP	Totals			\$652.41		\$702.14	\$49.73
	ONNN	55 000	03/22/11	536.75	02/09/12	522.15	(14.60)
	ONNN	126 000	03/22/11	1,229.63	01/19/12	1,133.25	(96.38)
	Totals			\$1,766.38		\$1,655.40	\$(110.98)

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CGMI 2012 Statement Linked Realized Gain/Loss - Detail

DREAM ENVISION FOUNDATION
(CAMBIAR INVESTORS-LG CAP VAL)
18201 VON KARMAN, SUITE 305
IRVINE CA 92612-1070

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
PARTNERRE LTD -BMD	PRE	10 000	01/30/12	\$638.24	06/07/12	\$736.83	\$98.59
PRUDENTIAL FINANCIAL INC	PRU	3 000	11/09/11	155.87	02/17/12	182.98	27.11
	PRU	10 000	11/09/11	519.56	03/26/12	639.54	119.98
	PRU	20 000	11/09/11	1,039.12	02/09/12	1,195.77	156.65
Totals				\$1,714.55		\$2,018.29	\$303.74
ROYAL DUTCH SHELL PLC ADR	RDSA	5,000	05/17/11	343.83	02/09/12	364.09	20.26
SYMANTEC CORP	SYMC	35 000	01/31/12	600.41	03/26/12	649.57	49.16
TEVA PHARMACEUTICAL INDS	TEVA	20 000	03/22/11	967.68	02/09/12	893.18	(74.50)
TARGET CORP	TGT	10 000	03/22/11	506.80	02/09/12	526.48	19.68
UNION PACIFIC CORP	UNP	10 000	03/22/11	950.33	02/09/12	1,128.17	177.84
Short Term Totals:				\$81,728.38		\$77,262.97	\$4,465.41
Long Term							
ABBOTT LABORATORIES	ABT	5 000	09/09/10	255.76	06/07/12	306.29	50.53
	ABT	10 000	09/09/10	511.53	02/09/12	552.88	41.35
	ABT	20 000	09/09/10	1,023.05	03/26/12	1,216.77	193.72
Totals				\$1,790.34		\$2,075.94	\$285.60
ACE LIMITED	ACE	10 000	03/22/11	622.40	06/07/12	729.37	106.97
ARCHER-DANIELS-MIDLAND CO	ADM	30 000	03/22/11	1,073.41	03/26/12	961.24	(112.17)
	ADM	39 000	03/22/11	1,395.44	05/10/12	1,312.69	(82.75)
Totals				\$2,468.85		\$2,273.93	\$(194.92)
AETNA INC NEW	AET	10 000	03/22/11	357.13	03/26/12	466.59	109.46
APACHE CORP	APA	95 000	03/22/11	11,882.25	04/23/12	8,644.05	(3,238.20)
BAXTER INTL INC	BAX	15 000	09/09/10	642.99	03/26/12	898.12	255.13
BANK NEW YORK MELLON CORP	BK	1 000	03/22/11	28.95	04/05/12	23.78	(5.17)
	BK	45 000	03/22/11	1,302.65	03/26/12	1,098.46	(204.19)
	BK	227 000	03/22/11	6,571.12	05/15/12	4,863.74	(1,707.38)
Totals				\$7,902.72		\$5,985.98	\$(1,916.74)
COOPER INDUSTRIES PLC	CBE	2 000	03/22/11	127.12	04/04/12	125.93	(1.19)
	CBE	5 000	03/22/11	317.80	03/26/12	317.55	-0.25
	CBE	96,000	03/22/11	6,101.68	05/22/12	6,745.02	643.34
Totals				\$6,546.60		\$7,188.50	\$641.90
CVS CAREMARK CORP	CVS	20 000	03/22/11	670.99	03/26/12	913.00	242.01
	CVS	22 000	03/22/11	738.09	05/18/12	986.84	248.75

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CGMI 2012 Statement Linked Realized Gain/Loss - Detail

DREAM ENVISION FOUNDATION
(CAMBIAR INVESTORS-LG CAP VAL)
18201 VON KARMAN, SUITE 305
IRVINE CA 92612-1070

Prepared by The Greenfield Team
Ph. 415-955-1580

Acct. 264-134362-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
CVS		78 000	03/22/11	\$2,616 85	06/19/12	\$3,599 28	\$982 43
Totals				\$4,025 93		\$5,499 12	\$1,473 19
FLEXTRONICS INTL LTD USD		80.000	03/22/11	576 57	03/26/12	578 84	2 27
FLEX		125 000	03/22/11	900.89	06/07/12	847 91	(52 98)
Totals				\$1,477 46		\$1,426 75	\$50 71)
CORNING INC		40 000	03/22/11	833.56	06/07/12	519 93	(313.63)
HESS CORP		123 000	09/09/10	6,701 95	04/26/12	6,396 69	(305 26)
HES		50 000	03/22/11	4,087 08	04/26/12	2,600 28	(1,486 80)
Totals				\$10,789 03		\$8,996 97	\$1,792 06)
HONEYWELL INTL INC		10 000	03/22/11	567 91	03/26/12	616 43	48 52
HOSPIRA INC		20 000	09/09/10	1,091 85	02/09/12	682 18	(409 67)
HSP		20 000	09/09/10	1,091 86	02/17/12	742 32	(349 54)
Totals				\$2,183 71		\$1,424 50	\$759 21)
KBR INC		25 000	03/22/11	913 81	03/26/12	948 10	34 29
MEDTRONIC INC		20 000	03/22/11	756 90	06/07/12	746 31	(10.59)
METLIFE INC		10 000	03/22/11	448 89	03/26/12	383 08	(65 81)
MET		77 000	03/22/11	3,456 42	06/13/12	2,289 11	(1,167 31)
Totals				\$3,905 31		\$2,672 19	\$1,233 12)
NATIONAL OILWELL VARCO INC		95 000	03/22/11	7,495 63	05/10/12	6,512 81	(982 82)
TARGET CORP		9 000	03/22/11	456 12	06/19/12	526 16	70 04
TGT		15 000	03/22/11	760 19	06/07/12	866 66	106 47
TGT		25 000	03/22/11	1,266 99	03/26/12	1,470 74	203 75
Totals				\$2,483 30		\$2,863 56	\$380 26
TIME WARNER INC		35 000	09/09/10	1,089 77	02/09/12	1,321 57	231 80
TWX		196 000	09/09/10	6,102 70	04/04/12	7,237 42	1,134 72
Totals				\$7,192 47		\$8,558 99	\$1,366 52
UNION PACIFIC CORP		4 000	03/22/11	380 13	06/22/12	456 00	75 87
UNP		5 000	03/22/11	475.17	06/07/12	555 75	80 58
Totals				\$855 30		\$1,011 75	\$156 45
UNILEVER NV NY SHS-NEW		5 000	09/09/10	138 09	06/07/12	157 01	18 92
UN		15 000	09/09/10	414 26	03/26/12	510 10	95 84
Totals				\$552 35		\$667 11	\$114 76
VODAFONE GROUP PLC SPONS		20 000	09/09/10	493 44	06/07/12	528 08	34 64
WILLIS GROUP HLDGS PLC		30 000	03/22/11	1,180 17	06/07/12	1,078 48	(101 69)

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CGMI 2012 Statement Linked Realized Gain/Loss - Detail

DREAM ENVISION FOUNDATION
(CAMBIAR INVESTORS-LG CAP VAL)
18201 VON KARMAN, SUITE 305
IRVINE CA 92612-1070

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Ph. 415-955-1580

Acct. 264-134362-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
WESTERN UNION COMPANY (THE)	WU	50,000	03/22/11	\$1,070.54	03/26/12	\$909.03	\$(161.51)
Long Term Totals:				\$78,990.10		\$73,242.59	\$5,747.51
2012 Short & Long Term Totals:				\$160,718.48		\$150,505.56	\$(10,212.92)

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New Treasury regulations require that we report your adjusted cost basis and classify the gain or loss as either long-term or short-term on the sale of covered securities acquired on or after January 1, 2011. These regulations also require that we make basis adjustments due to wash sales, certain corporate actions and transfers by gift or inheritance, which will be reflected on your Form 1099-B. This section may not reflect all of the basis adjustments we are required to make for tax reporting purposes. The information in this section is provided for informational purposes only and should not be used in the preparation of your income tax returns.

The above summary/prices/quotes/statistics have been obtained from sources believed reliable but are not necessarily complete and cannot be guaranteed. The information contained in client monthly account statements and confirmations reflects all transactions, and as such supersedes all other reports for financial and tax purposes. This report does not supersede or replace your monthly Client Statement. If we do not hold the securities in a Morgan Stanley Wealth Management account, the report reflects securities which we believe you own, based upon your communications with our Financial Advisor. © 2013 Morgan Stanley Smith Barney LLC Member SIPC

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CGMI 2012 Statement Linked Realized Gain/Loss - Detail

DREAM ENVISION FOUNDATION
(SBA EMERGING MARKETS)
18201 VON KARMAN, SUITE 305
IRVINE CA 92612-1070

Prepared by The Greenfield Team
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Acct. 264-134358-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
Long Term							
EATON VANCE PARAMETRIC	EIEMX	384 320	09/17/09	\$4,861.21	06/06/12	\$5,000.00	\$138.79
EIEMX	EIEMX	1,023 192	09/17/09	12,942.20	03/26/12	15,000.00	2,057.80
Totals				\$17,803.41		\$20,000.00	\$2,196.59
Long Term Totals:				\$17,803.41		\$20,000.00	\$2,196.59
2012 Short & Long Term Totals:				\$17,803.41		\$20,000.00	\$2,196.59

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CGMI 2012 Statement Linked Realized Gain/Loss - Detail

DREAM ENVISION FOUNDATION
(SBA FIXED INCOME STRATEGY)
18201 VON KARMAN, SUITE 305
IRVINE CA 92612-1070

Prepared by The Greenfield Team
Ph. 415-955-1580

Acct. 264-134356-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
Short Term							
GOLDMAN SACHS STRATEGIC INCOME	GSZIX	487 133	04/05/11	\$4,890.82	03/26/12	\$4,808.00	\$(82.82)
Short Term Totals:				\$4,890.82		\$4,808.00	\$(82.82)
Long Term							
BLACKROCK CORE BOND PORTFOLIO	BFCMX	104 384	10/08/09	970.51	06/06/12	1,000.00	29.49
	BFCMX	161 498	10/08/09	1,501.53	03/26/12	1,531.00	29.47
Totals				\$2,472.04		\$2,531.00	\$58.96
BLACKROCK MULTI SECTOR BOND	BMSIX	97 182	09/28/10	1,026.24	06/06/12	1,000.00	(26.24)
	BMSIX	171 554	09/28/10	1,811.61	03/26/12	1,755.00	(56.61)
Totals				\$2,837.85		\$2,755.00	\$(82.85)
BLACKROCK STRATEGIC INCOME	BSIIX	154 639	08/30/10	1,551.26	06/06/12	1,500.00	(51.26)
	BSIIX	372 262	08/30/10	3,735.89	03/26/12	3,637.00	(98.89)
Totals				\$5,287.15		\$5,137.00	\$(150.15)
DREYFUS GNMA FUND CLASS Z	DRGMX	93 284	04/29/09	1,388.07	06/06/12	1,500.00	111.93
	DRGMX	188 493	04/29/09	2,804.77	03/26/12	3,014.00	209.23
Totals				\$4,192.84		\$4,514.00	\$321.16
GOLDMAN SACHS STRATEGIC INCOME	GSZIX	154 321	04/05/11	1,549.38	06/06/12	1,500.00	(49.38)
LORD ABBETT SHORT DURATION	LDLFX	328 228	03/01/11	1,513.13	06/06/12	1,500.00	(13.13)
	LDLFX	669 281	03/01/11	3,085.39	03/26/12	3,072.00	(13.39)
Totals				\$4,598.52		\$4,572.00	\$(26.52)
LORD ABBETT FLOATING RATE	LFRFX	164 835	03/01/11	1,551.10	06/06/12	1,500.00	(51.10)
	LFRFX	343 770	03/01/11	3,234.88	03/26/12	3,173.00	(61.88)
Totals				\$4,785.98		\$4,673.00	\$(112.98)
PRUDENTIAL SHORT-TERM CORP.	PIFZX	174 672	09/17/09	1,987.77	06/06/12	2,000.00	12.23
	PIFZX	314 522	09/17/09	3,579.26	03/26/12	3,617.00	37.74
Totals				\$5,567.03		\$5,617.00	\$49.97
PIMCO SHORT TERM FUND CL P	PTSPX	1,738 632	09/17/09	17,021.21	02/14/12	16,986.43	(34.78)
	PTSPX	15 792	11/02/09	155.39	02/14/12	154.29	(1.10)
	PTSPX	11 714	12/01/09	115.38	02/14/12	114.45	(0.93)
	PTSPX	34 391	12/09/09	337.72	02/14/12	336.00	(1.72)

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CGMI 2012 Statement Linked Realized Gain/Loss - Detail

DREAM ENVISION FOUNDATION
(SBA FIXED INCOME STRATEGY)
18201 VON KARMAN, SUITE 305
IRVINE CA 92612-1070

Acct. 264-134356-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
PTSPX		12 902	01/04/10	\$126 70	02/14/12	\$126 05	\$(0 65)
PTSPX		9 669	02/01/10	95 34	02/14/12	94 47	(0 87)
PTSPX		10 402	03/01/10	102 56	02/14/12	101 63	(0 93)
PTSPX		9 006	04/01/10	88 89	02/14/12	87 99	(0 90)
PTSPX		9 303	05/03/10	92 01	02/14/12	90 89	(1 12)
PTSPX		8 678	06/01/10	85 48	02/14/12	84 78	(0 70)
PTSPX		9 600	07/01/10	94 66	02/14/12	93 79	(0 87)
PTSPX		11 859	08/02/10	117 29	02/14/12	115 86	(1 43)
PTSPX		10 181	09/01/10	100 89	02/14/12	99 47	(1 42)
PTSPX		72 996	09/02/10	723 39	02/14/12	713 17	(10 22)
PTSPX		101 937	09/02/10	1 010 20	06/06/12	1 000 00	(10 20)
PTSPX		178 345	09/02/10	1 767 40	03/26/12	1 746 00	(21 40)
Totals				\$22,034 51		\$21,945 27	\$(89 24)
TEMPLETON GLOBAL BOND FUND		160 901	08/14/09	1 958 17	06/06/12	2 000 00	41 83
TGBAX		180 564	08/14/09	2 197 46	03/26/12	2 369 00	171 54
Totals				\$4,155 63		\$4,369 00	\$213 37
THORNBURG LIMITED TERM INCOME		111 524	10/08/09	1 433 08	06/06/12	1 500 00	66 92
THIIX		244 993	10/08/09	3 148 16	03/26/12	3 278 00	129 84
Totals				\$4,581 24		\$4,778 00	\$196 76
Long Term Totals:				\$62,062.17		\$62,391.27	\$329.10
2012 Short & Long Term Totals:				\$66,952.99		\$67,199.27	\$246.28

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2012 Statement Linked Realized Gain/Loss - Detail

As of 11/07/2013

DREAM ENVISION FOUNDATION
(DELAWARE CAPITAL MGMT - INTL)
18201 VON KARMAN, SUITE 305
IRVINE CA 92612-1070

Prepared by The Greenfield Team
Ph. 415-955-1580

Acct. 264-134351-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
Short Term							
BANCO SANTANDER S.A	SAN	-	11/13/12	-	11/13/12	\$105.45	\$105.45
Short Term Totals:				\$0.00		\$105.45	\$105.45
Long Term							
ASTELLAS PHARMA INCADR	ALPMY	49 000	06/11/10	1,579.16	07/19/12	2,364.61	785.45
ING GROEP NV ADR	ING	26 000	08/12/04	562.23	08/03/12	172.14	(390.09)
	ING	72 000	02/11/08	1,764.68	08/03/12	476.71	(1,287.97)
	ING	43 000	02/28/08	1,251.11	08/03/12	284.70	(966.41)
	ING	95 000	02/29/08	2,713.55	08/21/12	704.28	(2,009.27)
	ING	98 000	02/29/08	2,799.24	08/03/12	648.85	(2,150.39)
	ING	34 000	06/03/10	269.76	08/21/12	252.06	(17.70)
	ING	286 000	06/04/10	2,166.76	08/21/12	2,120.23	(46.53)
	Totals			\$11,527.33		\$4,658.97	\$6,868.36
BANCO SANTANDER S A	SAN	0 781	02/11/08	12.53	08/08/12	5.02	(7.51)
TAIWAN SMCNDCR MFG CO LTD ADR	TSM	113 000	05/07/08	1,250.35	12/14/12	1,915.32	664.97
Long Term Totals:				\$14,369.37		\$8,943.92	\$5,425.45
2012 Short & Long Term Totals:				\$14,369.37		\$9,049.37	\$5,320.00

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CGMI 2012 Statement Linked Realized Gain/Loss - Detail

DREAM ENVISION FOUNDATION
(DELAWARE CAPITAL MGMT - INTL)
18201 VON KARMAN, SUITE 305
IRVINE CA 92612-1070

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Acct. 264-134351-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
Short Term							
TEVA PHARMACEUTICAL INDS	TEVA	22 000	06/02/11	\$1,107.67	03/26/12	\$966.44	\$[(141.23)]
Short Term Totals				\$1,107.67		\$966.44	\$[(141.23)]
Long Term							
KONINKLIJKE AHOLD NV ADR	AHONY	33 000	11/15/10	440.44	03/26/12	461.00	20.56
	AHONY	61 000	11/16/10	823.11	03/26/12	852.15	29.04
Totals				\$1,263.55		\$1,313.15	\$49.60
BP PLC SPONS ADR	BP	24,000	01/26/05	1,432.24	03/26/12	1,106.85	(325.39)
	BP	13 000	03/12/07	794.16	03/26/12	599.55	(194.61)
Totals				\$2,226.40		\$1,706.40	\$(520.00)
ENI SPA SPONSORED ADR	E	13 000	09/23/10	542.39	02/29/12	602.07	59.68
	E	25 000	09/23/10	1,043.07	03/01/12	1,166.50	123.43
	E	9 000	10/14/10	410.35	03/01/12	419.94	9.59
Totals				\$1,995.81		\$2,188.51	\$192.70
GLAXOSMITHKLINE PLC SP ADR	GSK	19 000	10/11/06	1,032.54	03/26/12	864.10	(168.44)
	GSK	5 000	10/12/06	275.54	03/26/12	227.40	(48.14)
	GSK	1 000	01/23/07	55.62	06/13/12	45.16	(10.46)
	GSK	10 000	01/23/07	556.15	03/26/12	454.79	(101.36)
	GSK	7 000	01/24/07	386.02	06/13/12	316.13	(69.89)
	GSK	6 000	01/25/07	330.26	06/13/12	270.97	(59.29)
	GSK	12 000	01/26/07	654.21	06/13/12	541.95	(112.26)
Totals				\$3,290.34		\$2,720.50	\$(569.84)
KAO CORP-JPY	KCRPY	10 000	10/31/06	260.82	01/30/12	276.44	15.62
	KCRPY	21 000	11/06/06	544.11	02/22/12	540.87	(3.24)
	KCRPY	37 000	11/06/06	958.68	01/30/12	1,022.81	64.13
Totals				\$1,763.61		\$1,840.12	\$76.51
ROYAL DUTCH SHELL PLC ADR	RDSA	15,000	06/04/04	755.81	04/25/12	1,030.18	274.37
	RDSA	36 000	06/04/04	1,813.93	02/01/12	2,600.29	786.36
	RDSA	17 000	08/13/07	1,269.29	04/25/12	1,167.54	(101.75)
Totals				\$3,839.03		\$4,798.01	\$958.98
RWE AG SPONS ADR -USD	RWEOY	34 000	06/04/04	1,527.02	03/26/12	1,645.57	118.55
BANCO SANTANDER S A.	STD	65 000	11/18/04	744.57	03/26/12	518.04	(226.53)
SEVEN & I HLDGS CO LTD-JPY	SVNDY	17 000	04/28/09	755.31	04/26/12	1,011.57	256.26

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CGMI 2012 Statement Linked Realized Gain/Loss - Detail

DREAM ENVISION FOUNDATION
(DELAWARE CAPITAL MGMT - INTL)
18201 VON KARMAN, SUITE 305
IRVINE CA 92612-1070

Acct. 264-134351-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
SVNDY		16,000	09/11/09	\$754.25	04/26/12	\$952.07	\$197.82
SVNDY		3,000	09/14/09	141.30	04/26/12	178.51	37.21
SVNDY		2,000	09/15/09	94.15	04/26/12	119.01	24.86
Totals				\$1,745.01		\$2,261.16	\$516.15
TAKEDA PHARMACEUTICAL CO		40,000	07/08/08	1,005.20	03/26/12	911.98	(93.22)
TKPYY		11,000	07/18/08	266.23	03/26/12	250.79	(15.44)
Totals				\$1,271.43		\$1,162.77	\$(108.66)
TELSTRA LTD SPONS ADR		23,000	02/11/08	469.20	01/12/12	388.09	(81.11)
TLSYY		56,000	04/08/09	674.24	04/03/12	965.35	291.11
TLSYY		69,000	04/08/09	830.76	01/12/12	1,164.28	333.52
TLSYY		91,000	04/08/09	1,095.64	03/15/12	1,534.53	438.89
TLSYY		63,000	03/12/10	891.38	04/03/12	1,086.02	194.64
TLSYY		149,000	03/12/10	2,108.19	04/13/12	2,587.10	478.91
Totals				\$6,069.41		\$7,725.37	\$1,655.96
TAIWAN SEMICONDUCTOR MFG		92,734	02/11/08	852.91	05/02/12	1,467.10	614.19
TSM		19,266	05/07/08	213.18	05/02/12	304.80	91.62
Totals				\$1,066.09		\$1,771.90	\$705.81
UNILEVER PLC SPONS ADR NEW		36,600	03/03/06	856.25	03/26/12	1,207.77	351.52
UL		0,400	05/11/06	9.50	03/26/12	13.20	3.70
UL		5,000	05/11/06	118.75	04/25/12	168.43	49.68
UL		30,000	05/11/06	712.52	04/26/12	1,038.90	326.38
Totals				\$1,697.02		\$2,428.30	\$731.28
UNITED OVERSEAS BANK LTD		21,000	02/11/08	506.73	03/26/12	606.05	99.32
UOVEY		13,000	11/13/08	208.69	03/26/12	375.17	166.48
Totals				\$715.42		\$981.22	\$265.80
VINCI S A ADR		46,000	02/19/10	601.64	03/26/12	600.29	(1.35)
VCISY		32,000	02/22/10	421.47	03/26/12	417.59	(3.88)
Totals				\$1,023.11		\$1,017.88	\$(5.23)
ZURICH FINCL SVCS SPON ADR		7,000	10/21/09	159.28	03/26/12	187.81	28.53
ZFSVY		22,000	10/22/09	502.08	03/26/12	590.25	88.17
ZFSVY		11,000	10/26/09	249.13	03/26/12	295.12	45.99
Totals				\$910.49		\$1,073.18	\$162.69
Long Term Totals:				\$31,148.31		\$35,152.08	\$4,003.77
2012 Short & Long Term Totals:				\$32,255.98		\$36,118.52	\$3,862.54

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2012 Statement Linked Realized Gain/Loss - Detail

As of 11/07/2013

DREAM ENVISION FOUNDATION
(PENN CAP - SM CAP OPPORTN EQ)
18201 VON KARMAN, SUITE 305
IRVINE CA 92612-1070

Acct. 264-134348-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
Short Term							
KENEXA CORPORATION	488879107	7 000	09/07/11	\$135.03	08/27/12	\$320.49	\$185.46
	488879107	5,000	02/01/12	125.50	08/27/12	228.92	103.42
	488879107	10 000	02/01/12	251.00	08/29/12	457.89	206.89
	488879107	21,000	02/02/12	534.52	08/29/12	961.57	427.05
	488879107	30 000	02/07/12	788.30	08/29/12	1,373.66	585.36
Totals				\$1,834.35		\$3,342.53	\$1,508.18
ATWOOD OCEANICS INC	ATW	11,000	10/10/11	408.69	09/14/12	539.93	131.24
AVISTA CORP	AVA	28 000	09/26/11	662.18	09/17/12	705.05	42.87
	AVA	26,000	10/05/11	622.60	09/17/12	654.69	32.09
Totals				\$1,284.78		\$1,359.74	\$74.96
BUFFALO WILD WINGS INC	BWLD	10 000	02/03/12	704.93	11/07/12	745.29	40.36
AVIS BUDGET GROUP COM	CAR	22 000	09/07/11	280.50	09/05/12	357.12	76.62
	CAR	54 000	02/16/12	678.84	09/05/12	876.58	197.74
Totals				\$959.34		\$1,233.70	\$274.36
CADENCE DESIGN SYSTEM	CDNS	51 000	08/29/11	465.99	07/25/12	581.20	115.21
CIENA CORP NEW	CIEN	21 000	10/27/11	282.50	08/07/12	375.98	93.48
	CIEN	6 000	12/22/11	72.12	12/05/12	89.22	17.10
	CIEN	17,000	12/22/11	204.33	08/07/12	304.37	100.04
	CIEN	38 000	12/22/11	456.75	08/21/12	669.98	213.23
	CIEN	39 000	01/05/12	501.10	12/05/12	579.94	78.84
Totals				\$1,516.80		\$2,019.49	\$502.69
DSW INC CL A	DSW	11 000	01/10/12	526.26	11/20/12	743.87	217.61
EAGLE MATLS INC	EXP	6,000	06/15/12	189.76	09/13/12	274.73	84.97
	EXP	32 000	06/15/12	1,012.05	08/27/12	1,364.18	352.13
	EXP	8 000	06/20/12	269.82	09/13/12	366.31	96.49
	EXP	10 000	06/20/12	337.27	11/15/12	507.29	170.02
Totals				\$1,808.90		\$2,512.51	\$703.61
EZCHIP SEMICONDUCTOR LTD ORD	EZCH	9 000	08/07/12	359.11	09/12/12	307.89	(51.22)
	EZCH	9 000	08/07/12	359.11	09/11/12	305.85	(53.26)
	EZCH	15 000	08/07/12	598.51	09/13/12	508.04	(90.47)

Third-party and Morgan Stanley Wealth Management research on certain companies is available to clients of the Firm at no cost. Clients can access this research at www.morganstanleyclientserv.com or contact their Financial Advisor to request a copy of this research be sent to them.

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2012 Statement Linked Realized Gain/Loss - Detail

As of 11/07/2013

DREAM ENVISION FOUNDATION
(PENN CAP - SM CAP OPPORTN EQ)
18201 VON KARMAN, SUITE 305
IRVINE CA 92612-1070

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Acct. 264-134348-313	Gain/Loss
EZCH	EZCH	11 000	08/08/12	\$353 16	09/13/12	\$372.56		\$19 40
EZCH	EZCH	7 000	08/09/12	200 31	09/13/12	237.08		36 77
Totals				\$1,870 20		\$1,731.42		\$(138 78)
ALTERRA CAPITAL HOLDINGS LID	G0229R108	51 000	07/17/12	1,220 80	12/19/12	1,460 06		239 26
GRAPTECH INTL LTD HLDG CO	GTI	62 000	02/23/12	784 08	12/04/12	574 11		(209 97)
GENESEE & WYOMING INC A	GWR	4 000	11/30/11	242 95	10/11/12	279 28		36 33
	GWR	4 000	12/02/11	240 12	10/11/12	279 28		39 16
	GWR	4 000	02/08/12	239 01	12/14/12	296 73		57 72
Totals				\$722 08		\$855 29		\$133 21
HEXCEL CORP NEW	HXL	6 000	09/07/11	133 32	07/13/12	152 27		18 95
JDS UNIPHASE CP NEW	JDSU	5 000	10/14/11	54 00	09/21/12	64 83		10 83
	JDSU	57 000	10/14/11	615 59	08/13/12	628 59		13 00
	JDSU	20 000	10/24/11	222 03	10/15/12	205 78		(16.25)
	JDSU	49 000	10/24/11	543 97	09/21/12	635 32		91 35
	JDSU	64 000	10/31/11	787.94	10/15/12	658 50		(129.44)
	JDSU	47 000	11/01/11	539 46	10/15/12	483.59		(55 87)
Totals				\$2,762 99		\$2,676 61		\$(86 38)
KODIAK OIL & GAS CORP	KOG	96 000	02/24/12	1,025 74	12/03/12	806 87		(218 87)
KILROY REALTY CORPORATION	KRC	15 000	02/10/12	642 44	12/14/12	692 25		49 81
	KRC	4 000	08/08/12	185 09	12/14/12	184 60		-0 49
Totals				\$827.53		\$876.85		\$49 32
NUVASIVE INC	NUVA	12 000	01/11/12	159 16	07/10/12	302 15		142.99
	NUVA	45 000	01/11/12	596.84	10/05/12	659 00		62 16
	NUVA	64 000	01/11/12	848 84	10/08/12	908 55		59.71
	NUVA	31 000	08/16/12	651 52	10/08/12	440 08		(211 44)
Totals				\$2,256 36		\$2,309 78		\$53.42
OASIS PETROLEUM INC	OAS	13 000	10/28/11	400.63	07/25/12	335 88		(64 75)
	OAS	6 000	11/01/11	169.89	07/25/12	155 02		(14 87)
	OAS	13 000	11/01/11	368 08	08/08/12	380 51		12 43
Totals				\$938 60		\$871 41		\$(67 19)
ROBBINS & MYERS	RBN	5 000	09/07/11	238 05	08/09/12	296 88		58 83
	RBN	17 000	01/25/12	782 96	08/09/12	1,009 40		226 44
Totals				\$1,021 01		\$1,306 28		\$285 27
RF MICRO DEVICES INC	RFMD	170 000	01/25/12	837 23	08/01/12	638 35		(198 88)

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2012 Statement Linked Realized Gain/Loss - Detail

As of 11/07/2013

DREAM ENVISION FOUNDATION
(PENN CAP - SM CAP OPPORTN EQ)
18201 VON KARMAN, SUITE 305
IRVINE CA 92612-1070

Acct. 264-134348-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
RYMAN HOSPITALITY PPTYS INC	RHP	0.487	12/21/12	\$18.02	12/21/12	\$18.54	\$0.52
RIVERBED TECH INC	RVBD	1.000	06/01/12	15.85	11/07/12	18.10	2.25
	RVBD	29.000	06/01/12	459.69	10/19/12	673.62	213.93
	RVBD	41.000	06/05/12	652.30	11/07/12	742.21	89.91
Totals				\$1,127.84		\$1,433.93	\$306.09
SPIRIT AEROSYSTEMS HLD CLA A	SPR	20.000	10/25/11	325.56	08/10/12	502.67	177.11
	SPR	26.000	10/25/11	423.22	08/07/12	648.30	225.08
	SPR	6.000	11/02/11	101.14	08/10/12	150.80	49.66
	SPR	37.000	11/02/11	623.69	10/26/12	548.59	(75.10)
	SPR	38.000	11/14/11	749.15	10/26/12	563.42	(185.73)
	SPR	23.000	06/14/12	541.75	10/26/12	341.02	(200.73)
Totals				\$2,764.51		\$2,754.80	\$(9.71)
TREEHOUSE FOODS INC	THS	12.000	03/27/12	713.34	09/27/12	631.29	(82.05)
	THS	12.000	03/27/12	713.34	08/09/12	566.19	(147.15)
	THS	1.000	04/02/12	59.28	10/01/12	52.91	(6.37)
	THS	11.000	04/02/12	652.04	09/27/12	578.68	(73.36)
	THS	13.000	04/26/12	757.22	10/01/12	687.78	(69.44)
	THS	10.000	05/22/12	563.94	10/01/12	529.05	(34.89)
Totals				\$3,459.16		\$3,045.90	\$(413.26)
USG CORPORATION NEW 5/93	USG	26.000	08/27/12	538.50	11/30/12	691.36	152.86
VELTI PLC, ST HELIER	VELT	3.000	02/13/12	32.37	07/30/12	16.43	(15.94)
	VELT	27.000	02/21/12	271.35	07/30/12	147.83	(123.52)
	VELT	5.000	02/22/12	49.33	07/30/12	27.37	(21.96)
	VELT	41.000	02/22/12	404.52	07/31/12	223.69	(180.83)
Totals				\$757.57		\$415.32	\$(342.25)
Short Term Totals:				\$32,575.58		\$35,697.41	\$3,121.83
Long Term							
GAYLORD ENTMT CO CLA A NEW	367905106	11.000	09/24/09	220.86	08/09/12	439.85	218.99
	367905106	4.000	10/07/09	75.56	08/09/12	159.95	84.39
Totals				\$296.42		\$599.80	\$303.38
ICON PLC SP ADR	45103TT107	4.000	10/21/10	83.00	08/17/12	91.48	8.48
	45103TT107	21.000	10/21/10	435.76	08/16/12	486.73	50.97
	45103TT107	5.000	02/24/11	100.19	08/17/12	114.34	14.15

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DREAM ENVISION FOUNDATION
(PENN CAP - SM CAP OPPORTN EQ)
18201 VON KARMAN, SUITE 305
IRVINE CA 92612-1070

Acct. 264-134348-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
	45103T107	17 000	02/24/11	\$340.66	11/06/12	\$448.36	\$107.70
	45103T107	19 000	02/24/11	380.73	12/14/12	536.32	155.59
	45103T107	3 000	04/07/11	67.89	12/14/12	84.68	16.79
	Totals			\$1,408.23		\$1,761.91	\$353.68
KENEXA CORPORATION	488879107	8 000	11/18/10	155.70	08/27/12	366.27	210.57
	488879107	19 000	11/18/10	369.80	08/21/12	626.36	256.56
	488879107	34 000	06/27/11	830.12	08/27/12	1,556.66	726.54
	Totals			\$1,355.62		\$2,549.29	\$1,193.67
ACTUANT CORP CL A NEW	ATU	1 000	03/18/11	26.74	09/13/12	28.85	2.11
	ATU	21 000	03/18/11	561.49	07/10/12	576.37	14.88
	ATU	12 000	04/21/11	333.16	09/13/12	346.18	13.02
	ATU	17 000	04/21/11	471.98	12/03/12	484.51	12.53
	ATU	8 000	05/02/11	223.54	12/03/12	228.01	4.47
	Totals			\$1,616.91		\$1,663.92	\$47.01
AVISTA CORP	AVA	13 000	09/09/11	306.56	09/17/12	327.34	20.78
BROOKDALE SENIOR LIVING INC	BKD	5 000	06/04/10	81.91	09/13/12	114.60	32.69
	BKD	15 000	06/28/10	236.72	09/13/12	343.78	107.06
	BKD	29 000	06/28/10	457.66	11/07/12	683.72	226.06
	Totals			\$776.29		\$1,142.10	\$365.81
BALLY TECHNOLOGIES INC	BYI	7 000	03/05/10	272.78	10/26/12	347.76	74.98
	BYI	8,000	04/12/10	340.80	10/26/12	397.44	56.64
	Totals			\$613.58		\$745.20	\$131.62
AVIS BUDGET GROUP COM	CAR	39,000	07/22/10	405.68	08/02/12	599.51	193.83
	CAR	4 000	07/13/11	65.94	08/02/12	61.49	(4.45)
	CAR	5 000	07/13/11	82.43	08/29/12	83.18	0.75
	CAR	42 000	07/13/11	692.42	08/21/12	679.07	(13.35)
	CAR	2,000	07/22/11	32.98	09/05/12	32.47	(0.51)
	CAR	49 000	07/22/11	808.01	08/29/12	815.11	7.10
	Totals			\$2,087.46		\$2,270.83	\$183.37
CAVIUM INC COM	CAVM	24 000	08/19/11	633.87	10/26/12	717.40	83.53
CHEMTURA CORP COM NEW	CHMT	3 000	12/16/10	45.85	11/29/12	60.13	14.28
	CHMT	28 000	12/16/10	427.97	11/19/12	539.94	111.97
	CHMT	10 000	12/17/10	152.95	11/29/12	200.44	47.49
	CHMT	29,000	01/11/11	468.27	11/29/12	581.28	113.01

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DREAM ENVISION FOUNDATION
(PENN CAP - SM CAP OPPORTN EQ)
18201 VON KARMAN, SUITE 305
IRVINE CA 92612-1070

Acct. 264-134348-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
	CHMT	31 000	01/11/11	\$500 57	12/14/12	\$638 43	\$137 86
	CHMT	6 000	02/02/11	101 93	12/14/12	123 57	21 64
	Totals			\$1,697 54		\$2,143 79	\$446 25
DANA HOLDING CORP	DAN	36 000	06/29/10	368 20	07/18/12	430 07	61 87
	DAN	7 000	01/24/11	124 86	10/01/12	87 31	(37 55)
	DAN	27 000	01/24/11	481 60	07/18/12	322 56	(159 04)
	DAN	22 000	01/25/11	392 11	10/01/12	274 40	(117 71)
	DAN	57 000	08/16/11	789 63	10/01/12	710 94	(78 69)
	DAN	18 000	09/07/11	228 06	10/01/12	224 51	(3 55)
	Totals			\$2,384 46		\$2,049 79	\$(334 67)
GRAFTECH INTL LTD HLDG CO	GTI	34 000	10/18/10	565 87	08/03/12	372 97	(192 90)
	GTI	12 000	02/17/11	282 03	08/03/12	131 64	(150 39)
	GTI	20 000	02/17/11	470 06	12/03/12	187 49	(282 57)
	GTI	3 000	03/03/11	60 06	12/03/12	28 12	(31 94)
	GTI	41 000	03/16/11	824 06	12/03/12	384 35	(439 71)
	GTI	39 000	06/09/11	760 67	12/03/12	365 60	(395 07)
	GTI	19 000	09/07/11	278 35	12/03/12	178 11	(100 24)
	GTI	4 000	09/22/11	49 56	12/03/12	37 49	(12 07)
	GTI	51 000	09/22/11	631 86	12/04/12	472 25	(159 61)
	Totals			\$3,922 52		\$2,158 02	\$(1,764 50)
GENESEE & WYOMING INC A	GWR	8 000	12/02/11	480 23	12/14/12	593 47	113 24
HAIN CELESTIAL GROUP INC	HAIN	11 000	08/26/09	183 30	07/25/12	588 40	405 10
	HAIN	13 000	08/26/09	216 63	08/23/12	854 22	637 59
	HAIN	9 000	08/18/11	263 93	08/24/12	620 48	356 55
	HAIN	11 000	08/18/11	322 58	10/12/12	651 29	328 71
	Totals			\$986 44		\$2,714 39	\$1,727 95
HERCULES OFFSHORE CORP	HERO	5 000	06/13/11	26 45	12/28/12	29 86	3 41
	HERO	38 000	06/13/11	201 04	10/15/12	195 59	(5 45)
	HERO	29 000	06/14/11	158 23	12/31/12	175 58	17 35
	HERO	109 000	06/14/11	594 73	12/28/12	651 00	56 27
	HERO	88 000	07/13/11	461 07	12/31/12	532 78	71 71
	Totals			\$1,441 52		\$1,584 81	\$143 29
HOME PROPERTIES OF NY INC	HME	3 000	08/09/11	169 63	09/13/12	190 36	20 73
	HME	7 000	08/09/11	395 79	10/10/12	415 44	19 65

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18201 VON KARMAN, SUITE 305
IRVINE CA 92612-1070

Acct. 264-134348-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
HME	HME	10 000	08/09/11	\$565 46	09/13/12	\$634 55	\$69.09
HME	HME	4 000	08/12/11	249 42	10/10/12	237 40	(12 02)
Totals				\$1,380.30		\$1,477.75	\$97 45
HEXCEL CORP NEW	HXL	15 000	04/05/11	295.71	07/13/12	380 66	84.95
IDEX CORPORATION DELAWARE	IEX	15 000	07/13/10	457 33	07/16/12	546 68	89 35
IEX	IEX	2 000	11/03/10	73 75	07/16/12	72.89	(0 86)
IEX	IEX	12 000	11/03/10	442 48	12/14/12	543 18	100.70
Totals				\$973 56		\$1,162 75	\$189 19
KAISER ALUMINUM CORP	KALU	12 000	01/27/11	586 95	07/30/12	659.40	72.45
KALU	KALU	2 000	02/01/11	100 18	07/30/12	109 90	9 72
KALU	KALU	3 000	02/01/11	150 27	10/12/12	167 99	17 72
KALU	KALU	6 000	02/01/11	300 55	10/16/12	349.24	48 69
KALU	KALU	9 000	02/01/11	450 82	10/11/12	511.55	60 73
KALU	KALU	5 000	02/07/11	252 66	10/16/12	291 04	38 38
Totals				\$1,841 43		\$2,089 12	\$247 69
KILROY REALTY CORPORATION	KRC	9 000	08/18/11	308 78	09/13/12	433 99	125 21
KRC	KRC	13 000	08/18/11	443.35	10/31/12	571 06	127 71
KRC	KRC	2 000	09/07/11	68 96	10/31/12	87 86	18 90
KRC	KRC	2 000	09/07/11	68 96	12/14/12	92.30	23 34
KRC	KRC	21 000	10/06/11	642 95	12/14/12	969 16	326.21
Totals				\$1,533 00		\$2,154 37	\$621 37
MYRIAD GENETIC INC	MYGN	12,000	10/12/10	216 03	11/07/12	359 62	143 59
MYGN	MYGN	12 000	10/12/10	216 03	11/06/12	357.14	141 11
MYGN	MYGN	22 000	10/12/10	396 05	11/15/12	658 75	262 70
MYGN	MYGN	27,000	10/12/10	486.06	07/10/12	689 67	203 61
Totals				\$1,314 17		\$2,065 18	\$751 01
ORIENT EXPRESS HTL LTD CL A	OEH	8 000	06/15/10	73 55	08/01/12	70 04	(3 51)
OEH	OEH	65 000	06/15/10	597 55	07/10/12	546 83	(50 72)
OEH	OEH	34 000	11/02/10	379 94	08/01/12	297 69	(82 25)
OEH	OEH	41 000	11/02/10	458 17	12/05/12	498 66	40.49
OEH	OEH	30 000	11/09/10	339 29	12/05/12	364 87	25.58
Totals				\$1,848 50		\$1,778 09	\$(70.41)
PIONEER ENERGY SVCS CORP COM	PES	23 000	06/02/11	333 57	07/25/12	156 45	(177 12)
PES	PES	28 000	06/16/11	367 45	07/25/12	190 46	(176 99)

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2012 Statement Linked Realized Gain/Loss - Detail

As of 11/07/2013

DREAM ENVISION FOUNDATION
(PENN CAP - SM CAP OPPORTN EQ)
18201 VON KARMAN, SUITE 305
IRVINE CA 92612-1070

Acct. 264-134348-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
PES		37 000	06/16/11	\$485 56	08/08/12	\$299 16	\$(186 40)
Totals				\$1,186 58		\$646.07	\$(540 51)
PERKIN ELMER INC	PKI	24 000	08/10/11	541.16	10/26/12	741 23	200 07
PINNACLE ENTMT INC	PNK	10 000	08/24/09	102.54	12/21/12	156 02	53 48
	PNK	20 000	08/24/09	205 08	10/25/12	259 72	54 64
	PNK	20 000	08/24/09	205 08	11/16/12	257 69	52 61
	PNK	39 000	09/15/09	382 69	12/21/12	608 49	225 80
	PNK	43 000	09/16/09	435 26	12/21/12	670 90	235 64
	PNK	15 000	01/04/10	139 60	12/21/12	234.04	94 44
Totals				\$1,470 25		\$2,186 86	\$716 61
ROBBINS & MYERS	RBN	15 000	05/27/11	650 30	08/09/12	890 64	240 34
	RBN	18 000	06/17/11	769 94	08/09/12	1,068 77	298 83
Totals				\$1,420 24		\$1,959 41	\$539 17
RYMAN HOSPITALITY PPTYS INC	RHP	19 000	10/07/09	358 93	12/11/12	699 51	340 58
SINCLAIR BROADCAST GP CL A	SBGI	2 000	11/17/10	15 36	08/01/12	22 47	7 11
	SBGI	50 000	11/19/10	400 72	12/28/12	619 09	218 37
	SBGI	52 000	11/19/10	423 24	08/01/12	584 11	160 87
Totals				\$839 32		\$1,225 67	\$386 35
SOLAR CAPITAL LTD	SLRC	15 000	11/04/10	350 65	08/03/12	335 38	(15 27)
	SLRC	13 000	11/05/10	294 35	08/03/12	290 66	(3 69)
	SLRC	3 000	11/08/10	69 00	08/03/12	67 07	(1 93)
Totals				\$714 00		\$693 11	\$(20 89)
TEXAS CAP BNCSHS INC (DE)	TCBI	10 000	07/30/10	167 78	07/19/12	421 86	254 08
	TCBI	6 000	01/18/11	140 27	08/31/12	273 92	133 65
	TCBI	15 000	01/18/11	350.67	07/25/12	605 88	255.21
	TCBI	20 000	01/18/11	467 57	07/19/12	843 71	376 14
	TCBI	9,000	08/11/11	214.91	09/25/12	439 58	224 67
	TCBI	9 000	08/11/11	214 91	08/31/12	410 89	195 98
	TCBI	14 000	08/11/11	334 31	09/24/12	671 88	337 57
	TCBI	11 000	09/07/11	271 48	09/25/12	537 27	265 79
Totals				\$2,161 90		\$4,204 99	\$2,043.09
TERADYNE INC	TER	46 000	08/15/11	570 03	12/12/12	759.45	189 42
TRIQUINT SEMICONDUCTOR INC	TQNT	105 000	09/16/11	626 46	11/09/12	482 30	(144 16)
	TQNT	47 000	10/05/11	259.22	11/09/12	215 89	(43 33)

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2012 Statement Linked Realized Gain/Loss - Detail
As of 11/07/2013

DREAM ENVISION FOUNDATION
(PENN CAP - SM CAP OPPORTN EQ)
18201 VON KARMAN, SUITE 305
IRVINE CA 92612-1070

Acct. 264-134348-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
Totals				\$885 68		\$698 19	\$([187.49])
TRINITY IND DELAWARE							
TRN		2 000	02/10/11	\$59 40	12/14/12	\$69 49	\$10 09
TRN		26 000	02/10/11	772 24	07/26/12	699.14	(73.10)
TRN		11 000	02/22/11	334.36	12/14/12	382 20	47 84
Totals				\$1,166 00		\$1,150 83	\$([15 17])
WESTERN ALLIANCE BANCORP							
WAL		53 000	11/08/10	346.84	08/08/12	507.18	160 34
WINTRUST FIN CORP							
WTFC		4 000	10/14/09	127 14	07/20/12	147 94	20 80
WTFC		9 000	03/08/10	298 46	12/14/12	321.66	23.20
WTFC		13 000	03/08/10	431 10	07/20/12	480 82	49.72
WTFC		12 000	12/07/10	359 82	12/14/12	428 88	69 06
Totals				\$1,216 52		\$1,379 30	\$162 78
WOODWARD INC COM							
WWD		20 000	01/19/11	722 08	11/14/12	704.80	(17 28)
WWD		2 000	01/25/11	68 20	11/14/12	70 48	2 28
Totals				\$790 28		\$775 28	\$([15 00])
Long Term Totals:				\$42,862.05		\$51,757.06	\$8,895.01
2012 Short & Long Term Totals:				\$75,437.63		\$87,454.47	\$12,016.84

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CGMI 2012 Statement Linked Realized Gain/Loss - Detail

DREAM ENVISION FOUNDATION
(PENN CAP - SM CAP OPPORTN EQ)
18201 VON KARMAN, SUITE 305
IRVINE CA 92612-1070

Acct. 264-134348-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
Short Term							
AAR CORP	AIR	10,000	09/07/11	\$228.50	06/20/12	\$118.14	\$(110.36)
	AIR	12,000	10/24/11	232.79	06/20/12	141.77	(91.02)
	AIR	19,000	10/25/11	364.64	06/20/12	224.47	(140.17)
	AIR	21,000	03/23/12	396.12	06/20/12	248.11	(148.01)
Totals				\$1,222.05		\$732.49	\$(489.56)
ACME PACKET INC	APKT	5,000	01/27/12	152.28	03/26/12	134.74	(17.54)
	APKT	10,000	01/27/12	304.55	06/06/12	240.99	(63.56)
	APKT	13,000	01/27/12	395.92	02/08/12	446.67	50.75
Totals				\$852.75		\$822.40	\$(30.35)
ACTUANT CORP CLASS A	ATU	22,000	03/17/11	573.43	02/08/12	596.18	22.75
	ATU	30,000	03/17/11	781.96	03/02/12	835.81	53.85
Totals				\$1,355.39		\$1,431.99	\$76.60
ATWOOD OCEANICS INC	ATW	3,000	10/10/11	111.46	03/26/12	142.26	30.80
	ATW	6,000	10/10/11	222.92	06/06/12	237.23	14.31
	ATW	9,000	10/10/11	334.38	02/08/12	407.60	73.22
Totals				\$668.76		\$787.09	\$118.33
AUXILIUM PHARMACEUTICALS INC	AUXL	4,000	05/22/12	76.03	06/06/12	90.43	14.40
AVISTA CORP	AVA	2,000	09/09/11	47.16	03/26/12	50.56	3.40
	AVA	3,000	09/09/11	70.75	06/06/12	77.63	6.88
	AVA	9,000	09/09/11	212.24	02/08/12	232.91	20.67
	AVA	31,000	09/09/11	731.03	01/05/12	783.42	52.39
Totals				\$1,061.18		\$1,144.52	\$83.34
BELO CORP CL A DELAWARE	BLC	39,000	04/27/11	338.06	03/26/12	291.65	(46.41)
	BLC	92,000	04/27/11	797.47	02/08/12	715.74	(81.73)
Totals				\$1,135.53		\$1,007.39	\$(128.14)
BROCADE COMMUNICATIONS SYSTEMS	BRCD	8,000	09/07/11	32.40	06/06/12	37.36	4.96
	BRCD	13,000	09/07/11	52.65	06/14/12	57.19	4.54
	BRCD	186,000	10/05/11	825.06	06/14/12	818.25	(6.81)
	BRCD	168,000	10/27/11	757.11	06/14/12	739.06	(18.05)
Totals				\$1,667.22		\$1,651.86	\$(15.36)
BUFFALO WILD WINGS INC	BWLD	2,000	02/03/12	140.99	06/06/12	165.89	24.90
	BWLD	3,000	02/03/12	211.48	03/26/12	281.24	69.76

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(PENN CAP - SM CAP OPPORTN EQ)
18201 VON KARMAN, SUITE 305
IRVINE CA 92612-1070

Acct. 264-134348-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
	BWLD	5 000	02/03/12	\$352 47	02/08/12	\$407 74	\$55 27
Totals				\$704 94		\$854 87	\$149 93
CAVIUM INC							
CAVM		1 000	08/17/11	29 99	03/26/12	32 74	2 75
CAVM		3 000	08/17/11	89 96	06/06/12	78 87	(11 09)
CAVM		24 000	08/17/11	719 69	02/08/12	817 66	97 97
CAVM		5 000	08/19/11	132 06	06/06/12	131 44	(0 62)
Totals				\$971 70		\$1,060 71	\$89 01
CBeyond COMMUNICATIONS INC							
CBEY		7 000	11/08/11	40 46	03/26/12	57 81	17 35
CBEY		12 000	11/08/11	69 36	06/06/12	74 87	5 51
CBEY		66 000	11/08/11	381 48	02/08/12	599 92	218 44
Totals				\$491 30		\$732 60	\$241 30
CADENCE DESIGN SYSTEMS INC							
CDNS		10 000	08/17/11	90 37	06/06/12	105 00	14 63
CDNS		15 000	08/17/11	135 56	03/26/12	181 38	45 82
CDNS		56 000	08/17/11	506 08	02/08/12	657 42	151 34
CDNS		64 000	08/17/11	578 37	02/14/12	746 51	168 14
CDNS		11 000	08/29/11	100 51	06/06/12	115 49	14 98
Totals				\$1,410 89		\$1,805 80	\$394 91
CHICOS FAS INC							
CHSI		2 000	06/05/12	28 84	06/06/12	28 99	0 15
CATALYST HEALTH SOLUTIONS INC							
CHSI		11 000	08/10/11	546 38	04/19/12	982 90	436 52
CHSI		1 000	08/19/11	51 07	04/19/12	89 35	38 28
CHSI		11 000	08/19/11	561 79	04/24/12	960 27	398 48
CHSI		3 000	09/07/11	166 68	04/25/12	263 76	97 08
CHSI		5 000	09/07/11	277 80	04/24/12	436 49	158 69
CHSI		13 000	11/02/11	626 79	04/25/12	1,142 97	516 18
Totals				\$2,230 51		\$3,875 74	\$1,645 23
CIENA CORP NEW							
CIEN		6 000	10/26/11	73 95	06/20/12	94 65	20 70
CIEN		9 000	10/26/11	110 92	02/14/12	150 14	39 22
CIEN		13 000	10/26/11	160 22	03/26/12	207 75	47 53
CIEN		19 000	10/26/11	234 17	06/06/12	265 23	31 06
CIEN		33 000	10/26/11	406 72	06/14/12	491 53	84 81
CIEN		45 000	10/26/11	554 61	04/25/12	708 57	153 96
CIEN		48 000	10/26/11	591 58	02/08/12	802 06	210 48
CIEN		33 000	10/27/11	443 94	06/20/12	520 56	76 62
Totals				\$2,576 11		\$3,240 49	\$664 38

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CGMI 2012 Statement Linked Realized Gain/Loss - Detail

DREAM ENVISION FOUNDATION
(PENN CAP - SM CAP OPPORTN EQ)
18201 VON KARMAN, SUITE 305
IRVINE CA 92612-1070

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Acct. 264-134348-313	Gain/Loss
CLEARWATER PAPER CORP	CLW	2 000	08/12/11	\$70 50	06/06/12	\$63 87		\$6 63
	CLW	3 000	08/12/11	105 76	04/13/12	93 64		(12 12)
Totals				\$176 26		\$157 51		\$(18 75)
CENTENE CORP DEL	CNC	4 000	04/09/12	176 84	06/06/12	147 59		(29 25)
COTT CORP QUE	COT	50 000	06/21/11	414 43	02/08/12	356 99		(57 44)
	COT	55 000	06/21/11	455 87	03/21/12	352 50		(103 37)
	COT	17 000	06/22/11	143 31	03/26/12	112 17		(31 14)
	COT	72 000	06/22/11	606 96	03/21/12	461 46		(145 50)
	COT	1 000	07/07/11	8 49	03/26/12	6 60		(1 89)
	COT	97 000	07/07/11	823 24	04/03/12	643 60		(179 64)
	COT	5 000	08/10/11	37 24	04/03/12	33 17		(4 07)
	COT	84 000	08/10/11	625 61	05/02/12	566 30		(59 31)
	COT	36 000	09/07/11	280 08	05/02/12	242 70		(37 38)
	COT	109 000	02/07/12	795 53	05/02/12	734 83		(60 70)
Totals				\$4,190 76		\$3,510 32		\$(680 44)
COMSTOCK RES INC NEW	CRK	13 000	09/07/11	252 46	01/19/12	161 17		(91 29)
DIGITAL RIVER INC	DRIV	15 000	09/07/11	285 75	05/22/12	216 18		(69 57)
	DRIV	31 000	01/24/12	482 68	05/22/12	446 77		(35 91)
Totals				\$768 43		\$662 95		\$(105 48)
DSW INC CL A	DSW	2 000	12/06/11	95 16	06/06/12	117 09		21 93
	DSW	2 000	12/06/11	95 16	03/26/12	109 35		14 19
	DSW	5 000	12/06/11	237 90	02/08/12	256 24		18 34
	DSW	11 000	12/06/11	523 39	06/15/12	641 17		117 78
	DSW	12 000	12/06/11	570 97	05/22/12	731 24		160 27
	DSW	1 000	01/10/12	47 84	06/15/12	58 29		10 45
Totals				\$1,370 42		\$1,913 38		\$342 96
FELCOR LODGING TR INC	FCH	61 000	03/29/11	357 44	03/19/12	225 85		(131 59)
	FCH	89 000	03/29/11	521 52	03/16/12	331 03		(190 49)
	FCH	95 000	03/29/11	556 67	02/08/12	418 94		(137 73)
	FCH	38 000	03/31/11	233 32	03/19/12	140 69		(92 63)
	FCH	47 000	03/31/11	288 58	03/26/12	175 77		(112 81)
Totals				\$1,957 53		\$1,292 28		\$(665 25)
FIRST HORIZON NATL CORP	PHN	16 000	02/13/12	151 49	06/06/12	124 63		(26 86)
	PHN	25 000	02/13/12	236 71	03/26/12	265 66		28 95

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18201 VON KARMAN, SUITE 305
IRVINE CA 92612-1070

Acct. 264-134348-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
Totals				\$388 20		\$390 29	\$2 09
FOSTER WHEELER AG	FWLT	1 000	04/04/12	\$22 80	06/06/12	\$18.14	\$(4 66)
GLOBE SPECIALTY METALS INC	GSM	11 000	07/01/11	259.27	03/26/12	168 56	(90.71)
	GSM	23 000	07/01/11	542 11	02/08/12	333 26	(208.85)
	GSM	38 000	07/01/11	895.67	04/12/12	555 89	(339 78)
	GSM	6 000	07/07/11	147 05	04/26/12	79 98	(67.07)
	GSM	28 000	07/07/11	686 22	04/12/12	409 60	(276.62)
	GSM	36 000	07/08/11	876.43	04/26/12	479 89	(396 54)
	GSM	34 000	07/25/11	851 97	04/26/12	453 23	(398 74)
	GSM	16 000	09/07/11	256.96	04/26/12	213 29	(43 67)
Totals				\$4,515.68		\$2,693 70	\$(1,821 98)
CHART INDUSTRIES INC	GTLS	8 000	02/23/11	305.18	02/08/12	453 11	147 93
	GTLS	10 000	02/23/11	381 47	02/02/12	598 52	217.05
	GTLS	2 000	04/14/11	95 16	03/26/12	149 53	54 37
	GTLS	6 000	04/14/11	285 47	03/01/12	420 12	134 65
	GTLS	9 000	04/14/11	428 20	02/24/12	605 11	176 91
	GTLS	1 000	05/04/11	49.46	03/26/12	74 77	25 31
	GTLS	7 000	05/04/11	346 23	04/30/12	528 67	182 44
Totals				\$1,891 17		\$2,829 83	\$938 66
GENESEE & WYOMING INC CLASS A	GWR	2 000	11/30/11	121 47	03/26/12	114 61	(6 86)
	GWR	2 000	11/30/11	121 47	06/06/12	100 17	(21 30)
	GWR	4 000	11/30/11	242 94	02/08/12	243 59	0 65
Totals				\$485 88		\$458 37	\$(27 51)
HERCULES OFFSHORE INC	HERO	29 000	06/10/11	157 07	03/26/12	144.32	(12 75)
	HERO	32 000	06/10/11	173 32	06/06/12	112.64	(60.68)
	HERO	120 000	06/10/11	649 96	02/08/12	597 96	(52 00)
	HERO	51 000	06/13/11	269 81	06/06/12	179.51	(90 30)
Totals				\$1,250 16		\$1,034 43	\$(215 73)
HOME PROPERTIES INC	HME	1 000	08/09/11	56 47	06/06/12	60 74	4 27
	HME	4 000	08/09/11	226 25	03/26/12	237 10	10 85
	HME	7 000	08/09/11	395 89	02/08/12	423 98	28 09
Totals				\$678 61		\$721 82	\$43 21
HARRY WINSTON DIAMOND CORP	HWD	11 000	03/08/12	152 03	03/26/12	172 64	20.61
	HWD	20 000	03/08/12	276 42	06/06/12	253 79	(22 63)

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Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
	HWD	7 000	03/09/12	\$99 07	06/06/12	\$88 83	\$(10 24)
	Totals			\$527 52		\$515 26	\$(12 26)
HEXCEL CORP NEW	HXL	13 000	02/25/11	242 20	02/08/12	346 18	103 98
ICONIX BRAND GROUP INC	ICON	19 000	04/15/11	412 81	02/08/12	392 34	(20 47)
	ICON	26 000	04/15/11	564 89	02/23/12	475 83	(89 06)
	ICON	30 000	04/15/11	651 80	02/08/12	617 94	(33 86)
	ICON	30 000	06/22/11	710 77	02/23/12	549 04	(161 73)
	ICON	13 000	07/27/11	311 72	02/23/12	237 92	(73 80)
	ICON	18 000	07/27/11	431 61	02/27/12	329 72	(101 89)
	ICON	9 000	09/07/11	164 61	02/27/12	164 86	0 25
	ICON	14 000	10/24/11	255 99	02/27/12	256 45	0 46
	ICON	32 000	10/25/11	595 64	02/27/12	586 18	(9 46)
	Totals			\$4,099 84		\$3,610 28	\$(489 56)
IMAX CORPORATION CAD	IMAX	6 000	01/04/12	110 45	03/26/12	152 19	41 74
	IMAX	6 000	01/04/12	110 45	06/06/12	118 85	8 40
	IMAX	14 000	01/04/12	257 72	02/08/12	307 43	49 71
	Totals			\$478 62		\$578 47	\$99 85
JDS UNIPHASE CORP NEW	JDSU	65 000	10/13/11	684 70	02/08/12	889 18	204 48
	JDSU	5 000	10/14/11	54 00	02/08/12	68 40	14 40
	JDSU	11 000	10/14/11	118 80	02/14/12	153 11	34 31
	JDSU	19 000	10/14/11	205 20	03/26/12	271 57	66 37
	JDSU	26 000	10/14/11	280 79	06/06/12	266 23	(14 56)
	Totals			\$1,343 49		\$1,648 49	\$305 00
KODIAK OIL & GAS CORP	KOG	6 000	02/24/12	64 11	03/26/12	61 92	(2 19)
	KOG	34 000	02/24/12	363 28	06/06/12	267 57	(95 71)
	Totals			\$427 39		\$329 49	\$(97 90)
KILROY REALTY CORPORATION	KRC	3 000	08/18/11	103 09	06/06/12	139 70	36 61
	KRC	3 000	08/18/11	103 29	03/26/12	138 66	35 37
	KRC	9 000	08/18/11	309 95	02/08/12	387 08	77 13
	Totals			\$516 33		\$665 44	\$149 11
MICROS SYSTEMS INC	MCRS	16 000	08/11/11	674 95	04/09/12	861 67	186 72
	MCRS	5 000	09/07/11	231 55	04/09/12	269 27	37 72
	Totals			\$906 50		\$1,130 94	\$224 44
VAIL RESORTS INC	MTN	6 000	09/07/11	230 04	02/03/12	270 25	40 21

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IRVINE CA 92612-1070

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Acct. 264-134348-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
MONSTER WORLDWIDE INC	MWW	57 000	04/05/11	\$957 09	03/21/12	\$531 31	\$(425 78)
	MWW	1 000	09/07/11	8 67	03/21/12	9 32	0 65
	MWW	28 000	09/07/11	242 76	03/22/12	259 72	16 96
	MWW	47 000	01/24/12	410 27	03/22/12	435 95	25 68
Totals				\$1,618.79		\$1,236 30	\$(382 49)
NII HLDGS INC CLASS B NEW	NIHD	8 000	04/26/12	122 00	06/06/12	93 03	(28 97)
	NIHD	31 000	04/26/12	472 74	06/25/12	321 65	(151 09)
	NIHD	54 000	04/26/12	823 49	06/20/12	604 86	(218 63)
	NIHD	56 000	04/26/12	853 99	06/21/12	589 36	(264 63)
	NIHD	53 000	05/08/12	667 15	06/25/12	549 92	(117 23)
Totals				\$2,939 37		\$2,158 82	\$(780 55)
NUVASIVE INC	NUVA	22 000	02/09/11	636 85	02/08/12	357 71	(279 14)
	NUVA	6 000	09/07/11	138 72	06/06/12	122 39	(16 33)
	NUVA	4 000	01/11/12	53 05	06/06/12	81 60	28 55
Totals				\$828 62		\$561 70	\$(266 92)
OASIS PETROLEUM INC	OAS	5 000	10/10/11	118 59	03/26/12	155 93	37 34
	OAS	7 000	10/10/11	166 03	06/06/12	182 20	16 17
	OAS	18 000	10/10/11	426 93	02/08/12	545 56	118 63
	OAS	24 000	10/10/11	569 24	02/24/12	821 65	252 41
	OAS	2 000	10/28/11	61 63	06/06/12	52 06	(9 57)
Totals				\$1,342 42		\$1,757 40	\$414 98
OCLARO INC	OCLR	3 000	04/02/12	12 23	06/06/12	7 44	(4 79)
	OCLR	180 000	04/02/12	733 62	06/05/12	424 57	(309 05)
	OCLR	133 000	04/03/12	547 65	06/06/12	329 67	(217 98)
	OCLR	44 000	04/04/12	176 04	06/06/12	109 06	(66 98)
Totals				\$1,469 54		\$870 74	\$(598 80)
PIONEER DRILLING CO	PDC	4 000	06/01/11	58 39	03/26/12	36 28	(22 11)
	PDC	43 000	06/01/11	627 67	02/08/12	417 09	(210 58)
	PDC	15 000	06/02/11	217 55	03/26/12	136 07	(81 48)
Totals				\$903 61		\$589 44	\$(314 17)
PEBBLEBROOK HOTEL TRUST	PEB	6 000	04/19/12	138 55	06/06/12	130 55	(8 00)
PIER 1 IMPORTS INC-DEL	PIR	18 000	09/07/11	198 18	01/11/12	271 38	73 20
PERKINELMER INC	PKI	4 000	08/10/11	90 19	03/26/12	110 83	20 64
	PKI	6 000	08/10/11	135 29	06/06/12	155 94	20 65

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CGMI 2012 Statement Linked Realized Gain/Loss - Detail

DREAM ENVISION FOUNDATION
(PENN CAP - SM CAP OPPORTN EQ)
18201 VON KARMAN, SUITE 305
IRVINE CA 92612-1070

Prepared by The Greenfield Team
Ph 415-955-1580

Acct. 264-134348-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
	PKI	16 000	08/10/11	\$360 78	02/08/12	\$420 55	\$59 77
	Totals			\$586.26		\$687.32	\$101 06
PMC SIERRA INC	PMCS	24 000	05/24/11	187 03	01/31/12	155.44	(31 59)
	PMCS	82 000	05/24/11	639 02	02/01/12	551 44	(87 58)
	PMCS	111 000	07/19/11	810 71	02/01/12	746.47	(64 24)
	PMCS	52 000	09/07/11	308.36	02/01/12	349 70	41 34
	Totals			\$1,945 12		\$1,803 05	\$(142 07)
ROBBINS & MYERS INC	RBN	1 000	05/19/11	42 79	03/26/12	46 11	3 32
	RBN	9 000	05/19/11	385 09	02/08/12	460 79	75 70
	RBN	4 000	05/24/11	163 39	03/26/12	184 44	21 05
	Totals			\$591 27		\$691 34	\$100 07
RF MICRO DEVICES INC	RFMD	35 000	01/25/12	172 37	03/26/12	174 03	1 66
	RFMD	47 000	01/25/12	231 47	06/06/12	195 51	(35 96)
	RFMD	67 000	01/25/12	329 97	02/08/12	357 10	27 13
	Totals			\$733 81		\$726 64	\$(7 17)
REGAL ENTERTAINMENT GROUP CL A	RGC	55 000	03/25/11	755 80	01/04/12	650 71	(105 09)
	RGC	8 000	07/07/11	94 09	01/04/12	94 65	0 56
	RGC	19 000	07/07/11	223 47	02/08/12	244.90	21.43
	RGC	51.000	07/07/11	599 84	02/14/12	663 33	63 49
	RGC	6 000	07/13/11	71 24	02/14/12	78.04	6 80
	RGC	60 000	07/13/11	712 45	02/15/12	787 95	75.50
	RGC	41.000	09/07/11	534 23	02/15/12	538.44	4 21
	Totals			\$2,991 12		\$3,058.02	\$66 90
RIVERBED TECHNOLOGY INC	RVBD	10 000	06/01/12	158 52	06/06/12	173 99	15 47
SHUFFLE MASTER INC	SHFL	13.000	03/17/11	134 09	02/08/12	190 05	55.96
	SHFL	9 000	03/22/11	92 52	02/08/12	131 58	39.06
	SHFL	11 000	03/22/11	113 07	02/14/12	154 97	41 90
	SHFL	21 000	03/23/11	218 77	02/14/12	295 84	77.07
	SHFL	29 000	09/07/11	281 88	06/06/12	365.74	83 86
	Totals			\$840 33		\$1,138 18	\$297 85
SVB FINANCIAL GROUP INC	SIVB	1 000	01/10/12	55 08	03/26/12	66 95	11 87
	SIVB	3 000	01/10/12	165 25	02/08/12	177 68	12 43
	Totals			\$220 33		\$244 63	\$24 30
SOLUTIA INC	SOA	23.000	09/07/11	388 01	02/07/12	644 30	256 29

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CGMI 2012 Statement Linked Realized Gain/Loss - Detail

DREAM ENVISION FOUNDATION
(PENN CAP - SM CAP OPPORTN EQ)
18201 VON KARMAN, SUITE 305
IRVINE CA 92612-1070

Prepared by The Greenfield Team
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Acct. 264-134348-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
SONUS NETWORKS INC							
	SONS	60 000	08/04/11	\$163 06	03/26/12	\$176 01	\$12 95
	SONS	142 000	08/04/11	385 90	02/08/12	388 02	2 12
	SONS	336 000	08/04/11	913 11	06/05/12	743 08	(170 03)
	SONS	31 000	08/05/11	84 37	06/06/12	72 22	(12 15)
	SONS	44 000	08/05/11	119 75	06/13/12	100 39	(19 36)
	SONS	186 000	08/05/11	506 22	06/05/12	411 35	(94 87)
	SONS	18 000	09/07/11	42 84	06/15/12	41 87	(0 97)
	SONS	29 000	09/07/11	69 02	06/13/12	66 17	(2 85)
	SONS	73 000	11/16/11	194 02	06/19/12	170 10	(23 92)
	SONS	75 000	11/16/11	199 33	06/15/12	174 48	(24 85)
	SONS	156 000	11/16/11	414 62	06/29/12	330 73	(83 89)
Totals				\$3,092 24		\$2,674 42	\$(417 82)
SPIRIT AEROSYSTEMS HLDGS INC							
	SPR	2 000	09/27/11	33 31	02/08/12	46 60	13 29
	SPR	37 000	09/27/11	616 15	01/10/12	846 46	230 31
	SPR	15 000	10/07/11	246 30	02/08/12	349 49	103 19
	SPR	24 000	10/07/11	394 09	02/08/12	561 94	167 85
	SPR	11 000	10/21/11	177 87	02/08/12	257 55	79 68
	SPR	32 000	10/21/11	517 44	03/02/12	763 59	246 15
	SPR	3 000	10/25/11	48 83	06/06/12	67 94	19 11
	SPR	3 000	10/25/11	48 83	03/02/12	71 59	22 76
	SPR	8 000	10/25/11	130 22	03/26/12	203 35	73 13
Totals				\$2,213 04		\$3,168 51	\$955 47
TERADYNE INC							
	TER	10 000	08/15/11	123 92	03/26/12	172 62	48 70
	TER	12 000	08/15/11	148 70	06/06/12	172 19	23 49
	TER	34 000	08/15/11	421 32	02/08/12	571 86	150 54
Totals				\$693 94		\$916 67	\$222 73
TRIUMPH GROUP INC NEW							
	TGI	7 000	07/07/11	360 95	02/08/12	462 19	101 24
	TGI	12 000	07/07/11	618 77	02/01/12	781 13	162 36
	TGI	13 000	07/07/11	670 34	01/11/12	795 38	125 04
	TGI	2 000	07/13/11	103 01	03/26/12	131 46	28 45
	TGI	2 000	07/13/11	103 01	02/08/12	132 06	29 05
	TGI	3 000	07/13/11	154 51	06/06/12	179 93	25 42
Totals				\$2,010 59		\$2,482 15	\$471 56
TREEHOUSE FOODS INC							
	THS	1 000	03/27/12	59 45	06/06/12	58 39	(1 06)

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CGMI 2012 Statement Linked Realized Gain/Loss - Detail

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(PENN CAP - SM CAP OPPORTN EQ)
18201 VON KARMAN, SUITE 305
IRVINE CA 92612-1070

Acct. 264-134348-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
TRIQUINT SEMICONDUCTOR INC	TQNT	27,000	09/16/11	\$161.09	03/26/12	\$178.43	\$17.34
	TQNT	36,000	09/16/11	214.79	06/06/12	195.47	(19.32)
	TQNT	70,000	09/16/11	417.64	02/08/12	478.79	61.15
	Totals			\$793.52		\$852.69	\$59.17
TRINITY INDUSTRIES INC	TRN	14,000	01/10/11	378.72	01/10/12	434.77	56.05
	TRN	14,000	01/11/11	383.42	01/10/12	434.78	51.36
	Totals			\$762.14		\$869.55	\$107.41
UNITED THERAPEUTICS CORP	UTHR	16,000	08/31/11	686.44	01/03/12	764.94	78.50
	UTHR	1,000	09/07/11	44.18	01/03/12	47.81	3.63
	UTHR	1,000	09/07/11	44.14	01/03/12	47.81	3.67
	UTHR	15,000	09/07/11	662.65	01/11/12	726.22	63.57
	UTHR	15,000	09/14/11	664.63	01/11/12	726.22	61.59
	Totals			\$2,102.04		\$2,313.00	\$210.96
VELTI PLC ORD	VELT	14,000	06/13/11	211.80	03/26/12	188.90	(22.90)
	VELT	39,000	06/13/11	590.02	02/08/12	374.39	(215.63)
	VELT	46,000	06/13/11	695.93	03/29/12	641.34	(54.59)
	VELT	4,000	06/14/11	63.02	06/06/12	27.71	(35.31)
	VELT	15,000	06/14/11	236.31	03/29/12	209.13	(27.18)
	VELT	40,000	06/14/11	630.15	06/14/12	265.96	(364.19)
	VELT	45,000	06/15/11	719.24	06/14/12	299.20	(420.04)
	VELT	20,000	07/18/11	390.98	06/14/12	132.98	(258.00)
	VELT	23,000	07/18/11	449.62	07/02/12	146.86	(302.76)
	VELT	55,000	08/15/11	715.95	07/02/12	351.18	(364.77)
	VELT	14,000	09/07/11	115.36	07/02/12	89.39	(25.97)
	VELT	24,000	09/07/11	197.76	07/05/12	161.72	(36.04)
	VELT	36,000	01/18/12	273.60	07/05/12	242.57	(31.03)
	VELT	17,000	02/13/12	183.46	07/05/12	114.55	(68.91)
	Totals			\$5,473.20		\$3,245.88	\$(2,227.32)
WEBSTER FINANCIAL CORP	WBS	12,000	09/07/11	203.16	05/16/12	250.27	47.11
WMS INDUSTRIES INC	WMS	1,000	06/04/12	20.00	06/06/12	20.67	0.67
WARNACO GROUP INC	WRC	5,000	09/07/11	253.95	06/05/12	213.13	(40.82)
Short Term Totals:				\$79,121.45		\$78,163.08	\$(958.37)
Long Term							

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CGMI 2012 Statement Linked Realized Gain/Loss - Detail

DREAM ENVISION FOUNDATION
(PENN CAP - SM CAP OPPORTN EQ)
18201 VON KARMAN, SUITE 305
IRVINE CA 92612-1070

Acct 264-134348-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
AAR CORP	AIR	11 000	09/03/10	\$188 77	02/08/12	\$246 28	\$57 51
	AIR	6 000	10/27/10	130 70	03/26/12	115 29	(15 41)
	AIR	6 000	10/27/10	130 70	06/06/12	69 35	(61 35)
	AIR	8 000	10/27/10	174 26	02/08/12	179 12	4 86
	AIR	18 000	10/27/10	392 09	06/14/12	208 16	(183 93)
	AIR	13 000	02/14/11	365 77	06/14/12	150 34	(215 43)
	AIR	9 000	02/15/11	255 78	06/20/12	106 33	(149 45)
	AIR	14 000	02/15/11	397 87	06/14/12	161 91	(235 96)
	AIR	12 000	04/28/11	311 10	06/20/12	141 77	(169 33)
	AIR	18 000	04/29/11	472 33	06/20/12	212 66	(259 67)
Totals				\$2,819 37		\$1,591 21	\$(1,228 16)
ACTUANT CORP CLASS A	ATU	6 000	03/17/11	156 39	03/26/12	177 43	21 04
	ATU	1 000	03/18/11	26 74	03/26/12	29 57	2 83
	ATU	7 000	03/18/11	187 16	06/06/12	180 03	(7 13)
Totals				\$370 29		\$387 03	\$16 74
BROOKDALE SR LIVING INC	BKD	6 000	06/04/10	98 30	06/06/12	94 02	(4 28)
	BKD	9 000	06/04/10	147 45	03/26/12	169 20	21 75
	BKD	27 000	06/04/10	442 33	02/08/12	518 12	75 79
Totals				\$688 08		\$781 34	\$93 26
BELO CORP CL A DELAWARE	BLC	25 000	04/27/11	216 71	06/06/12	145 99	(70 72)
BROCADE COMMUNICATIONS SYSTEMS	BRCD	6 000	04/13/10	38 39	02/08/12	36 42	(1 97)
	BRCD	28 000	07/13/10	145 86	03/08/12	158 28	12 42
	BRCD	137 000	07/13/10	713 67	02/08/12	831 57	117 90
	BRCD	58 000	08/27/10	283 37	03/09/12	330 15	46 78
	BRCD	98 000	08/27/10	478 80	03/08/12	554 00	75 20
	BRCD	30 000	10/26/10	176 21	03/26/12	172 43	(3 78)
	BRCD	36 000	10/26/10	211 45	05/18/12	168 88	(42 57)
	BRCD	81 000	10/26/10	475 76	03/09/12	461 07	(14 69)
	BRCD	140 000	11/02/10	896 91	05/18/12	656 75	(240 16)
	BRCD	14 000	11/23/10	74 35	05/18/12	65 68	(8 67)
	BRCD	18 000	11/23/10	95 59	06/06/12	84 05	(11 54)
	BRCD	134 000	11/23/10	711 59	06/05/12	578 19	(133 40)
Totals				\$4,301 95		\$4,097 47	\$(204 48)
BALLY TECHNOLOGIES INC	BYI	8 000	10/03/08	218 58	02/08/12	355 67	137 09

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CGMI 2012 Statement Linked Realized Gain/Loss - Detail

DREAM ENVISION FOUNDATION
(PENN CAP - SM CAP OPPORTN EQ)
18201 VON KARMAN, SUITE 305
IRVINE CA 92612-1070

Prepared by The Greenfield Team
Ph. 415-955-1580

Acct. 264-134348-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
AVIS BUDGET GROUP INC	BYI	3 000	03/05/10	\$116 91	02/08/12	\$133 38	\$16 47
	BYI	4 000	03/05/10	155 88	03/26/12	188 96	33 08
	BYI	5 000	03/05/10	194 85	06/06/12	231 74	36 89
	Totals			\$686 22		\$909 75	\$223 53
	CAR	6 000	07/08/10	59 39	02/08/12	90 66	31 27
CHEMTURA CORP	CAR	64 000	07/08/10	633 44	02/03/12	943 58	310 14
	CAR	5 000	07/22/10	52 01	06/06/12	68 64	16 63
	CAR	12 000	07/22/10	124 83	02/08/12	181 31	56 48
	CAR	14 000	07/22/10	145 63	03/26/12	202 94	57 31
	Totals			\$1,015 30		\$1,487 13	\$471 83
CHEMTURA CORP	CHMT	6 000	12/09/10	93 42	03/26/12	102 80	9 38
	CHMT	42 000	12/09/10	653 94	02/08/12	621 58	(32 36)
	CHMT	53 000	12/09/10	825 21	03/02/12	832 08	6 87
	CHMT	8 000	12/16/10	122 27	03/26/12	137 06	14 79
	CHMT	14 000	12/16/10	213 98	06/06/12	195 85	(18 13)
CATALYST HEALTH SOLUTIONS INC	Totals			\$1,908 82		\$1,889 37	\$(19 45)
	CHSI	6 000	05/28/10	229 03	03/26/12	381 91	152 88
	CHSI	11 000	05/28/10	419 90	02/08/12	606 52	186 62
	CHSI	18 000	09/15/10	725 55	04/18/12	1,503 59	778 04
	CHSI	2 000	09/22/10	65 31	04/19/12	178 71	113 40
CLEARWATER PAPER CORP	CHSI	25 000	09/22/10	816 33	04/18/12	2,088 33	1,272 00
	Totals			\$2,256 12		\$4,759 06	\$2,502 94
	CLW	1 000	12/30/10	40 12	03/26/12	33 44	(6 68)
	CLW	17 000	12/30/10	682 09	02/08/12	677 09	(5 00)
	CLW	22 000	12/30/10	882 70	03/26/12	730 70	(152 00)
COMSTOCK RES INC NEW	CLW	1 000	03/21/11	38 58	03/26/12	33 21	(5 37)
	CLW	9 000	03/21/11	347 21	04/13/12	280 91	(66 30)
	CLW	10 000	03/21/11	385 79	04/12/12	315 04	(70 75)
	Totals			\$2,376 49		\$2,070 39	\$(306 10)
	CRK	33 000	10/06/08	1,202 93	01/13/12	457 25	(745 68)
COMSTOCK RES INC NEW	CRK	16 000	01/04/10	690 31	01/13/12	221 70	(468 61)
	CRK	4 000	04/09/10	139 22	01/13/12	55 42	(83 80)
	CRK	20 000	04/09/10	696 12	01/19/12	247 95	(448 17)
	CRK	25 000	04/23/10	878 90	01/19/12	309 94	(568 96)
	CRK						

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CGMI 2012 Statement Linked Realized Gain/Loss - Detail

DREAM ENVISION FOUNDATION
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18201 VON KARMAN, SUITE 305
IRVINE CA 92612-1070

Acct 264-134348-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
	CRK	25 000	04/29/10	\$823 09	01/19/12	\$309 94	\$(513 15)
	CRK	26 000	05/19/10	781 18	01/19/12	322 34	(458 84)
	Totals			\$5,211 75		\$1,924 54	\$(3,287.21)
DANA HOLDING CORP	DAN	13 000	02/26/10	147 99	02/08/12	200 98	52 99
	DAN	10 000	05/20/10	102 25	03/27/12	161 15	58 90
	DAN	11 000	05/20/10	112 48	03/26/12	181 16	68 68
	DAN	16 000	05/20/10	163 60	02/08/12	247 35	83 75
	DAN	44 000	05/20/10	449 92	02/13/12	702 67	252.75
	DAN	15 000	06/29/10	153 41	06/06/12	195 59	42.18
	DAN	27 000	06/29/10	276 14	03/27/12	435 11	158 97
	Totals			\$1,405 79		\$2,124 01	\$718 22
DIGITAL RIVER INC	DRIV	14 000	11/23/09	371 72	02/08/12	251.43	(120.29)
	DRIV	13 000	03/08/10	380 12	03/12/12	220 70	(159 42)
	DRIV	14 000	03/08/10	409 35	02/08/12	251 44	(157 91)
	DRIV	26 000	03/12/10	801 10	03/12/12	441 39	(359 71)
	DRIV	3 000	07/12/10	75 94	03/12/12	50 93	(25 01)
	DRIV	12 000	07/12/10	303 76	05/08/12	178 94	(124.82)
	DRIV	14 000	07/12/10	354 39	03/26/12	258 04	(96.35)
	DRIV	12 000	12/15/10	451 49	05/08/12	178 95	(272 54)
	DRIV	13 000	12/15/10	489 12	05/15/12	199 05	(290.07)
	DRIV	8 000	01/28/11	259 36	05/15/12	122 50	(136.86)
	DRIV	21 000	01/28/11	680 82	05/16/12	316 35	(364 47)
	DRIV	24 000	04/21/11	829 92	05/16/12	361.54	(468 38)
	DRIV	2 000	04/29/11	67 68	05/16/12	30.13	(37.55)
	DRIV	23 000	04/29/11	778 34	05/22/12	331 48	(446 86)
	Totals			\$6,253 11		\$3,192 87	\$(3,060 24)
FELCOR LODGING TR INC	FCH	17 000	03/31/11	104 38	05/01/12	77 41	(26 97)
	FCH	40 000	03/31/11	245 61	04/18/12	166 81	(78 80)
	FCH	11 000	04/05/11	67 98	06/06/12	47.18	(20 80)
	FCH	120 000	04/05/11	741 59	05/01/12	546 40	(195 19)
	Totals			\$1,159 56		\$837 80	\$(321 76)
GAYLORD ENTERTAINMENT CO	GET	4 000	11/17/08	33 86	01/20/12	114 19	80 33
	GET	22 000	05/04/09	332 04	01/20/12	628 03	295 99
	GET	2 000	05/05/09	32 29	01/20/12	57 09	24 80

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DREAM ENVISION FOUNDATION
(PENN CAP - SM CAP OPPORTN EQ)
18201 VON KARMAN, SUITE 305
IRVINE CA 92612-1070

Description		Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Acct. 264-134348-313	Gain/Loss
		GET	15 000	05/05/09	\$242 17	02/08/12	\$445 65		\$203 48
		GET	4 000	07/27/09	59 35	06/06/12	158 39		99 04
		GET	6 000	07/27/09	89 02	02/08/12	178 26		89 24
		GET	9 000	07/27/09	133 54	03/26/12	284 41		150 87
		GET	14 000	07/27/09	207 72	06/11/12	546 23		338 51
		GET	14 000	07/27/09	207 72	05/22/12	476 39		268 67
		GET	1 000	09/24/09	20 08	06/11/12	39 02		18 94
Totals					\$1,357 79		\$2,927 66		\$1,569 87
GRAFTECH INTERNATIONAL LTD		GTI	2 000	06/29/10	29 23	02/01/12	33 38		4 15
		GTI	21 000	09/02/10	319 10	02/02/12	351 24		32 14
		GTI	22 000	09/02/10	334 30	02/01/12	367 16		32 86
		GTI	8 000	10/05/10	129 55	02/02/12	133 80		4 25
		GTI	12 000	10/05/10	194 33	03/26/12	143 07		(51 26)
		GTI	30 000	10/05/10	485 83	02/08/12	514 49		28 66
		GTI	5 000	10/18/10	83 22	03/26/12	59 61		(23 61)
		GTI	14 000	10/18/10	233 00	06/06/12	144 19		(88 81)
Totals					\$1,808 56		\$1,746 94		\$61 62
CHART INDUSTRIES INC		GTLS	5 000	05/26/10	92 72	02/02/12	299 26		206 54
		GTLS	4 000	02/23/11	152 59	02/24/12	268 94		116 35
		GTLS	3 000	05/04/11	148 39	06/06/12	191 69		43 30
Totals					\$393 70		\$759 89		\$366 19
HAIN CELESTIAL GROUP INC		HAIN	12 000	05/16/08	318 84	02/03/12	494 89		176 05
		HAIN	5 000	01/30/09	76 36	05/04/12	253 22		176 86
		HAIN	7 000	01/30/09	106 91	03/26/12	309 52		202 61
		HAIN	9 000	01/30/09	137 45	02/03/12	371 17		233 72
		HAIN	11 000	01/30/09	167 99	02/08/12	448 90		280 91
		HAIN	2 000	08/26/09	33 33	06/06/12	110 05		76 72
		HAIN	10 000	08/26/09	166 64	05/22/12	540 31		373 67
		HAIN	10 000	08/26/09	166 64	05/04/12	506 43		339 79
Totals					\$1,174 16		\$3,034 49		\$1,860 33
HANCOCK HOLDING CO		HBHC	3 000	01/20/11	101 14	06/06/12	84 71		(16 43)
		HBHC	10 000	01/20/11	337 15	03/26/12	360 98		23 83
		HBHC	19 000	01/20/11	640 57	02/08/12	646 17		5 60
Totals					\$1,078 86		\$1,091 86		\$13 00

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CGMI 2012 Statement Linked Realized Gain/Loss - Detail

DREAM ENVISION FOUNDATION
(PENN CAP - SM CAP OPPORTN EQ)
18201 VON KARMAN, SUITE 305
IRVINE CA 92612-1070

Prepared by The Greenfield Team
Ph. 415-955-1580

Acct. 264-134348-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
HEXCEL CORP NEW	HXL	11 000	02/25/11	\$204 93	03/26/12	\$274 48	\$69 55
	HXL	6 000	04/05/11	118 28	06/06/12	143 39	25 11
	HXL	26 000	04/05/11	512 57	04/24/12	702 13	189 56
Totals				\$835 78		\$1,120 00	\$284 22
ICON PLC SPONSORED ADR	ICLR	28 000	10/14/10	586 27	02/08/12	569 50	(16 77)
	ICLR	7 000	10/21/10	145 26	03/26/12	151 43	6 17
	ICLR	9 000	10/21/10	186 76	06/06/12	190 37	3 61
Totals				\$918 29		\$911 30	\$(6 99)
IDEX CORPORATION	IEX	14 000	07/01/10	392 77	02/08/12	585 32	192 55
	IEX	4 000	07/13/10	121 96	06/06/12	156 95	34 99
	IEX	6 000	07/13/10	182 94	03/26/12	249 79	66 85
Totals				\$697 67		\$992 06	\$294 39
KAISER ALUMINUM CORP	KALU	2 000	01/27/11	97 82	06/06/12	95 51	(2 31)
	KALU	4 000	01/27/11	195 65	03/26/12	196 21	0 56
	KALU	13 000	01/27/11	635 86	02/08/12	665 84	29 98
Totals				\$929 33		\$957 56	\$28 23
KEY ENERGY SVCS INC	KEG	26 000	10/05/10	259 68	01/26/12	385 56	125 88
	KEG	48 000	10/05/10	479 40	01/03/12	755 70	276 30
	KEG	2 000	11/04/10	20 63	03/26/12	32 44	11 81
	KEG	10 000	11/04/10	103 14	06/06/12	99 89	(3 25)
	KEG	15 000	11/04/10	154 71	01/26/12	222 44	67 73
	KEG	37 000	11/04/10	381 61	02/08/12	597 16	215 55
Totals				\$1,399 17		\$2,093 19	\$694 02
KENEXA CORP	KNXA	1 000	08/09/10	12 85	04/02/12	32 53	19 68
	KNXA	8 000	08/09/10	102 79	03/26/12	254 31	151 52
	KNXA	10 000	08/09/10	128 49	03/27/12	318 48	189 99
	KNXA	16 000	08/09/10	205 59	03/26/12	512 36	306 77
	KNXA	21 000	08/09/10	269 84	02/08/12	591 76	321 92
	KNXA	8 000	11/17/10	147 92	06/06/12	226 79	78 87
	KNXA	22 000	11/17/10	406 79	04/02/12	715 61	308 82
	KNXA	1 000	11/18/10	19 46	06/06/12	28 35	8 89
Totals				\$1,293 73		\$2,680 19	\$1,386 46
LIFE TIME FITNESS INC	LTM	4 000	10/22/09	100 85	02/08/12	199 80	98 95
	LTM	2 000	01/26/10	46 48	03/26/12	104 44	57 96

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DREAM ENVISION FOUNDATION
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18201 VON KARMAN, SUITE 305
IRVINE CA 92612-1070

Prepared by The Greenfield Team
Ph. 415-955-1580

Acct. 264-134348-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
LTM	LTM	3 000	01/26/10	\$69 72	06/06/12	\$127 25	\$57 53
LTM	LTM	4 000	01/26/10	92 96	02/08/12	199 79	106 83
Totals				\$310 01		\$631 28	\$321 27
MICROS SYSTEMS INC							
MCRS		5 000	11/05/08	84 70	02/15/12	261 25	176 55
MCRS		7 000	11/05/08	118 57	02/08/12	363 92	245 35
MCRS		2 000	02/10/09	33 94	03/26/12	109 90	75 96
MCRS		5 000	02/10/09	84 85	04/09/12	269 27	184 42
MCRS		10 000	02/10/09	169 70	02/15/12	522 50	352 80
MCRS		12 000	02/10/09	203 64	03/19/12	657 88	454 24
Totals				\$695 40		\$2,184 72	\$1,489 32
MAGNUM HUNTER RESOURCES CORP							
MHR		17 000	12/21/10	106 81	02/08/12	105 23	(1 58)
MHR		71 000	12/22/10	445 90	02/17/12	515 05	69 15
MHR		80 000	12/22/10	502 43	02/08/12	495 18	(7 25)
MHR		32 000	01/13/11	234 63	02/17/12	232 14	(2 49)
MHR		38 000	01/13/11	278 62	02/24/12	286 60	7 98
MHR		28 000	01/19/11	217 61	03/26/12	202 39	(15 22)
MHR		51 000	01/19/11	396 37	05/01/12	325 45	(70 92)
MHR		58 000	01/19/11	450 77	02/24/12	437 45	(13 32)
MHR		24 000	02/08/11	167 05	05/01/12	153 16	(13 89)
MHR		39 000	02/08/11	271 46	06/06/12	160 28	(111 18)
MHR		20 000	02/09/11	137 82	06/06/12	82 20	(55 62)
Totals				\$3,209 47		\$2,995 13	\$214 34
VAIL RESORTS INC							
MTN		17 000	03/08/10	631 78	01/17/12	691 55	59 77
MTN		1 000	03/24/10	41 41	01/17/12	40 68	(0 73)
MTN		19 000	03/24/10	786 69	02/03/12	855 80	69 11
MTN		22 000	08/25/10	729 74	02/03/12	990 92	261 18
Totals				\$2,189 62		\$2,578 95	\$389 33
MONSTER WORLDWIDE INC							
MWW		4 000	04/06/10	66 46	02/08/12	29 12	(37 34)
MWW		17 000	04/30/10	298 35	02/08/12	123 84	(174 51)
MWW		37 000	04/30/10	649 36	02/08/12	269 35	(380 01)
MWW		24 000	05/25/10	339 79	02/08/12	174 84	(164 95)
MWW		33 000	05/25/10	467 21	02/14/12	219 61	(247 60)
MWW		16 000	10/21/10	227 00	02/14/12	106 48	(120 52)
MWW		37 000	10/21/10	524 94	03/01/12	287 91	(237 03)

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18201 VON KARMAN, SUITE 305
IRVINE CA 92612-1070

Prepared by The Greenfield Team
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Acct. 264-134348-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
MYRIAD GENETICS INC	MWW	55 000	10/25/10	\$788 51	03/01/12	\$427 98	\$(360 53)
	MWW	9 000	01/28/11	153 81	03/01/12	70 04	(83 77)
	MWW	43 000	01/28/11	734 89	03/20/12	405 20	(329 69)
	MWW	18 000	01/31/11	299 64	03/21/12	167 78	(131 86)
	MWW	36 000	01/31/11	599 28	03/20/12	339 24	(260 04)
Totals				\$5,149 24		\$2,621 39	\$(2,527 85)
MYRIAD GENETICS INC	MYGN	18 000	10/12/10	324 04	03/26/12	426 24	102 20
	MYGN	23 000	10/12/10	414 05	02/08/12	543 70	129 65
Totals				\$738 09		\$969 94	\$231 85
NUVASIVE INC	NUVA	7 000	02/09/11	202 63	03/26/12	122 00	(80 63)
	NUVA	12 000	02/18/11	363 54	05/01/12	233 49	(130 05)
	NUVA	18 000	02/18/11	545 31	03/26/12	313 70	(231 61)
	NUVA	1 000	03/21/11	25 48	05/22/12	18 69	(6 79)
	NUVA	11 000	03/21/11	280 28	05/01/12	214 04	(66 24)
	NUVA	19 000	03/21/11	484 12	05/09/12	385 26	(98 86)
	NUVA	31 000	04/27/11	906 84	05/22/12	579 25	(327 59)
Totals				\$2,808 20		\$1,866 43	\$(941 77)
ORIENT EXPRESS HOTELS LTD	OEH	5 000	04/05/10	75 20	02/08/12	44 45	(30 75)
	OEH	6 000	04/29/10	85 64	03/26/12	62 49	(23 15)
	OEH	62 000	04/29/10	884 89	02/08/12	551 16	(333 73)
	OEH	5 000	06/15/10	45 97	03/26/12	52 08	6 11
	OEH	9 000	06/15/10	82 74	06/06/12	76 40	(6 34)
Totals				\$1,174 44		\$786 58	\$(387 86)
PIONEER DRILLING CO PIER 1 IMPORTS INC-DEL	PDC	25 000	06/02/11	362 58	06/06/12	194 49	(168 09)
	PIR	2 000	03/25/10	13 60	01/05/12	29 58	15 98
	PIR	27 000	05/05/10	218 11	01/05/12	399 40	181 29
	PIR	82 000	05/05/10	662 42	01/11/12	1,236 26	573 84
Totals				\$894 13		\$1,665 24	\$771 11
PMC SIERRA INC	PMCS	62 000	10/13/10	453 11	01/12/12	373 59	(79 52)
	PMCS	115 000	11/01/10	872 19	01/12/12	692 95	(179 24)
	PMCS	10 000	12/15/10	81 72	01/12/12	60 25	(21 47)
	PMCS	39 000	12/15/10	318 70	01/31/12	252 59	(66 11)
	PMCS	73 000	12/15/10	596 54	01/20/12	471 04	(125 50)
	PMCS	118 000	01/28/11	938 15	01/31/12	764 26	(173 89)

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Acct. 264-134348-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
PINNACLE ENTWMT INC							
Totals				\$3,260 41		\$2,614 68	\$(645 73)
PNK		2 000	10/03/08	\$12 38	06/06/12	\$20 10	\$7 72
PNK		22 000	10/03/08	136 21	03/26/12	228 48	92 27
PNK		61 000	10/03/08	377 67	02/08/12	628 28	250 61
PNK		27 000	08/24/09	276 86	06/06/12	271 34	(5 52)
Totals				\$803 12		\$1,148 20	\$345 08
ROBBINS & MYERS INC							
RBN		4 000	05/24/11	163 39	06/06/12	182 27	18 88
RBN		12 000	05/24/11	490 16	06/20/12	544 47	54 31
RBN		4 000	05/27/11	173 41	06/20/12	181 49	8 08
Totals				\$826 96		\$908 23	\$81 27
SINCLAIR BROADCAST GROUP INC							
SBGI		13 000	09/03/10	88 65	01/03/12	150 01	61 36
SBGI		7 000	09/24/10	49 08	01/20/12	91 07	41 99
SBGI		14 000	09/24/10	98 15	01/25/12	181 98	83 83
SBGI		45 000	09/24/10	315 50	01/03/12	519 26	203 76
SBGI		2 000	09/27/10	13 98	01/26/12	25 96	11 98
SBGI		3 000	09/27/10	20 96	01/25/12	39 00	18 04
SBGI		18 000	10/13/10	147 92	01/26/12	233 68	85 76
SBGI		33 000	10/13/10	271 20	02/08/12	398 96	127 76
SBGI		23 000	10/19/10	190 26	03/26/12	271 85	81 59
SBGI		31 000	10/19/10	256 44	02/08/12	374 78	118 34
SBGI		17 000	11/17/10	130 54	03/26/12	200 94	70 40
SBGI		26 000	11/17/10	199 66	06/06/12	216 83	17 17
Totals				\$1,782 34		\$2,704 32	\$921 98
SHUFFLE MASTER INC							
SHFL		7 000	03/23/11	72 92	03/26/12	123 91	50 99
SHFL		15 000	03/23/11	156 27	06/04/12	214 98	58 71
SHFL		20 000	03/24/11	213 21	06/04/12	286 64	73 43
SHFL		6 000	04/15/11	62 54	06/04/12	85 99	23 45
SHFL		7 000	04/15/11	72 97	06/06/12	88 28	15 31
SHFL		73 000	04/15/11	760 96	06/05/12	881 62	120 66
Totals				\$1,338 87		\$1,681 42	\$342 55
SOLAR CAPITAL LTD							
SLRC		3 000	11/04/10	70 13	06/06/12	65 90	(4 23)
SLRC		7 000	11/04/10	163 64	03/26/12	155 13	(8 51)
SLRC		14 000	11/04/10	327 28	02/08/12	325 91	(1 37)
Totals				\$561 05		\$546 94	\$(14 11)

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CGMI 2012 Statement Linked Realized Gain/Loss - Detail

DREAM ENVISION FOUNDATION
(PENN CAP - SM CAP OPPORTN EQ)
18201 VON KARMAN, SUITE 305
IRVINE CA 92612-1070

Acct. 264-134348-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
SOLUTIA INC	SOA	59 000	04/22/10	\$1,004 81	01/27/12	\$1,616 72	\$611 91
	SOA	51 000	05/04/10	862 01	01/27/12	1,397.50	535.49
	SOA	13 000	05/13/10	214 71	01/30/12	355 70	140.99
	SOA	38 000	05/13/10	627 61	01/27/12	1,041 28	413 67
	SOA	58 000	05/19/10	842 70	01/30/12	1,586 95	744.25
	SOA	16 000	10/28/10	283 62	01/30/12	437.78	154 16
TEXAS CAP BANCSHARES INC	SOA	28 000	10/28/10	496 34	02/07/12	784 36	288 02
	Totals			\$4,331 80		\$7,220 29	\$2,888 49
	TCBI	3 000	07/06/10	51 40	06/06/12	113 70	62 30
	TCBI	9 000	07/06/10	154 19	03/26/12	323.83	169 64
	TCBI	15 000	07/06/10	256 97	04/26/12	555 16	298 19
	TCBI	18 000	07/06/10	308 37	02/08/12	591 28	282 91
TRINITY INDUSTRIES INC	TCBI	4 000	07/30/10	67 11	06/06/12	151 59	84.48
	TCBI	13 000	07/30/10	218 11	06/20/12	526 11	308.00
	TCBI	16 000	07/30/10	268 45	06/11/12	622 75	354 30
	Totals			\$1,324 60		\$2,884 42	\$1,559 82
TITAN INTL INC ILL	TRN	21 000	01/11/11	575 14	02/08/12	700 75	125.61
	TRN	3 000	02/01/11	87 07	02/08/12	100 11	13 04
	TRN	4 000	02/01/11	116 09	06/06/12	99 20	(16 89)
	TRN	6 000	02/01/11	174 13	03/26/12	203 21	29 08
	TRN	20 000	02/01/11	580.45	02/16/12	697.94	117 49
	TRN	5 000	02/10/11	148 51	06/06/12	123 99	(24 52)
WESTERN ALLIANCE	Totals			\$1,681 39		\$1,925 20	\$243 81
	TWI	9 000	12/15/09	69 86	06/06/12	193 31	123 45
	TWI	9 000	12/15/09	69 86	03/26/12	231 30	161 44
	TWI	23 000	12/15/09	178 53	04/26/12	614 42	435 89
	TWI	25 000	12/15/09	194 05	02/08/12	623 98	429 93
	Totals			\$512 30		\$1,663 01	\$1,150 71
WESTERN ALLIANCE	WAL	20 000	08/11/10	140 72	02/08/12	165 59	24 87
	WAL	47 000	08/19/10	329 95	02/08/12	389 15	59 20
	WAL	9 000	08/25/10	56 88	02/08/12	74 52	17 64
	WAL	27 000	08/25/10	170 66	03/26/12	235 85	65 19
	WAL	72 000	08/25/10	455 08	04/03/12	623 38	168 30
	WAL	6 000	11/08/10	39 26	04/03/12	51 95	12 69

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Acct. 264-134348-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
WAL		15 000	11/08/10	\$98.16	06/06/12	\$123.14	\$24.98
Totals				\$1,290.71		\$1,663.58	\$372.87
WEBSTER FINANCIAL CORP							
WBS		3 000	03/30/10	53.69	01/10/12	63.45	9.76
WBS		7 000	08/17/10	117.31	02/08/12	161.63	44.32
WBS		34 000	08/17/10	569.77	01/10/12	719.09	149.32
WBS		4 000	12/21/10	73.59	03/26/12	90.58	16.99
WBS		12 000	12/21/10	220.78	02/08/12	277.07	56.29
WBS		31 000	12/21/10	570.36	04/26/12	699.11	128.75
WBS		33 000	12/21/10	607.16	03/08/12	724.16	117.00
WBS		74 000	12/21/10	1,361.51	05/16/12	1,543.30	181.79
Totals				\$3,574.17		\$4,278.39	\$704.22
WARNACO GROUP INC							
WRC		6 000	05/20/10	245.82	01/10/12	321.23	75.41
WRC		2 000	11/17/10	103.00	03/26/12	114.50	11.50
WRC		4 000	11/17/10	206.00	01/10/12	214.15	8.15
WRC		6 000	11/17/10	309.00	02/08/12	353.57	44.57
WRC		8 000	11/17/10	412.00	06/05/12	341.01	(70.99)
WRC		20 000	01/07/11	987.58	06/05/12	852.53	(135.05)
WRC		14 000	05/06/11	798.70	06/05/12	596.77	(201.93)
Totals				\$3,062.10		\$2,793.76	\$(268.34)
WINTRUST FINL CORP							
WTFC		7 000	09/29/09	196.19	02/08/12	223.15	26.96
WTFC		6 000	10/09/09	176.86	02/08/12	191.27	14.41
WTFC		5 000	10/12/09	151.06	04/24/12	181.27	30.21
WTFC		6 000	10/12/09	181.27	03/26/12	216.82	35.55
WTFC		7 000	10/12/09	211.48	02/08/12	223.16	11.68
WTFC		3 000	10/14/09	95.35	06/06/12	98.78	3.43
WTFC		16 000	10/14/09	508.56	04/24/12	580.06	71.50
Totals				\$1,520.77		\$1,714.51	\$193.74
WOODWARD GOVERNOR CO							
WWD		13 000	11/18/10	406.52	02/08/12	575.62	169.10
WWD		19 000	11/18/10	594.14	01/23/12	836.97	242.83
WWD		4 000	01/19/11	144.42	06/06/12	150.55	6.13
WWD		6 000	01/19/11	216.62	03/26/12	263.09	46.47
Totals				\$1,361.70		\$1,826.23	\$464.53
Long Term Totals:				\$87,320.07		\$96,580.43	\$9,260.36

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CGMI 2012 Statement Linked Realized Gain/Loss - Detail

DREAM ENVISION FOUNDATION
(PENN CAP - SM CAP OPPORTN EQ)
18201 VON KARMAN, SUITE 305
IRVINE CA 92612-1070

Prepared by The Greenfield Team
Ph. 415-955-1580

Acct. 264-134348-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
2012 Short & Long Term Totals:							\$174,743.51
							\$8,301.99

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** Gain/Loss is only calculated when an original cost basis is available

New Treasury regulations require that we report your adjusted cost basis and classify the gain or loss as either long-term or short-term on the sale of covered securities acquired on or after January 1, 2011. These regulations also require that we make basis adjustments due to wash sales, certain corporate actions and transfers by gift or inheritance, which will be reflected on your Form 1099-B. This section may not reflect all of the basis adjustments we are required to make for tax reporting purposes. The information in this section is provided for informational purposes only and should not be used in the preparation of your income tax returns.

The above summary/prices/quotes/statistics have been obtained from sources believed reliable but are not necessarily complete and cannot be guaranteed. The information contained in client monthly account statements and confirmations reflects all transactions, and as such supersedes all other reports for financial and tax purposes. This report does not supersede or replace your monthly Client Statement. If we do not hold the securities in a Morgan Stanley Wealth Management account, the report reflects securities which we believe you own, based upon your communications with our Financial Advisor. © 2013 Morgan Stanley Smith Barney LLC Member SIPC

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2012 Statement Linked Realized Gain/Loss - Detail

As of 11/07/2013

DREAM ENVISION FOUNDATION
(NWQ INV MGMT - LG CAP VAL)
18201 VON KARMAN, SUITE 305
IRVINE CA 92612-1070

Prepared by The Greenfield Team
Ph. 415-955-1580

Acct. 264-134346-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
Short Term							
BEST BUY CO	BBY	20 000	04/11/12	\$434 88	10/24/12	\$337 50	\$(97 38)
	BBY	38 000	04/13/12	839 12	10/24/12	641 25	(197 87)
	BBY	23 000	04/16/12	501 78	10/24/12	388 13	(113 65)
Totals				\$1,775 78		\$1,366 88	\$(408 90)
HEWLETT PACKARD	HPQ	59 000	03/05/12	1,469 11	11/20/12	699 69	(769 42)
	HPQ	79 000	03/06/12	1,941 58	11/20/12	936 88	(1,004 70)
	HPQ	57 000	03/13/12	1,396 17	11/20/12	675 98	(720 19)
	HPQ	66 000	03/14/12	1,602 56	11/20/12	782 71	(819 85)
	HPQ	93 000	03/21/12	2,182 20	11/20/12	1,102 91	(1,079 29)
	HPQ	103 000	08/02/12	1,822 38	11/20/12	1,221 49	(600 89)
Totals				\$10,414 00		\$5,419 66	\$(4,994 34)
Short Term Totals:				\$12,189.78		\$6,786.54	\$(5,403.24)
Long Term							
CVS CAREMARK CORP	CVS	36 000	11/05/09	1,036 39	07/19/12	1,663 47	627 08
LOEWS CORPORATION	L	9 000	03/20/09	205 04	07/18/12	369 63	164 59
	L	9 000	03/20/09	205 04	07/19/12	369 36	164 32
Totals				\$410 08		\$738 99	\$328 91
NOBLE ENERGY INC	NBL	2 000	09/18/08	115 82	08/07/12	178 59	62 77
	NBL	2 000	09/18/08	115 82	08/06/12	174 97	59 15
	NBL	3 000	09/18/08	173 72	07/31/12	267 53	93 81
	NBL	6 000	09/18/08	347 45	08/01/12	531 01	183 56
	NBL	7 000	09/18/08	405 36	07/30/12	624 80	219 44
	NBL	9 000	09/18/08	521 17	09/14/12	872 13	350 96
	NBL	1 000	09/26/08	58 73	09/14/12	96 90	38 17
	NBL	5 000	09/26/08	293 67	11/06/12	483 46	189 79
	NBL	6 000	09/26/08	352 40	10/18/12	578 18	225 78
Totals				\$2,384 14		\$3,807 57	\$1,423 43
PFIZER INC	PFE	41 000	04/14/10	699 06	07/18/12	968 62	269 56
	PFE	36 000	04/26/10	607 97	12/10/12	919 51	311 54

Third-party and Morgan Stanley Wealth Management research on certain companies is available to clients of the Firm at no cost. Clients can access this research at www.morganstanleyclientserv.com or contact their Financial Advisor to request a copy of this research be sent to them.

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Morgan Stanley

Prepared by The Greenfield Team
Ph. 415-955-1580

2012 Statement Linked Realized Gain/Loss - Detail

As of 11/07/2013

DREAM ENVISION FOUNDATION
(NWQ INV MGMT - LG CAP VAL)
18201 VON KARMAN, SUITE 305
IRVINE CA 92612-1070

Acct. 264-134346-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
PFE		42 000	04/26/10	\$709 30	12/11/12	\$1,076 61	\$367.31
PFE		93 000	04/26/10	1,570 59	07/18/12	2,197.10	626 51
Totals				\$3,586.92		\$5,161 84	\$1,574 92
PHILIP MORRIS INTL INC		7 000	06/21/06	266 59	09/11/12	621 96	355 37
PM		9,000	06/21/06	342 76	09/07/12	798 57	455 81
Totals				\$609 35		\$1,420 53	\$811 18
VIACOM INC NEW CLASS B		10 000	01/06/06	426 14	09/11/12	510.22	84 08
VIAB		6 000	03/03/06	239 10	09/13/12	308 64	69 54
VIAB		7 000	03/03/06	278 96	09/11/12	357.16	78 20
VIAB		11 000	03/03/06	438.36	09/12/12	559 72	121 36
Totals				\$1,382 56		\$1,735 74	\$353 18
WELLS FARGO & CO NEW		27 000	06/08/04	792 72	09/19/12	953 97	161 25
WFC							
Long Term Totals:				\$10,202.16		\$15,482.11	\$5,279.95
2012 Short & Long Term Totals:				\$22,391.94		\$22,268.65	\$(123.29)

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Prepared by The Greenfield Team
Ph. 415-955-1580

CGMI 2012 Statement Linked Realized Gain/Loss - Detail

DREAM ENVISION FOUNDATION
(NWQ INV MGMT - LG CAP VAL)
18201 VON KARMAN, SUITE 305
IRVINE CA 92612-1070

Acct. 264-134346-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
Short Term							
CISCO SYS INC	CSCO	57 000	05/12/11	\$961 15	02/09/12	\$1,155 07	\$193 92
EMC CORP-MASS	EMC	24 000	01/23/12	560.73	03/26/12	704.43	143.70
HEWLETT PACKARD CO	HPQ	52 000	03/05/12	1,294 81	06/08/12	1,157 49	(137 32)
MOTOROLA SOLUTIONS INC	MSI	16 000	08/12/11	640 35	04/25/12	820.79	180 44
PACCAR INC -DEL-	PCAR	9 000	09/07/11	328 37	03/26/12	425 00	96 63
PCAR	PCAR	18 000	09/08/11	658.38	03/26/12	849.99	191.61
Totals				\$986 75		\$1,274 99	\$288 24
TEVA PHARMACEUTICAL INDS	TEVA	25 000	06/07/11	1,241 69	02/09/12	1,118.29	(123.40)
Short Term Totals:				\$5,685.48		\$6,231.06	\$545.58
Long Term							
AON CORP	A5943700	58 000	09/07/06	1,964 40	04/02/12	2,846 64	882 24
A5943700		15 000	12/01/09	576 75	04/02/12	736.20	159 45
A5943700		55 000	12/02/09	2,115 50	04/02/12	2,699 40	583 90
A5943700		67 000	12/03/09	2,572 03	04/02/12	3,288 36	716 33
A5943700		8 000	12/04/09	307 36	04/02/12	392 64	85 28
Totals				\$7,536 04		\$9,963 24	\$2,427 20
BARRICK GOLD CORP CAD	ABX	96 000	06/08/04	1,936 32	06/06/12	3,864 67	1,928 35
AON PLC	AON	58 000	09/07/06	2,846 64	06/08/12	2,693 05	(153.59)
AON	AON	9 000	12/01/09	441 72	06/08/12	417 89	(23.83)
Totals				\$3,288 36		\$3,110 94	\$(177 42)
CA INC	CA	39 000	06/08/04	1,081 86	03/26/12	1,085 44	3 58
CA	CA	138 000	06/08/04	3,828 12	02/09/12	3,714 62	(113 50)
CA	CA	8 000	02/07/05	223 39	03/26/12	222.66	(0 73)
Totals				\$5,133 37		\$5,022 72	\$(110 65)
CITIGROUP INC	C	35 000	12/17/09	1,119.44	03/26/12	1,305 26	185 82
CVS CAREMARK CORP	CVS	32 000	11/05/09	921 24	03/26/12	1,459 97	538 73
GENWORTH FINL INC	GNW	14 000	04/28/06	466 50	03/26/12	122 99	(343 51)
GNW	GNW	21 000	01/07/08	514 54	03/26/12	184 48	(330 06)
GNW	GNW	1 000	01/08/08	24 30	04/20/12	6 09	(18 21)
GNW	GNW	64 000	01/08/08	1,554 97	04/19/12	385.95	(1,169.02)
GNW	GNW	78 000	01/08/08	1,895.12	04/18/12	473 57	(1,421.55)
GNW	GNW	85 000	01/08/08	2,065.19	03/26/12	746 71	(1,318.48)

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Prepared by The Greenfield Team
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COMI 2012 Statement Linked Realized Gain/Loss - Detail

DREAM ENVISION FOUNDATION
(NWQ INV MGMT - LG CAP VAL)
18201 VON KARMAN, SUITE 305
IRVINE CA 92612-1070

Acct. 264-134346-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
GOLDMAN SACHS GROUP INC HESS CORP	GNW	132 000	01/08/08	\$3,207 12	04/19/12	\$796.02	\$(2,411.10)
	GNW	75 000	10/29/10	855 42	04/20/12	456 42	(399 00)
	GNW	75 000	10/29/10	855 41	04/23/12	450.59	(404.82)
	GNW	13 000	11/01/10	149 63	04/24/12	78 80	(70 83)
	GNW	28 000	11/01/10	322 28	04/23/12	168 22	(154.06)
	GNW	31 000	11/03/10	357 42	04/24/12	187 91	(169 51)
	GNW	6 000	11/15/10	69 56	04/24/12	36.37	(33.19)
	GNW	23 000	11/15/10	266 63	04/25/12	134 61	(132 02)
	GNW	43 000	12/03/10	536 66	04/25/12	251 66	(285 00)
	GNW	41 000	12/06/10	509 25	04/25/12	239.95	(269.30)
HARTFORD FINL SVCS GROUP INC	GNW	51 000	12/07/10	647 52	04/25/12	298 48	(349 04)
	GNW	53 000	12/08/10	690 38	04/25/12	310 18	(380 20)
	GNW	13 000	12/09/10	169 03	04/25/12	76 07	(92.96)
	Totals			\$15,156 93		\$5,405 07	\$(9,751.86)
	GS	10 000	08/26/10	1,443 74	03/26/12	1,276 27	(167 47)
INGERSOLL-RAND PUBLIC LTD	HES	13 000	04/11/07	733 51	01/04/12	762 30	28.79
	HES	40 000	04/11/07	2,256 94	01/03/12	2,338 91	81.97
	HES	10 000	04/12/07	569 37	01/04/12	586.39	17 02
	HES	9 000	04/17/07	510 78	01/04/12	527 75	16 97
LOEWS CORP	Totals			\$4,070 60		\$4,215 35	\$144 75
	HIG	61 000	08/02/06	5,102 54	02/09/12	1,248.49	(3,854 05)
	HIG	12 000	07/26/07	1,142 98	02/09/12	245.60	(897 38)
LINCOLN NATIONAL CORP -IND-	Totals			\$6,245 52		\$1,494 09	\$(4,751 43)
	IR	9 000	09/13/02	130 92	02/21/12	367 84	236 92
	IR	22 000	06/08/04	658 69	06/08/12	890 76	232 07
	IR	25 000	06/08/04	748 52	02/21/12	1,021 79	273 27
LINCOLN NATIONAL CORP -IND-	Totals			\$1,538 13		\$2,280 39	\$742 26
	L	5 000	10/06/08	172 20	07/03/12	206 63	34 43
	L	5 000	10/06/08	172 19	07/05/12	204.84	32 65
	L	10 000	10/06/08	344 39	06/20/12	409.63	65 24
	L	37 000	10/06/08	1,274 23	06/08/12	1,461 83	187 60
LINCOLN NATIONAL CORP -IND-	Totals			\$1,963 01		\$2,282 93	\$319 92
	LNC	42 000	06/23/10	1,131 18	03/15/12	1,144.93	13 75
	LNC	23 000	06/24/10	611 89	03/15/12	626.98	15 09

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CGMI 2012 Statement Linked Realized Gain/Loss - Detail

DREAM ENVISION FOUNDATION
(NWQ INV MGMT - LG CAP VAL)
18201 VON KARMAN, SUITE 305
IRVINE CA 92612-1070

Prepared by The Greenfield Team
Ph. 415-955-1580

Acct. 264-134346-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
Totals				\$1,743.07		\$1,771.91	\$28.84
METLIFE INC	MET	36 000	10/09/08	\$1,016.22	03/26/12	\$1,385.72	\$369.50
MERCK & CO INC NEW	MRK	11 000	02/27/09	275.21	03/26/12	423.95	148.74
	MRK	23 000	10/26/09	737.29	03/26/12	886.45	149.16
Totals				\$1,012.50		\$1,310.40	\$297.90
MICROSOFT CORP	MSFT	31 000	06/27/05	778.41	03/26/12	1,006.64	228.23
MOTOROLA SOLUTIONS INC	MSI	9 350	02/05/09	139.16	02/01/12	437.33	298.17
	MSI	2,000	12/01/09	66.56	02/03/12	93.84	27.28
	MSI	17 650	12/01/09	587.42	02/01/12	825.59	238.17
	MSI	18 103	12/01/09	602.49	03/23/12	915.32	312.83
	MSI	7 897	12/02/09	262.71	03/23/12	399.26	136.55
	MSI	22,591	12/02/09	751.59	03/26/12	1,151.38	399.79
	MSI	1 409	01/29/10	35.20	03/26/12	71.79	36.59
	MSI	42 329	01/29/10	1,057.80	04/25/12	2,171.45	1,113.65
	MSI	15 671	02/01/10	400.52	04/25/12	803.93	403.41
Totals				\$3,903.45		\$5,869.89	\$2,966.44
NOBLE ENERGY INC	NBL	9 000	09/18/08	521.17	06/08/12	754.00	232.83
PFIZER INC	PFE	47,000	04/14/10	801.36	05/21/12	1,058.31	256.95
	PFE	106 000	04/14/10	1,807.32	03/26/12	2,352.39	545.07
Totals				\$2,608.68		\$3,410.70	\$802.02
PHILIP MORRIS INTL INC	PM	12 000	06/21/06	457.01	06/08/12	1,003.53	546.52
RAYTHEON COMPANY NEW	RTN	10 000	09/09/03	324.67	04/26/12	540.34	215.67
	RTN	28 000	09/09/03	909.07	03/26/12	1,476.44	567.37
	RTN	18 000	10/15/03	504.96	04/27/12	971.57	466.61
Totals				\$1,738.70		\$2,988.35	\$1,249.65
SANOFI SPONS ADR	SNY	49 000	08/02/07	2,026.28	03/26/12	1,898.34	(127.94)
TALISMAN ENERGY INC	TLM	44 000	07/22/08	835.77	02/09/12	553.51	(282.26)
TIME WARNER INC	TWX	33 000	10/13/10	1,040.90	03/26/12	1,225.00	184.10
UNION PACIFIC CORP	UNP	6 000	06/08/04	179.34	06/08/12	657.04	477.70
	UNP	14 000	06/08/04	418.46	02/01/12	1,629.36	1,210.90
Totals				\$597.80		\$2,286.40	\$1,688.60
VIACOM INC NEW CLASS B	VIAB	12 000	01/06/06	511.37	02/07/12	584.53	73.16
	VIAB	23 000	01/06/06	980.13	02/06/12	1,117.54	137.41
	VIAB	30 000	01/06/06	1,278.42	01/05/12	1,388.32	109.90

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Morgan Stanley

CGMI 2012 Statement Linked Realized Gain/Loss - Detail

DREAM ENVISION FOUNDATION
(NWQ INV MGMT - LG CAP VAL)
18201 VON KARMAN, SUITE 305
IRVINE CA 92612-1070

Prepared by The Greenfield Team
Ph. 415-955-1580

Acct. 264-134346-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
VIAB		44 000	01/06/06	\$1,875 02	06/08/12	\$2,085 55	\$210 53
Totals				\$4,644 94		\$5,175 94	\$531 00
WELLS FARGO & CO NEW		29 000	06/08/04	851 44	06/08/12	892 88	41 44
WFC		57 000	06/08/04	1,673 52	02/09/12	1,741 19	67 67
Totals				\$2,524 96		\$2,634 07	\$109 11
Long Term Totals:				\$75,902.56		\$75,955.30	\$152.74
2012 Short & Long Term Totals:				\$81,488.04		\$82,186.36	\$698.32

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** Gain/Loss is only calculated when an original cost basis is available

New Treasury regulations require that we report your adjusted cost basis and classify the gain or loss as either long-term or short-term on the sale of covered securities acquired on or after January 1, 2011. These regulations also require that we make basis adjustments due to wash sales, certain corporate actions and transfers by gift or inheritance, which will be reflected on your Form 1099-B. This section may not reflect all of the basis adjustments we are required to make for tax reporting purposes. The information in this section is provided for informational purposes only and should not be used in the preparation of your income tax returns.

2012 Statement Linked Realized Gain/Loss - Detail

As of 11/07/2013

DREAM ENVISION FOUNDATION
(WEDGEWOOD PARTNERS-FOCUS
18201 VON KARMAN, SUITE 305
IRVINE CA 92612-1070

Prepared by The Greenfield Team
Ph. 415-955-1580

Acct. 264-134340-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
Short Term							
CERNER CORP	CERN	2,000	03/16/12	\$155.30	12/12/12	\$159.27	\$3.97
ASML HOLDING N.V.	N07059186	59,000	11/05/12	630.15	12/03/12	699.86	69.71
NETFLIX INC	NFLX	43,000	01/25/12	4,073.70	09/13/12	2,428.12	(1,645.58)
REGENERON PHARM	REGN	15,000	08/22/11	816.65	07/16/12	1,781.10	964.45
	REGN	18,000	08/22/11	979.98	08/01/12	2,448.50	1,468.52
Totals				\$1,796.63		\$4,229.60	\$2,432.97
Short Term Totals:				\$6,655.78		\$7,516.85	\$861.07
Long Term							
APPLE INC	AAPL	2,000	08/25/06	136.80	12/12/12	1,083.63	946.83
ALLERGAN INC	AGN	1,000	06/04/04	45.12	12/12/12	93.47	48.35
ALEXION PHARM INC	ALXN	3,000	10/11/10	101.00	12/12/12	283.55	182.55
	ALXN	42,000	10/11/10	1,413.98	07/20/12	4,215.47	2,801.49
Totals				\$1,514.98		\$4,499.02	\$2,984.04
ASML HOLDING NV NY REG NEW	ASML	0.150	07/16/10	4.92	11/29/12	9.04	4.12
COACH INC	COH	4,000	10/17/11	243.88	12/12/12	227.22	(16.66)
SALESFORCE.COM, INC.	CRM	10,000	02/12/07	473.87	11/26/12	1,573.14	1,099.27
F5 NETWORKS INC	FFIV	1,000	09/17/10	100.42	12/12/12	95.23	(5.19)
FMC TECHNOLOGIES INC	FTI	4,000	07/30/07	87.55	12/12/12	169.51	81.96
GOOGLE INC-CL A	GOOG	1,000	12/10/04	173.07	12/12/12	694.89	521.82
W W GRAINGER INC	GWV	58,000	01/29/10	5,783.58	11/14/12	11,024.99	5,241.41
LAS VEGAS SANDS CORPORATION	LVS	6,000	11/21/08	18.42	12/12/12	270.19	251.77
	LVS	58,000	11/21/08	178.08	12/31/12	2,655.85	2,477.77
Totals				\$196.50		\$2,926.04	\$2,729.54
ASML HOLDING N.V.	N07059186	236,000	07/16/10	1,391.33	12/03/12	2,799.44	1,408.11
NETFLIX INC	NFLX	35,000	11/24/10	6,576.48	09/13/12	1,976.38	(4,600.10)
NIKE INC B	NKE	4,000	06/04/09	229.45	12/12/12	395.33	165.88
NATIONAL OILWELL VARCO INC	NOV	2,000	11/06/08	57.10	12/12/12	135.83	78.73
PRAXAIR INC	PX	2,000	08/24/10	174.30	12/12/12	216.12	41.82
QUALCOMM INC	QCOM	8,000	12/31/08	287.14	11/21/12	496.96	209.82

Third-party and Morgan Stanley Wealth Management research on certain companies is available to clients of the Firm at no cost. Clients can access this research at www.morganstanleyclientserv.com or contact their Financial Advisor to request a copy of this research be sent to them.

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2012 Statement Linked Realized Gain/Loss - Detail

As of 11/07/2013

DREAM ENVISION FOUNDATION
(WEDGEWOOD PARTNERS-FOCUS
18201 VON KARMAN, SUITE 305
IRVINE CA 92612-1070

Prepared by The Greenfield Team
Ph. 415-955-1580

Acct. 264-134340-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
QCOM		36 000	12/31/08	\$1,292 13	11/05/12	\$2,158 74	\$866.61
QCOM		49 000	12/31/08	1,758 74	10/18/12	2,938 18	1,179 44
Totals				\$3,338 01		\$5,593.88	\$2,255 87
REGENERON PHARM		8 000	08/22/11	435 55	11/05/12	1,142.05	706 50
REGN		14 000	08/22/11	762 21	10/08/12	2,196 37	1,434 16
REGN		6,000	09/19/11	390.52	11/05/12	856.54	466.02
Totals				\$1,588 28		\$4,194 96	\$2,606 68
STARBUCKS CORP WASHINGTON		5 000	06/04/04	103.90	12/12/12	266.86	162 96
SBUX		36 000	06/04/04	748.10	07/10/12	1,880 76	1,132.66
Totals				\$852 00		\$2,147 62	\$1,295 62
SCHLUMBERGER LTD		3 000	10/12/06	173.58	12/12/12	219 37	45.79
SOUTHWESTERN ENERGY COMPANY		6 000	11/24/10	220 32	12/12/12	203 74	(16 58)
VISA INC CL A		3 000	03/20/08	183 63	12/12/12	446 92	263 29
V		19 000	03/20/08	1,163 00	11/05/12	2,723 19	1,560 19
Totals				\$1,346 63		\$3,170 11	\$1,823 48
Long Term Totals:				\$24,708.17		\$43,448.96	\$18,740.79
2012 Short & Long Term Totals:				\$31,363.95		\$50,965.81	\$19,601.86

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CGMI 2012 Statement Linked Realized Gain/Loss - Detail

DREAM ENVISION FOUNDATION
(WEDGEWOOD PARTNERS-FOCUS
18201 VON KARMAN, SUITE 305
IRVINE CA 92612-1070

Prepared by The Greenfield Team
Ph. 415-955-1580

Acct. 264-134340-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
Short Term							
AMAZON COM INC	AMZN	14 000	03/14/12	\$2,573 68	06/06/12	\$3,040 59	\$466 91
	AMZN	20 000	03/14/12	3,676 68	03/26/12	4,052 80	376 12
	Totals			\$6,250 36		\$7,093 39	\$843 03
ASML HLDG NV NEW YORK REG	ASML	26 000	08/31/11	911 79	03/26/12	1,271 61	359 82
	ASML	29 000	08/31/11	1,016 99	02/08/12	1,315 98	298 99
	Totals			\$1,928 78		\$2,587 59	\$658 81
ATHENAHEALTH INC	ATHN	4 000	03/19/12	300 52	06/06/12	300 19	-0 33
CERNER CORP	CERN	10 000	03/16/12	776 49	03/26/12	780 22	3 73
	CERN	10 000	03/16/12	776 49	06/06/12	780 98	4 49
	Totals			\$1,552 98		\$1,561 20	\$8 22
COACH INC	COH	11 000	12/05/11	698 75	06/06/12	690 56	(8 19)
	COH	15 000	12/05/11	952 83	02/08/12	1,097 82	144 99
	COH	18 000	12/05/11	1,143 40	03/26/12	1,424 58	281 18
	Totals			\$2,794 98		\$3,212 96	\$417 98
FACEBOOK INC - A	FB	18 000	05/22/12	588 92	06/06/12	485 62	(103 30)
GOOGLE INC	GOOG	5 000	10/17/11	2,916 86	02/08/12	3,047 06	130 20
	GOOG	5 000	03/26/12	3,236 69	06/06/12	2,898 93	(337 76)
	Totals			\$6,153 55		\$5,945 99	\$(207 56)
ILLUMINA INC	ILMN	17 000	09/20/11	805 14	02/08/12	891 67	86 53
	ILMN	21 000	09/20/11	994 58	03/14/12	1,056 16	61 58
	Totals			\$1,799 72		\$1,947 83	\$148 11
LAS VEGAS SANDS CORP	LVS	23 000	10/17/11	1,030 10	03/26/12	1,355 66	325 56
	LVS	30 000	10/17/11	1,343 61	02/08/12	1,555 57	211 96
	Totals			\$2,373 71		\$2,911 23	\$537 52
NETFLIX INC	NFLX	4 000	05/13/11	991 77	03/26/12	486 46	(505 31)
	NFLX	7 000	05/13/11	1,735 61	02/08/12	869 43	(866 18)
	Totals			\$2,727 38		\$1,355 89	\$(1,371 49)
OPENTABLE INC	OPEN	7 000	04/06/11	739 34	02/08/12	322 44	(416 90)
	OPEN	39 000	04/06/11	4,119 19	03/22/12	1,610 48	(2,508 71)
	OPEN	14 000	05/13/11	1,307 79	03/23/12	572 43	(735 36)
	OPEN	14 000	05/13/11	1,307 80	03/22/12	578 12	(729 68)
	Totals			\$7,474 12		\$3,083 47	\$(4,390 65)
PRICELINE COM INC (NEW)	PCLN	3 000	05/17/11	1,509 14	03/26/12	2,190 34	681 20

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CGMI 2012 Statement Linked Realized Gain/Loss - Detail

DREAM ENVISION FOUNDATION
(WEDGEWOOD PARTNERS-FOCUS
18201 VON KARMAN, SUITE 305
IRVINE CA 92612-1070

Prepared by The Greenfield Team
Ph. 415-955-1580

Acct. 264-134340-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
PCLN	PCLN	1 000	08/31/11	\$532 67	03/26/12	\$730 11	\$197 44
PCLN	PCLN	2 000	08/31/11	1,065 34	02/08/12	1,071 86	6 52
Totals				\$3,107 15		\$3,992 31	\$885 16
PRAXAIR INC	PX	12 000	08/31/11	1,178 28	02/08/12	1,299 25	120 97
PX	PX	13 000	03/26/12	1,480 14	06/06/12	1,349 49	(130 65)
Totals				\$2,658 42		\$2,648 74	\$(9 68)
REGENERON PHARMACEUTICALS INC	REGN	8 000	01/27/12	681.14	02/08/12	813.48	132 34
REGN	REGN	14 000	01/27/12	1,192 00	03/26/12	1,685 59	493 59
REGN	REGN	14 000	01/27/12	1,192 00	06/06/12	1,861.95	669 95
REGN	REGN	4 000	02/02/12	380 32	02/08/12	406.74	26 42
Totals				\$3,445 46		\$4,767 76	\$1,322 30
Short Term Totals:				\$43,156.05		\$41,894.17	\$(1,261.88)
Long Term							
APPLE INC	AAPL	1 000	08/25/06	68.40	01/25/12	447.18	378.78
AAPL	AAPL	6 000	08/25/06	410 41	06/06/12	3,427.12	3,016 71
AAPL	AAPL	7 000	08/25/06	478 81	02/08/12	3,320 47	2,841.66
AAPL	AAPL	9 000	08/25/06	615.61	03/26/12	5,455 15	4,839 54
Totals				\$1,573.23		\$12,649 92	\$11,076 69
ALLERGAN INC	AGN	4 000	11/10/05	199 18	01/25/12	356.72	157.54
AGN	AGN	13 000	11/10/05	647.35	06/06/12	1,180 76	533.41
AGN	AGN	14 000	11/10/05	697 14	02/08/12	1,244 71	547 57
AGN	AGN	20 000	11/10/05	995 92	03/26/12	1,894 99	899 07
Totals				\$2,539 59		\$4,677 18	\$2,137 59
ALEXION PHARMACEUTICALS INC	ALXN	17 000	10/12/10	575 61	06/06/12	1,538.80	963 19
ALXN	ALXN	27 000	10/12/10	914 21	03/26/12	2,548 26	1,634 05
ALXN	ALXN	27 000	10/12/10	914 21	02/08/12	2,091 04	1,176 83
Totals				\$2,404 03		\$6,178 10	\$3,774 07
AMAZON COM INC	AMZN	1 000	02/26/08	70 66	06/06/12	217 18	146 52
AMZN	AMZN	5 000	02/26/08	353 29	01/25/12	938 40	585 11
AMZN	AMZN	12 000	02/26/08	847 88	02/08/12	2,220 16	1,372 28
Totals				\$1,271 83		\$3,375 74	\$2,103 91
ASML HLDG NV NEW YORK REG	ASML	2 000	07/16/10	62 09	03/26/12	97 82	35 73
ASML	ASML	28 000	07/16/10	869 27	06/06/12	1,321 85	452 58

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CGMI 2012 Statement Linked Realized Gain/Loss - Detail

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DREAM ENVISION FOUNDATION
(WEDGEWOOD PARTNERS-FOCUS
18201 VON KARMAN, SUITE 305
IRVINE CA 92612-1070

Acct. 264-134340-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
Totals				\$931.36		\$1,419.67	\$488.31
C H ROBINSON WORLDWIDE INC	CHRW	117 000	10/11/10	\$8,338.51	01/27/12	\$8,031.89	\$(306.62)
SALESFORCE COM INC	CRM	16 000	02/13/07	772.34	06/06/12	2,186.51	1,414.17
	CRM	25 000	02/13/07	1,206.77	03/26/12	3,929.95	2,723.18
	CRM	25 000	02/13/07	1,206.78	02/08/12	3,070.16	1,863.38
Totals				\$3,185.89		\$9,186.62	\$6,000.73
F5 NETWORKS INC	FFIV	3 000	09/17/10	301.26	01/25/12	366.83	65.57
	FFIV	8 000	09/17/10	803.37	06/06/12	823.50	20.13
	FFIV	12 000	09/17/10	1,205.05	02/08/12	1,505.43	300.38
	FFIV	16 000	09/17/10	1,606.73	03/26/12	2,165.40	558.67
	FFIV	19 000	09/17/10	1,907.99	05/18/12	2,176.00	268.01
Totals				\$5,824.40		\$7,037.16	\$1,212.76
FMC TECHNOLOGIES INC	FTI	6 000	07/30/07	131.32	03/26/12	296.74	165.42
	FTI	21 000	07/30/07	459.62	06/06/12	854.26	394.64
	FTI	27 000	07/31/07	598.92	02/08/12	1,466.39	867.47
	FTI	29 000	07/31/07	643.29	03/26/12	1,434.27	790.98
Totals				\$1,833.15		\$4,051.66	\$2,218.51
W W GRAINGER INC	GWV	6 000	01/29/10	598.30	06/06/12	1,092.27	493.97
	GWV	7 000	01/29/10	698.02	02/08/12	1,409.91	711.89
	GWV	9 000	01/29/10	897.45	03/26/12	1,957.82	1,060.37
Totals				\$2,193.77		\$4,460.00	\$2,266.23
INTERCONTINENTALEXCHANGE INC	ICE	4 000	07/19/06	231.58	06/06/12	511.19	279.61
	ICE	5 000	10/06/08	374.76	06/06/12	638.98	264.22
	ICE	11 000	10/06/08	824.46	02/08/12	1,432.76	608.30
	ICE	11 000	10/06/08	824.46	03/26/12	1,530.57	706.11
Totals				\$2,255.26		\$4,113.50	\$1,858.24
ILLUMINA INC	ILMN	135 000	01/11/10	4,584.74	02/01/12	6,962.51	2,377.77
	ILMN	140 000	01/11/10	4,754.54	03/14/12	7,041.07	2,286.53
	ILMN	14 000	02/17/10	516.65	03/14/12	704.11	187.46
Totals				\$9,855.93		\$14,707.69	\$4,851.76
INTUITIVE SURGICAL INC	ISRG	4 000	08/07/06	385.45	05/18/12	2,077.62	1,692.17
	ISRG	8 000	08/07/06	770.90	01/12/12	3,648.64	2,877.74
	ISRG	2 000	02/02/09	204.97	06/06/12	1,067.57	862.60
	ISRG	3 000	02/02/09	307.45	03/26/12	1,623.41	1,315.96

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CGMI 2012 Statement Linked Realized Gain/Loss - Detail

DREAM ENVISION FOUNDATION
(WEDGEWOOD PARTNERS-FOCUS
18201 VON KARMAN, SUITE 305
IRVINE CA 92612-1070

Acct. 264-134340-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
ISRG		3 000	02/02/09	\$307 45	02/08/12	\$1,469 58	\$1,162 13
Totals				\$1,976 22		\$9,886 82	\$7,910 60
LAS VEGAS SANDS CORP		11 000	11/21/08	33 77	03/26/12	648 36	614 59
LVS		22 000	11/21/08	67 55	06/06/12	1,009 99	942 44
Totals				\$101 32		\$1,658 35	\$1,557 03
NETFLIX INC		8 000	11/24/10	1,503 20	06/06/12	526 38	(976.82)
NFLX		9 000	11/24/10	1,691 09	03/26/12	1,094 53	(596 56)
Totals				\$3,194 29		\$1,620 91	\$(1,573 38)
NIKE INC CL B		8 000	06/04/09	458.91	03/26/12	868 11	409 20
NKE		11 000	06/04/09	631.00	06/06/12	1,174 33	543 33
NKE		11 000	06/05/09	646 29	03/26/12	1,193 64	547 35
NKE		17 000	06/05/09	998 82	02/08/12	1,795 04	796 22
Totals				\$2,735 02		\$5,031 12	\$2,296.10
NATIONAL OILWELL VARCO INC		8 000	10/07/08	276 37	02/08/12	655 83	379 46
NOV		11 000	01/05/09	315 33	02/08/12	901 77	586 44
NOV		15,000	01/05/09	430.00	06/06/12	1,014.42	584 42
NOV		24,000	01/05/09	688 00	03/26/12	1,923 99	1,235 99
Totals				\$1,709 70		\$4,496 01	\$2,786 31
PRICELINE COM INC (NEW)		2,000	05/17/11	1,006 09	06/06/12	1,280 47	274 38
QCOM		35 000	05/19/10	1,280 22	06/06/12	2,041.85	761.63
QCOM		37 000	05/19/10	1,353.37	02/08/12	2,272 35	918 98
QCOM		47 000	05/19/10	1,719 15	03/26/12	3,224 74	1,505.59
Totals				\$4,352 74		\$7,538 94	\$3,186 20
STARBUCKS CORP		9 000	06/04/04	187.03	01/25/12	429 91	242 88
SBUX		24 000	06/04/04	498 74	06/06/12	1,278.69	779.95
SBUX		32 000	06/04/04	664 98	02/08/12	1,552 86	887 88
SBUX		41 000	06/04/04	852 01	03/26/12	2,284 68	1,432 67
SBUX		42 000	06/04/04	872 79	05/18/12	2,197 13	1,324 34
Totals				\$3,075 55		\$7,743.27	\$4,667 72
SCHWAB CHARLES CORP		65 000	01/29/10	1,186 88	03/26/12	987 95	(198 93)
SCHW		82 000	01/29/10	1,497 30	02/08/12	1,022 11	(475 19)
SCHW		121 000	02/10/10	2,162.02	03/26/12	1,839 11	(322 91)
SCHW		88 000	02/11/10	1,561.95	03/26/12	1,337 53	(224 42)
SCHW		435 000	10/04/10	6,074.78	03/26/12	6,611 66	536 88

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CGMI 2012 Statement Linked Realized Gain/Loss - Detail

DREAM ENVISION FOUNDATION
(WEDGEWOOD PARTNERS-FOCUS
18201 VON KARMAN, SUITE 305
IRVINE CA 92612-1070

Acct. 264-134340-313

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
Totals				\$12,482.93		\$11,798.36	\$684.57
SCHLUMBERGER LTD	SLB	12 000	11/20/06	\$758.94	03/26/12	\$868.34	\$109.40
	SLB	18 000	11/20/06	1,138.41	06/06/12	1,157.91	19.50
	SLB	5 000	12/08/06	333.04	01/25/12	378.00	44.96
	SLB	14 000	12/08/06	932.50	03/26/12	1,013.07	80.57
	SLB	18 000	12/08/06	1,198.94	02/08/12	1,412.21	213.27
Totals				\$4,361.83		\$4,829.53	\$467.70
SOUTHWESTERN ENERGY CO	SWN	17 000	11/24/10	624.24	06/06/12	477.17	(147.07)
	SWN	30 000	11/24/10	1,101.61	03/26/12	967.35	(134.26)
	SWN	33 000	11/24/10	1,211.77	02/08/12	1,085.18	(126.59)
Totals				\$2,937.62		\$2,529.70	\$(407.92)
VISA INC COM CL A	V	7 000	03/20/08	428.47	01/25/12	703.10	274.63
	V	24 000	03/20/08	1,469.05	07/06/12	3,016.97	1,547.92
	V	31 000	03/20/08	1,897.52	06/06/12	3,588.16	1,690.64
	V	33 000	03/20/08	2,019.94	02/08/12	3,562.75	1,542.81
	V	37 000	03/20/08	2,264.79	03/26/12	4,445.09	2,180.30
Totals				\$8,079.77		\$15,316.07	\$7,236.30
Long Term Totals:				\$88,220.03		\$153,618.68	\$65,398.65
2012 Short & Long Term Totals:				\$131,376.08		\$195,512.85	\$64,136.77