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Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

2012

Department of the Treasury  
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2012, or tax year beginning , 2012, and ending ,

THE HORWITZ FAMILY  
MEMORIAL FOUNDATION  
3332 EAST ROMELLE AVENUE  
ORANGE, CA 92869A Employer identification number  
33-0912754B Telephone number (see the instructions)  
(714) 633-7199C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity  
☐ Final return ☐ Amended return  
☐ Address change ☐ Name changeH Check type of organization. ☒ Section 501(c)(3) exempt private foundation  
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationI Fair market value of all assets at end of year (from Part II, column (c), line 16)  
\$ 1,171,604.  
J Accounting method. ☒ Cash ☐ Accrual  
☐ Other (specify) (Part I, column (d) must be on cash basis)

## Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1 Contributions, gifts, grants, etc., received (att sch)

289,475.

2 Ck ☐ if the foundn is not req to att Sch B

3 Interest on savings and temporary cash investments

4 Dividends and interest from securities

30,335.

30,335.

30,335.

5a Gross rents

b Net rental income or (loss)

6a Net gain/(loss) from sale of assets not on line 10

307,110.

b Gross sales price for all assets on line 6a 681,923.

7 Capital gain net income (from Part IV, line 2)

307,110.

8 Net short-term capital gain

224,002.

9 Income modifications

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit/(loss) (att sch) 25,213

11 Other income (attach schedule)

12 Total. Add lines 1 through 11

626,920.

337,445.

254,337.

13 Compensation of officers, directors, trustees, etc

0.

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees (attach schedule)

b Accounting fees (attach sch) SEE ST 1

6,525.

3,263.

3,262.

c Other prof fees (attach sch)

17 Interest

18 Taxes (attach schedule)(see instrs) SEE STM 2

6,683.

19 Depreciation (attach sch) and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses (attach schedule)

SEE STATEMENT 3

14,439.

35.

7,049.

24 Total operating and administrative expenses. Add lines 13 through 23

27,647.

3,298.

10,311.

25 Contributions, gifts, grants paid PART XV

194,912.

194,912.

26 Total expenses and disbursements. Add lines 24 and 25

222,559.

3,298.

0.

205,223.

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

404,361.

b Net investment income (if negative, enter -0-)

334,147.

c Adjusted net income (if negative, enter -0-)

254,337.

SCANNED NOV 27 2013

REVENUE

ADMINISTRATIVE AND OPERATING EXPENSES

7 GA

**Part II Balance Sheets**

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>ASSETS</b>	1 Cash – non-interest-bearing	-32,102.	299,578.	299,579.
	2 Savings and temporary cash investments			
	3 Accounts receivable			
	Less: allowance for doubtful accounts			
	4 Pledges receivable			
	Less: allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch)			
	Less: allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments – U.S. and state government obligations (attach schedule)			
	b Investments – corporate stock (attach schedule) <b>STATEMENT 4</b>	538,556.	514,714.	647,395.
	c Investments – corporate bonds (attach schedule) <b>STATEMENT 5</b>	150,000.	50,000.	57,822.
	11 Investments – land, buildings, and equipment: basis			
Less: accumulated depreciation (attach schedule)				
12 Investments – mortgage loans				
13 Investments – other (attach schedule) <b>STATEMENT 6</b>	177,924.	150,163.	166,808.	
14 Land, buildings, and equipment: basis				
Less: accumulated depreciation (attach schedule)				
15 Other assets (describe)				
16 <b>Total assets</b> (to be completed by all filers – see the instructions. Also, see page 1, item i)	834,378.	1,014,455.	1,171,604.	
<b>LIABILITIES</b>	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe) <b>SEE STATEMENT 7</b>	2,413.	6,604.	
	23 <b>Total liabilities</b> (add lines 17 through 22)	2,413.	6,604.	
<b>NET ASSETS OR FUND BALANCES</b>	<b>Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.</b> <input checked="" type="checkbox"/>			
	24 Unrestricted	831,965.	1,007,851.	
	25 Temporarily restricted			
	26 Permanently restricted			
	<b>Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.</b> <input type="checkbox"/>			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 <b>Total net assets or fund balances</b> (see instructions)	831,965.	1,007,851.	
	31 <b>Total liabilities and net assets/fund balances</b> (see instructions)	834,378.	1,014,455.	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	831,965.
2 Enter amount from Part I, line 27a	2	404,361.
3 Other increases not included in line 2 (itemize)	3	
4 Add lines 1, 2, and 3	4	1,236,326.
5 Decreases not included in line 2 (itemize) <b>SEE STATEMENT 8</b>	5	228,475.
6 Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	1,007,851.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
<b>1 a SEE STATEMENT 9</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
<b>a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

<b>2</b> Capital gain net income or (net capital loss).	<div style="border: 1px solid black; padding: 2px;">           If gain, also enter in Part I, line 7            If (loss), enter -0- in Part I, line 7         </div>		<b>2</b>	307,110.
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):	<div style="border: 1px solid black; padding: 2px;">           If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0-            in Part I, line 8         </div>		<b>3</b>	224,002.

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2011	153,442.	1,008,486.	0.152151
2010	342,910.	1,050,161.	0.326531
2009	249,252.	1,137,851.	0.219055
2008	277,191.	1,516,774.	0.182750
2007	229,121.	1,668,654.	0.137309

<b>2</b> Total of line 1, column (d)	<b>2</b>	1.017796
<b>3</b> Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	0.203559
<b>4</b> Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	<b>4</b>	1,002,529.
<b>5</b> Multiply line 4 by line 3	<b>5</b>	204,074.
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	3,341.
<b>7</b> Add lines 5 and 6	<b>7</b>	207,415.
<b>8</b> Enter qualifying distributions from Part XII, line 4	<b>8</b>	205,223.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

**Part VI Excise Tax Based on Investment Income** (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary – see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	6,683.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	6,683.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 <b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-		5	6,683.
6 Credits/Payments:			
a 2012 estimated tax pmts and 2011 overpayment credited to 2012	6 a	79.	
b Exempt foreign organizations – tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c	8,000.	
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments Add lines 6a through 6d	7	8,079.	
8 Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	52.	
9 <b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	9	0.	
10 <b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	10	1,344.	
11 Enter the amount of line 10 to be. <b>Credited to 2013 estimated tax</b> 1,344. <b>Refunded</b>	11	0.	

**Part VII-A Statements Regarding Activities**

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file <b>Form 1120-POL</b> for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ 0. (2) On foundation managers \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on <b>Form 990-T</b> for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) CA		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

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**Part VII-A** Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>LOUIS B HORWITZ</u> Telephone no. <u>(714) 633-7199</u> Located at <u>3332 EAST ROMELLE AVENUE, ORANGE, CA</u> ZIP + 4 <u>92869</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <u>N/A</u> and enter the amount of tax-exempt interest received or accrued during the year <u>15</u> <u>N/A</u>			
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If 'Yes,' enter the name of the foreign country <u></u>	16		X

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <u></u>	1 b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? If 'Yes,' list the years <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions)	2 b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If 'Yes,' did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)	3 b	N/A
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4 b	X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5 b N/A

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6 b X

If 'Yes' to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7 b

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
LOUIS B HORWITZ 3332 EAST ROMELLE AVENUE ORANGE, CA 92869	PRESIDENT & 0	0.	0.	0.
BRADLEY J HORWITZ 3332 EAST ROMELLE AVENUE ORANGE, CA 92869	VICE PRES & 0	0.	0.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1 a	967,376.
b	Average of monthly cash balances	1 b	50,420.
c	Fair market value of all other assets (see instructions)	1 c	
d	<b>Total</b> (add lines 1a, b, and c)	1 d	1,017,796.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,017,796.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	15,267.
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,002,529.
6	<b>Minimum investment return.</b> Enter 5% of line 5	6	50,126.

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	50,126.
2 a	Tax on investment income for 2012 from Part VI, line 5	2 a	6,683.
b	Income tax for 2012. (This does not include the tax from Part VI.)	2 b	
c	Add lines 2a and 2b	2 c	6,683.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	43,443.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	43,443.
6	Deduction from distributable amount (see instructions)	6	
7	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	43,443.

**Part XII** Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	205,223.
b	Program-related investments — total from Part IX-B	1 b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3 a	
b	Cash distribution test (attach the required schedule)	3 b	
4	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	205,223.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	6	205,223.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				43,443.
2 Undistributed income, if any, as of the end of 2012:				
a Enter amount for 2011 only			0.	
b Total for prior years 20____, 20____, 20____		0.		
3 Excess distributions carryover, if any, to 2012:				
a From 2007	154,904.			
b From 2008	202,152.			
c From 2009	193,053.			
d From 2010	291,048.			
e From 2011	105,431.			
f Total of lines 3a through e	946,588.			
4 Qualifying distributions for 2012 from Part XII, line 4: ▶ \$ 205,223.				
a Applied to 2011, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required – see instructions)		0.		
c Treated as distributions out of corpus (Election required – see instructions)	0.			
d Applied to 2012 distributable amount				43,443.
e Remaining amount distributed out of corpus	161,780.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5	1,108,368.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount – see instructions		0.		
e Undistributed income for 2011 Subtract line 4a from line 2a. Taxable amount – see instructions			0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)	154,904.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	953,464.			
10 Analysis of line 9:				
a Excess from 2008	202,152.			
b Excess from 2009	193,053.			
c Excess from 2010	291,048.			
d Excess from 2011	105,431.			
e Excess from 2012	161,780.			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2012	(b) 2011	(c) 2010	(d) 2009	
b 85% of line 2a				
c Qualifying distributions from Part XII, line 4 for each year listed				
d Amounts included in line 2c not used directly for active conduct of exempt activities				
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c				
3 Complete 3a, b, or c for the alternative test relied upon.				
a 'Assets' alternative test — enter:				
(1) Value of all assets				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				
b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				
c 'Support' alternative test — enter:				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				
(3) Largest amount of support from an exempt organization				
(4) Gross investment income				

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year — see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2) )  
SEE STATEMENT 10

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest  
NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> Paid during the year SEE STATEMENT 11				
<b>Total</b>			<b>3a</b>	194,912.
<b>b</b> Approved for future payment				
<b>Total</b>			<b>3b</b>	

**Part XVI-A Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions )
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1	Program service revenue:					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities			14	30,335.	
5	Net rental income or (loss) from real estate:					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			18	307,110.	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue:					
a						
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)				337,445.	
13	<b>Total.</b> Add line 12, columns (b), (d), and (e)				13	337,445.

(See worksheet in line 13 instructions to verify calculations.)

## **Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

[illegible]



**Schedule B**  
**(Form 990, 990-EZ,**  
**or 990-PF)**

Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**

► **Attach to Form 990, Form 990-EZ, or Form 990-PF**

OMB No 1545-0047

**2012**

Name of the organization **THE HORWITZ FAMILY  
MEMORIAL FOUNDATION**

Employer identification number

**33-0912754**

**Organization type** (check one).

**Filers of:**

Form 990 or 990-EZ

**Section:**

- ☐ 501(c)( ) (enter number) organization  
☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation  
☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation  
☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation  
☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**

**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions

**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. (Complete Parts I and II)

**Special Rules**

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year ► \$ \_\_\_\_\_

**Caution:** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF) but it **must** answer 'No' on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on Part I, line 2, of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

**BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ,**

**Schedule B (Form 990, 990-EZ, or 990-PF) (2012)**

Name of organization

Employer identification number

THE HORWITZ FAMILY

33-0912754

**Part I** Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	LOUIS B HORWITZ 3332 EAST ROMELLE AVENUE ORANGE, CA 92869	\$ 111,525.	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution.)
2	BRADLEY J HORWITZ C/O 3332 EAST ROMELLE AVENUE ORANGE, CA 92869	\$ 177,950.	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)



Name of organization

THE HORWITZ FAMILY

Employer identification number

33-0912754

**Part II** **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	3,000 SHARES ABAXIS INC.		
		\$ 111,525.	
2	2,000 SHARES AMGEN		
		\$ 177,950.	
		\$	
		\$	
		\$	
		\$	
		\$	

BAA

Name of organization

THE HORWITZ FAMILY

Employer identification number

33-0912754

**Part III****Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8) or (10) organizations that total more than \$1,000 for the year.** Complete columns (a) through (e) and the following line entry.For organizations completing Part III, enter total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year (Enter this information once. See instructions.)  
Use duplicate copies of Part III if additional space is needed.

► \$ N/A

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	N/A		
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

BAA

THE HORWITZ FAMILY  
MEMORIAL FOUNDATION

33-0912754

STATEMENT 1  
FORM 990-PF, PART I, LINE 16B  
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
GTCL LLP	\$ 6,525.	\$ 3,263.		\$ 3,262.
TOTAL	<u>\$ 6,525.</u>	<u>\$ 3,263.</u>	<u>\$ 0.</u>	<u>\$ 3,262.</u>

STATEMENT 2  
FORM 990-PF, PART I, LINE 18  
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EXCISE TAXES PAYABLE FOR 2012	\$ 6,683.			
TOTAL	<u>\$ 6,683.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

STATEMENT 3  
FORM 990-PF, PART I, LINE 23  
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ADVISOR FEES	\$ 7,049.			\$ 7,049.
TAX/LICENSES	8.			
TEMPLE DUES	35.	\$ 35.		
TOTAL	<u>\$ 7,347.</u>	<u>\$ 35.</u>	<u>\$ 0.</u>	<u>\$ 7,049.</u>

STATEMENT 4  
FORM 990-PF, PART II, LINE 10B  
INVESTMENTS - CORPORATE STOCKS

CORPORATE STOCKS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
JOHNSON & JOHNSON - 425 SH	COST	\$ 24,955.	\$ 29,793.
GENERAL ELEC CO - 1,600 SH	COST	26,019.	33,584.
SYSCO CORP - 1,200 SH	COST	32,071.	37,992.
ABBOTT LABS - 800 SH	COST	0.	0.
CHEVRON CORP - 300 SH	COST	22,906.	32,442.
EXXON MOBIL CORP - 300 SH	COST	20,900.	25,965.
REDWOOD TRUST INC - 2,100 SH	COST	0.	0.
WAL-MART STORES INC - 500 SH	COST	23,981.	34,115.
CROWN CASTLE INTL CORP - 350 SH	COST	10,193.	25,256.
LAMAR ADVERTISING CO-CL A - 200 SH	COST	7,117.	7,750.
LIBERTY GLOBAL SERIES C - 700 SH	COST	0.	0.
LIBERTY MEDIA INTERACT SER A - 1,300 SH	COST	5,669.	25,584.

THE HORWITZ FAMILY  
MEMORIAL FOUNDATION

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STATEMENT 4 (CONTINUED)  
FORM 990-PF, PART II, LINE 10B  
INVESTMENTS - CORPORATE STOCKS

CORPORATE STOCKS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
AVON PRODUCTS - 1,400 SH	COST	\$ 0.	\$ 0.
LOWES COS INC - 1,600 SH	COST	0.	0.
ELECTR FOR IMAGING INC - 1,400 SH	COST	15,375.	26,586.
SEASPAN CORP - 2,305 SH	COST	18,918.	36,949.
CINTAS CORP - 700 SH	COST	19,139.	28,630.
BERKSHIRE HATHAWAY CL B - 400 SH	COST	0.	0.
INTEL CORP - 1,300 SH	COST	27,082.	26,806.
UNITED PARCEL SERVICE - 400 SH	COST	25,946.	29,492.
NATIONAL CINEMEDIA INT - 1,700 SH	COST	27,490.	24,021.
NORTHERN TRUST CORP - 600 SH	COST	31,121.	30,096.
DISCOVERY COMMUNICATIONS INC - 500 SH	COST	17,800.	29,250.
TELEFLEX INC - 300 SH	COST	18,793.	21,393.
LPL INVESTMENT HLDGS INC - 1,100 SH	COST	35,566.	30,976.
LAMAR ADVERTISING CO-CL A - 400 SH	COST	13,019.	15,500.
FLUOR CORP - 500 SH	COST	25,429.	29,370.
PROCTER & GAMBLE - 500 SH	COST	32,098.	33,945.
ALERIAN MLP ETF - 2,000 SH	COST	33,127.	31,900.
	TOTAL	\$ 514,714.	\$ 647,395.

STATEMENT 5  
FORM 990-PF, PART II, LINE 10C  
INVESTMENTS - CORPORATE BONDS

CORPORATE BONDS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
GENERAL ELEC CAP 4.750% 09-15-12	COST	\$ 0.	\$ 0.
BANK OF AMERICA CP 6.350% DUE 09-15-18	COST	50,000.	57,822.
	TOTAL	\$ 50,000.	\$ 57,822.

STATEMENT 6  
FORM 990-PF, PART II, LINE 13  
INVESTMENTS - OTHER

	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
\$25,000 ISRAEL BOND	COST	\$ 0.	\$ 0.
OTHER PUBLICLY TRADED SECURITIES			
VANGUARD EMERGING MKT ETF - 800 SH	COST	33,826.	35,624.
VANGUARD EUROPE PACIFIC ETF - 500 SH	COST	0.	0.
TOTAL OTHER PUBLICLY TRADED SECURITIES		\$ 33,826.	\$ 35,624.
OTHER SECURITIES			
ISHARES IBOX H/Y CORP BD FD - 900 SH	COST	76,042.	84,015.
POWERSHARES EMERG MKTS SOV DT - 1,500 SH	COST	40,295.	47,169.
TOTAL OTHER SECURITIES		\$ 116,337.	\$ 131,184.
	TOTAL	\$ 150,163.	\$ 166,808.

THE HORWITZ FAMILY  
MEMORIAL FOUNDATION

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STATEMENT 7  
FORM 990-PF, PART II, LINE 22  
OTHER LIABILITIES

EXCISE TAXES PAYABLE	\$	6,604.
TOTAL	\$	<u>6,604.</u>

STATEMENT 8  
FORM 990-PF, PART III, LINE 5  
OTHER DECREASES

DIFFERENCE BET BOOK & TAX	\$	228,475.
TOTAL	\$	<u>228,475.</u>

STATEMENT 9  
FORM 990-PF, PART IV, LINE 1  
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
1	500 SH INTEL CORP	PURCHASED	6/04/2008	1/05/2012
2	300 SH LAMAR ADVERTISING CO-CL A	PURCHASED	3/27/2008	1/05/2012
3	600 SH LIBERTY MEDIA INTERACTIVE A	PURCHASED	6/30/2009	1/05/2012
4	300 SH SEASpan CORP	PURCHASED	5/01/2009	1/19/2012
5	1,200 SH SEASpan CORP	PURCHASED	5/05/2009	1/19/2012
6	395 SH SEASpan CORP	PURCHASED	8/17/2009	1/19/2012
7	200 SH GENERAL ELEC CO	PURCHASED	6/12/2008	1/23/2012
8	300 SH CROWN CASTLE INTL CORP	PURCHASED	9/30/2008	3/13/2012
9	300 SH DISCOVERY COMMUNICATIONS INC	PURCHASED	4/14/2011	3/14/2012
10	300 SH LIBERTY GLOBAL SERIES C	PURCHASED	6/30/2009	3/15/2012
11	500 SH VANGUARD EUROPE PACIFIC ETF	PURCHASED	8/03/2011	5/30/2012
12	300 SH WAL-MART STORES INC	PURCHASED	11/17/2008	6/01/2012
13	300 SH CINTAS CORP	PURCHASED	4/15/2010	7/02/2012
14	200 SH SYSCO CORP	PURCHASED	3/27/2008	7/02/2012
15	\$100,000 GENERAL ELEC CAP 4.750%	PURCHASED	8/27/2008	9/15/2012
16	85 SH LIBERTY VENTURES A	PURCHASED	6/30/2009	9/18/2012
17	29 SH LIBERTY VENTURES RIGHTS	PURCHASED	6/30/2009	10/01/2012
18	400 SH LIBERTY GLOBAL SERIES C	PURCHASED	6/30/2009	10/15/2012
19	150 SH CROWN CASTLE INTL CORP	PURCHASED	9/30/2008	12/17/2012
20	400 SH ELECTR FOR IMAGING INC	PURCHASED	8/17/2009	12/17/2012
21	100 SH EXXON MOBIL CORP	PURCHASED	11/17/2008	12/17/2012
22	200 SH LAMAR ADVERTISING CO-CL A	PURCHASED	3/27/2008	12/17/2012
23	400 SH LIBERTY MEDIA INTERACTIVE A	PURCHASED	6/30/2009	12/17/2012
24	400 SH ISHARES BARCLAYS I-3 YR CREDIT	PURCHASED	9/18/2012	12/17/2012
25	\$25,000 STATE OF ISRAEL JUBILEE ISSUE 5.120%	PURCHASED	9/01/2007	8/03/2012
26	2,000 SH AMGEN	PURCHASED	12/19/2012	12/20/2012
27	3,000 SH ABAXIS	PURCHASED	12/24/2012	12/27/2012

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
1	12,556.		11,673.	883.			\$	883.
2	8,239.		10,676.	-2,437.				-2,437.
3	10,090.		2,995.	7,095.				7,095.

THE HORWITZ FAMILY  
MEMORIAL FOUNDATION

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STATEMENT 9 (CONTINUED)  
FORM 990-PF, PART IV, LINE 1  
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
4	4,498.		1,638.	2,860.				\$ 2,860.
5	17,994.		7,035.	10,959.				10,959.
6	5,923.		1,995.	3,928.				3,928.
7	3,788.		5,979.	-2,191.				-2,191.
8	16,230.		8,737.	7,493.				7,493.
9	13,386.		10,680.	2,706.				2,706.
10	14,688.		4,683.	10,005.				10,005.
11	14,715.		17,952.	-3,237.				-3,237.
12	19,595.		15,716.	3,879.				3,879.
13	11,784.		8,441.	3,343.				3,343.
14	5,944.		5,864.	80.				80.
15	100,000.		100,000.	0.				0.
16	4,054.		979.	3,075.				3,075.
17	362.		94.	268.				268.
18	22,864.		6,244.	16,620.				16,620.
19	10,674.		4,368.	6,306.				6,306.
20	7,196.		4,393.	2,803.				2,803.
21	8,842.		7,531.	1,311.				1,311.
22	8,001.		7,117.	884.				884.
23	7,688.		1,744.	5,944.				5,944.
24	42,165.		42,279.	-114.				-114.
25	25,000.		25,000.	0.				0.
26	176,772.		40,000.	136,772.				136,772.
27	108,875.		21,000.	87,875.				87,875.
TOTAL								\$ 307,110.

STATEMENT 10  
FORM 990-PF, PART XV, LINE 1A  
FOUNDATION MANAGERS - 2% OR MORE CONTRIBUTORSLOUIS B HORWITZ  
BRADLEY J HORWITZSTATEMENT 11  
FORM 990-PF, PART XV, LINE 3A  
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
MENNINGER FOUNDATION P. O. BOX #809045 HOUSTON, TX 77289		PUBLIC	GENERAL	\$ 1,000.

THE HORWITZ FAMILY  
MEMORIAL FOUNDATION

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STATEMENT 11 (CONTINUED)  
FORM 990-PF, PART XV, LINE 3A  
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
FRED HUTCHINSON CANCER RSH CTR P. O. BOX 19024 SEATTLE, WA 98109		PUBLIC	GENERAL	\$ 11,250.
TLC FOUNDATION FOR THE BLIND 7915 LINDLEY AVENUE RESEDA, CA 91335		PUBLIC	OPERATIONS AND BUILDING FUND	5,000.
JEWISH FEDERATION & FAMILY SERVICE 1 FEDERATION WAY SUITE 330 IRVINE, CA 92603		PUBLIC	GENERAL FOR JEWISH FEDERATION	5,000.
WORLD UN FOR PROGRESS JUDAISM 633 THIRD AVENUE NEW YORK, NY 10017		PUBLIC	GENERAL	2,000.
TOPEKA RESCUE MISSION P. O. BOX 8350 TOPEKA, KS 66608		PUBLIC	GENERAL	500.
HABITAT FOR HUMANITY 121 HABITAT STREET AMERICUS, GA 31709		PUBLIC	GENERAL	1,000.
MAKE A WISH FOUNDATION 811 1ST AVE, #520 SEATTLE, WA 98104,		PUBLIC	GENERAL	1,000.
ANTI-DEFAMATION LEAGUE 959 SOUTH COAST DRIVE, STE 374 COSTA MESA, CA 92626		PUBLIC	GENERAL	1,000.
ORANGE COUNTY RESCUE MISSION P. O. BOX 4007 SANTA ANA, CA 92705		PUBLIC	GENERAL	1,000.
THE SALVATION ARMY 10200 PIONEER ROAD TUSTIN, CA 92782		PUBLIC	GENERAL	1,000.
CARE 151 ELLIS STREET NE ATLANTA, GA 30303		PUBLIC	GENERAL	1,000.

THE HORWITZ FAMILY  
MEMORIAL FOUNDATION

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STATEMENT 11 (CONTINUED)  
FORM 990-PF, PART XV, LINE 3A  
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
TEMPLE BETH SHOLOM 2625 N TUSTIN AVENUE SANTA ANA, CA 92705		PUBLIC	GENERAL	\$ 8,462.
DOCTORS WITHOUT BORDERS USA 333 7TH AVENUE, 2ND FLOOR NEW YORK, NY 10001		PUBLIC	GENERAL	1,000.
RYMAN-CARROLL FOUNDATION 7515 WOODROW WILSON DRIVE LOS ANGELES, CA 90046		PUBLIC	GENERAL	1,200.
THE FURNITURE BANK 6300 BOWLING GREEN ST. HOUSTON, TX 77201		PUBLIC	GENERAL	5,000.
THE SMILE TRAIN P.O. BOX 96231 WASHINGTON, DC 20090		PUBLIC	GENERAL	500.
PONCHO FOR THE ARTS 603 STEWART STREET STE 1717 SEATTLE, WA 98101		PUBLIC	GENERAL	5,000.
PLANNED PARENTHOOD 3601 FANNIN STREET HOUSTON, TX 77004		PUBLIC	GENERAL	1,000.
KLAMATH WILDLANDS CENTER (KS WILD) P.O. BOX 332 WILLIAMS, OR 97544		PUBLIC	GENERAL	1,000.
CHILDREN'S HOSPITAL OF O.C. 455 S MAIN ST ORANGE, CA 92868		HOSP	GENERAL	6,000.
UNITED WAY OF KING COUNTY 720 SECOND AVENUE SEATTLE, WA 98104		PUBLIC	SPECIFIC CAMPAIGN TO END CHRONIC HOMELESS	55,000.
THE MIDWEST HIGHLAND ARTS FUND 3360 SW HARRISON STREET, SUITE 200 TOPEKA, KS 66611		PUBLIC	GENERAL	1,000.
MAZON 1990 SOUTH BUNDY DRIVE SUITE 260 LOS ANGELES, CA 90025		PUBLIC	GENERAL	1,000.



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STATEMENT 11 (CONTINUED)  
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NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
ST JOSEPH HOSPITAL FOUNDATION 1100 WEST STEWART DRIVE ORANGE, CA 92863		PUBLIC	GENERAL	\$ 10,000.
BIG WILDLIFE P.O. BOX 489 WILLIAMS, OR 97544		PUBLIC	GENERAL	10,000.
VOICES FOR CHILDREN 2851 MEADOWLARK DRIVE SAN DIEGO, CA 92123		PUBLIC	GENERAL	1,000.
PROJECT WILDLIFE 887 1/2 SHERMAN STREET SAN DIEGO, CA 92110		PUBLIC	GENERAL	10,000.
SANTA ANA POLICE FOUNDATION GUILD 1801 E PARK CRT PL, BLDG E, STE 103 SANTA ANA, CA 92701		PUBLIC	GENERAL	1,000.
RETIK MELLO FOUNDATION 28 STATE STREET, SUITE 1801 BOSTON, MA 02109		PUBLIC	GENERAL	1,000.
YEAR UP 2607 2ND AVENUE SEATTLE, WA 98121		PUBLIC	GENERAL	25,000.
JP/HRO 6022 WILSHIRE BLVD, SUITE 203 LOS ANGELES, CA 90036		PUBLIC	GENERAL	10,000.
ESPERANZA INTERNATIONAL FOUNDATION 13219 NE 20TH ST BELLEVUE, WA 98005		PUBLIC	GENERAL	5,000.
BIRTHRIGHT ISRAEL FDTN % JEWISH FED SVCS 1 FEDERATION WAY, SUITE 110 IRVINE, CA 92603		PUBLIC	GENERAL	2,500.
LANDESA 1424 FOURTH AVE., STE 300 SEATTLE, WA 98101		PUBLIC	GENERAL	1,000.

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<u>NAME AND ADDRESS</u>	<u>DONEE RELATIONSHIP</u>	<u>FOUND- ATION STATUS</u>	<u>PURPOSE OF GRANT</u>	<u>AMOUNT</u>
HOSPICE - NORTH COAST 2525 PIO PICO DRIVE, SUITE 301 CARLSBAD, CA 92008		PUBLIC	GENERAL	\$ 1,000.
TEMPLE BETH EL FORT WORTH, TX		RELIG	GENERAL	500.
TOTAL				\$ <u>194,912.</u>