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Mailed 5/7/2013

Certified Article Number

Form **990-PF**

Return of Private or Section 4947(a)(1) Nonexem 7196 9008 9040 1695 7221

OMB No 1545-0052

SENDERS RECORD Treated as a Private I Department of the Treasury Internal Revenue Service Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements. For calendar year 2012 or tax year beginning and ending A Employer identification number Name of foundation THE CHARLES H. AND MELANIE K. BERMAN 32-0047320 FOUNDATION Number and street (or P O box number if mail is not delivered to street address) Room/suite **B** Telephone number 203-977-7300 CANTERBURY GREEN DAY PITNEY LLP 1 C If exemption application is pending, check here City or town, state, and ZIP code 06901 STAMFORD, CT Initial return of a former public charity D 1 Foreign organizations, check here G Check all that apply. Initial return Final return Amended return Foreign organizations meeting the 85% test, check here and attach computation Address change Name change X Section 501(c)(3) exempt private foundation H Check type of organization: E If private foundation status was terminated Section 4947(a)(1) nonexempt charitable trust ____ Other taxable private foundation under section 507(b)(1)(A), check here I Fair market value of all assets at end of year | J Accounting method: Accrual If the foundation is in a 60-month termination Other (specify) under section 507(b)(1)(B), check here (from Part II, col. (c), line 16) 716,074. (Part I, column (d) must be on cash basis) ▶\$ Part I Analysis of Revenue and Expenses (d) Disbursements (c) Adjusted net (a) Revenue and (b) Net investment (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a)) for charitable purposes (cash basis only) expenses per books income income N/A 22,752. Contributions, gifts, grants, etc., received Check if the foundation is not required to attach Sch. B. SCANNED MAY 1 5 2013 Interest on savings and temporary cash investments 16,995 STATEMENT 16,995 Dividends and interest from securities 5a Gross rents b Net rental income or (loss) STATEMENT 2,712. 6a Net gain or (loss) from sale of assets not on line 10 81,733. 17,658 Capital gain net income (from Part IV, line 2) Net short-term capital gain Income modifications 10a Gross sales less returns and allowances b Less Cost of goods sold c Gross profit or (loss) 11 Other income 42.459 34,653 12 Total Add lines 1 through 11 0: 0 13 Compensation of officers, directors, trustees, etc. Other employee salaries and wages 15 Pension plans, employee benefits 6,468. 6,468 0. STMT 3 16a Legal fees **b** Accounting fees c Other professional fees Operating and Administrative 17 Interest 0. 8,411. 0. STMT 4 18 Taxes 19 Depreciation and depletion 20 Occupancy 21 Travel, conferences, and meetings 22 Printing and publications 6,734 6,734 STMT 5 23 Other expenses 24 Total operating and administrative-21,613 13,202 xpenses Addylines 13 thirough 23 Contributions, gris, grants paid 750 37,750 25 Total expenses and disbursements loculines 24 And 25 4 2013 37,750. 59,363 13,202 8 Subtract line 26 from line 12: 27

LHA For Paperwork Reduction Act Notice, see instructions

a Excess of revenue over expenses and disburser

b Net investment income ut depairementer.

c Adjusted net income (if negative, enter -0-)

Form 990-PF (2012)

21,451

N/A

-16,904

THE CHARLES H. AND MELANIE K. BERMAN

Fo	rm 990-PF (2012) FOUNDATION		32-0	0047320 Page 2
	Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End of	
	column should be for end-of-year amounts only	(a) Book Value		(c) Fair Market Value
リソゴ	1 Cash - non-interest-bearing	4,843.	25,345.	25,345°.
	2 Savings and temporary cash investments			
	3 Accounts receivable ►			
	Less, allowance for doubtful accounts			
·	4 Pledges receivable ►			
	Less: allowance for doubtful accounts			
;	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other			
	disqualified persons			
	7 Other notes and loans receivable		-	
	Less; allowance for doubtful accounts			
Σ,	8 Inventories for sale or use			
Assets	9 Prepaid expenses and deferred charges			
Š	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock			-
	c Investments - corporate bonds			•
	11 Investments - land buildings and equipment basis			· · ·
	Less accumulated depreciation			
	12 Investments - mortgage loans			
	13 Investments - other STMT 7	712,571.	675,165.	690,729.
	14 Land, buildings, and equipment; basis			
•	Less accumulated depreciation			
3.1	15 Other assets (describe ►			1
	16 Total assets (to be completed by all filers)	717,414.	700,510.	716,074.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
Ś	19 Deferred revenue			
itie	20 Loans from officers, directors, trustees, and other disqualified persons			
Liabilities	21 Mortgages and other notes payable			
ت	22 Other liabilities (describe >			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
	Foundations that follow SFAS 117, check here			
	and complete lines 24 through 26 and lines 30 and 31			
Ses	24 Unrestricted			
<u>a</u>	25 Temporarily restricted			
Ва	26 Permanently restricted			
밀	Foundations that do not follow SFAS 117, check here			•
丘	and complete lines 27 through 31.			
o s	27 Capital stock, trust principal, or current funds	0.	0.	
Net Assets or Fund Balances	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
As	29 Retained earnings, accumulated income, endowment, or other funds	717,414.	700,510.	_
Se t	30 Total net assets or fund balances	717,414.	700,510.	
, –				
	31 Total liabilities and net assets/fund balances	717,414.	700,510.	·
F	Part III Analysis of Changes in Net Assets or Fund B	alances		
	Total net assets or fund balances at beginning of year - Part II, column (a), line	30		
'	(must agree with end-of-year figure reported on prior year's return)		1	717,414.
2	Enter amount from Part I, line 27a		2	-16,904.
3	Other increases not included in line 2 (itemize)		3	10,504.
3 A	Add lines 1, 2, and 3		4	700,510.
5	Decreases not included in line 2 (itemize)		5	0.
5 6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, co	nlumn (h) line 30	6	700,510.
0_	Total not assets of fund balances at one of year fille 4 minus line 3) * Part II, of	John (b), into ou	1.01	Form 000 PE (0010)

223511 12-05-12 Form 990-PF (2012)

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			(b) How ac P - Purc D - Dona	hase '	c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)	
a .							_	
b SEE ATTACHEI	O STAT	<u>rement</u>		<u></u>				
<u>c </u>	-							
e								
(e) Gross sales price	(f) [Depreciation allowed (or allowable)		st or other basis expense of sale			(h) Gain or (loss (e) plus (f) minus	
<u>a</u>							-	
b C	_				-			, <u>-</u>
d						-		
e 81,733			<u></u>	64,07	5.			17,658
Complete only for assets show	ing gain in o	column (h) and owned by	the foundation	on 12/31/69			Gains (Col. (h) gair	
(i) F.M V. as of 12/31/69	(j) Adjusted basis as of 12/31/69		cess of col. (I) col. (J), if any		col. (k), but not less tha Losses (from col.	an -U-) or (h))
a	 		 					
<u>b</u>			 					
<u>c </u>	-		 				•	
e								17,658
		f If gain, also ente	er in Part I, line	7	1			
Capital gain net income or (net o	capital loss)	If gain, also ente	0- ın Part İ, lıne	7	<u>}</u> 2		····	17,658
Net short-term capital gain or (le			nd (6):					
e If gain, also enter in Part I, line 8 If (loss), enter -0- in Part I, line 8		;).) ₃		N/A	\
Part V Qualification	Under S	ection 4940(e) for	r Reduced	Tax on Net	Investm	nent Inco		•
as the foundation liable for the se "Yes," the foundation does not qu	alify under s		mount of any ye	or in the base nor				
Little the appropriate amount in	a each chilir			rt.				Yes X No
(a)	n each colur	section 4940(e). Do not co nn for each year; see the (b)		rt.	ntries.		Dietri	(d)
		nn for each year; see the (b) Adjusted qualifying dis	instructions be stributions	rt.	ntries. (c) ncharitable-		(col. (b) di	(d) bution ratio vided by col. (c))
(a) Base period years		nn for each year; see the (b) Adjusted qualifying dis 4	stributions be	rt. fore making any e	ntries. (c) ncharitable-	3,242.	(col. (b) di	(d) bution ratio vided by col. (c)) • 07326
(a) Base period years Calendar year (or tax year begini 2011 2010		nn for each year; see the (b) Adjusted qualifying dis 2	stributions 12,000. 22,100.	rt. fore making any e	ntries. (c) ncharitable- 57	3,242. 4,424.	(col. (b) di	(d) bution ratio vided by col. (c))
Base period years Calendar year (or tax year begini 2011 2010 2009		nn for each year; see the (b) Adjusted qualifying dis	stributions be 42,000. 22,100. 23,177.	rt. fore making any e	ntries. (c) ncharitable- 57 23	3,242. 4,424. 0,335.	(col. (b) di	(d) bution ratio vided by col. (c)) . 07326 . 09427 . 11569
Base period years Calendar year (or tax year begins 2011 2010 2009 2008		nn for each year; see the (b) Adjusted qualifying dis	stributions be 42,000. 22,100. 23,177. 44,406.	rt. fore making any e	(c) ncharitable- 57 23 20	3,242. 4,424. 0,335. 1,664.	(col. (b) di	(d) button ratio vided by col. (c)) . 07326 . 09427 . 11569 . 12627
Base period years Calendar year (or tax year begins 2011 2010 2009		nn for each year; see the (b) Adjusted qualifying dis	stributions be 42,000. 22,100. 23,177.	rt. fore making any e	(c) ncharitable- 57 23 20	3,242. 4,424. 0,335.	(col. (b) di	(d) button ratio vided by col. (c)) . 07326 . 09427 . 11569 . 12627
Base period years Calendar year (or tax year begins 2011 2010 2009 2008 2007		nn for each year; see the (b) Adjusted qualifying dis	stributions be 42,000. 22,100. 23,177. 44,406.	rt. fore making any e	(c) ncharitable- 57 23 20	3,242. 4,424. 0,335. 1,664.	(col. (b) di	(d) bution ratio vided by col. (c))
Base period years Calendar year (or tax year begins 2011 2010 2009 2008 2007 Total of line 1, column (d)	ning in)	nn for each year; see the (b) Adjusted qualifying dis	stributions 12,000. 22,100. 23,177. 14,406. 30,050.	irt. fore making any ei Net value of no	(c) ncharitable- 57 23 20 35	3,242. 4,424. 0,335. 1,664.	(col. (b) di	(d) button ratio vided by col. (c)) . 07326 . 09427 . 11569 . 12627 . 11529 . 52480
Base period years Calendar year (or tax year begins 2011 2010 2009 2008 2007 Total of line 1, column (d)	ning in) e 5-year bas	nn for each year; see the (b) Adjusted qualifying dis	stributions 12,000. 22,100. 23,177. 14,406. 30,050.	irt. fore making any ei Net value of no	(c) ncharitable- 57 23 20 35	3,242. 4,424. 0,335. 1,664.	(col. (b) di	(d) button ratio vided by col. (c)) . 07326 . 09427 . 11569 . 12627 . 11529 . 52480
Base period years Calendar year (or tax year begins 2011 2010 2009 2008 2007 Total of line 1, column (d) Average distribution ratio for the the foundation has been in exist	e 5-year bas	nn for each year; see the (b) Adjusted qualifying dis 4 2 4 8 se period - divide the total than 5 years	stributions 12,000. 22,100. 23,177. 14,406. 30,050.	irt. fore making any ei Net value of no	(c) ncharitable- 57 23 20 35	3,242. 4,424. 0,335. 1,664.	(col. (b) di	(d) button ratio vided by col. (c)) . 07326 . 09427 . 11569 . 12627 . 11529 . 52480 . 10496
Base period years Calendar year (or tax year begins 2011 2010 2009 2008 2007 Total of line 1, column (d) Average distribution ratio for the the foundation has been in exist	e 5-year bas	nn for each year; see the (b) Adjusted qualifying dis 4 2 4 8 se period - divide the total than 5 years	stributions 12,000. 22,100. 23,177. 14,406. 30,050.	irt. fore making any ei Net value of no	(c) ncharitable- 57 23 20 35	3,242. 4,424. 0,335. 1,664.	(col. (b) di	(d) button ratio vided by col. (c)) . 07326 . 09427 .11569 .12627 .11529 .52480 .10496
Base period years Calendar year (or tax year beging 2011 2010 2009 2008 2007 Total of line 1, column (d) Average distribution ratio for the the foundation has been in exist Enter the net value of noncharita Multiply line 4 by line 3	e 5-year bas tence if less able-use ass	nn for each year; see the (b) Adjusted qualifying dis 4 2 2 2 4 8 see period - divide the total than 5 years sets for 2012 from Part X,	stributions 12,000. 22,100. 23,177. 14,406. 30,050.	irt. fore making any ei Net value of no	(c) ncharitable- 57 23 20 35	3,242. 4,424. 0,335. 1,664.	2 3 4	(d) button ratio vided by col. (c)) .07326 .09427 .11569 .12627 .11529 .52480 .10496 643,100
Base period years Calendar year (or tax year beging 2011 2010 2009 2008 2007 Total of line 1, column (d) Average distribution ratio for the the foundation has been in exist Enter the net value of noncharitat Multiply line 4 by line 3 Enter 1% of net investment income	e 5-year bas tence if less able-use ass	nn for each year; see the (b) Adjusted qualifying dis 4 2 2 2 4 8 see period - divide the total than 5 years sets for 2012 from Part X,	stributions 12,000. 22,100. 23,177. 14,406. 30,050.	irt. fore making any ei Net value of no	(c) ncharitable- 57 23 20 35	3,242. 4,424. 0,335. 1,664.	2 3 4 5	(d) button ratio vided by col. (c)) . 07326 . 09427 . 11569 . 12627 . 11529 . 52480 . 10496 643,100 67,500
Base period years Calendar year (or tax year begins 2011 2010 2009 2008 2007 Total of line 1, column (d) Average distribution ratio for the the foundation has been in exist Enter the net value of noncharitat Multiply line 4 by line 3 Enter 1% of net investment incompand of the column of the col	e 5-year bas tence if less able-use ass	nn for each year; see the (b) Adjusted qualifying dis	stributions 12,000. 22,100. 23,177. 14,406. 30,050.	irt. fore making any ei Net value of no	(c) ncharitable- 57 23 20 35	3,242. 4,424. 0,335. 1,664.	2 3 4 5 6 7	bùtión ratio vided by col. (c)) .07326 .09427 .11569 .12627 .11529 .52480 .10496 643,100 67,500 215
Base period years Calendar year (or tax year beging 2011 2010 2009 2008 2007 Total of line 1, column (d) Average distribution ratio for the the foundation has been in exist Enter the net value of noncharitation. Multiply line 4 by line 3	e 5-year bastence if less able-use assome (1% of	nn for each year; see the (b) Adjusted qualifying dis 4 2 2 2 4 8 see period - divide the total than 5 years sets for 2012 from Part X, Part I, line 27b)	stributions be stributions 12,000. 22,100. 23,177. 14,406. 30,050. on line 2 by 5, line 5	ort. Net value of no or by the number	ntries. (c) ncharitable- 57 23 20 35 69 of years	3,242. 4,424. 0,335. 1,664. 4,288.	2 3 4 5 6	(d) button ratio vided by col. (c)) . 07326 . 09427 . 11569 . 12627 . 11529 . 52480 . 10496 643,100 67,500

THE CHARLES H. AND MELANIE K. BERMAN 32-0047320 Form 990-PF (2012) FOUNDATION Page Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions Part VI '1a Exempt operating foundations described in section 4940(d)(2), check here 🕨 🔲 and enter "N/A" on line 1. (attach copy of letter if necessary-see instructions) Date of ruling or determination letter: 429. b Domestic foundations that meet the section 4940(e) requirements in Part V, check here | L | and enter 1% of Part I, line 27b $\mathfrak{J} \ni \mathfrak{A}$, **c** All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b). 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) 3 3 Add lines 1 and 2 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) 5 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-6 Credits/Payments: 200. a 2012 estimated tax payments and 2011 overpayment credited to 2012 b Exempt foreign organizations - tax withheld at source 6b c Tax paid with application for extension of time to file (Form 8868) 6c 6d d Backup withholding erroneously withheld 200: 7 Total credits and payments. Add lines 6a through 6d 8 Enter any penalty for underpayment of estimated tax. Check here _____ if Form 2220 is attached 8 229 9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed 10 10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid Refunded > 11 Enter the amount of line 10 to be: Credited to 2013 estimated tax Part VII-A | Statements Regarding Activities Yes No 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in X any political campaign? 1a ,b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? Х 1b If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities c Did the foundation file Form 1120-POL for this year? 1c d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. > \$ 0 . (2) On foundation managers. ➤ \$,e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. > \$ 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? 2 Х If "Yes," attach a detailed description of the activities 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes 4a 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? N/A b If "Yes," has it filed a tax return on Form 990-T for this year? 4b Χ· 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T. 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: By language in the governing instrument, or By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? X X 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV 8a Enter the states to which the foundation reports or with which it is registered (see instructions)

Form **990-PF** (2012)

X

8b

b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate)

Did any persons become substantial contributors during the tax year? If "Yes," ettach a schedule listing their names and addresses

year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar

of each state as required by General Instruction G? If "No," attach explanation

THE CHARLES H. AND MELANIE K. BERMAN

Part VII-A Statements Regarding Activities (continued) 11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If Yes, attach schedule (see instructions) 12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If Yes, attach statement (see instructions) 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? 12 X 13 X 14 The books are in care of NOBERT J. MILLER, ESQ. Telephone no. 203-977-7300 15 Section 4947(a)(1) nonexempt charitable trusts filing form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year and enter the amount of tax-exempt interest received or accrued during the year 15 N/A 16 At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If Yes, enter the name of the foreign country Part VIII-B Statements Regarding Activities for Which Form 4720 May Be Required File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. Yes No 16 X Section 4947(a) Section 4720 if any item is checked in the "Yes" column, unless an exception applies. Yes No Yes No No Yes X	e 5
section 512(b)(13)? If "Yes," attach schedule (see instructions) 12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? 13 If "Yes," attach statement (see instructions) 14 The books are in care of PADERT J. MILLER, ESQ. Telephone no. \$\sum 203 - 977 - 7300\$ 15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year 16 At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TDF 90-22.1. If "Yes," enter the name of the foreign country Part VIII-B Statements Regarding Activities for Which Form 4720 May Be Required File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. 1a During the year did the foundation (either directly or indirectly)? 1b Engage in the sale or exchange, or leasing of property with a disqualified person? 1c) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? 1c) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? 1c) Borrow money from, lend money to a sequalified person (or make any of either available tor the benefit or use of a disqualified person) (or make any of either available tor the benefit or was a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) 1b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	<u></u>
section 512(b)(13)? If "Yes," attach schedule (see instructions) 12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? 14 The books are in care of PROBERT J. MILLER, ESQ. Telephone no. \$203-977-7300\$ 15 Section 4947(a)(1) nonexempt charitable trusts filling Form 990-PF in leu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year 16 At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filling requirements for Form TDF 90-22.1. If "Yes," enter the name of the foreign country Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. 1a During the year did the foundation (either directly or indirectly)? 1b Engage in the sale or exchange, or leasing of property with a disqualified person? 1c) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? 1d) Page supplied person? 1e) Yes Signal No 1f) Funds goods, services, or facilities to (or accept them from) a disqualified person? 1f) Yes Signal No 1f) Funds goods, services, or facilities to (or accept them from) a disqualified person? 1f) Yes Signal No 1f) Funds goods, services, or facilities to (or accept them from) a disqualified person? 1f) Yes Signal No 1f) Funds goods, services, or facilities to (or accept them from) a disqualified person? 1f) Yes Signal No 1f) Funds goods, services, or facilities to (or accept them from) a disqualified person? 1f) Yes Signal No 1f) Funds goods, services, or facilities to (or accept them from) a disqualified person? 1f) Yes	~
If "Yes," attach statement (see instructions) 13 Dut the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address > N/A 14 The books are in care of > ROBERT J. MILLER, ESQ. Telephone in . > 203-977-730 Located at > DAY PITNEY LLP 1 CANTERBURY GREEN, STAMFORD, CT ZIP+4 > 06901 15 Section 4947(a)(1) nonexempt charitable trusts filing form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year and enter the amount of tax-exempt interest received or accrued during the year of the mistructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. 1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available to the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) b If any answer is "Yes" to 1a(1)-(6), did any of the acts fall to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice	
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section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	
Organizations relying on a current notice regarding disaster assistance check here	_
Did the foundation against a growing and of the esta decayled in the other than available of the times and agree that	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	, 1
before the first day of the tax year beginning in 2012? 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation	
defined in section 4942(j)(3) or 4942(j)(5)):	
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning	
before 2012?	
If "Yes," list the years ▶ ,	
h. Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect	U
valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach	.,
statement - see instructions.) N/A 2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.	
▶ <u>, ,</u> ,,,	
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time	ž
during the year?	~
b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after	
May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose	
of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.) N/A 3b	
Form 4720, to determine if the foundation had excess business holdings in 2012.) N/A 13b 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a X	.—
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that	
had not been removed from jeopardy before the first day of the tax year beginning in 2012? 4b X	
Form 990-PF (201	

223541 12-05-12

THE CHARLES H. AND MELANIE K. BERMAN 32-0047320 FOUNDATION Page 6 Form 990-PF (2012) Part VII-B | Statements Regarding Activities for Which Form 4720 May Be Required (continued) 5a During the year did the foundation pay or incur any amount to: Yes X No (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, Yes X No any voter registration drive? Yes X No (3) Provide a grant to an individual for travel, study, or other similar purposes? (4) Provide a grant to an organization other than a charitable, etc., organization described in section Yes X No 509(a)(1), (2), or (3), or section 4940(d)(2)? (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for Yes X No the prevention of cruelty to children or animals? b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A5b Organizations relying on a current notice regarding disaster assistance check here c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained __ Yes ___ No N/A expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53 4945-5(d). 6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on 🗌 Yes 🕱 No X b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? 6b If "Yes" to 6b, file Form 8870 ___ Yes X No 7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? 7b b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? Information About Officers, Directors, Trustees, Foundation Managers, Highly Part VIII Paid Employees, and Contractors 1 List all officers, directors, trustees, foundation managers and their compensation. (c) Compensation (d) Contributions to employee benefit plans and deferred compensation (b) Title, and average hours per week devoted to position (e) Expense account, other (a) Name and address (If not paid, enter'-0-) allowances CHARLES H. BERMAN TRUSTEE 230 ROSEBROOK ROAD 0.25 0 0 0. NEW CANAAN, CT 06840 TRUSTEE MELANIE K. BERMAN 230 ROSEBROOK ROAD 0. 0.25 0 0 NEW CANAAN, CT 06840 2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE." (d) Contributions to employee benefit plans and deferred compensation (e) Expense account, other (b) Title, and average (a) Name and address of each employee paid more than \$50,000 (c) Compensation 'hours der week devoted to position allowances NONE ř.

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Total number of other employees paid over \$50,000

Form 990-PF (2012)

	2-	- 0	0	4	7	3	2	C) Pa	ı
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Form 990-PF (2012) FOUNDATION		04/320 Page 7
Part VIII Information About Officers, Directors, Trustees, Foundati Paid Employees, and Contractors (continued)	on Managers, Highly	
3 Five highest-paid independent contractors for professional services. If none, enter "	NONE."	
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
	<u> </u>	
Total number of others receiving over \$50,000 for professional services		<u>▶</u> 0
Part IX-A Summary of Direct Charitable Activities		
List the foundation's four largest direct charitable activities during the tax year. Include relevant statistics number of organizations and other beneficiaries served, conferences convened, research papers produc	al information such as the ced, etc.	Expenses
1 N/A		
2		
		-
3		
		·
4		
Port IV P C		
Part IX-B Summary of Program-Related Investments Describe the two largest program-related investments made by the foundation during the tax year on lin	es 1 and 2	Amount
/ -	es i and z.	Amount
1 N/A		
2		
, and the same of		
All other program-related investments. See instructions.		
3 .		
Total, Add lines 1 through 3		0.

12-05-12

Form 990-PF (2012)

FOUNDATION

Р	Minimum Investment Return (All domestic foundations r	must complete this	s part Foreign four	ndations, see	instructions)
1.	Fair market value of assets not used (or held for use) directly in carrying out charitab	ole, etc., purposes:			
а	Average monthly fair market value of securities			1a	676,612. 4,238.
~ b	Average of monthly cash balances			1b	4,238.
C	Fair market value of all other assets			1c	
- d	Total (add lines 1a, b, and c)			1d	680,850.
е	Reduction claimed for blockage or other factors reported on lines 1a and				<i>:</i>
	1c (attach detailed explanation)	1e	0.]	
2	Acquisition indebtedness applicable to line 1 assets		-	2	0.
3	Subtract line 2 from line 1d			3	680,850.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount	t, see instructions)		4	37,750.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and o	on Part V, line 4		5	643,100.
6	Minimum investment return. Enter 5% of line 5			6	32,155.
	art XI Distributable Amount (see instructions) (Section 4942(j)(3) a foreign organizations check here and do not complete this part		rating foundations ar	nd certain	
1	Minimum investment return from Part X, line 6			1	32,155.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	429.		
b	Income tax for 2012. (This does not include the tax from Part VI.)	2b]	
C	Add lines 2a and 2b			2c	429.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	31,726.
4	Recoveries of amounts treated as qualifying distributions			4	0.
5	Add lines 3 and 4			5	31,726.
6	Deduction from distributable amount (see instructions)			6	0.
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part	t XIII, line 1		7	31,726.
P	art XII Qualifying Distributions (see instructions)				
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., pur	rposes:	•		·
a	Expenses, contributions, gifts, etc total from Part I, column (d), line 26			1a	37,750.
þ	Program-related investments - total from Part IX-B			1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charita	ible, etc., purposes		2	
3	Amounts set aside for specific charitable projects that satisfy the:				
. a	Suitability test (prior IRS approval required)			3a	
ď	Cash distribution test (attach the required schedule)			3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, a	and Part XIII, line 4		4	37,750.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net inve				· ·
-	income. Enter 1% of Part I, line 27b			5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4			6	37,750.
1	Note The amount on line 6 will be used in Part V, column (b), in subsequent years v	when calculating who	ther the foundation	qualifies for th	e section

Form **990-PF** (2012)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
. 1 Distributable amount for 2012 from Part XI,				24 706
line 7				31,726
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			0.	
b Total for prior years:		_		
3 Excess distributions carryover, if any, to 2012:		0.		
a From 2007 46,136.				
b From 2008 27,009.				
c From 2009 13, 206.				
d From 2010 10,425.				
e From 2011 21,548.				
f Total of lines 3a through e	118,324.			
4 Qualifying distributions for 2012 from				
Part XII, line 4: ► \$ 37,750.				
a Applied to 2011, but not more than line 2a			0.	
b Applied to undistributed income of prior				
years (Election required - see instructions)		0.		
c Treated as distributions out of corpus				**
(Election required - see instructions)	0.			
d Applied to 2012 distributable amount				31,726
e Remaining amount distributed out of corpus	6,024.			
5 Excess distributions carryover applied to 2012	0.			0
(If an amount appears in column (d), the same amount must be shown in column (a))				•
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	124,348.			
b Prior years' undistributed income. Subtract				
line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously				
assessed		0.		
d Subtract line 6c from line 6b Taxable				
, amount - see instructions		0.		
e Undistributed income for 2011. Subtract line		}		
4a from line 2a. Taxable amount - see instr			0.	
f Undistributed income for 2012. Subtract		-		
lines 4d and 5 from line 1. This amount must				
be distributed in 2013				0
7 Amounts treated as distributions out of				
corpus to satisfy requirements imposed by				
section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007				
not applied on line 5 or line 7	46,136.			_
' 9 Excess distributions carryover to 2013		ļ		
Subtract lines 7 and 8 from line 6a	78,212.			
10 Analysis of line 9:				
a Excess from 2008 27,009.				
b Excess from 2009 13, 206.				
c Excess from 2010 10,425.				
d Excess from 2011 21,548.				
e Excess from 2012 6 , 0 2 4 .				Form 990-PF (2012

Form 990-PF (2012) FOUNDAT				32-00	47320 Page 10
Part XIV Private Operating Fo	oundations (see ins	structions and Part V	II-A, question 9)	N/A	
1 a If the foundation has received a ruling or	determination letter that	it is a private operating			
foundation, and the ruling is effective for	2012, enter the date of the	he ruling	>		- -
b Check box to indicate whether the found	ation is a private operatin	g foundation described	in section	4942(j)(3) or 49	42(<u>J)(5)</u>
2 a Enter the lesser of the adjusted net	Tax year		Prior 3 years		
income from Part I or the minimum	(a) 2012	(b) 2011	(c) 2010	(d) 2009	(e) Total 🗓
investment return from Part X for					
each year listed					
b 85% of line 2a		-			
c Qualifying distributions from Part XII,					
, , , ,					
line 4 for each year listed		· · · · · · · · · · · · · · · · · ·	 		
d Amounts included in line 2c not					
used directly for active conduct of					
exempt activities			 	·	
e Qualifying distributions made directly					
for active conduct of exempt activities.					
Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the					
alternative test relied upon: a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying					
under section 4942(j)(3)(B)(i) b "Endowment" alternative test - enter					
2/3 of minimum investment return					
shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross					
investment income (interest,					
dividends, rents, payments on securities loans (section					
512(a)(5)), or royalties)					
(2) Support from general public				,	
and 5 or more exempt					
organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from			1		
an exempt organization					
(4) Gross investment income					
Part XV Supplementary Info	rmation (Comple	te this part only	if the foundation	had \$5 000 or mo	ore in assets
at any time during the			ii tiic iouilaation	παα φο,σσο σι πια	710 III 433013
1 Information Regarding Foundation					
, a List any managers of the foundation who	-	than 2% of the total cor	tributions received by the	foundation before the clos	se of any tax
year (but only if they have contributed m	iore than \$5,000). (See se	ection 507(d)(2).)	•		•
SEE STATEMENT 8					
b List any managers of the foundation who	o own 10% or more of the	e stock of a corporation	(or an equally large portion	on of the ownership of a pa	artnership or
other entity) of which the foundation has	a 10% or greater interes	it.			
NONE	· · · · · · · · · · · · · · · · · · ·				
2 Information Regarding Contribution	on, Grant, Gift, Loan,	Scholarship, etc., P	rograms:		
Check here $ ightharpoonup \overline{\mathbf{X}}$ if the foundation of					
the foundation makes gifts, grants, etc. (see instructions) to indiv	iduals or organizations	under other conditions, co	omplete items 2a, b, c, and	l d.
a The name, address, and telephone numb	per or e-mail of the person	n to whom applications	should be addressed:		
			de la col dos		
b The form in which applications should b	e submitted and informat	ion and materials they s	snould include:		
c Any submission deadlines:				•	
d Any restrictions or limitations on awards	s, such as by geographica	al areas, charitable fields	s, kinds of institutions. or	other factors:	
	. , , , , , , , , , , , , , , , , , , ,		- , -		
					

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Part XV Supplementary Information (continued) 3 Grants and Contributions Paid During the Year or Approved for Future Payment If recipient is an individual, Recipient Foundation Purpose of grant or show any relationship to Amount contribution status of any foundation manager Name and address (home or business) recipient or substantial contributor a Paid during the year NONE PUBLIC CHARITY UNRESTRICTED GRANT COLUMBIA BUSINESS FUND, COLUMBIA UNIVERSITY 3022 BROADWAY 300. NEW YORK, NY 10027 NONE PUBLIC CHARITY UNRESTRICTED GRANT GETABOUT INC. 77 MAIN STREET 200. NEW CANAAN, CT 06840-4710 NONE PUBLIC CHARITY UNRESTRICTED GRANT AMHERST COLLEGE P.O. BOX 5000 10,000. AMHERST MA 01002-5000 PUBLIC CHARITY UNRESTRICTED GRANT DARTMOUTH COLLEGE NONE 6066 DEVELOPMENT OFFICE HANOVER NH 03755 20,000. NEW CANAAN PRESERVATION ALLIANCE INC. PUBLIC CHARITY UNRESTRICTED GRANT P.O. BOX 924 NEW CANAAN CT 06840 250. SEE CONTINUATION SHEET(S) ➤ 3a Total 37,750. b Approved for future payment NONE **▶** 3b Total

Form **990-PF** (2012)

Form 990-PF (2012) FOUNDATIO		 		32-0	0047320 Page 12
Part XVI-A Analysis of Incom	e-Producing Activitie	s			
Enter gross amounts unless otherwise indicated.	Unrelated b	ousiness income		y section 512, 513, or 514	(e)
1 Program service revenue	(a) Business code	(b) Amount	(C) Exclu- sion code	(d) Amount	Related or exempt : function income ,
a	1 1	-			
b	l l				
d					
e					
g Fees and contracts from government agence	cies				· · · · · · · · · · · · · · · · · · ·
2 Membership dues and assessments					
3 Interest on savings and temporary cash					
investments		 	14		
4 Dividends and interest from securities			14	16,995.	
5 Net rental income or (loss) from real estate:	 				
a Debt-financed property		·	+		
b Not debt-financed property	-		+		
6 Net rental income or (loss) from personal					
property 7 Other investment income					
8 Gain or (loss) from sales of assets other					
than inventory			18	2,712.	
9 Net income or (loss) from special events			1 - 1	27,220	
O Gross profit or (loss) from sales of inventory					
1 Other revenue:					
a					
b					
c					
d					
e				10 505	
2 Subtotal. Add columns (b), (d), and (e)		0	•	19,707.	0.
3 Total Add line 12, columns (b), (d), and (e)	lat \			13	19,707.
See worksheet in line 13 instructions to verify cal Part XVI-B Relationship of Ac	tivities to the Accom	plishment of E	xempt Pu	ırposes	···
Line No Explain below how each activity fo the foundation's exempt purposes			A contributed	importantly to the accomp	olishment of
		· · · · · · · · · · · · · · · · · · ·			
· · · · · · · · · · · · · · · · · · ·		•			
	 				
				· · · · · · · · · · · · · · · · · · ·	
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223621 12-05-12 Form 990-PF (2012) 32-0047320 FOUNDATION Page 13 Information Regarding Transfers To and Transactions and Relationships With Noncharitable Part XVII **Exempt Organizations** Yes No Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? a Transfers from the reporting foundation to a noncharitable exempt organization of: (1) Cash 1a(1) (2) Other assets 1a(2) **b** Other transactions: (1) Sales of assets to a noncharitable exempt organization 1b(1) 1b(2) (2) Purchases of assets from a noncharitable exempt organization (3) Rental of facilities, equipment, or other assets 1b(3) 1b(4) (4) Reimbursement arrangements X (5) Loans or loan guarantees 1b(5) X (6) Performance of services or membership or fundraising solicitations 1b(6) X c Sharing of facilities, equipment, mailing lists, other assets, or paid employees 1c d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. (b) Amount involved (c) Name of noncharitable exempt organization (a) Line no (d) Description of transfers, transactions, and sharing arrangements N/A 2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described X No Yes in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? If "Yes," complete the following schedule. (a) Name of organization (b) Type of organization (c) Description of relationship ave examined this return, including accompanying schedules and statements, and to the best of my knowledge May the IRS discuss this Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge return with the preparer shown below (see instr Sign Here X Yes TRUSTEE Signature of officer or trustee Date Title PTIN Print/Type preparer's name Preparer's signature Date Check If self-employed Paid P00836026 ROBERT J. MILLER

Form **990-PF** (2012)

Firm's EIN

Phone no.

Preparer

Use Only

Firm's name ► DAY PITNEY LLP

Firm's address ► ONE CANTERBURY GREEN

STAMFORD, CT 06901

	sses for Tax on investment income		(h) How acquire	di	(1) 5 ()
(a) List and 2-story bri	describe the kind(s) of property sold, ick warehouse; or common stock, 200	, e.g., real estate, 0 shs. MLC Co.	P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.
	TUSA CORP.		D - Donation	VARIOUS	
	EN & STEERS REIT	& PFD	D		03/09/1
	IRST EAGLE GLOBAL		P		VARIOUS
d 917 SHS BIACKI	ROCK GLOBAL ALLOC	ATTON FIND	P	03/19/10	
e 319 SHS. IVY A	SSET STRATEGY FUN	ID CL T	P	03/19/10	
CAPITAL GAINS		TD CD T		03/23/20	************
g	<u> </u>				
h					
					
1					
k					
ì					
m					
n					···
0					.
, (e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(I (e)	h) Gain or (loss) plus (f) minus (g)	·
a 22,547.	· · · · · · · · · · · · · · · · · · ·	7,806.			14,743
$\frac{22}{5}$, $\frac{34}{5}$.		22,551.	···		-2,40
c 7,700.		7,632.			68
d 17,700.		18,102.			-40
e 7,700.		7,984.			-28
f 5,936.				•	5,93
g					
h					
1					
1					
k					
1			·		
m					
n					
0					
Complete only for assets showin	g gain in column (h) and owned by th	ne foundation on 12/31/69	(I) Lc	sses (from col. (h))	
() 5 M)(10/01/60	(j) Adjusted basis	(k) Excess of col. (i)		of col. (h) gain over	col. (k),
(i) F.M.V. as of 12/31/69	as of 12/31/69	over col. (j), if any	Dut	not less than "-0-")	
a					14,74
b					<u>-2,400</u>
С					6
d					-40:
e					-28
f					5,93
9					
h					
1					
1					
k					
1					
m					
n					
0					. ,
	. If goin, also optor i	in Part I line 7			
2 Capital gain net income or (net ca	pital loss) { If gain, also enter If (loss), enter "-0-"	"in Part I, line 7	2		17,658
Net short-term capital gain or (los	s) as defined in sections 1222(5) and				
, tot onore torm ouplier gain or (103	lune (-)	· \~/·			
If gain, also enter in Part I, line 8,	column (c).	PΙ		N/A	

THE CHARLES H. AND MELANIE K. BERMAN

FOUNDATION

32-0047320

Part XV	Supplementary Information	<u> </u>			
3 Grants ar	nd Contributions Paid During the Y	ear (Continuation)			1
	Recipient	If recipient is an individual, show any relationship to	Foundation status of	Purpose of grant or contribution	Amount
Name :	and address (home or business)	any foundation manager or substantial contributor	recipient	Contribution	
ULI FOUNDAT	ION (URBAN LAND INSTITUTE)	NONE	PUBLIC CHARITY	UNRESTRICTED GRANT	
	JEFFERSON STREET, NW,				
SUITE 500 W	EST WASHINGTON DC 20007				500
MUSIC FESTI	VAL FOR MUTUAL HEALING	NONE	PUBLIC CHARITY	UNRESTRICTED GRANT	
			_		1,500
	F NEW CANAAN	NONE	PUBLIC CHARITY	UNRESTRICTED GRANT	
P.O. BOX 35 NEW CANAAN					5,000
den Cranza,	01 00010				
, ,					`
,					
					-
•					
					ļ
			 		
			 		
Total fron	n continuation sheets				7,000

Schedule B (Form 990, 990-EZ, or 990-PF)

Department of the Treasury Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

OMB No 1545-0047

2012

9 /Name of the organization

THE CHARLES H. AND MELANIE K. BERMAN FOUNDATION

Employer identification number

32-0047320

Organization type (check one).								
Filers of	:	Section:						
Form 99	0 or 990-EZ	501(c)() (enter number) organization						
		4947(a)(1) nonexempt charitable trust not treated as a private foundation						
		527 political organization						
Form 99	0-PF	X 501(c)(3) exempt private foundation						
		4947(a)(1) nonexempt charitable trust treated as a private foundation						
-		501(c)(3) taxable private foundation						
•								
	•	is covered by the General Rule or a Special Rule . c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions						
General	Rule							
, [X]	For an organization contributor Compli	on filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any plete Parts I and II	one					
Special	Rules							
· 🗀	509(a)(1) and 170(b	(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 0(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2 (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II	2%					
,	For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use exclusively for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III							
	For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use exclusively for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000 , lf this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose Do not complete any of the parts unless the General Rule applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year							
but it mi	ıst answer "No" on	that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990 in Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, et the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)						

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2012)

Name of organization

Employer identification number

THE CHARLES H. AND MELANIE K. BERMAN FOUNDATION

32-0047320

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is r
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Part I	Contributors (see instructions) Use duplicate copies of Part I if a	additional space is needed	
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	CHARLES H. & MELANIE K. BERMAN 230 ROSEBROOK ROAD NEW CANAAN, CT 06840	\$22,752.	Person Payroll Noncash X (Complete Part II if there is a noncash contribution)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
2		\$	Person Payroll Noncash X (Complete Part II if there is a noncash contribution)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
3		s	Person Payroll Noncash X (Complete Part II if there is a noncash contribution)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
4		\$	Person Payroll Noncash X (Complete Part II if there is a noncash contribution)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
5		\$	Person Payroll Noncash X (Complete Part II if there is a noncash contribution)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II if there is a noncash contribution) 1990, 990-EZ, or 990-PF) (2012)

Employer identification number

Name of organization

THE CHARLES H. AND MELANIE K. BERMAN

32-0047320 FOUNDATION Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed. Part II (a) No. (d) (b) FMV (or estimate) Date received from Description of noncash property given (see instructions) Part I 1,466 SHS. VIRTUSA CORP. 1 12/03/12 22,752. (a) No. (b) (d) FMV (or estimate) from Description of noncash property given **Date received** (see instructions) Part I 2 (a) (c) No. (b) (d) FMV (or estimate) from Date received Description of noncash property given (see instructions) Part I 3 (a) (c) No. (b) (d) FMV (or estimate) from Description of noncash property given Date received (see instructions) Part I (a) (c) No. (d) (b) FMV (or estimate) Date received from Description of noncash property given (see instructions) Part I 5 (a) (c) No. (b) (d) FMV (or estimate) Date received from Description of noncash property given (see instructions) Part I

Name of organization

Employer identification number

THE	CHARLES	н.	AND	MELANIE	ĸ.	BERMAN

FOUNDATION	
LOUNDALION	

<u>FOUNDA'</u>	TION		32-0047320
Part III	Exclusively religious, charitable, etc., inc year. Complete columns (a) through (e) and the total of exclusively religious, charitable, of Use duplicate copies of Part III if addition	lividual contributions to section 501(the following line entry. For organizati etc., contributions of \$1,000 or less fo nal space is needed	c)(7), (8), or (10) organizations that total more than \$1,000 for the ons completing Part III, enter or the year. (Enter this information once)
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-	Transferee's name, address,	(e) Transfer of gi	ft Relationship of transferor to transferee
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	Transferee's name, address,	(e) Transfer of gi	ft Relationship of transferor to transferee
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-			
	Transferee's name, address,	(e) Transfer of gi	Relationship of transferor to transferee
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-	Transferee's name, address,	(e) Transfer of gi	ft Relationship of transferor to transferee
-			

FORM 99	0-PF	GAIN OR	(LOSS)	FROM	SALE	OF	ASSETS		STA	TEMENT	1
1.,0											
DESCRIP	(A) TION OF PROPERS	ΓY					MANNER CQUIRED	DA' ACQU	TE IRED	DATE	SOLD
1,466 S	HS. VIRTUSA CO	RP.				D	ONATED	VARI	ous	12/0	6/12
	(B) GROSS SALES PRICE	VAL	C) JE AT OF ACQ.	EXP	(D) ENSE SALE	OF	(E) DEPRE	C		(F)	
1	22,547		22,752		SALE	0.		0.	GAIN	-	205.
DESCRIP	(A) TION OF PROPER	ry					MANNER CQUIRED	DA' ACQU		DATE	SOLD
1,250 S	HS. COHEN & STI	EERS REI	r & PFD				ONATED	11/1	7/04	03/0	9/12
= -	(B) GROSS SALES PRICE	VAL	C) JE AT OF ACQ.	EXP	(D) ENSE SALE	OF	(E) DEPRE	c.	GAIN	(F) OR LO	SS .
2.21	20,150	•	22,551	•		0.		0.		-2,	401.
DESCRIP	(A) TION OF PROPER	ГY	_				MANNER CQUIRED	DA'		DATE	SOLD
165.268	SHS. FIRST EAC	GLE GLOBA	AL CL I			PU	RCHASED	VARI	ous	VARI	ous
	(B)		2)		(D)	0.17	(E)			(F)	
	GROSS SALES PRICE	OTHER	r or Basis		ENSE SALE	OF	DEPRE	c.	GAIN	OR LO	SS
	7,700		7,632	•		0.	• • • • • • • • • • • • • • • • • • •	0.			68.

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DEŞCRIPT	(A) TION OF PROPERTY					MANNER .CQUIRED		TE VIRED	DATE	SOLD
917 SHS.	BLACKROCK GLOB	AL ALLOCATION	ON F	UND	PU	RCHASED	03/1	9/10	VAR	IOUS
	(B)	(C)		(D)	ΔΠ.	(E)			(F)	,
	GROSS SALES PRICE	COST OR OTHER BASIS	S	EXPENSE SALE	OF	DEPRE	c.	GAIN	OR L	oss
	17,700.	18,1	02.		0.		0.			-402.
DESCRIPT	(A) TION OF PROPERTY					MANNER CQUIRED	DA ACQU	TE IRED	DATE	SOLD
319 SHS.	IVY ASSET STRA	TEGY FUND C	L I		PU	RCHASED	03/1	9/10	VAR	IOUS
	(B) GROSS	(C) COST OR		(D) EXPENSE	OΨ	(E)			(F)	
	SALES PRICE	OTHER BASIS	S	SALE	OF	DEPRE	c.	GAIN	OR L	oss .
	7,700.	7,9	84.		0.		0.			-284.
CAPITAL	GAINS DIVIDENDS	FROM PART	IV						5	,936 <u>.</u>
TOTAL TO	FORM 990-PF, P	ART I, LINE	6A							,712°.
FORM 990	-PF DI	VIDENDS AND	INT	EREST FRO	M S	ECURITIE	S	STA	TEMEN	T 2
										-
SOURCE			GRO	SS AMOUNT		CAPITAL DIVIDE			LUMN AMOUN	
• •	K GLOBAL ALLOCA	TION FUND		2,834			0.			,834.
	GLE GLOBAL CLAS			4,068 9,873		5	0. ,936.		3	,068. ,937.
	T STRATEGY FUND	-		6,156			0.			,156.
TOTAL TO	FM 990-PF, PAR	T I, LN 4		22,931	. •	5	,936.		16	,995.

36.5

FORM 990-PF	LEGAL	FEES	STATEMENT		
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITAI PURPOSI	
DAY PITNEY LLP	6,468.	6,468.			0.
TO FM 990-PF, PG 1, LN 16A	6,468.	6,468.			0.
FORM 990-PF	TAX	ES	STATEMENT 4		
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME		(D) CHARITAI PURPOSI	
2011 EXCISE TAX 2012 ESTIMATED EXCISE TAX	8,211.	0.			0.
TO FORM 990-PF, PG 1, LN 18	8,411.	0.			0.
					,
FORM 990-PF	OTHER E	XPENSES	S	FATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME		(D) CHARITAI PURPOSI	
MERRILL LYNCH INVESTMENT FEES	6,734.	6,734.			0 .
TO FORM 990-PF, PG 1, LN 23	6,734.	6,734.			0.
	FOOTN	OFFIC	~	PATEMENT	6

STATEMENT IN SUPPORT OF PART X, MINIMUM INVESTMENT RETURN, LINE 4

CASH DEEMED HELD FOR CHARITABLE ACTIVITIES: \$37,750

 $_{\rm dis}$ 1 1/2% OF LINE 3 = \$10,213

ACTUAL AMOUNT FROM PART I, COLUMN (D), LINE 26 USED

FAIR MARKET VALUE 0. 57,596.
0. 57,596.
6. 193,124.
6. 224,540.
3. 215,469.
5. 690,729.
207,05 675,16
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NAME OF MANAGER

CHARLES H. BERMAN MELANIE K. BERMAN