Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012 or tax year beginning , 2012, and ending , 20

Name of foundation: YOCUM FOUNDATION

A Employer identification number: 31-1572005

Number and street (or P O box number if mail is not delivered to street address): 55 ELSMAR AVE,

Room/suite

B Telephone number (see instructions): 513-721-5672

City or town, state, and ZIP code: FORT THOMAS, KY 41075

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☐ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶ $

J Accounting method ☐ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	600.00			
	2 Check ▶ ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	98.75	98.75		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10				
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 **Total.** Add lines 1 through 11	698.75	98.75		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)				
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	24 **Total operating and administrative expenses.** Add lines 13 through 23	0	0		0
	25 Contributions, gifts, grants paid	600.00			600.00
	26 **Total expenses and disbursements.** Add lines 24 and 25	600.00	600.00		600.00
	27 Subtract line 26 from line 12				
	a **Excess of revenue over expenses and disbursements**	98.75			
	b **Net investment income** (if negative, enter -0-)		0		
	c **Adjusted net income** (if negative, enter -0-)				

RECEIVED
JUL 25 2013
OGDEN, UT
A042
IRS-OSC

SCANNED AUG 1 2 2013

70

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	371.27	371.27	371.27
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶			
		Less. allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S. and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	4401.53	6216.87	6216.87
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	4772.80	6588.14	6588.14
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	4772.80	6588.14	
	30	**Total net assets or fund balances** (see instructions)	4772.80	6588.14	
	31	**Total liabilities and net assets/fund balances** (see instructions)	4772.80	6588.14	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4772.80
2	Enter amount from Part I, line 27a	2	98.75
3	Other increases not included in line 2 (itemize) ▶ Increase in Stock Value	3	1716.59
4	Add lines 1, 2, and 3	4	6588.14
5	Decreases not included in line 2 (itemize) ▶	5	—
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	6588.14

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	N/A			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	600.00	6382.04	9.40%
2010	700.00	7300.59	9.59%
2009	614.86	5866.34	10.48%
2008	498.61	9169.74	5.44%
2007	2186.31	14,298.22	15.29%

2	**Total** of line 1, column (d)	2	50.2%
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	10.04%
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	5674.06
5	Multiply line 4 by line 3	5	569.68
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	0
7	Add lines 5 and 6	7	569.68
8	Enter qualifying distributions from Part XII, line 4	8	600.00

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter ______ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b	1	0	
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2		
3	Add lines 1 and 2	3		
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4		
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	0	
6	Credits/Payments			
a	2012 estimated tax payments and 2011 overpayment credited to 2012 — 6a			
b	Exempt foreign organizations—tax withheld at source — 6b			
c	Tax paid with application for extension of time to file (Form 8868) — 6c			
d	Backup withholding erroneously withheld — 6d			
7	Total credits and payments. Add lines 6a through 6d	7		
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	0	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10		
11	Enter the amount of line 10 to be **Credited to 2013 estimated tax** ▶ — **Refunded** ▶	11		

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ______ **(2)** On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		X
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ Kentucky			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ None

14 The books are in care of ▶ Thomas R. Yocum Telephone no ▶ 513-721-5672

Located at ▶ 55 ELSMAR AVE., Ft. Thomas, KY ZIP+4 ▶ 41075-1074

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No If "Yes," list the years ▶ 20 , 20 , 20 , 20			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20 , 20 , 20 , 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

No

			Yes	No
5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No			
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax *because it maintained expenditure responsibility for the grant?* ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		X
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No			
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Attached				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				
Total number of other employees paid over $50,000 ▶				

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
N/A		
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	5389.20
b	Average of monthly cash balances	1b	371.27
c	Fair market value of all other assets (see instructions)	1c	—
d	**Total** (add lines 1a, b, and c)	1d	5760.47
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	5760.47
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	86.41
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	5674.06
6	**Minimum investment return.** Enter 5% of line 5	6	283.70

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	283.70
2a	Tax on investment income for 2012 from Part VI, line 5 . . . 2a		
b	Income tax for 2012. (This does not include the tax from Part VI) . 2b		
c	Add lines 2a and 2b	2c	0
3	Distributable amount before adjustments Subtract line 2c from line 1	3	283.70
4	Recoveries of amounts treated as qualifying distributions	4	—
5	Add lines 3 and 4	5	283.70
6	Deduction from distributable amount (see instructions)	6	—
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	283.70

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	600.00
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	600.00
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	600.00

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1	Distributable amount for 2012 from Part XI, line 7				283.70
2	Undistributed income, if any, as of the end of 2012				
a	Enter amount for 2011 only				
b	Total for prior years. 20___,20___,20___				
3	Excess distributions carryover, if any, to 2012				
a	From 2007				
b	From 2008				
c	From 2009				
d	From 2010				
e	From 2011				
f	**Total** of lines 3a through e				
4	Qualifying distributions for 2012 from Part XII, line 4 ▶ $				
a	Applied to 2011, but not more than line 2a				
b	Applied to undistributed income of prior years (Election required—see instructions)				
c	Treated as distributions out of corpus (Election required—see instructions)				
d	Applied to 2012 distributable amount				600.00
e	Remaining amount distributed out of corpus				
5	Excess distributions carryover applied to 2012 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6	**Enter the net total of each column as indicated below:**				
a	Corpus. Add lines 3f, 4c, and 4e Subtract line 5				
b	Prior years' undistributed income. Subtract line 4b from line 2b				
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d	Subtract line 6c from line 6b Taxable amount—see instructions				
e	Undistributed income for 2011. Subtract line 4a from line 2a Taxable amount—see instructions				
f	Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				0
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8	Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)				
9	**Excess distributions carryover to 2013.** Subtract lines 7 and 8 from line 6a				
10	Analysis of line 9				
a	Excess from 2008				
b	Excess from 2009				
c	Excess from 2010				
d	Excess from 2011				
e	Excess from 2012				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling ▶ N/A

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter. (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

Trustee - Thomas R. Yocum

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

Thomas R. Yocum, 55 Elsmor Ave.
Fort Thomas, KY 41075 513-721-5672

b The form in which applications should be submitted and information and materials they should include

Written correspondence setting forth the name, address, and purpose of the organization and why support of the Yocum Foundation is needed

c Any submission deadlines

None

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors Must be consistent with the terms of the Trust Agreement creating the Yocum Foundation.

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Family Promise of Northern KY, 336 W. 9th St. Newport, KY 41071		Public Charity 501(c)(3)	General Support	$ 200.00
Doctors without Borders 333 Seventh Ave., 2nd Fl. New York, NY 10001-5004		Public Charity 501(c)(3)	General Support	100.00
Fairhaven Rescue Mission 260 Pike St. Covington, KY 41012-0761		Public Charity 501(c)(3)	General Support	150.00
Emergency Shelter of Northern KY. P.O. Box 176601 Covington, KY 41017		Public Charity 501(c)(3)	General Support	150.00
Total ▶ 3a				600.00
b *Approved for future payment*				
N/A				
Total ▶ 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income (See instructions.)
1 Program service revenue					
a N/A					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities					
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue. a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)					

13 **Total.** Add line 12, columns (b), (d), and (e) 13

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions.)
	N/A

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☐ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Glen R. Yocum | 7-20-13 | Chairman of Trustees

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Firm's name ►			Firm's EIN ►	
Firm's address ►			Phone no	

BEGINNING OF THE YEAR

111230 300 001313732 C 1
PNC INVESTMENTS LLC
1900 EAST NINTH STREET
B7-YB13-16-1
CLEVELAND, OH 44114

PNC INVESTMENTS
Member FINRA and SIPC

THOMAS R YOCUM TTEE
THE YOCUM FOUNDATION
U/A 9/25/97
55 ELSMAR AVE
FORT THOMAS KY 41075

Investments, Part II, Line 10.b

STATEMENT FOR THE PERIOD DECEMBER 1, 2011 TO DECEMBER 31, 2011

THE YOCUM FOUNDATION - Trust Under Agreemnt
Account Number 097-422061

YOUR INVESTMENT REPRESENTATIVE
JERRI WENTZ
RR# GP8

For questions about your accounts:
Local 513 651 8745
National 800 622 7086

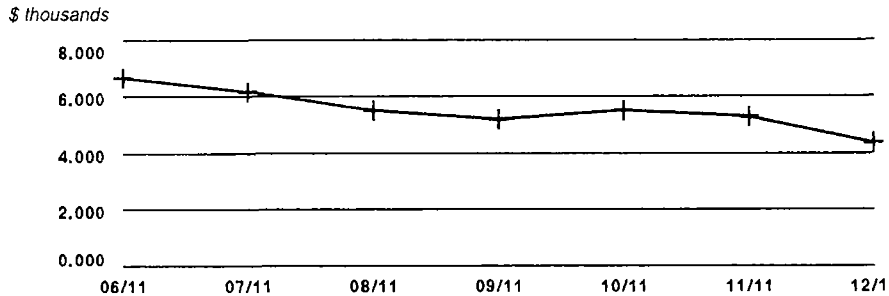
TOTAL VALUE OF YOUR PORTFOLIO **$4,401.53**

FOR YOUR INFORMATION

Brokerage and insurance products are. Not FDIC Insured, Not Bank Guaranteed and May Lose Value Securities and brokerage services are provided by PNC Investments LLC, member FINRA and SIPC Annuities and other insurance products are offered by PNC Insurance Services, LLC a licensed insurance agency

Please be sure to review the MESSAGES section of your statement

CHANGE IN VALUE OF YOUR PORTFOLIO



Change In Value Of Your Portfolio information can be found in Miscellaneous Footnotes at the end of this statement

Statement for the Period December 1, 2011 to December 31, 2011

THE YOCUM FOUNDATION - Trust. Under Agreemnt
Account Number: 097-422061

PNC INVESTMENTS
Member FINRA and SIPC

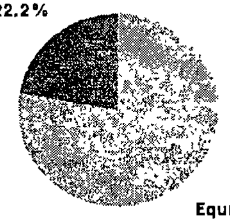
Account Overview

CHANGE IN ACCOUNT VALUE

	Current Period	*Year-to-Date*
BEGINNING VALUE	$5,319 02	$0 00
Securities Transfers	$0 00	$6,717 71
Additions and Withdrawals	$0 00	$0 00
Income	$6 35	$12 90
Taxes, Fees and Expenses	$0 00	($0 39)
Other Activity	($600 00)	($540 00)
Change in Investment Value	($323 84)	($1,788 69)
ENDING VALUE (AS OF 12/31/11)	$4,401 53	$4,401 53

Refer to Miscellaneous Footnotes for more information on Change in Investment Value

ACCOUNT ALLOCATION



	Percent	*Prior Period*	*Current Period*
Money Markets	22 2 %	$1,569 34	$975 69
Equity	77 8	$3,749 68	$3,425 84
TOTAL	**100.0%**	**$5,319.02**	**$4,401.53**

Cash and Cash Equivalents *will include margin debit and credit balances*

Account Allocation *shows the percentage that each asset class represents of your total account value Account Allocation for equities, fixed income, and other categories may include mutual funds and may be net of short positions NFS has made assumptions concerning how certain mutual funds are allocated Closed-end mutual funds and Exchange Traded Funds (ETFs) listed on an exchange may be included in the equity allocation The chart may not reflect your actual portfolio allocation Consult your broker/dealer prior to making investment decisions*

MARGIN PROFILE

	as of December 31, 2011
Margin Positions Market Value	$2,326 84
Margin Balance (Net currency credit/debit)	$0 00
Margin Equity	**$2,326.84**
Margin Equity Percent	100 0%
Equity Buying Power	$8,493 63
Margin Interest Charged this Period	$0 00

Balances and Margin availability are reflected as of the closing date of this statement Please consult with your broker/dealer prior to trading as these amounts may have changed Refer to Miscellaneous Footnotes at the end of this statement for more information

INCOME

TAXABLE	*Current Period*	*Year-to-Date*
Taxable Dividends	$6 34	$12 89
Long-Term Capital Gain	$0 01	$0 01
TOTAL TAXABLE	$6 35	$12 90

BEGINNING OF THE YEAR

PNC INVESTMENTS
Member FINRA and SIPC

Account Overview *continued*

INCOME *continued*	*Current Period*	*Year-to-Date*
TOTAL INCOME	**$6.35**	**$12.90**

Taxable income is determined based on information available to NFS at the time the statement was prepared, and is subject to change Final information on taxation of interest and dividends is available on Form 1099-Div which is mailed in February of the subsequent year

TAXES, FEES AND EXPENSES	*Current Period*	*Year-to-Date*
Account Fees	$0 00	($0 39)
TOTAL TAXES, FEES AND EXPENSES	**$0.00**	**($0.39)**

REALIZED GAIN (LOSS)	*Current Period*	*Year-to-Date*
Short Term Gain	$0 00	$0 00
Short Term Loss	$0 00	$0 00
Disallowed Short Term Loss	$0 00	$0 00
TOTAL SHORT TERM GAIN (LOSS)	**$0.00**	**$0.00**
Long Term Gain	$0 00	$0 00
Long Term Loss	$0 00	$82 87
Disallowed Long Term Loss	$0 00	$0 00
TOTAL LONG TERM GAIN (LOSS)	**$0.00**	**($82.87)**

NFS-provided cost basis realized gain (loss) and holding period information may not reflect all adjustments necessary for your tax reporting purposes Please refer to Footnotes and Cost Basis Information at the end of this statement for more information

PNC INVESTMENTS
Member FINRA and SIPC

Account Overview *continued*

MESSAGES AND ALERTS

Please note. You may receive two-2011 Consolidated Tax Information Statements, Form 1099, for accounts held with PNC Investments. One statement from one of our prior clearing firms, Pershing or Hilliard Lyons, the second statement from our current clearing firm, National Financial Services. Please retain and utilize both statements for your tax return preparations

Statement for the Period December 1, 2011 to December 31, 2011

THE YOCUM FOUNDATION - Trust. Under Agreemnt
Account Number 097-422061

PNC INVESTMENTS
Member FINRA and SIPC

Holdings

NFS-provided cost basis, realized gain (loss) and holding period information may not reflect all adjustments necessary for your tax reporting purposes Please refer to Footnotes and Cost Basis Information at the end of this statement for more information

CASH AND CASH EQUIVALENTS - 22.17% of Total Account Value

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/11	Current Market Value	Prior Market Value	Estimated Annual Income
Money Markets						
BLACKROCK LIQUIDITY TEMPCASH DOLLAR 7 DAY YIELD 04% Dividend Option Reinvest Capital Gain Option Reinvest	QTCDQ CASH	975 69	$1 00	$975 69	$1,569 34	
Total Cash and Cash Equivalents				**$975 69**		

HOLDINGS > EQUITIES - 77.83% of Total Account Value

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/11	Current Market Value	Prior Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
Equity								
ALCATEL-LUCENT SPON ADR REP 1 EUR2 SER A Dividend Option Cash Capital Gain Option Cash	ALU MARGIN	39	$1 56	$60 84	$63 18		$7,291 64 T	($7,230 80)
BARCLAYS ADR-EACH CV INTO 4 ORD STK GBP0 25(JPM) Estimated Yield 3 22% Dividend Option Cash Capital Gain Option Cash	BCS CASH	100	$10 99	$1,099 00	$1,165 00	$35 44	$4,035 00 T	($2,936 00)
MODUSLINK GLOBAL SOLUTIONS INC COM Dividend Option Cash Capital Gain Option Cash	MLNK MARGIN	10	$5 40	$54 00	$43 50		$11,202 75 T	($11,148 75)

PNC INVESTMENTS
Member FINRA and SIPC

HOLDINGS > EQUITIES *continued*

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/11	Current Market Value	Prior Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
TURKISH INVT FD INC Estimated Yield 2 74% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable 01/06/12	TKF MARGIN	200	$11 06	$2,212 00	$2,478 00	$60 81	$3,890 30 T	($1,678 30)
Total Equity				**$3,425 84**		**$96 25**	**$26,419.69**	**($22,993 85)**
Total Equities				**$3,425.84**		**$96 25**	**$26,419 69**	**($22,993 85)**
Total Securities				**$3,425.84**		**$96 25**	**$26,419 69**	**($22,993 85)**
TOTAL PORTFOLIO VALUE				**$4,401 53**		**$96.25**	**$26,419 69**	**($22,993 85)**

Activity

CORE FUND ACTIVITY

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
11/30/11	CASH	REINVESTMENT	BLACKROCK LIQUIDITY TEMPCASH DOLLAR NET DIV REINVEST	0 06	($0 06)
12/09/11	CASH	YOU BOUGHT	BLACKROCK LIQUIDITY TEMPCASH DOLLAR @ 1	6 28	($6 28)
12/22/11	CASH	REINVESTMENT	BLACKROCK LIQUIDITY TEMPCASH DOLLAR REINVESTED @ $1	0 01	($0 01)
12/29/11	CASH	YOU SOLD	BLACKROCK LIQUIDITY TEMPCASH DOLLAR @ 1	(600)	$600 00
TOTAL CORE FUND ACTIVITY					**$593 65**

Statement for the Period December 1, 2011 to December 31, 2011

THE YOCUM FOUNDATION - Trust Under Agreemnt
Account Number 097-422061

PNC INVESTMENTS
Member FINRA and SIPC

ACTIVITY > INCOME > TAXABLE INCOME

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Taxable Dividends					
11/30/11	CASH	DIVIDEND RECEIVED	BLACKROCK LIQUIDITY TEMPCASH DOLLAR DIVIDEND RECEIVED		$0 06
12/09/11	CASH	DIVIDEND RECEIVED	BARCLAYS ADR-EACH CV INTO 4 ORD STK GBP0 25(JPM)		$6 28
Total Taxable Dividends					**$6 34**
Long-Term Capital Gain					
12/22/11	CASH	LONG CAP GAIN	BLACKROCK LIQUIDITY TEMPCASH DOLLAR		$0 01
Total Long-Term Capital Gain					**$0 01**
Total Taxable Income					**$6 35**
TOTAL INCOME					**$6 35**

ACTIVITY > OTHER ACTIVITY

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
12/29/11	CASH	INTRA-BANK DEBIT	INTRA-BANK DEBIT ID67769294		($600 00)
TOTAL OTHER ACTIVITY					**($600 00)**

PNC INVESTMENTS
Member FINRA and SIPC

Unrealized Gain (Loss) Lot Detail

NFS-provided cost basis, realized gain (loss) and holding period information may not reflect all adjustments necessary for your tax reporting purposes Please refer to Footnotes and Cost Basis Information at the end of this statement for more information

EQUITIES

Description	Account Type	Date Acquired	Symbol/ Cusip	Price on 12/31/11	Quantity	Market Value	Cost Basis/ Proceeds		Unrealized Gain (Loss)	Holding Period
ALCATEL-LUCENT SPON ADR REP 1 EUR2 SER A	MARGIN	01/19/00	ALU	$1 56	19 52	$30 45	$4,068 38	T	($4,037 93)	LT
ALCATEL-LUCENT SPON ADR REP 1 EUR2 SER A	MARGIN	08/09/00	ALU	$1 56	19 48	$30 39	$3,223 26	T	($3,192 87)	LT
	Position Total		**ALU**		**39 00**	**$60.84**	**$7,291.64**		**($7,230.80)**	
BARCLAYS ADR-EACH CV INTO 4 ORD STK GBP0 25(JPM)	CASH	11/09/07	BCS	$10 99	100	$1,099 00	$4,035 00	T	($2,936 00)	LT
MODUSLINK GLOBAL SOLUTIONS INC COM	MARGIN	02/15/00	MLNK	$5 40	10	$54 00	$11,202 75	T	($11,148 75)	LT
TURKISH INVT FD INC	MARGIN	08/10/07	TKF	$11 06	200	$2,212 00	$3,890 30	T	($1,678 30)	LT
Total Equities					**349 00**	**$3,425 84**	**$26,419.69**		**($22,993.85)**	

Footnotes and Cost Basis Information

Amortization, accretion and similar adjustments to cost basis have been provided for many fixed income securities (and some bond-like equities), however, they are not provided for certain types, such as short-term instruments, Unit Investment Trusts, foreign fixed income securities, or those that are subject to early prepayment of principal (pay downs) Where current year premium or acquisition premium amortization is provided, the prior years' cumulative *amortization is reflected* in the adjusted cost basis, but we cannot provide a breakdown or the total of such prior amortization amounts

LIMITATION ON COST BASIS INFORMATION: NFS's cost basis information system has a cumulative *lifetime limit* on how much activity it can track for each individual security position in an account For this purpose, each buy, *sell, dividend*, wash sale disallowed loss, stock split, stock merger, etc, is an event For some customers, this limit can be reached with approximately 1500 events Upon reaching the limit, the system no longer displays or tracks cost basis information for the affected position and such information will usually show as not available or unknown Once the limit is reached, *all cost basis* information for the affected position will need to be tracked and updated by you, the investor

END OF THE YEAR

ENV# CEBBCXLMBBFRFJP_BBBBB
PNC INVESTMENTS, LLC
1900 EAST NINTH STREET
B7-YB13-16-1
CLEVELAND, OH 44114

21014173

THOMAS R YOCUM TTEE
THE YOCUM FOUNDATION
U/A 9/25/97
55 ELSMAR AVE
FORT THOMAS KY 41075

PNC INVESTMENTS
Member FINRA and SIPC

Investments, Part II, Line 10.b

STATEMENT FOR THE PERIOD DECEMBER 1, 2012 TO DECEMBER 31, 2012

THE YOCUM FOUNDATION - Trust Under Agreemnt
Account Number. 097-422061

YOUR INVESTMENT REPRESENTATIVE
JERRI WENTZ
RR# GP8

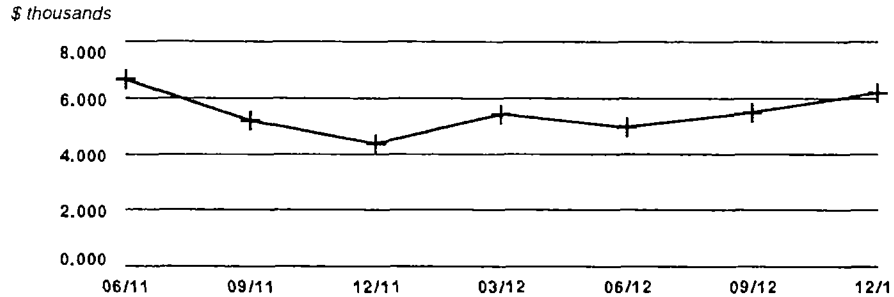
For questions about your accounts:
Local 513 651 8745
National. 800 622 7086

TOTAL VALUE OF YOUR PORTFOLIO **$6,216.87**

FOR YOUR INFORMATION

Brokerage and insurance products are: Not FDIC Insured, Not Bank Guaranteed and May Lose Value Securities and brokerage services are provided by PNC Investments LLC, member FINRA and SIPC Annuities and other insurance products are offered by PNC Insurance Services, LLC a licensed insurance agency

CHANGE IN VALUE OF YOUR PORTFOLIO



Change In Value Of Your Portfolio information can be found in Miscellaneous Footnotes at the end of this statement

PNC Investments, LLC

Account carried with National Financial Services LLC. Member NYSE, SIPC

Statement for the Period December 1, 2012 to December 31, 2012

THE YOCUM FOUNDATION - Trust: Under Agreemnt
Account Number: 097-422061

PNC INVESTMENTS
Member FINRA and SIPC

Account Overview

CHANGE IN ACCOUNT VALUE	*Current Period*	*Year-to-Date*
BEGINNING VALUE	$5,874 37	$4,401 53
Additions and Withdrawals	$0 00	$0 00
Income	$6 49	$98 75
Taxes, Fees and Expenses	$0 00	($0 78)
Change in Investment Value	$336 01	$1,717 37
ENDING VALUE (AS OF 12/31/12)	$6,216 87	$6,216 87

Refer to Miscellaneous Footnotes for more information on Change in Investment Value

MARGIN PROFILE	*as of December 31, 2012*
Margin Positions Market Value	$3,411 21
Margin Balance (Net currency credit/debit)	$0 00
Margin Equity	**$3,411.21**
Margin Equity Percent	100 0%
Equity Buying Power	$11,344 20
Margin Interest Charged this Period	$0 00

Balances and Margin availability are reflected as of the closing date of this statement Please consult with your broker/dealer prior to trading as these amounts may have changed Refer to Miscellaneous Footnotes at the end of this statement for more information

INCOME

TAXABLE	*Current Period*	*Year-to-Date*
Taxable Dividends	$6 49	$98 75
TOTAL TAXABLE	$6 49	$98 75

ACCOUNT ALLOCATION

	Percent	*Prior Period*	*Current Period*
Money Markets	17 3 %	$1,067 17	$1,073 66
Equity	82 7	$4,807 20	$5,143 21
TOTAL	**100.0 %**	**$5,874.37**	**$6,216.87**

Cash and Cash Equivalents *will include margin debit and credit balances*

Account Allocation *shows the percentage that each asset class represents of your total account value Account Allocation for equities, fixed income, and other categories may include mutual funds and may be net of short positions NFS has made assumptions concerning how certain mutual funds are allocated Closed-end mutual funds and Exchange Traded Funds (ETFs) listed on an exchange may be included in the equity allocation The chart may not reflect your actual portfolio allocation Consult your broker/dealer prior to making investment decisions*

PNC INVESTMENTS
Member FINRA and SIPC

Account Overview *continued*

TOTAL INCOME	**$6.49**	**$98.75**

Taxable income is determined based on information available to NFS at the time the statement was prepared, and is subject to change. Final information on taxation of interest and dividends is available on Form 1099-Div, which is mailed in February of the subsequent year

TAXES, FEES AND EXPENSES	*Current Period*	*Year-to-Date*
Account Fees	$0 00	($0 78)
TOTAL TAXES, FEES AND EXPENSES	**$0.00**	**($0.78)**

MESSAGES AND ALERTS

Please note that beginning with your November 2012 statement, the Prior Market Value column in the Holdings section will no longer be displayed The summary information will remain in the Account Overview section under the Asset Allocation sub-section

PNC Investments has chosen to change our default tax lot accounting method for non-qualified mutual funds from the average cost method to the individual lot method effective with your January month-end statement. Clients who prefer to use the average cost method for mutual funds held in their non-qualified accounts may make their election in writing to. PNC Investments Re Mutual Fund Accounting Method/16th Floor 1900 East 9th Street Cleveland, OH 44114 If you purchased mutual fund shares after January 1, 2012 and have sold shares in 2012 from one or more of such lots, we will continue to report on the average cost method with respect to any subsequent sales of stock from such lots. Please contact your Investment Representative or call 1-800-622-7086

PNC Investments wants to thank you for giving us the opportunity to help you with your investment needs in 2012 We look forward to working with you in 2013 Happy New Year!

PNC INVESTMENTS
Member FINRA and SIPC

Holdings

NFS-provided cost basis, realized gain (loss) and holding period information may not reflect all adjustments necessary for tax purposes Please refer to Footnotes and Cost Basis Information at the end of this statement for more information

CASH AND CASH EQUIVALENTS - 17.27% of Total Account Value

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/12	Current Market Value	Estimated Annual Income
Money Markets					
BLACKROCK LIQUIDITY TEMPCASH DOLLAR 7 DAY YIELD 07% Dividend Option Reinvest Capital Gain Option Reinvest	QTCDQ CASH	1,073 66	$1 00	$1,073 66	
Total Cash and Cash Equivalents				**$1,073 66**	

HOLDINGS > EQUITIES - 82.73% of Total Account Value

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/12	Current Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
Equity							
ALCATEL-LUCENT SPON ADR REP 1 EUR2 SER A Dividend Option Cash Capital Gain Option Cash	ALU MARGIN	39	$1 39	$54 21		$7,291 64 T	($7,237 43)
BARCLAYS ADR-EACH CV INTO 4 ORD STK GBP0 25(JPM) Estimated Yield 2 17% Dividend Option Cash Capital Gain Option Cash	BCS CASH	100	$17 32	$1,732 00	$37 69	$4,035 00 T	($2,303 00)

HOLDINGS > EQUITIES *continued*

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/12	Current Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
MODUSLINK GLOBAL SOLUTIONS INC COM Dividend Option Cash Capital Gain Option Cash	MLNK MARGIN	10	$2 90	$29 00		$11,202 75 T	($11,173 75)
TURKISH INVT FD INC Estimated Yield 1 36% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable 01/04/13	TKF MARGIN	200	$16 64	$3,328 00	$45 53	$3,890 30 T	($562 30)
Total Equity				**$5,143 21**	**$83 22**	**$26,419 69**	**($21,276 48)**
Total Equities				**$5,143 21**	**$83 22**	**$26,419 69**	**($21,276 48)**
Total Securities				**$5,143 21**	**$83 22**	**$26,419 69**	**($21,276 48)**
TOTAL PORTFOLIO VALUE				**$6,216 87**	**$83 22**	**$26,419 69**	**($21,276 48)**

Activity

CORE FUND ACTIVITY

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
11/30/12	CASH	REINVESTMENT	BLACKROCK LIQUIDITY TEMPCASH DOLLAR NET DIV REINVEST	0 06	($0 06)
12/07/12	CASH	YOU BOUGHT	BLACKROCK LIQUIDITY TEMPCASH DOLLAR @ 1	6 43	($6 43)

PNC INVESTMENTS
Member FINRA and SIPC

ACTIVITY *continued*

	Amount
TOTAL CORE FUND ACTIVITY	($6 49)

ACTIVITY > INCOME > TAXABLE INCOME

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Taxable Dividends					
11/30/12	CASH	DIVIDEND RECEIVED	BLACKROCK LIQUIDITY TEMPCASH DOLLAR DIVIDEND RECEIVED		$0 06
12/07/12	CASH	DIVIDEND RECEIVED	BARCLAYS ADR-EACH CV INTO 4 ORD STK GBP0 25(JPM)		$6 43
Total Taxable Dividends					**$6 49**
Total Taxable Income					**$6 49**
TOTAL INCOME					**$6.49**

PNC INVESTMENTS
Member FINRA and SIPC

Unrealized Gain (Loss) Lot Detail

NFS-provided cost basis, realized gain (loss) and holding period information may not reflect all adjustments necessary for tax purposes Please refer to Footnotes and Cost Basis Information at the end of this statement for more information

EQUITIES

Description	Account Type	Date Acquired	Symbol/ Cusip	Price on 12/31/12	Quantity	Market Value	Cost Basis/ Proceeds		Unrealized Gain (Loss)	Holding Period
ALCATEL-LUCENT SPON ADR REP 1 EUR2 SER A	MARGIN	01/19/00	ALU	$1 39	19 52	$27 13	$4,068 38	T	($4,041 25)	LT
ALCATEL-LUCENT SPON ADR REP 1 EUR2 SER A	MARGIN	08/09/00	ALU	$1 39	19 48	$27 08	$3,223 26	T	($3,196 18)	LT
	Position Total		ALU		39 00	**$54 21**	**$7,291 64**		**($7,237 43)**	
BARCLAYS ADR-EACH CV INTO 4 ORD STK GBP0 25(JPM)	CASH	11/09/07	BCS	$17 32	100	$1,732 00	$4,035 00	T	($2,303 00)	LT
MODUSLINK GLOBAL SOLUTIONS INC COM	MARGIN	02/15/00	MLNK	$2 90	10	$29 00	$11,202 75	T	($11,173 75)	LT
TURKISH INVT FD INC	MARGIN	08/10/07	TKF	$16 64	200	$3,328 00	$3,890 30	T	($562 30)	LT
Total Equities					349 00	**$5,143 21**	**$26,419 69**		**($21,276 48)**	

PNC INVESTMENTS
Member FINRA and SIPC

Footnotes and Cost Basis Information

Amortization, accretion and similar adjustments to cost basis have been provided for many fixed income securities (and some bond-like equities), however, they are not provided for certain types, such as short-term instruments Unit Investment Trusts, foreign fixed income securities, or those that are subject to early prepayment of principal (pay downs) Where current year premium or acquisition premium amortization is provided, the prior years' cumulative amortization is reflected in the adjusted cost basis, but we cannot provide a breakdown or the total of such prior amortization amounts

NFS is required to report certain cost basis and related information to the IRS on the Form 1099-B Your official 1099-B forms for certain transactions will reflect which lots have been sold for tax purposes To apply a specific identification cost basis method to 1099-B reporting, appropriate instructions must be on file with NFS or be received by NFS before the trade has settled Absent such instructions, NFS determines cost basis at the time of sale based on its default methods of average cost for open-end mutual funds and first-in, first-out (FIFO) for all other securities (including ETFs) NFS applies FIFO (or other disposal method, if applicable) based on its records, which may be different from yours For transactions that are not subject to 1099-B cost basis reporting, you should refer to your trade confirmations and other applicable records to determine which lots were considered sold for tax purposes

While NFS must meet IRS requirements with respect to certain information required to be reported to the IRS, NFS-provided cost basis, realized gain and loss, and holding period information may not reflect all adjustments necessary for your tax reporting purposes NFS makes no warranties with respect to and specifically disclaims any liability arising out of a customer's use of, or any tax position taken in reliance upon, such information

For investments in partnerships, NFS does not make any adjustments to cost basis information as the calculation of basis in such investments requires supplemental information from the partnership on its income and distributions during the period you held your investment Partnerships usually provide this additional information on a Form K-1 issued by April 15th of the following year

Consult your tax advisor for further information

T - Cost basis information was provided by a third party We treat it as original cost basis, as of the date it is provided, and we assume that for equities, it reflects any prior corporate actions, and for asset-backed fixed income securities, it reflects any prior principal pay downs LT - Long-Term (Held more than one year)

Miscellaneous Footnotes

CHANGE IN VALUE OF YOUR PORTFOLIO is the change in market value of your portfolio assets over the time period shown The portfolio assets include the market value of all the securities in the account, plus insurance and annuity assets if applicable The time frame of the graph is from account opening or September 2011, whichever is later, to the current period Please note that large increases and/or declines in the change in the value of the portfolio can be due to additions, distribution and/or performance

CHANGE IN INVESTMENT VALUE is the difference between the prior period and current period values which includes the difference between securities that were bought, sold and redeemed during this time period as well as any activity that occurred such as additions and withdrawals, securities transferred, income, expenses, and other activity This does not reflect activity related to assets in which National Financial is not the custodian (e g Insurance and Annuities, Assets Held Away and Other Assets Held Away)

MARGIN - You have been approved for margin privileges and may borrow money from NFS in exchange for pledging the assets in your account(s) as collateral for any outstanding margin loan The amount you may borrow is based on the value of securities in your margin account, identified as Margin Positions Market Value on this statement This statement shows the combined balance of your margin account and a special memorandum account maintained for you under Section 220 5 of Regulation T issued by the Board of Governors of the Federal Reserve Board The permanent record of the separate account, as required by Regulation T, is available for your inspection

MARGIN POSITIONS MARKET VALUE is the market value for all positions held in the margin account using the previous business day's closing price

PART VIII INFORMATION ABOUT OFFICERS, DIRECTORS. TRUSTEES, ETC.

1.List all officers, directors, trustees, etc.

(a) Name and address	(b) Title and average hours	(c) Compensation	(d)Contributions/ Deferred compensation	(e)Expenses
Mr. Anthony J. Iaciofano 4165 Paxton Woods Lane Cincinnati, OH 45209	Trustee 4 hours Per year	0	0	0
Mr. Mantai Messmer 842 Redmill Drive Cincinnati, OH 45231	Trustee 4 hours Per year	0	0	0
Mr. Roger L. Peterman 129 Riverside Parkway Ft. Thomas, KY 41075	Trustee 4 hours Per year	0	0	0
Mr. Ghebre Tzeghai 103 Wilmuth Avenue Wyoming, OH 45215	Trustee 4 hours Per year	0	0	0
Mr. Thomas R. Yocum 55 Elsmar Ave. Ft. Thomas, KY 41075	Chairman/Trustee 2 hours Per month	0	0	0