Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052
2012
Open to public Inspection

For calendar year 2012 or tax year beginning , and ending

Name of foundation
JAMES P. RAYMOND, JR.
CHARITABLE FOUNDATION

A Employer identification number
31-1476555

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
935 GRAVIER STREET, SUITE 1860

B Telephone number
205-563-1500

City or town, state, and ZIP code
NEW ORLEANS, LA 70112

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶$ 8,254,163.

J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I	**Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc , received	8,155,558.			
2	Check ▶ ☐ if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	47,041.	47,041.	47,041.	STATEMENT 1
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	14,170.			
b	Gross sales price for all assets on line 6a 1,069,119.				
7	Capital gain net income (from Part IV, line 2)		14,170.		
8	Net short-term capital gain			0.	
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss)				
11	Other income				
12	**Total** Add lines 1 through 11	8,216,769.	61,211.	47,041.	
13	Compensation of officers directors, trustees, etc	53,250.	0.	0.	53,250.
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees				
b	Accounting fees STMT 2	2,346.	0.	0.	2,346.
c	Other professional fees				
17	Interest				
18	Taxes				
19	Depreciation and depletion				
20	Occupancy				
21	Travel, conferences, and meetings	6,675.	0.	0.	6,675.
22	Printing and publications				
23	Other expenses STMT 3	203.	0.	0.	203.
24	**Total operating and administrative expenses** Add lines 13 through 23	62,474.	0.	0.	62,474.
25	Contributions, gifts, grants paid	22,750.			22,750.
26	**Total expenses and disbursements** Add lines 24 and 25	85,224.	0.	0.	85,224.
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	8,131,545.			
b	**Net investment income** (if negative, enter -0-)		61,211.		
c	**Adjusted net income** (if negative, enter -0-)			47,041.	

Revenue

Operating and Administrative Expenses

RECEIVED
NOV 18 2013
OGDEN, UT
IRS-OSC

SCANNED NOV 26 2013

223501
12-05-12 LHA For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** (2012)

G18

24

JAMES P. RAYMOND, JR.

Form 990-PF (2012) CHARITABLE FOUNDATION 31-1476555 Page 2

		Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of year amounts only	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	55,319.		
	2	Savings and temporary cash investments		153,408.	153,408.
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations			
	b	Investments - corporate stock			
	c	Investments - corporate bonds			
	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other STMT 4	0.	8,033,456.	8,100,755.
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers)	55,319.	8,186,864.	8,254,163.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	55,319.	8,186,864.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds	0.	0.	
	30	**Total net assets or fund balances**	55,319.	8,186,864.	
	31	**Total liabilities and net assets/fund balances**	55,319.	8,186,864.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	55,319.
2	Enter amount from Part I, line 27a	2	8,131,545.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	8,186,864.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	8,186,864.

Form **990-PF** (2012)

223511
12-05-12

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr.)	(d) Date sold (mo., day, yr)
1a	SEE SCHEDULE ATTACHED	D		
b	SEE SCHEDULE ATTACHED	D		
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,036,450.		1,041,880.	<5,430.>
b	32,669.		13,069.	19,600.
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				<5,430.>
b				19,600.
c				
d				
e				

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	14,170.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	}	3	<5,430.>

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	168.	2,751.	.061069
2010	0.	281.	.000000
2009	0.	472.	.000000
2008	1,131.	708.	1.597458
2007	1,127.	755.	1.492715

2 **Total** of line 1, column (d)	2	3.151242
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.630248
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	1,428,754.
5 Multiply line 4 by line 3	5	900,469.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	612.
7 Add lines 5 and 6	7	901,081.
8 Enter qualifying distributions from Part XII, line 4	8	85,224.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter: ________ **(attach copy of letter if necessary-see instructions)**			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b		1	1,224.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3	Add lines 1 and 2		3	1,224.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5	**Tax based on investment income** Subtract line 4 from line 3 If zero or less, enter -0-		5	1,224.
6	Credits/Payments:			
a	2012 estimated tax payments and 2011 overpayment credited to 2012	6a		
b	Exempt foreign organizations - tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d		7	0.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8	29.
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** SEE STATEMENT 5 ▶		9	1,253.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	
11	Enter the amount of line 10 to be: **Credited to 2013 estimated tax** ▶	**Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ LA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9	X	
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses STMT 6	10	X	

Form **990-PF** (2012)

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ STEVEN O. MEDO, JR. Telephone no. ▶ 504-529-5151

Located at ▶ 935 GRAVIER STREET, SUITE 1830, METAIRIE, LA ZIP+4 ▶ 70112

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		X

Form **990-PF** (2012)

223541
12-05-12

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

		Yes	No
5a During the year did the foundation pay or incur any amount to.			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A	5b		
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No			
If "Yes," attach the statement required by Regulations section 53 4945-5(d)			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		X
If "Yes" to 6b, file Form 8870			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ANNE E. RAYMOND 935 GRAVIER ST, STE 1860 NEW ORLEANS, LA 70112	PRESIDENT 10.00	16,500.	0.	0.
CHRISTINE R. HOFMANN 2270 BUTLER SPRINGS LAND HOOVER, AL 35226	VICE PRESIDENT 24.00	36,750.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Form **990-PF** (2012)

223561
12-05-12

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	1,371,967.
b	Average of monthly cash balances	1b	78,545.
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	1,450,512.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,450,512.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	21,758.
5	**Net value of noncharitable-use assets** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,428,754.
6	**Minimum investment return** Enter 5% of line 5	6	71,438.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☒ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	
2a	Tax on investment income for 2012 from Part VI, line 5 2a		
b	Income tax for 2012 (This does not include the tax from Part VI.) 2b		
c	Add lines 2a and 2b	2c	
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	
6	Deduction from distributable amount (see instructions)	6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	85,224.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	85,224.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions** Subtract line 5 from line 4	6	85,224.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form **990-PF** (2012)

223571
12-05-12

Part XIII Undistributed Income (see instructions)

N/A

		(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7					
2 Undistributed income, if any as of the end of 2012					
a Enter amount for 2011 only					
b Total for prior years ______ , ______ , ______					
3 Excess distributions carryover, if any, to 2012					
a From 2007					
b From 2008					
c From 2009					
d From 2010					
e From 2011					
f **Total** of lines 3a through e					
4 Qualifying distributions for 2012 from Part XII, line 4: ▶ $________					
a Applied to 2011, but not more than line 2a					
b Applied to undistributed income of prior years (Election required - see instructions)					
c Treated as distributions out of corpus (Election required - see instructions)					
d Applied to 2012 distributable amount					
e Remaining amount distributed out of corpus					
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)					
6 **Enter the net total of each column as indicated below**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5					
b Prior years' undistributed income Subtract line 4b from line 2b					
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d Subtract line 6c from line 6b Taxable amount - see instructions					
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount - see instr.					
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013					
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)					
8 Excess distributions carryover from 2007 not applied on line 5 or line 7					
9 **Excess distributions carryover to 2013** Subtract lines 7 and 8 from line 6a					
10 Analysis of line 9:					
a Excess from 2008					
b Excess from 2009					
c Excess from 2010					
d Excess from 2011					
e Excess from 2012					

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling ▶ 01/29/97

b Check box to indicate whether the foundation is a private operating foundation described in section ☒ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	47,041.	0.	0.	0.	47,041.
b 85% of line 2a	39,985.	0.	0.	0.	39,985.
c Qualifying distributions from Part XII, line 4 for each year listed	85,224.	168.	0.	0.	85,392.
d Amounts included in line 2c not used directly for active conduct of exempt activities	85,224.	0.	0.	0.	85,224.
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c	0.	168.	0.	0.	168.
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter: (1) Value of all assets	8,218,246.	55,319.	590.	347.	8,274,502.
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)	8,218,246.	55,319.	590.	347.	8,274,502.
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed	47,625.	92.	9.	16.	47,742.
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)	8,235,863.	54,897.	1,000.	500.	8,292,260.
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0.
(3) Largest amount of support from an exempt organization					0.
(4) Gross investment income					0.

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed.

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
DEER VALLEY ELEMENTARY SCHOOL 4990 ROSS BRIDGE PARKWAY HOOVER, AL 35226	N/A	EDUCATIONAL INSTITUTION	INSTALL AMPLIFICATION SYSTEMS IN CLASSROOMS	10,000.
ST MARY'S DOMINICAN HIGH SCHOOL 7701 WALMSLEY AVENUE NEW ORLEANS, LA 70125	N/A	EDUCATIONAL INSTITUTION	SUPPORT FOR EDUCATION OF YOUNG WOMEN	750.
ST. MICHAEL SPECIAL SCHOOL 1522 CHIPPEWA STREET NEW ORLEANS, LA 70130	N/A	EDUCATIONAL INSTITUTION	SUPPORT FOR EDUCATION OF SPECIAL NEEDS CHILDREN	2,000.
URSULINE ACADEMY 2635 STATE STREET NEW ORLEANS, LA 70118	N/A	EDUCATIONAL INSTITUTION	SUPPORT FOR EDUCATION OF YOUNG WOMEN	10,000.
Total			▶ 3a	22,750.
b Approved for future payment NONE				
Total			▶ 3b	0.

Form **990-PF** (2012)

223611
12-05-12

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	47,041.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	14,170.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue.					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		61,211.	0.

13 Total Add line 12, columns (b), (d), and (e) 13 61,211.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

▶ 11/15/13 ▶ vice president

Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self- employed	PTIN
EARL NORTH	Earl North	11/12/13		P00069141

Firm's name ▶ MURPHY & NORTH, APAC

Firm's EIN ▶ 72-1074180

Firm's address ▶ 935 GRAVIER STREET, SUITE 1820
NEW ORLEANS, LA 70112-1608

Phone no (504) 586-0050

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

OMB No 1545-0047

2012

Name of the organization
JAMES P. RAYMOND, JR.
CHARITABLE FOUNDATION

Employer identification number
31-1476555

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor Complete Parts I and II

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990 EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not total to more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc , contributions of $5,000 or more during the year ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990 EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

LHA **For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.** **Schedule B (Form 990, 990-EZ, or 990-PF) (2012)**

223451
12-21-12

Name of organization
JAMES P. RAYMOND, JR.
CHARITABLE FOUNDATION

Employer identification number
31-1476555

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	JAMES P. RAYMOND, JR. ESTATE 935 GRAVIER ST, SUITE 1860 NEW ORLEANS, LA 70112	$ 236,207.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
2	JAMES P. RAYMOND, JR. ESTATE 935 GRAVIER ST, SUITE 1860 NEW ORLEANS, LA 70112	$ 7,919,351.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Name of organization	Employer identification number
JAMES P. RAYMOND, JR. CHARITABLE FOUNDATION	31-1476555

Part II **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
2	VARIOUS SECURITIES - SEE SCHEDULE ATTACHED	$ 7,981,638.	VARIOUS

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization

JAMES P. RAYMOND, JR.
CHARITABLE FOUNDATION

Employer identification number

31-1476555

Part III *Exclusively* religious, charitable, etc , individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year Complete columns (a) through (e) and the following line entry For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of $1,000 or less for the year. (Enter this information once) ▶ $

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
STIFEL NICOLAUS - DIVIDENDS	25,791.	0.	25,791.
STIFEL NICOLAUS - INTEREST	21,250.	0.	21,250.
TOTAL TO FM 990-PF, PART I, LN 4	47,041.	0.	47,041.

FORM 990-PF ACCOUNTING FEES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX PREPARATION FEES	2,346.	0.	0.	2,346.
TO FORM 990-PF, PG 1, LN 16B	2,346.	0.	0.	2,346.

FORM 990-PF OTHER EXPENSES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
SERVICE FEE	5.	0.	0.	5.
PRINTING/PUBLICATION	184.	0.	0.	184.
BANK CHARGES	14.	0.	0.	14.
TO FORM 990-PF, PG 1, LN 23	203.	0.	0.	203.

FORM 990-PF OTHER INVESTMENTS STATEMENT 4

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
STIFEL NICOLAUS - EQUITIES	COST	3,759,994.	3,769,243.
STIFEL NICOLAUS - PREFERREDS	COST	3,408,250.	3,435,420.
STIFEL NICOLAUS - BONDS	COST	842,881.	874,202.
STIFEL NICOLAUS - MUTUAL FUNDS	COST	22,331.	21,890.
TOTAL TO FORM 990-PF, PART II, LINE 13		8,033,456.	8,100,755.

FORM 990-PF LIST OF SUBSTANTIAL CONTRIBUTORS STATEMENT 6
PART VII-A, LINE 10

NAME OF CONTRIBUTOR	ADDRESS
JAMES P. RAYMOND, JR. ESTATE	935 GRAVIER ST., SUITE 1860 NEW ORLEANS, LA 70112

REALIZED GAINS/(-)LOSSES

This section provides estimated realized gains or losses for informational purposes only, and should not be used for tax preparation without the assistance of your tax advisor. Cost basis may be adjusted for, but not limited to, amortization, accretion, principal paydowns, capital changes, listed option premiums, gifting rules, inheritance step-up, or wash sales To calculate gains or losses, the trading tax lot relief method indicated on the first page of this statement was used, unless another method was in effect at the time of the trade We suggest you review this information for accuracy and contact your Financial Advisor with any questions

Equities	Closing Transaction	Date Acquired	Date Sold	Quantity	Cost Basis	Sale Proceeds	Realized Gain/(-)Loss**
ALPHA NATURAL RESOURCE		10/22/12	12/12/12	300	2,863 50	2,793 93	-69 57 (ST)
INC		10/22/12	12/12/12	4,000	38,159 60	37,319 54	-840 06 (ST)
CUSIP 02076X102		10/22/12	12/12/12	700	6,681 50	6,530 93	-150 57 (ST)
				5,000	47,704 60	46,644 40	-1,060.20
CVS CAREMARK CORP CUSIP 126650100		02/11/05	12/06/12	200	5,095 12	9,114 81	4,019 69 (LT)
GENERAC HOLDINGS INC		09/13/12	12/04/12	303	6,893 25	9,779 13	2,885 88 (ST)
CUSIP 368736104		09/13/12	12/04/12	597	13,527 05	19,267 79	5,740 74 (ST)
		09/13/12	12/04/12	100	2,273 00	3,227 44	954 44 (ST)
				1,000	22,693 30	32,274 36	9,581 06
LEXINGTON REALTY TRUST CUSIP 529043101		10/10/12	12/14/12	4,500	42,525 00	43,419 00	894 00 (ST)
RAYONIER INC		UNKNOWN	12/03/12	255 939	Incomplete	12,597 36	N/A (ST)
CUSIP 754907103		03/31/00	12/03/12	0 529	6 60	26 05	19 45 (LT)
		03/31/00	12/03/12	2 104	26 26	103 55	77 29 (LT)
		06/30/00	12/03/12	1 255	13 08	61 75	48 67 (LT)
		06/30/00	12/03/12	2 516	26 27	123 83	97 56 (LT)
		09/29/00	12/03/12	1 296	13 19	63 79	50 60 (LT)
		09/29/00	12/03/12	2 599	26 48	127 92	101 44 (LT)
		12/29/00	12/03/12	1 275	13 33	62 74	49 41 (LT)
		12/29/00	12/03/12	2 556	26 75	125 80	99 05 (LT)
		03/30/01	12/03/12	1 190	13 51	58 59	45 08 (LT)
		03/30/01	12/03/12	2 387	27 14	117 48	90 34 (LT)
		06/29/01	12/03/12	1 069	13 71	52 62	38 91 (LT)
		06/29/01	12/03/12	2 144	27 53	105 52	77 99 (LT)
		09/28/01	12/03/12	1 250	13 73	61 54	-7 81 (LT)
		09/28/01	12/03/12	2 507	27 57	123 39	95 82 (LT)
		12/31/01	12/03/12	1 011	13 98	49 75	35 77 (LT)
		12/31/01	12/03/12	2 027	28 08	99 76	71 68 (LT)

** Please note "Realized Gain/(-)Loss" does not equal total sale proceeds minus total cost basis if any cost basis amounts are missing

ALIZED GAINS/(-)LOSSES continued

uities	Closing Transaction	Date Acquired	Date Sold	Quantity	Cost Basis	Sale Proceeds	Realized Gain/(-)Loss**
		03/29/02	12/03/12	0 935	14 13	46 00	31 87 (LT)
		03/29/02	12/03/12	1.874	28 38	92 23	63 85 (LT)
		06/28/02	12/03/12	0 954	14 23	46 95	32 72 (LT)
		06/28/02	12/03/12	1 913	28 57	94 15	65 58 (LT)
		09/30/02	12/03/12	1 201	14 20	59 10	44 90 (LT)
		09/30/02	12/03/12	2 408	28 50	118 52	90 02 (LT)
		12/31/02	12/03/12	1.126	14 37	55 40	41 03 (LT)
		12/31/02	12/03/12	2 257	28 85	111 09	82 24 (LT)
		03/31/03	12/03/12	1 155	14 47	56 82	42 35 (LT)
		03/31/03	12/03/12	2 315	29 04	113 94	84 90 (LT)
		06/30/03	12/03/12	1 112	16 51	54 71	38 20 (LT)
		06/30/03	12/03/12	2 229	33 16	109 71	76 55 (LT)
		09/30/03	12/03/12	0 962	16 74	47 35	30 61 (LT)
		09/30/03	12/03/12	1 929	33 61	94 94	61 33 (LT)
		11/10/03	12/03/12	0 914	16 87	44 96	28 09 (LT)
		11/10/03	12/03/12	1 832	33 88	90 17	56 29 (LT)
		12/22/03	12/03/12	27 240	449 09	1,340 74	891 65 (LT)
		12/22/03	12/03/12	54 621	901 68	2,688 46	1,786 78 (LT)
		03/31/04	12/03/12	2 274	41 79	111 90	70 11 (LT)
		03/31/04	12/03/12	4 559	83 92	224 39	140 47 (LT)
		06/30/04	12/03/12	2 263	42 54	111 39	68 85 (LT)
		06/30/04	12/03/12	4 538	85 40	223 36	137 96 (LT)
		09/30/04	12/03/12	2 226	43 30	109 57	66 27 (LT)
		09/30/04	12/03/12	4 464	86 93	219 72	132 79 (LT)
		12/31/04	12/03/12	2 048	44 07	100 81	56 74 (LT)
		12/31/04	12/03/12	4 107	88 49	202 14	113 65 (LT)
		03/31/05	12/03/12	2 314	49 52	113 89	64 37 (LT)
		03/31/05	12/03/12	4 640	99 44	228 38	128 94 (LT)
		06/30/05	12/03/12	2 139	50 32	105 27	54 95 (LT)
		06/30/05	12/03/12	4 289	101 03	211 10	110 07 (LT)
		09/30/05	12/03/12	2 058	51 05	101 30	50 25 (LT)
		09/30/05	12/03/12	4 127	102 50	203 13	100 63 (LT)
		12/30/05	12/03/12	2 157	58 84	106 18	47 34 (LT)
		12/30/05	12/03/12	4 326	118 15	212 92	94 77 (LT)
		03/31/06	12/03/12	2 001	59 65	98 48	38 83 (LT)
		03/31/06	12/03/12	4 012	119 78	197 47	77 69 (LT)
		06/30/06	12/03/12	2 456	60 28	120 86	60 58 (LT)
		06/30/06	12/03/12	4 924	121 03	242 36	121 33 (LT)

Please note "Realized Gain/(-)Loss" does not equal total sale proceeds minus total cost basis if any cost basis amounts are missing

REALIZED GAINS/(-)LOSSES continued

Equities	*Closing Transaction*	*Date Acquired*	*Date Sold*	*Quantity*	*Cost Basis*	*Sale Proceeds*	*Realized Gain/(-)Loss***
		09/29/06	12/03/12	2 451	61 05	120 64	59 59 (LT)
		09/29/06	12/03/12	4 915	122 58	241 92	119 34 (LT)
		12/29/06	12/03/12	2 288	61 82	112 61	50 79 (LT)
		12/29/06	12/03/12	4 588	124 12	225 82	101 70 (LT)
		03/30/07	12/03/12	2 226	62.54	109 57	47 03 (LT)
		03/30/07	12/03/12	4 464	125 56	219 72	94 16 (LT)
		06/29/07	12/03/12	2 114	63 23	104 05	40 82 (LT)
		06/29/07	12/03/12	4 239	126 96	208 64	81 68 (LT)
		09/28/07	12/03/12	2 185	67 97	107 53	39 56 (LT)
		09/28/07	12/03/12	4 381	136 48	215 63	79 15 (LT)
		12/31/07	12/03/12	2 130	68 70	104 81	36 11 (LT)
		12/31/07	12/03/12	4 270	137 94	210 17	72 23 (LT)
		03/03/08	12/03/12	127 637	Incomplete	6,282 45	N/A (LT)
		07/01/08	12/03/12	2 454	69 31	120 76	51 45 (LT)
		07/01/08	12/03/12	4 920	139 15	242 16	103 01 (LT)
		10/01/08	12/03/12	2 319	70 00	114 14	44 14 (LT)
		10/01/08	12/03/12	4 650	140 55	228 87	88 32 (LT)
		01/02/09	12/03/12	3 461	70 88	170 35	99 47 (LT)
		01/02/09	12/03/12	6 940	142 32	341 59	199 27 (LT)
		04/01/09	12/03/12	3 641	71 99	179 19	107 20 (LT)
		04/01/09	12/03/12	7 300	144 53	359 31	214 78 (LT)
		07/01/09	12/03/12	3 027	73 18	148 99	75 81 (LT)
		07/01/09	12/03/12	6 070	146 92	298 77	151 85 (LT)
		10/01/09	12/03/12	2 738	74 18	134 76	60 58 (LT)
		10/01/09	12/03/12	5 490	148 93	270 22	121 29 (LT)
		01/04/10	12/03/12	2 613	75 10	128 62	53 52 (LT)
		01/04/10	12/03/12	5 240	150 80	257 92	107 12 (LT)
		04/01/10	12/03/12	2 499	76 07	122 98	46 91 (LT)
		04/01/10	12/03/12	5 010	152 74	246 60	93 86 (LT)
		07/01/10	12/03/12	2 553	76 79	125 68	48 89 (LT)
		07/01/10	12/03/12	5 120	154 17	252 01	97 84 (LT)
		10/01/10	12/03/12	2 319	77 67	114 14	36 47 (LT)
		10/01/10	12/03/12	4 650	155 95	228 88	72 93 (LT)
		01/03/11	12/03/12	2 389	84 69	117 58	32 89 (LT)
		01/03/11	12/03/12	4 790	170 04	235 77	65 73 (LT)
		04/01/11	12/03/12	2 050	85 54	100 89	15 35 (LT)

** Please note "Realized Gain/(-)Loss" does not equal total sale proceeds minus total cost basis if any cost basis amounts are missing

REALIZED GAINS/(-)LOSSES continued

quities	*Closing Transaction*	*Date Acquired*	*Date Sold*	*Quantity*	*Cost Basis*	*Sale Proceeds*	*Realized Gain/(-)Loss***
		04/01/11	12/03/12	4 110	171 75	202.31	30 56 (LT)
		07/01/11	12/03/12	1 980	86 32	97.45	11 13 (LT)
		07/01/11	12/03/12	3 970	173 32	195 42	22.10 (LT)
		10/03/11	12/03/12	7 750	290 63	381 48	90 85 (LT)
		01/03/12	12/03/12	6 510	293 67	320 46	26 79 (ST)
				741	36,931.44	36,472 16	459:28
Total Equities					$154,949.46	$167,924.73	$12,975.27

referreds	*Closing Transaction*	*Date Acquired*	*Date Sold*	*Quantity*	*Cost Basis*	*Sale Proceeds*	*Realized Gain/(-)Loss***
B&T CORP		04/26/12	12/04/12	4,996	124,900 00	128,938 86	4,038 86 (ST)
DEP SHS REPSTG 1/1000TH		04/26/12	12/04/12	4	100 00	103 31	3 31 (ST)
CUSIP· 054937206							
				5,000	125,000 00	129,042 17	4,042 17
B&T CORP DEP SHS REPSTG		07/24/12	12/20/12	500	12,500 00	12,624 71	124 71 (ST)
1/1000TH SER E NON CUML		07/24/12	12/20/12	4,500	112,500 00	113,622 44	1,122 44 (ST)
CUSIP 054937404							
				5,000	125,000 00	126,247 15	1,247 15
B&T CORP DEP SHS REPSTG		07/24/12	12/27/12	100	2,500 00	2,497 94	-2 06 (ST)
1/1000TH SER E NON CUML		07/24/12	12/27/12	300	7,500 00	7,505 82	5 82 (ST)
CUSIP 054937404		07/24/12	12/27/12	2,300	57,500 00	57,521 69	21 69 (ST)
		07/24/12	12/27/12	200	5,000 00	5,001 88	1 88 (ST)
		07/24/12	12/27/12	676	16,900 00	16,899 61	-0 39 (ST)
				3,576	89,400 00	89,426 94	26 94
B&T CORP DEP SHS REPSTG		07/24/12	12/28/12	1,424	35,600 00	35,594 19	-5 81 (ST)
1/1000TH SER E NON CUML							
CUSIP 054937404							
CAPITAL ONE FINL CORP		08/13/12	12/19/12	5,000	125,000 00	124,242 20	-757 80 (ST)
SHS REPSTG 1/40TH NON							
CUSIP 14040H402							
FIFTH STREET FINANCE		10/11/12	12/10/12	100	2,500 00	2,431 94	-68 06 (ST)
CORP SR NOTE		10/11/12	12/10/12	2,558	63,950 00	62,285 89	-1,664 11 (ST)
CUSIP 31679B209		10/11/12	12/10/12	200	5,000 00	4,867 89	-132 11 (ST)
		10/11/12	12/10/12	100	2,500 00	2,426 94	-73 06 (ST)
		10/11/12	12/10/12	300	7,500 00	7,277 83	-222 17 (ST)
		10/11/12	12/10/12	1,000	25,000 00	24,249 45	-750 55 (ST)

** Please note "Realized Gain/(-)Loss" does not equal total sale proceeds minus total cost basis if any cost basis amounts are missing

REALIZED GAINS/(-)LOSSES continued

referreds	*Closing Transaction*	*Date Acquired*	*Date Sold*	*Quantity*	*Cost Basis*	*Sale Proceeds*	*Realized Gain/(-)Loss***
		10/11/12	12/10/12	1,742	43,550 00	42,242 55	-1,307 45 (ST)
				6,000	150,000 00	145,782 49	-4,217 51
ULES TECH GROWTH CAP R NT 7% DUE 09/30/19 CUSIP 427096870		09/19/12	12/18/12	1,000	25,000 00	24,944 43	-55 57 (ST)
RUDENTIAL FINANCIAL INC JR SUB NOTES CUSIP 744320607		11/27/12	12/14/12	4,000	100,000 00	99,672 76	-327 24 (ST)
UMMIT HOTEL PROPERTIES		12/04/12	12/20/12	2,400	60,000 00	60,593 63	593 63 (ST)
INC SER B CUML PERPETUAL CUSIP 866082308		12/04/12	12/20/12	2,600	65,000 00	65,648 52	648 52 (ST)
				5,000	125,000 00	126,242 15	1,242 15
otal Preferreds					$900,000.00	$901,194.48	$1,194.48
otal Realized Gains/(-)Losses					$1,054,949.46	$1,069,119.21	$ 14,169.75
otal Net Short-Term (ST)					$ 1,041,880 35	$1,036,450.06	$ -5,430.29
Total Net Long-Term (LT)					$13,069.11	$32,669.15	$ 19,600.04

INTERESTED PARTIES AND/OR SUPPORTING FINANCIAL ADVISOR(S)

Per your request, a copy of this statement has also been sent to

CHRISTINE HOFMANN
3845 VILLAGE CENTER DR
HOOVER AL 35226-6275

James P. Raymond Jr. Charitable Foundation at 12/31/12

EQUITIES	Quantity	Cost Basis	Fair Market Value
A T & T	7,386.50	252,101.07	248,998.74
ALCOA	10,000.00	105,785.00	86,800.00
ALTRIA GROUP INC.	1,039.00	21,066.70	32,666.16
AMERICAN CAPITAL AGENCY	2,000.00	57,690.00	57,800.00
ARCH COAL	5,000.00	61,605.00	36,600.00
ARES CAPITAL	5,000.00	84,050.00	87,500.00
BP PLC	5,000.00	208,800.00	208,200.00
BRISTOL MYERS SQUIBB	1,000.00	26,681.00	32,590.00
CENTERPOINT ENERGY	7,825.00	154,387.25	150,631.25
CENTURYLINK INC	467	18,138.28	18,269.04
CHEVRON	1,000.00	105,690.00	108,140.00
CLIFF'S NATURAL RESOURCES	4,000.00	181,225.26	154,280.00
CORNING	302	5,470.73	3,811.24
DEERE & COMPANY	600	44,193.00	51,852.00
DUPONT	1,491.00	64,321.74	67,062.94
ENTERGY	4,512.00	286,692.48	287,640.00
EXELON CORP	5,000.00	151,359.50	148,700.00
EXXON	5,000 00	440,700.00	432,750.00
GENERAL ELECTRIC	1,000.00	25,617.24	20,990.00
HALLIBURTON	475	15,841.25	16,477.75
HORIZON TECH FINANCE	5,000 00	81,000.00	74,592.50
INTEGRY'S ENERGY GROUP	943	50,139.31	49,243.46
JOHNSON & JOHNSON	1,000.53	62,180.86	70,136.87
JOHNSON CONTROLS	5,000.00	151,289.23	153,350.00
KRONOS WORLDWIDE	4,010.00	64,601.10	78,195.00
LUFKIN IND	2,162.00	118,391.12	125,677.06
MERCK	1,046.00	46,337.80	42,823.24
MURPHY OIL	2,890.00	163,978.60	172,099.50
NEXTERA ENTERGY	1,690.00	116,119.90	116,931.10
PEABODY ENERGY	5,000 00	135,619.21	133,050.00
PENNANYPARK	10,000.00	105,500.00	109,950.00
PEPSICO	100	5,659.12	6,843.00
PHILIP MORRIS	786	36,000.71	65,741.04
PLUM CREEK TIMBER	2,035.00	87,199.75	90,292.95
PROCTOR & GAMBLE	1,000.82	62,691.03	67,945.94
TRANSPORTADORA	894	1,341.00	1,573.44
UNITED PARCEL SERVICE	300	21,819.08	22,119.00
VALHI	1,275.00	15,351.00	15,937.50
VERIZON	2,796.00	123,359.34	120,982.74
TOTAL EQUITIES		3,759,993.66	3,769,243.46

James P. Raymond Jr. Charitable Foundation at 12/31/12

	Quantity	Cost Basis	Fair Market Value
PREFERREDS			
AM CAP AGENCY PFD	5,000.00	125,000.00	128,499.50
ANNALY CAPITAL MGMT PFD 7.5%	5,000.00	125,000.00	124,350.00
BANKCORP SOUTH 8.15%	5,000.00	129,427.18	131,150.00
CAMPUS CREST	1,000.00	25,000.00	25,920.00
CITIGROUP 6%	10,000.00	233,729.40	249,700.00
COLONY FINANCIAL 8.5%	6,000.00	152,740.00	156,780.00
COUNTRYWIDE 7%	10,000.00	231,588.00	251,900.00
FIRST PACTRUST 7.5%	2,000.00	50,938.80	50,800.00
GOLDMAN SACHS	5,000.00	101,155.00	104,210.00
HATTERAS FIN 7.625	15,000.00	379,832.00	374,550.00
HERCULES TECH GROWTH CAP	5,000.00	125,000.00	125,400.00
KCAP FINANCIAL 7 375%	5,000.00	125,000.00	126,267.50
MERRILL 7%	5,000.00	113,214.76	124,950.00
MERRILL LYNCH	2,000.00	41,565.00	50,100.00
MOLYCORP	2,000.00	111,293.14	49,500.00
ORIENTAL FIN GROUP 7.125%	1,000.00	25,000.00	25,820.00
PEBBLEBROOK HOTEL TRUST 8%	5,000.00	121,580.00	129,750.00
PENN REAL ESTATE INV TRUST 8.25%	3,500.00	87,500.00	91,980.00
PHOENIX 7.45	15,061.61	330,089.72	323,523.46
PREFERREDPLUS SPRINT 7%	1,000.00	19,985.00	24,650.00
PRIVATEBANKCORP 10%	3,000.00	77,535.16	76,050.00
PRUDENTIAL 5.75%	4,000 00	100,000.00	102,000.00
SUMMIT HOTEL 7.875%	5,000.00	125,000.00	126,550.00
TAYLOR CAP 8%	5,000 00	125,000.00	126,000.00
WEBSTER FINANCIAL	5,000.00	125,000.00	124,500.00
WINTHROP REALTY 9 25%	7,000.00	177,679.00	185,080.00
ZION'S CAPITAL 8%	1,000.00	23,398.00	25,440.00
TOTAL PREFERREDS		3,408,250.16	3,435,420.46
BONDS			
FERRELLGAS PARTNERS 8.625	100,000.00	96,091.57	100,000.00
GOLDMAN SACHS GROUP 4.75%	12,000.00	11,914.29	12,251.64
HORIZON TECH 7.375% NOTES	4,000.00	100,000.00	102,000.00
HORNBECK OFFSHORE 8%	10,000.00	9,875.12	10,700.00
STIFEL 5.375%	10,000.00	250,000.00	253,750.00
STIFEL 6.7%	10,000.00	250,000.00	264,000.00
TRIANGLE CAP 7%	5,000.00	125,000.00	131,500.00
TOTAL BONDS		842,880.98	874,201.64
MUTUAL FUNDS			
MFS INTERMEDIATE	3,399.00	22,331.43	21,889.56
TOTAL MUTUAL FUNDS		22,331.43	21,889.56
NET CASH EQUIVALENTS		153,408.08	153,408.08
GRAND TOTAL		8,186,864 31	8,254,163.20

James P. Raymond Jr. Charitable Foundation
2012 Contributions Received From James P. Raymond, Jr. Estate

	Quantity	Date	Cost Basis	Fair Market Value
Cash transfer from James P. Raymond, Jr. Estate		05/03/12	49,723 60	49,723.60
Cash transfer from James P. Raymond, Jr. Estate		05/11/12	0 14	0 14
Cash transfer from James P. Raymond, Jr. Estate		07/16/12	145,982.62	145,982.62
Cash transfer from James P. Raymond, Jr Estate		12/06/12	40,500.64	40,500 65
Securities Transferred In To Stifel Nicolaus				
AT&T	7,386.495	11/30/12	252,101 07	252,101.07
ALCOA	10,000.000	11/30/12	105,784.74	84,100.00
ALHPA NATURAL RESOURSES	5,000.000	11/30/12	47,704 60	37,400 00
ALTRIA GROUP	1,039.000	11/30/12	21,066 70	35,128 59
AMERICAN CAPITAL AGENCY	2,000 000	11/30/12	57,690 00	63,100 00
AMERICAN CAPITAL AGENCY PFD	5,000 000	11/30/12	125,000.00	130,000 00
ANNALY CAPITAL	5,000.000	11/30/12	125,000.00	124,700.00
ARCH COAL INC	5,000.000	11/30/12	61,605 00	33,600 00
ARES CAPITAL CORP	5,000.000	11/30/12	84,050 00	88,700.00
BB&T CORP PFD 5.85%	5,000.000	11/30/12	125,000 00	129,650 00
BB&T CORP PFD 5.625%	10,000.000	11/30/12	250,000 00	254,500.00
BP PLC	5,000.000	11/30/12	208,800 00	208,800 00
BANCCORPSOUTH CAPITAL	5,000.000	11/30/12	129,427.18	129,950 00
BRISTOL MYERS SQUIBB	1,000 000	11/30/12	26,681.00	32,630.00
CVS CAREMARK	200.000	11/30/12	5,095 12	9,302.00
CAMPUS CREST	1,000.000	11/30/12	25,000 00	26,800.00
CAPITAL ONE FINL CORP	5,000 000	11/30/12	125,000 00	124,750 00
CENTERPOINT ENERGY	7,825 000	11/30/12	154,387.25	154,387 25
CENTURYLINK INC	467.000	11/30/12	18,138.28	18,138.28
CHEVRON	1,000.000	11/30/12	105,690 00	105,690 00
CITIGROUP CAPITAL TR IX	10,000.000	11/30/12	233,729 40	250,100 00
CLIFFS NATURAL RES INC	2,000.000	11/30/12	112,447.50	57,500.00
COLONY FINANCIAL	6,000 000	11/30/12	152,740 00	156,660 00
CORNING	302 000	11/30/12	5,470.73	3,693 46
COUNTRYWIDE CAP PFD 7%	10,000.000	11/30/12	231,588 00	251,300.00
DEERE & COMPANY	600.000	11/30/12	44,193 00	50,430 00
DU PONT E.I DE NEMOURS	1,491.000	11/30/12	64,321 74	64,321 74
ENTERGY	4,512 000	11/30/12	286,692.48	286,692.48
EXXON MOBIL	5,000.000	11/30/12	440,700 00	440,700.00
FIFTH STREET FINANCE 5.875%	5,000.000	11/30/12	125,000.00	123,250.00
FIRST PACTRUST BANCORP	2,000.000	11/30/12	50,938.80	50,620 00
GENERAC HOLDINGS	1,000 000	11/30/12	22,693.30	32,630.00
GENERAL ELECTRIC	1,000.000	11/30/12	25,617 24	21,130 00
GOLDMAM SACHS GROUP	5,000.000	11/30/12	101,155.00	104,150.00
HALLIBURTON COMPANY	475.000	11/30/12	15,841.25	15,841 25
HATTERAS FINANCIAL	10,000.000	11/30/12	252,736.00	250,100 00
HERCULES TECH GROWTH	4,000.000	11/30/12	100,000.00	101,920 00
HORIZON TECH 7.375%	4,000.000	11/30/12	100,000 00	101,148.00
HORIZON TECHNOLOGY FINANCE CORP	5,000.000	11/30/12	81,000.00	70,250 00
INTEGRYS ENERGY	943 000	11/30/12	50,139 31	50,139 31
JOHNSON & JOHNSON	1,000.526	11/30/12	62,180 86	69,766.67
JOHNSON CONTROLS	5,000.000	11/30/12	151,289.23	137,700 00
KCAP FINANCIAL	5,000.000	11/30/12	125,000.00	127,750 00
KRONOS WORLDWIDE	4,010.000	11/30/12	64,601 10	64,601.10

James P. Raymond Jr. Charitable Foundation
2012 Contributions Received From James P. Raymond, Jr. Estate

	Quantity	Date	Cost Basis	Fair Market Value
LEXINGTON REALTY TRUST	4,500 000	11/30/12	42,525 00	43,155.00
LUFKIN INDS INC	2,162.000	11/30/12	118,391.12	118,391.12
MFS INTERMEDIATE INCOME TRUST	3,399.000	11/30/12	22,331 43	22,331.43
MERRILL LYNCH 7.28	2,000 000	11/30/12	41,565 00	50,680.00
MERCK & COMPANY	1,046.000	11/30/12	46,337.80	46,337.80
MERRILL LYNCH CUML PFD 7%	5,000.000	11/30/12	113,214 76	126,050.00
MOLYCORP	2,000.000	11/30/12	111,293.14	51,210.00
MURPHY OIL	2,890.000	11/30/12	163,978 60	163,978.60
NEXTERA ENERGY	1,690.000	11/30/12	116,119.90	116,119.90
ORIENTAL FINL GROUP	1,000.000	11/30/12	25,000 00	24,800.00
PHILIP MORRIS INTL	786.000	11/30/12	36,000.71	70,645.68
PEBBLEBROOK HOTEL TRUST	5,000.000	11/30/12	121,580.00	131,100 00
PENNANTPARK INVESTMENT	10,000.000	11/30/12	105,500.00	107,700 00
PENNSYLVANIA RESL EST INVT TR 8.25%	3,500.000	11/30/12	87,500.00	91,105 00
PEPSICO	100.000	11/30/12	5,659.12	7,021.00
PHOENIX COMPANIES	10,061.614	11/30/12	218,887.72	242,786.74
PLUM CREEK TIMBER	2,035.000	11/30/12	87,199.75	87,199 75
PREDERREDPLUS SPRINT 7%	1,000.000	11/30/12	19,985.00	24,866.90
PRIVATEBANCORP CAPITAL	3,000.000	11/30/12	77,535 16	77,700 00
PROCTER & GAMBLE	1,000 824	11/30/12	62,691 03	69,887 53
RAYONIER INC	741.000	11/30/12	36,931 44	36,931 44
STIFEL FINANCIAL CORP 6.7%	10,000.000	11/30/12	250,000.00	265,400.00
TAYLOR CAP GRP 8%	5,000 000	11/30/12	125,000.00	127,150 00
TRIANGLE CAPITAL 7%	5,000 000	11/30/12	125,000.00	130,150 00
TRANSPORTADORA DE GAS	894.000	11/30/12	1,341.00	1,341 00
UNITED PARCEL SERVICE	300 000	11/30/12	21,819.08	21,933 00
VALHI INC	1,275.000	11/30/12	15,351 00	15,351 00
VERIZON COMMUNICATIONS	2,795.996	11/30/12	123,359.34	123,359 34
WINTHROP REALTY 9 25%	7,000.000	11/30/12	177,679.00	189,266 00
ZIONS CAPITAL 8%	1,000.000	11/30/12	23,398.00	25,480 00
GOLDMAN SACHS GROUP 4 75%	12,000.000	11/30/12	11,914.29	12,288.24
FERRELLGAS PARTNERS 8.625%	100,000 000	11/30/12	96,091.57	99,000.00
HORNBECK OFFSHORE 8.0%	10,000.000	11/30/12	9,875.12	10,750.00
Transfers Total 11/30/12			7,594,350 96	7,657,287 67
Securities Transferred In To Stifel Nicolaus				
PRUDENTIAL FINANCIAL 5.75% NOTES	8,000.000	12/05/12	200,000.00	199,250.40
WEBSTER FINANCIAL PFD 6.4%	5,000.000	12/05/12	125,000.00	125,100.00
Transfers Total 12/05/12			325,000.00	324,350 40
TOTAL CONTRIBUTIONS RECEIVED 2012			8,155,557.96	8,217,845.08
Cash Contributions Received			236,207.00	236,207.01
Securities Contributions Received			7,919,350.96	7,981,638.07
TOTAL CONTRIBUTIONS RECEIVED 2012			8,155,557 96	8,217,845.08

Form **8868**
(Rev. January 2013)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ **File a separate application for each return.**

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ▶ ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (*e-file*). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit *www irs gov/efile* and click on *e-file for Charities & Nonprofits.*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ▶ ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print File by the due date for filing your return See instructions	Name of exempt organization or other filer, see instructions JAMES P. RAYMOND CHARITABLE FOUNDATION	Employer identification number (EIN) or 31-1476555
	Number, street, and room or suite no. If a P O box, see instructions 935 GRAVIER STREET, SUITE 1860	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions NEW ORLEANS, LA 70112	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ __________
  Telephone No ▶ __________ FAX No. ▶ __________
- If the organization does not have an office or place of business in the United States, check this box ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ▶ ☐ If it is for part of the group, check this box ▶ ☐ and attach a list with the names and EINs of all members the extension is for

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until AUGUST 15, 2013 , to file the exempt organization return for the organization named above The extension is for the organization's return for
▶ ☒ calendar year 2012 or
▶ ☐ tax year beginning __________ , and ending __________

2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return
☐ Change in accounting period

3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	3a	$ 0.
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit	3b	$ 0.
c	**Balance due.** Subtract line 3b from line 3a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	3c	$ 0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

LHA **For Privacy Act and Paperwork Reduction Act Notice, see instructions.** Form **8868** (Rev 1-2013)

- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box ▶ ☒

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return See instructions	Name of exempt organization or other filer, see instructions JAMES P. RAYMOND CHARITABLE FOUNDATION	Employer identification number (EIN) or 31-1476555
	Number, street, and room or suite no If a P O box, see instructions 935 GRAVIER STREET, SUITE 1860	Social security number (SSN)
	City, town or post office, state, and ZIP code For a foreign address, see instructions NEW ORLEANS, LA 70112	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	[illegible]	[illegible]
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ▶

  Telephone No ▶ FAX No ▶
- If the organization does not have an office or place of business in the United States, check this box ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the whole group, check this box ▶ ☐ . If it is for part of the group, check this box ▶ ☐ and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until NOVEMBER 15, 2013

5 For calendar year 2012 , or other tax year beginning ______ , and ending ______

6 If the tax year entered in line 5 is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

7 State in detail why you need the extension

ADDITIONAL TIME IS NEEDED TO COMPILE THE INFORMATION NECESSARY TO FILE A COMPLETE AND ACCURATE RETURN.

8a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	8a	$ 0.
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	$ 0.
c	**Balance due.** Subtract line 8b from line 8a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	8c	$ 0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature ▶ Carl North Title ▶ CPA Date ▶ 8-13-13