



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form 990-PF

Department of the Treasury
Internal Revenue ServiceReturn of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to public inspection

For calendar year 2012 or tax year beginning

, and ending

Name of foundation NEW YORK STATE HEALTH FOUNDATION		A Employer identification number 30-0127892
Number and street (or P.O. box number if mail is not delivered to street address) 1385 BROADWAY, 23RD FLOOR		B Telephone number 212-664-7656
City or town, state, and ZIP code NEW YORK, NY 10018-6013		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 280,194,628.	J Accounting method: ☐ Cash ☒ Accrual ☐ Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received			N/A	
2 Check ☒ if the foundation is not required to attach Sch. B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	3,628,619.	7,827,008.		STATEMENT 1
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	3,642,958.			
b Gross sales price for all assets on line 6a	34,000,296.			
7 Capital gain net income (from Part IV, line 2)		7,962,084.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss)				
11 Other income	227,638.	74,117.		STATEMENT 2
12 Total. Add lines 1 through 11	7,499,215.	15,863,209.		
13 Compensation of officers, directors, trustees, etc.	445,213.	0.		445,213.
14 Other employee salaries and wages	2,417,147.	319,479.		2,073,446.
15 Pension plans, employee benefits	715,890.	79,903.		636,052.
16a Legal fees STMT 3	26,355.	0.		30,867.
b Accounting fees STMT 4	32,000.	0.		31,313.
c Other professional fees STMT 5	614,488.	474,527.		140,490.
17 Interest				
18 Taxes STMT 6	145,000.	149,750.		0.
19 Depreciation and depletion	110,471.	0.		
20 Occupancy	738,470.	81,232.		629,890.
21 Travel, conferences, and meetings	125,459.	3,366.		120,453.
22 Printing and publications	30,898.	0.		30,898.
23 Other expenses STMT 7	394,534.	257,196.		367,931.
24 Total operating and administrative expenses. Add lines 13 through 23	5,795,925.	1,365,453.		4,506,553.
25 Contributions, gifts, grants paid	10,173,217.			11,639,404.
26 Total expenses and disbursements. Add lines 24 and 25	15,969,142.	1,365,453.		16,145,957.
27 Subtract line 26 from line 12	-8,469,927.			
a Excess of revenue over expenses and disbursements				
b Net investment income (if negative, enter -0-)		14,497,756.		
c Adjusted net income (if negative, enter -0-)			N/A	

223501
12-05-12

LHA For Paperwork Reduction Act Notice, see instructions.

Form 990-PF (2012)

SCANNED JUL 26 2013

614

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year		End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1 Cash - non-interest-bearing	1,259,742.	640,396.	640,396.	
	2 Savings and temporary cash investments	1,440,685.	1,029,658.	1,029,658.	
	3 Accounts receivable ▶				
	Less allowance for doubtful accounts ▶				
	4 Pledges receivable ▶				
	Less allowance for doubtful accounts ▶				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons				
	7 Other notes and loans receivable ▶				
	Less allowance for doubtful accounts ▶				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges	60,223.	18,971.	18,971.	
	10a Investments - U S and state government obligations				
	b Investments - corporate stock				
	c Investments - corporate bonds				
Liabilities	11 Investments - land, buildings, and equipment basis ▶				
	Less accumulated depreciation ▶				
	12 Investments - mortgage loans				
	13 Investments - other STMT 8	267,964,234.	276,527,553.	276,527,553.	
	14 Land, buildings, and equipment basis ▶ 860,081.				
	Less accumulated depreciation ▶ 551,871.	411,582.	308,210.	308,210.	
	15 Other assets (describe STATEMENT 9)	1,934,649.	1,669,840.	1,669,840.	
	16 Total assets (to be completed by all filers)	273,071,115.	280,194,628.	280,194,628.	
	17 Accounts payable and accrued expenses	296,961.	336,539.		
	18 Grants payable	9,113,726.	7,536,192.		
Net Assets or Fund Balances	19 Deferred revenue				
	20 Loans from officers, directors, trustees, and other disqualified persons				
	21 Mortgages and other notes payable				
	22 Other liabilities (describe STATEMENT 10)	1,004,509.	1,255,054.		
	23 Total liabilities (add lines 17 through 22)	10,415,196.	9,127,785.		
	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ ☒				
	24 Unrestricted	262,621,614.	271,066,843.		
	25 Temporarily restricted	34,305.	0.		
	26 Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☐				
	27 Capital stock, trust principal, or current funds				
	28 Paid-in or capital surplus, or land, bldg, and equipment fund				
	29 Retained earnings, accumulated income, endowment, or other funds				
	30 Total net assets or fund balances	262,655,919.	271,066,843.		
	31 Total liabilities and net assets/fund balances	273,071,115.	280,194,628.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	262,655,919.
2 Enter amount from Part I, line 27a	2	-8,469,927.
3 Other increases not included in line 2 (itemize) ▶ UNREALIZED GAIN ON INVESTMENTS	3	17,221,697.
4 Add lines 1, 2, and 3	4	271,407,689.
5 Decreases not included in line 2 (itemize) ▶ DEFERRED FEDERAL EXCISE TAX	5	340,846.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	271,066,843.

Form 990-PF (2012)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 34,000,294.		31,893,570.	7,962,084.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			
b			
c			
d			
e			7,962,084.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	7,962,084.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	16,696,087.	278,449,597.	.059961
2010	16,593,248.	268,239,596.	.061860
2009	16,577,550.	265,726,299.	.062386
2008	13,858,855.	287,159,627.	.048262
2007	10,753,787.	291,746,752.	.036860

2 Total of line 1, column (d)	2	.269329
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.053866
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	273,474,679.
5 Multiply line 4 by line 3	5	14,730,987.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	144,978.
7 Add lines 5 and 6	7	14,875,965.
8 Enter qualifying distributions from Part XII, line 4	8	16,153,056.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate
See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		1	144,978.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		2	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		3	144,978.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
3 Add lines 1 and 2		5	144,978.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments			
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a	193,229.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	193,229.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	3.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	48,248.	
11 Enter the amount of line 10 to be credited to 2013 estimated tax	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ 0. (2) On foundation managers ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Form 990-PF (2012)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address WWW.NYSHEALTH.ORG	X	
14	The books are in care of THE FOUNDATION Telephone no 212-664-7656 Located at 1385 BROADWAY, 23RD FLOOR, NEW YORK, NY ZIP+4 10018-6013		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year 15 N/A		
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country 16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☒ Yes ☐ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here 1b		X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012? 1c		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? If "Yes," list the years 2a	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) 2b	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 2c		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.) 3b	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012? 4b		X

Form 990-PF (2012)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☒ Yes ☐ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

X

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Attachment G☒ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No

7b

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 11		445,213.	32,342.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NICHOLAS SMIRENSKY - 1385 BROADWAY, 23RD FLOOR, NEW YORK, NY 10018	CHIEF INVESTMENT OFFICER 28.00	316,878.	45,004.	0.
DAVID SANDMAN - 1385 BROADWAY, 23RD FLOOR, NEW YORK, NY 10018	SENIOR VICE PRESIDENT 40.00	259,547.	37,635.	0.
AMY DRIVER - 1385 BROADWAY, 23RD FLOOR, NEW YORK, NY 10018	SENIOR DIRECTOR, FINANCE AND OPERAT 40.00	211,954.	26,494.	0.
JACQUELINE MARTINEZ - 1385 BROADWAY, 23RD FLOOR, NEW YORK, NY 10018	VICE PRESIDENT, PROGRAM 40.00	182,357.	30,928.	0.
MAUREEN COZINE - 1385 BROADWAY, 23RD FLOOR, NEW YORK, NY 10018	SENIOR DIRECTOR, COMMUNICATIONS 40.00	169,299.	21,162.	0.
Total number of other employees paid over \$50,000			13	

Form 990-PF (2012)

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
FREE RANGE STUDIOS - 1605 CONNECTICUT AVENUE NW, WASHINGTON, DC 20009	WEB DESIGN CONSULTANTS	86,646.

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 HEALTH CARE CONFERENCES - SEE ATTACHMENT D	
	76,419.
2 WEBSITE REDESIGN - SEE ATTACHMENT D	
	143,883.
3 VETERANS AFFAIRS - SEE ATTACHMENT D	
	134,594.
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	0.

Form 990-PF (2012)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	275,313,842.
b	Average of monthly cash balances	1b	2,325,426.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	277,639,268.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	277,639,268.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	4,164,589.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	273,474,679.
6	Minimum investment return. Enter 5% of line 5	6	13,673,734.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	13,673,734.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	144,978.
b	Income tax for 2012 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	144,978.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	13,528,756.
4	Recoveries of amounts treated as qualifying distributions	4	163,636.
5	Add lines 3 and 4	5	13,692,392.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	13,692,392.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	16,145,957.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	7,099.
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	16,153,056.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	144,978.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	16,008,078.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2012)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				13,692,392.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			3,290,202.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2012:				
a From 2007				
b From 2008				
c From 2009				
d From 2010				
e From 2011				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2012 from Part XII, line 4: ► \$ 16,153,056.				
a Applied to 2011, but not more than line 2a			3,290,202.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	370,000.	Attachment H		
d Applied to 2012 distributable amount				12,492,854.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	370,000.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				1,199,538.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	370,000.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2008				
b Excess from 2009				
c Excess from 2010				
d Excess from 2011				
e Excess from 2012				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- 1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling

- b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

- 2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

- b 85% of line 2a

- c Qualifying distributions from Part XII, line 4 for each year listed

- d Amounts included in line 2c not used directly for active conduct of exempt activities

- e Qualifying distributions made directly for active conduct of exempt activities

- 3 Subtract line 2d from line 2c
Complete 3a, b, or c for the alternative test relied upon

- a "Assets" alternative test - enter

- (1) Value of all assets

- (2) Value of assets qualifying under section 4942(j)(3)(B)(i)

- b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

- c "Support" alternative test - enter

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

- (3) Largest amount of support from an exempt organization

- (4) Gross investment income

Tax year

(a) 2012

(b) 2011

Prior 3 years

(c) 2010

(d) 2009

(e) Total

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**1 Information Regarding Foundation Managers:**

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

- a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

JAMES KNICKMAN

1385 BROADWAY, 23RD FLOOR, NEW YORK, NY 10018

- b The form in which applications should be submitted and information and materials they should include

SEE WWW.NYSHEALTH.ORG

- c Any submission deadlines

SEE WWW.NYSHEALTH.ORG

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE WWW.NYSHEALTH.ORG

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHMENT B				11,639,404.
Total			3a	11,639,404.
b Approved for future payment				
SEE ATTACHMENT C				7,536,192.
Total			3b	7,536,192.

NEW YORK STATE HEALTH FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a ATTACHMENT A		P	VARIOUS	VARIOUS
b WELLINGTON REALIZED LOSSES THROUGH K-1		P	VARIOUS	VARIOUS
c OAK TREE REALIZED GAINS THROUGH K-1		P	VARIOUS	VARIOUS
d BLACKROCK BARCLAY'S GOVERNMENT CREDIT INDEX FUND		P	VARIOUS	VARIOUS
e BLACKROCK 7-10 TREASURY INDEX FUND GAINS THROUGH		P	VARIOUS	VARIOUS
f BLACKROCK US TIPS FUND GAINS THROUGH K-1		P	VARIOUS	VARIOUS
g BLACKROCK MSCI EMERGING MARKETS INDEX FUND GAINS		P	VARIOUS	VARIOUS
h BLACKROCK ACWI EX-US INDEX FUND GAINS THROUGH K-1		P	VARIOUS	VARIOUS
i BLACKROCK RUSSELL 1000 B INDEX FUND GAINS THROUGH		P	VARIOUS	VARIOUS
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 34,000,294.		31,893,570.	2,106,724.
b			-1,348,270.
c			193,683.
d			866,175.
e			1,053,718.
f			524,799.
g			199,757.
h			1,645,352.
i			2,720,146.
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			2,106,724.
b			-1,348,270.
c			193,683.
d			866,175.
e			1,053,718.
f			524,799.
g			199,757.
h			1,645,352.
i			2,720,146.
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	7,962,084.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	N/A

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
-------------	--	-----------	---

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
DIVIDENDS	2,557,962.	0.	2,557,962.
INTEREST	1,070,657.	0.	1,070,657.
TOTAL TO FM 990-PF, PART I, LN 4	3,628,619.	0.	3,628,619.

FORM 990-PF	OTHER INCOME	STATEMENT	2
-------------	--------------	-----------	---

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
PROGRAM RELATED INVESTMENT INTEREST	10,546.	10,546.	
OTHER K-1 INCOME	0.	63,571.	
GRANT REDUCTIONS	110,163.	0.	
GRANT REFUNDS	1,185.	0.	
RENTAL INCOME	105,744.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	227,638.	74,117.	

FORM 990-PF	LEGAL FEES	STATEMENT	3
-------------	------------	-----------	---

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	26,355.	0.		30,867.
TO FM 990-PF, PG 1, LN 16A	26,355.	0.		30,867.

FORM 990-PF

ACCOUNTING FEES

STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	32,000.	0.		31,313.
TO FORM 990-PF, PG 1, LN 16B	32,000.	0.		31,313.

FORM 990-PF

OTHER PROFESSIONAL FEES

STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CONSULTING FEES	185,961.	46,000.		140,490.
INVESTMENT MANAGEMENT	428,527.	428,527.		0.
TO FORM 990-PF, PG 1, LN 16C	614,488.	474,527.		140,490.

FORM 990-PF

TAXES

STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAX	145,000.	0.		0.
FOREIGN TAXES PAID	0.	149,750.		0.
TO FORM 990-PF, PG 1, LN 18	145,000.	149,750.		0.

FORM 990-PF

OTHER EXPENSES

STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OFFICE EXPENSES & IT	251,187.	19,395.		225,310.
INSURANCE	49,441.	0.		49,441.
OUTREACH AND PUBLIC EVENTS	84,788.	790.		84,063.
MISCELLANEOUS	9,118.	0.		9,117.
OTHER EXPENSES THROUGH K-1	0.	237,011.		0.
TO FORM 990-PF, PG 1, LN 23	394,534.	257,196.		367,931.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	8
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHMENT E	FMV	276,527,553.	276,527,553.
TOTAL TO FORM 990-PF, PART II, LINE 13		276,527,553.	276,527,553.

FORM 990-PF	OTHER ASSETS	STATEMENT	9
DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
ACCRUED INVESTMENT INCOME	753,217.	670,701.	670,701.
DEPOSITS	54,842.	0.	0.
PREPAID FEDERAL EXCISE TAX	13,000.	48,000.	48,000.
PROGRAM RELATED INVESTMENTS	1,113,590.	951,139.	951,139.
TO FORM 990-PF, PART II, LINE 15	1,934,649.	1,669,840.	1,669,840.

FORM 990-PF	OTHER LIABILITIES	STATEMENT	10
DESCRIPTION	BOY AMOUNT	EOY AMOUNT	
DEFERRED FEDERAL EXCISE TAX	340,000.	681,000.	
DEFERRED RENT	551,689.	574,054.	
OTHER LIABILITIES	82,820.	0.	
FEDERAL EXCISE TAX PAYABLE	30,000.	0.	
TOTAL TO FORM 990-PF, PART II, LINE 22	1,004,509.	1,255,054.	

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 11

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
JAMES R. KNICKMAN 1385 BROADWAY, 23RD FLOOR NEW YORK, NY 10018	PRESIDENT & CEO 40.00	445,213.	32,342.	0.
PAUL BADER 1385 BROADWAY, 23RD FLOOR NEW YORK, NY 10018	BOARD MEMBER 3.00	0.	0.	0.
JO-ANN COSTANTINO 1385 BROADWAY, 23RD FLOOR NEW YORK, NY 10018	BOARD MEMBER 3.00	0.	0.	0.
ROBERT G. SMITH 1385 BROADWAY, 23RD FLOOR NEW YORK, NY 10018	BOARD MEMBER 3.00	0.	0.	0.
LARAY BROWN 1385 BROADWAY, 23RD FLOOR NEW YORK, NY 10018	BOARD MEMBER 3.00	0.	0.	0.
JOHN LANE 1385 BROADWAY, 23RD FLOOR NEW YORK, NY 10018	BOARD MEMBER 3.00	0.	0.	0.
CARL WEISBROD 1385 BROADWAY, 23RD FLOOR NEW YORK, NY 10018	BOARD MEMBER 3.00	0.	0.	0.
ANGELA DIAZ 1385 BROADWAY, 23RD FLOOR NEW YORK, NY 10018	BOARD MEMBER 3.00	0.	0.	0.
LUCY N. FRIEDMAN 1385 BROADWAY, 23RD FLOOR NEW YORK, NY 10018	BOARD MEMBER 3.00	0.	0.	0.
JOHN L PALMER 1385 BROADWAY, 23RD FLOOR NEW YORK, NY 10018	BOARD MEMBER 3.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		445,213.	32,342.	0.

New York State Health Foundation
 EIN 30-0127892
 Form 990-PF. Part IV - Capital Gains and Losses
 December 31, 2012

Name of Security	Date Sold	No of Shares	Cost	Sales Proceeds	Realized Gains (Losses)
CVS Caremark 5 75%	12/26/2012	384,000 00	390,128 64	453,841 92	63,713 28
FISERV 3 125% 15-Jun-2016/2017	9/19/2012	1,000,000 00	1,000,415 00	1,046,590 00	46,175 00
General Mills 5 650%	9/10/2012	1,000,000 00	1,097,230 00	1,000,000 00	(97,230 00)
Kraft Foods Inc 6 5%	10/4/2012	125,000 00	123,795 00	169,065 00	45,270 00
Southern Copper 6 75%	2/29/2012	1,000,000 00	1,001,270 00	1,090,520 00	89,250 00
Time Warner 5 4%	7/2/2012	1,000,000 00	1,044,990 00	1,000,000 00	(44,990 00)
7-10 year Treasury fund	4/16/2012	320 69	5,665 35	5,553 23	(112 12)
7-10 year Treasury fund	5/24/2012	215 31	3,803 69	3,819 55	15 86
7-10 year Treasury fund	8/10/2012	218 74	3,864 29	3,927 14	62 85
Barclays Govt/Credit	4/16/2012	106 68	4,422 95	4,342 28	(80 67)
Barclays Govt/Credit	5/24/2012	106 99	4,435 81	4,407 24	(28 57)
Barclays Govt/Credit	8/10/2012	106 80	4,427 93	4,473 84	45 91
Barclays Govt/Credit	9/6/2012	168,419 79	6,982,686 83	7,097,925 78	115,238 95
Barclays Govt/Credit	9/6/2012	2,422 02	101,143 91	102,074 22	930 31
Barclays Govt/Credit	10/17/2012	35,463 25	1,480,950 45	1,500,000 00	19,049 55
Barclays Govt/Credit	10/30/2012	59,502 55	2,484,835 11	2,500,000 00	15,164 89
Barclays Govt/Credit	11/15/2012	57,636 22	2,406,896 90	2,448,284 72	41,387 82
Barclays Govt/Credit	11/15/2012	27,113 07	1,136,713 69	1,151,715 28	15,001 59
Barclays Govt/Credit	12/20/2012	101,763 69	4,266,436 04	4,300,000 00	33,563 96
BGI Russell 1000 Index Fund	4/13/2012	190 85	2,486 11	3,020 47	534 36
BGI Russell 1000 Index Fund	5/23/2012	219 10	2,854 11	3,310 74	456 63
BGI Russell 1000 Index Fund	8/9/2012	203 24	2,647 51	3,307 53	660 02
Blackrock ACWI ex-US Index Fund	4/17/2012	899 97	18,380 36	18,772 07	391 71
Blackrock ACWI ex-US Index Fund	5/25/2012	1,049 90	21,442 43	20,174 07	(1,268 36)
Blackrock ACWI ex-US Index Fund	8/13/2012	917 98	18,748 19	18,861 93	113 74
Blackrock Emerging Markets	4/17/2012	112 56	3,695 84	3,631 23	(64 61)
Blackrock Emerging Markets	5/25/2012	138 25	4,539 35	4,024 23	(515 12)
Blackrock Emerging Markets	8/13/2012	119 52	3,924 37	3,707 33	(217 04)
Blackrock TIPS Fund	4/16/2012	104 77	2,128 69	2,197 31	68 62
Blackrock TIPS Fund	5/24/2012	104 85	2,130 32	2,241 68	111 36
Blackrock TIPS Fund	8/10/2012	105 56	2,144 75	2,289 04	144 29
Wellington Global Natural Resources	1/31/2012	3,740 82	39,162 53	39,316 05	153 52
Wellington Global Natural Resources	4/30/2012	4,074 02	42,650 70	40,862 37	(1,788 33)
Wellington Global Natural Resources	7/31/2012	3,930 22	41,145 30	35,725 68	(5,419 62)
Wellington Global Natural Resources	10/31/2012	3,767 71	39,444 03	36,735 19	(2,708 84)
Ishares Trust Barclays TIPS BD	1/11/2012	8,000 00	799,848 13	938,920 36	139,072 23
Ishares Trust Barclays TIPS BD	1/26/2012	8,000 00	799,742 40	933,342 07	133,599 67
Ishares Trust Barclays TIPS BD	2/24/2012	8,400 00	842,327 04	994,030 54	151,703 50
Ishares Trust Barclays TIPS BD	3/15/2012	8,600 00	862,439 78	1,010,297 63	147,857 85
Ishares Trust Barclays TIPS BD	4/13/2012	6,200 00	621,760 56	737,173 40	115,412 84
Ishares Trust Barclays TIPS BD	5/10/2012	380 00	38,107 84	45,315 95	7,208 11
Ishares Trust Barclays TIPS BD	5/10/2012	8,120 00	816,708 79	968,137 59	151,428 80
Ishares Trust Barclays TIPS BD	6/12/2012	5,180 00	521,003 88	621,513 04	100,509 16
Ishares Trust Barclays TIPS BD	6/12/2012	3,000 00	303,030 00	359,929 20	56,899 20
Ishares Trust Barclays TIPS BD	6/12/2012	120 00	11,928 18	14,339 57	2,411 39
Ishares Trust Barclays TIPS BD	12/12/2012	25,000 00	2,485,037 50	3,065,786 31	580,748 81
Van Eck Global Capital Gain Distribution			-	186,792	186,792
TOTAL			31,893,570	34,000,294	2,106,724

Grants Paid FYE 2012										
Request Number	Organization Name	Address	City	State	Zip/Postal Code	Organization Type	Organization AKA Name	Project Title	Payment Date	Amount
10-00209	Active Minds, Inc	2647 Connecticut Ave, NW #200	Washington	DC	20008	Non-Profit	Active Minds	Changing the Conversation about Mental Health on New York State College Campuses	7/24/2012	\$31,250.00
12-01418	Adirondack Health Institute, Inc	9 Carey Road	Queensbury	New York	12804	Non-Profit	Upper Hudson Primary Care Consortium	Sustaining the Success of the Primary Care Medical Home Model in the Adirondacks	7/10/2012	\$152,455.00
11-02820	Affinity Health Plan Inc	2500 Halsey Street 248 West 35th Street, 7th Floor	Bronx	NY	10461	Non-Profit	Coalition of New York State Public Health Plans (fiscal intermediary)	Maintaining Continuity of Coverage for Low-Income New Yorkers	5/1/2012	\$19,228.00
11-00412	Amida Care, Inc		New York	NY	10001	Non-Profit		Access to Care AmidaCONNECT	12/7/2012	\$37,500.00
11-00404	Anthony L. Jordan Health Corporation	82 Holland Street	Rochester	NY	14605	Non-Profit	Anthony Jordan Health Center	Combining the Jordan and Westside Health Centers to Preserve Primary Care Access	2/1/2012	\$67,500.00
11-00404	Anthony L. Jordan Health Corporation	82 Holland Street	Rochester	NY	14605	Non-Profit	Anthony Jordan Health Center	Combining the Jordan and Westside Health Centers to Preserve Primary Care Access	11/14/2012	\$67,500.00
3923259	Brooklyn Community Foundation	45 Main Street, Suite 409	Brooklyn	NY	11201	Non-Profit		New York City Italian Community Hope and Healing Fund	3/29/2012	\$20,000.00
10-02176	Buffalo Medical Group, P.C	85 High Street	Buffalo	NY	14203	Profit		Meeting the Mark: Achieving Excellence in Diabetes Care	8/14/2012	\$58,000.00
10-02176	Buffalo Medical Group, P.C	85 High Street	Buffalo	NY	14203	Profit		Meeting the Mark: Achieving Excellence in Diabetes Care	1/19/2012	\$14,500.00
11-00199	Buffalo Psychiatric Center	400 Forest Avenue	Buffalo	NY	14213	Public/Government		Meeting the Mark: Achieving Excellence in Diabetes Care for Small Practices	1/13/2012	\$4,000.00
12-00997	Catholic Health System of Long Island	4295 Hempstead Turnpike	Bethpage	NY	11714	Non-Profit		Systemwide Expansion of the Diabetes Center of Excellence	5/18/2012	\$122,995.00
11-00558	Center for Excellence in Health Care Journalism	10 Neff Hall	Columbia	MO	65211	Non-Profit		2012 New York Health Journalism Fellowships	6/6/2012	\$6,000.00
12-01685	Center for Excellence in Health Care Journalism	10 Neff Hall	Columbia	MO	65211	Non-Profit		2013 New York Health Journalism Fellowships	12/20/2012	\$24,000.00
11-00508	Center for Governmental Research, Inc	1 South Washington Street, Suite 400	Rochester	NY	14614	Non-Profit	CGR	The Future of Public Nursing Homes in New York State	4/26/2012	\$103,846.00
3403962	Center for Health Care Strategies, Inc	200 American Metro Blvd., Suite 119	Hamilton	NJ	08619-2311	Non-Profit		Evaluation Technical Assistance for NYSHealth Grantees and Applicants Phase 2	2/7/2012	\$46,665.13
12-01610	Center for Health Care Strategies, Inc	200 American Metro Blvd., Suite 119	Hamilton	NJ	08619-2311	Non-Profit	CHCS	New York State Health Homes Learning Collaborative	12/21/2012	\$103,329.00
11-00550	Champlain Valley Health Network, Inc	P O Box 2866	Plattsburgh	NY	12901	Profit	CVHN	Meeting the Mark: Achieving Excellence in Diabetes Care	2/16/2012	\$8,000.00

12-01380	Children's Defense Fund	15 Maiden Lane, Suite 1200	New York	NY	10038	Non-Profit	CDF - New York	School-Based Health Centers Growing and Sustaining Comprehensive Models for Pediatric and Adolescent Health	7/13/2012	\$63,828.00
12-01380	Children's Defense Fund	15 Maiden Lane, Suite 1200	New York	NY	10038	Non-Profit	CDF - New York	School-Based Health Centers Growing and Sustaining Comprehensive Models for Pediatric and Adolescent Health	9/14/2012	\$4,000.00
11-00433	Chinese Community Accountable Care Organization, Inc	128 Mott Street	New York	NY	10013	Profit	CCACO	Meeting the Mark: Achieving Excellence in Diabetes Care	7/13/2012	\$23,000.00
10-02050	Columbia University - College of Dental Medicine	630 West 168th Street, P&S Box 20	New York	NY	10032	Non-Profit		Integrating Diabetes Screening in Dental Care Settings	12/5/2012	\$40,013.00
2967543	Columbia University - Mailman School of Public Health	722 West 168th Street	New York	NY	10032	Non-Profit	Mailman School of Public Health	Advancing and Sustaining the Community Health Worker Field in New York State	9/28/2012	\$121,363.88
10-02512	Community Catalyst, Inc	475 Riverside Drive, Suite 1604	New York	NY	10025	Non-Profit	MergerWatch	Lessons from New York State Best Practices Concerning Merging Religious and Secular Hospitals	12/11/2012	\$15,000.00
11-00471	Community Health Care Association of New York State, Inc	535 Eighth Avenue, 8th Floor	New York	NY	10018	Non-Profit	CHCANYS	Developing a Statewide Growth & Sustainability Plan for New York's Federally Qualified Health Centers	7/30/2012	\$60,377.00
11-00306	Community Health Care Association of New York State, Inc	535 Eighth Avenue, 8th Floor	New York	NY	10018	Non-Profit	CHCANYS	Improving Diabetes Clinical Care at New York's Community Health Centers - Year 4	1/27/2012	\$161,174.00
11-00306	Community Health Care Association of New York State, Inc	535 Eighth Avenue, 8th Floor	New York	NY	10018	Non-Profit	CHCANYS	Improving Diabetes Clinical Care at New York's Community Health Centers - Year 4	12/13/2012	\$40,293.00
2948889	Community Health Center of Buffalo, Inc	462 GRIDER ST	BUFFALO	NY	14215-3021	Non-Profit		Increasing Access to Primary Care in Niagara Falls	11/14/2012	\$40,925.00
2934164	Community Health Project, Inc	356 West 18th Street	New York	NY	10011-4401	Non-Profit	dba Callen-Lorde Community Health Center	Establishing On-Site Pharmacy Access at a Community Health Center	5/29/2012	\$150,000.00
11-00424	Community Service Society of New York	105 E 22nd Street	New York	NY	10010	Non-Profit	CSS	Optimizing Consumer Protections in Health Reform Implementation	1/13/2012	\$160,000.00
11-00424	Community Service Society of New York	105 E 22nd Street	New York	NY	10010	Non-Profit	CSS	Optimizing Consumer Protections in Health Reform Implementation	11/14/2012	\$40,000.00
12-01521	Community Service Society of New York	105 E 22nd Street	New York	NY	10010	Non-Profit	CSS	Expanding the Small Business Assistance Program to Preserve and Increase Health Coverage	12/24/2012	\$960,000.00

12-01245	Council of Family and Child Caring Agencies, Inc	254 West 31 Street	New York	NY	10001	Non-Profit	COFCCA	Raising the Bar for Health/Mental Health Services for Children in Foster Care Developing Models of Managed Care	10/11/2012	\$176,000.00
1896102	Dr. Martin Luther King, Jr. Health Center, Inc	1265 Franklin Avenue	Bronx	NY	10456-3402	Non-Profit		The Intensive Wellness Program A Health and Mental Health Intervention for High Cost Patients	5/8/2012	\$85,157.50
11-00924	East Hill Family Medical, Inc	144 Genesee Street Metcalf Plaza, Suite 500	Auburn	NY	13021-3503	Non-Profit	Formerly Cayuga County Family Planning Center	Expanding Primary Care through the Integration of Services	4/5/2012	\$72,516.00
12-01031	Essen Medical Associates, P C	2015 Grand Concourse Avenue	Bronx	NY	10453	Profit		Meeting the Mark Achieving Excellence in Diabetes Care	5/18/2012	\$12,000.00
2519928	Excellus Health Plan, Inc	165 Court Street	Rochester	NY	14647	Non-Profit		Evaluating Patient-Centered Medical Home Pilot Project	6/29/2012	\$75,000.00
11-00914	Family Health Network of Central New York, Inc	17 Main Street, Suite 302	Cortland	NY	13045-6615	Non-Profit		Clean Teeth = Better Health Rural Dental Expansion	6/18/2012	\$80,000.00
11-00927	Finger Lakes Migrant Health Care Project, Inc	14 Maiden Lane	Penn Yan	NY	14527	Non-Profit	Finger Lakes Community Health	Aligning Priorities with Expanded Capacity	3/26/2012	\$55,865.00
11-00437	Fort Drum Regional Health Planning Organization, Inc	120 Washington Street, Suite 302	Watertown	NY	13601	Non-Profit		Meeting the Mark Achieving Excellence in Diabetes Care	1/20/2012	\$22,500.00
10-02476	Foundation for Quality Care, Inc	33 Elk Street, Suite 300	Albany	NY	12207-1062	Non-Profit		Implementation of the Gold STAMP Program to Reduce Pressure Ulcers in New York State	12/13/2012	\$75,000.00
11-00765	Fund for Public Health in New York, Inc	22 Cortlandt Street, 11th Floor, Suite 1103	New York	NY	10007	Non-Profit	FPHNY	Innovations in Monitoring Population Health Using Electronic Health Records	6/6/2012	\$200,000.00
10-01785	Fund for Public Health in New York, Inc	22 Cortlandt Street, 11th Floor, Suite 1103	New York	NY	10007	Non-Profit		NYC Nurse-Family Partnership Medicaid Billing Project	3/27/2012	\$26,239.00
11-00647	Girls Educational and Mentoring Services, Inc	298B West 149th Street	NY	NY	10039	Non-Profit	GEMS	Training Medical Professionals to Serve Commercially and Sexually Exploited Youth	4/16/2012	\$179,399.00
12-01427	Grantmakers for Effective Organizations	1725 DeSales Street, NW, Suite 404	Washington	DC	20036	Non-Profit	GEO	Annual Membership Grant July 1, 2012 - June 30, 2013	7/10/2012	\$5,750.00
12-01426	Grantmakers in Health	1100 Connecticut Avenue, NW	Washington	DC	20036	Non-Profit	GIH	Annual Membership Grant July 1, 2012 - June 30, 2013	7/10/2012	\$15,000.00
12-01563	Greater Hudson Valley Family Health Center, Inc	2570 US Highway 9W, Suite 10	Cornwall	NY	12518	Non-Profit	GHV/FHC Health Alliance Physician Organization	Exploring Expansion into the Southern Tier	12/21/2012	\$80,000.00
11-00416	Health Alliance Physicians PC	730 S. Crouse Ave	Syracuse	NY	13210	Profit		Meeting the Mark Achieving Excellence in Diabetes Care	4/20/2012	\$14,000.00
12-01481	Health Policy Associates, Inc	847 25th Avenue	San Francisco	CA	94121	Profit	HPA	Rpt. NYSHHealth's Work to Advance Integrated Care of Individuals with Co-Occurring Mental Health & Substance Use Disorder	9/28/2012	\$44,844.00
10-01924	His Branches, Inc	342 Arnett Blvd., Suite 1	Rochester	NY	14619-1147	Non-Profit	HBI	Achieving Article 28 Designation, Phase 2	5/29/2012	\$2,137.00
12-01118	Hospice and Palliative Care Association of New York State, Inc	2 Computer Drive West Suite 105	Albany	NY	12205	Non-Profit	HPCANYS	Expanding Access to Palliative Care Services in New York State	10/1/2012	\$78,503.00
12-01544	Hudson Headwaters Health Network	One Broad Street Plaza	Glens Falls	NY	12801	Non-Profit	HHHN	Expanding Capacity in Two Adirondack Communities	12/21/2012	\$80,000.00

11-00925	Hudson River HealthCare, Inc	1037 Main Street	Peekskill	NY	10566-2913	Non-Profit		Preserving Primary Care Capacity in Coram	3/30/2012	\$76,025 00
12-01590	Hudson River HealthCare, Inc	1037 Main Street	Peekskill	NY	10566-2913	Non-Profit	HRHCare	Preserving Poughkeepsie Access to Care (PPAC)	12/20/2012	\$80,000 00
12-01375	IM Research and Service Corporation	744 Broadway	Albany	NY	12207-2331	Profit	New York Chapter, American College of Physicians (ACP)	Improving Diabetes Care among New York's Internal Medicine Physicians, Year 4	7/6/2012	\$66,470 00
11-00312	IM Research and Service Corporation	744 Broadway	Albany	NY	12207-2331	Profit	New York Chapter, American College of Physicians	Improving Diabetes Care Among New York's Internal Medicine Physicians, Year 3	7/11/2012	\$21,117 00
1920882	Institute for Community Living, Inc	40 Rector Street, 8th Floor	New York	NY	10006	Non-Profit	c/o Integrated Wellness Partners	Integrated Wellness: Improving the Assessment and Management of Type II Diabetes in Adults with Serious Mental Illness	1/20/2012	\$127,836 00
11-00963	Institute for Family Health	16 East 16th Street	New York	NY	10003	Non-Profit	IFH	Preparing to Transform the Provider-Payer Relationship	4/16/2012	\$150,823 00
12-01034	Institute for Family Health	16 East 16th Street	New York	NY	10003	Non-Profit	IFH	Meeting the Mark: Achieving Excellence in Diabetes Care	10/11/2012	\$19,500 00
11-00832	Institute for Leadership Inc	245 West 135th Street	New York	NY	10030	Non-Profit	IFL	New York State Diabetes Campaign Faith-Based Initiative - Year 3	6/18/2012	\$10,000 00
10-02384	Institute for Leadership Inc	245 West 135th Street	New York	NY	10030	Non-Profit	IFL	New York State Faith-Based Diabetes Initiative - Year 2	3/26/2012	\$36,770 00
11-00832	Institute for Leadership Inc	245 West 135th Street	New York	NY	10030	Non-Profit	IFL	New York State Diabetes Campaign Faith-Based Initiative - Year 3	1/27/2012	\$122,686 00
11-00832	Institute for Leadership Inc	245 West 135th Street	New York	NY	10030	Non-Profit	IFL	New York State Diabetes Campaign Faith-Based Initiative - Year 3	7/13/2012	\$122,686 00
11-00832	Institute for Leadership Inc	245 West 135th Street	New York	NY	10030	Non-Profit	IFL	New York State Diabetes Campaign Faith-Based Initiative - Year 3	5/3/2012	\$122,686 00
11-00832	Institute for Leadership Inc	245 West 135th Street	New York	NY	10030	Non-Profit	IFL	New York State Diabetes Campaign Faith-Based Initiative - Year 3	11/8/2012	\$98,149 00
11-00832	Institute for Leadership Inc	245 West 135th Street	New York	NY	10030	Non-Profit	IFL	New York State Diabetes Campaign Faith-Based Initiative - Year 3	1/27/2012	\$22,500 00
19-19316	Jamaica Hospital - REFUNDED	8900 Van Wyck Expressway	Jamaica	NY	11418	Non-Profit		Expanding Technical Assistance Support and reach of NYSHealth Center for Excellence in Integrated Care (CEIC)	1/10/2012	-\$1,185 42
11-00200	Keuka Family Practice Associates, LLP	7573 State Route 54	Bath	NY	14810	Profit		Meeting the Mark: Achieving Excellence in Diabetes Care for Small Practices	3/26/2012	\$5,500 00
11-00595	Legal Aid Society	199 Water Street	New York	NY	10038	Non-Profit	The Legal Aid Society, Legal Aid, The Society, LAS	Preserving Health Care for Homeless New Yorkers	5/9/2012	\$151,815 00
12-01240	Long Island Home	400 Sunnyside Highway	Amityville	NY	11701	Non-Profit	South Oaks Hospital	Integration of Mental Health Services in Pediatric Care	11/8/2012	\$110,408 00
12-01026	Manhattan Institute for Policy Research, Inc	52 Vanderbilt Avenue	New York	NY	10017-3808	Non-Profit	MI	Better Health, Lower Cost Fulfilling the Promise of Medicaid's Redesign	4/5/2012	\$81,345 00

10-02099	Mathematica Policy Research, Inc	600 Maryland Avenue, SW, Suite 550	Washington	DC	20024-2512	Profit	Mathematica	Controlling Cost and Improving Care for New York State's Medicare and Medicaid Dual Eligibles	5/18/2012	\$29,114 00
10-02055	Medicare Rights Center, Inc	520 8th Avenue, North Wing, 3rd Floor	New York	NY	10018	Non-Profit	MRC	Creating Seamless Coverage for Elderly and Disabled New Yorkers	10/1/2012	\$39,119 00
12-01372	Medicare Rights Center, Inc	520 8th Avenue, North Wing, 3rd Floor	New York	NY	10018	Non-Profit	MRC	Improving the Development and Implementation of New York's Dual Integration Program	7/10/2012	\$156,196 00
3922100	Mental Health Association of New York City, Inc	50 Broadway, 19th Floor	New York	NY	10004	Non-Profit	mhadnyc	A Voice for Improving Mental Health Care for Veterans and Their Families	10/23/2012	\$31,250 00
11-00473	MFY Legal Services, Inc	299 Broadway, 4th Floor	New York	NY	10007	Non-Profit	MFY	Mental Health Legal Partnership	4/16/2012	\$40,000 00
10-02166	Monroe Plan for Medical Care	1120 Pittsford-Victor Road,	Pittsford	NY	14534	Non-Profit	MPMC	Meeting the Mark: Achieving Excellence in Diabetes Care	12/20/2012	\$56,000 00
12-01001	Monroe Plan for Medical Care	1120 Pittsford-Victor Road,	Pittsford	NY	14534	Non-Profit	MPMC	Meeting the Mark: Achieving Excellence in Diabetes Care	12/20/2012	\$26,000 00
12-01001	Monroe Plan for Medical Care	1120 Pittsford-Victor Road,	Pittsford	NY	14534	Non-Profit	MPMC	Meeting the Mark: Achieving Excellence in Diabetes Care	2/13/2012	\$14,000 00
11-00400	Mount Sinai School of Medicine	One Gustave L Levy Place, Box 1087	NY	NY	10029	Non-Profit		Meeting the Mark: Achieving Excellence in Diabetes Care	10/29/2012	\$110,000 00
11-00400	Mount Sinai School of Medicine	One Gustave L Levy Place, Box 1087	NY	NY	10029	Non-Profit		Meeting the Mark: Achieving Excellence in Diabetes Care	2/8/2012	\$28,000 00
12-01708	National Academy of Sciences	500 5th St, N W	Washington	DC	20001	Non-Profit	NAS, Institute of Medicine (IOM)	Roundtable on Population Health Improvement	12/21/2012	\$180,000 00
3235651	National Center on Addiction and Substance Abuse at Columbia University	633 3rd Avenue, 19th Floor	New York	NY	10017-8155	Non-Profit	CASA	Developing Sustainable Screening and Brief Intervention Programs for Substance Use Disorders in Emergency Departments	12/3/2012	\$53,739 00
10-02646	National Committee for Quality Assurance	1100 13th Street, NW, Suite 1000	Washington	DC	20005	Non-Profit	NCOA	Underwriting Fees for the National Diabetes Recognition Programs	4/20/2012	\$30,000 00
12-01219	National Committee for Quality Assurance	1100 13th Street, NW, Suite 1000	Washington	DC	20005	Non-Profit	NCOA	Underwriting Fees for the National Diabetes Recognition Programs	12/20/2012	\$250,000 00
11-00311	National Council on Aging	1901 L Street	Washington	DC	20036	Non-Profit	NCOA	Self-Care Management Alliance	9/14/2012	\$10,005 00
12-01049	National Urban Fellows, Inc	102 West 38th Street, 7th Floor	New York	NY	10018	Non-Profit	NUF	Internship of the National Urban Fellows, Class of 2013	7/17/2012	\$3,500 00
11-00249	National Urban Fellows, Inc	102 West 38th Street, 7th Floor	New York	NY	10018	Non-Profit	NUF	Internship of the National Urban Fellows, Class of 2012	6/6/2012	\$14,000 00
12-01049	National Urban Fellows, Inc	102 West 38th Street, 7th Floor	New York	NY	10018	Non-Profit	NUF	Internship of the National Urban Fellows, Class of 2013	6/18/2012	\$70,000 00
11-02788	NDRI State, Inc	71 West 23rd Street, 8th Floor	New York	NY	10010-3509	Non-Profit	NDRI State, Inc	NYSHealth's CEIC Tracking Progress in NYS Addiction & Mental Health Providers' Provision of Outpatient Integrated Care	3/16/2012	\$70,631 00

3426912	NDRI State, Inc	71 West 23rd Street, 8th Floor	New York	NY	10010-3509	Non-Profit	National Development and Research Institutes Inc	Expanding Technical Assistance Support and Reach of NYHealth Center for Excellence in Integrated Care (CEIC)	1/13/2012	\$110,642.00
3426912	NDRI State, Inc	71 West 23rd Street, 8th Floor	New York	NY	10010-3509	Non-Profit	National Development and Research Institutes Inc	Expanding Technical Assistance Support and Reach of NYHealth Center for Excellence in Integrated Care (CEIC)	12/6/2012	\$55,321.00
2496857	NDRI State, Inc	71 West 23rd Street, 8th Floor	New York	NY	10010-3509	Non-Profit	National Development and Research Institutes Inc	People First Ctr of Excellence - Integration of Care for Individuals with Co-Occurring Mental Health & Substance Abuse	1/19/2012	\$720,387.00
11-02788	NDRI State, Inc	71 West 23rd Street, 8th Floor	New York	NY	10010-3509	Non-Profit	NDRI State, Inc	NYHealth's CEIC Tracking Progress in NYS Addiction & Mental Health Providers' Provision of Outpatient Integrated Care	12/6/2012	\$35,316.00
2496857	NDRI State, Inc	71 West 23rd Street, 8th Floor	New York	NY	10010-3509	Non-Profit	National Development and Research Institutes Inc	People First Ctr of Excellence - Integration of Care for Individuals with Co-Occurring Mental Health & Substance Abuse	12/6/2012	\$40,021.00
11-00267	New York Academy of Medicine	1216 Fifth Avenue	New York	NY	10029	Non-Profit	NYAM	Implementing the Affordable Care Act in New York - A Roadmap for Improving Prevention & Population Health	9/26/2012	\$19,542.00
12-01595	New York Academy of Medicine	1216 Fifth Avenue	New York	NY	10029	Non-Profit	NYAM	Planning Grant to Leverage Federal Funds to Evaluate DPP in Vulnerable Populations in Non-YMCA Settings	12/13/2012	\$11,500.00
10-02552	New York Association for Brain Injured Children	1190 Troy Schenectady Road	Latham	NY	12110	Non-Profit	db/a Learning Disabilities Association of New York State	Preventing Fetal Alcohol Spectrum Disorders	7/25/2012	\$6,029.00
12-01343	New York Association on Independent Living	99 Washington Ave	Albany	New York	12210	Non-Profit	NYAIL	Guiding Implementation of the Community First Choice Option in New York	10/11/2012	\$159,995.00
1909890	New York City Health and Hospitals Corporation - Bellevue Hospital Center	462 First Avenue	New York	NY	10016	Public/Government	Bellevue Hospital Center	Improving Care and Reducing Hospital Admissions and Costs for Patients at High Risk of Frequent Hospital Admissions	10/24/2012	\$125,000.00
2492249	New York City Health and Hospitals Corporation - Harlem Hospital Center	506 Lenox Avenue	New York	NY	10037	Public/Government	Harlem Hospital Center	Muslim Health Clinic	9/5/2012	\$154,836.00
12-01078	New York eHealth Collaborative, Inc	40 Worth Street	New York	NY	10013	Non-Profit	NYeHC	Meeting the Mark: Achieving Excellence in Diabetes Care	9/7/2012	\$21,000.00
12-01598	New York Health Plan Association Council, Inc	90 State Street, #825	Albany	NY	12207	Non-Profit	New York Health Plan Association (HPA)	New York State Duals Demonstration Capitation Analysis	12/21/2012	\$64,308.00

10-01857	New York Legal Assistance Group, Inc	450 West 33rd Street, 11th Floor	New York	NY	10001-2603	Non-Profit	NYLAG	Statewide Replication of Medical-Legal Partnerships	12/20/2012	\$55,000 00
11-00043	New York State Unified Court System	25 Beaver Street, 8th Floor	New York	NY	10004	Public/Government		Resolving Medical Malpractice Cases with Judge-Directed Negotiation Upstate Expansion	12/3/2012	\$54,998 00
10-01905	New York State Unified Court System	25 Beaver Street, 8th Floor	New York	NY	10004	Public/Government	Buffalo Veterans Treatment Court	Statewide Veteran's Curriculum and Training, The Buffalo Model	8/6/2012	\$48,223 00
12-01682	New York University - School of Medicine	550 First Avenue	New York	NY	10016	Non-Profit	NYU	The Impact of the New York City Sugar Sweetened Beverage Policy on Calories Purchased and Consumed	12/20/2012	\$191,858 00
12-01479	New York University - School of Medicine	550 First Avenue	New York	NY	10016	Non-Profit	NYU	Evaluation Technical Assistance for NYShealth Grantees and Applicants, Phase 4	10/19/2012	\$121,552 00
11-00873	North Country Children's Clinic, Inc	238 Arsenal Street	Watertown	NY	13601	Non-Profit		North Country Adult Care	3/26/2012	\$80,000 00
11-00389	Northeast Business Group on Health	61 Broadway - Suite 2705	New York	NY	10006	Non-Profit	NEBGH (formerly New York Business Group on Health)	Creating a Worksite Wellness Center with a "Stop Diabetes" Emphasis	12/21/2012	\$79,896 00
11-00422	North Shore-Long Island Jewish Health System, Inc	600 Community Drive, Suite 302	Manhasset	NY	11030	Non-Profit	Physician and Ambulatory Network Services (PAANS)	Meeting the Mark: Achieving Excellence in Diabetes Care	8/7/2012	\$8,000 00
11-00931	Northwest Buffalo Community Health Care Center, Inc	155 Lawn Avenue	Buffalo	NY	14207	Non-Profit	NWBCHCC	Growing Management Infrastructure in an Expanding FQHC	3/30/2012	\$80,000 00
11-00917	Oak Orchard Community Health Center, Inc	300 West Ave	Brockport	NY	14420	Non-Profit	Oak Orchard Health	Preserving and Expanding Primary Care Capacity in Wyoming County, New York	3/28/2012	\$80,000 00
12-01566	Open Door Family Medical Center, Inc	165 Main Street	Ossining	NY	10562	Non-Profit		Expanding Oral and Behavioral Health in the Hudson Valley	12/21/2012	\$80,000 00
11-00009	P2 Collaborative of Western New York, Inc	6225 Shendan Drive, Suite 206	Williamsville	NY	14221	Non-Profit		Meeting the Mark: Achieving Excellence in Diabetes Care	12/13/2012	\$136,000 00
12-00980	Pew Charitable Trusts	901 E Street NW	Washington	D C	20004	Non-Profit		Building the Case for a Campaign to Expand Community Water Fluoridation in New York State	4/16/2012	\$108,000 00
12-01425	Philanthropy New York	79 Fifth Avenue, 4th Floor	New York	NY	10003-3076	Non-Profit	Formerly the New York Regional Association of Grantmakers (NYRAG)	Annual Membership Grant July 1, 2012 - June 30, 2013	7/10/2012	\$18,100 00
10-02391	Rapha Family Medicine, P C	2200 Main Street	Buffalo	NY	14214	Profit		Meeting the Mark: Achieving Excellence in Diabetes Care for Small Practice	1/20/2012	\$500 00
12-01547	Refuah Health Center, Inc	728 North Main Street	Spring Valley	NY	10977	Non-Profit		Supporting a Healthy Community Expanding Services in Sullivan County	12/10/2012	\$80,000 00
12-01493	Refuah Health Center, Inc	728 North Main Street	Spring Valley	NY	10977	Non-Profit	RHC	Refuah Health Center and Fidelis Care Improving Quality and Aligning Financial Accountability	11/5/2012	\$159,040 00
11-00274	Riverdale Family Medical Practice	3125 Tibbets Avenue	Bronx	NY	10463	Profit	Riverdale Family Practice	Meeting the Mark: Achieving Excellence in Diabetes Care for Small Practices	12/13/2012	\$14,000 00

11-00289	Robert Morrow Family Practice	5997 Riverdale Avenue	Bronx	NY	10471	Profit		Meeting the Mark: Achieving Excellence in Diabetes Care for Small Practices	2/1/2012	\$2,000.00
11-00866	Rochester General Hospital	100 Kings Park South	Rochester	NY	14617	Non-Profit		Replicating Refugee Healthcare Programs in Upstate New York	2/13/2012	\$160,682.00
11-00899	Rochester Primary Care Network, Inc	259 Monroe Avenue	Rochester	NY	14580	Non-Profit	Regional Primary Care Network	Ulrica Community Health Center Business Plan Development Project	3/30/2012	\$79,994.00
11-00141	Safe Space NYC, Inc	89-74 162 Street	Jamaica	NY	11432	Non-Profit	Safe Space	Seen and Heard: Sustaining a Clinical Response Model to Childhood Trauma	11/14/2012	\$58,279.50
11-00141	Safe Space NYC, Inc	89-74 162 Street	Jamaica	NY	11432	Non-Profit	Safe Space	Seen and Heard: Sustaining a Clinical Response Model to Childhood Trauma	4/12/2012	\$58,279.50
11-00861	Southern Tier Community Health Center Network, Inc	500 Main Street	Olean	NY	14760	Non-Profit		Primary Care Expansion in Allegany and Cattaraugus Counties	3/16/2012	\$80,000.00
11-00282	Sovereign Phoenix LLC, IPA	15 Cuttermill Road	Great Neck	NY	11021	Profit		Meeting the Mark: Achieving Excellence in Diabetes Care	2/1/2012	\$3,000.00
11-00919	Syracuse Community Health Center, Inc	819 South Salina Street	Syracuse	NY	13202	Non-Profit		Syracuse Community Health Center Expansion Initiative	3/26/2012	\$80,000.00
12-01491	Syracuse University	Office of Sponsored Programs, 113 Bowne Hall	Syracuse	NY	13244-1200	Non-Profit	SU	Taking Care of the New Home Front: Using Federal Resources to Expand Community Capacity for NY's Veterans and Families	12/21/2012	\$255,745.00
12-01483	Taconic Health Information Network and Community, Inc	300 Westage Business Center Drive, Suite 320	Fishkill	NY	12524	Non-Profit	THINC	Leveraging the Comprehensive Primary Care Initiative for Greater Impact	10/1/2012	\$179,081.00
2531737	The Hospital Educational and Research Fund, Inc	One Empire Drive	Rensselaer	NY	12144	Non-Profit	Healthcare Association of New York State (HANYs)	Electronic Fetal Monitoring Improving Birth Outcomes in New York State	3/26/2012	\$24,250.00
10-01847	The Hospital Educational and Research Fund, Inc	One Empire Drive	Rensselaer	NY	12144	Non-Profit	Healthcare Association of New York State (HANYs)	Improving Diabetes Outcomes at New York State Hospital Outpatient Clinics - Year 2	7/17/2012	\$143,000.00
11-00854	The Hospital Educational and Research Fund, Inc	One Empire Drive	Rensselaer	NY	12144	Non-Profit	Healthcare Association of New York State (HANYs)	Improving Diabetes Outcomes at New York State Hospital Outpatient Clinics - Year 3	12/5/2012	\$253,767.00
11-00283	Tides Center	1014 Torrey Avenue	San Francisco	CA	94129	Non-Profit		Creating a "First-Class" User Experience for Insurance Exchanges	6/29/2012	\$30,000.00
11-00531	Trustees of Columbia University in the City of New York	Office of Research Administration, 630 West 168th Street, Box 49	New York	NY	10032	Non-Profit	Mallman School of Public Health	Advancing the Integration of Community Health Workers in to Patient-Centered Medical Homes and Health Homes in NYS	2/7/2012	\$128,000.00
10-02024	United Hospital Fund of New York	1411 Broadway, 12FL	New York	NY	10018	Non-Profit	UHF	Implementing Health Reform Immediate Issues and Options in Designing New York's Insurance Exchange	2/8/2012	\$124,000.00

11-00410	United Hospital Fund of New York	1411 Broadway, 12FL	New York	NY	10018	Non-Profit	UHF	Implementing Health Reform Addressing Issues of Benefits, Provider Networks, and Market Structures	7/25/2012	\$99,808 00
3272909	Unity House of Troy Inc	33 Second Street	Troy	NY	12180	Non-Profit		Hudson River Consortium	12/6/2012	\$50,110 00
2403303	Visiting Nurse Service of New York, The	1250 Broadway	New York	NY	10001-3701	Non-Profit		CHAMP Genetic Medication Management Program	2/8/2012	\$46,543 00
11-00838	Wakely Consulting Group	9777 Pyramid Ct	Englewood	CO	80112	Profit		Health Reform Implementing Risk Adjustment in the New York Context	9/14/2012	\$5,000 00
11-00417	Welsh Analytics LLC	1287 Garner Avenue	Schenectady	NY	12309	Profit	Welsh Analytics	Technical Assistance to Medicaid Redesign Team Brooklyn Work Group	1/13/2012	\$32,391 42
10-02508	William F Ryan Community Health Center Inc	110 West 97th Street	New York	NY	10025-6450	Non-Profit		Training Primary Care Doctors in Community Health Settings	9/20/2012	\$44,072 00
TOTAL										\$11,639,403.51

Grants Payable FYE 2012											
Request Number	Organization Name	Awarded Amount	Scheduled Payment Date	Scheduled Amount	Organization AKA Name	Street	City	State/Province	Zip/Postal Code	Organization Type	Project Title
12-01418	Adirondack Health Institute, Inc.	\$ 304,911.00	4/30/2013	\$76,228.00	Upper Hudson Primary Care Consortium	9 Carey Road	Queensbury	New York	12804	Non-Profit	Sustaining the Success of the Primary Care Medical Home Model in the Adirondacks
12-01418	Adirondack Health Institute, Inc.	\$ 304,911.00	8/29/2014	\$76,228.00	Upper Hudson Primary Care Consortium	9 Carey Road	Queensbury	New York	12804	Non-Profit	Sustaining the Success of the Primary Care Medical Home Model in the Adirondacks
11-00310	American Cancer Society - Eastern Division (Albany)	\$ 300,027.00	9/30/2014	\$75,006.00	ACS	19 Dove Street, Suite 103	Albany	NY	12210	Non-Profit	Protecting Consumer Interests in New York State's Implementation of Federal Health Care Reform
11-00310	American Cancer Society - Eastern Division (Albany)	\$ 300,027.00	8/30/2013	\$75,007.00	ACS	19 Dove Street, Suite 103	Albany	NY	12210	Non-Profit	Protecting Consumer Interests in New York State's Implementation of Federal Health Care Reform
11-00404	Anthony L. Jordan Health Corporation	\$ 270,000.00	6/28/2013	\$67,500.00	Anthony Jordan Health Center	82 Holland Street	Rochester	NY	14605	Non-Profit	Combining the Jordan and Westside Health Centers to Preserve Primary Care Access
11-00404	Anthony L. Jordan Health Corporation	\$ 270,000.00	2/28/2013	\$67,500.00	Anthony Jordan Health Center	82 Holland Street	Rochester	NY	14605	Non-Profit	Combining the Jordan and Westside Health Centers to Preserve Primary Care Access
2976249	Associated Community Action of the Northeast Adirondack Region, Inc.	\$ 50,000.00	2/28/2011	\$10,000.00	Smith House Health Care Center	39 Farrell Road	Willsboro	NY	12996-3904	Non-Profit	Creating Primary Care Access in Dannemora
10-02193	Brooklyn Hospital Center	\$ 25,000.00	6/21/2012	\$20,000.00		121 DeKalb Avenue	Brooklyn	NY	11201	Non-Profit	Meeting the Mark: Achieving Excellence in Diabetes Care
11-00199	Buffalo Psychiatric Center	\$ 20,000.00	3/4/2013	\$16,000.00		400 Forest Avenue	Buffalo	NY	14213	Public/Government	Meeting the Mark: Achieving Excellence in Diabetes Care for Small Practices
10-02175	CapitalCare Medical Group	\$ 82,500.00	6/20/2014	\$66,000.00		501 New Karner Road	Albany	NY	12205	Profit	Meeting the Mark: Achieving Excellence in Diabetes Care
12-00997	Catholic Health System of Long Island	\$ 153,743.00	6/28/2013	\$30,748.00		4295 Hempstead Turnpike	Bethpage	NY	11714	Non-Profit	Systemwide Expansion of the Diabetes Center of Excellence
12-01685	Center for Excellence in Health Care Journalism	\$ 30,000.00	6/14/2013	\$6,000.00		10 Neff Hall	Columbia	MO	65211	Non-Profit	2013 New York Health Journalism Fellowships
11-00508	Center for Governmental Research, Inc.	\$ 129,808.00	7/12/2013	\$25,962.00	CGR	1 South Washington Street, Suite 400	Rochester	NY	14614	Non Profit	The Future of Public Nursing Homes in New York State
11-00326	Center for Health Care Strategies, Inc.	\$ 118,418.00	12/31/2013	\$23,683.00	CHCS	200 American Metro Blvd., Suite 119	Hamilton	NJ	08619-2311	Non-Profit	Increasing Primary Care Rates and Access to Medicaid Providers in New York State
1905622	Center for Health Care Strategies, Inc.	\$ 322,563.00	2/15/2013	\$80,640.00	CHCS	200 American Metro Blvd., Suite 119	Hamilton	NJ	08619-2311	Non-Profit	Rethinking Care for New York's High-Opportunity Patients
12-01610	Center for Health Care Strategies, Inc.	\$ 310,013.00	7/31/2014	\$103,337.00	CHCS	200 American Metro Blvd., Suite 119	Hamilton	NJ	08619-2311	Non-Profit	New York State Health Homes Learning Collaborative
12-01610	Center for Health Care Strategies, Inc.	\$ 310,013.00	10/31/2013	\$103,347.00	CHCS	200 American Metro Blvd., Suite 119	Hamilton	NJ	08619-2311	Non-Profit	New York State Health Homes Learning Collaborative
10-02379	Central Brooklyn Medical Group, PC	\$ 100,000.00	5/31/2013	\$80,000.00	Preferred Health Partners	32 Court St	Brooklyn	NY	11201	Profit	Meeting the Mark: Achieving Excellence in Diabetes Care
11-00550	Champlain Valley Health Network, Inc.	\$ 40,000.00	4/30/2013	\$32,000.00	CVHN	P O Box 2866	Plattsburgh	NY	12901	Profit	Meeting the Mark: Achieving Excellence in Diabetes Care
12-01380	Children's Defense Fund	\$ 83,785.00	5/15/2013	\$15,957.00	CDF - New York	15 Maiden Lane, Suite 1200	New York	NY	10038	Non-Profit	School-Based Health Centers: Growing and Sustaining Comprehensive Models for Pediatric and Adolescent Health
11-00433	Chinese Community Accountable Care Organization, Inc.	\$ 115,000.00	9/9/2013	\$92,000.00	CCACO	128 Mott Street	New York	NY	10013	Profit	Meeting the Mark: Achieving Excellence in Diabetes Care
10-00201	Coalition of Voluntary Mental Health, Mental Retardation & Alcoholism	\$ 350,456.00	7/31/2013	\$97,614.00	Coalition of Behavioral Health Agencies, Inc.	90 Broad Street, 8th Floor	New York	NY	10004	Non-Profit	Reengineering the Business Model for Mental Health Clinics
2553186	Columbia University - Mailman School of Public Health	\$ 196,709.00	4/28/2013	\$39,341.00	Mailman School of Public Health	722 West 168th Street	New York	NY	10032	Non-Profit	First Steps to Healthy Living: Evaluation of New York State Early Childhood Obesity Prevention Programs

11-00471	Community Health Care Association of New York State, Inc	\$	201,258.00	4/30/2013	\$50,377.00	CHCANYS	535 Eighth Avenue, 8th Floor	New York	NY	10018	Non-Profit	Developing a Statewide Growth & Sustainability Plan for New York's Federally Qualified Health Centers
12-01521	Community Service Society of New York	\$	1,200,000.00	8/29/2014	\$240,000.00	CSS	105 E 22nd Street	New York	NY	10010	Non-Profit	Expanding the Small Business Assistance Program to Preserve and Increase Health Coverage
12-01245	Council of Family and Child Caring Agencies, Inc	\$	220,000.00	11/29/2013	\$44,000.00	COFCCA	254 West 31 Street	New York	NY	10001	Non-Profit	Raising the Bar for Healthy Mental Health Services for Children in Foster Care Developing Models of Managed Care
11-00924	East Hill Family Medical, Inc	\$	90,645.00	10/31/2013	\$18,129.00	Formerly Cayuga County Family Planning Center	144 Genesee Street Metcalf Plaza, Suite 500	Auburn	NY	13021-3503	Non-Profit	Expanding Primary Care through the Integration of Services
12-01031	Essen Medical Associates, P C	\$	77,500.00	7/9/2014	\$48,000.00		2015 Grand Concourse Avenue	Bronx	NY	10453	Profit	Meeting the Mark Achieving Excellence in Diabetes Care
2519928	Excellus Health Plan, Inc	\$	300,000.00	6/30/2013	\$75,000.00		165 Court Street	Rochester	NY	14647	Non-Profit	Evaluating Patient Centered Medical Home Pilot Project
11-00914	Family Health Network of Central New York, Inc	\$	100,000.00	10/31/2014	\$20,000.00	Finger Lakes Community Health	17 Main Street Suite 302	Cortland	NY	13045-6615	Non-Profit	Clean Teeth = Better Health Rural Dental Expansion
11-00927	Finger Lakes Migrant Health Care Project, Inc	\$	69,831.00	5/2/2013	\$13,966.00		14 Marden Lane	Penn Yan	NY	14527	Non-Profit	Aligning Priorities with Expanded Capacity
10-01932	Floating Hospital, Inc., The	\$	238,324.00	2/19/2013	\$47,704.00	TFH	Grand Central Station PO Box 3391	New York	NY	10163-3391	Non-Profit	Expanding Primary Care Access for Public Housing Residents
11-00437	Fort Drum Regional Health Planning Organization, Inc	\$	112,500.00	3/1/2013	\$30,000.00		120 Washington Street, Suite 302	Watertown	NY	13601	Non-Profit	Meeting the Mark Achieving Excellence in Diabetes Care
11-02811	Foundation for Long Term Care, Inc	\$	104,592.00	8/30/2013	\$20,918.00	FLTC	150 State Street, Suite 301	Albany	NY	12207-1626	Non-Profit	Statewide Replication of Exceptional Care Planning in Nursing Homes
10-02476	Foundation for Quality Care, Inc	\$	300,000.00	12/13/2013	\$75,000.00		33 Elk Street, Suite 300	Albany	NY	12207-1062	Non-Profit	Implementation of the Gold STAMP Program to Reduce Pressure Ulcers in New York State
10-02168	Fund for Public Health in New York, Inc	\$	75,000.00	8/1/2013	\$50,000.00		22 Cortlandt Street, 11th Floor, Suite 1103	New York	NY	10007	Non-Profit	Meeting the Mark Achieving Excellence in Diabetes Care
11-00765	Fund for Public Health in New York, Inc	\$	250,000.00	5/31/2013	\$50,000.00	FPHNY	22 Cortlandt Street, 11th Floor, Suite 1103	New York	NY	10007	Non-Profit	Innovations in Monitoring Population Health Using Electronic Health Records
10-02463	Genesee Valley Group Health Association	\$	80,000.00	8/31/2012	\$64,000.00	Lifetime Health Medical Group	205 Park Club Lane	Buffalo	NY	14221	Non-Profit	Meeting the Mark Achieving Excellence in Diabetes Care
11-00647	Girls Educational and Mentoring Services, Inc	\$	224,249.00	7/31/2014	\$44,850.00	GEMS	298B West 149th Street	NY	NY	10039	Non-Profit	Training Medical Professionals to Serve Commercially and Sexually Exploited Youth
12-01563	Greater Hudson Valley Family Health Center, Inc	\$	100,000.00	2/28/2014	\$20,000.00	GHVHFC	2570 US Highway 9W, Suite 10	Cornwall	NY	12518	Non-Profit	Exploring Expansion into the Southern Tier
11-00163	Greater New York Hospital Foundation, Inc	\$	306,613.00	11/29/2013	\$76,653.00	GNVHA Foundation	555 West 57th Street 15th Floor	New York	NY	10019	Non-Profit	Improving Care Continuity in Hospital-sponsored Teaching Clinics
11-00163	Greater New York Hospital Foundation, Inc	\$	306,613.00	1/31/2013	\$76,654.00	GNVHA Foundation	555 West 57th Street 15th Floor	New York	NY	10019	Non-Profit	Improving Care Continuity in Hospital-sponsored Teaching Clinics
11-00416	Health Alliance Physicians PC	\$	70,000.00	2/28/2013	\$56,000.00	Health Alliance Physician Organization	730 S. Crouse Ave	Syracuse	NY	13210	Profit	Meeting the Mark Achieving Excellence in Diabetes Care
12-01481	Health Policy Associates, Inc	\$	56,055.00	5/31/2013	\$11,211.00	HPA	847 25th Avenue	San Francisco	CA	94121	Profit	Rpt NYHealth's Work to Advance Integrated Care of Individuals with Co-Occurring Mental Health & Substance Use Disorder
10-00206	Home Aide Service of Eastern New York, Inc	\$	269,426.00	1/31/2013	\$53,865.00	Eddy Visiting Nurse Association	2224 Burdett Avenue	Troy	NY	12180	Non-Profit	Transforming Home-Based Chronic Care Management
12-01257	Home Aide Service of Eastern New York, Inc	\$	399,920.00	12/24/2012	\$133,306.00	Eddy Visiting Nurse Association (The Eddy)	2224 Burdett Avenue	Troy	NY	12180	Non-Profit	The Medical House Call Model Caring for Homebound, Chronically Ill Patients
12-01257	Home Aide Service of Eastern New York, Inc	\$	399,920.00	2/28/2014	\$133,306.00	Eddy Visiting Nurse Association (The Eddy)	2224 Burdett Avenue	Troy	NY	12180	Non-Profit	The Medical House Call Model Caring for Homebound, Chronically Ill Patients

12-01257	Home Aide Service of Eastern New York, Inc	\$	399,920.00	2/27/2015	\$133,308.00	Eddy Visiting Nurse Association (The Eddy)	2224 Burdett Avenue	Troy	NY	12180	Non-Profit	The Medical House Call Model Caring for Homebound, Chronically Ill Patients
12-01118	Hospice and Palliative Care Association of New York State, Inc	\$	98,129.00	12/8/2014	\$19,625.00	HPCANYS	2 Computer Drive West Suite 105	Albany	NY	12205	Non-Profit	Expanding Access to Pediatric Palliative Care Services in New York State
12-01544	Hudson Headwaters Health Network	\$	100,000.00	2/28/2014	\$20,000.00	HHHN	One Broad Street Plaza	Glens Falls	NY	12801	Non-Profit	Expanding Capacity in Two Adirondack Communities
11-00925	Hudson River HealthCare, Inc	\$	95,031.00	2/28/2013	\$19,006.00	HRHCare	1037 Main Street	Peekskill	NY	10566-2913	Non-Profit	Preserving Primary Care Capacity in Coram
11-02769	Hudson River HealthCare, Inc	\$	180,000.00	11/30/2012	\$144,000.00	HRHCare	1037 Main Street	Peekskill	NY	10566-2913	Non-Profit	Meeting the Mark: Achieving Excellence in Diabetes Care
10-00329	Hudson River HealthCare, Inc	\$	150,000.00	5/31/2013	\$30,000.00	HRHCare	1037 Main Street	Peekskill	NY	10566-2913	Non-Profit	Preserving Community Based Primary Care & Residency Training in Yonkers
12-01590	Hudson River HealthCare, Inc	\$	100,000.00	2/28/2014	\$20,000.00	HRHCare	1037 Main Street	Peekskill	NY	10566-2913	Non-Profit	Preserving Poughkeepsie Access to Care (PPAC)
12-01375	IM Research and Service Corporation	\$	83,088.00	8/30/2013	\$16,618.00	New York Chapter, American College of Physicians (ACP)	744 Broadway	Albany	NY	12207-2331	Profit	Improving Diabetes Care among New York's Internal Medicine Physicians, Year 4
11-00010	Institute for Community Living, Inc	\$	372,587.00	3/29/2013	\$93,146.75	ICF	40 Rector Street, 8th Floor	New York	NY	10006	Non-Profit	Behavioral Health Medical Homes: Building a Prototype for Service Integration and Care Coordination
11-00010	Institute for Community Living, Inc	\$	372,587.00	11/29/2013	\$93,146.75	ICF	40 Rector Street, 8th Floor	New York	NY	10006	Non-Profit	Behavioral Health Medical Homes: Building a Prototype for Service Integration and Care Coordination
11-00963	Institute for Family Health	\$	301,646.00	5/30/2014	\$75,411.00	IFH	16 East 16th Street	New York	NY	10003	Non-Profit	Preparing to Transform the Provider-Payer Relationship
11-00963	Institute for Family Health	\$	301,646.00	5/31/2013	\$75,412.00	IFH	16 East 16th Street	New York	NY	10003	Non-Profit	Preparing to Transform the Provider-Payer Relationship
12-01034	Institute for Family Health	\$	97,500.00	11/29/2013	\$18,000.00	IFH	16 East 16th Street	New York	NY	10003	Non-Profit	Meeting the Mark: Achieving Excellence in Diabetes Care
11-00832	Institute for Leadership Inc	\$	523,244.00	4/5/2013	\$9,469.00	IFL	245 West 135th Street	New York	NY	10030	Non-Profit	New York State Diabetes Campaign Faith-Based Initiative - Year 3
11-00832	Institute for Leadership Inc	\$	523,244.00	2/28/2013	\$16,068.00	IFL	245 West 135th Street	New York	NY	10030	Non-Profit	New York State Diabetes Campaign Faith-Based Initiative - Year 3
12 01502	Institute for Leadership Inc	\$	28,650.00	12/24/2012	\$28,650.00	IFL	245 West 135th Street	New York	NY	10030	Non-Profit	Replicating the Nationally Recognized Diabetes Prevention Program (DPP) in Faith-based and Other Community Settings
10-02648	Island Peer Review Organization, Inc	\$	220,000.00	2/28/2013	\$176,000.00	IPRO	1979 Marcus Ave	Lake Success	NY	11042	Non-Profit	Meeting the Mark: Achieving Excellence in Diabetes Care
10-01876	Joseph P. Addabbo Family Health Center, Inc	\$	275,000.00	9/30/2013	\$55,000.00	The Legal Aid Society Legal Aid The Society, LAS	6200 Beach Channel Drive	Averne	NY	11692	Non-Profit	Expanding Primary Care Capacity in Queens
11-00595	Legal Aid Society	\$	189,769.00	5/30/2014	\$37,954.00	The Legal Aid Society Legal Aid The Society, LAS	199 Water Street, Suite 201	New York	NY	10038	Non-Profit	Preserving Health Care for Homeless New Yorkers
11-00330	LIPIX, Inc	\$	210,000.00	8/1/2013	\$168,000.00	South Oaks Hospital	400 Sunrise Highway	New York	NY	10018	Non-Profit	Meeting the Mark: Achieving Excellence in Diabetes Care
10-02521	Long Island Home	\$	77,761.00	11/30/2012	\$15,553.00	South Oaks Hospital	400 Sunrise Highway	Amityville	NY	11701	Non-Profit	Planning for the Integration of Mental Health Services in Pediatric Care
12 01240	Long Island Home	\$	138,010.00	11/28/2014	\$27,602.00	South Oaks Hospital	400 Sunrise Highway	Amityville	NY	11701	Non-Profit	Integration of Mental Health Services in Pediatric Care
10-01793	Low Income Investment Fund	\$	300,000.00	11/29/2013	\$60,000.00	Low Income Investment Fund	350 Broadway, Suite 701	New York	NY	10013	Non-Profit	Developing Supermarkets in Low Income Communities
12 01026	Manhattan Institute for Policy Research, Inc	\$	101,682.00	2/28/2013	\$20,337.00	MI	52 Vanderbilt Avenue	New York	NY	10017-3808	Non-Profit	Better Health, Lower Cost: Fulfilling the Promise of Medicaid's Redesign
2547600	MDCRC	\$	250,000.00	11/29/2013	\$50,000.00		16 East 34 Street	New York	NY	10016	Non-Profit	Evaluation of Chronic Illness Demonstration Project (CIDP)
12-01372	Medicare Rights Center, Inc	\$	195,245.00	8/30/2013	\$39,049.00	MRC	520 8th Avenue, North Wing, 3rd Floor	New York	NY	10018	Non-Profit	Improving the Development and Implementation of New York's Dual Integration Program
10-01914	Memorial Sloan-Kettering Cancer Center	\$	243,948.00	6/28/2013	\$48,789.00		1275 York Avenue Box 701	New York	NY	10065		Food for Health Program
11-00473	MFY Legal Services, Inc	\$	50,000.00	5/31/2013	\$10,000.00	MFY	299 Broadway, 4th Floor	New York	NY	10007	Non-Profit	Mental Health Legal Partnership

3845137	Montefiore Medical Center - The Care Management Company of Montefiore Medical Center (CMO)	\$	574,090.00	1/31/2013	\$287,045.00	CMO, The Care Management Company	200 Corporate Boulevard South	Yonkers	NY	10701	Non-Profit	Bronx Collaborative Care Transitions Program Providers & Payers Working to Improve Quality & Reduce Medical Expenditures
12-01708	National Academy of Sciences	\$	225,000.00	2/15/2016	\$45,000.00	NAS, Institute of Medicine (IOM)	500 5th St., N.W.	Washington	DC	20001	Non-Profit	Roundtable on Population Health Improvement
11-00276	National Association of Social Workers (New York State Chapter)	\$	150,000.00	6/28/2013	\$30,000.00	NASW-NYS	188 Washington Ave	Albany	NY	12210	Non-Profit	Veterans Mental Health Training Initiative
3235651	National Center on Addiction and Substance Abuse at Columbia University	\$	429,906.00	5/31/2013	\$53,738.00	CASA	633 3rd Avenue 19th Floor	New York	NY	10017-8155	Non-Profit	Developing Sustainable Screening and Brief Intervention Programs for Substance Use Disorders in Emergency Departments
3235651	National Center on Addiction and Substance Abuse at Columbia University	\$	429,906.00	10/31/2013	\$53,738.00	CASA	633 3rd Avenue, 19th Floor	New York	NY	10017-8155	Non-Profit	Developing Sustainable Screening and Brief Intervention Programs for Substance Use Disorders in Emergency Departments
3235651	National Center on Addiction and Substance Abuse at Columbia University	\$	429,906.00	2/28/2014	\$53,738.00	CASA	633 3rd Avenue, 19th Floor	New York	NY	10017-8155	Non-Profit	Developing Sustainable Screening and Brief Intervention Programs for Substance Use Disorders in Emergency Departments
11-02788	NDRI State, Inc.	\$	282,524.00	9/30/2013	\$35,315.00	NDRI State, Inc.	71 West 23rd Street, 8th Floor	New York	NY	10010-3509	Non-Profit	NYSHealth's CEIC Tracking Progress in NYS Addiction & Mental Health Providers' Provision of Outpatient Integrated Care
2496857	NDRI State, Inc.	\$	3,201,644.00	9/30/2013	\$40,021.00	National Development and Research Institutes Inc.	71 West 23rd Street, 8th Floor	New York	NY	10010-3509	Non-Profit	People First: City of Excellence - Integration of Care for Individuals with Co-Occurring Mental Health & Substance Abuse
3426912	NDRI State, Inc.	\$	442,570.00	9/30/2013	\$55,321.00	National Development and Research Institutes Inc.	71 West 23rd Street, 8th Floor	New York	NY	10010-3509	Non-Profit	Expanding Technical Assistance Support and Reach of NYState Center for Excellence in Integrated Care (CEIC)
11-02721	New York Academy of Medicine	\$	59,557.00	6/28/2013	\$11,911.00	NYAM	1216 Fifth Avenue	New York	NY	10029	Non-Profit	Focusing on Health: An Evaluation of Faith Based Diabetes Programming
12-01343	New York Association on Independent Living	\$	199,994.00	11/28/2014	\$39,999.00	NYAIL	99 Washington Ave	Albany	New York	12210	Non-Profit	Guiding Implementation of the Community First Choice Option in New York
11-00286	New York City Health and Hospitals Corporation	\$	65,000.00	2/28/2013	\$13,000.00	HHC	346 Broadway, Rm 1115	New York	NY	10013	Public/Government	An Evaluation of the WACOACH (Community Older Adults Care About Their Health) Initiative
2530706	New York City Health and Hospitals Corporation - Elmhurst Hospital Center	\$	156,027.00	1/30/2010	\$31,205.00	Elmhurst Hospital Center	79-01 Broadway	Elmhurst	NY	11373	Public/Government	New Mothers Breastfeeding Education and Support
12-01078	New York eHealth Collaborative, Inc.	\$	105,000.00	10/24/2014	\$84,000.00	NYeHC	40 Worth Street	New York	NY	10013	Non-Profit	Meeting the Mark: Achieving Excellence in Diabetes Care
12-01598	New York Health Plan Association Council, Inc.	\$	80,385.00	5/31/2013	\$16,077.00	New York Health Plan Association (HPA)	90 State Street, #825	Albany	NY	12207	Non-Profit	New York State Duals Demonstration Capitation Analysis
11-00394	New York Immigration Coalition	\$	75,000.00	9/29/2012	\$15,000.00	The New York Immigration Coalition	137-139 West 25th Street, 12th Floor	New York	NY	10001	Non-Profit	Implementing Health Reform in New York: Maximizing Coverage for Immigrants
10-02567	New York Lawyers for the Public Interest, Inc.	\$	234,851.00	11/29/2013	\$46,370.00	NYLPI	151 West 30th Street, 11th Floor	New York	NY	10001-4017	Non-Profit	Healthy Schools: Increasing and Improving Citizen Participation in NYC School String Process
11-00043	New York State Unified Court System	\$	219,993.00	10/31/2013	\$54,998.00		25 Beaver Street, 8th Floor	New York	NY	10004	Public/Government	Resolving Medical Malpractice Cases with Judge-Directed Negotiation: Update Expansion
3878459	New York State YMCA Foundation	\$	373,999.00	1/31/2013	\$60,374.00	YMCA	33 Elk Street, Suite 200	Albany	NY	12207-1082	Non-Profit	Implementing the YMCA Diabetes Prevention Program Across New York State
10-00139	New York University - College of Nursing	\$	305,545.00	6/29/2012	\$76,386.00	NICHE - NYU College of Nursing	726 Broadway, 10th Floor	NY	NY	10003	Non-Profit	Expanding the Nurses Improving Care for Health System Elders (NICHE) Program for Rural New York State Hospitals
3868376	New York University - Robert F. Wagner Graduate School of Public Service	\$	170,648.00	5/31/2012	\$42,662.00	Robert F. Wagner Graduate School of Public Service	295 Lafayette Street, 2nd Floor	New York	NY	10012-7455	Non-Profit	Improving Care and Reducing Costs for High Cost Medicaid Patients: Phase 2

3868376	New York University - Robert F. Wagner Graduate School of Public Service	\$	170,648.00	5/31/2013	\$42,662.00	Robert F. Wagner Graduate School of Public Service	295 Lafayette Street, 2nd Floor	New York	NY	10012-7455	Non-Profit	Improving Care and Reducing Costs for High Cost Medicaid Patients Phase 2
11-02792	New York University - School of Medicine	\$	167,174.00	11/30/2012	\$33,435.00	NYU	550 First Avenue TRB 6th floor, 624	New York	NY	10016	Non-Profit	Evaluation Technical Assistance for NYS-Health Grantees and Applicants Phase 3 The Impact of the New York City Sugar Sweetened Beverage Policy on Calories Purchased and Consumed
12-01682	New York University - School of Medicine	\$	239,822.00	1/31/2014	\$47,964.00	NYU						Evaluation Technical Assistance for NYS-Health Grantees and Applicants Phase 4
12-01479	New York University - School of Medicine	\$	151,940.00	11/29/2013	\$30,388.00	NYU	550 First Avenue TRB 6th floor, 624	New York	NY	10016	Non-Profit	North Country Adult Care
11-00873	North Country Children's Clinic, Inc	\$	100,072.00	2/28/2013	\$20,072.00		238 Arsenal Street	Watertown	NY	13601	Non-Profit	"Freedom Bus" Eastern Dutchess Medical Transportation HealthNet Mental Health Services for North Country Elders
11 00287	Northeast Community Council, Inc	\$	70,776.00	11/29/2013	\$14,155.00	North East Community Center	51 South Center Street P O Box 35	Millerton	NY	12546	Non-Profit	Consolidating the Oswego Primary Care Safety Net
2534737	Northern New York Rural Behavioral Health Institute Inc	\$	263,926.00	2/29/2012	\$13,195.00		P O Box 891	Saranac Lake	NY	12983-0891	Non-Profit	Meeting the Mark Achieving Excellence in Diabetes Care
3254860	Northern Oswego County Health Services, Inc	\$	400,000.00	8/30/2013	\$100,000.00	Physician and Ambulatory Network Services (PAANS)	61 Delano Street	Pulaski	NY	13142-1400	Non-Profit	Growing Management Infrastructure in an Expanding FQHC
11-00422	North Shore-Long Island Jewish Health System, Inc	\$	40,000.00	9/30/2013	\$32,000.00	NWBC/HCC Oak Orchard Health	600 Community Drive, Suite 302	Manhasset	NY	11030	Non-Profit	Preserving and Expanding Primary Care Capacity in Wyoming County, New York
11-00931	Northwest Buffalo Community Health Care Center, Inc	\$	100,000.00	2/28/2013	\$20,000.00		155 Lawn Avenue	Buffalo	NY	14207	Non-Profit	Expanding Oral and Behavioral Health in the Hudson Valley
11-00917	Oak Orchard Community Health Center, Inc	\$	100,000.00	8/30/2013	\$20,000.00		300 West Ave	Brockport	NY	14420	Non-Profit	Meeting the Mark Achieving Excellence in Diabetes Care
12-01566	Open Door Family Medical Center, Inc	\$	100,000.00	2/28/2013	\$20,000.00		165 Main Street	Ossining	NY	10562	Non-Profit	Building the Case for a Campaign to Expand Community Water Fluoridation in New York State
11-00009	P2 Collaborative of Western New York, Inc	\$	262,500.00	9/30/2013	\$74,000.00		6225 Sheridan Drive, Suite 206	Williamsville	NY	14221	Non-Profit	Meeting the Mark Achieving Excellence in Diabetes Care
12-00980	Pew Charitable Trusts	\$	135,000.00	5/31/2013	\$27,000.00		901 E Street NW	Washington	D C	20004	Non-Profit	Equinox and Clearview Agency Merger
10 02685	Prime Care Physicians PLLC	\$	82,500.00	1/29/2015	\$66,000.00	Equinox, Inc	4 Atrium Drive	Albany	NY	12205	Profit	Equinox and Clearview Agency Merger
11-00110	Project Equinox, Inc	\$	300,000.00	4/30/2013	\$75,000.00	Equinox, Inc	95 Central Avenue	Albany	NY	12206	Non-Profit	Sponsoring Health Affairs Theme Issues on Diabetes and Insurance Exchanges
11-00110	Project Equinox, Inc	\$	300,000.00	4/30/2012	\$75,000.00	Equinox, Inc	95 Central Avenue	Albany	NY	12206	Non-Profit	Queens ConnectCare
11-00318	Project HOPE-The People-to-People Health Foundation	\$	150,000.00	5/31/2013	\$30,000.00	Health Affairs	7500 Old Georgetown Rd, suite 600	Bethesda	MD	20814	Non-Profit	Queens ConnectCare
10-02414	Queens Library Foundation, Inc	\$	250,000.00	3/29/2013	\$46,875.00		89 11 Merrick Boulevard	Jamaica	NY	11432-5242	Non-Profit	Meeting the Mark Achieving Excellence in Diabetes Care for Small Practices
10-02414	Queens Library Foundation, Inc	\$	250,000.00	7/31/2012	\$62,500.00		89-11 Merrick Boulevard	Jamaica	NY	11432-5242	Non-Profit	Supporting a Healthy Community Expanding Services in Sullivan County
10-02414	Queens Library Foundation, Inc	\$	250,000.00	11/29/2013	\$15,625.00		89-11 Merrick Boulevard	Jamaica	NY	11432-5242	Non-Profit	Refuah Health Center and Fidelis Care Improving Quality and Aligning Financial Accountability
10-02351	Rapha Family Medicine P C	\$	2,500.00	3/11/2013	\$2,000.00		2200 Main Street	Buffalo	NY	14214	Profit	Replicating Refugee Healthcare Programs in Update New York
12-01547	Refuah Health Center, Inc	\$	100,000.00	2/28/2014	\$20,000.00	RHC	728 North Main Street	Spring Valley	NY	10977	Non-Profit	Using Technology to Improve Scheduling Efficiencies in Home Care
12-01493	Refuah Health Center, Inc	\$	198,800.00	12/31/2014	\$39,760.00		728 North Main Street	Spring Valley	NY	10977	Non-Profit	Utica Community Health Center Business Plan Development Project
11-00866	Rochester General Hospital	\$	200,852.00	2/28/2014	\$40,170.00	RIT	100 Kings Park South	Rochester	NY	14617	Non-Profit	
10-01954	Rochester Institute of Technology	\$	229,059.00	3/3/2014	\$45,812.00	Regional Primary Care Network	116 Lomb Memorial Drive	Rochester	NY	14623	Non-Profit	
11-00859	Rochester Primary Care Network, Inc	\$	99,983.00	3/29/2013	\$19,999.00		259 Monroe Avenue	Rochester	NY	14580	Non-Profit	
10-01897	RotaCare, Inc	\$	50,000.00	6/28/2013	\$25,000.00	RotaCare, Inc	c/o A. Holly Patterson, 875 Jerusalem Avenue	Unondale	NY	11553	Non-Profit	Quality Assurance Development Process

10-00348	Rural Health Network of South Central New York, Inc	\$	200,000.00	2/28/2014	\$40,000.00	RHNSCN	2663 Main Street	Whitney Point	NY	13862	Non-Profit	Rural Services Project
11-00141	Safe Space NYC, Inc	\$	223,118.00	6/3/2013	\$48,279.50	Safe Space	89-74 162 Street	Jamaica	NY	11432	Non-Profit	Seen and Heard Sustaining a Clinical Response Model to Childhood Trauma
11-00881	Southern Tier Community Health Center Network, Inc	\$	100,000.00	8/30/2013	\$20,000.00		500 Main Street	Olean	NY	14760	Non-Profit	Primary Care Expansion in Allegany and Cattaraugus Counties
11-00282	Sovereign Phoenix LLC, IPA	\$	15,000.00	3/21/2014	\$12,000.00		15 Cultermill Road	Great Neck	NY	11021	Profit	Meeting the Mark Achieving Excellence in Diabetes Care
10-00363	Sunset Park Health Council, Inc	\$	300,000.00	9/30/2013	\$60,000.00	Lutheran Family Health Centers	150 55th Street, Station 20	Brooklyn	NY	11220	Non-Profit	Development of a Community Medicine Program at the Bellevue Men's Shelter
11-00018	SUNY - University at Albany The Nelson A Rockefeller Institute of Government	\$	70,262.00	2/28/2013	\$14,052.00	Nelson A Rockefeller Institute of Government, Health Policy Research Center						Spending and Government Efficiency in Health Care Delivery
11-00919	Syracuse Community Health Center, Inc	\$	100,004.00	2/14/2014	\$20,004.00		411 State Street	Albany	NY	12203-1003	Non-Profit	Syracuse Community Health Center Expansion Initiative
12-01491	Syracuse University	\$	511,000.00	1/31/2014	\$127,872.00	SU	819 South Salina Street	Syracuse	NY	13202	Non-Profit	Taking Care of the New Home Front Using Federal Resources to Expand Community Capacity for NYS's Veterans and Families
12-01491	Syracuse University	\$	511,000.00	2/27/2015	\$127,872.00	SU	Office of Sponsored Programs, 113 Bowne Hall	Syracuse	NY	13244-1200	Non-Profit	Taking Care of the New Home Front Using Federal Resources to Expand Community Capacity for NYS's Veterans and Families
12-01483	Taconic Health Information Network and Community, Inc	\$	222,601.00	11/29/2013	\$44,520.00	THINC Healthcare Association of New York State (HANY)	300 Westgate Business Center Drive, Suite 320	Fishkill	NY	12524	Non-Profit	Leveraging the Comprehensive Primary Care Initiative for Greater Impact
11-00654	The Hospital Educational and Research Fund, Inc	\$	1,015,068.00	5/31/2013	\$253,767.00		One Empire Drive	Rensselaer	NY	12144	Non-Profit	Improving Diabetes Outcomes at New York State Hospital Outpatient Clinics - Year 3
11-00531	Trustees of Columbia University in the City of New York	\$	160,000.00	11/30/2012	\$32,000.00	Mailman School of Public Health	Office of Research Administration, 630 West 168th Street Box 49	New York	NY	10032	Non-Profit	Advancing the Integration of Community Health Workers in to Patient-Centered Medical Homes and Health Homes in NYS
11-00410	United Hospital Fund of New York	\$	399,232.00	4/30/2013	\$99,808.00	UHF	1411 Broadway, 12FL	New York	NY	10018	Non-Profit	Implementing Health Reform Addressing Issues of Benelits, Provider Networks, and Market Structures
3169858	University of Rochester - Starlight Pediatrics	\$	300,141.00	2/28/2013	\$75,035.00	Starlight Pediatrics	601 Elmwood Avenue Box 777 Pediatrics	Rochester	NY	14642	Non-Profit	Fostering Connections
11-00268	Winthrop-University Hospital Association	\$	75,000.00	1/15/2014	\$60,000.00		222 Station Plaza North Suite 605	Mineola	NY	11501	Non-Profit	Meeting the Mark Achieving Excellence in Diabetes Care
Total		\$	32,998,750.00		\$7,522,523							
			Grant Discount		- \$96,331							
			Net Grants Payable		\$7,536,192							

NEW YORK STATE HEALTH FOUNDATION

EIN #30-0127892

December 31, 2012

Form 990-PF

Part IX-A Summary of Direct Charitable Activities

Health Care Conferences: The Foundation held two major conferences in 2012 to highlight key issues affecting New York State's health system. The first, held in October in Albany, focused on diabetes prevention. The conference, "Diabetes Prevention: Scaling What Works," brought together more than 100 policymakers, researchers, advocates, insurers, and health care providers, to discuss opportunities for New York to spread effective programs to prevent diabetes among those at highest risk of developing the disease. Another large conference, held in November in New York City, focused on promising and successful approaches to health care payment reform. The conference, "Money Changes Everything: Creating Payment Reform in New York State," which attracted more than 200 participants from across New York State, included discussions of the lessons learned from successful payment reform approaches in other states and of innovative initiatives underway in New York State.

\$76,419

Website Redesign: In 2012, the Foundation launched a more sophisticated website (www.nyshealthfoundation.org) that allows for increased transparency about NYSEHealth's impact and more easily-accessible information about the Foundation's analytic work, lessons learned, and program results. The new website includes a section on Measuring our Impact, which details the Foundation's performance on a range of measures related to program impact and organizational effectiveness. An enhanced Resources and Reports section offers NYSEHealth and grantee publications; grant outcome reports that highlight key lessons and results of the Foundation's grantmaking; and other resources to advance knowledge related to pressing health care issues facing New York State. In addition, a more robust search function and a more intuitive, user-friendly design enable website visitors to find relevant publications and related information quickly and easily.

\$143,883

Veterans Affairs: In 2012, the Foundation's work in Veteran's Affairs included advancing public policy and engaging other funders to ensure that public and private funding for community-based resources meets the needs of New York State's returning veterans and their families, and building knowledge and cultural competency related to military issues among primary care and mental health providers and community-based organizations to help them better meet the unique needs of returning veterans and their families.

\$134,594

ATTACHMENT D

New York State Health Foundation
EIN 30-0127892
Form 990-PF: Part II - Balance Sheet
Schedule of Portfolio Holdings
December 31, 2012

Type and Name of Security	Balance at December 31, 2012		
	No of Shares	Cost	Market Value
Equities			
iShares Russell 1000 ETF	386,300 00	\$ 26,370,576 23	\$ 30,575,645 00
Blackrock Russell 1000 Index Fund	1,657,445 92	21,308,357 62	28,058,331 82
Blackrock ACWI ex-US Index Fund	2,111,862 38	42,421,228 78	47,481,137 05
Blackrock Emerging Markets Index Fund	195,487 37	6,032,129 75	6,631,635 15
Van Eck Global Hard Assets Fund	358,552 59	16,938,348 22	16,095,425 41
Wellington Natural Resources Fund	1,563,373 27	17,294,741 83	15,446,127 85
Subtotal Equity Funds		130,365,382 43	144,288,302 28
Hard Assets			
iShares COMEX Gold ETF	1,229,500 00	13,454,881 47	20,003,965 00
Subtotal Hard Assets		13,454,881 47	20,003,965 00
Fixed Income Funds			
iShares 20+ Year Treasury ETF	109,600 00	11,697,404 36	13,281,328 00
iShares 10-20 Year Treasury ETF	151,700 00	19,910,962 69	20,458,262 00
Blackrock 7-10 Year Treasury Index Fund	1,262,359 38	17,571,800 38	22,781,384 41
iShares TIPS ETF	27,880 00	2,771,313 82	3,384,910 80
Blackrock Barclays Government Credit Index Fund	166,970 32	6,547,364 94	7,067,488 31
Blackrock TIPS Fund	614,145 63	10,711,068 17	13,476,155 80
Oaktree High Yield Bond Fund	108,039 14	8,294,271 13	8,473,678 60
Subtotal Fixed Income Funds		77,504,185 49	88,923,207 92
AmExpress CC 5 125%	1,000,000 00	1,060,800 00	1,071,340 00
Blackrock, Inc 3 500%	1,000,000 00	1,005,118 00	1,056,110 00
Cliff Natural Resources 5 9%	1,000,000 00	1,049,480 00	1,063,340 00
Comcast Corp 4 65%	1,000,000 00	1,002,295 00	1,054,060 00
CVS Caremark 5 75%	366,000 00	371,841 36	437,578 62
Discovery Comm 3 7%	1,000,000 00	998,985 00	1,065,370 00
Dow Chem Co SR 5 7%	1,000,000 00	912,480 00	1,189,270 00
FISERV 3 5% 10/2022	1,000,000 00	1,001,250 00	1,018,140 00
Goldman Sachs 3 7%	1,000,000 00	1,001,193 60	1,055,390 00
Home Depot 5 25%	1,000,000 00	1,037,780 00	1,046,260 00
JPMorgan Chase 6 3%	1,000,000 00	1,092,690 00	1,233,760 00
Kraft Foods Inc 6 5%	875,000 00	866,565 00	1,175,431 25
NASDAQ OMX 4%	650,000 00	648,560 00	680,407 00
Newmont Mining 5 125%	500,000 00	497,510 00	576,415 00
Oracle Corp 5%	1,000,000 00	998,050 00	1,200,890 00
Pentair 5% 15 May 2021/2022	1,000,000 00	1,000,464 00	1,129,600 00
Quest Diagnostics 3 2% 1/Apr/2016/2017	1,000,000 00	1,003,228 70	1,054,730 00
Republic Svcs 5 250%	1,000,000 00	1,001,788 00	1,178,600 00
Time Warner 3 15%	240,000 00	239,714 40	253,797 60
Time Warner 4 5%	1,750 00	176,237 25	170,668 75
Viacom Inc 4 375%	1,000,000 00	996,485 00	1,059,520 00
WalMart 5 25%	1,000,000 00	1,198,270 00	1,214,800 00
Subtotal Corporate Bonds	18,632,750 00	19,160,785 31	20,985,478 22
NYC Ser D-1 Build Amer Bds 4 749% 01-Dec-2019	1,000,000 00	1,000,000 00	1,161,170 00
Ohio State Hwy Cap Impt-Ser M BAB 01-May-2025	1,000,000 00	1,000,000 00	1,165,430 00
Subtotal Taxable Municipal Bonds	2,000,000 00	2,000,000 00	2,326,600 00
TOTAL INVESTMENT HOLDINGS		\$ 242,485,235	\$ 276,527,553

New York State Health Foundation
EIN# 30-0127892
Form 990-PF
Part II - Balance Sheet
Detail of Line 14

Property Description	(A)	(B)	
	Beginning of year	End of year	
	Book Value	Book Value	FMV
Leasehold Improvements	\$ 158,085	\$ 163,885	\$ 163,885
Leasehold Improvements - Accumulated Depreciation	(51,380)	(62,015)	(62,015)
Furniture Fixtures and Equipment	694,897	696,196	696,196
Furniture Fixtures and Equipment - Accumulated Depreciation	<u>(390,020)</u>	<u>(489,856)</u>	<u>(489,856)</u>
Total of Line 14 Column (A) and (B)	<u>\$ 411,582</u>	<u>\$ 308,210</u>	<u>\$ 308,210</u>

Expenditure Responsibility - Grants Paid FY 2012													
Request Number	Organization Name	Organization AKA Name	Tax Status	Organization Type 1	Organization Type 2	Project Title	Awarded Amount	Payment Date	Amount	Address	City	State	Zip/Postal Code
10-02176	Buffalo Medical Group, P.C		170(B)(1)(a)(i)	Profit		Meeting the Mark Achieving Excellence in Diabetes Care		8/14/2012	\$58,000.00	85 High Street	Buffalo	NY	14203
10-02176	Buffalo Medical Group, P.C		170(B)(1)(a)(i)	Profit		Meeting the Mark Achieving Excellence in Diabetes Care	\$72,500.00	1/19/2012	\$14,500.00	85 High Street	Buffalo	NY	14203
11-00199	Buffalo Psychiatric Center		170(B)(1)(a)(i)	Public/Government	Hospital	Meeting the Mark Achieving Excellence in Diabetes Care for Small Practices	\$20,000.00	1/13/2012	\$4,000.00	400 Forest Avenue	Buffalo	NY	14213
11-00550	Champlain Valley Health Network, Inc	CVHN	170(B)(1)(e)(i)	Profit	Primary Care Networks	Meeting the Mark Achieving Excellence in Diabetes Care	\$40,000.00	2/18/2012	\$8,000.00	P O Box 2866	Plattsburgh	NY	12901
11-00433	Chinese Community Accountable Care Organization, Inc	CCACO	170(B)(1)(a)(i)	Profit	Professional Associations	Meeting the Mark Achieving Excellence in Diabetes Care	\$115,000.00	7/13/2012	\$23,000.00	128 Mott Street	New York	NY	10013
12-01031	Essen Medical Associates, P.C		170(B)(1)(a)(i)	Profit	Private Practice	Meeting the Mark Achieving Excellence in Diabetes Care	\$60,000.00	5/18/2012	\$12,000.00	2015 Grand Concourse Avenue	Bronx	NY	10453
11-00416	Health Alliance Physicians PC	Health Alliance Physician Organization	170(B)(1)(e)(i)	Profit		Meeting the Mark Achieving Excellence in Diabetes Care	\$70,000.00	4/20/2012	\$14,000.00	730 S. Crouse Ave	Syracuse	NY	13210
12-01481	Health Policy Associates, Inc	HPA	170(B)(1)(a)(i)	Profit	Consulting Firm	Rpt. NY's Health's Work to Advance Integrated Care of Individuals with Co-Occurring Mental Health & Substance Use Disorder	\$56,055.00	9/28/2012	\$44,844.00	847 25th Avenue	San Francisco	CA	94121
12-01375	IM Research and Service Corporation	New York Chapter, American College of Physicians (ACP)	509(A)(2)	Profit	Professional Associations	Improving Diabetes Care among New York's Internal Medicine Physicians, Year 4	\$83,088.00	7/6/2012	\$66,470.00	744 Broadway	Albany	NY	12207-2331
11-00312	IM Research and Service Corporation	New York Chapter, American College of Physicians	509(A)(2)	Profit	Professional Associations	Improving Diabetes Care among New York's Internal Medicine Physicians, Year 3	\$105,585.00	7/11/2012	\$21,117.00	744 Broadway	Albany	NY	12207-2331
11-00200	Keuka Family Practice Associates, LLP		170(B)(1)(a)(i)	Profit	Primary Care Networks	Meeting the Mark Achieving Excellence in Diabetes Care for Small Practices	\$10,000.00	3/26/2012	\$5,500.00	7573 State Route 54	Bath	NY	14810
10-02099	Mathematica Policy Research, Inc	Mathematica	Non Verifiable	Profit	Other-fill in the field below	Controlling Cost and Improving Care for New York State's Medicare and Medicaid Dual Eligibles	\$146,454.00	5/18/2012	\$29,114.00	600 Maryland Avenue, SW, Suite 550	Washington	DC	20024-2512
1909890	New York City Health and Hospitals Corporation - Bellevue Hospital Center	Bellevue Hospital Center	170(B)(1)(a)(ii)	Public/Government		Improving Care and Reducing Hospital Admissions and Costs for Patients at High Risk of Frequent Hospital Admissions	\$500,000.00	10/24/2012	\$125,000.00	462 First Avenue	New York	NY	10016
2492249	New York City Health and Hospitals Corporation - Harlem Hospital Center	Harlem Hospital Center	Non Verifiable	Public/Government	Hospital	Muslim Health Clinic	\$309,672.00	9/5/2012	\$154,836.00	506 Lenox Avenue	New York	NY	10037
11-00043	New York State Unified Court System		Non Verifiable	Public/Government	Government Agency	Resolving Medical Malpractice Cases with Judge-Directed Negotiation Upstate Expansion	\$219,993.00	12/3/2012	\$54,998.00	25 Beaver Street, 8th Floor	New York	NY	10004
10-01905	New York State Unified Court System (NYS)	Buffalo Veterans Treatment Court	Non Verifiable	Public/Government	Government Agency	Statewide Veteran's Curriculum and Training The Buffalo Model	\$241,118.00	8/6/2012	\$48,223.00	25 Beaver Street, 8th Floor	New York	NY	10004
10-02391	Rapha Family Medicine, P.C		170(B)(1)(a)(i)	Profit	Private Practice	Meeting the Mark Achieving Excellence in Diabetes Care for Small Practices	\$2,500.00	1/20/2012	\$500.00	2200 Main Street	Buffalo	NY	14214
11-00274	Riverdale Family Medical Practice	Riverdale Family Practice	170(B)(1)(a)(i)	Profit	Private Practice	Meeting the Mark Achieving Excellence in Diabetes Care for Small Practices	\$17,500.00	12/13/2012	\$14,000.00	3125 Tibbett Avenue	Bronx	NY	10463

11-00289	Robert Morrow Family Practice		170(B)(1)(a)(i)	Profit	Private Practice	Meeting the Mark: Achieving Excellence in Diabetes Care for Small Practices	\$2,500.00	2/1/2012	\$2,000.00	5997 Riverdale Avenue	Bronx	NY	10471
11-00282	Sovereign Phoenix LLC, IPA		170(B)(1)(a)(i)	Profit	Other	Meeting the Mark: Achieving Excellence in Diabetes Care	\$15,000.00	2/1/2012	\$3,000.00	15 Cultermill Road	Great Neck	NY	11021
11-00838	Wakely Consulting Group		170(B)(1)(a)(i)	Profit	Consulting Firm	Health Reform: Implementing Risk Adjustment in the New York Context	\$15,000.00	9/14/2012	\$5,000.00	9777 Pyramid Ct	Englewood	CO	80112
11-00417	Welsh Analytics LLC	Welsh Analytics	170(B)(1)(a)(i)	Profit	Consulting Firm	Technical Assistance to Medicaid Redesign Team Brooklyn Work Group	\$65,000.00	1/13/2012	\$32,391.42	1287 Garner Avenue	Schenectady	NY	12309
TOTAL							\$2,166,965.00		\$740,493.42				

New York State Health Foundation

EIN# 30-0127892

December 31, 2012

Form 990-PF: Page 9: Line 4(c)

The Foundation received a contribution from a non-operating foundation which it expensed or redistributed . In accordance with IRS Reg. 53-4942(a)-3(d) the Foundation is electing to treat the \$370,000 not as a qualifying distribution but as a distribution out of corpus.

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on **e-file for Charities & Nonprofits**

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions. NEW YORK STATE HEALTH FOUNDATION	Employer identification number (EIN) or 30-0127892
	Number, street, and room or suite no. If a P.O. box, see instructions. 1385 BROADWAY, 23RD FLOOR	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. NEW YORK, NY 10018-6013	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

THE FOUNDATION

- The books are in the care of ► **1385 BROADWAY, 23RD FLOOR - NEW YORK, NY 10018-6013**
Telephone No ► **212-664-7656** FAX No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐ . If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2013**, to file the exempt organization return for the organization named above. The extension is for the organization's return for
► ☒ calendar year **2012** or
► ☐ tax year beginning , and ending

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	145,075.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	193,229.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	3c	\$	0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA **For Privacy Act and Paperwork Reduction Act Notice, see instructions.**

Form **8868** (Rev. 1-2013)