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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052

2012

Department of the Treasury
Internal Revenue Service

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2012, or tax year beginning , 2012, and ending

CYNTHIA R. TREMBLAY FOUNDATION, INC.
307 WEST RIO ROAD
CHARLOTTESVILLE, VA 22901A Employer identification number
30-0117623B Telephone number (see the instructions)
434-973-7474C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐G Check all that apply. ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name changeH Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16)

J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify) (Part I, column (d) must be on cash basis)Fair market value of all assets at end of year (from Part II, column (c), line 16)
\$ 666,649.

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

REVENUE	1 Contributions, gifts, grants, etc., received (att sch)	610.			
	2 Ck ☒ If the foundn is not req to att Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	13,254.	13,254.	13,254.	
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain/(loss) from sale of assets not on line 10	53,008.			
	b Gross sales price for all assets on line 6a	376,075.			
	7 Capital gain net income (from Part IV, line 2)		53,008.		
	8 Net short-term capital gain			0.	
	9 Income modifications				
	10a Gross sales less returns and allowances				
ADMINISTRATIVE EXPENSES	b Less Cost of goods sold				
	c Gross profit/(loss) (att sch)				
	11 Other income (attach schedule)				
	SEE STATEMENT 1	10,956.			
	12 Total. Add lines 1 through 11	77,828.	66,262.	13,254.	
	13 Compensation of officers, directors, trustees, etc	0.			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule) SEE ST 2	610.	610.	610.	610.
	b Accounting fees (attach sch) SEE ST 3	3,070.	3,070.	3,070.	3,070.
	c Other prof fees (attach sch) SEE ST 4	3,902.	3,902.	3,902.	3,902.
	17 Interest				
	18 Taxes (attach schedule)(see instrs) SEE STM 5	33.	33.	33.	33.
	19 Depreciation (attach sch) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) SEE STATEMENT 6	273.	273.	273.	273.
	24 Total operating and administrative expenses. Add lines 13 through 23	7,888.	7,888.	7,888.	7,888.
	25 Contributions, gifts, grants paid PART XV	45,000.			45,000.
	26 Total expenses and disbursements. Add lines 24 and 25	52,888.	7,888.	7,888.	52,888.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	24,940.			
	b Net investment income (if negative, enter -0-)		58,374.		
	c Adjusted net income (if negative, enter -0-)			5,366.	

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Part II Balance Sheets		Beginning of year (a) Book Value	End of year	
			(b) Book Value	(c) Fair Market Value
ASSETS	1 Cash — non-interest-bearing			
	2 Savings and temporary cash investments	5,701.	4,149.	4,149.
	3 Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch) ▶ Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments — U.S. and state government obligations (attach schedule)			
	b Investments — corporate stock (attach schedule)	11,757.	9,797.	133,043.
	c Investments — corporate bonds (attach schedule)		172,768.	170,678.
	11 Investments — land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12 Investments — mortgage loans			
	13 Investments — other (attach schedule)	437,016.	292,700.	358,779.
	14 Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I)	454,474.	479,414.	666,649.
LIABILITIES	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
NET ASSETS OR FUND BALANCES	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ ☐			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
	27 Capital stock, trust principal, or current funds	454,474.	479,414.	
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see instructions)	454,474.	479,414.	
	31 Total liabilities and net assets/fund balances (see instructions)	454,474.	479,414.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	454,474.
2 Enter amount from Part I, line 27a	2	24,940.
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	479,414.
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	479,414.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a SEE SCHEDULE ATTACHED	P	VARIOUS	VARIOUS
b SEE SCHEDULE ATTACHED	P	VARIOUS	VARIOUS
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 55,497.		55,835.	-338.
b 320,578.		267,232.	53,346.
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			-338.
b			53,346.
c			
d			
e			

2 Capital gain net income or (net capital loss) If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	53,008.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 	3	-338.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2011	44,989.	661,481.	0.068013
2010	49,775.	633,434.	0.078580
2009	45,000.	616,304.	0.073016
2008	55,000.	699,847.	0.078589
2007	50,000.	830,134.	0.060231

2 Total of line 1, column (d)	2	0.358429
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.071686
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	685,938.
5 Multiply line 4 by line 3	5	49,172.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	584.
7 Add lines 5 and 6	7	49,756.
8 Enter qualifying distributions from Part XII, line 4	8	52,888.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter 'N/A' on line 1. Date of ruling or determination letter _____ (attach copy of letter if necessary – see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	584.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	584.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	584.
6 Credits/Payments			
a 2012 estimated tax pmts and 2011 overpayment credited to 2012	6 a		
b Exempt foreign organizations – tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c		
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments. Add lines 6a through 6d	7		0.
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		584.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be Credited to 2013 estimated tax	11		
	Refunded		

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?		X
<i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation ☐ \$ 0. (2) On foundation managers ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>VA</u>		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>WILLIAM D. TUCKER III</u> Telephone no <u>434-973-7474</u> Located at <u>307 WEST RIO RAOD CHARLOTTESVILLE VA</u> ZIP + 4 <u>22901</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <u>N/A</u> and enter the amount of tax-exempt interest received or accrued during the year <u>15</u> <u>N/A</u>			
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If 'Yes,' enter the name of the foreign country			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No	
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <u> </u>	1 b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? If 'Yes,' list the years <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions)	2 b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If 'Yes,' did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)	3 b	N/A
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4 b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5 a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?**5 b**

N/A

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?**6 b**

X

If 'Yes' to 6b, file Form 8870

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7 b**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CYNTHIA R. TREMBLAY 307 WEST RIO ROAD CHARLOTTESVILLE, VA 22901	CHAIRMAN 1.00	0.	0.	0.
WILLIAM D. TUCKER III 307 WEST RIO ROAD CHARLOTTESVILLE, VA 22901	PRESIDENT 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 — see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a Average monthly fair market value of securities	1 a	689,495.
b Average of monthly cash balances	1 b	6,889.
c Fair market value of all other assets (see instructions)	1 c	
d Total (add lines 1a, b, and c)	1 d	696,384.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	696,384.
4 Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	10,446.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	685,938.
6 Minimum investment return. Enter 5% of line 5	6	34,297.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6		1	34,297.
2a Tax on investment income for 2012 from Part VI, line 5	2 a	584.	
b Income tax for 2012 (This does not include the tax from Part VI.)	2 b		
c Add lines 2a and 2b		2 c	584.
3 Distributable amount before adjustments Subtract line 2c from line 1		3	33,713.
4 Recoveries of amounts treated as qualifying distributions		4	
5 Add lines 3 and 4		5	33,713.
6 Deduction from distributable amount (see instructions)		6	
7 Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1		7	33,713.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	52,888.
b Program-related investments — total from Part IX-B	1 b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the		
a Suitability test (prior IRS approval required)	3 a	
b Cash distribution test (attach the required schedule)	3 b	
4 Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	52,888.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	584.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	52,304.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				33,713.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			0.	
b Total for prior years 20__, 20__, 20__		0.		
3 Excess distributions carryover, if any, to 2012				
a From 2007				
b From 2008				
c From 2009				
d From 2010	18,553.			
e From 2011	11,937.			
f Total of lines 3a through e	30,490.			
4 Qualifying distributions for 2012 from Part XII, line 4 \$ 52,888.				
a Applied to 2011, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2012 distributable amount				33,713.
e Remaining amount distributed out of corpus	19,175.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	49,665.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount — see instructions		0.		
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount — see instructions			0.	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	49,665.			
10 Analysis of line 9				
a Excess from 2008				
b Excess from 2009				
c Excess from 2010	18,553.			
d Excess from 2011	11,937.			
e Excess from 2012	19,175.			

BAA

Form 990-PF (2012)

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling

- b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

- 2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.

[illegible]

Part XV **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)**

1 Information Regarding Foundation Managers:

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number or e-mail of the person to whom applications should be addressed

- b** The form in which applications should be submitted and information and materials they should include.

- c Any submission deadlines

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient		If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)					
a <i>Paid during the year</i> SEE STATEMENT 7					
Total					► 3 a 45,000.
b <i>Approved for future payment</i>					
Total					► 3 b

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities				13,254.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					10,956.
8 Gain or (loss) from sales of assets other than inventory					53,008.
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				13,254.	63,964.
13 Total. Add line 12, columns (b), (d), and (e)				13	77,218.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

2012

FEDERAL STATEMENTS

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CYNTHIA R. TREMBLAY FOUNDATION, INC.

30-0117623

STATEMENT 1
FORM 990-PF, PART I, LINE 11
OTHER INCOME

	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
OTHER INVESTMENT INCOME	\$ 10,956.		
TOTAL	\$ 10,956.	\$ 0.	\$ 0.

STATEMENT 2
FORM 990-PF, PART I, LINE 16A
LEGAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	\$ 610.	\$ 610.	\$ 610.	\$ 610.
TOTAL	\$ 610.	\$ 610.	\$ 610.	\$ 610.

STATEMENT 3
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	\$ 3,070.	\$ 3,070.	\$ 3,070.	\$ 3,070.
TOTAL	\$ 3,070.	\$ 3,070.	\$ 3,070.	\$ 3,070.

STATEMENT 4
FORM 990-PF, PART I, LINE 16C
OTHER PROFESSIONAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MANAGEMENT ACCOUNT FEES	\$ 3,902.	\$ 3,902.	\$ 3,902.	\$ 3,902.
TOTAL	\$ 3,902.	\$ 3,902.	\$ 3,902.	\$ 3,902.

CYNTHIA R. TREMBLAY FOUNDATION, INC.

30-0117623

STATEMENT 5
FORM 990-PF, PART I, LINE 18
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL TAX PAID	\$ 11.	\$ 11.	\$ 11.	\$ 11.
FOREIGN TAXES PAID	22.	22.	22.	22.
TOTAL	\$ 33.	\$ 33.	\$ 33.	\$ 33.

STATEMENT 6
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OTHER EXPENSE	\$ 248.	\$ 248.	\$ 248.	\$ 248.
STATE CORPORATION FEE	25.	25.	25.	25.
TOTAL	\$ 273.	\$ 273.	\$ 273.	\$ 273.

STATEMENT 7
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
ASH LAWN OPERA FESTIVAL FOUNDATION 111 4TH ST NE CHARLOTTESVILLE, VA 22902	NONE	NO	CHARITABLE	\$ 10,000.
CHARLOTTESVILLE-ALBEMARLE SPCA 3355 BERKMAR DRIVE CHARLOTTESVILLE, VA 22901	NONE	NO	CHARITABLE	5,000.
THE METROPOLITAN OPERA LINCOLN CENTER NEW YORK, NY 10023	NONE	NO	CHARITABLE	5,000.
THE PARAMOUNT THEATER OF CHARLOTTESVILLE 215 EAST MAIN ST CHARLOTTESVILLE, VA 22902	NONE	NO	CHARITABLE	5,000.
RADIO IQ-WVTF PUBLIC RADIO 3520 KINDSLEY LANE ROANOKE, VA 24014	NONE	NO	CHARITABLE	5,000.

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FEDERAL STATEMENTS

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CYNTHIA R. TREMBLAY FOUNDATION, INC.

30-0117623

STATEMENT 7 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

<u>NAME AND ADDRESS</u>	<u>DONEE RELATIONSHIP</u>	<u>FOUND- ATION STATUS</u>	<u>PURPOSE OF GRANT</u>	<u>AMOUNT</u>
VIRGINIA CENTER FOR THE CREATIVE ARTS 154 SAN ANGELO DRIVE AMHERST, VA 24521	NONE	NO	CHARITABLE	\$ 15,000.
TOTAL				\$ <u>45,000.</u>

CYNTHIA R TREMBLAY
FOUNDATION INC
FUNDAMENTALS - MUTUAL FUND/ETF

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REALIZED GAINS/(-)LOSSES

This section provides estimated realized gains or losses for informational purposes only, and should not be used for tax preparation without the assistance of your tax advisor. Cost basis may be adjusted for, but not limited to, amortization, accretion, principal paydowns, capital changes, listed option premiums, gifting rules, inheritance step-up, or wash sales. To calculate gains or losses, the trading tax lot relief method indicated on the first page of this statement was used, unless another method was in effect at the time of the trade. We suggest you review this information for accuracy and contact your Financial Advisor with any questions.

Equities	Closing Transaction	Date Acquired	Date Sold	Quantity	Cost Basis	Sale Proceeds	Realized Gain/(-)Loss**
APPLE INC CUSIP: 037833100		02/02/05	10/03/12	50	1,959.49	33,206.50	31,247.01 (LT)
Total Equities					\$1,959.49	\$33,206.50	\$31,247.01
Corporate/Government Bonds	Closing Transaction	Date Acquired	Date Sold	Quantity	Cost Basis	Sale Proceeds	Realized Gain/(-)Loss**
ISHARES TRUST BARCLAYS TIPS BOND FUND CUSIP: 464287176		10/03/12	11/19/12	104	12,836.96	12,661.02	-175.94 (ST)
ISHARES IBOXX \$INVESTMENT GRADE CORP CUSIP: 464287242		10/03/12	11/19/12	224	27,449.93	27,049.45	-400.48 (ST)
ISHARES TRUST IBOXX S HIGH YIELD CORP BOND FD CUSIP: 464288513		10/10/12	11/19/12	61	5,658.74	5,484.69	-174.05 (ST)
Total Corporate/Government Bonds					\$45,945.63	\$45,195.16	-\$750.47
Mutual Funds	Closing Transaction	Date Acquired	Date Sold	Quantity	Cost basis	Sale Proceeds	Realized Gain/(-)Loss**
Open-End Funds							
ALLIANZ RCM FOCUSED ^ GROWTH CL P CUSIP: 018922781		07/27/11	10/03/12	221.794	5,733.38	6,341.09	607.71 (LT)
BLACKROCK GNMA ^ INVESTOR CL A CUSIP: 091929745		11/06/08 11/28/08 12/31/08 01/30/09 02/27/09 03/31/09 04/30/09 05/12/09	10/03/12 10/03/12 10/03/12 10/03/12 10/03/12 10/03/12 10/03/12 10/03/12	3,931.273 11.750 14.059 12.509 13.067 13.714 14.824 415.704	38,565.76 116.68 142.00 126.22 132.11 140.29 151.35 4,252.65	41,356.99 123.61 147.90 131.59 137.46 144.27 155.94 4,373.21	2,791.23 (LT) 6.93 (LT) 5.90 (LT) 5.37 (LT) 5.35 (LT) 3.98 (LT) 4.59 (LT) 120.56 (LT)

** Please note "Realized Gain/(-)Loss" does not equal total sale proceeds minus total cost basis if any cost basis amounts are missing.



CYNTHIA R. TREMBLAY
FOUNDATION INC
FUNDAMENTALS - MUTUAL FUND/ETFDecember 1 -
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REALIZED GAINS/(c)LOSSES continued

Mutual Funds	Closing Transaction	Date Acquired	Date Sold	Quantity	Cost basis	Sale Proceeds	Realized Gain/(-)Loss**
<i>Open-End Funds</i>							
		05/29/09	10/03/12	17.273	176.01	181.71	5.70 (LT)
		06/30/09	10/03/12	17.665	179.30	185.83	6.53 (LT)
		07/31/09	10/03/12	17.154	174.97	180.46	5.49 (LT)
		08/31/09	10/03/12	15.146	155.70	159.33	3.63 (LT)
		10/01/09	10/03/12	10.505	108.62	110.51	1.89 (LT)
		11/02/09	10/03/12	13.414	139.10	141.11	2.01 (LT)
		12/01/09	10/03/12	10.528	110.65	110.75	0.10 (LT)
		12/23/09	10/03/12	88.627	899.56	932.35	32.79 (LT)
		01/04/10	10/03/12	10.993	111.25	115.64	4.39 (LT)
		02/01/10	10/03/12	11.030	112.95	116.03	3.08 (LT)
		03/01/10	10/03/12	11.399	116.84	119.91	3.07 (LT)
		04/01/10	10/03/12	13.039	133.78	137.17	3.39 (LT)
		05/03/10	10/03/12	12.283	126.51	129.21	2.70 (LT)
		06/01/10	10/03/12	12.575	131.16	132.28	1.12 (LT)
		07/01/10	10/03/12	10.546	110.84	110.94	0.10 (LT)
		08/02/10	10/03/12	9.853	104.34	103.65	-0.69 (LT)
		09/01/10	10/03/12	9.434	99.81	99.24	-0.57 (LT)
		10/01/10	10/03/12	10.171	106.90	106.99	0.09 (LT)
		11/01/10	10/03/12	9.784	104.59	102.92	-1.67 (LT)
		12/01/10	10/03/12	10.461	111.62	110.05	-1.57 (LT)
		12/23/10	10/03/12	142.337	1,447.57	1,497.40	49.83 (LT)
		12/23/10	10/03/12	33.007	335.68	347.23	11.55 (LT)
		01/03/11	10/03/12	12.545	127.83	131.97	4.14 (LT)
		02/01/11	10/03/12	11.328	114.87	119.17	4.30 (LT)
		03/01/11	10/03/12	9.771	99.37	102.79	3.42 (LT)
		04/01/11	10/03/12	10.246	104.00	107.78	3.78 (LT)
		05/02/11	10/03/12	11.785	120.91	123.97	3.06 (LT)
		06/01/11	10/03/12	12.817	132.66	134.83	2.17 (LT)
		07/01/11	10/03/12	13.299	137.51	139.90	2.39 (LT)
		07/27/11	10/03/12	495.514	5,113.70	5,212.89	99.19 (LT)
		08/01/11	10/03/12	13.874	144.15	145.95	1.80 (LT)
		09/01/11	10/03/12	14.746	155.42	155.13	-0.29 (LT)
		10/03/11	10/03/12	16.133	169.56	169.72	0.16 (ST)
		11/01/11	10/03/12	16.273	170.70	171.19	0.49 (ST)
		12/01/11	10/03/12	16.689	175.23	175.57	0.34 (ST)
		12/22/11	10/03/12	66.960	694.38	704.43	10.05 (ST)
		01/03/12	10/03/12	18.207	189.35	191.54	2.19 (ST)

** Please note "Realized Gain/(-)Loss" does not equal total sale proceeds minus total cost basis if any cost basis amounts are missing.

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CYNTHIA R TREMBLAY
FOUNDATION INC
FUNDAMENTALS - MUTUAL FUND/ETF

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REALIZED GAINS/(-)LOSSES continued

Mutual Funds	Closing Transaction	Date Acquired	Date Sold	Quantity	Cost basis	Sale Proceeds	Realized Gain/(-)Loss**
<i>Open-End Funds</i>							
FRANKLIN INCOME FUND ^ CL A CUSIP: 353496300		02/01/12	10/03/12	18,405	191.60	193.62	2.02 (ST)
		03/01/12	10/03/12	17,002	176.82	178.86	2.04 (ST)
		04/02/12	10/03/12	18,761	195.30	197.37	2.07 (ST)
		05/01/12	10/03/12	17,429	182.13	183.36	1.23 (ST)
		06/01/12	10/03/12	17,119	179.06	180.09	1.03 (ST)
		07/02/12	10/03/12	17,058	178.26	179.45	1.19 (ST)
		08/01/12	10/03/12	16,539	173.82	174.00	0.18 (ST)
		09/04/12	10/03/12	15,156	159.29	159.45	0.16 (ST)
		10/01/12	10/03/12	14,949	157.56	157.28	-0.28 (ST)
				5,816,729	57,968.29	61,191.99	3,223.70
FRANKLIN INCOME FUND ^ CL A CUSIP: 353496300		08/21/07	07/12/12	117,757	313.24	252.00	-61.24 (LT)
		08/21/07	07/13/12	11,628	30.94	25.00	-5.94 (LT)
		08/21/07	07/13/12	4,651	12.38	10.00	-2.38 (LT)
FRANKLIN INCOME FUND ^ CL A CUSIP: 353496300		08/21/07	07/17/12	16,279	43.32	35.00	-8.32
		08/21/07	07/17/12	462,963	1,231.47	1,000.00	-231.47 (LT)
		08/21/07	10/03/12	1,192,217	3,171.26	2,658.64	-512.62 (LT)
		09/06/07	10/03/12	116,496	312.21	259.78	-52.43 (LT)
		10/03/07	10/03/12	114,456	313.61	255.23	-58.38 (LT)
		11/05/07	10/03/12	116,229	314.98	259.19	-55.79 (LT)
		12/05/07	10/03/12	188,467	436.33	375.68	-60.65 (LT)
		12/05/07	10/03/12	171,405	443.94	382.23	-61.71 (LT)
		12/05/07	10/03/12	428,027	1,108.59	954.50	-154.09 (LT)
		01/07/08	10/03/12	122,512	313.63	273.20	-40.43 (LT)
		02/05/08	10/03/12	129,735	328.23	289.30	-38.93 (LT)
		03/05/08	10/03/12	135,741	329.85	302.70	-27.15 (LT)
		04/03/08	10/03/12	136,440	331.55	304.26	-27.29 (LT)
		05/05/08	10/03/12	131,205	333.26	292.58	-40.68 (LT)
		06/04/08	10/03/12	133,960	334.90	298.73	-36.17 (LT)
		07/03/08	10/03/12	142,614	336.57	318.03	-18.54 (LT)

** Please note "Realized Gain/(-)Loss" does not equal total sale proceeds minus total cost basis if any cost basis amounts are missing.



CYNTHIA R TREMBLAY
FOUNDATION INC
FUNDAMENTALS - MUTUAL FUND/ETFDecember 1 -
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REALIZED GAINS/(-)LOSSES continued

Mutual Funds	Closing Transaction	Date Acquired	Date Sold	Quantity	Cost basis	Sale Proceeds	Realized Gain/(-)Loss**
Open-End Funds							
		08/05/08	10/03/12	147.751	338.35	329.48	-8.87 (LT)
		09/04/08	10/03/12	148.227	339.44	330.54	-8.90 (LT)
		10/03/08	10/03/12	174.128	341.29	388.30	47.01 (LT)
		11/05/08	10/03/12	199.692	343.47	445.31	101.84 (LT)
		12/03/08	10/03/12	11.947	18.04	26.64	8.60 (LT)
		12/03/08	10/03/12	219.053	330.77	488.49	157.72 (LT)
		01/07/09	10/03/12	112.911	190.82	251.79	60.97 (LT)
		02/04/09	10/03/12	119.404	192.24	266.27	74.03 (LT)
		03/04/09	10/03/12	135.476	193.73	302.11	108.38 (LT)
		04/03/09	10/03/12	126.896	195.42	282.98	87.56 (LT)
		05/05/09	10/03/12	118.681	197.01	264.66	67.65 (LT)
		06/03/09	10/03/12	103.165	181.57	230.05	48.48 (LT)
		07/06/09	10/03/12	102.151	182.85	227.79	44.94 (LT)
		08/05/09	10/03/12	96.403	184.13	214.98	30.85 (LT)
		09/03/09	10/03/12	97.547	185.34	217.53	32.19 (LT)
		10/02/09	10/03/12	95.184	186.56	212.26	25.70 (LT)
		11/03/09	10/03/12	95.791	187.75	213.61	25.86 (LT)
		12/02/09	10/03/12	86.821	174.51	193.61	19.10 (LT)
		01/06/10	10/03/12	84.014	175.59	187.35	11.76 (LT)
		02/02/10	10/03/12	83.127	169.58	185.37	15.79 (LT)
		03/02/10	10/03/12	84.025	170.57	187.37	16.80 (LT)
		04/05/10	10/03/12	81.705	171.58	182.20	10.62 (LT)
		05/04/10	10/03/12	80.243	171.72	178.94	7.22 (LT)
		06/02/10	10/03/12	87.212	172.68	194.48	21.80 (LT)
		07/02/10	10/03/12	87.742	173.73	195.66	21.93 (LT)
		08/03/10	10/03/12	84.029	174.78	187.38	12.60 (LT)
		09/02/10	10/03/12	85.751	175.79	191.22	15.43 (LT)
		10/04/10	10/03/12	83.801	176.82	186.88	10.06 (LT)
		11/02/10	10/03/12	83.484	177.82	186.17	8.35 (LT)
		12/02/10	10/03/12	40.194	84.81	89.63	4.82 (LT)
		01/05/11	10/03/12	38.950	85.30	86.86	1.56 (LT)
		02/02/11	10/03/12	36.731	81.91	81.91	N/A (LT)
		03/02/11	10/03/12	36.754	82.33	81.96	-0.37 (LT)
		04/04/11	10/03/12	36.619	82.76	81.66	-1.10 (LT)
		05/03/11	10/03/12	36.323	83.18	81.00	-2.18 (LT)
		06/02/11	10/03/12	36.991	83.60	82.49	-1.11 (LT)
		07/05/11	10/03/12	37.509	84.02	83.64	-0.38 (LT)

** Please note "Realized Gain/(-)Loss" does not equal total sale proceeds minus total cost basis if any cost basis amounts are missing.

STIFEL NICOLAUS

CYNTHIA R. TREMBLAY
FOUNDATION INC
FUNDAMENTALS - MUTUAL FUND/ETF

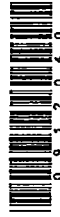
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REALIZED GAINS/(-)LOSSES continued

Mutual Funds	Closing Transaction	Date Acquired	Date Sold	Quantity	Cost basis	Sale Proceeds	Realized Gain/(-)Loss**
Open-End Funds							
		07/27/11	10/03/12	1,151.734	2,556.85	2,568.44	11.59 (LT)
		08/02/11	10/03/12	44.393	97.22	98.99	1.77 (LT)
		09/02/11	10/03/12	46.986	97.73	104.78	7.05 (LT)
		10/04/11	10/03/12	50.655	98.27	112.96	14.69 (ST)
		11/02/11	10/03/12	47.483	98.29	105.89	7.60 (ST)
		12/05/11	10/03/12	60.790	124.62	135.56	10.94 (ST)
		01/06/12	10/03/12	47.171	99.53	105.19	5.66 (ST)
		02/03/12	10/03/12	46.762	100.07	104.28	4.21 (ST)
		03/05/12	10/03/12	46.364	100.61	103.39	2.78 (ST)
		04/04/12	10/03/12	46.613	101.15	103.95	2.80 (ST)
		05/03/12	10/03/12	46.857	101.68	104.50	2.82 (ST)
		06/05/12	10/03/12	49.863	102.22	111.20	8.98 (ST)
		07/05/12	10/03/12	47.809	102.79	106.62	3.83 (ST)
		08/03/12	10/03/12	44.257	96.48	98.70	2.22 (ST)
		09/06/12	10/03/12	44.491	96.99	99.22	2.23 (ST)
		10/03/12	10/03/12	43.919	97.50	97.96	0.46 (ST)
HARRIS OAKMARK INTL CL I		05/18/10	10/03/12	8,522.083	19,022.77	19,004.25	-18.52
CUSIP: 413838202		12/20/10	10/03/12	4,967.849	81,423.02	94,289.77	12,866.75 (LT)
		07/27/11	10/03/12	41.510	799.49	787.86	-11.63 (LT)
		12/19/11	10/03/12	391.355	7,670.55	7,427.92	-242.63 (LT)
				42.211	678.33	801.17	122.84 (ST)
OPPENHEIMER INTL BOND CL A		03/07/07	10/03/12	5,442.925	90,571.39	103,306.72	12,735.33
CUSIP: 88380T103		03/30/07	10/03/12	340.138	2,068.02	2,227.90	159.88 (LT)
		04/16/07	10/03/12	17.394	106.45	113.93	7.48 (LT)
		04/30/07	10/03/12	1,570.387	9,689.29	10,286.03	596.74 (LT)
		05/11/07	10/03/12	22.269	139.18	145.86	6.68 (LT)
		05/31/07	10/03/12	3,183.578	19,897.36	20,852.44	955.08 (LT)
		06/29/07	10/03/12	32.994	206.54	216.11	9.57 (LT)
		07/31/07	10/03/12	38.167	235.87	249.99	14.12 (LT)
		08/21/07	10/03/12	36.597	229.10	239.71	10.61 (LT)
		08/31/07	10/03/12	802.591	4,943.96	5,256.97	313.01 (LT)
		09/28/07	10/03/12	45.662	282.65	299.08	16.43 (LT)
		10/31/07	10/03/12	36.284	232.58	237.66	5.08 (LT)
				39.738	261.08	260.28	-0.80 (LT)

** Please note "Realized Gain/(-)Loss" does not equal total sale proceeds minus total cost basis if any cost basis amounts are missing.



CYNTHIA R TREMBLAY
FOUNDATION INC
FUNDAMENTALS - MUTUAL FUND/ETFDecember 1 -
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REALIZED GAINS/(-)LOSSES continued

Mutual Funds	Closing Transaction	Date Acquired	Date Sold	Quantity	Cost basis	Sale Proceeds	Realized Gain/(-)Loss**
Open-End Funds							
		11/30/07	10/03/12	41.110	272.15	269.27	-2.88 (LT)
		12/28/07	10/03/12	46.072	293.94	301.77	7.83 (LT)
		12/28/07	10/03/12	377.152	2,406.23	2,470.34	64.11 (LT)
		01/31/08	10/03/12	38.977	255.69	255.29	-0.40 (LT)
		02/29/08	10/03/12	38.472	257.38	251.99	-5.39 (LT)
		03/31/08	10/03/12	37.441	252.73	245.23	-7.50 (LT)
		04/30/08	10/03/12	39.864	264.30	261.10	-3.20 (LT)
		05/30/08	10/03/12	44.113	288.94	288.94	N/A (LT)
		06/30/08	10/03/12	40.702	263.34	266.59	3.25 (LT)
		07/31/08	10/03/12	43.225	280.10	283.12	3.02 (LT)
		08/29/08	10/03/12	44.268	279.33	289.95	10.62 (LT)
		09/30/08	10/03/12	41.589	247.87	272.40	24.53 (LT)
		10/31/08	10/03/12	48.984	271.37	320.84	49.47 (LT)
		11/28/08	10/03/12	30.460	173.01	199.51	26.50 (LT)
		12/30/08	10/03/12	29.922	177.14	195.99	18.85 (LT)
		12/30/08	10/03/12	155.498	920.55	1,018.51	97.96 (LT)
		12/30/08	10/03/12	28.676	169.76	187.82	18.06 (LT)
		01/30/09	10/03/12	17.053	97.20	111.69	14.49 (LT)
		02/27/09	10/03/12	18.430	100.63	120.71	20.08 (LT)
		03/31/09	10/03/12	27.766	155.77	181.86	26.09 (LT)
		04/30/09	10/03/12	28.625	164.02	187.49	23.47 (LT)
		05/12/09	10/03/12	551.664	3,249.30	3,613.42	364.12 (LT)
		05/29/09	10/03/12	27.467	166.45	179.91	13.46 (LT)
		06/30/09	10/03/12	29.371	177.99	192.38	14.39 (LT)
		07/31/09	10/03/12	30.330	186.35	198.66	10.31 (LT)
		08/31/09	10/03/12	27.277	172.12	178.66	6.54 (LT)
		10/01/09	10/03/12	2.378	15.55	15.57	0.02 (LT)
		11/02/09	10/03/12	29.193	190.92	191.21	0.29 (LT)
		12/01/09	10/03/12	24.512	164.23	160.55	-3.68 (LT)
		12/31/09	10/03/12	59.812	382.20	391.77	9.57 (LT)
		12/31/09	10/03/12	27.509	175.78	180.18	4.40 (LT)
		02/01/10	10/03/12	27.497	174.33	180.10	5.77 (LT)
		03/01/10	10/03/12	25.738	163.95	168.58	4.63 (LT)
		04/01/10	10/03/12	30.185	194.09	197.71	3.62 (LT)
		05/03/10	10/03/12	31.780	204.66	208.16	3.50 (LT)
		06/01/10	10/03/12	29.669	182.17	194.33	12.16 (LT)
		07/01/10	10/03/12	29.473	183.03	193.05	10.02 (LT)

** Please note "Realized Gain/(-)Loss" does not equal total sale proceeds minus total cost basis if any cost basis amounts are missing.

STIFEL NICOLAUS

CYNTHIA R TREMBLAY
FOUNDATION INC
FUNDAMENTALS - MUTUAL FUND/ETF

December 1 -
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REALIZED GAINS/(-)LOSSES continued

Mutual Funds	Closing Transaction	Date Acquired	Date Sold	Quantity	Cost basis	Sale Proceeds	Realized Gain/(-)Loss**
<i>Open-End Funds</i>							
		08/02/10	10/03/12	27.547	178.78	180.43	1.65 (LT)
		09/01/10	10/03/12	29.586	193.49	193.79	0.30 (LT)
		10/01/10	10/03/12	27.165	184.72	177.93	-6.79 (LT)
		11/01/10	10/03/12	29.664	204.98	194.30	-10.68 (LT)
		12/01/10	10/03/12	29.128	188.46	190.79	2.33 (LT)
		12/31/10	10/03/12	82.067	535.90	537.54	1.64 (LT)
		12/31/10	10/03/12	33.891	221.31	221.98	0.67 (LT)
		02/01/11	10/03/12	29.450	190.25	192.90	2.65 (LT)
		03/01/11	10/03/12	26.140	169.65	171.21	1.56 (LT)
		04/01/11	10/03/12	28.012	183.48	183.48	N/A (LT)
		05/02/11	10/03/12	27.931	189.93	182.95	-6.98 (LT)
		06/01/11	10/03/12	26.979	181.30	176.71	-4.59 (LT)
		07/01/11	10/03/12	27.332	184.22	179.02	-5.20 (LT)
		07/27/11	10/03/12	752.015	5,113.70	4,925.78	-187.92 (LT)
		08/01/11	10/03/12	27.952	190.35	183.08	-7.27 (LT)
		09/01/11	10/03/12	28.090	190.17	183.99	-6.18 (LT)
		10/03/11	10/03/12	33.172	208.65	217.28	8.63 (ST)
		11/01/11	10/03/12	29.648	191.23	194.19	2.96 (ST)
		12/01/11	10/03/12	30.811	193.80	201.81	8.01 (ST)
		12/30/11	10/03/12	169.499	1,049.20	1,110.24	61.04 (ST)
		02/01/12	10/03/12	33.041	210.47	216.42	5.95 (ST)
		03/01/12	10/03/12	31.734	202.78	207.86	5.08 (ST)
		04/02/12	10/03/12	36.520	231.17	239.21	8.04 (ST)
		05/01/12	10/03/12	30.006	191.44	196.54	5.10 (ST)
		06/01/12	10/03/12	33.894	210.14	222.01	11.87 (ST)
		07/02/12	10/03/12	33.176	209.01	217.31	8.30 (ST)
		08/01/12	10/03/12	30.792	198.30	201.69	3.39 (ST)
		09/04/12	10/03/12	34.557	223.24	226.36	3.12 (ST)
		10/01/12	10/03/12	26.050	170.37	170.65	0.28 (ST)
				10,153.902	63,659.14	66,508.06	2,848.92
PIMCO TOTAL		11/06/08	10/03/12	785.718	8,147.89	9,122.18	974.29 (LT)
RETURN CL A		11/28/08	10/03/12	26.243	270.30	304.68	34.38 (LT)
CUSIP: 693390445		12/10/08	10/03/12	115.127	1,139.76	1,336.62	196.86 (LT)
		12/10/08	10/03/12	202.121	2,001.00	2,346.62	345.62 (LT)

** Please note "Realized Gain/(-)Loss" does not equal total sale proceeds minus total cost basis if any cost basis amounts are missing.



CYNTHIA R TREMBLAY
FOUNDATION INC
FUNDAMENTALS - MUTUAL FUND/ETFDecember 1 -
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REALIZED GAINS/(-)LOSSES continued

Mutual Funds	Closing Transaction	Date Acquired	Date Sold	Quantity	Cost basis	Sale Proceeds	Realized Gain/(-)Loss**
Open-End Funds							
		12/31/08	10/03/12	29.632	300.47	344.02	43.55 (LT)
		01/30/09	10/03/12	31.996	324.76	371.47	46.71 (LT)
		02/27/09	10/03/12	34.557	345.92	401.20	55.28 (LT)
		03/31/09	10/03/12	33.136	335.67	384.70	49.03 (LT)
		04/30/09	10/03/12	32.822	335.44	381.06	45.62 (LT)
		05/12/09	10/03/12	411.640	4,272.82	4,779.15	506.33 (LT)
		05/29/09	10/03/12	32.858	342.71	381.48	38.77 (LT)
		06/30/09	10/03/12	33.899	354.24	393.56	39.32 (LT)
		07/31/09	10/03/12	34.774	369.65	403.72	34.07 (LT)
		08/31/09	10/03/12	33.915	365.60	393.75	28.15 (LT)
		10/02/09	10/03/12	2.737	29.89	31.77	1.88 (LT)
		11/03/09	10/03/12	28.377	310.44	329.45	19.01 (LT)
		12/02/09	10/03/12	21.801	240.68	253.11	12.43 (LT)
		12/11/09	10/03/12	55.893	608.67	648.92	40.25 (LT)
		12/11/09	10/03/12	15.547	169.31	180.50	11.19 (LT)
		01/05/10	10/03/12	19.807	213.92	229.96	16.04 (LT)
		02/02/10	10/03/12	14.773	161.91	171.51	9.60 (LT)
		03/02/10	10/03/12	14.485	159.19	168.17	8.98 (LT)
		04/05/10	10/03/12	15.015	165.77	174.32	8.55 (LT)
		05/04/10	10/03/12	15.314	170.45	177.79	7.34 (LT)
		06/02/10	10/03/12	14.023	155.65	162.80	7.15 (LT)
		07/02/10	10/03/12	15.666	176.40	181.88	5.48 (LT)
		08/03/10	10/03/12	16.568	188.88	192.35	3.47 (LT)
		09/02/10	10/03/12	15.165	175.00	176.06	1.06 (LT)
		10/04/10	10/03/12	15.587	180.81	180.96	0.15 (LT)
		11/02/10	10/03/12	17.202	201.09	199.71	-1.38 (LT)
		12/02/10	10/03/12	18.182	208.91	211.09	2.18 (LT)
		12/10/10	10/03/12	247.011	2,667.72	2,867.84	200.12 (LT)
		12/10/10	10/03/12	112.945	1,219.81	1,311.31	91.50 (LT)
		01/04/11	10/03/12	21.312	231.24	247.43	16.19 (LT)
		02/02/11	10/03/12	17.456	189.40	202.66	13.26 (LT)
		03/02/11	10/03/12	18.272	198.80	212.14	13.34 (LT)
		04/04/11	10/03/12	18.813	204.68	218.42	13.74 (LT)
		05/03/11	10/03/12	18.794	207.30	218.20	10.90 (LT)
		06/02/11	10/03/12	19.283	213.27	223.88	10.61 (LT)
		07/01/11	10/03/12	8.942	98.27	103.82	5.55 (LT)
		08/01/11	10/03/12	5.876	65.22	68.22	3.00 (LT)

** Please note "Realized Gain/(-)Loss" does not equal total sale proceeds minus total cost basis if any cost basis amounts are missing.

STIFEL NICOLAUS

CYNTHIA R TREMBLAY
FOUNDATION INC
FUNDAMENTALS - MUTUAL FUND/ETF

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REALIZED GAINS/(-)LOSSES continued

Mutual Funds	Closing Transaction	Date Acquired	Date Sold	Quantity	Cost basis	Sale Proceeds	Realized Gain/(-)Loss**
Open-End Funds							
		09/01/11	10/03/12	5.490	60.45	63.74	3.29 (LT)
		10/03/11	10/03/12	6.437	69.45	74.73	5.28 (ST)
		11/01/11	10/03/12	6.423	70.08	74.57	4.49 (ST)
		12/01/11	10/03/12	7.094	76.47	82.36	5.89 (ST)
		12/29/11	10/03/12	16.032	173.63	186.14	12.51 (ST)
		01/03/12	10/03/12	6.888	74.87	79.97	5.10 (ST)
		02/01/12	10/03/12	6.447	71.69	74.85	3.16 (ST)
		03/01/12	10/03/12	5.969	66.38	69.30	2.92 (ST)
		04/02/12	10/03/12	7.508	83.26	87.17	3.91 (ST)
		05/01/12	10/03/12	6.895	77.36	80.05	2.69 (ST)
		06/01/12	10/03/12	7.656	86.36	88.89	2.53 (ST)
		07/02/12	10/03/12	6.738	76.14	78.24	2.10 (ST)
		08/01/12	10/03/12	5.243	60.14	60.88	0.74 (ST)
		09/04/12	10/03/12	5.597	64.37	64.99	0.62 (ST)
		10/01/12	10/03/12	4.509	52.22	52.36	0.14 (ST)
				2,748,210	28,881.78	31,906.72	3,024.94
PIMCO TOTAL		07/27/11	10/03/12	670.669	7,410.89	7,786.47	375.58 (LT)
RETURN CL P		08/01/11	10/03/12	0.226	2.51	2.62	0.11 (LT)
CUSIP: 72201M552		09/01/11	10/03/12	1.614	17.77	18.73	0.96 (LT)
		10/03/11	10/03/12	1.870	20.18	21.71	1.53 (ST)
		11/01/11	10/03/12	1.792	19.55	20.80	1.25 (ST)
		12/01/11	10/03/12	1.965	21.18	22.81	1.63 (ST)
		12/29/11	10/03/12	4.074	44.12	47.30	3.18 (ST)
		01/03/12	10/03/12	1.927	20.95	22.37	1.42 (ST)
		02/01/12	10/03/12	1.793	19.94	20.81	0.87 (ST)
		03/01/12	10/03/12	1.675	18.63	19.45	0.82 (ST)
		04/02/12	10/03/12	2.084	23.11	24.19	1.08 (ST)
		05/01/12	10/03/12	1.912	21.45	22.20	0.75 (ST)
		06/01/12	10/03/12	2.119	23.90	24.60	0.70 (ST)
		07/02/12	10/03/12	1.888	21.34	21.92	0.58 (ST)
		08/01/12	10/03/12	1.500	17.20	17.42	0.22 (ST)
		09/04/12	10/03/12	1.612	18.54	18.73	0.19 (ST)

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CYNTHIA R TREMBLAY
FOUNDATION INC
FUNDAMENTALS - MUTUAL FUND/ETFDecember 1 -
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REALIZED GAINS/(-)LOSSES continued

Mutual Funds	Closing Transaction	Date Acquired	Date Sold	Quantity	Cost basis	Sale Proceeds	Realized Gain/(-)Loss**
Open-End Funds		10/01/12	10/03/12	1,296	15.01	15.06	0.05 (ST)
				700.016	7,736.27	8,127.19	390.92
Total Mutual Funds					\$275,161.05	\$297,673.02	\$22,511.97
Total Realized Gains/(-)Losses					\$323,066.17	\$376,074.68	\$53,008.51
Total Net Short-Term (ST)					\$55,834.54	\$55,497.12	-\$337.42
Total Net Long-Term (LT)					\$267,231.63	\$320,577.56	\$53,345.93
Total Net Other-Term (OT)					\$0.00	\$0.00	\$0.00

** Please note "Realized Gain/(-)Loss" does not equal total sale proceeds minus total cost basis if any cost basis amounts are missing.

INTERESTED PARTIES AND/OR SUPPORTING FINANCIAL ADVISOR(S)

Per your request, a copy of this statement has also been sent to:

ROBIN S. GNATOWSKY
PO BOX 4066
GLEN ALLEN VA 23058-4066

CYNTHIA R TREMBLAY
CASA MARIA
280 ORTMAN ROAD
GREENWOOD VA 22943-1611

CYNTHIA R TREMBLAY
FOUNDATION INC
FUNDAMENTALS - MUTUAL FUND/ETF

December 1 -
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**STIFEL
NICOLAUS**

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Stifel Prestige® Account Insured Bank Deposit Program

Amount(s) listed below include accrued interest in the amount of \$0.28 at a rate of 0.00%.

Description	Location	Current Value
Stifel Bank & Trust	St. Louis, MO	\$9,148.50
Closing Balance - Insured Bank Deposit		\$9,148.50

The FDIC Insured Bank Deposit Program (the "Program") deposits shown above are held at the respective banks listed above, not at Stifel, Nicolaus & Company, Incorporated ("Stifel Nicolaus"). Effective June 11, 2012, the Program will be expanded to provide maximum coverage available for joint accounts from \$2.5 million to \$5 million. As a result, for joint accounts the maximum amount placed in any one of the participating banks in this Program will increase from \$246,500 to \$493,000 and if applicable will be reflected in the balances listed above. The Program seeks to make available up to \$2.5 million of FDIC insurance coverage (or \$ 5 million for joint accounts) subject to any limitations. The deposits are not covered by the Securities Investor Protection Act (SIPC) and are included on this statement solely as a service to our clients. Balances in the Program are insured up to the FDIC limit in each bank subject to the combined total of all your deposits at a specific bank including any amount(s) held outside this statement. Any other deposits that you maintain in the same capacity directly with a bank, or through an intermediary, will be aggregated with deposits in your Program Deposit Accounts at the bank for purposes of the maximum Applicable Deposit Insurance Amount. It is your obligation to monitor the total amount of funds you have on deposit in any of the banks in the Program to insure your deposits do not exceed the \$2.5 million of FDIC insurance coverage (or \$5 million for joint accounts) available through the Program. Please refer to the Program's Terms and Conditions for more details about FDIC insurance coverage. The Program's Terms and Conditions are available at www.stifel.com or by contacting your Financial Advisor. Your Financial Advisor can assist you in understanding your brokerage account statement and can answer any questions you may have about your statement.

Stifel Bank & Trust is an affiliate of Stifel Nicolaus. Stifel Bank & Trust is a wholly owned subsidiary of Stifel Financial Corp., the parent company of Stifel Nicolaus.



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**Application for Extension of Time To File an
Exempt Organization Return**

OMB No. 1545-1709

Department of the Treasury
Internal Revenue Service► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box. ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).A corporation required to file Form 990-T and requesting an automatic 6-month extension — check this box and complete Part I only ☐*All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns***Enter filer's identifying number, see instructions**

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions		Employer identification number (EIN) or
	CYNTHIA R. TREMBLAY FOUNDATION, INC.		30-0117623
	Number, street, and room or suite number. If a P.O. box, see instructions		Social security number (SSN)
	307 WEST RIO ROAD		
	City, town or post office, state, and ZIP code. For a foreign address, see instructions		
	CHARLOTTESVILLE, VA 22901		

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (section 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► WILLIAM D. TUCKER III

Telephone No. ► 434-973-7474 FAX No. ► _____

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 8/15, 20 13, to file the exempt organization return for the organization named above.
The extension is for the organization's return for

- ☒ calendar year 20 12 or
- ☐ tax year beginning _____, 20 _____, and ending _____, 20 _____

2 If the tax year entered in line 1 is for less than 12 months, check reason. ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	584.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	0.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	584.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.