Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No. 1545-0052

2012

For calendar year 2012, or tax year beginning , 2012, and ending ,

Name of foundation: Dominic Sreshta Charitable Foundation

A Employer identification number: 27-4399006

Number and street (or P.O. box number if mail is not delivered to street address): 26 Berenger Place

Room/suite:

B Telephone number (see the instructions): (281) 898-6254

City or town: Sugar Land State: TX ZIP code: 77479

C If exemption application is pending, check here ► ☐

G Check all that apply: ☐ Initial return ☐ Initial Return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1 Foreign organizations, check here ► ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ► ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ► ☐

I Fair market value of all assets at end of year (from Part II, column (c), line 16) ► $ 253,267.

J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► ☐

		Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1	Contributions, gifts, grants, etc, received (att sch)	0.			
	2	Ck ► ☒ if the foundn is not req to att Sch B				
	3	Interest on savings and temporary cash investments	1,507.	1,507.	1,507.	
	4	Dividends and interest from securities	8,874.	8,874.	8,874.	
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain/(loss) from sale of assets not on line 10	1,089.	L-6a Stmt		
	b	Gross sales price for all assets on line 6a 512,110.				
	7	Capital gain net income (from Part IV, line 2)		1,089.		
	8	Net short-term capital gain			1,089.	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit/(loss) (att sch)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	11,470.	11,470.	11,470.	
OPERATING AND ADMINISTRATIVE EXPENSES	13	Compensation of officers, directors, trustees, etc				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach sch)	1,395.	895.	1,395.	500.
	c	Other prof fees (attach sch)				
	17	Interest				
	18	Taxes (attach schedule)(see instrs) FOREIGN TAXES PAI	7.	7.	7.	
	19	Depreciation (attach sch) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule) INVESTMENT MANAGEMENT FEES	2,709.	2,709.	2,709.	0.
	24	**Total operating and administrative expenses.** Add lines 13 through 23	4,111.	3,611.	4,111.	500.
	25	Contributions, gifts, grants paid	14,673.			14,673.
	26	**Total expenses and disbursements.** Add lines 24 and 25	18,784.	3,611.	4,111.	15,173.
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	-7,314.			
	b	**Net investment income** (if negative, enter -0-)		7,859.		
	c	**Adjusted net income** (if negative, enter -0-)			7,359.	

Part II Balance Sheets

		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1	Cash – non-interest-bearing			
	2	Savings and temporary cash investments	178,364.	1,741.	1,741.
	3	Accounts receivable ►			
		Less: allowance for doubtful accounts ►			
	4	Pledges receivable ►			
		Less: allowance for doubtful accounts ►			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)		4,257.	4,257.
	7	Other notes and loans receivable (attach sch) ►			
		Less allowance for doubtful accounts ►			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments – U S and state government obligations (attach schedule)			
	b	Investments – corporate stock (attach schedule)			
	c	Investments – corporate bonds (attach schedule)			
	11	Investments – land, buildings, and equipment basis ►			
		Less accumulated depreciation (attach schedule) ►			
	12	Investments – mortgage loans			
	13	Investments – other (attach schedule) L-13 Stmt	85,983.	249,170.	247,269.
	14	Land, buildings, and equipment: basis ►			
		Less accumulated depreciation (attach schedule) ►			
	15	Other assets (describe ►)			
	16	**Total assets** (to be completed by all filers – see the instructions Also, see page 1, item I)	264,347.	255,168.	253,267.
LIABILITIES	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, & other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ► L-22 Stmt)	2,340.	500.	
	23	**Total liabilities** (add lines 17 through 22)	2,340.	500.	
NET ASSETS OR FUND BALANCES		**Foundations that follow SFAS 117, check here ► ☒ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted	262,007.	254,668.	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ► ☐ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, building, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	262,007.	254,668.	
	31	**Total liabilities and net assets/fund balances** (see instructions)	264,347.	255,168.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	262,007.
2	Enter amount from Part I, line 27a	2	-7,314.
3	Other increases not included in line 2 (itemize) ►	3	
4	Add lines 1, 2, and 3	4	254,693.
5	Decreases not included in line 2 (itemize) ► FEDERAL INCOME TAX	5	25.
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	254,668.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a	MERRYLINCH STOCKS AND MUTUAL FUNDS - SEE ATTACHED	P	Various	Various
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	512,110.		511,021.	1,089.
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
a	0.	0.	0.	1,089.
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) — If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	1,089.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	1,089.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No

If 'Yes,' the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2011	10,978.	253,315.	0.043337
2010	0.		
2009			
2008			
2007			

2	Total of line 1, column (d)	2	0.043337
3	Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.043337
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	259,151.
5	Multiply line 4 by line 3	5	11,231.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	79.
7	Add lines 5 and 6	7	11,310.
8	Enter qualifying distributions from Part XII, line 4	8	15,173.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter 'N/A' on line 1. Date of ruling or determination letter ________ (attach copy of letter if necessary – see instrs) b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► ☒ and enter 1% of Part I, line 27b c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b)	1	79.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0.
3 Add lines 1 and 2	3	79.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	79.
6 Credits/Payments.		
a 2012 estimated tax pmts and 2011 overpayment credited to 2012 — 6 a		
b Exempt foreign organizations – tax withheld at source — 6 b		
c Tax paid with application for extension of time to file (Form 8868) — 6 c — 0.		
d Backup withholding erroneously withheld — 6 d		
7 Total credits and payments Add lines 6a through 6d	7	0.
8 Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter amount owed ►	9	79.
10 **Overpayment** If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ►	10	0.
11 Enter the amount of line 10 to be Credited to 2013 estimated tax ► — **Refunded** ►	11	

Part VII-A Statements Regarding Activities

		Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1 a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?	1 b		X
*If the answer is 'Yes' to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c Did the foundation file **Form 1120-POL** for this year?	1 c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ► $ ______ **(2)** On foundation managers ► $ ______			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► $ ______			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If 'Yes,' attach a detailed description of the activities*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes*	3		X
4 a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4 a		X
b If 'Yes,' has it filed a tax return on **Form 990-T** for this year?	4 b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If 'Yes,' attach the statement required by General Instruction T*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either. • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, column (c), and Part XV*	7	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) ► ______			
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990 PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation*	8 b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses*	10	X	

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► N/A

14 The books are in care of ► ANITA SRESHTA Telephone no ► (281) 898-6254

Located at ► 26 BERENGER PL SUGARLAND TX ZIP + 4 ► 77479

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** – Check here ► ☐

and enter the amount of tax exempt interest received or accrued during the year ► 15

			Yes	No
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If 'Yes,' enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

			Yes	No
1 a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is 'Yes' to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1 b		
	Organizations relying on a current notice regarding disaster assistance check here ► ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1 c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement – see instructions.)	2 b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ► 20__ , 20__ , 20__ , 20__			
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If 'Yes,' did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)*	3 b		
4 a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4 b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5 a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? 5b

Organizations relying on a current notice regarding disaster assistance check here ► ☐

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53 4945-5(d)

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? 6b X

If 'Yes' to 6b, file Form 8870

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? 7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DONOMIC SRESHTA 26 BERENGER PL SUGAR LAND TX 77479	DIRECTOR 1.00	0.	0.	0.
MANZOOR HASAN 3050 POST OAK BLVD STE 550 HOUSTON TX 77056	DIRECTOR 1.00	0.	0.	0.
ANITA SRESHTA 26 BERENGER PL SUGAR LAND TX 77479	DIRECTOR 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ► None

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services ►		None

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ►	

Part X **Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes		
a	Average monthly fair market value of securities	1a	218,447.
b	Average of monthly cash balances	1b	40,393.
c	Fair market value of all other assets (see instructions)	1c	4,257.
d	**Total** (add lines 1a, b, and c)	1d	263,097.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	263,097.
4	Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	3,946.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	259,151.
6	**Minimum investment return.** Enter 5% of line 5	6	12,958.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	12,958.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	79.		
b	Income tax for 2012 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	79.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	12,879.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	12,879.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	12,879.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes		
a	Expenses, contributions, gifts, etc — total from Part I, column (d), line 26	1a	15,173.
b	Program-related investments — total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	15,173.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	79.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	15,094.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7					12,879.
2 Undistributed income, if any, as of the end of 2012					
a Enter amount for 2011 only				1,739.	
b Total for prior years 20 __, 20 __, 20 __					
3 Excess distributions carryover, if any, to 2012					
a From 2007	0.				
b From 2008	0.				
c From 2009	0.				
d From 2010	0.				
e From 2011	0.				
f Total of lines 3a through e		0.			
4 Qualifying distributions for 2012 from Part XII, line 4: ▶ $ 15,173.					
a Applied to 2011, but not more than line 2a				1,739.	
b Applied to undistributed income of prior years (Election required – see instructions)					
c Treated as distributions out of corpus (Election required – see instructions)					
d Applied to 2012 distributable amount					12,879.
e Remaining amount distributed out of corpus		555.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)					
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		555.			
b Prior years' undistributed income Subtract line 4b from line 2b			0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d Subtract line 6c from line 6b. Taxable amount – see instructions			0.		
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount – see instructions				0.	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013					0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)					
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)		0.			
9 **Excess distributions carryover to 2013.** Subtract lines 7 and 8 from line 6a		555.			
10 Analysis of line 9					
a Excess from 2008	0.				
b Excess from 2009	0.				
c Excess from 2010	0.				
d Excess from 2011	0.				
e Excess from 2012	555.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling ►

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon a 'Assets' alternative test – enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test – enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year – see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:

Check here ► ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
INDO AMERICAN CHARITIES FOUNDATION 2000 S DAIRY ASHFORD STE 296 HOUSTON TX 77244		501C(3)	ELIMINATE HUNGER	7,500.
Habitat for Humanity International 270 Peachtree Street NW Suite 1300 ALTNATA GA 31709		501C(3)	HELPING FOR NEEDED	250.
US FUND FOR UNICEF 125 Maiden Lane New York NY 10038		501C(3)	FIGHT FOR CHILD SURVIVAL	150.
STAR OF HOPE 6897 ARDMORE HOUSTON TX 77054		501C(3)	HELPING FOR NEEDED	98.
DOCTORS WITHOUT BORDERS USA 333 7th Avenue, 2nd Floor NEW YORK NY 10001		501C(3)	PROVIDE EMERGENCY MEDICAL CARE	100.
St. Anthony Foundation 150 Golden Gate Avenue, San Francisco CA 94102		501C(3)	HELPING FOR NEEDED	1,750.
Akshaya Patra USA 92 Montvale Avenue, Suite 2500 Stoneham MA 02180		501C(3)	HELPING FOR NEEDED	2,000.
AMERICAN CANCER SOCIETY 250 Williams Street NW Atlanta GA 30303		501C(3)	HELPING ACHIEVE A WORLD FREE OF CANCER	250.
FORT BEND CARES P.O. Box 17748 SUGAR LAND TX 77496		501C(3)	HELPING FOR NEEDED	1,575.
See Line 3a statement				1,000.
Total ► 3a				14,673.
b Approved for future payment				
Total ► 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	1,507.	
4 Dividends and interest from securities			14	8,874.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			14	1,089.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				11,470.	

13 Total. Add line 12, columns (b), (d), and (e) .... 13 11,470.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1 a (1)		X
(2) Other assets	1 a (2)		X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1 b (1)		X
(2) Purchases of assets from a noncharitable exempt organization	1 b (2)		X
(3) Rental of facilities, equipment, or other assets	1 b (3)		X
(4) Reimbursement arrangements	1 b (4)		X
(5) Loans or loan guarantees	1 b (5)		X
(6) Performance of services or membership or fundraising solicitations	1 b (6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1 c		X

d If the answer to any of the above is 'Yes,' complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2 a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements and to the best of my knowledge and belief, it is true, correct and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date: 11-12-13

Title: PRESIDENT

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name MANZOOR HASAN	Preparer's signature	Date 11/12/13	Check ☐ if self employed	PTIN P01426613
Firm's name ▶ ARJUNANI, HASAN & COMPANY P.C., CPAS			Firm's EIN ▶ 26-4018424	
Firm's address ▶ 3050 POST OAK BLVD, SUITE 550 HOUSTON TX 77056			Phone no. (713) 552-9499	

Form 990-PF
Part I, Line 6a

Net Gain or Loss From Sale of Assets

2012

Name: Dominic Sreshta Charitable Foundation

Employer Identification Number: 27-4399006

Asset Information:

Description of Property: MERRYLINCH STOCKS AND MUTUAL FUNDS - SEE ATTACHED
Date Acquired: Various
How Acquired: Purchased
Date Sold: Various
Name of Buyer:
Sales Price: 512,110.
Cost or other basis (do not reduce by depreciation): 511,021.
Sales Expense:
Valuation Method:
Total Gain (Loss): 1,089.
Accumulation Depreciation:

Description of Property:
Date Acquired
How Acquired
Date Sold
Name of Buyer
Sales Price
Cost or other basis (do not reduce by depreciation)
Sales Expense
Valuation Method
Total Gain (Loss)
Accumulation Depreciation

Description of Property:
Date Acquired
How Acquired
Date Sold
Name of Buyer:
Sales Price
Cost or other basis (do not reduce by depreciation)
Sales Expense
Valuation Method
Total Gain (Loss):
Accumulation Depreciation

Description of Property:
Date Acquired
How Acquired
Date Sold
Name of Buyer
Sales Price
Cost or other basis (do not reduce by depreciation)
Sales Expense
Valuation Method:
Total Gain (Loss):
Accumulation Depreciation

Description of Property:
Date Acquired
How Acquired
Date Sold
Name of Buyer:
Sales Price
Cost or other basis (do not reduce by depreciation)
Sales Expense
Valuation Method
Total Gain (Loss):
Accumulation Depreciation

Description of Property:
Date Acquired
How Acquired
Date Sold
Name of Buyer:
Sales Price
Cost or other basis (do not reduce by depreciation)
Sales Expense
Valuation Method
Total Gain (Loss):
Accumulation Depreciation:

Description of Property:
Date Acquired
How Acquired
Date Sold
Name of Buyer
Sales Price
Cost or other basis (do not reduce by depreciation)
Sales Expense
Valuation Method:
Total Gain (Loss):
Accumulation Depreciation:

Description of Property:
Date Acquired
How Acquired
Date Sold
Name of Buyer
Sales Price
Cost or other basis (do not reduce by depreciation)
Sales Expense
Valuation Method
Total Gain (Loss):
Accumulation Depreciation

Form 990-PF, Page 11, Part XV, line 3a
Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a Paid during the year				
AMERICAN HEART ASSOCIATION 7272 Greenville Ave [illegible] TX 75231		501C(3)	To build healthier lives free of cardiovascular diseases and stroke	Person or ☐ Business ☒ 500.
[illegible] Lesaria Family Foundation 1172 Cuchara Dr Del Mar CA 92014		501c(3)	HELPING FOR NEEDED	Person or ☐ Business ☒ 500.
Total				1,000.

Form 990-PF, Page 2, Part II, Line 13
L 13 Stmt

Line 13 - Investments - Other:	End of Year Book Value	Fair Market Value
MERRYLINCH - STOCK AND MUTUAL FUNDS	249,170.	247,269.
Total	249,170.	247,269.

Form 990-PF, Page 2, Part II, Line 22
Other Liab Stmt

Line 22 - Other Liabilities:	Beginning Year Book Value	Ending Year Book Value
CREDIT CARD PAYABLE	2,340.	500.
Total	2,340.	500.

Attachment EIN: 27-4399006

EMA Fiscal Statement

DOMINIC SRESHTA CHARITY FO

SUMMARY OF CHECKING ACTIVITY

Date Cleared	Date Written	Check Number	Payee	Amount
10/31/12	10/31/12	00000103	AMER HEART ASSN	250.00
		Total Checking Activity		7,500.00

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
100.0000	JOHNSON AND JOHNSON COM	05/17/11	03/09/12	6,473.58	6,605 80	(132.22) ST
1 0000	JOHNSON AND JOHNSON COM	06/14/11	03/09/12	64 73	63 85	0.88 ST
1 0000	JOHNSON AND JOHNSON COM	06/14/11	03/09/12	64 73	66 93	(2.20) ST
1 0000	JOHNSON AND JOHNSON COM	09/13/11	03/09/12	64 73	64 19	0.54 ST
250 0000	JOHNSON AND JOHNSON COM	02/03/12	03/09/12	16,183 98	16,429.98	(246 00) ST
250.0000	SPDR GOLD TRUST	02/03/12	03/02/12	41,566 75	42,203 00	(636.25) ST
199 0000	ALTRIA GROUP INC	12/30/10	04/10/12	6,167 74	4,893 20	1,274.54 LT
1 0000	ALTRIA GROUP INC	04/11/11	04/10/12	30.99	25.56	5 43 ST
2 0000	ALTRIA GROUP INC	04/11/11	04/10/12	61 98	52 92	9 06 ST
300.0000	ALTRIA GROUP INC	05/17/11	04/10/12	9,298 12	8,312.04	986.08 ST
7 0000	ALTRIA GROUP INC	07/11/11	04/10/12	216 95	188.69	28 26 ST
1 0000	ALTRIA GROUP INC	10/11/11	04/10/12	30 99	27 18	3 81 ST
7 0000	ALTRIA GROUP INC	10/11/11	04/10/12	216 95	193 70	23 25 ST
250.0000	ALTRIA GROUP INC	02/03/12	04/10/12	7,748 45	7,201.05	547 40 ST
250.0000	ARCELORMITTAL SA.	02/09/12	04/10/12	4,214 91	5,812 75	(1,597 84) ST
449 0000	AMERICAN CAP AGY CORP	03/10/11	04/10/12	13,368 13	13,491 33	(123 20) LT
1.0000	AMERICAN CAP AGY CORP	04/27/11	04/10/12	29 77	29 54	0.23 ST
21 0000	AMERICAN CAP AGY CORP	04/27/11	04/10/12	625 23	609 71	15 52 ST
22 0000	AMERICAN CAP AGY CORP	07/27/11	04/10/12	655.01	640 24	14 77 ST
1.0000	AMERICAN CAP AGY CORP	10/27/11	04/10/12	29 77	28 92	0 85 ST
25 0000	AMERICAN CAP AGY CORP	10/27/11	04/10/12	744 32	689 20	55.12 ST
250 0000	AMERICAN CAP AGY CORP	02/03/12	04/10/12	7,443 31	7,387 90	55 41 ST
250.0000	GENERAL ELECTRIC	02/03/12	04/10/12	4,679 90	4,772.48	(92 58) ST
100 0000	MARATHON OIL CORP	02/03/12	04/10/12	2,944 38	3,221 50	(277 12) ST
50 0000	MARATHON OIL CORP	03/08/12	04/10/12	1,472 20	1,655 00	(182 80) ST
150 0000	PETRLEO BRAS VTG SPD ADR	02/03/12	04/10/12	3,623 92	4,685 13	(1,061.21) ST
100.0000	PETRLEO BRAS VTG SPD ADR	02/03/12	04/10/12	2,415 94	3,124 00	(708 06) ST
50 0000	PETRLEO BRAS VTG SPD ADR	03/08/12	04/10/12	1,207.98	1,430 00	(222 02) ST

PLEASE SEE REVERSE SIDE

Attachment EIN: 27-4399006

EMA Fiscal Statement

DOMINIC SRESHTA CHARITY FO

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
250.0000	TRANSOCEAN LTD	02/03/12	04/10/12	12,408 47	12,324 60	83 87 ST
50.0000	TRANSOCEAN LTD	03/08/12	04/10/12	2,481.70	2,672 50	(190 80) ST
50 0000	UNITED STS STL CORP NEW	02/09/12	04/10/12	1,337 47	1,546.00	(208.53) ST
53.0000	UNITED STS STL CORP NEW	02/09/12	04/10/12	1,418.24	1,638 76	(220 52) ST
147 0000	UNITED STS STL CORP NEW	02/09/12	04/10/12	3,933 64	4,546 71	(613.07) ST
250 0000	WELLPOINT INC	02/03/12	04/10/12	17,307 61	16,156 05	1,151.56 ST
0 3290	ARTISAN SMCP INVESTOR CL	03/09/12	05/08/12	6 41	6 75	(0 34) ST
487 0000	ARTISAN SMCP INVESTOR CL	03/09/12	05/08/12	9,486 76	9,993.24	(506.48) ST
810 0000	TCHSTN SND CAP SLT GRW Y	03/09/12	05/08/12	10,052.09	9,995.40	56.69 ST
796 0000	TCHSTN SND CAP SLT GRW Y	04/10/12	05/08/12	9,878 36	9,997 76	(119.40) ST
1 0000	TCHSTN SND CAP SLT GRW Y	04/17/12	05/08/12	12.41	12 55	(0 14) ST
0 3330	TCHSTN SND CAP SLT GRW Y	04/17/12	05/08/12	4 13	4.23	(0 10) ST
786 0000	TCHSTN SND CAP SLT GRW Y	04/17/12	05/08/12	9,754 27	9,990 06	(235.79) ST
1,345 0000	AB SMALL/MID CAP GRW ADV	03/09/12	05/08/12	9,778 15	9,993 35	(215 20) ST
1 0000	AB SMALL/MID CAP GRW ADV	04/17/12	05/08/12	7 27	7 43	(0 16) ST
0 1670	AB SMALL/MID CAP GRW ADV	04/17/12	05/08/12	1.21	1 24	(0 03) ST
3,369.0000	AB SMALL/MID CAP GRW ADV	04/17/12	05/08/12	24,492 63	24,997 98	(505 35) ST
521 0000	MANAGERS REAL EST SEC FD	03/09/12	06/01/12	4,970 33	4,991 18	(20.85) ST
1 0000	MANAGERS REAL EST SEC FD	03/28/12	06/01/12	9.53	9.60	(0.07) ST
1 0000	MANAGERS REAL EST SEC FD	03/28/12	06/01/12	9.54	9.86	(0.32) ST
525 0000	MANAGERS REAL EST SEC FD	04/10/12	06/01/12	5,008.50	4,998.00	10 50 ST
1 0000	MANAGERS REAL EST SEC FD	04/17/12	06/01/12	9 53	9.79	(0.26) ST
1,004 0000	MANAGERS REAL EST SEC FD	04/17/12	06/01/12	9,578.19	9,999.84	(421 65) ST
0 1330	PIMCO GLOBAL MULTI	12/15/11	06/01/12	1.43	1 46	(0 03) ST
454.0000	PIMCO GLOBAL MULTI	12/15/11	06/01/12	4,889.58	4,998.54	(108 96) ST
407 0000	TMPLTN GLBL BD FD ADV CL	12/15/11	06/05/12	4,969.46	4,989 82	(20.36) ST
1 0000	TMPLTN GLBL BD FD ADV CL	04/10/12	06/05/12	12.21	12 38	(0 17) ST
0 4350	TMPLTN GLBL BD FD ADV CL	04/10/12	06/05/12	5 31	5 64	(0.33) ST
771 0000	TMPLTN GLBL BD FD ADV CL	04/10/12	06/05/12	9,413 92	9,992.16	(578 24) ST
109 0000	PERMANENT PORTFOLIO FUND	12/15/11	06/01/12	5,043 43	4,969 31	74.12 ST
1.0000	PERMANENT PORTFOLIO FUND	04/10/12	06/01/12	46 27	46 34	(0.07) ST
0 4410	PERMANENT PORTFOLIO FUND	04/10/12	06/01/12	20 41	21 13	(0 72) ST
208 0000	PERMANENT PORTFOLIO FUND	04/10/12	06/01/12	9,624 16	9,963 20	(339.04) ST
125.0000	TATA MOTORS LTD ADR	08/21/12	10/09/12	3,203.62	2,762 50	441.12 ST
250 0000	ALTRIA GROUP INC	08/21/12	11/07/12	7,861 06	8,748 75	(887 69) ST
250 0000	ARENA PHARMACEUTICALS	08/21/12	11/16/12	1,994.99	2,001 13	(6 14) ST
250 0000	ARCELORMITTAL SA,	08/21/12	11/16/12	3,647 42	4,018 75	(371 33) ST
250 0000	AMERICAN CAP AGY CORP	08/21/12	11/07/12	7,549 61	8,501 13	(951 52) ST
25 0000	APPLE INC	08/21/12	11/16/12	12,948 19	16,418 00	(3,469 81) ST
267 0000	SPDR NUVEEN S AND P HIGH	06/25/12	11/16/12	15,661 04	14,984 04	677 00 ST

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Attachment EIN: 27-4398006

DOMINIC SRESHTA CHARITY FO

Fiscal Statement

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
250 0000	SIMON PROPERTY GROUP DEL	02/03/12	11/07/12	38,749 15	34,462 50	4,286.65 ST
50 0000	SIMON PROPERTY GROUP DEL	03/08/12	11/07/12	7,749 84	6,834 50	915.34 ST
125 0000	TATA MOTORS LTD ADR	08/21/12	11/07/12	3,057 99	2,762.50	295.49 ST
226 0000	FIRST EAG GLOBAL CL I	12/15/11	11/16/12	10,933 87	9,980.16	953.71 ST
1 0000	FIRST EAG GLOBAL CL I	04/10/12	11/16/12	48.38	45.99	2.39 ST
0.0640	FIRST EAG GLOBAL CL I	04/10/12	11/16/12	3.10	3.04	0.06 ST
210 0000	FIRST EAG GLOBAL CL I	04/10/12	11/16/12	10,159.81	9,970 80	189 01 ST
0 6980	ALGER HEALTH SCIEN A	03/09/12	11/16/12	14.85	14 80	0.05 ST
471.0000	ALGER HEALTH SCIEN A	03/09/12	11/16/12	10,022.88	9,985.20	37 68 ST
1,518.0000	FED INST HY BD CL INSTL	06/25/12	11/16/12	15,195.18	14,967 48	227 70 ST
0.0170	FRNKLN BIOTECH DISC ADV	03/09/12	11/16/12	1.37	1 25	0 12 ST
136 0000	FRNKLN BIOTECH DISC ADV	03/09/12	11/16/12	10,960 24	9,998 72	961 52 ST
0 1110	UAM FPA CRESCENT PORT	12/15/11	11/16/12	3 11	2 97	0 14 ST
374.0000	UAM FPA CRESCENT PORT	12/15/11	11/16/12	10,479 48	9,997.02	482 46 ST
0.6500	L SAYLES STRT INC CL Y	12/15/11	11/16/12	9 82	9 30	0.52 ST
349.0000	L SAYLES STRT INC CL Y	12/15/11	11/16/12	5,273 39	4,990 70	282 69 ST
545 0000	BLACKROCK GLOBAL ALLOC I	12/15/11	11/16/12	10,442.19	9,984 40	457 79 ST
1.0000	BLACKROCK GLOBAL ALLOC I	04/17/12	11/16/12	19.16	18 49	0 67 ST
0.7890	BLACKROCK GLOBAL ALLOC I	04/17/12	11/16/12	15 12	15.35	(0 23) ST
256.0000	BLACKROCK GLOBAL ALLOC I	04/17/12	11/16/12	4,904 97	4,981.76	N/C
250 0000	ALTRIA GROUP INC CXL	08/21/12	11/07/12	7,861 06	8,748 75	887 69 ST
169 0000	ALTRIA GROUP INC	08/21/12	11/07/12	5,314.08	5,914 15	N/C
81 0000	ALTRIA GROUP INC	08/21/12	11/07/12	2,546.98	2,834.60	(287 62) ST
250 0000	ARENA PHARMACEUTICALS CXL	08/21/12	11/16/12	1,994.99	2,001 13	6 14 ST
250 0000	ARENA PHARMACEUTICALS	08/21/12	11/16/12	1,994.99	2,001 13	N/C
250 0000	ARENA PHARMACEUTICALS	09/03/12	12/17/12	2,297 47	2,267 84	29 63 ST
380.0000	ARENA PHARMACEUTICALS	11/29/12	12/17/12	3,492 16	3,437.78	54.38 ST
250 0000	ARCELORMITTAL SA, CXL	08/21/12	11/16/12	3,647 42	4,018 75	371 33 ST
250 0000	ARCELORMITTAL SA,	08/21/12	11/16/12	3,647 42	4,018.75	N/C
250 0000	AMERICAN CAP AGY CORP CXL	08/21/12	11/07/12	7,549 61	8,501.13	951 52 ST
182 0000	AMERICAN CAP AGY CORP	08/21/12	11/07/12	5,496.12	6,188 82	N/C
68 0000	AMERICAN CAP AGY CORP	08/21/12	11/07/12	2,053.49	2,312 31	(258 82) ST

PLEASE SEE REVERSE SIDE