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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

2012

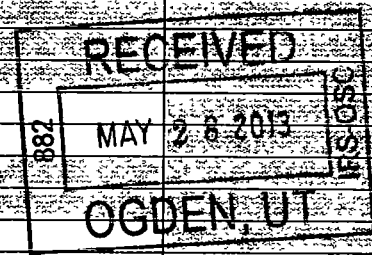
Open to public inspection

For calendar year 2012 or tax year beginning

, and ending

Name of foundation THE DON & JEAN WAGNER CHARITABLE FOUNDATION		A Employer identification number 26-6125236
Number and street (or P.O. box number if mail is not delivered to street address) 1050 W 54TH STREET	Room/suite	B Telephone number (816) 994-8600
City or town, state, and ZIP code KANSAS CITY, MO 64112		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 2,675,550.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ☒ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	61,058.	61,058.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	374,456.			
	b Gross sales price for all assets on line 6a	2,898,293.			
	7 Capital gain net income (from Part IV, line 2)		374,456.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11	435,514.	435,514.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees	3,966.	0.		3,966.
	c Other professional fees				
	17 Interest				
	18 Taxes	3,412.	0.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses				
	24 Total operating and administrative expenses. Add lines 13 through 23	7,378.	0.		3,966.
	25 Contributions, gifts, grants paid	60,000.			60,000.
26 Total expenses and disbursements. Add lines 24 and 25	67,378.	0.		63,966.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	368,136.				
b Net investment income (if negative, enter -0-)		435,514.			
c Adjusted net income (if negative, enter -0-)			N/A		



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15

**THE DON & JEAN WAGNER CHARITABLE
FOUNDATION**

Form 990-PF (2012)

26-6125236

Page 2

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

Beginning of year

End of year

(a) Book Value

(b) Book Value

(c) Fair Market Value

Assets	1	Cash - non-interest-bearing	481,980.	890,397.	890,397.
	2	Savings and temporary cash investments			
	3	Accounts receivable			
		Less: allowance for doubtful accounts			
	4	Pledges receivable			
		Less: allowance for doubtful accounts			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable			
		Less: allowance for doubtful accounts			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations			
	b	Investments - corporate stock STMT 5	1,595,573.	1,629,258.	1,783,914.
	c	Investments - corporate bonds			
Liabilities	11	Investments - land, buildings, and equipment basis			
		Less: accumulated depreciation			
	12	Investments - mortgage loans			
	13	Investments - other			
	14	Land, buildings, and equipment basis			
		Less: accumulated depreciation			
	15	Other assets (describe DUE FROM DON WAGNER)	75,205.	1,239.	1,239.
	16	Total assets (to be completed by all filers)	2,152,758.	2,520,894.	2,675,550.
	17	Accounts payable and accrued expenses			
	18	Grants payable			
Net Assets or Fund Balances	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe)			
	23	Total liabilities (add lines 17 through 22)	0.	0.	
		Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	0.	0.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds	2,152,758.	2,520,894.	STATEMENT 4
	30	Total net assets or fund balances	2,152,758.	2,520,894.	
	31	Total liabilities and net assets/fund balances	2,152,758.	2,520,894.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,152,758.
2	Enter amount from Part I, line 27a	2	368,136.
3	Other increases not included in line 2 (itemize)	3	0.
4	Add lines 1, 2, and 3	4	2,520,894.
5	Decreases not included in line 2 (itemize)	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,520,894.

**THE DON & JEAN WAGNER CHARITABLE
FOUNDATION**

Form 990-PF (2012)

26-6125236 Page 3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE ATTACHED LIST	P	VARIOUS	VARIOUS
b SEE ATTACHED LIST	P	VARIOUS	VARIOUS
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,218,441.		1,895,454.	322,987.
b 679,852.		628,383.	51,469.
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			322,987.
b			51,469.
c			
d			
e			

2 Capital gain net income or (net capital loss)	2	374,456.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	102,366.	2,022,253.	.050620
2010	59,600.	1,654,663.	.036019
2009	116,104.	1,276,246.	.090973
2008	30,834.	2,039,778.	.015116
2007	0.	2,922,968.	.000000

2 Total of line 1, column (d)	.192728
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	.038546
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	2,438,817.
5 Multiply line 4 by line 3	94,007.
6 Enter 1% of net investment income (1% of Part I, line 27b)	4,355.
7 Add lines 5 and 6	98,362.
8 Enter qualifying distributions from Part XII, line 4	63,966.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

**THE DON & JEAN WAGNER CHARITABLE
FOUNDATION**

Form 990-PF (2012)

26-6125236 Page 4

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	8,710.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	8,710.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	8,710.
6 Credits/Payments:			
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a	5,696.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	5,696.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	10.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	3,024.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2013 estimated tax ☐ Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.	1b	X
c Did the foundation file Form 1120-POL for this year?	1c	X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☐ \$ 0. (2) On foundation managers. ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2	X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	X
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5	X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	7	X
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ MO		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV	9	X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	X

Form 990-PF (2012)

**THE DON & JEAN WAGNER CHARITABLE
FOUNDATION**

Form 990-PF (2012)

26-6125236

Page 5

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► BRANDI HENDRIX Telephone no. ► 816-305-7872 Located at ► PO BOX 907, LIBERTY, MO ZIP+4 ► 64069			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?		1c X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?		4b X

Form 990-PF (2012)

**THE DON & JEAN WAGNER CHARITABLE
FOUNDATION**

Form 990-PF (2012)

26-6125236

Page 6

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- | | |
|---|---|
| (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? | ☐ Yes ☒ No |
| (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? | ☐ Yes ☒ No |
| (3) Provide a grant to an individual for travel, study, or other similar purposes? | ☐ Yes ☒ No |
| (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? | ☐ Yes ☒ No |
| (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? | ☐ Yes ☒ No |

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A
▶ ☐

5b

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JEAN D. WAGNER 1050 W 54TH ST KANSAS CITY, MO 64112	TRUSTEE 5.00	0.	0.	0.
WILLIAM D. WAGNER 1050 W 54TH ST KANSAS CITY, MO 64112	TRUSTEE 5.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

▶ 0

Form 990-PF (2012)

Form 990-PF (2012)

26-6125236 Page 7

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

Total number of others receiving over \$50,000 for professional services

Expenses

AmountForm 990-PF (2012)

**THE DON & JEAN WAGNER CHARITABLE
FOUNDATION**

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,918,762.
b	Average of monthly cash balances	1b	557,194.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	2,475,956.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	2,475,956.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	37,139.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,438,817.
6	Minimum investment return. Enter 5% of line 5	6	121,941.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	121,941.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	8,710.
b	Income tax for 2012. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	8,710.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	113,231.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	113,231.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	113,231.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	63,966.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	63,966.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	63,966.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**THE DON & JEAN WAGNER CHARITABLE
FOUNDATION**

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				113,231.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			48,452.	
b Total for prior years:		0.		
2010, 2009, 2008				
3 Excess distributions carryover, if any, to 2012:				
a From 2007				
b From 2008				
c From 2009				
d From 2010				
e From 2011				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2012 from Part XII, line 4: ▶ \$ 63,966.			48,452.	
a Applied to 2011, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			15,514.
d Applied to 2012 distributable amount	0.			
e Remaining amount distributed out of corpus	0.			0.
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:	0.			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				97,717.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2008				
b Excess from 2009				
c Excess from 2010				
d Excess from 2011				
e Excess from 2012				

Form 990-PF (2012)

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- b** Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or 4942(j)(5)

- 3 Complete 3a, b, or c for the alternative test relied upon:
 - a "Assets" alternative test - enter:
 - (1) Value of all assets
 - (2) Value of assets qualifying under section 4942(j)(3)(B)(i)
 - b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed
 - c "Support" alternative test - enter:
 - (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)
 - (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)
 - (3) Largest amount of support from an exempt organization
 - (4) Gross investment income

1 Information Regarding Foundation Managers:

- SEE STATEMENT 6

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

- b The form in which applications should be submitted and information and materials they should include:**

- c Any submission deadlines:**

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**THE DON & JEAN WAGNER CHARITABLE
FOUNDATION**

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
THE KANSAS CITY ZOO 6800 ZOO DRIVE KANSAS CITY, MO 64132	NONE	501 (C) (3)	CHARITABLE DONATION	60,000.
Total			▶ 3a	60,000.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

**THE DON & JEAN WAGNER CHARITABLE
FOUNDATION**

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
- | | Yes | No |
|--|-----|----|
| a Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| (1) Cash | | X |
| (2) Other assets | | X |
| b Other transactions: | | |
| (1) Sales of assets to a noncharitable exempt organization | | X |
| (2) Purchases of assets from a noncharitable exempt organization | | X |
| (3) Rental of facilities, equipment, or other assets | | X |
| (4) Reimbursement arrangements | | X |
| (5) Loans or loan guarantees | | X |
| (6) Performance of services or membership or fundraising solicitations | | X |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees | | X |
| d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.		May the IRS discuss this return with the preparer shown below (see instr.)? ☒ Yes ☐ No
	Signature of officer or trustee <i>[Signature]</i> Date <i>5/14/13</i>	Title _____	
Paid Preparer Use Only	Print/Type preparer's name JO AN KETCHUM HARDEN	Preparer's signature <i>[Signature]</i>	Date <i>5/14/2013</i>
	Firm's name CBIZ MHM, LLO	Check ☐ if self-employed	
	Firm's address 11440 TOMAHAWK CREEK PARKWAY LEAWOOD, KS 66211	Firm's EIN 34-1874260	Phone no. 913-234-1000

Form 990-PF (2012)

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
TD AMERITRADE	61,058.	0.	61,058.
TOTAL TO FM 990-PF, PART I, LN 4	61,058.	0.	61,058.

FORM 990-PF	ACCOUNTING FEES	STATEMENT	2
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	3,966.	0.		3,966.
TO FORM 990-PF, PG 1, LN 16B	3,966.	0.		3,966.

FORM 990-PF	TAXES	STATEMENT	3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAXES	3,412.	0.		0.
TO FORM 990-PF, PG 1, LN 18	3,412.	0.		0.

FORM 990-PF	OTHER FUNDS	STATEMENT	4
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DESCRIPTION	(A) BEGINNING OF YEAR	(B) END OF YEAR
RE OR ACCUMULATED INCOME	2,152,758.	2,520,894.
TOTAL TO FORM 990-PF, PART II, LINE 29	2,152,758.	2,520,894.

FORM 990-PF	CORPORATE STOCK	STATEMENT	5
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
PUBLICLY TRADED SECURITIES	1,629,258.	1,783,914.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,629,258.	1,783,914.

FORM 990-PF	PART XV - LINE 1A LIST OF FOUNDATION MANAGERS	STATEMENT	6
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NAME OF MANAGER

JEAN D. WAGNER
WILLIAM D. WAGNER

Realized Capital Gain/Loss for Form 8949

WAGNER WILLIAM

01/01/2012 -- 12/31/2012 Tax Year 2012

Created 4/24/2013 2:29 pm

Box A - transactions reported on Form 1099-B showing basis was reported to the IRS

Short Term Sales	1,315,563.75	Long Term Sales	559,041.03
Short Term Cost	1,283,639.99	Long Term Cost	512,704.85
Short Term Gain/Loss Adj	31,292.14	Long Term Gain/Loss Adj	1,497.53
Short Term Gain/Loss	63,215.90	Long Term Gain/Loss	47,833.71

Box B - transactions reported on Form 1099-B showing basis was not reported to the IRS

Short Term Sales	165,181.82	Long Term Sales	120,810.62
Short Term Cost	229,574.38	Long Term Cost	111,812.50
Short Term Gain/Loss Adj	0.00	Long Term Gain/Loss Adj	0.00
Short Term Gain/Loss	(64,392.56)	Long Term Gain/Loss	8,998.12

Box C - transactions not reported to you on Form 1099-B

Short Term Sales	737,694.34	Long Term Sales	0.00
Short Term Cost	413,531.62	Long Term Cost	5,362.75
Short Term Gain/Loss Adj	0.00	Long Term Gain/Loss Adj	0.00
Short Term Gain/Loss	324,162.72	Long Term Gain/Loss	(5,362.75)

Close Date	Rec Type	Open Date	Security	Shares Sold	Proceeds	Cost	Code	Gain/Loss Adjustment	ST/LT	Box	Gain/Loss
11/21/2012	Short Sell	11/21/2012	AAPL Dec 22 2012 485 0 Put	200	1,208.93	413.04			ST	boxC	795.89
10/10/2012		5/20/2011	ABBOTT LABORATORIES (ABT)	500	32,026.72	28,803.80			LT	boxA	3,222.92
8/20/2012	Short Expired	8/20/2012	ABT Aug 18 2012 62.5 Put	500	1,922.40	0.00			ST	boxC	1,922.40
6/19/2012	Short Sell	6/19/2012	ABT Aug 18 2012 60.0 Call	500	562.42	1,652.56			ST	boxC	(1,090.14)
8/20/2012	Short Expired	8/20/2012	ABT Aug 18 2012 60.0 Put	500	1,417.41	0.00			ST	boxC	1,417.41
4/18/2012	Short Sell	4/18/2012	ABT Aug 18 2012 57.5 Call	500	772.42	1,927.56			ST	boxC	(1,155.14)
3/26/2012	Short Sell	3/26/2012	ABT Aug 18 2012 55.0 Call	500	1,622.40	3,077.56			ST	boxC	(1,455.16)
3/16/2012	Short Sell	3/16/2012	ABT Aug 18 2012 55.0 Put	1,000	3,294.81	1,175.12			ST	boxC	2,119.69
2/2/2012	Short Sell	2/2/2012	ABT Feb 18 2012 52.5 Put	500	1,767.21	57.56			ST	boxC	1,709.65
2/21/2012	Short Expired	2/21/2012	ABT Feb 18 2012 55.0 Put	1,000	3,394.81	0.00			ST	boxC	3,394.81
2/3/2012	Short Sell	2/3/2012	ABT Feb 18 2012 57.5 Call	500	262.49	20.06			ST	boxC	242.43
6/22/2012	Short Sell	6/22/2012	ABT Jan 19 2013 60.0 Call	1,000	2,094.84	3,905.12			ST	boxC	(1,810.28)
5/29/2012	Short Sell	5/29/2012	ABT Jan 19 2013 62.5 Call	500	1,722.40	1,602.56			ST	boxC	119.84
3/1/2012	Short Sell	3/1/2012	ABT May 19 2012 50.0 Put	500	1,657.40	107.56			ST	boxC	1,549.84
3/12/2012	Short Sell	3/12/2012	ABT May 19 2012 57.5 Call	1,000	1,269.84	1,595.12			ST	boxC	(325.28)
11/8/2012		9/13/2012	ABT Nov 17 2012 60.0 Put	500	47.40	52.59			ST	boxC	(5.19)
9/13/2012	Short Sell	9/13/2012	ABT Nov 17 2012 60.0 Put	500	1,922.40	72.59			ST	boxC	1,849.81
5/9/2012	Short Sell	5/9/2012	ABT Nov 17 2012 60.0 Call	500	1,522.41	1,762.56			ST	boxC	(240.15)
8/20/2012	Short Sell	8/20/2012	ABT Nov 17 2012 67.5 Call	500	392.43	427.59			ST	boxC	(35.16)
9/24/2012	Short Expired	9/24/2012	ABT Sep 22 2012 65.0 Put	500	912.41	0.00			ST	boxC	912.41
1/20/2012		4/15/2011	AUTOMATIC DATA PROCESSING (ADP)	500	26,661.98	25,892.77			ST	boxA	769.21
8/17/2012		11/18/2011	AUTOMATIC DATA PROCESSING (ADP)	500	28,011.80	24,067.80			ST	boxA	3,944.00
4/10/2012	Short Sell	4/10/2012	ADP Aug 18 2012 60.0 Call	1,000	944.86	205.12			ST	boxC	739.74
8/20/2012	Short Expired	8/20/2012	ADP Aug 18 2012 55.0 Put	500	1,447.41	0.00			ST	boxC	1,447.41
8/20/2012	Short Expired	8/20/2012	ADP Aug 18 2012 52.5 Put	1,000	3,494.81	0.00			ST	boxC	3,494.81
9/14/2012	Short Sell	9/14/2012	ADP Feb 16 2013 57.5 Put	500	1,422.37	1,277.59			ST	boxC	144.78
9/14/2012	Short Sell	9/14/2012	ADP Feb 16 2013 50.0 Put	500	872.42	352.59			ST	boxC	519.83
2/21/2012	Short Expired	2/21/2012	ADP Feb 18 2012 57.5 Call	500	542.23	0.00			ST	boxC	542.23
1/23/2012	Short Expired	1/23/2012	ADP Jan 21 2012 50.0 Put	500	2,394.70	0.00			ST	boxC	2,394.70
1/18/2012	Short Sell	1/18/2012	ADP Jan 21 2012 55.0 Put	500	2,292.20	25.06			ST	boxC	2,267.14
1/20/2012	Short Sell	1/20/2012	ADP Jan 21 2012 55.0 Call	500	272.49	902.56			ST	boxC	(630.07)
11/8/2012	Short Sell	11/8/2012	ADP May 18 2013 65.0 Call	500	297.40	77.59			ST	boxC	219.81
5/21/2012	Short Expired	5/21/2012	ADP May 19 2012 55.0 Call	500	597.42	0.00			ST	boxC	597.42
10/22/2012	Short Expired	10/22/2012	ADP Nov 17 2012 57.5 Call	500	747.42	627.59			ST	boxC	119.83
7/5/2012	Short Sell	7/5/2012	AEP Aug 18 2012 39.0 Put	1,000	2,144.83	255.12			ST	boxC	1,889.71
9/13/2012	Short Sell	9/13/2012	AEP Feb 16 2013 37.0 Put	1,000	1,094.85	355.17			ST	boxC	739.68
1/23/2012	Short Expired	1/23/2012	AEP Jan 21 2012 36.0 Put	1,000	1,544.97	0.00			ST	boxC	1,544.97
11/2/2012	Short Sell	11/2/2012	AEP Nov 17 2012 40.0 Call	1,000	544.86	4,505.17			ST	boxC	(3,960.31)
9/20/2012	Short Sell	9/20/2012	AEP Nov 17 2012 37.0 Put	1,000	1,844.83	105.17			ST	boxC	1,739.66
9/21/2012		1/20/2012	AFLAC INC (AFL)	500	23,086.88	21,262.64			ST	boxA	1,824.24
8/20/2012	Short Expired	8/20/2012	AFL Aug 18 2012 44.0 Put	1,000	2,944.82	0.00			ST	boxC	2,944.82
8/7/2012	Short Sell	8/7/2012	AFL Aug 18 2012 43.0 Call	500	647.42	1,412.59			ST	boxC	(765.17)

Close Date	Rec Type	Open Date	Security	Shares Sold	Proceeds	Cost	Code	Gain/Loss Adjustment	ST/LT	Box	Gain/Loss
8/20/2012	Short Expired	8/20/2012	AFL Aug 18 2012 43 0 Put	1,000	2,854.82	0.00			ST	boxC	2,854.82
6/22/2012	Short Sell	6/22/2012	AFL Aug 18 2012 50 0 Call	500	997.42	32.56			ST	boxC	964.86
4/10/2012	Short Sell	4/10/2012	AFL Aug 18 2012 55 0 Call	500	537.42	62.56			ST	boxC	474.86
5/22/2012	Short Sell	5/22/2012	AFL Aug 18 2012 52.5 Call	1,000	2,344.83	65.12			ST	boxC	2,279.71
12/11/2012	Short Sell	12/11/2012	AFL Feb 16 2013 45 0 Put	500	1,097.38	172.59			ST	boxC	924.79
9/14/2012	Short Sell	9/14/2012	AFL Feb 16 2013 40 0 Put	1,000	1,774.79	965.17			ST	boxC	809.62
10/12/2012		9/13/2012	AFL Feb 16 2013 31 0 Put	500	97.40	147.59			ST	boxC	(50.19)
9/13/2012	Short Sell	9/13/2012	AFL Feb 16 2013 31 0 Put	500	597.42	162.59			ST	boxC	434.83
1/26/2012	Short Sell	1/26/2012	AFL Feb 18 2012 40 0 Put	1,000	2,474.95	155.12			ST	boxC	2,319.83
2/21/2012	Short Expired	2/21/2012	AFL Feb 18 2012 46 0 Put	1,000	8,294.84	0.00			ST	boxC	8,294.84
1/26/2012	Short Sell	1/26/2012	AFL Feb 18 2012 48 0 Call	1,000	654.98	1,695.12			ST	boxC	(1,040.14)
12/24/2012	Short Sell	12/24/2012	AFL Jan 19 2013 50 0 Call	1,000	1,044.85	4,245.17			ST	boxC	(3,200.32)
1/18/2012	Short Sell	1/18/2012	AFL Jan 21 2012 43 0 Call	500	387.49	767.56			ST	boxC	(380.07)
12/20/2012	Short Sell	12/20/2012	AFL May 18 2013 46 0 Put	1,000	3,744.74	1,145.17			ST	boxC	2,599.57
5/21/2012	Short Expired	5/21/2012	AFL May 19 2012 45 0 Call	500	622.42	0.00			ST	boxC	622.42
5/21/2012	Short Expired	5/21/2012	AFL May 19 2012 50 0 Call	1,000	3,244.81	0.00			ST	boxC	3,244.81
5/18/2012	Short Sell	5/18/2012	AFL May 19 2012 42 0 Put	1,000	1,294.85	1,755.12			ST	boxC	(460.27)
11/19/2012	Short Expired	11/19/2012	AFL Nov 17 2012 47 0 Put	500	522.39	0.00			ST	boxC	522.39
10/24/2012	Short Sell	10/24/2012	AFL Nov 17 2012 47 0 Call	1,000	1,194.85	2,795.17			ST	boxC	(1,600.32)
11/8/2012	Short Sell	11/8/2012	AFL Nov 17 2012 50 0 Call	500	737.42	452.59			ST	boxC	284.83
9/13/2012	Short Sell	9/13/2012	AFL Nov 17 2012 43 0 Put	1,000	1,204.80	525.17			ST	boxC	679.63
9/13/2012	Short Sell	9/13/2012	AFL Nov 17 2012 40 0 Put	500	1,212.41	142.59			ST	boxC	1,069.82
10/22/2012	Short Expired	10/22/2012	AFL Oct 20 2012 48 0 Put	1,000	1,304.80	0.00			ST	boxC	1,304.80
2/17/2012		10/21/2011	BAKER HUGHES INC (BHI)	500	25,091.97	37,112.56	W	12,020.59	ST	boxA	0.00
2/17/2012	Short Sell	2/17/2012	BAKER HUGHES INC (BHI)	500	25,986.96	37,287.58			ST	boxA	(11,300.62)
10/17/2012	Short Sell	10/17/2012	BHI Feb 18 2012 50 0 Call	500	1,447.41	37.56			ST	boxC	1,409.85
1/20/2012	Short Sell	1/20/2012	BHI Jan 19 2013 60 0 Call	500	317.40	32.59			ST	boxC	284.81
3/12/2012	Short Sell	3/12/2012	BHI Jan 21 2012 60 0 Put	500	3,942.17	5,602.56			ST	boxC	(1,660.39)
1/17/2012		5/20/2011	CATERPILLAR INC (CAT)	1,000	1,734.84	155.12			ST	boxC	1,579.72
4/20/2012	Short Sell	4/20/2012	CAT Apr 21 2012 115 0 Put	500	51,211.51	52,317.81			ST	boxA	(1,106.30)
6/22/2012	Short Sell	6/22/2012	CAT Aug 18 2012 100 0 Call	500	2,072.40	3,427.56			ST	boxC	(1,355.16)
7/6/2012	Short Sell	7/6/2012	CAT Aug 18 2012 115 0 Call	500	1,572.40	172.56			ST	boxC	1,399.84
7/12/2012	Short Sell	7/12/2012	CAT Aug 18 2012 115 0 Call	500	2,547.39	15.06			ST	boxC	2,532.33
6/27/2012	Short Sell	6/27/2012	CAT Aug 18 2012 110 0 Call	500	4,472.35	15,752.56			ST	boxC	(11,280.21)
6/25/2012	Short Sell	6/25/2012	CAT Aug 18 2012 105 0 Call	500	5,147.34	25.06			ST	boxC	5,122.28
1/26/2012	Short Sell	1/26/2012	CAT Feb 18 2012 100 0 Put	1,000	2,272.39	37.56			ST	boxC	2,234.83
1/26/2012	Short Sell	1/26/2012	CAT Feb 18 2012 105 0 Call	500	9,139.50	315.12			ST	boxC	8,824.38
1/18/2012	Short Sell	1/18/2012	CAT Feb 18 2012 100 0 Call	500	3,297.18	3,902.56			ST	boxC	(605.38)
8/1/2012	Short Sell	8/1/2012	CAT Jan 19 2013 115 0 Call	1,000	7,647.35	3,042.56			ST	boxC	4,604.79
1/23/2012	Short Expired	1/23/2012	CAT Jan 21 2012 95 0 Put	500	2,314.82	315.17			ST	boxC	1,999.65
1/23/2012	Expired	1/10/2011	CAT Jan 21 2012 90 0 Put	500	6,612.13	0.00			ST	boxC	6,612.13
				500	0.00	5,362.75			LT	boxC	(5,362.75)

Close Date	Rec Type	Open Date	Security	Shares Sold	Proceeds	Cost	Code	Gain/Loss Adjustment	ST/LT	Box	Gain/Loss
3/19/2012	Short Expired	3/19/2012	CAT Mar 17 2012 110 0 Put	500	1,872 40	0 00			ST	boxC	1,872 40
2/2/2012	Short Sell	2/2/2012	CAT May 19 2012 90 0 Put	500	5,297 33	782 56			ST	boxC	4,514 77
1/31/2012	Short Sell	1/31/2012	CAT May 19 2012 92 5 Put	500	5,472 33	907 56			ST	boxC	4,564 77
4/5/2012	Short Sell	4/5/2012	CAT May 19 2012 100 0 Call	1,000	3,494 81	8,305 12			ST	boxC	(4,810 31)
5/9/2012	Short Sell	5/9/2012	CAT May 19 2012 105 0 Put	500	2,672 38	4,402 56			ST	boxC	(1,730 18)
7/17/2012	Short Sell	7/17/2012	CAT Nov 17 2012 110 0 Put	500	5,647 31	14,602 56			ST	boxC	(8,955 25)
8/1/2012	Short Sell	8/1/2012	CAT Nov 17 2012 110 0 Call	1,000	2,284 82	185 17			ST	boxC	2,099 65
10/31/2012	Short Sell	10/31/2012	CAT Nov 17 2012 105 0 Put	500	4,422 36	10,142 59			ST	boxC	(5,720 23)
10/19/2012	Short Sell	10/19/2012	CAT Nov 17 2012 105 0 Call	2,000	12,469 47	40 34			ST	boxC	12,429 13
10/9/2012	Short Sell	10/9/2012	CAT Nov 17 2012 100 0 Call	500	2,472 38	57 59			ST	boxC	2,414 79
9/14/2012	Short Sell	9/14/2012	CAT Nov 17 2012 77 5 Put	500	2,902 37	282 59			ST	boxC	2,619 78
10/19/2012		10/15/2010	CARNIVAL CORP (CCL)	500	17,292 05	18,667 78			LT	boxB	(1,375 73)
10/19/2012		6/3/2011	CARNIVAL CORP (CCL)	500	17,962 02	21,567 80			LT	boxA	(3,605 78)
9/14/2012	Short Sell	9/14/2012	CCL Apr 20 2013 29 0 Put	1,000	1,454 79	755 17			ST	boxC	699 62
4/23/2012	Short Expired	4/23/2012	CCL Apr 21 2012 35 0 Call	500	1,012 48	0 00			ST	boxC	1,012 48
1/26/2012	Short Sell	1/26/2012	CCL Apr 21 2012 40 0 Call	500	772 42	15 06			ST	boxC	757 36
11/13/2012	Short Sell	11/13/2012	CCL Jan 19 2013 38 0 Call	1,000	1,044 85	1,905 17			ST	boxC	(860 32)
1/10/2012	Short Sell	1/10/2012	CCL Jan 21 2012 40 0 Put	500	1,592 22	3,202 56			ST	boxC	(1,610 34)
7/23/2012	Short Expired	7/23/2012	CCL Jul 21 2012 34 0 Call	500	347 43	0 00			ST	boxC	347 43
7/23/2012	Short Expired	7/23/2012	CCL Jul 21 2012 35 0 Call	500	557 42	0 00			ST	boxC	557 42
5/9/2012	Short Sell	5/9/2012	CCL Jul 21 2012 37 0 Call	1,000	694 86	155 12			ST	boxC	539 74
5/10/2012	Short Sell	5/10/2012	CCL Jul 21 2012 38 0 Call	500	672 42	42 56			ST	boxC	629 86
3/29/2012	Short Sell	3/29/2012	CCL Jul 21 2012 39 0 Call	500	697 42	77 56			ST	boxC	619 86
7/17/2012	Short Sell	7/17/2012	CCL Jul 21 2012 40 0 Put	500	3,747 36	3,552 56			ST	boxC	194 80
7/23/2012	Short Sell	7/23/2012	CCL Oct 20 2012 33 0 Call	500	802 43	627 56			ST	boxC	174 87
8/17/2012	Short Sell	8/17/2012	CCL Oct 20 2012 26 0 Put	1,000	944 86	105 17			ST	boxC	839 69
2/17/2012		8/19/2011	COLGATE PALMOLIVE CO (CL)	500	45,941 61	41,962 77			ST	boxA	3,978 84
7/17/2012	Short Sell	7/17/2012	CL Aug 18 2012 95 0 Call	500	1,577 40	5,177 56			ST	boxC	(3,600 16)
6/22/2012	Short Sell	6/22/2012	CL Aug 18 2012 97 5 Put	500	1,052 41	802 56			ST	boxC	249 85
6/25/2012	Short Sell	6/25/2012	CL Aug 18 2012 100 0 Call	500	997 41	802 56			ST	boxC	194 85
5/16/2012	Short Sell	5/16/2012	CL Aug 18 2012 87 5 Put	500	1,772 40	322 56			ST	boxC	1,449 84
9/13/2012	Short Sell	9/13/2012	CL Feb 16 2013 105 0 Put	500	2,822 37	2,452 59			ST	boxC	369 78
1/26/2012	Short Sell	1/26/2012	CL Feb 18 2012 95 0 Call	500	827 42	37 56			ST	boxC	789 86
1/26/2012	Short Sell	1/26/2012	CL Feb 18 2012 82 5 Put	500	2,267 20	20 06			ST	boxC	2,247 14
2/3/2012	Short Sell	2/3/2012	CL Feb 18 2012 87 5 Call	500	2,347 39	2,082 56			ST	boxC	264 83
1/23/2012	Short Expired	1/23/2012	CL Jan 21 2012 85 0 Put	500	3,047 44	0 00			ST	boxC	3,047 44
1/23/2012	Short Expired	1/23/2012	CL Jan 21 2012 95 0 Call	500	762 48	0 00			ST	boxC	762 48
10/16/2012	Short Sell	10/16/2012	CL May 18 2013 105 0 Call	500	3,372 33	3,377 59			ST	boxC	(5 26)
4/18/2012	Short Sell	4/18/2012	CL May 19 2012 97 5 Call	500	757 42	902 56			ST	boxC	(145 14)
5/21/2012	Short Expired	5/21/2012	CL May 19 2012 90 0 Put	500	3,372 37	0 00			ST	boxC	3,372 37
5/16/2012	Short Sell	5/16/2012	CL May 19 2012 100 0 Call	500	497 43	867 56			ST	boxC	(370 13)
8/31/2012	Short Sell	8/31/2012	CL Nov 17 2012 100 0 Put	500	2,322 38	632 59			ST	boxC	1,689 79

Close Date	Rec Type	Open Date	Security	Shares Sold	Proceeds	Cost	Code	Gain/Loss Adjustment	ST/LT	Box	Gain/Loss
10/9/2012	Short Sell	10/9/2012	CL Nov 17 2012 100 0 Call	500	1,127 41	4,277 59			ST	boxC	(3,150 18)
6/27/2012	Short Sell	6/27/2012	CL Nov 17 2012 92 5 Put	500	1,997 40	897 56			ST	boxC	1,099 84
11/15/2012	Short Sell	11/15/2012	CL Nov 17 2012 105 0 Put	500	2,322 38	827 59			ST	boxC	1,494 79
12/11/2012		4/3/2012	CAMPBELL SOUP CO (CPB)	500	17,417 04	17,460 25			ST	boxA	(43 21)
12/11/2012		9/16/2011	CAMPBELL SOUP CO (CPB)	500	17,417 05	15,207 52			LT	boxA	2,209 53
12/11/2012		4/3/2012	CAMPBELL SOUP CO (CPB)	500	17,312 04	17,460 25			ST	boxA	(148 21)
7/24/2012	Short Sell	7/24/2012	CPB Aug 18 2012 33 0 Call	500	347 43	177 56			ST	boxC	169 87
6/27/2012	Short Sell	6/27/2012	CPB Aug 18 2012 35 0 Call	500	422 43	25 06			ST	boxC	397 37
8/20/2012	Short Expired	8/20/2012	CPB Aug 18 2012 30 0 Put	1,000	1,044 85	0 00			ST	boxC	1,044 85
8/24/2012	Short Sell	8/24/2012	CPB Feb 16 2013 31 0 Put	500	597 42	277 59			ST	boxC	319 83
2/21/2012	Short Expired	2/21/2012	CPB Feb 18 2012 30.0 Put	100	104 44	0 00			ST	boxC	104 44
1/26/2012	Short Sell	1/26/2012	CPB Feb 18 2012 37 0 Call	500	417 24	25 06			ST	boxC	392 18
4/20/2012	Short Sell	4/20/2012	CPB May 19 2012 35 0 Call	1,000	944 86	50 12			ST	boxC	894 74
10/9/2012	Short Sell	10/9/2012	CPB Nov 17 2012 35 0 Call	1,000	794 86	705 17			ST	boxC	89 69
9/13/2012	Short Sell	9/13/2012	CPB Nov 17 2012 32 0 Put	1,000	1,154 85	205 17			ST	boxC	949 68
1/20/2012		5/20/2011	CSX CORP (CSX)	1,000	22,844 43	25,256 86	W	2,412 43	ST	boxA	0 00
4/20/2012		5/20/2011	CSX CORP (CSX)	1,000	21,714 39	25,256 87			ST	boxA	(3,542 48)
8/17/2012		5/20/2011	CSX CORP (CSX)	1,000	23,759 33	25,256 86	W	1,497 53	LT	boxA	0 00
6/22/2012	Short Sell	6/22/2012	CSX Aug 18 2012 25 0 Call	1,000	764 86	65 12			ST	boxC	699 74
10/24/2012	Short Sell	10/24/2012	CSX Feb 16 2013 25 0 Call	1,000	754 86	85 17			ST	boxC	669 69
1/26/2012	Short Sell	1/26/2012	CSX Feb 18 2012 25 0 Call	1,000	624 86	30 12			ST	boxC	594 74
10/24/2012	Short Sell	10/24/2012	CSX Jan 19 2013 24 17 Call	1,000	1,014 85	75 17			ST	boxC	939 68
10/17/2012	Short Sell	10/17/2012	CSX Jan 19 2013 25 83 Call	1,000	1,224 85	20 17			ST	boxC	1,204 68
4/5/2012	Short Sell	4/5/2012	CSX Jan 19 2013 29 17 Call	1,000	824 86	295 12			ST	boxC	529 74
1/18/2012	Short Sell	1/18/2012	CSX Jan 21 2012 25 0 Put	1,500	4,342 16	3,157 68			ST	boxC	1,184 48
5/21/2012	Short Expired	5/21/2012	CSX May 19 2012 22 5 Call	1,000	1,304 85	0 00			ST	boxC	1,304 85
4/5/2012	Short Sell	4/5/2012	CSX May 19 2012 25 0 Call	1,000	714 86	135 12			ST	boxC	579 74
2/10/2012	Short Sell	2/10/2012	CSX May 19 2012 27 5 Call	1,000	514 87	40 12			ST	boxC	474 75
11/19/2012	Short Expired	11/19/2012	CSX Nov 17 2012 20 0 Call	500	1,342 40	0 00			ST	boxC	1,342 40
11/19/2012	Short Expired	11/19/2012	CSX Nov 17 2012 22 5 Call	500	707 42	0 00			ST	boxC	707 42
10/12/2012	Short Sell	10/12/2012	CSX Nov 17 2012 25 0 Call	1,000	714 86	20 17			ST	boxC	694 69
10/17/2012		2/17/2012	CVS CAREMARK CORP (CVS)	1,000	46,533 86	42,765 12			ST	boxA	3,768 74
6/25/2012	Short Sell	6/25/2012	CVS Aug 18 2012 45 0 Call	1,000	1,534 85	1,405 12			ST	boxC	129 73
1/10/2012	Short Sell	1/10/2012	CVS Aug 18 2012 32 0 Put	1,000	1,594 84	665 12			ST	boxC	929 72
8/20/2012	Short Expired	8/20/2012	CVS Aug 18 2012 43 0 Put	1,000	3,344 81	0 00			ST	boxC	3,344 81
1/24/2012	Short Sell	1/24/2012	CVS Feb 18 2012 35 0 Put	1,000	2,039 46	65 12			ST	boxC	1,974 34
1/26/2012	Short Sell	1/26/2012	CVS Feb 18 2012 42 0 Call	1,000	1,279 47	1,125 12			ST	boxC	154 35
9/18/2012	Short Sell	9/18/2012	CVS Jan 19 2013 43 0 Put	500	1,437 40	392 59			ST	boxC	1,044 81
1/23/2012	Short Expired	1/23/2012	CVS Jan 21 2012 40 0 Put	1,000	2,734 82	0 00			ST	boxC	2,734 82
3/21/2012	Short Sell	3/21/2012	CVS May 19 2012 41 0 Call	1,000	1,074 85	4,255 12			ST	boxC	(3,180 27)
5/21/2012	Short Expired	5/21/2012	CVS May 19 2012 42 0 Put	1,000	3,044 82	0 00			ST	boxC	3,044 82
3/26/2012	Short Sell	3/26/2012	CVS May 19 2012 43 0 Call	1,000	1,664 84	3,105 12			ST	boxC	(1,440 28)

Close Date	Security Type	Open Date	Security	Shares Sold	Proceeds	Cost	Code	Gain/Loss Adjustment	ST/LT	Box	Gain/Loss
11/19/2012	Short Expired	11/19/2012	CVS Nov 17 2012 49.0 Call	1,000	1,024.86	0.00			ST	boxC	1,024.86
11/19/2012	Short Expired	11/19/2012	CVS Nov 17 2012 44.0 Put	1,000	2,994.82	0.00			ST	boxC	2,994.82
1/20/2012		6/17/2011	DEERE & CO (DE)	1,000	86,702.86	96,410.78			ST	boxA	(9,707.92)
9/21/2012		8/22/2011	DEERE & CO (DE)	500	40,936.52	41,396.91			LT	boxA	(460.39)
9/21/2012		3/16/2012	DEERE & CO (DE)	500	42,326.49	37,157.66			ST	boxA	5,168.83
9/21/2012		9/16/2011	DEERE & CO (DE)	500	42,326.49	41,813.87			LT	boxA	512.62
4/23/2012	Short Expired	4/23/2012	DE Apr 21 2012 85.0 Call	500	832.42	0.00			ST	boxC	832.42
8/20/2012	Short Expired	8/20/2012	DE Aug 18 2012 77.5 Call	500	997.41	0.00			ST	boxC	997.41
12/21/2012	Short Sell	12/21/2012	DE Dec 22 2012 85.0 Call	500	1,442.40	367.59			ST	boxC	1,074.81
12/24/2012	Short Expired	12/24/2012	DE Dec 22 2012 87.5 Call	1,000	3,379.78	0.00			ST	boxC	3,379.78
12/24/2012	Short Expired	12/24/2012	DE Dec 22 2012 90.0 Call	1,000	1,034.85	0.00			ST	boxC	1,034.85
7/17/2012	Short Sell	7/17/2012	DE Dec 22 2012 65.0 Put	1,000	2,714.81	2,055.12			ST	boxC	659.69
10/24/2012	Short Sell	10/24/2012	DE Jan 19 2013 85.0 Call	500	3,047.38	1,777.59			ST	boxC	1,269.79
1/23/2012	Short Expired	1/23/2012	DE Jan 21 2012 72.5 Put	500	3,092.19	0.00			ST	boxC	3,092.19
5/22/2012	Short Sell	5/22/2012	DE Jun 16 2012 90.0 Call	1,000	2,024.84	40.12			ST	boxC	1,984.72
6/18/2012	Short Expired	6/18/2012	DE Jun 16 2012 95.0 Call	1,000	2,194.83	0.00			ST	boxC	2,194.83
5/16/2012	Short Sell	5/16/2012	DE Jun 16 2012 85.0 Call	500	1,237.41	77.56			ST	boxC	1,159.85
9/13/2012	Short Sell	9/13/2012	DE Mar 16 2013 65.0 Put	500	1,802.39	827.59			ST	boxC	974.80
3/8/2012	Short Sell	3/8/2012	DE Mar 17 2012 87.5 Call	500	1,672.46	32.56			ST	boxC	1,639.90
3/19/2012	Short Expired	3/19/2012	DE Mar 17 2012 90.0 Call	500	1,997.46	0.00			ST	boxC	1,997.46
3/19/2012	Short Expired	3/19/2012	DE Mar 17 2012 95.0 Call	1,000	2,494.95	0.00			ST	boxC	2,494.95
5/21/2012	Short Expired	5/21/2012	DE May 19 2012 85.0 Call	500	747.42	0.00			ST	boxC	747.42
5/16/2012	Short Sell	5/16/2012	DE Sep 22 2012 95.0 Call	1,000	4,344.79	265.12			ST	boxC	4,079.67
6/27/2012	Short Sell	6/27/2012	DE Sep 22 2012 77.5 Put	1,000	4,692.77	3,855.12			ST	boxC	837.65
4/20/2012		10/21/2011	WALT DISNEY CO (DIS)	500	20,461.98	18,927.52			ST	boxA	1,534.46
10/19/2012		10/16/2011	WALT DISNEY CO (DIS)	500	22,311.93	20,517.75			ST	boxA	1,794.18
12/5/2012		11/9/2012	WALT DISNEY CO (DIS)	500	22,011.95	25,497.61			ST	boxA	(3,485.66)
1/18/2012	Short Sell	1/18/2012	DIS Apr 21 2012 35.0 Put	500	2,747.38	447.56			ST	boxC	2,299.82
4/20/2012	Short Sell	4/20/2012	DIS Apr 21 2012 37.0 Call	500	762.48	2,902.56			ST	boxC	(2,140.08)
9/13/2012	Short Sell	9/13/2012	DIS Jan 19 2013 40.0 Put	1,000	3,944.80	225.17			ST	boxC	3,719.63
1/20/2012	Short Sell	1/20/2012	DIS Jan 21 2012 37.0 Call	500	532.48	1,217.56			ST	boxC	(685.08)
6/19/2012	Short Sell	6/19/2012	DIS Oct 20 2012 42.0 Put	500	1,622.41	437.56			ST	boxC	1,184.85
6/22/2012	Short Sell	6/22/2012	DIS Oct 20 2012 44.0 Put	500	1,232.41	697.56			ST	boxC	534.85
10/9/2012	Short Sell	10/9/2012	DIS Oct 20 2012 48.0 Put	500	1,422.40	15.09			ST	boxC	1,407.31
9/13/2012	Short Sell	9/13/2012	DIS Oct 20 2012 50.0 Put	500	837.39	197.59			ST	boxC	639.80
10/24/2012	Short Sell	10/24/2012	DJP Nov 17 2012 43.0 Call	100	94.48	60.52			ST	boxC	33.96
10/25/2012	Short Sell	10/25/2012	DJP Nov 17 2012 43.0 Call	100	94.47	65.52			ST	boxC	28.95
11/21/2012		9/16/2011	DOVER CORP (DOV)	500	30,611.74	29,765.31			LT	boxA	846.43
7/24/2012	Short Sell	7/24/2012	DOV Dec 22 2012 65.0 Call	1,000	2,394.82	205.12			ST	boxC	2,189.70
6/25/2012	Short Sell	6/25/2012	DOV Dec 22 2012 70.0 Call	1,000	1,594.84	255.12			ST	boxC	1,339.72
12/24/2012	Short Expired	12/24/2012	DOV Dec 22 2012 55.0 Put	1,000	5,494.75	0.00			ST	boxC	5,494.75
7/23/2012	Short Expired	7/23/2012	DOV Jul 21 2012 55.0 Call	500	1,807.39	0.00			ST	boxC	1,807.39

Close Date	Rec type	Open Date	Security	Shares Sold	Proceeds	Cost	Code	Gain/Loss Adjustment	ST/LT	Box	Gain/Loss
7/23/2012	Short Expired	7/23/2012	DOV Jul 21 2012 60 0 Call	500	622 42	0 00			ST	boxC	622 42
6/15/2012	Short Sell	6/15/2012	DOV Jun 16 2012 65 0 Put	300	3,607 39	2,911 54			ST	boxC	695 85
6/14/2012	Short Sell	6/14/2012	DOV Jun 16 2012 70 0 Put	900	14,305 11	13,594 61			ST	boxC	710 50
4/25/2012	Short Sell	4/25/2012	DOV Jun 16 2012 70 0 Call	1,000	1,444 85	105 12			ST	boxC	1,339 73
9/13/2012	Short Sell	9/13/2012	DOV Mar 16 2013 45 0 Put	500	572 39	452 59			ST	boxC	119 80
2/22/2012	Short Sell	2/22/2012	DOV Mar 17 2012 65 0 Call	1,000	1,494 97	2,205 12			ST	boxC	(710 15)
3/19/2012	Short Expired	3/19/2012	DOV Mar 17 2012 60 0 Put	500	1,747 46	0 00			ST	boxC	1,747 46
2/22/2012	Short Sell	2/22/2012	DOV Mar 17 2012 60 0 Call	500	1,047 41	3,252 56			ST	boxC	(2,205 15)
3/19/2012	Short Expired	3/19/2012	DOV Mar 17 2012 55 0 Put	500	1,647 46	0 00			ST	boxC	1,647 46
7/12/2012	Short Sell	7/12/2012	DOV Sep 22 2012 60 0 Call	1,000	2,454 82	155 12			ST	boxC	2,299 70
7/12/2012	Short Sell	7/12/2012	DOV Sep 22 2012 65 0 Call	500	2,902 36	25 06			ST	boxC	2,877 30
5/16/2012	Short Sell	5/16/2012	DOV Sep 22 2012 70 0 Call	1,000	1,594 84	305 12			ST	boxC	1,289 72
8/17/2012	Short Sell	8/17/2012	DOV Sep 22 2012 75 0 Call	1,000	1,094 85	50 17			ST	boxC	1,044 68
9/24/2012	Short Expired	9/24/2012	DOV Sep 22 2012 50 0 Put	500	622 42	0 00			ST	boxC	622 42
9/21/2012		12/17/2010	EMERSON ELEC CO (EMR)	500	25,886 85	27,767 79			LT	boxB	(1,880 94)
11/21/2012		6/17/2011	EMERSON ELEC CO (EMR)	500	25,636 86	24,360 31			LT	boxA	1,276 55
6/22/2012	Short Sell	6/22/2012	EMR Dec 22 2012 55 0 Call	500	572 43	127 56			ST	boxC	444 87
7/13/2012	Short Sell	7/13/2012	EMR Dec 22 2012 55 0 Call	500	572 42	52 56			ST	boxC	519 86
1/10/2012	Short Sell	1/10/2012	EMR Jan 21 2012 52 5 Call	500	547 48	15 06			ST	boxC	532 42
5/1/2012	Short Sell	5/1/2012	EMR Jun 16 2012 55 0 Call	1,000	744 86	105 12			ST	boxC	639 74
6/18/2012	Short Expired	6/18/2012	EMR Jun 16 2012 55 0 Call	1,000	794 86	0 00			ST	boxC	794 86
6/18/2012	Short Expired	6/18/2012	EMR Jun 16 2012 52 5 Call	500	997 42	0 00			ST	boxC	997 42
3/8/2012	Short Sell	3/8/2012	EMR Jun 16 2012 60 0 Call	1,000	794 86	40 12			ST	boxC	754 74
3/19/2012	Short Expired	3/19/2012	EMR Mar 17 2012 55 0 Call	500	497 43	0 00			ST	boxC	497 43
8/21/2012		6/25/2012	EMR Sep 22 2012 57 5 Call	1,000	144 82	53 13			ST	boxC	91 69
9/24/2012	Short Expired	9/24/2012	EMR Sep 22 2012 57 5 Call	1,000	1,094 85	0 00			ST	boxC	1,094 85
11/2/2012	Short Sell	11/2/2012	EXC Apr 20 2013 37 0 Call	1,000	844 81	155 17			ST	boxC	689 64
11/15/2012	Short Sell	11/15/2012	EXC Apr 20 2013 39 0 Call	500	372 40	25 09			ST	boxC	347 31
9/14/2012	Short Sell	9/14/2012	EXC Jan 19 2013 40 0 Call	1,000	994 85	105 17			ST	boxC	889 68
1/10/2012	Short Sell	1/10/2012	EXC Jan 21 2012 42 5 Call	500	742 23	77 56			ST	boxC	664 67
9/6/2012	Short Sell	9/6/2012	EXC Oct 20 2012 40 0 Call	500	547 43	25 09			ST	boxC	522 34
7/5/2012		10/15/2010	GENERAL MILLS INC (GIS)	500	20,561 97	17,408 89			LT	boxB	3,153 08
3/12/2012	Short Sell	3/12/2012	GIS Apr 21 2012 40 0 Call	500	627 42	67 56			ST	boxC	559 86
4/23/2012	Short Expired	4/23/2012	GIS Apr 21 2012 42 0 Call	500	287 43	0 00			ST	boxC	287 43
11/2/2012	Short Sell	11/2/2012	GIS Jan 19 2013 40 0 Call	500	622 43	467 59			ST	boxC	154 84
1/23/2012	Short Expired	1/23/2012	GIS Jan 21 2012 37 5 Put	500	1,162 22	0 00			ST	boxC	1,162 22
10/19/2012	Short Sell	10/19/2012	GIS Oct 20 2012 40 0 Call	500	492 43	20 09			ST	boxC	472 34
1/20/2012	Short Sell	1/20/2012	GRAINGER W W INC (GWW)	500	83,610 88	100,470 00	W	16,859 12	ST	boxA	0 00
4/20/2012	Short Sell	4/20/2012	GRAINGER W W INC (GWW)	1,000	165,181 82	229,574 38			ST	boxB	(64,392 56)
2/10/2012	Short Sell	2/10/2012	GWW Apr 21 2012 185 0 Put	500	2,897 38	1,652 56			ST	boxC	1,244 82
2/28/2012	Short Sell	2/28/2012	GWW Apr 21 2012 190 0 Put	500	4,097 36	1,002 56			ST	boxC	3,094 80
4/23/2012	Short Expired	4/23/2012	GWW Apr 21 2012 210 0 Put	500	3,747 37	0 00			ST	boxC	3,747 37

Close Date	Acct Type	Open Date	Security	Shares Sold	Proceeds	Cost	Code	Gain/Loss	Adj	Box	Gain/Loss
3/16/2012	Short Sell	3/16/2012	GWV Apr 21 2012 200 0 Put	500	4,097.36	777.56				boxC	3,319.80
7/23/2012	Short Sell	7/23/2012	GWV Aug 18 2012 190 0 Call	500	1,647.40	7,902.56				boxC	(6,255.16)
1/10/2012	Short Sell	1/10/2012	GWV Jan 21 2012 160 0 Call	500	2,342.20	15,602.56				boxC	(13,260.36)
1/23/2012	Short Expired	1/23/2012	GWV Jan 21 2012 175 0 Put	1,500	14,492.03	0.00				boxC	14,492.03
1/23/2012	Short Expired	1/23/2012	GWV Jan 21 2012 185 0 Put	500	1,222.41	0.00				boxC	1,222.41
3/12/2012	Short Sell	3/12/2012	GWV Jul 21 2012 180 0 Put	500	8,847.27	1,552.56				boxC	7,294.71
7/20/2012	Short Sell	7/20/2012	GWV Jul 21 2012 180 0 Call	500	10,197.24	12,052.56				boxC	(1,855.32)
2/16/2012	Short Sell	2/16/2012	GWV Jul 21 2012 195 0 Put	1,000	12,994.63	8,305.12				boxC	4,689.51
11/19/2012	Short Expired	11/19/2012	GWV Nov 17 2012 200 0 Call	500	3,747.32	0.00				boxC	3,747.32
6/14/2012	Short Sell	6/14/2012	GWV Oct 20 2012 220 0 Call	500	2,147.39	702.56				boxC	1,444.83
10/22/2012	Short Expired	10/22/2012	GWV Oct 20 2012 220 0 Call	500	2,197.39	0.00				boxC	2,197.39
8/24/2012	Short Sell	8/24/2012	GWV Oct 20 2012 200 0 Put	500	7,197.31	3,302.59				boxC	3,894.72
8/17/2012		5/20/2011	HOME DEPOT INC (HD)	500	23,216.92	17,970.27				boxA	5,246.65
8/17/2012		8/20/2010	HOME DEPOT INC (HD)	500	23,216.92	16,915.27				boxB	6,301.65
8/17/2012		11/18/2011	HOME DEPOT INC (HD)	500	23,441.91	17,485.28				boxA	5,956.63
8/17/2012		5/20/2011	HOME DEPOT INC (HD)	500	23,441.92	17,970.27				boxA	5,471.65
11/26/2012		11/18/2011	HOME DEPOT INC (HD)	500	28,861.76	17,485.28				boxA	11,376.48
2/2/2012	Short Sell	2/2/2012	HD Aug 18 2012 41 0 Call	1,000	5,354.77	4,955.12				boxC	399.65
3/16/2012	Short Sell	3/16/2012	HD Aug 18 2012 44 0 Put	1,000	3,044.82	1,245.12				boxC	1,799.70
4/18/2012	Short Sell	4/18/2012	HD Aug 18 2012 40 0 Put	1,000	2,894.82	415.12				boxC	2,479.70
4/5/2012	Short Sell	4/5/2012	HD Aug 18 2012 47 0 Put	1,000	4,194.79	1,315.12				boxC	2,879.67
6/22/2012	Short Sell	6/22/2012	HD Aug 18 2012 48 0 Call	1,000	1,104.85	4,505.12				boxC	(3,400.27)
8/17/2012	Short Sell	8/17/2012	HD Aug 18 2012 45 0 Call	500	1,272.41	5,902.59				boxC	(4,630.18)
8/20/2012	Short Expired	8/20/2012	HD Aug 18 2012 50 0 Put	1,000	3,244.82	0.00				boxC	3,244.82
11/13/2012	Short Sell	11/13/2012	HD Feb 16 2013 57 5 Put	1,000	3,944.74	885.17				boxC	3,059.57
8/31/2012	Short Sell	8/31/2012	HD Feb 16 2013 45 0 Put	1,000	1,694.79	785.17				boxC	909.62
1/26/2012	Short Sell	1/26/2012	HD Feb 18 2012 32 0 Put	1,000	1,819.46	40.12				boxC	1,779.34
1/26/2012	Short Sell	1/26/2012	HD Feb 18 2012 40 0 Call	1,000	919.48	4,805.12				boxC	(3,885.64)
1/18/2012	Short Sell	1/18/2012	HD Jan 21 2012 35 0 Call	1,000	2,594.83	9,735.12				boxC	(7,140.29)
1/20/2012	Short Sell	1/20/2012	HD Jan 21 2012 40 0 Call	500	552.23	2,202.56				boxC	(1,650.33)
3/26/2012	Short Sell	3/26/2012	HD May 19 2012 40 0 Call	1,000	1,314.85	10,055.12				boxC	(8,740.27)
4/18/2012	Short Sell	4/18/2012	HD May 19 2012 45 0 Put	1,000	2,474.83	135.12				boxC	2,339.71
1/31/2012	Short Sell	1/31/2012	HD May 19 2012 42 0 Call	1,000	1,004.86	3,905.12				boxC	(2,900.26)
11/2/2012	Short Sell	11/2/2012	HD Nov 17 2012 50 0 Call	1,000	3,194.82	12,545.17				boxC	(9,350.35)
11/19/2012	Short Expired	11/19/2012	HD Nov 17 2012 60 0 Put	1,000	1,074.80	0.00				boxC	1,074.80
9/12/2012		12/15/2011	HJ HEINZ CO (HNZ)	500	27,911.81	22,667.75				boxA	5,244.06
12/16/2012		3/6/2012	HJ HEINZ CO (HNZ)	1,000	56,383.60	53,970.17				boxA	2,413.43
11/8/2012	Short Sell	11/8/2012	HNZ Dec 22 2012 55 0 Call	1,000	1,344.84	3,105.17				boxC	(1,760.33)
9/13/2012	Short Sell	9/13/2012	HNZ Dec 22 2012 50 0 Put	1,000	2,144.83	355.17				boxC	1,789.66
6/18/2012	Short Sell	6/18/2012	HNZ Jan 19 2013 50 0 Put	1,000	1,794.83	1,755.12				boxC	39.71
1/23/2012	Short Expired	1/23/2012	HNZ Jan 21 2012 55 0 Call	1,000	494.87	0.00				boxC	494.87
6/18/2012	Short Expired	6/18/2012	HNZ Jun 16 2012 50 0 Put	500	697.42	0.00				boxC	697.42

Close Date	Transaction Type	Open Date	Security	Shares Sold	Proceeds	Cost	Code	Gain/Loss Adjustment	ST/LT	Box	Gain/Loss
5/16/2012	Short Sell	5/16/2012	HNZ Jun 16 2012 55 0 Call	500	972.42	602.56			ST	boxC	369.86
3/19/2012	Short Expired	3/19/2012	HNZ Mar 17 2012 55 0 Call	500	972.48	0.00			ST	boxC	972.48
5/16/2012	Short Sell	5/16/2012	HNZ Sep 22 2012 50 0 Put	500	1,347.41	427.56			ST	boxC	919.85
9/24/2012	Short Expired	9/24/2012	HNZ Sep 22 2012 55 0 Put	500	1,172.41	0.00			ST	boxC	1,172.41
5/2/2012		1/21/2011	INTEL CORP (INTC)	1,000	26,594.28	20,660.50			LT	boxA	5,933.78
4/20/2012	Short Sell	4/20/2012	INTC Apr 21 2012 25 0 Call	1,000	1,444.85	2,865.12			ST	boxC	(1,420.27)
11/7/2012	Short Sell	11/7/2012	INTC Dec 22 2012 27 0 Call	1,000	484.81	10.17			ST	boxC	474.64
1/26/2012	Short Sell	1/26/2012	INTC Feb 18 2012 23 0 Call	1,000	1,864.84	3,705.12			ST	boxC	(1,840.28)
9/14/2012	Short Sell	9/14/2012	INTC Jan 19 2013 29 0 Call	1,000	714.86	65.17			ST	boxC	649.69
1/10/2012	Short Sell	1/10/2012	INTC Jan 21 2012 22 5 Put	1,000	744.86	20.12			ST	boxC	724.74
1/10/2012	Short Sell	1/10/2012	INTC Jan 21 2012 24 0 Call	2,000	1,689.84	3,550.24			ST	boxC	(1,860.40)
3/12/2012	Short Sell	3/12/2012	INTC Jul 21 2012 20 0 Put	1,000	744.86	195.12			ST	boxC	549.74
2/7/2012		2/2/2012	INTC Jul 21 2012 28 0 Call	1,000	884.86	855.12			ST	boxC	29.74
6/25/2012	Short Sell	6/25/2012	INTC Jul 21 2012 28 0 Call	1,000	884.86	165.12			ST	boxC	719.74
10/22/2012	Short Expired	10/22/2012	INTC Oct 20 2012 25 0 Call	1,000	3,364.80	0.00			ST	boxC	3,364.80
6/19/2012	Short Sell	6/19/2012	INTC Oct 20 2012 25 0 Put	1,000	914.85	695.12			ST	boxC	219.73
8/16/2012	Short Sell	8/16/2012	INTC Oct 20 2012 23 0 Put	1,000	694.86	185.17			ST	boxC	509.69
4/20/2012		10/21/2011	INTL PAPER CO (IP)	500	16,497.07	12,770.27			ST	boxA	3,726.80
10/19/2012		10/21/2011	INTL PAPER CO (IP)	500	16,732.06	12,770.26			ST	boxA	3,961.80
3/21/2012	Short Sell	3/21/2012	IP Apr 21 2012 32 0 Call	500	507.43	1,927.56			ST	boxC	(1,420.13)
10/24/2012	Short Sell	10/24/2012	IP Jan 19 2013 35 0 Call	500	627.42	1,447.59			ST	boxC	(820.17)
10/24/2012	Short Sell	10/24/2012	IP Jan 19 2013 33 0 Call	500	1,227.38	2,202.59			ST	boxC	(975.21)
7/6/2012	Short Sell	7/6/2012	IP Jan 19 2013 37 0 Call	500	762.42	132.56			ST	boxC	629.86
1/23/2012	Short Expired	1/23/2012	IP Jan 21 2012 22 5 Put	500	577.23	0.00			ST	boxC	577.23
1/20/2012	Short Sell	1/20/2012	IP Jan 21 2012 31 0 Call	500	372.43	602.56			ST	boxC	(230.13)
3/21/2012	Short Sell	3/21/2012	IP Jul 21 2012 26 0 Put	1,000	1,094.85	315.12			ST	boxC	779.73
6/14/2012	Short Sell	6/14/2012	IP Jul 21 2012 35 0 Call	500	697.42	15.06			ST	boxC	682.36
9/14/2012	Short Sell	9/14/2012	IP Oct 20 2012 34 0 Put	500	1,272.41	307.59			ST	boxC	964.82
10/16/2012	Short Sell	10/16/2012	IP Oct 20 2012 35 0 Call	500	302.43	1,602.59			ST	boxC	(1,300.16)
7/23/2012	Short Sell	7/23/2012	IP Oct 20 2012 30 0 Call	500	532.42	1,472.56			ST	boxC	(940.14)
8/7/2012	Short Sell	8/7/2012	IP Oct 20 2012 31 0 Call	500	897.41	1,487.59			ST	boxC	(590.18)
9/6/2012	Short Sell	9/6/2012	IP Oct 20 2012 31 0 Put	500	887.42	142.59			ST	boxC	744.83
5/16/2012	Short Sell	5/16/2012	IP Oct 20 2012 37 0 Call	500	1,117.41	167.56			ST	boxC	949.85
7/20/2012		2/25/2011	JOHNSON & JOHNSON (JNJ)	800	53,588.55	47,947.45			LT	boxA	5,641.10
7/20/2012		7/16/2010	JOHNSON & JOHNSON (JNJ)	500	33,852.83	31,052.77			LT	boxB	2,800.06
10/19/2012		10/21/2011	JOHNSON & JOHNSON (JNJ)	1,000	68,913.33	63,635.53			ST	boxA	5,277.80
10/22/2012		1/20/2012	JOHNSON & JOHNSON (JNJ)	100	6,829.32	6,316.51			ST	boxA	512.81
1/26/2012	Short Sell	1/26/2012	JNJ Apr 21 2012 70 0 Call	1,000	1,614.96	185.12			ST	boxC	1,429.84
1/5/2012	Short Sell	1/5/2012	JNJ Apr 21 2012 45 0 Put	1,000	704.86	95.12			ST	boxC	609.74
2/17/2012	Short Sell	2/17/2012	JNJ Feb 18 2012 65 0 Call	1,000	1,074.85	20.12			ST	boxC	1,054.73
9/13/2012	Short Sell	9/13/2012	JNJ Jan 19 2013 62 5 Put	500	1,422.40	162.59			ST	boxC	1,259.81
1/23/2012	Short Expired	1/23/2012	JNJ Jan 21 2012 65 0 Put	1,000	2,709.44	0.00			ST	boxC	2,709.44

Close Date	Sec Type	Open Date	Security	Shares Sold	Proceeds	Cost	Code	Gain/Loss Adjustment	ST/LT	Box	Gain/Loss
1/20/2012	Short Sell	1/20/2012	KMB Jan 21 2012 65 0 Call	1,000	1,694.84	295.12			ST	boxC	1,399.72
1/23/2012	Short Expired	1/23/2012	KMB Jan 21 2012 67 5 Call	500	722.48	0.00			ST	boxC	722.48
6/27/2012	Short Sell	6/27/2012	KELLOGG CO (K)	1,000	1,324.85	585.12			ST	boxC	739.73
2/27/2012		9/16/2011	KELLOGG CO (K)	1,000	52,433.93	51,010.58			ST	boxA	1,423.35
11/28/2012		3/12/2012	KELLOGG CO (K)	500	25,436.86	24,617.06			ST	boxA	819.80
11/28/2012		3/16/2012	KELLOGG CO (K)	1,000	53,433.67	48,720.81			ST	boxA	4,712.86
10/19/2012		5/18/2012	KIMBERLY CLARK CORP (KMB)	500	40,286.53	39,032.59			ST	boxA	1,253.94
4/23/2012	Short Expired	4/23/2012	KMB Apr 21 2012 67 5 Put	500	2,397.45	0.00			ST	boxC	2,397.45
4/18/2012	Short Sell	4/18/2012	KMB Apr 21 2012 75 0 Call	500	397.43	567.56			ST	boxC	(170.13)
4/23/2012	Short Expired	4/23/2012	KMB Apr 21 2012 75 0 Put	500	777.42	0.00			ST	boxC	777.42
10/24/2012	Short Sell	10/24/2012	KMB Jan 19 2013 85 0 Call	1,000	1,944.83	1,955.17			ST	boxC	(10.34)
1/23/2012	Short Expired	1/23/2012	KMB Jan 21 2012 67 5 Put	500	2,092.20	0.00			ST	boxC	2,092.20
6/14/2012	Short Sell	6/14/2012	KMB Jul 21 2012 72 5 Put	500	1,797.40	52.56			ST	boxC	1,744.84
4/25/2012	Short Sell	4/25/2012	KMB Jul 21 2012 72 5 Call	500	872.42	3,202.56			ST	boxC	(2,330.14)
6/14/2012	Short Sell	6/14/2012	KMB Jul 21 2012 80 0 Call	1,000	994.85	2,805.12			ST	boxC	(1,810.27)
5/29/2012	Short Sell	5/29/2012	KMB Jun 16 2012 77 5 Call	1,000	1,544.84	2,355.12			ST	boxC	(810.28)
7/5/2012	Short Sell	7/5/2012	KMB Oct 20 2012 72 5 Put	500	1,547.41	177.56			ST	boxC	1,369.85
10/22/2012	Short Expired	10/22/2012	KMB Oct 20 2012 85 0 Put	500	1,372.40	0.00			ST	boxC	1,372.40
1/23/2012	Short Expired	1/23/2012	K Jan 21 2012 50 0 Put	1,000	3,189.43	0.00			ST	boxC	3,189.43
6/18/2012	Short Expired	6/18/2012	K Jun 16 2012 57 5 Call	1,000	1,284.85	0.00			ST	boxC	1,284.85
3/29/2012	Short Sell	3/29/2012	K Jun 16 2012 52 5 Call	500	697.43	852.56			ST	boxC	(155.13)
5/30/2012	Short Sell	5/30/2012	K Jun 16 2012 52 5 Call	500	697.42	25.06			ST	boxC	672.36
6/15/2012	Short Sell	6/15/2012	K Jun 16 2012 50.0 Put	1,000	3,694.80	905.12			ST	boxC	2,789.68
1/24/2012	Short Sell	1/24/2012	K Mar 17 2012 52 5 Put	1,000	2,994.94	2,605.12			ST	boxC	389.82
9/24/2012	Short Expired	9/24/2012	K Sep 22 2012 52 5 Call	1,000	1,544.85	0.00			ST	boxC	1,544.85
8/20/2012	Short Sell	8/20/2012	LINE Oct 20 2012 33 0 Put	500	647.43	77.59			ST	boxC	569.84
9/6/2012	Short Sell	9/6/2012	LINE Oct 20 2012 33 0 Put	500	647.42	52.59			ST	boxC	594.83
1/23/2012	Short Expired	1/23/2012	MCK Jan 21 2012 72 5 Put	500	3,492.19	0.00			ST	boxC	3,492.19
7/20/2012		1/21/2011	3M COMPANY (MMM)	500	43,736.46	40,662.83			LT	boxA	3,073.63
7/20/2012		4/17/2012	3M COMPANY (MMM)	500	45,176.43	37,891.50			ST	boxA	7,284.93
7/20/2012		1/21/2011	3M COMPANY (MMM)	500	45,176.43	42,437.80			LT	boxA	2,738.63
3/29/2012	Short Sell	3/29/2012	MMM Apr 21 2012 95 0 Call	500	647.42	10.06			ST	boxC	637.36
1/23/2012	Short Expired	1/23/2012	MMM Jan 21 2012 90 0 Call	1,000	744.86	0.00			ST	boxC	744.86
1/23/2012	Short Expired	1/23/2012	MMM Jan 21 2012 100 0 Call	500	1,722.46	0.00			ST	boxC	1,722.46
1/23/2012	Short Expired	1/23/2012	MMM Jan 21 2012 95 0 Call	1,000	994.98	0.00			ST	boxC	994.98
1/23/2012	Short Expired	1/23/2012	MMM Jan 21 2012 87 5 Call	500	502.43	0.00			ST	boxC	502.43
1/10/2012	Short Sell	1/10/2012	MMM Jan 21 2012 92 5 Put	500	2,367.20	4,177.56			ST	boxC	(1,810.36)
5/30/2012	Short Sell	5/30/2012	MMM Jul 21 2012 95 0 Call	500	742.42	52.56			ST	boxC	689.86
9/13/2012	Short Sell	9/13/2012	MMM Oct 20 2012 95 0 Call	1,000	774.86	295.17			ST	boxC	479.69
10/22/2012	Short Expired	10/22/2012	MMM Oct 20 2012 97 5 Call	500	892.42	0.00			ST	boxC	892.42
9/24/2012	Short Sell	9/24/2012	NSC Dec 22 2012 80 0 Call	1,000	2,344.82	50.17			ST	boxC	2,294.65
10/24/2012	Short Sell	10/24/2012	NSC Jan 19 2013 80 0 Call	500	522.43	25.09			ST	boxC	497.34

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5/16/2012	Short Sell	5/16/2012	NSC Jun 16 2012 80 0 Call	500	1,322 41	25 06			ST	boxC	1,297 35
6/18/2012	Short Expired	6/18/2012	NSC Jun 16 2012 80 0 Call	500	1,322 41	0 00			ST	boxC	1,322 41
1/10/2012	Short Sell	1/10/2012	NSC Jun 16 2012 60 0 Put	500	1,997 40	527 56			ST	boxC	1,469 84
9/20/2012	Short Sell	9/20/2012	NSC Mar 16 2013 85 0 Call	500	572 39	77 59			ST	boxC	494 80
11/21/2012	Short Sell	11/21/2012	NSC Mar 16 2013 72 5 Call	500	747 39	25 09			ST	boxC	722 30
1/26/2012	Short Sell	1/26/2012	NSC Mar 17 2012 82 5 Call	500	622 48	77 56			ST	boxC	544 92
1/10/2012	Short Sell	1/10/2012	NSC Mar 17 2012 60 0 Put	500	772 42	127 56			ST	boxC	644 86
7/24/2012	Short Sell	7/24/2012	NSC Sep 22 2012 77 5 Call	500	622 42	177 56			ST	boxC	444 86
4/20/2012	Short Sell	4/20/2012	PCAR Apr 21 2012 46 0 Put	500	822 42	1,752 56			ST	boxC	(930 14)
5/30/2012	Short Sell	5/30/2012	PCAR Aug 18 2012 45 0 Call	1,000	1,444 85	255 12			ST	boxC	1,189 73
8/20/2012	Short Expired	8/20/2012	PCAR Aug 18 2012 42 0 Call	500	272 43	0 00			ST	boxC	272 43
2/21/2012	Short Expired	2/21/2012	PCAR Feb 18 2012 44 3 Put	500	1,317 22	0 00			ST	boxC	1,317 22
7/23/2012	Short Expired	7/23/2012	PCAR Jul 21 2012 41 0 Call	500	472 42	0 00			ST	boxC	472 42
5/16/2012	Short Sell	5/16/2012	PCAR May 19 2012 41 3 Put	500	3,147 37	1,302 56			ST	boxC	1,844 81
5/21/2012	Short Expired	5/21/2012	PCAR May 19 2012 44 3 Call	1,000	1,804 84	0 00			ST	boxC	1,804 84
5/16/2012	Short Sell	5/16/2012	PCAR May 19 2012 46 3 Put	500	897 42	3,802 56			ST	boxC	(2,905 14)
4/18/2012	Short Sell	4/18/2012	PCAR May 19 2012 49 3 Call	500	847 42	52 56			ST	boxC	794 86
11/19/2012	Short Expired	11/19/2012	PCAR Nov 17 2012 47 0 Call	1,000	1,634 84	0 00			ST	boxC	1,634 84
11/19/2012	Short Expired	11/19/2012	PCAR Nov 17 2012 46 0 Call	500	747 42	0 00			ST	boxC	747 42
9/13/2012	Short Sell	9/13/2012	PCAR Nov 17 2012 44 0 Put	500	2,797 37	1,802 59			ST	boxC	994 78
10/16/2012	Short Sell	10/16/2012	PCAR Nov 17 2012 42 0 Put	500	1,947 39	1,102 59			ST	boxC	844 80
11/19/2012	Short Expired	11/19/2012	PCAR Nov 17 2012 35 0 Put	1,000	2,344 82	0 00			ST	boxC	2,344 82
10/22/2012	Short Expired	10/22/2012	PCAR Oct 20 2012 45 0 Call	500	172 40	0 00			ST	boxC	172 40
4/23/2012	Short Expired	4/23/2012	PG Apr 21 2012 65 0 Put	500	1,822 40	0 00			ST	boxC	1,822 40
4/23/2012	Short Expired	4/23/2012	PG Apr 21 2012 70 0 Call	1,000	954 86	0 00			ST	boxC	954 86
12/24/2012	Short Expired	12/24/2012	PG Dec 22 2012 67 5 Put	500	562 39	0 00			ST	boxC	562 39
10/12/2012	Short Sell	10/12/2012	PG Jan 19 2013 65 0 Call	1,000	1,249 85	3,405 17			ST	boxC	(2,155 32)
9/13/2012	Short Sell	9/13/2012	PG Jan 19 2013 62 5 Put	500	2,447 38	372 59			ST	boxC	2,074 79
1/23/2012	Short Expired	1/23/2012	PG Jan 21 2012 65 0 Put	1,000	4,389 41	0 00			ST	boxC	4,389 41
6/22/2012	Short Sell	6/22/2012	PG Jul 21 2012 65 0 Put	500	1,597 40	2,627 56			ST	boxC	(1,030 16)
7/23/2012	Short Expired	7/23/2012	PG Jul 21 2012 67 5 Call	500	707 42	0 00			ST	boxC	707 42
10/22/2012	Short Expired	10/22/2012	PG Oct 20 2012 65 0 Put	1,000	2,604 83	0 00			ST	boxC	2,604 83
7/30/2012	Short Sell	7/30/2012	PG Oct 20 2012 65 0 Call	500	1,032 41	852 56			ST	boxC	179 85
5/2/2012	Short Sell	5/2/2012	PG Oct 20 2012 70 0 Call	500	482 42	157 56			ST	boxC	324 86
10/19/2012		7/15/2011	JM SMUCKER CO (SIM)	500	40,461 52	37,960 29			LT	boxA	2,501 23
9/6/2012	Short Sell	9/6/2012	SIM Apr 20 2013 80 0 Put	500	1,847 36	1,502 59			ST	boxC	344 77
4/23/2012	Short Expired	4/23/2012	SIM Apr 21 2012 85 0 Call	1,000	894 98	0 00			ST	boxC	894 98
8/17/2012	Short Sell	8/17/2012	SIM Jan 19 2013 65 0 Put	1,000	1,544 84	355 17			ST	boxC	1,189 67
1/23/2012	Short Expired	1/23/2012	SIM Jan 21 2012 85 0 Call	1,000	1,089 47	0 00			ST	boxC	1,089 47
1/23/2012	Short Expired	1/23/2012	SIM Jan 21 2012 75 0 Put	1,000	4,589 41	0 00			ST	boxC	4,589 41
1/23/2012	Short Expired	1/23/2012	SIM Jan 21 2012 70 0 Put	1,000	2,139 45	0 00			ST	boxC	2,139 45
1/20/2012	Short Sell	1/20/2012	SIM Jan 21 2012 80 0 Call	500	1,392 22	102 56			ST	boxC	1,289 66

Close Date	Open Date	Security	Shares Sold	Proceeds	Cost	Code	Gain/Loss Adjustment	ST/LT	Box	Gain/Loss
1/23/2012	Short Expired	1/23/2012 SJM Jan 21 2012 65 0 Put	2,000	5,389 89	0 00			ST	boxC	5,389 89
7/23/2012	Short Expired	7/23/2012 SJM Jul 21 2012 80 0 Call	1,000	2,836 80	0 00			ST	boxC	2,836 80
5/30/2012	Short Sell	5/30/2012 SJM Jul 21 2012 85 0 Call	1,000	1,544 85	305 12			ST	boxC	1,239 73
10/22/2012	Short Expired	10/22/2012 SJM Oct 20 2012 85 0 Call	1,000	1,494 85	0 00			ST	boxC	1,494 85
7/2/2012		5/18/2012 SYSCO CORP (SY)	1,000	28,584 23	26,565 15			ST	boxA	2,019 08
8/20/2012	Short Expired	8/20/2012 SY Aug 18 2012 28 0 Put	1,000	1,644 84	0 00			ST	boxC	1,644 84
2/21/2012	Short Expired	2/21/2012 SY Feb 18 2012 28 0 Put	1,000	2,139 95	0 00			ST	boxC	2,139 95
4/25/2012	Short Sell	4/25/2012 SY May 19 2012 31 0 Call	1,000	414 87	50 12			ST	boxC	364 75
8/20/2012	Short Sell	8/20/2012 SY Nov 17 2012 30 0 Call	1,000	694 86	1,005 17			ST	boxC	(310 31)
2/7/2012	Short Sell	2/7/2012 UPS Apr 21 2012 65 0 Put	500	1,772 40	82 56			ST	boxC	1,689 84
3/21/2012	Short Sell	3/21/2012 UPS Apr 21 2012 75 0 Put	500	1,202 41	72 56			ST	boxC	1,129 85
2/16/2012	Short Sell	2/16/2012 UPS Apr 21 2012 75 0 Call	500	727 42	1,552 56			ST	boxC	(825 14)
11/13/2012	Short Sell	11/13/2012 UPS Jan 19 2013 82 5 Call	1,000	1,384 84	30 17			ST	boxC	1,354 67
1/23/2012	Short Expired	1/23/2012 UPS Jan 21 2012 70 0 Put	500	3,092 19	0 00			ST	boxC	3,092 19
3/21/2012	Short Sell	3/21/2012 UPS Jul 21 2012 75 0 Put	1,000	3,594 81	1,295 12			ST	boxC	2,299 69
4/25/2012	Short Sell	4/25/2012 UPS Jul 21 2012 75 0 Call	500	2,147 40	2,677 56			ST	boxC	(530 16)
7/23/2012	Short Expired	7/23/2012 UPS Jul 21 2012 80 0 Call	1,000	1,494 85	0 00			ST	boxC	1,494 85
4/18/2012	Short Sell	4/18/2012 UPS Jul 21 2012 65 0 Put	1,000	3,644 80	305 12			ST	boxC	3,339 68
5/10/2012	Short Sell	5/10/2012 UPS May 19 2012 80 0 Put	1,000	1,944 84	3,305 12			ST	boxC	(1,360 28)
10/22/2012	Short Expired	10/22/2012 UPS Oct 20 2012 77 5 Call	500	577 42	0 00			ST	boxC	577 42
8/17/2012	Short Sell	8/17/2012 UPS Oct 20 2012 82 5 Call	1,000	1,514 85	105 17			ST	boxC	1,409 68
5/30/2012	Short Sell	5/30/2012 UPS Oct 20 2012 85 0 Call	500	507 42	142 56			ST	boxC	364 86
11/13/2012	Short Sell	11/13/2012 WAG Apr 20 2013 40 0 Call	1,000	989 80	115 17			ST	boxC	874 63
1/23/2012	Short Expired	1/23/2012 WAG Jan 21 2012 38 0 Call	1,000	394 87	0 00			ST	boxC	394 87
1/18/2012	Short Sell	1/18/2012 WAG Jan 21 2012 38 0 Put	1,000	1,744 96	4,555 12			ST	boxC	(2,810 16)
6/27/2012	Short Sell	6/27/2012 WAG Jul 21 2012 37 0 Call	1,000	1,124 85	30 12			ST	boxC	1,094 73
10/22/2012	Short Expired	10/22/2012 WAG Oct 20 2012 36 0 Call	1,000	744 86	0 00			ST	boxC	744 86
6/22/2012	Short Sell	6/22/2012 WAG Oct 20 2012 39 0 Call	1,000	1,124 85	85 12			ST	boxC	1,039 73
1/20/2012		3/18/2011 WAL-MART STORES INC (WMT)	500	28,311 95	25,485 29			ST	boxA	2,826 66
1/20/2012		3/18/2011 WAL-MART STORES INC (WMT)	500	29,371 67	25,485 28			ST	boxA	3,886 39
3/7/2012		6/9/2011 WAL-MART STORES INC (WMT)	1,000	58,513 94	55,050 55			ST	boxA	3,463 39
8/7/2012		8/7/2012 WAL-MART STORES INC (WMT)	500	31,891 72	26,760 25			ST	boxA	5,131 47
8/7/2012		8/7/2012 WAL-MART STORES INC (WMT)	500	30,836 74	26,760 25			ST	boxA	4,076 49
7/19/2012	Short Sell	7/19/2012 WMT Aug 18 2012 65 0 Put	500	442 43	92 56			ST	boxC	349 87
1/23/2012	Short Expired	1/23/2012 WMT Jan 21 2012 55 0 Put	500	572 42	0 00			ST	boxC	572 42
7/23/2012	Short Expired	7/23/2012 WMT Jul 21 2012 65 0 Put	1,000	1,354 84	0 00			ST	boxC	1,354 84
6/14/2012	Short Sell	6/14/2012 WMT Jul 21 2012 60 0 Put	1,000	574 86	145 12			ST	boxC	429 74
4/18/2012	Short Sell	4/18/2012 WMT Jun 16 2012 57 5 Put	1,000	1,794 84	315 12			ST	boxC	1,479 72
5/21/2012	Short Sell	5/21/2012 WMT Jun 16 2012 57 5 Put	500	467 42	52 56			ST	boxC	414 86
5/10/2012	Short Sell	5/10/2012 WMT Jun 16 2012 57 5 Call	500	1,822 40	1,237 56			ST	boxC	584 84
3/19/2012	Short Expired	3/19/2012 WMT Mar 17 2012 60 0 Put	500	552 42	0 00			ST	boxC	552 42
3/19/2012	Short Expired	3/19/2012 WMT Mar 17 2012 62 5 Call	500	272 43	0 00			ST	boxC	272 43

Close Date	Open Date	Contract Type	Contract Description	Shares Sold	Proceeds	Cost	Code	Gain/Loss After Adjustment	ST/LT	Box	Gain/Loss
6/22/2012	6/22/2012	Short Sell	WMT Sep 22 2012 57.5 Put	500	992.41	152.56			ST	boxC	839.85
9/24/2012	9/24/2012	Short Expired	WMT Sep 22 2012 72.5 Put	500	912.41	0.00			ST	boxC	912.41
8/8/2012	4/15/2011		EXXON MOBIL CORP (XOM)	500	43,286.46	41,437.78			LT	boxA	1,848.68
10/19/2012	10/21/2011		EXXON MOBIL CORP (XOM)	500	45,336.42	39,560.31			ST	boxA	5,776.11
1/31/2012	1/31/2012	Short Sell	XOM Apr 21 2012 90.0 Call	1,000	1,004.86	515.12			ST	boxC	489.74
4/18/2012	4/18/2012	Short Sell	XOM Apr 21 2012 87.5 Call	500	457.43	47.56			ST	boxC	409.87
1/23/2012	1/23/2012	Short Expired	XOM Jan 21 2012 70.0 Put	500	807.48	0.00			ST	boxC	807.48
7/23/2012	7/23/2012	Short Expired	XOM Jul 21 2012 87.5 Call	500	1,172.41	0.00			ST	boxC	1,172.41