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Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements








OMB No 1545-0052







2012


Open to Public Inspection

For calendar year 2012, or tax year beginning 01-01-2012 , and ending 12-31-2012

Name of foundation John C Bock Foundation		A Employer identification number 26-6014448	
Number and street (or P O box number if mail is not delivered to street address) 411 E Wisconsin Avenue Ste 1000		B Telephone number (see instructions) (414) 287-1235	
City or town, state, and ZIP code Milwaukee, WI 53202		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input checked="" type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 6,158,809	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	170,500	170,500		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10 	159,912			
	b Gross sales price for all assets on line 6a _____ 3,169,129				
	7 Capital gain net income (from Part IV, line 2)		159,912		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule) 	6,687			
	12 Total. Add lines 1 through 11	337,099	330,412		
	13 Compensation of officers, directors, trustees, etc	65,000	6,500		58,500
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule) 	15,647	1,565		14,082
	b Accounting fees (attach schedule) 	8,182	818		7,364
	c Other professional fees (attach schedule) 	39,727	39,727		
	17 Interest				
	18 Taxes (attach schedule) (see instructions) 	7,486	1,379		
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) 	3,533	354		3,179
	24 Total operating and administrative expenses. Add lines 13 through 23	139,575	50,343		83,125
	25 Contributions, gifts, grants paid	145,360			145,360
	26 Total expenses and disbursements. Add lines 24 and 25	284,935	50,343		228,485
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	52,164			
	b Net investment income (if negative, enter -0-)		280,069		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	91,100	304,325	304,325
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	4,374	3,533	2,516
	b	Investments—corporate stock (attach schedule)	3,271,209	 2,986,254	3,529,295
	c	Investments—corporate bonds (attach schedule).	535,005	 439,073	460,763
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	1,545,012	 1,765,724	1,857,581
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)	 4,383	 4,329	 4,329	
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	5,451,083	5,503,238	6,158,809	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	5,451,083	5,503,238	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see page 17 of the instructions)	5,451,083	5,503,238	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	5,451,083	5,503,238	

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1 5,451,083
2	Enter amount from Part I, line 27a	2 52,164
3	Other increases not included in line 2 (itemize) ▶ _____	3
4	Add lines 1, 2, and 3	4 5,503,247
5	Decreases not included in line 2 (itemize) ▶  _____	5 9
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6 5,503,238

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	Publically traded securities			
b	Wash sales disallowed			
c	Capital gain distributions			
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3,157,518		3,009,217	148,301
b 3,131			3,131
c 8,480			8,480
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			148,301
b			3,131
c			8,480
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	159,912
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }		3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	238,326	6,122,934	000 038923
2010	267,809	5,462,494	000 049027
2009	235,669	4,835,930	000 048733
2008	358,125	5,488,124	000 065255
2007	199,000	6,155,585	000 032328

2 Total of line 1, column (d).	2	000 234266
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	000 046853
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5.	4	6,132,040
5 Multiply line 4 by line 3.	5	287,304
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	2,801
7 Add lines 5 and 6.	7	290,105
8 Enter qualifying distributions from Part XII, line 4.	8	228,485

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1			
		Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	5,601
c		All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3		Add lines 1 and 2.		3	5,601
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	
5		Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	5,601
6		Credits/Payments			
a		2012 estimated tax payments and 2011 overpayment credited to 2012	6a	6,100	
b		Exempt foreign organizations—tax withheld at source	6b		
c		Tax paid with application for extension of time to file (Form 8868)	6c		
d		Backup withholding erroneously withheld	6d		
7		Total credits and payments Add lines 6a through 6d.		7	6,100
8		Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached		8	
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	499
11		Enter the amount of line 10 to be Credited to 2013 estimated tax 499	Refunded	11	

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?			No
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file Form 1120-POL for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ (2) On foundation managers \$			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?.	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either <ul style="list-style-type: none">By language in the governing instrument, orBy state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) WI			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address ▶www bockfoundation org				
14	The books are in care of ▶von Briesen & Roper sc Telephone no ▶(414) 287-1278 Located at ▶411 E Wisconsin Avenue Ste 1000 Milwaukee WI ZIP +4 ▶53202			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ▶	15		
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶				

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. ▶ <input type="checkbox"/>	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

Yes

No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

Yes

No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

Yes

No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).

Yes

No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

Yes

No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here.

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

Yes

No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

Yes

No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

Yes

No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances

Total

number of other employees paid over \$50,000.

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation

Total number of others receiving over \$50,000 for professional services. ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

1	N/A	0
2		
3		
4		

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See page 24 of the instructions	
3	

Total. Add lines 1 through 3. 

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	5,976,863
b	Average of monthly cash balances.	1b	248,558
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	6,225,421
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	6,225,421
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions) 5	4	93,381
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	6,132,040
6	Minimum investment return. Enter 5% of line 5.	6	306,602

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	306,602
2a	Tax on investment income for 2012 from Part VI, line 5.	2a	5,601
b	Income tax for 2012 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	5,601
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	301,001
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	301,001
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	301,001

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26 5	1a	228,485
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	228,485
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	228,485
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				301,001
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only.				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2012				
a From 2007.				
b From 2008.				
c From 2009.				
d From 2010. 100,823				
e From 2011.				
f Total of lines 3a through e.	100,823			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ 228,485				
a Applied to 2011, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2012 distributable amount.				228,485
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)	72,516			72,516
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	28,307			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions). . . .				
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a.	28,307			
10 Analysis of line 9				
a Excess from 2008. . . .				
b Excess from 2009. . . .				
c Excess from 2010. . . . 28,307				
d Excess from 2011. . . .				
e Excess from 2012. . . .				

Part XIV

Private Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2012	(b) 2011	(c) 2010	(d) 2009	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number or e-mail of the person to whom applications should be addressed

Sally C Merrell Esq
411 E Wisconsin Ave Ste 1000
Milwaukee, WI 53202
(414) 276-1122

b

The form in which applications should be submitted and information and materials they should include

See website located at www.bockfoundation.org

c

Any submission deadlines

May 31st

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

See mission statement at the website

Form 990-PF (2012)

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	145,360
b Approved for future payment				
Total			3b	


Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue					
a	_____					
b	_____					
c	_____					
d	_____					
e	_____					
f	_____					
g	Fees and contracts from government agencies					
2	Membership dues and assessments. . . .					
3	Interest on savings and temporary cash investments			14		
4	Dividends and interest from securities. . . .			14	170,500	
5	Net rental income or (loss) from real estate					
a	Debt-financed property.					
b	Not debt-financed property.					
6	Net rental income or (loss) from personal property					
7	Other investment income.					
8	Gain or (loss) from sales of assets other than inventory			18	159,912	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory. .					
11	Other revenue a <u>Baird non-dividend distributions</u>			14	6,687	
b	_____					
c	_____					
d	_____					
e	_____					
12	Subtotal. Add columns (b), (d), and (e). .				337,099	
13	Total. Add line 12, columns (b), (d), and (e).					337,099

[illegible]


<p>1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?</p> <p>a Transfers from the reporting foundation to a noncharitable exempt organization of</p> <p> (1) Cash.</p> <p> (2) Other assets.</p> <p>b Other transactions</p> <p> (1) Sales of assets to a noncharitable exempt organization.</p> <p> (2) Purchases of assets from a noncharitable exempt organization.</p> <p> (3) Rental of facilities, equipment, or other assets.</p> <p> (4) Reimbursement arrangements.</p> <p> (5) Loans or loan guarantees.</p> <p> (6) Performance of services or membership or fundraising solicitations.</p> <p>c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.</p> <p>d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received</p>		Yes	No
	1a(1)		No
	1a(2)		No
	1b(1)		No
	1b(2)		No
	1b(3)		No
	1b(4)		No
	1b(5)		No
	1b(6)		No
	1c		No

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Here 

*****	2013-05-14
Signature of officer or trustee	Date



Title	May the IRS discuss this return with the preparer shown below (see instr.)? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

Form **990-PF** (2012)

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Luke Seggelink	Trustee 002 00	13,000		
c/o vBR 411 E Wisconsin Ave Milwaukee, WI 53202				
Jeremy C Shea	Trustee 001 00	13,000		
One S Pinckney St Ste 600 Madison, WI 53703				
Albert Goldstein	Trustee 001 00	13,000		
c/o vBR 411 E Wisconsin Ave Milwaukee, WI 53202				
Sharon L Bock	Trustee 001 00	13,000		
c/o vBR 411 E Wisconsin Ave Milwaukee, WI 53202				
Carl J Bock	Trustee 001 00	13,000		
c/o vBR 411 E Wisconsin Ave Milwaukee, WI 53202				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Aldo Leopold Nature Center 300 Fermite Drive Monona, WI 53716	None	Public	Charitable	5,000
Community GroundWorks 3601 Memorial Dr Ste 4 Madison, WI 53704	None	Public	Charitable	2,000
Bayfield Regional Conservancy PO Box 410 Bayfield, WI 54814	None	Public	Charitable	8,000
Environmental Law & Policy Center 35 E Wacker Dr Suite 1300 Chicago, IL 60601	None	Public	Charitable	15,000
Friends of Boerner Botanical Gardens 9400 Boerner Drive Ste 1 Hales Corners, WI 53130	None	Public	Charitable	5,000
Friends of Pheasant Branch Cons PO Box 628242 Middleton, WI 53562	None	Public	Charitable	15,000
Gathering Waters Conservancy 211 South Paterson Street Ste 2 Madison, WI 53703	None	Public	Charitable	10,000
Northeast Wisconsin Land Trust 14 Tri-Park Way Appleton, WI 54914	None	Public	Charitable	10,000
Northland College 1411 Ellis Avenue Ashland, WI 54806	None	Public	Charitable	15,000
Northwoods Land Trust PO Box 321 Eagle River, WI 54521	None	Public	Charitable	21,860
Audubon's Corkscrew Swamp Sanctuary 375 Sanctuary Road W Naples, FL 34120	None	Public	Charitable	5,000
Driftless Area Land Conservancy 338 North Iowa Street Dodgeville, WI 53533	None	Public	Charitable	10,000
Jefferson County Parks Dept 320 S Main St Rm 204 Jefferson, WI 53549	None	Public	Charitable	5,000
Ozaukee Washington Land Trust PO Box 917 West Bend, WI 53095	None	Public	Charitable	1,000
River Revitalization Foundation 1845 N Farwell Ave Ste 100 Milwaukee, WI 53202	None	Public	Charitable	2,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Urban Ecology Center 1500 E Park Place Milwaukee, WI 53211	None	Public	Charitable	5,000
West Wisconsin Land Trust 500 E Main Street Ste 307 Menomonie, WI 54751	None	Public	Charitable	5,000
Wisconsin League of Conservation Voters Institute 133 S Butler St Ste 320 Madison, WI 53703	None	Public	Charitable	5,000
Total			3a	145,360

TY 2012 Accounting Fees Schedule

Name: John C Bock Foundation

EIN: 26-6014448

Software ID: 12000057

Software Version: 12.13.328.3

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
von Briesen Roper, s c -- tax preparation	8,182	818		7,364

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2012 Gain/Loss from Sale of Other Assets Schedule

Name: John C Bock Foundation

EIN: 26-6014448

Software ID: 12000057

Software Version: 12.13.328.3

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
Publically traded securities					3,157,518	3,009,217			148,301	
Wash sales disallowed					3,131				3,131	
Capital gain distributions					8,480				8,480	

TY 2012 General Explanation Attachment**Name:** John C Bock Foundation**EIN:** 26-6014448**Software ID:** 12000057**Software Version:** 12.13.328.3

Identifier	Return Reference	Explanation
		The Foundation paid the sum of 65,000 in Trustees fees to the Trustees. These fees relate to the management of the investments and grants paid to qualifying charitable organizations. It is believed that the fees were necessary for the exempt purpose of the Foundation and were reasonable in amount for the services rendered. Accordingly, the payment of the fees is an excepted transaction within the meaning of IRC Sec. 4941D-2E and Treas. Reg. Sec. 53.4941D-3C.

TY 2012 Investments Corporate
Bonds Schedule

Name: John C Bock Foundation

EIN: 26-6014448

Software ID: 12000057

Software Version: 12.13.328.3

Name of Bond	End of Year Book Value	End of Year Fair Market Value
Amer Internatl Grp Gbl Sr Nt 4.25 2013-05-15	4,847	5,061
Duke Realty Ltd Pship Sr 6.25 2013-05-15	19,893	20,398
United Dom Rlty Tr Med Term 6.05 2013-06-01	9,904	10,210
Alcoa Nt 6.00 2013-07-15	19,975	20,530
Genworth Fin Nt 5.75 2014-06-15	14,873	15,766
Citigrp Sub Nt 5.00 2014-09-15	9,748	10,521
Bank America Nt 4.75 2015-08-01	14,743	16,187
Bank America Nt 5.35 2015-09-15	10,000	10,706
Prologis Nt 5.63 2015-11-15	9,627	11,034
Home Depot Sr Nt 5.40 2016-03-01	14,867	17,136
Horace Mann Educators Corp Sr Nt 6.85 2016-04-15	14,973	16,368
Goldman Sachs Grp Med Term 6.00 2016-05-15	10,000	11,178
Brandywine Op Pship LP Guar Nt 5.70 2017-05-01	9,878	11,325
Unitrin Inc Sr Nt 6.00 2017-05-15	14,910	16,433
AMB Prop Gtd Nt 4.00 2018-01-15	12,587	13,813
Amerenen Gen Co Sr Nt 7.00 2018-04-15	28,037	21,750
Dow Chem Sr Nt 5.70 2018-05-15	9,852	11,894
Genworth Fin Sr Nt 6.52 2018-05-22	9,983	10,717
Alcoa Inc Nt 6.75 2018-07-15	9,647	11,425
Hartford Fin Serv Sr Nt 6.00 2019-01-15	9,281	11,668
Gen El Cap Internts 5.50 2019-07-15	16,000	16,005
Bk of America Internts 5.30 2020-05-15	10,016	10,582
Gen El Cap Internts 5.00 2021-10-15	9,977	9,949
Tenneco Packaging Deb 7.95 2025-12-15	16,276	16,400
Countrywide Financial 6.25 2016-05-15	9,235	10,978
Bank of America 5.75 2016-08-15	9,513	10,935
Compass Bk Birmingham 5.50 2020-04-01	25,029	24,834
Partner RE Finance 5.50 2020-06-01	10,099	11,253
Goldman Sachs Grp Med Term Note 4.00 2025-01-29	10,080	9,888
Citigrp Funding Inc Med Term Sr Nt 4.25 2025-11-16	10,085	10,173

Name of Bond	End of Year Book Value	End of Year Fair Market Value
Bank of Amer Internts 5.25 1930-06-15	9,910	9,908
Jefferies Group Sr Note 5.13 2018-04-13	9,800	10,525
Citigroup Funding Inc 4.25 2025-09-15	10,181	10,176
Goldman Sachs Grp Med Term 4.00 2027-09-21	10,090	9,999
US West Communications Deb 6.88 1933-09-15	15,157	15,038

TY 2012 Investments Corporate
Stock Schedule

Name: John C Bock Foundation

EIN: 26-6014448

Software ID: 12000057

Software Version: 12.13.328.3

Name of Stock	End of Year Book Value	End of Year Fair Market Value
1000 shares of Duke Realty Corp Repstg 1/10th Pfd	17,136	25,010
1600 shares of Arrow Elec 1288	40,314	60,928
3000 shares of Cisco Sys 1288	65,502	58,948
3350 shares of General Elec Co 1288	65,186	70,317
1400 shares of Hanesbrands Inc 1288	38,857	55,521
1375 shares of Intel Corp 1288	23,910	28,353
4650 shares of Interpublic Grp 1288	26,825	51,243
3050 shares of Johnson Controls 1288	94,369	93,544
900 shares of Alleghency Technologies 1288	26,799	27,324
1850 shares of Baker Hughes 1288	82,764	75,568
1225 shares of Finish Line Cl A 1288	24,642	23,189
1875 shares of MetLife Inc 1288	59,021	61,763
3075 shares of Owens Ill Inc 1288	80,610	65,405
1175 shares of Sysco 1288	33,588	37,201
450 shares of Range Resources 1288	16,830	28,274
4650 shares of Sealed Air Corp	90,842	81,422
1141 shares of Time Warner 1288	36,515	54,574
1125 shares of Wells Fargo Co 1288	29,367	38,453
1125 shares of Willis Group Hldgs Pub Ltd Co 1288	39,658	37,721
615 shares of Abaxis 1294	15,622	22,817
1050 shares of Beacon Roofing Supply 1294	16,670	34,944
967 shares of Bio Reference Labs 1294	15,642	27,685
483 shares of Cabot Microelectronics 1294	15,276	17,151
451 shares of Cass Info Sys 1294	13,347	19,032
1048 shares of Cepheid Inc 1294	9,686	35,485
810 shares of Cheesecake Factory 1294	19,171	26,495
575 shares of Chemed 1294	25,599	39,439
373 shares of Concur Tech 1294	11,466	25,185
382 shares of Constant Contact 1294	8,895	5,428
365 shares of Costar Group 1294	22,204	32,620

Name of Stock	End of Year Book Value	End of Year Fair Market Value
990 shares of Dealertrack Holdings 1294	20,826	28,433
1588 shares of Digi Internatl 1294	19,256	15,038
402 shares of Echo Global Logistics 1294	11,222	15,885
380 shares of Faro Tech 1294	13,365	13,558
265 shares of Forrester Research 1294	6,666	7,102
390 shares of Forward Air 1294	11,805	13,654
951 shares of Gentex 1294	14,170	17,926
767 shares of Grand Canyon Education 1294	29,530	35,111
800 shares of Greenway Med 1294	15,045	12,288
1565 shares of Innerworkings 1294	15,452	21,566
545 shares of IPC The Hospitalist Co 1294	13,149	21,642
2260 shares of LKQ Corp 1294	12,541	47,686
505 shares of Luminex 1294	12,023	8,483
659 shares of Maximus 1294	9,347	41,662
425 shares of Mednax 1294	14,243	33,796
1080 shares of Mobile Mini 1294	19,131	22,517
1375 shares of National Instru 1294	23,867	35,489
649 shares of Neogen Corp 1294	5,311	29,413
358 shares of Portfolio Recovery Assoc 1294	14,440	38,256
565 shares of Power Integrations 1294	10,202	18,990
1214 shares of Ritchie Brothers Auctioneers 1294	26,571	25,360
2028 shares of Rollins 1294	19,184	44,697
1255 shares of Semtech Corp 1294	20,316	36,332
397 shares of Stratasy's 1294	28,985	31,820
370 shares of Techne Corp 1294	16,289	25,286
870 shares of Maxwell Tech 1294	14,875	7,221
610 shares of Ultimate Software Grp 1294	12,484	57,590
710 shares of United Natural Foods 1294	17,596	38,049
395 shares of 3d Systems Corp 1294	11,379	21,073
600 shares of Verint Systems 1294	19,237	17,616

Name of Stock	End of Year Book Value	End of Year Fair Market Value
6950 shares of Bank of America 1288	74,460	80,690
5500 shares of Modine Manufacturing 1288	53,937	44,715
2500 shares of Patterson Uti En 1288	48,136	46,575
388 shares of Athenahealth 1294	20,197	28,437
869 shares of Ebix Inc 1294	17,975	14,008
683 shares of Financial Engines 1294	16,033	18,946
431 shares of Fresh Market 1294	16,568	20,727
452 shares of Pegasystems 1294	21,010	10,251
657 shares of SPS Commerce 1294	11,494	24,486
1011 shares of Scquest 1294	15,487	16,034
775 shares of Agilent Tech 1291	30,271	31,729
422 shares of Accenture PLC 1291	28,799	28,063
715 shares of Adobe Systems 1291	26,440	26,941
334 shares of Allergan 1291	31,310	30,638
413 shares of American Tower 1291	29,602	31,913
65 shares of Apple Inc 1291	25,799	34,591
903 shares of BBT 1291	30,100	26,286
479 shares of Bed Bath Beyond 1291	30,149	26,781
508 shares of Capital One Finan 1291	29,535	29,428
333 shares of Canadian National Railway Co 1291	29,803	30,306
389 shares of Citrix Sys 1291	24,676	25,526
535 shares of Coach 1291	29,568	29,698
777 shares of Coca-Cola 1291	29,878	28,166
276 shares of Colgate-Palmolive 1291	29,824	28,853
826 shares of Comcast Corp 1291	30,120	30,859
282 shares of Cummins 1291	27,848	30,555
634 shares of Dollar Tree 1291	30,527	25,715
1151 shares of EMC Corp 1291	31,256	29,120
652 shares of EBay 1291	24,846	33,251
473 shares of Estee Lauder 1291	29,673	28,314

Name of Stock	End of Year Book Value	End of Year Fair Market Value
337 shares of Exxon Mobil 1291	31,167	29,167
41 shares of Google Inc Cl A 1291	20,964	29,003
143 shares of International Business Machines 1291	29,907	27,392
59 shares of Intuitive Surgical 1291	29,751	28,932
436 shares of Johnson Johnson 1291	29,799	30,564
268 shares of Kraft Foods Grp 1291	9,661	12,186
842 shares of Microsoft 1291	24,786	22,490
680 shares of Mondelez International 1291	14,176	17,308
341 shares of Monsanto Co 1291	24,655	32,276
373 shares of National Oilwell 1291	30,163	25,495
271 shares of Perrigo Co 1291	27,981	28,192
296 shares of Praxair 1291	31,365	32,397
183 shares of Precision Castparts 1291	29,927	34,664
509 shares of Qualcomm 1291	31,664	31,487
270 shares of Roper Industries 1291	29,774	30,100
404 shares of Schlumberger 1291	23,285	27,997
662 shares of TJX Co 1291	29,930	28,102
449 shares of Travelers Co 1291	31,051	32,247
423 shares of United Parcel Serv 1291	23,705	31,188
398 shares of United Tech 1291	31,337	32,640
194 shares of VF Corp 1291	31,065	29,288

TY 2012 Investments - Other Schedule**Name:** John C Bock Foundation**EIN:** 26-6014448**Software ID:** 12000057**Software Version:** 12.13.328.3

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
Col Fds Series Master Internal Eq		104,055	102,548
Franklin Global Tr Gbl Real Est Fd		10,300	16,453
Mut Ser Fd Gbl Disc Fd		120,697	126,467
Templeton Gbl Smaller Cos Fd		51,001	60,630
Putnam Div Inc SBI A		145,942	137,039
Franklin Inc Fd A		380,589	432,767
DWS Port Fltg Rate Plus		135,246	134,201
Franklin Strategic Inc Fd A		75,505	81,013
Loomis Sayles Fds II Strategic Inc Fd		165,583	168,681
Natixis Fds Tr II Loomis Sayles Abs Strat		15,790	15,411
Oppenheimer Intl Div Cl A		192,567	208,388
AIM Invesco Bal Risk Allocation C		69,154	68,325
Nuveen Pfd Incm Oppty		41,897	48,550
Pimco All Asset Cl A		161,000	161,045
Pimco Income Cl A		51,398	51,764
Victory Fund for Income Cl C		45,000	44,299

TY 2012 Legal Fees Schedule**Name:** John C Bock Foundation**EIN:** 26-6014448**Software ID:** 12000057**Software Version:** 12.13.328.3

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
von Briesen Roper, s c	15,647	1,565		14,082

TY 2012 Other Assets Schedule**Name:** John C Bock Foundation**EIN:** 26-6014448**Software ID:** 12000057**Software Version:** 12.13.328.3

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
Receivable--dividends reportable current year received next year	4,390	4,329	4,329
Rounding	-7		

TY 2012 Other Decreases Schedule

Name: John C Bock Foundation

EIN: 26-6014448

Software ID: 12000057

Software Version: 12.13.328.3

Description	Amount
Rounding	9

TY 2012 Other Expenses Schedule**Name:** John C Bock Foundation**EIN:** 26-6014448**Software ID:** 12000057**Software Version:** 12.13.328.3

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Association of Small Foundations-- membership	695	70		625
it Interactive LLC--web hosting	495	50		445
Miscellaneous expenses	2,343	234		2,109

TY 2012 Other Income Schedule**Name:** John C Bock Foundation**EIN:** 26-6014448**Software ID:** 12000057**Software Version:** 12.13.328.3

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Baird--non-dividend distributions	6,687		

TY 2012 Other Professional Fees Schedule

Name: John C Bock Foundation

EIN: 26-6014448

Software ID: 12000057

Software Version: 12.13.328.3

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Robert W Baird--Investment management	39,727	39,727		

TY 2012 Taxes Schedule**Name:** John C Bock Foundation**EIN:** 26-6014448**Software ID:** 12000057**Software Version:** 12.13.328.3

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Form 990, 2011 tax due	7			
Form 990, 2012 estimated tax payment	6,100			
Foreign taxes on dividends	1,379	1,379		