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Form **990-PF**

Department of the Treasury  
Internal Revenue Service

Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

**Note.** The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

**2012**  
Open to Public Inspection

For calendar year 2012, or tax year beginning 01-01-2012 , and ending 12-31-2012

Name of foundation Cerwick Family Foundation		<b>A Employer identification number</b>  26-3879502	
% JOEL CERWICK		<b>B Telephone number</b> (see instructions)  (913) 648-6440	
Number and street (or P O box number if mail is not delivered to street address) 9101 Grandview Drive Suite		<b>C</b> If exemption application is pending, check here <input type="checkbox"/>	
Room/suite			
City or town, state, and ZIP code Overland Park, KS 66215			
<b>G</b> Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input checked="" type="checkbox"/> Address change <input type="checkbox"/> Name change		<b>D 1.</b> Foreign organizations, check here <input type="checkbox"/> <b>2.</b> Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
<b>H</b> Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
<b>I</b> Fair market value of all assets at end of year (from Part II, col. (c), line 16) <input checked="" type="checkbox"/> \$ 2,194,812		<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
<b>J</b> Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) <u>                    </u> (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	<b>1</b> Contributions, gifts, grants, etc , received (attach schedule)	350,434			
	<b>2</b> Check <input type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B				
	<b>3</b> Interest on savings and temporary cash investments				
	<b>4</b> Dividends and interest from securities. . . . .	77,604	77,604		
	<b>5a</b> Gross rents . . . . .				
	<b>b</b> Net rental income or (loss) _____				
	<b>6a</b> Net gain or (loss) from sale of assets not on line 10	33,503			
	<b>b</b> Gross sales price for all assets on line 6a <u>461,398</u>				
	<b>7</b> Capital gain net income (from Part IV , line 2) . . . .		33,503		
	<b>8</b> Net short-term capital gain . . . . .				
	<b>9</b> Income modifications . . . . .				
	<b>10a</b> Gross sales less returns and allowances				
Operating and Administrative Expenses	<b>b</b> Less Cost of goods sold . . . . .				
	<b>c</b> Gross profit or (loss) (attach schedule) . . . . .				
	<b>11</b> Other income (attach schedule) . . . . .				
	<b>12 Total.</b> Add lines 1 through 11 . . . . .	461,541	111,107		
	<b>13</b> Compensation of officers, directors, trustees, etc	0			
	<b>14</b> Other employee salaries and wages . . . . .				
	<b>15</b> Pension plans, employee benefits . . . . .				
	<b>16a</b> Legal fees (attach schedule) . . . . .				
	<b>b</b> Accounting fees (attach schedule) . . . . .				
	<b>c</b> Other professional fees (attach schedule) . . . . .	8,774	8,774		
	<b>17</b> Interest . . . . .				
	<b>18</b> Taxes (attach schedule) (see instructions)	869			
	<b>19</b> Depreciation (attach schedule) and depletion . . .				
	<b>20</b> Occupancy . . . . .				
	<b>21</b> Travel, conferences, and meetings . . . . .				
	<b>22</b> Printing and publications . . . . .				
	<b>23</b> Other expenses (attach schedule) . . . . .	1,416	708		708
	<b>24 Total operating and administrative expenses.</b> Add lines 13 through 23 . . . . .	11,059	9,482		708
	<b>25</b> Contributions, gifts, grants paid . . . . .	60,000			60,000
	<b>26 Total expenses and disbursements.</b> Add lines 24 and 25	71,059	9,482		60,708
	<b>27</b> Subtract line 26 from line 12				
	<b>a Excess of revenue over expenses and disbursements</b>	390,482			
	<b>b Net investment income</b> (if negative, enter -0-)		101,625		
	<b>c Adjusted net income</b> (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing . . . . .	13,108	128	128
	2	Savings and temporary cash investments . . . . .		356,669	356,669
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions) . . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule) . . . . .	1,676,984	1,491,390	1,491,390
	c	Investments—corporate bonds (attach schedule). . . . .		346,625	346,625
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans . . . . .			
	13	Investments—other (attach schedule) . . . . .			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	1,690,092	2,194,812	2,194,812	
Liabilities	17	Accounts payable and accrued expenses . . . . .			
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22) . . . . .		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted . . . . .			
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds . . . . .			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	1,690,092	2,194,812	
	30	Total net assets or fund balances (see page 17 of the instructions) . . . . .	1,690,092	2,194,812	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions) . . . . .	1,690,092	2,194,812	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return) . . . . .	1	1,690,092
2	Enter amount from Part I, line 27a . . . . .	2	390,482
3	Other increases not included in line 2 (itemize) ▶ _____	3	114,238
4	Add lines 1, 2, and 3 . . . . .	4	2,194,812
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	6	2,194,812

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1 a	GPTC #0892 -ST SEE STMT A	P		
b	GPTC #0892 -LT SEE STMT A	P		
c	CAPITAL GAIN DIVIDENDS	P		
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 61,964		58,545	3,419
b 399,209		369,350	29,859
c			225
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			3,419
b			29,859
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	33,503
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 . . . . .		3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No  
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	54,701	1,606,494	0 03405
2010	71,780	1,484,898	0 04834
2009	56,440	1,425,566	0 039591
2008	0	0	0 0
2007			

2 Total of line 1, column (d). . . . .	2	0 121981
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . .	3	0 030495
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5. . . . .	4	1,805,514
5 Multiply line 4 by line 3. . . . .	5	55,059
6 Enter 1% of net investment income (1% of Part I, line 27b). . . . .	6	1,016
7 Add lines 5 and 6. . . . .	7	56,075
8 Enter qualifying distributions from Part XII, line 4. . . . .	8	60,708

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See  
the Part VI instructions

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1			
		Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . .		1	1,016
c		All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3		Add lines 1 and 2. . . . .		3	1,016
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	
5		Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0- . . . . .		5	1,016
6		Credits/Payments			
a	2012 estimated tax payments and 2011 overpayment credited to 2012	6a	800		
b	Exempt foreign organizations—tax withheld at source . . . . .	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld . . . . .	6d			
7		Total credits and payments Add lines 6a through 6d. . . . .		7	800
8		Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached		8	
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .		9	216
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid. . . . .		10	
11		Enter the amount of line 10 to be Credited to 2013 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>		11	

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? <input checked="" type="checkbox"/> . . . . .		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? . . . . .			No
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file Form 1120-POL for this year?. . . . .	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . .	2		No
	If "Yes," attach a detailed description of the activities.			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes <input checked="" type="checkbox"/> . . . . .	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?. . . . .	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?. . . . .	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . .	5		No
	If "Yes," attach the statement required by General Instruction T. <input checked="" type="checkbox"/>			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either <ul style="list-style-type: none"><li>By language in the governing instrument, or</li><li>By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .</li></ul>	6		No
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> KS _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV . . . . .	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	Yes	

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address				
14	The books are in care of JOEL CERWICK Telephone no (913) 648-6440 Located at 9101 GRANDVIEW DRIVE OVERLAND PARK KS ZIP +4 66215			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.		1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?		1c	No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? If "Yes," list the years 20 , 20 , 20 , 20	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions ).		2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20 , 20 , 20 , 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.).		3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a	No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?		4b	No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

Yes

No

(2)

Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

Yes

No

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

Yes

No

(4)

Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1 ), (2), or (3), or section 4940(d)(2)? (see instructions).

Yes

No

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

Yes

No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here.

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

Yes

No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

Yes

No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

Yes

No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances

Total number of other employees paid over \$50,000.

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Total number of others receiving over \$50,000 for professional services. . . . .		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3. . . . .	



**Part X**

**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
<b>a</b>	Average monthly fair market value of securities. . . . .	<b>1a</b>	1,826,391
<b>b</b>	Average of monthly cash balances. . . . .	<b>1b</b>	6,618
<b>c</b>	Fair market value of all other assets (see instructions). . . . .	<b>1c</b>	0
<b>d</b>	<b>Total</b> (add lines 1a, b, and c). . . . .	<b>1d</b>	1,833,009
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets. . . . .	<b>2</b>	0
<b>3</b>	Subtract line 2 from line 1d. . . . .	<b>3</b>	1,833,009
<b>4</b>	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	<b>4</b>	27,495
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4	<b>5</b>	1,805,514
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5. . . . .	<b>6</b>	90,276

**Part XI**

**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6. . . . .	<b>1</b>	90,276
<b>2a</b>	Tax on investment income for 2012 from Part VI, line 5. . . . .	<b>2a</b>	1,016
<b>b</b>	Income tax for 2012 (This does not include the tax from Part VI ). . . . .	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b. . . . .	<b>2c</b>	1,016
<b>3</b>	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	<b>3</b>	89,260
<b>4</b>	Recoveries of amounts treated as qualifying distributions. . . . .	<b>4</b>	
<b>5</b>	Add lines 3 and 4. . . . .	<b>5</b>	89,260
<b>6</b>	Deduction from distributable amount (see instructions). . . . .	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	<b>7</b>	89,260

**Part XII**

**Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
<b>a</b>	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	<b>1a</b>	60,708
<b>b</b>	Program-related investments—total from Part IX-B. . . . .	<b>1b</b>	0
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	<b>2</b>	0
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required). . . . .	<b>3a</b>	0
<b>b</b>	Cash distribution test (attach the required schedule). . . . .	<b>3b</b>	0
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	60,708
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . .	<b>5</b>	1,016
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4. . . . .	<b>6</b>	59,692
<b>Note:</b> The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				89,260
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only. . . . .			27,169	
b Total for prior years 2010 , 2009 , 2008				
3 Excess distributions carryover, if any, to 2012				
a From 2007. . . . .				
b From 2008. . . . .				
c From 2009. . . . .				
d From 2010. . . . .				
e From 2011. . . . .				
f Total of lines 3a through e. . . . .				
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ 60,708				
a Applied to 2011, but not more than line 2a			27,169	
b Applied to undistributed income of prior years (Election required—see instructions). . . . .				
c Treated as distributions out of corpus (Election required—see instructions). . . . .				
d Applied to 2012 distributable amount. . . . .				33,539
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b. . . . .				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
d Subtract line 6c from line 6b Taxable amount—see instructions . . . . .				
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .				
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013 . . . . .				55,721
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions). . . . .				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions). . .				
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a . . . . .	0			
10 Analysis of line 9				
a Excess from 2008. . . .				
b Excess from 2009. . . .				
c Excess from 2010. . . .				
d Excess from 2011. . . .				
e Excess from 2012. . . . 0				

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2012	(b) 2011	(c) 2010	(d) 2009	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

NONE

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total . . . . .			3a	60,000
b Approved for future payment				
Total . . . . .			3b	

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions )
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
<b>1</b> Program service revenue					
<b>a</b> _____					
<b>b</b> _____					
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>f</b> _____					
<b>g</b> Fees and contracts from government agencies					
<b>2</b> Membership dues and assessments. . . .					
<b>3</b> Interest on savings and temporary cash investments					
<b>4</b> Dividends and interest from securities. . . .			14	77,604	
<b>5</b> Net rental income or (loss) from real estate					
<b>a</b> Debt-financed property. . . . .					
<b>b</b> Not debt-financed property. . . . .					
<b>6</b> Net rental income or (loss) from personal property					
<b>7</b> Other investment income. . . . .					
<b>8</b> Gain or (loss) from sales of assets other than inventory			18	33,503	
<b>9</b> Net income or (loss) from special events					
<b>10</b> Gross profit or (loss) from sales of inventory. .					
<b>11</b> Other revenue <b>a</b> _____					
<b>b</b> _____					
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>12</b> Subtotal Add columns (b), (d), and (e). .				111,107	
<b>13 Total.</b> Add line 12, columns (b), (d), and (e). . . . .			<b>13</b>		111,107

[illegible]

<b>1</b> Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		<b>Yes</b>	<b>No</b>
<b>a</b> Transfers from the reporting foundation to a noncharitable exempt organization of			
<b>(1)</b> Cash. . . . .	<b>1a(1)</b>		<b>No</b>
<b>(2)</b> Other assets. . . . .	<b>1a(2)</b>		<b>No</b>
<b>b</b> Other transactions			
<b>(1)</b> Sales of assets to a noncharitable exempt organization. . . . .	<b>1b(1)</b>		<b>No</b>
<b>(2)</b> Purchases of assets from a noncharitable exempt organization. . . . .	<b>1b(2)</b>		<b>No</b>
<b>(3)</b> Rental of facilities, equipment, or other assets. . . . .	<b>1b(3)</b>		<b>No</b>
<b>(4)</b> Reimbursement arrangements. . . . .	<b>1b(4)</b>		<b>No</b>
<b>(5)</b> Loans or loan guarantees. . . . .	<b>1b(5)</b>		<b>No</b>
<b>(6)</b> Performance of services or membership or fundraising solicitations. . . . .	<b>1b(6)</b>		<b>No</b>
<b>c</b> Sharing of facilities, equipment, mailing lists, other assets, or paid employees. . . . .	<b>1c</b>		<b>No</b>
<b>d</b> If the answer to any of the above is "Yes," complete the following schedule. Column <b>(b)</b> should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column <b>(d)</b> the value of the goods, other assets, or services received.			

[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . . ☐ Yes ☒ No

**b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign  
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

\* \* \* \* \*

2013-04-29

\* \* \* \* \*

Signature of officer or trustee

Date

Title

May the IRS discuss this return with the preparer shown below (see instr )? ☒ **Yes** ☐ **No**

**Paid  
Preparer  
Use Only**

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed <input checked="checked" type="checkbox"/>	PTIN
Stephanie M Kirk	Stephanie M Kirk			
Firm's name	JMW & ASSOCIATES LLC		Firm's EIN	
	6400 Glenwood Suite 100			
Firm's address	Overland Park, KS 66202		Phone no (913) 499-4920	

Schedule B  
(Form 990, 990-EZ, or 990-PF)  
Department of the Treasury  
Internal Revenue Service

Schedule of Contributors  
  
▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047  
  
2012

Name of the organization Cerwick Family Foundation	Employer identification number 26-3879502
---	--

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	<input type="checkbox"/> 501(c)( ) (enter number) organization
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust <b>not</b> treated as a private foundation
	<input type="checkbox"/> 527 political organization
Form 990-PF	<input checked="" type="checkbox"/> 501(c)(3) exempt private foundation
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation
	<input type="checkbox"/> 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.  
**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33<sup>1</sup>/<sub>3</sub>% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. . . . . ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer “No” on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

[illegible]



[illegible]

<b>Name of organization</b> Cerwick Family Foundation	<b>Employer identification number</b> 26-3879502
--	---

Part III

**Exclusively** religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **\$1,000 or less** for the year (Enter this information once See instructions ) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div>	<div></div>	<div></div>
	<div>(e) Transfer of gift</div>		
	<div>Transferee's name, address, and ZIP 4Relationship of transferor to transferee</div>		

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div>	<div></div>	<div></div>
	<div>(e) Transfer of gift</div>		
	<div>Transferee's name, address, and ZIP 4Relationship of transferor to transferee</div>		

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div>	<div></div>	<div></div>
	<div>(e) Transfer of gift</div>		
	<div>Transferee's name, address, and ZIP 4Relationship of transferor to transferee</div>		

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div>	<div></div>	<div></div>
	<div>(e) Transfer of gift</div>		
	<div>Transferee's name, address, and ZIP 4Relationship of transferor to transferee</div>		

**Note:** To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

**TY 2012 Depreciation Schedule**

**Name:** Cerwick Family Foundation

**EIN:** 26-3879502

**TY 2012 Investments Corporate  
Bonds Schedule**

**Name:** Cerwick Family Foundation  
**EIN:** 26-3879502

Name of Bond	End of Year Book Value	End of Year Fair Market Value
KORNITZER CAPITAL-SEE ATTACH B	346,625	346,625

# TY 2012 Investments Corporate Stock Schedule

**Name:** Cerwick Family Foundation

**EIN:** 26-3879502

Name of Stock	End of Year Book Value	End of Year Fair Market Value
KORNITZER CAPITAL-SEE ATTACH B	1,491,390	1,491,390

**TY 2012 Land, Etc. Schedule****Name:** Cerwick Family Foundation**EIN:** 26-3879502

## TY 2012 Other Expenses Schedule

**Name:** Cerwick Family Foundation

**EIN:** 26-3879502

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK FEES	1,416	708		708

## TY 2012 Other Increases Schedule

**Name:** Cerwick Family Foundation

**EIN:** 26-3879502

Description	Amount
BOOK/FMV ADJUSTMENT	114,238



TY 2012 Other Professional Fees Schedule

**Name:** Cerwick Family Foundation

**EIN:** 26-3879502

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MANAGEMENT FEES	8,774	8,774		

**TY 2012 Taxes Schedule****Name:** Cerwick Family Foundation**EIN:** 26-3879502

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
2011 TAX DUE	69			
2012 EST PAYMENTS	800			

October 01, 2012 To December 31, 2012

Account Name JC TTEE Gerwick Family Foundation

Account No : 18-00892

## Portfolio Summary

December 31, 2012	Portfolio %	Cost Basis	Market Value	Estimated Ann Inc	Current Yield
Equities	67.95%	853,120.34	1,451,269.57	22,316.10	1.97%
Fixed Income	15.15%	312,244.75	346,624.71	1,735.00	.49%
Other Investments	15.25%	356,669.21	386,600.00	35.73	.101%
<b>Total Portfolio</b>	<b>100.00 %</b>	<b>1,552,053.34</b>	<b>2,194,653.65</b>	<b>55,296.83</b>	<b>2.52%</b>
Net Cash			128.00		
<b>Total Market Value</b>			<b>2,194,811.65</b>		

*Portfolio Components May Not Equal 100% Due To Rounding*

October 01, 2012 To December 31, 2012

Account Name: JC TTEE Cerwick Family Foundation

Account No: 18-00852

## Summary Of Investment Holdings

Shares or Par Value	Investment Category	Avg Unit Cost	Cost Basis	Unit Price	Market Value	Estimated Current Ann Inc	Yield
<b>Common Stock</b>							
8,000	Applied Laboratories	45.39	363,080.00	69.80	553,600.00	240.00	0.50%
1,000	ASR 100, Ltd	17.84	17,840.00	20.10	20,100.00	100.00	1.33%
100	ASR Pharma Ltd P.O.	49.82	4,982.00	55.64	5,564.00	100.00	1.77%
500	ASR Royal Dutch Shell P.O. A Shares	4.10	20,500.00	68.95	34,475.00	140.00	0.41%
500	Aterate Corp	28.19	14,095.00	45.11	22,555.00	100.00	0.75%
100	Apacole Corp	9.32	9,320.00	18.50	1,850.00	50.00	0.07%
200	Asker Myanmar Ltd	36.39	7,278.00	40.64	8,128.00	120.00	1.47%
200	Baring Co	55.16	11,032.00	75.06	15,012.00	380.00	0.74%
500	Chengmin Corp	58.95	29,475.00	70.74	35,370.00	1,600.00	3.35%
500	Chong Corp	46.10	23,050.00	75.52	37,760.00	520.00	2.18%
500	Chongmin Financial Corp	21.56	10,780.00	35.14	17,600.00	50.00	0.16%
1,000	China Sun Co	24.02	24,020.00	35.20	35,200.00	1,000.00	0.03%
500	Chongmin Corp	23.15	11,575.00	17.69	8,835.00	1,000.00	4.04%
500	China White Corp	32.12	16,060.00	32.73	16,365.00	200.00	1.17%
400	China Production Co	25.14	10,056.00	37.10	14,836.00	500.00	0.10%
500	China Port Financial Corp	28.70	14,350.00	44.40	22,200.00	500.00	0.02%
500	China Port Financial Corp	33.05	16,525.00	49.30	24,790.00	100.00	0.07%
500	China Mopli Corp	36.11	18,055.00	46.55	23,275.00	1,100.00	2.60%
500	China Chemical Company	16.30	8,150.00	20.17	10,085.00	400.00	3.60%
500	China Sun Corp	33.10	16,550.00	42.41	21,205.00	1,100.00	0.34%
500	China Corp	10.33	5,165.00	52.74	26,488.00	100.00	0.13%
1,500	China Corp	15.10	22,650.00	17.64	26,460.00	1,000.00	4.35%
200	China & Johnson	63.13	12,626.00	70.10	14,020.00	400.00	3.45%
100	China Corp	47.87	4,787.00	55.80	5,580.00	200.00	0.15%
500	China Corp	50.00	25,000.00	64.40	32,200.00	1,000.00	1.11%
2,542	China Corp	6.37	16,178.94	10.40	26,436.94	500.00	0.07%
500	China Corp	7.14	3,570.00	30.66	15,330.00	340.00	2.21%
500	China Corp	12.44	6,220.00	30.27	15,135.00	500.00	1.10%

Port Summary Holdings: 4,000,000

Page 4

October 01, 2012 To December 31, 2012

Account Name : JC FTEE Gerwick Family Foundation

Account No. : 18-00892

## Summary Of Investment Holdings

Shares or Par Value	Investment Category	Avg Unit Cost	Cost Basis	Unit Price	Market Value	Estimated Current Ann. Inc.	Yield
1,000	Marathon Corp.	29.96	29,964.00	29.71	29,709.70	921.30	3.44%
200	ChampionX Corp.	47.56	9,512.00	54.91	10,982.00	200.00	1.82%
100	Newmont Mining Corp.	51.20	5,120.00	46.44	4,644.00	380.00	8.17%
500	Energy East Inc.	40.51	20,255.00	38.43	19,215.00	1,175.00	6.14%
500	Pfizer Inc.	17.15	8,575.00	25.08	12,540.00	480.00	3.83%
250	Chubb Corp.	38.28	9,570.00	53.10	13,275.00	250.00	1.89%
500	Pharmacia Corp.	24.12	12,060.00	10.94	5,460.00	750.00	14.10%
500	Procter & Gamble Co.	26.57	13,285.00	47.84	23,840.00	124.00	0.51%
200	Republic Services	25.62	5,124.00	25.78	5,156.00	188.00	3.67%
500	Exxon Corp.	28.44	14,220.00	31.66	15,830.00	580.00	3.64%
200	Industrie Inc.	40.15	8,030.00	41.62	8,324.00	200.00	2.37%
500	Warner Bros. Inc.	50.10	25,050.00	63.23	31,615.00	1,170.00	3.68%
300	Waste Mgmt. Inc.	31.15	9,345.00	32.14	9,642.00	426.00	4.44%
<b>Totals</b>			<b>861,702.98</b>		<b>1,468,346.52</b>	<b>28,276.25</b>	<b>1.92%</b>

### Buffalo Fund - Equity

1,127.97	Buffalo Energy Fund	9.90	11,164.70	7.44	8,371.90	7.74	0.08%
548.41	Buffalo International Fund	5.24	2,873.90	5.81	3,187.90	22.17	0.41%
736.762	Buffalo Micro Cap Fund	8.90	6,558.00	2.16	1,591.90	7.00	0.44%
<b>Totals</b>			<b>20,596.60</b>		<b>13,151.70</b>	<b>36.91</b>	<b>0.41%</b>

### Corporate Bonds

15,000	Alphaville Natural Resources	4.750%	04/15/2014	96.08	14,412.00	102.00	7.00%
30,000	Cheniere Energy Partners LP	6.750%	11/15/2014	98.08	29,876.00	100.00	3.33%
15,000	Consolidated Phenix	4.000%	12/15/2014	100.00	15,000.00	100.00	6.67%
15,000	Arvin Inc. Notes	5.500%	03/01/2017	95.75	14,362.50	100.00	6.54%
4,000	Concordia R.R. & Sons	6.500%	05/15/2015	93.00	3,720.00	110.00	2.95%
15,000	Largent Corp.	6.000%	05/01/2015	102.25	15,337.50	100.00	6.67%
30,000	United States Steel Corp.	5.250%	11/01/2016	100.00	30,000.00	100.00	3.33%
30,000	Swift Energy Company	7.125%	06/01/2017	75.00	22,500.00	100.00	4.44%

Port Summary Holdings : 46,000.00

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October 01, 2012 To December 31, 2012

Account Name : JC TTEE Cerwick Family Foundation

Account No 18-00882

## Summary Of Investment Holdings

Shares or Par Value	Investment Category		Avg Unit Cost	Cost Basis	Unit Price	Market Value	Estimated Current Ann. Inc.	Yield
15,000	Omnia Healthcare	8.625%	11/01/2017	112.00	108.80	1,632,000	1,275.75	7.92%
10,000	Triumph Group Inc	5.700%	11/15/2017	96.75	109.00	1,090,000	607.50	5.57%
10,000	United Therapeutic Services	11.500%	02/05/2016	89.25	108.50	1,085,000	1,575.00	14.38%
15,000	Sonic Automotive	7.000%	09/15/2018	131.85	137.500	2,062,500	1,750.00	8.50%
1,000	Prestige Brands	5.250%	04/01/2018	102.75	104.250	104,250	1,247.50	12.00%
10,000	Affiliated Inc	8.750%	04/12/2018	92.50	94.500	945,000	815.00	8.60%
10,000	Warner Bros. Co	7.750%	06/15/2019	91.51	97.375	973,750	775.00	7.96%
9,000	HealthSouth Corp	7.250%	10/01/2010	96.82	97.375	876,375	682.50	7.80%
6,000	Clarks Inc	6.250%	11/01/2018	52.00	49.000	294,000	312.75	6.00%
10,000	Global Ferry Corp	7.125%	11/01/2018	85.00	103.20	1,032,000	1,525.00	14.56%
5,000	Amale Inc	8.000%	05/01/2019	101.00	100.500	502,500	507.50	5.00%
10,000	Am. Bank Corp	7.375%	05/01/2023	97.25	102.75	1,027,500	1,412.50	13.70%
<b>Totals</b>				<b>252,729.75</b>		<b>22,928.75</b>	<b>24,527.50</b>	<b>7.57%</b>
<b>Investment Grade Corp Bonds</b>								
10,000	Kia-Teneco Corp S.A.	6.400%	05/11/2019	95.25	9.52500	12,125	1,012.50	8.34%
10,000	Suacor Holdings Inc	7.000%	10/01/2019	110.00	10.00000	1,000.00	797.50	6.94%
<b>Totals</b>					<b>19,525.00</b>		<b>1,810.00</b>	<b>6.31%</b>
<b>Money Market Funds</b>								
356,669.25	Northern Trust Bond Mkt Fd	0.000%		100.00	356,669.25	100.00	35.67	0.01%
<b>Totals</b>					<b>356,669.25</b>		<b>35.67</b>	<b>0.01%</b>
<b>Total Investments</b>					<b>1,652,053.34</b>		<b>2,194,683.85</b>	<b>2.52%</b>
<b>Plus Net Cash</b>							<b>125.00</b>	
<b>Total Market Value</b>							<b>2,194,808.85</b>	

01/29/2013

RECIPIENT

Joel Cerwick TTEE Cerwick Family Foundat  
9101 Grandview  
Overland Park KS 66212

PAYER

Great Plains Trust Company  
7700 Shawnee Mission Parkway Suite 101  
Overland Park KS 66202  
913-831-7999

RECIPIENT'S FEDERAL ID

26-3879502

PAYER'S FEDERAL ID

43-1774359

Account Number

18-00892

JC TTEE Cerwick Family Foundation

PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

2012 Form 1099 - B

OMB No 1545-0715

Reported To IRS Gross Proceeds Less Commissions And Option Premiums

CUSIP/ISSUER	Description (Box 8)	Date of Sale (Box 1a)	Date of Acquisition (Box 1b)	Quantity Sold (Box 2)	Stock/Option Proceeds (Box 2e)	Cost or Other Basis (Box 3)	FEDERAL INCOME TAX (Box 4)	Wash Sale Loss (Box 5)	Recipient cannot take a Loss based on their Amount (Box 2a Y/N, Box 2b)
257957AK2	Domestic, REP & Subs	03/08/2012	06/13/2011	1000000000	103500	33000	000	000	N
257957AK3	Domestic, REP & Subs	03/08/2012	06/13/2011	1000000000	11500	40000	000	000	N
456611AK1	Domestic, LP	05/24/2012	06/01/2011	1000000000	512500	16250	000	000	N
456611AK2	Domestic, LP	05/24/2012	06/02/2011	5000000000	512500	13750	000	000	N
456611AK3	Domestic, LP	05/28/2012	06/29/2011	5000000000	512500	13750	000	000	N
456611AK4	Domestic, LP	05/28/2012	06/27/2011	5000000000	512500	13750	000	000	N
456611AK5	Domestic, REP & Subs	07/18/2012	09/03/2011	1000000000	510000	11250	000	000	N
456611AK6	Domestic, REP & Subs	07/18/2012	09/03/2011	1000000000	509420	13850	000	000	N
456611AK7	Domestic, LP	08/03/2012	03/12/2012	1000000000	501675	4590	000	000	N
456611AK8	Domestic, LP	08/03/2012	05/11/2012	1000000000	501675	4590	000	000	N
456611AK9	Domestic, REP & Subs	10/14/2012	02/23/2012	1000000000	503750	4890	000	000	N

Non-Covered (Box 6a checked - Basis not reported to IRS)

Short Term Sales (Box 1c checked as ST)

CUSIP Symbol	Description (Box 1)	Date of Sale (Box 1a)	Date of Acquisition (Box 1b)	Quantity Sold (Box 1c)	Stock Purchase Price (Box 2a)	Cost or Other Basis (Box 3)	FIT-OPRA, Net Long-Term Capital Gain or Loss (Box 4)	Wash Sale Loss Disallowed (Box 5)	Requisite Information (Box 2b)
382412A15	Goldfield Petroleum Corp	01/01/2012	02/29/2012	1000000000	5.03750	4.99000	0.00	0.00	No
382412A15	Goldfield Petroleum Corp	01/01/2012	02/29/2012	1000000000	5.01250	4.90000	0.00	0.00	No

**Total Short Term Sales Reported on 1099-B**  
**Report on Form 8949, Part I, with Box B checked**  
**Long Term Sales (Box 1c checked as LT)**

81919A19	State of Kansas	01/01/2012	01/01/2012	1000000000	10.45500	9.90000	0.00	0.00	No
030801030Y7R	Goldfield Petroleum Corp	01/01/2012	06/06/2012	1000000000	9.00000	8.52870	0.00	0.00	No
032101000LLY	El Dorado	06/06/2012	04/04/2012	400000000	15.81040	13.22000	0.00	0.00	No
485188A11	Kansas City Southern	02/01/2012	04/22/2012	500000000	15.38940	15.07500	0.00	0.00	No
485188A11	Kansas City Southern	02/01/2012	05/01/2012	1000000000	5.20980	5.12500	0.00	0.00	No
432029A15	Goldman Sachs	03/01/2012	01/01/2012	1000000000	11.92500	11.92500	0.00	0.00	No
125430A11	Comcast Health System	03/01/2012	06/01/2012	2000000000	2.04000	2.00000	0.00	0.00	No
125411A11	Comcast Health System	03/01/2012	06/01/2012	5000000000	5.73750	5.75000	0.00	0.00	No
440543A12	Hornbeck Offshore Services	03/01/2012	11/19/2009	5000000000	4.87500	4.87500	0.00	0.00	No
440543A12	Hornbeck Offshore Services	03/01/2012	05/21/2009	10000000000	9.35000	9.35000	0.00	0.00	No
73456A14	Pinnacle Entertainment	03/20/2012	03/15/2012	5000000000	5.18750	5.35000	0.00	0.00	No
73456A14	Rockwell Special	03/20/2012	11/24/2003	10000000000	10.12500	10.00000	0.00	0.00	No
73456A14	Rockwell Special	03/20/2012	05/08/2012	5000000000	5.12500	5.07500	0.00	0.00	No
75281A12WFC	Meredith Petroleum Corp	04/03/2012	02/05/2012	1500000000	6.30450	332.78	0.00	0.00	No
55555A12MPC	Meredith Petroleum Corp	04/03/2012	2/11/2012	1000000000	4.26096	296.04	0.00	0.00	No
73456A14	Meredith Petroleum	04/03/2012	01/01/2012	15000000000	11.12500	11.43750	0.00	0.00	No
73456A14	Meredith Petroleum	04/03/2012	01/01/2012	15000000000	11.51250	11.51250	0.00	0.00	No
77722XAF2	James Callaghan Group	05/01/2012	11/11/2012	5000000000	4.86250	4.86250	0.00	0.00	No
77722XAF2	James Callaghan Group	05/01/2012	01/01/2012	10000000000	9.72500	9.72500	0.00	0.00	No
77722XAF2	Comcast Health System	07/19/2012	01/01/2012	3000000000	3.07500	3.00000	0.00	0.00	No
77722XAF2	Comcast Health System	07/19/2012	01/01/2012	5000000000	4.87500	4.87500	0.00	0.00	No
77722XAF2	Comcast Health System	07/19/2012	01/01/2012	5000000000	1.12500	1.12500	0.00	0.00	No
77722XAF2	Comcast Health System	07/19/2012	01/01/2012	5000000000	5.01600	5.00000	0.00	0.00	No
77722XAF2	Comcast Health System	07/19/2012	01/01/2012	5000000000	5.01600	4.97500	0.00	0.00	No
77722XAF2	Comcast Health System	07/19/2012	01/01/2012	5000000000	10.80000	10.80000	0.00	0.00	No





CU-SIP Symbol	Description (Box B)	Date of Sale (Box 1a)	Acquisition Date (Box 1b)	Quantity Sold (Box 1c)	Stock/Bond Proceeds (Box 2a)	Cost or Other Basis (Box 3)	FEDERAL INCOME TAX WITHHELD (Box 4)	Wash Sale Loss Disallowed (Box 5)	Recipient's Adjusted Basis (Box 2b)
5WB960615	Tecumseh Inc Call Option \$	04/27/2012	04/27/2012	2,000,000	0.00	-110.97	0.00	0.00	No
3L9341552	Acumet Mining Corp Call Option \$	04/27/2012	03/22/2012	2,000,000	0.00	-84.97	0.00	0.00	No
15K900257	Baker Hughes Int'l Call Option \$	04/27/2012	03/29/2012	2,000,000	0.00	20.57	0.00	0.00	No
4BY6641507	3P Anso PLC Call Option \$	04/27/2012	03/22/2012	2,000,000	0.00	-88.97	0.00	0.00	No
8YH492571	Dev Chemical Call Option \$	04/27/2012	04/25/2012	000,000	5.00	-341.99	0.00	0.00	No
881032507	Dow Chemical Call Option \$	04/27/2012	04/24/2012	3,000,000	24.05	980.97	0.00	0.00	No
<b>Total Short Term Sales Reported on 1099-B</b>				<b>12,000,000</b>	<b>-32.07</b>	<b>-2,034.80</b>	<b>0.00</b>	<b>0.00</b>	

Report on Form 8949, Part I, with Box A checked

### Summary of Form 1099-B

1e	Quantity Sold	428,662.00
2a	Stock/Bond Proceeds	\$ 461,173.48
3	Cost or Other Basis	\$ 427,895.08
4	FEDERAL INCOME TAX WITHHELD	\$ 0.00
5	Wash Sale Loss Disallowed	\$ 0.00
7	Bartering	\$ 0.00
9	Profit (Loss) This Calendar Year	\$ 0.00
10	Unrealized Profit (Loss) Last Year	\$ 0.00
11	Unrealized Profit (Loss) This Year	\$ 0.00
12	Aggregate Profit (Loss)	\$ 0.00
15	STATE TAX WITHHELD	\$ 0.00

2nd TIN Notice No

THIS IS IMPORTANT TAX INFORMATION AND IS BEING FURNISHED TO THE INTERNAL REVENUE SERVICE. IF YOU ARE REQUIRED TO FILE A RETURN, A NEGLIGENCE PENALTY OR OTHER SANCTION MAY BE IMPOSED ON YOU IF THIS INCOME IS TAXABLE AND THE IRS DETERMINES THAT IT HAS NOT BEEN REPORTED.

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JOEL CERWICK	TRUSTEE 2 0	0	0	0
9101 GRANDVIEW DRIVE OVERLAND PARK,KS 66212				
JUDITH CERWICK	TRUSTEE 2 0	0	0	0
9101 GRANDVIEW DRIVE OVERLAND PARK,KS 66212				
BRIGETTE CERWICK	TRUSTEE 1 0	0	0	0
9101 GRANDVIEW DRIVE OVERLAND PARK,KS 66212				
ALAN CERWICK	TRUSTEE 1 0	0	0	0
9101 GRANDVIEW DRIVE OVERLAND PARK,KS 66212				
JAMES COOPER	TAX AGENT 1 0	0	0	0
9101 Grandview Drive Overland Park,KS 66215				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
UNITED WAY OF GREATER KANSAS CITY 1080 WASHINGTON KANSAS CITY,MO 64105	NONE	501(C)(3)	CIVIC	25,000
BETHANY LUTHERAN CHURCH 9101 LAMAR AVENUE OVERLAND PARK,KS 66207	NONE	501(C)(3)	RELIGIOUS	25,000
UNITED WAY OF METROPOLITAN DALLAS 1800 N LAMAR DALLAS,TX 75202	NONE	501(C)(3)	CIVIC	2,500
MILITARY WARRIORS SUPPORT FOUNDATION 2511 N Loop 1604 W Suite 201 SAN ANTONIO,TX 78258	NONE	501(C)(3)	CIVIC	5,000
MARCH OF DIMES 4400 College Blvd Ste 180 Overland Park,KS 66211	NONE	501(C)(3)	CIVIC	2,500
<b>Total . . . . .</b>			<b>3a</b>	60,000