efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491127000193

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012, or tax year beginning 01-01-2012 , and ending 12-31-2012

Name of foundation
THE MCSWEENEY FOUNDATION INC
C0 EDGAR S K MERRELL 3RD

A Employer identification number
26-3313677

Number and street (or P O box number if mail is not delivered to street address) Room/suite
7624 NORTH STATE STREET

B Telephone number (see instructions)
(315) 724-2145

City or town, state, and ZIP code
LOWVILLE, NY 13367

C If exemption application is pending, check here ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 1,124,128

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify) _
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	920,938			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	2,051	2,051	2,051	
	4	Dividends and interest from securities	7,605	7,605	7,605	
	5a	Gross rents				
	b	Net rental income or (loss) ____				
	6a	Net gain or (loss) from sale of assets not on line 10	1,319			
	b	Gross sales price for all assets on line 6a 123,024				
	7	Capital gain net income (from Part IV, line 2)		1,319		
	8	Net short-term capital gain			561	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	931,913	10,975	10,217	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	1,195	1,195	1,195	
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	197	97	97	
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	611	611	611	
	24	**Total operating and administrative expenses.** Add lines 13 through 23	2,003	1,903	1,903	0
	25	Contributions, gifts, grants paid	33,500			33,500
	26	**Total expenses and disbursements.** Add lines 24 and 25	35,503	1,903	1,903	33,500
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	896,410			
	b	**Net investment income** (if negative, enter -0-)		9,072		
	c	**Adjusted net income** (if negative, enter -0-)			8,314	

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	5,203	321,020	321,020
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	31,319	357,476	389,388
	c	Investments—corporate bonds (attach schedule)	1,016	31,989	32,932
	11	Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	155,881	379,344	380,788
	14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	193,419	1,089,829	1,124,128
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)		0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	193,419	1,089,829	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see page 17 of the instructions)	193,419	1,089,829	
	31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	193,419	1,089,829	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	193,419
2	Enter amount from Part I, line 27a	2	896,410
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	1,089,829
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,089,829

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	1,319
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	561

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011			
2010			
2009			
2008			
2007			

2	**Total** of line 1, column (d)	2	
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	
5	Multiply line 4 by line 3	5	
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	
7	Add lines 5 and 6	7	
8	Enter qualifying distributions from Part XII, line 4	8	

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ____________ **(attach copy of letter if necessary–see instructions)**			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b		1	181
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3	Add lines 1 and 2		3	181
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	181
6	Credits/Payments			
a	2012 estimated tax payments and 2011 overpayment credited to 2012	6a		
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments Add lines 6a through 6d		7	
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	181
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	
11	Enter the amount of line 10 to be **Credited to 2013 estimated tax** ▶	**Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		No
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶FITZGERALDDePietro & Wojnas Telephone no ▶(315) 724-2145

Located at ▶291 GENESEE ST UTICA NY ZIP +4 ▶13501

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No

See instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ▶

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☑ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		No
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)*	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☑ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b** **No**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☑ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** **No**

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** **No**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
EDGAR S K MERRELL 3RD 7624 NORTH STATE STREET LOWVILLE, NY 13367	Secretary 0 25	0		
W PETER NORTZ 7455 SOUTH STATE STREET LOWVILLE, NY 13367	President 0 25	0		
L MICHAEL FITZGERALD 291 GENESEE STREET UTICA, NY 13501	Treasurer 0 25	0		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services. . . . . ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See page 24 of the instructions 3	
Total. Add lines 1 through 3. . . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	452,390
b	Average of monthly cash balances	1b	308,310
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	760,700
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	760,700
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	11,411
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	749,289
6	**Minimum investment return.** Enter 5% of line 5	6	37,464

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	37,464
2a	Tax on investment income for 2012 from Part VI, line 5 — 2a: 181		
b	Income tax for 2012 (This does not include the tax from Part VI) — 2b:		
c	Add lines 2a and 2b	2c	181
3	Distributable amount before adjustments Subtract line 2c from line 1	3	37,283
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	37,283
6	Deduction from distributable amount (see instructions)	6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	37,283

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	33,500
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	33,500
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	33,500

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7					37,283
2 Undistributed income, if any, as of the end of 2012					
a Enter amount for 2011 only.					
b Total for prior years 20___, 20___, 20___					
3 Excess distributions carryover, if any, to 2012					
a From 2007.					
b From 2008.					
c From 2009.					
d From 2010.					
e From 2011.	4,222				
f Total of lines 3a through e.		4,222			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ $ 33,500					
a Applied to 2011, but not more than line 2a					
b Applied to undistributed income of prior years (Election required—see instructions).					
c Treated as distributions out of corpus (Election required—see instructions).		0			
d Applied to 2012 distributable amount.					33,500
e Remaining amount distributed out of corpus					
5 Excess distributions carryover applied to 2012 *(If an amount appears in column (d), the same amount must be shown in column (a).)*		3,783			3,783
6 Enter the net total of each column as indicated below:					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		439			
b Prior years' undistributed income Subtract line 4b from line 2b					
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.					
d Subtract line 6c from line 6b Taxable amount —see instructions					
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions					
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)					
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions) . . .					
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a		439			
10 Analysis of line 9					
a Excess from 2008. . . .					
b Excess from 2009. . . .					
c Excess from 2010. . . .					
d Excess from 2011. . . .	439				
e Excess from 2012. . . .					

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2012	**(b)** 2011	**(c)** 2010	**(d)** 2009	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . .					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				33,500
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	2,051	
4 Dividends and interest from securities. . . .			14	7,605	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	1,319	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				10,975	

13 Total. Add line 12, columns (b), (d), and (e). 13 10,975

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No.	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

******	2013-05-07	******	May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No
Signature of officer or trustee	Date	Title	

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN
David Wojnas	David Wojnas			P00954033
Firm's name	FITZGERALD DEPIETRO & WOJNAS CPAs PC		Firm's EIN	
Firm's address	291 GENESEE STREET UTICA, NY 13501		Phone no (315)724-2145	

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491127000193

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

► **Attach to Form 990, 990-EZ, or 990-PF.**

OMB No 1545-0047

2012

Name of the organization	**Employer identification number**
THE MCSWEENEY FOUNDATION INC C0 EDGAR S K MERRELL 3RD	26-3313677

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor Complete Parts I and II

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not total to more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc , contributions of $5,000 or more during the year . . . . ► $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization
THE MCSWEENEY FOUNDATION INC
C0 EDGAR S K MERRELL 3RD

Employer identification number
26-3313677

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Estate of Mary McSweeney 291 Genesee St Utica, NY 13501	$ 920,938	Person ☑ Payroll ☐ Noncash ☑ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Name of organization
THE MCSWEENEY FOUNDATION INC
C0 EDGAR S K MERRELL 3RD

Employer identification number
26-3313677

Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	Marketable Securities	$ 480,684	2012-09-28
		$	
		$	
		$	
		$	
		$	

Name of organization
THE MCSWEENEY FOUNDATION INC
C0 EDGAR S K MERRELL 3RD

Employer identification number
26-3313677

Part III *Exclusively* **religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year.** Complete columns **(a)** through **(e) and** the following line entry
For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **$1,000 or less** for the year (Enter this information once See instructions) ▶ $
Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

TY 2012 Accounting Fees Schedule

Name: THE MCSWEENEY FOUNDATION INC

C0 EDGAR S K MERRELL 3RD

EIN: 26-3313677

Software ID: 12000229

Software Version: 2012v2.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Fitzgerald, DePietro & Wojnas PC	1,195	1,195	1,195	0

TY 2012 General Explanation Attachment

Name: THE MCSWEENEY FOUNDATION INC
C0 EDGAR S K MERRELL 3RD

EIN: 26-3313677

Software ID: 12000229

Software Version: 2012v2.0

Identifier	Return Reference	Explanation
		Federal StatementsThe McSw eeney Foundatıon, Inc C/O Edgar S K Merrell 3rd26-3313677FORM 990-PF, PART VII-B, LINE 1a (4)Accountıng fees of $1,195 w as paıd to Fıtzgerald, DePıetro & Wojnas C P A 's PC of w hıch Treasurer L Mıchael Fıtzgerald ıs a Trustee, see Part VII of Form 990-PF

TY 2012 Investments Corporate Bonds Schedule

Name: THE MCSWEENEY FOUNDATION INC
C0 EDGAR S K MERRELL 3RD

EIN: 26-3313677

Software ID: 12000229

Software Version: 2012v2.0

Name of Bond	End of Year Book Value	End of Year Fair Market Value
See Attached Schedule Y	31,989	32,932

TY 2012 Investments Corporate Stock Schedule

Name: THE MCSWEENEY FOUNDATION INC

C0 EDGAR S K MERRELL 3RD

EIN: 26-3313677

Software ID: 12000229

Software Version: 2012v2.0

Name of Stock	End of Year Book Value	End of Year Fair Market Value
See Attached Schedule Y	357,476	389,388

TY 2012 Investments - Other Schedule

Name: THE MCSWEENEY FOUNDATION INC
C0 EDGAR S K MERRELL 3RD
EIN: 26-3313677
Software ID: 12000229
Software Version: 2012v2.0

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
See Attached Schedule Y	AT COST	379,344	380,788

TY 2012 Other Expenses Schedule

Name: THE MCSWEENEY FOUNDATION INC
C0 EDGAR S K MERRELL 3RD

EIN: 26-3313677

Software ID: 12000229

Software Version: 2012v2.0

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Other Investment Expense	578	578	578	
Bank Service Charges	33	33	33	

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491127000193

TY 2012 Taxes Schedule

Name: THE MCSWEENEY FOUNDATION INC
C0 EDGAR S K MERRELL 3RD

EIN: 26-3313677

Software ID: 12000229

Software Version: 2012v2.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Foreign Taxes	47	47	47	
2011 NYS Filing Fee	50	50	50	
2011 Form 990	100			

The McSweeney Foundation, Inc.
Form 990 - PF
Part II Balance Sheet
Schedule Y

	Smith Barney A/C # 804-119158	
	Cost	FMV
Investments - Corporate Stocks	357,476	389,388
Investments - Other:		
Money Funds	88,275	88,275
Certificate of Deposits	150,000	149,866
Mutual Funds	141,069	142,647
Total Other	379,344	380,788
Investments - Corporate Bonds	31,989	32,932
	768,809	803,108

McSweeney Foundation
26-3313677
Schedule Y

Holdings

Active Assets Account
840-119158-213

THE MCSWEENEY FOUNDATION, INC
E MERRELL, MERRELL, & MERRELL

INTERESTED PARTY COPY

This section reflects the impact of positions purchased/sold on a trade date basis, and will include positions purchased and omit positions sold in the current month. Please see the "Unsettled Purchases/Sales Activity" section for more information.
The "Market Value" and "Unrealized Gain/(Loss)" figures shown are representative values as of the last business day of the statement period indicated, which may not reflect the value that could actually be obtained in the market. See "Pricing of Securities" in the Expanded Disclosures. Fixed Income securities are sorted by maturity or pre-refunding date, and alphabetically within date.
Estimated annual income and estimated yield for certain securities can include return of principal or capital gains which could overstate such estimates. Estimated yield and estimated annual income are estimates and the actual income or yield may be lower or higher than the estimates. Estimated yield reflects only the income generated by an investment. It does not reflect changes in its price, which may fluctuate.
Structured products appear in various statement product categories and are identified as "Structured Products" in the Security Description column. Estimated accrued interest, estimated annual income and estimated yield for structured products with a contingent income feature (such as Range Accrual Notes or Contingent Income Notes) assume specified accrual conditions are met during the relevant observation period and payment in full of all contingent interest. If you hold structured products, please see "Special Considerations Regarding Structured Products" in the Disclosures.
New Treasury regulations require that we report on Form 1099-B after the close of the tax year your adjusted cost basis and classify the gain or loss as either long-term or short-term on the sale of covered securities acquired on or after January 1, 2011. These regulations also require that we make basis adjustments due to wash sales, certain corporate actions and transfers by gift or inheritance, which will be reflected on your Form 1099-B. Cost basis is reflected on monthly statements for informational purposes only and should not be used in the preparation of your income tax returns. Please refer to the Expanded Disclosures for additional information.

CASH, DEPOSITS AND MONEY MARKET FUNDS

Cash, Deposits and Money Market Funds are generally displayed on a settlement date basis. However, Global Currency Deposits may include both settled and unsettled positions. Estimated Annual Income, Accrued Interest and APY% will only be displayed for fully settled positions. In the event, all or a portion of a Global Currency Deposit position is unsettled as of month end, this data will not be available.

Description	Value	Estimated Annual Income	7-Day Current Yield %	Annual Percentage Yield %
CASH	$41.10			
MS ACTIVE ASSETS MONEY TRUST	88,233.46	8.82	0.010	—

	Percentage of Assets %	Market Value	Estimated Annual Income Accrued Interest
CASH, DEPOSITS AND MONEY MARKET FUNDS	11.0%	$88,274.56	$8.82 $0.00

Money market funds seek to maintain a share price of $1.00, therefore the dollar amounts listed equal the number of shares. Additional information concerning these transactions is available upon request. For more information about the pricing of Money Market Funds, please see the Expanded Disclosures.
The money market funds reflected above include the balances in your automatic sweep feature, if any, and may include other money market funds that have been purchased in your account.

Holdings

McSweeney Foundation
26-3313677
Schedule Y

Active Assets Account
840-119158-213

THE MCSWEENEY FOUNDATION, INC
E MERRELL, MERRELL, & MERRELL

INTERESTED PARTY COPY

STOCKS

COMMON STOCKS

Morgan Stanley & Co. LLC (Morgan Stanley), Citi Investment Research & Analysis (CIRA), and Standard & Poor's equity research ratings may be shown for certain securities. All research ratings represent the "opinions" of the research provider and are not representations or guarantees of performance. Because the applicable research report contains more complete information regarding the analyst's opinions, analysis, and rating, you should read the entire research report and not infer its contents from the rating. Where a particular company is covered by both Morgan Stanley and CIRA, you can and should view both research reports. CIRA's equity research ratings are (1) Buy, (2) Neutral and (3) Sell. For ease of comparison, Morgan Stanley and Standard & Poor's equity research ratings have been normalized to a 1 (Buy), 2 (Hold), and 3 (Sell) Please refer to the quarter month-end statement (or your first statement, if you have not yet received a statement at the quarter-end) for a summary guide describing Morgan Stanley, CIRA and Standard & Poor's ratings. Morgan Stanley Smith Barney LLC does not take responsibility for, and does not guarantee the accuracy, completeness, or timeliness of research prepared for Standard & Poor's.

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
AQUA AMER INC (WTR)	11/19/10	1,000.000	$20.960	$20,960.00	**$25,420.00**	$4,460.00 LT 1	$700.00	2.75
Share Price: $25.420; Rating: S&P. 1; Next Dividend Payable 03/2013								
ARCHER DANIELS MIDLAND (ADM)	1/17/12	200.000	29.976	5,995.24	**5,478.00**	(517.24) ST	140.00	2.55
Share Price: $27.390; Rating: Morgan Stanley: 2, Citigroup: 2, S&P: 2; Next Dividend Payable 03/2013								
AT&T INC (T)	11/19/10	400.000	28.290	11,316.00	13,484.00	2,168.00 LT 1		
	1/17/12	200.000	31.097	6,219.38	6,742.00	522.62 ST		
	Total	600.000		17,535.38	**20,226.00**	2,168.00 LT 522.62 ST	1,080.00	5.33
Share Price: $33.710; Rating: Morgan Stanley: 1, Citigroup: 1, S&P: 2; Next Dividend Payable 02/2013								
BRISTOL MYERS SQUIBB CO (BMY)	4/23/12	100.000	35.145	3,514.46	**3,259.00**	(255.46) ST	140.00	4.29
Share Price: $32.590; Rating: Morgan Stanley: 2, Citigroup: 2, S&P: 2; Next Dividend Payable 02/2013								
CHEVRON CORP (CVX)	06/30/09	31.000	66.88	2,073.28	3,352.34	1,279.06 LT 1		
	5/3/10	13.000	82.064	1,066.83	1,405.82	338.99 LT		
	11/19/10	316.000	83.390	26,351.24	34,172.24	7,821.00 LT 1		
	Total	360.000		29,491.35	**38,930.40**	9,439.05 LT	1,296.00	3.32
Share Price: $108.140; Rating: Morgan Stanley: 1, Citigroup: 1, S&P: 1; Next Dividend Payable 03/2013								
CMNTY BK SYST INCORPORATED (CBU)	11/19/10	440.000	24.100	10,604.00	12,038.40	1,434.40 LT 1		
	8/30/12	300.000	28.872	8,661.51	8,208.00	(453.51) ST		
	Total	740.000		19,265.51	**20,246.40**	1,434.40 LT (453.51) ST	799.20	3.94
Share Price: $27.360; Next Dividend Payable 01/10/13								
CONOCOPHILLIPS (COP)	10/6/09	43.000	37.142	1,597.10	2,493.57	896.47 LT		
	8/30/12	100.000	57.927	5,792.65	5,799.00	6.35 ST		
	Total	143.000		7,389.75	**8,292.57**	896.47 LT 6.35 ST	377.52	4.55
Share Price: $57.990; Rating: Morgan Stanley: 3, Citigroup: 1, S&P: 1; Next Dividend Payable 03/2013								

CONTINUED

Morgan Stanley

CLIENT STATEMENT | For the Period December 1-31, 2012

Holdings

Mc Sweeney Foundation
26-3313677
Schedule Y

Active Assets Account
840-119158-213

THE MCSWEENEY FOUNDATION, INC
E MERRELL, MERRELL, & MERRELL

INTERESTED PARTY COPY

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
DU PONT EI DE NEMOURS & CO (DD)	1/17/12	200.000	49.477	9,895.38	8,995.70	(899.68) ST	344.00	3.82
Share Price: $44.979; Rating: Morgan Stanley: 2, Citigroup: 2, S&P: 3; Next Dividend Payable 03/2013								
DUKE ENERGY CORP NEW (DUK)	11/19/10	138.000	52.905	7,300.93	8,804.40	1,503.47 LT	422.28	4.79
Share Price: $63.800; Rating: Morgan Stanley: 1, Citigroup: 1, S&P: 2; Next Dividend Payable 03/2013								
EATON CORP PLC SHS (ETN)	12/3/12	100.000	51.655	5,165.50	5,418.00	252.50 ST	152.00	2.80
Share Price: $54.180; Rating: Citigroup: 1, S&P: 2								
EMC CORP MASS (EMC)	4/23/12	100.000	28.700	2,870.00	2,530.00	(340.00) ST	—	—
Share Price: $25.300; Rating: Morgan Stanley: 1, Citigroup: 2, S&P: 1								
EMERSON ELECTRIC CO (EMR)	7/3/12	100.000	47.137	4,713.65	5,296.00	582.35 ST	164.00	3.09
Share Price: $52.960, Rating: Morgan Stanley: 1, Citigroup: 1, S&P: 2; Next Dividend Payable 03/2013								
FREEPORT MCMORAN CP&GLD (FCX)	4/23/12	100.000	38.430	3,843.02	3,420.00	(423.02) ST	125.00	3.65
Share Price: $34.200; Rating: Morgan Stanley: 1, Citigroup: 2, S&P: 3; Next Dividend Payable 02/2013								
GENERAL ELECTRIC CO (GE)	10/6/09	99.000	16.070	1,590.93	2,078.01	487.08 LT		
	11/30/09	65.000	15.876	1,031.94	1,364.35	332.41 LT		
	Total	164.000		2,622.87	3,442.36	819.49 LT	124.64	3.62
Share Price: $20.990; Rating: Morgan Stanley: 2, Citigroup: 1, S&P: 2; Next Dividend Payable 01/25/13								
INTL BUSINESS MACHINES CORP (IBM)	10/6/09	20.000	120.800	2,416.00	3,831.00	1,415.00 LT	68.00	1.77
Share Price: $191.550; Rating: Morgan Stanley: 2, Citigroup: 1, S&P: 1; Next Dividend Payable 03/2013								
ISHARES MSCI EMERGING MKTS FD (EEM)	1/17/12	300.000	40.687	12,205.95	13,305.00	1,099.05 ST	223.50	1.67
Share Price: $44.350; Next Dividend Payable 06/2013								
JOHNSON CONTROLS INCORPORATED (JCI)	8/30/12	200.000	28.008	5,601.66	6,134.00	532.34 ST	152.00	2.47
Share Price: $30.670; Rating: Morgan Stanley: 1, Citigroup: 2, S&P: 1; Next Dividend Payable 03/2013								
KEYCORP NEW (KEY)	11/19/10	2,850.000	7.620	21,717.00	23,997.00	2,280.00 LT 1	570.00	2.37
Share Price: $8.420; Rating: Morgan Stanley: 2, Citigroup: 2, S&P: 1; Next Dividend Payable 03/2013								
KRAFT FOODS GROUP INC COM (KRFT)	11/19/10	105.000	32.186	3,379.50	4,774.35	1,394.85 LT	210.00	4.39
Share Price: $45.470; Rating: Morgan Stanley: 2, Citigroup: 2, S&P: 2; Next Dividend Payable 01/14/13								
MARATHON PETROLEUM CORP (MPC)	8/30/12	300.000	51.389	15,416.68	18,900.00	3,483.32 ST	420.00	2.22
Share Price: $63.000; Rating: Morgan Stanley: 1, Citigroup: 2, S&P: 1; Next Dividend Payable 03/2013								
MONDELEZ INTL INC COM (MDLZ)	11/19/10	315.000	19.846	6,251.56	8,017.75	1,766.19 LT 2	163.80	2.04
Share Price: $25.453; Rating: Morgan Stanley: 1, Citigroup: 1, S&P: 1; Next Dividend Payable 01/14/13								

CONTINUED

McSweeney Foundation
26-3313677
Schedule V 1

Active Assets Account
840-119158-213

THE MCSWEENEY FOUNDATION, INC
E MERRELL, MERRELL, & MERRELL

INTERESTED PARTY COPY

Holdings

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
MONSANTO CO/NEW (MON)	1/17/12	100.000	82.230	8,223.00	9,465.00	1,242.00 ST	150.00	1.58
Share Price: $94.650; Rating: Morgan Stanley: 1, Citigroup: 1, S&P: 2; Next Dividend Payable 01/2013								
NATL FUEL GAS CO (NFG)	7/3/12	100.000	49.512	4,951.17	5,069.00	117.83 ST		
	8/30/12	200.000	51.012	10,202.39	10,138.00	(64.39) ST		
	Total	300.000		15,153.56	15,207.00	53.44 ST	438.00	2.88
Share Price: $50.690; Rating: Morgan Stanley: 2, Citigroup: 1, S&P: 1; Next Dividend Payable 03/2013								
NBT BANCORP (NBTB)	1/17/12	300.000	23.818	7,145.34	6,081.00	(1,064.34) ST		
	8/30/12	300.000	21.781	6,534.20	6,081.00	(453.20) ST		
	Total	600.000		13,679.54	12,162.00	(1,517.54) ST	480.00	3.94
Share Price: $20.270; Next Dividend Payable 03/2013								
OCCIDENTAL PETROLEUM CORP DE (OXY)	11/19/10	300.000	87.510	26,253.00	22,983.00	(3,270.00) LT 1	648.00	2.81
Share Price: $76.610; Rating: Morgan Stanley: 2, Citigroup: 2, S&P: 1; Next Dividend Payable 01/15/13								
PAYCHEX INC (PAYX)	7/3/12	150.000	32.439	4,865.79	4,665.00	(200.79) ST		
	8/30/12	200.000	34.023	6,804.61	6,220.00	(584.61) ST		
	Total	350.000		11,670.40	10,885.00	(785.40) ST	462.00	4.24
Share Price: $31.100; Rating: Citigroup: 3, S&P: 2; Next Dividend Payable 03/2013								
PG&E CORPORATION (PCG)	11/19/10	200.000	47.265	9,453.00	8,036.00	(1,417.00) LT 1	364.00	4.52
Share Price: $40.180; Rating: Morgan Stanley: 2, Citigroup: 1, S&P: 2; Next Dividend Payable 01/15/13								
PHILLIPS 66 COM (PSX)	10/6/09	21.000	22.076	463.60	1,115.10	651.50 LT		
	8/30/12	200.000	43.224	8,644.75	10,620.00	1,975.25 ST		
	Total	221.000		9,108.35	11,735.10	651.50 LT 1,975.25 ST	221.00	1.88
Share Price: $53.100; Rating: Morgan Stanley. 1, Citigroup: 2, S&P: 1; Next Dividend Payable 03/2013								
POTASH CP OF SASKATCHEWAN INC (POT)	1/17/12	200.000	46.413	9,282.60	8,138.00	(1,144.60) ST		
	8/30/12	100.000	41.705	4,170.49	4,069.00	(101.49) ST		
	Total	300.000		13,453.09	12,207.00	(1,246.09) ST	252.00	2.06
Share Price: $40.690; Rating: Citigroup: 2, S&P: 1; Next Dividend Payable 02/2013								
ROYAL DUTCH SHELL PLC (RDS'A)	10/6/09	39.000	57.060	2,225.34	2,689.05	463.71 LT	114.04	4.24
Share Price: $68.950; Rating: S&P: 2								
SPDR GOLD TR GOLD SHS (GLD)	10/6/09	16.000	101.638	1,626.21	2,592.32	966.11 LT		
	11/9/09	2.000	108.445	216.89	324.04	107.15 LT		

CONTINUED

Holdings

Mc Sweeney Foundation
26-3313677
Schedule Y

Active Assets Account 840-119158-213

THE MCSWEENEY FOUNDATION, INC
E MERRELL, MERRELL, & MERRELL

INTERESTED PARTY COPY

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	Total	18.000		1,843.10	2,916.36	1,073.26 LT	—	—
Share Price: $162.020								
SPDR S&P HOMEBUILDERS (XHB)	8/30/12	200.000	24.222	4,844.39	5,320.00	475.61 ST	51.40	0.96
Share Price: $26.600, Next Dividend Payable 01/04/13								
THE MOSAIC CO (HLDG CO) NEW (MOS)	8/30/12	100.000	58.245	5,824.51	5,663.00	(161.51) ST	100.00	1.76
Share Price: $56.630; Rating: Morgan Stanley: 1, Citigroup: 1, S&P: 2; Next Dividend Payable 02/2013								
VALERO ENERGY CP DELA NEW (VLO)	12/9/09	95.000	16.236	1,542.44	3,241.40	1,698.96 LT		
	12/11/09	13.000	16.838	218.89	443.56	224.67 LT		
	8/28/12	300.000	31.837	9,551.19	10,236.00	684.81 ST		
	Total	408.000		11,312.52	13,920.96	1,923.63 LT 684.81 ST	285.60	2.05
Share Price: $34.120; Rating: Morgan Stanley: 1, Citigroup: 2H, S&P: 1; Next Dividend Payable 03/2013								
VERIZON COMMUNICATIONS (VZ)	1/17/12	200.000	39.927	7,985.38	8,654.00	668.62 ST		
	8/30/12	200.000	43.750	8,750.01	8,654.00	(96.01) ST		
	Total	400.000		16,735.39	17,308.00	572.61 ST	824.00	4.76
Share Price: $43.270; Rating: Morgan Stanley: 2, Citigroup: 2, S&P: 2; Next Dividend Payable 02/2013								
WESTERN ASSET MNGD MUNICIPALS (MMU)		—	0.000	0.00	0.00			
Long Term Reinvestments		11.794		142.08	169.47	27.39 LT		
Short Term Reinvestments		0.233		3.09	3.34	0.25 ST		
	Total	12.027		145.17	172.82	27.39 LT 0.25 ST	9.38	5.42
Share Price: $14.370; Next Dividend Payable 01/2013								

	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income *Accrued Interest*	Yield %
STOCKS	48.5%	$: 357,475.73	$389,388.22	$:27,029.41 LT $4,883.05 ST	$12,071.36 $0.00	3.10%

Holdings

Mc Sweeney Foundation
26-3313177
Schedule Y

Active Assets Account
840-119158-213

THE MCSWEENEY FOUNDATION, INC
E MERRELL, MERRELL, & MERRELL

INTERESTED PARTY COPY

CORPORATE FIXED INCOME

CORPORATE BONDS

Security Description	Trade Date	Face Value	Orig. Unit Cost / Adj. Unit Cost	Orig. Total Cost / Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income / *Accrued Interest*	Yield %
BANK OF AMERICA CORP	2/10/10	1,000.000	$102.300	$1,023.00			$52.50	4.85
CUSIP 060505BG8			$101.237	$1,012.37	$1,081.67	$69.30 LT	$4.37	
Unit Price: $108.167; Coupon Rate 5.250%; Matures 12/01/2015; Int. Semi-Annually Jun/Dec 01; Yield to Maturity 2.336%; Moody BAA3 S&P BBB+; Issued 11/18/03								
GENERAL ELECTRIC CAPITAL CORP	11/19/10	30,000.000	105.140	31,542.00			1,500.00	4.70
CUSIP 36966RWQ5			103.254	30,976.13	31,850.70	874.57 LT 1	316.66	
Unit Price: $106.169; Coupon Rate 5.000%; Matures 04/15/2016; Int. Semi-Annually Apr/Oct 15; Yield to Maturity 3.014%; Moody A1 S&P AA+; Issued 04/07/05								

	Face Value / Percentage of Assets %	Orig. Total Cost / Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income / *Accrued Interest*	Yield %
CORPORATE FIXED INCOME	31,000.000	$32,565.00			$1,552.50	4.71%
		$31,988.50	$32,932.37	$943.87 LT	$321.03	
TOTAL CORPORATE FIXED INCOME (incl.accr.int.)	4.1%		$33,253.40			

CERTIFICATES OF DEPOSIT

Security Description	Trade Date	Face Value	Orig. Unit Cost / Adj. Unit Cost	Orig. Total Cost / Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income / *Accrued Interest*	Yield %
BANK BARODA CD New York City NY CD	11/20/12	50,000.000	$100.000	$50,000.00			$250.00	0.50
CUSIP 060624RS4			$100.000	$50,000.00	$49,972.50	$(27.50) ST	$26.02	
Unit Price: $99.945; Coupon Rate 0.500%; Matures 11/25/2013; Interest Paid at Maturity; Yield to Maturity .561%; Issued 11/23/12; Maturity Value = $50,000.00								
BK CHINA NY CD NEW YORK NY CD	11/20/12	50,000.000	100.000	50,000.00			300.00	0.60
CUSIP 06426NRC8			100.000	50,000.00	49,997.00	(3.00) ST	27.34	
Unit Price: $99.994; Coupon Rate 0.600%; Matures 11/28/2014; Int. Semi-Annually May/Nov 28; Yield to Maturity .603%; Issued 11/28/12; Maturity Value = $50,000.00								
FIRSTBANK CD Santurce PR CD	11/20/12	50,000.000	100.000	50,000.00			500.00	1.00
CUSIP 33764JPZ2			100.000	50,000.00	49,896.00	(104.00) ST	1.34	
Unit Price: $99.792; Coupon Rate 1.000%; Matures 11/30/2015; Interest Paid Monthly Dec 30; Yield to Maturity 1.073%; Issued 11/30/12; Maturity Value = $50,000.00								

Holdings

McSweeney Foundation
26-3313677
Schedule Y

Active Assets Account
840-119158-213

THE MCSWEENEY FOUNDATION, INC
E MERRELL, MERRELL, & MERRELL

INTERESTED PARTY COPY

	Face Value Percentage of Assets %	Orig. Total Cost / Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income / Accrued Interest	Yield %
CERTIFICATES OF DEPOSIT	150,000.000	$150,000.00			$1,050.00	0.70%
		$150,000.00	$149,865.50	$(134.50) ST	$54.70	
TOTAL CERTIFICATES OF DEPOSIT (incl.accr.int.)	18.7%		$149,920.20			

MUTUAL FUNDS

OTHER MUTUAL FUNDS

"Total Purchases vs Market Value" is provided to assist you in comparing your "Total Purchases," excluding reinvested distributions, with the current value of the mutual fund positions in your account.

"Cumulative Cash Distributions" when shown, may reflect distributions on shares no longer held in the account. It may not reflect all distributions received in cash; due to but not limited to the following: Investments made prior to addition of this information on statements, securities transfers, timing of recent distributions, and certain adjustments made in your account.

"Net Value Increase/ (Decrease)" reflects the difference between your total purchases, plus the cash distributions shown, and the current value of the fund's shares. This calculation is for informational purposes only and does not reflect your total unrealized gain or loss nor should it be used for tax purposes

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
BLACKROCK INFLAT PROT BOND A (BPRAX)	10/16/09	327.949	$10.642	$3,490.00	$3,909.15	$419.15 LT	$66.00	1.68
Total Purchases vs Market Value				3,490.00	3,909.15			
Cumulative Cash Distributions					256.77			
Net Value Increase/(Decrease)					675.92			
Share Price: $11.920; Dividend Cash; Capital Gains Cash								
LORD ABBETT SHT DURATION INC C (LDLAX)	9/6/12	21,505.376	4.650	100,000.00	100,645.16	645.16 ST	3,376.00	3.35
Total Purchases vs Market Value				100,000.00	100,645.16			
Cumulative Cash Distributions					571.70			
Net Value Increase/(Decrease)					1,216.86			
Share Price: $4.680; Dividend Cash; Capital Gains Cash								
PIMCO FOREIGN BD (UNHEDGED) A (PFUAX)	10/6/10	166.813	11.390	1,900.00	1,816.59	(83.41) LT	40.00	2.20
Total Purchases vs Market Value				1,900.00	1,816.59			
Cumulative Cash Distributions					186.36			
Net Value Increase/(Decrease)					102.95			
Share Price: $10.890; Dividend Cash; Capital Gains Cash								
PIMCO INV GRADE CORP BD A (PBDAX)	6/18/10	405.041	11.110	4,500.00	4,504.06	4.06 LT	173.00	3.84

CONTINUED

Morgan Stanley

Holdings

McSweeney Foundation
26-3313677
Schedule Y

Active Assets Account
840-119158-213

THE MCSWEENEY FOUNDATION, INC
E MERRELL, MERRELL, & MERRELL

INTERESTED PARTY COPY

MUTUAL FUNDS

OTHER MUTUAL FUNDS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
Total Purchases vs Market Value				4,500.00	4,504.06			
Cumulative Cash Distributions					561.42			
Net Value Increase/(Decrease)					565.48			
Share Price: $11.120; Dividend Cash; Capital Gains Cash								
PIMCO TOTAL RETURN A (PTTAX)	3/17/10	108.355	11.050	1,197.32	1,217.91	20.59 LT		
	3/30/10	820.962	11.020	9,047.00	9,227.61	180.61 LT		
	Purchases	929.317		10,244.32	10,445.52	201.20 LT		
Long Term Reinvestments		116.855		1,285.06	1,313.45	28.39 LT		
Short Term Reinvestments		66.788		755.59	750.70	(4.89) ST		
	Total	1,112.960		12,284.97	12,509.67	229.59 LT (4.89) ST	356.00	2.84
Total Purchases vs Market Value				10,244.32	12,509.67			
Net Value Increase/(Decrease)					2,265.35			
Share Price. $11.240, Enrolled In MS Dividend Reinvestment; Capital Gains Reinvest								
PIMCO UNCONSTRAINED BD A (PUBAX)	4/12/10	317.604	11.020	3,500.00	3,646.09	146.09 LT		
	10/15/10	422.556	11.340	4,791.78	4,850.94	59.16 LT		
	11/10/10	318.013	11.330	3,603.09	3,650.79	47.70 LT		
	Total	1,058.173		11,894.87	12,147.83	252.95 LT	145.00	1.19
Total Purchases vs Market Value				11,894.87	12,147.83			
Cumulative Cash Distributions					578.47			
Net Value Increase/(Decrease)					831.43			
Share Price: $11.480; Dividend Cash; Capital Gains Cash								
TEMPLETON GLOBAL BD FD A (TPINX)	3/26/10	378.523	13.209	5,000.00	5,064.63	64.63 LT		
	7/15/10	153.271	13.049	2,000.00	2,050.76	50.76 LT		
	Total	531.794		7,000.00	7,115.40	115.39 LT	298.00	4.18
Total Purchases vs Market Value				7,000.00	7,115.40			
Cumulative Cash Distributions					942.33			
Net Value Increase/(Decrease)					1,057.73			
Share Price: $13.380; Dividend Cash; Capital Gains Cash								

CLIENT STATEMENT | For the Period December 1-31, 2012

Holdings

McSweeney Foundation
26-3313677
Schedule Y

Active Assets Account
840-119158-213

THE MCSWEENEY FOUNDATION, INC
E MERRELL, MERRELL, & MERRELL

INTERESTED PARTY COPY

	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income / *Accrued Interest*	Yield %
MUTUAL FUNDS	17.8%	$141,069.84	$142,647.86	$937.73 LT $640.27 ST	$4,454.00 $0.00	3.12%

Transactions in mutual fund positions held directly at the Fund Company are not included in the total above and are not reflected on the Summary Page.
For more information about the pricing of Money Market Funds, please see the Expanded Disclosures.

	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income / *Accrued Interest*	Yield %
TOTAL MARKET VALUE	100.0%	$16 80,534.09	$803,108.51 <88,274.56> Cash & Money Funds $714,833.95	$28,911.01 LT $5,388.82 ST	$19,136.68 $375.73	2.38%

1 - This information reflects your requested adjustments to the transaction details.
2 - You, or a third party, have provided the transaction details for this position.
Unrealized Gain/(Loss) totals only reflect positions that have cost basis and/or market value information available. Cash, MMF, Deposits and positions stating 'Please Provide' are not included.

McSweeney Foundation
26-3313677
Realized Gain and Losses
1/1/12 - 12/31/12
Morgan Stanley Accounts

Security	Date Acquired	Date Sold	Quantity	Sale Proceeds	Cost	Gain	Loss
Eaton Corporation	08/30/12	12/03/12	100	5,165.50	4,611.89	553.61	
SPDR Gold TR	Various	Various		7.72	0.00	7.72	
Church and Dwight	11/09/09	08/30/12	50	2,612.55	1,463.75	1,148.80	
	12/02/09	08/30/12	20	1,045.02	601.01	444.01	
Kraft Foods	11/19/10	10/01/12	0.002	0.09	0.06	0.03	
GE Capital Ret BK CD	11/09/09	10/23/12	100,000	100,000.00	100,000.00	0.00	
Newmont Mining Corp	02/11/10	08/30/12	35	1,617.18	1,637.61		(20.43)
	03/04/10	08/30/12	7	323.44	355.13		(31.69)
Petrochina Co	10/05/10	08/30/12	13	1,469.58	1,604.38		(134.80)
Alcoa Inc	10/06/09	04/23/12	101	912.24	1,381.48		(469.24)
	06/21/10	04/23/12	95	858.04	1,122.40		(264.36)
Cisco Sys Inc	10/06/09	04/23/12	41	755.10	950.38		(195.28)
	02/18/10	04/23/12	45	828.76	1,093.40		(264.64)
Oracle Corp	10/06/09	04/23/12	56	1,491.64	1,141.84	349.80	
Phillips	10/06/09	05/01/12	0.5	15.02	11.04	3.98	
Teva Pharmaceutical	10/06/09	04/23/12	39	1,700.50	1,990.56		(290.06)
	10/09/09	04/23/12	6	261.62	308.94		(47.32)
	11/06/09	04/23/12	7	305.22	363.14		(57.92)
	11/09/09	04/23/12	3	130.81	159.02		(28.21)
	12/22/09	04/23/12	5	218.01	278.57		(60.56)
	12/31/09	04/23/12	3	130.81	169.15		(38.34)
	01/04/10	04/23/12	1	43.60	57.67		(14.07)
Vodafone Group	10/06/09	04/23/12	65	1,734.40	1,448.85	285.55	
	12/02/09	04/23/12	35	933.91	837.97	95.94	
	01/04/10	04/23/12	5	133.42	116.32	17.10	
						2,906.54	(1,916.92)
			ST Gain	5,173.22	4,611.89	561.33	
			LT Gain	107,966.05	105,620.84	2,345.21	
			LT Loss	9,554.91	11,471.83		(1,916.92)

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Sisters of the Precious Blood 4000 Denlinger Road Dayton, OH 45426	NONE	501C3	GENERAL FUNDS	3,000
The Red Cross 1415 Genesee St Utica, NY 13501	NONE	501C3	GENERAL FUNDS	3,000
Care Net Pregancy Ctr of CNY 2414 Genesee Street Utica, NY 13501	NONE	501C3	GENERAL FUNDS	5,000
Lewis County Humane Society 6390 Pine Grove Rd Glenfield, NY 13343	NONE	501C3	GENERAL FUNDS	5,000
Salvation Army 14 Clinton Place Utica, NY 13501	NONE	501C3	GENERAL FUNDS	3,000
St Peters Church 422 Coventry Ave Utica, NY 13502	NONE	501C3	GENERAL FUNDS	5,000
Lewis County Hospital 7785 North State Street Lowville, NY 13367	NONE	501C3	GENERAL FUNDS	9,500
Total . . . . ▶ **3a**				33,500