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Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012, or tax year beginning 01-01-2012 , and ending 12-31-2012

Name of foundation KLEIN FAMILY FOUNDATION INC		A Employer identification number 26-3134339	
Number and street (or P O box number if mail is not delivered to street address) 42 TUCKAHOE ROAD		B Telephone number (see instructions) (203) 767-2046	
City or town, state, and ZIP code EASTON, CT 06612		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 5,910,611		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	194,320	194,320		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	123,963			
	b Gross sales price for all assets on line 6a 1,619,158				
	7 Capital gain net income (from Part IV, line 2)		123,963		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	318,283	318,283		
	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	2,000	0		2,000
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	3,171	2,134		0
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	27,456	27,456		0
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	32,627	29,590		2,000
	25 Contributions, gifts, grants paid	250,000			250,000
	26 Total expenses and disbursements. Add lines 24 and 25	282,627	29,590		252,000
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	35,656			
	b Net investment income (if negative, enter -0-)		288,693		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year		
			(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash—non-interest-bearing				
	2	Savings and temporary cash investments	545,485	531,742	531,742	
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____				
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)				
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges	1,006	98	98	
	10a	Investments—U S and state government obligations (attach schedule)	597,606		423,863	456,632
	b	Investments—corporate stock (attach schedule)	1,891,603		2,159,520	2,648,874
	c	Investments—corporate bonds (attach schedule).	1,136,470		898,887	936,846
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____				
	12	Investments—mortgage loans				
	13	Investments—other (attach schedule)	1,018,075		1,211,660	1,330,424
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____				
15	Other assets (describe ▶ _____)	 5,864	 5,995	 5,995		
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	5,196,109	5,231,765	5,910,611		
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶ _____)				
	23	Total liabilities (add lines 17 through 22)	0	0		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds	0	0		
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0		
	29	Retained earnings, accumulated income, endowment, or other funds	5,196,109	5,231,765		
	30	Total net assets or fund balances (see page 17 of the instructions)	5,196,109	5,231,765		
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	5,196,109	5,231,765		

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1 5,196,109
2	Enter amount from Part I, line 27a	2 35,656
3	Other increases not included in line 2 (itemize) ▶ _____	3 0
4	Add lines 1, 2, and 3	4 5,231,765
5	Decreases not included in line 2 (itemize) ▶ _____	5 0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6 5,231,765

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table		
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table		
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	123,963
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	270,425	5,711,512	0 047347
2010	223,503	5,612,806	0 039820
2009	325,905	5,381,535	0 060560
2008	93,597	4,797,574	0 019509
2007			

2	Total of line 1, column (d).	2	0 167236
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 041809
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5.	4	5,733,312
5	Multiply line 4 by line 3.	5	239,704
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	2,887
7	Add lines 5 and 6.	7	242,591
8	Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	252,000

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1			
		Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	2,887
c		All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3		Add lines 1 and 2.		3	2,887
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5		Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	2,887
6		Credits/Payments			
a		2012 estimated tax payments and 2011 overpayment credited to 2012	6a	2,400	
b		Exempt foreign organizations—tax withheld at source	6b		
c		Tax paid with application for extension of time to file (Form 8868)	6c	5,000	
d		Backup withholding erroneously withheld	6d		
7		Total credits and payments Add lines 6a through 6d.		7	7,400
8		Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	4,513
11		Enter the amount of line 10 to be Credited to 2013 estimated tax ☒ 4,513	Refunded ☐	11	0

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?			No
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file Form 1120-POL for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☒ \$ 0 (2) On foundation managers ☒ \$ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☒ \$ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?.	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ CT			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address ▶N/A				
14	The books are in care of ▶MRS CARLA KLEIN Telephone no ▶(203) 767-2046 Located at ▶42 TUCKAHOE ROAD EASTON CT ZIP +4 ▶06612			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶	15		
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?. ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.</i>).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes	☒ No	
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes	☒ No	
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes	☒ No	
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).	☐ Yes	☒ No	
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes	☒ No	
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b		
	Organizations relying on a current notice regarding disaster assistance check here.	☐		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes	☐ No	
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes	☒ No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes	☒ No	
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CARLA S KLEIN	PRESIDENT	0	0	0
42 TUCKAHOE ROAD EASTON,CT 06612	0 00			
KRISTEN CHIODO	SECRETARY	0	0	0
4 COOK CIRCLE TRUMBULL,CT 06611	0 00			
ERIC KLEIN	TREASURER	0	0	0
1288 SAXON DRIVE BIRMINGHAM,MI 48009	0 00			
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3.	0

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	5,306,903
b	Average of monthly cash balances.	1b	507,625
c	Fair market value of all other assets (see instructions).	1c	6,093
d	Total (add lines 1a, b, and c).	1d	5,820,621
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	5,820,621
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	87,309
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	5,733,312
6	Minimum investment return. Enter 5% of line 5.	6	286,666

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	286,666
2a	Tax on investment income for 2012 from Part VI, line 5.	2a	2,887
b	Income tax for 2012 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	2,887
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	283,779
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	283,779
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	283,779

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	252,000
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	252,000
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	2,887
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	249,113
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				283,779
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only.			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2012				
a From 2007.				
b From 2008.				
c From 2009. 15,652				
d From 2010.				
e From 2011.				
f Total of lines 3a through e.	15,652			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ 252,000				
a Applied to 2011, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2012 distributable amount.				252,000
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)	15,652			15,652
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013.				16,127
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).	0			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions). . . .	0			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a.	0			
10 Analysis of line 9				
a Excess from 2008. . . .				
b Excess from 2009. . . .				
c Excess from 2010. . . .				
d Excess from 2011. . . .				
e Excess from 2012. . . .				

Part XIV

Private Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2012	(b) 2011	(c) 2010	(d) 2009	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

CARLA S KLEIN

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	250,000
b Approved for future payment				
Total			3b	0

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			18	194,320	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	123,963	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e). .		0		318,283	0
13 Total. Add line 12, columns (b), (d), and (e).			13	318,283	318,283

[illegible]

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received			

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.





Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below (see instr.)? ☒ **Yes** ☐ **No**

Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☒	PTIN P01236646
	DAVID ZIEFF	DAVID ZIEFF			
	Firm's name ☐	FRIEDBERG SMITH & CO PC		Firm's EIN ☐ 06-1331879	
		855 MAIN STREET 6TH FLOOR			
	Firm's address ☐	BRIDGEPORT, CT 066044915		Phone no (203) 366-5876	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
MORGAN STANLEY #20600 - SEE STATEMENT	P		
MORGAN STANLEY #20600 - SEE STATEMENT	P		
MORGAN STANLEY #19928 - SEE STATEMENT	P		
MORGAN STANLEY #19928 - SEE STATEMENT	P		
MORGAN STANLEY #19928 - SEE STATEMENT	P		
MORGAN STANLEY #19935 - SEE STATEMENT	P		
MORGAN STANLEY #19935 - SEE STATEMENT	P		
MORGAN STANLEY #19935 - SEE STATEMENT	P		
MORGAN STANLEY #19935 - SEE STATEMENT	P		
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
61,189		62,926	-1,737
189,394		170,789	18,605
265,564		255,386	10,178
585,648		563,632	22,016
96,024		88,364	7,660
488		203	285
254,228		193,256	60,972
84,286		89,953	-5,667
72,488		70,686	1,802
9,849			9,849

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1,737
			18,605
			10,178
			22,016
			7,660
			285
			60,972
			-5,667
			1,802
			9,849

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BRIDGEPORT CHILD ADVOCACY COALITION (BCAC) 2470 FAIRFIELD AVENUE BRIDGEPORT,CT 06605	N/A	501(C)(3)	UNRESTRICTED	5,000
BRIDGEPORT HOSPITAL FOUNDATION 267 GRANT STREET BRIDGEPORT,CT 06610	N/A	501(C)(3)	REHABILITATION AND MEDICAL EDUCATION FUNDS	25,000
BRIDGEPORT PUBLIC EDUCATION FUND INC (BPEC) 446 UNIVERSITY AVENUE BRIDGEPORT,CT 06604	N/A	501(C)(3)	EDUCATION	10,000
CARDINAL SHEHAN CENTER 1494 MAIN STREET BRIDGEPORT,CT 06604	N/A	501(C)(3)	UNRESTRICTED	5,000
CONNECTICUT FOOD BANK PO BOX 8686 NEW HAVEN,CT 06531	N/A	501(C)(3)	UNRESTRICTED	5,000
EVERY MOTHER COUNTS 805 15TH STREET NORTHWEST SUITE 700 WASHINGTON,DC 20005	N/A	501(C)(3)	UNRESTRICTED	3,000
FREEDOM HOUSE 2630 WEST LAFAYETTE DETROIT,MI 48216	N/A	501(C)(3)	UNRESTRICTED	3,000
GLEANER'S COMMUNITY FOOD BANK (GCFB) 2131 BEAUFIT DETROIT,MI 48207	N/A	501(C)(3)	UNRESTRICTED	3,000
SOUTHWEST SOLUTIONS 1700 WATERMAN STREET DETROIT,MI 48226	N/A	501(C)(3)	PIQUETTE SQUARE FALL APPEAL	4,000
ST JUDE CHILDREN'S RESEARCH HOSPITAL 501 ST JUDE PLACE MEMPHIS,TN 38105	N/A	501(C)(3)	RESEARCH AND TREATMENT FOR CHILDHOOD DISEASES	5,000
THE CENTER FOR WOMEN & FAMILIES 753 FAIRFIELD AVENUE BRIDGEPORT,CT 06604	N/A	501(C)(3)	UNRESTRICTED	5,000
THE HAITIAN HEALTH FOUNDATION 97 SHERMAN STREET NORWICH,CT 06360	N/A	501(C)(3)	AID TO THE POOR OF JEREMIE, HAITI	10,000
THE UNIVERSITY OF CONNECTICUT FOUNDATION INC 2390 ALUMNI DRIVE STORRS,CT 06269	N/A	501(C)(3)	ENDOWMENT AND PROFESSORSHIP FOR URBAN EDUCATION	50,000
UNITED WAY OF COASTAL FAIRFIELD COUNTY 75 WASHINGTON AVENUE BRIDGEPORT,CT 06604	N/A	501(C)(3)	EDUCATION PROGRAMS	10,000
UNITED WAY OF SOUTHEASTERN MICHIGAN 660 WOODWARD AVENUE DETROIT,MI 48226	N/A	501(C)(3)	UNRESTRICTED	2,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
UNIVERSITY OF MICHIGAN 3003 SOUTH STATE STREET ANN ARBOR, MI 48109	N/A	501(C)(3)	ESOPHAGEAL CANCER RESEARCH	80,000
WOUNDED WARRIOR PROJECT 4899 BELFORT ROAD SUITE 300 JACKSONVILLE, FL 32256	N/A	501(C)(3)	UNRESTRICTED	5,000
YALE-NEW HAVEN HOSPITAL 2 HOWE STREET NEW HAVEN, CT 06511	N/A	501(C)(3)	PALLIATIVE CARE PROGRAMSIMILOW CANCER HOSPITAL AT YALE-NEW HAVEN	20,000
Total  3a				250,000

TY 2012 Accounting Fees Schedule

Name: KLEIN FAMILY FOUNDATION INC

EIN: 26-3134339

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING	2,000	0		2,000

**TY 2012 Investments Corporate
Bonds Schedule**

Name: KLEIN FAMILY FOUNDATION INC
EIN: 26-3134339

Name of Bond	End of Year Book Value	End of Year Fair Market Value
CORPORATE FIXED INCOME	898,887	936,846

TY 2012 Investments Corporate Stock Schedule

Name: KLEIN FAMILY FOUNDATION INC

EIN: 26-3134339

Name of Stock	End of Year Book Value	End of Year Fair Market Value
COMMON & PREFERRED STOCK	2,159,520	2,648,874

TY 2012 Investments Government Obligations Schedule

Name: KLEIN FAMILY FOUNDATION INC

EIN: 26-3134339

**US Government Securities - End of
Year Book Value:**

180,033

**US Government Securities - End of
Year Fair Market Value:**

191,636

**State & Local Government
Securities - End of Year Book
Value:**

243,830

**State & Local Government
Securities - End of Year Fair
Market Value:**

264,996

TY 2012 Investments - Other Schedule

Name: KLEIN FAMILY FOUNDATION INC

EIN: 26-3134339

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
MUTUAL FUNDS	AT COST	1,211,660	1,330,424

TY 2012 Other Assets Schedule

Name: KLEIN FAMILY FOUNDATION INC

EIN: 26-3134339

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
ACCRUED INCOME RECEIVABLE	5,864	5,995	5,995

TY 2012 Other Expenses Schedule

Name: KLEIN FAMILY FOUNDATION INC

EIN: 26-3134339

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT ADVISORY FEES	27,456	27,456		0

TY 2012 Taxes Schedule**Name:** KLEIN FAMILY FOUNDATION INC**EIN:** 26-3134339

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAX	1,037	0		0
FOREIGN TAXES	2,134	2,134		0

Klein Family Foundation Inc.			
Gain (Loss) on Sale of Securities			
12/31/12			
	<u>Sales Price</u>	<u>Cost</u>	<u>Gain (Loss)</u>
<u>Morgan Stanley #019928</u>			
Short Term - Noncovered	265,563.70	255,386.07	10,177.63
Long Term - Noncovered	585,648.41	563,632.29	22,016.12
Short Term - Covered	96,023.50	88,364.45	7,659.05
Total	947,235.61	907,382.81	39,852.80
<u>Morgan Stanley #019935</u>			
Short Term - Noncovered	488.13	203.05	285.08
Long Term - Noncovered	254,228.47	193,256.20	60,972.27
Short Term - Covered	84,286.06	89,952.61	(5,666.55)
Long Term - Covered	72,488.19	70,685.88	1,802.31
Total	411,490.85	354,097.74	57,393.11
<u>Morgan Stanley #020600</u>			
Short Term - Noncovered	61,188.86	62,925.99	(1,737.13)
Long Term - Noncovered	189,393.51	170,789.46	18,604.05
Total	250,582.37	233,715.45	16,866.92
Summary:			
Morgan Stanley #019928	947,235.61	907,382.81	39,852.80
Morgan Stanley #019935	411,490.85	354,097.74	57,393.11
Morgan Stanley #020600	250,582.37	233,715.45	16,866.92
Total Gain (Loss)	1,609,308.83	1,495,196.00	114,112.83

Corporate Tax Statement
Tax Year 2012

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 26-4310632
Taxpayer ID Number: 26-3134339
Account Number: 759 019928 037
Customer Service: 866-324-6088

KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
DOW CHEMICAL CO 5000 *20SP15		CUSIP: 26054LKL6	Symbol (Box 1d):					
GAP INC 5950 *21AP12	50,000.000	08/31/11	05/23/12	\$50,183.00	\$50,939.24	\$0.00	(\$756.24)	\$0.00
HARTFORD FINL 5375 17MH15	35,000.000	04/16/12	11/07/12	\$38,867.45	\$36,518.15	\$0.00	\$2,349.30	\$0.00
NRG ENERGY INC 8500 *19JN15	25,000.000	08/23/11	04/16/12	\$26,464.00	\$26,149.08	\$0.00	\$314.92	\$0.00
PUBLIC STORAGE SER-M 6.625	25,000.000	01/23/12	11/07/12	\$27,107.75	\$25,125.17	\$0.00	\$1,982.58	\$0.00
		CUSIP: 74460D232	Symbol (Box 1d):					
	69.010		04/11/12	\$69.01	\$0.00	\$0.00	\$0.00	\$0.00
	1,500.000	11/15/11	04/11/12	\$37,500.00	\$38,636.35	\$0.00	(\$1,136.35)	\$0.00
Security Subtotal	1,569.010			\$37,569.01	\$38,636.35	\$0.00	(\$1,136.35)	\$0.00
SCHWAB CHARLES 7000 *53JA02	75,000.000	01/30/12	12/28/12	\$87,658.17	\$78,018.08	\$0.00	\$9,640.09	\$0.00
Total Short Term Noncovered Securities				\$267,849.38	\$255,386.07	\$0.00	\$12,394.30	\$0.00

Less: Public Storage
Less: Accrued interest - Charles Schwab
Total

Continued on next page

69.01
2216.67
255386.07
10177.63

Corporate Tax Statement Tax Year 2012

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 26-4310632
Taxpayer ID Number: 26-3134339
Account Number: 759 019928 037
Customer Service: 866-324-6088

KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ALCOA INC 6150 20AU15	20,000.000	06/14/11	11/07/12	\$21,582.06	\$21,562.25	\$0.00	\$19.81	\$0.00
AMERICAN EXPRESS 5875 13MY02	50,000.000	07/08/10	04/16/12	\$52,286.50	\$51,879.07	\$0.00	\$407.43	\$0.00
ANHEUSER BUSCH 5050 16OC15	50,000.000	07/09/10	06/11/12	\$56,291.00	\$53,497.78	\$0.00	\$2,793.22	\$0.00
AT&T INC 5500 18FB01	50,000.000	07/09/10	07/12/12	\$59,858.00	\$54,596.60	\$0.00	\$5,261.40	\$0.00
BLACKROCK INC 5000 19DE10	50,000.000	07/28/10	08/28/12	\$57,833.50	\$53,746.17	\$0.00	\$4,087.33	\$0.00
CHESAPEAKE ENERGY 6875 20NV15	25,000.000	05/26/11	12/28/12	\$26,933.75	\$27,534.77	\$0.00	(\$601.02)	\$0.00
FHLB 3050 *20NV02	75,000.000	01/03/11	02/23/12	\$43,750.00	\$42,309.75	\$0.00	\$1,440.25	\$0.00
FORD MOTOR CREDIT 8000 14JN01	35,000.000	07/21/10	01/30/12	\$38,301.50	\$36,551.60	\$0.00	\$1,749.90	\$0.00
GECC 5000 22MY15	25,000.000	05/09/11	06/11/12	\$26,369.25	\$26,571.73	\$0.00	(\$202.48)	\$0.00
GECC 5550 20OC15	25,000.000	05/23/11	06/11/12	\$27,571.75	\$27,241.31	\$0.00	\$330.44	\$0.00

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Corporate Tax Statement Tax Year 2012

Morgan Stanley Smith Barney Holdings LLC
HARBORSIDE FINANCIAL CENTER
PLAZA 2 2ND FLOOR
JERSEY CITY, NJ 07311
IDENTIFICATION NUMBER: 26-4310632
TAXPAYER ID NUMBER: 26-3134339
ACCOUNT NUMBER: 759 019928 037
CUSTOMER SERVICE: 866-324-6088

KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
HOME DEPOT INC 5400 16MH01	50,000.000	07/19/10	01/30/12	\$57,770.00	\$54,866.26	\$0.00	\$2,903.74	\$0.00
ILL ST GO BE 4950 23JN01	25,000.000	09/28/10	12/28/12	\$26,191.50	\$24,630.75	\$0.00	\$1,560.75	\$0.00
JP MORGAN CHASE 5125 14SP15	50,000.000	07/08/10	11/30/12	\$53,162.50	\$51,832.95	\$0.00	\$1,329.55	\$0.00

CONTINUED ON NEXT PAGE

Corporate Tax Statement
Tax Year 2012Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd FloorKLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054Jersey City, NJ 07311
Identification Number: 26-4310632Taxpayer ID Number: 26-3134339
Account Number: 759 019928 037**Customer Service: 866-324-6088****This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.****1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715****Gross Proceeds less commissions and option premiums on stocks, bonds, etc.****Long Term - Noncovered Securities** [#] (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
WHIRLPOOL CORP 4850 21JN15	35,000.000	08/29/11	12/28/12	\$37,747.10	\$36,811.30	\$0.00	\$935.80	\$0.00
Total Long Term Noncovered Securities				\$585,648.41	\$563,632.29	\$0.00	\$22,016.12	\$0.00
Total Short and Long Term, Covered and Noncovered Securities				\$853,497.79	\$819,018.36	\$0.00	\$34,410.42	\$0.00
Form 1099-B Total Reportable Amounts								
Total Sales Price (Box 2)				\$853,497.79				
Total Cost or Other Basis (Box 3)					\$0.00			
Total Wash Sale Loss Disallowed (Box 5)						\$0.00		
Total Fed Tax Withheld (Box 4)								\$0.00

[#] Noncovered securities are not subject to the IRS cost basis reporting regulations, therefore their date of acquisition, cost basis, short or long term designation and any disallowed loss resulting from a wash sale will not be reported to the IRS.

1099 Consolidated Tax Statement Tax Year 2012 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 26-4310632
Taxpayer ID Number: 26-3134339
Account Number: 759 019928 037

KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054

Customer Service: 866-324-6088

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
PNC FINL-P 6.125% FLTS 5/01/22	1,500.000	05/23/12	08/28/12	\$41,064.30	\$38,364.45	\$0.00	\$2,699.85	\$0.00
US BANCORP 6%-G FLTS 4/15/17	2,000.000	04/17/12	08/28/12	\$54,959.20	\$50,000.00	\$0.00	\$4,959.20	\$0.00
Total Short Term Covered Securities				\$96,023.50	\$88,364.45	\$0.00	\$7,659.05	\$0.00
Form 1099-B Total Reportable Amounts								
Total Sales Price (Box 2)	\$96,023.50							
Total Cost or Other Basis (Box 3)	\$88,364.45							
Total Wash Sale Loss Disallowed (Box 5)	\$0.00							
Total Fed Tax Withheld (Box 4)	\$0.00							

Corporate Tax Statement Tax Year 2012

Morgan Stanley Smith Barney Holdings LLC
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 Plaza 2 2nd Floor
 Jersey City, NJ 07311
 Identification Number: 26-4310632
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
BANCO SANTANDER S.A.		CUSIP: 05964H105	Symbol (Box 1d): SAN						
	0.000	08/08/12	08/08/12	\$27.67	\$0.00	\$0.00	\$27.67	\$0.00	
	0.000	11/13/12	11/13/12	\$22.50	\$0.00	\$0.00	\$22.50	\$0.00	
Security Subtotal	0.000			\$50.17	\$0.00	\$0.00	\$50.17	\$0.00	
BRAMBLES LTD UNSPON ADR		CUSIP: 105105100	Symbol (Box 1d): BMBLY						
	0.000	07/12/12	07/12/12	\$2.02	\$0.00	\$0.00	\$2.02	\$0.00	
CREDIT SUISSE GROUP		CUSIP: 225401108	Symbol (Box 1d): CS						
	0.000	08/14/12	08/14/12	\$6.52	\$0.00	\$0.00	\$6.52	\$0.00	
JULIUS BAER GROUP LTD UN ADR		CUSIP: 48137C108	Symbol (Box 1d): JBAXY						
	0.000	11/07/12	11/07/12	\$51.40	\$0.00	\$0.00	\$51.40	\$0.00	
MARRIOTT VACATIONS WORLDWIDE		CUSIP: 57164Y107	Symbol (Box 1d): VAC						
	6.000	07/19/11	05/01/12	\$177.23	\$128.13	\$0.00	\$49.10	\$0.00	
SPDR GOLD TR GOLD SHS		CUSIP: 78463V107	Symbol (Box 1d):						
	0.000		01/11/12	\$2.30	\$0.00	\$0.00	\$0.00	\$0.00	CT
	0.000		02/14/12	\$2.21	\$0.00	\$0.00	\$0.00	\$0.00	CT
	0.000		03/13/12	\$2.28	\$0.00	\$0.00	\$0.00	\$0.00	CT
	0.000		04/10/12	\$2.48	\$0.00	\$0.00	\$0.00	\$0.00	CT
	0.000		05/16/12	\$2.62	\$0.00	\$0.00	\$0.00	\$0.00	CT
	0.000		06/12/12	\$2.23	\$0.00	\$0.00	\$0.00	\$0.00	CT
	0.000		07/06/12	\$2.25	\$0.00	\$0.00	\$0.00	\$0.00	CT
	0.000		08/10/12	\$2.27	\$0.00	\$0.00	\$0.00	\$0.00	CT
	0.000		09/12/12	\$2.32	\$0.00	\$0.00	\$0.00	\$0.00	CT
	0.000		10/08/12	\$2.57	\$0.00	\$0.00	\$0.00	\$0.00	CT

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Corporate Tax Statement
Tax Year 2012Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd FloorKLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054Jersey City, NJ 07311
Identification Number: 26-4310632Taxpayer ID Number: 26-3134339
Account Number: 759 019935 037

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part I with box B checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
SPDR GOLD TR GOLD SHS	0.000		11/19/12	\$2.38	\$0.00	\$0.00	\$0.00	\$0.00	CT
	0.000		12/11/12	\$2.25	\$0.00	\$0.00	\$0.00	\$0.00	CT
Security Subtotal	0.000			\$28.16	\$0.00 25.07	\$0.00	\$0.00	\$0.00	
SWIRE PACIFIC SPN ADR LTD CL A		CUSIP: 870794302	Symbol (Box 1d): SWRAY		\$0.00	\$0.00	\$0.00	\$0.00	
TELEFONICA SA ADR		CUSIP: 879382208	Symbol (Box 1d): TEF		\$49.83	\$0.00	(\$4.69)	\$0.00	
	151.000	05/18/12	06/15/12	\$45.14	\$177.96	\$0.00	\$454.52 255.08	\$0.00	
Total Short Term Noncovered Securities				\$488.13	\$177.96 203.05	\$0.00			

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part II with box B checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
A G C O CORP		CUSIP: 001084102	Symbol (Box 1d): AGCO						
	3.000	05/20/09	05/29/12	\$124.83	\$88.31	\$0.00	\$36.52	\$0.00	
	15.000	09/02/10	05/29/12	\$624.17	\$538.41	\$0.00	\$85.76	\$0.00	
	3.000	11/03/10	05/29/12	\$124.83	\$126.89	\$0.00	(\$2.06)	\$0.00	
Security Subtotal	21.000			\$873.83	\$753.61	\$0.00	\$120.22	\$0.00	

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Corporate Tax Statement Tax Year 2012

Morgan Stanley Smith Barney Holdings LLC
HARBORSIDE Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 26-4310632
Taxpayer ID Number: 26-3134339
Account Number: 759 019935 037
Customer Service: 866-324-6088

KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
ACCENTURE PLC IRELAND CL A	32.000	CUSIP: G1151C101 03/10/09	01/11/12	\$1,700.57	\$881.84	\$0.00	\$818.73	\$0.00	
	34.000	03/10/09	01/26/12	\$1,933.33	\$936.96	\$0.00	\$996.37	\$0.00	
Security Subtotal	66.000			\$3,633.90	\$1,818.80	\$0.00	\$1,815.10	\$0.00	
ACTIVISION BLIZZARD INC	38.000	CUSIP: 00507V109 07/16/10	01/13/12	\$467.01	\$423.22	\$0.00	\$43.79	\$0.00	
	25.000	08/11/10	01/13/12	\$307.25	\$272.76	\$0.00	\$34.49	\$0.00	
	49.000	08/11/10	09/10/12	\$583.11	\$534.62	\$0.00	\$48.49	\$0.00	
	74.000	09/24/10	09/10/12	\$880.62	\$799.64	\$0.00	\$80.98	\$0.00	
Security Subtotal	186.000			\$2,237.99	\$2,030.24	\$0.00	\$207.75	\$0.00	
ADECCO SA UNSPON ADR	6.000	CUSIP: 006754204 12/10/09	02/08/12	\$152.93	\$167.40	\$0.00	(\$14.47)	\$0.00	
	17.000	12/10/09	09/06/12	\$401.19	\$474.30	\$0.00	(\$73.11)	\$0.00	
	9.000	12/29/10	09/06/12	\$212.39	\$295.20	\$0.00	(\$82.81)	\$0.00	
Security Subtotal	32.000			\$766.51	\$936.90	\$0.00	(\$170.39)	\$0.00	
AMERICA MOVIL SA DE CV ADR L	18.000	CUSIP: 02364W105 12/10/09	08/01/12	\$480.58	\$425.57	\$0.00	\$55.01	\$0.00	
AMERICAN ELECTRIC POWER CO	22.000	CUSIP: 025537101 04/17/09	02/28/12	\$835.05	\$599.00	\$0.00	\$236.05	\$0.00	
	14.000	05/28/09	02/28/12	\$531.39	\$359.23	\$0.00	\$172.16	\$0.00	
Security Subtotal	36.000			\$1,366.44	\$958.23	\$0.00	\$408.21	\$0.00	
AMERICAN EXPRESS CO	44.000	CUSIP: 025816109 10/20/09	10/31/12	\$2,463.33	\$1,582.55	\$0.00	\$880.78	\$0.00	

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Corporate Tax Statement
Tax Year 2012Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd FloorKLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054Jersey City, NJ 07311
Identification Number 26-4310632Taxpayer ID Number: 26-3134339
Account Number: 759 019935 037

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
AMGEN INC									
CUSIP: 031162100 Symbol (Box 1d): AMGN									
	28.000	03/10/09	04/18/12	\$1,889.31	\$1,344.22	\$0.00	\$545.09	\$0.00	\$0.00
	6.000	03/10/09	05/11/12	\$419.99	\$288.05	\$0.00	\$131.94	\$0.00	\$0.00
	9.000	06/09/10	05/11/12	\$629.98	\$478.71	\$0.00	\$151.27	\$0.00	\$0.00
	2.000	06/17/10	05/11/12	\$140.00	\$110.36	\$0.00	\$29.64	\$0.00	\$0.00
	10.000	06/17/10	06/28/12	\$710.52	\$551.78	\$0.00	\$158.74	\$0.00	\$0.00
	12.000	12/29/10	06/28/12	\$852.63	\$672.96	\$0.00	\$179.67	\$0.00	\$0.00
Security Subtotal	67.000			\$4,642.43	\$3,446.08	\$0.00	\$1,196.35	\$0.00	\$0.00
ANNALY CAPITAL MNGMT INC									
CUSIP: 035710409 Symbol (Box 1d): NLY									
	47.000	03/11/09	03/05/12	\$779.58	\$637.69	\$0.00	\$141.89	\$0.00	\$0.00
	23.000	08/19/09	03/05/12	\$381.49	\$390.00	\$0.00	(\$8.51)	\$0.00	\$0.00
Security Subtotal	70.000			\$1,161.07	\$1,027.69	\$0.00	\$133.38	\$0.00	\$0.00
APACHE CORP									
CUSIP: 037411105 Symbol (Box 1d): APA									
	7.000	12/10/09	08/07/12	\$616.01	\$660.80	\$0.00	(\$44.79)	\$0.00	\$0.00
	6.000	06/09/10	08/07/12	\$528.01	\$543.96	\$0.00	(\$15.95)	\$0.00	\$0.00
Security Subtotal	13.000			\$1,144.02	\$1,204.76	\$0.00	(\$60.74)	\$0.00	\$0.00
APPLE INC									
CUSIP: 037833100 Symbol (Box 1d): AAPL									
	6.000	03/10/09	03/20/12	\$3,612.19	\$526.25	\$0.00	\$3,085.94	\$0.00	\$0.00
	2.000	03/10/09	04/18/12	\$1,214.51	\$175.42	\$0.00	\$1,039.09	\$0.00	\$0.00
	1.000	03/10/09	05/24/12	\$567.69	\$87.71	\$0.00	\$479.98	\$0.00	\$0.00
Security Subtotal	9.000			\$5,394.39	\$789.38	\$0.00	\$4,605.01	\$0.00	\$0.00
AT&T INC									
CUSIP: 00206R102 Symbol (Box 1d): T									
	16.000	03/10/09	06/21/12	\$568.27	\$367.95	\$0.00	\$200.32	\$0.00	\$0.00
	35.000	06/03/09	06/21/12	\$1,243.08	\$854.70	\$0.00	\$388.38	\$0.00	\$0.00

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Corporate Tax Statement
Tax Year 2012Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd FloorKLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054Jersey City, NJ 07311
Identification Number: 26-4310632Taxpayer ID Number: 26-3134339
Account Number: 759 019935 037**Customer Service: 866-324-6088****This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.****1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715****Gross Proceeds less commissions and option premiums on stocks, bonds, etc.****Long Term - Noncovered Securities #** (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
AT&T INC	13.000	09/18/09	06/21/12	\$461.71	\$350.84	\$0.00	\$110.87	\$0.00	
	28.000	09/18/09	06/28/12	\$982.56	\$755.66	\$0.00	\$226.90	\$0.00	
Security Subtotal	92.000			\$3,255.62	\$2,329.15	\$0.00	\$926.47	\$0.00	
AVALONBAY COMM INC		CUSIP: 053484101		Symbol (Box 1d): AVB					
	9.000	03/10/09	05/11/12	\$1,306.78	\$440.01	\$0.00	\$866.77	\$0.00	
BABCOCK & WILCOX COMPANY		CUSIP: 05615F102		Symbol (Box 1d): BWC					
	12.000	03/11/09	02/08/12	\$312.86	\$154.02	\$0.00	\$158.84	\$0.00	
BECTON DICKINSON & CO		CUSIP: 075887109		Symbol (Box 1d): BDX					
	57.000	03/10/09	08/20/12	\$4,357.24	\$3,594.99	\$0.00	\$762.25	\$0.00	
	17.000	12/10/09	08/20/12	\$1,299.53	\$1,324.42	\$0.00	(\$24.89)	\$0.00	
Security Subtotal	74.000			\$5,656.77	\$4,919.41	\$0.00	\$737.36	\$0.00	
BHP BILLITON LTD		CUSIP: 088606108		Symbol (Box 1d): BHP					
	10.000	03/11/09	04/18/12	\$730.00	\$408.60	\$0.00	\$321.40	\$0.00	
	3.000	03/11/09	06/21/12	\$192.55	\$122.58	\$0.00	\$69.97	\$0.00	
	1.000	03/11/09	10/31/12	\$70.70	\$40.86	\$0.00	\$29.84	\$0.00	
	8.000	03/11/09	10/31/12	\$565.62	\$326.88	\$0.00	\$238.74	\$0.00	
	9.000	03/11/09	10/31/12	\$636.32	\$367.74	\$0.00	\$268.58	\$0.00	
	11.000	03/11/09	10/31/12	\$777.74	\$448.69	\$0.00	\$329.05	\$0.00	
	5.000	03/11/09	10/31/12	\$353.51	\$204.30	\$0.00	\$149.21	\$0.00	
Security Subtotal	47.000			\$3,326.44	\$1,919.65	\$0.00	\$1,406.79	\$0.00	

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Corporate Tax Statement
Tax Year 2012

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities * (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
BIOMARIN PHARMAC SE		CUSIP: 09061G101	Symbol (Box 1d): BMRN						
	22.000	12/10/09	01/25/12	\$780.87	\$376.34	\$0.00	\$404.53	\$0.00	
	9.000	12/10/09	11/12/12	\$432.50	\$153.96	\$0.00	\$278.54	\$0.00	
Security Subtotal	31.000			\$1,213.37	\$530.30	\$0.00	\$683.07	\$0.00	
BNP PARIBAS SP ADR REPSTG		CUSIP: 05565A202	Symbol (Box 1d): BNPQY						
	47.000	05/05/09	05/18/12	\$783.24	\$1,325.40	\$0.00	(\$542.16)	\$0.00	
	12.000	05/25/10	05/18/12	\$199.98	\$337.08	\$0.00	(\$137.10)	\$0.00	
	6.000	12/29/10	05/18/12	\$99.99	\$193.86	\$0.00	(\$93.87)	\$0.00	
Security Subtotal	65.000			\$1,083.21	\$1,856.34	\$0.00	(\$773.13)	\$0.00	
BOSTON PROPERTIES INC		CUSIP: 101121101	Symbol (Box 1d): BXP						
	5.000	03/10/09	02/13/12	\$522.12	\$182.29	\$0.00	\$339.83	\$0.00	
BRANDYWINE REALTY TR SBI NEW		CUSIP: 105368203	Symbol (Box 1d): BDN						
	21.000	03/11/09	02/08/12	\$238.39	\$70.64	\$0.00	\$167.75	\$0.00	
BUNZL PLC NEW		CUSIP: 120738406	Symbol (Box 1d): BZLFY						
	4.000	12/10/09	07/17/12	\$338.43	\$212.40	\$0.00	\$126.03	\$0.00	
CABLEVISION SYSTEMS CORP		CUSIP: 12686C109	Symbol (Box 1d): CVC						
	54.000	03/11/09	02/02/12	\$776.55	\$368.74	\$0.00	\$407.81	\$0.00	
CANON INC ADR NEW		CUSIP: 138006309	Symbol (Box 1d): CAJ						
	10.000	08/12/10	07/18/12	\$366.00	\$414.25	\$0.00	(\$48.25)	\$0.00	
	1.000	08/12/10	07/18/12	\$36.60	\$41.43	\$0.00	(\$4.83)	\$0.00	
	3.000	08/12/10	10/05/12	\$94.59	\$124.28	\$0.00	(\$29.69)	\$0.00	

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Corporate Tax Statement Tax Year 2012

Morgan Stanley Smith Barney Holdings LLC
HARBORSIDE Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 26-4310632
Taxpayer ID Number: 26-3134339
Account Number: 759 019935 037

KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
CANON INC ADR NEW	9,000	08/24/10	10/05/12	\$283.78	\$372.11	\$0.00	(\$88.33)	\$0.00	
	12,000	08/24/10	11/02/12	\$381.05	\$496.14	\$0.00	(\$115.09)	\$0.00	
Security Subtotal	35,000			\$1,162.02	\$1,448.21	\$0.00	(\$286.19)	\$0.00	
CARNIVAL CP NEW PAIRED COM									
	31,000	07/10/09	01/26/12	\$951.70	\$762.33	\$0.00	\$189.37	\$0.00	
	17,000	08/25/09	01/26/12	\$521.90	\$527.59	\$0.00	(\$5.69)	\$0.00	
Security Subtotal	48,000			\$1,473.60	\$1,289.92	\$0.00	\$183.68	\$0.00	
CATALYST HEALTH SOLUTIONS INC									
	4,000	01/07/10	03/20/12	\$253.19	\$147.70	\$0.00	\$105.49	\$0.00	
	7,000	01/07/10	05/15/12	\$610.06	\$258.48	\$0.00	\$351.58	\$0.00	
	3,000	03/26/10	05/15/12	\$261.46	\$127.76	\$0.00	\$133.70	\$0.00	
	12,000	03/26/10	06/26/12	\$1,079.66	\$511.05	\$0.00	\$568.61	\$0.00	
	10,000	11/03/10	06/26/12	\$899.72	\$379.69	\$0.00	\$520.03	\$0.00	
Security Subtotal	36,000			\$3,104.09	\$1,424.68	\$0.00	\$1,679.41	\$0.00	
CELESIO AG UNSPONS ADR									
	134,000	12/10/09	02/29/12	\$487.77	\$674.02	\$0.00	(\$186.25)	\$0.00	
CHECK POINT SOFTWARE TECH LTD									
	2,000	12/10/09	10/23/12	\$86.01	\$66.08	\$0.00	\$19.93	\$0.00	
	1,000	12/10/09	10/23/12	\$43.00	\$33.04	\$0.00	\$9.96	\$0.00	
	18,000	12/10/09	10/23/12	\$774.04	\$594.69	\$0.00	\$179.35	\$0.00	
	1,000	11/03/10	10/23/12	\$43.00	\$42.68	\$0.00	\$0.32	\$0.00	
	4,000	12/29/10	10/23/12	\$172.01	\$183.32	\$0.00	(\$11.31)	\$0.00	
	6,000	12/29/10	10/23/12	\$258.01	\$274.98	\$0.00	(\$16.97)	\$0.00	
Security Subtotal	32,000			\$1,376.07	\$1,194.79	\$0.00	\$181.28	\$0.00	

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement Tax Year 2012

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KLEIN FAMILY FOUNDATION INC.
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)
 (Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SYMBOL (Box 1d)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
CHEUNG KONG HOLDINGS ADR	44 000	12/10/09	09/07/12	Symbol (Box 1d): CHEUY	\$599.70	\$560.56	\$0.00	\$39.14	\$0.00	
CHINA MOBILE LTD	5 000	12/10/09	07/31/12	Symbol (Box 1d): CHL	\$292.35	\$231.00	\$0.00	\$61.35	\$0.00	
CHINA TELECOM LTD	3 000	12/10/09	02/08/12	Symbol (Box 1d): CHA	\$167.84	\$130.65	\$0.00	\$37.19	\$0.00	
CINEMARK HOLDINGS INC.	30 000	08/31/10	06/26/12	Symbol (Box 1d): CNK	\$654.79	\$437.65	\$0.00	\$217.14	\$0.00	
	17 000	08/31/10	12/17/12	\$441.15	\$248.00	\$0.00	\$0.00	\$193.15	\$0.00	
Security Subtotal	47 000			\$1,095.94	\$685.65	\$0.00	\$0.00	\$410.29	\$0.00	
CNOOC LTD ADS	4 000	12/10/09	01/09/12	Symbol (Box 1d): CEO	\$787.13	\$621.20	\$0.00	\$165.93	\$0.00	
COCA COLA AMATIL LTD SPON ADR	21 000	12/10/09	08/02/12	Symbol (Box 1d): CCLAY	\$601.05	\$424.20	\$0.00	\$176.85	\$0.00	
COGNIZANT TECH SOLUTIONS CL A	3 000	12/10/09	11/29/12	Symbol (Box 1d): CTSH	\$200.27	\$132.63	\$0.00	\$67.64	\$0.00	
CONOCOPHILLIPS	21 000	04/19/10	02/23/12	Symbol (Box 1d): COP	\$1,562.93	\$1,187.60	\$0.00	\$375.33	\$0.00	
CONS EDISON INC (HLDG CO)	26 000	03/11/09	01/03/12	Symbol (Box 1d): ED	\$1,581.89	\$891.54	\$0.00	\$690.35	\$0.00	
	2 000	12/10/09	01/03/12	\$121.68	\$88.92	\$0.00	\$0.00	\$32.76	\$0.00	
Security Subtotal	28 000			\$1,703.57	\$980.46	\$0.00	\$0.00	\$723.11	\$0.00	

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part II with box B checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
CORE LABORATORIES N V									
		CUSIP: N22717107		Symbol (Box 1d): CLB					
	3,000	03/11/09	10/04/12	\$303.60	\$104.76	\$0.00	\$198.84	\$0.00	
	12,000	12/10/09	10/04/12	\$1,214.42	\$650.10	\$0.00	\$564.32	\$0.00	
Security Subtotal	15,000			\$1,518.02	\$754.86	\$0.00	\$763.16	\$0.00	
DANONE SPONSORED ADR									
		CUSIP: 23636T100		Symbol (Box 1d): DANOY					
	62,000	12/10/09	06/21/12	\$737.16	\$755.16	\$0.00	(\$18.00)	\$0.00	
	25,000	12/10/09	07/03/12	\$314.99	\$304.50	\$0.00	\$10.49	\$0.00	
	29,000	02/24/10	07/03/12	\$365.39	\$342.09	\$0.00	\$23.30	\$0.00	
	23,000	02/24/10	08/13/12	\$273.30	\$271.32	\$0.00	\$1.98	\$0.00	
Security Subtotal	139,000			\$1,690.84	\$1,673.07	\$0.00	\$17.77	\$0.00	
DAVITA INC									
		CUSIP: 23918K108		Symbol (Box 1d): DVA					
	3,000	12/10/09	02/08/12	\$250.52	\$177.93	\$0.00	\$72.59	\$0.00	
DBS GROUP HOLDINGS LTD SP									
		CUSIP: 23304Y100		Symbol (Box 1d): DBSDY					
	8,000	12/10/09	09/21/12	\$378.00	\$338.00	\$0.00	\$40.00	\$0.00	
DEERE & CO									
		CUSIP: 244199105		Symbol (Box 1d): DE					
	70,000	03/23/10	08/16/12	\$5,249.98	\$4,291.04	\$0.00	\$958.94	\$0.00	
	5,000	03/23/10	08/16/12	\$375.00	\$306.50	\$0.00	\$68.50	\$0.00	
	14,000	03/23/10	08/16/12	\$1,049.99	\$858.21	\$0.00	\$191.78	\$0.00	
Security Subtotal	89,000			\$6,674.97	\$5,455.75	\$0.00	\$1,219.22	\$0.00	
DENSO CORP LTD ADR									
		CUSIP: 24872B100		Symbol (Box 1d): DNZOY					
	26,000	12/10/09	11/06/12	\$405.96	\$385.94	\$0.00	\$20.02	\$0.00	
	24,000	12/10/09	12/27/12	\$412.55	\$356.25	\$0.00	\$56.30	\$0.00	
Security Subtotal	50,000			\$818.51	\$742.19	\$0.00	\$76.32	\$0.00	

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Corporate Tax Statement
Tax Year 2012

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
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KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054

Taxpayer ID Number: 26-3134339
Account Number: 759 019935 037

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
DEUTSCHE POST AG SPONSORED ADR	22.000	CUSIP: 25157Y202	Symbol (Box 1d): DPSGY						
		12/10/09	03/30/12	\$422.52	\$427.90	\$0.00	(\$5.38)	\$0.00	
DEVON ENERGY CORP NEW	80.000	CUSIP: 25179M103	Symbol (Box 1d): DVN						
		06/11/10	05/25/12	\$4,812.31	\$5,420.85	\$0.00	(\$608.54)	\$0.00	
DIGITAL REALTY TRUST INC	7.000	CUSIP: 253868103	Symbol (Box 1d): DLR						
		12/10/09	03/01/12	\$509.79	\$335.30	\$0.00	\$174.49	\$0.00	
DUKE ENERGY CORP NEW	0.733	CUSIP: 26441C204	Symbol (Box 1d): DUK						
		03/11/09	07/03/12	\$50.20	\$27.11	\$0.00	\$23.09	\$0.00	
E.ON AG		CUSIP: 268780103	Symbol (Box 1d): EONGY						
	28.000	12/10/09	03/08/12	\$628.58	\$1,127.56	\$0.00	(\$498.98)	\$0.00	
	20.000	12/10/09	08/01/12	\$423.82	\$805.40	\$0.00	(\$381.58)	\$0.00	
	14.000	12/29/10	08/01/12	\$296.67	\$424.20	\$0.00	(\$127.53)	\$0.00	
Security Subtotal	62.000			\$1,349.07	\$2,357.16	\$0.00	(\$1,008.09)	\$0.00	
EQT CORPORATION COM NEW		CUSIP: 26884L109	Symbol (Box 1d): EQT						
	27.000	12/10/09	02/09/12	\$1,358.35	\$1,106.23	\$0.00	\$252.12	\$0.00	
ERICSSON LM TEL ADR CL B NEW		CUSIP: 294821608	Symbol (Box 1d): ERIC						
	46.000	12/10/09	02/06/12	\$429.17	\$437.37	\$0.00	(\$8.20)	\$0.00	
ESSEX PROPERTY TRUST INC		CUSIP: 297178105	Symbol (Box 1d): ESS						
	1.000	12/10/09	02/23/12	\$139.41	\$80.35	\$0.00	\$59.06	\$0.00	

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Corporate Tax Statement Tax Year 2012

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)
 (Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SYMBOL (Box 1d)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
EXXON MOBIL CORP		CUSIP: 30231G102		Symbol (Box 1d): XOM						
	9.000	03/10/09	07/12/12	\$757.39		\$602.01	\$0.00	\$155.38	\$0.00	\$0.00
	1.000	09/09/09	07/12/12	\$84.15		\$70.24	\$0.00	\$13.91	\$0.00	\$0.00
Security Subtotal	10.000			\$841.54		\$672.25	\$0.00	\$169.29	\$0.00	\$0.00
EZCORP INC A		CUSIP: 302301106		Symbol (Box 1d): EZPW						
	56.000	08/02/10	12/11/12	\$1,098.85		\$1,129.21	\$0.00	(\$30.36)	\$0.00	\$0.00
FACTSET RESEARCH SYSTEMS INC		CUSIP: 303075105		Symbol (Box 1d): FDS						
	7.000	12/10/09	03/13/12	\$690.81		\$528.15	\$0.00	\$162.66	\$0.00	\$0.00
FANUC CORPORATION UNSP ADR		CUSIP: 307305102		Symbol (Box 1d): FANUY						
	18.000	07/29/10	05/02/12	\$515.51		\$363.13	\$0.00	\$152.38	\$0.00	\$0.00
FASTENAL CO		CUSIP: 311900104		Symbol (Box 1d): FAST						
	170.000	04/22/10	02/06/12	\$8,169.19		\$4,640.86	\$0.00	\$3,528.33	\$0.00	\$0.00
FISERV INC WISCONSIN		CUSIP: 337738108		Symbol (Box 1d): FISV						
	3.000	12/10/09	02/08/12	\$194.75		\$140.34	\$0.00	\$54.41	\$0.00	\$0.00
FLEXTRONICS INTL LTD		CUSIP: Y2573F102		Symbol (Box 1d): FLEX						
	27.000	05/10/10	02/08/12	\$188.00		\$197.10	\$0.00	(\$9.10)	\$0.00	\$0.00
FOMENTO ECONOMICO MEXICANO		CUSIP: 344419106		Symbol (Box 1d): FMX						
	7.000	08/23/10	03/02/12	\$530.45		\$348.64	\$0.00	\$181.81	\$0.00	\$0.00
	8.000	08/23/10	04/23/12	\$647.41		\$398.44	\$0.00	\$248.97	\$0.00	\$0.00
	2.000	08/23/10	08/06/12	\$173.37		\$99.61	\$0.00	\$73.76	\$0.00	\$0.00
	1.000	08/23/10	10/19/12	\$95.31		\$49.80	\$0.00	\$45.51	\$0.00	\$0.00
Security Subtotal	18.000			\$1,446.54		\$896.49	\$0.00	\$550.05	\$0.00	\$0.00

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Corporate Tax Statement
Tax Year 2012Morgan Stanley Smith Barney Holdings LLC
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EASTON CT 06612-2054Jersey City, NJ 07311
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GAZPROM O A O SPON ADR	51.000	CUSIP: 368287207	Symbol (Box 1d): OGZPY	11/19/12	\$455.16	\$364.65	\$0.00	\$90.51	\$0.00
GENERAL ELECTRIC CO	45.000	CUSIP: 369604103	Symbol (Box 1d): GE	07/12/12	\$878.38	\$642.45	\$0.00	\$235.93	\$0.00
	50.000	08/12/09	08/07/12	\$1,058.49	\$713.84	\$0.00	\$344.65	\$0.00	\$0.00
Security Subtotal	95.000			\$1,936.87	\$1,356.29	\$0.00	\$580.58	\$0.00	\$0.00
GENL DYNAMICS CORP	10.000	CUSIP: 369550108	Symbol (Box 1d): GD	02/13/12	\$702.60	\$516.62	\$0.00	\$185.98	\$0.00
	5.000	10/20/09	02/13/12	\$351.30	\$338.61	\$0.00	\$12.69	\$0.00	\$0.00
	15.000	10/20/09	12/03/12	\$989.91	\$1,015.82	\$0.00	(\$25.91)	\$0.00	\$0.00
	4.000	12/10/09	12/03/12	\$263.98	\$272.24	\$0.00	(\$8.26)	\$0.00	\$0.00
Security Subtotal	34.000			\$2,307.79	\$2,143.29	\$0.00	\$164.50	\$0.00	\$0.00
GENTEX CORP	50.000	CUSIP: 371901109	Symbol (Box 1d): GNTX	03/16/12	\$1,269.43	\$1,013.27	\$0.00	\$256.16	\$0.00
GLAXOSMITHKLINE PLC ADS	34.000	CUSIP: 37733W105	Symbol (Box 1d): GSK	07/26/12	\$1,525.49	\$1,430.38	\$0.00	\$95.11	\$0.00
	12.000	12/10/09	08/29/12	\$550.13	\$504.84	\$0.00	\$45.29	\$0.00	\$0.00
	4.000	12/29/10	08/29/12	\$183.37	\$156.96	\$0.00	\$26.41	\$0.00	\$0.00
Security Subtotal	50.000			\$2,258.99	\$2,092.18	\$0.00	\$166.81	\$0.00	\$0.00
GOLDMAN SACHS GRP INC	2.000	CUSIP: 38141G104	Symbol (Box 1d): GS	02/08/12	\$232.91	\$169.65	\$0.00	\$63.26	\$0.00

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GREAT PLAINS ENERGY INC									
		CUSIP: 391164100	Symbol (Box 1d): GXP						
	36.000	04/23/09	02/28/12	\$709.69	\$517.48	\$0.00	\$192.21	\$0.00	\$0.00
	36.000	04/23/09	08/03/12	\$796.34	\$517.48	\$0.00	\$278.86	\$0.00	\$0.00
	30.000	09/03/09	08/03/12	\$663.62	\$526.49	\$0.00	\$137.13	\$0.00	\$0.00
	5.000	09/04/09	08/03/12	\$110.60	\$87.75	\$0.00	\$22.85	\$0.00	\$0.00
	5.000	10/28/09	08/03/12	\$110.60	\$87.75	\$0.00	\$22.85	\$0.00	\$0.00
	14.000	12/03/10	08/03/12	\$309.70	\$266.82	\$0.00	\$42.88	\$0.00	\$0.00
Security Subtotal	126.000			\$2,700.55	\$2,003.77	\$0.00	\$696.78	\$0.00	\$0.00
HERBALIFE									
		CUSIP: G4412G101	Symbol (Box 1d): HLF						
	7.000	12/15/10	03/13/12	\$486.95	\$239.70	\$0.00	\$247.25	\$0.00	\$0.00
	19.000	12/15/10	05/24/12	\$858.75	\$650.62	\$0.00	\$208.13	\$0.00	\$0.00
Security Subtotal	26.000			\$1,345.70	\$890.32	\$0.00	\$455.38	\$0.00	\$0.00
HESS CORPORATION									
		CUSIP: 42809H107	Symbol (Box 1d): HES						
	18.000	09/09/09	05/11/12	\$865.82	\$944.11	\$0.00	(\$78.29)	\$0.00	\$0.00
	6.000	12/10/09	05/11/12	\$288.61	\$333.96	\$0.00	(\$45.35)	\$0.00	\$0.00
	13.000	06/17/10	05/11/12	\$625.31	\$710.89	\$0.00	(\$85.58)	\$0.00	\$0.00
Security Subtotal	37.000			\$1,779.74	\$1,988.96	\$0.00	(\$209.22)	\$0.00	\$0.00
HUDSON CITY BANCORP INC.									
		CUSIP: 443683107	Symbol (Box 1d): HCBK						
	33.000	09/23/10	02/08/12	\$231.78	\$398.01	\$0.00	(\$166.23)	\$0.00	\$0.00
HUTCHISON WHAMPOA ADR									
		CUSIP: 448415208	Symbol (Box 1d): HUWHY						
	33.000	12/10/09	02/08/12	\$651.40	\$449.65	\$0.00	\$201.75	\$0.00	\$0.00
ICICI BANK LTD									
		CUSIP: 45104G104	Symbol (Box 1d): IBN						
	22.000	12/10/09	06/01/12	\$608.46	\$820.16	\$0.00	(\$211.70)	\$0.00	\$0.00

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Corporate Tax Statement
Tax Year 2012

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 26-4310632
Taxpayer ID Number: 26-3134339
Account Number: 759 019935 037

KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities * (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
CUSIP: 456788108 Symbol (Box 1d): INFY									
INFOSYS LIMITED ADR	27.000	03/11/09	01/20/12	\$1,404.02	\$666.03	\$0.00	\$737.99	\$0.00	\$0.00
	2.000	12/10/09	01/20/12	\$104.00	\$105.10	\$0.00	(\$1.10)	\$0.00	\$0.00
	3.000	12/29/10	01/20/12	\$156.00	\$228.45	\$0.00	(\$72.45)	\$0.00	\$0.00
Security Subtotal	32.000			\$1,664.02	\$999.58	\$0.00	\$664.44	\$0.00	\$0.00
CUSIP: 46018M104 Symbol (Box 1d):									
INTL PWR PLC ADS	21.000	12/10/09	07/25/12	\$1,365.16	\$983.64	\$0.00	\$381.52	\$0.00	\$0.00
	4.000	12/29/10	07/25/12	\$260.03	\$275.32	\$0.00	(\$15.29)	\$0.00	\$0.00
Security Subtotal	25.000			\$1,625.19	\$1,258.96	\$0.00	\$366.23	\$0.00	\$0.00
CUSIP: 46120E602 Symbol (Box 1d): ISRG									
INTUITIVE SURGICAL INC	3.000	08/31/10	02/08/12	\$1,470.63	\$800.62	\$0.00	\$670.01	\$0.00	\$0.00
	2.000	08/31/10	03/20/12	\$1,053.40	\$533.75	\$0.00	\$519.65	\$0.00	\$0.00
	1.000	08/31/10	04/30/12	\$578.13	\$266.87	\$0.00	\$311.26	\$0.00	\$0.00
	1.000	08/31/10	04/30/12	\$578.14	\$266.87	\$0.00	\$311.27	\$0.00	\$0.00
Security Subtotal	7.000			\$3,680.30	\$1,868.11	\$0.00	\$1,812.19	\$0.00	\$0.00
CUSIP: 465717106 Symbol (Box 1d): ITOCY									
ITOCHU CORP ADR	35.000	06/18/10	06/01/12	\$737.58	\$598.15	\$0.00	\$139.43	\$0.00	\$0.00
	2.000	07/02/10	06/01/12	\$42.15	\$31.87	\$0.00	\$10.28	\$0.00	\$0.00
Security Subtotal	37.000			\$779.73	\$630.02	\$0.00	\$149.71	\$0.00	\$0.00
CUSIP: 478160104 Symbol (Box 1d): JNJ									
JOHNSON & JOHNSON	19.000	04/12/10	06/20/12	\$1,268.22	\$1,236.89	\$0.00	\$31.33	\$0.00	\$0.00
	11.000	05/27/10	06/20/12	\$734.24	\$648.84	\$0.00	\$85.40	\$0.00	\$0.00
Security Subtotal	30.000			\$2,002.46	\$1,885.73	\$0.00	\$116.73	\$0.00	\$0.00

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Corporate Tax Statement Tax Year 2012

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)
 (Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SYMBOL (Box 1d)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
JOY GLOBAL INC		CUSIP: 481165108		Symbol (Box 1d): JOY						
	15,000	09/02/10	10/24/12	\$905.17		\$940.40	\$0.00	(\$35.23)	\$0.00	\$0.00
	1,000	11/03/10	10/24/12	\$60.34		\$70.35	\$0.00	(\$10.01)	\$0.00	\$0.00
Security Subtotal	16,000			\$965.51		\$1,010.75	\$0.00	(\$45.24)	\$0.00	\$0.00
JUNIPER NETWORKS		CUSIP: 48203R104		Symbol (Box 1d): JNPR						
	144,000	05/29/09	05/21/12	\$2,561.36		\$3,583.54	\$0.00	(\$1,022.18)	\$0.00	\$0.00
	23,000	12/10/09	05/21/12	\$409.11		\$621.85	\$0.00	(\$212.74)	\$0.00	\$0.00
Security Subtotal	167,000			\$2,970.47		\$4,205.39	\$0.00	(\$1,234.92)	\$0.00	\$0.00
KANSAS CY SOUTHN IND NEW		CUSIP: 485170302		Symbol (Box 1d): KSU						
	6,000	05/06/10	07/06/12	\$417.56		\$226.84	\$0.00	\$190.72	\$0.00	\$0.00
KINGFISHER PLC SPONS ADR NEW		CUSIP: 495724403		Symbol (Box 1d): KGFHY						
	70,000	12/10/09	09/04/12	\$599.19		\$532.00	\$0.00	\$67.19	\$0.00	\$0.00
KONICA MINOLTA HLDGS INC ADR		CUSIP: 50048B104		Symbol (Box 1d): KNCAY						
	20,000	12/10/09	03/30/12	\$350.59		\$411.00	\$0.00	(\$60.41)	\$0.00	\$0.00
KONINKLIJKE AHOLD ADR		CUSIP: 500467402		Symbol (Box 1d): AHONY						
	48,000	12/10/09	02/27/12	\$668.09		\$648.00	\$0.00	\$20.09	\$0.00	\$0.00
	46,000	12/10/09	08/31/12	\$563.51		\$621.00	\$0.00	(\$57.49)	\$0.00	\$0.00
	23,000	12/10/09	10/15/12	\$284.50		\$310.50	\$0.00	(\$26.00)	\$0.00	\$0.00
Security Subtotal	117,000			\$1,516.10		\$1,579.50	\$0.00	(\$63.40)	\$0.00	\$0.00
KONINKLIJKE PHIL EL SP ADR NEW		CUSIP: 500472303		Symbol (Box 1d): PHG						
	21,000	12/10/09	12/12/12	\$552.87		\$616.35	\$0.00	(\$63.48)	\$0.00	\$0.00

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Corporate Tax Statement Tax Year 2012

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)
(Continued)

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CUSIP: 50076Q106 Symbol (Box 1d): KRFT									
KRAFT FOODS GROUP INC COM	0.000	03/10/09	10/01/12	\$31.62	\$0.00	\$0.00	\$31.62	\$0.00	
	0.000	03/10/09	10/01/12	\$31.57	\$0.00	\$0.00	\$31.57	\$0.00	
Security Subtotal	0.000			\$63.19	\$0.00	\$0.00	\$63.19	\$0.00	
CUSIP: 50075N104 Symbol (Box 1d):									
KRAFT FOODS INC CL A	31.000	03/10/09	02/08/12	\$1,195.47	\$663.40	\$0.00	\$532.07	\$0.00	
CUSIP: 530322106 Symbol (Box 1d):									
LIBERTY MEDIA CO LIBERTY CAP A	12.000	12/10/09	02/08/12	\$1,023.10	\$666.07	\$0.00	\$357.03	\$0.00	
	12.000	12/10/09	04/30/12	\$1,050.26	\$666.07	\$0.00	\$384.19	\$0.00	
	1 000	12/13/10	04/30/12	\$87.52	\$62.42	\$0.00	\$25.10	\$0.00	
	7.000	12/13/10	10/04/12	\$761.28	\$436.96	\$0.00	\$324.32	\$0.00	
Security Subtotal	32.000			\$2,922.16	\$1,831.52	\$0.00	\$1,090.64	\$0.00	
CUSIP: 501889208 Symbol (Box 1d): LKQ									
LKQ CORPORATION	33.000	03/10/09	06/21/12	\$1,189.69	\$426.95	\$0.00	\$762.74	\$0.00	
CUSIP: N53745100 Symbol (Box 1d): LYB									
LYONDELLBASELL NV CL-A	13.000	11/01/10	03/12/12	\$525.78	\$276.42	\$0.00	\$249.36	\$0.00	
	16.000	11/01/10	10/24/12	\$861.83	\$336.84	\$0.00	\$524.99	\$0.00	
Security Subtotal	29.000			\$1,387.61	\$613.26	\$0.00	\$774.35	\$0.00	
CUSIP: 577081102 Symbol (Box 1d): MAT									
MATTEL INC	18.000	03/11/09	02/08/12	\$572.43	\$195.08	\$0.00	\$377.35	\$0.00	
CUSIP: 580135101 Symbol (Box 1d): MCD									
MC DONALDS CORP	8.000	04/24/09	10/03/12	\$725.27	\$440.76	\$0.00	\$284.51	\$0.00	
	3.000	05/19/09	10/03/12	\$271.98	\$162.01	\$0.00	\$109.97	\$0.00	

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Corporate Tax Statement Tax Year 2012

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
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Identification Number: 26-4310632

KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
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EASTON CT 06612-2054

Taxpayer ID Number: 26-3134339
Account Number: 759 019935 037

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked)
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MC DONALDS CORP	5.000	06/03/09	10/03/12	\$453.30	\$303.54	\$0.00	\$149.76	\$0.00	
	1.000	08/25/09	10/03/12	\$90.66	\$56.47	\$0.00	\$34.19	\$0.00	
	1.000	08/25/09	10/03/12	\$90.66	\$56.47	\$0.00	\$34.19	\$0.00	
	9.000	08/25/09	10/03/12	\$815.93	\$508.27	\$0.00	\$307.66	\$0.00	
	12.000	12/10/09	10/03/12	\$1,087.91	\$734.28	\$0.00	\$353.63	\$0.00	
	11.000	12/10/09	10/03/12	\$997.25	\$673.09	\$0.00	\$324.16	\$0.00	
	12.000	01/05/10	10/03/12	\$1,087.91	\$747.48	\$0.00	\$340.43	\$0.00	
	5.000	12/29/10	10/03/12	\$453.29	\$385.65	\$0.00	\$67.64	\$0.00	
Security Subtotal	67.000			\$6,074.16	\$4,068.02	\$0.00	\$2,006.14	\$0.00	
METLIFE INCORPORATED		CUSIP: 59156R108	Symbol (Box 1d): MET						
	35.000	04/24/09	02/23/12	\$1,329.47	\$1,006.47	\$0.00	\$323.00	\$0.00	
	4.000	12/10/09	02/23/12	\$151.94	\$143.80	\$0.00	\$8.14	\$0.00	
	16.000	12/10/09	10/31/12	\$568.14	\$575.20	\$0.00	(\$7.06)	\$0.00	
	25.000	06/09/10	10/31/12	\$887.71	\$978.75	\$0.00	(\$91.04)	\$0.00	
	15.000	12/29/10	10/31/12	\$532.63	\$672.90	\$0.00	(\$140.27)	\$0.00	
Security Subtotal	95.000			\$3,469.89	\$3,377.12	\$0.00	\$92.77	\$0.00	
MICROSOFT CORP		CUSIP: 594918104	Symbol (Box 1d): MSFT						
	34.000	03/10/09	05/24/12	\$984.95	\$544.60	\$0.00	\$440.35	\$0.00	
MITSUBISHI UFJ FINCL GRP ADS		CUSIP: 606822104	Symbol (Box 1d): MTU						
	85.000	12/30/09	03/16/12	\$443.71	\$420.75	\$0.00	\$22.96	\$0.00	

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Corporate Tax Statement
Tax Year 2012

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MSCI INC COM										
	7.000	04/07/10	05/15/12	Symbol (Box 1d): MSCI		\$261.50	\$0.00	(\$31.68)	\$0.00	
	53.000	04/19/10	05/15/12		\$1,740.03	\$1,931.19	\$0.00	(\$191.16)	\$0.00	
Security Subtotal	60.000				\$1,969.85	\$2,192.69	\$0.00	(\$222.84)	\$0.00	
MUECHENER RUECK-UNSPONS ADR										
	3.000	12/10/09	06/01/12	Symbol (Box 1d): MURGY		\$46.23	\$0.00	(\$10.01)	\$0.00	
	8.000	12/29/10	06/01/12		\$96.58	\$121.36	\$0.00	(\$24.78)	\$0.00	
Security Subtotal	11.000				\$132.80	\$167.59	\$0.00	(\$34.79)	\$0.00	
NESTLE SPON ADR REP REG SHR										
	9.000	03/10/09	03/16/12	Symbol (Box 1d): NSRGY		\$284.58	\$0.00	\$274.57	\$0.00	
	7.000	03/10/09	07/20/12		\$419.01	\$221.34	\$0.00	\$197.67	\$0.00	
	2.000	03/11/09	07/20/12		\$119.71	\$63.20	\$0.00	\$56.51	\$0.00	
	6.000	03/11/09	07/20/12		\$359.15	\$189.60	\$0.00	\$169.55	\$0.00	
Security Subtotal	24.000				\$1,457.02	\$758.72	\$0.00	\$698.30	\$0.00	
NIDEC CORP										
	62.000	12/10/09	12/19/12	Symbol (Box 1d): NJ		\$1,415.80	\$0.00	(\$529.18)	\$0.00	
	14.000	07/12/10	12/19/12		\$200.20	\$325.94	\$0.00	(\$125.74)	\$0.00	
Security Subtotal	76.000				\$1,086.82	\$1,741.74	\$0.00	(\$654.92)	\$0.00	
NINTENDO CO LTD ADR NEW										
	11.000	12/10/09	02/08/12	Symbol (Box 1d): NTDOY		\$334.51	\$0.00	(\$143.23)	\$0.00	
	12.000	12/10/09	04/04/12		\$219.71	\$364.92	\$0.00	(\$145.21)	\$0.00	
	34.000	12/10/09	07/25/12		\$440.67	\$1,033.94	\$0.00	(\$593.27)	\$0.00	
Security Subtotal	57.000				\$851.66	\$1,733.37	\$0.00	(\$881.71)	\$0.00	

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CUSIP: 66987V109 Symbol (Box 1d): NVS									
NOVARTIS AG ADR	8.000	03/11/09	01/25/12	\$435.60	\$272.64	\$0.00	\$162.96	\$0.00	\$0.00
	1.000	03/11/09	05/01/12	\$55.42	\$34.08	\$0.00	\$21.34	\$0.00	\$0.00
	9.000	03/11/09	05/01/12	\$498.81	\$306.81	\$0.00	\$192.00	\$0.00	\$0.00
	8.000	03/11/09	08/29/12	\$471.73	\$272.64	\$0.00	\$199.09	\$0.00	\$0.00
Security Subtotal	26.000			\$1,461.56	\$886.17	\$0.00	\$575.39	\$0.00	\$0.00
CUSIP: 670100205 Symbol (Box 1d): NVO									
NOVO NORDISK A/S ADR	4.000	07/21/09	01/18/12	\$479.56	\$227.63	\$0.00	\$251.93	\$0.00	\$0.00
	3.000	07/21/09	05/03/12	\$445.98	\$170.73	\$0.00	\$275.25	\$0.00	\$0.00
	3.000	07/21/09	08/10/12	\$467.17	\$170.73	\$0.00	\$296.44	\$0.00	\$0.00
Security Subtotal	10.000			\$1,392.71	\$569.09	\$0.00	\$823.62	\$0.00	\$0.00
CUSIP: 674599105 Symbol (Box 1d): OXY									
OCCIDENTAL PETROLEUM CORP DE	1.000	03/10/09	11/02/12	\$78.02	\$53.49	\$0.00	\$24.53	\$0.00	\$0.00
	51.000	03/10/09	11/02/12	\$3,979.14	\$2,727.99	\$0.00	\$1,251.15	\$0.00	\$0.00
	12.000	03/24/09	11/02/12	\$936.27	\$709.00	\$0.00	\$227.27	\$0.00	\$0.00
	5.000	12/10/09	11/02/12	\$390.11	\$384.00	\$0.00	\$6.11	\$0.00	\$0.00
	4.000	05/07/10	11/02/12	\$312.09	\$321.20	\$0.00	(\$9.11)	\$0.00	\$0.00
Security Subtotal	73.000			\$5,695.63	\$4,195.68	\$0.00	\$1,499.95	\$0.00	\$0.00
CUSIP: 680223104 Symbol (Box 1d): ORI									
OLD REPUBLIC INTL CP	35.000	03/11/09	02/08/12	\$365.54	\$289.63	\$0.00	\$75.91	\$0.00	\$0.00

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Corporate Tax Statement
Tax Year 2012Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd FloorJersey City, NJ 07311
Identification Number: 26-4310632KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054Taxpayer ID Number: 26-3134339
Account Number: 759 019935 037

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
ORACLE CORP									
		CUSIP: 68389X105		Symbol (Box 1d): ORCL					
	36.000	04/01/09	05/24/12	\$936.33	\$660.12	\$0.00	\$276.21	\$0.00	\$0.00
	11.000	04/01/09	08/07/12	\$346.51	\$201.70	\$0.00	\$144.81	\$0.00	\$0.00
Security Subtotal	47.000			\$1,282.84	\$861.82	\$0.00	\$421.02	\$0.00	\$0.00
PEABODY ENERGY CORP									
		CUSIP: 704549104		Symbol (Box 1d): BTU					
	6.000	06/09/10	02/13/12	\$213.31	\$223.79	\$0.00	(\$10.48)	\$0.00	\$0.00
	18.000	06/17/10	02/13/12	\$639.94	\$728.85	\$0.00	(\$88.91)	\$0.00	\$0.00
Security Subtotal	24.000			\$853.25	\$952.64	\$0.00	(\$99.39)	\$0.00	\$0.00
PEARSON PLC SP ADR									
		CUSIP: 705015105		Symbol (Box 1d): PSO					
	42.000	12/10/09	01/25/12	\$768.81	\$593.46	\$0.00	\$175.35	\$0.00	\$0.00
PEPSICO INC NC									
		CUSIP: 713448108		Symbol (Box 1d): PEP					
	2.000	04/24/09	02/23/12	\$125.84	\$96.39	\$0.00	\$29.45	\$0.00	\$0.00
	12.000	05/19/09	02/23/12	\$755.07	\$621.08	\$0.00	\$133.99	\$0.00	\$0.00
	3.000	12/10/09	02/23/12	\$188.77	\$185.73	\$0.00	\$3.04	\$0.00	\$0.00
Security Subtotal	17.000			\$1,069.68	\$903.20	\$0.00	\$166.48	\$0.00	\$0.00
PG&E CORPORATION									
		CUSIP: 69331C108		Symbol (Box 1d): PCG					
	19.000	10/20/09	01/26/12	\$785.63	\$812.45	\$0.00	(\$26.82)	\$0.00	\$0.00
	20.000	10/20/09	02/13/12	\$826.69	\$855.21	\$0.00	(\$28.52)	\$0.00	\$0.00
	17.000	10/20/09	10/31/12	\$721.19	\$726.93	\$0.00	(\$5.74)	\$0.00	\$0.00
	4.000	12/10/09	10/31/12	\$199.69	\$176.68	\$0.00	(\$26.99)	\$0.00	\$0.00
Security Subtotal	60.000			\$2,503.20	\$2,571.27	\$0.00	(\$68.07)	\$0.00	\$0.00

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Corporate Tax Statement Tax Year 2012

Morgan Stanley Smith Barney Holdings LLC
HARBORSIDE FINANCIAL CENTER
PLAZA 2 2ND FLOOR
JERSEY CITY, NJ 07311
IDENTIFICATION NUMBER: 26-4310632
TAXPAYER ID NUMBER: 26-3134339
ACCOUNT NUMBER: 759 019935 037
CUSTOMER SERVICE: 866-324-6088

KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
PHILLIPS 66 COM CUSIP: 718546104 Symbol (Box 1d): PSX									
	3.000	04/19/10	12/03/12	\$156.04	\$77.74	\$0.00	\$78.30	\$0.00	\$0.00
	11.000	05/27/10	12/03/12	\$572.13	\$261.21	\$0.00	\$310.92	\$0.00	\$0.00
	8.000	06/17/10	12/03/12	\$416.09	\$201.04	\$0.00	\$215.05	\$0.00	\$0.00
Security Subtotal	22.000			\$1,144.26	\$539.99	\$0.00	\$604.27	\$0.00	\$0.00
PROGRESS ENERGY INC CUSIP: 743263105 Symbol (Box 1d):									
	10.000	03/11/09	02/08/12	\$544.88	\$318.90	\$0.00	\$225.98	\$0.00	\$0.00
PRUDENTIAL FINANCIAL INC CUSIP: 744320102 Symbol (Box 1d): PRU									
	34.000	05/19/09	12/03/12	\$1,767.04	\$1,464.38	\$0.00	\$302.66	\$0.00	\$0.00
	6.000	09/28/09	12/03/12	\$311.83	\$301.74	\$0.00	\$10.09	\$0.00	\$0.00
	6.000	12/10/09	12/03/12	\$311.83	\$292.68	\$0.00	\$19.15	\$0.00	\$0.00
Security Subtotal	46.000			\$2,390.70	\$2,058.80	\$0.00	\$331.90	\$0.00	\$0.00
RANGE RESOURCES CORP CUSIP: 75281A109 Symbol (Box 1d): RRC									
	8.000	03/11/09	01/13/12	\$434.43	\$292.40	\$0.00	\$142.03	\$0.00	\$0.00
	15.000	03/11/09	03/23/12	\$883.50	\$548.25	\$0.00	\$335.25	\$0.00	\$0.00
	2.000	03/11/09	05/04/12	\$127.36	\$73.10	\$0.00	\$54.26	\$0.00	\$0.00
	15.000	09/23/09	05/04/12	\$955.18	\$781.78	\$0.00	\$173.40	\$0.00	\$0.00
	9.000	12/10/09	05/04/12	\$573.11	\$395.82	\$0.00	\$177.29	\$0.00	\$0.00
Security Subtotal	49.000			\$2,973.58	\$2,091.35	\$0.00	\$882.23	\$0.00	\$0.00
REED ELSEVIER NV-SPONS ADR CUSIP: 758204200 Symbol (Box 1d): ENL									
	10.000	06/17/10	09/28/12	\$266.94	\$225.99	\$0.00	\$40.95	\$0.00	\$0.00
	7.000	10/27/10	09/28/12	\$186.85	\$180.42	\$0.00	\$6.43	\$0.00	\$0.00
Security Subtotal	17.000			\$453.79	\$406.41	\$0.00	\$47.38	\$0.00	\$0.00

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Corporate Tax Statement
Tax Year 2012

Morgan Stanley Smith Barney Holdings LLC
 Harborside Financial Center
 Plaza 2 2nd Floor
 Jersey City, NJ 07311
 Identification Number: 26-4310632

KLEIN FAMILY FOUNDATION INC.
 ATTENTION: CARLA KLEIN
 42 TUCKAHOE RD
 EASTON CT 06612-2054

Taxpayer ID Number: 26-3134339
 Account Number: 759 019935 037

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)
 (Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
REPSOL YPF SA ADR	3.000	CUSIP: 76026T205	Symbol (Box 1d): REPLY	\$84.29	\$80.79	\$0.00	\$3.50	\$0.00	
RESMED INC		CUSIP: 761152107	Symbol (Box 1d): RMD						
	39.000	06/24/09	01/13/12	\$1,004.61	\$762.74	\$0.00	\$241.87	\$0.00	
	30.000	06/25/09	01/13/12	\$772.77	\$604.80	\$0.00	\$167.97	\$0.00	
Security Subtotal	69.000			\$1,777.38	\$1,367.54	\$0.00	\$409.84	\$0.00	
REYNOLDS AMERICAN INC	48.000	CUSIP: 761713106	Symbol (Box 1d): RAI	\$1,945.22	\$1,528.88	\$0.00	\$416.34	\$0.00	
ROLLS ROYCE HOLDINGS PLC		CUSIP: 775781206	Symbol (Box 1d): RYCEY						
	2.000	12/10/09	02/08/12	\$124.07	\$78.36	\$0.00	\$45.71	\$0.00	
	14.000	12/10/09	08/03/12	\$925.93	\$548.52	\$0.00	\$377.41	\$0.00	
Security Subtotal	16.000			\$1,050.00	\$626.88	\$0.00	\$423.12	\$0.00	
SANOFI CVR RTS 123120		CUSIP: 80105N113	Symbol (Box 1d): GCVRZ						
	62.000	05/05/11	09/25/12	\$104.78	\$145.95	\$0.00	(\$41.17)	\$0.00	
	289.000	05/31/11	09/25/12	\$488.39	\$708.05	\$0.00	(\$219.66)	\$0.00	
Security Subtotal	351.000			\$593.17	\$854.00	\$0.00	(\$260.83)	\$0.00	
SAP AG		CUSIP: 803054204	Symbol (Box 1d): SAP						
	11.000	03/11/09	01/06/12	\$590.23	\$371.03	\$0.00	\$219.20	\$0.00	
	14.000	03/11/09	07/13/12	\$826.11	\$472.22	\$0.00	\$353.89	\$0.00	
Security Subtotal	25.000			\$1,416.34	\$843.25	\$0.00	\$573.09	\$0.00	

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Corporate Tax Statement
Tax Year 2012

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 26-4310632

Taxpayer ID Number: 26-3134339
Account Number: 759 019935 037

Customer Service: 866-324-6088

KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part II with box B checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
CUSIP: 78404D109 Symbol (Box 1d): SBFFY									
SBM OFFSHORE NV ADR	8.000	12/10/09	02/08/12	\$148.55	\$152.08	\$0.00	(\$3.53)	\$0.00	\$0.00
	24.000	12/10/09	08/06/12	\$300.09	\$456.24	\$0.00	(\$156.15)	\$0.00	\$0.00
	24.000	12/10/09	08/07/12	\$306.03	\$456.24	\$0.00	(\$150.21)	\$0.00	\$0.00
Security Subtotal	56.000			\$754.67	\$1,064.56	\$0.00	(\$309.89)	\$0.00	\$0.00
CUSIP: G7945E105 Symbol (Box 1d): SDRL									
SEADRILL LTD	27.000	11/08/10	03/09/12	\$1,047.93	\$881.13	\$0.00	\$166.80	\$0.00	\$0.00
	21.000	11/08/10	04/10/12	\$751.92	\$685.33	\$0.00	\$66.59	\$0.00	\$0.00
Security Subtotal	48.000			\$1,799.85	\$1,566.46	\$0.00	\$233.39	\$0.00	\$0.00
CUSIP: 82669G104 Symbol (Box 1d): SBNY									
SIGNATURE BANK	1.000	06/25/09	03/12/12	\$61.64	\$26.47	\$0.00	\$35.17	\$0.00	\$0.00
	8.000	08/05/09	03/12/12	\$493.11	\$241.87	\$0.00	\$251.24	\$0.00	\$0.00
	8.000	08/05/09	06/18/12	\$476.35	\$241.87	\$0.00	\$234.48	\$0.00	\$0.00
Security Subtotal	17.000			\$1,031.10	\$510.21	\$0.00	\$520.89	\$0.00	\$0.00
CUSIP: 832696405 Symbol (Box 1d): SJM									
SMUCKER JM CO COM NEW	73.000	12/15/09	02/17/12	\$5,316.74	\$4,421.55	\$0.00	\$895.19	\$0.00	\$0.00
CUSIP: 835699307 Symbol (Box 1d): SNE									
SONY CORP ADR 1974 NEW	24.000	12/10/09	08/29/12	\$282.31	\$682.80	\$0.00	(\$400.49)	\$0.00	\$0.00
CUSIP: 845467109 Symbol (Box 1d): SWN									
SOUTHWESTERN ENERGY COMPANY	31.000	12/10/09	05/24/12	\$893.31	\$1,298.10	\$0.00	(\$404.79)	\$0.00	\$0.00
CUSIP: 790849103 Symbol (Box 1d): STJ									
ST JUDE MEDICAL INC	48.000	03/11/09	03/14/12	\$2,026.10	\$1,627.20	\$0.00	\$398.90	\$0.00	\$0.00
	10.000	03/11/09	03/14/12	\$422.10	\$339.00	\$0.00	\$83.10	\$0.00	\$0.00

Corporate Tax Statement
Tax Year 2012

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 26-4310632

KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054

Taxpayer ID Number: 26-3134339
Account Number: 759 019935 037

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part II with box B checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
ST JUDE MEDICAL INC	2,000	03/11/09	03/14/12	\$84.42	\$67.80	\$0.00	\$16.62	\$0.00	
	15,000	12/10/09	03/14/12	\$633.16	\$571.65	\$0.00	\$61.51	\$0.00	
	2,000	10/15/10	03/14/12	\$84.42	\$79.70	\$0.00	\$4.72	\$0.00	
Security Subtotal	77,000			\$3,250.20	\$2,685.35	\$0.00	\$564.85	\$0.00	
STARBUCKS CORP WASHINGTON		CUSIP: 855244109	Symbol (Box 1d): SBUX						
	50,000	06/18/10	02/08/12	\$2,432.59	\$1,406.93	\$0.00	\$1,025.66	\$0.00	
	148,000	06/18/10	05/21/12	\$7,679.48	\$4,164.51	\$0.00	\$3,514.97	\$0.00	
Security Subtotal	198,000			\$10,112.07	\$5,571.44	\$0.00	\$4,540.63	\$0.00	
T ROWE PRICE GROUP INC		CUSIP: 74144T108	Symbol (Box 1d): TROW						
	108,000	03/10/09	02/06/12	\$6,336.49	\$2,489.40	\$0.00	\$3,847.09	\$0.00	
TAIWAN SMCNDCTR MFG CO LTD ADR		CUSIP: 874039100	Symbol (Box 1d): TSM						
	17,000	12/10/09	06/04/12	\$219.30	\$182.89	\$0.00	\$36.41	\$0.00	
	42,000	12/10/09	08/09/12	\$595.12	\$451.84	\$0.00	\$143.28	\$0.00	
	60,000	12/10/09	08/30/12	\$858.28	\$645.48	\$0.00	\$212.80	\$0.00	
	60,000	12/10/09	09/07/12	\$872.54	\$645.48	\$0.00	\$227.06	\$0.00	
Security Subtotal	179,000			\$2,545.24	\$1,925.69	\$0.00	\$619.55	\$0.00	
TELEFONICA SA ADR		CUSIP: 879382208	Symbol (Box 1d): TEF						
	23,000	03/11/09	06/01/12	\$257.20	\$417.22	\$0.00	(\$160.02)	\$0.00	
TELEKOM AUSTRIA A G SPONS ADR		CUSIP: 87943Q109	Symbol (Box 1d): TKAGY						
	35,000	12/10/09	06/19/12	\$707.02	\$1,043.00	\$0.00	(\$335.98)	\$0.00	
TELENOR ASA ADS		CUSIP: 87944W105	Symbol (Box 1d): TELNY						
	18,000	12/10/09	11/30/12	\$1,094.00	\$773.10	\$0.00	\$320.90	\$0.00	

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Corporate Tax Statement Tax Year 2012

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)
(Continued)

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CUSIP: 88076W103 Symbol (Box 1d): TDC									
TERADATA CORP	10 000	12/10/09	07/16/12	\$650.03	\$304.90	\$0.00	\$345.13	\$0.00	\$0.00
	2.000	12/10/09	07/16/12	\$130.00	\$60.98	\$0.00	\$69.02	\$0.00	\$0.00
	5 000	12/10/09	07/16/12	\$325.01	\$152.45	\$0.00	\$172.56	\$0.00	\$0.00
	13.000	02/18/10	07/16/12	\$845.04	\$388.71	\$0.00	\$456.33	\$0.00	\$0.00
	1.000	11/03/10	07/16/12	\$65.00	\$39.66	\$0.00	\$25.34	\$0.00	\$0.00
	14.000	12/22/10	07/16/12	\$910.04	\$585.32	\$0.00	\$324.72	\$0.00	\$0.00
Security Subtotal	45.000			\$2,925.12	\$1,532.02	\$0.00	\$1,393.10	\$0.00	\$0.00
CUSIP: 880779103 Symbol (Box 1d): TEX									
TEREX CP NEW DEL	12.000	08/16/10	10/10/12	\$269.00	\$235.05	\$0.00	\$33.95	\$0.00	\$0.00
CUSIP: 881575302 Symbol (Box 1d): TSCDY									
TESCO PLC SPONSORED ADR	27 000	03/11/09	01/27/12	\$409.09	\$352.35	\$0.00	\$56.74	\$0.00	\$0.00
	43 000	03/11/09	02/16/12	\$657.67	\$561.15	\$0.00	\$96.52	\$0.00	\$0.00
	6.000	07/21/09	02/16/12	\$91.77	\$110.40	\$0.00	(\$18.63)	\$0.00	\$0.00
	3.000	07/21/09	08/03/12	\$45.27	\$55.20	\$0.00	(\$9.93)	\$0.00	\$0.00
	9.000	07/21/09	08/03/12	\$135.80	\$165.60	\$0.00	(\$29.80)	\$0.00	\$0.00
	24.000	12/10/09	08/03/12	\$362.15	\$492.96	\$0.00	(\$130.81)	\$0.00	\$0.00
Security Subtotal	112.000			\$1,701.75	\$1,737.66	\$0.00	(\$35.91)	\$0.00	\$0.00
CUSIP: 554382101 Symbol (Box 1d): MAC									
THE MACERICH CO	19.000	05/26/10	05/29/12	\$1,117.51	\$729.76	\$0.00	\$387.75	\$0.00	\$0.00

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Corporate Tax Statement
Tax Year 2012

Morgan Stanley Smith Barney Holdings LLC
Hartborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 26-4310632
Taxpayer ID Number: 26-3134339
Account Number: 759 019935 037

KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
TIFFANY & COMPANY NEW									
		CUSIP: 886547108	Symbol (Box 1d): TIF						
	15,000	04/20/10	04/17/12	\$1,005.67	\$742.04	\$0.00	\$263.63	\$0.00	\$0.00
	8,000	05/06/10	04/17/12	\$536.36	\$365.29	\$0.00	\$171.07	\$0.00	\$0.00
	9,000	10/20/10	04/17/12	\$603.40	\$450.30	\$0.00	\$153.10	\$0.00	\$0.00
Security Subtotal	32,000			\$2,145.43	\$1,557.63	\$0.00	\$587.80	\$0.00	\$0.00
TJX COS INC NEW									
		CUSIP: 872540109	Symbol (Box 1d): TJX						
	10,000	03/10/09	05/11/12	\$414.64	\$115.89	\$0.00	\$298.75	\$0.00	\$0.00
	4,000	12/10/09	05/11/12	\$165.86	\$75.28	\$0.00	\$90.58	\$0.00	\$0.00
	1,000	05/24/10	05/11/12	\$41.46	\$22.17	\$0.00	\$19.29	\$0.00	\$0.00
	34,000	05/24/10	05/11/12	\$1,409.78	\$753.64	\$0.00	\$656.14	\$0.00	\$0.00
	40,000	05/24/10	05/11/12	\$1,658.56	\$886.64	\$0.00	\$771.92	\$0.00	\$0.00
	6,000	05/24/10	06/01/12	\$247.33	\$133.00	\$0.00	\$114.33	\$0.00	\$0.00
	5,000	05/24/10	06/01/12	\$206.11	\$110.83	\$0.00	\$95.28	\$0.00	\$0.00
	62,000	05/24/10	06/01/12	\$2,555.79	\$1,374.29	\$0.00	\$1,181.50	\$0.00	\$0.00
	57,000	05/24/10	06/20/12	\$2,451.55	\$1,263.46	\$0.00	\$1,188.09	\$0.00	\$0.00
	2,000	05/24/10	06/21/12	\$86.50	\$44.33	\$0.00	\$42.17	\$0.00	\$0.00
	3,000	05/24/10	06/21/12	\$129.76	\$66.50	\$0.00	\$63.26	\$0.00	\$0.00
Security Subtotal	224,000			\$9,367.34	\$4,846.03	\$0.00	\$4,521.31	\$0.00	\$0.00
TOTAL FINA ELF SA									
		CUSIP: 89151E109	Symbol (Box 1d): TOT						
	5,000	03/10/09	07/30/12	\$227.74	\$237.35	\$0.00	(\$9.61)	\$0.00	\$0.00
	19,000	12/10/09	07/30/12	\$865.44	\$1,192.06	\$0.00	(\$326.62)	\$0.00	\$0.00
Security Subtotal	24,000			\$1,093.18	\$1,429.41	\$0.00	(\$336.23)	\$0.00	\$0.00
TRANSCANADA CORP(HLDG CO)									
		CUSIP: 89353D107	Symbol (Box 1d): TRP						
	5,000	12/10/09	07/17/12	\$212.82	\$164.57	\$0.00	\$48.25	\$0.00	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement
Tax Year 2012

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 26-4310632

KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054

Taxpayer ID Number: 26-3134339
Account Number: 759 019935 037

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part II with box B checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
TURKCELL ILETISM HIZM AS NEW	9.000	CUSIP: 900111204	Symbol (Box 1d): TKC						
		12/10/09	02/08/12	\$122.21	\$143.37	\$0.00	(\$21.16)	\$0.00	
ULTRA PETROLEUM CORP	6.000	CUSIP: 903914109	Symbol (Box 1d): UPL						
	1 000	03/11/09	03/23/12	\$140.00	\$197.28	\$0.00	(\$57.28)	\$0.00	
	15.000	12/10/09	03/23/12	\$23.33	\$46.11	\$0.00	(\$22.78)	\$0.00	
	22.000	03/18/10	03/23/12	\$349.99	\$703.83	\$0.00	(\$353.84)	\$0.00	
Security Subtotal	22.000			\$513.32	\$947.22	\$0.00	(\$433.90)	\$0.00	
UNILEVER NV NY SH NEW	21.000	CUSIP: 904784709	Symbol (Box 1d): UN						
		03/11/09	04/20/12	\$708.61	\$377.57	\$0.00	\$331.04	\$0.00	
UNION PACIFIC CORP	10 000	CUSIP: 907818108	Symbol (Box 1d): UNP						
		12/10/09	06/01/12	\$1,092.18	\$645.30	\$0.00	\$446.88	\$0.00	
UNITED TECHNOLOGIES CORP	12.000	CUSIP: 913017109	Symbol (Box 1d): UTX						
	2.000	03/10/09	02/13/12	\$1,011.63	\$479.04	\$0.00	\$532.59	\$0.00	
	26.000	03/10/09	02/13/12	\$168.60	\$80.86	\$0.00	\$87.74	\$0.00	
	40.000	03/10/09	06/01/12	\$1,884.99	\$1,037.92	\$0.00	\$847.07	\$0.00	
Security Subtotal	40.000			\$3,065.22	\$1,597.82	\$0.00	\$1,467.40	\$0.00	
UNITEDHEALTH GP INC	30.000	CUSIP: 91324P102	Symbol (Box 1d): UNH						
		05/06/09	08/29/12	\$1,640.68	\$771.26	\$0.00	\$869.42	\$0.00	
VERIZON COMMUNICATIONS	71 000	CUSIP: 92343V104	Symbol (Box 1d): VZ						
	7.000	03/10/09	02/13/12	\$2,705.14	\$1,824.88	\$0.00	\$880.26	\$0.00	
	78.000	09/28/09	02/13/12	\$266.70	\$199.10	\$0.00	\$67.60	\$0.00	
Security Subtotal	78.000			\$2,971.84	\$2,023.98	\$0.00	\$947.86	\$0.00	

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Corporate Tax Statement
Tax Year 2012

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
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KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054

Taxpayer ID Number: 26-3134339
Account Number: 759 019935 037

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part II with box B checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
CUSIP: 92719A106 Symbol (Box 1d): VIP									
VIMPELCOM LTD SPON ADR	13.000	12/10/09	02/08/12	\$147.19	\$236.83	\$0.00	(\$89.64)	\$0.00	\$0.00
	64.000	12/10/09	08/22/12	\$665.58	\$1,165.92	\$0.00	(\$500.34)	\$0.00	\$0.00
Security Subtotal	77.000			\$812.77	\$1,402.75	\$0.00	(\$589.98)	\$0.00	\$0.00
CUSIP: 927320101 Symbol (Box 1d): VCISY									
VINCI SA ADR	77.000	12/10/09	03/26/12	\$1,001.63	\$1,101.10	\$0.00	(\$99.47)	\$0.00	\$0.00
CUSIP: 92857W209 Symbol (Box 1d): VOD									
VODAFONE GP PLC ADS NEW	1.000	12/10/09	01/06/12	\$27.77	\$22.98	\$0.00	\$4.79	\$0.00	\$0.00
	2.000	12/10/09	01/06/12	\$55.53	\$45.96	\$0.00	\$9.57	\$0.00	\$0.00
	20.000	12/10/09	01/06/12	\$555.33	\$459.53	\$0.00	\$95.80	\$0.00	\$0.00
	3.000	12/10/09	03/15/12	\$78.23	\$68.94	\$0.00	\$9.29	\$0.00	\$0.00
	21.000	12/10/09	03/15/12	\$547.62	\$482.55	\$0.00	\$65.07	\$0.00	\$0.00
	1.000	12/10/09	03/20/12	\$27.36	\$22.98	\$0.00	\$4.38	\$0.00	\$0.00
	14.000	12/10/09	03/20/12	\$382.99	\$321.70	\$0.00	\$61.29	\$0.00	\$0.00
	24.000	12/10/09	03/20/12	\$656.56	\$551.44	\$0.00	\$105.12	\$0.00	\$0.00
	8.000	12/10/09	03/27/12	\$223.51	\$183.83	\$0.00	\$39.68	\$0.00	\$0.00
	24.000	12/10/09	03/27/12	\$670.54	\$551.44	\$0.00	\$119.10	\$0.00	\$0.00
	1.000	12/10/09	05/17/12	\$26.35	\$22.98	\$0.00	\$3.37	\$0.00	\$0.00
	13.000	12/10/09	05/17/12	\$342.54	\$298.72	\$0.00	\$43.82	\$0.00	\$0.00
	10.000	12/10/09	05/17/12	\$263.49	\$229.79	\$0.00	\$33.70	\$0.00	\$0.00
	2.000	12/10/09	10/31/12	\$54.51	\$45.95	\$0.00	\$8.56	\$0.00	\$0.00
	3.000	12/10/09	10/31/12	\$81.76	\$68.93	\$0.00	\$12.83	\$0.00	\$0.00
	10.000	12/10/09	10/31/12	\$272.54	\$229.77	\$0.00	\$42.77	\$0.00	\$0.00
	35.000	06/21/10	10/31/12	\$953.89	\$747.88	\$0.00	\$206.01	\$0.00	\$0.00

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Corporate Tax Statement Tax Year 2012

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 26-4310632
Taxpayer ID Number: 26-3134339
Account Number: 759 019935 037

KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
VODAFONE GP PLC ADS NEW	6,000	11/01/10	10/31/12	\$163.53	\$165.21	\$0.00	(\$1.68)	\$0.00	
	5,000	11/01/10	10/31/12	\$136.27	\$137.67	\$0.00	(\$1.40)	\$0.00	
	1,000	12/10/09	12/03/12	\$25.76	\$22.98	\$0.00	\$2.78	\$0.00	
	18,000	11/01/10	12/03/12	\$463.67	\$495.62	\$0.00	(\$31.95)	\$0.00	
	14,000	11/01/10	12/03/12	\$360.63	\$385.49	\$0.00	(\$24.86)	\$0.00	
	1,000	11/01/10	12/03/12	\$25.76	\$27.53	\$0.00	(\$1.77)	\$0.00	
	4,000	12/29/10	12/03/12	\$103.04	\$105.20	\$0.00	(\$2.16)	\$0.00	
	11,000	12/29/10	12/03/12	\$283.35	\$289.30	\$0.00	(\$5.95)	\$0.00	
Security Subtotal	252,000			\$6,782.53	\$5,984.37	\$0.00	\$798.16	\$0.00	
CUSIP: 931142103 Symbol (Box 1d): WMT									
WAL MART STORES INC	1,000	07/27/10	02/28/12	\$58.78	\$51.11	\$0.00	\$7.67	\$0.00	
	23,000	12/27/10	02/28/12	\$1,351.89	\$1,232.80	\$0.00	\$119.09	\$0.00	
Security Subtotal	24,000			\$1,410.67	\$1,283.91	\$0.00	\$126.76	\$0.00	
CUSIP: 254687106 Symbol (Box 1d): DIS									
WALT DISNEY CO HLDG CO	20,000	03/26/10	06/28/12	\$944.86	\$703.93	\$0.00	\$240.93	\$0.00	
CUSIP: H27013103 Symbol (Box 1d): WFT									
WEATHERFORD INTERNATIONAL LTD	31,000	04/29/10	01/03/12	\$469.27	\$567.93	\$0.00	(\$98.66)	\$0.00	
	57,000	10/28/10	01/03/12	\$862.85	\$964.61	\$0.00	(\$101.76)	\$0.00	
	30,000	11/05/10	01/03/12	\$454.13	\$578.45	\$0.00	(\$124.32)	\$0.00	
Security Subtotal	118,000			\$1,786.25	\$2,110.99	\$0.00	(\$324.74)	\$0.00	

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Corporate Tax Statement Tax Year 2012

KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 26-4310632
Taxpayer ID Number: 26-3134339
Account Number: 759 019935 037
Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part II with box B checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
WELLS FARGO & CO NEW	39,000	03/10/09	03/20/12	\$1,336.11	\$448.70	\$0.00	\$887.41	\$0.00	
Total Long Term Noncovered Securities				\$254,228.47	\$193,256.20	\$0.00	\$60,972.27	\$0.00	
Total Short and Long Term, Covered and Noncovered Securities				\$254,716.60	\$193,434.16	\$0.00	\$61,126.79	\$0.00	
Form 1099-B Total Reportable Amounts									
Total Sales Price (Box 2)				\$254,716.60					
Total Cost or Other Basis (Box 3)					\$0.00				
Total Wash Sale Loss Disallowed (Box 5)						\$0.00			
Total Fed Tax Withheld (Box 4)								\$0.00	

Noncovered securities are not subject to the IRS cost basis reporting regulations, therefore their date of acquisition, cost basis, short or long term designation and any disallowed loss resulting from a wash sale will not be reported to the IRS.

CT The proceeds amount is the sales price of the bullion sold by the Trust to pay investment expenses. Proceeds from the sale of bullion are reported on Form 1099-B.

1099 Consolidated Tax Statement
Tax Year 2012 Copy B For RecipientMorgan Stanley Smith Barney Holdings LLC
HARBORSIDE Financial Center
Plaza 2 2nd FloorJersey City, NJ 07311
Identification Number: 26-4310632Taxpayer ID Number: 26-3134339
Account Number: 759 019935 037

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42 TUCKAHOE RD
EASTON CT 06612-2054

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part 1 with box A checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SYMBOL (Box 1d)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
A G C O CORP										
	1.000	11/16/11	05/29/12	Symbol (Box 1d): AGCO	\$41.61	\$47.18	\$0.00	(\$5.57)	\$0.00	\$0.00
	3.000	01/31/12	05/29/12		\$124.85	\$152.25	\$0.00	(\$27.40)	\$0.00	\$0.00
	4.000				\$166.46	\$199.43	\$0.00	(\$32.97)	\$0.00	\$0.00
Security Subtotal										
ACTIVISION BLIZZARD INC	9.000	11/16/11	09/10/12	Symbol (Box 1d): ATVI	\$107.10	\$111.11	\$0.00	(\$4.01)	\$0.00	\$0.00
AECOM TECHNOLOGY CORP										
	61.000	06/06/11	06/05/12	Symbol (Box 1d): ACM	\$965.23	\$1,664.32	\$0.00	(\$699.09)	\$0.00	\$0.00
	7.000	07/29/11	06/05/12		\$110.76	\$173.99	\$0.00	(\$63.23)	\$0.00	\$0.00
	7.000	11/16/11	06/05/12		\$110.77	\$149.82	\$0.00	(\$39.05)	\$0.00	\$0.00
	75.000				\$1,186.76	\$1,988.13	\$0.00	(\$801.37)	\$0.00	\$0.00
Security Subtotal										
AIA GROUP LTD SPON ADR	13.000	10/10/11	02/08/12	Symbol (Box 1d): AAGIY	\$174.06	\$153.89	\$0.00	\$20.17	\$0.00	\$0.00
AMERIPRISE FINCL INC										
	23.000	01/11/12	08/29/12	Symbol (Box 1d): AMP	\$1,256.95	\$1,192.47	\$0.00	\$64.48	\$0.00	\$0.00
ANADARKO PETE										
	11.000	10/21/11	04/18/12	Symbol (Box 1d): APC	\$798.10	\$863.90	\$0.00	(\$65.80)	\$0.00	\$0.00
AON CORP										
	28.000	10/21/11	02/13/12	Symbol (Box 1d):	\$1,362.71	\$1,376.06	\$0.00	(\$13.35)	\$0.00	\$0.00
	1.000	11/16/11	02/13/12		\$48.67	\$47.01	\$0.00	\$1.66	\$0.00	\$0.00
	27.000	12/13/11	02/13/12		\$1,314.04	\$1,225.92	\$0.00	\$88.12	\$0.00	\$0.00
	56.000				\$2,725.42	\$2,648.99	\$0.00	\$76.43	\$0.00	\$0.00
Security Subtotal										

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

1099 Consolidated Tax Statement Tax Year 2012 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
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Identification Number: 26-4310632

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
APACHE CORP	4.000	08/08/11	08/07/12	\$352.00	\$412.36	\$0.00	(\$60.36)	\$0.00	
ARM HOLDINGS PLC ADS	38 000	12/02/11	08/29/12	\$1,037.23	\$1,074.00	\$0.00	(\$36.77)	\$0.00	
	24.000	02/06/12	12/21/12	\$905.21	\$644.58	\$0.00	\$260.63	\$0.00	
Security Subtotal	62.000			\$1,942.44	\$1,718.58	\$0.00	\$223.86	\$0.00	
AT&T INC	23 000	09/09/11	08/07/12	\$863.63	\$639.16	\$0.00	\$224.47	\$0.00	
	4.000	10/21/11	08/07/12	\$150.19	\$116.45	\$0.00	\$33.74	\$0.00	
Security Subtotal	27.000			\$1,013.82	\$755.61	\$0.00	\$258.21	\$0.00	
BECTON DICKINSON & CO	5.000	11/16/11	08/20/12	\$382.21	\$375.83	\$0.00	\$6.38	\$0.00	
BED BATH & BEYOND INC	23.000	03/20/12	08/29/12	\$1,538.26	\$1,514.59	\$0.00	\$23.67	\$0.00	
	1.000	08/16/12	08/29/12	\$66.87	\$65.84	\$0.00	\$1.03	\$0.00	
Security Subtotal	24.000			\$1,605.13	\$1,580.43	\$0.00	\$24.70	\$0.00	
BNP PARIBAS SP ADR REPSTG	5.000	11/16/11	05/18/12	\$83.32	\$100.50	\$17.18	(\$17.18)	\$0.00	

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1099 Consolidated Tax Statement
Tax Year 2012 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 26-4310632
Taxpayer ID Number: 26-3134339
Account Number: 759 019935 037

KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
CARNIVAL CP NEW PAIRED COM		CUSIP: 143658300	Symbol (Box 1d): CCL						
	24.000	10/21/11	01/26/12	\$736.80	\$842.24	\$0.00	(\$105.44)	\$0.00	\$0.00
	3.000	11/16/11	01/26/12	\$92.11	\$96.05	\$0.00	(\$3.94)	\$0.00	\$0.00
Security Subtotal	27.000			\$828.91	\$938.29	\$0.00	(\$109.38)	\$0.00	\$0.00
CELANESE CORP SERIES A COM STK		CUSIP: 150870103	Symbol (Box 1d): CE						
	14.000	12/13/11	02/23/12	\$678.86	\$605.34	\$0.00	\$73.52	\$0.00	\$0.00
	27.000	12/13/11	06/20/12	\$1,032.73	\$1,167.45	\$0.00	(\$134.72)	\$0.00	\$0.00
	18.000	04/18/12	06/20/12	\$688.49	\$854.56	\$0.00	(\$166.07)	\$0.00	\$0.00
Security Subtotal	59.000			\$2,400.08	\$2,627.35	\$0.00	(\$227.27)	\$0.00	\$0.00
CELESIO AG UNSPONS ADR		CUSIP: 15100H109	Symbol (Box 1d): CAKFY						
	33.000	07/29/11	02/29/12	\$120.12	\$126.39	\$0.00	(\$6.27)	\$0.00	\$0.00
CELGENE CORP		CUSIP: 151020104	Symbol (Box 1d): CELG						
	14.000	06/28/12	12/03/12	\$1,105.53	\$861.60	\$0.00	\$243.93	\$0.00	\$0.00
CENTURYLINK INC		CUSIP: 156700106	Symbol (Box 1d): CTL						
	28.000	02/13/12	08/29/12	\$1,191.01	\$1,066.52	\$0.00	\$124.49	\$0.00	\$0.00
CHECK POINT SOFTWARE TECH LTD		CUSIP: M22465104	Symbol (Box 1d): CHKP						
	1.000	11/16/11	10/23/12	\$43.00	\$57.53	\$0.00	(\$14.53)	\$0.00	\$0.00
CHEVRON CORP		CUSIP: 166764100	Symbol (Box 1d): CVX						
	10.000	10/21/11	07/12/12	\$1,051.91	\$1,052.00	\$0.00	(\$0.09)	\$0.00	\$0.00
CIELO SA SPONSORED ADR NEW		CUSIP: 171778202	Symbol (Box 1d): CIOXY						
	0.800	09/27/11	05/03/12	\$24.05	\$15.87	\$0.00	\$8.18	\$0.00	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

1099 Consolidated Tax Statement
Tax Year 2012 Copy B For Recipient

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 Harborside Financial Center
 Plaza 2 2nd Floor
 Jersey City, NJ 07311
 Identification Number: 26-4310632
 Taxpayer ID Number: 26-3134339
 Account Number: 759 019935 037
Customer Service: 866-324-6088

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 ATTENTION: CARLA KLEIN
 42 TUCKAHOE RD
 EASTON CT 06612-2054

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
 (Continued)

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CISCO SYS INC		CUSIP: 17275R102 Symbol (Box 1d): CSCO							
	62.000	06/20/11	02/08/12	\$1,261.18	\$938.38	\$0.00	\$322.80	\$0.00	
COACH INC		CUSIP: 189754104 Symbol (Box 1d): COH							
	14.000	09/15/11	02/08/12	\$1,023.99	\$807.29	\$0.00	\$216.70	\$0.00	
COMPLETE PROD SERV INC		CUSIP: 20453E109 Symbol (Box 1d):							
	47.000	08/25/11	02/09/12	\$329.00	\$0.00	\$0.00	\$329.00	\$0.00	
	3.000	11/16/11	02/09/12	\$21.00	\$20.75	\$0.00	\$0.25	\$0.00	
Security Subtotal	50.000			\$350.00	\$20.75	\$0.00	\$329.25	\$0.00	
DANONE SPONSORED ADR		CUSIP: 23636T100 Symbol (Box 1d): DANOF							
	19.000	02/08/12	08/13/12	\$225.77	\$247.00	\$0.00	(\$21.23)	\$0.00	
DANSKE BK A/S SPON ADR		CUSIP: 236363107 Symbol (Box 1d): DNSKY							
	105.000	09/30/11	05/16/12	\$731.89	\$748.27	\$0.00	(\$16.38)	\$0.00	
	18.000	11/16/11	05/16/12	\$125.47	\$119.34	\$0.00	\$6.13	\$0.00	
Security Subtotal	123.000			\$857.36	\$867.61	\$0.00	(\$10.25)	\$0.00	
DEVON ENERGY CORP NEW		CUSIP: 25179M103 Symbol (Box 1d): DVN							
	8.000	07/29/11	05/25/12	\$481.23	\$632.01	\$0.00	(\$150.78)	\$0.00	
	10.000	11/16/11	05/25/12	\$601.54	\$676.46	\$0.00	(\$74.92)	\$0.00	
	13.000	02/06/12	05/25/12	\$782.00	\$835.98	\$0.00	(\$53.98)	\$0.00	
Security Subtotal	31.000			\$1,864.77	\$2,144.45	\$0.00	(\$279.68)	\$0.00	
DU PONT EI DE NEMOURS & CO		CUSIP: 263534109 Symbol (Box 1d): DD							
	15.000	11/16/11	11/02/12	\$661.74	\$716.80	\$0.00	(\$55.06)	\$0.00	

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1099 Consolidated Tax Statement
Tax Year 2012 Copy B For RecipientMorgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd FloorJersey City, NJ 07311
Identification Number: 26-4310632Taxpayer ID Number: 26-3134339
Account Number: 759 019935 037KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

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(Continued)

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E.ON AG		CUSIP: 268780103 Symbol (Box 1d): EONGY							
	3.000	11/16/11	08/01/12	\$63.57	\$70.08	\$0.00	(\$6.51)	\$0.00	\$0.00
	11.000	02/08/12	08/01/12	\$233.10	\$249.04	\$0.00	(\$15.94)	\$0.00	\$0.00
Security Subtotal	14.000			\$296.67	\$319.12	\$0.00	(\$22.45)	\$0.00	\$0.00
EATON CORPORATION		CUSIP: 278058102 Symbol (Box 1d):							
	33.000	08/07/12	12/03/12	\$1,704.62	\$1,483.17	\$0.00	\$221.45	\$0.00	\$0.00 BC
EL PASO CORPORATION		CUSIP: 28336L109 Symbol (Box 1d): EP							
	81.000	09/12/11	01/18/12	\$2,182.08	\$1,496.88	\$0.00	\$685.20	\$0.00	\$0.00
	39.000	10/17/11	01/18/12	\$1,050.63	\$936.78	\$0.00	\$113.85	\$0.00	\$0.00
Security Subtotal	120.000			\$3,232.71	\$2,433.66	\$0.00	\$799.05	\$0.00	\$0.00
EXELON CORP		CUSIP: 30161N101 Symbol (Box 1d): EXC							
	0.630	10/07/11	03/16/12	\$24.48	\$25.46	\$0.00	(\$0.98)	\$0.00	\$0.00
FAMILY DOLLAR STORES		CUSIP: 307000109 Symbol (Box 1d): FDO							
	1.000	09/13/11	07/06/12	\$69.30	\$51.36	\$0.00	\$17.94	\$0.00	\$0.00
FEDEX CORP		CUSIP: 31428X106 Symbol (Box 1d): FDX							
	15.000	06/01/12	12/03/12	\$1,324.95	\$1,296.36	\$0.00	\$28.59	\$0.00	\$0.00
FIRST AMERICAN FINL CORP		CUSIP: 31847R102 Symbol (Box 1d): FAF							
	18.000	02/23/11	02/08/12	\$265.35	\$287.49	\$0.00	(\$22.14)	\$0.00	\$0.00
FIRST NIAGARA FNCL GP NEW		CUSIP: 33582V108 Symbol (Box 1d): FNFG							
	16.000	09/20/11	02/08/12	\$155.83	\$167.04	\$11.21	(\$11.21)	\$0.00	\$0.00

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1099 Consolidated Tax Statement Tax Year 2012 Copy B For Recipient

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HARBORSIDE FINANCIAL CENTER
PLAZA 2 2ND FLOOR
JERSEY CITY, NJ 07311
IDENTIFICATION NUMBER: 26-4310632
TAXPAYER ID NUMBER: 26-3134339
ACCOUNT NUMBER: 759 019935 037
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

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FORD MOTOR CO NEW		CUSIP: 345370860	Symbol (Box 1d): F						
	132.000	01/26/12	07/12/12	\$1,207.45	\$1,694.84	\$0.00	(\$487.39)	\$0.00	\$0.00
	66.000	02/28/12	07/12/12	\$603.72	\$805.85	\$0.00	(\$202.13)	\$0.00	\$0.00
Security Subtotal	198.000			\$1,811.17	\$2,500.69	\$0.00	(\$689.52)	\$0.00	\$0.00
FRANKLIN RESOURCES INC		CUSIP: 354613101	Symbol (Box 1d): BEN						
	24.000	08/24/11	01/11/12	\$2,304.14	\$2,782.87	\$0.00	(\$478.73)	\$0.00	\$0.00
GENTEX CORP		CUSIP: 371901109	Symbol (Box 1d): GNTX						
	19.000	03/23/11	03/16/12	\$482.39	\$527.96	\$0.00	(\$45.57)	\$0.00	\$0.00
HALLIBURTON CO		CUSIP: 406216101	Symbol (Box 1d): HAL						
	11.000	04/04/11	03/20/12	\$381.43	\$545.96	\$0.00	(\$164.53)	\$0.00	\$0.00
	2.000	11/16/11	03/20/12	\$69.35	\$79.34	\$0.00	(\$9.99)	\$0.00	\$0.00
Security Subtotal	13.000			\$450.78	\$625.30	\$0.00	(\$174.52)	\$0.00	\$0.00
HEALTH MGMT ASSOC CL A		CUSIP: 421933102	Symbol (Box 1d): HMA						
	50.000	06/06/11	03/13/12	\$332.63	\$533.89	\$0.00	(\$201.26)	\$0.00	\$0.00
HESS CORPORATION		CUSIP: 42809H107	Symbol (Box 1d): HES						
	15.000	02/13/12	05/11/12	\$721.51	\$933.57	\$0.00	(\$212.06)	\$0.00	\$0.00
HEWLETT PACKARD		CUSIP: 428236103	Symbol (Box 1d): HPQ						
	53.000	01/11/12	06/21/12	\$1,105.63	\$1,405.86	\$0.00	(\$300.23)	\$0.00	\$0.00
	51.000	02/23/12	06/21/12	\$1,063.91	\$1,380.73	\$0.00	(\$316.82)	\$0.00	\$0.00
Security Subtotal	104.000			\$2,169.54	\$2,786.59	\$0.00	(\$617.05)	\$0.00	\$0.00

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HUMANA INC		CUSIP: 444859102	Symbol (Box 1d): HUM						
	3.000	02/06/12	08/29/12	\$212.19	\$255.19	\$0.00	(\$43.00)	\$0.00	
INTL PWR PLC ADS		CUSIP: 46018M104	Symbol (Box 1d):						
	1.000	11/16/11	07/25/12	\$65.01	\$53.28	\$0.00	\$11.73	\$0.00	
IRON MOUNTAIN INC (DE)		CUSIP: 462846106	Symbol (Box 1d): IRM						
	0.548	11/21/12	11/21/12	\$17.82	\$18.01	\$0.00	(\$0.19)	\$0.00	
JOHNSON CONTROLS INCORPORATED		CUSIP: 478366107	Symbol (Box 1d): JCI						
	41.000	01/11/12	08/07/12	\$1,066.69	\$1,415.56	\$0.00	(\$348.87)	\$0.00	
JUNIPER NETWORKS		CUSIP: 48203R104	Symbol (Box 1d): JNPR						
	128.000	07/29/11	05/21/12	\$2,276.76	\$3,021.29	\$0.00	(\$744.53)	\$0.00	
	29.000	01/31/12	05/21/12	\$515.82	\$610.06	\$0.00	(\$94.24)	\$0.00	
Security Subtotal	157.000			\$2,792.58	\$3,631.35	\$0.00	(\$838.77)	\$0.00	
KOHL'S CORPORATION WISC		CUSIP: 500255104	Symbol (Box 1d): KSS						
	24.000	03/14/11	01/26/12	\$1,119.07	\$1,288.76	\$0.00	(\$169.69)	\$0.00	
	15.000	04/04/11	01/26/12	\$699.42	\$803.71	\$0.00	(\$104.29)	\$0.00	
Security Subtotal	39.000			\$1,818.49	\$2,092.47	\$0.00	(\$273.98)	\$0.00	
MACY'S INC		CUSIP: 55616P104	Symbol (Box 1d): M						
	21.000	12/13/11	04/18/12	\$842.08	\$662.99	\$0.00	\$179.09	\$0.00	

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MARATHON OIL CO		CUSIP: 565849106	Symbol (Box 1d): MRO						
	51.000	02/23/12	12/03/12	\$1,582.56	\$1,747.57	\$0.00	(\$165.01)	\$0.00	\$0.00
	43.000	05/11/12	12/03/12	\$1,334.32	\$1,138.99	\$0.00	\$195.33	\$0.00	\$0.00
Security Subtotal	94.000			\$2,916.88	\$2,886.56	\$0.00	\$30.32	\$0.00	\$0.00
MARRIOTT VACATIONS WORLDWIDE		CUSIP: 57164Y107	Symbol (Box 1d): VAC						
	3.000	01/23/12	05/01/12	\$88.62	\$56.52	\$0.00	\$32.10	\$0.00	\$0.00
MITSUBISHI UFJ FINCL GRP ADS		CUSIP: 606822104	Symbol (Box 1d): MTU						
	10.000	11/16/11	03/16/12	\$52.20	\$42.40	\$0.00	\$9.80	\$0.00	\$0.00
MONSTER BEVERAGE CORP COM		CUSIP: 611740101	Symbol (Box 1d): MNST						
	6.000	06/01/11	02/08/12	\$639.94	\$433.10	\$0.00	\$206.84	\$0.00	\$0.00
	7.000	06/01/11	05/01/12	\$452.95	\$252.64	\$0.00	\$200.31	\$0.00	\$0.00
Security Subtotal	13.000			\$1,092.89	\$685.74	\$0.00	\$407.15	\$0.00	\$0.00
NIDEC CORP		CUSIP: 654090109	Symbol (Box 1d): NJ						
	28.000	08/10/12	12/19/12	\$400.41	\$590.40	\$0.00	(\$189.99)	\$0.00	\$0.00
NINTENDO CO LTD ADR NEW		CUSIP: 654445303	Symbol (Box 1d): NTDOY						
	2.000	08/01/11	07/25/12	\$25.92	\$39.65	\$0.00	(\$13.73)	\$0.00	\$0.00
	9.000	11/16/11	07/25/12	\$116.65	\$171.00	\$0.00	(\$54.35)	\$0.00	\$0.00
Security Subtotal	11.000			\$142.57	\$210.65	\$0.00	(\$68.08)	\$0.00	\$0.00

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1099 Consolidated Tax Statement Tax Year 2012 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 26-4310632
Taxpayer ID Number: 26-3134339
Account Number: 759 019935 037
Customer Service: 866-324-6088

KLEIN FAMILY FOUNDATION INC
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
NOMURA HLDGS INC		CUSIP: 65535H208	Symbol (Box 1d): NMR						
	31.000	03/25/11	02/08/12	\$125.92	\$168.44	\$0.00	(\$42.52)	\$0.00	\$0.00
	21.000	07/29/11	04/09/12	\$87.52	\$101.97	\$0.00	(\$14.45)	\$0.00	\$0.00
	16.000	11/16/11	04/09/12	\$66.67	\$50.01	\$0.00	\$16.66	\$0.00	\$0.00
Security Subtotal	68.000			\$280.11	\$320.42	\$0.00	(\$40.31)	\$0.00	\$0.00
NORTHERN OIL AND GAS INC		CUSIP: 665531109	Symbol (Box 1d): NOG						
	29.000	03/17/11	03/12/12	\$658.95	\$870.15	\$0.00	(\$211.20)	\$0.00	\$0.00
OCCIDENTAL PETROLEUM CORP DE		CUSIP: 674599105	Symbol (Box 1d): OXY						
	9.000	07/12/12	11/02/12	\$702.20	\$756.95	\$0.00	(\$54.75)	\$0.00	\$0.00
	4.000	08/29/12	11/02/12	\$312.08	\$346.71	\$0.00	(\$34.63)	\$0.00	\$0.00
Security Subtotal	13.000			\$1,014.28	\$1,103.66	\$0.00	(\$89.38)	\$0.00	\$0.00
PACCAR INC		CUSIP: 693718108	Symbol (Box 1d): PCAR						
	24.000	02/13/12	08/07/12	\$977.95	\$1,048.20	\$0.00	(\$70.25)	\$0.00	\$0.00
PEABODY ENERGY CORP		CUSIP: 704549104	Symbol (Box 1d): BTU						
	4.000	06/22/11	02/13/12	\$142.20	\$228.68	\$86.48	(\$86.48)	\$0.00	\$0.00
	9.000	06/22/11	05/24/12	\$212.97	\$514.53	\$0.00	(\$301.56)	\$0.00	\$0.00
	28.000	06/22/11	05/24/12	\$662.57	\$1,600.75	\$0.00	(\$938.18)	\$0.00	\$0.00
	7.000	06/22/11	05/24/12	\$165.64	\$400.19	\$0.00	(\$234.55)	\$0.00	\$0.00
	7.000	08/08/11	05/24/12	\$165.64	\$308.44	\$0.00	(\$142.80)	\$0.00	\$0.00
	4.000	06/22/11	06/01/12	\$93.00	\$224.78	\$0.00	(\$131.78)	\$0.00	\$0.00
	23.000	08/08/11	06/01/12	\$534.74	\$1,013.43	\$0.00	(\$478.69)	\$0.00	\$0.00

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked)
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PEABODY ENERGY CORP	3.000	01/31/12	06/01/12	\$69.75	\$103.73	\$0.00	(\$33.98)	\$0.00	
	5.000	01/31/12	06/01/12	\$116.24	\$172.45	\$0.00	(\$56.21)	\$0.00	
Security Subtotal	90.000			\$2,162.75	\$4,566.98	\$86.48	(\$2,404.23)	\$0.00	
PEARSON PLC SP ADR		CUSIP: 705015105 Symbol (Box 1d): PSO							
	3.000	11/16/11	01/25/12	\$54.91	\$53.22	\$0.00	\$1.69	\$0.00	
PEPSICO INC NC		CUSIP: 713448108 Symbol (Box 1d): PEP							
	11.000	04/04/11	02/23/12	\$692.15	\$714.50	\$0.00	(\$22.35)	\$0.00	
PG&E CORPORATION		CUSIP: 69331C108 Symbol (Box 1d): PCG							
	16.000	11/16/11	10/31/12	\$678.76	\$629.70	\$0.00	\$49.06	\$0.00	
PHILLIPS 66 COM		CUSIP: 718546104 Symbol (Box 1d): PSX							
	5.000	05/11/12	12/03/12	\$260.06	\$159.28	\$0.00	\$100.78	\$0.00	
PPL CORPORATION		CUSIP: 69351T106 Symbol (Box 1d): PPL							
	54.000	08/08/11	04/18/12	\$1,454.22	\$1,395.77	\$0.00	\$58.45	\$0.00	
	22.000	08/24/11	04/18/12	\$592.46	\$614.61	\$0.00	(\$22.15)	\$0.00	
	34.000	09/09/11	04/18/12	\$915.61	\$946.66	\$0.00	(\$31.05)	\$0.00	
Security Subtotal	110.000			\$2,962.29	\$2,957.04	\$0.00	\$5.25	\$0.00	
PRUDENTIAL FINANCIAL INC		CUSIP: 744320102 Symbol (Box 1d): PRU							
	5.000	02/06/12	12/03/12	\$259.86	\$298.95	\$0.00	(\$39.09)	\$0.00	
PUBLIC SERVICE ENTERPRISE GP		CUSIP: 744573106 Symbol (Box 1d): PEG							
	29.000	08/24/11	01/26/12	\$905.05	\$947.78	\$0.00	(\$42.73)	\$0.00	
	32.000	08/24/11	02/23/12	\$983.81	\$1,045.82	\$0.00	(\$62.01)	\$0.00	

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PUBLIC SERVICE ENTERPRISE GP	4.000	08/24/11	03/20/12	\$118.81	\$130.73	\$0.00	(\$11.92)	\$0.00	
	39.000	10/21/11	03/20/12	\$1,158.45	\$1,329.26	\$0.00	(\$170.81)	\$0.00	
Security Subtotal	104.000			\$3,166.12	\$3,453.59	\$0.00	(\$287.47)	\$0.00	
REYNOLDS AMERICAN INC		CUSIP: 761713106 Symbol (Box 1d): RAI							
	20.000	12/13/11	05/11/12	\$810.50	\$810.00	\$0.00	\$0.50	\$0.00	
SALLY BEAUTY HLDGS INC		CUSIP: 79546E104 Symbol (Box 1d): SBH							
	15.000	03/12/12	07/03/12	\$394.51	\$375.73	\$0.00	\$18.78	\$0.00	
SBM OFFSHORE NV ADR		CUSIP: 78404D109 Symbol (Box 1d): SBFFY							
	11.000	11/16/11	08/07/12	\$140.27	\$230.67	\$0.00	(\$90.40)	\$0.00	
SCHNEIDER ELEC SA UNSP ADR		CUSIP: 80687P106 Symbol (Box 1d): SBGSY							
	15.000	08/23/11	02/08/12	\$190.79	\$189.75	\$0.00	\$1.04	\$0.00	
SIMON PPTY GROUP INC		CUSIP: 828806109 Symbol (Box 1d): SPG							
	2.000	08/24/11	07/12/12	\$315.20	\$228.98	\$0.00	\$86.22	\$0.00	
	3.000	08/24/11	08/07/12	\$479.78	\$343.48	\$0.00	\$136.30	\$0.00	
	1.000	11/16/11	08/07/12	\$159.93	\$125.52	\$0.00	\$34.41	\$0.00	
	6.000	12/13/11	08/07/12	\$959.55	\$732.41	\$0.00	\$227.14	\$0.00	
Security Subtotal	12.000			\$1,914.46	\$1,430.39	\$0.00	\$484.07	\$0.00	
SIRONA DENTAL SYSTEMS INC		CUSIP: 82966C103 Symbol (Box 1d): SIRO							
	40.000	12/21/11	06/18/12	\$1,808.55	\$1,742.12	\$0.00	\$66.43	\$0.00	
SOUTHWESTERN ENERGY COMPANY		CUSIP: 845467109 Symbol (Box 1d): SWN							
	3.000	11/16/11	05/24/12	\$86.45	\$123.75	\$0.00	(\$37.30)	\$0.00	

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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ST JUDE MEDICAL INC	91.000	05/18/11	03/14/12	\$3,841.16	\$4,786.00	\$0.00	(\$944.84)	\$0.00	
CUSIP: 790849103 Symbol (Box 1d): STJ									
SUPERIOR ENERGY SERVICES INC	0.250	08/25/11	02/09/12	\$7.40	\$7.12	\$0.00	\$0.28	\$0.00	
	44.000	08/25/11	04/30/12	\$1,185.52	\$1,258.31	\$0.00	(\$72.79)	\$0.00	
	3.000	11/16/11	04/30/12	\$80.83	\$87.19	\$0.00	(\$6.36)	\$0.00	
Security Subtotal	47.250			\$1,273.75	\$1,352.62	\$0.00	(\$78.87)	\$0.00	
CUSIP: 74144T108 Symbol (Box 1d): TROW									
T ROWE PRICE GROUP INC	14.000	07/29/11	02/06/12	\$821.40	\$798.10	\$0.00	\$23.30	\$0.00	
CUSIP: 87943Q109 Symbol (Box 1d): TKAGY									
TELEKOM AUSTRIA A G SPONS ADR	1.000	11/16/11	06/19/12	\$20.20	\$22.41	\$0.00	(\$2.21)	\$0.00	
CUSIP: 88076W103 Symbol (Box 1d): TDC									
TERADATA CORP	3.000	11/16/11	10/24/12	\$205.19	\$169.53	\$0.00	\$35.66	\$0.00	
CUSIP: 886547108 Symbol (Box 1d): TIF									
TIFFANY & COMPANY NEW	2.000	11/16/11	04/17/12	\$134.08	\$153.86	\$0.00	(\$19.78)	\$0.00	
CUSIP: 88732J207 Symbol (Box 1d): TWC									
TIME WARNER CABLE INC NEW	22.000	02/22/11	01/11/12	\$1,421.69	\$1,541.61	\$0.00	(\$119.92)	\$0.00	
	6.000	07/29/11	01/11/12	\$387.73	\$441.93	\$0.00	(\$54.20)	\$0.00	
	1.000	11/16/11	01/11/12	\$64.63	\$61.30	\$0.00	\$3.33	\$0.00	
Security Subtotal	29.000			\$1,874.05	\$2,044.84	\$0.00	(\$170.79)	\$0.00	

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TIME WARNER INC NEW	CUSIP: 887317303	Symbol (Box 1d): TWX							
	38.000	10/21/11	03/20/12	\$1,356.19	\$1,317.52	\$0.00	\$38.67	\$0.00	\$0.00
	19.000	10/21/11	08/29/12	\$794.04	\$658.76	\$0.00	\$135.28	\$0.00	\$0.00
Security Subtotal	57.000			\$2,150.23	\$1,976.28	\$0.00	\$173.95	\$0.00	\$0.00
ULTRA PETROLEUM CORP	CUSIP: 903914109	Symbol (Box 1d): UPL							
	10.000	01/23/12	04/13/12	\$189.89	\$253.98	\$0.00	(\$64.09)	\$0.00	\$0.00
	11.000	01/31/12	04/13/12	\$208.89	\$262.97	\$0.00	(\$54.08)	\$0.00	\$0.00
	41.000	05/14/12	10/01/12	\$940.95	\$819.98	\$0.00	\$120.97	\$0.00	\$0.00
	42.000	08/29/12	10/01/12	\$963.89	\$864.62	\$0.00	\$99.27	\$0.00	\$0.00
Security Subtotal	104.000			\$2,303.62	\$2,201.55	\$0.00	\$102.07	\$0.00	\$0.00
VERIZON COMMUNICATIONS	CUSIP: 92343V104	Symbol (Box 1d): VZ							
	3.000	11/16/11	02/13/12	\$114.31	\$110.48	\$0.00	\$3.83	\$0.00	\$0.00
VIMPELCOM LTD SPON ADR	CUSIP: 92719A106	Symbol (Box 1d): VIP							
	5.000	11/16/11	08/22/12	\$52.00	\$55.88	\$0.00	(\$3.88)	\$0.00	\$0.00
VINCI SA ADR	CUSIP: 927320101	Symbol (Box 1d): VCISY							
	14.000	11/16/11	03/26/12	\$182.11	\$153.44	\$0.00	\$28.67	\$0.00	\$0.00
VIVENDI SA UNSPON ADR	CUSIP: 92852T201	Symbol (Box 1d): VIVHY							
	0.834	11/16/11	06/18/12	\$14.70	\$17.84	\$0.00	(\$3.14)	\$0.00	\$0.00
WALGREEN CO	CUSIP: 931422109	Symbol (Box 1d): WAG							
	29.000	11/16/11	09/19/12	\$1,037.02	\$943.27	\$0.00	\$93.75	\$0.00	\$0.00

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WEATHERFORD INTERNATIONAL LTD	6.000	02/03/11	01/03/12	\$90.83	\$143.70	\$0.00	(\$52.87)	\$0.00	
Total Short Term Covered Securities				\$84,286.06	\$89,952.61	\$114.87	(\$5,666.55)	\$0.00	

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A G C O CORP		CUSIP: 001084102	Symbol (Box 1d): AGCO						
	7.000	03/23/11	05/29/12	\$291.28	\$367.39	\$0.00	(\$76.11)	\$0.00	
ACE LTD		CUSIP: H0023R105	Symbol (Box 1d): ACE						
	8.000	04/26/11	08/29/12	\$590.16	\$522.57	\$0.00	\$67.59	\$0.00	
	5.000	04/26/11	08/29/12	\$368.85	\$326.60	\$0.00	\$42.25	\$0.00	
Security Subtotal	13.000			\$959.01	\$849.17	\$0.00	\$109.84	\$0.00	
ARM HOLDINGS PLC ADS		CUSIP: 042068106	Symbol (Box 1d): ARMH						
	154.000	12/02/11	12/20/12	\$5,905.10	\$4,352.52	\$0.00	\$1,552.58	\$0.00	
	30.000	12/02/11	12/21/12	\$1,131.52	\$847.89	\$0.00	\$283.63	\$0.00	
Security Subtotal	184.000			\$7,036.62	\$5,200.41	\$0.00	\$1,836.21	\$0.00	

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AT&T INC		CUSIP: 00206R102	Symbol (Box 1d): T						
	4.000	06/16/11	06/28/12	\$140.37	\$121.69	\$0.00	\$18.68	\$0.00	
	13.000	06/16/11	08/07/12	\$488.14	\$395.50	\$0.00	\$92.64	\$0.00	
Security Subtotal	17.000			\$628.51	\$517.19	\$0.00	\$111.32	\$0.00	
BANCO SANTANDER S.A.		CUSIP: 05964H105	Symbol (Box 1d): SAN						
	58.000	01/25/11	09/28/12	\$435.10	\$692.67	\$0.00	(\$257.57)	\$0.00	
BECTON DICKINSON & CO		CUSIP: 075887109	Symbol (Box 1d): BDX						
	9.000	07/29/11	08/20/12	\$687.98	\$754.43	\$0.00	(\$66.45)	\$0.00	
CANON INC ADR NEW		CUSIP: 138006309	Symbol (Box 1d): CAJ						
	4.000	03/15/11	11/02/12	\$127.02	\$174.76	\$0.00	(\$47.74)	\$0.00	
	9.000	03/15/11	12/27/12	\$347.11	\$393.20	\$0.00	(\$46.09)	\$0.00	
	9.000	04/27/11	12/27/12	\$347.11	\$409.49	\$0.00	(\$62.38)	\$0.00	
Security Subtotal	22.000			\$821.24	\$977.45	\$0.00	(\$156.21)	\$0.00	
CERNER CORP		CUSIP: 156782104	Symbol (Box 1d): CERN						
	64.000	03/11/11	06/27/12	\$4,966.93	\$3,294.37	\$0.00	\$1,672.56	\$0.00	
	20.000	03/31/11	06/27/12	\$1,552.16	\$1,112.96	\$0.00	\$439.20	\$0.00	
Security Subtotal	84.000			\$6,519.09	\$4,407.33	\$0.00	\$2,111.76	\$0.00	
CGI GROUPE INC CL A		CUSIP: 39945C109	Symbol (Box 1d): GIB						
	27.000	04/29/11	06/07/12	\$623.62	\$591.93	\$0.00	\$31.69	\$0.00	

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1099 Consolidated Tax Statement
Tax Year 2012 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 26-4310632
Taxpayer ID Number: 26-3134339
Account Number: 759 019935 037

KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
CHECK POINT SOFTWARE TECH LTD		CUSIP: M22465104	Symbol (Box 1d): CHKP						
	74.000	08/17/11	10/23/12	\$3,182.20	\$4,252.22	\$0.00	(\$1,070.02)	\$0.00	
	4.000	08/17/11	10/23/12	\$172.02	\$229.85	\$0.00	(\$57.83)	\$0.00	
Security Subtotal	78.000			\$3,354.22	\$4,482.07	\$0.00	(\$1,127.85)	\$0.00	
CISCO SYS INC		CUSIP: 17275R102	Symbol (Box 1d): CSCO						
	353.000	06/20/11	08/02/12	\$5,551.40	\$5,342.73	\$0.00	\$208.67	\$0.00	
DANONE SPONSORED ADR		CUSIP: 23636T100	Symbol (Box 1d): DANOF						
	60.000	02/16/11	08/13/12	\$712.96	\$743.55	\$0.00	(\$30.59)	\$0.00	
DU PONT EI DE NEMOURS & CO		CUSIP: 263534109	Symbol (Box 1d): DD						
	124.000	02/18/11	11/02/12	\$5,470.36	\$6,934.50	\$0.00	(\$1,464.14)	\$0.00	
ECOLAB INC		CUSIP: 278865100	Symbol (Box 1d): ECL						
	13.000	06/16/11	07/24/12	\$861.67	\$705.08	\$0.00	\$156.59	\$0.00	
FOMENTO ECONOMICO MEXICANO		CUSIP: 344419106	Symbol (Box 1d): FMX						
	5.000	03/01/11	10/19/12	\$476.53	\$280.00	\$0.00	\$196.53	\$0.00	
FOSSIL INC		CUSIP: 349882100	Symbol (Box 1d): FOSL						
	3.000	02/11/11	03/12/12	\$384.16	\$250.11	\$0.00	\$134.05	\$0.00	
HAEMONETICS CORP		CUSIP: 405024100	Symbol (Box 1d): HAE						
	5.000	03/10/11	10/04/12	\$405.03	\$318.20	\$0.00	\$86.83	\$0.00	
HALLIBURTON CO		CUSIP: 406216101	Symbol (Box 1d): HAL						
	37.000	01/31/11	03/20/12	\$1,282.99	\$1,657.91	\$0.00	(\$374.92)	\$0.00	

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

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HUMANA INC	27.000	CUSIP: 444859102	Symbol (Box 1d): HUM						
		03/14/11	08/29/12	\$1,909.76	\$1,718.21	\$0.00	\$191.55	\$0.00	
JOY GLOBAL INC	7.000	CUSIP: 481165108	Symbol (Box 1d): JOY						
		03/29/11	10/24/12	\$422.41	\$662.92	\$0.00	(\$240.51)	\$0.00	
KONINKLUKE AHOLD ADR	13.000	CUSIP: 500467402	Symbol (Box 1d): AHONY						
		08/01/11	10/15/12	\$160.81	\$171.08	\$0.00	(\$10.27)	\$0.00	
MARRIOTT INTL INC NEW CL A	8.000	CUSIP: 571903202	Symbol (Box 1d): MAR						
		07/19/11	10/01/12	\$313.00	\$260.33	\$0.00	\$52.67	\$0.00	
MEAD JOHNSON NUTRITION COMPANY	92.000	CUSIP: 582839106	Symbol (Box 1d): MJN						
		08/17/11	12/19/12	\$6,078.82	\$6,542.04	\$0.00	(\$463.22)	\$0.00	
METLIFE INCORPORATED	25.000	CUSIP: 59156R108	Symbol (Box 1d): MET						
		08/24/11	10/31/12	\$887.71	\$811.12	\$0.00	\$76.59	\$0.00	
MONSTER BEVERAGE CORP COM	8.000	CUSIP: 611740101	Symbol (Box 1d): MNST						
		06/01/11	07/02/12	\$595.01	\$288.73	\$0.00	\$306.28	\$0.00	
MUENCHENER RUECK-UNSPONS ADR	72.000	CUSIP: 626188106	Symbol (Box 1d): MURGY						
		03/17/11	06/01/12	\$869.17	\$1,109.02	\$0.00	(\$239.85)	\$0.00	
NINTENDO CO LTD ADR NEW	6.000	CUSIP: 654445303	Symbol (Box 1d): NTDOY						
		03/25/11	07/25/12	\$77.77	\$206.34	\$0.00	(\$128.57)	\$0.00	

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part II with box A checked)
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DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
NOMURA HLDGS INC	124.000	03/25/11	04/09/12	\$516.76	\$673.75	\$0.00	(\$156.99)	\$0.00	
ORACLE CORP	23.000	03/23/11	08/07/12	\$724.51	\$728.30	\$0.00	(\$3.79)	\$0.00	
	33.000	03/23/11	12/03/12	\$1,069.50	\$1,044.94	\$0.00	\$24.56	\$0.00	
	3.000	06/16/11	12/03/12	\$97.23	\$92.42	\$0.00	\$4.81	\$0.00	
Security Subtotal	59.000			\$1,891.24	\$1,865.66	\$0.00	\$25.58	\$0.00	
PRUDENTIAL FINANCIAL INC	3.000	11/16/11	12/03/12	\$155.92	\$158.36	\$0.00	(\$2.44)	\$0.00	
RANGE RESOURCES CORP	4.000	01/25/11	05/04/12	\$254.71	\$185.76	\$0.00	\$68.95	\$0.00	
REYNOLDS AMERICAN INC	29.000	02/23/11	05/11/12	\$1,175.24	\$995.58	\$0.00	\$179.66	\$0.00	
SBA COMMUNICATIONS CORP	10.000	05/23/11	07/03/12	\$582.69	\$393.58	\$0.00	\$189.11	\$0.00	
SEADRILL LTD	24.000	02/09/11	04/10/12	\$859.33	\$843.86	\$0.00	\$15.47	\$0.00	

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box A checked.)
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CUSIP: 828806109 Symbol (Box 1d): SPG									
	5.000	02/22/11	04/18/12	\$745.42	\$538.97	\$0.00	\$206.45	\$0.00	
	7.000	02/22/11	05/11/12	\$1,079.62	\$754.55	\$0.00	\$325.07	\$0.00	
	6.000	02/22/11	07/12/12	\$945.62	\$646.76	\$0.00	\$298.86	\$0.00	
Security Subtotal	18.000			\$2,770.66	\$1,940.28	\$0.00	\$830.38	\$0.00	
CUSIP: 790849103 Symbol (Box 1d): STJ									
ST JUDE MEDICAL INC	18.000	02/08/11	03/14/12	\$759.79	\$796.65	\$0.00	(\$36.86)	\$0.00	
CUSIP: 870195104 Symbol (Box 1d): SWDBY									
SWEDBANK AB SPONS ADR	23.000	02/25/11	09/04/12	\$386.84	\$391.71	\$0.00	(\$4.87)	\$0.00	
	7.000	03/02/11	09/04/12	\$117.74	\$121.17	\$0.00	(\$3.43)	\$0.00	
Security Subtotal	30.000			\$504.58	\$512.88	\$0.00	(\$8.30)	\$0.00	
CUSIP: 88076W103 Symbol (Box 1d): TDC									
TERADATA CORP	105.000	09/29/11	10/24/12	\$7,181.78	\$5,789.07	\$0.00	\$1,392.71	\$0.00	
CUSIP: 903914109 Symbol (Box 1d): UPL									
ULTRA PETROLEUM CORP	9.000	01/18/11	03/23/12	\$209.99	\$430.99	\$0.00	(\$221.00)	\$0.00	
	17.000	01/18/11	04/13/12	\$322.82	\$814.09	\$0.00	(\$491.27)	\$0.00	
Security Subtotal	26.000			\$532.81	\$1,245.08	\$0.00	(\$712.27)	\$0.00	
CUSIP: 92719A106 Symbol (Box 1d): VIP									
VIMPELCOM LTD SPON ADR	8.000	07/29/11	08/22/12	\$83.20	\$98.46	\$0.00	(\$15.26)	\$0.00	
CUSIP: 92927K102 Symbol (Box 1d): WBC									
WABCO HLDGS INC	4.000	02/10/11	10/01/12	\$231.31	\$231.85	\$0.00	(\$0.54)	\$0.00	

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1099 Consolidated Tax Statement
Tax Year 2012 Copy B For Recipient

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box A checked.) (Continued)

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WALGREEN CO		CUSIP: 931422109	Symbol (Box 1d): WAG						
	174.000	08/22/11	09/19/12	\$6,222.11	\$6,085.35	\$0.00	\$136.76	\$0.00	
WORLEYPARSONS LTD UNSPON ADR		CUSIP: 98161Q101	Symbol (Box 1d): WYGPY						
	9.000	05/17/11	11/05/12	\$227.24	\$274.73	\$0.00	(\$47.49)	\$0.00	
YAMADA DENKI CO LTD COMMON		CUSIP: J95534103	Symbol (Box 1d): YMDAF						
	20.000	06/15/11	11/28/12	\$688.18	\$1,677.52	\$0.00	(\$989.34)	\$0.00	
	1.000	11/16/11	11/28/12	\$34.41	\$75.55	\$0.00	(\$41.14)	\$0.00	
Security Subtotal	21.000			\$722.59	\$1,753.07	\$0.00	(\$1,030.48)	\$0.00	
Total Long Term Covered Securities				\$72,488.19	\$70,685.88	\$0.00	\$1,802.31	\$0.00	
Total Short and Long Term, Covered and Noncovered Securities				\$156,774.25	\$160,638.49	\$114.87	(\$3,864.24)	\$0.00	
Form 1099-B Total Reportable Amounts									
Total Sales Price (Box 2)				\$156,774.25					
Total Cost or Other Basis (Box 3)					\$160,638.49				
Total Wash Sale Loss Disallowed (Box 5)						\$114.87			
Total Fed Tax Withheld (Box 4)								\$0.00	

BC There was a taxable exchange of Eaton Corporation for Eaton Corporation plc. The fair market value of each Eaton Corporation plc share received on the date of the exchange was \$51.655. The proceeds amount shown is the fair market value times the number of Eaton Corporation plc shares received.

Corporate Tax Statement
Tax Year 2012

Morgan Stanley Smith Barney Holdings LLC
HARBORSIDE FINANCIAL CENTER
PLAZA 2 2ND FLOOR
JERSEY CITY, NJ 07311
IDENTIFICATION NUMBER: 26-4310632

TAXPAYER ID NUMBER: 26-3134339
ACCOUNT NUMBER: 759 020600 037

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked)

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CUSIP: 31403C6L0 Symbol (Box 1d):									
FNMA POOL 745275 5000 36FB01	360.620		02/25/12	\$360.62	\$0.00	\$0.00	\$0.00	\$0.00	PP
	390.110		03/25/12	\$390.11	\$0.00	\$0.00	\$0.00	\$0.00	PP
	403.340		04/25/12	\$403.34	\$0.00	\$0.00	\$0.00	\$0.00	PP
	388.420		05/25/12	\$388.42	\$0.00	\$0.00	\$0.00	\$0.00	PP
	439.470		06/25/12	\$439.47	\$0.00	\$0.00	\$0.00	\$0.00	PP
	419.710		07/25/12	\$419.71	\$0.00	\$0.00	\$0.00	\$0.00	PP
	399.220		08/25/12	\$399.22	\$0.00	\$0.00	\$0.00	\$0.00	PP
	439.100		09/25/12	\$439.10	\$0.00	\$0.00	\$0.00	\$0.00	PP
	386.310		10/25/12	\$386.31	\$0.00	\$0.00	\$0.00	\$0.00	PP
	445.500		11/25/12	\$445.50	\$0.00	\$0.00	\$0.00	\$0.00	PP
	379.030		12/25/12	\$379.03	\$0.00	\$0.00	\$0.00	\$0.00	PP
Security Subtotal	4,450.830			\$4,450.83	\$0.00	\$0.00	\$0.00	\$0.00	
CUSIP: 31410KJY1 Symbol (Box 1d):									
FNMA POOL 889579 6000 38MY01	738.340		02/25/12	\$738.34	\$0.00	\$0.00	\$0.00	\$0.00	PP
	861.710		03/25/12	\$861.71	\$0.00	\$0.00	\$0.00	\$0.00	PP
	911.550		04/25/12	\$911.55	\$0.00	\$0.00	\$0.00	\$0.00	PP
	995.870		05/25/12	\$995.87	\$0.00	\$0.00	\$0.00	\$0.00	PP
	1,162.010		06/25/12	\$1,162.01	\$0.00	\$0.00	\$0.00	\$0.00	PP
	1,103.670		07/25/12	\$1,103.67	\$0.00	\$0.00	\$0.00	\$0.00	PP
	1,134.430		08/25/12	\$1,134.43	\$0.00	\$0.00	\$0.00	\$0.00	PP
	1,059.250		09/25/12	\$1,059.25	\$0.00	\$0.00	\$0.00	\$0.00	PP
	889.600		10/25/12	\$889.60	\$0.00	\$0.00	\$0.00	\$0.00	PP
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Corporate Tax Statement Tax Year 2012

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OMB NO. 1545-0715

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FNMA POOL 889579 6000 38MY01		1,061.730		11/25/12	\$1,061.73	\$0.00	\$0.00	\$0.00	\$0.00	PP
		980.040		12/25/12	\$980.04	\$0.00	\$0.00	\$0.00	\$0.00	PP
Security Subtotal		10,898.200			\$10,898.20	\$0.00	\$0.00	\$0.00	\$0.00	
CUSIP: 31410XXK5 Symbol (Box 1d):										
FNMA POOL 889982 5500 38NV01		362.770		02/25/12	\$362.77	\$0.00	\$0.00	\$0.00	\$0.00	PP
		421.920		03/25/12	\$421.92	\$0.00	\$0.00	\$0.00	\$0.00	PP
		402.020		04/25/12	\$402.02	\$0.00	\$0.00	\$0.00	\$0.00	PP
		455.250		05/25/12	\$455.25	\$0.00	\$0.00	\$0.00	\$0.00	PP
		503.160		06/25/12	\$503.16	\$0.00	\$0.00	\$0.00	\$0.00	PP
		498.240		07/25/12	\$498.24	\$0.00	\$0.00	\$0.00	\$0.00	PP
		465.760		08/25/12	\$465.76	\$0.00	\$0.00	\$0.00	\$0.00	PP
		500.610		09/25/12	\$500.61	\$0.00	\$0.00	\$0.00	\$0.00	PP
		374.030		10/25/12	\$374.03	\$0.00	\$0.00	\$0.00	\$0.00	PP
		467.120		11/25/12	\$467.12	\$0.00	\$0.00	\$0.00	\$0.00	PP
		396.510		12/25/12	\$396.51	\$0.00	\$0.00	\$0.00	\$0.00	PP
Security Subtotal		4,847.390			\$4,847.39	\$0.00	\$0.00	\$0.00	\$0.00	
CUSIP: 31412NJQ0 Symbol (Box 1d):										
FNMA POOL 930071 6000 38OC01		72.260		02/25/12	\$72.26	\$0.00	\$0.00	\$0.00	\$0.00	PP
		170.110		03/25/12	\$170.11	\$0.00	\$0.00	\$0.00	\$0.00	PP
		279.950		04/25/12	\$279.95	\$0.00	\$0.00	\$0.00	\$0.00	PP
		313.670		05/25/12	\$313.67	\$0.00	\$0.00	\$0.00	\$0.00	PP
		138.810		06/25/12	\$138.81	\$0.00	\$0.00	\$0.00	\$0.00	PP
		77.650		07/25/12	\$77.65	\$0.00	\$0.00	\$0.00	\$0.00	PP
		148.800		08/25/12	\$148.80	\$0.00	\$0.00	\$0.00	\$0.00	PP

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement
Tax Year 2012Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd FloorJersey City, NJ 07311
Identification Number: 26-4310632

KLEIN FAMILY FOUNDATION INC.

ATTENTION: CARLA KLEIN

42 TUCKAHOE RD

EASTON CT 06612-2054

Taxpayer ID Number: 26-3134339

Account Number: 759 020600 037

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8849 Part I with box B checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
FNMA POOL 930071 6000 38OC01	85 510		09/25/12	\$85.51	\$0.00	\$0.00	\$0.00	\$0.00	PP
	119.960		10/25/12	\$119.96	\$0.00	\$0.00	\$0.00	\$0.00	PP
	183.290		11/25/12	\$183.29	\$0.00	\$0.00	\$0.00	\$0.00	PP
	160.440		12/25/12	\$160.44	\$0.00	\$0.00	\$0.00	\$0.00	PP
Security Subtotal	1,750.450			\$1,750.45	\$0.00	\$0.00	\$0.00	\$0.00	
HEALTH CARE REIT 7.8750 D PFD									
	1,500.000	11/30/11	04/02/12	\$37,516.41	\$38,343.45	\$0.00	(\$827.04)	\$0.00	
CUSIP: 42217K304 Symbol (Box 1d):									
Total Short Term Noncovered Securities				\$59,463.28	\$98,343.45	\$0.00	(\$827.04)	\$0.00	
				1725.58	1725.58		(56.67)		

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8849 Part II with box B checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
BELLSOUTH CORP 4750 12NV15									
	5,000.000	03/13/09	06/29/12	\$5,084.25	\$5,013.59	\$0.00	\$70.66	\$0.00	
	2,000.000	09/30/09	06/29/12	\$2,033.70	\$2,017.71	\$0.00	\$15.99	\$0.00	
Security Subtotal	7,000.000			\$7,117.95	\$7,031.30	\$0.00	\$86.65	\$0.00	
CUSIP: 079860AJ1 Symbol (Box 1d):									
COSTCO WHOLESALE 5300 12MH15									
	5,000.000	03/16/09	03/15/12	\$5,000.00	\$5,000.00	\$0.00	\$0.00	\$0.00	
	1,000.000	09/30/09	03/15/12	\$1,000.00	\$1,000.00	\$0.00	\$0.00	\$0.00	
Security Subtotal	6,000.000			\$6,000.00	\$6,000.00	\$0.00	\$0.00	\$0.00	
CUSIP: 22160KAB1 Symbol (Box 1d):									

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Corporate Tax Statement
Tax Year 2012Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd FloorKLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054Jersey City, NJ 07311
Identification Number: 26-4310632Taxpayer ID Number: 26-3134339
Account Number: 759 020600 037

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
FHLMC 2125 12SP21		CUSIP: 3137EACE7	Symbol (Box 1d):						
	6,000.000	09/11/09	09/21/12	\$6,000.00	\$6,000.00	\$0.00	\$0.00	\$0.00	
	1,000.000	10/02/09	09/21/12	\$1,000.00	\$1,000.00	\$0.00	\$0.00	\$0.00	
Security Subtotal	7,000.000			\$7,000.00	\$7,000.00	\$0.00	\$0.00	\$0.00	
FHLMC 5125 12JL15		CUSIP: 3134A4QD9	Symbol (Box 1d):						
	5,000.000	03/10/09	07/16/12	\$5,000.00	\$5,000.00	\$0.00	\$0.00	\$0.00	
	1,000.000	10/02/09	07/16/12	\$1,000.00	\$1,000.00	\$0.00	\$0.00	\$0.00	
Security Subtotal	6,000.000			\$6,000.00	\$6,000.00	\$0.00	\$0.00	\$0.00	
FNMA 5250 12AU01		CUSIP: 31359MNU3	Symbol (Box 1d):						
	8,000.000	03/10/09	08/01/12	\$8,000.00	\$8,000.00	\$0.00	\$0.00	\$0.00	
	3,000.000	10/02/09	08/01/12	\$3,000.00	\$3,000.00	\$0.00	\$0.00	\$0.00	
Security Subtotal	11,000.000			\$11,000.00	\$11,000.00	\$0.00	\$0.00	\$0.00	
GEN ELEC CAP CORP 5875 12FB15		CUSIP: 36962GX58	Symbol (Box 1d):						
	5,000.000	03/13/09	02/15/12	\$5,000.00	\$4,876.25	\$0.00	\$123.75	\$0.00	
	1,000.000	09/30/09	02/15/12	\$1,000.00	\$1,000.00	\$0.00	\$0.00	\$0.00	
Security Subtotal	6,000.000			\$6,000.00	\$5,876.25	\$0.00	\$123.75	\$0.00	
GOLDMAN SACHS GOVT INC INSTL		CUSIP: 38142V761	Symbol (Box 1d): GSOIX						
	2,557.545	03/10/09	12/11/12	\$40,000.00	\$38,060.18	\$0.00	\$1,939.82	\$0.00	
PIONEER GLOBAL HIGH YIELD Y		CUSIP: 72369G405	Symbol (Box 1d): GHYYX						
	5,159.959	03/10/09	08/14/12	\$50,000.00	\$31,404.65	\$0.00	\$18,595.35	\$0.00	

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Corporate Tax Statement Tax Year 2012

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 26-4310632
Taxpayer ID Number: 26-3134339
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KLEIN FAMILY FOUNDATION INC.
ATTENTION: CARLA KLEIN
42 TUCKAHOE RD
EASTON CT 06612-2054

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
CUSIP: 912828AF7 Symbol (Box 1d):									
US TSY NOTE TIIN 3000 12JL15	35,000.000	03/11/09	07/12/12	\$44,764.65	\$46,188.52	\$0.00	(\$1,423.87)	\$0.00	
	1,000.000	08/19/09	07/12/12	\$1,278.99	\$1,348.72	\$0.00	(\$69.73)	\$0.00	
	8,000.000	09/29/09	07/12/12	\$10,231.92	\$10,879.84	\$0.00	(\$647.92)	\$0.00	
Security Subtotal	44,000.000			\$56,275.56	\$58,417.08	\$0.00	(\$2,141.52)	\$0.00	
Total Long Term Noncovered Securities				\$189,393.51	\$170,789.46	\$0.00	\$18,604.05	\$0.00	
Total Short and Long Term, Covered and Noncovered Securities				\$248,856.79	\$209,132.91	\$0.00	\$17,777.01	\$0.00	
Form 1099-B Total Reportable Amounts									
Total Sales Price (Box 2)				\$248,856.79					
Total Cost or Other Basis (Box 3)					\$0.00				
Total Wash Sale Loss Disallowed (Box 5)						\$0.00			
Total Fed Tax Withheld (Box 4)								\$0.00	

Noncovered securities are not subject to the IRS cost basis reporting regulations, therefore their date of acquisition, cost basis, short or long term designation and any disallowed loss resulting from a wash sale will not be reported to the IRS.

PP This represents a return of your investment. Please compare your cost basis for this principal payment with the amount of the payment. You may have a capital loss if your cost basis exceeds the principal received or ordinary income if your cost basis is less than the principal payment. Principal payments are reported on Form 8949, Sales and Other Dispositions of Capital Assets, of the tax return Please consult your tax advisor.