Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to public Inspection

For calendar year 2012 or tax year beginning , and ending

Name of foundation

BASSLER FAMILY FOUNDATION, INC.

A Employer identification number

26-1561741

Number and street (or P O box number if mail is not delivered to street address) | Room/suite

13 SEAGATE ROAD

B Telephone number

203.655.9658

City or town, state, and ZIP code

DARIEN, CT 06820

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 892,344.

J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received			N/A	
	2 Check ▶ ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	25,460.	25,460.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	15,861.			
	b Gross sales price for all assets on line 6a 2,661.				
	7 Capital gain net income (from Part IV, line 2)		15,861.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 Total Add lines 1 through 11	41,321.	41,321.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees STMT 2	1,500.	1,500.		0.
	c Other professional fees				
	17 Interest				
	18 Taxes STMT 3	574.	574.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 4	13,276.	13,276.		0.
	24 Total operating and administrative expenses. Add lines 13 through 23	15,350.	15,350.		0.
	25 Contributions, gifts, grants paid	47,550.			47,550.
	26 Total expenses and disbursements. Add lines 24 and 25	62,900.	15,350.		47,550.
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-21,579.			
	b Net investment income (if negative, enter -0-)		25,971.		
	c Adjusted net income (if negative, enter -0-)			N/A	

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223501 12-05-12 LHA For Paperwork Reduction Act Notice, see instructions.

Part II Balance Sheets (Attached schedules and amounts in the description column should be for end-of-year amounts only)	Beginning of year	End of year	
	(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets			
1 Cash - non-interest-bearing	38,238.	48,765.	48,765.
2 Savings and temporary cash investments			
3 Accounts receivable ▶			
Less allowance for doubtful accounts ▶			
4 Pledges receivable ▶			
Less allowance for doubtful accounts ▶			
5 Grants receivable			
6 Receivables due from officers, directors, trustees, and other disqualified persons			
7 Other notes and loans receivable ▶			
Less allowance for doubtful accounts ▶			
8 Inventories for sale or use			
9 Prepaid expenses and deferred charges			
10a Investments - U S and state government obligations STMT 5	844,151.	809,495.	843,579.
b Investments - corporate stock			
c Investments - corporate bonds			
11 Investments - land, buildings, and equipment basis ▶			
Less accumulated depreciation ▶			
12 Investments - mortgage loans			
13 Investments - other			
14 Land, buildings, and equipment basis ▶			
Less accumulated depreciation ▶			
15 Other assets (describe ▶)			
16 **Total assets** (to be completed by all filers)	882,389.	858,260.	892,344.
Liabilities			
17 Accounts payable and accrued expenses	10,050.	7,500.	
18 Grants payable			
19 Deferred revenue			
20 Loans from officers, directors, trustees, and other disqualified persons			
21 Mortgages and other notes payable			
22 Other liabilities (describe ▶)			
23 **Total liabilities** (add lines 17 through 22)	10,050.	7,500.	
Net Assets or Fund Balances			
Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
24 Unrestricted			
25 Temporarily restricted			
26 Permanently restricted			
Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
27 Capital stock, trust principal, or current funds	0.	0.	
28 Paid-in or capital surplus, or land, bldg , and equipment fund	0.	0.	
29 Retained earnings, accumulated income, endowment, or other funds	872,339.	850,760.	
30 **Total net assets or fund balances**	872,339.	850,760.	
31 **Total liabilities and net assets/fund balances**	882,389.	858,260.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	872,339.
2 Enter amount from Part I, line 27a	2	-21,579.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	850,760.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	850,760.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SEE ATTACHED UBS SCHEDULE			
b	SEE ATTACHED UBS SCHEDULE			
c	CAPITAL GAINS DIVIDENDS			
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				660.
b				12,540.
c	2,661.			2,661.
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				660.
b				12,540.
c				2,661.
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	15,861.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	51,256.	917,016.	.055894
2010	54,836.	923,658.	.059368
2009	50,500.	954,903.	.052885
2008	51,911.	815,615.	.063646
2007	2,250.	717,039.	.003138

2 **Total** of line 1, column (d)	2	.234931
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.046986
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	869,865.
5 Multiply line 4 by line 3	5	40,871.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	260.
7 Add lines 5 and 6	7	41,131.
8 Enter qualifying distributions from Part XII, line 4	8	47,550.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter ______ **(attach copy of letter if necessary-see instructions)**			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b		1	260.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	260.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-		5	260.
6 Credits/Payments			
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d		7	0.
8 Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	260.
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	
11 Enter the amount of line 10 to be: **Credited to 2013 estimated tax** ▶	**Refunded** ▶	11	

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?	1b		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ▶ $ 0. (2) On foundation managers ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6		X
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CT			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ BASSLER FAMILY FOUNDATION, INC Telephone no ▶203-655-9658

Located at ▶13 SEAGATE ROAD, DARIEN, CT ZIP+4 ▶06820

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 | N/A

			Yes	No
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ______, ______, ______, ______			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ______, ______, ______, ______			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? N/A | 5b |

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | | X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | 7b |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JOHN P. BASSLER 13 SEAGATE ROAD DARIEN, CT 06820	DIRECTOR/PRESIDENT 1.00	0.	0.	0.
SARA A. BASSLER 13 SEAGATE ROAD DARIEN, CT 06820	DIRECTOR/VICE PRESIDENT 1.00	0.	0.	0.
PETER J. BASSLER 40 STURGES COMMONS WESTPORT, CT 06880	DIRECTOR/TREASURER 1.00	0.	0.	0.
E. ELENA HIRSCH 8 PAR ROAD MONTEBELLO, NY 10901	DIRECTOR/SECRETARY 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ▶	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	855,277.
b	Average of monthly cash balances	1b	27,835.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	883,112.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	883,112.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	13,247.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	869,865.
6	**Minimum investment return.** Enter 5% of line 5	6	43,493.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	43,493.
2a	Tax on investment income for 2012 from Part VI, line 5 — 2a: 260.		
b	Income tax for 2012 (This does not include the tax from Part VI) — 2b:		
c	Add lines 2a and 2b	2c	260.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	43,233.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	43,233.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	43,233.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	47,550.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	47,550.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	260.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	47,290.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7					43,233.
2 Undistributed income, if any, as of the end of 2012					
a Enter amount for 2011 only				0.	
b Total for prior years: ____, ____, ____			0.		
3 Excess distributions carryover, if any, to 2012					
a From 2007					
b From 2008	11,004.				
c From 2009	2,755.				
d From 2010	9,191.				
e From 2011	5,923.				
f **Total** of lines 3a through e		28,873.			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ $ 47,550.					
a Applied to 2011, but not more than line 2a				0.	
b Applied to undistributed income of prior years (Election required - see instructions)			0.		
c Treated as distributions out of corpus (Election required - see instructions)		0.			
d Applied to 2012 distributable amount					43,233.
e Remaining amount distributed out of corpus		4,317.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)		0.			0.
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		33,190.			
b Prior years' undistributed income Subtract line 4b from line 2b			0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			0.		
d Subtract line 6c from line 6b Taxable amount - see instructions			0.		
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount - see instr				0.	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013					0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)		0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7		0.			
9 **Excess distributions carryover to 2013.** Subtract lines 7 and 8 from line 6a		33,190.			
10 Analysis of line 9					
a Excess from 2008	11,004.				
b Excess from 2009	2,755.				
c Excess from 2010	9,191.				
d Excess from 2011	5,923.				
e Excess from 2012	4,317.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
BROWN UNIVERSITY PO BOX 1908 PROVIDENCE, RI 02912	NONE	OTHER PUBLIC CHARITY	GENERAL	5,000.
CROHNS & COLITIS FOUNDATION OF AMERICA 30 GLEN ST WHITE PLAINS, NY 10603	NONE	OTHER PUBLIC CHARITY	GENERAL	3,500.
FIRST CONGREGATIONAL CHURCH 14 BROOKSIDE RD DARIEN, CT 06820	NONE	OTHER PUBLIC CHARITY	GENERAL	21,500.
PAN MASSACHUSSETS CHALLENGE 77 4TH AVE NEEDHAM, MA 02494	NONE	OTHER PUBLIC CHARITY	GENERAL	500.
PARENT TEACHER ASSOC OF CT 60 CONNOLLY PKWY, BLDG #2 HAMDEN, CT 06514	NONE	OTHER PUBLIC CHARITY	"LIONS HEART" PROGRAM	1,000.
Total SEE CONTINUATION SHEET(S)			▶ 3a	47,550.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities					25,460.
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					15,861.
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0.		0.	41,321.

13 Total. Add line 12, columns (b), (d), and (e) 13 41,321.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes)
1	INVESTMENT INCOME IS ADDED TO PRINCIPAL AND UTILIZED TO PURSUE A
2	PROGRAM OF SUPPORTING VARIOUS CHARITABLE ORGANIZATIONS DETERMINED BY
3	THE DIRECTORS TO BE WORTHWHILE CHARITABLE ORGANIZATIONS IN NEED OF
4	ADDITIONAL FINANCIAL SUPPORT. THE DIRECTORS SELECT SUCH CHARITABLE
5	ORGANIZATIONS AT LEAST ANNUALLY.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee: J Bassler | Date: 10/7/13 | Title: TRUSTEE

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
DAVID I. BARISH	David I Barish	10/4/13		P00387433
Firm's name ▶ BARISH & O'BRIEN			Firm's EIN ▶ 13-3341682	
Firm's address ▶ 572 NORTH BROADWAY WHITE PLAINS, NY 10603			Phone no 914-428-8600	

223622
12-05-12

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
PERSON-TO-PERSON 1864 POST ROAD DARIEN, CT 06820	NONE	OTHER PUBLIC CHARITY	GENERAL	2,000.
PLANNED PARENTHOOD 345 WHITNEY AVE NEW HAVEN, CT 06820	NONE	OTHER PUBLIC CHARITY	GENERAL	1,000.
TANENBAUM CENTER FOR INTERRELIGIOUS UNDERSTANDING 254 31ST STREET NEW YORK, NY 10001	NONE	OTHER PUBLIC CHARITY	GENERAL	5,500.
FOOD BANK OF LOWER FAIRFIELD COUNTY 461 GLENBROOK ROAD STAMFORD, CT 06906	NONE	OTHER PUBLIC CHARITY	GENERAL	1,000.
GET YOUR GUTS IN GEAR 66 FULTON ST WHITE PLAINS, NY 10606	NONE	OTHER PUBLIC CHARITY	GENERAL	5,000.
THE CARVER FOUNDATION OF NORWALK, INC 7 ACADEMY ST NORWALK, CT 06850	NONE	OTHER PUBLIC CHARITY	GENERAL	500.
INSPIRICA 141 FRANKLIN ST STAMFORD, CT 06901	NONE	OTHER PUBLIC CHARITY	GENERAL	1,000.
GREENWICH LEADERSHIP FORUM PO BOX 1517 GREENWICH , CT 06836	NONE	OTHER PUBLIC CHARITY	GENERAL	50.
Total from continuation sheets				16,050.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
UBS FINANCIAL	28,121.	2,661.	25,460.
TOTAL TO FM 990-PF, PART I, LN 4	28,121.	2,661.	25,460.

FORM 990-PF ACCOUNTING FEES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PROFESSIONAL FEES	1,500.	1,500.		0.
TO FORM 990-PF, PG 1, LN 16B	1,500.	1,500.		0.

FORM 990-PF TAXES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EXCISE TAX	259.	259.		0.
FOREIGN TAX	315.	315.		0.
TO FORM 990-PF, PG 1, LN 18	574.	574.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BROKER FEES	13,246.	13,246.		0.
CONTRIBUTION FEES	30.	30.		0.
TO FORM 990-PF, PG 1, LN 23	13,276.	13,276.		0.

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 5

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
SEE SCHEDULE ATTACHED		X	809,495.	843,579.
TOTAL U.S. GOVERNMENT OBLIGATIONS				
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS			809,495.	843,579.
TOTAL TO FORM 990-PF, PART II, LINE 10A			809,495.	843,579.

Realized gains and losses

Estimated 2012 gains and losses for transactions with trade dates through 12/31/12 have been incorporated into this statement Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss Please check with your tax advisor To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order

See Important information about your statement on the last page of this statement for more information We may not adjust gains and losses for all capital changes Cost basis for coupon tax-exempt municipal securities, include securities subject to AMT, has been adjusted for mandatory amortization of bond premium Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
AFLAC INC	FIFO	21 000	May 16, 11	Jan 27, 12	1,024 99	1,136 19		-111 20	
AIR PROD & CHEMICAL INC	FIFO	4 000	May 16, 11	Jan 27, 12	352 15	366 02		-13 87	
AT&T INC	FIFO	41 000	May 16, 11	May 07, 12	1,344 77	1,279 19			65 58
	FIFO	85 000	Oct 11, 11	May 07, 12	2,787 94	2,451 15			336 79
	FIFO	64 000	Oct 21, 11	May 07, 12	2,099 15	1,861 70			237 45
	FIFO	5 000	Jan 27, 12	May 07, 12	164 00	146 20			17 80

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Your Financial Advisor:
SHAPIRO/LIVACCARI
212-821-2700/800-543-0802

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
BLACKROCK GLOBAL ALLOCATION FUND INC A	FIFO	131 360	Oct 21, 11	Oct 05, 12	2,590 42	2,459 06			131 36
	FIFO	40 422	Dec 20, 11	Oct 05, 12	797 12	715.87			81 25
	FIFO	41 058	Dec 20, 11	Oct 05, 12	809 66	727.14			82 52
	FIFO	24 547	Jul 19, 12	Oct 05, 12	484 07	462 47			21 60
BOEING COMPANY	FIFO	6 000	May 16, 11	Jan 27, 12	447 47	471.11		-23 64	
	FIFO	3 000	Aug 03, 11	Jan 27, 12	223 73	254 25	53 34	-30 52	
	FIFO	5 000	Aug 03, 11	Jan 27, 12	372 89	334 84			38 05
DIAGEO PLC NEW GB SPON ADR	FIFO	3 000	Sep 15, 11	Jan 27, 12	267 44	233 48			33 96
EMERSON ELECTRIC CO	FIFO	11 000	May 16, 11	Jan 27, 12	569 34	589 46		-20.12	
EXXON MOBIL CORP	FIFO	5 000	May 16, 11	Jan 27, 12	429 99	404 69			25 30
	FIFO	29 000	Oct 11, 11	Sep 10, 12	2,603 11	2,210 96			392 15
	FIFO	21 000	Oct 21, 11	Sep 10, 12	1,885 01	1,678 30			206 71
GLAXO SMITHKLINE PLC ADR	FIFO	168 000	Aug 07, 12	Nov 13, 12	7,202.87	7,898 59		-695 72	
HOME DEPOT INC	FIFO	17 000	May 16, 11	Jan 27, 12	760 29	631 83			128 46
	FIFO	17 000	Aug 03, 11	Jan 27, 12	760 28	610 29	53 41		149 99
	FIFO	70 000	Oct 11, 11	Oct 09, 12	4,300 20	2,418 15			1,882 05
	FIFO	42 000	Oct 21, 11	Oct 09, 12	2,580 12	1,535 52			1,044 60
ILLINOIS TOOL WORKS INC	FIFO	14 000	May 16, 11	Jan 27, 12	736 94	816 33		-79 39	
INTEL CORP	FIFO	26 000	May 16, 11	Jan 27, 12	694 79	618 88			75 91
ISHARES MSCI EMERGING MARKETS INDEX FUND	FIFO	625.000	Jan 27, 12	May 17, 12	23,743 27	26,479 69		-2,736 42	
ISHARES S&P NATL AMT-FREE MUNI BOND FUND	FIFO	110 000	Jun 27, 12	Aug 20, 12	12,200 00	12,109 28			90 72
	FIFO	120 000	Jun 27, 12	Oct 09, 12	13,413 62	13,210 13			203 49
ISHARES TRUST DJ US REAL STATE INDEX FUND	FIFO	12 000	Dec 15, 11	Jan 27, 12	728 55	660 40			68 15
ISHARES TRUST RUSSELL 2000 INDEX FUND	FIFO	215 000	Jul 06, 12	Nov 14, 12	16,616 98	17,264 48		-647 50	

continued next page

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
ISHARES TRUST RUSSELL 3000 INDEX FUND	FIFO	336 000	Feb 06, 12	May 24, 12	26,311 09	26,873 15		-562 06	
IVA WORLDWIDE FUND CLASS A	FIFO	35 233	Oct 21, 11	Oct 05, 12	573 94	584 52		-10 58	
	FIFO	166 948	Dec 14, 11	Oct 05, 12	2,719 58	2,515 91			203 67
	FIFO	33 317	Dec 14, 11	Oct 05, 12	542 74	502 09			40 65
	FIFO	70 005	Dec 14, 11	Oct 05, 12	1,140 38	1,054 98			85 40
JOHNSON & JOHNSON COM	FIFO	1 000	May 16, 11	Jan 27, 12	65 66	66 58		-0 92	
MCDONALDS CORP	FIFO	10 000	May 16, 11	Jan 09, 12	1,000 49	811.19			189 30
	FIFO	28 000	Aug 03, 11	Jan 09, 12	2,801 38	2,392 81			408 57
	FIFO	27 000	Oct 11, 11	Jan 09, 12	2,701 33	2,411 88			289 45
	FIFO	19 000	Oct 21, 11	Jan 09, 12	1,900 93	1,743 76			157 17
MEDTRONIC INC	FIFO	17 000	May 16, 11	Jan 27, 12	666 73	717 47		-50 74	
	FIFO	6 000	Aug 03, 11	Jan 27, 12	235 31	257 20	52 57	-21 89	
NESTLE S A SPONSORED ADR REPSTG REG SHS SWITZ ADR	FIFO	49 000	Oct 11, 11	Aug 07, 12	3,021 70	2,766 05			255 65
	FIFO	29 000	Oct 21, 11	Aug 07, 12	1,788 36	1,699 11			89 25
	FIFO	4 000	Jan 27, 12	Aug 07, 12	246 67	232 32			14 35
NEXTERA ENERGY INC COM	FIFO	6 000	Aug 03, 11	Jan 27, 12	358 31	322 20			36 11
NORTHEAST UTILITIES	FIFO	1 000	May 16, 11	Jan 27, 12	34 77	36 01		-1 24	
PEPSICO INC	FIFO	4 000	May 16, 11	Jan 27, 12	263 47	283 60		-20 13	
	FIFO	7 000	May 16, 11	Feb 17, 12	438 34	496 30		-57 96	
	FIFO	43 000	Aug 03, 11	Feb 17, 12	2,692 66	3,105 45	345 33	-412 79	
	FIFO	42 000	Oct 11, 11	Feb 17, 12	2,630 04	2,562 73			67 31
	FIFO	28 000	Oct 21, 11	Feb 17, 12	1,753 36	1,741 31			12 05
PERMANENT PORTFOLIO FUND INC	FIFO	41 352	May 16, 11	Jan 27, 12	2,017 13	1,974 56			42 57
PIMCO ALL ASSETS ALL AUTH-A	FIFO	319 240	May 16, 11	Jan 27, 12	3,352 02	3,473 33		-121 31	

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
PIMCO UNCONSTRAINED BOND FUND CLASS A	FIFO	768 091	Feb 28, 11	Jan 27, 12	8,418 28	8,571 90		-153 62	
	FIFO	793 097	Feb 28, 11	Feb 06, 12	8,716 14	8,850 96		-134 82	
	FIFO	2 761	Oct 31, 11	Oct 05, 12	32 25	30 26			1 99
	FIFO	3 385	Nov 30, 11	Oct 05, 12	39 54	36 93			2 61
	FIFO	23 712	Dec 28, 11	Oct 05, 12	276 95	257 99			18 96
	FIFO	1 991	Dec 30, 11	Oct 05, 12	23 26	21 68			1 58
	FIFO	1 064	Jan 31, 12	Oct 05, 12	12 43	11 71			0 72
	FIFO	1 774	Feb 29, 12	Oct 05, 12	20 72	19 57			1 15
	FIFO	3 049	Mar 30, 12	Oct 05, 12	35 61	33 66			1 95
	FIFO	2 756	Apr 30, 12	Oct 05, 12	32 19	30 76			1 43
	FIFO	2 900	May 31, 12	Oct 05, 12	33 87	32 80			1 07
	FIFO	2 207	Jun 29, 12	Oct 05, 12	25 78	25 09			0 69
	FIFO	1 092	Jul 31, 12	Oct 05, 12	12 75	12 53			0 22
	FIFO	0 869	Aug 31, 12	Oct 05, 12	10 15	10 01			0 14
	FIFO	0 790	Sep 28, 12	Oct 05, 12	9 23	9 20			0 03
POWERSHARES QQQ TRUST ETF SER 1	FIFO	6 000	Oct 14, 11	Jan 27, 12	361 85	346 41			15 44
	FIFO	140 000	Jan 17, 12	Dec 07, 12	9,073 37	8,211 00			862 37
PRAXAIR INC	FIFO	21 000	Oct 11, 11	Oct 09, 12	2,224 35	2,116 71			107 64
	FIFO	17 000	Oct 21, 11	Oct 09, 12	1,800 67	1,749 61			51 06
PROCTER & GAMBLE CO	FIFO	15 000	May 16, 11	May 01, 12	952 48	1,006 95		-54 47	
	FIFO	36 000	Oct 11, 11	May 01, 12	2,285 96	2,323 44		-37 48	
	FIFO	27 000	Oct 21, 11	May 01, 12	1,714 47	1,780 04		-65 57	
	FIFO	6 000	Jan 27, 12	May 01, 12	380 99	386 16		-5 17	
RAYTHEON CO NEW	FIFO	14 000	May 16, 11	Jan 27, 12	680 15	694 39		-14 24	
SANOFI SPON ADR	FIFO	3 000	May 16, 11	Jan 27, 12	110 48	114 44		-3 96	
SPDR INDEX SHARES FUNDS SPDR DOW JONES GLOBAL *NAME CHANGE EFF 04/09*	FIFO	19 000	Dec 15, 11	Jan 27, 12	711 53	646 57			64 96

continued next page

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
SPDR S&P 500 ETF TR	FIFO	67 000	Oct 28, 11	Feb 06, 12	8,994 03	8,598 11			395 92
	FIFO	144 000	Oct 28, 11	Jun 04, 12	18,402 58	18,479 52		-76 94	
	FIFO	55 000	Jan 17, 12	Jun 04, 12	7,028 76	7,115 79		-87 03	
	FIFO	2 000	Jan 27, 12	Jun 04, 12	255 59	263 25		-7 66	
	FIFO	190 000	Jul 05, 12	Nov 14, 12	25,866 04	26,035 70		-169 66	
TESCO PLC SPONS ADR UNITED KINGDOM	FIFO	304 000	Oct 11, 11	Jan 17, 12	4,394 73	5,843 89		-1,449 16	
	FIFO	93 000	Oct 21, 11	Jan 17, 12	1,344 44	1,817 22		-472 78	
UNITED PARCEL SERVICE INC CL B	FIFO	6 000	May 16, 11	Jan 27, 12	457 09	444 06			13 03
UNTD TECHNOLOGIES CORP	FIFO	1 000	May 16, 11	Jan 27, 12	77 66	89 10		-11 44	
VANGUARD BD INDEX FD INC TOTAL BOND MKT ETF	FIFO	90 000	Jun 09, 11	Feb 06, 12	7,539 30	7,359 29			180 01
	FIFO	20 000	Jan 27, 12	Oct 10, 12	1,697 24	1,677.53			19 71
WPP PLC SPON ADR	FIFO	131 000	Feb 10, 12	Nov 13, 12	8,381 46	8,042 15			339 31
3M CO	FIFO	6 000	May 16, 11	Jan 27, 12	524 80	574 67		-49 87	
	FIFO	34 000	Aug 03, 11	Apr 11, 12	2,907 45	3,531 01	623 06	-623 56	
	FIFO	4 000	Aug 03, 11	Apr 11, 12	342 05	342 11		-0 06	
	FIFO	32 000	Oct 11, 11	Apr 11, 12	2,736 42	2,445 40			291 02
	FIFO	21 000	Oct 21, 11	Apr 11, 12	1,795 77	1,679 13			116 64
Total					**$292,988.41**	**$292,328.86**		**-$9,035.49**	**$9,695.04**
Net short-term capital gains and losses									**$659.55**

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
AT&T INC	FIFO	76 000	Aug 03, 11	May 07, 12	2,492 75	2,411 44	183 20		81 31
BLACKROCK GLOBAL ALLOCATION FUND INC A	FIFO	89 002	Oct 16, 09	Jan 27, 12	1,699 05	1,594 03			105 02
	FIFO	54 867	Oct 16, 09	Aug 20, 12	1,055 09	982 66			72 43
	FIFO	671 178	Oct 16, 09	Aug 21, 12	12,906 75	12,020 80			885 95

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	57 423	Dec 18, 09	Aug 21, 12	1,104 24	1,018 68			85 56
	FIFO	135 362	May 03, 10	Aug 21, 12	2,603 01	2,482 53			120 48
	FIFO	97.464	May 03, 10	Oct 05, 12	1,921 99	1,787 49			134 50
	FIFO	309 684	May 14, 10	Oct 05, 12	6,106 97	5,499 99			606 98
	FIFO	297 953	Jun 01, 10	Oct 05, 12	5,875 63	5,109 90			765 73
	FIFO	56 690	Jul 01, 10	Oct 05, 12	1,117 93	964 86			153 07
	FIFO	11 262	Jul 22, 10	Oct 05, 12	222 09	198 32			23 77
	FIFO	352 483	Oct 01, 10	Oct 05, 12	6,950 96	6,556 19			394 77
	FIFO	328.392	Nov 01, 10	Oct 05, 12	6,475 89	6,239 44			236 45
	FIFO	365 678	Dec 02, 10	Oct 05, 12	7,211 17	7,002 74			208 43
	FIFO	39 848	Dec 21, 10	Oct 05, 12	785 80	761 10			24 70
	FIFO	323 296	Dec 21, 10	Oct 05, 12	6,375 40	6,207 29			168 11
	FIFO	357 729	Feb 28, 11	Oct 05, 12	7,054 42	7,172 46		-118 04	
	FIFO	35 859	Jul 21, 11	Oct 05, 12	707 14	726 50		-19 36	
EXXON MOBIL CORP	FIFO	6 000	May 16, 11	Sep 10, 12	538 58	485 64			52 94
	FIFO	36 000	Aug 03, 11	Sep 10, 12	3,231 45	3,128 84	355 76		102 61
HOME DEPOT INC	FIFO	65 000	Aug 03, 11	Oct 09, 12	3,993.04	2,333 46	204 23		1,659 58
IVA WORLDWIDE FUND CLASS A	FIFO	47 723	Jun 22, 10	Jan 27, 12	763 56	722 05			41 51
	FIFO	2,071 141	Jun 22, 10	Oct 05, 12	33,738 89	31,336 36			2,402 53
	FIFO	31 384	Jul 01, 10	Oct 05, 12	511 24	459 15			52 09
	FIFO	371 412	Oct 01, 10	Oct 05, 12	6,050 31	5,983 44			66 87
	FIFO	341 675	Nov 01, 10	Oct 05, 12	5,565 88	5,661 56		-95 68	
	FIFO	385 334	Dec 02, 10	Oct 05, 12	6,277 09	6,477 46		-200 37	
	FIFO	58 762	Dec 15, 10	Oct 05, 12	957 24	973 68		-16 44	
	FIFO	20 055	Dec 15, 10	Oct 05, 12	326 69	332 31		-5 62	
	FIFO	71 924	Dec 15, 10	Oct 05, 12	1,171 64	1,191 78		-20 14	
	FIFO	373 034	Dec 21, 10	Oct 05, 12	6,076 73	6,207 28		-130 55	
	FIFO	390 405	Feb 28, 11	Oct 05, 12	6,359 70	6,726 67		-366 97	

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
IVY ASSET STRATEGY FUND CLASS A	FIFO	191 264	Oct 16, 09	Jan 27, 12	4,682 14	4,253 71			428 43
	FIFO	477.476	Oct 16, 09	May 18, 12	11,168 16	10,619 07			549 09
	FIFO	14 423	Dec 10, 09	May 18, 12	337 35	318 89			18 46
	FIFO	39 474	May 03, 10	May 18, 12	923 30	908 30			15 00
NESTLE S A SPONSORED ADR REPSTG REG SHS SWITZ ADR	FIFO	20 000	May 16, 11	Aug 07, 12	1,233 35	1,242 00		-8 65	
	FIFO	34 000	Aug 03, 11	Aug 07, 12	2,096 70	2,180 75	12 40	-84 05	
NTT DOCOMO INC ECH REPSTG 1/200TH COM SHS SPON ADR	FIFO	31 000	Aug 03, 11	Nov 06, 12	448 05	573 26		-125 21	
	FIFO	120 000	Aug 03, 11	Nov 06, 12	1,729 16	2,285 56	66 49	-556 40	
	FIFO	40 000	Aug 03, 11	Nov 06, 12	576 39	739 69		-163 30	
	FIFO	123 000	Oct 11, 11	Nov 06, 12	1,772 39	2,287 41		-515 02	
	FIFO	114 000	Oct 21, 11	Nov 06, 12	1,642 70	2,057 65		-414 95	
PERMANENT PORTFOLIO FUND INC	FIFO	186 878	May 16, 11	Oct 05, 12	9,276 60	8,923 42			353 18
PIMCO ALL ASSETS ALL AUTH-A	FIFO	1,245.786	May 16, 11	Oct 05, 12	13,902 97	13,554 15			348 82
PIMCO UNCONSTRAINED BOND FUND CLASS A	FIFO	1,559 534	Feb 28, 11	Oct 05, 12	18,215 36	17,404 40			810 96
	FIFO	8 278	Mar 31, 11	Oct 05, 12	96 68	92 47			4 21
	FIFO	8 411	Apr 30, 11	Oct 05, 12	98 24	93 95			4 29
	FIFO	8 051	May 31, 11	Oct 05, 12	94 04	89 53			4 51
	FIFO	5 021	Jun 30, 11	Oct 05, 12	58 65	55 73			2 92
	FIFO	3 674	Jul 31, 11	Oct 05, 12	42 91	40 74			2 17
	FIFO	2.654	Aug 31, 11	Oct 05, 12	31 00	29 19			1 81
	FIFO	2 908	Sep 30, 11	Oct 05, 12	33 96	31 81			2 15
POWERSHARES QQQ TRUST ETF SER 1	FIFO	445 000	Oct 14, 11	Dec 07, 12	28,840 37	25,692 01			3,148 36
PRAXAIR INC	FIFO	37 000	Aug 03, 11	Oct 09, 12	3,919 10	3,736 60			182 50

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
PROCTER & GAMBLE CO	FIFO	39 000	Aug 03, 11	May 01, 12	2,476 46	2,562 89	201 08	-86 43	
VANGUARD BD INDEX FD INC TOTAL BOND MKT ETF	FIFO	146 000	Jun 09, 11	Oct 10, 12	12,389 86	11,938 42			451 44
	FIFO	279 000	Aug 26, 11	Oct 10, 12	23,676 50	23,291 33			385 17
	FIFO	517 000	Sep 23, 11	Oct 10, 12	43,873 67	43,564 69			308 98
Total					**$331,864.38**	**$319,323.72**		**-$2,927.18**	**$15,467.84**
Net long-term capital gains or losses									**$12,540.66**
Net capital gains/losses:									**$13,200.21**

Account name: BASSLER FAMILY FOUNDATION,INC
Account number. NE 07737 KE

Your Financial Advisor:
SHAPIRO/LIVACCARI
212-821-2700/800-543-0802

Your assets

Some prices, income and current values shown may be approximate As a result, gains and losses may not be accurately reflected See *Important information about your statement* at the end of this document for more information

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
AFLAC INC								
Symbol AFL Exchange NYSE								
EAI. $227 Current yield 2 64%	May 16, 11	7 000	54 104	378 73	53 120	371 84	-6 89	LT
	Aug 3, 11	69 000	44.406	4,072 43 [2]	53 120	3,665 28	-407 15	LT
	Oct 11, 11	53 000	38 823	2,057 67	53.120	2,815 36	757 69	LT
	Oct 21, 11	33 000	42 223	1,393 36	53 120	1,752 96	359 60	LT
Security total		162 000	48 779	7,902 19		8,605.44	703 25	
AIR PROD & CHEMICAL INC								
Symbol APD Exchange NYSE								
EAI. $230 Current yield 3 04%	May 16, 11	12 000	91 505	1,098 06	84 020	1,008 24	-89 82	LT
	Aug 3, 11	28.000	85 578	2,820 45 [2]	84.020	2,352 56	-467 89	LT
	Oct 11, 11	27 000	82 134	2,217 63	84 020	2,268 54	50 91	LT
	Oct 21, 11	23 000	82 557	1,898 83	84 020	1,932 46	33 63	LT
Security total		90 000	89 277	8,034 97		7,561 80	-473 17	
ASTRAZENECA PLC SPON ADR								
Symbol. AZN Exchange NYSE								
EAI. $473 Current yield 6 03%	Aug 26, 11	74 000	45 225	3,346 65	47 270	3,497 98	151 33	LT
	Oct 11, 11	51 000	46 770	2,385 27	47 270	2,410 77	25 50	LT
	Oct 21, 11	35 000	48 406	1,694 21	47 270	1,654 45	-39 76	LT

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Jan 27, 12	6 000	47 650	285 90	47 270	283.62	-2.28	ST
Security total		166 000	46 458	7,712 03		7,846 82	134 79	
BOEING COMPANY								
Symbol BA Exchange. NYSE								
EAI $206 Current yield 2 58%	Aug 3, 11	41 000	66.968	3,474 67 [2]	75 360	3,089 76	-384 91	LT
	Oct 11, 11	36 000	63 928	2,301 44	75 360	2,712 96	411 52	LT
	Oct 21, 11	29 000	64 208	1,862 06	75 360	2,185 44	323 38	LT
Security total		106 000	72 058	7,638.17		7,988 16	349.99	
BRITISH AMER TOBACCO PLC GB SPON ADR								
Symbol. BTI Exchange. AMEX								
EAI. $362 Current yield 4 16%	May 16, 11	18 000	87 593	1,576 69	101 250	1,822 50	245 81	LT
	Aug 3, 11	21 000	92 644	1,962 53 [2]	101 250	2,126 25	163 72	LT
	Oct 11, 11	27 000	88 137	2,379 72	101.250	2,733 75	354 03	LT
	Oct 21, 11	18 000	91 890	1,654.02	101 250	1,822.50	168 48	LT
	Jan 27, 12	2 000	92 520	185 04	101 250	202 50	17 46	ST
Security total		86 000	90.209	7,758 00		8,707 50	949 50	
CLOROX CO								
Symbol CLX Exchange. NYSE								
EAI. $276 Current yield. 3 49%	Oct 9, 12	108 000	74 628	8,059 92	73 220	7,907 76	-152 16	ST
COCA COLA CO COM								
Symbol. KO Exchange. NYSE								
EAI $239 Current yield 2 82%	May 16, 11	26 000	34 053	885.39	36.250	942 50	57 11	LT
	Aug 3, 11	74 000	34 123	2,531 65 [2]	36 250	2,682.50	150 85	LT
	Oct 11, 11	74 000	33 423	2,473 34	36 250	2,682 50	209 16	LT
	Oct 21, 11	54 000	33 894	1,830 31	36 250	1,957 50	127 19	LT
	Jan 27, 12	6 000	33.760	202 56	36 250	217 50	14 94	ST
Security total		234 000	33 860	7,923 25		8,482 50	559 25	
COLGATE PALMOLIVE CO								
Symbol CL Exchange NYSE								
EAI. $216 Current yield 2 37%	May 16, 11	10 000	86.719	867.19	104 540	1,045 40	178 21	LT
	Aug 3, 11	29 000	83 887	2,447 12 [2]	104 540	3,031 66	584 54	LT
	Oct 11, 11	25 000	90 730	2,268 25	104 540	2,613 50	345 25	LT

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Oct 21, 11	19 000	93 347	1,773 60	104 540	1,986 26	212 66	LT
	Jan 27, 12	4 000	90 580	362 32	104 540	418 16	55 84	ST
Security total		87 000	88 718	7,718 48		9,094 98	1,376 50	
CSX CORPORATION								
Symbol. CSX Exchange NYSE								
EAI $202 Current yield 2 84%	Jun 12, 12	361 000	21 060	7,602 66	19 730	7,122 53	-480 13	ST
DIAGEO PLC NEW GB SPON ADR								
Symbol. DEO Exchange. NYSE								
EAI $247 Current yield 2 38%	Sep 15, 11	42 000	77 828	3,268 79	116 580	4,896 36	1,627 57	LT
	Oct 11, 11	28 000	79 677	2,230 98	116 580	3,264 24	1,033 26	LT
	Oct 21, 11	19 000	84 447	1,604 50	116 580	2,215 02	610 52	LT
Security total		89 000	79 823	7,104 27		10,375 62	3,271 35	
EMERSON ELECTRIC CO								
Symbol EMR Exchange. NYSE								
EAI $251 Current yield 3 10%	May 16, 11	8.000	53 587	428 70	52 960	423 68	-5 02	LT
	Aug 3, 11	58 000	47 997	3,337 06 [2]	52 960	3,071.68	-265 38	LT
	Oct 11, 11	51 000	45 519	2,321.48	52 960	2,700 96	379.48	LT
	Oct 21, 11	36 000	47 036	1,693 33	52 960	1,906 56	213 23	LT
Security total		153 000	50.853	7,780 57		8,102 88	322 31	
GENL MILLS INC								
Symbol GIS Exchange NYSE								
EAI $261 Current yield. 3 26%	May 16, 11	21 000	39 563	830 83	40 420	848 82	17 99	LT
	Aug 3, 11	72.000	36 487	2,857 05 [2]	40 420	2,910 24	53 19	LT
	Oct 11, 11	53 000	40 007	2,120.42	40 420	2,142 26	21 84	LT
	Oct 21, 11	48 000	39 756	1,908 31	40 420	1,940 16	31 85	LT
	Jan 27, 12	4 000	40 060	160 24	40 420	161 68	1 44	ST
Security total		198 000	39 782	7,876 85		8,003 16	126 31	
ILLINOIS TOOL WORKS INC								
Symbol. ITW Exchange NYSE								
EAI $228 Current yield 2 50%	May 16, 11	7 000	58.308	408 16	60 810	425 67	17 51	LT
	Aug 3, 11	57 000	48 536	3,629 39 [2]	60 810	3,466 17	-163 22	LT
	Oct 11, 11	53 000	44 519	2,359 55	60 810	3,222 93	863 38	LT

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Oct 21, 11	33 000	47 017	1,551 58	60 810	2,006 73	455 15	LT
Security total		150.000	52 991	7,948 68		9,121 50	1,172 82	
INTEL CORP								
Symbol INTC Exchange. OTC								
EAI $266 Current yield 4 36%	May 16, 11	34 000	23 803	809 31	20 620	701 08	-108 23	LT
	Aug 3, 11	114 000	21 779	2,986 85 [2]	20 620	2,350 68	-636 17	LT
	Oct 11, 11	80 000	22 959	1,836 72	20 620	1,649 60	-187 12	LT
	Oct 21, 11	68 000	23 998	1,631 93	20 620	1,402 16	-229 77	LT
Security total		296 000	24 543	7,264 81		6,103.52	-1,161 29	
JOHNSON & JOHNSON COM								
Symbol. JNJ Exchange NYSE								
EAI $295 Current yield 3 48%	May 16, 11	17 000	66 578	1,131.84	70 100	1,191 70	59 86	LT
	Aug 3, 11	35 000	63 027	2,321 37 [2]	70 100	2,453 50	132 13	LT
	Oct 11, 11	38 000	63 980	2,431 24	70 100	2,663 80	232 56	LT
	Oct 21, 11	31 000	63 499	1,968 48	70 100	2,173 10	204.62	LT
Security total		121 000	64 900	7,852 93		8,482 10	629 17	
MEDTRONIC INC								
Symbol MDT Exchange. NYSE								
EAI $210 Current yield. 2 53%	Aug 3, 11	78 000	34 105	3,343 63 [2]	41.020	3,199 56	-144.07	LT
	Oct 11, 11	74 000	33 317	2,465 46	41 020	3,035 48	570.02	LT
	Oct 21, 11	50 000	34 256	1,712 83	41 020	2,051 00	338.17	LT
Security total		202 000	37 237	7,521 92		8,286 04	764.12	
NEXTERA ENERGY INC COM								
Symbol NEE Exchange. NYSE								
EAI. $319 Current yield 3 47%	Aug 3, 11	56 000	53 698	3,168 91 [2]	69 190	3,874 64	705 73	LT
	Oct 11, 11	45 000	54 557	2,455 08	69 190	3,113 55	658 47	LT
	Oct 21, 11	32 000	55 748	1,783 96	69 190	2,214 08	430 12	LT
Security total		133 000	55.699	7,407 95		9,202 27	1,794 32	
NORTHEAST UTILITIES								
Symbol NU Exchange NYSE								
EAI $313 Current yield. 3 51%	May 16, 11	28 000	36 010	1,008 28	39 080	1,094 24	85 96	LT
	Aug 3, 11	71 000	32 930	2,511 26 [2]	39 080	2,774 68	263 42	LT
	Oct 11, 11	81 000	32 289	2,615 41	39 080	3,165 48	550 07	LT

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Oct 21, 11	48 000	33 669	1,616 12	39 080	1,875 84	259 72	LT
Security total		228 000	33 996	7,751 07		8,910 24	1,159 17	
NOVARTIS AG SPON ADR Symbol NVS Exchange NYSE EAI. $305 Current yield. 3 32%	May 16, 11	19 000	61 288	1,164 49	63.300	1,202 70	38 21	LT
	Aug 3, 11	41 000	59 623	2,662 12 [2]	63 300	2,595 30	-66 82	LT
	Oct 11, 11	41 000	57 524	2,358 52	63 300	2,595 30	236 78	LT
	Oct 21, 11	31 000	58 519	1,814 10	63 300	1,962 30	148 20	LT
	Jan 27, 12	13 000	54 490	708 37	63 300	822 90	114 53	ST
Security total		145 000	60 052	8,707 60		9,178 50	470 90	
OCCIDENTAL PETROLEUM CRP Symbol. OXY Exchange NYSE EAI $190 Current yield 2.82%	Apr 11, 12	88 000	90 583	7,971 33	76 610	6,741 68	-1,229 65	ST
PEARSON PLC SPON ADR Symbol PSO Exchange: NYSE EAI $298 Current yield 3 55%	May 16, 11	93 000	18 722	1,741 19	19 540	1,817 22	76 03	LT
	Aug 3, 11	105 000	18 708	2,137 94 [2]	19 540	2,051 70	-86 24	LT
	Oct 11, 11	122 000	18 216	2,222 47	19 540	2,383 88	161 41	LT
	Oct 21, 11	99 000	18 464	1,827 95	19 540	1,934.46	106 51	LT
	Jan 27, 12	10 000	18 487	184 87	19 540	195 40	10 53	ST
Security total		429 000	18 915	8,114 42		8,382 66	268 24	
RAYTHEON CO NEW Symbol RTN Exchange NYSE EAI $326 Current yield 3 47%	May 16, 11	5 000	49 598	247 99	57 560	287 80	39 81	LT
	Aug 3, 11	64 000	43.029	3,318 39 [2]	57.560	3,683 84	365 45	LT
	Oct 11, 11	56 000	41 898	2,346 34	57 560	3,223 36	877.02	LT
	Oct 21, 11	38 000	43.699	1,660 57	57 560	2,187 28	526 71	LT
Security total		163 000	46 462	7,573 29		9,382 28	1,808.99	
SANOFI SPON ADR Symbol: SNY Exchange NYSE EAI. $308 Current yield. 3 02%	May 16, 11	34 000	38 146	1,296 97	47 380	1,610 92	313.95	LT
	Aug 3, 11	58 000	37 176	2,321 76 [2]	47 380	2,748 04	426.28	LT

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Oct 11, 11	77.000	34 008	2,618 69	47 380	3,648 26	1,029 57	LT
	Oct 21, 11	46 000	35 379	1,627 44	47 380	2,179 48	552 04	LT
Security total		215 000	36 581	7,864 86		10,186 70	2,321 84	
TOTAL S A FRANCE SPON ADR Symbol. TOT Exchange NYSE EAI. $441 Current yield 4 82%	Jul 10, 12	176 000	43 758	7,701 49	52 010	9,153 76	1,452 27	ST
UNILEVER PLC AMER SHS NEW SPON ADR Symbol. UL Exchange NYSE EAI $266 Current yield 3 17%	Oct 9, 12	217 000	37 176	8,067 27	38 720	8,402 24	334 97	ST
UNITED PARCEL SERVICE INC CL B Symbol UPS Exchange. NYSE EAI $237 Current yield 3 09%	May 16, 11	8 000	74 010	592 08	73 730	589 84	-2 24	LT
	Aug 3, 11	39 000	66 864	3,038 51 [2]	73 730	2,875 47	-163 04	LT
	Oct 11, 11	33 000	67 829	2,238 36	73 730	2,433 09	194 73	LT
	Oct 21, 11	24 000	69 987	1,679 70	73 730	1,769 52	89 82	LT
Security total		104.000	72 583	7,548 65		7,667 92	119 27	
UNTD TECHNOLOGIES CORP Symbol UTX Exchange NYSE EAI $218 Current yield 2 61%	May 16, 11	13 000	89 098	1,158 28	82 010	1,066 13	-92 15	LT
	Aug 3, 11	34 000	78 818	3,336 01 [2]	82 010	2,788 34	-547 67	LT
	Oct 11, 11	31 000	73 700	2,284 70	82 010	2,542 31	257 61	LT
	Oct 21, 11	24 000	75 199	1,804 78	82 010	1,968 24	163 46	LT
Security total		102 000	84 155	8,583 77		8,365 02	-218 75	
VF CORP Symbol VFC Exchange NYSE EAI $171 Current yield 2 31%	Nov 13, 12	49.000	157 973	7,740 68	150 970	7,397 53	-343 15	ST
VODAFONE GROUP PLC NEW SPON ADR Symbol. VOD Exchange NYSE EAI $438 Current yield 5 95%	Aug 26, 11	128 000	26 185	3,351 68	25 190	3,224 32	-127 36	LT
	Oct 11, 11	89 000	26 865	2,390 99	25.190	2,241 91	-149.08	LT
	Oct 21, 11	59 000	27 954	1,649 32	25 190	1,486 21	-163 11	LT
	Jan 27, 12	16 000	27.146	434 34	25.190	403 04	-31 30	ST

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
Security total		292 000	26 803	7,826 33		7,355 48	-470 85	
Total				**$226,558.41**		**$242,118.59**	**$15,560.18**	

Total estimated annual income: $8,019

[2] Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
ISHARES TRUST DJ US REAL STATE									
INDEX FUND									
Symbol. IYR									
Trade date. Dec 15, 11	377 000	55 032	20,747 43	20,747 43	64 670	24,380 59	3,633.16		LT
Trade date Jan 17, 12	59 000	58 017	3,423 06	3,423 06	64 670	3,815 53	392 47		ST
EAI. $1,045 Current yield 3.71%									
Security total	436 000	55 437	24,170 49	24,170 49		28,196 12	4,025 63	4,025 63	
SPDR INDEX SHARES FUNDS SPDR									
DOW JONES GLOBAL **NAME									
CHANGE EFF 04/2009 **									
Symbol RWO									
Trade date. Dec 15, 11	485 000	34 029	16,504 49	16,504 49	42 123	20,429 65	3,925 16		LT
Trade date. Jan 17, 12	221 000	35 675	7,884 39	7,884 39	42 123	9,309 18	1,424 79		ST
EAI. $1,180 Current yield 3 97%									
Security total	706 000	34 545	24,388 88	24,388 88		29,738 83	5,349 95	5,349 95	
SPDR S&P MIDCAP 400 ETF TR									
Symbol MDY									

continued next page

Your assets › **Equities** › Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Trade date Dec 12, 12	144 000	185 119	26,657 27	26,657 27	185 710	26,742 24	84 97	84 97	ST
EAI. $305 Current yield 1 14%									
VANGUARD INDEX FUNDS VANGUARD									
SMALL CAP ETF									
Symbol VB									
Trade date. Dec 12, 12	328 000	81 271	26,657 01	26,657 01	80 900	26,535 20	-121 81	-121 81	ST
EAI. $487 Current yield 1 84%									
VANGUARD INTL EQUITY INDEX FD									
INC FSTE ALL-WORLD EX-US									
INDEX FD ETF									
Symbol VEU									
Trade date Aug 20, 12	619 000	42 801	26,493 93	26,493 93	45 750	28,319 25	1,825 32	1,825 32	ST
EAI $833 Current yield 2 94%									
Total			**$128,367.58**	**$128,367.58**		**$139,531.64**	**$11,164.06**	**$11,164.06**	

Total estimated annual income: $3,850

Fixed income

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The *unrealized (tax) gain or loss* may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
PIMCO TOTAL RETURN ETF									
Symbol BOND									
Trade date. Oct 10, 12	733 000	109 108	79,976.60	79,976 60	109 050	79,933 65	-42 95	-42 95	ST
EAI. $1,640 Current yield 2 05%									

Your Financial Advisor:
SHAPIRO/LIVACCARI
212-821-2700/800-543-0802

Your assets (continued)

Alternative strategies

Mutual funds

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
MAINSTAY MARKETFIELD									
FUND CLASS I									
Symbol. MFLDX									
Trade date Oct 5, 12	3,415 082	15 799	53,958 29	53,958 29	15 840	54,094 89	136 60		ST
Trade date Oct 19, 12	562 226	15.619	8,781 97	8,781 97	15.840	8,905 66	123 69		ST
Total reinvested	18 378	15 510		285 05	15 840	291 11	6 06		
Security total	3,995 686	15 773	62,740 26	63,025.31		63,291 66	266 35	551.40	

Other

Mutual funds

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for *tax reporting purposes*

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
FIRST EAGLE GLOBAL FUND									
CLASS A									
Symbol SGENX									
Trade date. Oct 5, 12	1,260 598	49 939	62,954 24	62,954 24	48 590	61,252 45	-1,701 79		ST

continued next page

Your assets › Other › Mutual funds (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Total reinvested	55 802	47 960		2,676 28	48 590	2,711 42	35 14		
EAI $674 Current yield 1 05%									
Security total	1,316 400	49 856	62,954 24	65,630 52		63,963.87	-1,666 65	1,009 63	
IVY ASSET STRATEGY									
FUND CLASS A									
Symbol WASAX									
Trade date. May 3, 10	146 210	23 010	3,364 30	3,364 30	25 880	3,783 91	419.61		LT
Trade date. May 11, 10	52 074	21 560	1,122 72	1,122 72	25 880	1,347 68	224.96		LT
Trade date May 14, 10	256 529	21 440	5,499 99	5,499 99	25 880	6,638.97	1,138 98		LT
Trade date Jun 1, 10	172 697	20 540	3,547 20	3,547 20	25 880	4,469 40	922 20		LT
Trade date Jul 1, 10	69 625	20 440	1,423 14	1,423 14	25 880	1,801 89	378 75		LT
Trade date. Oct 1, 10	282 602	22 889	6,468 75	6,468 75	25.880	7,313 74	844 99		LT
Trade date. Nov 1, 10	218 583	23 750	5,191 35	5,191 35	25 880	5,656 93	465 58		LT
Trade date Dec 2, 10	205 323	24 450	5,020 15	5,020 15	25 880	5,313 76	293 61		LT
Trade date. Dec 21, 10	255 025	24 339	6,207 30	6,207 30	25 880	6,600 05	392 75		LT
Trade date. Feb 28, 11	354 176	25 010	8,857 95	8,857 95	25 880	9,166 07	308 12		LT
Trade date. Oct 21, 11	302 873	22 849	6,920 64	6,920 64	25 880	7,838 35	917 71		LT
Total reinvested	106 559	24 417		2,601 88	25 880	2,757 75	155 87		
EAI $1,628 Current yield 2 60%									
Security total	2,422 276	23 212	53,623 49	56,225 37		62,688 50	6,463 13	9,065 01	
PERMANENT PORTFOLIO FUND INC									
Symbol. PRPFX									
Trade date May 16, 11	957 264	47 749	45,709 35	45,709 35	48.640	46,561 32	851 97		LT
Trade date. Aug 3, 11	249 330	49 600	12,366 77	12,366 77	48 640	12,127 41	-239 36		LT
Trade date. Oct 21, 11	34 889	47 359	1,652 34	1,652 34	48.640	1,697 00	44 66		LT
Total reinvested	38 695	47 921		1,854 34	48 640	1,882 12	27 78		
EAI. $346 Current yield. 0 56%									
Security total	1,280 178	48 105	59,728 46	61,582 80		62,267 85	685 05	2,539 39	
PIMCO ALL ASSETS ALL									
AUTH-A									
Symbol PAUAX									

continued next page

Your assets › Other › Mutual funds (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Trade date May 16, 11	3,167.064	10 880	34,457 66	34,457 66	11 070	35,059 40	601 74		LT
Trade date Aug 3, 11	1,159 690	11 000	12,756 59	12,756 59	11 070	12,837 77	81 18		LT
Trade date. Aug 26, 11	691 998	10 720	7,418 22	7,418 22	11 070	7,660 42	242 20		LT
Total reinvested	782 408	10 418		8,151 25	11 070	8,661 26	510 01		
EAI. $3,081 Current yield 4 80%									
Security total	5,801 160	10 823	54,632 47	62,783 72		64,218 84	1,435 13	9,586 38	
PUTNAM CAPITAL SPECTRUM									
A									
Symbol PVSAX									
Trade date Oct 5, 12	1,684 371	26 709	44,989 54	44,989 54	26 940	45,376 95	387 41		ST
Trade date. Oct 19, 12	671 498	27 250	18,298 33	18,298 33	26 940	18,090 15	-208 18		ST
Total reinvested	77 845	26 420		2,056 67	26 940	2,097 14	40 47		
EAI $547 Current yield 0 83%									
Security total	2,433 714	26 850	63,287.87	65,344 54		65,564 25	219 70	2,276 37	
Total			**$294,226.53**	**$311,566.95**		**$318,703.31**	**$7,136.36**	**$24,476.78**	
Total estimated annual income: $6,276		Total Assets		809,494.85		843578.85			

Your total assets

		Value on Dec 31 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Cash	**Cash and money balances**	**47,666.42**	**5.35%**	**47,666.42**		
Equities	Common stock	242,118 59		226,558 41	8,019 00	15,560 18
	Closed end funds & Exchange traded products	139,531 64		128,367 58	3,850 00	11,164 06
	Total equities	**381,650.23**	**42.82%**	**354,925.99** A	**11,869.00**	**26,724.24**
Fixed income	**Closed end funds & Exchange traded products**	**79,933.65**	**8.97%**	**79,976.60** A	**1,640.00**	**-42.95**
Alternative strategies	**Mutual funds**	**63,291.66**	**7.10%**	**63,025.31** A		**266.35**
Other	**Mutual funds**	**318,703.31**	**35.76%**	**311,566.95** A	**6,276.00**	**7,136.36**
Total		**$891,245.27**	**100.00%**	**$857,161.27**	**$19,785.00**	**$34,084.00**

A: 809,494.85

Form **8868**

(Rev. January 2013)

Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return.

OMB No. 1545-1709

• If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box . . . . ▶ ☐

• If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (*e-file*). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit *www.irs.gov/efile* and click on *e-file for Charities & Nonprofits.*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension—check this box and complete Part I only . . . . ▶ ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return See instructions	Name of exempt organization or other filer, see instructions. BASSLER FAMILY FOUNDATION, INC.	Employer identification number (EIN) or 26-1561741
	Number, street, and room or suite no. If a P O. box, see instructions. 13 SEAGATE ROAD	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. DARIEN, CT 06820	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

• The books are in the care of ▶ THE FOUNDATION

Telephone No. ▶ 203.655.9658 FAX No. ▶

• If the organization does not have an office or place of business in the United States, check this box . . . . ▶☐

• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ . If this is for the whole group, check this box . . . ▶ ☐ . If it is for part of the group, check this box . . . . . ▶ ☐ and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until AUGUST 15 , 20 13 , to file the exempt organization return for the organization named above. The extension is for the organization's return for:

▶ ☑ calendar year 20 12 or

▶ ☐ tax year beginning ______, 20 ___, and ending ______, 20 ___.

2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	$ 0.00
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	$
c	**Balance due.** Subtract line 3b from line 3a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	$ 0.00

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

RECEIVED
OCT 21 2013
OGDEN, UT
EI-374
IRS-OSC

• If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box . . . . ▶ ☐

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

• If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1).

Part II **Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return See instructions.	Name of exempt organization or other filer, see instructions Bassler Family Foundation, Inc.	Employer identification number (EIN) or 26-1561741
	Number, street, and room or suite no If a P O box, see instructions. 13 Seagate Road	Social security number (SSN)
	City, town or post office, state, and ZIP code For a foreign address, see instructions. Darien, CT 06820	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

• The books are in the care of ▶ The Foundation

Telephone No. ▶ 203 655.9658 FAX No. ▶

• If the organization does not have an office or place of business in the United States, check this box . . . . ▶ ☐

• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ________ . If this is for the whole group, check this box . . . ▶ ☐ . If it is for part of the group, check this box . . . . ▶ ☐ and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until November 15 , 20 13 .

5 For calendar year 2012 , or other tax year beginning ________, 20 ___ , and ending ________ , 20 ___ .

6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

7 State in detail why you need the extension INFORMATION NECESSARY TO COMPLETE THIS REPORT IS NOT YET AVAILABLE.

8a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	$
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	$
c	**Balance due.** Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	$

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶ Title ▶ Date ▶