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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

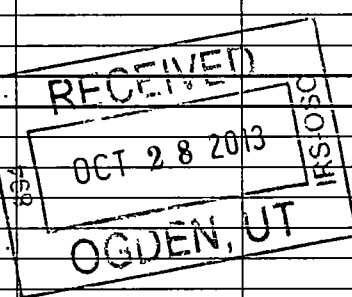
Open to Public Inspection

For calendar year 2012 or tax year beginning , 2012, and ending , 20

Name of foundation HAWK ROCK FOUNDATION		A Employer identification number 26-1518305
Number and street (or P O box number if mail is not delivered to street address) 22/F, TAI YAU BUILDING, 181 JOHNSTON ROAD		B Telephone number (see instructions) () -
City or town, state, and ZIP code WANCHAI HONG KONG		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply	<input type="checkbox"/> Initial return	<input type="checkbox"/> Initial return of a former public charity
	<input type="checkbox"/> Final return	<input type="checkbox"/> Amended return
	<input type="checkbox"/> Address change	<input type="checkbox"/> Name change
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 11,990,154.		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
J Accounting method <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)		5,216,653.			
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		185,061.	185,061.		ATCH 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		1,160,501.			
b Gross sales price for all assets on line 6a 5,733,179.					
7 Capital gain net income (from Part IV, line 2)			1,160,501.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11		6,562,215.	1,345,562.		
13 Compensation of officers, directors, trustees, etc.		0			
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees (attach schedule) ATCH 2		5,280.	5,280.		
b Accounting fees (attach schedule) ATCH 3		2,110.	2,110.		
c Other professional fees (attach schedule)					
17 Interest					
18 Taxes (attach schedule) (see instructions)					
19 Depreciation (attach schedule) and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses (attach schedule) ATCH 4		49,825.	49,825.		
24 Total operating and administrative expenses. Add lines 13 through 23		57,215.	57,215.		
25 Contributions, gifts, grants paid		650,000.			650,000.
26 Total expenses and disbursements. Add lines 24 and 25		707,215.	57,215.	0	650,000.
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements		5,855,000.			
b Net investment income (if negative, enter -0-)			1,288,347.		
c Adjusted net income (if negative, enter -0-)					

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing					
	2 Savings and temporary cash investments			109,040.	1,076,971.	1,076,971.
	3 Accounts receivable ▶					
	Less allowance for doubtful accounts ▶					
	4 Pledges receivable ▶					
	Less allowance for doubtful accounts ▶					
	5 Grants receivable					
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)					
	7 Other notes and loans receivable (attach schedule) ▶					
	Less allowance for doubtful accounts ▶					
	8 Inventories for sale or use					
	9 Prepaid expenses and deferred charges					
	10 a Investments - U S and state government obligations (attach schedule) . .					
	b Investments - corporate stock (attach schedule) ATCH 5			5,301,721.	10,308,790.	10,913,183.
	c Investments - corporate bonds (attach schedule)					
	11 Investments - land, buildings, and equipment basis ▶					
	Less accumulated depreciation ▶ (attach schedule)					
	12 Investments - mortgage loans					
	13 Investments - other (attach schedule)					
	14 Land, buildings, and equipment basis ▶					
	Less accumulated depreciation ▶ (attach schedule)					
	15 Other assets (describe ▶)					
	16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)			5,410,761.	11,385,761.	11,990,154.
Liabilities	17 Accounts payable and accrued expenses					
	18 Grants payable			30,000.	150,000.	
	19 Deferred revenue					
	20 Loans from officers, directors, trustees, and other disqualified persons . .					
	21 Mortgages and other notes payable (attach schedule)					
	22 Other liabilities (describe ▶)					
	23 Total liabilities (add lines 17 through 22)			30,000.	150,000.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.					
	24 Unrestricted					
	25 Temporarily restricted					
	26 Permanently restricted					
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>					
	27 Capital stock, trust principal, or current funds					
	28 Paid-in or capital surplus, or land, bldg, and equipment fund					
	29 Retained earnings, accumulated income, endowment, or other funds . .			5,380,761.	11,235,761.	
	30 Total net assets or fund balances (see instructions)			5,380,761.	11,235,761.	
	31 Total liabilities and net assets/fund balances (see instructions)			5,410,761.	11,385,761.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,380,761.
2 Enter amount from Part I, line 27a	2	5,855,000.
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	11,235,761.
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	11,235,761.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	1,160,501.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8			3	0	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	328,827.	5,029,089.	0.065385
2010	255,174.	4,203,848.	0.060700
2009	267,391.	2,318,106.	0.115349
2008	74,854.	1,263,865.	0.059226
2007		1,540,818.	
2 Total of line 1, column (d)			2 0.300660
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.060132
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5			4 9,133,097.
5 Multiply line 4 by line 3			5 549,191.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 12,883.
7 Add lines 5 and 6			7 562,074.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions			8 650,000.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	12,883.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2	3	12,883.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	12,883.
6	Credits/Payments		
a	2012 estimated tax payments and 2011 overpayment credited to 2012	6a	1,252.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	15,000.
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	16,252.
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	1.
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	3,368.
11	Enter the amount of line 10 to be Credited to 2013 estimated tax <input checked="" type="checkbox"/> 3,368. Refunded <input type="checkbox"/>	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input checked="" type="checkbox"/> \$ _____ (2) On foundation managers <input checked="" type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input checked="" type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> DE, _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i> <input checked="" type="checkbox"/> ATCH 6	X	

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address <input type="checkbox"/> N/A				
14	The books are in care of <input type="checkbox"/> EAST ASIA SENTINEL TAX SVC LTD Telephone no <input type="checkbox"/> 852-28101018			
Located at <input type="checkbox"/> ATTACHMENT 7 ZIP+4 <input type="checkbox"/>				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year <input type="checkbox"/> 15			
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country <input type="checkbox"/>				

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b	N/A
Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes," list the years <input type="checkbox"/>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <input type="checkbox"/>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No **6b** X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No **7b** N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 8		0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1 TO OPERATE EXCLUSIVELY AND TO RECEIVE AND ADMINISTER FUNDS
FOR CHARITABLE AND EDUCATIONAL PURPOSES WITHIN THE MEANING
OF SECTION 501(C)(3) AND RELATED REGULATIONS AND TO

2 DISTRIBUTE FUNDS TO SUCH CHARITIES AT SUCH TIMES AND IN SUCH
AMOUNTS AS MAY BE DETERMINED BY THE FOUNDATION CONSISTENT
WITH THE CODE

3

4

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1 NONE

2

All other program-related investments See instructions

3 NONE

Total. Add lines 1 through 3

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	8,775,600.
b	Average of monthly cash balances	1b	496,580.
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	9,272,180.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	9,272,180.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	139,083.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	9,133,097.
6	Minimum investment return. Enter 5% of line 5	6	456,655.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	456,655.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	12,883.
b	Income tax for 2012 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	12,883.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	443,772.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	443,772.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	443,772.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	650,000.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	650,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	12,883.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	637,117.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				443,772.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only				
b Total for prior years 20 10, 20 09, 20 08				
3 Excess distributions carryover, if any, to 2012				
a From 2007				
b From 2008				
c From 2009 91,181.				
d From 2010 46,634.				
e From 2011 79,719.				
f Total of lines 3a through e	217,534.			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ 650,000.				
a Applied to 2011, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2012 distributable amount				443,772.
e Remaining amount distributed out of corpus	206,228.			
5 Excess distributions carryover applied to 2012. (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	423,762.			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	423,762.			
10 Analysis of line 9				
a Excess from 2008				
b Excess from 2009 91,181.				
c Excess from 2010 46,634.				
d Excess from 2011 79,719.				
e Excess from 2012 206,228.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

STEVEN AND BARBARA WATSON

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year ATCH 9				
Total			▶ 3a	650,000.
b Approved for future payment				
Total			▶ 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income (See instructions)
1	Program service revenue					
a	_____					
b	_____					
c	_____					
d	_____					
e	_____					
f	_____					
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities					185,061.
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property .					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory					1,160,501.
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory . .					
11	Other revenue a _____					
b	_____					
c	_____					
d	_____					
e	_____					
12	Subtotal. Add columns (b), (d), and (e)					1,345,562.
13	Total. Add line 12, columns (b), (d), and (e)					1,345,562.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | | | |
|----------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------|------------|-----------|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | Yes | No |
| | | | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of | | | |
| | (1) Cash | 1a(1) | | X |
| | (2) Other assets | 1a(2) | | X |
| b | Other transactions | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| | (4) Reimbursement arrangements | 1b(4) | | X |
| | (5) Loans or loan guarantees | 1b(5) | | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | | |

[illegible]

- 2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date 10/11/13

Director

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature _____

Date _____

Check ☐ if self-employed

PTIN

P00382081

Firm's name ▶ EAST ASIA SENTINEL TAX SVC LTD

Firm's address ► 22/F, TAI YAU BUILDING, WANCHAI
HONG KONG

Phone no 852 28101018

Schedule of Contributors

OMB No 1545-0047

► Attach to Form 990, Form 990-EZ, or Form 990-PF.

2012

Name of the organization

HAWK ROCK FOUNDATION

Employer identification number

26-1518305

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ► \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization **HAWK ROCK FOUNDATION**Employer identification number
26-1518305**Part I Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	STEVEN & BARBARA WATSON C/O 22/F, TAI YAU BLDG, 181 JOHNSTON RD. WANCHAI, HONG KONG	\$ 5,216,653.	Person <input checked="checked" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)

Name of organization HAWK ROCK FOUNDATION

Employer identification number

26-1518305

Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-----	----- ----- ----- -----	\$ -----	-----
-----	----- ----- ----- -----	\$ -----	-----
-----	----- ----- ----- -----	\$ -----	-----
-----	----- ----- ----- -----	\$ -----	-----
-----	----- ----- ----- -----	\$ -----	-----
-----	----- ----- ----- -----	\$ -----	-----
-----	----- ----- ----- -----	\$ -----	-----

Name of organization HAWK ROCK FOUNDATION

Employer identification number

26-1518305

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entryFor organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this information once See instructions) ▶ \$

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
CAPITAL GUARDIAN	185,061.	185,061.
TOTAL	<u>185,061.</u>	<u>185,061.</u>

ATTACHMENT 2

FORM 990PF, PART I - LEGAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
LEGAL FEES	5,280.	5,280.		
TOTALS	<u>5,280.</u>	<u>5,280.</u>		

FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
TAX PREPARATION FEES	2,110.	2,110.		
TOTALS	<u>2,110.</u>	<u>2,110.</u>		

FORM 990PF, PART I - OTHER EXPENSES

<u>DESCRIPTION</u>	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
BANK CHARGES	48,219.	48,219.
OTHER EXPENSES	1,606.	1,606.
TOTALS	<u>49,825.</u>	<u>49,825.</u>

ATTACHMENT 5

FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
VIETNAM ENTERPRISE INVEST. LTD	754,958.	324,188.
VIETNAM GROWTH FUND	709,324.	402,795.
CAPITAL GUARDIAN	8,844,508.	10,186,200.
TOTALS	<u>10,308,790.</u>	<u>10,913,183.</u>

FORM 990PF, PART VII-A, LINE 10 - NEW SUBSTANTIAL CONTRIBUTORS

ATTACHMENT 6

<u>NAME AND ADDRESS</u>	<u>DATE</u>	<u>DIRECT PUBLIC SUPPORT</u>
STEVEN & BARBARA WATSON C/O 22/F, TAI YAU BLDG, 181 JOHNSTON RD. WANCHAI, HONG KONG		5,216,653.
TOTAL CONTRIBUTION AMOUNTS		<u>5,216,653.</u>

FORM 990PF, PART VII-A, LINE 14 - LOCATION OF BOOKS

22/F, TAI YAU BLDG, 181 JOHNSTON RD. WANCHAI
HONG KONG

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 8

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION				
BARBARA WATSON 22/F TAI YAU BUILDING WANCHAI HONG KONG	DIRECTOR 2.00	0	0	0	0
KATHARINE G WATSON 2365 GARFIELD ROAD HYDE PARK, VT 05655	DIRECTOR .50	0	0	0	0
WILLIAM W WATSON 4023 SE 42ND AVE PORTLAND, OR 97206	DIRECTOR .50	0	0	0	0
CHARLES P WATSON 22/F, TAI YAU BUILDING WANCHAI HONG KONG	VICE PRESIDENT .50	0	0	0	0
STEVEN T. WATSON 22/F, TAI YAU BUILDING WANCHAI HONG KONG	DIRECTOR 2.00	0	0	0	0
GRAND TOTALS					

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 9

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
FOLK ARTS RAJASTHAN 314 E 84TH ST #11 NEW YORK, NY 10028	UNRELATED 501 (C) (3)	FURTHER THE CHARITY ACTIVITY	30,000
LEARNING ALLY 20 ROSZEL ROAD PRINCETON, NJ 08540	UNRELATED 501 (C) (3)	FURTHER THE CHARITY ACTIVITY	30,000
HALF THE SKY FOUNDATION 715 HEARST AVENUE, SUITE 200 BERKELEY, CA 94710	UNRELATED 501 (C) (3)	FURTHER THE CHARITY ACTIVITY	100,000
ACCION INTERNATIONAL 56 ROLAND STREET, SUITE 300 BOSTON, MA 02129	UNRELATED 501 (C) (3)	FURTHER THE CHARITY ACTIVITY	150,000
THE BROWN LEDGE FOUNDATION, INC 20 WILSON STREET BURLINGTON, VT 05401-3352	UNRELATED 501 (C) (3)	FURTHER THE CHARITY ACTIVITY	30,000.
FRIENDS OF HONG KONG CHARITIES, INC CROSSROADS VILLAGE, 2 CASTLE PEAK RD TUEN MUN HONG KONG	UNRELATED 501 (C) (3)	FURTHER THE CHARITY ACTIVITY	30,000

ATTACHMENT 9

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 9 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
FREE GEEK COMMUNITY TECHNOLOGY CENTER 1731 SE 10TH AVE PORTLAND, OR 97214	UNRELATED 501 (C) (3)	FURTHER THE CHARITY ACTIVITY	30,000
NEW ENGLAND HISTORICAL GENEALOGICAL SOCIETY 101 NEWBURY ST. BOSTON, MA 02116	UNRELATED 501 (C) (3)	FURTHER THE CHARITY ACTIVITY	30,000.
NEW YORK GENEALOGICAL & BIOGRAPHICAL SOCIETY 36 W 44TH ST , 7TH FLOOR NEW YORK, NY 10036-8105	UNRELATED 501 (C) (3)	FURTHER THE CHARITY ACTIVITY	30,000
VERMONT LAND TRUST 8 BAILEY AVE MONTPELIER, VT 05602	UNRELATED 501 (C) (3)	FURTHER THE CHARITY ACTIVITY	10,000
KENYON COLLEGE 106 COLLEGE PARK DRIVE GAMBIER, OH 43022	UNRELATED 501 (C) (3)	FURTHER THE CHARITY ACTIVITY	30,000
MEALS ON WHEELS OF LAMOILLE COUNTY 203 S UNION STREET ALEXANDRIA, VA 22314	UNRELATED 501 (C) (3)	FURTHER THE CHARITY ACTIVITY	30,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 9 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
LEWIS & CLARK 0615 S.W. PALATINE HILL ROAD PORTLAND, OR 97219	UNRELATED 501 (C) (3)	FURTHER THE CHARITY ACTIVITY	30,000
THE TEXTILE MUSEUM 2320 S ST , NW WASHINGTON, DC 20008-4088	UNRELATED 501 (C) (3)	FURTHER THE CHARITY ACTIVITY	30,000
THE GLOBAL FUND FOR CHILDREN 1101 FOURTEENTH STREET, NW SUITE 420 WASHINGTON, DC 20005	UNRELATED 501 (C) (3)	FURTHER THE CHARITY ACTIVITY	30,000
THE HARLEM CHILDREN'S ZONE 35 EAST 125TH ST NEW YORK, NY 10035	UNRELATED 501 (C) (3)	FURTHER THE CHARITY ACTIVITY	30,000
TOTAL CONTRIBUTIONS PAID			650,000

**SCHEDULE D
(Form 1041)**

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

► Attach to Form 1041, Form 5227, or Form 990-T.
► Information about Schedule D (Form 1041) and its separate instructions is at
www.irs.gov/form1041.

OMB No 1545-0092

2012

Name of estate or trust

HAWK ROCK FOUNDATION

Employer identification number

26-1518305

Note: Form 5227 filers need to complete **only** Parts I and II

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					

b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b **1b** 560,400.

2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824 **2**

3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts **3**

4 Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2011 Capital Loss Carryover Worksheet **4** ()

5 Net short-term gain or (loss). Combine lines 1a through 4 in column (f) Enter here and on line 13, column (3) on the back **5** 560,400.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a					

b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b **6b** 565,834.

7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824 **7**

8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts **8**

9 Capital gain distributions **9** 34,267.

10 Gain from Form 4797, Part I **10**

11 Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2011 Capital Loss Carryover Worksheet **11** ()

12 Net long-term gain or (loss). Combine lines 6a through 11 in column (f) Enter here and on line 14a, column (3) on the back **12** 600,101.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2012

Part III Summary of Parts I and II**Caution:** Read the instructions *before* completing this part.(1) Beneficiaries'
(see instr)(2) Estate's
or trust's

(3) Total

13	Net short-term gain or (loss)	13			560,400.
14	Net long-term gain or (loss):				
a	Total for year	14a			600,101.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht)	14b			
c	28% rate gain	14c			
15	Total net gain or (loss). Combine lines 13 and 14a ▶	15			1,160,501.

Note: If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and do not complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

Part IV Capital Loss Limitation

16	Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of a The loss on line 15, column (3) or b \$3,000	16	()
-----------	---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------	-----------	-----

Note: If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

Caution: Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if

- Either line 14b, col (2) or line 14c, col (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero

Form 990-T trusts. Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 14b, col (2) or line 14c, col (2) is more than zero.

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)	17			
18	Enter the smaller of line 14a or 15 in column (2) but not less than zero	18			
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19			
20	Add lines 18 and 19	20			
21	If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- . . . ▶	21			
22	Subtract line 21 from line 20. If zero or less, enter -0-	22			
23	Subtract line 22 from line 17. If zero or less, enter -0-	23			
24	Enter the smaller of the amount on line 17 or \$2,400	24			
25	Is the amount on line 23 equal to or more than the amount on line 24? <input type="checkbox"/> Yes. Skip lines 25 and 26, go to line 27 and check the "No" box <input type="checkbox"/> No. Enter the amount from line 23	25			
26	Subtract line 25 from line 24	26			
27	Are the amounts on lines 22 and 26 the same? <input type="checkbox"/> Yes. Skip lines 27 thru 30, go to line 31 <input type="checkbox"/> No. Enter the smaller of line 17 or line 22	27			
28	Enter the amount from line 26 (If line 26 is blank, enter -0-)	28			
29	Subtract line 28 from line 27	29			
30	Multiply line 29 by 15% (15)	30			
31	Figure the tax on the amount on line 23. Use the 2012 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	31			
32	Add lines 30 and 31	32			
33	Figure the tax on the amount on line 17. Use the 2012 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	33			
34	Tax on all taxable income. Enter the smaller of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)	34			

Schedule D (Form 1041) 2012

Department of the Treasury
Internal Revenue Service

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

▶ Information about Schedule D (Form 1041) and its separate instructions is at www.irs.gov/form1041.

OMB No 1545-0092

2012

HAWK ROCK FOUNDATION

26-1518305

[illegible]

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b	560,400.
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For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2012

Employer identification number

[illegible]

6b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 6b	565,834 .
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Hawk Rock Foundation
EIN: 26-1518305
Statement A
Form 990PF
Schedule D-1 Part I
Tax Year 2012

Quantity	Description	Purchase Date	Sale Date	Proceeds	Cost	Gain/loss
225.00	BARRICK GOLD	2/2/2012	3/30/2012	9,800.67	11,478.51	(1,677.84)
75.00	CENOVUS ENERGY INC	3/7/2011	1/25/2012	2,712.56	2,954.20	(241.64)
80.00	DANONE	12/5/2011	2/6/2012	5,116.04	5,215.77	(99.73)
90.00	FIRST SOLAR INC	8/8/2011	2/9/2012	4,239.13	9,024.52	(4,785.39)
45.00	PHARMASSET INC	10/4/2011	1/13/2012	6,165.00	3,483.72	2,681.28
350.00	SWIRE PROPERTIES	1/4/2012	2/1/2012	879.04	643.68	235.36
300.00	TESCO PLC	1/12/2012	2/17/2012	1,511.98	1,606.52	(94.54)
100.00	VIRGIN MEDIA LTD	8/31/2011	1/10/2012	2,336.46	2,551.23	(214.77)
300.00	VIRGIN MEDIA LTD	9/8/2011	1/11/2012	7,091.68	7,648.42	(556.74)
25.00	ALLEGHENY TECHNOLOGIES INC	8/31/2011	5/24/2012	864.27	1,247.73	(383.46)
50.00	BARRICK GOLD CORP	2/2/2012	5/24/2012	1,953.79	2,503.76	(549.97)
375.00	FACEBOOK INC-A	5/22/2009	6/25/2012	12,110.42	12,035.20	75.22
40.00	FEDEX CORP	1/24/2012	6/14/2012	3,471.17	3,636.23	(165.06)
125.00	GOLDMAN SACHS GROUP	2/1/2012	5/24/2012	12,075.51	16,668.05	(4,592.54)
0.27	OI SA ON ADR	5/24/2011	4/9/2012	1.55	3.19	(1.64)
0.69	OI SA ON ADR	5/24/2011	4/9/2012	10.27	17.94	(7.67)
100.00	PG&E CORP	9/8/2011	4/5/2012	4,286.85	4,178.50	108.35
1,209.83	CAPITAL CORE MUNICIPAL FUND	6/28/2012	7/6/2012	12,763.68	12,759.60	4.08
100.00	ACCENTURE PLC US\$	1/24/2012	7/6/2012	5,828.86	5,483.52	345.34
100.00	ACCENTURE PLC US\$	1/12/2012	8/17/2012	6,108.24	5,371.40	736.84
25.00	ACCENTURE PLC US\$	1/17/2012	8/20/2012	1,521.60	1,341.19	180.41
100.00	AFLAC INC	11/22/2011	7/6/2012	4,237.90	4,098.78	139.12
164.00	ANDRITZ AG	8/16/2011	7/9/2012	7,954.52	7,580.95	373.57
212.00	ASSA ABLOY AB B	6/8/2012	7/9/2012	5,938.98	5,576.67	362.31
14,000.00	BANK OF CHINA LTD	1/27/2012	7/9/2012	5,156.39	6,061.02	(904.63)
200.00	BARRICK GOLD CORP C	2/2/2012	7/6/2012	7,373.69	9,998.60	(2,624.91)
50.00	BLACKROCK INC	3/22/2012	7/6/2012	8,522.30	9,936.52	(1,414.22)
100.00	BOEING CO	2/13/2012	7/6/2012	7,331.83	7,523.94	(192.11)
155.00	BOUYGUES	2/7/2012	8/30/2012	3,791.17	5,142.84	(1,351.67)
32.00	BOUYGUES	2/7/2012	8/31/2012	788.01	1,063.69	(275.68)
800.00	BRISTOL-MYERS SQUIBB CO	6/20/2012	7/6/2012	27,552.42	27,614.64	(62.22)
100.00	BROADCOM CORP	7/8/2011	7/6/2012	3,249.26	3,449.19	(199.93)
400.00	CAE INC	7/7/2011	7/6/2012	3,915.77	5,362.34	(1,446.57)
300.00	CARNIVAL CORP COMMON PAIRED	1/20/2012	7/6/2012	10,038.76	9,525.63	513.13
50.00	CATERPILLAR INC	8/5/2011	7/6/2012	4,211.90	4,539.96	(328.06)
200.00	COBALT INTERNATIONAL ENERGY	3/2/2012	7/6/2012	4,870.89	6,076.20	(1,205.31)
100.00	DENSO CORP	2/9/2012	7/9/2012	3,333.92	3,132.76	201.16
100.00	DRIL-QUIP INC	1/4/2011	7/6/2012	6,270.85	5,966.42	304.43
100.00	DRIL-QUIP INC	1/4/2011	7/6/2012	6,654.85	6,397.31	257.54
19,226.74	EMERGING MARKETS GROWTH	2/17/2012	8/10/2012	146,700.00	162,810.50	(16,110.50)
375.00	ENCANA CORP	9/6/2011	7/6/2012	7,529.45	9,289.02	(1,759.57)
200.00	EXPRESS SCRIPTS HOLDING	12/6/2011	7/6/2012	10,755.75	9,291.24	1,464.51
81.00	FUGRO NV	8/12/2011	7/9/2012	4,848.73	4,506.25	342.48
134.00	GEMALTO NV	5/2/2012	7/9/2012	9,470.93	10,235.77	(764.84)

Hawk Rock Foundation

EIN: 26-1518305

Statement A

Form 990PF

Schedule D-1 Part I

Tax Year 2012

Quantity	Description	Purchase Date	Sale Date	Proceeds	Cost	Gain/loss
200.00	GENPACT LTD	2/9/2012	7/6/2012	3,300.14	3,161.11	139.03
600.00	GILEAD SCIENCES INC	6/20/2012	7/6/2012	30,577.29	29,941.12	636.17
125.00	GOLDMAN SACHS GROUP INC	1/20/2012	7/6/2012	11,895.67	13,274.13	(1,378.46)
10.00	GOOGLE IN CL A	10/21/2011	7/6/2012	5,837.72	5,886.07	(48.35)
200.00	HALLIBURTON CO	1/17/2012	7/6/2012	5,800.37	7,333.66	(1,533.29)
3,000.00	HITACHI	4/2/2012	7/9/2012	18,298.23	19,565.30	(1,267.07)
500.00	HOME DEPOT INC	9/20/2011	7/6/2012	26,030.41	17,432.92	8,597.49
100.00	JABIL CIRCUIT INC	2/13/2012	7/6/2012	1,953.95	2,510.97	(557.02)
37.00	LVMH MOET HENNESSY LOUIS	10/18/2011	7/9/2012	5,368.53	5,684.66	(316.13)
200.00	MARSH & MCLENNAN INC	9/7/2011	7/6/2012	6,528.85	5,927.38	601.47
200.00	MITSUBISHI CORP	2/3/2012	7/9/2012	4,119.94	4,644.04	(524.10)
400.00	NIELSEN HOLDING NV US	2/6/2012	7/6/2012	10,641.76	11,794.09	(1,152.33)
75.00	NOBLE ENERGY INC	2/3/2012	7/6/2012	6,337.81	7,681.50	(1,343.69)
216.00	NOVARTIS AG NAMEN	1/19/2012	7/9/2012	11,894.40	12,532.99	(638.59)
400.00	OI SA PN ADR	5/10/2012	7/6/2012	5,563.47	6,104.24	(540.77)
100.00	REPUBLIC SERVICES INC	1/11/2012	7/6/2012	2,582.94	2,724.53	(141.59)
50.00	ROYAL DUTCH SHELL CL A ADR	1/10/2012	7/6/2012	3,382.78	3,714.29	(331.51)
537.00	SAMPO OYJ CL A	1/27/2012	7/9/2012	13,370.23	15,263.60	(1,893.37)
50.00	SCHLUMBERGER LTD	9/9/2011	7/6/2012	3,243.09	3,594.50	(351.41)
181.00	SCHNEIDER ELECTRIC SA	1/20/2012	7/9/2012	9,456.80	10,895.69	(1,438.89)
200.00	SIGNET JEWELLERS	2/13/2012	7/6/2012	8,881.80	9,246.21	(364.41)
100.00	STANDARD CHARTERED	1/23/2012	7/9/2012	2,219.96	2,474.35	(254.39)
175.00	TIFFANY & CO NEW	5/14/2012	7/6/2012	9,318.84	10,829.64	(1,510.80)
35.00	UNITED TECHNOLOGIES CORP	1/11/2012	7/6/2012	2,579.09	2,689.37	(110.28)
200.00	WHITVBREAD PLC	10/28/2011	7/9/2012	6,352.46	5,547.12	805.34
400.00	BRISTOL	1/16/2009	11/23/2012	13,006.18	13,407.78	(401.60)
175.00	BRISTOL	4/18/2012	11/26/2012	5,678.67	5,800.40	(121.73)
100.00	BRISTOL	9/18/2012	11/26/2012	3,242.42	3,330.77	(88.35)
400.00	CAE	4/17/2012	11/15/2012	3,779.91	4,063.75	(283.84)
1,300.00	CAE	12/13/2011	11/20/2012	12,196.45	12,598.92	(402.47)
1,250.00	EATON CORP	4/26/2012	12/3/2012	64,568.75	62,319.46	2,249.29
800.00	ENCANA CORP	8/15/2012	11/12/2012	16,519.17	17,963.16	(1,443.99)
7,000.00	GENTING SINGAPORE	4/20/2012	12/12/2012	7,462.10	9,603.87	(2,141.77)
0.83	IRON MOUNTAIN	11/21/2012	11/30/2012	26.43	27.33	(0.90)
100.00	SOFTBANK CORP	6/15/2012	10/24/2012	3,206.50	3,268.22	(61.72)
Total				746,570.15	787,870.26	(41,300.11)

Hawk Rock Foundation
 EIN: 26-1518305
 Statement B
 Form 990PF
 Schedule D-1 Part II
 Tax Year 2012

Quantity	Description	Purchase Date	Sale Date	Proceeds	Cost	Gain/loss
30 00	3M COMPANY	4/9/2010	1/24/2012	2,568 40	2,498 89	69 51
100 00	AGLIENT TECHNOLOGIES INC	2/19/2009	1/19/2012	4,185 25	1,567 31	2,617 94
100.00	AGLIENT TECHNOLOGIES INC	2/19/2009	1/20/2012	4,102 60	1,567.32	2,535 28
27 00	AMAZON COM INC	9/7/2006	1/6/2012	4,808.07	809 35	3,998 72
150 00	AMERICAN TOWER CORP	12/29/2010	2/1/2012	9,503.90	7,168 95	2,334 95
100 00	CERNER CORP	1/7/2009	2/22/2012	7,239 84	1,867.62	5,372 22
100 00	COMCAST CORP	11/18/2010	1/24/2012	2,577 78	2,062 14	515 64
75 00	COSTCO WHOLESALE	2/19/2009	1/9/2012	5,934 30	3,217.40	2,716 90
148 00	CRH PLC	3/18/2009	1/12/2012	2,874 26	1,618 77	1,255.49
50 00	DANAHER CORP	12/29/2010	1/24/2012	2,626 05	2,364.45	261 60
266 00	EBAY INC	2/19/2009	1/6/2012	8,167 37	3,245 85	4,921 52
113.00	EDISON INTERNATIONAL	2/19/2009	1/6/2012	4,567 83	3,209.70	1,358 13
100 00	EMERSON ELECTRIC	12/15/2009	3/1/2012	5,023 00	4,219 00	804 00
25.00	EMERSON ELECTRIC	6/24/2009	3/2/2012	1,253 52	810 05	443 47
66 00	FLUOR CORP	12/15/2009	1/18/2012	3,653 03	3,107.06	545 97
74 00	GENERAL MILLS INC	12/30/2010	1/18/2012	3,023 64	2,629 94	393.70
100.00	HDFC BANK	3/6/2009	1/12/2012	2,813 96	939 16	1,874 80
100 00	HDFC BANK	3/6/2009	1/13/2012	2,797 20	939 16	1,858 04
75.00	HDFC BANK	3/6/2009	1/18/2012	2,185.21	704 37	1,480.84
4,000 00	HONG KONG/CHINA GAS	11/3/2010	2/9/2012	9,399 27	8,476 13	923 14
0 87	HSBC HOLDING	12/16/2009	1/26/2012	7 48	10 04	(2 56)
75 00	ILLINOIS TOOL WORKS INC	5/27/2009	1/23/2012	3,859 41	2,424 23	1,435 18
100.00	ILLINOIS TOOL WORKS INC	2/19/2009	02/29/2009	5,590 48	3,153 63	2,436 85
50 00	INTERNATIONAL BUSINESS	9/29/2010	1/31/2012	9,595 81	6,593 11	3,002 70
125 00	KLA-TENCOR CORP	10/1/2010	1/24/2012	6,403 67	4,389 12	2,014 55
445 00	KONINKLIJKE KPN	2/20/2009	2/17/2012	4,688 57	5,845 14	(1,156 57)
100 00	KURITA	8/11/2010	3/9/2012	2,487 79	2,824.46	(336 67)
18 00	LAIR	12/29/2010	2/6/2012	2,315 18	2,321 35	(6 17)
218 00	LOWES COMPANIES	2/19/2009	1/11/2012	5,775 83	3,737.27	2,038 56
100 00	MAXIM INTEGRATED PRODS	12/15/2009	1/10/2012	2,631 19	1,953 00	678 19
100 00	MAXIM INTEGRATED PRODS	1/22/2009	1/17/2012	2,603 08	1,169 77	1,433 31
92 00	MAXIM INTEGRATED PRODS	1/22/2009	1/18/2012	2,515 31	1,046 30	1,469.01
200.00	MICROCHIP TECHNOLOGY INC	12/15/2009	2/8/2012	7,461 29	5,710.00	1,751 29
100 00	MICROCHIP TECHNOLOGY INC	2/19/2009	2/9/2012	3,733 18	1,877 38	1,855 80
22 00	NOVO NORDISK A/S B	2/17/2010	1/23/2012	2,589 85	1,583.54	1,006.31
100 00	OMNICOM GROUP INC	10/31/2008	1/11/2012	4,508 23	2,963.59	1,544 64
200 00	PEPSICO INC	1/8/2009	1/27/2012	13,162 40	10,660 70	2,501 70
50 00	PEPSICO INC	1/8/2009	1/30/2012	3,268 06	2,665 17	602 89
61.00	PEPSICO INC	1/15/2009	2/2/2012	4,044.10	3,177 44	866 66
100.00	POLYCOM INC	2/19/2009	1/4/2012	1,588 24	678 14	910 10
120 00	POLYCOM INC	2/19/2009	1/5/2012	1,912 56	813 76	1,098 80
100.00	SCHWAB CHARLES NEW	2/22/2010	2/7/2012	1,237.65	1,873 10	(635 45)
100 00	SCHWAB CHARLES NEW	2/23/2010	2/8/2012	1,242 39	1,850 24	(607 85)
75.00	SCHWAB CHARLES NEW	10/28/2009	2/10/2012	911 47	1,327 11	(415 64)
2,000 00	SHANGRI-LA ASIA	11/21/2008	1/5/2012	3,525 03	2,146 55	1,378 48

Hawk Rock Foundation
 EIN: 26-1518305
 Statement B
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 Schedule D-1 Part II
 Tax Year 2012

Quantity	Description	Purchase Date	Sale Date	Proceeds	Cost	Gain/loss
125 00	SHIRE PLC	12/16/2009	3/13/2012	4,379 03	2,289 37	2,089 66
1,000 00	SUN HUNG KAI PROP	9/7/2006	3/30/2012	12,449 43	10,841 19	1,608 24
110 00	SUNCOR ENERGY	11/26/2008	1/4/2012	3,330 15	2,192 37	1,137 78
500 00	SWIRE PACIFIC LTD	2/20/2009	1/5/2012	5,133 91	3,009 37	2,124 54
125 00	TARGET CORP	12/15/2009	2/14/2012	6,527 29	4,204 85	2,322 44
1,000 00	TELEVISION BROADCAST	11/4/2010	1/6/2012	5,830 16	5,432 64	397 52
4,776.00	TELSTRA CORP	2/3/2011	3/30/2012	16,375 76	13,605.18	2,770.58
900 00	TESCO PLC	11/3/2008	2/17/2012	4,535 95	4,849 03	(313 08)
100 00	THE WALT DINSEY CO	2/26/2009	1/23/2012	3,919 05	1,726 93	2,192 12
110 00	TORONTO-DOMINION BANK	2/19/2009	1/5/2012	8,157 73	3,075 41	5,082.32
100.00	UNITED PARCEL SERVICE INC	12/15/2009	1/1/2012	7,413 35	4,297 86	3,115 49
175 00	UNITEDHEALTH GROUP INC	12/15/2009	1/2/2012	9,229 56	5,481 00	3,748 56
300.00	URBAN OUTFITTERS INC	3/10/2010	1/3/2012	7,223 65	8,718.57	(1,494 92)
100.00	URBAN OUTFITTERS INC	2/19/2009	1/4/2012	2,405 38	1,564 17	841 21
75.00	URBAN OUTFITTERS INC	11/18/2008	1/5/2012	1,818 23	1,164 34	653 89
100 00	VERIOZON	1/8/2010	1/6/2012	3,862.47	2,975 99	886 48
50 00	VERIOZON	1/8/2010	1/12/2012	1,931.15	1,487 99	443.16
100 00	VISA INC	9/14/2010	1/13/2012	10,085 50	7,655 19	2,430 31
100 00	VISA INC	9/14/2010	1/17/2012	10,193.97	6,603 27	3,590 70
100 00	WAL MART DE MEXICO	9/7/2006	1/12/2012	276 44	162 54	113 90
700 00	WAL MART DE MEXICO	9/7/2006	1/13/2012	1,959 24	1,137 76	821 48
2,519 16	CAPITAL WORLD BOND FUND CLF2	3/23/2011	4/5/2012	26,300 00	25,443.49	856 51
1,057 69	CAPITAL WORLD BOND FUND CLF2	3/23/2011	4/5/2012	22,000.00	21,767 30	232 70
75 00	ALLEGHENY TECHNOLOGIES INC	10/29/2009	5/24/2012	2,592 80	2,285 22	307 58
100 00	ALLEGHENY TECHNOLOGIES INC	1/7/2009	5/25/2012	3,430.76	2,920 81	509 95
100 00	ALLEGHENY TECHNOLOGIES INC	1/7/2009	5/29/2012	3,488 18	2,909 77	578 41
100.00	ALLEGHENY TECHNOLOGIES INC	2/19/2009	5/31/2012	3,202.04	2,761.91	440 13
50 00	ALLEGHENY TECHNOLOGIES INC	2/19/2009	6/5/2012	1,511 07	1,139 23	371 84
100 00	AON CORP	7/20/2010	4/2/2012	4,904 75	3,538 80	1,365 95
83 00	ASML HOLDING NV	1/5/2011	4/24/2012	4,024.81	2,993.29	1,031 52
500.00	BANK OF EAST ASIA	12/16/2009	4/24/2012	1,853 77	2,062 04	(208.27)
262.00	BOUYGUES	12/16/2009	4/13/2012	7,300.54	12,094 51	(4,793 97)
100 00	CENOVUS ENERGY INC	7/20/2012	4/16/2012	3,364 56	2,807.49	557 07
100 00	CENOVUS ENERGY INC	9/27/2010	4/17/2012	3,383 04	2,836.96	546 08
100.00	CENOVUS ENERGY INC	9/27/2010	4/17/2012	3,356.06	2,836 95	519 11
25 00	CENOVUS ENERGY INC	6/8/2010	4/18/2012	845 27	658 35	186 92
75.00	DAVITA INC	5/27/2009	4/24/2012	6,434.47	3,420 49	3,013 98
50 00	DAVITA INC	5/27/2009	6/4/2012	4,021 65	2,280 33	1,741 32
60.00	FEDEX CORP	6/17/2009	6/14/2012	5,206 75	2,895 85	2,310.90
10 00	FEDEX CORP	2/19/2009	6/15/2012	870.34	481.20	389 14
75 00	JPMORGAN CHASE & CO	12/29/2010	5/24/2012	2,542 32	3,148.97	(606 65)
68 00	KARFT FOODS INC CL A	4/13/2011	4/16/2012	2,551 67	2,204 87	346 80
500 00	KURITA WATER INDUSTRIES LTD	8/24/2010	4/13/2012	12,136.88	13,585.29	(1,448 41)
100 00	KURITA WATER INDUSTRIES LTD	9/10/2010	4/27/2012	2,473.58	2,788 38	(314 80)
200 00	KURITA WATER INDUSTRIES LTD	9/28/2010	5/24/2012	4,265 27	5,510 44	(1,245 17)

Hawk Rock Foundation
EIN: 26-1518305
Statement B
Form 990PF
Schedule D-1 Part II
Tax Year 2012

Quantity	Description	Purchase Date	Sale Date	Proceeds	Cost	Gain/loss
100 00	KURITA WATER INDUSTRIES LTD	9/9/2012	5/25/2012	2,149 28	2,723.30	(574 02)
35 00	MCDONALDS CORP	7/20/2010	5/10/2012	3,227 98	2,444.09	783 89
100 00	MICROCHIP TECHNOLOGY INC	2/19/2009	5/9/2012	3,205 65	1,877 37	1,328 28
100 00	MICROCHIP TECHNOLOGY INC	2/19/2009	5/10/2012	3,196 95	1,877 38	1,319 57
22 00	MICROCHIP TECHNOLOGY INC	2/19/2009	5/11/2012	707 43	413 02	294 41
258 00	NEWCREST MINING NPV	12/29/2010	5/28/2012	6,440 11	8,615.66	(2,175.55)
146 00	NEWCREST MINING NPV	11/3/2008	6/21/2012	3,529 47	2,032 28	1,497 19
40.00	NOBLE ENERGY INC	9/21/2010	4/24/2012	3,757 53	2,992 91	764 62
54 00	NOVO NORDISK A/S B	2/17/2010	5/2/2012	7,863 14	3,886 86	3,976 28
150.00	PROGRESSIVE CORP OHIO	10/31/2008	4/24/2012	3,183.76	2,073 16	1,110 60
800 00	TESCO PLC	11/3/2008	5/24/2012	3,764 19	4,310 24	(546 05)
2,000.00	TINGYI HOLDING CORP	8/10/2010	5/25/2012	5,004.15	5,028 77	(24 62)
2,000 00	TINGYI HOLDING CORP	10/8/2009	5/29/2012	4,648 40	4,178.38	470 02
100 00	TREND MICRO INC	12/16/2009	6/15/2012	2,713 79	3,875.97	(1,162 18)
90 00	UNITEDHEALTH GROUP INC	12/15/2009	4/16/2012	5,177 53	2,531 03	2,646 50
155,002 19	CAPITAL CORE MUNICIPAL FUND	6/28/2011	7/6/2012	1,635,273 09	1,556,438 77	78,834 32
200.00	3M COMPANY	4/9/2010	7/6/2012	17,735 60	16,094 30	1,641 30
100 00	AGLIANT TECHNOLOGIES INC	2/19/2009	7/6/2012	3,758 41	1,567.31	2,191 10
8,000 00	AIA GROUP LTD	4/8/2011	7/9/2012	28,383 55	23,533 60	4,849 95
100 00	AIR PRODUCTS & CHEMICALS INC	12/15/2009	7/6/2012	7,948 82	6,996 08	952.74
1,000 00	AJINOMOTO CO INC	3/2/2011	7/9/2012	13,418.70	11,365 88	2,052 82
200 00	ALLERGAN INC	12/15/2009	7/6/2012	18,694 08	11,859 58	6,834 50
200 00	ALLSTATE CORP (THE)	5/21/2009	7/6/2012	6,934.84	5,212 14	1,722 70
100 00	ALLSTATE CORP (THE)	5/20/2009	9/25/2012	3,999 88	2,580 26	1,419 62
100.00	ALLSTATE CORP (THE)	5/20/2009	9/26/2012	3,962.88	2,580 26	1,382 62
100 00	ALLSTATE CORP (THE)	5/20/2009	9/27/2012	3,971 93	2,580 26	1,391 67
300 00	AMERICAN TOWER CORP	12/15/2009	7/6/2012	21,248 52	8,732 28	12,516 24
100 00	AON PLC	4/2/2010	7/6/2012	4,729 89	4,904.75	(174 86)
50 00	APPLE INC	2/9/2010	7/6/2012	30,206 93	9,790 31	20,416 62
259 00	ASML HOLDING NV	1/5/2011	7/9/2012	12,592 15	7,553 47	5,038 68
1,400 00	BANK OF CHINA LTD	12/16/2009	7/9/2012	4,949 10	4,681.19	267 91
800 00	BANK OF CHINA LTD	5/19/2009	9/6/2012	2,772 94	2,501.39	271 55
1,000.00	BANK OF CHINA LTD	5/7/2009	9/11/2012	3,601.12	3,124 26	476 86
700 00	BANK OF CHINA LTD	5/7/2009	9/12/2012	2,544 66	2,186.99	357 67
160 00	BAYER AG	4/1/2011	7/9/2012	11,139 05	12,719 14	(1,580 09)
1,000 00	BG GROUP PLC	12/16/2009	7/9/2012	20,366 05	14,643.59	5,722 46
249 00	BHP BILLTON LTD (AUD)	12/16/2009	7/9/2012	8,025.38	6,297 22	1,728 16
2,000 00	BOC HONG KONG HOLDING	6/22/2010	7/9/2012	6,065.61	4,661 15	1,404 46
111 00	BOUYGUES	11/6/2008	8/31/2012	2,733 42	4,617 89	(1,884.47)
200 00	BROADCOM CORP	6/9/2011	7/6/2012	6,498 51	6,816 30	(317 79)
200.00	CANON INC	9/13/2007	7/9/2012	7,746 88	10,308 16	(2,561 28)
100 00	CANON INC	11/25/2010	9/26/2012	3,351 41	4,811 35	(1,459 94)
400 00	CENOVUS ENERGY INC	6/8/2010	7/6/2012	12,921 45	10,171 13	2,750.32
100 00	CENOVUS ENERGY INC	6/7/2010	7/6/2012	3,230.92	2,632 71	598 21
300.00	CERNER CORP	1/6/2010	7/6/2012	24,497 45	6,312 32	18,185 13

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Quantity	Description	Purchase Date	Sale Date	Proceeds	Cost	Gain/loss
100 00	CHEVRON CORP	12/15/2009	7/6/2012	10,452 76	7,187 87	3,264 89
100 00	COLGATE-PALMOLIVE CO	3/9/2012	7/27/2012	10,604 74	7,890 31	2,714 43
125 00	COLGATE-PALMOLIVE CO	3/10/2012	7/27/2012	13,356.69	9,867 23	3,489 46
800.00	COMCAST CORP CL A (NEW)	11/22/2010	7/6/2012	24,971 92	16,237 47	8,734 45
100.00	DANAHER CORP	2/28/2011	7/6/2012	5,119 88	4,740.52	379 36
359 00	DANONE	4/13/2011	7/9/2012	21,987 39	24,289 21	(2,301 82)
700 00	DIAGEO PLC	12/16/2009	7/9/2012	17,977 22	11,673 50	6,303 72
100 00	ECOLAB INC	2/19/2009	7/6/2012	6,777.84	3,358 67	3,419 17
200 00	ECOLAB INC	2/19/2009	7/27/2012	13,370 36	6,408.67	6,961 69
300 00	EMERSON ELECTRIC CO	6/24/2009	7/6/2012	13,455 20	9,720 91	3,734 29
625 00	ENCANA CORP	3/10/2011	7/6/2012	12,549 07	19,751 41	(7,202 34)
78 00	ESSILOR INTL	12/16/2011	7/9/2012	6,914 48	4,566 65	2,347 83
200 00	FANUC CO	2/1/2010	7/9/2012	32,543 73	16,062 70	16,481 03
100 00	GDF SUEZ	8/10/2009	8/17/2012	2,458 88	3,874 10	(1,415 22)
200 00	GENERAL ELECTRIC CO	2/19/2009	7/6/2012	4,000 41	2,017 78	1,982 63
700 00	GENPACT LTD	10/31/2008	7/6/2012	11,550 51	7,246 27	4,304 24
75 00	GOLDMAN SACHS GROUP INC	3/10/2011	7/6/2012	7,137 40	11,838 88	(4,701 48)
40.00	GOOGLE IN CL A	12/15/2009	7/6/2012	23,350 87	18,739 38	4,611.49
485 00	HENNES & MAURITZ AB	12/16/2009	7/9/2012	16,958 54	13,713 09	3,245 45
2,000 00	HONG KONG CHINA GAS	11/3/2010	7/9/2012	4,486 81	4,086.23	400 58
3,177 00	HSBC HOLDINGS PLC UKP	12/16/2009	7/9/2012	27,844 05	27,213 65	630 40
500 00	ICIC BANK LTD SPON ADR	2/23/2011	7/6/2012	16,765 62	21,637 56	(4,871 94)
246 00	IMPERIAL TOBACCO PLC	9/30/2010	7/9/2012	9,798 34	6,660 54	3,137.80
225.00	INTERNATIONAL BUSINESS	12/30/2010	7/6/2012	42,818 79	30,098 67	12,720 12
400 00	INTERNATIONAL GAME	12/30/2010	7/6/2012	6,300.85	7,022 94	(722 09)
500 00	IRON MOUNTAIN	2/19/2009	7/6/2012	16,083 13	10,438 75	5,644 38
300 00	JACK HENRY & ASSOC INC	4/26/2011	7/6/2012	10,471.26	10,127 97	343 29
1,000 00	JGC CORP	8/10/2010	7/16/2012	29,504 15	16,468 96	13,035 19
210 00	JUNIPER NETWORKS INC	12/15/2009	7/9/2012	3,004.95	5,507 72	(2,502.77)
200 00	KEYENCE CORP	12/16/2009	7/6/2012	48,507 87	36,487 22	12,020 65
100.00	KLA-TENCOR CORP	9/30/2010	9/6/2012	4,597 01	3,511 19	1,085 82
400 00	KLA-TENCOR CORP	8/23/2011	7/24/2012	20,814.73	14,027 35	6,787 38
676.00	KONINKLIJKE KPN	2/20/2009	7/5/2012	5,809 13	9,032 28	(3,223 15)
0.20	L'AIR LIQUIDE	12/29/2010	7/5/2012	22 10	23 45	(1 35)
98 00	L'AIR LIQUIDE	12/29/2010	7/9/2012	10,732 92	10,328 28	404 64
70.00	L'OREAL	11/3/2008	7/9/2012	7,854 00	5,179 26	2,674.74
10,000 00	LI & FUND LTD	9/11/2009	7/9/2012	19,523 60	16,522 78	3,000 82
18,000 00	LI & FUND LTD	8/19/2011	9/26/2012	26,983 97	28,044.90	(1,060 93)
59.00	LINDE AG	2/7/2011	7/9/2012	8,376 67	8,803 09	(426.42)
100 00	MICROSOFT CORP	2/9/2007	7/6/2012	3,006 43	2,906 58	99 85
300 00	MONSANTO CO NEW COM	5/18/2011	7/6/2012	24,500 45	21,271 44	3,229 01
100 00	MURATA MANUFACTURING CO LTD	1/25/2011	7/9/2012	5,250 53	7,454 16	(2,203.63)
300 00	NATIONAL GRID PLC	11/23/2009	7/9/2012	3,180 75	3,283 24	(102 49)
429.00	NESTLE SA REG	2/20/2009	7/9/2012	25,721 85	14,403 64	11,318 21
75 00	NOBLE ENERGY INC	9/21/2012	7/6/2012	6,337.80	5,594 13	743.67

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Quantity	Description	Purchase Date	Sale Date	Proceeds	Cost	Gain/loss
100.00	NORFOLK SOUTHERN CORP	12/15/2009	7/6/2012	7,191 83	4,373 19	2,818 64
52.00	NOVARTIS AG NAMEN	7/28/2012	7/9/2012	2,863 47	2,585 14	278 33
65 00	NOVO NORDISK A/S B	2/17/2010	7/9/2012	9,427.20	4,346 01	5,081 19
100 00	NUCOR CORP	9/27/2010	7/6/2012	3,821 41	3,757 80	63.61
600.00	ORACLE CORP	10/8/2010	7/6/2012	17,386 59	16,791 12	595 47
363 00	PERNOD RICARD SA	12/16/2009	7/9/2012	37,231 19	23,861 61	13,369 58
100 00	PHILIP MORRIS INTERNATIONAL	12/15/2009	7/6/2012	8,936 79	5,005 00	3,931 79
1,700 00	PREMIER FARNELL PLC	3/10/2011	7/9/2012	4,498 17	8,410.17	(3,912 00)
600 00	PROGRESSIVE CORP OHIO	7/27/2009	7/6/2012	12,275 72	8,628.51	3,647 21
300 00	QUALCOMM INC	6/15/2010	7/6/2012	16,500 62	10,814.64	5,685 98
324 00	RICHEMONT CIE FN	12/16/2009	7/9/2012	16,909 53	8,554 51	8,355 02
300 00	RIO TINTO PLC REG	7/1/2009	7/9/2012	14,114 17	9,614.68	4,499 49
400 00	RIO TINTO PLC REG	3/11/2009	7/9/2012	18,040 11	9,412 82	8,627 29
23 00	RIO TINTO HOLDING REG	5/20/2011	8/28/2012	3,919 64	3,905 81	13 83
650 00	ROYAL DUTCH SHELL CL A ADR	12/15/2009	7/6/2012	43,976.12	34,847.45	9,128 67
45 00	SAMPO OYJ CL A	6/23/2011	7/9/2012	1,120 41	1,402 29	(281 88)
37 00	SAMSUNG ELEC GDS N/V PF	12/16/2009	7/9/2012	11,618 00	6,613 29	5,004 71
88 00	SAP AG	12/9/2008	7/9/2012	4,899 14	3,214 24	1,684.90
250 00	SCHLUMBERGER LTD	10/25/2010	7/6/2012	16,215 46	16,010 17	205 29
90 00	SCHNEIDER ELECTRIC SA	2/8/2011	7/9/2012	4,702 28	6,891 65	(2,189.37)
900 00	SCHWAB CHARLES NEW	10/28/2009	7/6/2012	11,323 72	15,832.16	(4,508 44)
200.00	SCRIPPS NETWORKS INTERACTIVE	1/24/2011	7/6/2012	11,146 25	9,437 82	1,708 43
347 00	SEADRILL LTD	1/7/2011	7/9/2012	12,200 41	11,394.84	805 57
1,400 00	SEATTLE GENETICE INC	6/17/2011	7/6/2012	35,861 17	27,065 26	8,795 91
141.00	SES FDR CL A	11/16/2009	7/9/2012	3,277.84	3,132 32	145.52
600 00	SHIRE PLC	2/19/2009	7/9/2012	16,955 89	8,713 90	8,241 99
100.00	SHIRE PLC	2/17/2009	9/26/2012	2,939 56	1,430.84	1,508.72
100 00	SHIRE PLC	2/17/2009	7/9/2012	2,927 47	1,430 83	1,496 64
200.00	SHIRE PLC	2/17/2009	9/26/2012	5,903 63	2,861.67	3,041.96
200 00	SHIRE PLC	2/20/2009	9/27/2012	5,824 76	2,723.42	3,101 34
100.00	SHIRE PLC	2/17/2009	9/28/2012	2,904 13	1,430 83	1,473.30
100 00	SMC CORP	1/18/2010	7/9/2012	17,322 33	12,553.97	4,768 36
600 00	SOFTBANK CORP	8/18/2009	7/9/2012	21,599 96	12,721 17	8,878.79
56 00	SONOVA HOLDING AG	12/16/2009	7/9/2012	5,317 77	5,876 05	(558 28)
500 00	STANDARD CHARTERED	11/5/2010	7/9/2012	11,099 77	11,823.67	(723 90)
10 00	SWISSCOM AG REG	12/16/2009	7/9/2012	3,873 26	3,622 77	250 49
22.00	SYNGENTA AG	2/18/2011	7/9/2012	7,254 24	7,203 84	50 40
300 00	SYSMEX CORP	4/5/2011	7/9/2012	11,790 10	10,199.70	1,590 40
200.00	TARGET CORP	2/19/2009	7/6/2012	11,621 23	6,025 21	5,596 02
200.00	TE CONNECTIVITY LTD	12/15/2009	7/6/2012	6,248 85	4,226 46	2,022 39
300 00	THE WALT DISNEY	12/2/2008	7/6/2012	14,368 17	6,304 86	8,063 31
125 00	TIFFANY & CO NEW	8/19/2010	7/6/2012	6,656 31	5,376 23	1,280 08
200.00	TREND MIRCO INC	12/16/2009	7/9/2012	5,680 00	7,473 14	(1,793 14)
50.00	UNION PACIFIC CORP	9/7/2006	7/6/2012	5,824 36	1,987 65	3,836 71
215.00	UNITED TECHNOLOGIES CORP	2/4/2011	7/6/2012	15,842 99	17,631.83	(1,788 84)

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Quantity	Description	Purchase Date	Sale Date	Proceeds	Cost	Gain/loss
200 00	UNITEDHEALTH GROUP INC	11/4/2009	7/6/2012	11,083 75	5,615 40	5,468 35
50 00	VISA INC CL A	5/19/2009	7/6/2012	6,242 36	3,238.58	3,003 78
75.00	3M Company	6/3/2012	11/13/2012	6,723 29	5,878 18	845.11
105 11	ASML	6/29/2010	11/26/2012	5,466 93	2,990 26	2,476 67
0.89	ASML	6/29/2010	12/12/2012	56 20	25 32	30.88
300 00	BG GROUP	9/12/2011	11/12/2012	4,996 42	4,723 03	273 39
300 00	BG GROUP	1/16/2009	11/14/2012	4,966 12	3,953 16	1,012 96
300.00	BG GROUP	1/16/2009	11/20/2012	4,870 04	3,953 16	916 88
400 00	CAE	7/5/2011	11/20/2012	3,752.76	5,400 37	(1,647 61)
300 00	CANON INC	11/29/2010	11/12/2012	9,285 34	11,720.44	(2,435 10)
100 00	CANON INC	11/4/2008	11/29/2012	3,448 85	3,442 73	6 12
100 00	CANON INC	11/4/2008	11/30/2012	3,503 11	3,442.73	60 38
20 00	DANONE	2/18/2011	11/22/2012	1,263 20	1,256 12	7 08
175 00	DANONE	2/18/2011	11/22/2012	11,096.29	10,991 06	105 23
94 00	DANONE	4/13/2011	11/22/2012	5,938 26	6,073 59	(135 33)
202 00	DANONE	12/5/2011	12/13/2012	13,540.13	12,890 96	649 17
100 00	DISCOVERY COMMUNICATIONS	3/1/2011	12/19/2012	6,304 37	4,244.41	2,059 96
100 00	DISCOVERY COMMUNICATIONS	3/1/2011	12/19/2012	6,299 48	4,244 41	2,055 07
100.00	ENCANA CORP	3/10/2011	11/12/2012	2,064 90	3,088 38	(1,023 48)
400 00	FIRSTGROUP	5/24/2011	11/30/2012	1,205 28	2,249.16	(1,043 88)
1,700 00	FIRSTGROUP	5/24/2011	12/7/2012	5,122.72	9,559 62	(4,436 90)
1,200 00	FIRSTGROUP	5/24/2011	12/13/2012	3,667 43	6,755 48	(3,088 05)
100.00	FIRSTGROUP	5/24/2011	12/18/2012	317.62	562 96	(245 34)
60 00	INTERNATIONAL GAME	3/31/2009	10/22/2012	11,630 83	5,856 78	5,774 05
100.00	INTERNATIONAL GAME	12/30/2010	10/19/2012	1,299.96	1,753 90	(453 94)
100 00	INTERNATIONAL GAME	12/30/2010	10/22/2012	1,297 91	1,745 72	(447 81)
100 00	INTERNATIONAL GAME	12/28/2010	10/23/2012	1,267 70	1,737 55	(469 85)
100 00	INTERNATIONAL GAME	12/28/2010	10/25/2012	1,274 03	1,737 55	(463.52)
50 00	INTERNATIONAL GAME	12/28/2010	10/26/2012	635 08	868.77	(233 69)
198 00	KONINKLIJKE KPN	9/7/2006	12/17/2012	1,035 00	2,476 06	(1,441 06)
125 00	REPUBLIC SERVICES	3/9/2011	11/12/2012	3,339 55	3,764.74	(425 19)
100 00	REPUBLIC SERVICES	12/28/2010	11/12/2012	2,672.44	3,015 71	(343 27)
200.00	REPUBLIC SERVICES	3/9/2011	11/16/2012	5,402 87	5,990 56	(587 69)
125 00	REPUBLIC SERVICES	3/10/2011	12/12/2012	3,623.37	3,728 98	(105 61)
121 00	RICHMONT CIE FIN	10/13/2011	10/19/2012	7,829 25	6,221.44	1,607 81
100.00	ROYAL DUTCH	9/9/2011	11/6/2012	6,921 95	6,373 00	548 95
100 00	ROYAL DUTCH	2/19/2009	11/12/2012	6,803 40	4,870 97	1,932 43
350 00	ROYAL DUTCH	4/20/2009	11/14/2012	23,418 32	16,007 47	7,410 85
100 00	ROYAL DUTCH	4/20/2009	11/29/2012	6,655 21	4,177 01	2,478 20
200 00	SCHWAB CHARLES	12/15/2009	11/9/2012	2,608 30	3,482 61	(874 31)
400 00	SCHWAB CHARLES	12/7/2009	11/12/2012	5,170 64	6,874 83	(1,704 19)
300 00	SCHWAB CHARLES	7/15/2010	11/13/2012	3,846 78	4,354 78	(508 00)
300 00	SCHWAB CHARLES	12/7/2010	11/13/2012	3,833 46	4,575 29	(741 83)
400 00	SHIRE PLC	6/4/2009	10/1/2012	11,892 24	5,500 87	6,391 37
100 00	SHIRE PLC	2/20/2009	10/1/2012	2,965 96	1,361 71	1,604 25

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Quantity	Description	Purchase Date	Sale Date	Proceeds	Cost	Gain/loss
100.00	SHIRE PLC	2/20/2009	10/1/2012	2,976 03	1,361 71	1,614 32
300.00	SHIRE PLC	6/4/2009	10/2/2012	8,827 11	4,214 78	4,612.33
300 00	SHIRE PLC	6/4/2009	10/3/2012	8,849 30	3,899 77	4,949 53
100 00	SHIRE PLC	6/4/2009	10/4/2012	2,949 22	1,404 93	1,544 29
100.00	SHIRE PLC	3/5/2009	10/19/2012	2,948 20	1,128 95	1,819.25
100 00	SHIRE PLC	3/5/2009	10/19/2012	2,992 24	1,128.95	1,863 29
100 00	SHIRE PLC	3/5/2009	10/22/2012	2,985.80	1,128 95	1,856 85
100 00	SHIRE PLC	3/5/2009	10/23/2012	2,920 14	1,128 95	1,791 19
75 00	SHIRE PLC	3/5/2009	10/24/2012	2,137 17	846 72	1,290 45
100.00	SOFTBANK CORP	8/18/2009	10/24/2012	3,206 49	2,120 19	1,086 30
0 94	STANDARD CHARTED	8/31/2011	10/29/2012	22 36	21 06	1 30
Total				3,870,634.12	3,304,800.09	565,834.03

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL CAPITAL GAIN DISTRIBUTIONS					34,267.	
746,570.		STCL - CAPITAL GUARDIAN (SEE STATEMENT A 787,870.					VARIOUS -41,300.	VARIOUS
3,870,634.		LTCL - CAPITAL GUARDIAN (SEE STATEMENT B 3,304,800.					VARIOUS 565,834.	VARIOUS
1,081,708.		478.36536 SHARES OF ACCION 480,008.					VARIOUS 601,700.	VARIOUS
TOTAL GAIN(LOSS)							<u>1,160,501.</u>	

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒ **X**
- Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868
- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed)

Type or print File by the due date for filing your return. See instructions.	Enter filer's identifying number, see instructions	
	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	HAWK ROCK FOUNDATION	26-1518305
	Number, street, and room or suite no. If a P.O. box, see instructions	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	WAN CHAI, HONG KONG	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.


- The books are in the care of EAST ASIA SENTINEL TAX SVC LTD
Telephone No 852 28101018 FAX No 852 25259890
- If the organization does not have an office or place of business in the United States, check this box ☒ **X**
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 4 I request an additional 3-month extension of time until 11/15, 20 13
- 5 For calendar year 2012, or other tax year beginning _____, 20____, and ending _____, 20____
- 6 If the tax year entered in line 5 is for less than 12 months, check reason ☐ Initial return ☐ Final return
☐ Change in accounting period
- 7 State in detail why you need the extension ADDITIONAL TIME IS NEEDED BY THE ENTITY TO GATHER ALL THE NECESSARY INFORMATION FOR FILING AN ACCURATE AND COMPLETE TAX RETURN.

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	15,592.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	592.
c Balance Due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$	15,000.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature  Title Director Date 10/11/13

Form 8868 (Rev. 1-2013)

Form **8868**

(Rev. January 2013)

Department of the Treasury
Internal Revenue Service**Application for Extension of Time To File an
Exempt Organization Return**

OMB No. 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Enter filer's identifying number, see instructions

**Type or
print**File by the
due date for
filing your
return. See
instructions

Name of exempt organization or other filer, see instructions.

Employer identification number (EIN) or

HAWK ROCK FOUNDATION

26-1518305

Number, street, and room or suite no. If a P.O. box, see instructions

Social security number (SSN)

22/F, TAI YAU BUILDING, 181 JOHNSTON ROAD

City, town or post office, state, and ZIP code. For a foreign address, see instructions

WANCHAI HONG KONG

Enter the Return code for the return that this application is for (file a separate application for each return)

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720- (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► EAST ASIA SENTINEL TAX SVC LTD

Telephone No. ► 852 28101018FAX No. ► 852 25259890

- If the organization does not have an office or place of business in the United States, check this box ☒ **X**
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15, 20 13, to file the exempt organization return for the organization named above. The extension is for the organization's return for
- ☒ **X** calendar year 20 12 or
- ☐ tax year beginning , 20 , and ending , 20

- 2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return
- ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	3a	\$	1,212.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit	3b	\$	1,212.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	3c	\$	0

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form **8868** (Rev. 1-2013)