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#### Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

OMB No 1545-0052

2012

Department of the Treasury Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

	endar year 2012, or tax year beginning	, 2012	, and ending	<u>, , , , , , , , , , , , , , , , , , , </u>				
	oundation and Ellen Zembrodt Family Fo	oundation		A Employer identification num 26-0109820	nber			
Number a	and street (or PO box number if mail is not delivered to street	et address)	Room/suite	B Telephone number (see the				
34 Au	ugusta Ave.	State ZIP code		(000) 000-0000				
•	Wright		41011	C If exemption application is	pending, check here			
	eck all that apply Initial return	Initial Return of a for		D 1 Farmer areas about				
	Final return	Amended return	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	D 1 Foreign organizations, chec				
	Address change	Name change		2 Foreign organizations meeti here and attach computatio	ing the 85% test, check			
<b>H</b> C		(c)(3) exempt private for		· ·				
	Section 4947(a)(1) nonexempt charitable tri air market value of all assets at end of year	ounting method: X C	orivate foundation ash Accrual	E If private foundation status under section 507(b)(1)(A),				
	' P	Other (specify)	asii — — Accidai	F If the foundation is in a 60-	month termination			
<b>-</b> \$	206,519. (Part T,	column (d) must be on	cash basis )	under section 507(b)(1)(B),				
Part	Analysis of Revenue and	(a) Revenue and	(b) Net investmen	nt (c) Adjusted net	(d) Disbursements			
	Expenses (The total of amounts in columns (b), (c), and (d) may not neces-	expenses per books	ıncome	income	for charitable purposes			
	sarıly eqùal the amounts ın column (a) (see ınstructions).)				(cash basis only)			
	Contributions, gifts, grants, etc, received (att sch)	9,000.	a livingar, an ingan					
		1:42:00 C 200	. 15 West 1					
	Interest on savings and temporary cash investments	0.		0.				
	4 Dividends and interest from securities	2,319.	2,31					
	5 a Gross rents							
_	b Net rental income or (loss)			1 700 1 2 3, 2 3 30 V V V				
R E	<b>6 a</b> Net gain/(loss) from sale of assets not on line 10 <b>b</b> Gross sales price for all	19,869.	AT WAS TO SHOULD SEE					
V E	assets on line 6a 670, 202.  7 Capital gain net income (from Part IV, line 2)		19,86		ED -			
N	8 Net short-term capital gain		1 - 3 - 1 - 2 - 3 - 3 - 3 - 3 - 3 - 3 - 3 - 3 - 3					
U	9 Income modifications		<b>4</b>		2013 IO . * * * *			
_	10 a Gross sales less returns and allowances							
	b Less Cost of goods sold				Print March			
<u>₹</u> ,	c Gross profit/(loss) (att sch)							
2012	11 Other income (attach schedule)							
S	12 Total. Add lines 1 through 11	31,188.	22,18	88				
<del>~</del>	13 Compensation of officers, directors, trustees, etc	51,100.	22,10	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	3> 7 4 35 8 37 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5			
ΜΛΥ	14 Other employee salaries and wages							
, <u> </u>	15 Pension plans, employee benefits							
, A D	16 a Legal fees (attach schedule)  b Accounting fees (attach sch)							
- M	c Other prof fees (attach sch) L-16c Stmt	2,111.	2,11	11.				
ر ک آ – ه	17 Interest	·						
OPERAT	18 Taxes (attach schedule)(see instrs) See Line 18 Stmt	5.		5.				
R R A A T	19 Depreciation (attach sch) and depletion							
l i	20 Occupancy				<u> </u>			
	21 Travel, conferences, and meetings							
A E X P	22 Printing and publications 23 Other expenses (attach schedule)							
E								
S	24 Total operating and administrative	0.116						
Š	expenses. Add Innes 13 through 23 25 Contributions, gifts, grants paid	2,116. 78,500.	2,11		78,500.			
	26 Total expenses and disbursements.		1 44 5V - 30 5 5V	3.4 +9.5 (9.4 80.4 2	,0,300.			
	Add lines 24 and 25	80,616.	2,11	16.	78,500.			
	27 Subtract line 26 from line 12: a Excess of revenue over expenses							
	and disbursements	-49,428.						
	<b>b</b> Net investment income (if negative, enter 0-)		20,07	12.				
	C Adjusted net income (if negative, enter -0-)		L-231.300000000000000000000000000000000000	.786	WAS SELECTION OF THE PARTY OF T			

Form	990-1	PF (2012) John and Ellen Zembrodt Family Fo		26-010	
Part		Balance Sheets  Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End o	<u> </u>
		(See instructions )	(a) Book Value	<b>(b)</b> Book Value	(c) Fair Market Value
	1	Cash - non-interest-bearing	6,057.	1,876.	1,876.
	2	Savings and temporary cash investments		24 V 33 C '	
	3	Accounts receivable			
		Less allowance for doubtful accounts	20.00 AM Vic.	5.00 × 7 × 7 × 7 × 7 × 7 × 7 × 7 × 7 × 7 ×	
	4	Pledges receivable	· * * * * * * * * * * * * * * * * * * *		
	_	Less: allowance for doubtful accounts			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach sch)		1857 S. 1 37 9 77	· · · · · · · · · · · · · · · · · · ·
Ą	•	Less: allowance for doubtful accounts	Mary James Will Street Land Street	<b>3.3</b> 3.33	
A S S E T	8	Inventories for sale or use			
Ě	9	Prepaid expenses and deferred charges	920.	920.	920.
T S	102	Investments – U.S. and state government	320.	320.	- 520.
•	'•	obligations (attach schedule)			
	l t	Investments — corporate stock (attach schedule) L-10b Stmt	0.	200,658.	203,723.
	0	: Investments — corporate bonds (attach schedule) L-10c Stmt	245,905.	0.	0.
	11	Investments – land, buildings, and equipment basis ►			
		Less accumulated depreciation (attach schedule)			
	12	Investments – mortgage loans			
	13	Investments - other (attach schedule)			
	14	Land, buildings, and equipment basis			
		Less accumulated depreciation (attach schedule)			
	15 16	Other assets (describe Total assets (to be completed by all filers — see the instructions Also, see page 1, item l)	252,882.	203,454.	206,519.
	17	Accounts payable and accrued expenses	232,002.	203,434.	200, 319.
Ĭ	18	Grants payable			
Ê	19	Deferred revenue			Ark Qurk
-	20	Loans from officers, directors, trustees, & other disqualified persons			
ī	21	Mortgages and other notes payable (attach schedule)			
Ţ	22	Other liabilities (describe ► )			
Ė		Table 1912 - 4 - 11 17 - 15 15 - 00			
<u> </u>	23	Total liabilities (add lines 17 through 22)  Foundations that follow SFAS 117, check here			
N F	24	and complete lines 24 through 26 and lines 30 and 31.  Unrestricted	]		
ΕU	25	Temporarily restricted			Ritinguit :
T N D	ı	Permanently restricted			
A S B		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.			
EL	27	Capital stock, trust principal, or current funds	465,000.	465,000.	
SN	28	Paid-in or capital surplus, or land, building, and equipment fund			
ΟĔ	29	Retained earnings, accumulated income, endowment, or other funds	-212,118.	-261,546.	
RS	30	Total net assets or fund balances (see instructions)	252,882.	203,454.	
	31	Total liabilities and net assets/fund balances (see instructions)	252,882.	203,454.	
Par	सात	Analysis of Changes in Net Assets or Fund Balanc			<u> </u>
1	Total	I net assets or fund balances at beginning of year — Part II, colur of-year figure reported on prior year's return)	· · · · · · · · · · · · · · · · · · ·	ree with	252,882.
2		r amount from Part I, line 27a		2	-49,428.
3		increases not included in line 2 (itemize)		3	37,920.
3 4		lines 1, 2, and 3			203,454.
5		ases not included in line 2 (itemize)	•	5	203, 134.
6		I net assets or fund balances at end of year (line 4 minus line 5)	- Part II. column (b) lii	<del></del>	203,454.
BAA	iota	TEFANSO2			Form <b>990-PF</b> (2012)

(a) List and descri	tosses for Tax on Investment be the kind(s) of property sold (e g , rea use, or common stock, 200 shares MLC	estate, (b)	How acquired — Purchase — Donation	(C) Date acquired (month, day, year)	(d)Date sold (month day, year)
1a	<del></del>		- Donation		
b					
С					
d					
е			1		
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale		(h) Gain or (e) plus (f) m	
a					
b					
d d				<del> </del>	<del></del>
e					<del></del>
	wing gain in column (h) and owned by	the foundation on 12/31/69		(I) Gains (Col	umn (h)
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (ı) over column (j), ıf any		gain minus column (k an -0-) <b>or</b> Losses (fr	), but not less
a b					
С		<u> </u>			
d					
е					
2 Capital gain net income or (r	et capital loss). — If gain, also If (loss), ent	enter in Part I, line 7 er -0- in Part I, line 7	2		
3 Net short-term capital gain of	(loss) as defined in sections 1222(5) a	, ind (6)			
If gain, also enter in Part I. li	ne 8, column (c) (see instructions) If (l	oss), enter -0-		ļ	
ın Part I, Iıne 8		. <u> </u>	3		
	er Section 4940(e) for Reduced ate foundations subject to the section 49				
'Yes,' the foundation does not qu	ection 4942 tax on the distributable amoralify under section 4940(e). Do not cont in each column for each year, see the	plete this part.		Yes	X No
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets		<b>(d)</b> Distribution umn (b) divided	
2011	144,500.	- 347,	034.		0.416386
2010	15,495.	430,			0.036009
2009	15,874.	369,	090.		0.043008
2008	7,935.	247,			0.032085
2007	4,143.	155,	728.		0.026604
2 Total of line 1, column (d)			2		0.554092
3 Average distribution ratio for number of years the foundati	the 5-year base period – divide the toto on has been in existence if less than 5	al on line 2 by 5, or by the years	3		0.110818
4 Enter the net value of noncha	aritable-use assets for 2012 from Part >	(, line 5	4		244,211.
5 Multiply line 4 by line 3		,	5		27,063.
6 Enter 1% of net investment i	ncome (1% of Part I, line 27b)	-	6		201.
7 Add lines 5 and 6	•		7	1	27,264.
8 Enter qualifying distributions			8		78 <b>,</b> 500.
If line 8 is equal to or greater Part VI instructions.	than line 7, check the box in Part VI, I	ine 1b, and complete that part	using a 1%	tax rate. See the	е

	<b>390-PF</b> (2012) John and Ellen Zembrodt Family Foundation 26-01098		Pa	age 4
Par	t VI 🔗 Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instruct	ions)		
1 a	Exempt operating foundations described in section 4940(d)(2), check here	<u> </u>	عيسيسيد	
	Date of ruling or determination letter: (attach copy of letter if necessary — see instrs)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V,		2	201.
	check here 💌 🗓 and enter 1% of Part I, line 27b		٠	¥,2 "
С	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b)	<u> </u>		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable			
	foundations only Others enter -0-)			0.
3	Add lines 1 and 2		2	201.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			0.
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		2	201.
6	Credits/Payments:			Warn o
а	2012 estimated tax pmts and 2011 overpayment credited to 2012 6a 920.			
b	Exempt foreign organizations – tax withheld at source			
c	Tax paid with application for extension of time to file (Form 8868)			
d	Backup withholding erroneously withheld . 6d			
7	Total credits and payments Add lines 6a through 6d		9	920.
8	Enter any <b>penalty</b> for underpayment of estimated tax. Check here			
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed			
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		7	719.
11	Enter the amount of line 10 to be Credited to 2013 estimated tax			
Par	tVII-A Statements Regarding Activities			
1 a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it	1.00	Yes	No
	participate or intervene in any political campaign?	1 a		Х
ь	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes			
	(see the instructions for definition)?	1 b		Χ
	If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published	<b>4</b>		
	or distributed by the foundation in connection with the activities.			12.782
	Did the foundation file Form 1120-POL for this year?	1 c		Χ
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:		يَّ وُرُعُ ثُمُّ	
	(1) On the foundation \$ (2) On foundation managers \$ Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on	—		
•	foundation managers			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		X
	If 'Yes,' attach a detailed description of the activities		ř(F)	- <del>11</del>
_		Major I		٠٧٠
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes	3	~ ~ 4.4	X
<b>4</b> a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		X
	of Yes,' has it filed a tax return on <b>Form 990-T</b> for this year?	4 b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		Х
·	If 'Yes,' attach the statement required by General Instruction T	7/23	33.77	<del>(                                    </del>
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either			1. 14 2. 4
•	By language in the governing instrument, or	#16°	*86 <sup>1</sup>	
		~~~ \ \bar{\bar{\bar{\bar{\bar{\bar{\bar{		5524
	<ul> <li>By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?</li> </ul>	6	X	2
7	Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, column (c), and Part XV	7	X	
8 a	Enter the states to which the foundation reports or with which it is registered (see instructions)	7/3×5.	4 Jaf 5	Y.W. 98. 9
	KY - Kentucky			
1.	of the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General	- Ed	<sup>5</sup> ?	
L	(or designate) of each state as required by General Instruction G <sup>2</sup> If 'No,' attach explanation .	8ь	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5)			
3	for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If 'Yes,' complete Part XIV			Χ
10	Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names			
10	and addresses	10		X
BAA		Form <b>990</b>	-PF (	2012)

	n 990-PF (2012) John and Ellen Zembrodt Family Foundation  **VII-A Statements Regarding Activities (continued)	26-	0109820	)	Р	age <b>5</b>
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)			11		Х
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualifie advisory privileges? If 'Yes,' attach statement (see instructions)	d person	had	12		Х
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption a Website address	applicatio	n <sup>7</sup>	13	Χ	
14	The books are in care of John A. Zembrodt Telephone		(000)	000-	-000	<u> </u>
15	Located at 34 Augusta Ave., Ft. Wright, KY ZIP + 4 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 — Check here					
16	and enter the amount of tax-exempt interest received or accrued during the year		<del> '</del> -	Т	Yes	No
10	At any time during calendar year 2012, did the foundation have an interest in or a signature or other aut bank, securities, or other financial account in a foreign country?	nority ove	er a	16	× 1,4 0.3	X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1. If 'Yes,' enter the nan foreign country.	ne of the				
Pai	t VII-B Statements Regarding Activities for Which Form 4720 May Be Required					
	File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.				Yes	No
1 a	During the year did the foundation (either directly or indirectly):					, , , ,
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	Yes	<b>⊠</b> No			587 x*2 x
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	Yes	X No			
	<ul><li>(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?</li><li>(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?</li></ul>	Yes Yes	X No X No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	Yes	No	32 *		
	(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	Yes	⊠No			, , , , , , , , , , , , , , , , , , ,
į	If any answer is 'Yes' to 1a(1)-(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	_	_	1 b	2 - 245 2 - 25	, , , ,
	Organizations relying on a current notice regarding disaster assistance check here	•				`s .
(	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?			1 c	,	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).					
á	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?	Yes	⊠No			
	If 'Yes,' list the years 20 , 20 , 20 , 20			200		
ı	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a) all years listed, answer 'No' and attach statement — see instructions)	a)(2) )(2) to		2 b		
	of the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years he	ere		2 - 1	, ¥ ^	, 24°C,
	► 20 , 20 , 20 , 20			13.5	 	
3 8	a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	Yes	X No			
1	o If 'Yes,' did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)			3 b		* * * * * * * * * * * * * * * * * * *
4 8	a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?			4 a		Х
	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?			4 b		X
BAA			Foi	rm <b>990</b>	-PF (	2012)

Form 990-PF (2012) John and Ellen Zen			26-010	09820 Page <b>6</b>
Part VII-B Statements Regarding Activit		4720 May Be Requ	uired (continued)	
5 a During the year did the foundation pay or incur	any amount to.			. [: ; ] [: ]
(1) Carry on propaganda, or otherwise attempt	to influence legislation	(section 4945(e))?	∐ Yes	No £2
(2) Influence the outcome of any specific publi on, directly or indirectly, any voter registral		1955), or to carry	Yes X	No Piloto
(3) Provide a grant to an individual for travel,	study, or other similar pi	urposes?	∐ Yes	No
(4) Provide a grant to an organization other the in section 509(a)(1), (2), or (3), or section	an a charitable, etc, org 4940(d)(2)? (see instruc	anization described tions)	Yes X	No
(5) Provide for any purpose other than religiou educational purposes, or for the prevention			Yes 🛛	No
b If any answer is 'Yes' to 5a(1)-(5), did any of t described in Regulations section 53 4945 or in (see instructions)?	he transactions fail to qua a current notice regardi	ualify under the exception ng disaster assistance	ons	5 b
Organizations relying on a current notice regar	ding disaster assistance	check here	▶ [	
c If the answer is 'Yes' to question 5a(4), does to tax because it maintained expenditure response	ibility for the grant?	•	Yes	]No
If 'Yes,' attach the statement required by Regu	lations section 53 4945-	5(d)		
<ul> <li>6 a Did the foundation, during the year, receive an on a personal benefit contract?</li> <li>b Did the foundation, during the year, pay premit</li> </ul>				]No   6b   x
If 'Yes' to 6b. file Form 8870	arns, unecting of matriceting	y, on a personal benefit	contract:	6b X
7a At any time during the tax year, was the found	ation a party to a prohib	oited tax shelter transact	ıon <sup>?</sup>	No Distriction
<b>b</b> If 'Yes,' did the foundation receive any proceed				7 b
Part VIII Information About Officers, D	irectors, Trustees,	Foundation Manag	ers, Highly Paid E	mployees,
and Contractors				
1 List all officers, directors, trustees, foundation	n managers and their co	ompensation (see instru	ıctions).	
(a) Name and address	(b) Title, and average hours per week devoted to position	(c)Compensation (If not paid, enter -0-)	(d)Contributions to employee benefit plans and deferred compensation	(e)Expense account, other allowances
John A. Zembrodt				
34 Augusta Ave. Ft. Wright KY 41011	Trustee 2.00	0.	0.	0.
Ellen Marie Zembrodt				
34 Augusta Ave.	Trustee 2.00	0.	0.	0.
Ft. Wright KY 41011  Joseph A. Zembrodt	2.00	<u> </u>	0.	<u> </u>
13 Georgetown Rd. Ft. Mitchell KY 41011	Trustee 2.00	0.	0.	0.
re. Hitemeri	2.00			<u> </u>
2 Compensation of five highest-paid employee	ı s (other than those incl	uded on line 1 – see in:	structions). If none, en	ter 'NONE.'
(a) Name and address of each employee	(b) Title, and average	(c) Compensation	(d)Contributions to	(e) Expense account,
paid more than \$50,000	hours per week devoted to position		employee benefit plans and deferred compensation	other allowances
None				
- <u>-</u>				<del>                                     </del>
				<del>                                     </del>
Total number of other employees paid over \$50,000			-	None
BAA	TEEA0306 12	2/25/12		Form <b>990-PF</b> (2012)

Part VIII Information About Officers, Directors, Trustees,	andation 26-010	9820 Page 7
and Contractors (continued)	roundation managers, mgmy raid Li	inployees,
3 Five highest-paid independent contractors for professional services	(see instructions). If none, enter 'NONE.'	
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
None		
		·
Total number of others receiving over \$50,000 for professional services	-	None
Part IX-A Summary of Direct Charitable Activities		
List the foundation's four largest direct charitable activities during the tax year. Include relevant sorganizations and other beneficiaries served, conferences convened, research papers produced, etc.	statistical information such as the number of tc.	Expenses
1 None		
		_
2		0.
	·	
3		
4		<del></del>
·	·	
Part IX-B Summary of Program-Related Investments (see	instructions)	
Describe the two largest program-related investments made by the foundation	on during the tax year on lines 1 and 2.	Amount
1 None	<b></b>	
		0
2		0.
<u> </u>		
All other program-related investments. See instructions		
3		
Total. Add lines 1 through 3		None
RAA		Form <b>990-PF</b> (2012)

	•		•
Form 9	990-PF (2012) John and Ellen Zembrodt Family Foundation	26-0109820	Page 8
Part	Minimum Investment Return (All domestic foundations must complete this part. see instructions.)	Foreign found	ations,
1 6	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		<del></del> -
а,	Average monthly fair market value of securities	1 a	203,089.
b,	Average of monthly cash balances	1 b	43,921.
C	Fair market value of all other assets (see instructions)	1 c	920.
q.	Total (add lines 1a, b, and c)	1 d	247,930.
e I	Reduction claimed for blockage or other factors reported on lines 1a and 1c		
(	(attach detailed explanation) 1 e		
2 /	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	247,930.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3  (for greater amount, see instructions)	4	3,719.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	244,211.
6	Minimum investment return. Enter 5% of line 5	6	12,211.
Part .	XI   Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private op and certain foreign organizations check here   □ and do not complete this part		tions
1	Minimum investment return from Part X, line 6	1	12,211.
2 a	Tax on investment income for 2012 from Part VI, line 5	01.	<u> </u>
b	ncome tax for 2012 (This does not include the tax from Part VI)		
C ,	Add lines 2a and 2b	2 c	201.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	12,010.
4 (	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	12,010.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	12,010.
Part	Qualifying Distributions (see instructions)		
1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes:		
a	Expenses, contributions, gifts, etc – total from Part I, column (d), line 26	1 a	78,500.
b	Program-related investments – total from Part IX-B	1 b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3 a	Amounts set aside for specific charitable projects that satisfy the Suitability test (prior IRS approval required)	3 a	
b	Cash distribution test (attach the required schedule)	3 b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	78 <b>,</b> 500.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	201.
	Adjusted qualifying distributions. Subtract line 5 from line 4	6	78,299.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

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Form **990-PF** (2012)

## Part XIII Undistributed Income (see instructions)

1 Distributable amount for 2012 from Part XI, line 7	12,010.
a Enter amount for 2011 only	· · · · · · · · · · · · · · · · · · ·
b Total for prior years: 20, 20, 20	· "%.à
3 Excess distributions carryover, if any, to 2012	ataki 1617Y
a From 2007 0.	
b From 2008 0.	
<b>c</b> From 2009 0.	
e From 2011 107, 201. f Total of lines 3a through e 107, 201.	
4 Qualifying distributions for 2012 from Part	
XII, line 4· ▶ \$ 78,500.	
a Applied to 2011, but not more than line 2a	
<b>b</b> Applied to undistributed income of prior years	No. of the contract of
(Election required — see instructions)	
c Treated as distributions out of corpus	
(Election required – see instructions)	
d Applied to 2012 distributable amount	12,010.
e Remaining amount distributed out of corpus 66,490.	
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the	
same amount must be shown in column (a) )	
	y yyawa ka
6 Enter the net total of each column as	
indicated below: a Corpus Add lines 3f, 4c, and 4e. Subtract line 5  173, 691.	h al'Abballai
1 7 7 7 7 1 1 2 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3	
b Prior years' undistributed income Subtract line 4b from line 2b	
c Enter the amount of prior years' undistribut-	
ed income for which a notice of deficiency has been issued, or on which the section	
4942(a) tax has been previously assessed	
d Subtract line 6c from line 6b Taxable	
amount – see instructions 0.	
e Undistributed income for 2011 Subtract line 4a from	
line 2a Taxable amount — see instructions  O.	
f Undistributed income for 2012 Subtract lines	
4d and 5 from line 1. This amount must be distributed in 2013	•
7 Amounts treated as distributions out of	<u>0.</u>
corpus to satisfy requirements imposed	
by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	y, 88944. P
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)	
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a 173, 691.	
10 Analysis of line 9·	
a Excess from 2008 0.	
<b>b</b> Excess from 2009 0.	
c Excess from 2010 0.	
<b>d</b> Excess from 2011 107, 201.	
e Excess from 2012 66, 490.	<b>\$</b> \$\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\

Form 990-PF (2012) John and Ellen Z	embrodt Fami	ly Foundatio	n	26-0109820	) Page <b>10</b>		
Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)							
1 a If the foundation has received a ruling or d is effective for 2012, enter the date of the	ruling			_			
<b>b</b> Check box to indicate whether the foundat		rating foundation de		4942(J)(3) or	4942(j)(5)		
2a Enter the lesser of the adjusted net income from Part I or the minimum	Tax year	41.0011	Prior 3 years	T ( n 0000			
investment return from Part X for each year listed	(a) 2012	<b>(b)</b> 2011	(c) 2010	(d) 2009	(e) Total		
<b>b</b> 85% of line 2a							
c Qualifying distributions from Part XII, line 4 for each year listed							
d Amounts included in line 2c not used directly for active conduct of exempt activities							
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c							
3 Complete 3a, b, or c for the alternative test relied upon:							
a 'Assets' alternative test — enter:							
(1) Value of all assets							
<ul><li>(2) Value of assets qualifying under section 4942(j)(3)(B)(i)</li><li>b 'Endowment' alternative test — enter 2/3 of</li></ul>							
minimum investment return shown in Part X, line 6 for each year listed							
c 'Support' alternative test — enter:							
<ol> <li>Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)</li> </ol>							
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(III)							
(3) Largest amount of support from an exempt organization							
(4) Gross investment income							
Part XV Supplementary Information assets at any time during the	(Complete this e vear – see in	s part only if the structions.)	foundation had	\$5,000 or more	in		
1 Information Regarding Foundation Manage a List any managers of the foundation who had close of any tax year (but only if they have John A. Zembrodt, Ellen M.	ers: ave contributed more contributed more	ore than 2% of the to than \$5,000) (See s	section 507(d)(2))	eived by the foundat	ion before the		
<b>b</b> List any managers of the foundation who o	wn 10% or more o	f the stock of a corn	oration (or an equal)	y large portion of the	ownership of		
a partnership or other entity) of which the None	foundation has a 10	% or greater interes	st	y large portion or the	ownership of		
2 Information Regarding Contribution, Gran Check here X if the foundation only n requests for funds If the foundation makes complete items 2a, b, c, and d.	nakes contributions	to preselected char	itable organizations				
a The name, address, and telephone numbe	r or e-mail of the p	erson to whom appli	cations should be ad	ddressed <sup>.</sup>			
<b>b</b> The form in which applications should be s	ubmitted and infor	mation and materials	s they should include	3'			
c Any submission deadlines							
d Any restrictions or limitations on awards, s	uch as by geograp	hical areas, charitab	le fields, kinds of ins	stitutions, or other fac	ctors:		

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Form **990-PF** (2012)

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3 Grants and Contributions P	alu Durii		f recipient is an individual.	Foundation				
		Recipient			show any relationship to any foundation manager or	status of recipient	Purpose of grant or contribution	Amount
Name and address (home of a Paid during the year	or busine	ss)	substantial contributor			-		
Notre Dame Academy								
1699 Hilton Dr.								
Park Hills	KY	41011	None	Public	Bldg. Fund	0.		
St. Agnes Church	1(1	11011	lione	Labite	Drag. rana			
1680 Dixie Hwy								
Ft. Wright	KY	41011	None	Public	Bldg. Fund	50,000.		
St. John Church						·		
627 Pike St.								
Covington	KY	41011	None	Public	Aid to Poor	1,500.		
Food For The Poor, Ir	ıc.							
6401 Lyons Rd.								
Coconut Creek	FL	33073	None	Public	Aid to Poor	4,000.		
Diocesan Catholic Chi	lrens	Home						
75 Orphanage Road								
Ft. Mitchell		41017	None	Public	Bldg. Fund	20,000.		
Our Lady of the Holy	Spiri	t			,			
5440 Moeller Ave.								
Norwood		45212	None	Public	Projects	1,000.		
Journey The Ed Colina	Found	lation						
P O Box 199								
Burlington	KY	41005	None	Public	Aid to Poor	1,000.		
Diocese of Covington								
P O Box 15550		41015				1 000		
Covington	KY	41015	None	Public	Projects	1,000.		
Total					<u>▶ 3a</u>	78,500.		
<b>b</b> Approved for future paymen	t							
					-			
Total		<del></del>	· · · · · · · · · · · · · · · · · · ·		► 3 b			

## Part XVI-A Analysis of Income-Producing Activities

Ente	r gross amounts unless otherwise indicated.	Unrelate	ed business income	Excluded	by section 512, 513, or 514	(e)
1	Program service revenue	(a) Business code	(b) Amount	(c) Exclu- sion code	<b>(d)</b> Amount	Related or exempt function income (See instructions)
ā	1					
ŀ						
•						
•						
•						
f						
ç	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments			14	0.	
4	Dividends and interest from securities			14	2,319.	
5	Net rental income or (loss) from real estate.		30.00	100		
ā	Debt-financed property					
ı	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			14	19,869.	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue:					
á	)					
ł				[		
•						
•						
•	)		_			
12	Subtotal. Add columns (b), (d), and (e)	\$ 35 mm			22,188.	
13	Total. Add line 12, columns (b), (d), and (e)				13	22,188.
(See	worksheet in line 13 instructions to verify calculati	ons )				

# Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
3	Solely grant making entity
4	Solely grant making entity
8	Solely grant making entity

# Form 990-PF (2012) John and Ellen Zembrodt Family Foundation 26-0109820 Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

described relating to a Transfers (1) Cash (2) Other tra	r assets	f the Code (oth ons? foundation to a	er than section 5 noncharitable e	501(c)(3) organiz	zations) (	er organization or in section 52	7,	1a(1) 1a(2) 1b(1)	Yes	No X X
(3) Renta (4) Reim (5) Loan (6) Perfo c Sharing of d If the ans the good	hases of assets from al of facilities, equip abursement arranger s or loan guarantees ormance of services of facilities, equipme swer to any of the ab s, other assets, or section are	ment, or other nents s or membershipent, mailing lists pove is 'Yes,' cervices given b	assets o or fundraising s s, other assets, c omplete the follo y the reporting fo	solicitations or paid employed wing schedule. oundation if the	Column ( foundati	on received les	s than fair market v		of	X X X X X
	saction or sharing ar				<del>,</del>			haring arras	aamanta	
(a) Line no	(b) Amount involved	(c) Name of	f noncharitable exemp	or organization	(d)	Description of trans	sfers, transactions, and s	snaring arran	gements	,
		<del>                                     </del>								
<del>                                     </del>					-					
		-								
	· · · · · · · · · · · · · · · · · · ·			·	<u> </u>	<del> </del>				
				=	<del>                                     </del>					
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İ	*** * **			•		-				
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				<del> </del>					_	
-	<del>_</del>	<del> </del>			<del> </del>	·				
described	undation directly or i d in section 501(c) o complete the followin	f the Code (oth	ted with, or relatiner than section !	ed to, one or mo 501(c)(3)) or in s	ore tax-ex section 5	kempt organizat 27?	tions .	Yes	s [X]	]No
(a)	Name of organization	on	<b>(b)</b> Type	of organization		(c	) Description of rela	ationship		
								_		
Sign correct,	enalties of perjury, I declar and complete Declaration	e that I have exami of preparer (other t	ned this return, includ than taxpayer) is base	ling accompanying so	hedules and of which pre	d statements, and to parer has any knowl	the best of my knowledg ledge	May the this retur	IRS disc	cuss he
lere 9990	ture of officer or trustee	-bt -	I Desposed	5/10/13 Date	— Ti	tle I Date	lee	preparer (see inst X		
	Print/Type preparer's nar		Preparer's s	signature		Date	Check X If			
Paid	Robert A. Sc	hutzman,	CPA Robert	TU SAIT		15/13/13	self-employed	P01358	3991	
Preparer	Firm's name R	obert A.	Schutzman,	CPA		, ,-	Firm's EIN ▶ 61-6	081620		
Jse Only	Firm's address 3	3 Rockhil			<del></del>					
	F	t. Thomas			KY 410	075	Phone no			
BAA								Form <b>99</b>	0-PF (	(2012)

#### Schedule B (Form 990, 990-EZ, or 990-PF)

Department of the Treasury Internal Revenue Service

## **Schedule of Contributors**

► Attach to Form 990, Form 990-EZ, or Form 990-PF

OMB No 1545-0047

2012

Name of the organization		Employer identification number
John and Ellen Zembrodt Fami	ly Foundation	26-0109820
Organization type (check one)		
Filers of:	Section:	
Form 990 or 990-EZ	501(c)() (enter number) organiz	ation
	4947(a)(1) nonexempt charitable trust	not treated as a private foundation
	527 political organization	
Form 990-PF	X 501(c)(3) exempt private foundation	
	4947(a)(1) nonexempt charitable trust	treated as a private foundation
	501(c)(3) taxable private foundation	
Check if your organization is covered by the G	ieneral Rule or a Special Rule	
Note. Only a section 501(c)(7), (8), or (10) org	ganization can check boxes for both the Gener	ral Rule and a Special Rule See instructions
General Rule		
For an organization filing Form 990, 990-E contributor (Complete Parts I and II)	Z, or 990-PF that received, during the year, \$	5,000 or more (in money or property) from any one
Special Rules		
509(a)(1) and 170(b)(1)(A)(vi) and receive	Form 990 or 990-EZ that met the 33-1/3% sup d from any one contributor, during the year, a rt VIII, line 1h or (ii) Form 990-EZ, line 1. Com	contribution of the greater of (1) \$5,000 or
	zation filing Form 990 or 990-EZ that received use <i>exclusively</i> for religious, charitable, scier mals Complete Parts I, II, and III	
contributions for use exclusively for religio	zation filing Form 990 or 990-EZ that received us, charitable, etc, purposes, but these contributions that were received during the ye unless the <b>General Rule</b> applies to this organ	butions did not total to more than \$1,000 ear for an <i>exclusively</i> religious, charitable, etc.
religious, charitable, etc, contributions of \$	5,000 or more during the year	<b>▶</b> \$
Caution: An organization that is not covered by the answer 'No' on Part IV, line 2, of its Form 990; or climeet the filing requirements of Schedule B (Form 990).	heck the box on line H of its Form 990-EZ or on Pa	e Schedule B (Form 990, 990-EZ, or 990-PF) but it <b>must</b> rt I, line 2, of its Form 990-PF, to certify that it does not
BAA For Paperwork Reduction Act Notice, se	ee the Instructions for Form 990, 990EZ,	Schedule <b>B</b> (Form 990, 990-EZ, or 990-PF) (2012)

Name of org	<b>B</b> (Form 990, 990-EZ, or 990-PF) (2012)	Page	1 of 1 of Part 1
•	and Ellen Zembrodt Family Foundation	1	109820
Parkl	Contributors (see instructions) Use duplicate copies of Part I if additional space is need	ded.	
(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	John A. Zembrodt  34 August Ave.  Ft. Wright KY 41011	\$9 <u>,0</u> 00.	Person X Payroll  Noncash  (Complete Part II if there is a noncash contribution )
(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Omnicash Complete Part II if there is a noncash contribution )
(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Complete Part II if there is a noncash contribution.
(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash Complete Part II if there is a noncash contribution )
(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Complete Part II if there is a noncash contribution.)
(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
_		\$	Person Payroll Noncash Complete Part II if there is a noncash contribution )
BAA	TEEA0702 11/30/12	Schedule <b>B</b> (Form 99	<u> </u>  0, 990-EZ, or 990-PF) (2012)

#### Form 990-PF Part I, Line 6a

## **Net Gain or Loss From Sale of Assets**

2012

varne					Employer Identification Number
John and Elle	en Zembrod	t Fami	ly Foundation		26-0109820
Asset Inform	ation:				
Description of Pr	operty.	Fide	lity Investments Acc	t.	
Date Acquired	Various		How Acquired Purcha		
Date Sold:	Various		Name of Buyer		
Sales Price		,202.	Cost or other basis (do not redu	ce by depreciation)	. 650,333.
Sales Expense		,	Valuation Method: Cos	st.	333,333
Total Gain (Loss)	)	19,8	<u>.                                      </u>		
Description of Pr			<del></del>		
Date Acquired			How Acquired		
Date Sold.			Name of Buyer:		
Sales Price			Cost or other basis (do not redu	ce by depreciation)	
Sales Expense			Valuation Method:	co by doprodiction,	<del></del>
Total Gain (Loss)	·		Accumulation Depreciatio	n·	
Description of Pr		<del></del>	Accumulation Depreciatio		
Date Acquired	operty.		How Acquired		
Date Sold			Name of Buyer		
Sales Price.			Cost or other basis (do not redu	ce by depression	
Sales Expense			Valuation Method.	ce by depreciation)	
Total Gain (Loss)	\. <del></del>		Accumulation Depreciatio		
Description of Pr			Accumulation Depreciatio	n:	
	operty		Have Appropriate		
Date Acquired <sup>,</sup> Date Sold,		_	How Acquired		
			Name of Buyer	and the downstrate and	
Sales Price			Cost or other basis (do not redu	ce by depreciation)	
Sales Expense	· ———		Valuation Method		
Total Gain (Loss)			Accumulation Depreciatio	n: 	
Description of Pr	operty.		11	<del></del>	
Date Acquired:			How Acquired		
Date Sold			Name of Buyer		
Sales Price			Cost or other basis (do not redu	ce by depreciation)	
Sales Expense		-	Valuation Method		
Total Gain (Loss)			Accumulation Depreciatio	n	
Description of Pr	operty:		- <del></del>		
Date Acquired		_	How Acquired .		
Date Sold			Name of Buyer		
Sales Price			Cost or other basis (do not redu	ce by depreciation)	
Sales Expense:	<u> </u>		Valuation Method		
Total Gain (Loss)			Accumulation Depreciatio	n:	
Description of Pr	operty.	-	· · · · · · · · · · · · · · · · · · ·		
Date Acquired		_	How Acquired		
Date Sold:			Name of Buyer		
Sales Price			Cost or other basis (do not redu	ce by depreciation)	
Sales Expense			Valuation Method		
Total Gain (Loss)	· ——		Accumulation Depreciatio	n·	
Description of Pr	roperty				
Date Acquired			How Acquired.		
Date Sold:			Name of Buyer		
Sales Price			Cost or other basis (do not redu	ce by depreciation)	
Sales Expense:			Valuation Method:		
Total Gain (Loss)	).		Accumulation Depreciatio	n:	

Form 990-PF, Page 1, Part I, Line 18

Line	18	Stmt	
------	----	------	--

Taxes	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
Excise Tax	0.			
Foreign Tax Paid	5.	5.		

Total

5. 5.

Form 990-PF, Page 1, Part I

#### **Line 16c - Other Professional Fees**

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Fidelity Investments Wells Fargo	Invest Advisor Fee Invest Advisor Fee	1,862. 249.	1,862. 249.		

Total

2,111. 2,111.

Form 990-PF, Page 2, Part II, Line 10b

#### L-10b Stmt

	End of	Year
Line 10b - Investments - Corporate Stock:	Book Value	Fair Market Value
Wells Fargo Advisors	200,658.	203,723.
Total	200,658.	203,723.

Form 990-PF, Page 2, Part II, Line 10c

#### L- 10c Stmt

	End of Year		
Line 10c - Investments - Corporate Bonds:	Book Value	Fair Market Value	
Fidelity Investment	0.	0.	
Total	0.	0.	