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Form **990-PF**Department of the Treasury  
Internal Revenue Service

**CHANGE OF ACCOUNTING PERIOD**  
**Return of Private Foundation**  
 or Section 4947(a)(1) Nonexempt Charitable Trust  
 Treated as a Private Foundation

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No. 1545-0052

**2012**

Open to public inspection

For calendar year 2012 or tax year beginning

**JUN 1, 2012**

, and ending

**DEC 31, 2012**

Name of foundation

**JOHN STAUFFER CHARITABLE TRUST**

A Employer identification number

**23-7434707**

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

**301 N. LAKE AVE., SUITE 1000**

B Telephone number

**626-793-9400**

City or town, state, and ZIP code

**PASADENA, CA 91101-5123**C If exemption application is pending, check here ☐

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeD 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundationE If private foundation status was terminated under section 507(b)(1)(A), check here ☐

I Fair market value of all assets at end of year

(from Part II, col. (c), line 16)

**\$ 44,682,471.** (Part I, column (d) must be on cash basis)

J Accounting method:

☒ Cash☐ Accrual☐ Other (specify) \_\_\_\_\_F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐**Part I Analysis of Revenue and Expenses**

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

Revenue	1	Contributions, gifts, grants, etc., received				N/A	
	2	Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
	3	Interest on savings and temporary cash investments					
	4	Dividends and interest from securities	919,389.	919,389.			STATEMENT 1
	5a	Gross rents					
	b	Net rental income or (loss)					
	6a	Net gain or (loss) from sale of assets not on line 10	19,956.				
	b	Gross sales price for all assets on line 6a	4,241,877.				
	7	Capital gain net income (from Part IV, line 2)		19,956.			
	8	Net short-term capital gain					
	9	Income modifications					
	10a	Gross sales less returns and allowances					
Operating and Administrative Expenses	b	Less Cost of goods sold					
	c	Gross profit or (loss)					
	11	Other income					
	12	Total. Add lines 1 through 11	939,345.	939,345.			
	13	Compensation of officers, directors, trustees, etc	198,414.	79,365.			119,049.
	14	Other employee salaries and wages					
	15	Pension plans, employee benefits					
	16a	Legal fees	STMT 2	11,606.	8,821.		2,785.
	b	Accounting fees	STMT 3	3,500.	3,150.		350.
	c	Other professional fees	STMT 4	61,234.	61,234.		0.
	17	Interest					
	18	Taxes	STMT 5	16,682.	16,602.		80.
	19	Depreciation and depletion					
	20	Occupancy					
	21	Travel, conferences, and meetings					
	22	Printing and publications					
	23	Other expenses	STMT 6	43,490.	43,490.		0.
	24	Total operating and administrative expenses. Add lines 13 through 23		334,926.	212,662.		122,264.
	25	Contributions, gifts, grants paid		700,000.			700,000.
	26	Total expenses and disbursements. Add lines 24 and 25		1,034,926.	212,662.		822,264.
	27	Subtract line 26 from line 12:					
	a	Excess of revenue over expenses and disbursements		<95,581.>			
	b	Net investment income (if negative, enter -0-)			726,683.		
	c	Adjusted net income (if negative, enter -0-)				N/A	

223501  
12-05-12

LHA For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** (2012)GW  
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**Part II** Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	812,689.	547,259.	547,259.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations STMT 7	6,491,222.	5,816,766.	6,139,051.
	b Investments - corporate stock STMT 8	21,028,882.	20,954,257.	29,384,395.
	c Investments - corporate bonds STMT 9	7,323,940.	8,247,232.	8,542,859.
11 Investments - land, buildings, and equipment: basis ▶				
Less: accumulated depreciation ▶				
12 Investments - mortgage loans STMT 10	33,465.	28,623.	32,169.	
13 Investments - other STMT 11	25,756.	0.	0.	
14 Land, buildings, and equipment: basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶ STATEMENT 12)	10,502.	36,738.	36,738.	
16 <b>Total assets</b> (to be completed by all filers)	35,726,456.	35,630,875.	44,682,471.	
<b>Liabilities</b>	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ )			
	23 <b>Total liabilities</b> (add lines 17 through 22)	0.	0.	
<b>Net Assets or Fund Balances</b>	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
	and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	35,726,456.	35,630,875.	
28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.		
29 Retained earnings, accumulated income, endowment, or other funds	0.	0.		
30 <b>Total net assets or fund balances</b>	35,726,456.	35,630,875.		
31 <b>Total liabilities and net assets/fund balances</b>	35,726,456.	35,630,875.		

**Part III** Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	35,726,456.
2 Enter amount from Part I, line 27a	2	<95,581.>
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	35,630,875.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	35,630,875.

**Part IV Capital Gains and Losses for Tax-on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a GAIN ON SALE OF ASSETS-SEE ATTACHED</b>	P	VARIOUS	12/31/12
<b>b LOSS ON SALE OF ASSETS-SEE ATTACHED</b>	P	VARIOUS	12/31/12
<b>c CAPITAL GAINS DIVIDENDS</b>			
<b>d</b>			
<b>e</b>			

  

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> 3,339,179.		3,112,375.	226,804.
<b>b</b> 899,815.		1,109,546.	<209,731.>
<b>c</b> 2,883.			2,883.
<b>d</b>			
<b>e</b>			

  

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
<b>a</b>			226,804.
<b>b</b>			<209,731.>
<b>c</b>			2,883.
<b>d</b>			
<b>e</b>			

  

<b>2</b> Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	19,956.
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 }	3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	1,092,606.	41,158,412.	.026546
2010	1,399,377.	39,184,712.	.035712
2009	2,015,326.	37,248,434.	.054105
2008	577,144.	37,098,222.	.015557
2007	2,615,964.	45,734,784.	.057199

  

<b>2</b> Total of line 1, column (d)	2	.189119
<b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.037824
<b>4</b> Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	50,865,701.
<b>5</b> Multiply line 4 by line 3	5	1,923,944.
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	6	7,267.
<b>7</b> Add lines 5 and 6	7	1,931,211.
<b>8</b> Enter qualifying distributions from Part XII, line 4	8	822,264.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	14,534.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	14,534.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	14,534.
6 Credits/Payments:			
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a	18,040.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	18,040.	
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	3,506.	
11 Enter the amount of line 10 to be: Credited to 2013 estimated tax <input type="checkbox"/> 3,506. Refunded <input type="checkbox"/>	11	0.	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> CA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Form 990-PF (2012)

**Part VII-A** Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>WWW.GUIDESTAR.ORG</u>	13	X	
14	The books are in care of ► <u>LAGERLOF, SENEAL, ET AL</u> Telephone no. ► <u>626-793-9400</u> Located at ► <u>301 N. LAKE AVENUE, SUITE 1000, PASADENA, CA</u> ZIP+4 ► <u>91101-5123</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here		X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? If "Yes," list the years ►	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?		X

Form 990-PF (2012)

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MICHAEL R. WHALEN	TRUSTEE			
531 S. MARENGO AVENUE	VARIABLE TO 10	66,138.	0.	0.
PASADENA, CA 91101-3114				
JOHN F. BRADLEY	TRUSTEE			
180 SOUTH LAKE AVENUE, SUITE 440	VARIABLE TO 10	66,138.	0.	0.
PASADENA, CA 91101				
TIMOTHY J. GOSNEY	TRUSTEE			
301 N. LAKE AVENUE, SUITE 1000	VARIABLE TO 10	66,138.	0.	0.
PASADENA, CA 91101-5123				

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2012)





**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	50,940,793.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	699,511.
<b>c</b>	Fair market value of all other assets	<b>1c</b>	2.
<b>d</b>	Total (add lines 1a, b, and c)	<b>1d</b>	51,640,306.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	0.
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0.
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	51,640,306.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	774,605.
<b>5</b>	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	50,865,701.
<b>6</b>	Minimum investment return. Enter 5% of line 5 <b>ADJUSTED FOR SHORT TAX PERIOD</b>	<b>6</b>	1,491,128.

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	1,491,128.
<b>2a</b>	Tax on investment income for 2012 from Part VI, line 5	<b>2a</b>	14,534.
<b>b</b>	Income tax for 2012. (This does not include the tax from Part VI.)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	14,534.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	1,476,594.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	0.
<b>5</b>	Add lines 3 and 4	<b>5</b>	1,476,594.
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	0.
<b>7</b>	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	1,476,594.

**Part XII** Qualifying Distributions (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	822,264.
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	0.
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	822,264.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	<b>5</b>	0.
<b>6</b>	Adjusted qualifying distributions. Subtract line 5 from line 4	<b>6</b>	822,264.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2012)

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				1,476,594.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			419,861.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2012:				
a From 2007				
b From 2008				
c From 2009				
d From 2010				
e From 2011				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2012 from Part XII, line 4: ► \$ 822,264.				
a Applied to 2011, but not more than line 2a			419,861.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2012 distributable amount				402,403.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:	0.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				1,074,191.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2008				
b Excess from 2009				
c Excess from 2010				
d Excess from 2011				
e Excess from 2012				

N/A

	4942(1)(3) or		4942(1)(5)
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**(4) Gross investment income**

[illegible]

**Part XV** Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution * *	Amount
<b>a Paid during the year</b>				
CALIFORNIA INSTITUTE OF TECHNOLOGY 1200 EAST CALIFORNIA BLVD. PASADENA, CA 91125	NONE	UNIVERSITY	CONSTRUCTION OF RESEARCH LABORATORY IN NEW CHEMISTRY BUILDING	500,000.
GOOD SAMARITAN HOSPITAL 1225 WILSHIRE BLVD. LOS ANGELES, CA 90017	NONE	HOSPITAL	CREATION OF A PERIPHERAL ARTERY DISEASE (PAD) CARDIOLOGY LAB	200,000.
<b>Total</b>			<b>3a</b>	700,000.
<b>b Approved for future payment</b>				
CALIFORNIA LUTHERAN UNIVERSITY 60 WEST OLSEN ROAD THOUSAND OAKS, CA 91360-2787	NONE	UNIVERSITY	1:1 CHALLENGE GRANT - ENDOWMENT FOR SUMMER RESEARCH PROGRAM IN CHEMISTRY	500,000.
CLAREMONT MCKENNA COLLEGE 10TH & N. COLLEGE AVE. CLAREMONT, CA 91711-6420	NONE	COLLEGE	1:1 CHALLENGE GRANT - ENDOWMENT FOR UNDERGRADUATE RESEARCH PROGRAM IN CHEMISTRY FOR STUDENTS IN THE	500,000.
HARVEY MUDD COLLEGE 301 PLATT BLVD. CLAREMONT, CA 91711	NONE	COLLEGE	SUMMER RESEARCH FELLOWSHIP IN CHEMISTRY	150,000.
<b>Total</b>			<b>3b</b>	4,650,000.

SEE CONTINUATION SHEET(S)

Form 990-PF (2012)





**Part XV** Supplementary Information**3 Grants and Contributions Approved for Future Payment (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
METHODIST HOSPITAL OF SOUTHERN CALIFORNIA, ARCADIA 300 W. HUNTINGTON DR., P.O. BOX 60016 ARCADIA, CA 91066-6016	NONE	HOSPITAL	PARTIALLY FUND COST OF PURCHASING, INSTALLING AND IMPLEMENTING A 160-SLICE CT SCANNER	500,000.
POMONA COLLEGE 550 N. COLLEGE AVE. CLAREMONT, CA 91711	NONE	COLLEGE	1:2 CHALLENGE GRANT - ENDOWMENT FOR SUMMER RESEARCH PROGRAM IN CHEMISTRY	500,000.
SCRIPPS COLLEGE 1030 COLUMBIA AVE. CLAREMONT, CA 91711-3948	NONE	COLLEGE	1:1 CHALLENGE GRANT - ENDOWMENT FOR SUMMER RESEARCH PROGRAM IN CHEMISTRY	500,000.
U.C. BERKELEY, BERKELEY, CA 420 LATIMER HALL BERKELEY, CA 94720-1460	NONE	UNIVERSITY	1:1 CHALLENGE MATCHING GRANT TO FUND ENDOWMENT FOR UNDERGRADUATE SUMMER SCIENCE RESEARCH IN	500,000.
UNIVERSITY OF REDLANDS P.O BOX 3080 REDLANDS, CA 92373-0999	NONE	UNIVERSITY	1:2 LEAD GRANT TO CREATE RESEARCH ENDOWMENT FOR SUMMER RESEARCH PROGRAM	1,000,000.
WESTMONT COLLEGE 955 LA PAZ ROAD SANTA BARBARA, CA 93108-1089	NONE	COLLEGE	CHALLENGE GRANT FOR ENDOWMENT OF SUMMER RESEARCH PROGRAM IN CHEMISTRY	500,000.
<b>Total from continuation sheets</b>				<b>3,500,000.</b>

**Part XV** Supplementary Information

3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - CLAREMONT MCKENNA COLLEGE

1:1 CHALLENGE GRANT - ENDOWMENT FOR UNDERGRADUATE RESEARCH PROGRAM IN  
CHEMISTRY FOR STUDENTS IN THE W.M. KECK SCIENCE DEPT.

NAME OF RECIPIENT - U.C. BERKELEY, BERKELEY, CA

1:1 CHALLENGE MATCHING GRANT TO FUND ENDOWMENT FOR UNDERGRADUATE SUMMER  
SCIENCE RESEARCH IN CHEMISTRY



**JOHN STAUFFER CHARITABLE TRUST**

**Gains on Sales of Assets**

**For the Period 06/01/12 - 12/31/12**

**STOCKS**

<u>Date Sold</u>	<u>Date Acq'd</u>	<u>Description</u>	<u>Sales Proceeds</u>	<u>Carrying Value</u>	<u>Gain</u>
10/30/2012	n/a	settlement AOL Time Warner	\$20.36	\$0.00	\$20.36
10/09/2012	10/11/2007	5,260.5499 Shares CIA Ener De Minas	64,007.06	63,469.85	537.21
10/09/2012	10/30/2007	7,014.0666 Shares CIA Ener De Minas	85,342.74	84,009.95	1,332.79
10/09/2012	02/01/2008	5,713.1666 Shares CIA Ener De Minas	69,514.20	51,579.60	17,934.60
10/09/2012	02/26/2008	1,753.5166 Shares CIA Ener De Minas	21,335.69	19,051.95	2,283.74
10/11/2012	02/01/2008	11,822.0 Shares CIA Ener De Minas	143,588.48	106,731.35	36,857.13
12/12/2011	08/09/2011	9 Shares Procter & Gamble Co.	628.43	534.81	93.62
12/27/2011	10/01/2007	404 Shares Procter & Gamble Co.	28,209.48	24,078.40	4,131.08
12/27/2011	10/01/2007	350 Shares Procter & Gamble Co.	24,438.91	20,779.05	3,659.86
01/10/2012	10/01/2007	354 Shares Procter & Gamble Co.	24,718.21	21,063.00	3,655.21
03/02/2012	10/01/2007	649 Shares Procter & Gamble Co.	45,316.72	38,820.65	6,496.07
03/02/2012	10/02/2007	1,234 Shares Procter & Gamble Co.	86,164.61	73,728.17	12,436.44
12/17/2012	05/05/2009	2,500 Shares Yum Brands	172,433.54	88,008.70	84,424.84
12/31/2012	07/12/2011	1,500 Shares Yum Brands	103,460.13	52,107.87	51,352.26
<b><u>Total Stocks</u></b>			<b>\$869,178.56</b>	<b>\$643,963.35</b>	<b>\$225,215.21</b>

**JOHN STAUFFER CHARITABLE TRUST**

**Gains on Sales of Assets**

**For the Period 06/01/12 - 12/31/12**

**BONDS & TREASURY NOTES**

<u>Date Sold</u>	<u>Date Acq'd</u>	<u>Description</u>	<u>Sales Proceeds</u>	<u>Carrying Value</u>	<u>Gain</u>
10/15/2012	02/07/2008	\$250,000 Par Value - Due 10/15/2012 Clorox Notes	250,000.00	250,000.00	0.00
08/01/2012	10/19/2011	\$300,000 Par Value - Due 08/01/2012 Enterprise Prod. Notes	300,000.00	300,000.00	0.00
07/27/2012	07/22/2010	\$500,000 Par Value - Due 01/27/2016 Federal Farm Credit Bank 3.6%	500,000.00	499,833.70	166.30
09/01/2012	03/18/2023	\$200,000 Par Value - Due 09/01/2012 Goldman Sachs	200,000.00	200,000.00	0.00
06/01/2012	07/08/2010	\$250,000 Par Value - Due 06/01/2015 KC Strathern Rail	260,000.00	258,578.67	1,421.33
03/15/2012	02/15/2005	\$280,000 Par Value - Due 03/15/2012 MBNA Corp.	280,000.00	280,000.00	0.00
10/01/2012	09/21/2009	\$500,000 Par Value - Due 10/01/2012 Midamerican Ener. Sr. Notes	500,000.00	500,000.00	0.00
08/15/2012	05/31/2006	\$180,000 Par Value - Due 08/12/2012 U.S. Treasury Notes	180,000.00	180,000.00	0.00
<u>Total Bonds</u>			<u>\$2,470,000.00</u>	<u>\$2,468,412.37</u>	<u>\$1,587.63</u>
<b>TOTAL GAINS ON SALES OF ASSETS</b>					<u><u><u>\$226,802.84</u></u></u>

**JOHN STAUFFER CHARITABLE TRUST**

**Losses on Sales of Assets**

**For the Period 06/01/12 - 12/31/12**

<u>Date Sold</u>	<u>Date Acq'd</u>	<u>Description</u>	<u>Sales Proceeds</u>	<u>Carrying Value</u>	<u>Loss</u>
10/09/2012	10/08/2007	5,528.70 Shares CIA Ener De Minas	\$63,984.55	\$64,496.27	\$511.72
06/15/2012	10/01/2004	950 Shares Cisco Sys.	16,178.24	18,056.53	1,878.29
06/15/2012	10/01/2004	7,050 Shares Cisco Sys.	120,058.80	133,998.47	13,939.67
06/15/2012	06/05/2007	5,000 Shares Cisco Sys.	85,148.09	135,659.95	50,511.86
06/15/2012	08/03/2007	2,000 Shares Cisco Sys.	34,059.24	59,259.95	25,200.71
06/15/2012	08/08/2007	2,000 Shares Cisco Sys.	34,059.24	57,809.95	23,750.71
06/15/2012	02/04/2008	3,000 Shares Cisco Sys.	51,088.85	71,889.95	20,801.10
06/15/2012	04/24/2008	5,000 Shares Cisco Sys.	85,148.09	131,058.95	45,910.86
			<u>\$489,725.10</u>	<u>\$672,230.02</u>	<u>\$182,504.92</u>

**BONDS AND TREASURY NOTES**

<u>Date Sold</u>		<u>Description</u>	<u>Sales Proceeds</u>	<u>Carrying Value</u>	<u>Loss</u>
09/05/2012	03/20/2012	\$100,000 Due: 06/01/2016 Host Hotels Resort 6.75%	102,250.00	103,098.48	848.48
12/31/2012	03/20/2012	\$53,000 Due: 06/01/2016 Host Hotels Resort 6.75%	54,192.50	54,501.90	309.40
08/27/2012	11/01/2010	\$153,000 Due: 03/15/2015 Host Marriott Bonds 6.375%	154,626.39	155,432.49	806.10
09/05/2012	11/01/2010	\$97,000 Due: 03/15/2015 Host Marriott Bonds 6.375%	98,031.11	98,527.06	495.95
<u>Total Bonds and Treasury Notes</u>			<u>\$409,100.00</u>	<u>\$411,559.93</u>	<u>\$2,459.93</u>

**Other Income/Loss**

	Endowment & Foundation Realty Ltd. JMB IV 07/09/2012		<u>Sales Proceeds</u>	<u>Carrying Value</u>	<u>Loss</u>
<u>Total Other Income/Loss</u>			<u>990.45</u>	<u>25,756.07</u>	<u>24,765.62</u>
<b><u>TOTAL LOSSES ON SALES OF ASSETS</u></b>					<u><u>\$209,730.47</u></u>

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
DIVIDENDS AND INTEREST FROM SECURITIES	922,272.	2,883.	919,389.
TOTAL TO FM 990-PF, PART I, LN 4	922,272.	2,883.	919,389.

FORM 990-PF	LEGAL FEES			STATEMENT	2
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
LAGERLOF, SENEAL, GOSNEY & KRUSE, LLP	11,606.	8,821.		2,785.	
TO FM 990-PF, PG 1, LN 16A	11,606.	8,821.		2,785.	

FORM 990-PF	ACCOUNTING FEES			STATEMENT	3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
MARTIN WERBELOW LLP	3,500.	3,150.		350.	
TO FORM 990-PF, PG 1, LN 16B	3,500.	3,150.		350.	

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
EVERETT HARRIS & CO.	61,234.	61,234.		0.	
TO FORM 990-PF, PG 1, LN 16C	61,234.	61,234.		0.	

## FORM 990-PF

## TAXES

## STATEMENT

5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FRANCHISE TAX BOARD	10.	5.		5.
FOREIGN TAXES	3,921.	3,921.		0.
CA ATTORNEY GENERAL	150.	75.		75.
FEDERAL TAX ESTIMATES	12,601.	12,601.		0.
TO FORM 990-PF, PG 1, LN 18	16,682.	16,602.		80.

## FORM 990-PF

## OTHER EXPENSES

## STATEMENT

6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
AMORTIZATION	40,993.	40,993.		0.
ADR PASS THRU FEES	1,286.	1,286.		0.
CHECK PRINTING CHARGES	43.	43.		0.
LIABILITY INSURANCE	773.	773.		0.
FILING FEE	395.	395.		0.
TO FORM 990-PF, PG 1, LN 23	43,490.	43,490.		0.

## FORM 990-PF

## U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS

## STATEMENT

7

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
US TREASURY NOTE 4.375% DUE 08/15/12	X		0.	0.
US TREASURY NOTE 4.0% DUE 2/15/14	X		597,488.	625,406.
US TREASURY NOTE 5.125% DUE 5/15/16	X		249,697.	289,062.
US TREASURY NOTE 4.25% DUE 8/15/15	X		595,303.	661,125.
US TREASURY NOTE 4.5% DUE 2/15/16	X		223,364.	253,547.
US TREASURY NOTE 4.25% DUE 11/15/13	X		413,649.	429,590.
FED HOME LOAN BANKS 5.25% DUE 9/13/13	X		200,350.	207,067.

## JOHN STAUFFER CHARITABLE TRUST

23-7434707

FEDERAL FARM CREDIT BANK NOTES 2.6% DUE 02/19/13	X	500,180.	501,615.
FED HOME LOAN BANK NOTES 2.93% DUE 03/11/14	X	500,989.	515,973.
US TREASURY NOTE 7.25% DUE 05/15/16	X	304,035.	349,838.
FEDERAL HOME LOAN BANK NOTES 3.125% DUE 12/13/13	X	480,926.	493,263.
CALIFORNIA STATE GO 4.85% DUE 10/01/14	X	251,113.	265,750.
FEDERAL FARM CREDIT BANK NOTES 2.9% DUE 1/5/15	X	499,684.	525,384.
FEDERAL HOME LOAN BANK NOTES 1.5% DUE 1/16/13	X	499,981.	500,273.
FEDERAL HOME LOAN BANK NOTES 3.125% DUE 7/7/14	X	500,007.	521,158.
FEDERAL HOME LOAN BANK NOTES 2.0% DUE 1/27/16	X	0.	0.
TOTAL U.S. GOVERNMENT OBLIGATIONS		5,565,653.	5,873,301.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS		251,113.	265,750.
TOTAL TO FORM 990-PF, PART II, LINE 10A		5,816,766.	6,139,051.

## FORM 990-PF CORPORATE STOCK STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
ABBOTT LABORATORIES	415,368.	589,500.
CISCO SYSTEMS	0.	0.
GENERAL ELECTRIC CO.	733,419.	839,600.
GOOGLE, INC.	627,719.	1,244,989.
JOHNSON & JOHNSON	636,336.	911,300.
PEPSICO, INC.	730,238.	889,590.
PROCTOR & GAMBLE CO.	391,824.	475,230.
UNITED PARCEL SERVICE CLASS B	797,617.	811,030.
MICROSOFT CORP	649,806.	614,323.
WAL-MART STORES, INC.	947,721.	1,432,830.
APPLE INC.	219,531.	1,117,563.
BAXTER INTERNATIONAL	479,471.	666,600.
COSTCO WHOLESALE CORP	785,711.	1,480,950.
MCDONALDS CORP	858,479.	1,587,780.
1/100 BERKSHIRE HATHAWAY	891,464.	1,072,480.
CHEVRON CORP	685,147.	865,120.
CIA ENER DE MINAS ADR F	0.	0.
CONOCOPHILLIPS	778,427.	869,850.
NESTLE	1,039,078.	1,629,250.
NOVO-NORDISK A-S ADR F	364,325.	1,142,470.
UNILEVER N V NY SHS	806,584.	968,000.
VODAFONE GROUP NEW ADR F	752,427.	692,725.

WAL-MART DE MEX SAB ADRF	439,835.	852,280.
YUM BRANDS INC	357,074.	730,400.
SPDR GOLD TRUST	362,206.	648,082.
CHINA MOBILE LTD	946,614.	1,115,680.
ZIMMER HOLDINGS	640,642.	733,260.
COLGATE-PALMOLIVE	389,130.	522,700.
LAB CP OF AMERICA HLDG NEW	558,107.	606,340.
NOVARTIS AG	487,808.	555,014.
SYSCO CORPORATION	590,599.	633,200.
TARGET CORPORATION	244,907.	295,850.
TEMPLETON GLOBAL INCM FD	104,992.	94,400.
NIKE INC CLASS B	527,453.	619,200.
PHLLIPS 66	231,337.	398,250.
WALT DISNEY CO.	298,471.	497,900.
IBM	811,764.	766,200.
QUALCOMM INC.	372,626.	414,459.
TOTAL TO FORM 990-PF, PART II, LINE 10B	20,954,257.	29,384,395.

FORM 990-PF	CORPORATE BONDS	STATEMENT	9
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
BELLSOUTH CORP. 5.2% DUE 12/15/16	179,927.	206,984.
GOLDMAN SACHS 5.7% DUE 9/1/12	0.	0.
MORGAN STANLEY CO. 5.3% DUE 3/1/13	250,107.	251,693.
CLOROX 5.45% DUE 10/15/12	0.	0.
BERKSHIRE HATHAWAY FIN NOTES 5.00% DUE 08/15/13	252,315.	257,106.
GENERAL ELECTRIC CAP. CORP. 4.8% DUE 05/01/13	249,584.	253,568.
GENERAL MILLS 5.25% DUE 08/15/13	252,227.	257,196.
COMCAST CORP. NEW 6.5% DUE 01/15/15	254,416.	278,657.
MIDAMERICAN ENERGY SR. NOTE 5.875% DUE 10/1/12	0.	0.
AES CORP 8% DUE 10/15/17	265,471.	288,750.
BRINKER INT'L 5.75% DUE 6/1/14	283,117.	285,805.
CAMPBELL SOUP 3.375% DUE 8/15/14	204,610.	209,128.
COMCAST 4.95% DUE 6/15/16	264,284.	282,282.
ENTERPRISE PRODS 3.2% DUE 2/1/16	250,204.	264,966.
HOST MARRIOTT 6.375% DUE 3/15/15	0.	0.
KC STRATHERN RAIL 8.00% DUE 6/1/15	0.	0.
PITNEY BOWES 4.875% DUE 8/15/14	255,492.	262,207.
SARA LEE 2.75% DUE 9/15/15	252,290.	258,129.
VODAFONE GROUP 4.15% DUE 6/10/14	256,257.	262,275.
YUM BRANDS 3.875% DUE 11/1/20	241,610.	272,031.
AMERICAN INTERNATIONAL GROUP 4.25 DUE 09/15/14	249,252.	263,463.
AMGEN INC 2.3% DUE 06/15/15	247,038.	260,103.
AMGEN INC 3.875 DUE 11/15/21	247,628.	274,427.
BANK OF AMERICA NOTES 6.25% DUE 05/15/16	306,488.	331,036.
ENERGY TRANSFER NOTES 6% DUE 07/01/13	254,279.	256,264.
ENTERPRISE PRODS 4.6% DUE 08/01/12	0.	0.
HOST HOTELS RESORT BONDS 6.75% DUE 06/01/16	356,833.	355,241.

ENERGIZER HOLDING 4.7% DUE 05/19/21 -	266,378.	266,739.
FORD MOTOR CREDIT NOTES 5.0% DUE 05/15/18	266,088.	275,991.
FRESENIUS MEDIC NOTES 6.875% DUE 07/15/17	287,336.	286,158.
GENERAL ELECTRIC CAP FLT PERP BONDS DUE PERP BONDS DUE PERP MTY	512,821.	538,956.
INT'L LEASE FIN NOTES 5.75% DUE 05/15/16	263,410.	263,125.
LABORATORY CORP O NOTES 2.2% DUE 08/23/17	256,405.	256,089.
LEUCADIA NAT'L CORP SR NOTES 7.0% DUE 08/15/13	181,122.	180,469.
SAFEWAY INC NOTES 6.25% DUE 03/15/14	315,433.	316,721.
SPRINT NEXTEL CORP 6.0% DUE 12/1/16	326,250.	327,800.
WACHOVIA CAP FLT 1976 NOTES DUE PERP MTY	198,560.	199,500.
TOTAL TO FORM 990-PF, PART II, LINE 10C	8,247,232.	8,542,859.

FORM 990-PF	MORTGAGE LOANS	STATEMENT	10
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
GNMA 8.00% DUE 10/15/24	303.	310.
FNMA 7.50% DUE 10/1/24	3,779.	4,321.
GNMA II 6.00% DUE 12/20/28	19,658.	22,136.
FNMA 7.00% DUE 4/1/31	2,136.	2,458.
FNMA 6.5% DUE 6/1/16	2,747.	2,944.
TOTAL TO FORM 990-PF, PART II, LINE 12	28,623.	32,169.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	11
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
ENDOWMENT & FDTN REALTY LTD-JMB IV	FMV	0.	0.
TOTAL TO FORM 990-PF, PART II, LINE 13		0.	0.



FORM 990-PF	OTHER ASSETS		STATEMENT 12
DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
MINERAL RIGHTS	2.	2.	2.
PREPAID INTEREST	10,500.	36,736.	36,736.
TO FORM 990-PF, PART II, LINE 15	10,502.	36,738.	36,738.

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION  
PART XV, LINES 2A THROUGH 2D

STATEMENT 13

## NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

TIMOTHY GOSNEY  
301 N. LAKE AVENUE, SUITE 1000  
PASADENA, CA 91101-5123

## TELEPHONE NUMBER

626-793-9400

## EMAIL ADDRESS

TGOSNEY@LAGERLOF.COM

## FORM AND CONTENT OF APPLICATIONS

A LETTER STATING THE PURPOSE OF THE APPLICATION AND AMOUNT, TAX EXEMPT  
STATUS FROM IRS AND STATE AUTHORITIES AND IRS PRIVATE FOUNDATION RULING.  
GUIDELINES ARE AVAILABLE UPON REQUEST.

## ANY SUBMISSION DEADLINES

NONE

## RESTRICTIONS AND LIMITATIONS ON AWARDS

AWARDS MADE TO HOSPITALS, COLLEGES AND UNIVERSITIES IN CALIFORNIA.

# Application for Extension of Time To File an Exempt Organization Return

OMB No 1545-1709

► File a separate application for each return.

- If you are filing for an Automatic 3-Month Extension, complete only Part I and check this box ☒
- If you are filing for an Additional (Not Automatic) 3-Month Extension, complete only Part II (on page 2 of this form).

**Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868.

**Electronic filing (e-file).** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on *e-file for Charities & Nonprofits*.

## Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print	Name of exempt organization or other filer, see instructions <b>JOHN STAUFFER CHARITABLE TRUST</b>	Employer identification number (EIN) or <b>23-7434707</b>
File by the due date for filing your return. See instructions	Number, street, and room or suite no. If a P.O. box, see instructions <b>301 N. LAKE AVE., SUITE 1000</b>	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions <b>PASADENA, CA 91101-5123</b>	

Enter the Return code for the return that this application is for (file a separate application for each return)

**04**

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

**LAGERLOF, SENEAL, ET AL**

- The books are in the care of ► **301 N. LAKE AVENUE, SUITE 1000 - PASADENA, CA 91101-5123**  
Telephone No ► **626-793-9400** FAX No ► **626-793-5900**

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2013**, to file the exempt organization return for the organization named above. The extension is for the organization's return for.
- ☐ calendar year \_\_\_\_\_ or
- ☒ tax year beginning **JUN 1, 2012**, and ending **DEC 31, 2012**.

- 2 If the tax year entered in line 1 is for less than 12 months, check reason. ☐ Initial return ☐ Final return
- ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	3a	\$	<b>15,000.</b>
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	<b>18,040.</b>
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	3c	\$	<b>0.</b>

**Caution.** If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA For Privacy Act and Paperwork Reduction Act Notice, see instructions.

Form 8868 (Rev. 1-2013)