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Form **990-PF****Return of Private Foundation**  
or Section 4947(a)(1) Nonexempt Charitable Trust

OMB No 1545-0052

**2012**

Open to Public Inspection

Department of the Treasury  
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2012 or tax year beginning 01/01/2013 and ending 12/31/2013

Name of foundation <b>U OF M-OH &amp; SD WANGENSTEEN FUND</b>		A Employer identification number <b>23-7097366</b>
Number and street (or P O box number if mail is not delivered to street address) <b>Wells Fargo Bank N.A. - 101N Independence Mall E-MACY-1372-062</b>		B Telephone number (see instructions) <b>(888) 730-4933</b>
City or town, state, and ZIP code <b>Philadelphia PA 19106-2112</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input checked="" type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) <b>\$ 220,894</b>	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>				
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	5,765	5,677		
5 a Gross rents				
b Net rental income or (loss)				
6 a Net gain or (loss) from sale of assets not on line 10	4,471			
b Gross sales price for all assets on line 6a <b>89,127</b>				
7 Capital gain net income (from Part IV, line 2)		4,471		
8 Net short-term capital gain				
9 Income modifications				
10 a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)				
12 <b>Total.</b> Add lines 1 through 11	10,236	10,148	0	
<b>Operating and Administrative Expenses</b>				
13 Compensation of officers, directors, trustees, etc	3,230	2,423		807
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16 a Legal fees (attach schedule)				
b Accounting fees (attach schedule)	800			800
c Other professional fees (attach schedule)				
17 Interest				
18 Taxes (attach schedule) (see instructions)	58	58		
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule)	25			25
24 <b>Total operating and administrative expenses.</b> Add lines 13 through 23	4,113	2,481	0	1,632
25 Contributions, gifts, grants paid	8,686			8,686
26 <b>Total expenses and disbursements.</b> Add lines 24 and 25	12,799	2,481	0	10,318
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements	-2,563			
b Net investment income (if negative, enter -0-)		7,667		
c <b>Adjusted net income</b> (if negative, enter -0-)			0	

For Paperwork Reduction Act Notice, see instructions.

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<b>Part II Balance Sheets</b> Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	<b>1</b> Cash—non-interest-bearing	213	416	416
	<b>2</b> Savings and temporary cash investments	7,241	7,755	7,755
	<b>3</b> Accounts receivable <input type="checkbox"/> ..... Less allowance for doubtful accounts <input type="checkbox"/> .....			
	<b>4</b> Pledges receivable <input type="checkbox"/> ..... Less allowance for doubtful accounts <input type="checkbox"/> .....			
	<b>5</b> Grants receivable			
	<b>6</b> Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	<b>7</b> Other notes and loans receivable (attach schedule) <input type="checkbox"/> ..... Less allowance for doubtful accounts <input type="checkbox"/> .....			
	<b>8</b> Inventories for sale or use			
	<b>9</b> Prepaid expenses and deferred charges			
	<b>10 a</b> Investments—U S and state government obligations (attach schedule)			
	<b>b</b> Investments—corporate stock (attach schedule)			
	<b>c</b> Investments—corporate bonds (attach schedule)			
	<b>11</b> Investments—land, buildings, and equipment basis <input type="checkbox"/> ..... Less accumulated depreciation (attach schedule) <input type="checkbox"/> .....			
	<b>12</b> Investments—mortgage loans			
	<b>13</b> Investments—other (attach schedule)	188,407	184,648	212,722
	<b>14</b> Land, buildings, and equipment basis <input type="checkbox"/> ..... Less accumulated depreciation (attach schedule) <input type="checkbox"/> .....			
	<b>15</b> Other assets (describe <input type="checkbox"/> ..... )			
	<b>16</b> <b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	195,861	192,819	220,893
<b>Liabilities</b>	<b>17</b> Accounts payable and accrued expenses			
	<b>18</b> Grants payable			
	<b>19</b> Deferred revenue			
	<b>20</b> Loans from officers, directors, trustees, and other disqualified persons			
	<b>21</b> Mortgages and other notes payable (attach schedule)			
	<b>22</b> Other liabilities (describe <input type="checkbox"/> ..... )			
	<b>23</b> <b>Total liabilities</b> (add lines 17 through 22)	0	0	
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.</b> <input type="checkbox"/>			
	<b>24</b> Unrestricted			
	<b>25</b> Temporarily restricted			
	<b>26</b> Permanently restricted			
	<b>Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.</b> <input checked="" type="checkbox"/>			
	<b>27</b> Capital stock, trust principal, or current funds	195,861	192,819	
	<b>28</b> Paid-in or capital surplus, or land, bldg, and equipment fund			
<b>Net Assets or Fund Balances</b>	<b>29</b> Retained earnings, accumulated income, endowment, or other funds			
	<b>30</b> <b>Total net assets or fund balances</b> (see instructions)	195,861	192,819	
	<b>31</b> <b>Total liabilities and net assets/fund balances</b> (see instructions)	195,861	192,819	

**Part III Analysis of Changes in Net Assets or Fund Balances**

<b>1</b> Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	<b>1</b>	195,861
<b>2</b> Enter amount from Part I, line 27a	<b>2</b>	-2,563
<b>3</b> Other increases not included in line 2 (itemize) <input type="checkbox"/> See Attached Statement	<b>3</b>	331
<b>4</b> Add lines 1, 2, and 3	<b>4</b>	193,629
<b>5</b> Decreases not included in line 2 (itemize) <input type="checkbox"/> See Attached Statement	<b>5</b>	810
<b>6</b> Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	<b>6</b>	192,819

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Page **3****Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b> See Attached Statement			
<b>b</b> See Attached Statement			
<b>c</b> See Attached Statement			
<b>d</b> See Attached Statement			
<b>e</b> See Attached Statement			

  

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b>			0
<b>b</b>			0
<b>c</b>			0
<b>d</b>			0
<b>e</b>			0

  

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
<b>a</b>			0
<b>b</b>			0
<b>c</b>			0
<b>d</b>			0
<b>e</b>			0

  

<b>2</b> Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	<b>2</b>	4,471
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	<b>3</b>	0

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	9,838	219,425	0.044835
2010	9,302	209,756	0.044347
2009	7,116	186,334	0.038189
2008	8,787	222,931	0.039416
2007			0.000000

  

<b>2</b> Total of line 1, column (d)	<b>2</b>	0.166787
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	0.041697
<b>4</b> Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	<b>4</b>	216,094
<b>5</b> Multiply line 4 by line 3	<b>5</b>	9,010
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	77
<b>7</b> Add lines 5 and 6	<b>7</b>	9,087
<b>8</b> Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	<b>8</b>	10,318

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**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

1	a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
	b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	77	
	c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0	
3	Add lines 1 and 2	3	77	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4		
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	77	
6	Credits/Payments			
	a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a	88	
	b Exempt foreign organizations—tax withheld at source	6b		
	c Tax paid with application for extension of time to file (Form 8868)	6c		
	d Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d	7	88	
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	11	
11	Enter the amount of line 10 to be Credited to 2013 estimated tax <input type="checkbox"/> 11 Refunded <input type="checkbox"/> 11	11	0	

**Part VII-A Statements Regarding Activities**

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> MN		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► WELLS FARGO BANK N A Telephone no ► 888-730-4933 Located at ► 101N Independence Mall E MACY 1372-062 Philadelphia PA ZIP+4 ► 19106-2112			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►	16	Yes No	X X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?		
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes," list the years ► 20____, 20____, 20____, 20____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)		
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?		

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)****5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  
If "Yes" to 6b, file Form 8870

6b N/A X

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

7b N/A

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Wells Fargo Bank, N.A. 101N Independence Mall E MACY1372-062 Phil	Trustee	4 00	3,230	

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

**Total number of other employees paid over \$50,000**

0

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**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)****3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		►

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1 NONE	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1 NONE	
2	
All other program-related investments. See instructions	
3 NONE	
Total. Add lines 1 through 3	► 0

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	212,034
<b>b</b>	Average of monthly cash balances	<b>1b</b>	7,351
<b>c</b>	Fair market value of all other assets (see instructions)	<b>1c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	219,385
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	219,385
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	<b>4</b>	3,291
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	216,094
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	10,805

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	10,805
<b>2a</b>	Tax on investment income for 2012 from Part VI, line 5	<b>2a</b>	77
<b>b</b>	Income tax for 2012 (This does not include the tax from Part VI)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	77
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	10,728
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	
<b>5</b>	Add lines 3 and 4	<b>5</b>	10,728
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	
<b>7</b>	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	10,728

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	<b>1a</b>	10,318
<b>b</b>	Program-related investments—total from Part IX-B	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	10,318
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	<b>5</b>	77
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	10,241

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Corrected**

Form 990-PF (2012) U OF M-OH &amp; SD WANGENSTEEN FUND

23-7097366 Page **9****Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
<b>1</b> Distributable amount for 2012 from Part XI, line 7				10,728
<b>2</b> Undistributed income, if any, as of the end of 2012				
<b>a</b> Enter amount for 2011 only			6,132	
<b>b</b> Total for prior years 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2012				
<b>a</b> From 2007				
<b>b</b> From 2008				
<b>c</b> From 2009				
<b>d</b> From 2010				
<b>e</b> From 2011				
<b>f</b> Total of lines 3a through e	0			
<b>4</b> Qualifying distributions for 2012 from Part XII, line 4 <b>▶</b> \$ 10,318				
<b>a</b> Applied to 2011, but not more than line 2a			6,132	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions)				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions)				
<b>d</b> Applied to 2012 distributable amount				4,186
<b>e</b> Remaining amount distributed out of corpus				
<b>5</b> Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a) )				
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions				
<b>e</b> Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions			0	
<b>f</b> Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				6,542
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
<b>8</b> Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)				
<b>9</b> Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a				
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2008				
<b>b</b> Excess from 2009				
<b>c</b> Excess from 2010				
<b>d</b> Excess from 2011				
<b>e</b> Excess from 2012				

**Corrected**

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Page **10****Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)**N/A**

**1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling ▶

**b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

**2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2012	(b) 2011	(c) 2010	(d) 2009	
				0
<b>b</b> 85% of line 2a				0
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed				0
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities				0
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c				0
<b>3</b> Complete 3a, b, or c for the alternative test relied upon				
<b>a</b> "Assets" alternative test—enter				
<b>(1)</b> Value of all assets				0
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)				0
<b>b</b> "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				0
<b>c</b> "Support" alternative test—enter				
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				0
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				0
<b>(3)</b> Largest amount of support from an exempt organization				0
<b>(4)</b> Gross investment income				0

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

NONE

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

**a** The name, address, and telephone number or e-mail of the person to whom applications should be addressed

**b** The form in which applications should be submitted and information and materials they should include

**c** Any submission deadlines

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Corrected**

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U OF M-OH &amp; SD WANGENSTEEN FUND

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Page **11****Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i> See Attached Statement				
<b>Total</b>			▶ <b>3a</b>	<b>8,686</b>
<b>b</b> <i>Approved for future payment</i> NONE				
<b>Total</b>			▶ <b>3b</b>	<b>0</b>





**Corrected**

U OF M-OH &amp; SD WANGENSTEEN FUND

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**Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year**

Recipient(s) paid during the year

Name

UNIVERSITY OF MINNESOTA FOUNDATION

Street

200 OAK ST SE STE 500

City

MINNEAPOLIS

State

MN

Zip Code

55455-2010

Foreign Country

Relationship

NONE

Foundation Status

501 (c)(3) PUBLIC CHARITY

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

8,686

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Totals										Gross Sales		Cost, Other Basis and Expenses		Net Gain or Loss	
Capital Gains/Losses										89,127		84,656		4,471	
Other sales										0		0		0	
Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss	
1	X	ARTISAN INTERNATIONAL FU 04314H204			10/24/2011		2/10/2012	239	228				0	11	
2	X	ARTISAN INTERNATIONAL FU 04314H204			2/6/2009		8/8/2012	871	528				0	343	
3	X	ARTISAN INTERNATIONAL FU 04314H204			10/24/2011		8/8/2012	668	604				0	64	
4	X	ARTISAN INTERNATIONAL FU 04314H204			2/6/2009		8/8/2012	65	40				0	25	
5	X	ARTISAN INTERNATIONAL FU 04314H204			2/6/2009		8/22/2012	345	208				0	137	
6	X	ARTISAN MID CAP FUND-INS 04314H600			4/10/2012		8/22/2012	238	238				0	0	
7	X	CREDIT SUISSE COMM RT ST 22544R305			8/12/2011		8/8/2012	382	421				0	-39	
8	X	CREDIT SUISSE COMM RT ST 22544R305			8/12/2011		8/22/2012	488	531				0	-43	
9	X	DIAMOND HILL LONG-SHORT 25264S833			5/3/2010		2/10/2012	355	322				0	33	
10	X	DIAMOND HILL LONG-SHORT 25264S833			8/10/2012		8/22/2012	233	232				0	1	
11	X	DODGE & COX INT'L STOCK F 256206103			11/19/2010		8/8/2012	374	422				0	-48	
12	X	DODGE & COX INT'L STOCK F 256206103			5/10/2012		8/8/2012	831	811				0	20	
13	X	DODGE & COX INT'L STOCK F 256206103			2/5/2010		8/8/2012	369	349				0	20	
14	X	DODGE & COX INT'L STOCK F 256206103			2/5/2010		8/22/2012	448	418				0	30	
15	X	DODGE & COX INCOME FD CC 256210105			4/28/2011		2/10/2012	5,240	5,167				0	73	
16	X	DODGE & COX INCOME FD CC 256210105			10/24/2011		2/10/2012	609	595				0	14	
17	X	DODGE & COX INCOME FD CC 256210105			2/14/2011		2/10/2012	1,609	1,569				0	40	
18	X	DODGE & COX INCOME FD CC 256210105			8/10/2012		8/22/2012	400	400				0	0	
19	X	DODGE & COX INCOME FD CC 256210105			2/14/2011		11/19/2012	3,084	2,938				0	146	
20	X	DODGE & COX INCOME FD CC 256210105			5/10/2012		11/19/2012	2,595	2,550				0	45	
21	X	DODGE & COX INCOME FD CC 256210105			8/10/2012		11/19/2012	3,976	3,936				0	40	
22	X	DODGE & COX INCOME FD CC 256210105			6/16/2008		11/19/2012	430	383				0	47	
23	X	DREYFUS EMG MKT DEBT LO 261980494			10/13/2010		8/22/2012	220	228				8	0	
24	X	JP MORGAN MID CAP VALUE 339128100			2/14/2011		2/10/2012	102	99				0	3	
25	X	JP MORGAN MID CAP VALUE 339128100			2/5/2010		2/10/2012	125	93				0	32	
26	X	JP MORGAN MID CAP VALUE 339128100			2/5/2010		5/8/2012	551	393				0	158	
27	X	JP MORGAN MID CAP VALUE 339128100			2/5/2010		8/8/2012	297	206				0	91	
28	X	JP MORGAN MID CAP VALUE 339128100			8/12/2011		8/22/2012	218	176				0	42	
29	X	GATEWAY FUND - Y #1986 367629884			8/10/2012		8/22/2012	220	219				0	1	
30	X	GOLDMAN SACHS GRTH OPP 38142Y401			8/12/2011		2/10/2012	221	199				0	22	
31	X	GOLDMAN SACHS GRTH OPP 38142Y401			2/5/2010		4/10/2012	4,652	3,651				0	1,001	
32	X	GOLDMAN SACHS GRTH OPP 38142Y401			8/12/2011		4/10/2012	918	819				0	99	
33	X	HARBOR CAPITAL APRTION 411511504			6/16/2008		2/10/2012	410	356				0	54	
34	X	HARBOR CAPITAL APRTION 411511504			6/16/2008		8/22/2012	237	200				0	37	
35	X	HARBOR CAPITAL APRTION 411511504			7/23/2010		8/22/2012	227	169				0	58	
36	X	HUSSMAN STRATEGIC GROW 448108100			6/16/2008		8/8/2012	3,228	4,541				0	-1,313	
37	X	HUSSMAN STRATEGIC GROW 448108100			7/23/2009		8/8/2012	623	747				0	-124	
38	X	ISHARES S & P 500 INDEX FU 464287200			6/12/2008		2/10/2012	404	405				0	-1	
39	X	ISHARES S & P 500 INDEX FU 464287200			6/12/2008		5/8/2012	3,129	3,103				0	26	
40	X	ISHARES S & P 500 INDEX FU 464287200			8/8/2012		8/22/2012	285	281				0	4	
41	X	KEELEY SMALL CAP VAL FD CC 487300808			8/12/2011		2/10/2012	438	383				0	55	
42	X	KEELEY SMALL CAP VAL FD CC 487300808			5/10/2012		8/13/2012	79	77				0	2	
43	X	KEELEY SMALL CAP VAL FD CC 487300808			5/10/2012		8/13/2012	180	176				0	4	
44	X	KEELEY SMALL CAP VAL FD CC 487300808			5/10/2012		8/22/2012	80	77				0	3	
45	X	KEELEY SMALL CAP VAL FD CC 487300808			8/12/2011		8/22/2012	209	179				0	30	
46	X	LAUDUS MONDRIAN INTL FL-I 51855Q655			11/19/2010		8/8/2012	1,579	1,573				0	6	
47	X	LAUDUS MONDRIAN INTL FL-I 51855Q655			2/14/2011		8/8/2012	534	523				0	11	
48	X	MFS VALUE FUND-CLASS I #8 552993694			2/14/2011		8/8/2012	400	386				0	14	
49	X	MFS VALUE FUND-CLASS I #8 552993694			2/14/2011		8/22/2012	377	362				0	15	
50	X	MAINSTAY EP US EQUITY-INS 56063J302			12/3/2008		2/10/2012	3,190	2,450				0	740	
51	X	MAINSTAY EP US EQUITY-INS 56063J302			12/3/2008		8/8/2012	3,332	2,490				0	842	
52	X	MERGER FD SH BEN INT #260 589509108			10/13/2010		8/8/2012	164	165				0	-1	
53	X	MERGER FD SH BEN INT #260 589509108			5/3/2010		8/8/2012	821	813				0	8	
54	X	OPPENHEIMER DEVELOPING 683974505			8/10/2012		8/22/2012	226	228				0	0	
55	X	PIMCO TOTAL RET FD-INST # 693390700			2/5/2010		2/10/2012	7,555	7,460				0	95	
56	X	PIMCO TOTAL RET FD-INST # 693390700			8/10/2012		8/22/2012	320	320				0	0	
57	X	PIMCO FOREIGN BD FD USD 693390882			2/14/2012		8/8/2012	407	393				0	14	
58	X	PIMCO FOREIGN BD FD USD 693390882			8/12/2011		8/8/2012	1,935	1,856				0	79	
59	X	PIMCO FOREIGN BD FD USD 693390882			8/12/2011		11/19/2012	284	265				0	19	
60	X	RIDGEMOUNT SEIX HY BD-1 # 766281645			2/14/2011		8/8/2012	40	41				0	-1	
61	X	RIDGEMOUNT SEIX HY BD-1 # 766281645			6/16/2008		8/8/2012	235	237				0	-2	
62	X	RIDGEMOUNT SEIX HY BD-1 # 766281645			6/16/2008		8/22/2012	265	268				0	-3	
63	X	RIDGEMOUNT SEIX HY BD-1 # 766281645			6/16/2008		11/19/2012	1,061	1,061				0	0	
64	X	T ROWE PRICE SHORT TERM 77957P105			2/14/2012		5/8/2012	5,330	5,319				0	11	
65	X	T ROWE PRICE SHORT TERM 77957P105			2/14/2012		8/8/2012	7,944	7,928				0	16	
66	X	T ROWE PRICE SHORT TERM 77957P105			2/14/2012		11/19/2012	1,978	1,975				0	4	
67	X	T ROWE PRICE SHORT TERM 77957P105			6/16/2008		11/19/2012	1,174	1,135				0	39	
68	X	SPDR DJ WILSHIRE INTERNA 78463X863			4/29/2011		8/8/2012	659	706				0	-47	

Corrected



## Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Check "X" to include in Part IV	Description	Amount		Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Cost, Other Basis and Expenses			Net Gain or Loss
		Long Term CG Distributions	Short Term CG Distributions									Gross Sales	89,127	84,658	
			760												4,471
			0											0	0
69	X	SPDR DJ WILSHIRE INTERNA				4/23/2011		8/22/2012	78	83					-5
70	X	SPDR DJ WILSHIRE INTERNA				4/28/2011		8/22/2012	155	165					-10
71	X	TCW SMALL CAP GROWTH FL				5/3/2010		2/10/2012	353	326					27
72	X	TCW SMALL CAP GROWTH FL				5/10/2012		8/22/2012	301	301					0
73	X	TCW SMALL CAP GROWTH FL				5/10/2012		11/19/2012	169	180					-11
74	X	TCW SMALL CAP GROWTH FL				8/10/2012		11/19/2012	150	159					-9
75	X	TCW SMALL CAP GROWTH FL				5/3/2010		11/19/2012	2,008	2,136					-128
76	X	TCW SMALL CAP GROWTH FL				5/3/2010		12/19/2012	1,085	1,100					-15
77	X	TCW SMALL CAP GROWTH FL				7/23/2010		12/19/2012	134	120					14
78	X	TCW SMALL CAP GROWTH FL				8/12/2011		12/19/2012	1,646	1,601					45
79	X	VANGUARD MSCI EMERGING				8/8/2012		2/10/2012	248	247					1
80	X	VANGUARD REIT VIPER				2/4/2009		2/10/2012	868	420					448
81	X	VANGUARD REIT VIPER				2/4/2009		5/9/2012	327	149					178
82	X	VANGUARD REIT VIPER				2/4/2009		8/9/2012	132	58					74
Totals															
Capital Gains/Losses															
Other sales															

Corrected

Corrected

**Part I, Line 16b (990-PF) - Accounting Fees**

		800	0	0	800
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	TAX PREP FEES	800			800

Corrected

**Part I, Line 18 (990-PF) - Taxes**

		58	58	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	FOREIGN TAXES PAID	58	58		

Corrected

**Part I, Line 23 (990-PF) - Other Expenses**

		25	0	0	25
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	STATE AG FEES	25	0		25

Corrected

**Part II, Line 13 (990-PF) - Investments - Other**

		188,407	184,648	212,722
		Book Value	Book Value	FMV
		Beg. of Year	End of Year	End of Year
Asset Description	Basis of Valuation			
1			0	
2	ARTISAN INTERNATIONAL FUND 661		5,855	10,365
3	DODGE & COX INCOME FD COM 147	0	9,547	10,671
4	HARBOR CAPITAL APRCTION-INST 2012	0	8,725	13,561
5	MERGER FD SH BEN INT 260	0	3,293	3,314
6	PIMCO TOTAL RET FD-INST 35	0	32,666	32,627
7	PIMCO FOREIGN BD FD USD H-INST 103	0	4,497	4,577
8	T ROWE PRICE SHORT TERM BD FD 55	0	1,658	1,714
9	ARTISAN MID CAP FUND-INSTL 1333	0	5,990	5,897
10	ISHARES RUSSELL 2000 GROWTH	0	5,360	5,528
11	ISHARES S & P 500 INDEX FUND	0	6,739	7,586
12	LAUDUS MONDRIAN INTL FI-INST 2940	0	4,742	4,824
13	DODGE & COX INT'L STOCK FD 1048	0	9,083	10,541
14	MFS VALUE FUND-CLASS I 893	0	9,428	9,956
15	DREYFUS EMG MKT DEBT LOC C-I 6083	0	10,730	11,084
16	DRIEHAUS ACTIVE INCOME FUND	0	3,318	3,401
17	JP MORGAN MID CAP VALUE-I 758	0	3,427	4,989
18	HUSSMAN STRATEGIC GROWTH FUND 66	0	4,951	4,254
19	VANGUARD REIT VIPER	0	3,702	7,962
20	VANGUARD EMERGING MARKETS ETF	0	4,377	6,947
21	OPPENHEIMER DEVELOPING MKT-Y 788	0	6,062	6,968
22	SPDR DJ WILSHIRE INTERNATIONAL REA	0	5,739	7,981
23	DIAMOND HILL LONG-SHORT FD CL I 11	0	4,909	5,492
24	RIDGEWORTH SEIX HY BD-I 5855	0	9,920	10,991
25	GATEWAY FUND - Y 1986	0	5,301	5,243
26	CREDIT SUISSE COMM RT ST-CO 2156	0	11,512	10,340
27	KEELEY SMALL CAP VAL FD CL I 2251	0	3,117	5,909

Corrected

**Part III (990-PF) - Changes in Net Assets or Fund Balances**

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**Line 3 - Other increases not included in Part III, Line 2**

1	MUTUAL FUND & CTF INCOME ADDITIONS	1	222
2	COST BASIS ADJUSTMENT	2	95
3	FEDERAL EXCISE TAX REFUND	3	14
4	Total	4	331

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**Line 5 - Decreases not included in Part III, Line 2**

1	MUTUAL FUND & CTF INCOME SUBTRACTION	1	718
2	PY RETURN OF CAPITAL ADJUSTMENT	2	92
3	Total	3	810

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Corrected

## Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions																760	Amount														
Short Term CG Distributions																0															
Description of Property Sold																CUSIP #	How Acquired	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	FMV as of 12/31/89	Adjusted Basis as of 12/31/89	Excess of FMV Over Adj Basis	Gain Minus Excess of FMV Over Adjusted Basis or Losses			
1 ARTISAN INTERNATIONAL FUND #661																04314H204		10/24/2011	2/10/2012	239			228	11	0	0	0	0	0	0	3,711
2 ARTISAN INTERNATIONAL FUND #661																04314H204		2/6/2008	8/6/2012	871			528	343	0	0	0	0	0	343	
3 ARTISAN INTERNATIONAL FUND #661																04314H204		10/24/2011	8/6/2012	668			604	64	0	0	0	0	0	64	
4 ARTISAN INTERNATIONAL FUND #661																04314H204		2/6/2008	8/6/2012	85			40	25	0	0	0	0	25		
5 ARTISAN INTERNATIONAL FUND #661																04314H204		2/6/2009	8/22/2012	345			208	137	0	0	0	0	0	137	
6 ARTISAN MID CAP FUND-INS #1333																04314H600		8/22/2012	8/22/2012	238			238	0	0	0	0	0	0	0	
7 CREDIT SUISSE COMM RT ST-CO #2153																022544R305		8/12/2011	8/6/2012	488			421	-39	0	0	0	0	0	-39	
8 DIAMOND HILL LONG-SHORT FD CL #1																25284S833		5/3/2010	8/22/2012	355			322	33	0	0	0	0	0	33	
9 DIAMOND HILL LONG-SHORT FD CL #2																25284S833		11/19/2010	8/6/2012	374			412	-48	0	0	0	0	0	-48	
10 DODGE & COX INTL STOCK FD #1048																256208103		5/10/2012	8/6/2012	831			811	20	0	0	0	0	20		
11 DODGE & COX INTL STOCK FD #1048																256208103		2/5/2010	8/6/2012	389			349	30	0	0	0	0	30		
12 DODGE & COX INTL STOCK FD #1048																256208103		4/26/2011	2/10/2012	5,240			5,187	73	0	0	0	0	73		
13 DODGE & COX INCOME FUND #1147																256210105		10/24/2011	2/10/2012	609			595	14	0	0	0	0	14		
14 DODGE & COX INCOME FUND #1147																256210105		2/14/2011	2/10/2012	1,609			1,569	40	0	0	0	0	40		
15 DODGE & COX INCOME FUND #1147																256210105		8/10/2012	9/6/2012	400			400	0	0	0	0	0	0		
16 DODGE & COX INCOME FUND #1147																256210105		2/14/2011	11/9/2012	3,084			2,938	146	0	0	0	0	146		
17 DODGE & COX INCOME FUND #1147																256210105		5/10/2012	11/9/2012	2,585			2,550	35	0	0	0	0	35		
18 DODGE & COX INCOME FUND #1147																256210105		8/10/2012	11/9/2012	3,976			3,938	40	0	0	0	0	40		
19 DODGE & COX INCOME FUND #1147																256210105		6/16/2008	11/9/2012	430			383	47	0	0	0	0	47		
20 DODGE & COX INCOME FUND #1147																256210105		10/13/2010	9/6/2012	220			228	8	0	0	0	0	8		
21 DODGE & COX INCOME FUND #1147																256210105		2/14/2011	2/10/2012	102			99	3	0	0	0	0	3		
22 DODGE & COX INCOME FUND #1147																256210105		2/5/2010	2/10/2012	125			83	32	0	0	0	0	32		
23 DODGE & COX INCOME FUND #1147																256210105		2/5/2010	5/6/2012	551			393	158	0	0	0	0	158		
24 DODGE & COX INCOME FUND #1147																256210105		2/5/2010	8/6/2012	287			208	81	0	0	0	0	81		
25 DODGE & COX INCOME FUND #1147																256210105		8/12/2011	9/6/2012	218			178	42	0	0	0	0	42		
26 DODGE & COX INCOME FUND #1147																256210105		8/10/2012	9/6/2012	220			219	1	0	0	0	0	1		
27 DODGE & COX INCOME FUND #1147																256210105		8/12/2011	2/10/2012	4,652			3,851	1,001	0	0	0	0	1,001		
28 DODGE & COX INCOME FUND #1147																256210105		2/5/2010	4/10/2012	918			819	99	0	0	0	0	99		
29 DODGE & COX INCOME FUND #1147																256210105		6/18/2008	2/10/2012	410			358	54	0	0	0	0	54		
30 DODGE & COX INCOME FUND #1147																256210105		6/16/2008	9/6/2012	237			200	37	0	0	0	0	37		
31 DODGE & COX INCOME FUND #1147																256210105		7/23/2010	9/6/2012	227			189	58	0	0	0	0	58		
32 DODGE & COX INCOME FUND #1147																256210105		6/16/2008	8/6/2012	3,228			4,541	-1,313	0	0	0	0	-1,313		
33 DODGE & COX INCOME FUND #1147																256210105		7/23/2009	9/6/2012	623			747	-124	0	0	0	0	-124		
34 DODGE & COX INCOME FUND #1147																256210105		6/12/2008	2/10/2012	404			405	-1	0	0	0	0	-1		
35 DODGE & COX INCOME FUND #1147																256210105		6/12/2008	5/6/2012	3,129			3,103	26	0	0	0	0	26		
36 DODGE & COX INCOME FUND #1147																256210105		8/6/2012	9/6/2012	285			281	4	0	0	0	0	4		
37 DODGE & COX INCOME FUND #1147																256210105		8/12/2011	2/10/2012	438			383	55	0	0	0	0	55		
38 DODGE & COX INCOME FUND #1147																256210105		5/10/2012	8/13/2012	79			77	2	0	0	0	0	2		
39 DODGE & COX INCOME FUND #1147																256210105		5/10/2012	8/13/2012	180			176	4	0	0	0	0	4		
40 DODGE & COX INCOME FUND #1147																256210105		5/10/2012	9/6/2012	80			77	3	0	0	0	0	3		
41 DODGE & COX INCOME FUND #1147																256210105		8/12/2011	2/10/2012	209			179	30	0	0	0	0	30		
42 DODGE & COX INCOME FUND #1147																256210105		11/19/2010	9/6/2012	1,579			1,573	6	0	0	0	0	6		
43 DODGE & COX INCOME FUND #1147																256210105		2/14/2011	8/6/2012	534			523	11	0	0	0	0	11		
44 DODGE & COX INCOME FUND #1147																256210105		2/14/2011	8/6/2012	400			388	14	0	0	0	0	14		
45 DODGE & COX INCOME FUND #1147																256210105		2/14/2011	9/6/2012	377			362	15	0	0	0	0	15		
46 DODGE & COX INCOME FUND #1147																256210105		12/3/2008	2/10/2012	3,190			2,450	740	0	0	0	0	740		
47 DODGE & COX INCOME FUND #1147																256210105		12/3/2008	9/6/2012	3,332			2,490	842	0	0	0	0	842		
48 DODGE & COX INCOME FUND #1147																256210105		10/13/2010	9/6/2012	164			165	-1	0	0	0	0	-1		
49 DODGE & COX INCOME FUND #1147																256210105		5/3/2010	9/6/2012	821			813	8	0	0	0	0	8		
50 DODGE & COX INCOME FUND #1147																256210105		8/10/2012	9/6/2012	226			228	0	0	0	0	0	0		
51 DODGE & COX INCOME FUND #1147																256210105		2/5/2010	2/10/2012	7,555			7,460	95	0	0	0	0	95		
52 DODGE & COX INCOME FUND #1147																256210105		6/10/2012	9/6/2012	320			320	0	0	0	0	0	0		
53 DODGE & COX INCOME FUND #1147																256210105		2/14/2012	9/6/2012	407			393	14	0	0	0	0	14		
54 DODGE & COX INCOME FUND #1147																256210105		8/12/2011	9/6/2012	1,935			1,856	79	0	0	0	0	79		
55 DODGE & COX INCOME FUND #1147																256210105		8/12/2011	11/19/2012	284			265	19	0	0	0	0	19		
56 DODGE & COX INCOME FUND #1147																256210105															

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[illegible]



**Corrected****Part VI, Line 6a (990-PF) - Estimated Tax Payments**

	Date	Amount
1 Credit from prior year return . . . . .		1 88
2 First quarter estimated tax payment . . . . .		2
3 Second quarter estimated tax payment . . . . .		3
4 Third quarter estimated tax payment . . . . .		4
5 Fourth quarter estimated tax payment . . . . .		5
6 Other payments . . . . .		6 0
7 Total . . . . .		7 88

**Corrected****Part XIII, Line 2a, Column C (990-PF) - Prior Year Undistributed Income**

<b>1</b>	Distributable amounts for 2011 that remained undistributed at the beginning of the 2012 tax year	<b>1</b>	<u>6,132</u>
<b>2</b>		<b>2</b>	
<b>3</b>		<b>3</b>	
<b>4</b>		<b>4</b>	
<b>5</b>		<b>5</b>	
<b>6</b>		<b>6</b>	
<b>7</b>		<b>7</b>	
<b>8</b>		<b>8</b>	
<b>9</b>		<b>9</b>	
<b>10</b>	Total	<b>10</b>	<u>6,132</u>