



See a Social Security Number? Say Something!  
Report Privacy Problems to <https://public.resource.org/privacy>  
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form

990-PF

Department of the Treasury  
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust

Treated as a Private Foundation

**Note.** The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012, or tax year beginning 01-01-2012 , and ending 12-31-2012

|                                                                                                                                                                                                                                                        |  |                                                                                                                                                                              |  |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| Name of foundation<br>Henry and Elaine Kaufman Foundation Inc                                                                                                                                                                                          |  | A Employer identification number<br>23-7045903                                                                                                                               |  |
| % HENRY KAUFMAN                                                                                                                                                                                                                                        |  | B Telephone number (see instructions)                                                                                                                                        |  |
| Number and street (or P O box number if mail is not delivered to street address)<br>590 Madison Avenue<br>5th Floor Suite                                                                                                                              |  |                                                                                                                                                                              |  |
| City or town, state, and ZIP code<br>New York, NY 10022                                                                                                                                                                                                |  | C If exemption application is pending, check here <input type="checkbox"/>                                                                                                   |  |
| G Check all that apply<br><input type="checkbox"/> Initial return<br><input type="checkbox"/> Final return<br><input type="checkbox"/> Address change                                                                                                  |  | D 1. Foreign organizations, check here <input type="checkbox"/><br>2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> |  |
| H Check type of organization<br><input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation<br><input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust<br><input type="checkbox"/> Other taxable private foundation |  | E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>                                                                |  |
| I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 19,024,517                                                                                                                                                       |  | F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>                                                             |  |
| J Accounting method<br><input checked="" type="checkbox"/> Cash<br><input type="checkbox"/> Accrual<br><input type="checkbox"/> Other (specify) _____<br>(Part I, column (d) must be on cash basis.)                                                   |  |                                                                                                                                                                              |  |

| Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )</small> |                                                                                           | (a) Revenue and expenses per books                                                            | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|---------------------------|-------------------------|-------------------------------------------------------------|
| Revenue                                                                                                                                                                            | 1 Contributions, gifts, grants, etc , received (attach schedule)                          | 2,230,632                                                                                     |                           |                         |                                                             |
|                                                                                                                                                                                    | 2 Check <input type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B |                                                                                               |                           |                         |                                                             |
|                                                                                                                                                                                    | 3 Interest on savings and temporary cash investments                                      | 44,941                                                                                        | 44,941                    |                         |                                                             |
|                                                                                                                                                                                    | 4 Dividends and interest from securities. . . . .                                         | 192,833                                                                                       | 192,833                   |                         |                                                             |
|                                                                                                                                                                                    | 5a Gross rents . . . . .                                                                  |                                                                                               |                           |                         |                                                             |
|                                                                                                                                                                                    | b Net rental income or (loss) _____                                                       |                                                                                               |                           |                         |                                                             |
|                                                                                                                                                                                    | 6a Net gain or (loss) from sale of assets not on line 10                                  | 1,664,921                                                                                     |                           |                         |                                                             |
|                                                                                                                                                                                    | b Gross sales price for all assets on line 6a _____ 5,057,108                             |                                                                                               |                           |                         |                                                             |
|                                                                                                                                                                                    | 7 Capital gain net income (from Part IV, line 2) . . . .                                  |                                                                                               | 2,718,403                 |                         |                                                             |
|                                                                                                                                                                                    | 8 Net short-term capital gain . . . . .                                                   |                                                                                               |                           |                         |                                                             |
|                                                                                                                                                                                    | 9 Income modifications . . . . .                                                          |                                                                                               |                           |                         |                                                             |
|                                                                                                                                                                                    | 10a Gross sales less returns and allowances                                               |                                                                                               |                           |                         |                                                             |
| Operating and Administrative Expenses                                                                                                                                              | b Less Cost of goods sold . . . . .                                                       |                                                                                               |                           |                         |                                                             |
|                                                                                                                                                                                    | c Gross profit or (loss) (attach schedule) . . . . .                                      |                                                                                               |                           |                         |                                                             |
|                                                                                                                                                                                    | 11 Other income (attach schedule) . . . . .                                               |  3,179,069 | 3,179,069                 |                         |                                                             |
|                                                                                                                                                                                    | 12 Total. Add lines 1 through 11 . . . . .                                                | 7,312,396                                                                                     | 6,135,246                 |                         |                                                             |
|                                                                                                                                                                                    | 13 Compensation of officers, directors, trustees, etc                                     | 0                                                                                             |                           |                         |                                                             |
|                                                                                                                                                                                    | 14 Other employee salaries and wages . . . . .                                            |                                                                                               |                           |                         |                                                             |
|                                                                                                                                                                                    | 15 Pension plans, employee benefits . . . . .                                             |                                                                                               |                           |                         |                                                             |
|                                                                                                                                                                                    | 16a Legal fees (attach schedule) . . . . .                                                |  3,850     | 3,850                     | 0                       | 0                                                           |
|                                                                                                                                                                                    | b Accounting fees (attach schedule) . . . . .                                             |  8,500     | 8,500                     | 0                       | 0                                                           |
|                                                                                                                                                                                    | c Other professional fees (attach schedule) . . . . .                                     |                                                                                               |                           |                         |                                                             |
|                                                                                                                                                                                    | 17 Interest . . . . .                                                                     | 1,638                                                                                         | 1,638                     |                         |                                                             |
|                                                                                                                                                                                    | 18 Taxes (attach schedule) (see instructions)                                             |  27,435    | 1,828                     |                         |                                                             |
|                                                                                                                                                                                    | 19 Depreciation (attach schedule) and depletion . . .                                     |                                                                                               |                           |                         |                                                             |
|                                                                                                                                                                                    | 20 Occupancy . . . . .                                                                    |                                                                                               |                           |                         |                                                             |
|                                                                                                                                                                                    | 21 Travel, conferences, and meetings . . . . .                                            |                                                                                               |                           |                         |                                                             |
|                                                                                                                                                                                    | 22 Printing and publications . . . . .                                                    |                                                                                               |                           |                         |                                                             |
|                                                                                                                                                                                    | 23 Other expenses (attach schedule) . . . . .                                             |  107,408   | 106,408                   |                         | 1,000                                                       |
|                                                                                                                                                                                    | 24 Total operating and administrative expenses.<br>Add lines 13 through 23 . . . . .      | 148,831                                                                                       | 122,224                   | 0                       | 1,000                                                       |
|                                                                                                                                                                                    | 25 Contributions, gifts, grants paid . . . . .                                            | 1,701,834                                                                                     |                           |                         | 1,701,834                                                   |
|                                                                                                                                                                                    | 26 Total expenses and disbursements. Add lines 24 and 25                                  | 1,850,665                                                                                     | 122,224                   | 0                       | 1,702,834                                                   |
|                                                                                                                                                                                    | 27 Subtract line 26 from line 12                                                          |                                                                                               |                           |                         |                                                             |
|                                                                                                                                                                                    | a Excess of revenue over expenses and disbursements                                       | 5,461,731                                                                                     |                           |                         |                                                             |
|                                                                                                                                                                                    | b Net investment income (if negative, enter -0-)                                          |                                                                                               | 6,013,022                 |                         |                                                             |
|                                                                                                                                                                                    | c Adjusted net income (if negative, enter -0-)                                            |                                                                                               |                           |                         |                                                             |

| Part II Balance Sheets |     | Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )                              | Beginning of year | End of year    |                       |
|------------------------|-----|--------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|----------------|-----------------------|
|                        |     |                                                                                                                                                  | (a) Book Value    | (b) Book Value | (c) Fair Market Value |
| Assets                 | 1   | Cash—non-interest-bearing . . . . .                                                                                                              | 1,803,681         | 3,424,660      | 3,424,660             |
|                        | 2   | Savings and temporary cash investments . . . . .                                                                                                 |                   |                |                       |
|                        | 3   | Accounts receivable ▶ _____<br>Less allowance for doubtful accounts ▶ _____                                                                      |                   |                |                       |
|                        | 4   | Pledges receivable ▶ _____<br>Less allowance for doubtful accounts ▶ _____                                                                       |                   |                |                       |
|                        | 5   | Grants receivable . . . . .                                                                                                                      |                   |                |                       |
|                        | 6   | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions) . . . . . |                   |                |                       |
|                        | 7   | Other notes and loans receivable (attach schedule) ▶ _____<br>Less allowance for doubtful accounts ▶ _____                                       |                   |                |                       |
|                        | 8   | Inventories for sale or use . . . . .                                                                                                            |                   |                |                       |
|                        | 9   | Prepaid expenses and deferred charges . . . . .                                                                                                  |                   |                |                       |
|                        | 10a | Investments—U S and state government obligations (attach schedule)                                                                               |                   |                |                       |
|                        | b   | Investments—corporate stock (attach schedule) . . . . .                                                                                          | 8,129,650         | 11,945,139     | 12,834,779            |
|                        | c   | Investments—corporate bonds (attach schedule). . . . .                                                                                           |                   |                |                       |
|                        | 11  | Investments—land, buildings, and equipment basis ▶ _____<br>Less accumulated depreciation (attach schedule) ▶ _____                              |                   |                |                       |
|                        | 12  | Investments—mortgage loans . . . . .                                                                                                             |                   |                |                       |
|                        | 13  | Investments—other (attach schedule) . . . . .                                                                                                    |                   |                |                       |
|                        | 14  | Land, buildings, and equipment basis ▶ _____<br>Less accumulated depreciation (attach schedule) ▶ _____                                          |                   |                |                       |
|                        | 15  | Other assets (describe ▶ _____)                                                                                                                  | 4,716,523         | 4,736,536      | 2,765,078             |
|                        | 16  | Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)                                                       | 14,649,854        | 20,106,335     | 19,024,517            |

|             |    |                                                                          |  |   |  |
|-------------|----|--------------------------------------------------------------------------|--|---|--|
| Liabilities | 17 | Accounts payable and accrued expenses . . . . .                          |  |   |  |
|             | 18 | Grants payable . . . . .                                                 |  |   |  |
|             | 19 | Deferred revenue . . . . .                                               |  |   |  |
|             | 20 | Loans from officers, directors, trustees, and other disqualified persons |  |   |  |
|             | 21 | Mortgages and other notes payable (attach schedule) . . . . .            |  |   |  |
|             | 22 | Other liabilities (describe ▶ _____)                                     |  |   |  |
|             | 23 | Total liabilities (add lines 17 through 22) . . . . .                    |  | 0 |  |

|                             |                                                                                                                               |                                                                                            |            |            |  |
|-----------------------------|-------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------|------------|------------|--|
| Net Assets or Fund Balances | Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31. |                                                                                            |            |            |  |
|                             | 24                                                                                                                            | Unrestricted . . . . .                                                                     |            |            |  |
|                             | 25                                                                                                                            | Temporarily restricted . . . . .                                                           |            |            |  |
|                             | 26                                                                                                                            | Permanently restricted . . . . .                                                           |            |            |  |
|                             | Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.   |                                                                                            |            |            |  |
|                             | 27                                                                                                                            | Capital stock, trust principal, or current funds . . . . .                                 | 14,649,854 | 20,106,335 |  |
|                             | 28                                                                                                                            | Paid-in or capital surplus, or land, bldg , and equipment fund                             |            |            |  |
|                             | 29                                                                                                                            | Retained earnings, accumulated income, endowment, or other funds                           |            |            |  |
|                             | 30                                                                                                                            | Total net assets or fund balances (see page 17 of the instructions) . . . . .              | 14,649,854 | 20,106,335 |  |
|                             | 31                                                                                                                            | Total liabilities and net assets/fund balances (see page 17 of the instructions) . . . . . | 14,649,854 | 20,106,335 |  |

Part III Analysis of Changes in Net Assets or Fund Balances

|   |                                                                                                                                                                    |   |            |
|---|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|------------|
| 1 | Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return) . . . . . | 1 | 14,649,854 |
| 2 | Enter amount from Part I, line 27a . . . . .                                                                                                                       | 2 | 5,461,731  |
| 3 | Other increases not included in line 2 (itemize) ▶ _____                                                                                                           | 3 |            |
| 4 | Add lines 1, 2, and 3 . . . . .                                                                                                                                    | 4 | 20,111,585 |
| 5 | Decreases not included in line 2 (itemize) ▶ _____                                                                                                                 | 5 | 5,250      |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .                                                      | 6 | 20,106,335 |

Part IV Capital Gains and Losses for Tax on Investment Income

|                                                                                                                                   |                           |                                              |                                      |                                  |
|-----------------------------------------------------------------------------------------------------------------------------------|---------------------------|----------------------------------------------|--------------------------------------|----------------------------------|
| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) |                           | (b) How acquired<br>P—Purchase<br>D—Donation | (c) Date acquired<br>(mo , day, yr ) | (d) Date sold<br>(mo , day, yr ) |
| 1a                                                                                                                                | See Additional Data Table |                                              |                                      |                                  |
| b                                                                                                                                 |                           |                                              |                                      |                                  |
| c                                                                                                                                 |                           |                                              |                                      |                                  |
| d                                                                                                                                 |                           |                                              |                                      |                                  |
| e                                                                                                                                 |                           |                                              |                                      |                                  |

|                             |                                            |                                                 |                                              |
|-----------------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| (e) Gross sales price       | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
| a See Additional Data Table |                                            |                                                 |                                              |
| b                           |                                            |                                                 |                                              |
| c                           |                                            |                                                 |                                              |
| d                           |                                            |                                                 |                                              |
| e                           |                                            |                                                 |                                              |

|                                                                                             |                                      |                                               |                                                                                           |
|---------------------------------------------------------------------------------------------|--------------------------------------|-----------------------------------------------|-------------------------------------------------------------------------------------------|
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |                                      |                                               | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or<br>Losses (from col (h)) |
| (i) F M V as of 12/31/69                                                                    | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col (i)<br>over col (j), if any |                                                                                           |
| a See Additional Data Table                                                                 |                                      |                                               |                                                                                           |
| b                                                                                           |                                      |                                               |                                                                                           |
| c                                                                                           |                                      |                                               |                                                                                           |
| d                                                                                           |                                      |                                               |                                                                                           |
| e                                                                                           |                                      |                                               |                                                                                           |

|   |                                                                                                                                                                                                              |                                                                                     |   |           |
|---|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|---|-----------|
| 2 | Capital gain net income or (net capital loss)                                                                                                                                                                | { If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7 } | 2 | 2,718,403 |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)<br><br>If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0-<br>in Part I, line 8 . . . . . | }                                                                                   | 3 |           |

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

|                                                                      |                                          |                                              |                                                           |
|----------------------------------------------------------------------|------------------------------------------|----------------------------------------------|-----------------------------------------------------------|
| (a)<br>Base period years Calendar<br>year (or tax year beginning in) | (b)<br>Adjusted qualifying distributions | (c)<br>Net value of noncharitable-use assets | (d)<br>Distribution ratio<br>(col (b) divided by col (c)) |
| 2011                                                                 | 1,215,994                                | 16,289,180                                   | 0 07465                                                   |
| 2010                                                                 | 1,267,423                                | 16,268,698                                   | 0 077906                                                  |
| 2009                                                                 | 7,124,688                                | 15,445,184                                   | 0 461289                                                  |
| 2008                                                                 | 2,802,541                                | 24,214,078                                   | 0 11574                                                   |
| 2007                                                                 | 5,623,191                                | 33,122,380                                   | 0 16977                                                   |

|   |                                                                                                                                                                                                                                  |   |            |
|---|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|------------|
| 2 | Total of line 1, column (d). . . . .                                                                                                                                                                                             | 2 | 0 899355   |
| 3 | Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by<br>the number of years the foundation has been in existence if less than 5 years . . . .                                            | 3 | 0 179871   |
| 4 | Enter the net value of noncharitable-use assets for 2012 from Part X, line 5. . . . .                                                                                                                                            | 4 | 12,172,197 |
| 5 | Multiply line 4 by line 3. . . . .                                                                                                                                                                                               | 5 | 2,189,425  |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b). . . . .                                                                                                                                                              | 6 | 60,130     |
| 7 | Add lines 5 and 6. . . . .                                                                                                                                                                                                       | 7 | 2,249,555  |
| 8 | Enter qualifying distributions from Part XII, line 4. . . . .<br><br>If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See<br>the Part VI instructions | 8 | 1,702,834  |

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

|    |  |                                                                                                                                                           |                                   |         |         |
|----|--|-----------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------|---------|---------|
| 1a |  | Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1                               |                                   |         |         |
|    |  | Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)                                                        |                                   |         |         |
| b  |  | Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . . |                                   | 1       | 120,260 |
| c  |  | All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)                                     |                                   |         |         |
| 2  |  | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)                                                  |                                   | 2       |         |
| 3  |  | Add lines 1 and 2. . . . .                                                                                                                                |                                   | 3       | 120,260 |
| 4  |  | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)                                                |                                   | 4       |         |
| 5  |  | Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0- . . . . .                                                          |                                   | 5       | 120,260 |
| 6  |  | Credits/Payments                                                                                                                                          |                                   |         |         |
| a  |  | 2012 estimated tax payments and 2011 overpayment credited to 2012                                                                                         | 6a                                | 13,112  |         |
| b  |  | Exempt foreign organizations—tax withheld at source . . . . .                                                                                             | 6b                                |         |         |
| c  |  | Tax paid with application for extension of time to file (Form 8868)                                                                                       | 6c                                | 140,000 |         |
| d  |  | Backup withholding erroneously withheld . . . . .                                                                                                         | 6d                                |         |         |
| 7  |  | Total credits and payments Add lines 6a through 6d. . . . .                                                                                               |                                   | 7       | 153,112 |
| 8  |  | Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached                                          |                                   | 8       |         |
| 9  |  | Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .                                                                   |                                   | 9       |         |
| 10 |  | Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid. . . . .                                                        |                                   | 10      | 32,852  |
| 11 |  | Enter the amount of line 10 to be Credited to 2013 estimated tax <input type="checkbox"/> 32,852                                                          | Refunded <input type="checkbox"/> | 11      |         |

Part VII-AStatements Regarding Activities

|    |                                                                                                                                                                                                                                                                |    |     |    |
|----|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|-----|----|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .                                                                                 |    | Yes | No |
|    |                                                                                                                                                                                                                                                                | 1a |     | No |
| b  | Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? . . . . .                                                                                                |    |     | No |
|    | If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.                                                                  |    |     |    |
| c  | Did the foundation file Form 1120-POL for this year? . . . . .                                                                                                                                                                                                 | 1c |     | No |
| d  | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____                                         |    |     |    |
| e  | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____                                                                                         |    |     |    |
| 2  | Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . .                                                                                                                                                      | 2  |     | No |
|    | If "Yes," attach a detailed description of the activities.                                                                                                                                                                                                     |    |     |    |
| 3  | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .                           | 3  |     | No |
| 4a | Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .                                                                                                                                                          | 4a |     | No |
| b  | If "Yes," has it filed a tax return on Form 990-T for this year? . . . . .                                                                                                                                                                                     | 4b |     |    |
| 5  | Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . .                                                                                                                                                       | 5  |     | No |
|    | If "Yes," attach the statement required by General Instruction T.                                                                                                                                                                                              |    |     |    |
| 6  | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either                                                                                                                                                               |    |     |    |
|    | • By language in the governing instrument, or                                                                                                                                                                                                                  |    |     |    |
|    | • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .                                                                         | 6  | Yes |    |
| 7  | Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV                                                                                                                               | 7  | Yes |    |
| 8a | Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> NY _____                                                                                                                           |    |     |    |
| b  | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .                                                  | 8b | Yes |    |
| 9  | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV . . . . . | 9  |     | No |
| 10 | Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses                                        | 10 | Yes |    |

Part VII-A

Statements Regarding Activities *(continued)*

|                                                                                                                               |                                                                                                                                                                                           |    |     |    |
|-------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|-----|----|
| 11                                                                                                                            | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).  | 11 |     | No |
| 12                                                                                                                            | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)  | 12 |     | No |
| 13                                                                                                                            | Did the foundation comply with the public inspection requirements for its annual returns and exemption application?                                                                       | 13 | Yes |    |
| Website address                                                                                                               |                                                                                                                                                                                           |    |     |    |
| 14                                                                                                                            | The books are in care of HENRY KAUFMAN Telephone no (212) 758-7100<br>Located at 590 MADISON AVENUE 5TH FLOOR New York NY ZIP +4 10022                                                    |    |     |    |
| 15                                                                                                                            | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.       | 15 |     |    |
| 16                                                                                                                            | At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 |     | No |
| See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country |                                                                                                                                                                                           |    |     |    |

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

|                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |    |     |    |
|-----------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|-----|----|
| File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |    | Yes | No |
| 1a                                                                                      | During the year did the foundation (either directly or indirectly)<br>(1) Engage in the sale or exchange, or leasing of property with a disqualified person?<br>(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?<br>(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?<br>(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?<br>(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?<br>(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ). |    |     |    |
| b                                                                                       | If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | 1b |     |    |
| c                                                                                       | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | 1c |     |    |
| 2                                                                                       | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |    |     |    |
| a                                                                                       | At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? If "Yes," list the years 20 , 20 , 20 , 20                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |    |     |    |
| b                                                                                       | Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions ).                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | 2b |     |    |
| c                                                                                       | If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20 , 20 , 20 , 20                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |    |     |    |
| 3a                                                                                      | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |    |     |    |
| b                                                                                       | If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.).                                                                                                                                                                                                                                                                                                                                                                          | 3b |     |    |
| 4a                                                                                      | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | 4a |     | No |
| b                                                                                       | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | 4b |     | No |

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

Yes

No

(2)

Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

Yes

No

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

Yes

No

(4)

Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1 ), (2), or (3), or section 4940(d)(2)? (see instructions).

Yes

No

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

Yes

No

b

If any answer is "Yes" to 5a(1 )–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here.

c

If the answer is "Yes" to question 5a(4 ), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

Yes

No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

Yes

No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

Yes

No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

| (a) Name and address      | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---------------------------|-----------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------|---------------------------------------|
| See Additional Data Table |                                                           |                                           |                                                                       |                                       |
|                           |                                                           |                                           |                                                                       |                                       |
|                           |                                                           |                                           |                                                                       |                                       |
|                           |                                                           |                                           |                                                                       |                                       |
|                           |                                                           |                                           |                                                                       |                                       |
|                           |                                                           |                                           |                                                                       |                                       |
|                           |                                                           |                                           |                                                                       |                                       |

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---------------------------------------------------------------|-----------------------------------------------------------|------------------|-----------------------------------------------------------------------|---------------------------------------|
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |

Total

number of other employees paid over \$50,000.

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

| (a) Name and address of each person paid more than \$50,000                       | (b) Type of service | (c) Compensation |
|-----------------------------------------------------------------------------------|---------------------|------------------|
|                                                                                   |                     |                  |
|                                                                                   |                     |                  |
|                                                                                   |                     |                  |
|                                                                                   |                     |                  |
|                                                                                   |                     |                  |
|                                                                                   |                     |                  |
|                                                                                   |                     |                  |
|                                                                                   |                     |                  |
|                                                                                   |                     |                  |
| Total number of others receiving over \$50,000 for professional services. . . . . |                     |                  |

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

|   | Expenses |
|---|----------|
| 1 |          |
|   |          |
|   |          |
| 2 |          |
|   |          |
|   |          |
| 3 |          |
|   |          |
|   |          |
| 4 |          |
|   |          |
|   |          |

Part IX-B

Summary of Program-Related Investments (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|------------------------------------------------------------------------------------------------------------------|--------|
| 1                                                                                                                |        |
|                                                                                                                  |        |
|                                                                                                                  |        |
| 2                                                                                                                |        |
|                                                                                                                  |        |
|                                                                                                                  |        |
| All other program-related investments. See page 24 of the instructions                                           |        |
| 3                                                                                                                |        |
|                                                                                                                  |        |
|                                                                                                                  |        |
| Total. Add lines 1 through 3. . . . .                                                                            |        |

**Part X**

**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

|          |                                                                                                                    |           |            |
|----------|--------------------------------------------------------------------------------------------------------------------|-----------|------------|
| <b>1</b> | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes         |           |            |
| <b>a</b> | Average monthly fair market value of securities. . . . .                                                           | <b>1a</b> | 6,454,935  |
| <b>b</b> | Average of monthly cash balances. . . . .                                                                          | <b>1b</b> | 3,137,547  |
| <b>c</b> | Fair market value of all other assets (see instructions). . . . .                                                  | <b>1c</b> | 2,765,078  |
| <b>d</b> | <b>Total</b> (add lines 1a, b, and c). . . . .                                                                     | <b>1d</b> | 12,357,560 |
| <b>e</b> | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . . | <b>1e</b> |            |
| <b>2</b> | Acquisition indebtedness applicable to line 1 assets. . . . .                                                      | <b>2</b>  | 0          |
| <b>3</b> | Subtract line 2 from line 1d. . . . .                                                                              | <b>3</b>  | 12,357,560 |
| <b>4</b> | Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .  | <b>4</b>  | 185,363    |
| <b>5</b> | <b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4         | <b>5</b>  | 12,172,197 |
| <b>6</b> | <b>Minimum investment return.</b> Enter 5% of line 5. . . . .                                                      | <b>6</b>  | 608,610    |

**Part XI**

**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

|           |                                                                                                                  |           |         |
|-----------|------------------------------------------------------------------------------------------------------------------|-----------|---------|
| <b>1</b>  | Minimum investment return from Part X, line 6. . . . .                                                           | <b>1</b>  | 608,610 |
| <b>2a</b> | Tax on investment income for 2012 from Part VI, line 5. . . . .                                                  | <b>2a</b> | 120,260 |
| <b>b</b>  | Income tax for 2012 (This does not include the tax from Part VI ). . . . .                                       | <b>2b</b> |         |
| <b>c</b>  | Add lines 2a and 2b. . . . .                                                                                     | <b>2c</b> | 120,260 |
| <b>3</b>  | Distributable amount before adjustments Subtract line 2c from line 1. . . . .                                    | <b>3</b>  | 488,350 |
| <b>4</b>  | Recoveries of amounts treated as qualifying distributions. . . . .                                               | <b>4</b>  |         |
| <b>5</b>  | Add lines 3 and 4. . . . .                                                                                       | <b>5</b>  | 488,350 |
| <b>6</b>  | Deduction from distributable amount (see instructions). . . . .                                                  | <b>6</b>  |         |
| <b>7</b>  | <b>Distributable amount</b> as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . . | <b>7</b>  | 488,350 |

**Part XII**

**Qualifying Distributions** (see instructions)

|                                                                                                                                                                                                     |                                                                                                                                                              |           |           |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|-----------|
| <b>1</b>                                                                                                                                                                                            | Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes                                                                    |           |           |
| <b>a</b>                                                                                                                                                                                            | Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .                                                                         | <b>1a</b> | 1,702,834 |
| <b>b</b>                                                                                                                                                                                            | Program-related investments—total from Part IX-B. . . . .                                                                                                    | <b>1b</b> | 0         |
| <b>2</b>                                                                                                                                                                                            | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .                                           | <b>2</b>  | 0         |
| <b>3</b>                                                                                                                                                                                            | Amounts set aside for specific charitable projects that satisfy the                                                                                          |           |           |
| <b>a</b>                                                                                                                                                                                            | Suitability test (prior IRS approval required). . . . .                                                                                                      | <b>3a</b> | 0         |
| <b>b</b>                                                                                                                                                                                            | Cash distribution test (attach the required schedule). . . . .                                                                                               | <b>3b</b> | 0         |
| <b>4</b>                                                                                                                                                                                            | <b>Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4                                             | <b>4</b>  | 1,702,834 |
| <b>5</b>                                                                                                                                                                                            | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . . | <b>5</b>  |           |
| <b>6</b>                                                                                                                                                                                            | <b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4. . . . .                                                                               | <b>6</b>  | 1,702,834 |
| <b>Note:</b> The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years |                                                                                                                                                              |           |           |

Part XIII

Undistributed Income (see instructions)

|                                                                                                                                                                                     | (a)<br>Corpus | (b)<br>Years prior to 2011 | (c)<br>2011 | (d)<br>2012 |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2012 from Part XI, line 7                                                                                                                                |               |                            |             | 488,350     |
| 2 Undistributed income, if any, as of the end of 2012                                                                                                                               |               |                            |             |             |
| a Enter amount for 2011 only. . . . .                                                                                                                                               |               |                            |             |             |
| b Total for prior years 2010 , 2009 , 2008                                                                                                                                          |               |                            |             |             |
| 3 Excess distributions carryover, if any, to 2012                                                                                                                                   |               |                            |             |             |
| a From 2007. . . . .                                                                                                                                                                | 5,665,867     |                            |             |             |
| b From 2008. . . . .                                                                                                                                                                | 2,802,541     |                            |             |             |
| c From 2009. . . . .                                                                                                                                                                | 7,133,203     |                            |             |             |
| d From 2010. . . . .                                                                                                                                                                | 1,267,423     |                            |             |             |
| e From 2011. . . . .                                                                                                                                                                | 1,215,994     |                            |             |             |
| f Total of lines 3a through e. . . . .                                                                                                                                              | 18,085,028    |                            |             |             |
| 4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ 1,702,834                                                                                                            |               |                            |             |             |
| a Applied to 2011, but not more than line 2a                                                                                                                                        |               |                            |             |             |
| b Applied to undistributed income of prior years (Election required—see instructions). . . . .                                                                                      |               |                            |             |             |
| c Treated as distributions out of corpus (Election required—see instructions). . . . .                                                                                              |               |                            |             |             |
| d Applied to 2012 distributable amount. . . . .                                                                                                                                     |               |                            |             | 488,350     |
| e Remaining amount distributed out of corpus                                                                                                                                        | 1,214,484     |                            |             |             |
| 5 Excess distributions carryover applied to 2012<br>(If an amount appears in column (d), the same amount must be shown in column (a).)                                              |               |                            |             |             |
| 6 Enter the net total of each column as indicated below:                                                                                                                            |               |                            |             |             |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5                                                                                                                                   | 19,299,512    |                            |             |             |
| b Prior years' undistributed income Subtract line 4b from line 2b. . . . .                                                                                                          |               |                            |             |             |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . . |               |                            |             |             |
| d Subtract line 6c from line 6b Taxable amount—see instructions . . . . .                                                                                                           |               |                            |             |             |
| e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .                                                                             |               |                            |             |             |
| f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013. . . . .                                                                |               |                            |             | 0           |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions). . . . .                                  |               |                            |             |             |
| 8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions). . .                                                                                  | 5,665,867     |                            |             |             |
| 9 Excess distributions carryover to 2013.<br>Subtract lines 7 and 8 from line 6a. . . . .                                                                                           | 13,633,645    |                            |             |             |
| 10 Analysis of line 9                                                                                                                                                               |               |                            |             |             |
| a Excess from 2008. . . .                                                                                                                                                           | 2,802,541     |                            |             |             |
| b Excess from 2009. . . .                                                                                                                                                           | 7,133,203     |                            |             |             |
| c Excess from 2010. . . .                                                                                                                                                           | 1,267,423     |                            |             |             |
| d Excess from 2011. . . .                                                                                                                                                           | 1,215,994     |                            |             |             |
| e Excess from 2012. . . .                                                                                                                                                           | 1,214,484     |                            |             |             |

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

|    |                                                                                                                                                    |          |               |          |          |           |
|----|----------------------------------------------------------------------------------------------------------------------------------------------------|----------|---------------|----------|----------|-----------|
| 2a | Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.                         | Tax year | Prior 3 years |          |          | (e) Total |
|    |                                                                                                                                                    | (a) 2012 | (b) 2011      | (c) 2010 | (d) 2009 |           |
|    |                                                                                                                                                    |          |               |          |          |           |
| b  | 85% of line 2a                                                                                                                                     |          |               |          |          |           |
| c  | Qualifying distributions from Part XII, line 4 for each year listed.                                                                               |          |               |          |          |           |
| d  | Amounts included in line 2c not used directly for active conduct of exempt activities.                                                             |          |               |          |          |           |
| e  | Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.                                     |          |               |          |          |           |
| 3  | Complete 3a, b, or c for the alternative test relied upon                                                                                          |          |               |          |          |           |
| a  | "Assets" alternative test—enter                                                                                                                    |          |               |          |          |           |
|    | (1) Value of all assets                                                                                                                            |          |               |          |          |           |
|    | (2) Value of assets qualifying under section 4942(j)(3)(B)(i)                                                                                      |          |               |          |          |           |
| b  | "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.                                 |          |               |          |          |           |
| c  | "Support" alternative test—enter                                                                                                                   |          |               |          |          |           |
|    | (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties). |          |               |          |          |           |
|    | (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).                                      |          |               |          |          |           |
|    | (3) Largest amount of support from an exempt organization                                                                                          |          |               |          |          |           |
|    | (4) Gross investment income                                                                                                                        |          |               |          |          |           |

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

See Additional Data Table

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number or e-mail of the person to whom applications should be addressed

DR HENRY ELAINE KAUFMAN  
590 MADISON AVENUE  
NEW YORK, NY 10022  
(212) 758-7100

b

The form in which applications should be submitted and information and materials they should include

APPLICATIONS SHOULD BE SUBMITTED IN WRITING

c

Any submission deadlines

NONE

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient                                           | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount    |
|-----------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|----------------------------------|-----------|
| Name and address (home or business)                 |                                                                                                           |                                |                                  |           |
| a Paid during the year<br>See Additional Data Table |                                                                                                           |                                |                                  |           |
| Total . . . . .                                     |                                                                                                           |                                | 3a                               | 1,701,834 |
| b Approved for future payment                       |                                                                                                           |                                |                                  |           |
| Total . . . . .                                     |                                                                                                           |                                | 3b                               |           |

Enter gross amounts unless otherwise indicated

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2012)

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |  |            |           |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|------------|-----------|
| <p><b>1</b> Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?</p> <p><b>a</b> Transfers from the reporting foundation to a noncharitable exempt organization of</p> <p>    <b>(1)</b> Cash. . . . .</p> <p>    <b>(2)</b> Other assets. . . . .</p> <p><b>b</b> Other transactions</p> <p>    <b>(1)</b> Sales of assets to a noncharitable exempt organization. . . . .</p> <p>    <b>(2)</b> Purchases of assets from a noncharitable exempt organization. . . . .</p> <p>    <b>(3)</b> Rental of facilities, equipment, or other assets. . . . .</p> <p>    <b>(4)</b> Reimbursement arrangements. . . . .</p> <p>    <b>(5)</b> Loans or loan guarantees. . . . .</p> <p>    <b>(6)</b> Performance of services or membership or fundraising solicitations. . . . .</p> <p><b>c</b> Sharing of facilities, equipment, mailing lists, other assets, or paid employees. . . . .</p> <p><b>d</b> If the answer to any of the above is "Yes," complete the following schedule. Column <b>(b)</b> should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column <b>(d)</b> the value of the goods, other assets, or services received</p> |  | <b>Yes</b> | <b>No</b> |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |  |            |           |
| <b>1a(1)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |  |            | <b>No</b> |
| <b>1a(2)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |  |            | <b>No</b> |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |  |            |           |
| <b>1b(1)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |  |            | <b>No</b> |
| <b>1b(2)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |  |            | <b>No</b> |
| <b>1b(3)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |  |            | <b>No</b> |
| <b>1b(4)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |  |            | <b>No</b> |
| <b>1b(5)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |  |            | <b>No</b> |
| <b>1b(6)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |  |            | <b>No</b> |
| <b>1c</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |  |            | <b>No</b> |

[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

**b** If "Yes," complete the following schedule

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|--------------------------|--------------------------|---------------------------------|
|                          |                          |                                 |
|                          |                          |                                 |
|                          |                          |                                 |
|                          |                          |                                 |
|                          |                          |                                 |

**Sign  
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

\* \* \* \* \*

2013-10-17

\* \* \* \* \*

Signature of officer or trustee

Date

# Title

May the IRS discuss this return with the preparer shown below (see instr )? ☒ **Yes** ☐ **No**

**Paid  
Preparer  
Use Only**

|                            |                             |      |                                                                   |      |
|----------------------------|-----------------------------|------|-------------------------------------------------------------------|------|
| Print/Type preparer's name | Preparer's Signature        | Date | Check if self-employed <input checked="checked" type="checkbox"/> | PTIN |
| Firm's name                | BENDER LANE ADVISORY LLC    |      | Firm's EIN                                                        |      |
|                            | 100 GREAT OAKS BLVD STE 125 |      |                                                                   |      |
| Firm's address             | ALBANY, NY 12203            |      | Phone no (518) 218-1218                                           |      |

|                                                                                                                                   |                                                                                         |                             |
|-----------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------|-----------------------------|
| <div>Schedule B</div> <div>(Form 990, 990-EZ, or 990-PF)</div> <div>Department of the Treasury<br/>Internal Revenue Service</div> | <div>Schedule of Contributors</div> <div>▶ Attach to Form 990, 990-EZ, or 990-PF.</div> | <div>OMB No 1545-0047</div> |
|                                                                                                                                   |                                                                                         | <div>2012</div>             |

|                                                                                        |                                                                 |
|----------------------------------------------------------------------------------------|-----------------------------------------------------------------|
| <div>Name of the organization</div> <div>Henry and Elaine Kaufman Foundation Inc</div> | <div>Employer identification number</div> <div>23-7045903</div> |
|----------------------------------------------------------------------------------------|-----------------------------------------------------------------|

Organization type (check one)

|                                                                            |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
|----------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <div>Filers of:</div> <div>Form 990 or 990-EZ</div> <div>Form 990-PF</div> | <div>Section:</div> <div><div><input type="checkbox"/> 501(c)( ) (enter number) organization</div><div><input type="checkbox"/> 4947(a)(1) nonexempt charitable trust <b>not</b> treated as a private foundation</div><div><input type="checkbox"/> 527 political organization</div><div><input checked="" type="checkbox"/> 501(c)(3) exempt private foundation</div><div><input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation</div><div><input type="checkbox"/> 501(c)(3) taxable private foundation</div></div> |
|----------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

Check if your organization is covered by the **General Rule** or a **Special Rule**.  
**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor Complete Parts I and II

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33<sup>1</sup>/<sub>3</sub>% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not total to more than \$1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc , contributions of \$5,000 or more during the year . . . . . ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer “No” on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

|                                                                        |                                                     |
|------------------------------------------------------------------------|-----------------------------------------------------|
| <b>Name of organization</b><br>Henry and Elaine Kaufman Foundation Inc | <b>Employer identification number</b><br>23-7045903 |
|------------------------------------------------------------------------|-----------------------------------------------------|

|                          |                                                                                                     |                                          |                                                                                                                                                                                             |
|--------------------------|-----------------------------------------------------------------------------------------------------|------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Part I</b>            | <b>Contributors</b> (see instructions) Use duplicate copies of Part I if additional space is needed |                                          |                                                                                                                                                                                             |
| <b>(a)</b><br><b>No.</b> | <b>(b)</b><br><b>Name, address, and ZIP + 4</b>                                                     | <b>(c)</b><br><b>Total contributions</b> | <b>(d)</b><br><b>Type of contribution</b>                                                                                                                                                   |
| <u>1</u>                 | HENRY AND ELAINE KAUFMAN<br>590 MADISON AVENUE<br><br>NEW YORK, NY 10022                            | \$ 2,230,632                             | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input checked="" type="checkbox"/><br><br>(Complete Part II if there is a noncash contribution ) |
| <br>                     |                                                                                                     | \$                                       | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br><br>(Complete Part II if there is a noncash contribution )                       |
| <br>                     |                                                                                                     | \$                                       | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br><br>(Complete Part II if there is a noncash contribution )                       |
| <br>                     |                                                                                                     | \$                                       | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br><br>(Complete Part II if there is a noncash contribution )                       |
| <br>                     |                                                                                                     | \$                                       | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br><br>(Complete Part II if there is a noncash contribution )                       |
| <br>                     |                                                                                                     | \$                                       | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br><br>(Complete Part II if there is a noncash contribution )                       |
| <br>                     |                                                                                                     | \$                                       | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br><br>(Complete Part II if there is a noncash contribution )                       |

[illegible]

|                                                                        |                                                     |
|------------------------------------------------------------------------|-----------------------------------------------------|
| <b>Name of organization</b><br>Henry and Elaine Kaufman Foundation Inc | <b>Employer identification number</b><br>23-7045903 |
|------------------------------------------------------------------------|-----------------------------------------------------|

Part III

**Exclusively** religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **\$1,000 or less** for the year (Enter this information once See instructions ) ▶ \$

Use duplicate copies of Part III if additional space is needed

| (a) No.<br>from<br>Part I | (b) Purpose of gift                   | (c) Use of gift | (d) Description of how gift is held      |
|---------------------------|---------------------------------------|-----------------|------------------------------------------|
| —                         | <div></div>                           | <div></div>     | <div></div>                              |
|                           | (e) Transfer of gift                  |                 |                                          |
|                           | Transferee's name, address, and ZIP 4 |                 | Relationship of transferor to transferee |
| —                         | <div></div>                           | <div></div>     | <div></div>                              |
|                           | (e) Transfer of gift                  |                 |                                          |
|                           | Transferee's name, address, and ZIP 4 |                 | Relationship of transferor to transferee |
| —                         | <div></div>                           | <div></div>     | <div></div>                              |
|                           | (e) Transfer of gift                  |                 |                                          |
|                           | Transferee's name, address, and ZIP 4 |                 | Relationship of transferor to transferee |
| —                         | <div></div>                           | <div></div>     | <div></div>                              |
|                           | (e) Transfer of gift                  |                 |                                          |
|                           | Transferee's name, address, and ZIP 4 |                 | Relationship of transferor to transferee |
| —                         | <div></div>                           | <div></div>     | <div></div>                              |
|                           | (e) Transfer of gift                  |                 |                                          |
|                           | Transferee's name, address, and ZIP 4 |                 | Relationship of transferor to transferee |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e g , real estate,<br>2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired<br>P—Purchase<br>D—Donation | (c) Date acquired<br>(mo , day, yr ) | (d) Date sold<br>(mo , day, yr ) |
|--------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------|--------------------------------------|----------------------------------|
| 470 SHS AON                                                                                                                          | P                                            | 2010-10-15                           | 2012-05-03                       |
| 1255 SHS AON                                                                                                                         | P                                            | 2010-10-14                           | 2012-04-02                       |
| 250 SHS AON                                                                                                                          | P                                            | 2010-10-15                           | 2012-04-02                       |
| 1070 SHS AON                                                                                                                         | P                                            | 2010-10-18                           | 2012-04-02                       |
| 260 SHS AON                                                                                                                          | P                                            | 2010-10-19                           | 2012-04-02                       |
| 515 SHS AON                                                                                                                          | P                                            | 2010-10-21                           | 2012-04-02                       |
| 470 SHS AON                                                                                                                          | P                                            | 2010-10-15                           | 2012-04-02                       |
| 375 SHS CCK                                                                                                                          | P                                            | 2011-03-15                           | 2012-01-05                       |
| 180 SHS CCK                                                                                                                          | P                                            | 2011-03-15                           | 2012-04-09                       |
| 250 SHS CCK                                                                                                                          | P                                            | 2011-04-27                           | 2012-04-12                       |
| 15 SHS CCK                                                                                                                           | P                                            | 2011-04-27                           | 2012-04-13                       |
| 245 SHS CCK                                                                                                                          | P                                            | 2011-03-15                           | 2012-04-17                       |
| 90 SHS CCK                                                                                                                           | P                                            | 2011-04-27                           | 2012-04-17                       |
| 190 SHS CCK                                                                                                                          | P                                            | 2011-01-21                           | 2012-04-17                       |
| 30 SHS CCK                                                                                                                           | P                                            | 2011-01-21                           | 2012-04-18                       |
| 380 SHS CCK                                                                                                                          | P                                            | 2011-01-21                           | 2012-10-15                       |
| 115 SHS CCK                                                                                                                          | P                                            | 2011-01-21                           | 2012-10-16                       |
| 160 SHS CCK                                                                                                                          | P                                            | 2011-01-19                           | 2012-10-16                       |
| 115 SHS CCK                                                                                                                          | P                                            | 2011-01-19                           | 2012-10-17                       |
| 40 SHS CCK                                                                                                                           | P                                            | 2010-11-19                           | 2012-10-17                       |
| 175 SHS CCK                                                                                                                          | P                                            | 2010-11-23                           | 2012-10-17                       |
| 260 SHS CCK                                                                                                                          | P                                            | 2011-01-20                           | 2012-10-17                       |
| 265 SHS COH                                                                                                                          | P                                            | 2011-08-24                           | 2012-01-24                       |
| 120 SHS COH                                                                                                                          | P                                            | 2011-08-26                           | 2012-01-24                       |
| 360 SHS COH                                                                                                                          | P                                            | 2011-09-29                           | 2012-01-24                       |
| 45 SHS COH                                                                                                                           | P                                            | 2011-10-03                           | 2012-01-24                       |
| 275 SHS COH                                                                                                                          | P                                            | 2011-10-03                           | 2012-01-24                       |
| 120 SHS COH                                                                                                                          | P                                            | 2011-10-04                           | 2012-01-25                       |
| 360 SHS COH                                                                                                                          | P                                            | 2011-08-23                           | 2012-01-24                       |
| 215 SHS COH                                                                                                                          | P                                            | 2011-08-23                           | 2012-01-25                       |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired<br>P—Purchase<br>D—Donation | (c) Date acquired<br>(mo , day, yr ) | (d) Date sold<br>(mo , day, yr ) |
|-----------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------|--------------------------------------|----------------------------------|
| 70 SHS DNB                                                                                                                        | P                                            | 2012-01-26                           | 2012-12-10                       |
| 40 SHS DNB                                                                                                                        | P                                            | 2012-01-27                           | 2012-12-10                       |
| 50 SHS DNB                                                                                                                        | P                                            | 2012-02-23                           | 2012-12-10                       |
| 65 SHS DNB                                                                                                                        | P                                            | 2012-02-23                           | 2012-12-11                       |
| 30 SHS DNB                                                                                                                        | P                                            | 2012-02-23                           | 2012-12-12                       |
| 45 SHS DNB                                                                                                                        | P                                            | 2012-02-22                           | 2012-12-18                       |
| 35 SHS DNB                                                                                                                        | P                                            | 2012-01-30                           | 2012-12-18                       |
| 25 SHS DNB                                                                                                                        | P                                            | 2012-02-23                           | 2012-12-18                       |
| 50 SHS DNB                                                                                                                        | P                                            | 2012-02-22                           | 2012-12-19                       |
| 130 SHS LH                                                                                                                        | P                                            | 2011-07-27                           | 2012-03-05                       |
| 160 SHS LH                                                                                                                        | P                                            | 2011-08-01                           | 2012-03-05                       |
| 185 SHS LH                                                                                                                        | P                                            | 2011-08-02                           | 2012-03-05                       |
| 145 SHS LH                                                                                                                        | P                                            | 2011-08-03                           | 2012-03-05                       |
| 10 SHS LH                                                                                                                         | P                                            | 2011-08-04                           | 2012-03-05                       |
| 100 SHS LH                                                                                                                        | P                                            | 2011-08-04                           | 2012-03-19                       |
| 130 SHS LH                                                                                                                        | P                                            | 2011-08-04                           | 2012-03-26                       |
| 40 SHS LH                                                                                                                         | P                                            | 2002-10-29                           | 2012-03-26                       |
| 90 SHS LH                                                                                                                         | P                                            | 2011-08-08                           | 2012-04-02                       |
| 25 SHS LH                                                                                                                         | P                                            | 2002-10-29                           | 2012-04-02                       |
| 115 SHS LH                                                                                                                        | P                                            | 2011-11-09                           | 2012-07-17                       |
| 95 SHS LH                                                                                                                         | P                                            | 2011-08-08                           | 2012-07-17                       |
| 200 SHS LMT                                                                                                                       | P                                            | 2009-05-04                           | 2012-01-10                       |
| 65 SHS LMT                                                                                                                        | P                                            | 2009-05-04                           | 2012-03-05                       |
| 250 SHS LMT                                                                                                                       | P                                            | 2009-04-16                           | 2012-03-05                       |
| 80 SHS LMT                                                                                                                        | P                                            | 2009-07-22                           | 2012-03-05                       |
| 195 SHS LMT                                                                                                                       | P                                            | 2009-07-22                           | 2012-04-02                       |
| 75 SHS LMT                                                                                                                        | P                                            | 2009-08-10                           | 2012-04-02                       |
| 75 SHS LMT                                                                                                                        | P                                            | 2009-08-10                           | 2012-07-17                       |
| 320 SHS LMT                                                                                                                       | P                                            | 2009-08-11                           | 2012-07-17                       |
| 30 SHS LMT                                                                                                                        | P                                            | 2009-08-11                           | 2012-11-01                       |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired<br>P—Purchase<br>D—Donation | (c) Date acquired<br>(mo , day, yr ) | (d) Date sold<br>(mo , day, yr ) |
|-----------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------|--------------------------------------|----------------------------------|
| 25 SHS LMT                                                                                                                        | P                                            | 2009-09-08                           | 2012-11-01                       |
| 450 SHS LMT                                                                                                                       | P                                            | 2009-09-08                           | 2012-11-01                       |
| 25 SHS LMT                                                                                                                        | P                                            | 2009-09-08                           | 2012-11-01                       |
| 20 SHS LMT                                                                                                                        | P                                            | 2009-04-15                           | 2012-11-01                       |
| 145 SHS LMT                                                                                                                       | P                                            | 2009-04-15                           | 2012-11-02                       |
| 205 SHS LMT                                                                                                                       | P                                            | 2009-04-15                           | 2012-12-10                       |
| 40 SHS LMT                                                                                                                        | P                                            | 2009-04-15                           | 2012-12-11                       |
| 50 SHS LMT                                                                                                                        | P                                            | 2009-09-09                           | 2012-12-12                       |
| 65 SHS LMT                                                                                                                        | P                                            | 2009-04-15                           | 2012-12-12                       |
| 35 SHS LMT                                                                                                                        | P                                            | 2009-04-13                           | 2012-12-12                       |
| 420 SHS LMT                                                                                                                       | P                                            | 2009-04-13                           | 2012-12-27                       |
| 560 SHS TPX                                                                                                                       | P                                            | 2011-01-21                           | 2012-01-25                       |
| 130 SHS TPX                                                                                                                       | P                                            | 2011-02-16                           | 2012-03-05                       |
| 275 SHS TPX                                                                                                                       | P                                            | 2011-02-15                           | 2012-03-05                       |
| 210 SHS TPX                                                                                                                       | P                                            | 2011-02-16                           | 2012-03-07                       |
| 50 SHS TPX                                                                                                                        | P                                            | 2011-03-02                           | 2012-03-07                       |
| 240 SHS TPX                                                                                                                       | P                                            | 2011-03-02                           | 2012-03-08                       |
| 20 SHS TPX                                                                                                                        | P                                            | 2011-03-02                           | 2012-03-19                       |
| 240 SHS TPX                                                                                                                       | P                                            | 2011-03-02                           | 2012-03-26                       |
| 10 SHS TPX                                                                                                                        | P                                            | 2011-03-02                           | 2012-03-27                       |
| 15 SHS TPX                                                                                                                        | P                                            | 2011-03-01                           | 2012-03-27                       |
| 185 SHS TPX                                                                                                                       | P                                            | 2011-03-01                           | 2012-04-02                       |
| 205 SHS TPX                                                                                                                       | P                                            | 2011-03-01                           | 2012-04-03                       |
| 15 SHS TPX                                                                                                                        | P                                            | 2011-03-01                           | 2012-04-09                       |
| 220 SHS TPX                                                                                                                       | P                                            | 2011-03-01                           | 2012-04-13                       |
| 5 SHS TPX                                                                                                                         | P                                            | 2011-03-01                           | 2012-04-16                       |
| 195 SHS TPX                                                                                                                       | P                                            | 2011-02-23                           | 2012-04-16                       |
| 35 SHS TPX                                                                                                                        | P                                            | 2011-02-24                           | 2012-04-16                       |
| 160 SHS TPX                                                                                                                       | P                                            | 2011-02-24                           | 2012-04-18                       |
| 265 SHS TWC                                                                                                                       | P                                            | 2011-08-17                           | 2012-06-07                       |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired<br>P—Purchase<br>D—Donation | (c) Date acquired<br>(mo , day, yr ) | (d) Date sold<br>(mo , day, yr ) |
|-----------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------|--------------------------------------|----------------------------------|
| 390 SHS TWC                                                                                                                       | P                                            | 2011-08-17                           | 2012-06-08                       |
| 325 SHS TWC                                                                                                                       | P                                            | 2010-01-14                           | 2012-06-08                       |
| 25 SHS TWC                                                                                                                        | P                                            | 2010-01-28                           | 2012-06-08                       |
| 425 SHS TWC                                                                                                                       | P                                            | 2010-01-28                           | 2012-07-13                       |
| 45 SHS TWC                                                                                                                        | P                                            | 2010-01-28                           | 2012-07-16                       |
| 325 SHS TWC                                                                                                                       | P                                            | 2010-01-15                           | 2012-07-16                       |
| 380 SHS TWC                                                                                                                       | P                                            | 2010-01-15                           | 2012-08-10                       |
| 560 SHS TWC                                                                                                                       | P                                            | 2010-01-15                           | 2012-09-28                       |
| 370 SHS TWC                                                                                                                       | P                                            | 2010-01-15                           | 2012-12-11                       |
| 270 SHS TWC                                                                                                                       | P                                            | 2010-02-08                           | 2012-12-12                       |
| 295 SHS TWC                                                                                                                       | P                                            | 2010-01-15                           | 2012-12-12                       |
| 2030 SHS USB                                                                                                                      | P                                            | 2010-09-29                           | 2012-03-13                       |
| 400 SHS USB                                                                                                                       | P                                            | 2010-09-29                           | 2012-05-10                       |
| 495 SHS USB                                                                                                                       | P                                            | 2009-02-05                           | 2012-05-10                       |
| 1195 SHS USB                                                                                                                      | P                                            | 2009-02-05                           | 2012-06-12                       |
| 480 SHS USB                                                                                                                       | P                                            | 2009-02-05                           | 2012-07-17                       |
| 1040 SHS USB                                                                                                                      | P                                            | 2009-02-05                           | 2012-07-27                       |
| 940 SHS USB                                                                                                                       | P                                            | 2009-02-12                           | 2012-07-27                       |
| 535 SHS USB                                                                                                                       | P                                            | 2009-02-12                           | 2012-12-11                       |
| 1585 SHS USB                                                                                                                      | P                                            | 2009-02-19                           | 2012-12-11                       |
| 640 SHS USB                                                                                                                       | P                                            | 2009-02-19                           | 2012-12-12                       |
| 25 SHS USB                                                                                                                        | P                                            | 2009-02-19                           | 2012-12-17                       |
| 750 SHS USB                                                                                                                       | P                                            | 2009-02-24                           | 2012-12-17                       |
| 18621 SHS TNGO                                                                                                                    | D                                            | 2000-09-15                           | 2012-03-26                       |
| 16400 SHS TNGO                                                                                                                    | D                                            | 2000-09-15                           | 2012-03-27                       |
| 13959 SHS TNGO                                                                                                                    | D                                            | 2000-09-15                           | 2012-03-28                       |
| 10397 SHS TNGO                                                                                                                    | D                                            | 2000-09-15                           | 2012-03-30                       |
| 4000 SHS TNGO                                                                                                                     | D                                            | 2000-09-15                           | 2012-04-02                       |
| 4186 SHS TNGO                                                                                                                     | D                                            | 2000-09-15                           | 2012-04-04                       |
| 14800 SHS TNGO                                                                                                                    | D                                            | 2000-09-15                           | 2012-04-05                       |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| <b>(a)</b> List and describe the kind(s) of property sold (e g , real estate,<br>2-story brick warehouse, or common stock, 200 shs MLC Co ) | <b>(b)</b> How acquired<br>P—Purchase<br>D—Donation | <b>(c)</b> Date acquired<br>(mo , day, yr ) | <b>(d)</b> Date sold<br>(mo , day, yr ) |
|---------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------|---------------------------------------------|-----------------------------------------|
| 9100 SHS TNGO                                                                                                                               | D                                                   | 2000-09-15                                  | 2012-04-09                              |
| 900 SHS TNGO                                                                                                                                | D                                                   | 2000-09-15                                  | 2012-04-10                              |
| 27595 SHS TNGO                                                                                                                              | D                                                   | 2000-09-15                                  | 2012-04-11                              |
| 1272 SHS TNGO                                                                                                                               | D                                                   | 2000-09-15                                  | 2012-04-11                              |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| 24,162                |                                            | 23,068                                          | 1,094                                        |
| 61,595                |                                            | 49,464                                          | 12,131                                       |
| 12,270                |                                            | 5,431                                           | 6,839                                        |
| 52,516                |                                            | 42,600                                          | 9,916                                        |
| 12,761                |                                            | 10,348                                          | 2,413                                        |
| 25,276                |                                            | 20,655                                          | 4,621                                        |
| 23,068                |                                            | 23,052                                          | 16                                           |
| 12,819                |                                            | 14,010                                          | -1,191                                       |
| 6,762                 |                                            | 6,725                                           | 37                                           |
| 9,244                 |                                            | 9,302                                           | -58                                          |
| 555                   |                                            | 558                                             | -3                                           |
| 9,158                 |                                            | 9,153                                           | 5                                            |
| 3,364                 |                                            | 3,349                                           | 15                                           |
| 7,102                 |                                            | 6,290                                           | 812                                          |
| 1,136                 |                                            | 993                                             | 143                                          |
| 14,151                |                                            | 12,580                                          | 1,571                                        |
| 4,296                 |                                            | 3,807                                           | 489                                          |
| 5,976                 |                                            | 5,279                                           | 697                                          |
| 4,315                 |                                            | 3,795                                           | 520                                          |
| 1,501                 |                                            | 1,237                                           | 264                                          |
| 6,566                 |                                            | 5,403                                           | 1,163                                        |
| 9,756                 |                                            | 8,558                                           | 1,198                                        |
| 18,069                |                                            | 13,728                                          | 4,341                                        |
| 8,182                 |                                            | 6,225                                           | 1,957                                        |
| 24,547                |                                            | 19,077                                          | 5,470                                        |
| 3,068                 |                                            | 2,296                                           | 772                                          |
| 18,751                |                                            | 14,011                                          | 4,740                                        |
| 8,164                 |                                            | 6,024                                           | 2,140                                        |
| 24,547                |                                            | 18,090                                          | 6,457                                        |
| 14,627                |                                            | 10,804                                          | 3,823                                        |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| 5,699                 |                                            | 5,801                                           | -102                                         |
| 3,257                 |                                            | 3,304                                           | -47                                          |
| 4,071                 |                                            | 4,123                                           | -52                                          |
| 5,348                 |                                            | 5,360                                           | -12                                          |
| 2,431                 |                                            | 2,474                                           | -43                                          |
| 3,746                 |                                            | 3,658                                           | 88                                           |
| 2,914                 |                                            | 2,885                                           | 29                                           |
| 2,081                 |                                            | 2,062                                           | 19                                           |
| 4,187                 |                                            | 4,064                                           | 123                                          |
| 11,625                |                                            | 11,745                                          | -120                                         |
| 14,308                |                                            | 14,044                                          | 264                                          |
| 16,543                |                                            | 16,219                                          | 324                                          |
| 12,966                |                                            | 12,525                                          | 441                                          |
| 894                   |                                            | 863                                             | 31                                           |
| 9,027                 |                                            | 8,634                                           | 393                                          |
| 11,905                |                                            | 11,225                                          | 680                                          |
| 3,663                 |                                            | 797                                             | 2,866                                        |
| 8,325                 |                                            | 7,531                                           | 794                                          |
| 2,313                 |                                            | 498                                             | 1,815                                        |
| 10,887                |                                            | 9,271                                           | 1,616                                        |
| 8,993                 |                                            | 7,949                                           | 1,044                                        |
| 16,129                |                                            | 16,030                                          | 99                                           |
| 5,769                 |                                            | 5,210                                           | 559                                          |
| 22,187                |                                            | 19,084                                          | 3,103                                        |
| 7,100                 |                                            | 6,096                                           | 1,004                                        |
| 17,676                |                                            | 14,860                                          | 2,816                                        |
| 6,798                 |                                            | 5,694                                           | 1,104                                        |
| 6,538                 |                                            | 5,694                                           | 844                                          |
| 27,897                |                                            | 24,200                                          | 3,697                                        |
| 2,826                 |                                            | 2,269                                           | 557                                          |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| 2,355                 |                                            | 1,857                                           | 498                                          |
| 42,393                |                                            | 33,431                                          | 8,962                                        |
| 2,355                 |                                            | 1,857                                           | 498                                          |
| 1,884                 |                                            | 1,479                                           | 405                                          |
| 13,655                |                                            | 10,721                                          | 2,934                                        |
| 18,962                |                                            | 15,158                                          | 3,804                                        |
| 3,695                 |                                            | 2,958                                           | 737                                          |
| 4,622                 |                                            | 3,683                                           | 939                                          |
| 6,008                 |                                            | 4,806                                           | 1,202                                        |
| 3,235                 |                                            | 2,575                                           | 660                                          |
| 38,872                |                                            | 30,898                                          | 7,974                                        |
| 37,938                |                                            | 24,038                                          | 13,900                                       |
| 10,382                |                                            | 6,108                                           | 4,274                                        |
| 21,962                |                                            | 12,929                                          | 9,033                                        |
| 16,763                |                                            | 9,867                                           | 6,896                                        |
| 3,991                 |                                            | 2,343                                           | 1,648                                        |
| 19,301                |                                            | 11,248                                          | 8,053                                        |
| 1,675                 |                                            | 937                                             | 738                                          |
| 20,263                |                                            | 11,248                                          | 9,015                                        |
| 845                   |                                            | 469                                             | 376                                          |
| 1,267                 |                                            | 696                                             | 571                                          |
| 15,773                |                                            | 8,583                                           | 7,190                                        |
| 17,607                |                                            | 9,511                                           | 8,096                                        |
| 1,294                 |                                            | 696                                             | 598                                          |
| 18,912                |                                            | 10,207                                          | 8,705                                        |
| 433                   |                                            | 232                                             | 201                                          |
| 16,894                |                                            | 9,034                                           | 7,860                                        |
| 3,032                 |                                            | 1,618                                           | 1,414                                        |
| 13,921                |                                            | 7,394                                           | 6,527                                        |
| 20,138                |                                            | 17,199                                          | 2,939                                        |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| 29,644                |                                            | 25,311                                          | 4,333                                        |
| 24,703                |                                            | 15,021                                          | 9,682                                        |
| 1,900                 |                                            | 1,144                                           | 756                                          |
| 35,158                |                                            | 19,452                                          | 15,706                                       |
| 3,730                 |                                            | 2,060                                           | 1,670                                        |
| 26,938                |                                            | 14,834                                          | 12,104                                       |
| 33,808                |                                            | 17,344                                          | 16,464                                       |
| 53,328                |                                            | 25,559                                          | 27,769                                       |
| 34,864                |                                            | 16,887                                          | 17,977                                       |
| 25,496                |                                            | 12,155                                          | 13,341                                       |
| 27,856                |                                            | 13,464                                          | 14,392                                       |
| 61,182                |                                            | 44,132                                          | 17,050                                       |
| 12,779                |                                            | 8,696                                           | 4,083                                        |
| 15,814                |                                            | 7,422                                           | 8,392                                        |
| 36,304                |                                            | 17,919                                          | 18,385                                       |
| 15,811                |                                            | 7,197                                           | 8,614                                        |
| 35,314                |                                            | 15,594                                          | 19,720                                       |
| 31,918                |                                            | 12,701                                          | 19,217                                       |
| 17,189                |                                            | 7,229                                           | 9,960                                        |
| 50,924                |                                            | 17,816                                          | 33,108                                       |
| 20,501                |                                            | 7,194                                           | 13,307                                       |
| 799                   |                                            | 281                                             | 518                                          |
| 23,956                |                                            | 8,281                                           | 15,675                                       |
| 354,655               |                                            | 182,237                                         | 172,418                                      |
| 317,290               |                                            | 160,501                                         | 156,789                                      |
| 259,412               |                                            | 136,612                                         | 122,800                                      |
| 195,806               |                                            | 101,752                                         | 94,054                                       |
| 76,628                |                                            | 39,147                                          | 37,481                                       |
| 78,720                |                                            | 40,967                                          | 37,753                                       |
| 281,537               |                                            | 144,842                                         | 136,695                                      |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| 169,050               |                                            | 89,059                                          | 79,991                                       |
| 16,616                |                                            | 8,808                                           | 7,808                                        |
| 497,888               |                                            | 270,063                                         | 227,825                                      |
| 22,950                |                                            | 3,163                                           | 19,787                                       |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |                                   |                                            | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---------------------------------------------------------------------------------------------|-----------------------------------|--------------------------------------------|----------------------------------------------------------------------------------------|
| (i) F M V as of 12/31/69                                                                    | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any |                                                                                        |
|                                                                                             |                                   |                                            | 1,094                                                                                  |
|                                                                                             |                                   |                                            | 12,131                                                                                 |
|                                                                                             |                                   |                                            | 6,839                                                                                  |
|                                                                                             |                                   |                                            | 9,916                                                                                  |
|                                                                                             |                                   |                                            | 2,413                                                                                  |
|                                                                                             |                                   |                                            | 4,621                                                                                  |
|                                                                                             |                                   |                                            | 16                                                                                     |
|                                                                                             |                                   |                                            | -1,191                                                                                 |
|                                                                                             |                                   |                                            | 37                                                                                     |
|                                                                                             |                                   |                                            | -58                                                                                    |
|                                                                                             |                                   |                                            | -3                                                                                     |
|                                                                                             |                                   |                                            | 5                                                                                      |
|                                                                                             |                                   |                                            | 15                                                                                     |
|                                                                                             |                                   |                                            | 812                                                                                    |
|                                                                                             |                                   |                                            | 143                                                                                    |
|                                                                                             |                                   |                                            | 1,571                                                                                  |
|                                                                                             |                                   |                                            | 489                                                                                    |
|                                                                                             |                                   |                                            | 697                                                                                    |
|                                                                                             |                                   |                                            | 520                                                                                    |
|                                                                                             |                                   |                                            | 264                                                                                    |
|                                                                                             |                                   |                                            | 1,163                                                                                  |
|                                                                                             |                                   |                                            | 1,198                                                                                  |
|                                                                                             |                                   |                                            | 4,341                                                                                  |
|                                                                                             |                                   |                                            | 1,957                                                                                  |
|                                                                                             |                                   |                                            | 5,470                                                                                  |
|                                                                                             |                                   |                                            | 772                                                                                    |
|                                                                                             |                                   |                                            | 4,740                                                                                  |
|                                                                                             |                                   |                                            | 2,140                                                                                  |
|                                                                                             |                                   |                                            | 6,457                                                                                  |
|                                                                                             |                                   |                                            | 3,823                                                                                  |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |                                   |                                            | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---------------------------------------------------------------------------------------------|-----------------------------------|--------------------------------------------|----------------------------------------------------------------------------------------|
| (i) F M V as of 12/31/69                                                                    | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any |                                                                                        |
|                                                                                             |                                   |                                            | -102                                                                                   |
|                                                                                             |                                   |                                            | -47                                                                                    |
|                                                                                             |                                   |                                            | -52                                                                                    |
|                                                                                             |                                   |                                            | -12                                                                                    |
|                                                                                             |                                   |                                            | -43                                                                                    |
|                                                                                             |                                   |                                            | 88                                                                                     |
|                                                                                             |                                   |                                            | 29                                                                                     |
|                                                                                             |                                   |                                            | 19                                                                                     |
|                                                                                             |                                   |                                            | 123                                                                                    |
|                                                                                             |                                   |                                            | -120                                                                                   |
|                                                                                             |                                   |                                            | 264                                                                                    |
|                                                                                             |                                   |                                            | 324                                                                                    |
|                                                                                             |                                   |                                            | 441                                                                                    |
|                                                                                             |                                   |                                            | 31                                                                                     |
|                                                                                             |                                   |                                            | 393                                                                                    |
|                                                                                             |                                   |                                            | 680                                                                                    |
|                                                                                             |                                   |                                            | 2,866                                                                                  |
|                                                                                             |                                   |                                            | 794                                                                                    |
|                                                                                             |                                   |                                            | 1,815                                                                                  |
|                                                                                             |                                   |                                            | 1,616                                                                                  |
|                                                                                             |                                   |                                            | 1,044                                                                                  |
|                                                                                             |                                   |                                            | 99                                                                                     |
|                                                                                             |                                   |                                            | 559                                                                                    |
|                                                                                             |                                   |                                            | 3,103                                                                                  |
|                                                                                             |                                   |                                            | 1,004                                                                                  |
|                                                                                             |                                   |                                            | 2,816                                                                                  |
|                                                                                             |                                   |                                            | 1,104                                                                                  |
|                                                                                             |                                   |                                            | 844                                                                                    |
|                                                                                             |                                   |                                            | 3,697                                                                                  |
|                                                                                             |                                   |                                            | 557                                                                                    |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |                                   |                                            | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---------------------------------------------------------------------------------------------|-----------------------------------|--------------------------------------------|----------------------------------------------------------------------------------------|
| (i) F M V as of 12/31/69                                                                    | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any |                                                                                        |
|                                                                                             |                                   |                                            | 498                                                                                    |
|                                                                                             |                                   |                                            | 8,962                                                                                  |
|                                                                                             |                                   |                                            | 498                                                                                    |
|                                                                                             |                                   |                                            | 405                                                                                    |
|                                                                                             |                                   |                                            | 2,934                                                                                  |
|                                                                                             |                                   |                                            | 3,804                                                                                  |
|                                                                                             |                                   |                                            | 737                                                                                    |
|                                                                                             |                                   |                                            | 939                                                                                    |
|                                                                                             |                                   |                                            | 1,202                                                                                  |
|                                                                                             |                                   |                                            | 660                                                                                    |
|                                                                                             |                                   |                                            | 7,974                                                                                  |
|                                                                                             |                                   |                                            | 13,900                                                                                 |
|                                                                                             |                                   |                                            | 4,274                                                                                  |
|                                                                                             |                                   |                                            | 9,033                                                                                  |
|                                                                                             |                                   |                                            | 6,896                                                                                  |
|                                                                                             |                                   |                                            | 1,648                                                                                  |
|                                                                                             |                                   |                                            | 8,053                                                                                  |
|                                                                                             |                                   |                                            | 738                                                                                    |
|                                                                                             |                                   |                                            | 9,015                                                                                  |
|                                                                                             |                                   |                                            | 376                                                                                    |
|                                                                                             |                                   |                                            | 571                                                                                    |
|                                                                                             |                                   |                                            | 7,190                                                                                  |
|                                                                                             |                                   |                                            | 8,096                                                                                  |
|                                                                                             |                                   |                                            | 598                                                                                    |
|                                                                                             |                                   |                                            | 8,705                                                                                  |
|                                                                                             |                                   |                                            | 201                                                                                    |
|                                                                                             |                                   |                                            | 7,860                                                                                  |
|                                                                                             |                                   |                                            | 1,414                                                                                  |
|                                                                                             |                                   |                                            | 6,527                                                                                  |
|                                                                                             |                                   |                                            | 2,939                                                                                  |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |                                   |                                            | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---------------------------------------------------------------------------------------------|-----------------------------------|--------------------------------------------|----------------------------------------------------------------------------------------|
| (i) F M V as of 12/31/69                                                                    | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any |                                                                                        |
|                                                                                             |                                   |                                            | 4,333                                                                                  |
|                                                                                             |                                   |                                            | 9,682                                                                                  |
|                                                                                             |                                   |                                            | 756                                                                                    |
|                                                                                             |                                   |                                            | 15,706                                                                                 |
|                                                                                             |                                   |                                            | 1,670                                                                                  |
|                                                                                             |                                   |                                            | 12,104                                                                                 |
|                                                                                             |                                   |                                            | 16,464                                                                                 |
|                                                                                             |                                   |                                            | 27,769                                                                                 |
|                                                                                             |                                   |                                            | 17,977                                                                                 |
|                                                                                             |                                   |                                            | 13,341                                                                                 |
|                                                                                             |                                   |                                            | 14,392                                                                                 |
|                                                                                             |                                   |                                            | 17,050                                                                                 |
|                                                                                             |                                   |                                            | 4,083                                                                                  |
|                                                                                             |                                   |                                            | 8,392                                                                                  |
|                                                                                             |                                   |                                            | 18,385                                                                                 |
|                                                                                             |                                   |                                            | 8,614                                                                                  |
|                                                                                             |                                   |                                            | 19,720                                                                                 |
|                                                                                             |                                   |                                            | 19,217                                                                                 |
|                                                                                             |                                   |                                            | 9,960                                                                                  |
|                                                                                             |                                   |                                            | 33,108                                                                                 |
|                                                                                             |                                   |                                            | 13,307                                                                                 |
|                                                                                             |                                   |                                            | 518                                                                                    |
|                                                                                             |                                   |                                            | 15,675                                                                                 |
|                                                                                             |                                   |                                            | 172,418                                                                                |
|                                                                                             |                                   |                                            | 156,789                                                                                |
|                                                                                             |                                   |                                            | 122,800                                                                                |
|                                                                                             |                                   |                                            | 94,054                                                                                 |
|                                                                                             |                                   |                                            | 37,481                                                                                 |
|                                                                                             |                                   |                                            | 37,753                                                                                 |
|                                                                                             |                                   |                                            | 136,695                                                                                |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |                                   |                                            | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---------------------------------------------------------------------------------------------|-----------------------------------|--------------------------------------------|----------------------------------------------------------------------------------------|
| (i) F M V as of 12/31/69                                                                    | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any |                                                                                        |
|                                                                                             |                                   |                                            | 79,991                                                                                 |
|                                                                                             |                                   |                                            | 7,808                                                                                  |
|                                                                                             |                                   |                                            | 227,825                                                                                |
|                                                                                             |                                   |                                            | 19,787                                                                                 |

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

| (a) Name and address                     | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|------------------------------------------|-----------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------|---------------------------------------|
| Henry Kaufman                            | Pres/Treas/Dir<br>0 0                                     | 0                                         | 0                                                                     | 0                                     |
| 590 Madison Avenue<br>New York, NY 10022 |                                                           |                                           |                                                                       |                                       |
| Elaine Kaufman                           | VP/Dir<br>0 0                                             | 0                                         | 0                                                                     | 0                                     |
| 590 Madison Avenue<br>New York, NY 10022 |                                                           |                                           |                                                                       |                                       |
| Daniel S Kaufman                         | Sec/Dir<br>0 0                                            | 0                                         | 0                                                                     | 0                                     |
| 590 Madison Avenue<br>New York, NY 10022 |                                                           |                                           |                                                                       |                                       |
| Glenn D Kaufman                          | Dir<br>0 0                                                | 0                                         | 0                                                                     | 0                                     |
| 590 Madison Avenue<br>New York, NY 10022 |                                                           |                                           |                                                                       |                                       |
| Craig S Kaufman                          | Dir<br>0 0                                                | 0                                         | 0                                                                     | 0                                     |
| 590 Madison Avenue<br>New York, NY 10022 |                                                           |                                           |                                                                       |                                       |

**Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).**

|                |
|----------------|
| HENRY KAUFMAN  |
| ELAINE KAUFMAN |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient                                                                                                   | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|-------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|----------------------------------|--------|
| Name and address (home or business)                                                                         |                                                                                                           |                                |                                  |        |
| <b>a</b> <i>Paid during the year</i>                                                                        |                                                                                                           |                                |                                  |        |
| American Diabetes Association<br>1701 N Beauregard St<br>Alexandria, VA 22311                               | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 9,250  |
| American Friends of Israel<br>Philharmonic 122 East 42nd Street<br>New York, NY 10168                       | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 2,600  |
| American Friends of Tel-Aviv<br>University 39 Broadway<br>New York, NY 10006                                | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 800    |
| American Friends of the Israel<br>Museum 500 Fifth Ave<br>New York, NY 10110                                | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 2,200  |
| American Friends of the Israel<br>Museum 500 Fifth Ave<br>New York, NY 10110                                | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 2,300  |
| American Jewish Committee 165<br>East 56th Street<br>New York, NY 10022                                     | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 1,000  |
| Austen Riggs Center 25 Main Street<br>Stockbridge, MA 01262                                                 | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 35,000 |
| Austen Riggs Center 25 Main Street<br>Stockbridge, MA 01262                                                 | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 15,000 |
| Bergen Volunteer Medical Initiative<br>Inc 241 Moore Street<br>Hackensack, NJ 07601                         | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 4,000  |
| Callen-Lorde Community Health<br>Center 356 West 18th St<br>New York, NY 10011                              | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 1,000  |
| Camp Sunshine 1850 Clairmont Rd<br>Decatur, GA 30033                                                        | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 25,000 |
| Camp Sunshine 1850 Clairmont Rd<br>Decatur, GA 30033                                                        | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 10,000 |
| Center for Financial Stability 30<br>Rockefeller Plaza<br>New York, NY 10112                                | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 10,000 |
| Center for Jewish Life PO Box<br>20526<br>Stanford, CA 94309                                                | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 500    |
| Cerebral Palsy International<br>Research Fdn 186 Princeton<br>Hightstown Rd<br>Princeton Junction, NJ 08550 | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 5,000  |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient                                                                           | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|-------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|----------------------------------|--------|
| Name and address (home or business)                                                 |                                                                                                           |                                |                                  |        |
| <b>a</b> <i>Paid during the year</i>                                                |                                                                                                           |                                |                                  |        |
| Chabad Jewish Center of NW Bergen Cty 375 Pulis Avenue Franklin Lakes, NJ 07417     | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 5,000  |
| Circle of Tapawingo 166 Tapawingo Road Sweden, ME 04040                             | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 2,600  |
| Council for Economic Education 122 East 42nd Street Suite 2600 New York, NY 10168   | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 1,000  |
| Council on Foreign Relations 58 E 68th St New York, NY 10065                        | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 20,000 |
| Cystic Fibrosis Foundation 8011 34th Ave S Bloomington, MN 55425                    | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 1,000  |
| Dwight-Englewood School 315 E Palisade Ave Englewood, NJ 07631                      | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 2,500  |
| Education Through Music 122 E 42nd St New York, NY 10168                            | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 1,000  |
| Franklin Lakes PBA Local #150 PO Box 46 Franklin Lakes, NJ 07417                    | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 100    |
| Franklin Lakes Volunteer Ambulance Corp PO Box 302 Franklin Lakes, NJ 07417         | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 200    |
| Franklin Lakes Volunteer Ambulance Corp PO Box 302 Franklin Lakes, NJ 07417         | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 200    |
| Franklin Lakes Volunteer Fire Department 480 DeKorte Drive Franklin Lakes, NJ 07417 | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 100    |
| Friends of the IDF 1430 Broadway New York, NY 10018                                 | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 250    |
| Friends of the IDF 1430 Broadway New York, NY 10018                                 | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 200    |
| Georgia State University Foundation One Park Place Suite 533 Atlanta, GA 30303      | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 100    |
| Glen Rock Jewish Center 682 Harristown Road Glen Rock, NJ 07452                     | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 1,975  |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient                                                                             | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount  |
|---------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|----------------------------------|---------|
| Name and address (home or business)                                                   |                                                                                                           |                                |                                  |         |
| <b>a</b> <i>Paid during the year</i>                                                  |                                                                                                           |                                |                                  |         |
| Glen Rock Jewish Center 682 Harristown Road<br>Glen Rock, NJ 07452                    | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 5,000   |
| Greenville Rescue Squad Inc PO Box 332<br>Greenville, NY 12083                        | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 500     |
| Institute of International Education 809 United Nations Plaza<br>New York, NY 10017   | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 20,000  |
| Institute of International Education 809 United Nations Plaza<br>New York, NY 10017   | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 23,100  |
| Institute of International Education 809 United Nations Plaza<br>New York, NY 10017   | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 200,000 |
| Institute of International Education 809 United Nations Plaza<br>New York, NY 10017   | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 1,500   |
| Institute of International Education 809 United Nations Plaza<br>New York, NY 10017   | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 20,000  |
| International Rescue Committee 122 E 42nd St<br>New York, NY 10168                    | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 5,000   |
| JASA 247 W 37th St<br>New York, NY 10018                                              | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 1,000   |
| Jewish Family & Career Services 4549 Chamblee Dunwoody Rd<br>Atlanta, GA 30338        | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 500     |
| Jewish Federation 111 Eighth Ave<br>New York, NY 10011                                | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 4,000   |
| Jewish Federation of Northern New Jersey 50 Eisenhower Drive<br>Paramus, NJ 07652     | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 65,000  |
| Jewish Federation of Northern New Jersey 50 Eisenhower Drive<br>Paramus, NJ 07652     | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 10,000  |
| Jewish Federation of Palm Beach County 4601 Community Dr<br>West Palm Beach, FL 33417 | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 3,000   |
| Jewish Federation of Palm Beach County 4601 Community Dr<br>West Palm Beach, FL 33417 | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 3,000   |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient                                                                                      | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount  |
|------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|----------------------------------|---------|
| Name and address (home or business)                                                            |                                                                                                           |                                |                                  |         |
| <b>a</b> <i>Paid during the year</i>                                                           |                                                                                                           |                                |                                  |         |
| Kaufman Center 129 West 67th Street<br>New York, NY 10023                                      | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 1,000   |
| Kaufman Center 129 West 67th Street<br>New York, NY 10023                                      | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 10,000  |
| Kaufman Center 129 West 67th Street<br>New York, NY 10023                                      | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 24,510  |
| Kaufman Center 129 West 67th Street<br>New York, NY 10023                                      | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 200,000 |
| Kaufman Center 129 West 67th Street<br>New York, NY 10023                                      | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 500     |
| Kravis Center for the Performing Arts 701 O keechobee Blvd<br>West Palm Beach, FL 33401        | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 5,000   |
| Leo Baeck Institute 15 West 16th Street<br>New York, NY 10011                                  | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 2,000   |
| Leo Baeck Institute 15 West 16th Street<br>New York, NY 10011                                  | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 1,000   |
| Lincoln Center for the Performing Arts 70 Lincoln Center Plaza No 9th Fl<br>New York, NY 10023 | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 500     |
| Marcus Jewish Community Center 5342 Tilly Mill Rd<br>Dunwoody, GA 30338                        | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 5,000   |
| Metropolitan Museum of Art 1000 Fifth Ave<br>New York, NY 10028                                | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 398     |
| Museum of American Finance 48 Wall Street<br>New York, NY 10005                                | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 25,000  |
| Museum of Jewish Heritage 36 Battery Place<br>New York, NY 10280                               | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 1,000   |
| New York Physicians Foundation 635 Madison Avenue<br>New York, NY 10022                        | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 5,000   |
| New York Public Radio 160 Varick Street<br>New York, NY 10013                                  | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 1,000   |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient                                                                             | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount  |
|---------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|----------------------------------|---------|
| Name and address (home or business)                                                   |                                                                                                           |                                |                                  |         |
| <b>a</b> <i>Paid during the year</i>                                                  |                                                                                                           |                                |                                  |         |
| New York University 105 E 17th Street<br>New York, NY 10003                           | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 25,000  |
| New York University 105 E 17th Street<br>New York, NY 10003                           | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 1,000   |
| New York University 105 E 17th Street<br>New York, NY 10003                           | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 200,000 |
| New York University 105 E 17th Street<br>New York, NY 10003                           | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 5,000   |
| Northern New Jersey Region of Hadassah 760 Northfield Avenue<br>West Orange, NJ 07052 | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 1,000   |
| Norton Museum of Art 1451 S Olive Ave<br>West Palm Beach, FL 33401                    | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 11,500  |
| NW Bergen Chapter of Hadassah 760 Northfield Avenue<br>West Orange, NJ 07052          | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 1,000   |
| NYAC Athlete's Fund Inc 180 Central Park<br>New York, NY 10019                        | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 100     |
| NYP Fund 525 East 68th Street<br>New York, NY 10065                                   | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 20,000  |
| Orpheus Chamber Orchestra 11th Floor<br>New York, NY 10027                            | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 1,000   |
| Palm Beach Country Club Foundation 760 N Ocean Blvd<br>Palm Beach, FL 33480           | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 1,000   |
| Palm Beach Drama Works 201 Clematis Street<br>West Palm Beach, FL 33401               | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 500     |
| Palm Beach United Way 2600 Quantum Blvd<br>Boynton Beach, FL 33426                    | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 1,000   |
| Parkinson's Disease Foundation 1359 Broadway<br>New York, NY 10018                    | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 1,000   |
| Phelps Memorial Hospital Center 701 N Broadway<br>Sleepy Hollow, NY 10591             | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 20,000  |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient                                                                       | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|----------------------------------|--------|
| Name and address (home or business)                                             |                                                                                                           |                                |                                  |        |
| <b>a</b> <i>Paid during the year</i>                                            |                                                                                                           |                                |                                  |        |
| Phelps Memorial Hospital Center<br>701 N Broadway<br>Sleepy Hollow, NY 10591    | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 20,000 |
| PMC-Jimmy Fund 10 Brookline<br>Place West 6th FL<br>Brookline, MA 02445         | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 250    |
| Point Foundation 1357 Broadway<br>New York, NY 10018                            | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 500    |
| Police Athletic League 34 1/2 East<br>12th Street<br>New York, NY 10003         | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 500    |
| Pratt Institute 200 Willoughby<br>Avenue<br>New York, NY 11205                  | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 5,000  |
| Princeton University 701 Carnegie<br>Ctr<br>Princeton, NJ 08540                 | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 10,000 |
| Rising Tide Capital 348 Martin<br>Luther King Jr Drive<br>Jersey City, NJ 07305 | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 3,000  |
| Riverdale Country School 5250<br>Fieldston Rd<br>Bronx, NY 10471                | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 1,000  |
| Riverdale Country School 5250<br>Fieldston Rd<br>Bronx, NY 10471                | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 600    |
| Roots & Branches 1807 Harlem<br>Avenue<br>Baltimore, MD 21217                   | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 7,500  |
| Sacred Heart School 410 North M<br>Street<br>Lake Worth, FL 33460               | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 2,000  |
| SUNY New PaltzPiano Summer<br>Institute 1 Hawk Drive<br>New Paltz, NY 12561     | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 20,000 |
| Temple Beth Abraham 25 Leroy<br>Avenue<br>Tarrytown, NY 10591                   | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 1,000  |
| Temple Beth Abraham 25 Leroy<br>Avenue<br>Tarrytown, NY 10591                   | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 4,046  |
| Temple Beth Rishon 585 Russell<br>Avenue<br>Wyckoff, NJ 07481                   | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 2,420  |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient                                                               | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|-------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|----------------------------------|--------|
| Name and address (home or business)                                     |                                                                                                           |                                |                                  |        |
| <b>a</b> <i>Paid during the year</i>                                    |                                                                                                           |                                |                                  |        |
| Temple Beth Rishon 585 Russell Avenue<br>Wyckoff, NJ 07481              | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 10,000 |
| Temple Emanu-El of Palm Beach 190 N County Road<br>Palm Beach, FL 33480 | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 500    |
| Temple Emanu-El of Palm Beach 190 N County Road<br>Palm Beach, FL 33480 | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 40,000 |
| Temple Emanu-El of Palm Beach 190 N County Road<br>Palm Beach, FL 33480 | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 5,575  |
| Temple Sinai 5645 Dupree Drive NW<br>Sandy Springs, GA 30327            | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 400    |
| The Animal Medical Center 510 E 62nd St<br>New York, NY 10065           | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 10,000 |
| The ASCAP Foundation One Lincoln Plz<br>New York, NY 10023              | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 1,000  |
| The Bretton Woods Committee Ste 200<br>Washington, DC 20036             | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 500    |
| The Center 208 West 13th Street<br>New York, NY 10011                   | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 1,500  |
| The FABBS Foundation 750 First Street Ne No 905<br>Washington, DC 20002 | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 500    |
| The Group of Thirty 1726 M St NW Ste 200<br>Washington, DC 20036        | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 3,000  |
| The Jerusalem Fellowships 28 Park Ave<br>Airmont, NY 10952              | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 50,000 |
| The Jewish Museum 1109 5th Avenue<br>New York, NY 10128                 | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 10,000 |
| The Jewish Museum 1109 5th Avenue<br>New York, NY 10128                 | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 10,000 |
| The Metropolitan Opera 30 Lincoln Center<br>New York, NY 10023          | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 29,280 |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient                                                                                   | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount  |
|---------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|----------------------------------|---------|
| Name and address (home or business)                                                         |                                                                                                           |                                |                                  |         |
| <b>a</b> <i>Paid during the year</i>                                                        |                                                                                                           |                                |                                  |         |
| The Society of the Four Arts Four Arts Plaza Palm Beach,FL 33480                            | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 10,000  |
| Trustees of the Univ of Pennsylvania 3451 Walnut Street Philadelphia,PA 19104               | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 1,000   |
| ULI Foundation 1025 Thomas Jefferson Street Nw No Washington,DC 20007                       | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 1,000   |
| University of Maryland College Park Fdn 2119 Main Administration Bldg College Park,MD 20742 | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 25,000  |
| University of Maryland College Park Fdn 2119 Main Administration Bldg College Park,MD 20742 | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 25,000  |
| University of Maryland College Park Fdn 2119 Main Administration Bldg College Park,MD 20742 | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 200,000 |
| University of Maryland College Park Fdn 2119 Main Administration Bldg College Park,MD 20742 | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 25,000  |
| University of Maryland College Park Fdn 2119 Main Administration Bldg College Park,MD 20742 | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 23,000  |
| Valley Hospital 223 North Van Dein Avenue Ridgewood,NJ 07450                                | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 10,000  |
| Valley Hospital Foundation 223 North Van Dein Avenue Ridgewood,NJ 07450                     | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 500     |
| WAMC Northeast Public Radio 318 Central Ave Albany,NY 12206                                 | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 1,000   |
| Whitney Museum of American Art 945 Madison Ave New York,NY 10021                            | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 9,515   |
| Whitney Museum of American Art 945 Madison Ave New York,NY 10021                            | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 9,515   |
| WMHT 4 Global View Troy,NY 12180                                                            | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 2,000   |
| Woodruff Arts Center 1280 Peachtree St NE Atlanta,GA 30309                                  | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 500     |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient                                                                                                            | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount    |
|----------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|----------------------------------|-----------|
| Name and address (home or business)                                                                                  |                                                                                                           |                                |                                  |           |
| <b>a</b> <i>Paid during the year</i>                                                                                 |                                                                                                           |                                |                                  |           |
| Woodstock Jewish Congregation<br>1682 Glasco Tpke<br>WoodStock,NY 12498                                              | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 5,000     |
| Woodstock Jewish Congregation<br>1682 Glasco Tpke<br>WoodStock,NY 12498                                              | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 3,000     |
| ADL 605 THIRD AVENUE<br>NEW YORK,NY 10158                                                                            | NONE                                                                                                      | 501 (c) (3)                    | General Charitable               | 2,500     |
| Adler Aphasia Center 60 W Hunter Avenue<br>Maywood,NJ 07607                                                          | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 250       |
| American Academy of Arts & Sciences 136 Irving Street<br>Cambridge,MA 02138                                          | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 5,000     |
| American Brittany Rescue 822 Wild Ginger Road<br>Sugar Grove,IL 60544                                                | None                                                                                                      | 501 (c) (3)                    | General Charitable               | 500       |
| <b>Total . . . . .</b>  <b>3a</b> |                                                                                                           |                                |                                  | 1,701,834 |

TY 2012 Accounting Fees Schedule

**Name:** Henry and Elaine Kaufman Foundation Inc

**EIN:** 23-7045903

| Category     | Amount | Net Investment<br>Income | Adjusted Net<br>Income | Disbursements for<br>Charitable<br>Purposes |
|--------------|--------|--------------------------|------------------------|---------------------------------------------|
| EDWARD SPEAR | 8,500  | 8,500                    |                        |                                             |

**Note:** To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

**TY 2012 Depreciation Schedule**

**Name:** Henry and Elaine Kaufman Foundation Inc

**EIN:** 23-7045903

**TY 2012 Investments Corporate  
Stock Schedule****Name:** Henry and Elaine Kaufman Foundation Inc**EIN:** 23-7045903

| <b>Name of Stock</b>  | <b>End of Year Book<br/>Value</b> | <b>End of Year Fair<br/>Market Value</b> |
|-----------------------|-----------------------------------|------------------------------------------|
| CHIEFTAIN             | 2,362,530                         | 2,667,987                                |
| JP MORGAN             | 650,988                           | 924,638                                  |
| MORGAN STANLEY        | 5,998,328                         | 6,130,500                                |
| GARDNER RUSSO GARDNER | 2,933,293                         | 3,111,654                                |

**TY 2012 Land, Etc. Schedule**

**Name:** Henry and Elaine Kaufman Foundation Inc

**EIN:** 23-7045903

## TY 2012 Legal Fees Schedule

**Name:** Henry and Elaine Kaufman Foundation Inc

**EIN:** 23-7045903

| Category        | Amount | Net Investment<br>Income | Adjusted Net<br>Income | Disbursements for<br>Charitable<br>Purposes |
|-----------------|--------|--------------------------|------------------------|---------------------------------------------|
| CLEARY GOTTlieb | 3,850  | 3,850                    |                        |                                             |

**TY 2012 Other Assets Schedule****Name:** Henry and Elaine Kaufman Foundation Inc**EIN:** 23-7045903

| Description                   | Beginning of Year -<br>Book Value | End of Year - Book<br>Value | End of Year - Fair<br>Market Value |
|-------------------------------|-----------------------------------|-----------------------------|------------------------------------|
| AUTONOMY CAPITAL FD CL B      | 352,376                           | 171,738                     | 220,994                            |
| CERBERUS ASIA PARTNERS LTD    | -58,509                           | 184,284                     | 222,899                            |
| ELEVATION PARTNERS LP         | 576,652                           | 544,458                     | 382,665                            |
| LEEDS EQUITY PARTNERS IV LP   | 3,846,004                         | 3,833,480                   | 1,938,520                          |
| ELEVATION DUNDEE PARTNERS, LP | 0                                 | 940                         | 0                                  |
| ELEVATION MSP, LP             | 0                                 | 1,636                       | 0                                  |

**TY 2012 Other Decreases Schedule****Name:** Henry and Elaine Kaufman Foundation Inc**EIN:** 23-7045903

| Description          | Amount |
|----------------------|--------|
| PPA - CHIEFTAIN      | 4,500  |
| PPA - NY DEPT OF LAW | 750    |

**TY 2012 Other Expenses Schedule****Name:** Henry and Elaine Kaufman Foundation Inc**EIN:** 23-7045903

| Description                       | Revenue and Expenses<br>per Books | Net Investment<br>Income | Adjusted Net Income | Disbursements for<br>Charitable Purposes |
|-----------------------------------|-----------------------------------|--------------------------|---------------------|------------------------------------------|
| CHIEFTAIN MGMT FEES               | 63,157                            | 63,157                   |                     |                                          |
| GARDNER RUSSO GARDNER MGMT<br>FEE | 3,173                             | 3,173                    |                     |                                          |
| NYS DEPT OF LAW                   | 750                               |                          |                     | 750                                      |
| DE SECRETARY OF STATE             | 250                               |                          |                     | 250                                      |
| CERBERUS ASIA PARTNERS, LP        | 1,828                             | 1,828                    |                     |                                          |
| ELEVATION PARTNERS, LP            | 4,403                             | 4,403                    |                     |                                          |
| LEEDS EQUITY PARTNERS IV, LP      | 33,847                            | 33,847                   |                     |                                          |

**TY 2012 Other Income Schedule****Name:** Henry and Elaine Kaufman Foundation Inc**EIN:** 23-7045903

| Description                | Revenue And<br>Expenses Per Books | Net Investment<br>Income | Adjusted Net Income |
|----------------------------|-----------------------------------|--------------------------|---------------------|
| MADOFF SETTLEMENT          | 3,165,002                         | 3,165,002                |                     |
| CERBERUS ASIA PARTNERS, LP | 14,067                            | 14,067                   |                     |

# TY 2012 Substantial Contributors Schedule

**Name:** Henry and Elaine Kaufman Foundation Inc

**EIN:** 23-7045903

| Name                     | Address                                  |
|--------------------------|------------------------------------------|
| HENRY AND ELAINE KAUFMAN | 590 MADISON AVENUE<br>NEW YORK, NY 10022 |

**TY 2012 Taxes Schedule****Name:** Henry and Elaine Kaufman Foundation Inc**EIN:** 23-7045903

| Category                       | Amount | Net Investment<br>Income | Adjusted Net<br>Income | Disbursements for<br>Charitable<br>Purposes |
|--------------------------------|--------|--------------------------|------------------------|---------------------------------------------|
| FOREIGN-GARNDER RUSSO GARDNER  | 1,384  | 1,384                    |                        |                                             |
| FOREIGN-CERBERUS ASIA PTNRS,LP | 444    | 444                      |                        |                                             |
| UNITED STATES TREASURY         | 25,607 |                          |                        |                                             |