



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

OMB No 1545-0052

2012

Open to public inspection

Form **990-PF**

Department of the Treasury
Internal Revenue Service

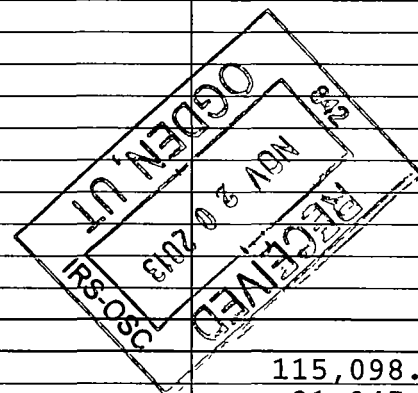
Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2012 or tax year beginning

, and ending

Name of foundation KATHERINE MABIS MCKENNA FOUNDATION		A Employer identification number 23-7042752
Number and street (or P.O. box number if mail is not delivered to street address) P.O. BOX 186	Room/suite	B Telephone number 724-537-6900
City or town, state, and ZIP code LATROBE, PA 15650		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 72,400,045.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	1,592,710.	1,687,046.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	1,029,438.			
	b Gross sales price for all assets on line 6a 20,555,369.				
	7 Capital gain net income (from Part IV, line 2)		1,378,885.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11	2,622,148.	3,065,931.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	122,821.	7,723.		115,098.
	14 Other employee salaries and wages	31,945.	0.		31,945.
	15 Pension plans, employee benefits	46,477.	0.		46,477.
	16a Legal fees				
	b Accounting fees STMT 1	5,710.	0.		5,710.
	c Other professional fees STMT 2	254,015.	254,015.		0.
	17 Interest				
	18 Taxes STMT 3	30,731.	23,100.		11,249.
	19 Depreciation and depletion				
	20 Occupancy	18,576.	0.		18,576.
	21 Travel, conferences, and meetings	12,016.	0.		12,016.
	22 Printing and publications				
	23 Other expenses STMT 4	14,461.	92,239.		14,461.
	24 Total operating and administrative expenses. Add lines 13 through 23	536,752.	377,077.		255,532.
	25 Contributions, gifts, grants paid	3,212,166.			3,212,166.
26 Total expenses and disbursements. Add lines 24 and 25	3,748,918.	377,077.		3,467,698.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-1,126,770.				
b Net investment income (if negative, enter -0-)		2,688,854.			
c Adjusted net income (if negative, enter -0-)			N/A		



SCANNED NOV 25 2013

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	39,213.	3,679.	3,679.
	2 Savings and temporary cash investments	2,186,163.	1,582,465.	1,582,465.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock			
	c Investments - corporate bonds			
11 Investments - land, buildings, and equipment basis ▶				
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 5	64,826,408.	70,813,901.	70,813,901.	
14 Land, buildings, and equipment: basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers)	67,051,784.	72,400,045.	72,400,045.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	67,051,784.	72,400,045.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
30 Total net assets or fund balances	67,051,784.	72,400,045.		
31 Total liabilities and net assets/fund balances	67,051,784.	72,400,045.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	67,051,784.
2 Enter amount from Part I, line 27a	2	-1,126,770.
3 Other increases not included in line 2 (itemize) ▶ <u>UNREALIZED GAIN ON INVESTMENTS</u>	3	6,475,031.
4 Add lines 1, 2, and 3	4	72,400,045.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	72,400,045.

Form 990-PF (2012)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a BNY MELLON (SEE STATMENT 6)	P	VARIOUS	VARIOUS
b BNY MELLON (CGD)	P	VARIOUS	VARIOUS
c FLOWTHROUGH FROM PARTNERSHIPS	P	VARIOUS	VARIOUS
d JANNEY MONTGOMERY SCOTT (SEE STATEMENT 7)	P	VARIOUS	VARIOUS
e BNY MELLON - CLASS ACTION PROCEEDS	P	VARIOUS	VARIOUS

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 18,782,333.		18,160,509.	621,824.
b 246,929.			246,929.
c 359,579.			359,579.
d 1,150,152.		1,015,975.	134,177.
e 16,376.			16,376.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			621,824.
b			246,929.
c			359,579.
d			134,177.
e			16,376.

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	1,378,885.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	3,520,171.	70,943,392.	.049619
2010	3,388,958.	67,559,071.	.050163
2009	2,428,139.	60,244,580.	.040305
2008	3,373,487.	70,879,534.	.047595
2007	4,037,324.	80,574,087.	.050107

2 Total of line 1, column (d)	2	.237789
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.047558
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	70,544,024.
5 Multiply line 4 by line 3	5	3,354,933.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	26,889.
7 Add lines 5 and 6	7	3,381,822.
8 Enter qualifying distributions from Part XII, line 4	8	3,467,698.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	26,889.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		2	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		3	26,889.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
3 Add lines 1 and 2		5	26,889.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		6a	11,415.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		6b	
6 Credits/Payments:		6c	23,000.
a 2012 estimated tax payments and 2011 overpayment credited to 2012		6d	
b Exempt foreign organizations - tax withheld at source		7	34,415.
c Tax paid with application for extension of time to file (Form 8868)		8	
d Backup withholding erroneously withheld		9	
7 Total credits and payments. Add lines 6a through 6d		10	7,526.
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		11	0.
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed			
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid			
11 Enter the amount of line 10 to be: Credited to 2013 estimated tax ☒ 7,526. Refunded ☐			

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ PA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Form 990-PF (2012)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X	
14	The books are in care of ► <u>MICHELE GORNICK</u> Telephone no. ► <u>724-537-6900</u> Located at ► <u>P.O. BOX 186, LATROBE, PA</u> ZIP+4 ► <u>15650</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15		N/A
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? PART VII-E ☒ Yes ☐ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► ☐	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No If "Yes," list the years ► _____, _____, _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____, _____, _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	X

Form 990-PF (2012)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BNY MELLON, (STMT 8)	TRUSTEE			
P.O. BOX 185				
PITTSBURGH, PA 15230	0.00	7,723.	0.	0.
LINDA MCKENNA BOXX	CHAIRMAN			
P.O. BOX 186				
LATROBE, PA 15650	40.00	88,510.	18,960.	0.
NATHAN M. BOXX	TREASURER			
P.O. BOX 186				
LATROBE, PA 15650	0.00	0.	0.	0.
ZAN MCKENNA RICH	VICE CHAIRMAN			
P.O. BOX 186				
LATROBE, PA 15650	30.00	26,588.	11,347.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2012)

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NOT APPLICABLE		0.
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 NONE	
	0.
2	
All other program-related investments. See instructions.	
3 NONE	
	0.
Total. Add lines 1 through 3	0.

Form 990-PF (2012)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	69,327,376.
b	Average of monthly cash balances	1b	2,290,922.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	71,618,298.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	71,618,298.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	1,074,274.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	70,544,024.
6	Minimum investment return. Enter 5% of line 5	6	3,527,201.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	3,527,201.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	26,889.
b	Income tax for 2012. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	26,889.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	3,500,312.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	3,500,312.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	3,500,312.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	3,467,698.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	3,467,698.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	26,889.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	3,440,809.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2012)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				3,500,312.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2012:				
a From 2007	5,246.			
b From 2008				
c From 2009				
d From 2010	41,388.			
e From 2011	37,885.			
f Total of lines 3a through e	84,519.			
4 Qualifying distributions for 2012 from Part XII, line 4: ► \$ 3,467,698.				
a Applied to 2011, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2012 distributable amount				3,467,698.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))	32,614.			32,614.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	51,905.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	51,905.			
10 Analysis of line 9:				
a Excess from 2008				
b Excess from 2009				
c Excess from 2010	14,020.			
d Excess from 2011	37,885.			
e Excess from 2012				

N/A

- b** Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(1)(3) or ☐ 4942(1)(5)

- b** 85% of line 2a

- c** Qualifying distributions from Part XII,
line 4 for each year listed

- d** Amounts included in line 2c not used directly for active conduct of exempt activities

- e Qualifying distributions made directly for active conduct of exempt activities.**

Subtract line 2d from line 2c

- 3** Complete 3a, b, or c for the alternative test relied upon:

- a "Assets" alternative test - enter:
(1) Value of all assets

- (2) Value of assets qualifying under section 4942(i)(3)(B)(i)

- b** "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

- c "Support" alternative test - enter:**

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

- (3) Largest amount of support from an exempt organization**

- (4) Gross investment income

Part XV	Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)
----------------	--

- ### 1 Information Regarding Foundation Managers:

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

LINDA M. BOXX, 724.537.6900

P.O. BOX 186, LATROBE, PA 15650

- b** The form in which applications should be submitted and information and materials they should include:

PROVIDED UPON INITIAL CONTACT

- c Any submission deadlines:**

PROVIDED UPON INITIAL CONTACT

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

PROVIDED UPON INITIAL CONTACT

Part XV Supplementary Information (continued)**3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
SEE STATEMENT 9				3,212,166.
Total			▶ 3a	3,212,166.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e)
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	Related or exempt function income
1 Program service revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments						
4 Dividends and interest from securities				14	1,592,710.	
5 Net rental income or (loss) from real estate:						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory				18	1,029,438.	
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)			0.		2,622,148.	0.
13 Total. Add line 12, columns (b), (d), and (e)					2,622,148.	0.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

FORM 990-PF	ACCOUNTING FEES			STATEMENT	1
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ACCOUNTING FEES	5,710.	0.		5,710.	
TO FORM 990-PF, PG 1, LN 16B	5,710.	0.		5,710.	

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	2
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
INVESTMENT FEES	254,015.	254,015.		0.	
TO FORM 990-PF, PG 1, LN 16C	254,015.	254,015.		0.	

FORM 990-PF	TAXES			STATEMENT	3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
EXCISE TAXES	14,000.	0.		0.	
PAYROLL TAXES	11,249.	0.		11,249.	
FOREIGN TAXES	5,482.	23,100.		0.	
TO FORM 990-PF, PG 1, LN 18	30,731.	23,100.		11,249.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
CLEANING SERVICE	550.	0.		550.	
EQUIPMENT	3,937.	0.		3,937.	
MAINTENANCE CONTRACTS	539.	0.		539.	
DUES/PERIODICALS	1,393.	0.		1,393.	
OFFICE INSURANCE	1,800.	0.		1,800.	

TELEPHONE/INTERNET	3,356.	0.	3,356.
POSTAGE	326.	0.	326.
SUPPLIES	963.	0.	963.
MISCELLANEOUS	1,597.	0.	1,597.
PARTNERSHIP EXPENSE	0.	92,239.	0.
TO FORM 990-PF, PG 1, LN 23	14,461.	92,239.	14,461.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	5
-------------	-------------------	-----------	---

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
SEE STATEMENT 10	FMV	70,813,901.	70,813,901.
TOTAL TO FORM 990-PF, PART II, LINE 13		70,813,901.	70,813,901.

Statement of Capital Gains and Losses From Sales
(Does not include capital gain distributions from mutual
funds or CTF's - See Tax Transaction Detail)

3/3/2013 12:07:19

KATHERINE MABIS MCKENNA FDN

Trust Year Ending 12/31/2012

Type	Description	Date	Shares	Price	Fed Cost	State Cost	Fed Gain	State Gain	(Type)
00206R102	AT&T INC								
						10265400911			
Sold		05/01/12	470	15,618 55					
Acq		03/22/05	110	3,655 41	2,563 00	2,563 00	1,092 41	1,092 41	L
Acq		08/06/10	360	11,963 14	9,534 67	9,534 67	2,428.47	2,428 47	L
Total for 5/1/2012					12,097 67	12,097 67	3,520 88	3,520 88	
00206R102	AT&T INC								
						10265400911			
Sold		11/16/12	530	17,522 26					
Acq		03/22/05	530	17,522 26	12,349 00	12,349 00	5,173 26	5,173 26	L
Total for 11/16/2012					12,349 00	12,349 00	5,173 26	5,173 26	
007565310	DREYFUS PREM MIDCAP VALUE-I								
						10265400911			
Sold		02/01/12	8738 203	250,000 00					
Acq		09/28/09	8738 203	250,000.00	224,222 29	224,222 29	25,777 71	25,777 71	L
Total for 2/1/2012					224,222 29	224,222 29	25,777 71	25,777 71	
007565310	DREYFUS PREM MIDCAP VALUE-I								
						10265400911			
Sold		12/14/12	1523 007	47,000 00					
Acq		09/28/09	1523 007	47,000 00	39,080 36	39,080 36	7,919 64	7,919 64	L
Total for 12/14/2012					39,080 36	39,080 36	7,919.64	7,919 64	
00846U101	AGILENT TECHNOLOGIES INC								
						10265400911			
Sold		06/04/12	1350	50,812 32					
Acq		12/08/10	1350	50,812 32	50,815 89	50,815 89	-3 57	-3 57	L
Total for 6/4/2012					50,815 89	50,815 89	-3 57	-3 57	
009158106	AIR PRODUCTS AND CHEMICALS INC COMMON								
						10265400911			
Sold		04/03/12	170	15,570 97					
Acq		09/24/09	170	15,570 97	13,158 71	13,158 71	2,412 26	2,412 26	L
Total for 4/3/2012					13,158 71	13,158 71	2,412.26	2,412 26	
0258M0DA4	AMERICAN EXPRESS 2.75% 9/15/15								
						10265400911			
Sold		11/13/12	15000	15,810.90					
Acq		09/08/10	15000	15,810 90	14,912 40	14,912 40	898 50	898 50	L
Total for 11/13/2012					14,912 40	14,912 40	898 50	898 50	
026874CA3	AMERICAN INTL GROUP								
						10265400911			
Sold		11/14/12	25000	26,414 75					
Acq		09/08/11	25000	26,414.75	24,862 00	24,862 00	1,552 75	1,552 75	L
Total for 11/14/2012					24,862 00	24,862 00	1,552 75	1,552 75	
037389103	AON CORPORATION COM								
						10265400911			
Sold		04/02/12	1350	66,214 13					
Acq		01/01/12	1350	66,214 13	64,627 24	64,627 24	1,586 89	1,586 89	S
Total for 4/2/2012					64,627 24	64,627.24	1,586 89	1,586 89	
037833100	APPLE COMPUTER INC COM								
						10265400911			
Sold		03/01/12	65	35,342 64					
Acq		09/04/09	65	35,342 64	10,918 02	10,918 02	24,424 62	24,424 62	L
Total for 3/1/2012					10,918 02	10,918 02	24,424 62	24,424.62	

Statement of Capital Gains and Losses From Sales
 (Does not include capital gain distributions from mutual
 funds or CTF's - See Tax Transaction Detail)

3/3/2013 12:07:19

KATHERINE MABIS MCKENNA FDN

Trust Year Ending 12/31/2012

Type	Description	Date	Shares	Price	Fed Cost	State Cost	Fed Gain	State Gain	(Type)
037833100	APPLE COMPUTER INC COM					10265400911			
Sold		03/15/12	51	29,950 55					
Acq		09/04/09	10	5,872 66	1,679 70	1,679 70	4,192 96	4,192 96	L
Acq		09/03/09	41	24,077 89	6,819 31	6,819 31	17,258 58	17,258 58	L
Total for 3/15/2012					8,499 01	8,499 01	21,451 54	21,451 54	
053015103	AUTOMATIC DATA PROCESSING INC COMMON					10260740386			
Sold		01/18/12	445	24,928 68					
Acq		07/31/09	445	24,928 68	16,626 34	16,626 34	8,302 34	8,302 34	L
Total for 1/18/2012					16,626 34	16,626 34	8,302 34	8,302 34	
054937107	BB&T CORP COM					10265400911			
Sold		07/19/12	1560	49,699 40					
Acq		04/26/11	930	29,628 49	24,196 18	24,196 18	5,432 31	5,432 31	L
Acq		06/20/11	630	20,070 91	16,534 98	16,534 98	3,535 93	3,535 93	L
Total for 7/19/2012					40,731 16	40,731 16	8,968 24	8,968 24	
055299AJ0	BBVA US SENIOR SA UNI 3 25%					10265400911			
Sold		11/14/12	50000	49,994 00					
Acq		06/17/11	50000	49,994 00	49,864 28	49,864 28	129 72	129 72	L
Total for 11/14/2012					49,864 28	49,864 28	129 72	129 72	
05534B760	BCE INC					10260740386			
Sold		01/18/12	950	39,734 49					
Acq		01/07/10	950	39,734 49	25,589 30	25,589 30	14,145 19	14,145 19	L
Total for 1/18/2012					25,589 30	25,589 30	14,145 19	14,145 19	
05569M855	BNY MELLON EMERGING MKTS FD CL M SHS					10265400911			
Sold		09/27/12	273093 222	2,651,735 19					
Acq		04/02/12	68694 8	667,026 51	700,000 00	700,000 00	-32,973 49	-32,973 49	S
Acq		02/01/12	34362 551	333,660 37	345,000 00	345,000 00	-11,339 63	-11,339 63	S
Acq		07/17/09	62266 502	604,607 74	500,000 00	500,000 00	104,607 74	104,607 74	L
Acq		05/18/10	94537 816	917,962 19	900,000 00	900,000 00	17,962 19	17,962 19	L
Acq		08/01/11	13231 553	128,478 38	156,000 00	156,000 00	-27,521 62	-27,521 62	L
Total for 9/27/2012					2,601,000 00	2,601,000 00	50,735 19	50,735 19	
056752108	BAIDU COM INC					10265400911			
Sold		06/27/12	146	16,273 63					
Acq		03/01/12	99	11,034 86	13,729 63	13,729 63	-2,694 77	-2,694 77	S
Acq		06/27/11	47	5,238 77	6,225 80	6,225 80	-987 03	-987 03	S
Total for 6/27/2012					19,955 43	19,955 43	-3,681 80	-3,681 80	
056752108	BAIDU COM INC					10265400911			
Sold		07/02/12	223	24,916 62					
Acq		06/27/11	223	24,916 62	29,539 42	29,539 42	-4,622 80	-4,622 80	L
Total for 7/2/2012					29,539 42	29,539 42	-4,622 80	-4,622 80	

Statement of Capital Gains and Losses From Sales
(Does not include capital gain distributions from mutual funds or CTF's - See Tax Transaction Detail)

KATHERINE MABIS MCKENNA FDN

Trust Year Ending 12/31/2012

Type	Description	Date	Shares	Price	Fed Cost	State Cost	Fed Gain	State Gain	(Type)
056752108	BAIDU COM INC								
									10265400911
Sold		07/11/12	222	24,090 56					
Acq		01/09/12	159	17,254 05	19,181 89	19,181 89	-1,927 84	-1,927 84	S
Acq		06/27/11	63	6,836 51	8,345 22	8,345 22	-1,508 71	-1,508 71	L
		Total for 7/11/2012			27,527 11	27,527 11	-3,436 55	-3,436 55	
060505DA9	BANK AMER CORP								
									10265400911
Sold		05/22/12	100000	102,539 00					
Acq		04/20/11	100000	102,539 00	103,576 00	103,576 00	-1,037 00	-1,037 00	L
		Total for 5/22/2012			103,576 00	103,576 00	-1,037 00	-1,037 00	
06739FGF2	BARCLAYS BANK PLC	5 0% 9/22/16							
									10265400911
Sold		01/19/12	30000	31,649 10					
Acq		09/17/09	30000	31,649.10	29,961 30	29,961 30	1,687 80	1,687 80	L
		Total for 1/19/2012			29,961 30	29,961 30	1,687 80	1,687 80	
06739FGF2	BARCLAYS BANK PLC	5.0% 9/22/16							
									10265400911
Sold		08/01/12	60000	65,457 60					
Acq		09/17/09	60000	65,457 60	59,922 60	59,922 60	5,535 00	5,535 00	L
		Total for 8/1/2012			59,922 60	59,922 60	5,535 00	5,535 00	
06739GAR0	BARCLAYS BANK PLC								
									10265400911
Sold		09/17/12	60000	67,288.80					
Acq		08/01/12	60000	67,288 80	65,475 00	65,475 00	1,813 80	1,813 80	S
		Total for 9/17/2012			65,475 00	65,475 00	1,813 80	1,813 80	
073902PN2	BEAR STEARNS CO INC								
									10265400911
Sold		11/14/12	20000	22,625 60					
Acq		03/29/12	20000	22,625 60	22,123.80	22,123 80	501 80	501 80	S
		Total for 11/14/2012			22,123.80	22,123 80	501 80	501 80	
110122108	BRISTOL MYERS SQUIBB CO COM								
									10265400911
Sold		08/10/12	1650	52,286.01					
Acq		04/19/12	1650	52,286 01	55,980 71	55,980 71	-3,694 70	-3,694 70	S
		Total for 8/10/2012			55,980 71	55,980 71	-3,694 70	-3,694 70	
110122108	BRISTOL MYERS SQUIBB CO COM								
									10265400911
Sold		08/10/12	8	253 51					
Acq		04/19/12	8	253 51	271.42	271 42	-17 91	-17 91	S
		Total for 8/10/2012			271 42	271 42	-17 91	-17 91	
110122108	BRISTOL MYERS SQUIBB CO COM								
									10265400911
Sold		08/13/12	752	23,712 51					
Acq		04/19/12	752	23,712 51	25,513 63	25,513 63	-1,801 12	-1,801 12	S
		Total for 8/13/2012			25,513 63	25,513 63	-1,801 12	-1,801 12	
12626PAE3	CRH AMER INC								
									10265400911
Sold		11/26/12	30000	30,987 60					
Acq		08/21/08	10000	10,329 20	9,766 85	9,766 85	562 35	562 35	L

Statement of Capital Gains and Losses From Sales
(Does not include capital gain distributions from mutual
funds or CTF's - See Tax Transaction Detail)

KATHERINE MABIS MCKENNA FDN

Trust Year Ending 12/31/2012

Type	Description	Date	Shares	Price	Fed Cost	State Cost	Fed Gain	State Gain	(Type)
12626PAE3	CRH AMER INC				10265400911				
Sold		11/26/12	30000	30,987 60					
Acq		09/13/06	20000	20,658 40	19,741.36	19,741 36	917 04	917 04	L
Total for 11/26/2012					29,508 21	29,508 21	1,479 39	1,479 39	
13063A5C4	CALIFORNIA ST	5 45% 4/01/15			10265400911				
Sold		03/13/12	30000	33,239 70					
Acq		04/22/09	30000	33,239 70	30,185 40	30,185 40	3,054 30	3,054 30	L
Total for 3/13/2012					30,185.40	30,185 40	3,054 30	3,054 30	
13063A5D2	CALIFORNIA ST				10265400911				
Sold		11/20/12	35000	40,188 75					
Acq		04/22/09	35000	40,188 75	35,177 10	35,177.10	5,011 65	5,011 65	L
Total for 11/20/2012					35,177 10	35,177 10	5,011 65	5,011 65	
13342B105	CAMERON INTL CORP				10265400911				
Sold		02/10/12	1080	60,721 40					
Acq		05/09/11	660	37,107 52	32,710 85	32,710 85	4,396 67	4,396 67	S
Acq		01/24/11	12	674 68	614.95	614 95	59 73	59 73	L
Acq		01/25/11	400	22,489.41	20,112 08	20,112 08	2,377 33	2,377 33	L
Acq		01/26/11	8	449 79	406.45	406 45	43 34	43 34	L
Total for 2/10/2012					53,844 33	53,844 33	6,877 07	6,877 07	
172967424	CITIGROUP INC				10265400911				
Sold		01/27/12	990	30,137 59					
Acq		11/18/09	801	24,384.05	34,443 00	34,443 00	-10,058 95	-10,058 95	L
Acq		03/24/11	189	5,753 54	8,406 72	8,406 72	-2,653 18	-2,653 18	S
Total for 1/27/2012					42,849.72	42,849 72	-12,712.13	-12,712 13	
172967424	CITIGROUP INC				10265400911				
Sold		07/19/12	2077	55,171 98					
Acq		08/05/10	806	21,410 02	33,207 20	33,207 20	-11,797.18	-11,797 18	L
Acq		12/21/09	887	23,561 65	30,246 70	30,246 70	-6,685 05	-6,685 05	L
Acq		11/18/09	384	10,200 31	16,512 00	16,512 00	-6,311 69	-6,311 69	L
Total for 7/19/2012					79,965 90	79,965 90	-24,793 92	-24,793 92	
172967EM9	CITIGROUP INC	6 125% 11/21/17			10265400911				
Sold		11/14/12	15000	17,729 25					
Acq		07/17/08	15000	17,729 25	14,487 92	14,487 92	3,241 33	3,241 33	L
Total for 11/14/2012					14,487.92	14,487 92	3,241.33	3,241 33	
191216100	COCA-COLA CO USD				10265400911				
Sold		06/01/12	340	24,849 67					
Acq		03/01/11	110	8,039 60	7,173 39	7,173 39	866 21	866 21	L
Acq		10/26/10	230	16,810 07	14,105 70	14,105 70	2,704.37	2,704 37	L
Total for 6/1/2012					21,279 09	21,279 09	3,570 58	3,570 58	

Statement of Capital Gains and Losses From Sales
(Does not include capital gain distributions from mutual
funds or CTF's - See Tax Transaction Detail)

MCKENNA KATHERINE MABIS FDN INC-NO 2

Trust Year Ending 12/31/2012

Type	Description	Date	Shares	Price	Fed Cost	State Cost	Fed Gain	State Gain	(Type)
194162103	COLGATE PALMOLIVE CO COM				10260740386				
Sold		01/18/12	110	9,927 60					
Acq		06/18/08	110	9,927 60	7,949 70	7,949 70	1,977 90	1,977 90	L
Total for 1/18/2012					7,949.70	7,949 70	1,977.90	1,977 90	
194162103	COLGATE PALMOLIVE CO COM				10260740386				
Sold		07/31/12	300	32,112 08					
Acq		06/18/08	300	32,112 08	21,681 00	21,681 00	10,431 08	10,431 08	L
Total for 7/31/2012					21,681 00	21,681 00	10,431 08	10,431 08	
20030NAL5	COMCAST CORP NEW				10265400911				
Sold		10/22/12	45000	52,267 95					
Acq		07/24/08	40000	46,460 40	39,337 03	39,337.03	7,123 37	7,123 37	L
Acq		03/30/06	5000	5,807 55	4,901 30	4,901 30	906.25	906 25	L
Total for 10/22/2012					44,238 33	44,238 33	8,029 62	8,029 62	
21685WBL0	RABOBANK NEDERLAND				10265400911				
Sold		11/14/12	20000	20,654 80					
Acq		10/05/10	20000	20,654 80	19,951 00	19,951 00	703 80	703 80	L
Total for 11/14/2012					19,951 00	19,951 00	703 80	703 80	
21685WBL0	RABOBANK NEDERLAND				10265400911				
Sold		11/19/12	45000	46,459 80					
Acq		10/05/10	45000	46,459 80	44,889 75	44,889 75	1,570 05	1,570 05	L
Total for 11/19/2012					44,889 75	44,889.75	1,570 05	1,570 05	
233835AW7	DAIMLERCHRYSLER NORTH AMER				10265400911				
Sold		11/13/12	20000	21,114 20					
Acq		10/19/07	20000	21,114 20	21,116 60	21,116 60	-2 40	-2 40	L
Total for 11/13/2012					21,116 60	21,116 60	-2 40	-2 40	
244199105	DEERE & COMPANY				10265400911				
Sold		02/16/12	860	71,246 45					
Acq		02/24/10	860	71,246 45	48,028 94	48,028 94	23,217 51	23,217 51	L
Total for 2/16/2012					48,028 94	48,028 94	23,217 51	23,217 51	
25244SAD3	DIAGEO FIN BV				10265400911				
Sold		05/09/12	35000	36,547 70					
Acq		11/15/07	5000	5,221 10	5,097 15	5,097 15	123 95	123 95	L
Acq		03/27/06	30000	31,326 60	29,960 40	29,960 40	1,366 20	1,366 20	L
Total for 5/9/2012					35,057 55	35,057 55	1,490 15	1,490 15	
260543CC5	DOW CHEMICAL CO/THE				10265400911				
Sold		11/14/12	5000	5,526 35					
Acq		11/04/11	5000	5,526 35	5,119 70	5,119 70	406 65	406 65	L
Total for 11/14/2012					5,119 70	5,119 70	406 65	406 65	
261980494	DREYFUS EMG MKT DEBT LOC C-I				10265400911				
Sold		09/27/12	36277 684	542,351 38					
Acq		08/01/11	35971 222	537,769 77	550,000 00	550,000 00	-12,230 23	-12,230 23	L

Statement of Capital Gains and Losses From Sales
(Does not include capital gain distributions from mutual
funds or CTF's - See Tax Transaction Detail)

KATHERINE MABIS MCKENNA FDN

Trust Year Ending 12/31/2012

Type	Description	Date	Shares	Price	Fed Cost	State Cost	Fed Gain	State Gain	(Type)
261980494	DREYFUS EMG MKT DEBT LOC C-I				10265400911				
Sold		09/27/12	36277 684	542,351 38					
Acq		12/21/11	306 462	4,581 61	4,057 55	4,057 55	524 06	524 06	S
Total for 9/27/2012					554,057 55	554,057 55	-11,706 17	-11,706 17	
26200C403	DREYFUS GROWTH & VALUE FDS INC				10265400911				
Sold		02/01/12	34530 387	875,000 00					
Acq		12/14/10	1034 508	26,214 43	30,497 30	30,497 30	-4,282 87	-4,282 87	L
Acq		05/18/10	33495 879	848,785 57	869,553 02	869,553 02	-20,767.45	-20,767 45	L
Total for 2/1/2012					900,050 32	900,050 32	-25,050 32	-25,050 32	
26200C403	DREYFUS GROWTH & VALUE FDS INC				10265400911				
Sold		12/14/12	3191 489	84,000 00					
Acq		05/18/10	3191 489	84,000 00	82,851 05	82,851 05	1,148 95	1,148 95	L
Total for 12/14/2012					82,851 05	82,851 05	1,148 95	1,148 95	
26200Q204	DREYFUS SMALL CAP STOCK INDEX				10265400911				
Sold		04/02/12	16085 791	360,000 00					
Acq		05/18/10	3992 768	89,358 15	73,347 15	73,347 15	16,011 00	16,011.00	L
Acq		02/01/12	12093 023	270,641 85	260,000 00	260,000 00	10,641 85	10,641 85	S
Total for 4/2/2012					333,347 15	333,347 15	26,652 85	26,652 85	
26200Q204	DREYFUS SMALL CAP STOCK INDEX				10265400911				
Sold		12/14/12	7720 09	171,000 00					
Acq		11/06/12	7720 09	171,000 00	172,080 81	172,080 81	-1,080 81	-1,080 81	S
Total for 12/14/2012					172,080 81	172,080 81	-1,080 81	-1,080 81	
26203E109	DREYFUS INVT FDS STANDISH				10265400911				
Sold		11/08/12	6619 594	150,000.00					
Acq		04/03/12	6619 594	150,000 00	143,248 01	143,248 01	6,751.99	6,751 99	S
Total for 11/8/2012					143,248 01	143,248.01	6,751 99	6,751 99	
26203E109	DREYFUS INVT FDS STANDISH				10265400911				
Sold		12/14/12	4271 246	97,000 00					
Acq		04/03/12	4271 246	97,000 00	92,429 76	92,429 76	4,570 24	4,570 24	S
Total for 12/14/2012					92,429 76	92,429 76	4,570 24	4,570 24	
26441C105	DUKE ENERGY HLDG CORP				10265400911				
Sold		01/27/12	2520	53,322 67					
Acq		09/28/11	2520	53,322 67	50,673 92	50,673 92	2,648 75	2,648 75	S
Total for 1/27/2012					50,673 92	50,673 92	2,648 75	2,648 75	
268648102	EMC CORP(MASS) USD 0 01				10265400911				
Sold		01/18/12	680	15,333 44					
Acq		04/01/11	680	15,333 44	18,249 77	18,249 77	-2,916 33	-2,916 33	S
Total for 1/18/2012					18,249 77	18,249 77	-2,916 33	-2,916 33	

Statement of Capital Gains and Losses From Sales
(Does not include capital gain distributions from mutual
funds or CTF's - See Tax Transaction Detail)

3/3/2013 12:07:19

KATHERINE MABIS MCKENNA FDN
Trust Year Ending 12/31/2012

Type	Description	Date	Shares	Price	Fed Cost	State Cost	Fed Gain	State Gain	(Type)
268648102	EMC CORP(MASS) USD 0 01					10265400911			
Sold		11/05/12	900	22,271 35					
Acq		04/01/11	900	22,271 35	24,154 11	24,154 11	-1,882 76	-1,882 76	L
Total for 11/5/2012					24,154 11	24,154 11	-1,882 76	-1,882 76	
268648102	EMC CORP(MASS) USD 0 01					10265400911			
Sold		11/07/12	810	19,948 47					
Acq		04/19/11	320	7,880 88	8,489 38	8,489 38	-608 50	-608 50	L
Acq		04/01/11	490	12,067 59	13,150 57	13,150 57	-1,082 98	-1,082 98	L
Total for 11/7/2012					21,639 95	21,639 95	-1,691 48	-1,691 48	
278058102	EATON CORPORATION COMMON					10260740386			
Sold		01/18/12	810	39,680 29					
Acq		06/23/11	810	39,680 29	39,381 59	39,381 59	298 70	298 70	S
Total for 1/18/2012					39,381 59	39,381 59	298 70	298 70	
278058102	EATON CORPORATION COMMON					10260740386			
Sold		12/05/12	320	16,529 60					
Acq		01/01/12	320	16,529 60	10,730 67	10,730 67	5,798 93	5,798 93	S
Total for 12/5/2012					10,730 67	10,730 67	5,798 93	5,798 93	
278058102	EATON CORPORATION COMMON					10265400911			
Sold		12/05/12	530	27,377 14					
Acq		01/01/12	530	27,377 14	18,219 40	18,219 40	9,157 74	9,157 74	S
Total for 12/5/2012					18,219 40	18,219 40	9,157 74	9,157 74	
278058102	EATON CORPORATION COMMON					10260740386			
Sold		12/05/12	7040	363,651 20					
Acq		01/01/01	7040	363,651 20	236,074 71	236,074 71	127,576 49	127,576 49	L
Total for 12/5/2012					236,074 71	236,074 71	127,576 49	127,576 49	
278058102	EATON CORPORATION COMMON					10265400911			
Sold		12/05/12	1360	70,250 81					
Acq		01/01/01	1360	70,250 81	46,751 69	46,751 69	23,499 12	23,499 12	L
Total for 12/5/2012					46,751 69	46,751 69	23,499 12	23,499 12	
30231G102	EXXON MOBIL CORP					10265400911			
Sold		03/09/12	720	60,713 05					
Acq		08/05/03	720	60,713 05	25,733 81	25,733 81	34,979 24	34,979 24	L
Total for 3/9/2012					25,733 81	25,733 81	34,979 24	34,979 24	
31331GNQ8	FED FARM CREDIT BANK 2 25% 4/24/12					10265400911			
Sold		02/01/12	65000	65,323 05					
Acq		02/19/09	65000	65,323 05	64,998 70	64,998 70	324 35	324 35	L
Total for 2/1/2012					64,998 70	64,998 70	324 35	324 35	
31331GNQ8	FED FARM CREDIT BANK 2 25% 4/24/12					10265400911			
Sold		02/17/12	20000	20,075 22					
Acq		02/19/09	20000	20,075 22	19,999 60	19,999 60	75 62	75 62	L
Total for 2/17/2012					19,999 60	19,999 60	75 62	75 62	

Statement of Capital Gains and Losses From Sales
(Does not include capital gain distributions from mutual
funds or CTF's - See Tax Transaction Detail)

3/3/2013 12:07:19

KATHERINE MABIS MCKENNA FDN

Trust Year Ending 12/31/2012

Type	Description	Date	Shares	Price	Fed Cost	State Cost	Fed Gain	State Gain	(Type)
31331GYP8	FEDERAL FARM CR BK CONS BD								
					10265400911				
Sold		03/14/12	85000	85,430 36					
Acq		06/12/09	85000	85,430 36	84,796 00	84,796 00	634 36	634 36	L
			Total for 3/14/2012		84,796 00	84,796 00	634 36	634 36	
3133XRFL9	FEDERAL HOME LN BKS								
					10265400911				
Sold		09/11/12	100000	103,056 00					
Acq		07/02/08	100000	103,056 00	100,009 00	100,009 00	3,047 00	3,047 00	L
			Total for 9/11/2012		100,009 00	100,009 00	3,047 00	3,047 00	
31359MSL8	FEDERAL NATL MTG ASSN								
					10265400911				
Sold		11/13/12	50000	51,407 50					
Acq		09/30/09	50000	51,407 50	54,122 50	54,122 50	-2,715 00	-2,715 00	L
			Total for 11/13/2012		54,122 50	54,122 50	-2,715 00	-2,715 00	
31398AYY2	FED NATL MTGE ASSN 3.0% 9/16/14								
					10265400911				
Sold		11/13/12	55000	57,737 90					
Acq		08/13/09	55000	57,737 90	54,851 50	54,851 50	2,886 40	2,886 40	L
			Total for 11/13/2012		54,851 50	54,851 50	2,886 40	2,886 40	
369604103	GENERAL ELECTRIC CO								
					10260740386				
Sold		01/19/12	15265	291,743 87					
Acq		04/22/09	2540	48,544 35	29,752 35	29,752 35	18,792 00	18,792 00	L
Acq		10/30/08	1500	28,667 92	28,672 45	28,672 45	-4 53	-4 53	L
Acq		09/17/08	1710	32,681 43	39,766 45	39,766 45	-7,085 02	-7,085 02	L
Acq		07/31/09	1500	28,667 92	19,928 95	19,928 95	8,738 97	8,738 97	L
Acq		07/17/09	1715	32,776 99	20,157 28	20,157 28	12,619 71	12,619 71	L
Acq		06/18/08	6300	120,405 27	178,767 54	178,767 54	-58,362 27	-58,362 27	L
			Total for 1/19/2012		317,045 02	317,045 02	-25,301 15	-25,301 15	
38141GDK7	GOLDMAN SACHS GROUP INC								
					10265400911				
Sold		10/19/12	75000	77,216 25					
Acq		08/03/05	40000	41,182 00	39,316 56	39,316 56	1,865 44	1,865 44	L
Acq		08/13/08	35000	36,034 25	34,561 75	34,561 75	1,472 50	1,472 50	L
			Total for 10/19/2012		73,878 31	73,878 31	3,337 94	3,337 94	
406216101	HALLIBURTON COMPANY COM								
					10265400911				
Sold		09/21/12	130	4,688 46					
Acq		03/09/12	130	4,688 46	4,574 39	4,574 39	114 07	114 07	S
			Total for 9/21/2012		4,574 39	4,574 39	114 07	114 07	
441812KA1	HOUSEHOLD FIN CORP								
					10265400911				
Sold		10/03/12	25000	25,196 50					
Acq		08/03/07	15000	15,117 90	15,320 85	15,320 85	-202 95	-202 95	L
Acq		08/19/08	10000	10,078 60	10,253 10	10,253 10	-174 50	-174 50	L
			Total for 10/3/2012		25,573 95	25,573 95	-377 45	-377 45	

Statement of Capital Gains and Losses From Sales
 (Does not include capital gain distributions from mutual
 funds or CTF's - See Tax Transaction Detail)

KATHERINE MABIS MCKENNA FDN

Trust Year Ending 12/31/2012

Type	Description	Date	Shares	Price	Fed Cost	State Cost	Fed Gain	State Gain	(Type)
441812KA1	HOUSEHOLD FIN CORP				10265400911				
Sold		11/27/12	55000	55,000 00					
Acq		08/07/07	35000	35,000 00	35,714 35	35,714 35	-714 35	-714 35	L
Acq		08/03/07	20000	20,000 00	20,427 80	20,427 80	-427 80	-427 80	L
Total for 11/27/2012					56,142 15	56,142 15	-1,142 15	-1,142 15	
448814JB0	HYDRO-QUEBEC	2 0% 6/30/16			10265400911				
Sold		11/13/12	30000	31,389 00					
Acq		06/23/11	30000	31,389 00	29,994 30	29,994 30	1,394 70	1,394 70	L
Total for 11/13/2012					29,994 30	29,994 30	1,394 70	1,394 70	
459200101	INTERNATIONAL BUSINESS MACHINES CORP				10265400911				
Sold		01/10/12	157	28,542 11					
Acq		08/11/11	63	11,453 20	10,466 20	10,466 20	987 00	987 00	S
Acq		09/10/10	94	17,088 91	12,048 73	12,048 73	5,040 18	5,040 18	L
Total for 1/10/2012					22,514 93	22,514 93	6,027 18	6,027 18	
459200101	INTERNATIONAL BUSINESS MACHINES CORP				10265400911				
Sold		01/12/12	88	15,838 60					
Acq		09/10/10	88	15,838 60	11,279 66	11,279 66	4,558 94	4,558 94	L
Total for 1/12/2012					11,279 66	11,279 66	4,558 94	4,558 94	
459200101	INTERNATIONAL BUSINESS MACHINES CORP				10265400911				
Sold		06/01/12	16	3,039 67					
Acq		03/15/12	16	3,039 67	3,296 10	3,296 10	-256 43	-256 43	S
Total for 6/1/2012					3,296 10	3,296 10	-256 43	-256 43	
464287655	ISHARES RUSSELL 2000 INDEX FD				10265400911				
Sold		04/02/12	4665	390,150 86					
Acq		07/13/10	1815	151,795 03	115,561 05	115,561 05	36,233 98	36,233 98	L
Acq		02/01/12	2850	238,355 83	226,705 81	226,705 81	11,650 02	11,650 02	S
Total for 4/2/2012					342,266 86	342,266 86	47,884 00	47,884 00	
464287655	ISHARES RUSSELL 2000 INDEX FD				10265400911				
Sold		11/06/12	21585	1,776,837 38					
Acq		07/13/10	5460	449,457 13	347,638 20	347,638 20	101,818 93	101,818 93	L
Acq		03/19/09	12750	1,049,556 48	530,269 95	530,269 95	519,286 53	519,286 53	L
Acq		09/28/09	3375	277,823 77	207,728 55	207,728 55	70,095 22	70,095 22	L
Total for 11/6/2012					1,085,636 70	1,085,636 70	691,200 68	691,200 68	
46625H100	J P MORGAN CHASE & CO				10265400911				
Sold		07/19/12	840	29,387 75					
Acq		02/06/09	840	29,387 75	22,964 35	22,964 35	6,423 40	6,423 40	L
Total for 7/19/2012					22,964 35	22,964 35	6,423 40	6,423 40	
46625HBV1	JPMORGAN CHASE & CO				10265400911				
Sold		03/29/12	85000	91,082 60					
Acq		09/22/10	85000	91,082 60	93,635 15	93,635 15	-2,552 55	-2,552 55	L
Total for 3/29/2012					93,635 15	93,635 15	-2,552 55	-2,552 55	

Statement of Capital Gains and Losses From Sales
(Does not include capital gain distributions from mutual
funds or CTF's - See Tax Transaction Detail)

KATHERINE MABIS MCKENNA FDN

Trust Year Ending 12/31/2012

Type	Description	Date	Shares	Price	Fed Cost	State Cost	Fed Gain	State Gain	(Type)
472319AA0	JEFFERIES GROUP INC NEW				10265400911				
Sold		03/15/12	27000	27,000 00					
Acq		11/08/11	27000	27,000 00	27,000 00	27,000 00	0 00	0 00	S
Total for 3/15/2012					27,000 00	27,000 00	0 00	0 00	
478160104	JOHNSON & JOHNSON COM				10265400911				
Sold		04/19/12	1280	80,532 21					
Acq		09/30/11	1280	80,532 21	81,868 16	81,868.16	-1,335 95	-1,335 95	S
Total for 4/19/2012					81,868 16	81,868 16	-1,335 95	-1,335 95	
478366107	JOHNSON CONTROLS INC				10265400911				
Sold		07/11/12	450	12,077 42					
Acq		09/24/09	450	12,077 42	11,383 83	11,383 83	693 59	693 59	L
Total for 7/11/2012					11,383 83	11,383 83	693 59	693 59	
50076Q106	KRAFT FOODS GROUP INC				10265400911				
Sold		10/10/12	896	41,558 60					
Acq		09/24/09	882 6667	40,940 17	24,507 78	24,507.78	16,432 39	16,432 39	L
Acq		08/27/10	13 3333	618 43	415 61	415 61	202 82	202 82	L
Total for 10/10/2012					24,923 39	24,923 39	16,635 21	16,635 21	
50076Q106	KRAFT FOODS GROUP INC				10265400911				
Sold		10/25/12	0 6667	30 16					
Acq		09/24/09	0 6667	30 16	18 51	18 51	11 65	11 65	L
Total for 10/25/2012					18 51	18 51	11 65	11 65	
539473AG3	LLOYDS TSB BANK PLC 4 875%				10265400911				
Sold		07/06/12	90000	94,858 20					
Acq		01/14/11	10000	10,539 80	10,001 70	10,001 70	538 10	538 10	L
Acq		01/13/11	80000	84,318 40	79,936 80	79,936 80	4,381 60	4,381 60	L
Total for 7/6/2012					89,938 50	89,938 50	4,919 70	4,919 70	
57582PWK2	MASSACHUSETTS ST				10265400911				
Sold		03/13/12	45000	50,075 10					
Acq		11/23/10	45000	50,075.10	45,874 80	45,874 80	4,200 30	4,200 30	L
Total for 3/13/2012					45,874 80	45,874 80	4,200 30	4,200.30	
57582PWK2	MASSACHUSETTS ST				10265400911				
Sold		10/25/12	50000	56,728 00					
Acq		11/23/10	50000	56,728 00	50,972 00	50,972 00	5,756 00	5,756 00	L
Total for 10/25/2012					50,972 00	50,972 00	5,756 00	5,756 00	
57636Q104	MASTERCARD INC				10260740386				
Sold		01/18/12	55	19,397 98					
Acq		06/08/11	55	19,397 98	14,730 16	14,730 16	4,667 82	4,667 82	S
Total for 1/18/2012					14,730.16	14,730 16	4,667.82	4,667 82	

Statement of Capital Gains and Losses From Sales
(Does not include capital gain distributions from mutual
funds or CTF's - See Tax Transaction Detail)

3/3/2013 12:07:19

MCKENNA KATHERINE MABIS FDN INC-NO 2

Trust Year Ending 12/31/2012

Type	Description	Date	Shares	Price	Fed Cost	State Cost	Fed Gain	State Gain	(Type)
57636Q104	MASTERCARD INC				10260740386				
Sold		03/14/12	120	50,468 15					
Acq		06/08/11	120	50,468 15	32,138 53	32,138 53	18,329 62	18,329 62	S
Total for 3/14/2012					32,138 53	32,138 53	18,329 62	18,329 62	
58013MEB6	MCDONALDS CORP MEDIUM TERM NTS				10265400911				
Sold		11/13/12	15000	18,513 75					
Acq		10/19/07	15000	18,513 75	15,210 75	15,210 75	3,303 00	3,303 00	L
Total for 11/13/2012					15,210 75	15,210 75	3,303 00	3,303 00	
58933Y105	MERCK & CO INC				10265400911				
Sold		09/25/12	950	42,940 09					
Acq		06/04/12	380	17,176 04	14,200 94	14,200 94	2,975 10	2,975 10	S
Acq		12/15/11	570	25,764 05	20,578 03	20,578 03	5,186 02	5,186 02	S
Total for 9/25/2012					34,778 97	34,778 97	8,161 12	8,161 12	
58933Y105	MERCK & CO INC				10265400911				
Sold		09/26/12	1400	63,373 36					
Acq		12/15/11	1400	63,373 36	50,542 52	50,542 52	12,830 84	12,830 84	S
Total for 9/26/2012					50,542 52	50,542 52	12,830 84	12,830 84	
59156RAU2	METLIFE INC 6 75% 6/01/16				10265400911				
Sold		11/14/12	15000	18,011 25					
Acq		05/26/09	15000	18,011 25	14,964 45	14,964 45	3,046 80	3,046 80	L
Total for 11/14/2012					14,964 45	14,964 45	3,046 80	3,046 80	
594918104	MICROSOFT CORP COM				10260740386				
Sold		01/18/12	715	20,019 25					
Acq		11/16/10	715	20,019 25	18,470 31	18,470 31	1,548 94	1,548 94	L
Total for 1/18/2012					18,470 31	18,470 31	1,548 94	1,548 94	
61748AAE6	MORGAN STANLEY				10265400911				
Sold		11/14/12	25000	25,929 00					
Acq		09/23/09	25000	25,929 00	24,963 75	24,963 75	965 25	965 25	L
Total for 11/14/2012					24,963 75	24,963 75	965 25	965 25	
629491AA9	NYSE EURONEXT				10265400911				
Sold		10/05/12	30000	30,989 40					
Acq		07/10/08	30000	30,989 40	29,884 50	29,884 50	1,104 90	1,104 90	L
Total for 10/5/2012					29,884 50	29,884 50	1,104 90	1,104 90	
652482BG4	NEWS AMER INC				10265400911				
Sold		03/27/12	45000	49,846 50					
Acq		08/19/08	45000	49,846 50	44,623 35	44,623 35	5,223 15	5,223 15	L
Total for 3/27/2012					44,623 35	44,623 35	5,223 15	5,223 15	
66285WHH2	NORTH TEX TWY AUTH 2 441% 9/01/13				10265400911				
Sold		11/20/12	50000	50,520 00					
Acq		04/15/11	50000	50,520 00	50,000 00	50,000 00	520 00	520 00	L
Total for 11/20/2012					50,000 00	50,000 00	520 00	520 00	

KATHERINE MABIS MCKENNA FDN
Trust Year Ending 12/31/2012

Type	Description	Date	Shares	Price	Fed Cost	State Cost	Fed Gain	State Gain	(Type)
664210101	NORTHEAST INVS TR SH BEN INT				10265400911				
Sold		09/27/12	170869 776	1,033,762 14					
Acq		11/12/08	170869 776	1,033,762 14	833,844 51	833,844.51	199,917 63	199,917 63	L
Total for 9/27/2012					833,844 51	833,844 51	199,917 63	199,917 63	
669827FT9	NOVA SCOTIA PROV CDA				10265400911				
Sold		09/06/12	35000	41,186 25					
Acq		02/13/08	35000	41,186 25	37,221 45	37,221 45	3,964 80	3,964 80	L
Total for 9/6/2012					37,221 45	37,221 45	3,964 80	3,964 80	
6832348D3	ONTARIO (PROVINCE OF) 4 0% 10/07/19				10265400911				
Sold		08/30/12	35000	40,384 75					
Acq		09/29/09	35000	40,384 75	34,939 80	34,939 80	5,444.95	5,444 95	L
Total for 8/30/2012					34,939 80	34,939 80	5,444 95	5,444 95	
712223106	PEOPLES DREYFUS S&P MIDCAP INDEX FD				10265400911				
Sold		12/14/12	7922 122	236,000.00					
Acq		02/01/12	7922 122	236,000 00	222,928 50	222,928 50	13,071 50	13,071 50	S
Total for 12/14/2012					222,928 50	222,928 50	13,071 50	13,071 50	
717081103	PFIZER INC COM				10260740386				
Sold		01/18/12	1370	29,872 92					
Acq		04/19/10	1370	29,872 92	22,836 26	22,836 26	7,036 66	7,036 66	L
Total for 1/18/2012					22,836 26	22,836 26	7,036 66	7,036 66	
718546104	PHILLIPS 66				10260740386				
Sold		06/06/12	2375	75,191 52					
Acq		12/14/09	250	7,914 90	6,144 91	6,144 91	1,769 99	1,769 99	L
Acq		11/23/09	1900	60,153 22	48,508 12	48,508 12	11,645 10	11,645 10	L
Acq		09/21/11	150	4,748 94	4,780 96	4,780 96	-32 02	-32 02	S
Acq		02/02/12	75	2,374 47	2,509 50	2,509 50	-135 03	-135 03	S
Total for 6/6/2012					61,943.49	61,943 49	13,248 03	13,248 03	
726505100	PLAINS EXPL & PRODTN CO COM				10265400911				
Sold		12/06/12	120	5,219 95					
Acq		09/21/12	120	5,219 95	4,762 68	4,762 68	457 27	457 27	S
Total for 12/6/2012					4,762 68	4,762 68	457 27	457 27	
726505100	PLAINS EXPL & PRODTN CO COM				10265400911				
Sold		12/12/12	1560	70,059 75					
Acq		09/24/09	1450	65,119 64	37,362 73	37,362 73	27,756 91	27,756 91	L
Acq		09/21/12	110	4,940 11	4,365.79	4,365 79	574 32	574 32	S
Total for 12/12/2012					41,728 52	41,728 52	28,331 23	28,331 23	
74005P104	PRAXAIR INC				10260740386				
Sold		01/18/12	275	30,077 12					
Acq		12/14/09	275	30,077 12	22,355 10	22,355 10	7,722 02	7,722 02	L
Total for 1/18/2012					22,355 10	22,355 10	7,722 02	7,722.02	

Statement of Capital Gains and Losses From Sales
(Does not include capital gain distributions from mutual
funds or CTF's - See Tax Transaction Detail)

3/3/2013 12:07:19

KATHERINE MABIS MCKENNA FDN
Trust Year Ending 12/31/2012

Type	Description	Date	Shares	Price	Fed Cost	State Cost	Fed Gain	State Gain	(Type)
742651DG4	PRIVATE EXPT FDG CORP SER Z				10265400911				
Sold		06/26/12	70000	82,487 30					
Acq		02/20/09	70000	82,487 30	69,632 50	69,632 50	12,854 80	12,854 80	L
Total for 6/26/2012					69,632 50	69,632 50	12,854 80	12,854 80	
742651DG4	PRIVATE EXPT FDG CORP SER Z				10265400911				
Sold		07/31/12	35000	41,599 95					
Acq		02/20/09	35000	41,599 95	34,816 25	34,816 25	6,783 70	6,783 70	L
Total for 7/31/2012					34,816 25	34,816 25	6,783 70	6,783 70	
742651DP4	PRIVATE EXPORT FUNDING				10265400911				
Sold		11/16/12	15000	15,444 15					
Acq		06/26/12	15000	15,444 15	14,944 05	14,944 05	500 10	500 10	S
Total for 11/16/2012					14,944 05	14,944 05	500 10	500 10	
74432QBJ3	PRUDENTIAL FINL INC 4 75% 9/17/15				10265400911				
Sold		11/14/12	20000	22,035 00					
Acq		09/10/09	10000	11,017 50	9,976 70	9,976 70	1,040 80	1,040 80	L
Acq		01/26/10	10000	11,017 50	10,449 90	10,449 90	567 60	567 60	L
Total for 11/14/2012					20,426 60	20,426 60	1,608 40	1,608 40	
745177EW1	PUERTO RICO COMWLTH 3.67% 5/01/14				10265400911				
Sold		08/24/12	25000	25,470 25					
Acq		05/12/11	25000	25,470 25	25,000 00	25,000 00	470 25	470 25	L
Total for 8/24/2012					25,000 00	25,000 00	470 25	470 25	
74733V100	QEP RESOURCES INC				10265400911				
Sold		11/09/12	1510	42,996 44					
Acq		02/09/10	1350	38,440 53	37,165 96	37,165 96	1,274 57	1,274 57	L
Acq		07/02/10	160	4,555 91	4,740 80	4,740 80	-184 89	-184 89	L
Total for 11/9/2012					41,906 76	41,906 76	1,089 68	1,089 68	
77531QAD0	ROGERS WIRELESS INC				10265400911				
Sold		11/13/12	20000	21,443 40					
Acq		05/20/09	20000	21,443 40	21,090 40	21,090 40	353 00	353 00	L
Total for 11/13/2012					21,090 40	21,090 40	353 00	353 00	
779376102	ROVI CORP				10265400911				
Sold		04/13/12	699	20,530 85					
Acq		10/19/10	679	19,943 42	33,319 14	33,319 14	-13,375 72	-13,375 72	L
Acq		07/19/11	20	587 43	1,139 06	1,139 06	-551 63	-551 63	S
Total for 4/13/2012					34,458 20	34,458 20	-13,927 35	-13,927 35	
779376102	ROVI CORP				10265400911				
Sold		04/16/12	781	22,574 61					
Acq		10/19/10	781	22,574 61	38,324 37	38,324 37	-15,749 76	-15,749 76	L
Total for 4/16/2012					38,324 37	38,324 37	-15,749 76	-15,749 76	

Statement of Capital Gains and Losses From Sales
(Does not include capital gain distributions from mutual
funds or CTF's - See Tax Transaction Detail)
KATHERINE MABIS MCKENNA FDN
Trust Year Ending 12/31/2012

Type	Description	Date	Shares	Price	Fed Cost	State Cost	Fed Gain	State Gain	(Type)
780099CC9	ROYAL BK SCOTLAND GRP PLC				10265400911				
Sold		11/14/12	25000	25,587.25					
Acq		09/11/12	25000	25,587.25	24,985.75	24,985.75	601.50	601.50	S
Total for 11/14/2012					24,985.75	24,985.75	601.50	601.50	
78010XAC5	ROYAL BANK OF SCOTLA 4 875% 3/16/15				10265400911				
Sold		09/13/12	85000	91,598.55					
Acq		03/09/10	40000	43,105.20	39,907.20	39,907.20	3,198.00	3,198.00	L
Acq		03/09/10	5000	5,388.15	5,002.60	5,002.60	385.55	385.55	L
Acq		03/09/10	40000	43,105.20	40,006.80	40,006.80	3,098.40	3,098.40	L
Total for 9/13/2012					84,916.60	84,916.60	6,681.95	6,681.95	
79466L302	SALESFORCE COM INC				10265400911				
Sold		01/18/12	168	17,492.66					
Acq		06/22/10	168	17,492.66	15,798.79	15,798.79	1,693.87	1,693.87	L
Total for 1/18/2012					15,798.79	15,798.79	1,693.87	1,693.87	
806857108	SCHLUMBERGER LIMITED COM				10265400911				
Sold		09/21/12	60	4,475.65					
Acq		03/09/12	60	4,475.65	4,595.91	4,595.91	-120.26	-120.26	S
Total for 9/21/2012					4,595.91	4,595.91	-120.26	-120.26	
816851109	SEMPRA ENERGY				10265400911				
Sold		12/12/12	130	9,303.10					
Acq		02/27/07	130	9,303.10	8,025.94	8,025.94	1,277.16	1,277.16	L
Total for 12/12/2012					8,025.94	8,025.94	1,277.16	1,277.16	
857477103	STATE ST CORP COM				10265400911				
Sold		04/27/12	350	16,199.39					
Acq		10/15/09	130	6,016.92	6,000.38	6,000.38	16.54	16.54	L
Acq		05/21/09	220	10,182.47	9,470.82	9,470.82	711.65	711.65	L
Total for 4/27/2012					15,471.20	15,471.20	728.19	728.19	
86271F768	DREYFUS PRE STRAT INTER ST-R				10265400911				
Sold		04/02/12	50432.276	700,000.00					
Acq		07/15/10	12000.3	166,564.17	145,323.63	145,323.63	21,240.54	21,240.54	L
Acq		02/01/12	38431.976	533,435.83	500,000.00	500,000.00	33,435.83	33,435.83	S
Total for 4/2/2012					645,323.63	645,323.63	54,676.37	54,676.37	
871607107	SYNOPSIS INC COM				10260740386				
Sold		08/23/12	900	29,906.83					
Acq		11/16/10	900	29,906.83	22,228.47	22,228.47	7,678.36	7,678.36	L
Total for 8/23/2012					22,228.47	22,228.47	7,678.36	7,678.36	
879382208	TELEFONICA S A SPON ADR				10260740386				
Sold		04/25/12	11430	170,012.87					
Acq		07/08/11	5500	81,808.47	127,025.25	127,025.25	-45,216.78	-45,216.78	S
Acq		03/10/11	5930	88,204.40	148,104.12	148,104.12	-59,899.72	-59,899.72	L
Total for 4/25/2012					275,129.37	275,129.37	-105,116.50	-105,116.50	

Statement of Capital Gains and Losses From Sales
 (Does not include capital gain distributions from mutual
 funds or CTF's - See Tax Transaction Detail)

KATHERINE MABIS MCKENNA FDN

Trust Year Ending 12/31/2012

Type	Description	Date	Shares	Price	Fed Cost	State Cost	Fed Gain	State Gain	(Type)
88076W103	TERADATA CORP DEL				10265400911				
Sold		02/10/12	380	23,598 50					
Acq		08/19/11	300	18,630 39	13,273 17	13,273 17	5,357 22	5,357 22	S
Acq		07/16/10	80	4,968 11	2,525 98	2,525 98	2,442 13	2,442 13	L
Total for 2/10/2012					15,799 15	15,799 15	7,799 35	7,799 35	
882508104	TEXAS INSTRUMENTS INC				10265400911				
Sold		03/09/12	910	29,337 87					
Acq		01/19/12	320	10,316 61	10,830 98	10,830 98	-514 37	-514 37	S
Acq		01/18/12	190	6,125 49	6,286 59	6,286 59	-161 10	-161 10	S
Acq		11/04/11	400	12,895 77	12,763 20	12,763 20	132 57	132 57	S
Total for 3/9/2012					29,880 77	29,880 77	-542 90	-542 90	
882508104	TEXAS INSTRUMENTS INC				10265400911				
Sold		05/17/12	270	7,961 90					
Acq		11/04/11	270	7,961 90	8,615 16	8,615 16	-653 26	-653 26	S
Total for 5/17/2012					8,615 16	8,615 16	-653 26	-653 26	
882508104	TEXAS INSTRUMENTS INC				10265400911				
Sold		09/27/12	2430	67,308 03					
Acq		11/04/11	1050	29,083 72	33,503 40	33,503 40	-4,419 68	-4,419 68	S
Acq		01/10/12	860	23,820 95	26,125 08	26,125 08	-2,304 13	-2,304 13	S
Acq		01/12/12	520	14,403 36	16,262 01	16,262 01	-1,858 65	-1,858 65	S
Total for 9/27/2012					75,890 49	75,890 49	-8,582 46	-8,582 46	
887317AJ4	TIME WARNER INC	3 15% 7/15/15			10265400911				
Sold		02/16/12	70000	74,179 00					
Acq		07/08/10	5000	5,298 50	5,031 35	5,031.35	267 15	267 15	L
Acq		07/07/10	40000	42,388 00	39,952 40	39,952.40	2,435 60	2,435 60	L
Acq		07/08/10	25000	26,492 50	25,112 75	25,112 75	1,379 75	1,379 75	L
Total for 2/16/2012					70,096 50	70,096 50	4,082 50	4,082 50	
887317AN5	TIME WARNER INC	4 0% 1/15/22			10265400911				
Sold		11/13/12	20000	22,048 20					
Acq		02/16/12	20000	22,048 20	21,111 00	21,111 00	937 20	937 20	S
Total for 11/13/2012					21,111 00	21,111 00	937 20	937 20	
912828AP5	UNITED STATES TREAS NTS				10265400911				
Sold		05/18/12	180000	183,311 12					
Acq		07/01/09	180000	183,311 12	193,149 04	193,149 04	-9,837 92	-9,837 92	L
Total for 5/18/2012					193,149 04	193,149 04	-9,837 92	-9,837 92	
912828AP5	UNITED STATES TREAS NTS				10265400911				
Sold		06/29/12	30000	30,426 46					
Acq		07/01/09	30000	30,426.46	32,191 51	32,191 51	-1,765 05	-1,765 05	L
Total for 6/29/2012					32,191 51	32,191 51	-1,765 05	-1,765 05	

Statement of Capital Gains and Losses From Sales
(Does not include capital gain distributions from mutual
funds or CTF's - See Tax Transaction Detail)

3/3/2013 12:07:19

KATHERINE MABIS MCKENNA FDN

Trust Year Ending 12/31/2012

Type	Description	Date	Shares	Price	Fed Cost	State Cost	Fed Gain	State Gain	(Type)
912828AP5	UNITED STATES TREAS NTS					10265400911			
Sold		07/13/12	10000	10,127 31					
Acq		07/01/09	10000	10,127 31	10,730 50	10,730 50	-603.19	-603 19	L
Total for 7/13/2012					10,730 50	10,730 50	-603 19	-603 19	
912828AP5	UNITED STATES TREAS NTS					10265400911			
Sold		09/14/12	15000	15,090 18					
Acq		07/01/09	15000	15,090 18	16,095 75	16,095 75	-1,005 57	-1,005 57	L
Total for 9/14/2012					16,095 75	16,095 75	-1,005 57	-1,005 57	
912828AP5	UNITED STATES TREAS NTS					10265400911			
Sold		10/22/12	120000	120,290 22					
Acq		06/12/09	15000	15,036 28	16,003 76	16,003 76	-967 48	-967 48	L
Acq		08/21/09	10000	10,024 19	10,723 47	10,723 47	-699 28	-699 28	L
Acq		08/12/09	45000	45,108 83	47,990 19	47,990 19	-2,881 36	-2,881 36	L
Acq		07/01/09	50000	50,120 93	53,652 51	53,652 51	-3,531 58	-3,531 58	L
Total for 10/22/2012					128,369 93	128,369 93	-8,079 71	-8,079 71	
912828BH2	UNITED STATES TREAS NTS					10265400911			
Sold		11/14/12	130000	133,960 50					
Acq		06/05/08	130000	133,960 50	135,758 60	135,758 60	-1,798 10	-1,798 10	L
Total for 11/14/2012					135,758 60	135,758.60	-1,798 10	-1,798 10	
912828GK0	UNITED STATES TREAS NTS					10265400911			
Sold		02/01/12	25000	25,083 90					
Acq		07/08/08	25000	25,083 90	26,467 86	26,467 86	-1,383 96	-1,383 96	L
Total for 2/1/2012					26,467 86	26,467 86	-1,383 96	-1,383 96	
912828GU8	UNITED STATES TREAS NTS					10265400911			
Sold		03/14/12	90000	90,878 60					
Acq		05/24/10	90000	90,878 60	97,193 27	97,193 27	-6,314 67	-6,314 67	L
Total for 3/14/2012					97,193 27	97,193 27	-6,314 67	-6,314 67	
912828KQ2	U S TREASURY NOTE					10265400911			
Sold		06/29/12	100000	113,691 01					
Acq		08/20/10	100000	113,691 01	105,816 81	105,816 81	7,874 20	7,874 20	L
Total for 6/29/2012					105,816 81	105,816.81	7,874 20	7,874 20	
912828LR9	U S TREASURY NOTE 1 375% 10/15/12					10265400911			
Sold		04/03/12	10000	10,064 03					
Acq		02/03/11	10000	10,064 03	10,125 81	10,125 81	-61 78	-61 78	L
Total for 4/3/2012					10,125 81	10,125 81	-61 78	-61 78	
912828LR9	U S TREASURY NOTE 1 375% 10/15/12					10265400911			
Sold		05/16/12	100000	100,499 66					
Acq		12/30/09	5000	5,024 98	4,981 27	4,981 27	43 71	43 71	L
Acq		02/14/11	90000	90,449 69	90,988 19	90,988 19	-538 50	-538 50	L
Acq		02/03/11	5000	5,024 98	5,062 91	5,062 91	-37 93	-37 93	L
Total for 5/16/2012					101,032 37	101,032 37	-532 71	-532 71	

Statement of Capital Gains and Losses From Sales
(Does not include capital gain distributions from mutual funds or CTF's - See Tax Transaction Detail)

KATHERINE MABIS MCKENNA FDN
Trust Year Ending 12/31/2012

Type	Description	Date	Shares	Price	Fed Cost	State Cost	Fed Gain	State Gain	(Type)
912828MB3	UNITED STATES TREASU 1 125% 12/15/12				10265400911				
Sold		10/22/12	60000	60,086 52					
Acq		01/11/11	10000	10,014 42	10,101 99	10,101 99	-87 57	-87 57	L
Acq		11/19/10	10000	10,014 42	10,116 80	10,116 80	-102 38	-102 38	L
Acq		02/03/10	40000	40,057 68	39,986 56	39,986 56	71 12	71 12	L
Total for 10/22/2012					60,205 35	60,205 35	-118 83	-118 83	
912828MB3	UNITED STATES TREASU 1 125% 12/15/12				10265400911				
Sold		11/13/12	65000	65,053 10					
Acq		02/03/10	65000	65,053 10	64,978 17	64,978 17	74 93	74 93	L
Total for 11/13/2012					64,978 17	64,978 17	74 93	74 93	
912828MU1	U S TREASURY NOTE				10265400911				
Sold		02/01/12	65000	65,093 73					
Acq		01/20/11	65000	65,093.73	65,490 26	65,490 26	-396 53	-396 53	L
Total for 2/1/2012					65,490 26	65,490 26	-396 53	-396 53	
912828NB2	U S TREASURY NOTE				10265400911				
Sold		02/17/12	25000	25,042 89					
Acq		11/01/10	25000	25,042 89	25,266 60	25,266 60	-223 71	-223 71	L
Total for 2/17/2012					25,266 60	25,266 60	-223 71	-223 71	
912828NB2	U S TREASURY NOTE				10265400911				
Sold		02/17/12	5000	5,008 58					
Acq		11/01/10	5000	5,008 58	5,053 32	5,053 32	-44 74	-44 74	L
Total for 2/17/2012					5,053 32	5,053 32	-44 74	-44 74	
912828NB2	U S TREASURY NOTE				10265400911				
Sold		02/24/12	190000	190,296 24					
Acq		11/01/10	190000	190,296 24	192,026 18	192,026 18	-1,729 94	-1,729 94	L
Total for 2/24/2012					192,026.18	192,026 18	-1,729 94	-1,729 94	
912828NB2	U S TREASURY NOTE				10265400911				
Sold		03/14/12	20000	20,022 59					
Acq		11/01/10	20000	20,022 59	20,213 28	20,213 28	-190 69	-190 69	L
Total for 3/14/2012					20,213 28	20,213 28	-190.69	-190 69	
912828NP1	U S TREASURY NOTE 1 75% 7/31/15				10265400911				
Sold		01/12/12	30000	31,326.46					
Acq		07/29/10	30000	31,326 46	30,117 19	30,117 19	1,209 27	1,209 27	L
Total for 1/12/2012					30,117 19	30,117 19	1,209 27	1,209 27	
912828NR7	UNITED STATES TREASURY NOTE				10265400911				
Sold		06/07/12	40000	43,192 03					
Acq		11/01/10	40000	43,192.03	41,350 00	41,350 00	1,842 03	1,842.03	L
Total for 6/7/2012					41,350 00	41,350 00	1,842 03	1,842 03	

Statement of Capital Gains and Losses From Sales
(Does not include capital gain distributions from mutual
funds or CTF's - See Tax Transaction Detail)

KATHERINE MABIS MCKENNA FDN

Trust Year Ending 12/31/2012

Type	Description	Date	Shares	Price	Fed Cost	State Cost	Fed Gain	State Gain	(Type)
912828NR7	UNITED STATES TREASURY NOTE					10265400911			
Sold		09/11/12	25000	27,084 88					
Acq		08/24/12	25000	27,084 88	27,013 76	27,013 76	71 12	71 12	S
	Total for 9/11/2012				27,013 76	27,013 76	71 12	71 12	
912828NR7	UNITED STATES TREASURY NOTE					10265400911			
Sold		10/02/12	50000	54,247 88					
Acq		11/01/10	50000	54,247 88	51,687 50	51,687 50	2,560 38	2,560 38	L
	Total for 10/2/2012				51,687 50	51,687 50	2,560 38	2,560 38	
912828NX4	UNITED STATES T-NOTE 0 375% 9/30/12					10265400911			
Sold		03/14/12	5000	5,005 65					
Acq		09/29/10	5000	5,005 65	4,993 95	4,993 95	11 70	11 70	L
	Total for 3/14/2012				4,993 95	4,993 95	11 70	11 70	
912828NX4	UNITED STATES T-NOTE 0 375% 9/30/12					10265400911			
Sold		03/30/12	70000	70,076 33					
Acq		09/29/10	70000	70,076 33	69,915 23	69,915 23	161 10	161 10	L
	Total for 3/30/2012				69,915 23	69,915 23	161 10	161 10	
912828NX4	UNITED STATES T-NOTE 0 375% 9/30/12					10265400911			
Sold		04/03/12	55000	55,059 97					
Acq		09/29/10	55000	55,059 97	54,933 40	54,933 40	126 57	126 57	L
	Total for 4/3/2012				54,933 40	54,933 40	126 57	126 57	
912828PV6	UNITED STATES TREASURY NOTE BO					10265400911			
Sold		05/16/12	30000	30,052 63					
Acq		12/17/10	30000	30,052 63	29,979 27	29,979 27	73 36	73 36	L
	Total for 5/16/2012				29,979 27	29,979 27	73 36	73 36	
912828QL7	UNITED STATES T-NOTE 0 625%					10265400911			
Sold		11/13/12	15000	15,033 35					
Acq		04/15/11	15000	15,033 35	15,012 35	15,012 35	21 00	21 00	L
	Total for 11/13/2012				15,012 35	15,012 35	21 00	21 00	
912828QN3	UNITED STATES T-NOTE 3 125%					10265400911			
Sold		11/26/12	25000	28,535 06					
Acq		05/31/11	25000	28,535 06	25,151 47	25,151 47	3,383 59	3,383 59	L
	Total for 11/26/2012				25,151 47	25,151 47	3,383 59	3,383 59	
912828QR4	U S TREASURY NOTE					10265400911			
Sold		11/14/12	50000	51,937 33					
Acq		07/12/11	50000	51,937 33	50,047 04	50,047 04	1,890 29	1,890 29	L
	Total for 11/14/2012				50,047 04	50,047 04	1,890 29	1,890 29	
912828QS2	U S TREASURY NOTE					10265400911			
Sold		02/24/12	5000	5,046 27					
Acq		07/01/11	5000	5,046 27	4,987 13	4,987 13	59 14	59 14	S
	Total for 2/24/2012				4,987 13	4,987 13	59 14	59 14	

Statement of Capital Gains and Losses From Sales
(Does not include capital gain distributions from mutual
funds or CTF's - See Tax Transaction Detail)

3/3/2013 12:07:19

KATHERINE MABIS MCKENNA FDN
Trust Year Ending 12/31/2012

Type	Description	Date	Shares	Price	Fed Cost	State Cost	Fed Gain	State Gain	(Type)
912828QV5	US TREAS-CPI				10265400911				
Sold		11/16/12	20498	23,494 15					
Acq		08/05/11	20498	23,494.15	21,303 49	21,303 49	2,190 66	2,190 66	L
Total for 11/16/2012					21,303 49	21,303 49	2,190 66	2,190 66	
912828QY9	UNITED STATES T-NOTE 2 25% 7/31/18				10265400911				
Sold		11/13/12	50000	54,128 71					
Acq		08/24/11	50000	54,128 71	52,195 51	52,195 51	1,933 20	1,933 20	L
Total for 11/13/2012					52,195 51	52,195 51	1,933 20	1,933 20	
912828SN1	U.S. TREASURY NOTE 1 5% 3/31/19				10265400911				
Sold		06/29/12	65000	66,838 02					
Acq		04/03/12	65000	66,838 02	64,269 01	64,269 01	2,569.01	2,569 01	S
Total for 6/29/2012					64,269.01	64,269 01	2,569 01	2,569 01	
912828SV3	U S TREASURE NOTE				10265400911				
Sold		11/16/12	25000	25,598.53					
Acq		06/14/12	5000	5,119 71	5,062.13	5,062.13	57 58	57 58	S
Acq		07/13/12	10000	10,239 41	10,230 90	10,230 90	8 51	8 51	S
Acq		07/11/12	5000	5,119 71	5,115 45	5,115 45	4 26	4 26	S
Total for 11/16/2012					20,408 48	20,408 48	70 34	70 34	
91913Y100	VALERO ENERGY CORP NEW				10265400911				
Sold		08/15/12	480	13,719 00					
Acq		12/21/10	480	13,719.00	10,688 88	10,688 88	3,030.12	3,030 12	L
Total for 8/15/2012					10,688 88	10,688 88	3,030 12	3,030 12	
91913Y100	VALERO ENERGY CORP NEW				10265400911				
Sold		09/27/12	700	21,897 61					
Acq		12/21/10	30	938 47	668 06	668 06	270 41	270 41	L
Acq		10/16/09	670	20,959 14	13,259 17	13,259 17	7,699 97	7,699 97	L
Total for 9/27/2012					13,927 23	13,927 23	7,970 38	7,970 38	
91913Y100	VALERO ENERGY CORP NEW				10265400911				
Sold		12/14/12	270	8,992 39					
Acq		11/09/12	270	8,992 39	8,011 82	8,011 82	980 57	980 57	S
Total for 12/14/2012					8,011 82	8,011 82	980 57	980 57	
921943858	VANGUARD EUROPE PACIFIC ETF				10265400911				
Sold		02/01/12	57500	1,882,772.55					
Acq		07/13/10	7500	245,579 03	239,100 00	239,100 00	6,479 03	6,479 03	L
Acq		12/06/07	50000	1,637,193 52	2,525,510 00	2,525,510 00	-888,316 48	-888,316 48	L
Total for 2/1/2012					2,764,610 00	2,764,610 00	-881,837 45	-881,837 45	
92343V104	VERIZON COMMUNICATIONS INC				10265400911				
Sold		06/19/12	410	17,913 20					
Acq		09/24/09	10	436 91	282 10	282.10	154 81	154 81	L
Acq		09/27/11	400	17,476.29	14,849 48	14,849 48	2,626 81	2,626 81	S
Total for 6/19/2012					15,131 58	15,131 58	2,781 62	2,781 62	

Statement of Capital Gains and Losses From Sales
(Does not include capital gain distributions from mutual funds or CTF's - See Tax Transaction Detail)

KATHERINE MABIS MCKENNA FDN
Trust Year Ending 12/31/2012

Type	Description	Date	Shares	Price	Fed Cost	State Cost	Fed Gain	State Gain	(Type)
92343VAQ7	VERIZON COMMUNICATION 8 75% 11/01/18				10265400911				
Sold		12/17/12	18000	25,237 08					
Acq		12/11/08	18000	25,237 08	19,156.14	19,156 14	6,080 94	6,080 94	L
Total for 12/17/2012					19,156 14	19,156 14	6,080 94	6,080 94	
929903AJ1	WACHOVIA CORP NEW				10265400911				
Sold		09/11/12	25000	26,853 50					
Acq		10/27/10	25000	26,853 50	27,077 50	27,077 50	-224 00	-224 00	L
Total for 9/11/2012					27,077 50	27,077 50	-224 00	-224 00	
931142103	WAL MART STORES INC				10260740386				
Sold		04/25/12	5590	324,326 77					
Acq		09/22/09	5590	324,326 77	286,013 47	286,013 47	38,313 30	38,313 30	L
Total for 4/25/2012					286,013.47	286,013 47	38,313 30	38,313 30	
988498101	YUM BRANDS INC				10265400911				
Sold		07/11/12	120	7,441 69					
Acq		09/24/09	120	7,441 69	3,946 91	3,946 91	3,494 78	3,494 78	L
Total for 7/11/2012					3,946.91	3,946 91	3,494 78	3,494 78	
G1151C101	ACCENTURE PLC IRELAND SHS CL A				10265400911				
Sold		01/09/12	390	20,155 71					
Acq		10/27/11	390	20,155 71	23,703 34	23,703 34	-3,547 63	-3,547 63	S
Total for 1/9/2012					23,703 34	23,703 34	-3,547 63	-3,547 63	
G1151C101	ACCENTURE PLC IRELAND SHS CL A				10265400911				
Sold		09/28/12	290	20,290 01					
Acq		07/02/12	240	16,791 73	14,493 34	14,493 34	2,298 39	2,298 39	S
Acq		10/27/11	50	3,498 28	3,038 89	3,038 89	459 39	459 39	S
Total for 9/28/2012					17,532 23	17,532 23	2,757 78	2,757 78	
H5833N103	NOBLE CORPORATION BAAR				10265400911				
Sold		09/27/12	1610	57,192 36					
Acq		02/10/12	1610	57,192 36	62,422 12	62,422 12	-5,229 76	-5,229 76	S
Total for 9/27/2012					62,422.12	62,422.12	-5,229 76	-5,229 76	
N6596X109	NXP SEMICONDUCTORS N V				10265400911				
Sold		03/06/12	1040	23,300 16					
Acq		04/02/11	310	6,945 24	11,602 43	11,602 43	-4,657 19	-4,657 19	S
Acq		04/03/11	260	5,825.04	9,544 21	9,544.21	-3,719.17	-3,719 17	S
Acq		05/03/11	470	10,529 88	15,228 71	15,228 71	-4,698 83	-4,698 83	S
Total for 3/6/2012					36,375 35	36,375 35	-13,075 19	-13,075 19	

Statement of Capital Gains and Losses From Sales
 (Does not include capital gain distributions from mutual
 funds or CTF's - See Tax Transaction Detail)

KATHERINE MABIS MCKENNA FDN
 Trust Year Ending 12/31/2012

Type	Description	Date	Shares	Price	Fed Cost	State Cost	Fed Gain	State Gain	(Type)
N6596X109	NXP SEMICONDUCTORS N V				10265400911				
Sold		11/05/12	410	10,565 42					
Acq		05/03/11	410	10,565 42	13,284 61	13,284 61	-2,719 19	-2,719 19	L
			Total for 11/5/2012		13,284 61	13,284 61	-2,719 19	-2,719 19	
			Total for Account: 10265400911		18,160,508 99	18,160,508 99	621,824 33	621,824 33	
			Total Proceeds:			Fed	State		
			18,782,333 32		S/T Gain/Loss	28,135 53	28,135 53		
					L/T Gain/Loss	593,688 80	593,688 80		

Katherine Mabis McKenna Foundation
2012 Form 990-PF
Part IV Capital Gains and Losses for Tax on Investment Income

REALIZED GAIN/LOSS INFORMATION									
Open Date	Close Date	Quantity	Symbol/ CUSIP	Description	Cost	Proceeds	Realized Gain/(Loss)	Term	
06/20/08	01/31/12	640.00	AES	AES CORP	12,756.23	8,147.04	(4,609.19)	LONG	
06/15/09	01/31/12	210.00	T	AT&T INC	5,143.85	6,190.68	1,046.83	LONG	
06/20/08	01/31/12	225.00	ACN	ACCENTURE PLC IRELAND	8,803.98	12,910.25	4,106.27	LONG	
06/20/08	01/31/12	285.00	ACGL	ARCH CAPITAL GROUP LTD	6,518.77	10,243.18	3,724.41	LONG	
06/25/09	01/31/12	145.00	ADP	AUTOMATIC DATA	5,011.00	7,949.23	2,938.23	LONG	
01/20/09	01/31/12	535.00	BK	BANK OF NEW YORK	10,373.60	10,742.59	368.99	LONG	
06/20/08	01/31/12	145.00	BAYRY	BAYER A G	11,491.25	10,196.20	(1,295.05)	LONG	
06/01/10	01/31/12	340.00	BAMXY	BAYERISCHE MOTOREN	5,282.52	9,710.21	4,427.69	LONG	
06/20/08	01/31/12	90.00	BTI	BRITISH AMERN TOBACCO	6,194.16	8,287.94	2,093.78	LONG	
01/07/11	01/31/12	330.00	CVS	CVS CAREMARK CORP	11,176.74	13,790.43	2,613.69	SHORT	
11/12/08	01/31/12	95.00	CVX	CHEVRON CORP	6,827.80	9,806.66	2,978.86	LONG	
12/02/08	01/31/12	120.00	COP	CONOCOPHILLIPS	5,914.23	8,200.64	2,286.41	LONG	
06/20/08	01/31/12	165.00	COV	COVIDIEN PLC NEW	7,908.49	8,545.18	636.69	LONG	
06/20/08	01/31/12	160.00	DHR	DANAHER CORP	6,434.23	8,407.83	1,973.60	LONG	
12/05/08	01/31/12	625.00	EMC	E M C CORP MASS	6,433.88	16,143.94	9,710.06	LONG	
12/22/08	01/31/12	165.00	EMR	EMERSON ELECTRIC COMPANY	5,743.39	8,490.73	2,747.34	LONG	
10/10/08	01/31/12	165.00	EXC	EYELON CORP	7,436.51	6,556.97	(879.54)	LONG	
01/27/11	01/31/12	50.00	GS	GOLDMAN SACHS GROUP INC	4,659.39	5,564.39	905.00	SHORT	
08/25/11	01/31/12	285.00	HAL	HALLIBURTON COMPANY	11,625.30	10,564.74	(1,060.56)	SHORT	
12/22/08	01/31/12	170.00	HON	HONEYWELL INTL INC	5,446.02	9,856.41	4,410.39	LONG	
03/16/11	01/31/12	625.00	ICON	ICONIX BRAND GROUP INC	12,840.19	11,499.77	(1,340.42)	SHORT	
07/08/10	01/31/12	455.00	INTC	INTEL CORP	9,158.38	12,052.71	2,894.33	LONG	
05/01/09	01/31/12	95.00	JNJ	JOHNSON & JOHNSON	4,931.15	6,249.93	1,318.78	LONG	
07/08/10	01/31/12	215.00	LIFE	LIFE TECHNOLOGIES CORP	10,070.09	10,453.09	383.00	LONG	
01/06/11	01/31/12	255.00	MDT	MEDTRONIC INC	9,338.28	9,883.61	545.33	LONG	
06/20/08	01/31/12	380.00	MSFT	MICROSOFT CORP	10,776.80	11,247.78	470.98	LONG	
06/20/08	01/31/12	600.00	MYL	MYLAN INC	7,248.24	12,401.76	5,153.52	LONG	
03/09/10	01/31/12	145.00	NEM	NEWMONT MINING CORP	7,452.41	8,955.02	1,522.61	LONG	
06/20/08	01/31/12	105.00	NKE	NIKE INC CLASS B	7,002.87	10,980.68	3,977.81	LONG	
06/20/08	01/31/12	130.00	OXY	OCCIDENTAL PETROLEUM	11,174.70	12,976.35	1,801.65	LONG	
06/20/08	01/31/12	100.00	PM	PHILIP MORRIS	5,038.70	7,488.85	2,450.15	LONG	
04/03/09	01/31/12	155.00	PEP	PEPSICO INC	8,134.39	10,149.20	2,014.81	LONG	
08/06/10	01/31/12	130.00	PG	PROCTER & GAMBLE COMPANY	7,733.41	8,178.14	444.73	LONG	
04/29/10	01/31/12	270.00	QCOM	QUALCOMM INC	10,563.28	15,918.89	5,355.61	LONG	
06/17/10	01/31/12	180.00	SDRL	SEADRILL LIMITED	3,703.61	6,710.27	3,006.66	LONG	
06/20/08	01/31/12	95.00	SHPGY	SHIRE PLC	4,491.04	9,453.26	4,962.22	LONG	
06/20/08	01/31/12	110.00	SI	SIEMENS A G SPONS ADR	12,503.09	10,365.10	(2,137.99)	LONG	
10/07/08	01/31/12	155.00	TGT	TARGET CORP	6,510.33	7,858.34	1,348.01	LONG	
10/24/08	01/31/12	110.00	MMM	3M COMPANY	6,614.14	9,529.11	2,914.97	LONG	

Part IV Capital Gains and Losses for Tax on Investment Income

REALIZED GAIN/LOSS INFORMATION - Continued

Open Date	Close Date	Quantity	Symbol/ CUSIP	Description	Cost	Proceeds	Realized Gain/(Loss)	Term
06/20/08	01/31/12	265.00	TWX	TIME WARNER INC NEW	8,213.55	9,847.21	1,633.66	LONG
05/28/10	01/31/12	155.00	V	VISA INC CLASS A	11,270.64	15,552.40	4,281.76	LONG
06/20/08	01/31/12	245.00	VOD	VODAFONE GROUP PLC NEW	7,010.78	6,627.12	(383.66)	LONG
TOTALS:					332,931	414,684	81,753	

REALIZED GAIN/LOSS INFORMATION

Open Date	Close Date	Quantity	Symbol/ CUSIP	Description	Cost	Proceeds	Realized Gain/(Loss)	Term
10/10/08	05/18/12	1,754.00	EXC	EXELON CORP	79,052.24	66,988.14	(12,064.10)	LONG
00/12/11	05/18/12	2,095.00	EXC	EXELON CORP	90,668.25	80,011.49	(10,656.76)	LONG
12/02/08	05/18/12	833.00	PSX	PHILLIPS 66	18,811.20	26,074.47	7,263.27	LONG
08/22/09	05/18/12	610.00	PSX	PHILLIPS 66	11,369.55	19,094.16	7,724.61	LONG
TOTALS:					199,901	192,168	(7,733)	

REALIZED GAIN/LOSS INFORMATION

Open Date	Close Date	Quantity	Symbol/ CUSIP	Description	Cost	Proceeds	Realized Gain/(Loss)	Term
06/20/08	06/08/12	1,550.00	SI	SIEMENS A G SPONS ADR	176,179.81	126,670.44	(49,509.37)	LONG
00/09/11	06/08/12	422.00	SI	SIEMENS A G SPONS ADR	44,081.91	34,487.06	(9,594.85)	SHORT
06/20/08	06/15/12	1,571.00	ACGL	ARCH CAPITAL GROUP LTD	35,933.28	58,572.94	22,639.66	LONG
06/20/08	06/18/12	613.00	ACGL	ARCH CAPITAL GROUP LTD	14,021.07	22,681.35	8,660.28	LONG
TOTALS:					270,216	242,412	(27,804)	

REALIZED GAIN/LOSS INFORMATION

Open Date	Close Date	Quantity	Symbol/ CUSIP	Description	Cost	Proceeds	Realized Gain/(Loss)	Term
05/28/10	08/20/12	525.00	V	VISA INC CLASS A	38,174.74	67,305.59	29,130.85	LONG
06/20/08	03/24/12	372.00	BTI	BRITISH AMERN TOBACCO	25,602.53	38,717.15	13,114.62	LONG
01/07/11	08/24/12	1,765.00	CVS	CVS CAREMARK CORP	59,778.61	80,074.66	20,296.05	SHORT
06/20/08	08/24/12	302.00	PM	PHILIP MORRIS INTL INC	15,216.88	26,727.03	11,510.15	LONG
04/03/09	08/24/12	233.00	PEP	PEPSICO INC	12,227.82	17,010.61	4,782.79	LONG
08/06/10	08/24/12	342.00	PG	PROCTER & GAMBLE COMPANY	20,344.80	22,669.64	2,324.84	LONG
TOTALS:					171,345	252,705	81,360	

REALIZED GAIN/LOSS INFORMATION

Open Date	Close Date	Quantity	Symbol/ CUSIP	Description	Cost	Proceeds	Realized Gain/(Loss)	Term
08/06/10	10/16/12	699.00	PG	PROCTER & GAMBLE COMPANY	41,581.90	48,182.81	6,600.91	LONG
Total					1,015,975	1,150,152	134,177	

Attachment to Form 990-PF

Part VIII, Column (b)

Title and average hours per week devoted to position

As Trustee, BNY Mellon provides administrative services such as acting as investment manager for the named organization. These services require numerous individual bank employees' involvement in the activities of the organization and thus cannot be qualified on an hourly basis. Therefore, the Trustee's fees are not based upon an hourly basis but are calculated based upon factors such as the market value of the account and in accordance with our agreement with the client.

KATHERINE MABIS MCKENNA FOUNDATION, INC.

2012 GRANT REPORT

December 31, 2012

Organization Name	Address	Purpose	Grant 2012
10,000 Friends of Pennsylvania	240 N. 3rd Street, Suite 407 Harrisburg, PA 17101	Annual Dinner & Award Program (Grant \$1,170 / T&E \$330)	\$1,170
Adelphoi USA, Inc.	1119 Village Way Latrobe, PA 15650	Expansion of Dr. Robert Ketterer Charter School (2 of 2)	\$250,000
Allegheny Land Trust	409 Broad St., Suite 206B Sewickley, PA 15143	Property Acquisition: Pittsburgh Cut Flower property	\$10,000
American Red Cross, Westmoreland/Armstrong Chapter	351 Harvey Ave., Suite B Greensburg, PA 15601	Assistance with the purchase of a disaster trailer & supplies	\$5,000
Association of Independent Colleges and Universities of PA	101 North Front Street Harrisburg, PA 17101	General Operation 2012	\$15,000
Borough of Ligonier	120 East Main St. Ligonier, PA 15658	Assistance to replant & maintain the trees of Ligonier	\$2,000
Botanical Society of Westmoreland County	1004 Valley Drive Greensburg, PA 15601	General Operations	\$2,000
Bucknell University	Bucknell University Lewisburg, PA 17837	McKenna Environmental Internship Program (2 of 4)	\$30,000
Carnegie Institute / Carnegie Museums of Pittsburgh	4400 Forbes Avenue Pittsburgh, PA 15213	General Operations	\$55,000
Carnegie Institute / Carnegie Science Center	4400 Forbes Avenue Pittsburgh, PA 15213	General Operations - Science Center (2 of 2)	\$24,500
Children's Museum of Pittsburgh	10 Children's Way, Allegheny Square	\$15,000 General Operations & \$5,000 for the ACM 2013	\$20,000
Community Foundation of Westmoreland County	Greensburg Garden & Civic Center	Match for 2012 Day of Giving "Westmoreland Gives"	\$20,000
Connellsville Redevelopment Authority	124 West Crawford Ave. Connellsville, PA 15425	Repairs to the restroom facility in Connellsville's Yough Park	\$9,200
Garden Club of McKeesport, The	1400 Pin Oak Drive McKeesport, PA 15132	Sidewalk repair around their gazebo	\$1,000
Girl Scouts of Western Pennsylvania	30 Isabella Street Pittsburgh, PA 15212	Maintenance items at Sky Meadow Camp in Avonmore	\$15,000
Goodwill Industries of Pittsburgh	2600 East Carson Street Pittsburgh, PA 15203	Annual Campaign	\$2,500
Grantmakers of Western PA	Centre City Tower, #210 650 Smithfield Street	General Operation (grant \$4,576 / dues \$595)	\$4,576
Greensburg Art Center	230 Todd School Road Greensburg, PA 15601	Parking lot improvements at the Greensburg Art Center	\$5,000
Historical Society of Western Pennsylvania	1212 Smallman Street Pittsburgh, PA 15222-4200	2013 Exhibit: <i>The Civil War in Pennsylvania</i>	\$25,000

Latrobe - Unity Parks and Recreation	P.O. Box 307 Latrobe, PA 15650	\$15,000 support for Creekside programming and \$10,000 to replace the bridge at Creekside	\$25,000
Latrobe - Unity Parks and Recreation	P.O. Box 307 Latrobe, PA 15650	Match for DCNR grant for improvements at Cardinal and	\$40,000
Latrobe Area Chamber of Commerce Education Foundation	326 McKinley Ave., Ste 102 Latrobe, PA 15650	Underwrite <i>Profiles of the Past</i> in <u>Around Latrobe</u>	\$4,000
Latrobe Area Hospital Aid Society	One Mellon Way Latrobe, PA 15650	Annual Children's Health Fair	\$500
Latrobe Art Center	819 Ligonier St., Latrobe, PA 15650	General Operations for the Café	\$15,000
Latrobe Community Revitalization Program, Inc.	816 Ligonier St., Suite 307 Latrobe, PA 15650	Revitalization-Downtown Latrobe	\$30,000
Latrobe Foundation	P.O. Box 110 Latrobe, PA 15650	Support for the reconstruction of Playland in Latrobe	\$40,000
Ligonier Valley Library Association	120 West Main Street Ligonier, PA 15658	General Operations	\$25,000
Ligonier Valley YMCA	110 W. Church Street Ligonier, PA 15658-1223	Capital Improvements (2 of 2)	\$75,000
Ligonier Valley YMCA	110 W. Church Street Ligonier, PA 15658-1223	Excelsa Health & Fitness wing (1 of 3)	\$500,000
Loyalhanna Watershed Association	110 Andi Lane Ligonier, PA 15658	Youth Conservation Corps 2012	\$25,000
Loyalhanna Watershed Association	110 Andi Lane Ligonier, PA 15658	Assistance with the development of an official Loyalhanna Creek Water Trail Map & Guide	\$10,000
Loyalhanna Watershed Association	110 Andi Lane Ligonier, PA 15658	General Operations	\$20,000
National Road Heritage Corridor	65 West Main Street, St.103 Uniontown, PA 15401	General Operations	\$10,000
PA Recreation & Park Society	2131 Sandy Drive State College, PA 16803	2012 Annual Conference	\$1,000
Pennsylvania Assn. for the Blind-Westmoreland County Branch	911 South Main Street Greensburg, PA 15601	Assistance with the purchase of equipment for the Work Activity	\$5,000
Pennsylvania Cross County Skiers Association	453 Tivoli Road Pittsburgh, PA 15239	Assistance with the purchase of grooming equipment & shed	\$10,000
Pennsylvania Environmental Council	22 Terminal Way Pittsburgh, PA 15219	Annual Dinner \$3,000 (T&E 8 x \$60 \$480 Grant \$2,520)	\$2,520
Pennsylvania Land Trust Association	105 Locust St. Suite 300 Harrisburg, PA 17101	General Operations (2 of 2)	\$5,000
Phipps Conservatory and Botanical Gardens	One Schenley Park Pittsburgh, PA 15213	Dedication Celebration - Phipps Center for Sustainable Landscapes	\$3,000
Phipps Conservatory and Botanical Gardens	One Schenley Park Pittsburgh, PA 15213	General Operations (2 of 5)	\$5,000
Pittsburgh History & Landmarks Foundation	1 Station Square, Suite 450 Pittsburgh, PA 15219	The Historic Religious Properties program	\$10,000

Rails-To-Trails Conservancy	2121 Ward Court, NW 5th Floor Washington, DC 20037	Youth Participation in the 2012 Greenway Sojourn bicycle ride: DC to Pittsburgh, PA	\$2,500
Redstone Presbyterian SeniorCare	6 Garden Center Drive Greensburg, PA 15601	Pioneer Network Model of Care (3 of 3)	\$25,000
River City Brass Band	500 Grant Street, Suite 2720 Pittsburgh, PA 15219	Underwrite 8 performances at the Palace Theatre (\$5,000 x 4)	\$5,000
Seton Hill University	Seton Hill Drive Greensburg, PA 15601	Health Science and Technology Center capital campaign (1 of 3)	\$500,000
Smart Growth Partnership of Westmoreland County, Inc.	GreenForge Building 226 Donohoe Rd., Ste. 103	General Operations (1 of 2 for 2012-13)	\$25,000
Smart Growth Partnership of Westmoreland County, Inc.	GreenForge Building 226 Donohoe Rd., Ste. 103	(2 of 2 Advanced pmt. for 2013)	\$25,000
United Way of Westmoreland County	1011 Old Salem Rd, Ste 101 Greensburg, PA 15601	Annual Campaign	\$25,000
University of Dayton	300 College Park Dayton, OH 45469	Women in Engineering Summer Camp - 3 scholarships	\$1,800
Western Pennsylvania Conservancy	P.O. Box R Mill Run, PA 15464	Fallingwater Internship (2 of 2)	\$20,000
Western Pennsylvania Conservancy	P.O. Box R Mill Run, PA 15464	Fallingwater Internship (1 of 2 for the 2013 program)	\$20,000
Westmoreland Arts & Heritage Festival	250 Twin Lakes Road Latrobe, PA 15650	General Operations for the 2012 Festival	\$7,500
Westmoreland County Community College	145 Pavilion Lane Youngwood, PA 15697	Expansion of Latrobe campus (1 of 3)	\$250,000
Westmoreland County Historical Society	362 Sand Hill Road, Suite 1 Greensburg, PA 15601	General Operations	\$5,000
Westmoreland Cultural Trust	106 North Main Street Greensburg, PA 15601	GGCC General Operations	\$80,000
Westmoreland Cultural Trust	106 North Main Street Greensburg, PA 15601	Debt Reduction (1 of 2)	\$100,000
Westmoreland Cultural Trust	106 North Main Street Greensburg, PA 15601	Assistance with renovations and upgrades at GGCC	\$127,400
Westmoreland Museum of American Art	221 North Main Street Greensburg, PA 15601	Expansion and Endowment campaign (1 of 4)	\$500,000
Westmoreland Museum of American Art	221 North Main Street Greensburg, PA 15601	General Operations (4 of 4)	\$100,000
Westmoreland Symphony Orchestra	951 Old Salem Road Greensburg, PA 15601	Audience development initiative (2 of 3)	\$15,000
YWCA of Westmoreland County	424 North Main Street Greensburg, PA 15601	General Operations (1 of 2)	\$20,000
Total Grants To-Date			\$3,212,166

Katherine Mabis McKenna Foundation
2012 Form 990-PF

Statement 5, Other Investment Detail

	<u>Value</u>
BNY Mellon (STMT 10.1)	\$19,670,483
BNY Mellon (STMT 10.2)	\$ 51,140,386
Other Miscellaneous	<u>\$ 3,032</u>
Total	<u><u>\$70,813,901</u></u>

Asset detail

Equities

U.S. large cap

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
3,950	Accenture PLC (ACN)	\$ 66.5000	\$ 262,675.00		\$ 262,675.00	\$ 6,399.00	2.4%	1.3%
3,988	Covidien PLC (COV)	57.7400	230,267.12		230,267.12	4,147.52	1.8	1.2
7,360	Eaton Corp PLC (ETN)	54.1800	398,764.80	380,180.81	18,583.99	11,187.20	2.8	2.0
5,069	AT&T Inc (T)	33.7100	170,875.99		170,875.99	9,124.20	5.3	0.9
4,415	Agilent Technologies Inc (A)	40.9400	180,750.10	181,447.37	-697.27	1,766.00	1.0	0.9
8,745	Amerisourcebergen Corp (ABC)	43.1800	377,609.10	173,223.40	204,385.70	7,345.80	1.9	1.9
265	Apple Inc (AAPL)	532.1730	141,025.85	146,533.57	-5,507.72	2,809.00	2.0	0.7
9,604	Automatic Data Processing Inc (ADP)	56.9300	546,755.72	221,352.89	325,402.83	16,710.96	3.1	2.8
9,606	The Bank Of New York Mellon (BK) Corporation (BNY Mellon)	25.7000	246,874.20		246,874.20	4,995.12	2.0	1.2
4,175	Becton Dickinson & Co (BDX)	78.1900	326,443.25	326,043.48	399.77	8,266.50	2.5	1.6
4,124	CVS Caremark Corporation (CVS)	48.3500	199,395.40		199,395.40	3,711.60	1.9	1.0
2,232	Chevron Corporation (CVX)	108.1400	241,368.48		241,368.48	8,035.20	3.3	1.2
3,435	Colgate-Palmolive Company (CL)	104.5400	359,094.90	248,247.45	110,847.45	8,518.80	2.4	1.8
9,364	Conocophillips (COP)	57.9900	543,018.36	236,321.55	306,696.81	24,720.96	4.6	2.7



BNY MELLON
WEALTH MANAGEMENT

Portfolio manager

Janice Duncan
412 234 0478



Page 5 of 22

000947 XMACOTS1 007558

December 1 - December 31, 2012

McKenna Katherine Mabis Fdn Inc-No 2
Account number

Page 6 of 22

Equities

U.S. large cap
continued

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
3,852	Danaher Corp (DHR)	55.9000	215,326.80		215,326.80	385.20	0.2	1.1
10,696	E M C Corp Mass (EMC)	25.3000	270,608.80		270,608.80	0.00	0.0	1.4
11,005	Emerson Electric Co (EMR)	52.9600	582,824.80	337,938.34	244,886.46	18,048.20	3.1	2.9
3,970	Exxon Mobil Corp (XOM)	86.5500	343,603.50	231,579.51	112,023.99	9,051.60	2.6	1.7
1,789	Goldman Sachs Group Inc (GS)	127.5600	228,204.84		228,204.84	3,578.00	1.6	1.2
6,686	Halliburton Co (HAL)	34.6900	231,937.34		231,937.34	2,406.96	1.0	1.2
4,090	Honeywell Intl Inc (HON)	63.4700	259,592.30		259,592.30	6,707.60	2.6	1.3
8,158	Intel Corp (INTC)	20.6200	168,217.96		168,217.96	7,342.20	4.4	0.9
1,820	International Business Machines (IBM) Corporation	191.5500	348,621.00	222,771.66	125,849.34	6,188.00	1.8	1.8
8,695	JP Morgan Chase & Co (JPM)	43.9691	382,311.32	327,216.41	55,094.91	10,434.00	2.7	1.9
7,721	Johnson & Johnson (JNJ)	70.1000	541,242.10	334,544.11	206,697.99	18,839.24	3.5	2.7
3,882	Life Technologies Corporation (LIFE)	49.0300	190,334.46		190,334.46	0.00	0.0	1.0
12,965	Lowe's Companies Inc (LOW)	35.5200	460,516.80	303,869.35	156,647.45	8,297.60	1.8	2.3
870	Mastercard Inc (MA)	491.2800	427,413.60	218,482.07	208,931.53	1,044.00	0.2	2.2
6,127	Medtronic Inc (MDT)	41.0200	251,329.54		251,329.54	6,372.08	2.5	1.3
5,991	MetLife Inc (MET)	32.9400	197,343.54		197,343.54	4,433.34	2.3	1.0
21,190	Microsoft Corporation (MSFT)	26.7097	565,978.54	307,623.99	258,354.55	19,494.80	3.4	2.9
10,738	Mylan Laboratories Inc (MYL)	27.4500	294,758.10		294,758.10	0.00	0.0	1.5
4,977	Newmont Mining Corp (NEM)	46.4400	231,131.88		231,131.88	6,967.80	3.0	1.2
3,874	Nike Inc Class B Stock (NKE)	51.6000	199,898.40		199,898.40	1,627.08	0.8	1.0
2,289	Occidental Petroleum Corp (OXY)	76.6100	175,360.29		175,360.29	4,944.24	2.8	0.9
9,607	PNC Financial Services Group (PNC)	58.3100	560,184.17	286,920.51	273,263.66	15,371.20	2.7	2.8
7,733	Pepsico Inc (PEP)	68.4300	529,169.19	154,560.94	374,608.25	16,625.95	3.1	2.7
15,635	Pfizer Inc (PFE)	25.0793	392,114.86	260,616.68	131,498.18	15,009.60	3.8	2.0
2,101	Philip Morris International Inc (PM)	83.6400	175,727.64		175,727.64	7,143.40	4.1	0.9



Equities

U.S. large cap continued

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
3,115	Praxair Inc (PX)	109.4500	340,936.75	229,155.88	111,780.87	6,853.00	2.0	1.7
2,108	The Procter & Gamble Company (PG)	67.8900	143,112.12		143,112.12	4,738.78	3.3	0.7
4,817	Qualcomm Inc (QCOM)	61.8596	297,977.69		297,977.69	4,817.00	1.6	1.5
12,430	Republic Svcs Inc Cl A (RSG)	29.3300	364,571.90	315,193.38	49,378.52	11,684.20	3.2	1.8
4,650	Schlumberger Ltd (SLB)	69.2986	322,238.49	270,879.16	51,359.33	5,115.00	1.6	1.6
2,348	Shire PLC (SHPG) ADR	92.1800	216,438.64		216,438.64	1,080.08	0.5	1.1
8,465	Symantec Corp (SYMC)	18.8200	159,311.30	146,518.99	12,792.31	0.00	0.0	0.8
11,330	Sysco Corp (SYV)	31.6600	358,707.80	329,984.28	28,723.52	12,689.60	3.5	1.8
3,765	Target Corp (TGT)	59.1700	222,775.05		222,775.05	5,421.60	2.4	1.1
1,997	3M Co (MMM)	92.8500	185,421.45		185,421.45	4,712.92	2.5	0.9
4,580	Time Warner Inc (TWX)	47.8300	218,104.80		218,104.80	4,742.40	2.2	1.1
4,760	United Parcel Service Cl B (UPS)	73.7300	350,954.80	306,862.79	44,092.01	10,852.80	3.1	1.8
2,211	Visa Inc-Class A Shrs (V)	151.5800	335,143.38		335,143.38	2,918.52	0.9	1.7
Total U.S. large cap			\$ 15,964,364.21	\$ 6,498,068.57	\$ 9,466,295.64	\$ 383,675.85		80.4%

U.S. mid cap

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
4,665	Arch Cap Group Ltd (ACGL)	\$ 44.0200	\$ 205,353.30		\$ 205,353.30	\$ 0.00	0.0%	1.0%
15,270	A E S Corp (AES)	10.7000	163,389.00		163,389.00	2,443.20	1.5	0.8
3,710	Bard C R Inc (BCR)	97.7400	362,615.40	327,618.47	34,996.93	2,968.00	0.8	1.8
10,689	Iconix Brand Group Inc (ICON)	22.3200	238,578.48		238,578.48	0.00	0.0	1.2
5,105	Rock-Tennessee Co Class A (RKT)	69.9100	356,890.55	294,908.61	61,981.94	4,594.50	1.3	1.8
10,220	Synopsys Inc (SNPS)	31.8354	325,357.79	252,416.63	72,941.16	0.00	0.0	1.6
Total U.S. mid cap			\$ 1,652,184.52	\$ 874,943.71	\$ 777,240.81	\$ 10,005.70		8.3%



BNY MELLON
WEALTH MANAGEMENT

Portfolio manager

Janice Duncan
412 234 0478



Page 7 of 22

000947 XMACDTS1 007559

December 1 - December 31, 2012

McKenna Katherine Mabis Fdn Inc-No 2
Account number

Page 8 of 22

Developed international

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
4,264	Seadrill Ltd (SDRL)	\$ 36.8000	\$ 156,915.20		\$ 156,915.20	\$ 14,497.60	9.2%	0.8%
8,350	Bce Inc (BCE)	42.9400	358,549.00	224,916.43	133,632.57	18,954.50	5.3	1.8
2,524	Bayer A G Sponsored ADR (BAYRY)	95.9200	242,102.08		242,102.08	3,937.44	1.6	1.2
8,152	Bayerische Motoren Werke (Bmw) AG (BAMXXY)	32.6000	265,755.20		265,755.20	5,437.38	2.1	1.3
1,786	British American Tobacco PLC (BTI) Sponsored ADR	101.2500	180,832.50		180,832.50	7,522.63	4.2	0.9
10,825	Unilever NV New York Shs ADR New (UN)	38.3000	414,597.50	321,954.99	92,642.51	11,279.65	2.7	2.1
5,838	Vodafone Group PLC (VOD) Sponsored ADR	25.1900	147,059.22		147,059.22	8,757.00	6.0	0.7
Total developed international			\$ 1,765,810.70	\$ 546,871.42	\$ 1,218,939.28	\$ 70,386.20		8.9%
Total equities			\$ 19,382,359.43	\$ 7,919,883.70	\$ 11,462,475.73	\$ 464,057.75		97.6%

Alternative investments

Private equity

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
18,807	Blackstone Group LP	\$ 15.3200	\$ 288,123.24		\$ 288,123.24	\$ 7,522.80	2.6%	1.5%
Total private equity			\$ 288,123.24	\$ 0.00	\$ 288,123.24	\$ 7,522.80		1.5%
Total alternative investments			\$ 288,123.24	\$ 0.00	\$ 288,123.24	\$ 7,522.80		1.5%
Total assets			\$ 19,670,483	7,919,884	11,750,599	471,591		1.0%



Asset detail

Fixed income

Taxable

Individual securities Treasury

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
200,000	United States Treasury Note DTD 8/15/2003 4.25% 8/15/2013 Moody's: AAA S&P: AA+	\$ 102.5270	\$ 205,054.00	\$ 208,033.70	\$ -2,979.70	\$ 8,500.00	4.1%	0.4%
70,000	United States Treasury Note DTD 9/15/2010 0.75% 9/15/2013 Moody's: AAA S&P: AA+	100.4180	70,292.60	70,032.66	259.94	525.00	0.8	0.1
245,000	United States Treasury Note DTD 11/15/2003 4.25% 11/15/2013 Moody's: AAA S&P: AA+	103.5230	253,631.35	256,863.29	-3,231.94	10,412.50	4.1	0.5
120,000	United States Treasury Note DTD 2/15/2011 1.25% 2/15/2014 Moody's: AAA S&P: AA+	101.1600	121,392.00	120,972.28	419.72	1,500.00	1.2	0.2
100,000	United States Treasury Note DTD 6/15/2011 .75% 6/15/2014 Moody's: AAA S&P: AA+	100.7770	100,777.00	99,742.52	1,034.48	750.00	0.7	0.2



December 1 - December 31, 2012

Katherine Mabis McKenna Fdn
Account number

Page 6 of 42

Fixed income

Taxable

Individual securities Treasury
continued

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
190,000	United States Treasury Note DTD 7/15/2011 0.625% 7/15/2014 Moody's: AAA S&P: AA+	100.6130	191,164.70	190,813.67	351.03	1,187.50	0.6	0.4
5,000	United States Treasury Note DTD 9/30/2009 2.375% 9/30/2014 Moody's: AAA S&P: AA+	103.7110	5,185.55	5,278.92	-93.37	118.75	2.3	0.0
100,000	United States Treasury Note DTD 10/31/2009 2.375% 10/31/2014 Moody's: AAA S&P: AA+	103.8520	103,852.00	104,979.63	-1,127.63	2,375.00	2.3	0.2
145,000	United States Treasury Note DTD 11/15/2011 0.375% 11/15/2014 Moody's: AAA S&P: AA+	100.2340	145,339.30	144,949.51	389.79	543.75	0.4	0.3
35,000	United States Treasury Note DTD 11/30/2009 2.125% 11/30/2014 Moody's: AAA S&P: AA+	103.5470	36,241.45	36,602.85	-361.40	743.75	2.1	0.1
55,000	United States Treasury Note DTD 5/15/2012 0.25% 5/15/2015 Moody's: AAA S&P: AA+	99.8750	54,931.25	54,909.95	21.30	137.50	0.3	0.1
25,000	United States Treasury Note DTD 7/31/2010 1.75% 7/31/2015 Moody's: AAA S&P: AA+	103.6560	25,914.00	25,097.65	816.35	437.50	1.7	0.1
10,000	United States Treasury Note DTD 8/15/2012 0.25% 8/15/2015 Moody's: AAA S&P: AA+	99.8200	9,982.00	9,959.80	22.20	25.00	0.3	0.0
15,000	United States Treasury Note DTD 11/15/2005 4.5% 11/15/2015 Moody's: AAA S&P: AA+	111.8130	16,771.95	15,008.79	1,763.16	675.00	4.0	0.0
185,000	United States Treasury Note DTD 2/28/2011 2.125% 2/29/2016 Moody's: AAA S&P: AA+	105.4450	195,073.25	196,790.72	-1,717.47	3,931.25	2.0	0.4



Fixed income

Taxable

Individual securities Treasury

continued

Shares/per value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
100,000	United States Treasury Note DTD 6/30/2011 1.5% 6/30/2016 Moody's: AAA S&P: AA+	103.6560	103,656.00	100,094.08	3,561.92	1,500.00	1.5	0.2
100,000	United States Treasury Note DTD 7/31/2009 3.25% 7/31/2016 Moody's: AAA S&P: AA+	109.8910	109,891.00	111,187.83	-1,296.83	3,250.00	3.0	0.2
10,000	United States Treasury Note DTD 12/31/2011 0.875% 12/31/2016 Moody's: AAA S&P: AA+	101.3750	10,137.50	10,020.35	117.15	87.50	0.9	0.0
131,910.75	United States Treasury Infr Index Bond DTD 1/16/2007 2.375% 1/15/2017 Moody's: AAA S&P: AA+	116.2270	153,315.91	133,932.04	19,383.87	3,132.88	2.0	0.3
205,000	United States Treasury Note DTD 1/31/2012 0.875% 1/31/2017 Moody's: AAA S&P: AA+	101.3280	207,722.40	202,902.64	4,819.76	1,793.75	0.9	0.4
195,000	United States Treasury Note DTD 2/29/2012 0.875% 2/28/2017 Moody's: AAA S&P: AA+	101.3130	197,560.35	194,923.83	2,636.52	1,706.25	0.9	0.4
180,000	United States Treasury Note DTD 4/30/2012 0.875% 4/30/2017 Moody's: AAA S&P: AA+	101.2270	182,208.60	181,167.79	1,040.81	1,575.00	0.9	0.4
105,000	United States Treasury Note DTD 5/31/2012 0.625% 5/31/2017 Moody's: AAA S&P: AA+	100.1560	105,163.80	105,057.77	106.03	656.25	0.6	0.2
10,000	United States Treasury Note DTD 7/31/2010 2.375% 7/31/2017 Moody's: AAA S&P: AA+	107.8360	10,783.60	10,337.50	446.10	237.50	2.2	0.0
185,000	United States Treasury Note DTD 9/30/2012 0.625% 9/30/2017 Moody's: AAA S&P: AA+	99.8200	184,667.00	183,649.25	1,017.75	1,156.25	0.6	0.4

STATEMENT 10.2



December 1 - December 31, 2012

Katherine Mabis McKenna Fdn
Account number

Page 8 of 42

Fixed income

Taxable

Individual securities Treasury

continued

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
25,000	United States Treasury Note DTD 10/31/2012 0.75% 10/31/2017 Moody's: AAA S&P: AA+	100.3280	25,082.00	25,119.79	-37.79	187.50	0.8	0.1
50,000	United States Treasury Note DTD 1/31/2011 2.625% 1/31/2018 Moody's: AAA S&P: AA+	109.4380	54,719.00	53,762.30	956.70	1,312.50	2.4	0.1
75,089.70	United States Treasury Inflation Bd DTD 7/15/2008 1.375% 7/15/2018 Moody's: AAA S&P: AA+	116.4080	87,408.92	66,903.42	20,505.50	1,032.48	1.2	0.2
65,000	United States Treasury Note DTD 4/30/2012 1.25% 4/30/2019 Moody's: AAA S&P: AA+	101.5080	65,980.20	65,761.98	218.22	812.50	1.2	0.1
100,000	United States Treasury Note DTD 11/15/2009 3.375% 11/15/2019 Moody's: AAA S&P: AA+	114.9770	114,977.00	100,522.27	14,454.73	3,375.00	2.9	0.2
75,000	United States Treasury Note DTD 5/15/2010 3.5% 5/15/2020 Moody's: AAA S&P: AA+	116.1020	87,076.50	78,104.21	8,972.29	2,625.00	3.0	0.2
80,000	United States Treasury Note DTD 11/15/2010 2.625% 11/15/2020 Moody's: AAA S&P: AA+	109.7500	87,800.00	75,085.84	12,713.16	2,100.00	2.4	0.2
65,000	United States Treasury Note DTD 5/15/2011 3.125% 5/15/2021 Moody's: AAA S&P: AA+	113.3980	73,708.70	65,392.84	8,315.86	2,031.25	2.8	0.1
107,765.70	United States Treasury Inflation Protected Security DTD 7/15/2011 0.625% 7/15/2021 Moody's: AAA S&P: AA+	113.6720	122,499.43	111,980.21	10,519.22	673.54	0.6	0.2
85,000	United States Treasury Note DTD 8/15/2011 2.125% 8/15/2021 Moody's: AAA S&P: AA+	105.1250	89,356.25	86,637.45	2,718.80	1,806.25	2.0	0.2



Fixed income

Taxable

Individual securities Treasury

continued

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
145,000	United States Treasury Note DTD 2/15/2012 2% 2/15/2022 Moody's: AAA S&P: AA+	103.4140	149,950.30	142,821.59	7,128.71	2,900.00	1.9	0.3
235,000	United States Treasury Note DTD 5/15/2012 1.75% 5/15/2022 Moody's: AAA S&P: AA+	100.8670	237,037.45	236,867.77	169.68	4,112.50	1.7	0.5

Individual securities Government related

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
50,000	Federal Natl Mtg Assn DTD 7/14/2003 4.375% 7/17/2013 Moody's: AAA S&P: AA+	\$ 102.2560	\$ 51,128.00	\$ 54,122.50	\$ -2,994.50	\$ 2,187.50	4.3%	0.1%
50,000	Federal National Mortgage Assn DTD 8/14/2009 3% 9/16/2014 Moody's: AAA S&P: AA+	104.7460	52,373.00	49,865.00	2,508.00	1,500.00	2.9	0.1

Individual securities Corporate

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
25,000	Diageo Finance Bv DTD 3/30/2006 5.5% 4/1/2013 Moody's: A3 S&P: A-	\$ 101.2520	\$ 25,313.00	\$ 24,967.00	\$ 346.00	\$ 1,375.00	5.4%	0.1%
40,000	Crh America Inc DTD 9/29/2003 5.3% 10/15/2013 Moody's: BAA2 S&P: BBB+	103.3030	41,321.20	37,433.20	3,888.00	2,120.00	5.1	0.1
45,000	Daimlerchrysler North Amer Hldg Corp Sr NT DTD 11/6/2003 6.50% 11/15/2013 Moody's: A3 S&P: A-	104.9740	47,238.30	45,683.95	1,554.35	2,925.00	6.2	0.1
50,000	Rogers Wireless Inc DTD 2/20/2004 6.375% 3/1/2014 Moody's: BAA1 S&P: BBB	106.5370	53,268.50	52,726.00	542.50	3,187.50	6.0	0.1



BNY MELLON
WEALTH MANAGEMENT

Portfolio manager

Richard M Gasperini 81
412 234 5594



Page 9 of 42

002205 XMEDDT11 025251

December 1 - December 31, 2012

Katherine Mabis McKenna Fdn
Account number

Page 10 of 42

Individual securities Corporate
continued

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
60,000	Morgan Stanley Global DTD 3/30/2004 4.75% 4/1/2014 Moody's: BAA2 S&P: BBB+	103.5480	62,128.80	59,913.00	2,215.80	2,850.00	4.6	0.1
40,000	Bova US Senior SA Uniper DTD 5/18/2011 3.25% 5/16/2014 Moody's: BAA3 S&P: BBB-	100.0890	40,035.60	39,794.80	240.80	1,300.00	3.3	0.1
60,000	Wachovia Corporation DTD 7/22/2004 5.25% 8/1/2014 Moody's: A3 S&P: A	106.6340	63,980.40	64,986.00	-1,005.60	3,150.00	4.9	0.1
55,000	American Intl Group DTD 9/13/2011 4.25% 9/15/2014 Moody's: BAA1 S&P: A-	105.3400	57,937.00	54,656.50	3,280.50	2,337.50	4.0	0.1
55,000	Citigroup Inc DTD 9/16/2004 5% 9/15/2014 Moody's: BAA3 S&P: BBB+	105.2090	57,864.95	51,788.55	6,076.40	2,750.00	4.8	0.1
55,000	Td Ameritrade Holding Corp DTD 11/25/2009 4.15% 12/1/2014 Moody's: BAA1 S&P: A	106.5750	58,616.25	54,888.90	3,727.35	2,282.50	3.9	0.1
30,000	John Deere Capital Corp DTD 12/2/2011 1.25% 12/2/2014 Moody's: A2 S&P: A	101.3290	30,398.70	29,961.30	437.40	375.00	1.2	0.1
60,000	Telefonica Emisiones Sau DTD 7/6/2009 4.949% 1/15/2015 Moody's: BAA2 S&P: BBB	104.7500	62,850.00	60,000.00	2,850.00	2,969.40	4.7	0.1
55,000	Simon Property Group LP DTD 1/25/2010 4.2% 2/1/2015 Moody's: A3 S&P: A-	106.0500	58,327.50	54,879.00	3,448.50	2,310.00	4.0	0.1
75,000	Goldman Sachs Group Inc DTD 5/3/2012 3.3% 5/3/2015 Moody's: A3 S&P: A-	104.2260	78,169.50	78,708.00	-538.50	2,475.00	3.2	0.2
45,000	American Express Credit Co DTD 9/13/2010 2.75% 9/15/2015 Moody's: A2 S&P: A-	104.8380	47,177.10	44,737.20	2,439.90	1,237.50	2.6	0.1



Individual securities Corporate
continued

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
45,000	Prudential Financial Inc DTD 9/15/2009 4.75% 9/17/2015 Moody's: BAA2 S&P: A	109.6150	49,326.75	44,895.15	4,431.60	2,137.50	4.3	0.1
55,000	Royal BK Scotlnd Grp PLC DTD 9/18/2012 2.55% 9/18/2015 Moody's: BAA1 S&P: A-	102.3410	56,287.55	54,968.65	1,318.90	1,402.50	2.5	0.1
55,000	Johnson Controls Inc DTD 1/17/2006 5.5% 1/15/2016 Moody's: BAA1 S&P: BBB+	112.8790	62,083.45	60,024.10	2,059.35	3,025.00	4.9	0.1
45,000	Petrobras Intl Fin Co DTD 1/27/2011 3.875% 1/27/2016 Moody's: A3 S&P: BBB	105.5000	47,475.00	44,848.35	2,626.65	1,743.75	3.7	0.1
65,000	Kraft Foods Inc DTD 2/8/2010 4.125% 2/9/2016 Moody's: BAA2 S&P: BBB-	108.9510	70,818.15	64,777.70	6,040.45	2,681.25	3.8	0.1
65,000	Thermo Fisher Scientific DTD 2/22/2011 3.2% 3/1/2016 Moody's: BAA1 S&P: A-	106.1970	69,028.05	64,960.35	4,067.70	2,080.00	3.0	0.1
60,000	BP Capital Markets PLC DTD 3/11/2011 3.2% 3/11/2016 Moody's: A2 S&P: A	106.6720	64,003.20	59,944.80	4,058.40	1,920.00	3.0	0.1
55,000	Wal-Mart Stores Inc DTD 4/18/2011 2.8% 4/15/2016 Moody's: AA2 S&P: AA	106.6490	58,656.95	54,797.05	3,859.90	1,540.00	2.6	0.1
45,000	MetLife Inc DTD 5/29/2009 6.75% 6/1/2016 Moody's: A3 S&P: A-	118.4350	53,295.75	44,893.35	8,402.40	3,037.50	5.7	0.1
50,000	Occidental Petroleum Cor DTD 5/15/09 4.125% 6/1/2016 Moody's: A1 S&P: A	110.9300	55,465.00	49,646.00	5,819.00	2,062.50	3.7	0.1
35,000	Hydro-Quebec DTD 6/30/2011 2% 6/30/2016 Moody's: AA2 S&P: A+	104.2000	36,470.00	34,993.35	1,476.65	700.00	1.9	0.1



BNY MELLON
WEALTH MANAGEMENT

Portfolio manager

Richard M Gasperini 81
412 234 5594



Page 11 of 42

002205 XMFCDT11 025252

December 1 - December 31, 2012

Katherine Mabis McKenna Fdn
Account number

Page 12 of 42

Individual securities Corporate
continued

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated income	Current yield	Pct of holdings
60,000	Intel Corp DTD 9/19/2011 1.95% 10/1/2016 Moody's: A1 S&P: A+	103.3100	61,986.00	59,908.20	2,077.80	1,170.00	1.9	0.1
50,000	Duke Energy Carolinas DTD 12/8/2011 1.75% 12/15/2016 Moody's: A1 S&P: A	102.5210	51,260.50	49,918.50	1,342.00	875.00	1.7	0.1
30,000	The Kroger Co DTD 1/19/2012 2.2% 1/15/2017 Moody's: BAA2 S&P: BBB	103.3420	31,002.60	30,000.00	1,002.60	660.00	2.1	0.1
45,000	Rabobank Nederland Utrec DTD 1/19/2012 3.375% 1/19/2017 Moody's: AA2 S&P: AA-	107.4290	48,343.05	48,356.10	-13.05	1,518.75	3.1	0.1
65,000	Bear Stearns Co Inc DTD 11/22/2006 5.55% 1/22/2017 Moody's: A3 S&P: A-	112.7710	73,301.15	71,902.35	1,398.80	3,607.50	4.9	0.1
60,000	Nova Scotia Province DTD 1/26/2007 5.125% 1/26/2017 Moody's: AA2 S&P: A+	116.7800	70,068.00	61,302.60	8,765.40	3,075.00	4.4	0.1
45,000	Bank Of America Corp DTD 3/15/2007 5.42% 3/15/2017 Moody's: BAA3 S&P: BBB+	109.5360	49,291.20	46,609.20	2,682.00	2,439.00	5.0	0.1
35,000	Diageo Capital PLC DTD 5/11/2012 1.5% 5/11/2017 Moody's: A3 S&P: A-	101.4140	35,494.90	34,846.00	648.90	525.00	1.5	0.1
40,000	Ford Motor Credit Co LLC DTD 6/12/2012 3% 6/12/2017 Moody's: BAA3 S&P: BB+	102.7420	41,096.80	39,985.20	1,111.60	1,200.00	2.9	0.1
30,000	Anheuser-Busch Inbev Wor DTD 7/16/2012 1.375% 7/15/2017 Moody's: A3 S&P: A	101.0530	30,315.90	29,913.60	402.30	412.50	1.4	0.1
60,000	Astrazeneca PLC DTD 9/12/2007 5.9% 9/15/2017 Moody's: A1 S&P: AA-	121.5030	72,901.80	59,337.20	13,564.60	3,540.00	4.9	0.1



Individual securities Corporate
continued

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
25,000	Walgreens Co DTD 9/13/2012 1.80% 9/15/2017 Moody's: BAA1 S&P: BBB	100.5570	25,139.25	24,947.50	191.75	450.00	1.8	0.1
30,000	Royal Bank Of Canada DTD 9/19/2012 1.20% 9/19/2017 Moody's: AAA S&P: AAA	100.2400	30,072.00	29,997.00	75.00	360.00	1.2	0.1
50,000	Nyxe Euronext DTD 10/5/2012 2% 10/5/2017 Moody's: A3 S&P: A+	101.6580	50,829.00	49,881.80	947.20	1,000.00	2.0	0.1
45,000	McDonald's Corp DTD 10/18/2007 5.8% 10/15/2017 Moody's: A2 S&P: A	121.4960	54,673.20	45,632.25	9,040.95	2,610.00	4.8	0.1
35,000	Citigroup Inc DTD 11/21/2007 6.125% 11/21/2017 Moody's: BAA2 S&P: A-	119.0160	41,655.60	33,248.95	8,406.65	2,143.75	5.2	0.1
65,000	Oracle Corp DTD 4/9/2008 5.75% 4/15/2018 Moody's: A1 S&P: A+	121.7010	79,105.65	64,969.45	14,136.20	3,737.50	4.7	0.2
50,000	Glaxosmithkline Cap Inc DTD 5/13/2008 5.65% 5/15/2018 Moody's: A1 S&P: A+	121.8040	60,902.00	46,461.50	14,440.50	2,825.00	4.6	0.1
45,000	Grupo Televisa Sab DTD 5/12/2008 6% 5/15/2018 Moody's: BAA1 S&P: BBB+	118.0450	53,120.25	52,393.95	726.30	2,700.00	5.1	0.1
32,000	Verizon Communications DTD 11/4/2008 8.75% 11/1/2018 Moody's: A3 S&P: A-	138.8470	44,431.04	34,055.36	10,375.68	2,800.00	6.3	0.1
40,000	Amgen Inc DTD 1/16/2009 5.7% 2/1/2019 Moody's: BAA1 S&P: A+	120.9080	48,363.20	41,620.60	6,742.60	2,280.00	4.7	0.1
25,000	United Technologies Corp DTD 12/18/2005 6.125% 2/1/2019 Moody's: A2 S&P: A	124.6230	31,155.75	24,959.50	6,196.25	1,531.25	4.9	0.1



December 1 - December 31, 2012

Katherine Mabis McKenna Fdn
Account number

Page 14 of 42

Individual securities Corporate
continued

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
35,000	Pfizer Inc DTD 3/24/2009 6.2% 3/15/2019 Moody's: A1 S&P: AA	126.3980	44,239.30	34,964.65	9,274.65	2,170.00	4.9	0.1
30,000	Private Export Funding DTD 2/26/2009 4.375% 3/15/2019 Moody's: AAA S&P: AA+	119.2640	35,779.20	29,842.50	5,936.70	1,312.50	3.7	0.1
30,000	Jefferies Group Inc DTD 6/30/2009 8.5% 7/15/2019 Moody's: BAA3 S&P: BBB	119.5000	35,850.00	32,925.00	2,925.00	2,550.00	7.1	0.1
40,000	Private Export Funding DTD 8/9/2012 1.45% 8/15/2019 Moody's: AAA S&P: AA+	100.8280	40,331.20	39,920.40	410.80	580.00	1.4	0.1
60,000	Ontario (Province Of) DTD 10/7/2009 4% 10/7/2019 Moody's: AA2 S&P: AA-	114.7910	68,874.60	59,896.80	8,977.80	2,400.00	3.5	0.1
50,000	Dow Chemical Co/The DTD 11/9/2010 4.25% 11/15/2020 Moody's: BAA2 S&P: BBB	111.1710	55,585.50	51,197.00	4,388.50	2,125.00	3.8	0.1
25,000	HSBC Finance Corp DTD 7/15/2011 6.676% 1/15/2021 Moody's: BAA2 S&P: A-	118.6370	29,659.25	29,099.50	559.75	1,669.00	5.6	0.1
25,000	General Elec Cap Corp DTD 2/11/2011 5.3% 2/11/2021 Moody's: A2 S&P: AA	116.0780	29,019.50	28,555.75	463.75	1,325.00	4.6	0.1
60,000	Time Warner Cable Inc DTD 11/15/2010 4.125% 2/15/2021 Moody's: BAA2 S&P: BBB	109.5120	65,707.20	59,621.40	6,085.80	2,475.00	3.8	0.1
45,000	Nbc Universal Media LLC DTD 4/1/2011 4.375% 4/1/2021 Moody's: BAA2 S&P: BBB+	112.3340	50,550.30	51,503.85	-953.55	1,968.75	3.9	0.1
30,000	AT&T Inc DTD 4/29/2011 4.45% 5/15/2021 Moody's: A2 S&P: A-	115.4860	34,645.80	29,977.50	4,668.30	1,335.00	3.9	0.1



Individual securities Corporate

continued

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
50,000	Time Warner Inc DTD 10/17/2011 4% 1/15/2022 Moody's: BAA2 S&P: BBB	109.4680	54,734.00	52,777.50	1,956.50	2,000.00	3.7	0.1
25,000	Catholic Health Initiati DTD 10/31/2012 2.95% 11/1/2022 Moody's: AA3 S&P: AA-	100.9350	25,233.75	24,933.50	300.25	737.50	2.9	0.1
80,000	Private Export Funding DTD 7/2/2012 2.45% 7/15/2024 Moody's: AAA S&P: AA+	101.7940	81,435.20	79,701.60	1,733.60	1,960.00	2.4	0.2

Individual securities Other

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
75,000	North Tex Twy Auth Rev DTD 4/28/2011 2.441% 9/1/2013 Taxable-Bd Antic Nts-Spl Pfs-A Moody's: N/R S&P: SP-1	\$ 101.3050	\$ 75,978.75	\$ 75,000.00	\$ 978.75	\$ 1,830.75	2.4%	0.2%
50,000	Puerto Rico Comwith Govt Dev BK DTD 5/26/2011 3.67% 5/1/2014 Moody's: BAA3 S&P: BBB	99.0000	49,500.00	50,000.00	-500.00	1,835.00	3.7	0.1
50,000	Illinois ST DTD 1/15/2010 4.421% 1/1/2015 Taxable Moody's: A2 S&P: A	106.0190	53,009.50	50,000.00	3,009.50	2,210.50	4.2	0.1
25,000	California ST DTD 4/28/2009 5.45% 4/1/2015 Build America Bonds-Taxable-Var Purp Moody's: A1 S&P: A-	109.7330	27,433.25	25,154.50	2,278.75	1,362.50	5.0	0.1
35,000	California ST DTD 4/28/2009 5.95% 4/1/2016 Build America Bonds-Taxable-Var Purp Moody's: A1 S&P: A-	113.3790	39,682.65	35,177.10	4,505.55	2,082.50	5.3	0.1

STATEMENT 10.2



December 1 - December 31, 2012

Katherine Mabis McKenna Fdn
Account number

Page 16 of 42

Individual securities Other
continued

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated income annual	Current yield	Pct of holdings
85,000	Massachusetts ST DTD 12/1/2010 4.2% 12/1/2021 Build America Bonds-Ser E Moody's: AA1 S&P: AA+	113.6820	96,629.70	85,503.85	11,125.85	3,570.00	3.7	0.2
Total taxable individual securities			\$ 7,585,729.45	\$ 7,226,128.65	\$ 359,600.80	\$ 208,547.55		14.6%

Pooled vehicle

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated income annual	Current yield	Pct of holdings
326,175.056	Dreyfus/Standish Fixed Income Fund	\$ 22.6000	\$ 7,371,556.27	\$ 6,964,322.23	\$ 407,234.04	\$ 163,413.70	2.2%	14.1%
10,500	ISHARES Barclays US Treasury Inflation Protected Securities Fund	121.4100	1,274,805.00	999,083.61	275,721.39	28,276.50	2.2	2.5
327,138.779	T Rowe Price High Yield FD Inc Com	6.9800	2,283,428.68	1,983,085.10	300,343.58	156,045.20	6.8	4.4
Total taxable pooled vehicle			\$ 10,929,789.95	\$ 9,946,490.94	\$ 983,299.01	\$ 347,735.40		21.0%

Total taxable fixed income

			\$ 18,515,519.40	\$ 17,172,619.59	\$ 1,342,899.81	\$ 556,282.95		35.5%
--	--	--	-------------------------	-------------------------	------------------------	----------------------	--	--------------

Developed international

Pooled vehicle

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated income annual	Current yield	Pct of holdings
65,401.829	Dreyfus International Bond Fund	\$ 17.3200	\$ 1,132,759.68	\$ 1,125,010.17	\$ 7,749.51	\$ 25,637.52	2.3%	2.2%
Total developed international pooled vehicle			\$ 1,132,759.68	\$ 1,125,010.17	\$ 7,749.51	\$ 25,637.52		2.2%
Total developed international fixed income			\$ 1,132,759.68	\$ 1,125,010.17	\$ 7,749.51	\$ 25,637.52		2.2%



Emerging markets

Pooled vehicle

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
119,671.26	Tcw Emerging Markets Income Fund-I	\$ 9.3200	\$ 1,115,336.14	\$ 1,089,568.11	\$ 25,768.03	\$ 62,229.06	5.6%	2.1%
Total emerging markets pooled vehicle			\$ 1,115,336.14	\$ 1,089,568.11	\$ 25,768.03	\$ 62,229.06		2.1%
Total emerging markets fixed income			\$ 1,115,336.14	\$ 1,089,568.11	\$ 25,768.03	\$ 62,229.06		2.1%
Total fixed income			\$ 20,763,615.22	\$ 19,387,197.87	\$ 1,376,417.35	\$ 644,149.53		39.9%

Equities

U.S. large cap

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
1,660	Aon PLC (AON)	\$ 55.6100	\$ 92,312.60	\$ 82,230.99	\$ 10,081.61	\$ 1,045.80	1.1%	0.2%
1,150	Accenture PLC (ACN)	66.5000	76,475.00	46,478.21	29,996.79	1,863.00	2.4	0.2
1,370	Coviden PLC (COV)	57.7400	79,103.80	53,937.73	25,166.07	1,424.80	1.8	0.2
1,890	Eaton Corp PLC (ETN)	54.1800	102,400.20	97,627.95	4,772.25	2,872.80	2.8	0.2
1,800	Ingersoll-Rand PLC (IR)	47.9600	86,328.00	73,323.90	13,004.10	1,152.00	1.3	0.2
4,220	Invesco Limited (INVZ)	26.0900	110,099.80	92,048.51	18,051.29	2,911.80	2.6	0.2
2,600	Nxp Semiconductors N V (NXPI)	26.3201	68,432.26	70,348.99	-1,916.73	0.00	0.0	0.1
1,290	AT&T Inc (T)	33.7100	43,485.90	30,057.00	13,428.90	2,322.00	5.3	0.1
730	Air Products & Chemicals Inc (APD)	84.0200	61,334.60	54,738.26	6,596.34	1,868.80	3.1	0.1
384	Amazon.Com Inc (AMZN)	250.8700	96,334.08	75,253.09	21,080.99	0.00	0.0	0.2
586	Apple Inc (AAPL)	532.1730	311,853.38	108,403.18	203,450.20	6,211.60	2.0	0.6
2,380	B B & T Corporation (BBT)	29.1100	69,281.80	60,788.34	8,492.46	1,904.00	2.8	0.1
2,530	Broadcom Corp Cl A (BRCM)	33.2100	84,021.30	86,390.08	-2,368.78	1,012.00	1.2	0.2
1,300	Cardinal Health Inc (CAH)	41.1800	53,534.00	35,091.29	18,442.71	1,430.00	2.7	0.1
1,990	Carnival Corp (CCL)	36.7700	73,172.30	78,938.13	-5,765.83	1,990.00	2.7	0.1
910	Caterpillar Inc (CAT)	89.6085	81,543.74	46,790.74	34,753.00	1,892.80	2.3	0.2
1,540	Celanese Corp (CE)	44.5300	68,576.20	52,602.55	15,973.65	462.00	0.7	0.1
	Series A							



BNY MELLON
WEALTH MANAGEMENT

Portfolio manager

Richard M Gasperini 81
412 234 5594



Page 17 of 42

002205 XMECTD11 025255

December 1 - December 31, 2012

Katherine Mabis McKenna Fdn
Account number

Page 18 of 42

Equities

U.S. large cap
continued

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
4,060	Centerpoint Energy Inc (CNP)	19.2500	78,155.00	81,108.26	-2,953.26	3,288.60	4.2	0.2
973	Chevron Corporation (CVX)	108.1400	105,220.22	74,637.11	30,583.11	3,502.80	3.3	0.2
2,640	Coca - Cola Co (KO)	36.2500	95,700.00	77,955.93	17,744.07	2,692.80	2.8	0.2
3,720	Comcast Corp Cl A (CMCSA)	37.3600	138,979.20	113,972.54	25,006.66	2,418.00	1.7	0.3
810	Costco Whsl Corp New (COST)	98.7300	79,971.30	46,613.80	33,357.50	891.00	1.1	0.2
2,610	The Walt Disney Company (DIS)	49.7900	129,951.90	72,483.87	57,468.03	1,957.50	1.5	0.3
1,260	Dover Corp (DOV)	65.7100	82,794.60	78,185.52	4,609.08	1,764.00	2.1	0.2
1,860	E M C Corp Mass (EMC)	25.3000	47,058.00	48,627.32	-1,569.32	0.00	0.0	0.1
790	Express Scripts Holdings, Inc. (ESRX)	54.0000	42,660.00	40,228.30	2,431.70	0.00	0.0	0.1
1,155	Exxon Mobil Corp (XOM)	86.5500	99,965.25	41,181.96	58,783.29	2,633.40	2.6	0.2
340	Family Dr Stores Inc (FDO)	63.4100	21,559.40	23,678.28	-2,118.88	285.60	1.3	0.0
1,552	Freeport McMoran Copper & Gold Inc (FCX)	34.2000	53,078.40	54,867.35	-1,788.95	1,940.00	3.7	0.1
3,265	General Electric Company (GE)	20.9900	68,532.35	73,625.75	-5,093.40	2,481.40	3.6	0.1
1,400	Gilead Sciences Inc (GILD)	73.4500	102,830.00	56,978.46	45,851.54	0.00	0.0	0.2
2,610	Haliburton Co (HAL)	34.6900	90,540.90	83,855.86	6,685.04	939.60	1.0	0.2
1,920	Home Depot Inc (HD)	61.8500	118,752.00	38,025.75	80,726.25	2,227.20	1.9	0.2
1,420	Honeywell Intl Inc (HON)	63.4700	90,127.40	59,160.18	30,967.22	2,328.80	2.6	0.2
939	International Business Machines (IBM) Corporation	191.5500	179,865.45	131,976.91	47,888.54	3,192.60	1.8	0.4
1,488	JP Morgan Chase & Co (JPM)	43.9691	65,426.02	33,467.99	31,958.03	1,785.60	2.7	0.1
2,170	Johnson Controls Inc (JCI)	30.6700	66,553.90	54,895.36	11,658.54	1,649.20	2.5	0.1
1,540	Las Vegas Sands Corp (LVS)	46.1600	71,086.40	67,871.96	3,214.44	5,775.00	8.1	0.1
1,790	Lilly Eli & Co (LLY)	49.3200	88,282.80	78,096.63	10,186.17	3,508.40	4.0	0.2
2,850	Marathon Oil Corp (MRO)	30.6600	87,381.00	82,681.10	4,699.90	1,938.00	2.2	0.2
340	Mead Johnson Nutrition Company (MJN)	65.8900	22,402.60	22,684.02	-281.42	408.00	1.8	0.0
2,200	MetLife Inc (MET)	32.9400	72,468.00	75,153.53	-2,685.53	1,628.00	2.3	0.1



Equities

U.S. large cap
continued

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
4,230	Mondelez International-W/I (MDLZ)	25.4532	107,667.04	88,604.68	19,062.36	4,906.80	4.6	0.2
1,040	Occidental Petroleum Corp (OXY)	76.6100	79,674.40	79,596.61	77.79	2,246.40	2.8	0.2
2,140	PNC Financial Services Group (PNC)	58.3100	124,783.40	111,018.15	13,765.25	3,424.00	2.7	0.2
1,175	Pepsico Inc (PEP)	68.4300	80,405.25	50,336.61	30,068.64	2,526.25	3.1	0.2
5,840	Pfizer Inc (PFE)	25.0793	146,463.11	89,907.55	56,555.56	5,606.40	3.8	0.3
1,880	The Procter & Gamble Company (PG)	67.8900	127,633.20	111,639.14	15,994.06	4,226.24	3.3	0.2
2,050	Qualcomm Inc (QCOM)	61.8596	126,812.18	118,882.90	7,929.28	2,050.00	1.6	0.2
584	Salesforce.Com Inc (CRM)	168.1000	98,170.40	59,287.34	38,883.06	0.00	0.0	0.2
1,440	Schlumberger Ltd (SLB)	69.2986	99,789.98	94,576.42	5,213.56	1,584.00	1.6	0.2
1,170	Sempra Energy (SRE)	70.9400	82,999.80	72,071.89	10,927.91	2,808.00	3.4	0.2
660	Shire PLC (SHPG) ADR	92.1800	60,838.80	56,805.11	4,033.69	303.60	0.5	0.1
2,500	Southwestern Energy Co (SWN)	33.4100	83,525.00	87,260.39	-3,735.39	0.00	0.0	0.2
2,190	State Street Corp (STT)	47.0100	102,951.90	89,233.44	13,718.46	2,102.40	2.0	0.2
1,510	Teradata Corporation (TDC)	61.8900	93,453.90	47,293.62	46,160.28	0.00	0.0	0.2
2,010	Teva Pharmaceutical Inds Ltd ADR (TEVA)	37.3400	75,053.40	82,095.54	-7,042.14	1,616.04	2.2	0.1
780	The Travelers Companies Inc (TRV)	71.8200	56,019.60	49,641.93	6,377.67	1,435.20	2.6	0.1
2,610	US Bancorp (USB)	31.9400	83,363.40	57,405.95	25,957.45	2,035.80	2.4	0.2
582	Union Pac Corp (UNP)	125.7200	73,169.04	35,248.53	37,920.51	1,606.32	2.2	0.1
1,100	United Technologies Corp (UTX)	82.0100	90,211.00	68,351.14	21,859.86	2,354.00	2.6	0.2
2,780	Valero Energy Corp New (VLO)	34.1200	94,853.60	60,846.96	34,006.64	1,946.00	2.1	0.2
1,090	Verizon Communications Inc (VZ)	43.2700	47,164.30	30,625.54	16,538.76	2,245.40	4.8	0.1
1,980	Walgreen Co (WAG)	37.0100	73,279.80	80,663.22	-7,383.42	2,178.00	3.0	0.1
900	Watson Pharmaceuticals Inc (WPI)	86.0000	77,400.00	42,644.97	34,755.03	0.00	0.0	0.2
4,090	Wells Fargo & Company (WFC)	34.1800	139,796.20	117,915.46	21,880.74	3,599.20	2.6	0.3
1,920	Yahoo Inc (YHOO)	19.9000	38,208.00	33,359.42	4,848.58	0.00	0.0	0.1



December 1 - December 31, 2012

Katherine Mabis McKenna Fdn
Account number

Page 20 of 42

Equities

U.S. large cap
continued

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
990	Yum! Brands Inc (YUM)	66.4000	65,736.00	32,561.99	33,174.01	1,326.60	2.0	0.1

Total U.S. large cap **\$ 5,987,053.75** **\$ 4,604,938.18** **\$ 1,382,115.57** **\$ 131,725.55** **11.5%**

U.S. mid cap

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
48,195,532	Dreyfus Opportunistic Midcap Value (DVLIX) Fund	\$ 31.7900	\$ 1,532,135.96	\$ 1,236,697.35	\$ 295,438.61	\$ 6,024.44	0.4%	2.9%
149,704,317	Dreyfus Midcap Index Fund (PESPX)	28.9300	4,330,945.89	3,231,627.15	1,099,318.74	50,450.35	1.2	8.3

Total U.S. mid cap **\$ 5,863,081.85** **\$ 4,460,324.50** **\$ 1,394,757.35** **\$ 56,474.79** **11.3%**

U.S. small cap

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
33,932,115	Dreyfus Opportunistic Small Cap Fund (DSCVX)	\$ 27.4300	\$ 930,757.91	\$ 837,868.71	\$ 92,889.20	\$ 0.00	0.0%	1.8%
152,291,621	Dreyfus Small Cap Stock Index Fund (DISSX)	21.9500	3,342,801.08	2,804,009.46	538,791.62	47,971.86	1.4	6.4

Total U.S. small cap **\$ 4,273,558.99** **\$ 3,641,878.17** **\$ 631,680.82** **\$ 47,971.86** **8.2%**

Developed international

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
196,572,515	Strategic International Stock Fund (DISRX)	\$ 14.5000	\$ 2,850,301.47	\$ 1,804,676.37	\$ 1,045,625.10	\$ 31,058.46	1.1%	5.5%
Total developed international								
			\$ 2,850,301.47	\$ 1,804,676.37	\$ 1,045,625.10	\$ 31,058.46	5.5%	



Emerging markets

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
78,250	ISHARES MSCI Emerging Markets Index (EEM) Fund	\$ 44.3500	\$ 3,470,387.50	\$ 2,859,924.79	\$ 610,462.71	\$ 58,296.25	1.7%	6.7%
77,500	Vanguard Emerging Markets ETF (VWO)	44.5300	3,451,075.00	3,618,681.71	-167,606.71	75,562.50	2.2	6.6
Total emerging markets			\$ 6,921,462.50	\$ 6,478,606.50	\$ 442,856.00	\$ 133,858.75		13.3%

Equity reits

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
15,630	Vanguard Reit Vipers (VNQ)	\$ 65.8000	\$ 1,028,454.00	\$ 1,002,099.15	\$ 26,354.85	\$ 36,621.09	3.6%	2.0%
Total equity reits			\$ 1,028,454.00	\$ 1,002,099.15	\$ 26,354.85	\$ 36,621.09		2.0%
Total equities			\$ 26,923,912.56	\$ 22,000,522.87	\$ 4,923,389.69	\$ 437,710.50		51.7%

Alternative investments

Absolute return

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
79,891.78	The Absolute Return Fund Spv Offshore Multi-Manager	\$ 0.0318	\$ 2,540.56	\$ 10,197.40	\$ -7,656.84	\$ 0.00	0.0%	0.0%
Total absolute return			\$ 2,540.56	\$ 10,197.40	\$ -7,656.84	\$ 0.00		0.0%

Private equity

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
300,000	Grotech III LP	\$ 0.0057	\$ 1,710.00	\$ 18,729.33	\$ -17,019.33	\$ 0.00	0.0%	0.0%
200,000	Alta V LP	0.0118	2,360.00	5,820.17	-3,460.17	0.00	0.0	0.0
1,312,010	Draper Triangle Ventures II, LP	1.0000	1,312,010.00	1,312,010.00	0.00	0.00	0.0	2.5
1,094,092	Meakem Becker Venture Capital I, LP	1.0000	1,094,092.00	1,094,092.00	0.00	0.00	0.0	2.1



BNY MELLON
WEALTH MANAGEMENT

Portfolio manager

Richard M Gasperini 81
412 234 5594



Page 21 of 42

002205 XMEDT11 025257

December 1 - December 31, 2012

Katherine Mabis McKenna Fdn
Account number

Page 22 of 42

Private equity
continued

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
1	Timbervest Partners II For Km McKenna Fdn	1,014,626.0800	1,014,626.08	949,353.32	65,272.76	0.00	0.0	2.0
Total private equity			\$ 3,424,798.08	\$ 3,380,004.82	\$ 44,793.26	\$ 0.00		6.6%
Total alternative investments			\$ 3,427,338.64	\$ 3,390,202.22	\$ 37,136.42	\$ 0.00		6.6%

Other assets
Other

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
54	Art Furniture And Misc Items From The Estate Of K McKenna	\$ 472.5926	\$ 25,520.00	\$ 25,520.00		\$ 0.00	0.0%	0.1%
Total other			\$ 25,520.00	\$ 25,520.00	\$ 0.00	\$ 0.00		0.1%
Total other assets			\$ 25,520.00	\$ 25,520.00	\$ 0.00	\$ 0.00		0.1%
Total assets			\$51,140,386	44,803,443	6,336,943	1,081,860		100.0%



- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II and check this box ☒ **X**

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an **Automatic 3-Month Extension**, complete only Part I (on page 1).

Part II. Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	KATHERINE MABIS MCKENNA FOUNDATION	23-7042752
	Number, street, and room or suite no. If a P.O. box, see instructions	Social security number (SSN)
	P.O. BOX 186	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
	LATROBE, PA 15650	

Enter the Return code for the return that this application is for (file a separate application for each return)

0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

MICHELE GORNICK

- The books are in the care of ☒ P.O. BOX 186 - LATROBE, PA 15650

Telephone No. ☒ 724-537-6900

FAX No. ☒ 724-537-6906

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ☐. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until NOVEMBER 15, 2013.

5 For calendar year 2012, or other tax year beginning , and ending .

6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

7 State in detail why you need the extension

ADDITIONAL TIME IS NEEDED TO PREPARE A COMPLETE AND ACCURATE RETURN.

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	8a	\$	34,415.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	34,415.
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$	0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ☒ M. M. Gornick Title ☒ CPA

Date ☒ 08/14/13

Form 8868 (Rev. 1-2013)