



See a Social Security Number? Say Something!  
Report Privacy Problems to <https://public.resource.org/privacy>  
Or call the IRS Identity Theft Hotline at 1-800-908-4490



**Return of Private Foundation**  
 or Section 4947(a)(1) Nonexempt Charitable Trust  
 Treated as a Private Foundation

Department of the Treasury  
 Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

**Open to Public Inspection**

For calendar year 2012 or tax year beginning , 2012, and ending , 20

|   |  |   |
|---|--|---|
| Name of foundation<br><b>SCHLUMBERGER FOUNDATION, INC.</b>                                    |  | <b>A Employer identification number</b><br>23-7033142   |
| C/O BDO USA LLP   |  |   |
| Number and street (or P O box number if mail is not delivered to street address)              |  | <b>B Telephone number (see instructions)</b><br>(212) 885-8000  |
| Room/suite  |  |   |
| 100 PARK AVENUE   |  |   |
| City or town, state, and ZIP code   |  | <b>C</b> If exemption application is pending, check here <input type="checkbox"/>   |
| NEW YORK, NY 10017  |  |   |
| <b>G</b> Check all that apply.  | <input type="checkbox"/> Initial return<br><input type="checkbox"/> Final return<br><input checked="" type="checkbox"/> Address change   | <b>D</b> 1 Foreign organizations, check here <input type="checkbox"/><br>2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> |
|   | <input type="checkbox"/> Initial return of a former public charity<br><input type="checkbox"/> Amended return<br><input type="checkbox"/> Name change  | <b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>  |
| <b>H</b> Check type of organization   | <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation<br><input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust<br><input type="checkbox"/> Other taxable private foundation | <b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>   |
| <b>I</b> Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ | 19,290,173.  |   |
| <b>J</b> Accounting method.   | <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual<br><input type="checkbox"/> Other (specify) _____<br>(Part I, column (d) must be on cash basis)  |   |

| <b>Part I Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions)) |  | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|--|------------------------------------|---------------------------|-------------------------|---|
| <b>Revenue</b>  | 1 Contributions, gifts, grants, etc., received (attach schedule)                   |                                    |                           |                         |   |
|   | 2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B |                                    |                           |                         |   |
|   | 3 Interest on savings and temporary cash investments                               | 3,634.                             | 3,634.                    |                         |   |
|   | 4 Dividends and interest from securities   | 585,156.                           | 741,524.                  |                         |   |
|   | 5a Gross rents   |                                    |                           |                         |   |
|   | b Net rental income or (loss)  |                                    |                           |                         |   |
|   | 6a Net gain or (loss) from sale of assets not on line 10                           | 417,136.                           |                           |                         |   |
|   | b Gross sales price for all assets on line 6a                                      | 15,396,521.                        |                           |                         |   |
|   | 7 Capital gain net income (from Part IV, line 2)                                   |                                    | 523,674.                  |                         |   |
|   | 8 Net short-term capital gain  |                                    |                           |                         |   |
|   | 9 Income modifications   |                                    |                           |                         |   |
|   | 10a Gross sales less returns and allowances  |                                    |                           |                         |   |
| b Less Cost of goods sold   |  |                                    |                           |                         |   |
| c Gross profit or (loss) (attach schedule)  |  |                                    |                           |                         |   |
| 11 Other income (attach schedule)   |  | 5,357.                             |                           |                         |   |
| 12 <b>Total.</b> Add lines 1 through 11   | 1,005,926.   | 1,274,189.                         |                           |                         |   |
| <b>Operating and Administrative Expenses</b>  | 13 Compensation of officers, directors, trustees, etc                              | 0                                  |                           |                         |   |
|   | 14 Other employee salaries and wages   |                                    |                           |                         |   |
|   | 15 Pension plans, employee benefits  |                                    |                           |                         |   |
|   | 16a Legal fees (attach schedule)   |                                    |                           |                         |   |
|   | b Accounting fees (attach schedule)  | 21,039.                            |                           |                         | 12,039.   |
|   | c Other professional fees (attach schedule) *                                      | 95,741.                            | 131,205.                  |                         |   |
|   | 17 Interest  |                                    |                           |                         |   |
|   | 18 Taxes (attach schedule) (see instructions)                                      | 18,000.                            | 3,602.                    |                         |   |
|   | 19 Depreciation (attach schedule) and depletion                                    |                                    |                           |                         |   |
|   | 20 Occupancy   |                                    |                           |                         |   |
|   | 21 Travel, conferences, and meetings   | 10,646.                            |                           |                         | 10,646.   |
|   | 22 Printing and publications   |                                    |                           |                         |   |
|   | 23 Other expenses (attach schedule)  | 613.                               |                           |                         | 575.  |
|   | 24 <b>Total operating and administrative expenses.</b> Add lines 13 through 23     | 146,039.                           | 134,807.                  |                         | 23,260.   |
|   | 25 Contributions, gifts, grants paid   | 2,018,806.                         |                           |                         | 2,003,116.  |
| 26 <b>Total expenses and disbursements.</b> Add lines 24 and 25   | 2,164,845.   | 134,807.                           |                           | 2,026,376.              |   |
| 27 Subtract line 26 from line 12  |  |                                    |                           |                         |   |
| a Excess of revenue over expenses and disbursements   | -1,158,919.  |                                    |                           |                         |   |
| b <b>Net investment income</b> (if negative, enter -0-)   |  | 1,139,382.                         |                           |                         |   |
| c <b>Adjusted net income</b> (if negative, enter -0-)   |  |                                    |                           |                         |   |

SCANNED NOV 22 2013

RECEIVED NOV 1 2013

| Part II Balance Sheets      |  | Attached schedule and amounts in the description column should be for end-of-year amounts only (See instructions)                 |   |             |             |
|-----------------------------|--|---|---|-------------|-------------|
|                             |  | Beginning of year<br>(a) Book Value   | End of year<br>(b) Book Value (c) Fair Market Value |             |             |
| Assets                      | 1  | Cash - non-interest-bearing . . . . .   |   |             |             |
|                             | 2  | Savings and temporary cash investments . . . . .  | 160,165.  | 97,351.     | 97,351.     |
|                             | 3  | Accounts receivable ▶<br>Less allowance for doubtful accounts ▶   |   |             |             |
|                             | 4  | Pledges receivable ▶<br>Less allowance for doubtful accounts ▶  |   |             |             |
|                             | 5  | Grants receivable . . . . .   |   |             |             |
|                             | 6  | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . . |   |             |             |
|                             | 7  | Other notes and loans receivable (attach schedule) ▶<br>Less allowance for doubtful accounts ▶                                    |   |             |             |
|                             | 8  | Inventories for sale or use . . . . .   |   |             |             |
|                             | 9  | Prepaid expenses and deferred charges . . . . .   |   |             |             |
|                             | 10 a   | Investments - U S and state government obligations (attach schedule) . . . . .  |   |             |             |
|                             | b  | Investments - corporate stock (attach schedule) ATCH 2 . . . . .  | 331.  | 375.        | 375.        |
|                             | c  | Investments - corporate bonds (attach schedule) . . . . .   |   |             |             |
|                             | 11   | Investments - land, buildings, and equipment basis ▶<br>Less accumulated depreciation (attach schedule) ▶                         |   |             |             |
|                             | 12   | Investments - mortgage loans . . . . .  |   |             |             |
|                             | 13   | Investments - other (attach schedule) ATCH 3 . . . . .  | 19,751,682.   | 19,192,447. | 19,192,447. |
|                             | 14   | Land, buildings, and equipment basis ▶<br>Less accumulated depreciation (attach schedule) ▶                                       |   |             |             |
| 15                          | Other assets (describe ▶ ATCH 4 ) . . . . .  | 2.  |   |             |             |
| 16                          | <b>Total assets</b> (to be completed by all filers - see the instructions Also, see page 1, item I) . . . . .                          | 19,912,180.   | 19,290,173.   | 19,290,173. |             |
| Liabilities                 | 17   | Accounts payable and accrued expenses . . . . .   | 11,000.   | 20,000.     |             |
|                             | 18   | Grants payable . . . . .  | 125,000.  | 140,690.    |             |
|                             | 19   | Deferred revenue . . . . .  |   |             |             |
|                             | 20   | Loans from officers, directors, trustees, and other disqualified persons . . . . .  |   |             |             |
|                             | 21   | Mortgages and other notes payable (attach schedule) . . . . .   |   |             |             |
|                             | 22   | Other liabilities (describe ▶ ATCH 5 ) . . . . .  | 2,449.  | 18,006.     |             |
|                             | 23   | <b>Total liabilities</b> (add lines 17 through 22) . . . . .  | 138,449.  | 178,696.    |             |
| Net Assets or Fund Balances | Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31. |   |   |             |             |
|                             | 24   | Unrestricted . . . . .  | 19,773,731.   | 19,111,477. |             |
|                             | 25   | Temporarily restricted . . . . .  |   |             |             |
|                             | 26   | Permanently restricted . . . . .  |   |             |             |
|                             | Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input type="checkbox"/>                         |   |   |             |             |
|                             | 27   | Capital stock, trust principal, or current funds . . . . .  |   |             |             |
|                             | 28   | Paid-in or capital surplus, or land, bldg, and equipment fund . . . . .   |   |             |             |
|                             | 29   | Retained earnings, accumulated income, endowment, or other funds . . . . .  |   |             |             |
| 30                          | <b>Total net assets or fund balances</b> (see instructions) . . . . .  | 19,773,731.   | 19,111,477.   |             |             |
| 31                          | <b>Total liabilities and net assets/fund balances</b> (see instructions) . . . . .   | 19,912,180.   | 19,290,173.   |             |             |

| Part III Analysis of Changes in Net Assets or Fund Balances |  |             |
|---|--|-------------|
| 1   | Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . . | 19,773,731. |
| 2   | Enter amount from Part I, line 27a . . . . .   | -1,158,919. |
| 3   | Other increases not included in line 2 (itemize) ▶ ATCH 6 . . . . .  | 496,665.    |
| 4   | Add lines 1, 2, and 3 . . . . .  | 19,111,477. |
| 5   | Decreases not included in line 2 (itemize) ▶ . . . . .   |             |
| 6   | <b>Total net assets or fund balances at end of year</b> (line 4 minus line 5) - Part II, column (b), line 30 . . . . .   | 19,111,477. |

**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co) |   | (b) How acquired<br>P - Purchase<br>D - Donation  | (c) Date acquired<br>(mo., day, yr.)  | (d) Date sold<br>(mo., day, yr.) |
|--|---|---|---|----------------------------------|
| 1a   | SEE PART IV SCHEDULE  |   |   |                                  |
| b  |   |   |   |                                  |
| c  |   |   |   |                                  |
| d  |   |   |   |                                  |
| e  |   |   |   |                                  |
| (e) Gross sales price  | (f) Depreciation allowed<br>(or allowable)  | (g) Cost or other basis<br>plus expense of sale   | (h) Gain or (loss)<br>(e) plus (f) minus (g)  |                                  |
| a  |   |   |   |                                  |
| b  |   |   |   |                                  |
| c  |   |   |   |                                  |
| d  |   |   |   |                                  |
| e  |   |   |   |                                  |
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69                                      |   |   | (l) Gains (Col (h) gain minus col. (k), but not less than -0-) or Losses (from col (h)) |                                  |
| (i) F M V as of 12/31/69   | (j) Adjusted basis<br>as of 12/31/69  | (k) Excess of col (i)<br>over col. (j), if any  |   |                                  |
| a  |   |   |   |                                  |
| b  |   |   |   |                                  |
| c  |   |   |   |                                  |
| d  |   |   |   |                                  |
| e  |   |   |   |                                  |
| 2  | Capital gain net income or (net capital loss)   | { If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7 }                             | 2   | 523,674.                         |
| 3  | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)<br>If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 | { If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 } | 3   | 0                                |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a)<br>Base period years<br>Calendar year (or tax year beginning in) | (b)<br>Adjusted qualifying distributions   | (c)<br>Net value of noncharitable-use assets | (d)<br>Distribution ratio<br>(col (b) divided by col (c)) |
|--|--|--|---|
| 2011   | 1,274.   | 9,822,313.                                   | 0.000130  |
| 2010   | 315.   | 19,522.                                      | 0.016136  |
| 2009   | 442,464.   | 3,636,245.                                   | 0.121682  |
| 2008   | 406,125.   | 7,516,403.                                   | 0.054032  |
| 2007   | 826,994.   | 7,020,846.                                   | 0.117791  |
| 2  | Total of line 1, column (d)  |  | 0.309771  |
| 3  | Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years                                     |  | 0.061954  |
| 4  | Enter the net value of noncharitable-use assets for 2012 from Part X, line 5   |  | 19,307,158.   |
| 5  | Multiply line 4 by line 3  |  | 1,196,156.  |
| 6  | Enter 1% of net investment income (1% of Part I, line 27b)   |  | 11,394.   |
| 7  | Add lines 5 and 6  |  | 1,207,550.  |
| 8  | Enter qualifying distributions from Part XII, line 4<br>If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions |  | 2,026,376.  |

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), (e), or 4948 - see instructions)

Table with 11 rows for excise tax calculations. Includes sub-rows 6a-6d for credits and payments. Total amount owed is 2,168.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes questions about political campaigns, expenditures, and state reporting. Includes sub-rows 8a and 8b.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) . . . . . 11 X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) . . . . . 12 X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? . . . . . 13 X
Website address WWW.FOUNDATION.SLB.COM
14 The books are in care of THE FOUNDATION Telephone no 212-885-8000
Located at 100 PARK AVENUE NEW YORK, NY ZIP+4 10017
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here . . . . . 15
16 At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . . 16 Yes No X
See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly):
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . . Yes X No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . Yes X No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . . Yes X No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . . Yes X No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . Yes X No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) . . . . . Yes X No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? . . . . . 1b X
Organizations relying on a current notice regarding disaster assistance check here . . . . .
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012? . . . . . 1c X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? . . . . . Yes X No
If "Yes," list the years
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) . . . . . 2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . Yes X No
b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012) . . . . . 3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? . . . . . 4a X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012? . . . . . 4b X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?  Yes  No

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------|---|---|---|---------------------------------------|
| ATCH 7               |   | 0   | 0   | 0                                     |
|                      |   |   |   |                                       |
|                      |   |   |   |                                       |
|                      |   |   |   |                                       |
|                      |   |   |   |                                       |

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|------------------|---|---------------------------------------|
| NONE  |   |                  |   |                                       |
|   |   |                  |   |                                       |
|   |   |                  |   |                                       |
|   |   |                  |   |                                       |
|   |   |                  |   |                                       |

Total number of other employees paid over \$50,000  Yes  No 0

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|---|---------------------|------------------|
| N/A   |                     | 0                |
| -----   |                     |                  |
| -----   |                     |                  |
| -----   |                     |                  |
| -----   |                     |                  |
| -----   |                     |                  |

Total number of others receiving over \$50,000 for professional services . . . . . ▶

**Part IX-A Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc | Expenses |
|---|----------|
| 1 N/A   |          |
| 2   |          |
| 3   |          |
| 4   |          |

**Part IX-B Summary of Program-Related Investments (see instructions)**

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|--|--------|
| 1 NONE   |        |
| 2  |        |
| All other program-related investments See instructions<br>3 NONE   |        |
| Total. Add lines 1 through 3 . . . . . ▶   |        |

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

|   |  |    |             |
|---|--|----|-------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes |    |             |
| a | Average monthly fair market value of securities  | 1a | 19,472,418. |
| b | Average of monthly cash balances   | 1b | 128,758.    |
| c | Fair market value of all other assets (see instructions)   | 1c |             |
| d | Total (add lines 1a, b, and c)   | 1d | 19,601,176. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)  | 1e |             |
| 2 | Acquisition indebtedness applicable to line 1 assets   | 2  |             |
| 3 | Subtract line 2 from line 1d   | 3  | 19,601,176. |
| 4 | Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)   | 4  | 294,018.    |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4        | 5  | 19,307,158. |
| 6 | Minimum investment return. Enter 5% of line 5  | 6  | 965,358.    |

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part)

|    |  |    |          |
|----|--|----|----------|
| 1  | Minimum investment return from Part X, line 6  | 1  | 965,358. |
| 2a | Tax on investment income for 2012 from Part VI, line 5   | 2a | 11,394.  |
| b  | Income tax for 2012 (This does not include the tax from Part VI)                                 | 2b |          |
| c  | Add lines 2a and 2b  | 2c | 11,394.  |
| 3  | Distributable amount before adjustments Subtract line 2c from line 1                             | 3  | 953,964. |
| 4  | Recoveries of amounts treated as qualifying distributions  | 4  |          |
| 5  | Add lines 3 and 4  | 5  | 953,964. |
| 6  | Deduction from distributable amount (see instructions)   | 6  |          |
| 7  | Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1 | 7  | 953,964. |

**Part XII Qualifying Distributions** (see instructions)

|   |   |    |            |
|---|---|----|------------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:  |    |            |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26   | 1a | 2,026,376. |
| b | Program-related investments - total from Part IX-B  | 1b |            |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes   | 2  |            |
| 3 | Amounts set aside for specific charitable projects that satisfy the   |    |            |
| a | Suitability test (prior IRS approval required)  | 3a |            |
| b | Cash distribution test (attach the required schedule)   | 3b |            |
| 4 | Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4   | 4  | 2,026,376. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions) | 5  | 11,394.    |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4  | 6  | 2,014,982. |

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income (see instructions)**

|  | (a)<br>Corpus | (b)<br>Years prior to 2011 | (c)<br>2011 | (d)<br>2012 |
|--|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2012 from Part XI, line 7   |               |                            |             | 953,964.    |
| 2 Undistributed income, if any, as of the end of 2012  |               |                            |             |             |
| a Enter amount for 2011 only   |               |                            |             |             |
| b Total for prior years 20 10, 20 09, 20 08  |               |                            |             |             |
| 3 Excess distributions carryover, if any, to 2012  |               |                            |             |             |
| a From 2007  |               |                            |             |             |
| b From 2008  |               |                            |             |             |
| c From 2009  |               |                            | 207,935.    |             |
| d From 2010  |               |                            | 315.        |             |
| e From 2011  |               |                            | 1,380,116.  |             |
| f Total of lines 3a through e  | 1,588,366.    |                            |             |             |
| 4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ 2,026,376.  |               |                            |             |             |
| a Applied to 2011, but not more than line 2a   |               |                            |             |             |
| b Applied to undistributed income of prior years (Election required - see instructions)  |               |                            |             |             |
| c Treated as distributions out of corpus (Election required - see instructions)  |               |                            |             |             |
| d Applied to 2012 distributable amount   |               |                            |             |             |
| e Remaining amount distributed out of corpus   | 2,026,376.    |                            |             |             |
| 5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))   | 953,964.      |                            |             | 953,964.    |
| 6 Enter the net total of each column as indicated below:   |               |                            |             |             |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5  | 2,660,778.    |                            |             |             |
| b Prior years' undistributed income Subtract line 4b from line 2b  |               |                            |             |             |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed |               |                            |             |             |
| d Subtract line 6c from line 6b Taxable amount - see instructions  |               |                            |             |             |
| e Undistributed income for 2011 Subtract line 4a from line 2a. Taxable amount - see instructions   |               |                            |             |             |
| f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013  |               |                            |             |             |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)                                  |               |                            |             |             |
| 8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)  |               |                            |             |             |
| 9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a  | 2,660,778.    |                            |             |             |
| 10 Analysis of line 9  |               |                            |             |             |
| a Excess from 2008   |               |                            |             |             |
| b Excess from 2009   |               |                            |             |             |
| c Excess from 2010   |               |                            |             |             |
| d Excess from 2011   |               |                            | 634,402.    |             |
| e Excess from 2012   |               |                            | 2,026,376.  |             |

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Table with columns: Tax year (a) 2012, (b) 2011, Prior 3 years (c) 2010, (d) 2009, (e) Total. Rows include 2b (85% of line 2a), 2c (Qualifying distributions from Part XII), 2d (Amounts included in line 2c not used directly for active conduct of exempt activities), 2e (Qualifying distributions made directly for active conduct of exempt activities), 3 (Complete 3a, b, or c for the alternative test relied upon), 3a (Assets alternative test), 3b (Endowment alternative test), 3c (Support alternative test).

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2) )

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here [ ] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed.

ATCH 8

b The form in which applications should be submitted and information and materials they should include:

ATCH 9

c Any submission deadlines

ATCH 10

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

ATCH 11

**Part XV** Supplementary Information (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business)           | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount     |
|--|--|--------------------------------------|-------------------------------------|------------|
| <p>a <i>Paid during the year</i></p> <p>ATCH 12</p>        |  |                                      |                                     |            |
| <b>Total</b> . . . . . ▶ <b>3a</b>                         |  |                                      |                                     | 1,878,116. |
| <p>b <i>Approved for future payment</i></p> <p>ATCH 13</p> |  |                                      |                                     |            |
| <b>Total</b> . . . . . ▶ <b>3b</b>                         |  |                                      |                                     | 140,690.   |

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Table with 5 main columns: (a) Business code, (b) Amount, (c) Exclusion code, (d) Amount, (e) Related or exempt function income. Rows include: 1 Program service revenue (a-f), 2 Membership dues and assessments, 3 Interest on savings and temporary cash investments, 4 Dividends and interest from securities, 5 Net rental income or (loss) from real estate (a-b), 6 Net rental income or (loss) from personal property, 7 Other investment income, 8 Gain or (loss) from sales of assets other than inventory, 9 Net income or (loss) from special events, 10 Gross profit or (loss) from sales of inventory, 11 Other revenue (a-e), 12 Subtotal, 13 Total.

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Table with 2 columns: Line No. and Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions).

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code... a Transfers from the reporting foundation to a noncharitable exempt organization of: (1) Cash, (2) Other assets, b Other transactions: (1) Sales of assets, (2) Purchases of assets, (3) Rental of facilities, (4) Reimbursement arrangements, (5) Loans or loan guarantees, (6) Performance of services... c Sharing of facilities... d If the answer to any of the above is "Yes," complete the following schedule.

Table with 4 columns: (a) Line no., (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements. Row 1 contains 'N/A'.

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code... 2b If "Yes," complete the following schedule.

Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship. Row 1 contains 'N/A'.

Sign Here Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge. Signature of officer or trustee: [Signature], Date: 11/06/2013, Title: PRESIDENT. May the IRS discuss this return with the preparer shown below (see instructions)? [X] Yes [ ] No

Paid Preparer Use Only Print/Type preparer's name: JAMES P. WETZOLD, Preparer's signature: [Signature], Date: 11/8/13, Check self-employed: [ ] If PTIN: P00229911, Firm's name: BDO USA, LLP, Firm's address: 100 PARK AVENUE NEW YORK, NY, Firm's EIN: 13-5381590, Phone no: 212-885-8000

◆ Realized Gain/Loss Summary

|  | Transaction Amount | Cost    | Market | Realized gain/loss Translation | Total  |
|--|--------------------|---------|--------|--------------------------------|--------|
| <b>Principal Paydowns</b>                    |                    |         |        |                                |        |
| <b>Fixed Income</b>                          |                    |         |        |                                |        |
| <b>Government Mortgage Backed Securities</b> |                    |         |        |                                |        |
| United States - USD                          | 1.60               | - 1.58  | 0.02   | 0.00                           | 0.02   |
| Total government mortgage backed securities  | 1.60               | - 1.58  | 0.02   | 0.00                           | 0.02   |
| <b>Non-Government Backed C.M.O.s</b>         |                    |         |        |                                |        |
| United States - USD                          | 25.80              | - 25.95 | - 0.15 | 0.00                           | - 0.15 |
| Total non-government backed c.m.o.s          | 25.80              | - 25.95 | - 0.15 | 0.00                           | - 0.15 |
| Total fixed income                           | 27.40              | - 27.53 | - 0.13 | 0.00                           | - 0.13 |
| Total principal paydowns                     | 27.40              | - 27.53 | - 0.13 | 0.00                           | - 0.13 |

**Capital Changes**

|                          |        |         |        |      |        |
|--------------------------|--------|---------|--------|------|--------|
| <b>Equities</b>          |        |         |        |      |        |
| <b>Common Stock</b>      |        |         |        |      |        |
| United States - USD      | 899.30 | 0.00    | 899.30 | 0.00 | 899.30 |
| Total common stock       | 899.30 | 0.00    | 899.30 | 0.00 | 899.30 |
| Total equities           | 899.30 | 0.00    | 899.30 | 0.00 | 899.30 |
| Total capital changes    | 899.30 | 0.00    | 899.30 | 0.00 | 899.30 |
| Total realized gain/loss | 926.70 | - 27.53 | 899.17 | 0.00 | 899.17 |

**Portfolio Statement**  
 Account Number: 003521  
 Account Name: STA DINA SCHUMBERGER FIDN

◆ Investment Transaction Summary

| Asset Type                            | Principal    | Transaction Amount<br>Accrued Interest | Adjustment Amt | Cost          | Market       | Realized Gain/Loss<br>Translation | Total        |
|---------------------------------------|--------------|--|----------------|---------------|--------------|-----------------------------------|--------------|
| <b>Principal Paydowns</b>             |              |  |                |               |              |                                   |              |
| <b>Fixed Income</b>                   |              |  |                |               |              |                                   |              |
| Government mortgage backed securities | 1 60         | 0 00                                   | 0 00           | -1 58         | 0 02         | 0 00                              | 0 02         |
| Non-government backed c m o s         | 25 80        | 0 00                                   | 0 00           | -25 95        | -0 15        | 0 00                              | -0 15        |
| <b>Total fixed income</b>             | <b>27 40</b> | <b>0 00</b>                            | <b>0 00</b>    | <b>-27 53</b> | <b>-0 13</b> | <b>0 00</b>                       | <b>-0 13</b> |
| <b>Total principal paydowns</b>       | <b>27 40</b> | <b>0 00</b>                            | <b>0 00</b>    | <b>-27 53</b> | <b>-0 13</b> | <b>0 00</b>                       | <b>-0 13</b> |
| <b>Total</b>                          | <b>27 40</b> | <b>0 00</b>                            | <b>0 00</b>    | <b>-27 53</b> | <b>-0 13</b> | <b>0 00</b>                       | <b>-0 13</b> |

◆ Investment Transaction Detail

| Trade Date | Security Description              | Asset ID / Ticker | Shares/PAR | Price | Principal | Transaction Amount | Accrued Interest | Adjustment Amt | Cost  | Market | Translation | Total | Realized Gain/Loss |
|------------|-----------------------------------|-------------------|------------|-------|-----------|--------------------|------------------|----------------|-------|--------|-------------|-------|--------------------|
| 17 Jan 12  | GNMA POOL #352022 SER 2023 7% DUE | 11-15-2023 REG    | -0.060     |       | 0.06      | 0.00               | 0.00             | 0.00           | -0.06 | 0.00   | 0.00        | 0.00  | 0.00               |
| 17 Jan 12  | CUSIP 36203LAX0 / GNMA            |                   | 0.000000   |       |           |                    |                  |                |       |        |             |       |                    |
| 15 Feb 12  | PRINCIPAL PAYMENT RECEIVED ON     |                   |            |       |           |                    |                  |                |       |        |             |       |                    |
| 15 Feb 12  | GNMA POOL #352022 SER 2023 7% DUE | 11-15-2023 REG    | -0.070     |       | 0.07      | 0.00               | 0.00             | 0.00           | -0.07 | 0.00   | 0.00        | 0.00  | 0.00               |
| 15 Feb 12  | CUSIP 36203LAX0 / GNMA            |                   | 0.000000   |       |           |                    |                  |                |       |        |             |       |                    |
| 15 Mar 12  | PRINCIPAL PAYMENT RECEIVED ON     |                   |            |       |           |                    |                  |                |       |        |             |       |                    |
| 15 Mar 12  | GNMA POOL #352022 SER 2023 7% DUE | 11-15-2023 REG    | -0.330     |       | 0.33      | 0.00               | 0.00             | 0.00           | -0.32 | 0.01   | 0.00        | 0.01  | 0.01               |
| 15 Mar 12  | CUSIP 36203LAX0 / GNMA            |                   | 0.000000   |       |           |                    |                  |                |       |        |             |       |                    |
| 16 Apr 12  | PRINCIPAL PAYMENT RECEIVED ON     |                   |            |       |           |                    |                  |                |       |        |             |       |                    |
| 16 Apr 12  | GNMA POOL #352022 SER 2023 7% DUE | 11-15-2023 REG    | -0.060     |       | 0.06      | 0.00               | 0.00             | 0.00           | -0.06 | 0.00   | 0.00        | 0.00  | 0.00               |
| 16 Apr 12  | CUSIP 36203LAX0 / GNMA            |                   | 0.000000   |       |           |                    |                  |                |       |        |             |       |                    |
| 15 May 12  | PRINCIPAL PAYMENT RECEIVED ON     |                   |            |       |           |                    |                  |                |       |        |             |       |                    |
| 15 May 12  | GNMA POOL #352022 SER 2023 7% DUE | 11-15-2023 REG    | -0.320     |       | 0.32      | 0.00               | 0.00             | 0.00           | -0.31 | 0.01   | 0.00        | 0.01  | 0.01               |
| 15 May 12  | CUSIP 36203LAX0 / GNMA            |                   | 0.000000   |       |           |                    |                  |                |       |        |             |       |                    |
| 15 Jun 12  | PRINCIPAL PAYMENT RECEIVED ON     |                   |            |       |           |                    |                  |                |       |        |             |       |                    |
| 15 Jun 12  | GNMA POOL #352022 SER 2023 7% DUE | 11-15-2023 REG    | -0.060     |       | 0.06      | 0.00               | 0.00             | 0.00           | -0.06 | 0.00   | 0.00        | 0.00  | 0.00               |
| 15 Jun 12  | CUSIP 36203LAX0 / GNMA            |                   | 0.000000   |       |           |                    |                  |                |       |        |             |       |                    |
| 16 Jul 12  | PRINCIPAL PAYMENT RECEIVED ON     |                   |            |       |           |                    |                  |                |       |        |             |       |                    |
| 16 Jul 12  | GNMA POOL #352022 SER 2023 7% DUE | 11-15-2023 REG    | -0.060     |       | 0.06      | 0.00               | 0.00             | 0.00           | -0.06 | 0.00   | 0.00        | 0.00  | 0.00               |
| 16 Jul 12  | CUSIP 36203LAX0 / GNMA            |                   | 0.000000   |       |           |                    |                  |                |       |        |             |       |                    |
| 16 Jul 12  | PRINCIPAL PAYMENT RECEIVED ON     |                   |            |       |           |                    |                  |                |       |        |             |       |                    |

Principal Paydowns

Fixed Income

Government mortgage backed securities

United States - USD

◆ Investment Transaction Detail

Trade Date: \_\_\_\_\_  
 Settle Date: \_\_\_\_\_  
 Trade Status: \_\_\_\_\_  
 Security Description: \_\_\_\_\_  
 Asset ID / Ticker: \_\_\_\_\_  
 Broker / Commission: \_\_\_\_\_  
 Narrative: \_\_\_\_\_

Shares/PAR Price: \_\_\_\_\_  
 Transaction Amount: \_\_\_\_\_  
 Accrued Interest: \_\_\_\_\_  
 Adjustment Amt: \_\_\_\_\_  
 Cost: \_\_\_\_\_  
 Market: \_\_\_\_\_  
 Translation: \_\_\_\_\_  
 Total: \_\_\_\_\_  
 Realized Gain/Loss: \_\_\_\_\_

**Principal Paydowns**

**Fixed Income**

|                                  |         |   |      |      |      |       |      |      |      |      |      |      |       |      |      |      |      |
|----------------------------------|---------|---|------|------|------|-------|------|------|------|------|------|------|-------|------|------|------|------|
| 15 Aug 12                        | Settled | GNMA POOL #352022 SER 2023 7% DUE<br>11-15-2023 REG<br>CUSIP 36203LAX0 / GNMA<br>PRINCIPAL PAYMENT RECEIVED ON<br>12 35 PAR | 0.09 | 0.00 | 0.00 | -0.09 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |      |       |      |      |      |      |
| 17 Sep 12                        | Settled | GNMA POOL #352022 SER 2023 7% DUE<br>11-15-2023 REG<br>CUSIP 36203LAX0 / GNMA<br>PRINCIPAL PAYMENT RECEIVED ON<br>12 26 PAR | 0.21 | 0.00 | 0.00 | -0.21 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |      |       |      |      |      |      |
| 15 Oct 12                        | Settled | GNMA POOL #352022 SER 2023 7% DUE<br>11-15-2023 REG<br>CUSIP 36203LAX0 / GNMA<br>PRINCIPAL PAYMENT RECEIVED ON<br>12 05 PAR | 0.16 | 0.00 | 0.00 | -0.16 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |      |       |      |      |      |      |
| 15 Nov 12                        | Settled | GNMA POOL #352022 SER 2023 7% DUE<br>11-15-2023 REG<br>CUSIP 36203LAX0 / GNMA<br>PRINCIPAL PAYMENT RECEIVED ON<br>11 89 PAR | 0.12 | 0.00 | 0.00 | -0.12 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |      |       |      |      |      |      |
| 17 Dec 12                        | Settled | GNMA POOL #352022 SER 2023 7% DUE<br>11-15-2023 REG<br>CUSIP 36203LAX0 / GNMA<br>PRINCIPAL PAYMENT RECEIVED ON<br>11 77 PAR | 0.06 | 0.00 | 0.00 | -0.06 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |      |       |      |      |      |      |
| <b>Total United States - USD</b> |         |   |      |      |      |       |      |      |      |      | 1.60 | 0.00 | -1.58 | 0.02 | 0.00 | 0.00 | 0.02 |

Total government mortgage backed securities

**Non-government backed c.m.o.s**

|                                  |         |  |      |      |      |       |      |      |       |      |       |      |       |       |      |       |
|----------------------------------|---------|--|------|------|------|-------|------|------|-------|------|-------|------|-------|-------|------|-------|
| 4 Jan 12                         | Settled | CMO BEAR STEARNS MTG SECS INC MTG<br>PASS THRU CTF SER 1998-2 CL B 4-30-30<br>CUSIP 073914CZ7 / CMO1<br>\$0 85 PAR RECEIVED AS<br>PRINCIPAL PAYMENT DUE<br>01/04/12 NEW BALANCE NOW<br>\$61.87 | 0.85 | 0.00 | 0.00 | -0.86 | 0.00 | 0.00 | -0.01 | 0.00 | -0.01 |      |       |       |      |       |
| <b>Total United States - USD</b> |         |  |      |      |      |       |      |      |       |      | 0.85  | 0.00 | -0.86 | -0.01 | 0.00 | -0.01 |

◆ Investment Transaction Detail

| Trade Date | Security Description | Asset ID / Ticker | Shares/PAR | Price | Principal | Transaction Amount | Accrued Interest | Adjustment Amt | Cost | Market | Realized Gain/Loss | Total |
|------------|----------------------|-------------------|------------|-------|-----------|--------------------|------------------|----------------|------|--------|--------------------|-------|
|------------|----------------------|-------------------|------------|-------|-----------|--------------------|------------------|----------------|------|--------|--------------------|-------|

*Principal Paydowns*

**Fixed Income**

|          |                                   |                                      |          |  |      |      |      |      |       |      |      |      |
|----------|-----------------------------------|--------------------------------------|----------|--|------|------|------|------|-------|------|------|------|
| 1 Feb 12 | CMO BEAR STEARNS MTG SECS INC MTG | PASSTHRU CTF SER 1998-2 CL B 4-30-30 | -0.740   |  | 0.74 | 0.00 | 0.00 | 0.00 | -0.74 | 0.00 | 0.00 | 0.00 |
| 1 Feb 12 | Settled                           | CUSIP 073914C27 / CMO1               | 0.000000 |  |      |      |      |      |       |      |      |      |
|          |                                   | \$0.74 PAR RECEIVED AS               |          |  |      |      |      |      |       |      |      |      |
|          |                                   | PRINCIPAL PAYMENT DUE                |          |  |      |      |      |      |       |      |      |      |
|          |                                   | 02/01/12 NEW BALANCE NOW             |          |  |      |      |      |      |       |      |      |      |
|          |                                   | \$61.13                              |          |  |      |      |      |      |       |      |      |      |

|          |                                   |                                      |          |  |      |      |      |      |       |      |      |      |
|----------|-----------------------------------|--------------------------------------|----------|--|------|------|------|------|-------|------|------|------|
| 5 Mar 12 | CMO BEAR STEARNS MTG SECS INC MTG | PASSTHRU CTF SER 1998-2 CL B 4-30-30 | -0.320   |  | 0.32 | 0.00 | 0.00 | 0.00 | -0.32 | 0.00 | 0.00 | 0.00 |
| 5 Mar 12 | Settled                           | CUSIP 073914C27 / CMO1               | 0.000000 |  |      |      |      |      |       |      |      |      |
|          |                                   | \$0.32 PAR RECEIVED AS               |          |  |      |      |      |      |       |      |      |      |
|          |                                   | PRINCIPAL PAYMENT DUE                |          |  |      |      |      |      |       |      |      |      |
|          |                                   | 03/05/12 NEW BALANCE NOW             |          |  |      |      |      |      |       |      |      |      |
|          |                                   | \$60.81                              |          |  |      |      |      |      |       |      |      |      |

|          |                                   |                                      |          |  |      |      |      |      |       |       |      |       |
|----------|-----------------------------------|--------------------------------------|----------|--|------|------|------|------|-------|-------|------|-------|
| 2 Apr 12 | CMO BEAR STEARNS MTG SECS INC MTG | PASSTHRU CTF SER 1998-2 CL B 4-30-30 | -2.730   |  | 2.73 | 0.00 | 0.00 | 0.00 | -2.75 | -0.02 | 0.00 | -0.02 |
| 2 Apr 12 | Settled                           | CUSIP 073914C27 / CMO1               | 0.000000 |  |      |      |      |      |       |       |      |       |
|          |                                   | \$2.73 PAR RECEIVED AS               |          |  |      |      |      |      |       |       |      |       |
|          |                                   | PRINCIPAL PAYMENT DUE                |          |  |      |      |      |      |       |       |      |       |
|          |                                   | 04/02/12 NEW BALANCE NOW             |          |  |      |      |      |      |       |       |      |       |
|          |                                   | \$58.08                              |          |  |      |      |      |      |       |       |      |       |

|          |                                   |                                      |          |  |      |      |      |      |       |      |      |      |
|----------|-----------------------------------|--------------------------------------|----------|--|------|------|------|------|-------|------|------|------|
| 2 May 12 | CMO BEAR STEARNS MTG SECS INC MTG | PASSTHRU CTF SER 1998-2 CL B 4-30-30 | -0.300   |  | 0.30 | 0.00 | 0.00 | 0.00 | -0.30 | 0.00 | 0.00 | 0.00 |
| 2 May 12 | Settled                           | CUSIP 073914C27 / CMO1               | 0.000000 |  |      |      |      |      |       |      |      |      |
|          |                                   | \$0.30 PAR RECEIVED AS               |          |  |      |      |      |      |       |      |      |      |
|          |                                   | PRINCIPAL PAYMENT DUE                |          |  |      |      |      |      |       |      |      |      |
|          |                                   | 05/02/12 NEW BALANCE NOW             |          |  |      |      |      |      |       |      |      |      |
|          |                                   | \$57.76                              |          |  |      |      |      |      |       |      |      |      |

|          |                                   |                                      |          |  |      |      |      |      |       |       |      |       |
|----------|-----------------------------------|--------------------------------------|----------|--|------|------|------|------|-------|-------|------|-------|
| 4 Jun 12 | CMO BEAR STEARNS MTG SECS INC MTG | PASSTHRU CTF SER 1998-2 CL B 4-30-30 | -0.890   |  | 0.89 | 0.00 | 0.00 | 0.00 | -0.90 | -0.01 | 0.00 | -0.01 |
| 4 Jun 12 | Settled                           | CUSIP 073914C27 / CMO1               | 0.000000 |  |      |      |      |      |       |       |      |       |
|          |                                   | \$0.89 PAR RECEIVED AS               |          |  |      |      |      |      |       |       |      |       |
|          |                                   | PRINCIPAL PAYMENT DUE                |          |  |      |      |      |      |       |       |      |       |
|          |                                   | 06/01/12 NEW BALANCE NOW             |          |  |      |      |      |      |       |       |      |       |
|          |                                   | \$56.89                              |          |  |      |      |      |      |       |       |      |       |

◆ Investment Transaction Detail

| Trade Date | Security Description | Asset ID / Ticker | Shares/PAR Price | Principal | Transaction Amount | Accrued Interest | Adjustment Amt | Cost | Market | Translation | Total | Realized Gain/Loss |
|------------|----------------------|-------------------|------------------|-----------|--------------------|------------------|----------------|------|--------|-------------|-------|--------------------|
|------------|----------------------|-------------------|------------------|-----------|--------------------|------------------|----------------|------|--------|-------------|-------|--------------------|

*Principal Paydowns*

**Fixed Income**

|          |                                   |                                      |          |       |      |      |      |        |       |      |       |       |
|----------|-----------------------------------|--------------------------------------|----------|-------|------|------|------|--------|-------|------|-------|-------|
| 2 Jul 12 | CMO BEAR STEARNS MTG SECS INC MTG | PASSTHRU CTF SER 1998-2 CL B 4-30-30 | -17 480  | 17 48 | 0 00 | 0 00 | 0 00 | -17 58 | -0 10 | 0 00 | -0 10 | -0 10 |
| Settled  | CUSIP 073914C27 / CMO1            |                                      | 0 000000 |       |      |      |      |        |       |      |       |       |
|          | \$17 48 PAR RECEIVED AS           |                                      |          |       |      |      |      |        |       |      |       |       |
|          | PRINCIPAL PAYMENT DUE             |                                      |          |       |      |      |      |        |       |      |       |       |
|          | 07/01/12 NEW BALANCE NOW          |                                      |          |       |      |      |      |        |       |      |       |       |
|          | \$39 41                           |                                      |          |       |      |      |      |        |       |      |       |       |

|          |                                   |                                      |          |      |      |      |      |       |      |      |      |      |
|----------|-----------------------------------|--------------------------------------|----------|------|------|------|------|-------|------|------|------|------|
| 1 Aug 12 | CMO BEAR STEARNS MTG SECS INC MTG | PASSTHRU CTF SER 1998-2 CL B 4-30-30 | -0 140   | 0 14 | 0 00 | 0 00 | 0 00 | -0 14 | 0 00 | 0 00 | 0 00 | 0 00 |
| Settled  | CUSIP 073914C27 / CMO1            |                                      | 0 000000 |      |      |      |      |       |      |      |      |      |
|          | \$0 14 PAR RECEIVED AS            |                                      |          |      |      |      |      |       |      |      |      |      |
|          | PRINCIPAL PAYMENT DUE             |                                      |          |      |      |      |      |       |      |      |      |      |
|          | 08/01/12 NEW BALANCE NOW          |                                      |          |      |      |      |      |       |      |      |      |      |
|          | \$39 27                           |                                      |          |      |      |      |      |       |      |      |      |      |

|          |                                   |                                      |          |      |      |      |      |       |      |      |      |      |
|----------|-----------------------------------|--------------------------------------|----------|------|------|------|------|-------|------|------|------|------|
| 4 Sep 12 | CMO BEAR STEARNS MTG SECS INC MTG | PASSTHRU CTF SER 1998-2 CL B 4-30-30 | -0 140   | 0 14 | 0 00 | 0 00 | 0 00 | -0 14 | 0 00 | 0 00 | 0 00 | 0 00 |
| Settled  | CUSIP 073914C27 / CMO1            |                                      | 0 000000 |      |      |      |      |       |      |      |      |      |
|          | \$0 14 PAR RECEIVED AS            |                                      |          |      |      |      |      |       |      |      |      |      |
|          | PRINCIPAL PAYMENT DUE             |                                      |          |      |      |      |      |       |      |      |      |      |
|          | 09/04/12 NEW BALANCE NOW          |                                      |          |      |      |      |      |       |      |      |      |      |
|          | \$39 13                           |                                      |          |      |      |      |      |       |      |      |      |      |

|          |                                   |                                      |          |      |      |      |      |       |      |      |      |      |
|----------|-----------------------------------|--------------------------------------|----------|------|------|------|------|-------|------|------|------|------|
| 2 Oct 12 | CMO BEAR STEARNS MTG SECS INC MTG | PASSTHRU CTF SER 1998-2 CL B 4-30-30 | -0 130   | 0 13 | 0 00 | 0 00 | 0 00 | -0 13 | 0 00 | 0 00 | 0 00 | 0 00 |
| Settled  | CUSIP 073914C27 / CMO1            |                                      | 0 000000 |      |      |      |      |       |      |      |      |      |
|          | \$0 13 PAR RECEIVED AS            |                                      |          |      |      |      |      |       |      |      |      |      |
|          | PRINCIPAL PAYMENT DUE             |                                      |          |      |      |      |      |       |      |      |      |      |
|          | 10/02/12 NEW BALANCE NOW          |                                      |          |      |      |      |      |       |      |      |      |      |
|          | \$39 00                           |                                      |          |      |      |      |      |       |      |      |      |      |

|          |                                   |                                      |          |      |      |      |      |       |      |      |      |      |
|----------|-----------------------------------|--------------------------------------|----------|------|------|------|------|-------|------|------|------|------|
| 1 Nov 12 | CMO BEAR STEARNS MTG SECS INC MTG | PASSTHRU CTF SER 1998-2 CL B 4-30-30 | -0 140   | 0 14 | 0 00 | 0 00 | 0 00 | -0 14 | 0 00 | 0 00 | 0 00 | 0 00 |
| Settled  | CUSIP 073914C27 / CMO1            |                                      | 0 000000 |      |      |      |      |       |      |      |      |      |
|          | \$0 14 PAR RECEIVED AS            |                                      |          |      |      |      |      |       |      |      |      |      |
|          | PRINCIPAL PAYMENT DUE             |                                      |          |      |      |      |      |       |      |      |      |      |
|          | 11/01/12 NEW BALANCE NOW          |                                      |          |      |      |      |      |       |      |      |      |      |
|          | \$38 86                           |                                      |          |      |      |      |      |       |      |      |      |      |

|          |                                   |                                      |          |      |      |      |      |       |       |      |       |       |
|----------|-----------------------------------|--------------------------------------|----------|------|------|------|------|-------|-------|------|-------|-------|
| 4 Dec 12 | CMO BEAR STEARNS MTG SECS INC MTG | PASSTHRU CTF SER 1998-2 CL B 4-30-30 | -1 940   | 1 94 | 0 00 | 0 00 | 0 00 | -1 95 | -0 01 | 0 00 | -0 01 | -0 01 |
| Settled  | CUSIP 073914C27 / CMO1            |                                      | 0 000000 |      |      |      |      |       |       |      |       |       |
|          | \$ 1 94 RECEIVED AS PRINCIPAL     |                                      |          |      |      |      |      |       |       |      |       |       |
|          | PAYMENT DUE 12-03-12              |                                      |          |      |      |      |      |       |       |      |       |       |

**Portfolio Statement**  
 Account Number: 031522  
 Account Name: SA EDIN SCHUMBERGER FUND  
 Date: 2013-03-27

◆ Investment Transaction Detail

Trade Date \_\_\_\_\_ Security Description \_\_\_\_\_  
 Settle Date \_\_\_\_\_ Asset ID / Ticker \_\_\_\_\_  
 Trade Status \_\_\_\_\_ Broker / Commission \_\_\_\_\_  
 Narrative \_\_\_\_\_

Shares/PAR Price \_\_\_\_\_ Transaction Amount \_\_\_\_\_  
 Principal \_\_\_\_\_ Accrued Interest \_\_\_\_\_  
 Adjustment Amt \_\_\_\_\_ Cost \_\_\_\_\_  
 Market \_\_\_\_\_ Translation \_\_\_\_\_  
 Total \_\_\_\_\_ Realized Gain/Loss \_\_\_\_\_

*Principal Paydowns*

|                                     | Principal | Accrued Interest | Adjustment Amt | Cost   | Market | Translation | Total |
|-------------------------------------|-----------|------------------|----------------|--------|--------|-------------|-------|
| <b>Fixed Income</b>                 |           |                  |                |        |        |             |       |
| Total United States - USD           | 25.80     | 0.00             | 0.00           | -25.95 | -0.15  | 0.00        | -0.15 |
| Total non-government backed c.m.o.s | 25.80     | 0.00             | 0.00           | -25.95 | -0.15  | 0.00        | -0.15 |
| Total fixed income                  | 27.40     | 0.00             | 0.00           | -27.53 | -0.13  | 0.00        | -0.13 |
| Total principal paydowns            | 27.40     | 0.00             | 0.00           | -27.53 | -0.13  | 0.00        | -0.13 |
| Total transactions                  | 27.40     | 0.00             | 0.00           | -27.53 | -0.13  | 0.00        | -0.13 |



Statement Detail

SCHLUMBERGER GSAM: TAXABLE FI  
Realized Gains and Losses

Period Ended December 31, 2012

YEAR TO DATE GAINS AND LOSSES

This information is for general guidance and should not be used for tax purposes. You should use only your official form 1099's or other tax records for tax reporting. This information is not, and should not be construed as tax, accounting or legal advice. Please consult with your tax advisor regarding the tax consequences of your investment decisions. The cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account were provided by you or a third party and Goldman Sachs makes no representation as to the accuracy of such information.

|   | Date Acquired or Sold Short | Date Sold or Covered | Quantity   | Sale Proceeds | Cost Basis              | Fx Gain (Loss) | Market Gain (Loss) | Total Gain (Loss) | Holding Period |
|---|-----------------------------|----------------------|------------|---------------|-------------------------|----------------|--------------------|-------------------|----------------|
| FHLB 0.375 11/27/2013   | Oct 28 2011                 | Jul 09 2012          | 550,000.00 | 550,523.05    | 549,450.00              | 0.00           | 1,073.05           | 1,073.05          | ST             |
| BERKSHIRE HATHAWAY INC. 3.2% 02/11/2015   | Oct 21 2011                 | Jul 09 2012          | 450,000.00 | 478,494.00    | 469,874.03 <sup>3</sup> | 0.00           | 3,339.00           | 8,619.97          | ST             |
| FHLB 0.875% 12/27/2013 JD   | Nov 08 2011                 | Jul 09 2012          | 600,000.00 | 604,921.80    | 604,084.10 <sup>3</sup> | 0.00           | (1,018.20)         | 837.70            | ST             |
| FHLB 5.375% 05/18/2016 MN   | Oct 13 2011                 | Jul 09 2012          | 550,000.00 | 649,317.35    | 635,230.43 <sup>3</sup> | 0.00           | (1,772.65)         | 14,086.92         | ST             |
| GENERAL ELECTRIC COMPANY 5.25% 12/06/2017 SR LIEN   | Oct 17 2011                 | Jul 09 2012          | 400,000.00 | 470,616.00    | 443,900.11 <sup>3</sup> | 0.00           | 21,356.00          | 26,715.89         | ST             |
| U.S. TREASURY NOTE 0.500000% 10/15/2014 AO ON THE RUN   | Oct 20 2011                 | Jul 09 2012          | 550,000.00 | 552,533.31    | 550,669.01 <sup>3</sup> | 0.00           | 1,663.31           | 1,864.30          | ST             |
| U.S. TREASURY NOTE 1.000000% 10/31/2016 AO ON THE RUN   | Nov 10 2011                 | Jul 09 2012          | 600,000.00 | 611,529.24    | 602,551.34 <sup>3</sup> | 0.00           | 8,599.55           | 8,977.90          | ST             |
| U.S. TREASURY NOTE 2.250000% 01/31/2015 JJ ON THE RUN SR LIEN   | Oct 18 2011                 | Jul 09 2012          | 600,000.00 | 629,458.93    | 626,460.62 <sup>3</sup> | 0.00           | (4,441.07)         | 2,998.31          | ST             |
| U.S. TREASURY NOTE 3.375000% 11/15/2019 MN ON THE RUN SR LIEN   | Nov 03 2011                 | Jul 09 2012          | 550,000.00 | 642,359.13    | 615,431.02 <sup>3</sup> | 0.00           | 21,245.85          | 26,928.11         | ST             |
| U.S. TREASURY NOTE 3.750000% 11/15/2018 MN ON THE RUN SR LIEN   | Nov 02 2011                 | Jul 09 2012          | 550,000.00 | 648,954.83    | 627,766.39 <sup>3</sup> | 0.00           | 13,210.69          | 21,188.44         | ST             |
| WAL-MART STORES, INC 2.8% 04/15/2016  | Oct 12 2011                 | Jul 09 2012          | 450,000.00 | 483,300.00    | 470,009.34 <sup>3</sup> | 0.00           | 9,540.00           | 13,290.66         | ST             |
| FHLB 5.0% 11/17/2017 MN   | Oct 14 2011                 | Jul 11 2012          | 400,000.00 | 485,705.20    | 466,585.82 <sup>3</sup> | 0.00           | 10,425.20          | 19,119.38         | ST             |
| BRITISH COLUMBIA (PROVINCE OF 2.65% 09/22/2021 SR LIEN  | Jan 09 2012                 | Jul 13 2012          | 650,000.00 | 695,500.00    | 672,720.21 <sup>3</sup> | 0.00           | 21,645.00          | 22,779.79         | ST             |
| BRITISH COLUMBIA HER MAJESTY 2.85% 06/15/2015 THE QUEEN IN RIGHT (PROVINCE OF EXPORT DEVELOPMENT CANADA 1.5% 05/15/2014 | Jan 18 2012                 | Jul 13 2012          | 200,000.00 | 212,880.00    | 212,219.02 <sup>3</sup> | 0.00           | (1,350.00)         | 660.98            | ST             |
| EXPORT DEVELOPMENT CANADA 1.5% 05/15/2014   | Dec 13 2011                 | Jul 13 2012          | 500,000.00 | 511,304.50    | 509,662.38 <sup>3</sup> | 0.00           | (1,445.50)         | 1,642.12          | ST             |
| KFW 4.0% 01/27/2020 USD SR LIEN   | Nov 17 2011                 | Jul 13 2012          | 400,000.00 | 463,103.20    | 451,498.05 <sup>3</sup> | 0.00           | 7,503.20           | 11,605.15         | ST             |
| GS U S MORTGAGES FUND SEPARATE  | Jul 09 2012                 | Aug 06 2012          | 45,326.00  | 486,801.24    | 484,534.94              | 0.00           | 2,266.30           | 2,266.30          | ST             |

<sup>3</sup> Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization. This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently.



**Statement Detail**  
**SCHLUMBERGER GSAM: TAXABLE FI**  
 Realized Gains and Losses (Continued)

Period Ended December 31, 2012

|   | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity   | Sale Proceeds        | Cost Basis              | FX Gain (Loss)        | Market Gain (Loss)        | Total Gain (Loss)        | Holding<br>Period |
|---|--------------------------------|-------------------------|------------|----------------------|-------------------------|-----------------------|---------------------------|--------------------------|-------------------|
| ACCOUNT INSTITUTIONAL SHARES            | Jul 09 2012                    | Aug 10 2012             | 46,924 00  | 503,494 52           | 501,617 56              | 0 00                  | 1,876 96                  | 1,876 96                 | ST                |
| U.S. TREASURY NOTE 1.625000% 08/15/2022 | Aug 10 2012                    | Aug 20 2012             | 50,000 00  | 49,113 08            | 49,918 17               | 0 00                  | (805 09)                  | (805 09)                 | ST                |
| FA OFF THE RUN                          |                                |                         |            |                      |                         |                       |                           |                          |                   |
| U.S. TREASURY NOTE 1.750000% 05/15/2022 | Aug 06 2012                    | Sep 05 2012             | 120,000 00 | 122,113 58           | 122,056 61 <sup>3</sup> | 0 00                  | 41 22                     | 56 97                    | ST                |
| MN ON THE RUN                           | Aug 20 2012                    | Sep 05 2012             | 50,000 00  | 50,880 66            | 49,843 95               | 0 00                  | 1,036 71                  | 1,036 71                 | ST                |
| GS U.S. MORTGAGES FUND SEPARATE         | Jul 09 2012                    | Sep 25 2012             | 80,776 00  | 878,842 88           | 863,495 44              | 0 00                  | 15,347 44                 | 15,347 44                | ST                |
| ACCOUNT INSTITUTIONAL SHARES            |                                |                         |            |                      |                         |                       |                           |                          |                   |
| U.S. TREASURY NOTE 1.000000% 08/31/2019 | Sep 25 2012                    | Oct 17 2012             | 80,000 00  | 79,021 56            | 79,562 82               | 0 00                  | (541 26)                  | (541 26)                 | ST                |
| FA ON THE RUN                           |                                |                         |            |                      |                         |                       |                           |                          |                   |
| U.S. TREASURY NOTE 1.000000% 06/30/2019 | Jul 13 2012                    | Nov 27 2012             | 450,000 00 | 450,226 72           | 450,536 17 <sup>3</sup> | 0 00                  | (337 58)                  | (309 45)                 | ST                |
| JD ON THE RUN                           |                                |                         |            |                      |                         |                       |                           |                          |                   |
| U.S. TREASURY NOTE 0.250000% 06/30/2014 | Jul 13 2012                    | Nov 30 2012             | 310,000 00 | 310,071 62           | 309,952 60              | 0 00                  | 119 02                    | 119 02                   | ST                |
| JD ON THE RUN                           |                                |                         |            |                      |                         |                       |                           |                          |                   |
| U.S. TREASURY NOTE 0.250000% 08/31/2014 | Sep 07 2012                    | Nov 30 2012             | 300,000 00 | 300,034 15           | 300,021 57 <sup>3</sup> | 0 00                  | 9 71                      | 12 58                    | ST                |
| FA ON THE RUN                           | Sep 25 2012                    | Nov 30 2012             | 200,000 00 | 200,022 76           | 199,930 36              | 0 00                  | 92 40                     | 92 40                    | ST                |
| GS INVESTMENT GRADE CREDIT FUND         | Jul 09 2012                    | Dec 14 2012             | 17,251 00  | 168,542 27           | 169,404 82              | 0 00                  | (862 55)                  | (862 55)                 | ST                |
| SEPARATE ACCOUNT INSTITUTIONAL SHARES   |                                |                         |            |                      |                         |                       |                           |                          |                   |
| GS INVESTMENT GRADE CREDIT FUND         |                                |                         |            |                      |                         |                       |                           | 449 00                   |                   |
| SEPARATE ACCOUNT INSTITUTIONAL SHARES   |                                |                         |            |                      |                         |                       |                           |                          |                   |
| GS INVESTMENT GRADE CREDIT FUND         | Jul 09 2012                    | Dec 24 2012             | 602 00     | 5,881 54             | 5,911 64                | 0 00                  | (30 10)                   | (30 10)                  | ST                |
| SEPARATE ACCOUNT INSTITUTIONAL SHARES   |                                |                         |            |                      |                         |                       |                           |                          |                   |
| GS U.S. MORTGAGES FUND SEPARATE         | Jul 09 2012                    | Dec 24 2012             | 866 00     | 9,214 24             | 9,257 54                | 0 00                  | (43 30)                   | (43 30)                  | ST                |
| ACCOUNT INSTITUTIONAL SHARES            |                                |                         |            |                      |                         |                       |                           |                          |                   |
| GS U.S. MORTGAGES FUND SEPARATE         |                                |                         |            |                      |                         |                       |                           | 43 30                    |                   |
| ACCOUNT INSTITUTIONAL SHARES            |                                |                         |            |                      |                         |                       |                           |                          |                   |
| DISALLOWED LOSSES                       |                                |                         |            |                      |                         |                       |                           |                          |                   |
|   |                                |                         |            | <b>Sale Proceeds</b> | <b>Cost Basis</b>       | <b>FX Gain (Loss)</b> | <b>Market Gain (Loss)</b> | <b>Total Gain (Loss)</b> |                   |
| SHORT TERM GAINS                        |                                |                         |            | 11,542,761.95        | 11,339,564.90           | 0.00                  | 130,353.19                | 203,197.05               |                   |
| SHORT TERM LOSSES                       |                                |                         |            | 761,999.41           | 764,591.16              | 0.00                  | (2,619.88)                | (2,591.75)               |                   |
| SHORT TERM DISALLOWED LOSSES            |                                |                         |            |                      |                         |                       |                           | 492.30                   |                   |
| <b>NET SHORT TERM GAINS (LOSSES)</b>    |                                |                         |            | <b>12,304,761.36</b> | <b>12,104,156.06</b>    | <b>0.00</b>           | <b>127,733.31</b>         | <b>201,097.60</b>        |                   |

<sup>3</sup> Basis may have been increased by accruals of market discount and/or original issue discount and/or premium amortization. This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently.



**SCHLUMBERGER FOUNDATION BROKERAGE**  
Realized Gains and Losses

Period Ended December 31, 2012

**YEAR TO DATE GAINS AND LOSSES**

This information is for general guidance and should not be used for tax purposes. You should use only your official form 1099's or other tax records for tax reporting. This information is not, and should not be construed as tax, accounting or legal advice. Please consult with your tax advisor regarding the tax consequences of your investment decisions. The cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account were provided by you or a third party and Goldman Sachs makes no representation as to the accuracy of such information.

|   | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity  | Sale Proceeds        | Cost Basis        | FX Gain (Loss)        | Market Gain (Loss)        | Total Gain (Loss)        | Holding<br>Period |
|---|--------------------------------|-------------------------|-----------|----------------------|-------------------|-----------------------|---------------------------|--------------------------|-------------------|
| GS HIGH YIELD FUND INSTITUTIONAL SHARES | Oct 14 2011                    | Apr 03 2012             | 29,037.04 | 207,324.44           | 196,000.00        | 0.00                  | 11,324.44                 | 11,324.44                | ST                |
|   | Oct 24 2011                    | Apr 03 2012             | 1,775.29  | 12,675.55            | 12,284.99         | 0.00                  | 390.56                    | 390.56                   | ST                |
| SPDR EURO STOXX 50 FD ETF               | Feb 22 2012                    | Aug 03 2012             | 4,500.00  | 129,418.44           | 148,095.00        | 0.00                  | (18,676.56)               | (18,676.56)              | ST                |
|   | Mar 13 2012                    | Aug 03 2012             | 1,500.00  | 43,139.48            | 49,515.00         | 0.00                  | (6,375.52)                | (6,375.52)               | ST                |
|   |                                |                         |           | <b>Sale Proceeds</b> | <b>Cost Basis</b> | <b>FX Gain (Loss)</b> | <b>Market Gain (Loss)</b> | <b>Total Gain (Loss)</b> |                   |
| SHORT TERM GAINS                        |                                |                         |           | 219,999.99           | 208,284.99        | 0.00                  | 11,715.00                 | 11,715.00                |                   |
| SHORT TERM LOSSES                       |                                |                         |           | 172,557.92           | 197,610.00        | 0.00                  | (25,052.08)               | (25,052.08)              |                   |
| <b>NET SHORT TERM GAINS (LOSSES)</b>    |                                |                         |           | <b>392,557.91</b>    | <b>405,894.99</b> | <b>0.00</b>           | <b>(13,337.08)</b>        | <b>(13,337.08)</b>       |                   |



Statement Detail

**SCHLUMBERGER INVECO: NON-US EQ**  
Realized Gains and Losses

Period Ended December 31, 2012

**YEAR TO DATE GAINS AND LOSSES**

This information is for general guidance and should not be used for tax purposes. You should use only your official form 1099's or other tax records for tax reporting. This information is not, and should not be construed as tax, accounting or legal advice. Please consult with your tax advisor regarding the tax consequences of your investment decisions. The cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account were provided by you or a third party and Goldman Sachs makes no representation as to the accuracy of such information.

|   | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity | Sale Proceeds | Cost Basis | FX Gain (Loss) | Market Gain (Loss) | Total Gain (Loss) | Holding<br>Period |
|---|--------------------------------|-------------------------|----------|---------------|------------|----------------|--------------------|-------------------|-------------------|
| CAP GEMINI ADR CMN  | Sep 22 2011                    | Jan 03 2012             | 44 00    | 722.40        | 716.32     | 0.00           | 6.08               | 6.08              | ST                |
| CNOOC LIMITED SPONSORED ADR CMN   | Sep 22 2011                    | Jan 10 2012             | 7 00     | 1,366.16      | 1,043.35   | 0.00           | 322.81             | 322.81            | ST                |
| INFOSYS LTD SPONSORED ADR CMN SRS<br>16840480   | Sep 22 2011                    | Jan 12 2012             | 8 00     | 414.73        | 375.68     | 0.00           | 39.05              | 39.05             | ST                |
| NOVO-NORDISK A/S ADR ADR CMN  | Sep 22 2011                    | Jan 17 2012             | 6 00     | 711.54        | 583.56     | 0.00           | 127.98             | 127.98            | ST                |
| INFOSYS LTD SPONSORED ADR CMN SRS<br>16840480   | Sep 22 2011                    | Jan 20 2012             | 15 00    | 784.48        | 704.40     | 0.00           | 80.08              | 80.08             | ST                |
|   | Oct 24 2011                    | Jan 20 2012             | 12 00    | 627.59        | 686.28     | 0.00           | (58.69)            | (58.69)           | ST                |
|   | Nov 22 2011                    | Jan 20 2012             | 13 00    | 679.89        | 662.73     | 0.00           | 17.16              | 17.16             | ST                |
|   | Dec 22 2011                    | Jan 20 2012             | 13 00    | 679.89        | 660.79     | 0.00           | 19.10              | 19.10             | ST                |
| TESCO PLC (SPONSORED) ADR SPONSORED ADR<br>CMN  | Sep 22 2011                    | Jan 25 2012             | 64 00    | 980.57        | 1,052.80   | 0.00           | (72.23)            | (72.23)           | ST                |
| TESCO PLC (SPONSORED) ADR SPONSORED ADR<br>CMN DISALLOWED LOSSES  |                                |                         |          |               |            |                |                    | 50.79             |                   |
| TESCO PLC (SPONSORED) ADR SPONSORED ADR<br>CMN  | Sep 22 2011                    | Jan 26 2012             | 16 00    | 244.44        | 263.20     | 0.00           | (18.76)            | (18.76)           | ST                |
| ERICSSON AMERICAN ADR CMN CLASS B<br>ERICSSON AMERICAN ADR CMN CLASS B<br>DISALLOWED LOSSES                   | Sep 22 2011                    | Feb 06 2012             | 86 00    | 805.76        | 821.30     | 0.00           | (15.54)            | (15.54)           | ST                |
| HUTCHISON WHAMPOA (ADR) ADR CMN   | Sep 22 2011                    | Feb 09 2012             | 13 00    | 256.10        | 197.86     | 0.00           | 58.24              | 58.24             | ST                |
|   | Sep 22 2011                    | Feb 10 2012             | 63 00    | 1,231.76      | 958.86     | 0.00           | 272.90             | 272.90            | ST                |
| TESCO PLC (SPONSORED) ADR SPONSORED ADR<br>CMN  | Sep 22 2011                    | Feb 16 2012             | 9 00     | 136.99        | 148.05     | 0.00           | (11.06)            | (11.06)           | ST                |
|   | Sep 22 2011                    | Feb 16 2012             | 45 00    | 684.96        | 748.29     | 0.00           | (63.33)            | (63.33)           | ST                |
|   | Oct 24 2011                    | Feb 16 2012             | 45 00    | 684.96        | 882.00     | 0.00           | (197.04)           | (197.04)          | ST                |
| KONINKLIJKE AHOLD N.V. SPONSORED ADR<br>CMN   | Sep 22 2011                    | Feb 29 2012             | 18 00    | 248.60        | 198.00     | 0.00           | 50.60              | 50.60             | ST                |
| FOMENTO ECONOMICO MEXICANO SAB DE C.V.<br>NEW SPONS ADR REPOSTG UNIT 1 SER B SH<br>FOMENTO ECONOMICO MEXICANO | Sep 22 2011                    | Mar 01 2012             | 13 00    | 981.20        | 814.71     | 0.00           | 166.49             | 166.49            | ST                |
| KONINKLIJKE AHOLD N.V. SPONSORED ADR<br>CMN   | Sep 22 2011                    | Mar 01 2012             | 66 00    | 886.60        | 726.00     | 0.00           | 170.60             | 170.60            | ST                |
| VODAFONE GROUP PLC SPONSORED ADR CMN  | Sep 22 2011                    | Mar 15 2012             | 48 00    | 1,255.08      | 1,183.20   | 0.00           | 71.88              | 71.88             | ST                |

**SCHLUMBERGER INVESCO: NON-US EQ**  
Realized Gains and Losses (Continued)

Period Ended December 31, 2012

|  | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity | Sale Proceeds | Cost Basis | FX Gain (Loss) | Market Gain (Loss) | Total Gain (Loss) | Holding<br>Period |
|--|--------------------------------|-------------------------|----------|---------------|------------|----------------|--------------------|-------------------|-------------------|
|  | Sep 22 2011                    | Mar 28 2012             | 9.00     | 250.24        | 221.85     | 0.00           | 28.39              | 28.39             | ST                |
|  | Oct 24 2011                    | Mar 28 2012             | 29.00    | 806.32        | 816.64     | 0.00           | (10.32)            | (10.32)           | ST                |
|  | Nov 22 2011                    | Mar 28 2012             | 20.00    | 556.09        | 528.87     | 0.00           | 27.22              | 27.22             | ST                |
| FOMENTO ECONOMICO MEXICANO SAB DE C.V. | Sep 22 2011                    | Apr 23 2012             | 13.00    | 1,047.77      | 814.71     | 0.00           | 233.06             | 233.06            | ST                |
| NEW SPONS ADR REPOSTG UNIT 1 SER B SH  | Oct 24 2011                    | Apr 23 2012             | 6.00     | 483.59        | 414.84     | 0.00           | 68.75              | 68.75             | ST                |
| FOMENTO ECONOMICO MEXICANO             | Sep 22 2011                    | May 02 2012             | 21.00    | 1,147.19      | 1,135.26   | 0.00           | 11.93              | 11.93             | ST                |
| NOVARTIS AG-ADR SPONSORED ADR CMN      | Sep 22 2011                    | May 03 2012             | 5.00     | 745.21        | 486.30     | 0.00           | 258.91             | 258.91            | ST                |
| NOVO-NORDISK A/S ADR ADR CMN           | Sep 22 2011                    | May 07 2012             | 43.00    | 1,220.62      | 1,000.61   | 0.00           | 220.01             | 220.01            | ST                |
| FANUC CORP UNSPONSORED ADR CMN         | Nov 22 2011                    | May 18 2012             | 11.00    | 286.84        | 290.88     | 0.00           | (4.04)             | (4.04)            | ST                |
| VODAFONE GROUP PLC SPONSORED ADR CMN   | Dec 22 2011                    | May 18 2012             | 30.00    | 782.30        | 821.10     | 0.00           | (38.80)            | (38.80)           | ST                |
|  | Jan 20 2012                    | May 18 2012             | 29.00    | 756.22        | 803.47     | 0.00           | (47.25)            | (47.25)           | ST                |
| BNP PARIBAS SPONSORED ADR CMN          | Sep 22 2011                    | May 21 2012             | 44.00    | 734.78        | 689.92     | 0.00           | 44.86              | 44.86             | ST                |
|  | Oct 24 2011                    | May 21 2012             | 23.00    | 384.09        | 510.14     | 0.00           | (126.05)           | (126.05)          | ST                |
|  | Nov 22 2011                    | May 21 2012             | 24.00    | 400.79        | 415.20     | 0.00           | (14.41)            | (14.41)           | ST                |
|  | Dec 22 2011                    | May 21 2012             | 24.00    | 400.79        | 472.80     | 0.00           | (72.01)            | (72.01)           | ST                |
|  | Jan 20 2012                    | May 21 2012             | 22.00    | 367.39        | 505.34     | 0.00           | (137.95)           | (137.95)          | ST                |
| TAIWAN SEMICONDUCTOR MFG ADS LTD ADS   | Sep 22 2011                    | Jun 04 2012             | 88.00    | 1,143.02      | 1,015.52   | 0.00           | 127.50             | 127.50            | ST                |
| TADS EQUALS 5ORDS                      | Sep 22 2011                    | Jun 07 2012             | 20.00    | 458.21        | 345.00     | 0.00           | 113.21             | 113.21            | ST                |
| CGI GROUP INC CMN CLASS A              | Sep 22 2011                    | Jun 08 2012             | 14.00    | 317.12        | 241.50     | 0.00           | 75.62              | 75.62             | ST                |
|  | Sep 22 2011                    | Jun 11 2012             | 10.00    | 227.15        | 172.50     | 0.00           | 54.65              | 54.65             | ST                |
|  | Oct 24 2011                    | Jun 12 2012             | 10.00    | 226.58        | 201.60     | 0.00           | 24.98              | 24.98             | ST                |
|  | Oct 24 2011                    | Jun 13 2012             | 13.00    | 295.68        | 262.08     | 0.00           | 33.60              | 33.60             | ST                |
|  | Nov 22 2011                    | Jun 13 2012             | 3.00     | 68.24         | 54.53      | 0.00           | 13.71              | 13.71             | ST                |
|  | Nov 22 2011                    | Jun 14 2012             | 1.00     | 22.62         | 18.18      | 0.00           | 4.44               | 4.44              | ST                |
| DANONE SPONSORED ADR CMN               | Sep 22 2011                    | Jun 20 2012             | 6.00     | 72.66         | 69.60      | 0.00           | 3.06               | 3.06              | ST                |
| BHP BILLITON LIMITED SPONSORED ADR CMN | Sep 22 2011                    | Jun 21 2012             | 12.00    | 780.74        | 810.24     | 0.00           | (29.50)            | (29.50)           | ST                |
| DANONE SPONSORED ADR CMN               | Sep 22 2011                    | Jun 21 2012             | 110.00   | 1,302.79      | 1,276.00   | 0.00           | 26.79              | 26.79             | ST                |
|  | Sep 22 2011                    | Jul 02 2012             | 15.00    | 185.73        | 174.00     | 0.00           | 11.73              | 11.73             | ST                |



Statement Detail

**SCHLUMBERGER INVESCO: NON-US EQ**  
Realized Gains and Losses (Continued)

Period Ended December 31, 2012

|   | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity | Sale Proceeds | Cost Basis | FX Gain (Loss) | Market Gain (Loss) | Total Gain (Loss) | Holding<br>Period |
|---|--------------------------------|-------------------------|----------|---------------|------------|----------------|--------------------|-------------------|-------------------|
|   | Sep 22 2011                    | Jul 03 2012             | 13.00    | 160.55        | 150.80     | 0.00           | 9.75               | 9.75              | ST                |
|   | Oct 24 2011                    | Jul 03 2012             | 72.00    | 889.20        | 964.08     | 0.00           | (74.88)            | (74.88)           | ST                |
|   | Nov 22 2011                    | Jul 03 2012             | 7.00     | 86.45         | 88.90      | 0.00           | (2.45)             | (2.45)            | ST                |
| TRANSCANADA CORP CMN                    | Sep 22 2011                    | Jul 17 2012             | 21.00    | 906.49        | 833.70     | 0.00           | 72.79              | 72.79             | ST                |
| BUNZL PLC SPONSORED ADR CMN             | Sep 22 2011                    | Jul 18 2012             | 17.00    | 1,442.78      | 1,022.38   | 0.00           | 420.40             | 420.40            | ST                |
| CANON INC ADR CMN                       | Sep 22 2011                    | Jul 18 2012             | 31.00    | 1,142.98      | 1,329.28   | 0.00           | (186.30)           | (186.30)          | ST                |
| INTERNATIONAL POWER PLC SPONSORED ADR   | Sep 22 2011                    | Jul 25 2012             | 18.00    | 1,171.04      | 872.82     | 0.00           | 298.22             | 298.22            | ST                |
| CMN                                     | Oct 24 2011                    | Jul 25 2012             | 10.00    | 650.58        | 526.30     | 0.00           | 124.28             | 124.28            | ST                |
|   | Nov 22 2011                    | Jul 25 2012             | 10.00    | 650.58        | 509.00     | 0.00           | 141.58             | 141.58            | ST                |
|   | Dec 22 2011                    | Jul 25 2012             | 9.00     | 585.52        | 463.50     | 0.00           | 122.02             | 122.02            | ST                |
|   | Jan 20 2012                    | Jul 25 2012             | 10.00    | 650.58        | 498.50     | 0.00           | 152.08             | 152.08            | ST                |
| GLAXOSMITHKLINE PLC SPONSORED ADR CMN   | Nov 02 2011                    | Jul 26 2012             | 20.00    | 897.15        | 866.45     | 0.00           | 30.70              | 30.70             | ST                |
|   | Nov 22 2011                    | Jul 26 2012             | 7.00     | 314.00        | 296.31     | 0.00           | 17.69              | 17.69             | ST                |
|   | Nov 28 2011                    | Jul 26 2012             | 14.00    | 628.01        | 591.21     | 0.00           | 36.80              | 36.80             | ST                |
|   | Dec 22 2011                    | Jul 26 2012             | 11.00    | 493.43        | 499.40     | 0.00           | (5.97)             | (5.97)            | ST                |
|   | Jan 20 2012                    | Jul 26 2012             | 10.00    | 448.58        | 447.90     | 0.00           | 0.68               | 0.68              | ST                |
| COCA-COLA AMATIL LIMITED SPONSORED ADR  | Sep 22 2011                    | Jul 30 2012             | 6.00     | 174.22        | 138.42     | 0.00           | 35.80              | 35.80             | ST                |
| CMN                                     | Sep 22 2011                    | Jul 31 2012             | 17.00    | 493.15        | 392.19     | 0.00           | 100.96             | 100.96            | ST                |
| TOTAL SA SPONSORED ADR CMN              | Sep 22 2011                    | Jul 31 2012             | 20.00    | 924.47        | 825.20     | 0.00           | 99.27              | 99.27             | ST                |
|   | Oct 24 2011                    | Jul 31 2012             | 10.00    | 462.23        | 528.60     | 0.00           | (66.37)            | (66.37)           | ST                |
|   | Nov 22 2011                    | Jul 31 2012             | 11.00    | 508.46        | 534.44     | 0.00           | (25.98)            | (25.98)           | ST                |
|   | Dec 22 2011                    | Jul 31 2012             | 10.00    | 462.23        | 494.20     | 0.00           | (31.97)            | (31.97)           | ST                |
|   | Jan 20 2012                    | Jul 31 2012             | 10.00    | 462.23        | 515.30     | 0.00           | (53.07)            | (53.07)           | ST                |
| AMERICA MOVIL SAB DE CV SPONSORED ADR   | Sep 22 2011                    | Aug 01 2012             | 42.00    | 1,122.37      | 897.12     | 0.00           | 225.25             | 225.25            | ST                |
| CMN SERIES L                            | Sep 22 2011                    | Aug 01 2012             | 17.00    | 489.84        | 392.19     | 0.00           | 97.65              | 97.65             | ST                |
| COCA-COLA AMATIL LIMITED SPONSORED ADR  | Oct 24 2011                    | Aug 06 2012             | 9.00     | 776.94        | 622.26     | 0.00           | 154.68             | 154.68            | ST                |
| FOMENTO ECONOMICO MEXICANO SAB DE C.V.  | Nov 22 2011                    | Aug 07 2012             | 32.00    | 488.05        | 584.42     | 0.00           | (96.37)            | (96.37)           | ST                |
| NEW SPONS ADR REPSGT UNIT 1 SER B SH    | Nov 22 2011                    | Aug 08 2012             | 16.00    | 245.56        | 292.21     | 0.00           | (46.65)            | (46.65)           | ST                |
| FOMENTO ECONOMICO MEXICANO              | Dec 22 2011                    | Aug 08 2012             | 26.00    | 399.03        | 473.20     | 0.00           | (74.17)            | (74.17)           | ST                |
| TESCO PLC (SPONSORED) ADR SPONSORED ADR | Sep 22 2011                    | Aug 09 2012             | 61.00    | 878.72        | 703.94     | 0.00           | 174.78             | 174.78            | ST                |
| CMN                                     | Oct 24 2011                    | Aug 09 2012             | 3.00     | 43.22         | 37.29      | 0.00           | 5.93               | 5.93              | ST                |
| TAIWAN SEMICONDUCTOR MFG ADS LTD ADS    |                                |                         |          |               |            |                |                    |                   |                   |
| 1ADS EQUALS 50RDS                       |                                |                         |          |               |            |                |                    |                   |                   |

**SCHLUMBERGER INVESCO: NON-US EQ**  
Realized Gains and Losses (Continued)

Period Ended December 31, 2012

|   | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity | Sale Proceeds | Cost Basis | FX Gain (Loss) | Market Gain (Loss) | Total Gain (Loss) | Holding<br>Period |
|---|--------------------------------|-------------------------|----------|---------------|------------|----------------|--------------------|-------------------|-------------------|
| TESCO PLC (SPONSORED) ADR SPONSORED ADR<br>CMN                    | Dec 22 2011                    | Aug 09 2012             | 21.00    | 323.27        | 382.20     | 0.00           | (58.93)            | (58.93)           | ST                |
| NOVO-NORDISK A/S ADR ADR CMN                                      | Sep 22 2011                    | Aug 13 2012             | 3.00     | 465.91        | 291.78     | 0.00           | 174.13             | 174.13            | ST                |
|   | Oct 24 2011                    | Aug 13 2012             | 3.00     | 465.91        | 299.97     | 0.00           | 165.94             | 165.94            | ST                |
| DANONE SPONSORED ADR CMN  | Nov 22 2011                    | Aug 14 2012             | 24.00    | 286.79        | 304.80     | 0.00           | (18.01)            | (18.01)           | ST                |
|   | Nov 22 2011                    | Aug 15 2012             | 47.00    | 562.45        | 596.90     | 0.00           | (34.45)            | (34.45)           | ST                |
|   | Dec 22 2011                    | Aug 15 2012             | 77.00    | 921.47        | 947.10     | 0.00           | (25.63)            | (25.63)           | ST                |
|   | Jan 20 2012                    | Aug 15 2012             | 73.00    | 873.60        | 904.47     | 0.00           | (30.87)            | (30.87)           | ST                |
|   | Feb 22 2012                    | Aug 15 2012             | 17.00    | 203.44        | 226.78     | 0.00           | (23.34)            | (23.34)           | ST                |
| VIMPELCOM LIMITED SPONSORED ADR CMN                               | Sep 22 2011                    | Aug 17 2012             | 58.00    | 614.66        | 552.74     | 0.00           | 61.92              | 61.92             | ST                |
|   | Oct 24 2011                    | Aug 17 2012             | 29.00    | 307.33        | 319.87     | 0.00           | (12.54)            | (12.54)           | ST                |
|   | Nov 22 2011                    | Aug 17 2012             | 32.00    | 339.12        | 357.44     | 0.00           | (18.32)            | (18.32)           | ST                |
|   | Dec 22 2011                    | Aug 17 2012             | 30.00    | 317.93        | 286.80     | 0.00           | 31.13              | 31.13             | ST                |
|   | Jan 20 2012                    | Aug 17 2012             | 30.00    | 317.93        | 307.50     | 0.00           | 10.43              | 10.43             | ST                |
| KONINKLIJKE AHOLD N.V. SPONSORED ADR<br>CMN                       | Sep 22 2011                    | Aug 30 2012             | 5.00     | 61.87         | 55.00      | 0.00           | 6.87               | 6.87              | ST                |
|   | Oct 24 2011                    | Aug 30 2012             | 15.00    | 185.62        | 196.20     | 0.00           | (10.58)            | (10.58)           | ST                |
|   | Oct 24 2011                    | Aug 31 2012             | 30.00    | 370.65        | 392.40     | 0.00           | (21.75)            | (21.75)           | ST                |
|   | Nov 22 2011                    | Aug 31 2012             | 48.00    | 593.04        | 592.32     | 0.00           | 0.72               | 0.72              | ST                |
|   | Dec 22 2011                    | Aug 31 2012             | 2.00     | 24.71         | 25.84      | 0.00           | (1.13)             | (1.13)            | ST                |
| KINGFISHER PLC SPONSORED ADR CMN                                  | Sep 22 2011                    | Sep 04 2012             | 191.00   | 1,648.39      | 1,428.68   | 0.00           | 219.71             | 219.71            | ST                |
|   | Oct 24 2011                    | Sep 04 2012             | 17.00    | 146.72        | 145.69     | 0.00           | 1.03               | 1.03              | ST                |
| SWEDBANK A B ADR CMN  | Sep 22 2011                    | Sep 05 2012             | 52.00    | 874.31        | 523.64     | 0.00           | 350.67             | 350.67            | ST                |
| CANON INC ADR ADR CMN   | Sep 22 2011                    | Oct 10 2012             | 22.00    | 658.63        | 943.36     | 0.00           | (284.73)           | (284.73)          | LT                |
|   | Oct 24 2011                    | Oct 10 2012             | 13.00    | 389.19        | 609.05     | 0.00           | (219.86)           | (219.86)          | ST                |
| KONINKLIJKE AHOLD N.V. SPONSORED ADR<br>CMN                       | Dec 22 2011                    | Oct 17 2012             | 45.00    | 561.29        | 581.40     | 0.00           | (20.11)            | (20.11)           | ST                |
|   | Jan 20 2012                    | Oct 17 2012             | 46.00    | 573.77        | 614.10     | 0.00           | (40.33)            | (40.33)           | ST                |
| FOMENTO ECONOMICO MEXICANO SAB DE C.V.                            | Oct 24 2011                    | Oct 18 2012             | 3.00     | 288.82        | 207.42     | 0.00           | 81.40              | 81.40             | ST                |
| NEW SPONS ADR REPTG UNIT 1 SER B SH<br>FOMENTO ECONOMICO MEXICANO | Nov 22 2011                    | Oct 18 2012             | 9.00     | 866.44        | 565.57     | 0.00           | 300.87             | 300.87            | ST                |
| CANON INC ADR ADR CMN   | Oct 24 2011                    | Nov 01 2012             | 14.00    | 454.99        | 655.90     | 0.00           | (200.91)           | (200.91)          | LT                |



Statement Detail

**SCHLUMBERGER INVESCO: NON-US EQ**  
Realized Gains and Losses (Continued)

Period Ended December 31, 2012

|  | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity | Sale Proceeds    | Cost Basis       | FX Gain (Loss) | Market Gain (Loss) | Total Gain (Loss) | Holding<br>Period |
|--|--------------------------------|-------------------------|----------|------------------|------------------|----------------|--------------------|-------------------|-------------------|
| WORLEYPARSONS LIMITED UNSPONSORED<br>ADR CMN | Nov 22 2011                    | Nov 01 2012             | 15.00    | 487.49           | 635.67           | 0.00           | (148.18)           | (148.18)          | ST                |
|  | Sep 22 2011                    | Nov 01 2012             | 24.00    | 609.58           | 588.96           | 0.00           | 20.62              | 20.62             | LT                |
| DENSO CORP ADR ADR CMN                       | Sep 22 2011                    | Nov 06 2012             | 63.00    | 978.83           | 985.32           | 0.00           | (6.49)             | (6.49)            | LT                |
| YAMADA DENKI CO., LTD CMN                    | Sep 22 2011                    | Nov 27 2012             | 14.00    | 494.64           | 1,000.30         | 0.00           | (505.66)           | (505.66)          | LT                |
|  | Oct 24 2011                    | Nov 27 2012             | 8.00     | 282.65           | 579.60           | 0.00           | (296.95)           | (296.95)          | LT                |
|  | Nov 22 2011                    | Nov 27 2012             | 6.00     | 211.99           | 434.10           | 0.00           | (222.11)           | (222.11)          | LT                |
|  | Nov 22 2011                    | Nov 28 2012             | 2.00     | 70.24            | 144.70           | 0.00           | (74.46)            | (74.46)           | LT                |
|  | Dec 22 2011                    | Nov 28 2012             | 7.00     | 245.83           | 485.03           | 0.00           | (239.20)           | (239.20)          | ST                |
|  | Jan 20 2012                    | Nov 28 2012             | 8.00     | 280.95           | 511.44           | 0.00           | (230.49)           | (230.49)          | ST                |
| NIDEC CORP SPONSORED ADR                     | Sep 22 2011                    | Dec 20 2012             | 22.00    | 312.91           | 433.18           | 0.00           | (120.27)           | (120.27)          | LT                |
| CANON INC ADR ADR CMN                        | Nov 22 2011                    | Dec 21 2012             | 13.00    | 509.86           | 550.91           | 0.00           | (41.05)            | (41.05)           | LT                |
|  | Dec 22 2011                    | Dec 21 2012             | 22.00    | 862.85           | 988.00           | 0.00           | (105.15)           | (105.15)          | ST                |
| DENSO CORP ADR ADR CMN                       | Sep 22 2011                    | Dec 21 2012             | 24.00    | 406.79           | 375.36           | 0.00           | 31.43              | 31.43             | LT                |
| NIDEC CORP SPONSORED ADR                     | Sep 22 2011                    | Dec 21 2012             | 34.00    | 486.73           | 669.46           | 0.00           | (182.73)           | (182.73)          | LT                |
|  | Oct 24 2011                    | Dec 21 2012             | 28.00    | 400.84           | 582.24           | 0.00           | (161.40)           | (161.40)          | LT                |
|  | Nov 22 2011                    | Dec 21 2012             | 30.00    | 429.47           | 648.79           | 0.00           | (219.32)           | (219.32)          | LT                |
|  | Dec 22 2011                    | Dec 21 2012             | 30.00    | 429.47           | 634.80           | 0.00           | (205.33)           | (205.33)          | ST                |
|  | Jan 20 2012                    | Dec 21 2012             | 28.00    | 400.84           | 665.59           | 0.00           | (264.75)           | (264.75)          | ST                |
|  | Aug 10 2012                    | Dec 21 2012             | 5.00     | 71.58            | 104.08           | 0.00           | (32.50)            | (32.50)           | ST                |
|  | Aug 13 2012                    | Dec 21 2012             | 50.00    | 715.78           | 1,051.34         | 0.00           | (335.56)           | (335.56)          | ST                |
| DENSO CORP ADR ADR CMN                       | Sep 22 2011                    | Dec 24 2012             | 12.00    | 201.91           | 187.68           | 0.00           | 14.23              | 14.23             | LT                |
|  | Oct 24 2011                    | Dec 24 2012             | 25.00    | 420.65           | 395.25           | 0.00           | 25.40              | 25.40             | LT                |
| <b>Sale Proceeds</b>                         |                                |                         |          |                  |                  |                |                    |                   |                   |
| SHORT TERM GAINS                             |                                |                         |          | 40,804.44        | 34,292.92        | 0.00           | 6,511.52           | 6,511.52          |                   |
| SHORT TERM LOSSES                            |                                |                         |          | 24,188.09        | 27,866.26        | 0.00           | (3,678.17)         | (3,678.17)        |                   |
| SHORT TERM DISALLOWED LOSSES                 |                                |                         |          |                  |                  |                |                    | 64.16             |                   |
| <b>NET SHORT TERM GAINS (LOSSES)</b>         |                                |                         |          | <b>64,992.53</b> | <b>62,159.18</b> | <b>0.00</b>    | <b>2,833.35</b>    | <b>2,897.51</b>   |                   |
| LONG TERM GAINS                              |                                |                         |          | 1,638.93         | 1,547.25         | 0.00           | 91.68              | 91.68             |                   |
| LONG TERM LOSSES                             |                                |                         |          | 5,291.78         | 7,607.86         | 0.00           | (2,316.08)         | (2,316.08)        |                   |
| <b>NET LONG TERM GAINS (LOSSES)</b>          |                                |                         |          | <b>6,930.71</b>  | <b>9,155.11</b>  | <b>0.00</b>    | <b>(2,224.40)</b>  | <b>(2,224.40)</b> |                   |



Statement Detail

SCHLUMBERGER DELAWARE: NON US EQUITY  
Realized Gains and Losses

Period Ended December 31, 2012

YEAR TO DATE GAINS AND LOSSES

This information is for general guidance and should not be used for tax purposes. You should use only your official form 1099's or other tax records for tax reporting. This information is not, and should not be construed as, tax, accounting or legal advice. Please consult with your tax advisor regarding the tax consequences of your investment decisions. The cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account were provided by you or a third party and Goldman Sachs makes no representation as to the accuracy of such information.

|   | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity | Sale Proceeds | Cost Basis | FX Gain (Loss) | Market Gain (Loss) | Total Gain (Loss) | Holding<br>Period |
|---|--------------------------------|-------------------------|----------|---------------|------------|----------------|--------------------|-------------------|-------------------|
| TELSTRA CORP ADR (FINAL) SPONSORED ADR<br>CMN             | Sep 21 2011                    | Jan 12 2012             | 70.00    | 1,181.14      | 1,089.90   | 0.00           | 91.24              | 91.24             | ST                |
| IBERDROLA, SOCIEDAD ANONIMA SPONSORED<br>ADR CMN          | Jan 30 2012                    | Jan 30 2012             | 0.81     | 19.85         | 0.00       | 0.00           | 19.85              | 19.85             | ST                |
| KAO CORP SPONSORED ADR CMN                                | Sep 21 2011                    | Jan 30 2012             | 70.00    | 1,935.05      | 1,887.20   | 0.00           | 47.85              | 47.85             | ST                |
| ROYAL DUTCH SHELL PLC SPONSORED ADR<br>CMN SERIES A       | Sep 21 2011                    | Feb 01 2012             | 25.00    | 1,805.75      | 1,617.50   | 0.00           | 188.25             | 188.25            | ST                |
| ENI S.P.A SPON ADR SPONSORED ADR CMN                      | Sep 21 2011                    | Mar 01 2012             | 23.00    | 1,073.18      | 812.48     | 0.00           | 260.70             | 260.70            | ST                |
| TELSTRA CORP ADR (FINAL) SPONSORED ADR<br>CMN             | Sep 21 2011                    | Mar 15 2012             | 59.00    | 994.91        | 918.63     | 0.00           | 76.28              | 76.28             | ST                |
|   | Sep 21 2011                    | Apr 02 2012             | 25.00    | 427.30        | 447.96     | 0.00           | (20.66)            | (20.66)           | ST                |
|   | Oct 24 2011                    | Apr 02 2012             | 46.00    | 786.24        | 765.90     | 0.00           | 20.34              | 20.34             | ST                |
|   | Nov 22 2011                    | Apr 02 2012             | 45.00    | 789.15        | 701.55     | 0.00           | 67.60              | 67.60             | ST                |
|   | Nov 22 2011                    | Apr 13 2012             | 30.00    | 520.89        | 467.70     | 0.00           | 53.19              | 53.19             | ST                |
|   | Dec 22 2011                    | Apr 13 2012             | 72.00    | 1,250.14      | 1,206.00   | 0.00           | 44.14              | 44.14             | ST                |
|   | Jan 20 2012                    | Apr 13 2012             | 57.00    | 989.70        | 991.80     | 0.00           | (2.10)             | (2.10)            | ST                |
| ROYAL DUTCH SHELL PLC SPONSORED ADR<br>CMN SERIES A       | Sep 21 2011                    | Apr 26 2012             | 16.00    | 1,133.20      | 1,035.20   | 0.00           | 98.00              | 98.00             | ST                |
| UNILEVER PLC (NEW) SPONSORED ADR CMN                      | Sep 21 2011                    | Apr 26 2012             | 79.00    | 2,735.78      | 2,450.58   | 0.00           | 285.20             | 285.20            | ST                |
| SEVEN & I HOLDINGS CO., LTD. UNSPONSORED<br>ADR CMN       | Sep 21 2011                    | Apr 27 2012             | 44.00    | 2,635.57      | 2,527.80   | 0.00           | 107.77             | 107.77            | ST                |
| TAIWAN SEMICONDUCTOR MFG ADS LTD ADS<br>1ADS EQUALS 50RDS | Sep 21 2011                    | May 01 2012             | 153.00   | 2,388.61      | 1,863.54   | 0.00           | 525.07             | 525.07            | ST                |
| BANCO SANTANDER, S.A. SPON ADR                            | May 09 2012                    | May 09 2012             | 0.25     | 1.45          | 0.00       | 0.00           | 1.45               | 1.45              | ST                |
| GLAXOSMITHKLINE PLC SPONSORED ADR CMN                     | Sep 21 2011                    | Jun 13 2012             | 54.00    | 2,438.75      | 2,238.30   | 0.00           | 200.45             | 200.45            | ST                |
| TELEFONICA S.A. ADR SPONSORED ADR CMN                     | Jun 15 2012                    | Jun 15 2012             | 0.21     | 2.56          | 0.00       | 0.00           | 2.56               | 2.56              | ST                |
| ASTELLAS PHARMA INC UNSPONSORED ADR<br>CMN                | Sep 21 2011                    | Jul 19 2012             | 26.00    | 1,254.69      | 981.50     | 0.00           | 273.19             | 273.19            | ST                |



Statement Detail

**SCHLUMBERGER DELAWARE: NON US EQUITY**  
Realized Gains and Losses (Continued)

| Period Ended December 31, 2012                |                             |                      |          |                      |                   |                       |                           |                          |                |  |
|---|-----------------------------|----------------------|----------|----------------------|-------------------|-----------------------|---------------------------|--------------------------|----------------|--|
|   | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds        | Cost Basis        | FX Gain (Loss)        | Market Gain (Loss)        | Total Gain (Loss)        | Holding Period |  |
| CARREFOUR SPONSORED ADR CMN                   | Jul 31 2012                 | Jul 31 2012          | 0 80     | 3 07                 | 0 00              | 0 00                  | 3 07                      | 3 07                     | ST             |  |
| IBERDROLA, SOCIEDAD ANONIMA SPONSORED ADR CMN | Aug 01 2012                 | Aug 01 2012          | 0 00     | 17 73                | 0 00              | 0 00                  | 17 73                     | 17 73                    | ST             |  |
| ING GROEP N.V. SPONS ADR SPONSORED ADR CMN    | Sep 21 2011                 | Aug 03 2012          | 193 00   | 1,277.84             | 1,322.05          | 0 00                  | (44 21)                   | (44 21)                  | ST             |  |
| BANCO SANTANDER, S A SPON ADR                 | Aug 10 2012                 | Aug 10 2012          | 0 94     | 6 54                 | 0 00              | 0 00                  | 6 54                      | 6 54                     | ST             |  |
| ING GROEP N.V. SPONS ADR SPONSORED ADR CMN    | Sep 21 2011                 | Aug 21 2012          | 6 00     | 44 48                | 41 10             | 0 00                  | 3 38                      | 3 38                     | ST             |  |
|   | Oct 24 2011                 | Aug 21 2012          | 107 00   | 793 24               | 961 93            | 0 00                  | (168 69)                  | (168 69)                 | ST             |  |
|   | Nov 22 2011                 | Aug 21 2012          | 115 00   | 852 54               | 765 90            | 0 00                  | 86 64                     | 86 64                    | ST             |  |
|   | Dec 22 2011                 | Aug 21 2012          | 111 00   | 822 89               | 809 19            | 0 00                  | 13 70                     | 13 70                    | ST             |  |
|   | Jan 20 2012                 | Aug 21 2012          | 111 00   | 822 89               | 1,000.67          | 0 00                  | (177.78)                  | (177.78)                 | ST             |  |
| TAIWAN SEMICONDUCTOR MFG ADS LTD ADS          | Sep 21 2011                 | Dec 17 2012          | 65 00    | 1,097.27             | 791 70            | 0 00                  | 305.57                    | 305.57                   | LT             |  |
| 1ADS EQUALS 5ORDS                             | Oct 24 2011                 | Dec 17 2012          | 52 00    | 877.82               | 644.28            | 0 00                  | 233 54                    | 233 54                   | LT             |  |
|   |                             |                      |          | <b>Sale Proceeds</b> | <b>Cost Basis</b> | <b>FX Gain (Loss)</b> | <b>Market Gain (Loss)</b> | <b>Total Gain (Loss)</b> |                |  |
| SHORT TERM GAINS                              |                             |                      |          | 24,674.16            | 22,179.97         | 0.00                  | 2,494.19                  | 2,494.19                 |                |  |
| SHORT TERM LOSSES                             |                             |                      |          | 4,310.97             | 4,724.41          | 0.00                  | (413.44)                  | (413.44)                 |                |  |
| <b>NET SHORT TERM GAINS (LOSSES)</b>          |                             |                      |          | <b>28,985.13</b>     | <b>26,904.38</b>  | <b>0.00</b>           | <b>2,080.75</b>           | <b>2,080.75</b>          |                |  |
| LONG TERM GAINS                               |                             |                      |          | 1,975.09             | 1,435.98          | 0.00                  | 539.11                    | 539.11                   |                |  |
| <b>NET LONG TERM GAINS (LOSSES)</b>           |                             |                      |          | <b>1,975.09</b>      | <b>1,435.98</b>   | <b>0.00</b>           | <b>539.11</b>             | <b>539.11</b>            |                |  |



# SCHLUMBERGER THE LONDON COMPANY: SCC

## Realized Gains and Losses

Period Ended December 31, 2012

### YEAR TO DATE GAINS AND LOSSES

This information is for general guidance and should not be used for tax purposes. You should use only your official form 1099's or other tax records for tax reporting. This information is not, and should not be construed as tax, accounting or legal advice. Please consult with your tax advisor regarding the tax consequences of your investment decisions. The cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account, were provided by you or a third party and Goldman Sachs makes no representation as to the accuracy of such information.

|                                   | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds | Cost Basis | FX Gain (Loss) | Market Gain (Loss) | Total Gain (Loss) | Holding Period |
|-----------------------------------|-----------------------------|----------------------|----------|---------------|------------|----------------|--------------------|-------------------|----------------|
| CABELA'S INCORPORATED CMN CLASS A | Sep 21 2011                 | May 02 2012          | 133.00   | 4,921.72      | 2,954.01   | 0.00           | 1,967.71           | 1,967.71          | ST             |
| STURM, RUGER & COMPANY INC CMN    | Sep 21 2011                 | May 02 2012          | 80.00    | 4,520.08      | 2,472.80   | 0.00           | 2,047.28           | 2,047.28          | ST             |
|                                   | Oct 24 2011                 | May 02 2012          | 14.00    | 791.01        | 406.56     | 0.00           | 384.45             | 384.45            | ST             |
| TREDEGAR CORPORATION CMN          | Sep 21 2011                 | May 02 2012          | 29.00    | 495.20        | 440.80     | 0.00           | 54.40              | 54.40             | ST             |
| CABELA'S INCORPORATED CMN CLASS A | Sep 21 2011                 | May 03 2012          | 33.00    | 1,221.53      | 732.95     | 0.00           | 488.58             | 488.58            | ST             |
| STURM, RUGER & COMPANY INC. CMN   | Oct 24 2011                 | May 03 2012          | 24.00    | 1,323.68      | 696.96     | 0.00           | 626.72             | 626.72            | ST             |
| TREDEGAR CORPORATION CMN          | Sep 21 2011                 | May 03 2012          | 29.00    | 493.93        | 440.80     | 0.00           | 53.13              | 53.13             | ST             |
| CABELA'S INCORPORATED CMN CLASS A | Sep 21 2011                 | May 04 2012          | 33.00    | 1,210.66      | 732.95     | 0.00           | 477.71             | 477.71            | ST             |
| STURM, RUGER & COMPANY INC CMN    | Oct 24 2011                 | May 04 2012          | 17.00    | 903.33        | 493.68     | 0.00           | 409.65             | 409.65            | ST             |
|                                   | Nov 22 2011                 | May 04 2012          | 9.00     | 478.23        | 284.67     | 0.00           | 193.56             | 193.56            | ST             |
| TREDEGAR CORPORATION CMN          | Sep 21 2011                 | May 04 2012          | 27.00    | 426.36        | 410.40     | 0.00           | 15.96              | 15.96             | ST             |
| EATON VANCE CORP (NON-VTG) CMN    | Sep 21 2011                 | Jun 08 2012          | 58.00    | 1,439.82      | 1,339.80   | 0.00           | 100.02             | 100.02            | ST             |
| HASBRO, INC CMN                   | Sep 21 2011                 | Jun 08 2012          | 18.00    | 623.27        | 640.44     | 0.00           | (17.17)            | (17.17)           | ST             |
| KNOLL INC CMN                     | Sep 21 2011                 | Jun 08 2012          | 52.00    | 682.57        | 714.16     | 0.00           | (31.49)            | (31.49)           | ST             |
| OWENS & MINOR INC (NEW) CMN       | Sep 21 2011                 | Jun 08 2012          | 27.00    | 775.86        | 794.34     | 0.00           | (18.48)            | (18.48)           | ST             |
| UDR INC CMN                       | Sep 21 2011                 | Jun 08 2012          | 69.00    | 1,782.20      | 1,714.65   | 0.00           | 67.55              | 67.55             | ST             |
| HASBRO, INC CMN                   | Sep 21 2011                 | Jun 11 2012          | 10.00    | 341.58        | 355.80     | 0.00           | (14.22)            | (14.22)           | ST             |
|                                   | Oct 24 2011                 | Jun 11 2012          | 8.00     | 273.27        | 289.92     | 0.00           | (16.65)            | (16.65)           | ST             |
| KNOLL INC CMN                     | Sep 21 2011                 | Jun 11 2012          | 29.00    | 377.52        | 398.28     | 0.00           | (20.76)            | (20.76)           | ST             |
|                                   | Oct 24 2011                 | Jun 11 2012          | 23.00    | 299.41        | 374.21     | 0.00           | (74.80)            | (74.80)           | ST             |
| OWENS & MINOR INC (NEW) CMN       | Sep 21 2011                 | Jun 11 2012          | 10.00    | 288.52        | 294.20     | 0.00           | (5.68)             | (5.68)            | ST             |
|                                   | Oct 24 2011                 | Jun 11 2012          | 17.00    | 490.48        | 528.87     | 0.00           | (38.39)            | (38.39)           | ST             |
| UDR INC CMN                       | Sep 21 2011                 | Jun 11 2012          | 40.00    | 1,029.46      | 994.00     | 0.00           | 35.46              | 35.46             | ST             |
|                                   | Oct 24 2011                 | Jun 11 2012          | 30.00    | 772.10        | 714.90     | 0.00           | 57.20              | 57.20             | ST             |
| HASBRO, INC. CMN                  | Oct 24 2011                 | Jun 12 2012          | 11.00    | 373.88        | 398.64     | 0.00           | (24.76)            | (24.76)           | ST             |
|                                   | Nov 22 2011                 | Jun 12 2012          | 6.00     | 203.93        | 210.06     | 0.00           | (6.13)             | (6.13)            | ST             |

**SCHLUMBERGER THE LONDON COMPANY: SCC**  
Realized Gains and Losses (Continued)

Period Ended December 31, 2012

|                                      | Date Acquired<br>or Sold Start | Date Sold<br>or Covered | Quantity | Sale Proceeds        | Cost Basis        | FX Gain (Loss)        | Market Gain (Loss)        | Total Gain (Loss)        | Holding<br>Period |
|--------------------------------------|--------------------------------|-------------------------|----------|----------------------|-------------------|-----------------------|---------------------------|--------------------------|-------------------|
| KNOLL INC CMN                        | Oct 24 2011                    | Jun 12 2012             | 33 00    | 422 03               | 536 91            | 0 00                  | (114 88)                  | (114 88)                 | ST                |
|                                      | Nov 22 2011                    | Jun 12 2012             | 20 00    | 255 78               | 278 60            | 0 00                  | (22 82)                   | (22 82)                  | ST                |
| OWENS & MINOR INC (NEW) CMN          | Oct 24 2011                    | Jun 12 2012             | 28 00    | 806 59               | 871 08            | 0 00                  | (64 49)                   | (64 49)                  | ST                |
| UDR INC CMN                          | Oct 24 2011                    | Jun 12 2012             | 45 00    | 1,148 63             | 1,072 35          | 0 00                  | 76 28                     | 76 28                    | ST                |
|                                      | Nov 22 2011                    | Jun 12 2012             | 23 00    | 587 08               | 529 23            | 0 00                  | 57 85                     | 57 85                    | ST                |
| HASBRO, INC CMN                      | Nov 22 2011                    | Jun 13 2012             | 15 00    | 511 42               | 525 15            | 0 00                  | (13 73)                   | (13 73)                  | ST                |
|                                      | Dec 22 2011                    | Jun 13 2012             | 3 00     | 102 28               | 97 02             | 0 00                  | 5 26                      | 5 26                     | ST                |
| KNOLL INC CMN                        | Nov 22 2011                    | Jun 13 2012             | 43 00    | 534 14               | 598 99            | 0 00                  | (64 85)                   | (64 85)                  | ST                |
|                                      | Dec 22 2011                    | Jun 13 2012             | 8 00     | 99 38                | 120 00            | 0 00                  | (20 62)                   | (20 62)                  | ST                |
| OWENS & MINOR INC (NEW) CMN          | Oct 24 2011                    | Jun 13 2012             | 2 00     | 57 75                | 62 22             | 0 00                  | (4 47)                    | (4 47)                   | ST                |
|                                      | Nov 22 2011                    | Jun 13 2012             | 22 00    | 635 25               | 634 04            | 0 00                  | 1 21                      | 1 21                     | ST                |
|                                      | Dec 22 2011                    | Jun 13 2012             | 3 00     | 86 63                | 84 00             | 0 00                  | 2 63                      | 2 63                     | ST                |
| UDR INC CMN                          | Nov 22 2011                    | Jun 13 2012             | 61 00    | 1,561 91             | 1,403 61          | 0 00                  | 158 30                    | 158 30                   | ST                |
|                                      | Dec 22 2011                    | Jun 13 2012             | 8 00     | 204 84               | 201 52            | 0 00                  | 3 32                      | 3 32                     | ST                |
| HASBRO, INC CMN                      | Dec 22 2011                    | Jun 27 2012             | 16 00    | 521 83               | 517 44            | 0 00                  | 4 39                      | 4 39                     | ST                |
| KNOLL INC CMN                        | Dec 22 2011                    | Jun 27 2012             | 47 00    | 581 02               | 705 00            | 0 00                  | (123 98)                  | (123 98)                 | ST                |
|                                      | Jan 20 2012                    | Jun 27 2012             | 3 00     | 37 09                | 46 80             | 0 00                  | (9 71)                    | (9 71)                   | ST                |
| OWENS & MINOR INC (NEW) CMN          | Dec 22 2011                    | Jun 27 2012             | 27 00    | 802 56               | 756 00            | 0 00                  | 46 56                     | 46 56                    | ST                |
| UDR INC CMN                          | Dec 22 2011                    | Jun 27 2012             | 65 00    | 1,619 86             | 1,637 35          | 0 00                  | (17 49)                   | (17 49)                  | ST                |
|                                      | Jan 20 2012                    | Jun 27 2012             | 5 00     | 124 61               | 122 20            | 0 00                  | 2 41                      | 2 41                     | ST                |
| HASBRO, INC. CMN                     | Jan 20 2012                    | Jun 28 2012             | 18 00    | 580 68               | 569 86            | 0 00                  | (9 18)                    | (9 18)                   | ST                |
| KNOLL INC CMN                        | Jan 20 2012                    | Jun 28 2012             | 52 00    | 644 74               | 811 20            | 0 00                  | (166 46)                  | (166 46)                 | ST                |
| OWENS & MINOR INC (NEW) CMN          | Jan 20 2012                    | Jun 28 2012             | 29 00    | 850 90               | 861 88            | 0 00                  | (10 98)                   | (10 98)                  | ST                |
| UDR INC CMN                          | Jan 20 2012                    | Jun 28 2012             | 68 00    | 1,687 33             | 1,661 92          | 0 00                  | 25 41                     | 25 41                    | ST                |
| OLD DOMINION FIGHT LINES INC CMN     | Sep 21 2011                    | Sep 10 2012             | 0 50     | 14 89                | 0 00              | 0 00                  | 14 89                     | 14 89                    | ST                |
| TENET HEALTHCARE CORP CMN            | Sep 21 2011                    | Oct 11 2012             | 0 75     | 19 73                | 0 00              | 0 00                  | 19 73                     | 19 73                    | LT                |
|                                      |                                |                         |          | <b>Sale Proceeds</b> | <b>Cost Basis</b> | <b>FX Gain (Loss)</b> | <b>Market Gain (Loss)</b> | <b>Total Gain (Loss)</b> |                   |
| SHORT TERM GAINS                     |                                |                         |          |                      | 29,287.15         | 21,909.26             | 0.00                      | 7,377.89                 | 7,377.89          |
| SHORT TERM LOSSES                    |                                |                         |          |                      | 11,731.77         | 12,643.96             | 0.00                      | (912.19)                 | (912.19)          |
| <b>NET SHORT TERM GAINS (LOSSES)</b> |                                |                         |          |                      | <b>41,018.92</b>  | <b>34,553.22</b>      | <b>0.00</b>               | <b>6,465.70</b>          | <b>6,465.70</b>   |
| LONG TERM GAINS                      |                                |                         |          |                      | 19.73             | 0.00                  | 0.00                      | 19.73                    | 19.73             |
| <b>NET LONG TERM GAINS (LOSSES)</b>  |                                |                         |          |                      | <b>19.73</b>      | <b>0.00</b>           | <b>0.00</b>               | <b>19.73</b>             | <b>19.73</b>      |



**SCHLUMBERGER INSTITUTIONAL CAPITAL: LCV**  
Realized Gains and Losses

Period Ended December 31, 2012

**YEAR TO DATE GAINS AND LOSSES**

This information is for general guidance and should not be used for tax purposes. You should use only your official form 1099's or other tax records for tax reporting. This information is not, and should not be construed as tax, accounting or legal advice. Please consult with your tax advisor regarding the tax consequences of your investment decisions. The cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account were provided by you or a third party and Goldman Sachs makes no representation as to the accuracy of such information.

|  | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity | Sale Proceeds | Cost Basis | FX Gain (Loss) | Market Gain (Loss) | Total Gain (Loss) | Holding<br>Period |
|--|--------------------------------|-------------------------|----------|---------------|------------|----------------|--------------------|-------------------|-------------------|
| JOHNSON & JOHNSON CMN                            | Sep 21 2011                    | Jan 03 2012             | 11.00    | 724.22        | 704.00     | 0.00           | 20.22              | 20.22             | ST                |
| MARATHON OIL CORPORATION CMN                     | Sep 21 2011                    | Jan 03 2012             | 16.00    | 488.98        | 390.08     | 0.00           | 98.90              | 98.90             | ST                |
| BCE INC CMN                                      | Nov 22 2011                    | Jan 04 2012             | 18.00    | 744.43        | 689.94     | 0.00           | 54.49              | 54.49             | ST                |
| COCA-COLA COMPANY (THE) CMN                      | Sep 21 2011                    | Jan 04 2012             | 6.00     | 417.82        | 423.36     | 0.00           | (5.54)             | (5.54)            | ST                |
| COCA-COLA COMPANY (THE) CMN DISALLOWED<br>LOSSES |                                |                         |          |               |            |                |                    | 5.54              |                   |
| EXXON MOBIL CORPORATION CMN                      | Sep 21 2011                    | Jan 04 2012             | 9.00     | 770.00        | 662.67     | 0.00           | 107.33             | 107.33            | ST                |
| JOHNSON & JOHNSON CMN                            | Sep 21 2011                    | Jan 04 2012             | 2.00     | 130.62        | 132.35     | 0.00           | (1.73)             | (1.73)            | ST                |
| JOHNSON & JOHNSON CMN DISALLOWED<br>LOSSES       |                                |                         |          |               |            |                |                    | 5.25              |                   |
| JOHNSON & JOHNSON CMN DISALLOWED<br>LOSSES       |                                |                         |          |               |            |                |                    | 1.73              |                   |
| MERCK & CO., INC. CMN                            | Sep 21 2011                    | Jan 04 2012             | 16.00    | 609.38        | 517.60     | 0.00           | 91.78              | 91.78             | ST                |
| JOHNSON & JOHNSON CMN                            | Sep 21 2011                    | Jan 06 2012             | 2.00     | 129.55        | 132.05     | 0.00           | (2.50)             | (2.50)            | ST                |
| JOHNSON & JOHNSON CMN DISALLOWED<br>LOSSES       |                                |                         |          |               |            |                |                    | (2.27)            |                   |
| JOHNSON & JOHNSON CMN DISALLOWED<br>LOSSES       |                                |                         |          |               |            |                |                    | 1.25              |                   |
| PEPSICO INC CMN                                  | Sep 21 2011                    | Jan 06 2012             | 5.00     | 326.74        | 308.90     | 0.00           | 17.84              | 17.84             | ST                |
| MERCK & CO., INC. CMN                            | Sep 21 2011                    | Jan 09 2012             | 17.00    | 647.53        | 549.95     | 0.00           | 97.58              | 97.58             | ST                |
| PEPSICO INC CMN                                  | Sep 21 2011                    | Jan 10 2012             | 10.00    | 657.14        | 617.80     | 0.00           | 39.34              | 39.34             | ST                |
| PEPSICO INC CMN                                  | Sep 21 2011                    | Jan 11 2012             | 2.00     | 129.58        | 125.61     | 0.00           | 3.97               | 3.97              | ST                |
| PEPSICO INC CMN                                  | Sep 21 2011                    | Jan 11 2012             | 16.00    | 1,028.78      | 988.48     | 0.00           | 40.30              | 40.30             | ST                |
| PEPSICO INC CMN                                  | Sep 21 2011                    | Jan 11 2012             | 1.00     | 64.79         | 61.78      | 0.00           | 3.01               | 3.01              | ST                |
| PEPSICO INC CMN                                  | Oct 24 2011                    | Jan 11 2012             | 7.00     | 453.54        | 433.93     | 0.00           | 19.61              | 19.61             | ST                |
| PEPSICO INC CMN                                  | Oct 24 2011                    | Jan 12 2012             | 9.00     | 581.20        | 557.91     | 0.00           | 23.29              | 23.29             | ST                |
| PEPSICO INC CMN                                  | Nov 22 2011                    | Jan 12 2012             | 9.00     | 581.20        | 568.98     | 0.00           | 12.22              | 12.22             | ST                |
| COCA-COLA COMPANY (THE) CMN                      | Sep 21 2011                    | Jan 13 2012             | 9.00     | 600.50        | 635.04     | 0.00           | (34.54)            | (34.54)           | ST                |
| COCA-COLA COMPANY (THE) CMN DISALLOWED<br>LOSSES |                                |                         |          |               |            |                |                    | 34.54             |                   |
| PEPSICO INC CMN                                  | Nov 22 2011                    | Jan 13 2012             | 11.00    | 709.38        | 695.42     | 0.00           | 13.96              | 13.96             | ST                |
| PEPSICO INC CMN                                  | Dec 22 2011                    | Jan 13 2012             | 4.00     | 257.95        | 264.00     | 0.00           | (6.05)             | (6.05)            | ST                |



Statement Detail

**SCHLUMBERGER INSTITUTIONAL CAPITAL: LCV**  
Realized Gains and Losses (Continued)

Period Ended December 31, 2012

|  | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity | Sale Proceeds | Cost Basis | FX Gain (Loss) | Market Gain (Loss) | Total Gain (Loss) | Holding<br>Period |
|--|--------------------------------|-------------------------|----------|---------------|------------|----------------|--------------------|-------------------|-------------------|
| SANOFI SPONSORED ADR CMN                         | Sep 21 2011                    | Jan 13 2012             | 25.00    | 874.93        | 827.50     | 0.00           | 47.43              | 47.43             | ST                |
| MARATHON OIL CORPORATION CMN                     | Sep 21 2011                    | Jan 17 2012             | 23.00    | 713.54        | 560.74     | 0.00           | 152.80             | 152.80            | ST                |
| PEPSICO INC CMN                                  | Dec 22 2011                    | Jan 17 2012             | 15.00    | 975.73        | 990.00     | 0.00           | (14.27)            | (14.27)           | ST                |
| SANOFI SPONSORED ADR CMN                         | Sep 21 2011                    | Jan 17 2012             | 9.00     | 322.85        | 297.90     | 0.00           | 24.95              | 24.95             | ST                |
| EXXON MOBIL CORPORATION CMN                      | Sep 21 2011                    | Jan 18 2012             | 7.00     | 604.71        | 515.41     | 0.00           | 89.30              | 89.30             | ST                |
| MARATHON OIL CORPORATION CMN                     | Sep 21 2011                    | Jan 18 2012             | 4.00     | 125.22        | 97.52      | 0.00           | 27.70              | 27.70             | ST                |
|  | Oct 24 2011                    | Jan 18 2012             | 31.00    | 970.44        | 812.82     | 0.00           | 157.62             | 157.62            | ST                |
|  | Nov 22 2011                    | Jan 18 2012             | 15.00    | 476.37        | 392.25     | 0.00           | 84.12              | 84.12             | ST                |
|  | Nov 22 2011                    | Jan 18 2012             | 19.00    | 594.79        | 496.85     | 0.00           | 97.94              | 97.94             | ST                |
|  | Dec 22 2011                    | Jan 18 2012             | 6.00     | 190.55        | 173.52     | 0.00           | 17.03              | 17.03             | ST                |
| SANOFI SPONSORED ADR CMN                         | Sep 21 2011                    | Jan 18 2012             | 14.00    | 505.21        | 463.40     | 0.00           | 41.81              | 41.81             | ST                |
| JOHNSON & JOHNSON CMN                            | Sep 21 2011                    | Jan 19 2012             | 5.00     | 324.73        | 330.13     | 0.00           | (5.40)             | (5.40)            | ST                |
|  | Sep 21 2011                    | Jan 19 2012             | 8.00     | 519.57        | 507.52     | 0.00           | 12.05              | 12.05             | ST                |
|  | Sep 21 2011                    | Jan 19 2012             | 14.00    | 909.26        | 906.29     | 0.00           | 2.97               | 2.97              | ST                |
|  | Sep 21 2011                    | Jan 19 2012             | 1.00     | 64.95         | 63.56      | 0.00           | 1.39               | 1.39              | ST                |
|  | Sep 21 2011                    | Jan 20 2012             | 12.00    | 780.23        | 761.28     | 0.00           | 18.95              | 18.95             | ST                |
|  | Sep 21 2011                    | Jan 23 2012             | 2.00     | 130.51        | 132.11     | 0.00           | (1.60)             | (1.60)            | ST                |
|  | Sep 21 2011                    | Jan 23 2012             | 4.00     | 261.03        | 253.38     | 0.00           | 7.65               | 7.65              | ST                |
|  | Sep 21 2011                    | Jan 23 2012             | 4.00     | 261.03        | 262.46     | 0.00           | (1.43)             | (1.43)            | ST                |
|  | Sep 21 2011                    | Jan 23 2012             | 7.00     | 456.79        | 458.60     | 0.00           | (1.81)             | (1.81)            | ST                |
|  | Sep 21 2011                    | Jan 23 2012             | 10.00    | 652.55        | 655.13     | 0.00           | (2.58)             | (2.58)            | ST                |
|  | Sep 21 2011                    | Jan 23 2012             | 1.00     | 65.26         | 66.44      | 0.00           | (1.18)             | (1.18)            | ST                |
|  | Oct 24 2011                    | Jan 23 2012             | 1.00     | 65.26         | 64.51      | 0.00           | 0.75               | 0.75              | ST                |
| COCA-COLA COMPANY (THE) CMN                      | Sep 21 2011                    | Jan 24 2012             | 5.00     | 340.00        | 354.08     | 0.00           | (14.08)            | (14.08)           | ST                |
|  | Sep 21 2011                    | Jan 24 2012             | 7.00     | 476.00        | 493.92     | 0.00           | (17.92)            | (17.92)           | ST                |
| COCA-COLA COMPANY (THE) CMN DISALLOWED<br>LOSSES | Sep 21 2011                    | Jan 24 2012             | 9.00     | 612.00        | 644.57     | 0.00           | (32.57)            | (32.57)           | ST                |
|  |                                |                         |          |               |            |                |                    | 14.08             |                   |
|  |                                |                         |          |               |            |                |                    | 17.92             |                   |
|  |                                |                         |          |               |            |                |                    | 7.24              |                   |
| JOHNSON & JOHNSON CMN                            | Oct 24 2011                    | Jan 24 2012             | 9.00     | 588.03        | 580.59     | 0.00           | 7.44               | 7.44              | ST                |



**Statement Detail**  
**SCHLUMBERGER INSTITUTIONAL CAPITAL: LCV**  
 Realized Gains and Losses (Continued)

Period Ended December 31, 2012

| Date Acquired or Sold Short   | Date Sold or Covered | Quantity | Sale Proceeds | Cost Basis | FX Gain (Loss) | Market Gain (Loss) | Total Gain (Loss) | Holding Period |
|-------------------------------|----------------------|----------|---------------|------------|----------------|--------------------|-------------------|----------------|
|                               |                      | 12.00    | 778.60        | 774.12     | 0.00           | 4.48               | 4.48              | ST             |
| COCA-COLA COMPANY (THE) CMN   |                      |          |               |            |                |                    |                   |                |
| Sep 21 2011                   | Jan 25 2012          | 5.00     | 339.34        | 346.61     | 0.00           | (7.27)             | (7.27)            | ST             |
| Sep 21 2011                   | Jan 25 2012          | 1.00     | 67.87         | 71.62      | 0.00           | (3.75)             | (3.75)            | ST             |
| Sep 21 2011                   | Jan 25 2012          | 1.00     | 67.87         | 69.69      | 0.00           | (1.82)             | (1.82)            | ST             |
| Sep 21 2011                   | Jan 26 2012          | 5.00     | 339.93        | 353.28     | 0.00           | (13.35)            | (13.35)           | ST             |
| Sep 21 2011                   | Jan 26 2012          | 6.00     | 407.92        | 420.74     | 0.00           | (12.82)            | (12.82)           | ST             |
| Sep 21 2011                   | Jan 26 2012          | 7.00     | 475.91        | 492.80     | 0.00           | (16.89)            | (16.89)           | ST             |
| Sep 21 2011                   | Jan 26 2012          | 9.00     | 611.88        | 657.34     | 0.00           | (45.46)            | (45.46)           | ST             |
| Sep 21 2011                   | Jan 26 2012          | 1.00     | 67.99         | 69.69      | 0.00           | (1.70)             | (1.70)            | ST             |
| Sep 21 2011                   | Jan 27 2012          | 2.00     | 134.72        | 142.92     | 0.00           | (8.20)             | (8.20)            | ST             |
| Oct 24 2011                   | Jan 27 2012          | 17.00    | 1,145.13      | 1,151.24   | 0.00           | (6.11)             | (6.11)            | ST             |
| Nov 22 2011                   | Jan 27 2012          | 5.00     | 336.80        | 330.50     | 0.00           | 6.30               | 6.30              | ST             |
| Dec 22 2011                   | Jan 27 2012          | 3.00     | 202.08        | 207.60     | 0.00           | (5.52)             | (5.52)            | ST             |
| BLACKROCK, INC CMN            |                      |          |               |            |                |                    |                   |                |
| Sep 21 2011                   | Jan 31 2012          | 4.00     | 726.71        | 619.56     | 0.00           | 107.15             | 107.15            | ST             |
| MICROSOFT CORPORATION CMN     |                      |          |               |            |                |                    |                   |                |
| Sep 21 2011                   | Jan 31 2012          | 37.00    | 1,090.23      | 983.83     | 0.00           | 106.40             | 106.40            | ST             |
| WELLS FARGO & CO (NEW) CMN    |                      |          |               |            |                |                    |                   |                |
| Sep 21 2011                   | Jan 31 2012          | 40.00    | 1,168.34      | 995.60     | 0.00           | 172.74             | 172.74            | ST             |
| BCE INC CMN                   |                      |          |               |            |                |                    |                   |                |
| Nov 22 2011                   | Feb 01 2012          | 18.00    | 731.70        | 689.94     | 0.00           | 41.76              | 41.76             | ST             |
| BLACKROCK, INC. CMN           |                      |          |               |            |                |                    |                   |                |
| Sep 21 2011                   | Feb 01 2012          | 2.00     | 367.06        | 309.78     | 0.00           | 57.28              | 57.28             | ST             |
| Sep 21 2011                   | Feb 01 2012          | 2.00     | 366.21        | 309.78     | 0.00           | 56.43              | 56.43             | ST             |
| EXXON MOBIL CORPORATION CMN   |                      |          |               |            |                |                    |                   |                |
| Sep 21 2011                   | Feb 01 2012          | 13.00    | 1,096.15      | 957.19     | 0.00           | 138.96             | 138.96            | ST             |
| JPMORGAN CHASE & CO CMN       |                      |          |               |            |                |                    |                   |                |
| Sep 21 2011                   | Feb 01 2012          | 15.00    | 566.93        | 477.60     | 0.00           | 89.33              | 89.33             | ST             |
| OCCIDENTAL PETROLEUM CORP CMN |                      |          |               |            |                |                    |                   |                |
| Sep 21 2011                   | Feb 01 2012          | 6.00     | 595.48        | 471.84     | 0.00           | 123.64             | 123.64            | ST             |
| ACE LIMITED CMN               |                      |          |               |            |                |                    |                   |                |
| Oct 11 2011                   | Feb 02 2012          | 6.00     | 434.85        | 376.81     | 0.00           | 58.04              | 58.04             | ST             |
| Oct 11 2011                   | Feb 02 2012          | 1.00     | 72.48         | 62.97      | 0.00           | 9.51               | 9.51              | ST             |
| Oct 12 2011                   | Feb 02 2012          | 4.00     | 289.91        | 251.15     | 0.00           | 38.76              | 38.76             | ST             |
| BCE INC. CMN                  |                      |          |               |            |                |                    |                   |                |
| Nov 22 2011                   | Feb 02 2012          | 7.00     | 284.20        | 268.31     | 0.00           | 15.89              | 15.89             | ST             |
| Dec 22 2011                   | Feb 02 2012          | 10.00    | 406.00        | 405.70     | 0.00           | 0.30               | 0.30              | ST             |
| Jan 20 2012                   | Feb 02 2012          | 7.00     | 284.20        | 290.92     | 0.00           | (6.72)             | (6.72)            | ST             |
| BLACKROCK, INC CMN            |                      |          |               |            |                |                    |                   |                |
| Sep 21 2011                   | Feb 02 2012          | 2.00     | 366.87        | 309.78     | 0.00           | 57.09              | 57.09             | ST             |
| EXXON MOBIL CORPORATION CMN   |                      |          |               |            |                |                    |                   |                |
| Sep 21 2011                   | Feb 02 2012          | 15.00    | 1,252.04      | 1,104.45   | 0.00           | 147.59             | 147.59            | ST             |
| MERCK & CO., INC CMN          |                      |          |               |            |                |                    |                   |                |
| Sep 21 2011                   | Feb 02 2012          | 11.00    | 421.96        | 355.85     | 0.00           | 66.11              | 66.11             | ST             |
| OCCIDENTAL PETROLEUM CORP CMN |                      |          |               |            |                |                    |                   |                |
| Sep 21 2011                   | Feb 02 2012          | 5.00     | 491.73        | 393.20     | 0.00           | 98.53              | 98.53             | ST             |
| ACE LIMITED CMN               |                      |          |               |            |                |                    |                   |                |
| Oct 12 2011                   | Feb 03 2012          | 4.00     | 291.99        | 251.15     | 0.00           | 40.84              | 40.84             | ST             |
| Oct 24 2011                   | Feb 03 2012          | 9.00     | 656.96        | 636.12     | 0.00           | 20.84              | 20.84             | ST             |



Statement Detail

**SCHLUMBERGER INSTITUTIONAL CAPITAL: LCV**  
Realized Gains and Losses (Continued)

Period Ended December 31, 2012

|                                   | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity | Sale Proceeds | Cost Basis | FX Gain (Loss) | Market Gain (Loss) | Total Gain (Loss) | Holding<br>Period |
|-----------------------------------|--------------------------------|-------------------------|----------|---------------|------------|----------------|--------------------|-------------------|-------------------|
| BLACKROCK, INC. CMN               | Sep 21 2011                    | Feb 03 2012             | 3.00     | 557.66        | 464.67     | 0.00           | 92.99              | 92.99             | ST                |
| ACE LIMITED CMN                   | Oct 24 2011                    | Feb 06 2012             | 1.00     | 72.91         | 70.68      | 0.00           | 2.23               | 2.23              | ST                |
|                                   | Nov 22 2011                    | Feb 06 2012             | 8.00     | 583.30        | 527.28     | 0.00           | 56.02              | 56.02             | ST                |
| BB&T CORPORATION CMN              | Sep 21 2011                    | Feb 08 2012             | 21.00    | 617.65        | 461.58     | 0.00           | 156.07             | 156.07            | ST                |
| METLIFE, INC. CMN                 | Sep 21 2011                    | Feb 08 2012             | 7.00     | 263.02        | 214.20     | 0.00           | 48.82              | 48.82             | ST                |
| OCCIDENTAL PETROLEUM CORP CMN     | Sep 21 2011                    | Feb 08 2012             | 6.00     | 621.32        | 471.84     | 0.00           | 149.48             | 149.48            | ST                |
|                                   | Sep 27 2011                    | Feb 08 2012             | 1.00     | 103.55        | 80.09      | 0.00           | 23.46              | 23.46             | ST                |
| BB&T CORPORATION CMN              | Sep 21 2011                    | Feb 09 2012             | 18.00    | 531.13        | 395.64     | 0.00           | 135.49             | 135.49            | ST                |
| METLIFE, INC. CMN                 | Sep 21 2011                    | Feb 09 2012             | 18.00    | 673.87        | 550.80     | 0.00           | 123.07             | 123.07            | ST                |
| MICROSOFT CORPORATION CMN         | Sep 21 2011                    | Feb 10 2012             | 20.00    | 612.71        | 531.80     | 0.00           | 80.91              | 80.91             | ST                |
| WEATHERFORD INTERNATIONAL LTD CMN | Jan 17 2012                    | Feb 21 2012             | 38.00    | 591.42        | 578.63     | 0.00           | 12.79              | 12.79             | ST                |
|                                   | Jan 17 2012                    | Feb 21 2012             | 48.00    | 747.05        | 741.51     | 0.00           | 5.54               | 5.54              | ST                |
|                                   | Jan 17 2012                    | Feb 22 2012             | 12.00    | 189.34        | 182.72     | 0.00           | 6.62               | 6.62              | ST                |
|                                   | Jan 18 2012                    | Feb 22 2012             | 19.00    | 299.80        | 306.74     | 0.00           | (6.94)             | (6.94)            | ST                |
|                                   | Jan 18 2012                    | Feb 22 2012             | 51.00    | 804.72        | 800.13     | 0.00           | 4.59               | 4.59              | ST                |
|                                   | Jan 19 2012                    | Feb 22 2012             | 20.00    | 315.58        | 329.98     | 0.00           | (14.40)            | (14.40)           | ST                |
|                                   | Jan 20 2012                    | Feb 22 2012             | 35.00    | 552.26        | 575.05     | 0.00           | (22.79)            | (22.79)           | ST                |
| VIACOM INC CMN CLASS B            | Sep 21 2011                    | Feb 28 2012             | 22.00    | 1,034.40      | 1,032.68   | 0.00           | 1.72               | 1.72              | ST                |
| BLACKROCK, INC. CMN               | Oct 24 2011                    | Mar 01 2012             | 5.00     | 986.20        | 782.25     | 0.00           | 205.95             | 205.95            | ST                |
| JPMORGAN CHASE & CO CMN           | Sep 21 2011                    | Mar 01 2012             | 11.00    | 442.42        | 350.24     | 0.00           | 92.18              | 92.18             | ST                |
| BLACKROCK, INC. CMN               | Oct 24 2011                    | Mar 08 2012             | 2.00     | 393.04        | 312.90     | 0.00           | 80.14              | 80.14             | ST                |
|                                   | Nov 22 2011                    | Mar 08 2012             | 3.00     | 589.55        | 469.56     | 0.00           | 119.99             | 119.99            | ST                |
| JPMORGAN CHASE & CO CMN           | Sep 21 2011                    | Mar 08 2012             | 26.00    | 1,052.03      | 827.84     | 0.00           | 224.19             | 224.19            | ST                |
| MICROSOFT CORPORATION CMN         | Sep 21 2011                    | Mar 08 2012             | 50.00    | 1,603.57      | 1,329.50   | 0.00           | 274.07             | 274.07            | ST                |
| BLACKROCK, INC. CMN               | Nov 22 2011                    | Mar 09 2012             | 1.00     | 196.60        | 156.52     | 0.00           | 40.08              | 40.08             | ST                |
|                                   | Nov 22 2011                    | Mar 12 2012             | 4.00     | 780.62        | 626.08     | 0.00           | 154.54             | 154.54            | ST                |
|                                   | Dec 22 2011                    | Mar 12 2012             | 7.00     | 1,366.08      | 1,231.44   | 0.00           | 134.64             | 134.64            | ST                |
|                                   | Jan 20 2012                    | Mar 12 2012             | 7.00     | 1,366.08      | 1,280.09   | 0.00           | 85.99              | 85.99             | ST                |
|                                   | Feb 22 2012                    | Mar 12 2012             | 2.00     | 390.31        | 387.42     | 0.00           | 2.89               | 2.89              | ST                |
| CITIGROUP INC. CMN                | Jan 11 2012                    | Mar 12 2012             | 54.00    | 1,831.25      | 1,684.09   | 0.00           | 147.16             | 147.16            | ST                |



# SCHLUMBERGER INSTITUTIONAL CAPITAL: LCV

## Realized Gains and Losses (Continued)

Period Ended December 31, 2012

|                               | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity | Sale Proceeds | Cost Basis | FX Gain (Loss) | Market Gain (Loss) | Total Gain (Loss) | Holding<br>Period |
|-------------------------------|--------------------------------|-------------------------|----------|---------------|------------|----------------|--------------------|-------------------|-------------------|
| CUMMINS INC COMMON STOCK      | Jan 19 2012                    | Mar 12 2012             | 3.00     | 352.81        | 316.08     | 0.00           | 36.73              | 36.73             | ST                |
|                               | Jan 19 2012                    | Mar 12 2012             | 10.00    | 1,179.62      | 1,053.61   | 0.00           | 126.01             | 126.01            | ST                |
|                               | Jan 20 2012                    | Mar 12 2012             | 3.00     | 353.88        | 313.29     | 0.00           | 40.59              | 40.59             | ST                |
| JPMORGAN CHASE & CO CMN       | Sep 21 2011                    | Mar 12 2012             | 32.00    | 1,293.25      | 1,018.88   | 0.00           | 274.37             | 274.37            | ST                |
| LAS VEGAS SANDS CORP CMN      | Jan 23 2012                    | Mar 12 2012             | 11.00    | 597.10        | 528.17     | 0.00           | 68.93              | 68.93             | ST                |
|                               | Jan 24 2012                    | Mar 12 2012             | 3.00     | 162.85        | 144.48     | 0.00           | 18.37              | 18.37             | ST                |
| METLIFE, INC. CMN             | Sep 21 2011                    | Mar 12 2012             | 12.00    | 452.60        | 367.20     | 0.00           | 85.40              | 85.40             | ST                |
|                               | Sep 21 2011                    | Mar 12 2012             | 22.00    | 826.36        | 673.20     | 0.00           | 153.16             | 153.16            | ST                |
| WELLS FARGO & CO (NEW) CMN    | Sep 21 2011                    | Mar 12 2012             | 27.00    | 843.49        | 672.03     | 0.00           | 171.46             | 171.46            | ST                |
| LAS VEGAS SANDS CORP. CMN     | Jan 24 2012                    | Mar 13 2012             | 9.00     | 501.76        | 433.43     | 0.00           | 68.33              | 68.33             | ST                |
|                               | Jan 24 2012                    | Mar 13 2012             | 15.00    | 836.26        | 719.67     | 0.00           | 116.59             | 116.59            | ST                |
|                               | Jan 25 2012                    | Mar 13 2012             | 2.00     | 111.50        | 97.97      | 0.00           | 13.53              | 13.53             | ST                |
|                               | Jan 25 2012                    | Mar 13 2012             | 8.00     | 447.17        | 391.90     | 0.00           | 55.27              | 55.27             | ST                |
|                               | Jan 26 2012                    | Mar 13 2012             | 15.00    | 838.44        | 739.52     | 0.00           | 98.92              | 98.92             | ST                |
| WELLS FARGO & CO (NEW) CMN    | Sep 21 2011                    | Mar 13 2012             | 24.00    | 766.13        | 597.36     | 0.00           | 168.77             | 168.77            | ST                |
| LAS VEGAS SANDS CORP. CMN     | Jan 26 2012                    | Mar 14 2012             | 11.00    | 607.08        | 542.31     | 0.00           | 64.77              | 64.77             | ST                |
|                               | Jan 30 2012                    | Mar 14 2012             | 15.00    | 827.85        | 738.52     | 0.00           | 89.33              | 89.33             | ST                |
|                               | Jan 30 2012                    | Mar 15 2012             | 3.00     | 170.64        | 147.70     | 0.00           | 22.94              | 22.94             | ST                |
|                               | Feb 22 2012                    | Mar 15 2012             | 4.00     | 227.53        | 214.04     | 0.00           | 13.49              | 13.49             | ST                |
| WELLS FARGO & CO (NEW) CMN    | Sep 21 2011                    | Mar 16 2012             | 19.00    | 640.37        | 472.91     | 0.00           | 167.46             | 167.46            | ST                |
|                               | Oct 18 2011                    | Mar 16 2012             | 11.00    | 370.75        | 278.44     | 0.00           | 92.31              | 92.31             | ST                |
| ARCHER DANIELS MIDLAND CO CMN | Sep 21 2011                    | Mar 19 2012             | 7.00     | 221.66        | 195.58     | 0.00           | 26.08              | 26.08             | ST                |
| CUMMINS INC COMMON STOCK      | Jan 20 2012                    | Mar 20 2012             | 5.00     | 612.14        | 522.16     | 0.00           | 89.98              | 89.98             | ST                |
| WELLS FARGO & CO (NEW) CMN    | Oct 18 2011                    | Mar 20 2012             | 2.00     | 67.75         | 50.63      | 0.00           | 17.12              | 17.12             | ST                |
|                               | Oct 24 2011                    | Mar 20 2012             | 25.00    | 846.78        | 661.75     | 0.00           | 185.03             | 185.03            | ST                |
| CUMMINS INC COMMON STOCK      | Jan 20 2012                    | Mar 22 2012             | 7.00     | 834.02        | 731.02     | 0.00           | 103.00             | 103.00            | ST                |
| METLIFE, INC. CMN             | Sep 21 2011                    | Mar 22 2012             | 19.00    | 710.90        | 581.40     | 0.00           | 129.50             | 129.50            | ST                |
| CUMMINS INC COMMON STOCK      | Jan 23 2012                    | Mar 28 2012             | 4.00     | 476.72        | 424.72     | 0.00           | 52.00              | 52.00             | ST                |
| MERCK & CO., INC. CMN         | Sep 21 2011                    | Mar 28 2012             | 24.00    | 929.52        | 776.40     | 0.00           | 153.12             | 153.12            | ST                |
| WELLS FARGO & CO (NEW) CMN    | Oct 24 2011                    | Mar 28 2012             | 20.00    | 683.45        | 529.40     | 0.00           | 154.05             | 154.05            | ST                |
| JPMORGAN CHASE & CO CMN       | Sep 21 2011                    | Mar 29 2012             | 17.00    | 774.30        | 541.28     | 0.00           | 233.02             | 233.02            | ST                |
| MERCK & CO., INC. CMN         | Sep 21 2011                    | Mar 29 2012             | 16.00    | 605.79        | 517.60     | 0.00           | 88.19              | 88.19             | ST                |
|                               | Oct 24 2011                    | Mar 29 2012             | 8.00     | 302.89        | 266.56     | 0.00           | 36.33              | 36.33             | ST                |
| MICROSOFT CORPORATION CMN     | Sep 21 2011                    | Mar 29 2012             | 7.00     | 223.57        | 186.13     | 0.00           | 37.44              | 37.44             | ST                |



Statement Detail

**SCHLUMBERGER INSTITUTIONAL CAPITAL: LCV**  
Realized Gains and Losses (Continued)

Period Ended December 31, 2012

|                                    | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity | Sale Proceeds | Cost Basis | FX Gain (Loss) | Market Gain (Loss) | Total Gain (Loss) | Holding<br>Period |
|------------------------------------|--------------------------------|-------------------------|----------|---------------|------------|----------------|--------------------|-------------------|-------------------|
| MERCK & CO., INC. CMN              | Oct 24 2011                    | Mar 30 2012             | 18 00    | 686 06        | 599 76     | 0 00           | 86 30              | 86 30             | ST                |
|                                    | Oct 24 2011                    | Apr 02 2012             | 16 00    | 612 22        | 533 12     | 0 00           | 79 10              | 79 10             | ST                |
| CITIGROUP INC. CMN                 | Jan 11 2012                    | Apr 04 2012             | 4 00     | 139 49        | 123 05     | 0 00           | 16 44              | 16 44             | ST                |
|                                    | Jan 11 2012                    | Apr 04 2012             | 17 00    | 592 83        | 530 18     | 0 00           | 62 65              | 62 65             | ST                |
| JPMORGAN CHASE & CO CMN            | Sep 21 2011                    | Apr 04 2012             | 6 00     | 265 07        | 191 04     | 0 00           | 74 03              | 74 03             | ST                |
|                                    | Sep 27 2011                    | Apr 04 2012             | 9 00     | 397 60        | 292 46     | 0 00           | 105 14             | 105 14            | ST                |
|                                    | Oct 18 2011                    | Apr 04 2012             | 2 00     | 88 36         | 64 52      | 0 00           | 23 84              | 23 84             | ST                |
| TEXAS INSTRUMENTS INC. CMN         | Sep 21 2011                    | Apr 04 2012             | 25 00    | 800 46        | 692 00     | 0 00           | 108 46             | 108 46            | ST                |
| PFIZER INC. CMN                    | Sep 21 2011                    | Apr 11 2012             | 50 00    | 1,101 65      | 907 50     | 0 00           | 194 15             | 194 15            | ST                |
| WELLS FARGO & CO (NEW) CMN         | Oct 24 2011                    | Apr 19 2012             | 13 00    | 433 08        | 344 11     | 0 00           | 88 97              | 88 97             | ST                |
| CONOCOPHILLIPS CMN                 | Feb 01 2012                    | Apr 24 2012             | 19 00    | 1,367 26      | 1,318 13   | 0 00           | 49 13              | 49 13             | ST                |
|                                    | Feb 01 2012                    | Apr 25 2012             | 4 00     | 284 38        | 277 50     | 0 00           | 6 88               | 6 88              | ST                |
|                                    | Feb 02 2012                    | Apr 25 2012             | 15 00    | 1,066 44      | 1,047 20   | 0 00           | 19 24              | 19 24             | ST                |
| MERCK & CO., INC. CMN              | Oct 24 2011                    | Apr 25 2012             | 9 00     | 344 27        | 299 88     | 0 00           | 44 39              | 44 39             | ST                |
|                                    | Nov 22 2011                    | Apr 25 2012             | 11 00    | 420 77        | 373 23     | 0 00           | 47 54              | 47 54             | ST                |
| MARATHON PETROLEUM CORPORATION CMN | Sep 21 2011                    | May 03 2012             | 6 00     | 245 16        | 228 92     | 0 00           | 16 24              | 16 24             | ST                |
|                                    | Sep 21 2011                    | May 03 2012             | 8 00     | 326 88        | 266 16     | 0 00           | 60 72              | 60 72             | ST                |
|                                    | Sep 21 2011                    | May 04 2012             | 1 00     | 39 39         | 36 49      | 0 00           | 2 90               | 2 90              | ST                |
|                                    | Sep 21 2011                    | May 04 2012             | 1 00     | 39 39         | 37 80      | 0 00           | 1 59               | 1 59              | ST                |
|                                    | Oct 24 2011                    | May 04 2012             | 6 00     | 236 34        | 217 68     | 0 00           | 18 66              | 18 66             | ST                |
|                                    | Nov 22 2011                    | May 04 2012             | 8 00     | 315 12        | 265 20     | 0 00           | 49 92              | 49 92             | ST                |
|                                    | Dec 22 2011                    | May 04 2012             | 4 00     | 157 56        | 132 84     | 0 00           | 24 72              | 24 72             | ST                |
|                                    | Dec 22 2011                    | May 04 2012             | 5 00     | 198 61        | 166 05     | 0 00           | 32 56              | 32 56             | ST                |
|                                    | Jan 20 2012                    | May 04 2012             | 5 00     | 198 61        | 185 75     | 0 00           | 12 86              | 12 86             | ST                |
|                                    | Jan 20 2012                    | May 07 2012             | 2 00     | 79 60         | 74 30      | 0 00           | 5 30               | 5 30              | ST                |
|                                    | Feb 01 2012                    | May 07 2012             | 5 00     | 199 01        | 205 08     | 0 00           | (6 07)             | (6 07)            | ST                |
| CONOCOPHILLIPS CMN                 | Feb 02 2012                    | May 08 2012             | 13 00    | 694 32        | 699 65     | 0 00           | (5 33)             | (5 33)            | ST                |
| MARATHON PETROLEUM CORPORATION CMN | Feb 01 2012                    | May 08 2012             | 10 00    | 383 96        | 410 16     | 0 00           | (26 20)            | (26 20)           | ST                |
|                                    | Feb 22 2012                    | May 08 2012             | 2 00     | 76 79         | 87 68      | 0 00           | (10 89)            | (10 89)           | ST                |
|                                    | Feb 22 2012                    | May 09 2012             | 4 00     | 153 13        | 175 36     | 0 00           | (22 23)            | (22 23)           | ST                |



Statement Detail

**SCHLUMBERGER INSTITUTIONAL CAPITAL: LCV**  
Realized Gains and Losses (Continued)

Period Ended December 31, 2012

|                                    | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity | Sale Proceeds | Cost Basis | FX Gain (Loss) | Market Gain (Loss) | Total Gain (Loss) | Holding<br>Period |
|------------------------------------|--------------------------------|-------------------------|----------|---------------|------------|----------------|--------------------|-------------------|-------------------|
|                                    | Mar-01 2012                    | May 09 2012             | 2.00     | 76.57         | 84.77      | 0.00           | (8.20)             | (8.20)            | ST                |
|                                    | Mar-01 2012                    | May 10 2012             | 9.00     | 347.57        | 381.45     | 0.00           | (33.88)            | (33.88)           | ST                |
|                                    | Mar-30 2012                    | May 10 2012             | 6.00     | 231.71        | 261.76     | 0.00           | (30.05)            | (30.05)           | ST                |
| CONOCOPHILLIPS CMN                 | Feb-02 2012                    | May 11 2012             | 6.00     | 325.41        | 322.92     | 0.00           | 2.49               | 2.49              | ST                |
|                                    | Feb-03 2012                    | May 11 2012             | 2.00     | 108.47        | 108.85     | 0.00           | (0.38)             | (0.38)            | ST                |
|                                    | Feb-03 2012                    | May 11 2012             | 6.00     | 325.41        | 325.78     | 0.00           | (0.37)             | (0.37)            | ST                |
| JPMORGAN CHASE & CO CMN            | Oct-18 2011                    | May 11 2012             | 7.00     | 259.16        | 225.82     | 0.00           | 33.34              | 33.34             | ST                |
|                                    | Oct-20 2011                    | May 11 2012             | 12.00    | 444.28        | 397.49     | 0.00           | 46.79              | 46.79             | ST                |
|                                    | Oct-24 2011                    | May 11 2012             | 35.00    | 1,295.82      | 1,201.55   | 0.00           | 94.27              | 94.27             | ST                |
| MARATHON PETROLEUM CORPORATION CMN | Mar-30 2012                    | May 11 2012             | 5.00     | 187.84        | 218.14     | 0.00           | (30.30)            | (30.30)           | ST                |
| CONOCOPHILLIPS CMN                 | Feb-03 2012                    | May 14 2012             | 9.00     | 475.24        | 488.67     | 0.00           | (13.43)            | (13.43)           | ST                |
| JPMORGAN CHASE & CO CMN            | Oct-24 2011                    | May 14 2012             | 38.00    | 1,374.13      | 1,304.54   | 0.00           | 69.59              | 69.59             | ST                |
| SANOFI SPONSORED ADR CMN           | Sep-21 2011                    | May 16 2012             | 14.00    | 481.59        | 463.40     | 0.00           | 18.19              | 18.19             | ST                |
|                                    | Oct-24 2011                    | May 16 2012             | 34.00    | 1,169.56      | 1,218.90   | 0.00           | (49.34)            | (49.34)           | ST                |
|                                    | Nov-22 2011                    | May 16 2012             | 7.00     | 240.79        | 230.09     | 0.00           | 10.70              | 10.70             | ST                |
| PFIZER INC. CMN                    | Sep-21 2011                    | May 17 2012             | 6.00     | 135.86        | 108.90     | 0.00           | 26.96              | 26.96             | ST                |
| SANOFI SPONSORED ADR CMN           | Nov-22 2011                    | May 17 2012             | 28.00    | 958.89        | 920.36     | 0.00           | 38.53              | 38.53             | ST                |
|                                    | Nov-28 2011                    | May 17 2012             | 2.00     | 68.49         | 66.82      | 0.00           | 1.67               | 1.67              | ST                |
|                                    | Nov-29 2011                    | May 17 2012             | 13.00    | 445.20        | 437.21     | 0.00           | 7.99               | 7.99              | ST                |
| METLIFE, INC. CMN                  | Sep-21 2011                    | May 31 2012             | 12.00    | 348.38        | 367.20     | 0.00           | (18.82)            | (18.82)           | ST                |
|                                    | Oct-24 2011                    | May 31 2012             | 24.00    | 696.77        | 828.00     | 0.00           | (131.23)           | (131.23)          | ST                |
|                                    | Oct-24 2011                    | Jun 01 2012             | 30.00    | 847.62        | 1,035.00   | 0.00           | (187.38)           | (187.38)          | ST                |
|                                    | Oct-24 2011                    | Jun 04 2012             | 8.00     | 223.32        | 276.00     | 0.00           | (52.68)            | (52.68)           | ST                |
|                                    | Nov-22 2011                    | Jun 04 2012             | 14.00    | 390.81        | 418.18     | 0.00           | (27.37)            | (27.37)           | ST                |
| PROCTER & GAMBLE COMPANY (THE) CMN | Sep-21 2011                    | Jun 05 2012             | 16.00    | 978.06        | 1,022.24   | 0.00           | (44.18)            | (44.18)           | ST                |
| CONOCOPHILLIPS CMN                 | Feb-03 2012                    | Jun 08 2012             | 5.00     | 270.04        | 271.48     | 0.00           | (1.44)             | (1.44)            | ST                |
|                                    | Feb-03 2012                    | Jun 08 2012             | 10.00    | 540.08        | 542.97     | 0.00           | (2.89)             | (2.89)            | ST                |
| PROCTER & GAMBLE COMPANY (THE) CMN | Sep-21 2011                    | Jun 08 2012             | 14.00    | 877.92        | 894.46     | 0.00           | (16.54)            | (16.54)           | ST                |
| CONOCOPHILLIPS CMN                 | Feb-03 2012                    | Jun 11 2012             | 1.00     | 54.21         | 54.30      | 0.00           | (0.09)             | (0.09)            | ST                |
|                                    | Feb-06 2012                    | Jun 11 2012             | 13.00    | 704.63        | 709.92     | 0.00           | (5.29)             | (5.29)            | ST                |
| CAPITAL ONE FINANCIAL CORP CMN     | Nov-28 2011                    | Jun 13 2012             | 11.00    | 577.35        | 459.30     | 0.00           | 118.05             | 118.05            | ST                |
| CONOCOPHILLIPS CMN                 | Feb-06 2012                    | Jun 14 2012             | 3.00     | 163.02        | 163.83     | 0.00           | (0.81)             | (0.81)            | ST                |
|                                    | Feb-07 2012                    | Jun 14 2012             | 18.00    | 978.12        | 997.60     | 0.00           | (19.48)            | (19.48)           | ST                |
|                                    | Feb-08 2012                    | Jun 14 2012             | 6.00     | 326.04        | 332.34     | 0.00           | (6.30)             | (6.30)            | ST                |



Statement Detail

**SCHLUMBERGER INSTITUTIONAL CAPITAL: LCV**  
Realized Gains and Losses (Continued)

Period Ended December 31, 2012

|                                    | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity | Sale Proceeds | Cost Basis | FX Gain (Loss) | Market Gain (Loss) | Total Gain (Loss) | Holding<br>Period |
|------------------------------------|--------------------------------|-------------------------|----------|---------------|------------|----------------|--------------------|-------------------|-------------------|
| METLIFE, INC. CMN                  | Nov 22 2011                    | Jun 14 2012             | 22.00    | 643.71        | 657.14     | 0.00           | (13.43)            | (13.43)           | ST                |
| COCA-COLA COMPANY (THE) CMN        | Mar 28 2012                    | Jun 15 2012             | 18.00    | 1,366.43      | 1,303.53   | 0.00           | 62.90              | 62.90             | ST                |
| CONOCOPHILLIPS CMN                 | Feb 08 2012                    | Jun 15 2012             | 11.00    | 603.32        | 609.29     | 0.00           | (5.97)             | (5.97)            | ST                |
|                                    | Feb 21 2012                    | Jun 15 2012             | 10.00    | 548.47        | 570.57     | 0.00           | (22.10)            | (22.10)           | ST                |
|                                    | Feb 22 2012                    | Jun 15 2012             | 22.00    | 1,206.64      | 1,262.02   | 0.00           | (55.38)            | (55.38)           | ST                |
|                                    | Mar 09 2012                    | Jun 15 2012             | 7.00     | 383.93        | 416.75     | 0.00           | (32.82)            | (32.82)           | ST                |
|                                    | Mar 16 2012                    | Jun 15 2012             | 4.00     | 219.39        | 238.20     | 0.00           | (18.81)            | (18.81)           | ST                |
| ACE LIMITED CMN                    | Nov 22 2011                    | Jun 18 2012             | 4.00     | 288.62        | 263.64     | 0.00           | 24.98              | 24.98             | ST                |
|                                    | Dec 22 2011                    | Jun 18 2012             | 8.00     | 577.23        | 559.56     | 0.00           | 17.67              | 17.67             | ST                |
| MICROSOFT CORPORATION CMN          | Sep 21 2011                    | Jun 18 2012             | 93.00    | 2,772.99      | 2,472.87   | 0.00           | 300.12             | 300.12            | ST                |
|                                    | Oct 05 2011                    | Jun 18 2012             | 5.00     | 149.09        | 130.39     | 0.00           | 18.70              | 18.70             | ST                |
|                                    | Oct 05 2011                    | Jun 18 2012             | 13.00    | 387.62        | 337.30     | 0.00           | 50.32              | 50.32             | ST                |
|                                    | Oct 24 2011                    | Jun 18 2012             | 1.00     | 29.82         | 27.30      | 0.00           | 2.52               | 2.52              | ST                |
| PROCTER & GAMBLE COMPANY (THE) CMN | Sep 21 2011                    | Jun 18 2012             | 28.00    | 1,734.48      | 1,788.92   | 0.00           | (54.44)            | (54.44)           | ST                |
| ACE LIMITED CMN                    | Dec 22 2011                    | Jun 19 2012             | 3.00     | 219.04        | 209.84     | 0.00           | 9.20               | 9.20              | ST                |
|                                    | Jan 20 2012                    | Jun 19 2012             | 9.00     | 657.10        | 653.13     | 0.00           | 3.97               | 3.97              | ST                |
|                                    | Feb 22 2012                    | Jun 19 2012             | 1.00     | 73.01         | 73.08      | 0.00           | (0.07)             | (0.07)            | ST                |
| MICROSOFT CORPORATION CMN          | Oct 24 2011                    | Jun 19 2012             | 100.00   | 3,086.88      | 2,730.00   | 0.00           | 356.88             | 356.88            | ST                |
| PROCTER & GAMBLE COMPANY (THE) CMN | Sep 21 2011                    | Jun 19 2012             | 2.00     | 125.15        | 131.91     | 0.00           | (6.76)             | (6.76)            | ST                |
|                                    | Sep 21 2011                    | Jun 19 2012             | 4.00     | 250.30        | 264.52     | 0.00           | (14.22)            | (14.22)           | ST                |
|                                    | Sep 21 2011                    | Jun 19 2012             | 19.00    | 1,188.95      | 1,213.91   | 0.00           | (24.96)            | (24.96)           | ST                |
|                                    | Oct 24 2011                    | Jun 19 2012             | 6.00     | 375.46        | 391.50     | 0.00           | (16.04)            | (16.04)           | ST                |
| COCA-COLA COMPANY (THE) CMN        | Mar 28 2012                    | Jun 20 2012             | 10.00    | 751.82        | 724.18     | 0.00           | 27.64              | 27.64             | ST                |
|                                    | Mar 29 2012                    | Jun 20 2012             | 2.00     | 150.36        | 146.18     | 0.00           | 4.18               | 4.18              | ST                |
| PROCTER & GAMBLE COMPANY (THE) CMN | Oct 24 2011                    | Jun 20 2012             | 33.00    | 1,981.35      | 2,153.25   | 0.00           | (171.90)           | (171.90)          | ST                |
|                                    | Nov 22 2011                    | Jun 20 2012             | 29.00    | 1,741.19      | 1,790.75   | 0.00           | (49.56)            | (49.56)           | ST                |
| CAPITAL ONE FINANCIAL CORP CMN     | Nov 28 2011                    | Jun 22 2012             | 2.00     | 106.61        | 83.51      | 0.00           | 23.10              | 23.10             | ST                |
|                                    | Nov 29 2011                    | Jun 22 2012             | 14.00    | 746.24        | 583.07     | 0.00           | 163.17             | 163.17            | ST                |
| EXXON MOBIL CORPORATION CMN        | Sep 21 2011                    | Jun 22 2012             | 14.00    | 1,156.37      | 1,030.82   | 0.00           | 125.55             | 125.55            | ST                |
|                                    | Sep 21 2011                    | Jun 25 2012             | 4.00     | 322.09        | 294.52     | 0.00           | 27.57              | 27.57             | ST                |



Statement Detail

**SCHLUMBERGER INSTITUTIONAL CAPITAL: LCV**  
Realized Gains and Losses (Continued)

Period Ended December 31, 2012

|   | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity | Sale Proceeds | Cost Basis | FX Gain (Loss) | Market Gain (Loss) | Total Gain (Loss) | Holding<br>Period |
|---|--------------------------------|-------------------------|----------|---------------|------------|----------------|--------------------|-------------------|-------------------|
| PROCTER & GAMBLE COMPANY (THE) CMN            | Nov 22 2011                    | Jun 25 2012             | 12.00    | 716 03        | 741 00     | 0 00           | (24.97)            | (24.97)           | ST                |
| CISCO SYSTEMS, INC CMN                        | Sep 21 2011                    | Jun 27 2012             | 106.00   | 1,773 20      | 1,724 09   | 0 00           | 49 11              | 49.11             | ST                |
| MERCK & CO, INC. CMN                          | Nov 22 2011                    | Jul 02 2012             | 9 00     | 376 10        | 305 37     | 0 00           | 70 73              | 70.73             | ST                |
|   | Nov 22 2011                    | Jul 03 2012             | 14 00    | 582 24        | 475 02     | 0 00           | 107 22             | 107.22            | ST                |
| COCA-COLA COMPANY (THE) CMN                   | Mar 29 2012                    | Jul 06 2012             | 26 00    | 2,027 29      | 1,900 40   | 0 00           | 126 89             | 126.89            | ST                |
|   | Apr 04 2012                    | Jul 06 2012             | 11.00    | 857 70        | 808 70     | 0 00           | 49 00              | 49.00             | ST                |
| PROCTER & GAMBLE COMPANY (THE) CMN            | Nov 22 2011                    | Jul 06 2012             | 5 00     | 305 14        | 308 75     | 0 00           | (3.61)             | (3.61)            | ST                |
|   | Dec 22 2011                    | Jul 06 2012             | 11.00    | 671.30        | 728 20     | 0 00           | (56.90)            | (56.90)           | ST                |
|   | Dec 22 2011                    | Jul 09 2012             | 19 00    | 1,171 36      | 1,257 80   | 0 00           | (86.44)            | (86.44)           | ST                |
| MERCK & CO, INC. CMN                          | Nov 22 2011                    | Jul 11 2012             | 10 00    | 411 80        | 339 30     | 0 00           | 72 50              | 72.50             | ST                |
| PROCTER & GAMBLE COMPANY (THE) CMN            | Dec 22 2011                    | Jul 11 2012             | 14 00    | 860 81        | 926 80     | 0 00           | (65.99)            | (65.99)           | ST                |
| JOHNSON CONTROLS INC CMN                      | Sep 21 2011                    | Jul 12 2012             | 13 00    | 346 76        | 373.88     | 0 00           | (27.12)            | (27.12)           | ST                |
| JOHNSON CONTROLS INC CMN DISALLOWED<br>LOSSES |                                |                         |          |               |            |                |                    | 20.86             |                   |
| MERCK & CO, INC. CMN                          | Nov 22 2011                    | Jul 13 2012             | 12 00    | 521 98        | 407.16     | 0 00           | 114 82             | 114.82            | ST                |
|   | Dec 22 2011                    | Jul 13 2012             | 8 00     | 347.99        | 300.12     | 0 00           | 47.87              | 47.87             | ST                |
|   | Dec 22 2011                    | Jul 16 2012             | 14 00    | 605.53        | 525.21     | 0 00           | 80 32              | 80.32             | ST                |
|   | Dec 22 2011                    | Jul 17 2012             | 16 00    | 702 04        | 600 24     | 0 00           | 101 80             | 101.80            | ST                |
|   | Dec 22 2011                    | Jul 18 2012             | 1 00     | 43.92         | 37 52      | 0 00           | 6 40               | 6.40              | ST                |
|   | Dec 22 2011                    | Jul 23 2012             | 12 00    | 517 93        | 450 18     | 0 00           | 67 75              | 67.75             | ST                |
|   | Jan 20 2012                    | Jul 23 2012             | 29 00    | 1,251.65      | 1,136 51   | 0 00           | 115.14             | 115.14            | ST                |
|   | Jan 20 2012                    | Jul 24 2012             | 9 00     | 384.23        | 352.71     | 0 00           | 31 52              | 31.52             | ST                |
|   | Feb 22 2012                    | Jul 24 2012             | 8 00     | 341.54        | 305 28     | 0 00           | 36 26              | 36.26             | ST                |
|   | May 14 2012                    | Jul 24 2012             | 17 00    | 725 77        | 648.87     | 0 00           | 76 90              | 76.90             | ST                |
|   | May 16 2012                    | Jul 24 2012             | 47 00    | 2,006 53      | 1,796 36   | 0 00           | 210.17             | 210.17            | ST                |
|   | May 17 2012                    | Jul 24 2012             | 11 00    | 469 62        | 420 72     | 0 00           | 48 90              | 48.90             | ST                |
|   | May 17 2012                    | Jul 25 2012             | 39 00    | 1,661 04      | 1,491 63   | 0 00           | 169 41             | 169.41            | ST                |
| SANOFI SPONSORED ADR CMN                      | Dec 07 2011                    | Jul 31 2012             | 25 00    | 1,026.96      | 886 69     | 0 00           | 140 27             | 140.27            | ST                |
|   | Dec 22 2011                    | Jul 31 2012             | 6 00     | 246 47        | 215 64     | 0 00           | 30 83              | 30.83             | ST                |
| CISCO SYSTEMS, INC CMN                        | Sep 21 2011                    | Aug 01 2012             | 32 00    | 507 35        | 520 48     | 0 00           | (13.13)            | (13.13)           | ST                |
| SANOFI SPONSORED ADR CMN                      | Dec 22 2011                    | Aug 01 2012             | 25 00    | 1,013.03      | 898.50     | 0 00           | 114.53             | 114.53            | ST                |
|   | Dec 22 2011                    | Aug 02 2012             | 11 00    | 444.53        | 395.34     | 0 00           | 49.19              | 49.19             | ST                |
|   | Jan 20 2012                    | Aug 02 2012             | 32 00    | 1,293 17      | 1,152 64   | 0 00           | 140 53             | 140.53            | ST                |
|   | Feb 22 2012                    | Aug 02 2012             | 9 00     | 363 70        | 333 45     | 0 00           | 30 25              | 30.25             | ST                |



Statement Detail

**SCHLUMBERGER INSTITUTIONAL CAPITAL: LCV**  
Realized Gains and Losses (Continued)

Period Ended December 31, 2012

|                                    | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity | Sale Proceeds | Cost Basis | FX Gain (Loss) | Market Gain (Loss) | Total Gain (Loss) | Holding<br>Period |
|------------------------------------|--------------------------------|-------------------------|----------|---------------|------------|----------------|--------------------|-------------------|-------------------|
| Pfizer Inc CMN                     | Sep 21 2011                    | Aug 05 2012             | 39.00    | 947.16        | 707.85     | 0.00           | 239.31             | 239.31            | ST                |
| PHILLIPS 66 CMN                    | Feb 02 2012                    | Aug 08 2012             | 10.00    | 397.18        | 303.89     | 0.00           | 93.29              | 93.29             | ST                |
|                                    | Feb 03 2012                    | Aug 08 2012             | 1.00     | 39.72         | 33.62      | 0.00           | 6.10               | 6.10              | ST                |
| PROCTER & GAMBLE COMPANY (THE) CMN | Jan 20 2012                    | Aug 13 2012             | 20.00    | 1,327.90      | 1,320.80   | 0.00           | 7.10               | 7.10              | ST                |
|                                    | Jan 20 2012                    | Aug 14 2012             | 18.00    | 1,203.93      | 1,188.72   | 0.00           | 15.21              | 15.21             | ST                |
|                                    | Feb 02 2012                    | Aug 14 2012             | 4.00     | 267.54        | 253.69     | 0.00           | 13.85              | 13.85             | ST                |
| METLIFE, INC. CMN                  | Nov 22 2011                    | Aug 15 2012             | 19.00    | 659.87        | 567.53     | 0.00           | 92.34              | 92.34             | ST                |
|                                    | Nov 28 2011                    | Aug 15 2012             | 2.00     | 69.46         | 58.14      | 0.00           | 11.32              | 11.32             | ST                |
|                                    | Dec 02 2011                    | Aug 15 2012             | 1.00     | 34.73         | 31.87      | 0.00           | 2.86               | 2.86              | ST                |
| PHILLIPS 66 CMN                    | Feb 03 2012                    | Aug 21 2012             | 6.00     | 250.70        | 201.70     | 0.00           | 49.00              | 49.00             | ST                |
| BB&T CORPORATION CMN               | Sep 21 2011                    | Aug 23 2012             | 17.00    | 532.04        | 373.66     | 0.00           | 158.38             | 158.38            | ST                |
| METLIFE, INC. CMN                  | Dec 02 2011                    | Aug 23 2012             | 13.00    | 450.45        | 414.37     | 0.00           | 36.08              | 36.08             | ST                |
|                                    | Dec 22 2011                    | Aug 23 2012             | 4.00     | 138.60        | 124.36     | 0.00           | 14.24              | 14.24             | ST                |
| PHILLIPS 66 CMN                    | Feb 03 2012                    | Aug 23 2012             | 3.00     | 124.46        | 96.82      | 0.00           | 27.64              | 27.64             | ST                |
|                                    | Feb 03 2012                    | Aug 23 2012             | 5.00     | 207.43        | 168.09     | 0.00           | 39.34              | 39.34             | ST                |
|                                    | Feb 03 2012                    | Aug 23 2012             | 1.00     | 41.49         | 32.35      | 0.00           | 9.14               | 9.14              | ST                |
|                                    | Feb 06 2012                    | Aug 23 2012             | 4.00     | 165.94        | 129.83     | 0.00           | 36.11              | 36.11             | ST                |
| PROCTER & GAMBLE COMPANY (THE) CMN | Feb 02 2012                    | Aug 23 2012             | 12.00    | 800.99        | 761.07     | 0.00           | 39.92              | 39.92             | ST                |
| MCKESSON CORPORATION CMN           | Mar 08 2012                    | Sep 11 2012             | 3.00     | 262.79        | 254.42     | 0.00           | 8.37               | 8.37              | ST                |
|                                    | Mar 08 2012                    | Sep 12 2012             | 3.00     | 261.96        | 254.42     | 0.00           | 7.56               | 7.56              | ST                |
|                                    | Mar 08 2012                    | Sep 13 2012             | 9.00     | 790.04        | 763.27     | 0.00           | 26.77              | 26.77             | ST                |
|                                    | Mar 09 2012                    | Sep 13 2012             | 1.00     | 87.79         | 86.97      | 0.00           | 0.82               | 0.82              | ST                |
| PHILLIPS 66 CMN                    | Feb 06 2012                    | Sep 14 2012             | 4.00     | 190.06        | 129.83     | 0.00           | 60.23              | 60.23             | ST                |
|                                    | Feb 07 2012                    | Sep 14 2012             | 5.00     | 237.58        | 164.71     | 0.00           | 72.87              | 72.87             | ST                |
|                                    | Feb 07 2012                    | Sep 18 2012             | 4.00     | 181.69        | 131.77     | 0.00           | 49.92              | 49.92             | ST                |
|                                    | Feb 08 2012                    | Sep 18 2012             | 9.00     | 408.80        | 279.84     | 0.00           | 128.96             | 128.96            | ST                |
|                                    | Feb 21 2012                    | Sep 18 2012             | 2.00     | 90.85         | 67.83      | 0.00           | 23.02              | 23.02             | ST                |
|                                    | Feb 21 2012                    | Oct 02 2012             | 3.00     | 139.33        | 101.74     | 0.00           | 37.59              | 37.59             | ST                |
|                                    | Feb 22 2012                    | Oct 02 2012             | 11.00    | 510.88        | 375.05     | 0.00           | 135.83             | 135.83            | ST                |
|                                    | Mar 09 2012                    | Oct 02 2012             | 3.00     | 139.33        | 123.85     | 0.00           | 15.48              | 15.48             | ST                |



Statement Detail

**SCHLUMBERGER INSTITUTIONAL CAPITAL: LCV**  
Realized Gains and Losses (Continued)

Period Ended December 31, 2012

| Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds | Cost Basis | FX Gain (Loss) | Market Gain (Loss) | Total Gain (Loss) | Holding Period |
|-----------------------------|----------------------|----------|---------------|------------|----------------|--------------------|-------------------|----------------|
| Mar 16 2012                 | Oct 02 2012          | 2.00     | 92.89         | 70.79      | 0.00           | 22.10              | 22.10             | ST             |
| May 02 2012                 | Oct 02 2012          | 1.00     | 46.44         | 32.01      | 0.00           | 14.43              | 14.43             | ST             |
| Feb 02 2012                 | Oct 05 2012          | 6.00     | 418.29        | 380.53     | 0.00           | 37.76              | 37.76             | ST             |
| Feb 22 2012                 | Oct 05 2012          | 8.00     | 557.72        | 516.24     | 0.00           | 41.48              | 41.48             | ST             |
| Feb 22 2012                 | Oct 08 2012          | 2.00     | 138.26        | 129.06     | 0.00           | 9.20               | 9.20              | ST             |
| Mar 08 2012                 | Oct 08 2012          | 8.00     | 553.02        | 535.85     | 0.00           | 17.17              | 17.17             | ST             |
| Mar 12 2012                 | Oct 08 2012          | 17.00    | 1,175.17      | 1,146.59   | 0.00           | 28.58              | 28.58             | ST             |
| Mar 12 2012                 | Oct 09 2012          | 19.00    | 1,304.41      | 1,281.48   | 0.00           | 22.93              | 22.93             | ST             |
| Mar 12 2012                 | Oct 10 2012          | 7.00     | 478.60        | 472.12     | 0.00           | 6.48               | 6.48              | ST             |
| Mar 14 2012                 | Oct 10 2012          | 7.00     | 478.61        | 475.27     | 0.00           | 3.34               | 3.34              | ST             |
| Mar 20 2012                 | Oct 10 2012          | 9.00     | 615.35        | 606.25     | 0.00           | 9.10               | 9.10              | ST             |
| Mar 22 2012                 | Oct 10 2012          | 9.00     | 615.35        | 607.97     | 0.00           | 7.38               | 7.38              | ST             |
| Sep 21 2011                 | Oct 11 2012          | 8.00     | 224.41        | 223.52     | 0.00           | 0.89               | 0.89              | LT             |
| Mar 22 2012                 | Oct 11 2012          | 1.00     | 67.92         | 67.55      | 0.00           | 0.37               | 0.37              | ST             |
| Apr 04 2012                 | Oct 11 2012          | 12.00    | 815.04        | 808.60     | 0.00           | 6.44               | 6.44              | ST             |
| Sep 21 2011                 | Oct 12 2012          | 8.00     | 87.28         | 89.20      | 0.00           | (1.92)             | (1.92)            | LT             |
| Sep 21 2011                 | Oct 12 2012          | 7.00     | 196.10        | 195.58     | 0.00           | 0.52               | 0.52              | LT             |
| Sep 21 2011                 | Oct 15 2012          | 40.00    | 437.28        | 446.00     | 0.00           | (8.72)             | (8.72)            | LT             |
| Sep 21 2011                 | Oct 15 2012          | 5.00     | 140.47        | 139.70     | 0.00           | 0.77               | 0.77              | LT             |
| Sep 21 2011                 | Oct 16 2012          | 62.00    | 695.62        | 691.30     | 0.00           | 4.32               | 4.32              | LT             |
| Sep 21 2011                 | Oct 16 2012          | 23.00    | 660.41        | 642.62     | 0.00           | 17.79              | 17.79             | LT             |
| Sep 21 2011                 | Oct 17 2012          | 35.00    | 390.38        | 390.25     | 0.00           | 0.13               | 0.13              | LT             |
| Sep 21 2011                 | Oct 18 2012          | 9.00     | 100.62        | 100.35     | 0.00           | 0.27               | 0.27              | LT             |
| Sep 27 2011                 | Oct 18 2012          | 8.00     | 89.44         | 87.57      | 0.00           | 1.87               | 1.87              | LT             |
| Oct 21 2011                 | Oct 18 2012          | 16.00    | 178.88        | 188.59     | 0.00           | (9.71)             | (9.71)            | ST             |
| Oct 24 2011                 | Oct 18 2012          | 21.00    | 234.78        | 250.01     | 0.00           | (15.23)            | (15.23)           | ST             |
| May 02 2012                 | Oct 18 2012          | 11.00    | 506.60        | 352.11     | 0.00           | 154.49             | 154.49            | ST             |
| May 03 2012                 | Oct 18 2012          | 10.00    | 460.55        | 316.69     | 0.00           | 143.86             | 143.86            | ST             |
| Oct 24 2011                 | Oct 19 2012          | 59.00    | 643.14        | 702.40     | 0.00           | (59.26)            | (59.26)           | ST             |
| Sep 21 2011                 | Oct 19 2012          | 13.00    | 371.91        | 363.22     | 0.00           | 8.69               | 8.69              | LT             |
| May 03 2012                 | Oct 19 2012          | 14.00    | 631.55        | 443.36     | 0.00           | 188.19             | 188.19            | ST             |
| Oct 24 2011                 | Oct 22 2012          | 18.00    | 195.68        | 214.29     | 0.00           | (18.61)            | (18.61)           | ST             |
| Nov 22 2011                 | Oct 22 2012          | 30.00    | 326.14        | 318.15     | 0.00           | 7.99               | 7.99              | ST             |
| Sep 21 2011                 | Oct 22 2012          | 10.00    | 281.94        | 279.40     | 0.00           | 2.54               | 2.54              | LT             |



Statement Detail

**SCHLUMBERGER INSTITUTIONAL CAPITAL: LCV**  
Realized Gains and Losses (Continued)

Period Ended December 31, 2012

|  | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity | Sale Proceeds | Cost Basis | FX Gain (Loss) | Market Gain (Loss) | Total Gain (Loss) | Holding<br>Period |
|--|--------------------------------|-------------------------|----------|---------------|------------|----------------|--------------------|-------------------|-------------------|
| APPLIED MATERIALS INC CMN                          | Nov 22 2011                    | Oct 23 2012             | 20.00    | 214.12        | 212.10     | 0.00           | 2.02               | 2.02              | ST                |
| ARCHER DANIELS MIDLAND CO CMN                      | Sep 21 2011                    | Oct 23 2012             | 1.00     | 27.31         | 27.94      | 0.00           | (0.63)             | (0.63)            | LT                |
|  | Oct 24 2011                    | Oct 23 2012             | 13.00    | 355.05        | 376.09     | 0.00           | (21.04)            | (21.04)           | ST                |
| APPLIED MATERIALS INC CMN                          | Nov 22 2011                    | Oct 24 2012             | 40.00    | 429.42        | 424.20     | 0.00           | 5.22               | 5.22              | ST                |
| ARCHER DANIELS MIDLAND CO CMN                      | Oct 24 2011                    | Oct 24 2012             | 8.00     | 216.76        | 231.44     | 0.00           | (14.68)            | (14.68)           | ST                |
| APPLIED MATERIALS INC CMN                          | Nov 22 2011                    | Oct 25 2012             | 21.00    | 224.20        | 222.71     | 0.00           | 1.49               | 1.49              | ST                |
|  | Nov 23 2011                    | Oct 25 2012             | 10.00    | 106.76        | 102.39     | 0.00           | 4.37               | 4.37              | ST                |
| ARCHER DANIELS MIDLAND CO CMN                      | Oct 24 2011                    | Oct 25 2012             | 5.00     | 134.77        | 144.65     | 0.00           | (9.88)             | (9.88)            | LT                |
| APPLIED MATERIALS INC CMN                          | Nov 23 2011                    | Oct 26 2012             | 7.00     | 74.43         | 71.67      | 0.00           | 2.76               | 2.76              | ST                |
|  | Dec 22 2011                    | Oct 26 2012             | 3.00     | 31.90         | 31.62      | 0.00           | 0.28               | 0.28              | ST                |
| ARCHER DANIELS MIDLAND CO CMN                      | Oct 24 2011                    | Oct 26 2012             | 8.00     | 215.86        | 231.44     | 0.00           | (15.58)            | (15.58)           | LT                |
| OCCIDENTAL PETROLEUM CORP CMN                      | Sep 27 2011                    | Oct 26 2012             | 2.00     | 161.75        | 160.18     | 0.00           | 1.57               | 1.57              | LT                |
|  | Oct 07 2011                    | Oct 26 2012             | 3.00     | 242.63        | 233.87     | 0.00           | 8.76               | 8.76              | LT                |
|  | Oct 07 2011                    | Oct 26 2012             | 1.00     | 80.88         | 78.19      | 0.00           | 2.69               | 2.69              | LT                |
|  | Oct 13 2011                    | Oct 26 2012             | 1.00     | 80.88         | 79.69      | 0.00           | 1.19               | 1.19              | LT                |
| APPLIED MATERIALS INC CMN                          | Dec 22 2011                    | Oct 31 2012             | 82.00    | 869.39        | 864.28     | 0.00           | 5.11               | 5.11              | ST                |
| ARCHER DANIELS MIDLAND CO CMN                      | Oct 24 2011                    | Oct 31 2012             | 7.00     | 186.29        | 202.51     | 0.00           | (16.22)            | (16.22)           | LT                |
|  | Nov 22 2011                    | Oct 31 2012             | 10.00    | 266.12        | 286.60     | 0.00           | (20.48)            | (20.48)           | ST                |
| OCCIDENTAL PETROLEUM CORP CMN                      | Oct 13 2011                    | Oct 31 2012             | 1.00     | 79.03         | 79.69      | 0.00           | (0.66)             | (0.66)            | LT                |
|  | Oct 24 2011                    | Oct 31 2012             | 11.00    | 869.27        | 962.61     | 0.00           | (93.34)            | (93.34)           | LT                |
| OCCIDENTAL PETROLEUM CORP CMN<br>DISALLOWED LOSSES |                                |                         |          |               |            |                | 0.66               | 0.66              |                   |
| WESTERN UNION COMPANY (THE) CMN                    | Mar 09 2012                    | Oct 31 2012             | 44.00    | 564.49        | 776.34     | 0.00           | (211.85)           | (211.85)          | ST                |
|  | Mar 12 2012                    | Oct 31 2012             | 84.00    | 1,077.66      | 1,494.39   | 0.00           | (416.73)           | (416.73)          | ST                |
| APPLIED MATERIALS INC CMN                          | Dec 22 2011                    | Nov 01 2012             | 7.00     | 75.86         | 73.78      | 0.00           | 2.08               | 2.08              | ST                |
|  | Jan 20 2012                    | Nov 01 2012             | 56.00    | 606.84        | 696.92     | 0.00           | (90.08)            | (90.08)           | ST                |
| ARCHER DANIELS MIDLAND CO CMN                      | Nov 22 2011                    | Nov 01 2012             | 19.00    | 516.32        | 544.54     | 0.00           | (28.22)            | (28.22)           | ST                |
| OCCIDENTAL PETROLEUM CORP CMN                      | Oct 13 2011                    | Nov 01 2012             | 1.00     | 79.73         | 83.75      | 0.00           | (4.02)             | (4.02)            | LT                |
|  | Oct 24 2011                    | Nov 01 2012             | 5.00     | 398.67        | 457.88     | 0.00           | (59.21)            | (59.21)           | LT                |
|  | Oct 24 2011                    | Nov 01 2012             | 9.00     | 717.60        | 787.59     | 0.00           | (69.99)            | (69.99)           | LT                |



Statement Detail

**SCHLUMBERGER INSTITUTIONAL CAPITAL: LCV**  
Realized Gains and Losses (Continued)

Period Ended December 31, 2012

|                                 | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity | Sale Proceeds | Cost Basis | FX Gain (Loss) | Market Gain (Loss) | Total Gain (Loss) | Holding<br>Period |
|---------------------------------|--------------------------------|-------------------------|----------|---------------|------------|----------------|--------------------|-------------------|-------------------|
| OCCIDENTAL PETROLEUM CORP CMN   |                                |                         |          |               |            |                |                    |                   |                   |
| DISALLOWED LOSSES               |                                |                         |          |               |            |                |                    |                   |                   |
| WESTERN UNION COMPANY (THE) CMN |                                |                         |          |               |            |                |                    |                   |                   |
|                                 | Mar 12 2012                    | Nov 01 2012             | 1.00     | 12.48         | 17.79      | 0.00           | (5.31)             | (5.31)            | ST                |
|                                 | Mar 14 2012                    | Nov 01 2012             | 37.00    | 461.76        | 672.49     | 0.00           | (210.73)           | (210.73)          | ST                |
|                                 | Mar 15 2012                    | Nov 01 2012             | 52.00    | 648.96        | 944.35     | 0.00           | (295.39)           | (295.39)          | ST                |
|                                 | Mar 16 2012                    | Nov 01 2012             | 25.00    | 312.00        | 449.97     | 0.00           | (137.97)           | (137.97)          | ST                |
|                                 | Mar 23 2012                    | Nov 01 2012             | 6.00     | 74.88         | 107.51     | 0.00           | (32.63)            | (32.63)           | ST                |
|                                 | Apr 24 2012                    | Nov 01 2012             | 22.00    | 274.56        | 397.82     | 0.00           | (123.26)           | (123.26)          | ST                |
|                                 | Apr 25 2012                    | Nov 01 2012             | 10.00    | 124.80        | 181.25     | 0.00           | (56.45)            | (56.45)           | ST                |
|                                 | Jan 20 2012                    | Nov 02 2012             | 53.00    | 575.33        | 659.59     | 0.00           | (84.26)            | (84.26)           | ST                |
|                                 | Feb 20 2012                    | Nov 02 2012             | 20.00    | 217.10        | 257.20     | 0.00           | (40.10)            | (40.10)           | ST                |
| APPLIED MATERIALS INC CMN       |                                |                         |          |               |            |                |                    |                   |                   |
|                                 | Nov 22 2011                    | Nov 02 2012             | 10.00    | 270.53        | 286.60     | 0.00           | (16.07)            | (16.07)           | ST                |
|                                 | Dec 22 2011                    | Nov 02 2012             | 1.00     | 27.05         | 28.77      | 0.00           | (1.72)             | (1.72)            | ST                |
|                                 | Dec 22 2011                    | Nov 05 2012             | 9.00     | 239.00        | 258.91     | 0.00           | (19.91)            | (19.91)           | ST                |
|                                 | Dec 22 2011                    | Nov 05 2012             | 29.00    | 999.50        | 901.61     | 0.00           | 97.89              | 97.89             | ST                |
| ARCHER DANIELS MIDLAND CO CMN   |                                |                         |          |               |            |                |                    |                   |                   |
|                                 | Dec 22 2011                    | Nov 06 2012             | 25.00    | 666.75        | 719.19     | 0.00           | (52.44)            | (52.44)           | ST                |
|                                 | Sep 21 2011                    | Nov 06 2012             | 37.00    | 1,084.10      | 813.26     | 0.00           | 270.84             | 270.84            | LT                |
|                                 | Dec 22 2011                    | Nov 06 2012             | 22.00    | 773.00        | 683.98     | 0.00           | 89.02              | 89.02             | ST                |
|                                 | Jan 20 2012                    | Nov 06 2012             | 56.00    | 1,967.63      | 2,032.80   | 0.00           | (65.17)            | (65.17)           | ST                |
|                                 | Feb 22 2012                    | Nov 06 2012             | 14.00    | 491.91        | 530.88     | 0.00           | (38.97)            | (38.97)           | ST                |
| ARCHER DANIELS MIDLAND CO CMN   |                                |                         |          |               |            |                |                    |                   |                   |
|                                 | Dec 22 2011                    | Nov 07 2012             | 8.00     | 207.77        | 230.14     | 0.00           | (22.37)            | (22.37)           | ST                |
|                                 | Dec 22 2011                    | Nov 08 2012             | 2.00     | 51.85         | 57.54      | 0.00           | (5.69)             | (5.69)            | ST                |
|                                 | Jan 20 2012                    | Nov 08 2012             | 22.00    | 570.26        | 658.68     | 0.00           | (88.42)            | (88.42)           | ST                |
|                                 | Jan 20 2012                    | Nov 09 2012             | 14.00    | 359.29        | 419.16     | 0.00           | (60.87)            | (60.87)           | ST                |
| PHILLIPS 66 CMN                 |                                |                         |          |               |            |                |                    |                   |                   |
|                                 | May 04 2012                    | Nov 09 2012             | 14.00    | 668.58        | 427.41     | 0.00           | 241.17             | 241.17            | ST                |
|                                 | May 04 2012                    | Nov 09 2012             | 15.00    | 716.34        | 462.89     | 0.00           | 253.45             | 253.45            | ST                |
|                                 | May 07 2012                    | Nov 09 2012             | 18.00    | 859.60        | 536.79     | 0.00           | 322.81             | 322.81            | ST                |
|                                 | Jun 05 2012                    | Nov 09 2012             | 17.00    | 811.85        | 507.11     | 0.00           | 304.74             | 304.74            | ST                |
|                                 | Jun 29 2012                    | Nov 09 2012             | 10.00    | 477.56        | 330.45     | 0.00           | 147.11             | 147.11            | ST                |
| ARCHER DANIELS MIDLAND CO CMN   |                                |                         |          |               |            |                |                    |                   |                   |
|                                 | Feb 22 2012                    | Nov 12 2012             | 9.00     | 227.46        | 283.77     | 0.00           | (56.31)            | (56.31)           | ST                |
|                                 | May 31 2012                    | Nov 12 2012             | 14.00    | 353.82        | 446.18     | 0.00           | (92.36)            | (92.36)           | ST                |
| PFIZER INC. CMN                 |                                |                         |          |               |            |                |                    |                   |                   |
|                                 | Sep 21 2011                    | Nov 12 2012             | 70.00    | 1,683.25      | 1,270.50   | 0.00           | 412.75             | 412.75            | LT                |
| TIME WARNER INC. CMN            |                                |                         |          |               |            |                |                    |                   |                   |
|                                 | Sep 21 2011                    | Nov 12 2012             | 5.00     | 223.12        | 154.40     | 0.00           | 68.72              | 68.72             | LT                |
| ARCHER DANIELS MIDLAND CO CMN   |                                |                         |          |               |            |                |                    |                   |                   |
|                                 | May 31 2012                    | Nov 13 2012             | 3.00     | 74.79         | 95.61      | 0.00           | (20.82)            | (20.82)           | ST                |

46.66



Statement Detail

**SCHLUMBERGER INSTITUTIONAL CAPITAL: LCV**  
Realized Gains and Losses (Continued)

Period Ended December 31, 2012

| Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds | Cost Basis | FX Gain (Loss) | Market Gain (Loss) | Total Gain (Loss) | Holding Period |
|-----------------------------|----------------------|----------|---------------|------------|----------------|--------------------|-------------------|----------------|
| Jul 18 2012                 | Nov 13 2012          | 9.00     | 224.36        | 247.93     | 0.00           | (23.57)            | (23.57)           | ST             |
| Sep 21 2011                 | Nov 13 2012          | 8.00     | 357.57        | 247.04     | 0.00           | 110.53             | 110.53            | LT             |
| Oct 24 2011                 | Nov 15 2012          | 6.00     | 445.01        | 545.21     | 0.00           | (100.20)           | (100.20)          | LT             |
| Oct 24 2011                 | Nov 15 2012          | 6.00     | 445.01        | 549.46     | 0.00           | (104.45)           | (104.45)          | LT             |
| Nov 22 2011                 | Nov 15 2012          | 19.00    | 1,409.20      | 1,747.43   | 0.00           | (338.23)           | (338.23)          | ST             |
| Dec 22 2011                 | Nov 15 2012          | 4.00     | 296.67        | 376.48     | 0.00           | (79.81)            | (79.81)           | ST             |
| Dec 22 2011                 | Nov 16 2012          | 14.00    | 1,018.58      | 1,317.68   | 0.00           | (299.10)           | (299.10)          | ST             |
| Jan 20 2012                 | Nov 16 2012          | 6.00     | 436.53        | 600.12     | 0.00           | (163.59)           | (163.59)          | ST             |
| Jan 20 2012                 | Nov 19 2012          | 9.00     | 678.39        | 900.18     | 0.00           | (221.79)           | (221.79)          | ST             |
| Feb 22 2012                 | Nov 19 2012          | 4.00     | 301.51        | 412.68     | 0.00           | (111.17)           | (111.17)          | ST             |
| Mar 23 2012                 | Nov 19 2012          | 3.00     | 226.13        | 292.42     | 0.00           | (66.29)            | (66.29)           | ST             |
| Apr 02 2012                 | Nov 19 2012          | 8.00     | 603.02        | 771.52     | 0.00           | (168.50)           | (168.50)          | ST             |
| Apr 19 2012                 | Nov 19 2012          | 4.00     | 301.51        | 354.32     | 0.00           | (52.81)            | (52.81)           | ST             |
| Apr 24 2012                 | Nov 19 2012          | 10.00    | 753.77        | 880.17     | 0.00           | (126.40)           | (126.40)          | ST             |
| Apr 25 2012                 | Nov 19 2012          | 6.00     | 452.26        | 535.03     | 0.00           | (82.77)            | (82.77)           | ST             |
| May 09 2012                 | Nov 19 2012          | 6.00     | 452.26        | 511.06     | 0.00           | (58.80)            | (58.80)           | ST             |
| Jun 06 2012                 | Nov 19 2012          | 3.00     | 226.13        | 251.18     | 0.00           | (25.05)            | (25.05)           | ST             |
| Sep 06 2012                 | Nov 19 2012          | 4.00     | 301.51        | 338.97     | 0.00           | (37.46)            | (37.46)           | ST             |
| Oct 24 2011                 | Nov 29 2012          | 29.00    | 785.22        | 791.70     | 0.00           | (6.48)             | (6.48)            | LT             |
| Nov 01 2011                 | Nov 29 2012          | 15.00    | 406.15        | 392.35     | 0.00           | 13.80              | 13.80             | LT             |
| Sep 21 2011                 | Nov 30 2012          | 2.00     | 182.78        | 135.24     | 0.00           | 47.54              | 47.54             | LT             |
| Nov 01 2011                 | Dec 04 2012          | 10.00    | 264.09        | 261.56     | 0.00           | 2.53               | 2.53              | LT             |
| Nov 22 2011                 | Dec 04 2012          | 117.00   | 3,089.91      | 2,905.70   | 0.00           | 184.21             | 184.21            | LT             |
| Nov 22 2011                 | Dec 05 2012          | 26.00    | 685.03        | 645.71     | 0.00           | 39.32              | 39.32             | LT             |
| Dec 22 2011                 | Dec 05 2012          | 47.00    | 1,238.33      | 1,212.50   | 0.00           | 25.83              | 25.83             | ST             |
| Dec 22 2011                 | Dec 07 2012          | 29.00    | 765.00        | 748.14     | 0.00           | 16.86              | 16.86             | ST             |
| Dec 22 2011                 | Dec 10 2012          | 53.00    | 1,425.78      | 1,367.28   | 0.00           | 58.50              | 58.50             | ST             |
| Sep 21 2011                 | Dec 20 2012          | 8.00     | 739.23        | 540.96     | 0.00           | 198.27             | 198.27            | LT             |
| Oct 24 2011                 | Dec 20 2012          | 11.00    | 382.77        | 291.17     | 0.00           | 91.60              | 91.60             | LT             |
| Nov 02 2011                 | Dec 20 2012          | 10.00    | 347.98        | 252.43     | 0.00           | 95.55              | 95.55             | LT             |



Statement Detail

**SCHLUMBERGER INSTITUTIONAL CAPITAL: LCV**  
Realized Gains and Losses (Continued)

Period Ended December 31, 2012

|                                      | Sale Proceeds     | Cost Basis        | FX Gain (Loss) | Market Gain (Loss) | Total Gain (Loss) |
|--------------------------------------|-------------------|-------------------|----------------|--------------------|-------------------|
| SHORT TERM GAINS                     | 149,651.09        | 132,271.19        | 0.00           | 17,379.90          | 17,379.90         |
| SHORT TERM LOSSES                    | 59,826.19         | 65,949.64         | 0.00           | (6,123.45)         | (6,123.45)        |
| SHORT TERM DISALLOWED LOSSES         |                   |                   |                |                    | 105.43            |
| <b>NET SHORT TERM GAINS (LOSSES)</b> | <b>209,477.28</b> | <b>198,220.83</b> | <b>0.00</b>    | <b>11,256.45</b>   | <b>11,361.88</b>  |
| LONG TERM GAINS                      | 13,163.42         | 11,575.76         | 0.00           | 1,587.66           | 1,587.66          |
| LONG TERM LOSSES                     | 4,908.33          | 5,399.63          | 0.00           | (491.30)           | (491.30)          |
| LONG TERM DISALLOWED LOSSES          |                   |                   |                |                    | 140.66            |
| <b>NET LONG TERM GAINS (LOSSES)</b>  | <b>18,071.75</b>  | <b>16,975.39</b>  | <b>0.00</b>    | <b>1,096.36</b>    | <b>1,237.02</b>   |



**SCHLUMBERGER NEUBERGER BERMAN: LCG**  
Realized Gains and Losses

Period Ended December 31, 2012

**YEAR TO DATE GAINS AND LOSSES**

This information is for general guidance and should not be used for tax purposes. You should use only your official form 1099's or other tax records for tax reporting. This information is not, and should not be construed as tax, accounting or legal advice. Please consult with your tax advisor regarding the tax consequences of your investment decisions. The cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account were provided by you or a third party and Goldman Sachs makes no representation as to the accuracy of such information.

|  | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds | Cost Basis | FX Gain (Loss) | Market Gain (Loss) | Total Gain (Loss) | Holding Period |
|--|-----------------------------|----------------------|----------|---------------|------------|----------------|--------------------|-------------------|----------------|
| WAL MART STORES INC CMN                    | Oct 24 2011                 | Jan 05 2012          | 3.00     | 177.89        | 170.31     | 0.00           | 7.58               | 7.58              | ST             |
|  | Nov 22 2011                 | Jan 05 2012          | 23.00    | 1,363.78      | 1,308.24   | 0.00           | 55.54              | 55.54             | ST             |
|  | Dec 22 2011                 | Jan 05 2012          | 7.00     | 415.06        | 414.19     | 0.00           | 0.87               | 0.87              | ST             |
| KIMBERLY CLARK CORP CMN                    | Sep 30 2011                 | Jan 06 2012          | 5.00     | 362.81        | 356.56     | 0.00           | 6.25               | 6.25              | ST             |
|  | Sep 30 2011                 | Jan 06 2012          | 8.00     | 580.49        | 559.48     | 0.00           | 21.01              | 21.01             | ST             |
|  | Oct 24 2011                 | Jan 06 2012          | 12.00    | 870.74        | 831.72     | 0.00           | 39.02              | 39.02             | ST             |
|  | Nov 22 2011                 | Jan 06 2012          | 4.00     | 290.25        | 277.84     | 0.00           | 12.41              | 12.41             | ST             |
|  | Dec 22 2011                 | Jan 06 2012          | 8.00     | 580.49        | 583.52     | 0.00           | (3.03)             | (3.03)            | ST             |
|  | Sep 21 2011                 | Jan 10 2012          | 8.00     | 594.87        | 632.56     | 0.00           | (37.69)            | (37.69)           | ST             |
| WATERS CORPORATION COMMON STOCK            | Sep 21 2011                 | Jan 10 2012          | 14.00    | 1,041.03      | 1,124.31   | 0.00           | (83.28)            | (83.28)           | ST             |
|  | Oct 24 2011                 | Jan 10 2012          | 13.00    | 966.67        | 1,079.78   | 0.00           | (113.11)           | (113.11)          | ST             |
|  | Dec 22 2011                 | Jan 10 2012          | 9.00     | 669.23        | 670.32     | 0.00           | (1.09)             | (1.09)            | ST             |
|  | Sep 21 2011                 | Jan 12 2012          | 4.00     | 2,519.25      | 2,194.80   | 0.00           | 324.45             | 324.45            | ST             |
| AMERICAN ELECTRIC POWER INC CMN            | Sep 21 2011                 | Jan 19 2012          | 28.00    | 1,152.88      | 1,061.48   | 0.00           | 91.40              | 91.40             | ST             |
|  | Oct 24 2011                 | Jan 19 2012          | 16.00    | 658.79        | 624.64     | 0.00           | 34.15              | 34.15             | ST             |
|  | Nov 22 2011                 | Jan 19 2012          | 17.00    | 699.96        | 639.20     | 0.00           | 60.76              | 60.76             | ST             |
|  | Dec 22 2011                 | Jan 19 2012          | 17.00    | 699.96        | 703.46     | 0.00           | (3.50)             | (3.50)            | ST             |
| KROGER COMPANY CMN                         | Sep 21 2011                 | Jan 19 2012          | 57.00    | 1,370.20      | 1,265.97   | 0.00           | 104.23             | 104.23            | ST             |
|  | Sep 21 2011                 | Jan 24 2012          | 3.00     | 143.75        | 173.00     | 0.00           | (29.25)            | (29.25)           | ST             |
|  | Sep 21 2011                 | Jan 24 2012          | 10.00    | 479.17        | 492.33     | 0.00           | (13.16)            | (13.16)           | ST             |
| LAS VEGAS SANDS CORP CMN DISALLOWED LOSSES | Sep 21 2011                 | Jan 24 2012          | 21.00    | 1,006.25      | 1,036.02   | 0.00           | (29.77)            | (29.77)           | ST             |
|  |                             |                      |          |               |            |                |                    | 29.25             |                |
|  |                             |                      |          |               |            |                |                    | 13.16             |                |
|  |                             |                      |          |               |            |                |                    | 29.77             |                |
| ILLUMINA INC CMN                           | Sep 21 2011                 | Jan 26 2012          | 18.00    | 947.24        | 758.88     | 0.00           | 188.36             | 188.36            | ST             |
|  | Nov 04 2011                 | Jan 26 2012          | 9.00     | 1,037.26      | 812.49     | 0.00           | 224.77             | 224.77            | ST             |



Statement Detail

**SCHLUMBERGER NEUBERGER BERMAN: LCG**  
Realized Gains and Losses (Continued)

Period Ended December 31, 2012

|  | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity | Sale Proceeds | Cost Basis | FX Gain (Loss) | Market Gain (Loss) | Total Gain (Loss) | Holding<br>Period |
|--|--------------------------------|-------------------------|----------|---------------|------------|----------------|--------------------|-------------------|-------------------|
| CITRIX SYSTEMS INC CMN                           | Sep 21 2011                    | Jan 27 2012             | 23.00    | 1,490.45      | 1,301.34   | 0.00           | 189.11             | 189.11            | ST                |
| LORILLARD, INC CMN                               | Oct 03 2011                    | Jan 31 2012             | 8.00     | 871.03        | 910.38     | 0.00           | (39.35)            | (39.35)           | ST                |
|  | Oct 24 2011                    | Jan 31 2012             | 6.00     | 653.27        | 692.16     | 0.00           | (38.89)            | (38.89)           | ST                |
|  | Nov 22 2011                    | Jan 31 2012             | 5.00     | 544.39        | 540.30     | 0.00           | 4.09               | 4.09              | ST                |
|  | Dec 22 2011                    | Jan 31 2012             | 5.00     | 544.39        | 561.85     | 0.00           | (17.46)            | (17.46)           | ST                |
|  | Jan 20 2012                    | Jan 31 2012             | 5.00     | 544.39        | 549.75     | 0.00           | (5.36)             | (5.36)            | ST                |
| YUM BRANDS, INC CMN                              | Sep 21 2011                    | Feb 02 2012             | 14.00    | 892.80        | 752.36     | 0.00           | 140.44             | 140.44            | ST                |
| UNITED TECHNOLOGIES CORP CMN                     | Sep 21 2011                    | Feb 03 2012             | 13.00    | 1,054.90      | 997.10     | 0.00           | 57.80              | 57.80             | ST                |
|  | Oct 24 2011                    | Feb 03 2012             | 6.00     | 486.88        | 460.38     | 0.00           | 26.50              | 26.50             | ST                |
| UNITEDHEALTH GROUP INCORPORATE CMN               | Sep 21 2011                    | Feb 03 2012             | 15.00    | 771.00        | 795.40     | 0.00           | (24.40)            | (24.40)           | ST                |
|  | Sep 21 2011                    | Feb 03 2012             | 20.00    | 1,028.01      | 982.40     | 0.00           | 45.61              | 45.61             | ST                |
| UNITEDHEALTH GROUP INCORPORATE CMN               | Sep 21 2011                    | Feb 09 2012             | 51.00    | 3,461.19      | 3,599.07   | 0.00           | (137.88)           | (137.88)          | ST                |
| DISALLOWED LOSSES                                |                                |                         |          |               |            |                |                    | 86.51             |                   |
| COCA-COLA COMPANY (THE) CMN                      | Sep 21 2011                    | Feb 09 2012             | 51.00    | 3,461.19      | 3,599.07   | 0.00           | (137.88)           | (137.88)          | ST                |
| COCA-COLA COMPANY (THE) CMN DISALLOWED<br>LOSSES |                                |                         |          |               |            |                |                    | 86.51             |                   |
| KROGER COMPANY CMN                               | Sep 21 2011                    | Feb 09 2012             | 8.00     | 190.33        | 177.68     | 0.00           | 12.65              | 12.65             | ST                |
|  | Oct 24 2011                    | Feb 09 2012             | 36.00    | 856.49        | 837.00     | 0.00           | 19.49              | 19.49             | ST                |
|  | Nov 22 2011                    | Feb 09 2012             | 39.00    | 927.86        | 854.10     | 0.00           | 73.76              | 73.76             | ST                |
|  | Dec 22 2011                    | Feb 09 2012             | 37.00    | 880.28        | 895.03     | 0.00           | (14.75)            | (14.75)           | ST                |
|  | Jan 20 2012                    | Feb 09 2012             | 20.00    | 475.83        | 477.30     | 0.00           | (1.47)             | (1.47)            | ST                |
| TYCO INTERNATIONAL LTD CMN                       | Sep 21 2011                    | Feb 13 2012             | 24.00    | 1,190.87      | 1,075.20   | 0.00           | 115.67             | 115.67            | ST                |
|  | Oct 24 2011                    | Feb 13 2012             | 14.00    | 694.68        | 634.06     | 0.00           | 60.62              | 60.62             | ST                |
|  | Nov 22 2011                    | Feb 13 2012             | 14.00    | 694.68        | 637.28     | 0.00           | 57.40              | 57.40             | ST                |
|  | Dec 22 2011                    | Feb 13 2012             | 14.00    | 694.67        | 648.20     | 0.00           | 46.47              | 46.47             | ST                |
|  | Jan 20 2012                    | Feb 13 2012             | 13.00    | 645.05        | 647.27     | 0.00           | (2.22)             | (2.22)            | ST                |
| COACH INC CMN                                    | Sep 21 2011                    | Feb 21 2012             | 10.00    | 745.13        | 587.40     | 0.00           | 157.73             | 157.73            | ST                |
| STARBUCKS CORP. CMN                              | Sep 21 2011                    | Feb 21 2012             | 15.00    | 730.55        | 614.70     | 0.00           | 115.85             | 115.85            | ST                |
| VF CORP CMN                                      | Sep 21 2011                    | Feb 21 2012             | 6.00     | 869.42        | 742.26     | 0.00           | 127.16             | 127.16            | ST                |
| ABERCROMBIE & FITCH CO CLASS A COMMON<br>STOCK   | Sep 30 2011                    | Feb 27 2012             | 15.00    | 721.31        | 936.87     | 0.00           | (215.56)           | (215.56)          | ST                |
|  | Oct 24 2011                    | Feb 27 2012             | 8.00     | 384.70        | 583.12     | 0.00           | (198.42)           | (198.42)          | ST                |
|  | Nov 04 2011                    | Feb 27 2012             | 8.00     | 384.70        | 463.41     | 0.00           | (78.71)            | (78.71)           | ST                |
|  | Nov 22 2011                    | Feb 27 2012             | 11.00    | 528.96        | 505.45     | 0.00           | 23.51              | 23.51             | ST                |
|  | Dec 22 2011                    | Feb 27 2012             | 12.00    | 577.05        | 594.15     | 0.00           | (17.10)            | (17.10)           | ST                |



Statement Detail

**SCHLUMBERGER NEUBERGER BERMAN: LCG**  
Realized Gains and Losses (Continued)

Period Ended December 31, 2012

|   | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity | Sale Proceeds | Cost Basis | FX Gain (Loss) | Market Gain (Loss) | Total Gain (Loss) | Holding<br>Period |
|---|--------------------------------|-------------------------|----------|---------------|------------|----------------|--------------------|-------------------|-------------------|
|   | Jan 20 2012                    | Feb 27 2012             | 11 00    | 528 96        | 493 35     | 0 00           | 35 61              | 35 61             | ST                |
| EOG RESOURCES INC CMN   | Sep 21 2011                    | Mar 02 2012             | 7 00     | 793 99        | 603 40     | 0 00           | 190 59             | 190 59            | ST                |
| LAS VEGAS SANDS CORP CMN  | Sep 21 2011                    | Mar 02 2012             | 8 00     | 453 88        | 382 70     | 0 00           | 71 18              | 71 18             | ST                |
|   | Sep 21 2011                    | Mar 02 2012             | 11 00    | 624 08        | 541 56     | 0 00           | 82 52              | 82 52             | ST                |
| NATIONAL OILWELL VARCO, INC. COMMON<br>STOCK CMN                      | Sep 21 2011                    | Mar 02 2012             | 10 00    | 821 60        | 605 50     | 0 00           | 216 10             | 216 10            | ST                |
| SCHLUMBERGER LTD CMN  | Sep 21 2011                    | Mar 02 2012             | 10 00    | 772 75        | 669 00     | 0 00           | 103 75             | 103 75            | ST                |
| ORACLE CORPORATION CMN<br>ORACLE CORPORATION CMN DISALLOWED<br>LOSSES | Sep 21 2011                    | Mar 13 2012             | 63 00    | 1,893 80      | 1,925 06   | 0 00           | (31 26)            | (31 26)           | ST                |
|   |                                |                         |          |               |            |                |                    | 12 41             |                   |
| JOHNSON & JOHNSON CMN   | Sep 21 2011                    | Mar 19 2012             | 7 00     | 455 43        | 440 81     | 0 00           | 14 62              | 14 62             | ST                |
|   | Sep 21 2011                    | Mar 19 2012             | 10 00    | 650 62        | 640 00     | 0 00           | 10 62              | 10 62             | ST                |
| UNION PACIFIC CORP CMN  | Oct 05 2011                    | Mar 20 2012             | 11 00    | 1,226 96      | 946 76     | 0 00           | 280 20             | 280 20            | ST                |
|   | Oct 07 2011                    | Mar 20 2012             | 6 00     | 669 25        | 539 29     | 0 00           | 129 96             | 129 96            | ST                |
|   | Oct 24 2011                    | Mar 20 2012             | 2 00     | 223 08        | 195 22     | 0 00           | 27 86              | 27 86             | ST                |
| STERICYCLE INC CMN  | Sep 21 2011                    | Mar 21 2012             | 6 00     | 509 06        | 501 96     | 0 00           | 7 10               | 7 10              | ST                |
|   | Oct 24 2011                    | Mar 21 2012             | 4 00     | 339 38        | 340 48     | 0 00           | (1 10)             | (1 10)            | ST                |
|   | Nov 22 2011                    | Mar 21 2012             | 3 00     | 254 53        | 232 37     | 0 00           | 22 16              | 22 16             | ST                |
|   | Dec 22 2011                    | Mar 21 2012             | 4 00     | 339 38        | 313 52     | 0 00           | 25 86              | 25 86             | ST                |
|   | Jan 20 2012                    | Mar 21 2012             | 3 00     | 254 53        | 252 39     | 0 00           | 2 14               | 2 14              | ST                |
| ORACLE CORPORATION CMN  | Sep 21 2011                    | Mar 26 2012             | 25 00    | 724 70        | 727 41     | 0 00           | (2 71)             | (2 71)            | ST                |
|   | Sep 21 2011                    | Mar 26 2012             | 46 00    | 1,333 43      | 1,405 60   | 0 00           | (72 17)            | (72 17)           | ST                |
|   | Sep 22 2011                    | Mar 26 2012             | 5 00     | 144 94        | 144 57     | 0 00           | 0 37               | 0 37              | ST                |
|   | Oct 24 2011                    | Mar 26 2012             | 60 00    | 1,739 26      | 1,967 40   | 0 00           | (228 14)           | (228 14)          | ST                |
| TIME WARNER INC. CMN  | Sep 21 2011                    | Mar 26 2012             | 30 00    | 1,115 15      | 925 80     | 0 00           | 189 35             | 189 35            | ST                |
|   | Oct 24 2011                    | Mar 26 2012             | 17 00    | 631 92        | 590 75     | 0 00           | 41 17              | 41 17             | ST                |
|   | Nov 22 2011                    | Mar 26 2012             | 18 00    | 669 09        | 589 68     | 0 00           | 79 41              | 79 41             | ST                |
|   | Dec 22 2011                    | Mar 26 2012             | 18 00    | 669 09        | 636 12     | 0 00           | 32 97              | 32 97             | ST                |
|   | Jan 20 2012                    | Mar 26 2012             | 16 00    | 594 74        | 599 20     | 0 00           | (4 46)             | (4 46)            | ST                |
|   | Feb 22 2012                    | Mar 26 2012             | 7 00     | 260 20        | 260 54     | 0 00           | (0 34)             | (0 34)            | ST                |



Statement Detail

**SCHLUMBERGER NEUBERGER BERMAN: LCG**  
Realized Gains and Losses (Continued)

Period Ended December 31, 2012

|  | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity | Sale Proceeds | Cost Basis | FX Gain (Loss) | Market Gain (Loss) | Total Gain (Loss) | Holding<br>Period |
|--|--------------------------------|-------------------------|----------|---------------|------------|----------------|--------------------|-------------------|-------------------|
| APPLE, INC. CMN                              | Sep 21 2011                    | Apr 17 2012             | 3.00     | 1,815.21      | 1,255.59   | 0.00           | 559.62             | 559.62            | ST                |
|  | Sep 21 2011                    | Apr 17 2012             | 2.00     | 128.26        | 130.43     | 0.00           | (2.17)             | (2.17)            | ST                |
|  | Sep 21 2011                    | Apr 17 2012             | 11.00    | 705.43        | 692.70     | 0.00           | 12.73              | 12.73             | ST                |
|  | Oct 24 2011                    | Apr 17 2012             | 23.00    | 1,474.98      | 1,480.97   | 0.00           | (5.99)             | (5.99)            | ST                |
|  | Dec 22 2011                    | Apr 17 2012             | 11.00    | 705.42        | 717.20     | 0.00           | (11.78)            | (11.78)           | ST                |
|  | Jan 20 2012                    | Apr 17 2012             | 13.00    | 833.68        | 849.16     | 0.00           | (15.48)            | (15.48)           | ST                |
|  | Feb 22 2012                    | Apr 17 2012             | 5.00     | 320.65        | 325.10     | 0.00           | (4.45)             | (4.45)            | ST                |
| SIGMA-ALDRICH CORPORATION CMN                | Sep 21 2011                    | Apr 17 2012             | 15.00    | 1,082.28      | 951.45     | 0.00           | 130.83             | 130.83            | ST                |
|  | Oct 24 2011                    | Apr 17 2012             | 8.00     | 577.22        | 554.08     | 0.00           | 23.14              | 23.14             | ST                |
|  | Nov 22 2011                    | Apr 17 2012             | 9.00     | 649.37        | 539.28     | 0.00           | 110.09             | 110.09            | ST                |
|  | Dec 22 2011                    | Apr 17 2012             | 8.00     | 577.22        | 504.00     | 0.00           | 73.22              | 73.22             | ST                |
|  | Jan 20 2012                    | Apr 17 2012             | 8.00     | 577.22        | 534.32     | 0.00           | 42.90              | 42.90             | ST                |
|  | Feb 22 2012                    | Apr 17 2012             | 4.00     | 288.61        | 287.40     | 0.00           | 1.21               | 1.21              | ST                |
| MC DONALDS CORP CMN                          | Sep 21 2011                    | Apr 19 2012             | 20.00    | 1,898.59      | 1,780.00   | 0.00           | 118.59             | 118.59            | ST                |
|  | Oct 24 2011                    | Apr 19 2012             | 11.00    | 1,044.22      | 1,011.56   | 0.00           | 32.66              | 32.66             | ST                |
| SALESFORCE.COM, INC CMN                      | Dec 07 2011                    | Apr 19 2012             | 6.00     | 962.87        | 728.48     | 0.00           | 234.39             | 234.39            | ST                |
| APPLE, INC CMN                               | Sep 21 2011                    | Apr 20 2012             | 1.00     | 575.64        | 418.53     | 0.00           | 157.11             | 157.11            | ST                |
|  | Nov 02 2011                    | Apr 20 2012             | 7.00     | 896.69        | 799.35     | 0.00           | 97.34              | 97.34             | ST                |
|  | Nov 22 2011                    | Apr 20 2012             | 1.00     | 128.10        | 113.30     | 0.00           | 14.80              | 14.80             | ST                |
| VERIFONE SYSTEMS INC CMN                     | Sep 21 2011                    | Apr 20 2012             | 14.00    | 756.65        | 546.28     | 0.00           | 210.37             | 210.37            | ST                |
|  | Oct 24 2011                    | Apr 20 2012             | 3.00     | 162.14        | 120.12     | 0.00           | 42.02              | 42.02             | ST                |
| UNITED TECHNOLOGIES CORP CMN                 | Oct 24 2011                    | Apr 23 2012             | 1.00     | 79.95         | 76.73      | 0.00           | 3.22               | 3.22              | ST                |
|  | Nov 22 2011                    | Apr 23 2012             | 7.00     | 559.65        | 511.42     | 0.00           | 48.23              | 48.23             | ST                |
|  | Dec 22 2011                    | Apr 23 2012             | 7.00     | 559.65        | 513.94     | 0.00           | 45.71              | 45.71             | ST                |
|  | Jan 20 2012                    | Apr 23 2012             | 7.00     | 559.65        | 537.39     | 0.00           | 22.26              | 22.26             | ST                |
| EOG RESOURCES INC CMN                        | Sep 21 2011                    | Apr 24 2012             | 4.00     | 417.29        | 344.80     | 0.00           | 72.49              | 72.49             | ST                |
|  | Oct 24 2011                    | Apr 24 2012             | 6.00     | 625.93        | 545.64     | 0.00           | 80.29              | 80.29             | ST                |
| NATIONAL OILWELL VARCO, INC COMMON STOCK CMN | Sep 21 2011                    | Apr 24 2012             | 11.00    | 856.94        | 666.05     | 0.00           | 190.89             | 190.89            | ST                |
|  | Oct 24 2011                    | Apr 24 2012             | 3.00     | 233.71        | 203.91     | 0.00           | 29.80              | 29.80             | ST                |
| JUNIPER NETWORKS, INC. CMN                   | Sep 21 2011                    | Apr 25 2012             | 39.00    | 802.33        | 797.94     | 0.00           | 4.39               | 4.39              | ST                |
|  | Oct 24 2011                    | Apr 25 2012             | 23.00    | 473.17        | 514.05     | 0.00           | (40.88)            | (40.88)           | ST                |
|  | Nov 22 2011                    | Apr 25 2012             | 23.00    | 473.17        | 492.89     | 0.00           | (19.72)            | (19.72)           | ST                |
|  | Dec 22 2011                    | Apr 25 2012             | 23.00    | 473.17        | 477.60     | 0.00           | (4.43)             | (4.43)            | ST                |
|  | Jan 20 2012                    | Apr 25 2012             | 22.00    | 452.60        | 505.56     | 0.00           | (52.96)            | (52.96)           | ST                |



Statement Detail

**SCHLUMBERGER NEUBERGER BERMAN: LCG**  
Realized Gains and Losses (Continued)

Period Ended December 31, 2012

|                                     | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity | Sale Proceeds | Cost Basis | FX Gain (Loss) | Market Gain (Loss) | Total Gain (Loss) | Holding<br>Period |
|-------------------------------------|--------------------------------|-------------------------|----------|---------------|------------|----------------|--------------------|-------------------|-------------------|
| ROCKWELL AUTOMATION INC CMN         | Dec 08 2011                    | Apr 25 2012             | 16.00    | 1,213.79      | 1,219.63   | 0.00           | (5.84)             | (5.84)            | ST                |
| STARWOOD HOTELS & RESORTS CMN       | Sep 21 2011                    | Apr 25 2012             | 19.00    | 1,096.40      | 825.93     | 0.00           | 270.47             | 270.47            | ST                |
| VERIFONE SYSTEMS INC CMN            | Oct 24 2011                    | Apr 27 2012             | 4.00     | 216.34        | 160.16     | 0.00           | 56.18              | 56.18             | ST                |
|                                     | Nov 02 2011                    | Apr 27 2012             | 10.00    | 540.85        | 409.72     | 0.00           | 131.13             | 131.13            | ST                |
|                                     | Nov 22 2011                    | Apr 27 2012             | 11.00    | 594.94        | 459.36     | 0.00           | 135.58             | 135.58            | ST                |
|                                     | Dec 22 2011                    | Apr 27 2012             | 12.00    | 649.03        | 436.56     | 0.00           | 212.47             | 212.47            | ST                |
|                                     | Jan 20 2012                    | Apr 27 2012             | 11.00    | 594.94        | 441.21     | 0.00           | 153.73             | 153.73            | ST                |
| AMERICAN EXPRESS CO. CMN            | Oct 24 2011                    | Apr 30 2012             | 32.00    | 1,920.93      | 1,591.56   | 0.00           | 329.37             | 329.37            | ST                |
|                                     | Nov 22 2011                    | Apr 30 2012             | 15.00    | 900.44        | 691.50     | 0.00           | 208.94             | 208.94            | ST                |
|                                     | Dec 22 2011                    | Apr 30 2012             | 12.00    | 720.35        | 570.84     | 0.00           | 149.51             | 149.51            | ST                |
|                                     | Jan 20 2012                    | Apr 30 2012             | 12.00    | 720.35        | 597.12     | 0.00           | 123.23             | 123.23            | ST                |
| GOOGLE, INC CMN CLASS A             | Sep 21 2011                    | Apr 30 2012             | 3.00     | 1,814.27      | 1,646.10   | 0.00           | 168.17             | 168.17            | ST                |
| SANDISK CORP CMN                    | Dec 07 2011                    | Apr 30 2012             | 25.00    | 927.98        | 1,243.94   | 0.00           | (315.96)           | (315.96)          | ST                |
| JPMORGAN CHASE & CO CMN             | Dec 05 2011                    | May 07 2012             | 56.00    | 2,340.15      | 1,877.66   | 0.00           | 462.49             | 462.49            | ST                |
| MC DONALDS CORP CMN                 | Nov 22 2011                    | May 08 2012             | 12.00    | 1,123.22      | 1,111.44   | 0.00           | 11.78              | 11.78             | ST                |
|                                     | Dec 22 2011                    | May 08 2012             | 11.00    | 1,029.61      | 1,086.80   | 0.00           | (57.19)            | (57.19)           | ST                |
|                                     | Jan 20 2012                    | May 08 2012             | 11.00    | 1,029.61      | 1,116.50   | 0.00           | (86.89)            | (86.89)           | ST                |
|                                     | Feb 22 2012                    | May 08 2012             | 4.00     | 374.40        | 402.48     | 0.00           | (28.08)            | (28.08)           | ST                |
| GILEAD SCIENCES CMN                 | Feb 03 2012                    | May 09 2012             | 32.00    | 1,596.06      | 1,733.04   | 0.00           | (136.98)           | (136.98)          | ST                |
| NATIONAL OILWELL VARCO, INC. COMMON | Oct 24 2011                    | May 09 2012             | 8.00     | 540.77        | 543.76     | 0.00           | (2.99)             | (2.99)            | ST                |
| STOCK CMN                           | Nov 22 2011                    | May 09 2012             | 12.00    | 811.16        | 775.32     | 0.00           | 35.84              | 35.84             | ST                |
|                                     | Dec 22 2011                    | May 09 2012             | 12.00    | 811.15        | 820.32     | 0.00           | (9.17)             | (9.17)            | ST                |
|                                     | Jan 20 2012                    | May 09 2012             | 12.00    | 811.15        | 892.68     | 0.00           | (81.53)            | (81.53)           | ST                |
|                                     | Feb 22 2012                    | May 09 2012             | 4.00     | 270.38        | 349.40     | 0.00           | (79.02)            | (79.02)           | ST                |
| BORGWARNER INC CMN                  | Sep 21 2011                    | May 15 2012             | 8.00     | 607.73        | 529.12     | 0.00           | 78.61              | 78.61             | ST                |
|                                     | Oct 24 2011                    | May 15 2012             | 4.00     | 303.87        | 298.00     | 0.00           | 5.87               | 5.87              | ST                |
|                                     | Oct 28 2011                    | May 15 2012             | 2.00     | 151.94        | 153.00     | 0.00           | (1.06)             | (1.06)            | ST                |
| BG GROUP PLC SPON ADR ADR CMN       | Sep 21 2011                    | May 16 2012             | 45.00    | 906.93        | 888.39     | 0.00           | 18.54              | 18.54             | ST                |
|                                     | Oct 24 2011                    | May 16 2012             | 25.00    | 503.85        | 531.25     | 0.00           | (27.40)            | (27.40)           | ST                |
|                                     | Nov 22 2011                    | May 16 2012             | 25.00    | 503.85        | 497.50     | 0.00           | 6.35               | 6.35              | ST                |



Statement Detail

**SCHLUMBERGER NEUBERGER BERMAN: LCG**  
Realized Gains and Losses (Continued)

Period Ended December 31, 2012

| Date Acquired or Sold Short                                   | Date Sold or Covered | Quantity | Sale Proceeds | Cost Basis | FX Gain (Loss) | Market Gain (Loss) | Total Gain (Loss) | Holding Period |
|---|----------------------|----------|---------------|------------|----------------|--------------------|-------------------|----------------|
| Dec 22 2011   | May 16 2012          | 25.00    | 503.85        | 527.75     | 0.00           | (23.90)            | (23.90)           | ST             |
| Jan 20 2012   | May 16 2012          | 25.00    | 503.85        | 568.25     | 0.00           | (64.40)            | (64.40)           | ST             |
| Feb 22 2012   | May 16 2012          | 10.00    | 201.54        | 236.60     | 0.00           | (35.06)            | (35.06)           | ST             |
| Sep 21 2011   | May 16 2012          | 4.00     | 188.23        | 184.00     | 0.00           | 4.23               | 4.23              | ST             |
| Sep 29 2011   | May 16 2012          | 10.00    | 470.57        | 483.82     | 0.00           | (13.25)            | (13.25)           | ST             |
| Oct 24 2011   | May 16 2012          | 14.00    | 658.80        | 764.12     | 0.00           | (105.32)           | (105.32)          | ST             |
| Nov 22 2011   | May 16 2012          | 16.00    | 752.92        | 861.92     | 0.00           | (109.00)           | (109.00)          | ST             |
| Dec 22 2011   | May 16 2012          | 12.00    | 564.69        | 592.92     | 0.00           | (28.23)            | (28.23)           | ST             |
| Jan 20 2012   | May 16 2012          | 12.00    | 564.69        | 569.04     | 0.00           | (4.35)             | (4.35)            | ST             |
| Sep 21 2011   | May 17 2012          | 1.00     | 533.27        | 418.53     | 0.00           | 114.74             | 114.74            | ST             |
| Dec 07 2011   | May 17 2012          | 19.00    | 616.56        | 945.40     | 0.00           | (328.84)           | (328.84)          | ST             |
| Dec 22 2011   | May 17 2012          | 6.00     | 194.70        | 298.08     | 0.00           | (103.38)           | (103.38)          | ST             |
| Jan 06 2012   | May 18 2012          | 34.00    | 2,037.50      | 2,261.99   | 0.00           | (224.49)           | (224.49)          | ST             |
| COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A                   |                      |          |               |            |                |                    |                   |                |
| COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A DISALLOWED LOSSES |                      |          |               |            |                |                    |                   |                |
| Feb 21 2012   | May 18 2012          | 31.00    | 1,033.05      | 1,332.11   | 0.00           | (299.06)           | (299.06)          | ST             |
| Sep 21 2011   | May 18 2012          | 18.00    | 925.72        | 782.46     | 0.00           | 143.26             | 143.26            | ST             |
| Oct 24 2011   | May 18 2012          | 14.00    | 720.00        | 701.54     | 0.00           | 18.46              | 18.46             | ST             |
| Nov 04 2011   | May 31 2012          | 14.00    | 890.32        | 1,263.88   | 0.00           | (373.56)           | (373.56)          | ST             |
| Nov 22 2011   | May 31 2012          | 8.00     | 508.75        | 562.96     | 0.00           | (54.21)            | (54.21)           | ST             |
| Dec 22 2011   | May 31 2012          | 8.00     | 508.75        | 590.24     | 0.00           | (81.49)            | (81.49)           | ST             |
| Jan 20 2012   | May 31 2012          | 8.00     | 508.75        | 803.36     | 0.00           | (294.61)           | (294.61)          | ST             |
| Feb 22 2012   | May 31 2012          | 3.00     | 190.78        | 336.39     | 0.00           | (145.61)           | (145.61)          | ST             |
| Mar 14 2012   | Jun 01 2012          | 142.00   | 1,817.31      | 1,979.61   | 0.00           | (162.30)           | (162.30)          | ST             |
| Sep 21 2011   | Jun 07 2012          | 9.00     | 549.61        | 528.66     | 0.00           | 20.95              | 20.95             | ST             |
| Oct 24 2011   | Jun 07 2012          | 10.00    | 610.88        | 632.10     | 0.00           | (21.42)            | (21.42)           | ST             |
| Nov 22 2011   | Jun 07 2012          | 11.00    | 671.75        | 659.67     | 0.00           | 12.08              | 12.08             | ST             |
| Dec 22 2011   | Jun 07 2012          | 11.00    | 671.75        | 657.25     | 0.00           | 14.50              | 14.50             | ST             |
| Jan 20 2012   | Jun 07 2012          | 10.00    | 610.88        | 647.60     | 0.00           | (36.92)            | (36.92)           | ST             |
| Jan 06 2012   | Jun 11 2012          | 14.00    | 820.62        | 931.41     | 0.00           | (110.79)           | (110.79)          | ST             |
| COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A                   |                      |          |               |            |                |                    |                   |                |
| Dec 05 2011   | Jun 11 2012          | 40.00    | 1,326.97      | 1,341.19   | 0.00           | (14.22)            | (14.22)           | ST             |
| Dec 22 2011   | Jun 11 2012          | 32.00    | 1,061.57      | 1,071.68   | 0.00           | (10.11)            | (10.11)           | ST             |
| Jan 20 2012   | Jun 11 2012          | 25.00    | 829.35        | 932.00     | 0.00           | (102.65)           | (102.65)          | ST             |

105.64



Statement Detail

**SCHLUMBERGER NEUBERGER BERMAN: LGG**  
Realized Gains and Losses (Continued)

Period Ended December 31, 2012

| Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds | Cost Basis | FX Gain (Loss) | Market Gain (Loss) | Total Gain (Loss) | Holding Period |
|-----------------------------|----------------------|----------|---------------|------------|----------------|--------------------|-------------------|----------------|
| Feb 22 2012                 | Jun 11 2012          | 11 00    | 364 91        | 419 10     | 0 00           | (54 19)            | (54 19)           | ST             |
| Mar 02 2012                 | Jun 11 2012          | 42 00    | 1,393 31      | 1,707 75   | 0 00           | (314 44)           | (314 44)          | ST             |
| Jan 06 2012                 | Jun 12 2012          | 14 00    | 815 07        | 931 41     | 0 00           | (116 34)           | (116 34)          | ST             |
| Jan 06 2012                 | Jun 12 2012          | 16 00    | 931 50        | 1,034 27   | 0 00           | (102 77)           | (102 77)          | ST             |
| Jan 20 2012                 | Jun 12 2012          | 14 00    | 815 06        | 982 38     | 0 00           | (167 32)           | (167 32)          | ST             |
| Feb 22 2012                 | Jun 12 2012          | 5 00     | 291 09        | 355 50     | 0 00           | (64 41)            | (64 41)           | ST             |
| Feb 21 2012                 | Jun 12 2012          | 32 00    | 952 39        | 1,375 09   | 0 00           | (422 70)           | (422 70)          | ST             |
| Feb 22 2012                 | Jun 12 2012          | 7 00     | 208 33        | 299 18     | 0 00           | (90 85)            | (90 85)           | ST             |
| Mar 21 2012                 | Jun 12 2012          | 42 00    | 1,250 01      | 1,911 22   | 0 00           | (661 21)           | (661 21)          | ST             |
| Mar 27 2012                 | Jun 12 2012          | 44 00    | 1,309 53      | 2,040 79   | 0 00           | (731 26)           | (731 26)          | ST             |
| Feb 03 2012                 | Jun 12 2012          | 36 00    | 2,989 20      | 3,691 07   | 0 00           | (701 87)           | (701 87)          | ST             |
| Dec 13 2011                 | Jun 18 2012          | 11 00    | 1,106 24      | 742 18     | 0 00           | 364 06             | 364 06            | ST             |
| Oct 24 2011                 | Jun 18 2012          | 2 00     | 189 94        | 181 88     | 0 00           | 8 06               | 8 06              | ST             |
| Nov 22 2011                 | Jun 18 2012          | 7 00     | 664 78        | 666 40     | 0 00           | (1 62)             | (1 62)            | ST             |
| Dec 07 2011                 | Jun 18 2012          | 10 00    | 949 69        | 1,029 13   | 0 00           | (79 44)            | (79 44)           | ST             |
| Dec 13 2011                 | Jun 20 2012          | 8 00     | 816 33        | 539 77     | 0 00           | 276 56             | 276 56            | ST             |
| Sep 21 2011                 | Jun 20 2012          | 4 00     | 240 52        | 263 15     | 0 00           | (22 63)            | (22 63)           | ST             |
| Sep 21 2011                 | Jun 20 2012          | 9 00     | 541 16        | 575 01     | 0 00           | (33 85)            | (33 85)           | ST             |
| Oct 24 2011                 | Jun 20 2012          | 2 00     | 120 26        | 130 34     | 0 00           | (10 08)            | (10 08)           | ST             |
| Dec 07 2011                 | Jun 21 2012          | 1 00     | 88 55         | 102 91     | 0 00           | (14 36)            | (14 36)           | ST             |
| Dec 22 2011                 | Jun 21 2012          | 10 00    | 885 55        | 983 10     | 0 00           | (97 55)            | (97 55)           | ST             |
| Jan 20 2012                 | Jun 21 2012          | 9 00     | 796 99        | 924 12     | 0 00           | (127 13)           | (127 13)          | ST             |
| Feb 22 2012                 | Jun 21 2012          | 4 00     | 354 22        | 463 20     | 0 00           | (108 98)           | (108 98)          | ST             |
| Oct 24 2011                 | Jun 21 2012          | 10 00    | 277 85        | 327 90     | 0 00           | (50 05)            | (50 05)           | ST             |
| Nov 22 2011                 | Jun 21 2012          | 65 00    | 1,806 03      | 1,938 30   | 0 00           | (132 27)           | (132 27)          | ST             |
| Dec 13 2011                 | Jun 26 2012          | 12 00    | 1,224 63      | 809 65     | 0 00           | 414 98             | 414 98            | ST             |
| Dec 22 2011                 | Jun 26 2012          | 8 00     | 816 42        | 563 68     | 0 00           | 252 74             | 252 74            | ST             |
| Sep 21 2011                 | Jun 26 2012          | 11 00    | 470 59        | 526 21     | 0 00           | (55 62)            | (55 62)           | ST             |
| Sep 27 2011                 | Jun 26 2012          | 1 00     | 42 78         | 45 42      | 0 00           | (2 64)             | (2 64)            | ST             |
| Sep 30 2011                 | Jun 26 2012          | 2 00     | 85 56         | 105 29     | 0 00           | (19 73)            | (19 73)           | ST             |



Statement Detail

**SCHLUMBERGER NEUBERGER BERMAN: LCG**  
Realized Gains and Losses (Continued)

Period Ended December 31, 2012

| Date Acquired or Sold Short                  | Date Sold or Covered | Quantity | Sale Proceeds | Cost Basis | FX Gain (Loss) | Market Gain (Loss) | Total Gain (Loss) | Holding Period |
|--|----------------------|----------|---------------|------------|----------------|--------------------|-------------------|----------------|
| Sep 30 2011                                  | Jun 26 2012          | 3.00     | 128.34        | 182.93     | 0.00           | (54.59)            | (54.59)           | ST             |
| Sep 30 2011                                  | Jun 26 2012          | 10.00    | 427.81        | 525.44     | 0.00           | (97.63)            | (97.63)           | ST             |
| Oct 24 2011                                  | Jun 26 2012          | 3.00     | 128.34        | 132.87     | 0.00           | (4.53)             | (4.53)            | ST             |
| Dec 08 2011                                  | Jun 26 2012          | 22.00    | 1,389.85      | 1,676.99   | 0.00           | (287.14)           | (287.14)          | ST             |
| Dec 22 2011                                  | Jun 26 2012          | 19.00    | 686.77        | 943.92     | 0.00           | (257.15)           | (257.15)          | ST             |
| Jan 20 2012                                  | Jun 26 2012          | 13.00    | 469.89        | 681.85     | 0.00           | (211.96)           | (211.96)          | ST             |
| Jan 27 2012                                  | Jun 26 2012          | 7.00     | 253.02        | 320.28     | 0.00           | (67.26)            | (67.26)           | ST             |
| Oct 24 2011                                  | Jun 26 2012          | 7.00     | 352.70        | 350.77     | 0.00           | 1.93               | 1.93              | ST             |
| Nov 22 2011                                  | Jun 26 2012          | 11.00    | 554.24        | 499.62     | 0.00           | 54.62              | 54.62             | ST             |
| Dec 22 2011                                  | Jun 26 2012          | 1.00     | 50.39         | 47.78      | 0.00           | 2.61               | 2.61              | ST             |
| Sep 21 2011                                  | Jul 05 2012          | 8.00     | 518.93        | 429.92     | 0.00           | 89.01              | 89.01             | ST             |
| Sep 21 2011                                  | Jul 05 2012          | 8.00     | 518.93        | 465.70     | 0.00           | 53.23              | 53.23             | ST             |
| Oct 24 2011                                  | Jul 05 2012          | 4.00     | 259.47        | 214.40     | 0.00           | 45.07              | 45.07             | ST             |
| Nov 22 2011                                  | Jul 05 2012          | 12.00    | 778.40        | 654.84     | 0.00           | 123.56             | 123.56            | ST             |
| Sep 21 2011                                  | Jul 11 2012          | 3.00     | 232.32        | 233.40     | 0.00           | (1.08)             | (1.08)            | ST             |
| Sep 21 2011                                  | Jul 11 2012          | 20.00    | 1,548.83      | 1,502.00   | 0.00           | 46.83              | 46.83             | ST             |
| Oct 24 2011                                  | Jul 11 2012          | 9.00     | 696.98        | 651.42     | 0.00           | 45.56              | 45.56             | ST             |
| Nov 22 2011                                  | Jul 11 2012          | 12.00    | 929.30        | 864.36     | 0.00           | 64.94              | 64.94             | ST             |
| Dec 22 2011                                  | Jul 11 2012          | 4.00     | 309.77        | 271.28     | 0.00           | 38.49              | 38.49             | ST             |
|  |                      |          |               |            |                |                    | 1.08              |                |
| <b>MEAD JOHNSON NUTRITION COMPANY CMN</b>    |                      |          |               |            |                |                    |                   |                |
| Sep 21 2011                                  | Jul 12 2012          | 24.00    | 1,203.85      | 1,228.20   | 0.00           | (24.35)            | (24.35)           | ST             |
| Oct 24 2011                                  | Jul 12 2012          | 14.00    | 702.24        | 689.85     | 0.00           | 12.39              | 12.39             | ST             |
| Sep 21 2011                                  | Jul 12 2012          | 35.00    | 865.58        | 785.05     | 0.00           | 80.53              | 80.53             | ST             |
| Oct 24 2011                                  | Jul 12 2012          | 3.00     | 74.19         | 73.80      | 0.00           | 0.39               | 0.39              | ST             |
| Oct 24 2011                                  | Jul 13 2012          | 36.00    | 905.15        | 895.60     | 0.00           | 19.55              | 19.55             | ST             |
| Nov 22 2011                                  | Jul 13 2012          | 29.00    | 729.15        | 673.67     | 0.00           | 55.48              | 55.48             | ST             |
| Dec 22 2011                                  | Jul 13 2012          | 27.00    | 678.87        | 649.62     | 0.00           | 29.25              | 29.25             | ST             |
| Jan 20 2012                                  | Jul 13 2012          | 26.00    | 653.72        | 687.05     | 0.00           | (33.33)            | (33.33)           | ST             |
| Feb 22 2012                                  | Jul 13 2012          | 11.00    | 276.57        | 293.48     | 0.00           | (16.91)            | (16.91)           | ST             |
| Nov 22 2011                                  | Jul 13 2012          | 2.00     | 129.36        | 109.14     | 0.00           | 20.22              | 20.22             | ST             |
| Dec 22 2011                                  | Jul 13 2012          | 12.00    | 776.14        | 701.76     | 0.00           | 74.38              | 74.38             | ST             |
| Jan 20 2012                                  | Jul 13 2012          | 12.00    | 776.14        | 750.02     | 0.00           | 26.12              | 26.12             | ST             |
| Feb 22 2012                                  | Jul 13 2012          | 4.00     | 258.71        | 263.40     | 0.00           | (4.69)             | (4.69)            | ST             |
| <b>MEAD JOHNSON NUTRITION COMPANY CMN</b>    |                      |          |               |            |                |                    |                   |                |
| <b>DISALLOWED LOSSES</b>                     |                      |          |               |            |                |                    |                   |                |
| <b>ESTEE LAUDER COS INC CL-A CMN CLASS A</b> |                      |          |               |            |                |                    |                   |                |
| Sep 21 2011                                  | Jul 12 2012          | 24.00    | 1,203.85      | 1,228.20   | 0.00           | (24.35)            | (24.35)           | ST             |
| Oct 24 2011                                  | Jul 12 2012          | 14.00    | 702.24        | 689.85     | 0.00           | 12.39              | 12.39             | ST             |
| Sep 21 2011                                  | Jul 12 2012          | 35.00    | 865.58        | 785.05     | 0.00           | 80.53              | 80.53             | ST             |
| Oct 24 2011                                  | Jul 12 2012          | 3.00     | 74.19         | 73.80      | 0.00           | 0.39               | 0.39              | ST             |
| Oct 24 2011                                  | Jul 13 2012          | 36.00    | 905.15        | 895.60     | 0.00           | 19.55              | 19.55             | ST             |
| Nov 22 2011                                  | Jul 13 2012          | 29.00    | 729.15        | 673.67     | 0.00           | 55.48              | 55.48             | ST             |
| Dec 22 2011                                  | Jul 13 2012          | 27.00    | 678.87        | 649.62     | 0.00           | 29.25              | 29.25             | ST             |
| Jan 20 2012                                  | Jul 13 2012          | 26.00    | 653.72        | 687.05     | 0.00           | (33.33)            | (33.33)           | ST             |
| Feb 22 2012                                  | Jul 13 2012          | 11.00    | 276.57        | 293.48     | 0.00           | (16.91)            | (16.91)           | ST             |
| Nov 22 2011                                  | Jul 13 2012          | 2.00     | 129.36        | 109.14     | 0.00           | 20.22              | 20.22             | ST             |
| Dec 22 2011                                  | Jul 13 2012          | 12.00    | 776.14        | 701.76     | 0.00           | 74.38              | 74.38             | ST             |
| Jan 20 2012                                  | Jul 13 2012          | 12.00    | 776.14        | 750.02     | 0.00           | 26.12              | 26.12             | ST             |
| Feb 22 2012                                  | Jul 13 2012          | 4.00     | 258.71        | 263.40     | 0.00           | (4.69)             | (4.69)            | ST             |



Statement Detail

**SCHLUMBERGER NEUBERGER BERMAN: LCG**  
Realized Gains and Losses (Continued)

Period Ended December 31, 2012

|                                       | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity | Sale Proceeds | Cost Basis | FX Gain (Loss) | Market Gain (Loss) | Total Gain (Loss) | Holding<br>Period |
|---------------------------------------|--------------------------------|-------------------------|----------|---------------|------------|----------------|--------------------|-------------------|-------------------|
| ESTEE LAUDER COS INC CL-A CMN CLASS A | Nov 22 2011                    | Jul 16 2012             | 16.00    | 825.95        | 889.52     | 0.00           | (63.57)            | (63.57)           | ST                |
|                                       | Dec 22 2011                    | Jul 16 2012             | 14.00    | 722.71        | 773.85     | 0.00           | (51.14)            | (51.14)           | ST                |
|                                       | Jan 20 2012                    | Jul 16 2012             | 12.00    | 619.46        | 695.64     | 0.00           | (76.18)            | (76.18)           | ST                |
|                                       | Feb 22 2012                    | Jul 16 2012             | 6.00     | 309.73        | 339.12     | 0.00           | (29.39)            | (29.39)           | ST                |
| MEAD JOHNSON NUTRITION COMPANY CMN    | Sep 21 2011                    | Jul 16 2012             | 3.00     | 220.92        | 246.43     | 0.00           | (25.51)            | (25.51)           | ST                |
|                                       | Dec 22 2011                    | Jul 16 2012             | 18.00    | 1,325.52      | 1,220.76   | 0.00           | 104.76             | 104.76            | ST                |
|                                       | Jan 20 2012                    | Jul 16 2012             | 13.00    | 957.32        | 957.71     | 0.00           | (0.39)             | (0.39)            | ST                |
|                                       | Feb 22 2012                    | Jul 16 2012             | 5.00     | 368.20        | 388.45     | 0.00           | (20.25)            | (20.25)           | ST                |
|                                       | Jun 12 2012                    | Jul 16 2012             | 9.00     | 662.76        | 736.07     | 0.00           | (73.31)            | (73.31)           | ST                |
|                                       | Dec 08 2011                    | Jul 17 2012             | 5.00     | 310.98        | 381.13     | 0.00           | (70.15)            | (70.15)           | ST                |
| ROCKWELL AUTOMATION INC CMN           | Dec 22 2011                    | Jul 17 2012             | 13.00    | 808.56        | 966.16     | 0.00           | (157.60)           | (157.60)          | ST                |
|                                       | Jan 10 2012                    | Jul 17 2012             | 20.00    | 1,243.94      | 1,486.73   | 0.00           | (242.79)           | (242.79)          | ST                |
|                                       | Jan 20 2012                    | Jul 17 2012             | 15.00    | 932.95        | 1,218.15   | 0.00           | (285.20)           | (285.20)          | ST                |
|                                       | Feb 22 2012                    | Jul 17 2012             | 6.00     | 373.18        | 493.32     | 0.00           | (120.14)           | (120.14)          | ST                |
| TYSON FOODS INC CL-A CMN CLASS A      | Sep 21 2011                    | Jul 17 2012             | 29.00    | 443.47        | 489.23     | 0.00           | (45.76)            | (45.76)           | ST                |
|                                       | Sep 21 2011                    | Jul 25 2012             | 7.00     | 4,001.74      | 2,929.71   | 0.00           | 1,072.03           | 1,072.03          | ST                |
| APPLE, INC. CMN                       | Sep 21 2011                    | Jul 31 2012             | 16.00    | 1,352.98      | 1,322.40   | 0.00           | 30.58              | 30.58             | ST                |
|                                       | Oct 24 2011                    | Jul 31 2012             | 9.00     | 761.05        | 797.04     | 0.00           | (35.99)            | (35.99)           | ST                |
| ALLERGAN INC CMN                      | Nov 22 2011                    | Jul 31 2012             | 10.00    | 845.61        | 797.60     | 0.00           | 48.01              | 48.01             | ST                |
|                                       | Dec 22 2011                    | Jul 31 2012             | 9.00     | 761.05        | 780.30     | 0.00           | (19.25)            | (19.25)           | ST                |
| SALESFORCE.COM, INC CMN               | Jan 20 2012                    | Jul 31 2012             | 9.00     | 761.05        | 787.68     | 0.00           | (26.63)            | (26.63)           | ST                |
|                                       | Feb 22 2012                    | Jul 31 2012             | 4.00     | 338.24        | 349.28     | 0.00           | (11.04)            | (11.04)           | ST                |
|                                       | Dec 07 2011                    | Jul 31 2012             | 12.00    | 1,511.04      | 1,456.96   | 0.00           | 54.08              | 54.08             | ST                |
|                                       | Dec 22 2011                    | Jul 31 2012             | 5.00     | 629.60        | 500.35     | 0.00           | 129.25             | 129.25            | ST                |
| EDWARDS LIFESCIENCES CORP CMN         | Jan 20 2012                    | Jul 31 2012             | 5.00     | 629.60        | 569.20     | 0.00           | 60.40              | 60.40             | ST                |
|                                       | Feb 22 2012                    | Jul 31 2012             | 2.00     | 251.84        | 256.30     | 0.00           | (4.46)             | (4.46)            | ST                |
|                                       | Dec 22 2011                    | Aug 07 2012             | 3.00     | 300.50        | 211.38     | 0.00           | 89.12              | 89.12             | ST                |
|                                       | Jan 20 2012                    | Aug 07 2012             | 9.00     | 901.49        | 688.14     | 0.00           | 213.35             | 213.35            | ST                |
| EDWARDS LIFESCIENCES CORP CMN         | Mar 08 2012                    | Aug 07 2012             | 14.00    | 1,402.32      | 954.10     | 0.00           | 448.22             | 448.22            | ST                |
|                                       | Apr 16 2012                    | Aug 07 2012             | 29.00    | 2,904.81      | 1,979.05   | 0.00           | 925.76             | 925.76            | ST                |



Statement Detail

**SCHLUMBERGER NEUBERGER BERMAN: LCG**  
Realized Gains and Losses (Continued)

Period Ended December 31, 2012

|                                  | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds | Cost Basis | FX Gain (Loss) | Market Gain (Loss) | Total Gain (Loss) | Holding Period |
|----------------------------------|-----------------------------|----------------------|----------|---------------|------------|----------------|--------------------|-------------------|----------------|
| ILLUMINA INC CMN                 | Sep 21 2011                 | Aug 22 2012          | 2.00     | 83.76         | 84.32      | 0.00           | (0.56)             | (0.56)            | ST             |
|                                  | Sep 21 2011                 | Aug 22 2012          | 4.00     | 167.53        | 128.18     | 0.00           | 39.35              | 39.35             | ST             |
|                                  | Sep 21 2011                 | Aug 22 2012          | 15.00    | 628.23        | 641.85     | 0.00           | (13.62)            | (13.62)           | ST             |
|                                  | Oct 24 2011                 | Aug 22 2012          | 7.00     | 293.17        | 199.64     | 0.00           | 93.53              | 93.53             | ST             |
| MONSANTO COMPANY CMN             | Sep 21 2011                 | Aug 23 2012          | 22.00    | 1,898.75      | 1,488.08   | 0.00           | 410.67             | 410.67            | ST             |
| UNDER ARMOUR, INC. CMN CLASS A   | Apr 20 2012                 | Aug 27 2012          | 23.00    | 1,277.56      | 1,162.96   | 0.00           | 114.60             | 114.60            | ST             |
| PRAXAIR, INC CMN SERIES          | Sep 21 2011                 | Aug 28 2012          | 10.00    | 1,060.15      | 992.80     | 0.00           | 57.35              | 57.35             | ST             |
|                                  | Oct 24 2011                 | Aug 28 2012          | 6.00     | 630.09        | 621.18     | 0.00           | 8.91               | 8.91              | ST             |
|                                  | Nov 22 2011                 | Aug 28 2012          | 6.00     | 630.09        | 572.88     | 0.00           | 57.21              | 57.21             | ST             |
|                                  | Dec 22 2011                 | Aug 28 2012          | 6.00     | 630.09        | 634.50     | 0.00           | (4.41)             | (4.41)            | ST             |
|                                  | Jan 20 2012                 | Aug 28 2012          | 6.00     | 630.09        | 655.80     | 0.00           | (25.71)            | (25.71)           | ST             |
| COMERICA INCORPORATED CMN        | Sep 21 2011                 | Aug 31 2012          | 32.00    | 993.79        | 769.92     | 0.00           | 223.87             | 223.87            | ST             |
|                                  | Oct 24 2011                 | Aug 31 2012          | 19.00    | 590.06        | 475.57     | 0.00           | 114.49             | 114.49            | ST             |
|                                  | Nov 22 2011                 | Aug 31 2012          | 19.00    | 590.06        | 454.48     | 0.00           | 135.58             | 135.58            | ST             |
|                                  | Dec 22 2011                 | Aug 31 2012          | 19.00    | 590.06        | 492.67     | 0.00           | 97.39              | 97.39             | ST             |
|                                  | Jan 20 2012                 | Aug 31 2012          | 17.00    | 527.95        | 503.20     | 0.00           | 24.75              | 24.75             | ST             |
|                                  | Jan 24 2012                 | Aug 31 2012          | 30.00    | 931.68        | 882.70     | 0.00           | 48.98              | 48.98             | ST             |
|                                  | Feb 22 2012                 | Aug 31 2012          | 8.00     | 248.45        | 236.72     | 0.00           | 11.73              | 11.73             | ST             |
| TYSON FOODS INC CL-A CMN CLASS A | Sep 21 2011                 | Sep 06 2012          | 39.00    | 614.34        | 657.93     | 0.00           | (43.59)            | (43.59)           | ST             |
|                                  | Oct 24 2011                 | Sep 06 2012          | 34.00    | 535.58        | 642.26     | 0.00           | (106.68)           | (106.68)          | ST             |
|                                  | Oct 24 2011                 | Sep 07 2012          | 5.00     | 78.64         | 94.45      | 0.00           | (15.81)            | (15.81)           | ST             |
|                                  | Nov 22 2011                 | Sep 07 2012          | 40.00    | 629.14        | 775.20     | 0.00           | (146.06)           | (146.06)          | ST             |
|                                  | Dec 22 2011                 | Sep 07 2012          | 39.00    | 613.41        | 814.52     | 0.00           | (201.11)           | (201.11)          | ST             |
|                                  | Jan 20 2012                 | Sep 07 2012          | 37.00    | 581.96        | 710.40     | 0.00           | (128.44)           | (128.44)          | ST             |
|                                  | Feb 22 2012                 | Sep 07 2012          | 16.00    | 251.66        | 299.36     | 0.00           | (47.70)            | (47.70)           | ST             |
|                                  | May 09 2012                 | Sep 07 2012          | 56.00    | 880.80        | 1,082.73   | 0.00           | (201.93)           | (201.93)          | ST             |
| NIKE CLASS-B CMN CLASS B         | Mar 20 2012                 | Sep 18 2012          | 27.00    | 2,648.77      | 3,040.79   | 0.00           | (392.02)           | (392.02)          | ST             |
|                                  | Apr 30 2012                 | Sep 18 2012          | 19.00    | 1,863.95      | 2,124.79   | 0.00           | (260.84)           | (260.84)          | ST             |
| LAS VEGAS SANDS CORP. CMN        | Oct 24 2011                 | Sep 25 2012          | 21.00    | 977.87        | 930.09     | 0.00           | 47.78              | 47.78             | ST             |
|                                  | Nov 22 2011                 | Sep 25 2012          | 6.00     | 279.39        | 265.74     | 0.00           | 13.65              | 13.65             | ST             |
|                                  | Dec 22 2011                 | Sep 25 2012          | 16.00    | 745.04        | 684.80     | 0.00           | 60.24              | 60.24             | ST             |
|                                  | Feb 02 2012                 | Sep 25 2012          | 19.00    | 884.74        | 973.34     | 0.00           | (88.60)            | (88.60)           | ST             |
|                                  | Feb 22 2012                 | Sep 25 2012          | 8.00     | 372.52        | 427.12     | 0.00           | (54.60)            | (54.60)           | ST             |
|                                  | May 10 2012                 | Sep 25 2012          | 17.00    | 791.61        | 880.88     | 0.00           | (89.27)            | (89.27)           | ST             |



Statement Detail

**SCHLUMBERGER NEUBERGER BERMAN: LCG**  
Realized Gains and Losses (Continued)

Period Ended December 31, 2012

|   | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity | Sale Proceeds | Cost Basis | FX Gain (Loss) | Market Gain (Loss) | Total Gain (Loss) | Holding<br>Period |
|---|--------------------------------|-------------------------|----------|---------------|------------|----------------|--------------------|-------------------|-------------------|
| KRAFT FOODS GROUP, INC. CMN<br>APPLE, INC. CMN  | Apr 27 2012                    | Oct 02 2012             | 0.33     | 15.08         | 0.00       | 0.00           | 15.08              | 15.08             | ST                |
|   | Sep 21 2011                    | Oct 08 2012             | 4.00     | 2,566.40      | 1,874.12   | 0.00           | 892.28             | 892.28            | LT                |
|   | Sep 21 2011                    | Oct 11 2012             | 5.00     | 3,162.17      | 2,092.65   | 0.00           | 1,069.52           | 1,069.52          | LT                |
|   | Oct 24 2011                    | Oct 11 2012             | 3.00     | 1,897.30      | 1,217.22   | 0.00           | 680.08             | 680.08            | ST                |
| LINKEDIN CORP CMN CLASS A<br>PEPSICO INC CMN  | May 16 2012                    | Oct 11 2012             | 35.00    | 4,047.84      | 3,928.21   | 0.00           | 119.63             | 119.63            | ST                |
|   | Oct 24 2011                    | Oct 12 2012             | 14.00    | 985.20        | 867.44     | 0.00           | 117.76             | 117.76            | ST                |
|   | Nov 22 2011                    | Oct 12 2012             | 19.00    | 1,337.06      | 1,201.56   | 0.00           | 135.50             | 135.50            | ST                |
|   | Dec 22 2011                    | Oct 12 2012             | 9.00     | 633.34        | 594.54     | 0.00           | 38.80              | 38.80             | ST                |
|   | Jan 20 2012                    | Oct 12 2012             | 8.00     | 562.97        | 528.40     | 0.00           | 34.57              | 34.57             | ST                |
|   | Jun 20 2012                    | Oct 12 2012             | 2.00     | 140.74        | 137.23     | 0.00           | 3.51               | 3.51              | ST                |
|   | Jun 20 2012                    | Oct 16 2012             | 30.00    | 2,114.35      | 2,058.50   | 0.00           | 55.85              | 55.85             | ST                |
|   | Jun 26 2012                    | Oct 16 2012             | 27.00    | 1,902.91      | 1,855.76   | 0.00           | 47.15              | 47.15             | ST                |
|   | Nov 22 2011                    | Oct 18 2012             | 5.00     | 155.65        | 149.10     | 0.00           | 6.55               | 6.55              | ST                |
|   | Dec 22 2011                    | Oct 18 2012             | 68.00    | 2,116.78      | 1,744.88   | 0.00           | 371.90             | 371.90            | ST                |
| STARWOOD HOTELS & RESORTS CMN   | Jan 20 2012                    | Oct 18 2012             | 64.00    | 1,992.26      | 1,832.96   | 0.00           | 159.30             | 159.30            | ST                |
|   | Dec 22 2011                    | Oct 25 2012             | 18.00    | 932.93        | 860.04     | 0.00           | 72.89              | 72.89             | ST                |
|   | Jan 20 2012                    | Oct 25 2012             | 17.00    | 881.10        | 908.31     | 0.00           | (27.21)            | (27.21)           | ST                |
|   | Feb 02 2012                    | Oct 25 2012             | 16.00    | 829.27        | 863.14     | 0.00           | (33.87)            | (33.87)           | ST                |
|   | Feb 22 2012                    | Oct 25 2012             | 11.00    | 570.12        | 590.48     | 0.00           | (20.36)            | (20.36)           | ST                |
|   | Mar 05 2012                    | Oct 25 2012             | 27.00    | 1,399.39      | 1,464.47   | 0.00           | (65.08)            | (65.08)           | ST                |
|   | Apr 20 2012                    | Oct 25 2012             | 17.00    | 889.89        | 859.58     | 0.00           | 30.31              | 30.31             | ST                |
|   | May 25 2012                    | Oct 25 2012             | 20.00    | 1,046.92      | 977.02     | 0.00           | 69.90              | 69.90             | ST                |
|   | Jun 07 2012                    | Oct 25 2012             | 34.00    | 1,779.77      | 1,715.15   | 0.00           | 64.62              | 64.62             | ST                |
|   | Sep 21 2011                    | Nov 06 2012             | 2.00     | 143.75        | 125.26     | 0.00           | 18.49              | 18.49             | LT                |
| BOEING COMPANY CMN<br>COCA-COLA COMPANY (THE) CMN<br>COVIDIEN PUBLIC LIMITED COMPAN CMN | Sep 21 2011                    | Nov 06 2012             | 5.00     | 186.60        | 176.43     | 0.00           | 10.17              | 10.17             | LT                |
|   | Sep 21 2011                    | Nov 06 2012             | 22.00    | 1,227.35      | 1,031.80   | 0.00           | 195.55             | 195.55            | LT                |
|   | Oct 24 2011                    | Nov 06 2012             | 13.00    | 725.25        | 608.53     | 0.00           | 116.72             | 116.72            | LT                |
|   | Nov 22 2011                    | Nov 06 2012             | 13.00    | 725.25        | 577.20     | 0.00           | 148.05             | 148.05            | ST                |
|   | Dec 22 2011                    | Nov 06 2012             | 13.00    | 725.25        | 581.62     | 0.00           | 143.63             | 143.63            | ST                |
|   | Jan 20 2012                    | Nov 06 2012             | 12.00    | 669.47        | 575.40     | 0.00           | 94.07              | 94.07             | ST                |



Statement Detail

**SCHLUMBERGER NEUBERGER BERMAN: LCG**  
Realized Gains and Losses (Continued)

Period Ended December 31, 2012

| Date Acquired or Sold Short                  | Date Sold or Covered | Quantity | Sale Proceeds | Cost Basis | FX Gain (Loss) | Market Gain (Loss) | Total Gain (Loss) | Holding Period |
|--|----------------------|----------|---------------|------------|----------------|--------------------|-------------------|----------------|
| Feb 22 2012                                  | Nov 06 2012          | 5.00     | 278.94        | 262.25     | 0.00           | 16.69              | 16.69             | ST             |
| Jul 31 2012                                  | Nov 06 2012          | 32.00    | 1,785.24      | 1,803.74   | 0.00           | (18.50)            | (18.50)           | ST             |
| Sep 21 2011                                  | Nov 06 2012          | 2.00     | 50.55         | 43.48      | 0.00           | 7.07               | 7.07              | LT             |
| May 18 2012                                  | Nov 06 2012          | 2.00     | 42.17         | 81.90      | 0.00           | (39.73)            | (39.73)           | ST             |
| EMC CORPORATION MASS CMN                     |                      |          |               |            |                |                    |                   |                |
| FACEBOOK, INC. CMN CLASS A                   |                      |          |               |            |                |                    |                   |                |
| FACEBOOK, INC. CMN CLASS A DISALLOWED LOSSES |                      |          |               |            |                |                    |                   |                |
| Oct 24 2011                                  | Nov 06 2012          | 2.00     | 96.07         | 57.04      | 0.00           | 39.03              | 39.03             | LT             |
| Aug 08 2012                                  | Nov 06 2012          | 2.00     | 68.25         | 72.72      | 0.00           | (4.47)             | (4.47)            | ST             |
| Sep 21 2011                                  | Nov 06 2012          | 5.00     | 150.59        | 133.00     | 0.00           | 17.59              | 17.59             | LT             |
| Sep 21 2011                                  | Nov 06 2012          | 2.00     | 179.33        | 135.28     | 0.00           | 44.05              | 44.05             | LT             |
| Feb 09 2012                                  | Nov 06 2012          | 28.00    | 2,453.58      | 2,256.09   | 0.00           | 197.49             | 197.49            | ST             |
| Sep 21 2011                                  | Nov 06 2012          | 3.00     | 181.85        | 158.37     | 0.00           | 23.48              | 23.48             | LT             |
| Sep 21 2011                                  | Nov 06 2012          | 4.00     | 284.39        | 267.60     | 0.00           | 16.79              | 16.79             | LT             |
| Sep 21 2011                                  | Nov 06 2012          | 2.00     | 103.63        | 81.96      | 0.00           | 21.67              | 21.67             | LT             |
| Apr 25 2012                                  | Nov 06 2012          | 7.00     | 310.51        | 276.21     | 0.00           | 34.30              | 34.30             | ST             |
| Oct 24 2011                                  | Nov 12 2012          | 15.00    | 898.31        | 995.25     | 0.00           | (96.94)            | (96.94)           | LT             |
| Nov 22 2011                                  | Nov 12 2012          | 15.00    | 898.31        | 1,021.50   | 0.00           | (123.19)           | (123.19)          | ST             |
| Dec 22 2011                                  | Nov 12 2012          | 14.00    | 838.43        | 854.28     | 0.00           | (15.85)            | (15.85)           | ST             |
| Jan 20 2012                                  | Nov 12 2012          | 13.00    | 778.54        | 881.47     | 0.00           | (102.93)           | (102.93)          | ST             |
| Apr 25 2012                                  | Nov 26 2012          | 15.00    | 1,000.22      | 836.94     | 0.00           | 163.28             | 163.28            | ST             |
| Sep 21 2011                                  | Nov 26 2012          | 3.00     | 270.78        | 202.92     | 0.00           | 67.86              | 67.86             | LT             |
| Oct 14 2011                                  | Nov 26 2012          | 6.00     | 541.55        | 444.03     | 0.00           | 97.52              | 97.52             | LT             |
| Oct 24 2011                                  | Nov 26 2012          | 10.00    | 902.59        | 749.00     | 0.00           | 153.59             | 153.59            | LT             |
| Nov 21 2011                                  | Nov 27 2012          | 11.00    | 842.50        | 630.10     | 0.00           | 212.40             | 212.40            | LT             |
| Oct 28 2011                                  | Nov 29 2012          | 0.81     | 51.44         | 0.00       | 0.00           | 51.44              | 51.44             | LT             |
| Nov 22 2011                                  | Nov 29 2012          | 6.00     | 901.82        | 679.80     | 0.00           | 222.02             | 222.02            | LT             |
| Oct 28 2011                                  | Dec 03 2012          | 19.00    | 225.52        | 823.47     | 0.00           | (597.95)           | (597.95)          | LT             |
| Nov 22 2011                                  | Dec 03 2012          | 7.00     | 83.09         | 259.84     | 0.00           | (176.75)           | (176.75)          | LT             |
| Dec 08 2011                                  | Dec 03 2012          | 37.00    | 439.18        | 1,540.42   | 0.00           | (1,101.24)         | (1,101.24)        | ST             |
| Dec 22 2011                                  | Dec 03 2012          | 20.00    | 237.39        | 823.60     | 0.00           | (586.21)           | (586.21)          | ST             |
| Jan 20 2012                                  | Dec 03 2012          | 16.00    | 189.92        | 689.71     | 0.00           | (499.79)           | (499.79)          | ST             |
| Feb 22 2012                                  | Dec 03 2012          | 7.00     | 83.09         | 322.14     | 0.00           | (239.05)           | (239.05)          | ST             |
| Apr 30 2012                                  | Dec 03 2012          | 21.00    | 249.26        | 1,074.89   | 0.00           | (825.63)           | (825.63)          | ST             |
| Jun 13 2012                                  | Dec 03 2012          | 26.00    | 308.61        | 1,300.12   | 0.00           | (991.51)           | (991.51)          | ST             |
| CROWN CASTLE INTL CORP COMMON STOCK          |                      |          |               |            |                |                    |                   |                |
| MONSANTO COMPANY CMN                         |                      |          |               |            |                |                    |                   |                |
| CERNER CORP CMN                              |                      |          |               |            |                |                    |                   |                |
| ASML HOLDING N.V. ADR CMN                    |                      |          |               |            |                |                    |                   |                |
| BIOGEN IDEC INC. CMN                         |                      |          |               |            |                |                    |                   |                |
| ESCROW/ASML HOLDING N.V. CMN                 |                      |          |               |            |                |                    |                   |                |



**SCHLUMBERGER NEUBERGER BERMAN: LCG**  
Realized Gains and Losses (Continued)

Period Ended December 31, 2012

|  | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity | Sale Proceeds | Cost Basis | FX Gain (Loss) | Market Gain (Loss) | Total Gain (Loss) | Holding<br>Period |
|--|--------------------------------|-------------------------|----------|---------------|------------|----------------|--------------------|-------------------|-------------------|
| PHILIP MORRIS INTL INC CMN                     | Feb 09 2012                    | Dec 05 2012             | 21.00    | 1,865.53      | 1,692.07   | 0.00           | 173.46             | 173.46            | ST                |
|  | Feb 09 2012                    | Dec 06 2012             | 41.00    | 3,667.91      | 3,303.56   | 0.00           | 364.35             | 364.35            | ST                |
|  | Feb 22 2012                    | Dec 06 2012             | 3.00     | 268.38        | 246.93     | 0.00           | 21.45              | 21.45             | ST                |
| VF CORP CMN                                    | Sep 21 2011                    | Dec 06 2012             | 3.00     | 462.54        | 371.13     | 0.00           | 91.41              | 91.41             | LT                |
|  | Oct 24 2011                    | Dec 06 2012             | 4.00     | 616.72        | 548.92     | 0.00           | 67.80              | 67.80             | LT                |
| ADT CORPORATION (THE) CMN                      | Nov 27 2012                    | Dec 11 2012             | 87.00    | 3,920.13      | 3,811.56   | 0.00           | 108.57             | 108.57            | ST                |
| ALLERGAN INC CMN                               | Oct 19 2012                    | Dec 11 2012             | 42.00    | 3,934.47      | 3,956.87   | 0.00           | (22.40)            | (22.40)           | ST                |
| AMAZON.COM INC CMN                             | Sep 21 2011                    | Dec 11 2012             | 2.00     | 502.69        | 472.68     | 0.00           | 30.01              | 30.01             | LT                |
|  | Sep 21 2011                    | Dec 11 2012             | 8.00     | 2,010.75      | 2,203.60   | 0.00           | (192.85)           | (192.85)          | LT                |
|  | Jan 20 2012                    | Dec 11 2012             | 3.00     | 754.03        | 570.06     | 0.00           | 183.97             | 183.97            | ST                |
|  | Feb 13 2012                    | Dec 11 2012             | 13.00    | 3,267.48      | 2,499.83   | 0.00           | 767.65             | 767.65            | ST                |
|  | Feb 22 2012                    | Dec 11 2012             | 3.00     | 754.03        | 542.76     | 0.00           | 211.27             | 211.27            | ST                |
|  | Mar 26 2012                    | Dec 11 2012             | 11.00    | 2,764.79      | 2,230.92   | 0.00           | 533.87             | 533.87            | ST                |
|  | May 08 2012                    | Dec 11 2012             | 8.00     | 2,010.75      | 1,779.48   | 0.00           | 231.27             | 231.27            | ST                |
|  | Jun 06 2012                    | Dec 11 2012             | 8.00     | 2,010.75      | 1,734.25   | 0.00           | 276.50             | 276.50            | ST                |
| AMERICAN INTL GROUP, INC CMN                   | Aug 20 2012                    | Dec 11 2012             | 113.00   | 3,970.73      | 3,902.91   | 0.00           | 67.82              | 67.82             | ST                |
|  | Oct 09 2012                    | Dec 11 2012             | 114.00   | 4,005.87      | 4,034.40   | 0.00           | (28.53)            | (28.53)           | ST                |
| ANHEUSER-BUSCH INBEV S.A. SPONSORED<br>ADR CMN | Aug 28 2012                    | Dec 11 2012             | 47.00    | 4,179.15      | 3,929.69   | 0.00           | 249.46             | 249.46            | ST                |
|  | Oct 15 2012                    | Dec 11 2012             | 42.00    | 3,734.56      | 3,649.07   | 0.00           | 85.49              | 85.49             | ST                |
| APPLE, INC. CMN                                | Oct 24 2011                    | Dec 11 2012             | 8.00     | 4,363.10      | 3,245.92   | 0.00           | 1,117.18           | 1,117.18          | LT                |
|  | Nov 22 2011                    | Dec 11 2012             | 12.00    | 6,544.65      | 4,529.28   | 0.00           | 2,015.37           | 2,015.37          | LT                |
|  | Dec 22 2011                    | Dec 11 2012             | 12.00    | 6,544.65      | 4,783.68   | 0.00           | 1,760.97           | 1,760.97          | ST                |
|  | Jan 20 2012                    | Dec 11 2012             | 12.00    | 6,544.65      | 5,055.37   | 0.00           | 1,489.28           | 1,489.28          | ST                |
|  | Feb 22 2012                    | Dec 11 2012             | 4.00     | 2,181.55      | 2,051.48   | 0.00           | 130.07             | 130.07            | ST                |
| ASML HOLDING N V ADR CMN                       | Oct 28 2011                    | Dec 11 2012             | 15.00    | 961.78        | 0.00       | 0.00           | 961.78             | 961.78            | LT                |
|  | Nov 22 2011                    | Dec 11 2012             | 5.00     | 320.59        | 0.00       | 0.00           | 320.59             | 320.59            | LT                |
|  | Dec 08 2011                    | Dec 11 2012             | 28.00    | 1,795.32      | 0.00       | 0.00           | 1,795.32           | 1,795.32          | LT                |
|  | Dec 22 2011                    | Dec 11 2012             | 15.00    | 961.78        | 0.00       | 0.00           | 961.78             | 961.78            | ST                |
|  | Jan 20 2012                    | Dec 11 2012             | 12.00    | 769.42        | 0.00       | 0.00           | 769.42             | 769.42            | ST                |
|  | Feb 22 2012                    | Dec 11 2012             | 5.00     | 320.59        | 0.00       | 0.00           | 320.59             | 320.59            | ST                |



Statement Detail

**SCHLUMBERGER NEUBERGER BERMAN: LCG**  
Realized Gains and Losses (Continued)

Period Ended December 31, 2012

| Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds | Cost Basis | FX Gain (Loss) | Market Gain (Loss) | Total Gain (Loss) | Holding Period |
|-----------------------------|----------------------|----------|---------------|------------|----------------|--------------------|-------------------|----------------|
| Apr 30 2012                 | Dec 11 2012          | 17.00    | 1,090.01      | 0.00       | 0.00           | 1,090.01           | 1,090.01          | ST             |
| Jun 13 2012                 | Dec 11 2012          | 20.00    | 1,282.37      | 0.00       | 0.00           | 1,282.37           | 1,282.37          | ST             |
| Dec 06 2012                 | Dec 11 2012          | 16.00    | 1,025.90      | 994.04     | 0.00           | 31.86              | 31.86             | ST             |
| Nov 22 2011                 | Dec 11 2012          | 5.00     | 774.68        | 566.50     | 0.00           | 208.18             | 208.18            | LT             |
| Dec 22 2011                 | Dec 11 2012          | 5.00     | 774.68        | 553.35     | 0.00           | 221.33             | 221.33            | ST             |
| Jan 20 2012                 | Dec 11 2012          | 5.00     | 774.68        | 591.30     | 0.00           | 183.38             | 183.38            | ST             |
| Feb 01 2012                 | Dec 11 2012          | 15.00    | 2,324.05      | 1,799.95   | 0.00           | 524.10             | 524.10            | ST             |
| Feb 22 2012                 | Dec 11 2012          | 3.00     | 464.81        | 349.59     | 0.00           | 115.22             | 115.22            | ST             |
| Sep 21 2011                 | Dec 11 2012          | 8.00     | 607.03        | 501.04     | 0.00           | 105.99             | 105.99            | LT             |
| Oct 24 2011                 | Dec 11 2012          | 6.00     | 455.27        | 389.10     | 0.00           | 66.17              | 66.17             | LT             |
| Nov 22 2011                 | Dec 11 2012          | 6.00     | 455.27        | 386.34     | 0.00           | 68.93              | 68.93             | LT             |
| Dec 05 2011                 | Dec 11 2012          | 14.00    | 1,062.30      | 997.85     | 0.00           | 64.45              | 64.45             | LT             |
| Dec 22 2011                 | Dec 11 2012          | 11.00    | 834.66        | 818.18     | 0.00           | 16.48              | 16.48             | ST             |
| Jan 20 2012                 | Dec 11 2012          | 9.00     | 682.90        | 678.15     | 0.00           | 4.75               | 4.75              | ST             |
| Feb 22 2012                 | Dec 11 2012          | 4.00     | 303.51        | 304.44     | 0.00           | (0.93)             | (0.93)            | ST             |
| Oct 28 2011                 | Dec 11 2012          | 4.00     | 269.39        | 305.99     | 0.00           | (36.60)            | (36.60)           | LT             |
| Nov 22 2011                 | Dec 11 2012          | 6.00     | 404.09        | 378.66     | 0.00           | 25.43              | 25.43             | LT             |
| Dec 05 2011                 | Dec 11 2012          | 16.00    | 1,077.57      | 1,099.12   | 0.00           | (21.55)            | (21.55)           | LT             |
| Dec 22 2011                 | Dec 11 2012          | 11.00    | 740.83        | 713.24     | 0.00           | 27.59              | 27.59             | ST             |
| Jan 20 2012                 | Dec 11 2012          | 10.00    | 673.48        | 743.10     | 0.00           | (69.62)            | (69.62)           | ST             |
| Feb 22 2012                 | Dec 11 2012          | 4.00     | 269.39        | 321.08     | 0.00           | (51.69)            | (51.69)           | ST             |
| Aug 28 2012                 | Dec 11 2012          | 179.00   | 5,933.72      | 5,910.53   | 0.00           | 23.19              | 23.19             | ST             |
| Sep 24 2012                 | Dec 11 2012          | 63.00    | 2,088.40      | 2,126.12   | 0.00           | (37.72)            | (37.72)           | ST             |
| Nov 21 2011                 | Dec 11 2012          | 3.00     | 240.18        | 171.85     | 0.00           | 68.33              | 68.33             | LT             |
| Nov 22 2011                 | Dec 11 2012          | 4.00     | 320.23        | 229.08     | 0.00           | 91.15              | 91.15             | LT             |
| Dec 22 2011                 | Dec 11 2012          | 5.00     | 400.29        | 299.95     | 0.00           | 100.34             | 100.34            | ST             |
| Jan 20 2012                 | Dec 11 2012          | 5.00     | 400.29        | 304.85     | 0.00           | 95.44              | 95.44             | ST             |
| Apr 30 2012                 | Dec 11 2012          | 15.00    | 1,200.87      | 1,205.22   | 0.00           | (4.35)             | (4.35)            | ST             |
| May 09 2012                 | Dec 11 2012          | 18.00    | 1,441.05      | 1,432.59   | 0.00           | 8.46               | 8.46              | ST             |
| Sep 21 2011                 | Dec 11 2012          | 3.00     | 113.46        | 105.86     | 0.00           | 7.60               | 7.60              | LT             |
| Sep 21 2011                 | Dec 11 2012          | 52.00    | 1,966.59      | 1,834.13   | 0.00           | 132.46             | 132.46            | LT             |
| Oct 04 2011                 | Dec 11 2012          | 12.00    | 453.83        | 431.84     | 0.00           | 21.99              | 21.99             | LT             |
| Oct 24 2011                 | Dec 11 2012          | 64.00    | 2,420.42      | 2,169.28   | 0.00           | 251.14             | 251.14            | LT             |
| Nov 22 2011                 | Dec 11 2012          | 64.00    | 2,420.42      | 2,112.96   | 0.00           | 307.46             | 307.46            | LT             |



Statement Detail

**SCHLUMBERGER NEUBERGER BERMAN: LCG**  
Realized Gains and Losses (Continued)

Period Ended December 31, 2012

| Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds | Cost Basis | FX Gain (Loss) | Market Gain (Loss) | Total Gain (Loss) | Holding Period |
|-----------------------------|----------------------|----------|---------------|------------|----------------|--------------------|-------------------|----------------|
| Dec 22 2011                 | Dec 11 2012          | 28.00    | 1,058.94      | 968.24     | 0.00           | 90.70              | 90.70             | ST             |
| Jun 26 2012                 | Dec 11 2012          | 98.00    | 3,706.27      | 3,657.04   | 0.00           | 49.23              | 49.23             | ST             |
| Apr 25 2012                 | Dec 11 2012          | 90.00    | 6,150.46      | 5,021.63   | 0.00           | 1,128.83           | 1,128.83          | ST             |
| Jun 08 2012                 | Dec 11 2012          | 48.00    | 3,280.24      | 2,681.88   | 0.00           | 598.36             | 598.36            | ST             |
| Sep 21 2011                 | Dec 11 2012          | 20.00    | 1,073.78      | 916.40     | 0.00           | 157.38             | 157.38            | LT             |
| Oct 24 2011                 | Dec 11 2012          | 12.00    | 644.27        | 576.36     | 0.00           | 67.91              | 67.91             | LT             |
| Nov 22 2011                 | Dec 11 2012          | 12.00    | 644.27        | 552.84     | 0.00           | 91.43              | 91.43             | LT             |
| Dec 22 2011                 | Dec 11 2012          | 11.00    | 590.58        | 516.89     | 0.00           | 73.69              | 73.69             | ST             |
| Jan 20 2012                 | Dec 11 2012          | 11.00    | 590.58        | 564.19     | 0.00           | 26.39              | 26.39             | ST             |
| Feb 22 2012                 | Dec 11 2012          | 5.00     | 268.44        | 265.30     | 0.00           | 3.14               | 3.14              | ST             |
| May 09 2012                 | Dec 11 2012          | 34.00    | 1,825.42      | 1,845.80   | 0.00           | (20.38)            | (20.38)           | ST             |
| Jun 29 2012                 | Dec 11 2012          | 70.00    | 4,293.70      | 3,765.03   | 0.00           | 528.67             | 528.67            | ST             |
| Dec 10 2012                 | Dec 11 2012          | 42.00    | 3,870.21      | 3,881.22   | 0.00           | (11.01)            | (11.01)           | ST             |
| Sep 21 2011                 | Dec 11 2012          | 50.00    | 1,268.47      | 1,087.00   | 0.00           | 181.47             | 181.47            | LT             |
| Oct 24 2011                 | Dec 11 2012          | 29.00    | 735.71        | 707.60     | 0.00           | 28.11              | 28.11             | LT             |
| Nov 22 2011                 | Dec 11 2012          | 31.00    | 786.45        | 697.81     | 0.00           | 88.64              | 88.64             | LT             |
| Dec 22 2011                 | Dec 11 2012          | 29.00    | 735.71        | 629.30     | 0.00           | 106.41             | 106.41            | ST             |
| Jan 20 2012                 | Dec 11 2012          | 29.00    | 735.71        | 672.98     | 0.00           | 62.73              | 62.73             | ST             |
| Feb 22 2012                 | Dec 11 2012          | 11.00    | 279.06        | 298.87     | 0.00           | (19.81)            | (19.81)           | ST             |
| Aug 02 2012                 | Dec 11 2012          | 66.00    | 1,674.38      | 1,739.19   | 0.00           | (64.81)            | (64.81)           | ST             |
| Aug 09 2012                 | Dec 11 2012          | 34.00    | 862.56        | 916.13     | 0.00           | (53.57)            | (53.57)           | ST             |
| Nov 16 2012                 | Dec 11 2012          | 26.00    | 3,086.13      | 2,994.65   | 0.00           | 91.48              | 91.48             | ST             |
| Sep 21 2011                 | Dec 11 2012          | 24.00    | 1,315.41      | 985.20     | 0.00           | 330.21             | 330.21            | LT             |
| Oct 24 2011                 | Dec 11 2012          | 14.00    | 767.32        | 566.44     | 0.00           | 200.88             | 200.88            | LT             |
| Nov 22 2011                 | Dec 11 2012          | 14.00    | 767.32        | 594.58     | 0.00           | 172.74             | 172.74            | LT             |
| Dec 22 2011                 | Dec 11 2012          | 15.00    | 822.13        | 683.25     | 0.00           | 138.88             | 138.88            | ST             |
| Jan 20 2012                 | Dec 11 2012          | 13.00    | 712.51        | 671.32     | 0.00           | 41.19              | 41.19             | ST             |
| Feb 22 2012                 | Dec 11 2012          | 5.00     | 274.04        | 258.25     | 0.00           | 15.79              | 15.79             | ST             |
| Nov 06 2012                 | Dec 11 2012          | 53.00    | 2,904.86      | 2,951.28   | 0.00           | (46.42)            | (46.42)           | ST             |



Statement Detail

**SCHLUMBERGER NEUBERGER BERMAN: LCG**  
Realized Gains and Losses (Continued)

Period Ended December 31, 2012

|                                 | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity    | Sale Proceeds | Cost Basis | FX Gain (Loss) | Market Gain (Loss) | Total Gain (Loss) | Holding<br>Period |
|---------------------------------|--------------------------------|-------------------------|-------------|---------------|------------|----------------|--------------------|-------------------|-------------------|
| FACEBOOK, INC. CMN CLASS A      | May 18 2012                    | Dec 11 2012             | 46.00       | 1,282.91      | 1,883.64   | 0.00           | (600.73)           | (600.73)          | ST                |
|                                 | May 31 2012                    | Dec 11 2012             | 2.00        | 55.78         | 86.80      | 0.00           | (31.02)            | (31.02)           | ST                |
|                                 | Nov 19 2012                    | Dec 11 2012             | 38.00       | 1,059.80      | 894.40     | 0.00           | 165.40             | 165.40            | ST                |
| FAMILY DOLLAR STORES INC CMN    | Oct 18 2011                    | Dec 11 2012             | 18.00       | 1,159.89      | 1,012.82   | 0.00           | 147.07             | 147.07            | LT                |
|                                 | Oct 24 2011                    | Dec 11 2012             | 11.00       | 708.82        | 649.88     | 0.00           | 58.94              | 58.94             | LT                |
|                                 | Nov 22 2011                    | Dec 11 2012             | 11.00       | 708.82        | 631.98     | 0.00           | 76.84              | 76.84             | LT                |
|                                 | Nov 30 2011                    | Dec 11 2012             | 14.00       | 902.14        | 829.78     | 0.00           | 72.36              | 72.36             | LT                |
|                                 | Dec 22 2011                    | Dec 11 2012             | 16.00       | 1,031.02      | 929.44     | 0.00           | 101.58             | 101.58            | ST                |
|                                 | Jan 20 2012                    | Dec 11 2012             | 14.00       | 902.14        | 763.28     | 0.00           | 138.86             | 138.86            | ST                |
|                                 | Feb 22 2012                    | Dec 11 2012             | 5.00        | 322.19        | 272.95     | 0.00           | 49.24              | 49.24             | ST                |
|                                 | May 09 2012                    | Dec 11 2012             | 27.00       | 1,739.84      | 1,853.20   | 0.00           | (113.36)           | (113.36)          | ST                |
|                                 | Jan 13 2012                    | Dec 11 2012             | 31.00       | 2,803.89      | 2,786.68   | 0.00           | 17.21              | 17.21             | ST                |
|                                 | Jan 20 2012                    | Dec 11 2012             | 8.00        | 723.58        | 731.92     | 0.00           | (8.34)             | (8.34)            | ST                |
| GENERAL ELECTRIC CO CMN         | Nov 06 2012                    | Dec 11 2012             | 271.00      | 5,888.69      | 5,877.99   | 0.00           | 10.70              | 10.70             | ST                |
|                                 | Nov 12 2012                    | Dec 11 2012             | 26.00       | 1,889.21      | 1,889.15   | 0.00           | 100.06             | 100.06            | ST                |
|                                 | Nov 13 2012                    | Dec 11 2012             | 24.00       | 1,836.20      | 1,785.54   | 0.00           | 50.66              | 50.66             | ST                |
| GOOGLE, INC CMN CLASS A         | Oct 24 2011                    | Dec 11 2012             | 4.00        | 2,797.98      | 2,395.36   | 0.00           | 402.62             | 402.62            | LT                |
|                                 | Nov 22 2011                    | Dec 11 2012             | 4.00        | 2,797.98      | 2,323.88   | 0.00           | 474.10             | 474.10            | LT                |
|                                 | Nov 30 2011                    | Dec 11 2012             | 1.00        | 699.49        | 597.01     | 0.00           | 102.48             | 102.48            | LT                |
|                                 | Dec 22 2011                    | Dec 11 2012             | 5.00        | 3,497.47      | 3,149.90   | 0.00           | 347.57             | 347.57            | ST                |
|                                 | Jan 20 2012                    | Dec 11 2012             | 3.00        | 2,098.48      | 1,749.12   | 0.00           | 349.36             | 349.36            | ST                |
|                                 | Feb 22 2012                    | Dec 11 2012             | 1.00        | 699.49        | 609.39     | 0.00           | 90.10              | 90.10             | ST                |
| ILLUMINA INC CMN                | Oct 24 2011                    | Dec 11 2012             | 6.00        | 317.92        | 171.12     | 0.00           | 146.80             | 146.80            | LT                |
|                                 | Nov 22 2011                    | Dec 11 2012             | 6.00        | 317.93        | 185.84     | 0.00           | 152.09             | 152.09            | LT                |
|                                 | Dec 22 2011                    | Dec 11 2012             | 12.00       | 635.85        | 350.43     | 0.00           | 285.42             | 285.42            | ST                |
|                                 | Jan 20 2012                    | Dec 11 2012             | 15.00       | 794.81        | 542.70     | 0.00           | 252.11             | 252.11            | ST                |
|                                 | Feb 22 2012                    | Dec 11 2012             | 5.00        | 264.94        | 255.30     | 0.00           | 9.64               | 9.64              | ST                |
|                                 | Apr 18 2012                    | Dec 11 2012             | 72.00       | 3,815.09      | 3,019.68   | 0.00           | 795.41             | 795.41            | ST                |
| INTL BUSINESS MACHINES CORP CMN | Sep 05 2012                    | Dec 11 2012             | 25.00       | 4,867.89      | 4,878.48   | 0.00           | (10.59)            | (10.59)           | ST                |
|                                 | Sep 21 2012                    | Dec 11 2012             | 9.00        | 1,752.44      | 1,859.58   | 0.00           | (107.14)           | (107.14)          | ST                |
|                                 | Oct 18 2012                    | Dec 11 2012             | 70.00       | 4,986.68      | 5,037.96   | 0.00           | (51.28)            | (51.28)           | ST                |
| JOY GLOBAL INC CMN              | Jan 20 2012                    | Dec 11 2012             | 41.00       | 2,372.62      | 3,506.73   | 0.00           | (1,134.11)         | (1,134.11)        | ST                |
|                                 | Feb 03 2012                    | Dec 11 2012             | 19.00       | 1,099.50      | 1,822.65   | 0.00           | (723.15)           | (723.15)          | ST                |
|                                 | Feb 22 2012                    | Dec 11 2012             | 4.00        | 231.47        | 369.32     | 0.00           | (137.85)           | (137.85)          | ST                |
|                                 | JOHNSON & JOHNSON CMN          | Oct 18 2012             | Dec 11 2012 | 70.00         | 4,986.68   | 5,037.96       | 0.00               | (51.28)           | (51.28)           |



Statement Detail

**SCHLUMBERGER NEUBERGER BERMAN: LCG**  
Realized Gains and Losses (Continued)

Period Ended December 31, 2012

| Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds | Cost Basis | FX Gain (Loss) | Market Gain (Loss) | Total Gain (Loss) | Holding Period |
|-----------------------------|----------------------|----------|---------------|------------|----------------|--------------------|-------------------|----------------|
| Mar 01 2012                 | Dec 11 2012          | 10.00    | 578.69        | 882.32     | 0.00           | (303.63)           | (303.63)          | ST             |
| May 18 2012                 | Dec 11 2012          | 33.00    | 1,909.67      | 2,023.33   | 0.00           | (113.66)           | (113.66)          | ST             |
| Aug 08 2012                 | Dec 11 2012          | 158.00   | 5,315.00      | 5,745.02   | 0.00           | (430.02)           | (430.02)          | ST             |
| Apr 27 2012                 | Dec 11 2012          | 22.00    | 989.10        | 928.29     | 0.00           | 60.81              | 60.81             | ST             |
| May 01 2012                 | Dec 11 2012          | 28.00    | 1,258.85      | 1,188.84   | 0.00           | 70.01              | 70.01             | ST             |
| Jun 20 2012                 | Dec 11 2012          | 14.00    | 629.43        | 559.24     | 0.00           | 70.19              | 70.19             | ST             |
| Oct 11 2012                 | Dec 11 2012          | 45.00    | 2,023.15      | 2,063.38   | 0.00           | (40.23)            | (40.23)           | ST             |
| May 16 2012                 | Dec 11 2012          | 17.00    | 1,930.14      | 1,907.99   | 0.00           | 22.15              | 22.15             | ST             |
| May 25 2012                 | Dec 11 2012          | 10.00    | 1,135.37      | 987.34     | 0.00           | 148.03             | 148.03            | ST             |
| Jul 16 2012                 | Dec 11 2012          | 16.00    | 1,816.60      | 1,651.52   | 0.00           | 165.08             | 165.08            | ST             |
| Sep 21 2011                 | Dec 11 2012          | 41.00    | 1,124.19      | 1,072.11   | 0.00           | 52.08              | 52.08             | LT             |
| Sep 21 2011                 | Dec 11 2012          | 51.00    | 1,398.39      | 1,356.60   | 0.00           | 41.79              | 41.79             | LT             |
| Oct 24 2011                 | Dec 11 2012          | 55.00    | 1,508.07      | 1,500.68   | 0.00           | 7.39               | 7.39              | LT             |
| Nov 22 2011                 | Dec 11 2012          | 17.00    | 466.13        | 421.43     | 0.00           | 44.70              | 44.70             | LT             |
| Dec 22 2011                 | Dec 11 2012          | 44.00    | 1,206.45      | 1,134.76   | 0.00           | 71.69              | 71.69             | ST             |
| Jan 20 2012                 | Dec 11 2012          | 44.00    | 1,206.45      | 1,304.38   | 0.00           | (97.93)            | (97.93)           | ST             |
| Feb 22 2012                 | Dec 11 2012          | 16.00    | 438.71        | 500.48     | 0.00           | (61.77)            | (61.77)           | ST             |
| Mar 02 2012                 | Dec 11 2012          | 64.00    | 1,754.84      | 2,085.33   | 0.00           | (310.49)           | (310.49)          | ST             |
| Apr 20 2012                 | Dec 11 2012          | 29.00    | 795.16        | 949.45     | 0.00           | (154.29)           | (154.29)          | ST             |
| May 17 2012                 | Dec 11 2012          | 64.00    | 1,754.84      | 1,918.75   | 0.00           | (163.91)           | (163.91)          | ST             |
| Jul 12 2012                 | Dec 11 2012          | 41.00    | 1,124.19      | 1,180.09   | 0.00           | (55.90)            | (55.90)           | ST             |
| Aug 01 2012                 | Dec 11 2012          | 29.00    | 795.16        | 855.76     | 0.00           | (60.60)            | (60.60)           | ST             |
| Apr 27 2012                 | Dec 11 2012          | 67.00    | 1,752.01      | 1,717.16   | 0.00           | 34.85              | 34.85             | ST             |
| May 01 2012                 | Dec 11 2012          | 85.00    | 2,222.70      | 2,199.13   | 0.00           | 23.57              | 23.57             | ST             |
| Jun 20 2012                 | Dec 11 2012          | 41.00    | 1,072.12      | 1,034.48   | 0.00           | 37.64              | 37.64             | ST             |
| Oct 24 2011                 | Dec 11 2012          | 9.00     | 820.33        | 674.10     | 0.00           | 146.23             | 146.23            | LT             |
| Nov 22 2011                 | Dec 11 2012          | 20.00    | 1,822.96      | 1,388.20   | 0.00           | 434.76             | 434.76            | LT             |
| Dec 22 2011                 | Dec 11 2012          | 19.00    | 1,731.81      | 1,341.59   | 0.00           | 390.22             | 390.22            | ST             |
| Jan 06 2012                 | Dec 11 2012          | 18.00    | 1,640.66      | 1,396.28   | 0.00           | 244.38             | 244.38            | ST             |
| Jan 20 2012                 | Dec 11 2012          | 22.00    | 2,005.26      | 1,752.52   | 0.00           | 252.74             | 252.74            | ST             |

MONDELEZ INTERNATIONAL, INC. CMN

MONSANTO COMPANY CMN



Statement Detail

**SCHLUMBERGER NEUBERGER BERMAN: LCG**  
Realized Gains and Losses (Continued)

Period Ended December 31, 2012

| Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds | Cost Basis | FX Gain (Loss) | Market Gain (Loss) | Total Gain (Loss) | Holding Period |
|-----------------------------|----------------------|----------|---------------|------------|----------------|--------------------|-------------------|----------------|
| Feb 22 2012                 | Dec 11 2012          | 9 00     | 820.33        | 719.55     | 0.00           | 100.78             | 100.78            | ST             |
| Apr 12 2012                 | Dec 11 2012          | 25 00    | 2,278.70      | 1,953.68   | 0.00           | 315.02             | 315.02            | ST             |
| Oct 16 2012                 | Dec 11 2012          | 72 00    | 4,985.16      | 5,066.46   | 0.00           | (81.30)            | (81.30)           | ST             |
| Jul 19 2012                 | Dec 11 2012          | 62 00    | 5,684.03      | 5,807.84   | 0.00           | (123.81)           | (123.81)          | ST             |
| Jul 16 2012                 | Dec 11 2012          | 249 00   | 6,389.19      | 5,689.60   | 0.00           | 699.59             | 699.59            | ST             |
| Feb 22 2012                 | Dec 11 2012          | 6 00     | 532.07        | 493.86     | 0.00           | 38.21              | 38.21             | ST             |
| Jun 12 2012                 | Dec 11 2012          | 30 00    | 2,660.34      | 2,561.19   | 0.00           | 99.15              | 99.15             | ST             |
| Jun 20 2012                 | Dec 11 2012          | 20 00    | 1,773.56      | 1,762.13   | 0.00           | 11.43              | 11.43             | ST             |
| Sep 21 2011                 | Dec 11 2012          | 14 00    | 2,594.15      | 2,354.66   | 0.00           | 239.49             | 239.49            | LT             |
| Oct 24 2011                 | Dec 11 2012          | 7 00     | 1,297.07      | 1,213.45   | 0.00           | 83.62              | 83.62             | LT             |
| Nov 22 2011                 | Dec 11 2012          | 8 00     | 1,482.37      | 1,237.92   | 0.00           | 244.45             | 244.45            | LT             |
| Dec 22 2011                 | Dec 11 2012          | 8 00     | 1,482.37      | 1,313.60   | 0.00           | 168.77             | 168.77            | ST             |
| Jan 20 2012                 | Dec 11 2012          | 8 00     | 1,482.37      | 1,416.56   | 0.00           | 65.81              | 65.81             | ST             |
| Feb 22 2012                 | Dec 11 2012          | 3 00     | 555.89        | 502.02     | 0.00           | 53.87              | 53.87             | ST             |
| Jun 12 2012                 | Dec 11 2012          | 6 00     | 1,111.78      | 992.73     | 0.00           | 119.05             | 119.05            | ST             |
| Oct 24 2011                 | Dec 11 2012          | 18 00    | 1,273.11      | 1,173.06   | 0.00           | 100.05             | 100.05            | LT             |
| Nov 22 2011                 | Dec 11 2012          | 16 00    | 1,131.65      | 988.96     | 0.00           | 142.69             | 142.69            | LT             |
| Dec 22 2011                 | Dec 11 2012          | 13 00    | 919.47        | 860.34     | 0.00           | 59.13              | 59.13             | ST             |
| Jan 20 2012                 | Dec 11 2012          | 12 00    | 848.74        | 793.44     | 0.00           | 55.30              | 55.30             | ST             |
| Feb 22 2012                 | Dec 11 2012          | 5 00     | 353.64        | 322.70     | 0.00           | 30.94              | 30.94             | ST             |
| Nov 06 2012                 | Dec 11 2012          | 57 00    | 4,031.52      | 3,929.01   | 0.00           | 102.51             | 102.51            | ST             |
| Sep 21 2011                 | Dec 11 2012          | 16 00    | 1,031.96      | 844.64     | 0.00           | 187.34             | 187.34            | LT             |
| Oct 24 2011                 | Dec 11 2012          | 11 00    | 709.48        | 587.95     | 0.00           | 121.53             | 121.53            | LT             |
| Nov 18 2011                 | Dec 11 2012          | 14 00    | 902.96        | 789.29     | 0.00           | 113.69             | 113.69            | LT             |
| Nov 22 2011                 | Dec 11 2012          | 18 00    | 1,160.97      | 981.90     | 0.00           | 179.07             | 179.07            | LT             |
| Dec 22 2011                 | Dec 11 2012          | 17 00    | 1,096.47      | 923.61     | 0.00           | 172.86             | 172.86            | ST             |
| Jan 20 2012                 | Dec 11 2012          | 16 00    | 1,031.98      | 920.96     | 0.00           | 111.02             | 111.02            | ST             |
| Feb 22 2012                 | Dec 11 2012          | 6 00     | 386.99        | 375.24     | 0.00           | 11.75              | 11.75             | ST             |
| Mar 26 2012                 | Dec 11 2012          | 27 00    | 1,741.46      | 1,847.64   | 0.00           | (106.18)           | (106.18)          | ST             |
| Apr 17 2012                 | Dec 11 2012          | 21 00    | 1,354.47      | 1,421.79   | 0.00           | (67.32)            | (67.32)           | ST             |
| Sep 21 2011                 | Dec 11 2012          | 13 00    | 841.08        | 912.08     | 0.00           | (71.00)            | (71.00)           | LT             |
| Oct 24 2011                 | Dec 11 2012          | 8 00     | 517.59        | 589.52     | 0.00           | (71.93)            | (71.93)           | LT             |
| Nov 22 2011                 | Dec 11 2012          | 8 00     | 517.59        | 536.64     | 0.00           | (19.05)            | (19.05)           | LT             |
| Dec 22 2011                 | Dec 11 2012          | 8 00     | 517.59        | 508.00     | 0.00           | 9.59               | 9.59              | ST             |



**Statement Detail**  
**SCHLUMBERGER NEUBERGER BERMAN: LCG**  
 Realized Gains and Losses (Continued)

Period Ended December 31, 2012

| Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds | Cost Basis | FX Gain (Loss) | Market Gain (Loss) | Total Gain (Loss) | Holding Period |
|-----------------------------|----------------------|----------|---------------|------------|----------------|--------------------|-------------------|----------------|
| Jan 20 2012                 | Dec 11 2012          | 7.00     | 452.89        | 375.83     | 0.00           | 77.06              | 77.06             | ST             |
| Apr 18 2012                 | Dec 11 2012          | 37.00    | 2,393.85      | 2,105.23   | 0.00           | 288.62             | 288.62            | ST             |
| Apr 23 2012                 | Dec 11 2012          | 35.00    | 2,264.45      | 2,021.48   | 0.00           | 242.97             | 242.97            | ST             |
| Jun 18 2012                 | Dec 11 2012          | 28.00    | 1,811.56      | 1,643.13   | 0.00           | 168.43             | 168.43            | ST             |
| Jan 27 2012                 | Dec 11 2012          | 33.00    | 1,431.18      | 1,509.90   | 0.00           | (78.72)            | (78.72)           | ST             |
| Feb 22 2012                 | Dec 11 2012          | 6.00     | 260.21        | 284.94     | 0.00           | (24.73)            | (24.73)           | ST             |
| Mar 05 2012                 | Dec 11 2012          | 39.00    | 1,891.39      | 1,990.65   | 0.00           | (299.26)           | (299.26)          | ST             |
| Sep 21 2011                 | Dec 11 2012          | 8.00     | 581.43        | 535.20     | 0.00           | 46.23              | 46.23             | LT             |
| Oct 24 2011                 | Dec 11 2012          | 12.00    | 872.14        | 836.04     | 0.00           | 36.10              | 36.10             | LT             |
| Oct 26 2011                 | Dec 11 2012          | 11.00    | 799.46        | 749.74     | 0.00           | 49.72              | 49.72             | LT             |
| Nov 22 2011                 | Dec 11 2012          | 17.00    | 1,235.53      | 1,172.66   | 0.00           | 62.87              | 62.87             | LT             |
| Nov 30 2011                 | Dec 11 2012          | 11.00    | 799.46        | 825.61     | 0.00           | (26.15)            | (26.15)           | LT             |
| Dec 22 2011                 | Dec 11 2012          | 20.00    | 1,453.57      | 1,358.80   | 0.00           | 94.77              | 94.77             | ST             |
| Jan 20 2012                 | Dec 11 2012          | 19.00    | 1,380.89      | 1,397.55   | 0.00           | (16.66)            | (16.66)           | ST             |
| Feb 22 2012                 | Dec 11 2012          | 7.00     | 508.75        | 562.03     | 0.00           | (53.28)            | (53.28)           | ST             |
| Aug 10 2012                 | Dec 11 2012          | 28.00    | 4,208.30      | 3,951.32   | 0.00           | 256.98             | 256.98            | ST             |
| Sep 21 2011                 | Dec 11 2012          | 23.00    | 1,224.72      | 942.54     | 0.00           | 282.18             | 282.18            | LT             |
| Oct 24 2011                 | Dec 11 2012          | 22.00    | 1,171.47      | 943.80     | 0.00           | 227.67             | 227.67            | LT             |
| Nov 22 2011                 | Dec 11 2012          | 24.00    | 1,277.97      | 1,016.16   | 0.00           | 261.81             | 261.81            | LT             |
| Dec 22 2011                 | Dec 11 2012          | 23.00    | 1,224.72      | 1,035.92   | 0.00           | 188.80             | 188.80            | ST             |
| Jan 20 2012                 | Dec 11 2012          | 22.00    | 1,171.47      | 1,055.34   | 0.00           | 116.13             | 116.13            | ST             |
| Feb 22 2012                 | Dec 11 2012          | 8.00     | 425.99        | 386.88     | 0.00           | 39.11              | 39.11             | ST             |
| Dec 06 2012                 | Dec 11 2012          | 72.00    | 3,833.91      | 3,800.27   | 0.00           | 33.64              | 33.64             | ST             |
| Jul 05 2012                 | Dec 11 2012          | 27.00    | 1,646.15      | 1,963.12   | 0.00           | (316.97)           | (316.97)          | ST             |
| Jul 16 2012                 | Dec 11 2012          | 32.00    | 1,950.99      | 2,064.52   | 0.00           | (113.53)           | (113.53)          | ST             |
| Oct 16 2012                 | Dec 11 2012          | 108.00   | 4,129.24      | 3,994.79   | 0.00           | 134.45             | 134.45            | ST             |
| Oct 24 2011                 | Dec 11 2012          | 7.00     | 871.27        | 683.27     | 0.00           | 188.00             | 188.00            | LT             |
| Nov 22 2011                 | Dec 11 2012          | 10.00    | 1,244.67      | 983.50     | 0.00           | 261.17             | 261.17            | LT             |
| Dec 22 2011                 | Dec 11 2012          | 10.00    | 1,244.67      | 1,040.40   | 0.00           | 204.27             | 204.27            | ST             |
| Jan 20 2012                 | Dec 11 2012          | 9.00     | 1,120.20      | 1,013.13   | 0.00           | 107.07             | 107.07            | ST             |



**Statement Detail**  
**SCHLUMBERGER NEUBERGER BERMAN: LCG**  
 Realized Gains and Losses (Continued)

Period Ended December 31, 2012

| Date Acquired or Sold Short   | Date Sold or Covered | Quantity | Sale Proceeds     | Cost Basis        | FX Gain (Loss) | Market Gain (Loss) | Total Gain (Loss) | Holding Period |
|---|----------------------|----------|-------------------|-------------------|----------------|--------------------|-------------------|----------------|
| Feb 22 2012   | Dec 11 2012          | 4.00     | 497.87            | 447.20            | 0.00           | 50.67              | 50.67             | ST             |
| Jul 31 2012   | Dec 11 2012          | 18.00    | 2,240.41          | 2,219.79          | 0.00           | 20.62              | 20.62             | ST             |
| UNITEDHEALTH GROUP INCORPORATE CMN  |                      |          |                   |                   |                |                    |                   |                |
| Sep 21 2011   | Dec 11 2012          | 8.00     | 438.47            | 424.22            | 0.00           | 14.25              | 14.25             | LT             |
| Sep 21 2011   | Dec 11 2012          | 14.00    | 767.32            | 748.95            | 0.00           | 18.37              | 18.37             | LT             |
| Nov 22 2011   | Dec 11 2012          | 13.00    | 712.51            | 578.11            | 0.00           | 134.40             | 134.40            | LT             |
| Dec 22 2011   | Dec 11 2012          | 16.00    | 876.94            | 811.68            | 0.00           | 65.26              | 65.26             | ST             |
| VERIZON COMMUNICATIONS INC. CMN   |                      |          |                   |                   |                |                    |                   |                |
| Apr 25 2012   | Dec 11 2012          | 142.00   | 6,298.98          | 5,603.11          | 0.00           | 695.87             | 695.87            | ST             |
| Jun 11 2012   | Dec 11 2012          | 145.00   | 6,432.05          | 6,190.31          | 0.00           | 241.74             | 241.74            | ST             |
| Jul 30 2012   | Dec 11 2012          | 40.00    | 1,774.36          | 1,797.10          | 0.00           | (22.74)            | (22.74)           | ST             |
| VERTEX PHARMACEUTICALS INC CMN  |                      |          |                   |                   |                |                    |                   |                |
| Jun 01 2012   | Dec 11 2012          | 62.00    | 2,585.96          | 3,713.63          | 0.00           | (1,127.67)         | (1,127.67)        | ST             |
| Jun 29 2012   | Dec 11 2012          | 32.00    | 1,334.69          | 1,753.50          | 0.00           | (418.81)           | (418.81)          | ST             |
| Nov 06 2012   | Dec 11 2012          | 76.00    | 3,169.89          | 3,535.65          | 0.00           | (365.76)           | (365.76)          | ST             |
| VF CORP CMN   |                      |          |                   |                   |                |                    |                   |                |
| Oct 24 2011   | Dec 11 2012          | 3.00     | 452.51            | 411.69            | 0.00           | 40.82              | 40.82             | LT             |
| Nov 22 2011   | Dec 11 2012          | 6.00     | 905.02            | 772.26            | 0.00           | 132.76             | 132.76            | LT             |
| Dec 22 2011   | Dec 11 2012          | 6.00     | 905.02            | 761.82            | 0.00           | 143.20             | 143.20            | ST             |
| Jan 20 2012   | Dec 11 2012          | 5.00     | 754.18            | 667.40            | 0.00           | 86.78              | 86.78             | ST             |
| Feb 22 2012   | Dec 11 2012          | 2.00     | 301.67            | 290.16            | 0.00           | 11.51              | 11.51             | ST             |
| <b>Sale Proceeds      Cost Basis      FX Gain (Loss)      Market Gain (Loss)      Total Gain (Loss)</b> |                      |          |                   |                   |                |                    |                   |                |
| SHORT TERM GAINS  |                      |          | 363,455.28        | 319,210.53        | 0.00           | 44,244.75          | 44,244.75         |                |
| SHORT TERM LOSSES   |                      |          | 207,309.83        | 233,968.83        | 0.00           | (26,659.00)        | (26,659.00)       |                |
| SHORT TERM DISALLOWED LOSSES  |                      |          |                   |                   |                |                    | 340.32            |                |
| <b>NET SHORT TERM GAINS (LOSSES)</b>  |                      |          | <b>570,765.11</b> | <b>553,179.36</b> | <b>0.00</b>    | <b>17,585.75</b>   | <b>17,926.07</b>  |                |
| LONG TERM GAINS   |                      |          | 89,522.06         | 71,578.78         | 0.00           | 17,943.28          | 17,943.28         |                |
| LONG TERM LOSSES  |                      |          | 7,240.35          | 8,551.12          | 0.00           | (1,310.77)         | (1,310.77)        |                |
| <b>NET LONG TERM GAINS (LOSSES)</b>   |                      |          | <b>96,762.41</b>  | <b>80,129.90</b>  | <b>0.00</b>    | <b>16,632.51</b>   | <b>16,632.51</b>  |                |



# SCHLUMBERGER EPOCH: ACV

## Realized Gains and Losses

Period Ended December 31, 2012

### YEAR TO DATE GAINS AND LOSSES

This information is for general guidance and should not be used for tax purposes. You should use only your official form 1099's or other tax records for tax reporting. This information is not, and should not be construed as, tax, accounting or legal advice. Please consult with your tax advisor regarding the tax consequences of your investment decisions. The cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account were provided by you or a third party and Goldman Sachs makes no representation as to the accuracy of such information.

|   | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity | Sale Proceeds | Cost Basis | FX Gain (Loss) | Market Gain (Loss) | Total Gain (Loss) | Holding<br>Period |
|---|--------------------------------|-------------------------|----------|---------------|------------|----------------|--------------------|-------------------|-------------------|
| PRAXAIR, INC CMN SERIES                       | Sep 21 2011                    | Feb 01 2012             | 23.00    | 2,464.02      | 2,285.36   | 0.00           | 178.66             | 178.66            | ST                |
| VISA INC. CMN CLASS A                         | Sep 21 2011                    | Feb 16 2012             | 58.00    | 6,602.28      | 5,395.83   | 0.00           | 1,206.45           | 1,206.45          | ST                |
| WASTE MANAGEMENT INC CMN                      | Sep 21 2011                    | Mar 13 2012             | 86.00    | 2,993.43      | 2,623.86   | 0.00           | 369.57             | 369.57            | ST                |
| COMCAST CORPORATION CMN CLASS A NON<br>VOTING | Sep 21 2011                    | Mar 14 2012             | 86.00    | 2,521.01      | 1,908.57   | 0.00           | 612.44             | 612.44            | ST                |
| EXXON MOBIL CORPORATION CMN                   | Sep 21 2011                    | Mar 14 2012             | 50.00    | 4,323.69      | 3,678.50   | 0.00           | 645.19             | 645.19            | ST                |
| SERVICE CORP INTERNATL CMN                    | Sep 21 2011                    | Mar 14 2012             | 124.00   | 1,386.05      | 1,190.78   | 0.00           | 195.27             | 195.27            | ST                |
| WABTEC CORP CMN                               | Sep 21 2011                    | Mar 14 2012             | 36.00    | 2,716.41      | 2,028.58   | 0.00           | 687.83             | 687.83            | ST                |
| WASTE MANAGEMENT INC CMN                      | Sep 21 2011                    | Mar 14 2012             | 50.00    | 1,734.31      | 1,525.50   | 0.00           | 208.81             | 208.81            | ST                |
|   | Oct 24 2011                    | Mar 14 2012             | 52.00    | 1,803.68      | 1,778.92   | 0.00           | 24.76              | 24.76             | ST                |
|   | Nov 22 2011                    | Mar 14 2012             | 45.00    | 1,560.88      | 1,376.55   | 0.00           | 184.33             | 184.33            | ST                |
|   | Dec 22 2011                    | Mar 14 2012             | 45.00    | 1,560.88      | 1,469.25   | 0.00           | 91.63              | 91.63             | ST                |
| SERVICE CORP INTERNATL CMN                    | Sep 21 2011                    | Mar 15 2012             | 15.00    | 166.77        | 144.05     | 0.00           | 22.72              | 22.72             | ST                |
|   | Oct 24 2011                    | Mar 15 2012             | 55.00    | 611.48        | 559.90     | 0.00           | 51.58              | 51.58             | ST                |
|   | Nov 22 2011                    | Mar 15 2012             | 54.00    | 600.36        | 524.34     | 0.00           | 76.02              | 76.02             | ST                |
|   | Dec 22 2011                    | Mar 15 2012             | 44.00    | 489.18        | 455.40     | 0.00           | 33.78              | 33.78             | ST                |
| AMERIPRISE FINANCIAL, INC CMN                 | Sep 21 2011                    | Apr 12 2012             | 54.00    | 2,955.61      | 2,316.35   | 0.00           | 639.26             | 639.26            | ST                |
| WARNACO GROUP INC. CMN                        | Sep 21 2011                    | Apr 12 2012             | 27.00    | 1,468.16      | 1,345.95   | 0.00           | 122.21             | 122.21            | ST                |
|   | Oct 24 2011                    | Apr 12 2012             | 8.00     | 435.01        | 396.88     | 0.00           | 38.13              | 38.13             | ST                |
|   | Nov 22 2011                    | Apr 12 2012             | 12.00    | 652.52        | 556.92     | 0.00           | 95.60              | 95.60             | ST                |
|   | Dec 22 2011                    | Apr 12 2012             | 10.00    | 543.76        | 492.90     | 0.00           | 50.86              | 50.86             | ST                |
| PHILLIPS 66 CMN                               | Nov 08 2011                    | May 18 2012             | 32.00    | 1,003.70      | 1,049.83   | 0.00           | (46.13)            | (46.13)           | ST                |
|   | Nov 22 2011                    | May 18 2012             | 10.00    | 313.66        | 312.13     | 0.00           | 1.53               | 1.53              | ST                |
|   | Dec 22 2011                    | May 18 2012             | 6.00     | 188.19        | 213.51     | 0.00           | (25.32)            | (25.32)           | ST                |
| TUX COMPANIES INC (NEW) CMN                   | Sep 21 2011                    | May 18 2012             | 79.00    | 3,173.65      | 2,267.59   | 0.00           | 906.06             | 906.06            | ST                |
| AMERIPRISE FINANCIAL, INC. CMN                | Sep 21 2011                    | Jun 07 2012             | 30.00    | 1,459.88      | 1,286.86   | 0.00           | 173.02             | 173.02            | ST                |



Statement Detail

**SCHLUMBERGER EPOCH: ACV**  
Realized Gains and Losses (Continued)

Period Ended December 31, 2012

|  | Date Acquired or Sold Short                | Date Sold or Covered | Quantity    | Sale Proceeds | Cost Basis | FX Gain (Loss) | Market Gain (Loss) | Total Gain (Loss) | Holding Period |    |
|--|--|----------------------|-------------|---------------|------------|----------------|--------------------|-------------------|----------------|----|
| CONOCOPHILLIPS CMN                         | Sep 21 2011                                | Jun 11 2012          | 9 00        | 431 85        | 386 06     | 0 00           | 45 79              | 45 79             | ST             |    |
|  | Sep 21 2011                                | Jun 12 2012          | 13 00       | 622 01        | 557 64     | 0 00           | 64 37              | 64 37             | ST             |    |
|  | Oct 24 2011                                | Jun 12 2012          | 4 00        | 191 39        | 179 56     | 0 00           | 11 83              | 11 83             | ST             |    |
|  | Nov 08 2011                                | Jun 27 2012          | 63 00       | 3,416 75      | 3,532 59   | 0 00           | (115.84)           | (115 84)          | ST             |    |
| AMERICAN EXPRESS CO CMN                    | Nov 22 2011                                | Jun 27 2012          | 19 00       | 1,033 49      | 997 76     | 0 00           | 35 73              | 35 73             | ST             |    |
|  | Nov 22 2011                                | Jun 27 2012          | 1 00        | 54 23         | 52 51      | 0 00           | 1 72               | 1 72              | ST             |    |
|  | Dec 22 2011                                | Jun 27 2012          | 13 00       | 707 13        | 718 46     | 0 00           | (11.33)            | (11 33)           | ST             |    |
|  | Sep 21 2011                                | Jul 13 2012          | 28 00       | 1,615 36      | 1,401 68   | 0 00           | 213 68             | 213 68            | ST             |    |
| VISA INC CMN CLASS A                       | Sep 21 2011                                | Jul 13 2012          | 11 00       | 1,361 49      | 1,023 35   | 0 00           | 338 14             | 338 14            | ST             |    |
| CORNING INCORPORATED CMN                   | Sep 21 2011                                | Jul 26 2012          | 195 00      | 2,169 46      | 2,569 23   | 0 00           | (399.77)           | (399 77)          | ST             |    |
|  | Sep 21 2011                                | Jul 27 2012          | 32 00       | 369 81        | 421 62     | 0 00           | (51.81)            | (51 81)           | ST             |    |
|  | Sep 21 2011                                | Jul 30 2012          | 23 00       | 262 27        | 303 04     | 0 00           | (40 77)            | (40 77)           | ST             |    |
|  | Oct 24 2011                                | Jul 30 2012          | 72 00       | 821 00        | 1,021 68   | 0 00           | (200 68)           | (200 68)          | ST             |    |
|  | Oct 24 2011                                | Jul 31 2012          | 16 00       | 182 58        | 227 04     | 0 00           | (44 46)            | (44 46)           | ST             |    |
|  | Nov 22 2011                                | Jul 31 2012          | 71 00       | 810 21        | 1,027 37   | 0 00           | (217 16)           | (217 16)          | ST             |    |
|  | Nov 22 2011                                | Aug 01 2012          | 30 00       | 339 16        | 434 10     | 0 00           | (94 94)            | (94 94)           | ST             |    |
|  | Dec 22 2011                                | Aug 01 2012          | 63 00       | 712 24        | 819 63     | 0 00           | (107 39)           | (107 39)          | ST             |    |
|  | WISCONSIN ENERGY CORP(HLDG CO) CMN         | Sep 21 2011          | Aug 01 2012 | 26 00         | 1,052 22   | 827 10         | 0 00               | 225 12            | 225 12         | ST |
|  | COMCAST CORPORATION CMN CLASS A NON VOTING | Sep 21 2011          | Aug 02 2012 | 17 00         | 678 81     | 540 79         | 0 00               | 138 02            | 138 02         | ST |
| COMCAST CORPORATION CMN CLASS A NON VOTING | Sep 21 2011                                | Aug 03 2012          | 92 00       | 3,099 03      | 2,041 73   | 0 00           | 1,057 30           | 1,057 30          | ST             |    |
| INGERSOLL-RAND PLC CMN                     | Sep 21 2011                                | Aug 03 2012          | 58 00       | 2,459 32      | 1,899 66   | 0 00           | 569 66             | 569 66            | ST             |    |
|  | Sep 21 2011                                | Aug 03 2012          | 26 00       | 647 22        | 676 30     | 0 00           | (29 08)            | (29 08)           | ST             |    |
| VENTAS, INC. CMN                           | Sep 21 2011                                | Aug 03 2012          | 15 00       | 1,007 63      | 782 03     | 0 00           | 225 60             | 225 60            | ST             |    |
|  | Sep 21 2011                                | Aug 03 2012          | 28 00       | 2,195 50      | 1,577 79   | 0 00           | 617 71             | 617 71            | ST             |    |
| WISCONSIN ENERGY CORP(HLDG CO) CMN         | Sep 21 2011                                | Aug 03 2012          | 37 00       | 1,482 10      | 1,177 02   | 0 00           | 315 08             | 315 08            | ST             |    |
|  | Sep 21 2011                                | Aug 06 2012          | 28 00       | 692 29        | 728 32     | 0 00           | (36 03)            | (36 03)           | ST             |    |
| NYSE EURONEXT CMN                          | Sep 21 2011                                | Aug 09 2012          | 47 00       | 1,174 19      | 1,222 54   | 0 00           | (48 35)            | (48 35)           | ST             |    |
|  | Sep 21 2011                                | Aug 10 2012          | 44 00       | 1,093 77      | 1,144 50   | 0 00           | (50 73)            | (50 73)           | ST             |    |
| NYSE EURONEXT CMN                          | Sep 21 2011                                | Aug 13 2012          | 30 00       | 750 83        | 780 34     | 0 00           | (29 51)            | (29 51)           | ST             |    |
|  | Oct 24 2011                                | Aug 13 2012          | 19 00       | 475 52        | 520 27     | 0 00           | (44 75)            | (44 75)           | ST             |    |
| YAHOO INC CMN                              | Sep 21 2011                                | Aug 13 2012          | 243 00      | 3,652 91      | 3,490 12   | 0 00           | 162 79             | 162 79            | ST             |    |
|  | Oct 24 2011                                | Aug 14 2012          | 44 00       | 1,109 13      | 1,204 84   | 0 00           | (95 71)            | (95 71)           | ST             |    |
| NYSE EURONEXT CMN                          | Nov 22 2011                                | Aug 14 2012          | 5 00        | 126 03        | 135 21     | 0 00           | (9 18)             | (9 18)            | ST             |    |



Statement Detail

**SCHLUMBERGER EPOCH: ACV**  
Realized Gains and Losses (Continued)

Period Ended December 31, 2012

| Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds | Cost Basis | FX Gain (Loss) | Market Gain (Loss) | Total Gain (Loss) | Holding Period |
|-----------------------------|----------------------|----------|---------------|------------|----------------|--------------------|-------------------|----------------|
| Nov 22 2011                 | Aug 15 2012          | 60.00    | 1,510.07      | 1,622.57   | 0.00           | (112.50)           | (112.50)          | ST             |
| Dec 22 2011                 | Aug 15 2012          | 60.00    | 1,510.07      | 1,572.60   | 0.00           | (62.53)            | (62.53)           | ST             |
| Sep 21 2011                 | Aug 21 2012          | 21.00    | 314.13        | 301.62     | 0.00           | 12.51              | 12.51             | ST             |
| Oct 24 2011                 | Aug 21 2012          | 99.00    | 1,480.89      | 1,647.86   | 0.00           | (166.97)           | (166.97)          | ST             |
| Nov 22 2011                 | Aug 21 2012          | 111.00   | 1,660.40      | 1,660.01   | 0.00           | 0.39               | 0.39              | ST             |
| Dec 22 2011                 | Aug 21 2012          | 87.00    | 1,301.39      | 1,400.27   | 0.00           | (98.88)            | (98.88)           | ST             |
| Apr 04 2012                 | Aug 21 2012          | 160.00   | 2,393.37      | 2,440.13   | 0.00           | (46.76)            | (46.76)           | ST             |
| Sep 21 2011                 | Sep 14 2012          | 11.00    | 667.33        | 578.98     | 0.00           | 88.35              | 88.35             | ST             |
| Sep 21 2011                 | Sep 17 2012          | 11.00    | 664.31        | 578.98     | 0.00           | 85.33              | 85.33             | ST             |
| Sep 21 2011                 | Sep 18 2012          | 10.00    | 599.34        | 526.34     | 0.00           | 73.00              | 73.00             | ST             |
| Sep 21 2011                 | Sep 19 2012          | 11.00    | 659.16        | 578.98     | 0.00           | 80.18              | 80.18             | ST             |
| Sep 21 2011                 | Sep 20 2012          | 9.00     | 537.70        | 473.71     | 0.00           | 63.99              | 63.99             | ST             |
| Sep 21 2011                 | Sep 24 2012          | 10.00    | 595.46        | 526.34     | 0.00           | 69.12              | 69.12             | LT             |
| Sep 21 2011                 | Sep 25 2012          | 12.00    | 716.18        | 631.61     | 0.00           | 84.57              | 84.57             | LT             |
| Sep 21 2011                 | Sep 27 2012          | 19.00    | 1,159.79      | 980.78     | 0.00           | 179.01             | 179.01            | LT             |
| Sep 21 2011                 | Sep 27 2012          | 10.00    | 592.78        | 526.34     | 0.00           | 66.44              | 66.44             | LT             |
| Sep 21 2011                 | Oct 01 2012          | 19.00    | 1,160.13      | 980.78     | 0.00           | 179.35             | 179.35            | LT             |
| Sep 21 2011                 | Oct 01 2012          | 85.00    | 1,317.45      | 1,239.97   | 0.00           | 77.48              | 77.48             | LT             |
| Sep 21 2011                 | Oct 02 2012          | 4.00     | 244.00        | 206.48     | 0.00           | 37.52              | 37.52             | LT             |
| Sep 21 2011                 | Oct 19 2012          | 95.00    | 6,276.51      | 4,984.65   | 0.00           | 1,291.86           | 1,291.86          | LT             |
| Oct 24 2011                 | Oct 19 2012          | 39.00    | 2,576.67      | 2,092.35   | 0.00           | 484.32             | 484.32            | ST             |
| Nov 22 2011                 | Oct 19 2012          | 35.00    | 2,312.40      | 1,841.70   | 0.00           | 470.70             | 470.70            | ST             |
| Dec 22 2011                 | Oct 19 2012          | 31.00    | 2,048.12      | 1,719.57   | 0.00           | 328.55             | 328.55            | ST             |
| Feb 01 2012                 | Oct 19 2012          | 67.00    | 4,426.59      | 3,638.64   | 0.00           | 787.95             | 787.95            | ST             |
| Sep 21 2011                 | Oct 19 2012          | 122.00   | 5,295.90      | 4,862.92   | 0.00           | 432.98             | 432.98            | LT             |
| Oct 24 2011                 | Oct 19 2012          | 47.00    | 2,040.22      | 1,814.20   | 0.00           | 226.02             | 226.02            | ST             |
| Nov 22 2011                 | Oct 19 2012          | 47.00    | 2,040.22      | 1,843.34   | 0.00           | 196.88             | 196.88            | ST             |
| Dec 22 2011                 | Oct 19 2012          | 39.00    | 1,692.95      | 1,652.04   | 0.00           | 40.91              | 40.91             | ST             |
| Aug 23 2012                 | Oct 19 2012          | 3.00     | 107.19        | 111.38     | 0.00           | (4.19)             | (4.19)            | ST             |
| Aug 28 2012                 | Oct 19 2012          | 29.00    | 1,036.15      | 1,076.92   | 0.00           | (40.77)            | (40.77)           | ST             |



Statement Detail

**SCHLUMBERGER EPOCH: ACV**  
Realized Gains and Losses (Continued)

Period Ended December 31, 2012

| Date Acquired or Sold Short    | Date Sold or Covered | Quantity | Sale Proceeds | Cost Basis | FX Gain (Loss) | Market Gain (Loss) | Total Gain (Loss) | Holding Period |
|--------------------------------|----------------------|----------|---------------|------------|----------------|--------------------|-------------------|----------------|
| Aug 29 2012                    | Oct 19 2012          | 25.00    | 893.23        | 929.54     | 0.00           | (36.31)            | (36.31)           | ST             |
| Aug 30 2012                    | Oct 19 2012          | 16.00    | 571.67        | 592.66     | 0.00           | (20.99)            | (20.99)           | ST             |
| Sep 04 2012                    | Oct 19 2012          | 49.00    | 1,750.73      | 1,814.33   | 0.00           | (63.60)            | (63.60)           | ST             |
| Sep 05 2012                    | Oct 19 2012          | 42.00    | 1,500.63      | 1,543.71   | 0.00           | (43.08)            | (43.08)           | ST             |
| Sep 14 2012                    | Oct 19 2012          | 12.00    | 428.75        | 476.51     | 0.00           | (47.76)            | (47.76)           | ST             |
| Sep 17 2012                    | Oct 19 2012          | 15.00    | 535.94        | 594.52     | 0.00           | (58.58)            | (58.58)           | ST             |
| Sep 18 2012                    | Oct 19 2012          | 13.00    | 464.48        | 515.20     | 0.00           | (50.72)            | (50.72)           | ST             |
| Sep 19 2012                    | Oct 19 2012          | 15.00    | 535.94        | 596.08     | 0.00           | (60.14)            | (60.14)           | ST             |
| Sep 20 2012                    | Oct 19 2012          | 20.00    | 714.58        | 786.95     | 0.00           | (72.37)            | (72.37)           | ST             |
| Sep 24 2012                    | Oct 19 2012          | 17.00    | 607.40        | 659.11     | 0.00           | (51.71)            | (51.71)           | ST             |
| Sep 25 2012                    | Oct 19 2012          | 15.00    | 535.94        | 579.63     | 0.00           | (43.69)            | (43.69)           | ST             |
| Sep 26 2012                    | Oct 19 2012          | 10.00    | 357.29        | 381.56     | 0.00           | (24.27)            | (24.27)           | ST             |
| Sep 27 2012                    | Oct 19 2012          | 4.00     | 142.92        | 154.16     | 0.00           | (11.24)            | (11.24)           | ST             |
| AMERICAN EXPRESS CO. CMN       |                      |          |               |            |                |                    |                   |                |
| Sep 21 2011                    | Oct 19 2012          | 30.00    | 1,699.16      | 1,501.80   | 0.00           | 197.36             | 197.36            | LT             |
| Oct 24 2011                    | Oct 19 2012          | 31.00    | 1,755.80      | 1,557.44   | 0.00           | 198.36             | 198.36            | ST             |
| Nov 22 2011                    | Oct 19 2012          | 25.00    | 1,415.97      | 1,147.75   | 0.00           | 268.22             | 268.22            | ST             |
| Dec 22 2011                    | Oct 19 2012          | 21.00    | 1,189.41      | 996.87     | 0.00           | 192.54             | 192.54            | ST             |
| Feb 16 2012                    | Oct 19 2012          | 93.00    | 5,267.40      | 4,916.01   | 0.00           | 351.39             | 351.39            | ST             |
| AMERIPRISE FINANCIAL, INC. CMN |                      |          |               |            |                |                    |                   |                |
| Oct 24 2011                    | Oct 19 2012          | 41.00    | 2,364.42      | 1,840.49   | 0.00           | 523.93             | 523.93            | ST             |
| Nov 22 2011                    | Oct 19 2012          | 45.00    | 2,595.09      | 1,926.90   | 0.00           | 668.19             | 668.19            | ST             |
| Dec 22 2011                    | Oct 19 2012          | 33.00    | 1,903.07      | 1,652.91   | 0.00           | 250.16             | 250.16            | ST             |
| APPLE, INC. CMN                |                      |          |               |            |                |                    |                   |                |
| Sep 21 2011                    | Oct 19 2012          | 3.00     | 1,848.47      | 1,271.51   | 0.00           | 576.96             | 576.96            | LT             |
| Sep 21 2011                    | Oct 19 2012          | 12.00    | 7,393.87      | 5,019.36   | 0.00           | 2,374.51           | 2,374.51          | LT             |
| Oct 24 2011                    | Oct 19 2012          | 3.00     | 1,848.47      | 1,218.12   | 0.00           | 630.35             | 630.35            | ST             |
| Nov 22 2011                    | Oct 19 2012          | 5.00     | 3,080.78      | 1,881.05   | 0.00           | 1,199.73           | 1,199.73          | ST             |
| Dec 22 2011                    | Oct 19 2012          | 5.00     | 3,080.78      | 1,993.15   | 0.00           | 1,087.63           | 1,087.63          | ST             |
| Oct 05 2012                    | Oct 19 2012          | 8.00     | 4,929.25      | 5,259.84   | 0.00           | (330.59)           | (330.59)          | ST             |
| BLACKROCK, INC. CMN            |                      |          |               |            |                |                    |                   |                |
| Sep 21 2011                    | Oct 19 2012          | 24.00    | 4,526.54      | 3,721.31   | 0.00           | 805.23             | 805.23            | LT             |
| Oct 24 2011                    | Oct 19 2012          | 10.00    | 1,866.06      | 1,565.20   | 0.00           | 320.86             | 320.86            | ST             |
| Nov 22 2011                    | Oct 19 2012          | 9.00     | 1,697.45      | 1,399.77   | 0.00           | 297.68             | 297.68            | ST             |
| Dec 22 2011                    | Oct 19 2012          | 8.00     | 1,508.85      | 1,399.76   | 0.00           | 109.09             | 109.09            | ST             |
| BOEING COMPANY CMN             |                      |          |               |            |                |                    |                   |                |
| Sep 21 2011                    | Oct 19 2012          | 73.00    | 5,379.25      | 4,571.26   | 0.00           | 807.99             | 807.99            | LT             |
| Oct 24 2011                    | Oct 19 2012          | 29.00    | 2,136.96      | 1,882.39   | 0.00           | 254.57             | 254.57            | ST             |
| Nov 22 2011                    | Oct 19 2012          | 30.00    | 2,210.65      | 1,930.20   | 0.00           | 280.45             | 280.45            | ST             |



Statement Detail

**SCHLUMBERGER EPOCH: ACV**  
Realized Gains and Losses (Continued)

Period Ended December 31, 2012

|                                     | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity | Sale Proceeds | Cost Basis | FX Gain (Loss) | Market Gain (Loss) | Total Gain (Loss) | Holding<br>Period |
|-------------------------------------|--------------------------------|-------------------------|----------|---------------|------------|----------------|--------------------|-------------------|-------------------|
|                                     | Dec 22 2011                    | Oct 19 2012             | 20.00    | 1,473.77      | 1,490.20   | 0.00           | (16.43)            | (16.43)           | ST                |
| CAMERON INTERNATIONAL CORP CMN      | Sep 21 2011                    | Oct 19 2012             | 80.00    | 4,417.50      | 3,974.50   | 0.00           | 443.00             | 443.00            | LT                |
|                                     | Oct 24 2011                    | Oct 19 2012             | 26.00    | 1,435.69      | 1,351.22   | 0.00           | 84.47              | 84.47             | ST                |
|                                     | Nov 22 2011                    | Oct 19 2012             | 35.00    | 1,932.66      | 1,669.15   | 0.00           | 263.51             | 263.51            | ST                |
|                                     | Dec 22 2011                    | Oct 19 2012             | 26.00    | 1,435.69      | 1,297.92   | 0.00           | 137.77             | 137.77            | ST                |
| CAPITAL ONE FINANCIAL CORP CMN      | Apr 12 2012                    | Oct 19 2012             | 55.00    | 3,326.87      | 2,997.45   | 0.00           | 329.42             | 329.42            | ST                |
|                                     | May 15 2012                    | Oct 19 2012             | 30.00    | 1,814.66      | 1,540.80   | 0.00           | 273.86             | 273.86            | ST                |
|                                     | Jun 07 2012                    | Oct 19 2012             | 26.00    | 1,572.70      | 1,342.25   | 0.00           | 230.45             | 230.45            | ST                |
|                                     | Sep 27 2012                    | Oct 19 2012             | 1.00     | 60.49         | 56.00      | 0.00           | 4.49               | 4.49              | ST                |
|                                     | Oct 15 2012                    | Oct 19 2012             | 8.00     | 483.91        | 459.91     | 0.00           | 24.00              | 24.00             | ST                |
| CENTURYLINK INC CMN                 | Sep 21 2011                    | Oct 19 2012             | 74.00    | 2,884.46      | 2,507.86   | 0.00           | 376.60             | 376.60            | LT                |
|                                     | Oct 03 2011                    | Oct 19 2012             | 34.00    | 1,325.29      | 1,099.76   | 0.00           | 225.53             | 225.53            | LT                |
|                                     | Oct 24 2011                    | Oct 19 2012             | 45.00    | 1,754.06      | 1,567.80   | 0.00           | 186.26             | 186.26            | ST                |
|                                     | Nov 08 2011                    | Oct 19 2012             | 43.00    | 1,676.10      | 1,615.85   | 0.00           | 60.25              | 60.25             | ST                |
|                                     | Nov 22 2011                    | Oct 19 2012             | 58.00    | 2,260.79      | 2,109.46   | 0.00           | 151.33             | 151.33            | ST                |
|                                     | Dec 22 2011                    | Oct 19 2012             | 41.00    | 1,598.14      | 1,513.31   | 0.00           | 84.83              | 84.83             | ST                |
| CIT GROUP INC CMN CLASS             | Sep 21 2011                    | Oct 19 2012             | 67.00    | 2,668.89      | 2,255.89   | 0.00           | 413.00             | 413.00            | LT                |
|                                     | Oct 24 2011                    | Oct 19 2012             | 24.00    | 956.02        | 863.04     | 0.00           | 92.98              | 92.98             | ST                |
|                                     | Nov 03 2011                    | Oct 19 2012             | 37.00    | 1,473.87      | 1,311.64   | 0.00           | 162.23             | 162.23            | ST                |
|                                     | Nov 22 2011                    | Oct 19 2012             | 39.00    | 1,553.54      | 1,193.79   | 0.00           | 359.75             | 359.75            | ST                |
|                                     | Dec 22 2011                    | Oct 19 2012             | 24.00    | 956.02        | 870.24     | 0.00           | 85.78              | 85.78             | ST                |
| CME GROUP INC. CMN CLASS A          | Aug 03 2012                    | Oct 19 2012             | 6.00     | 336.23        | 305.92     | 0.00           | 30.31              | 30.31             | ST                |
|                                     | Aug 06 2012                    | Oct 19 2012             | 17.00    | 952.66        | 882.80     | 0.00           | 69.86              | 69.86             | ST                |
|                                     | Aug 07 2012                    | Oct 19 2012             | 11.00    | 616.43        | 584.15     | 0.00           | 32.28              | 32.28             | ST                |
|                                     | Aug 09 2012                    | Oct 19 2012             | 40.00    | 2,241.55      | 2,136.21   | 0.00           | 105.34             | 105.34            | ST                |
|                                     | Aug 10 2012                    | Oct 19 2012             | 5.00     | 280.19        | 267.10     | 0.00           | 13.09              | 13.09             | ST                |
|                                     | Aug 13 2012                    | Oct 19 2012             | 11.00    | 616.43        | 587.22     | 0.00           | 29.21              | 29.21             | ST                |
|                                     | Aug 14 2012                    | Oct 19 2012             | 11.00    | 616.43        | 581.43     | 0.00           | 35.00              | 35.00             | ST                |
|                                     | Aug 15 2012                    | Oct 19 2012             | 13.00    | 728.50        | 685.51     | 0.00           | 42.99              | 42.99             | ST                |
| COMCAST CORPORATION CMN CLASS A NON | Sep 21 2011                    | Oct 19 2012             | 111.00   | 3,977.04      | 2,463.39   | 0.00           | 1,513.65           | 1,513.65          | LT                |

**SCHLUMBERGER EPOCH: ACV**  
Realized Gains and Losses (Continued)

Period Ended December 31, 2012

|                                    | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity | Sale Proceeds | Cost Basis | FX Gain (Loss) | Market Gain (Loss) | Total Gain (Loss) | Holding<br>Period |
|------------------------------------|--------------------------------|-------------------------|----------|---------------|------------|----------------|--------------------|-------------------|-------------------|
| VOTING                             | Oct 24 2011                    | Oct 19 2012             | 111.00   | 3,977.04      | 2,697.30   | 0.00           | 1,279.74           | 1,279.74          | ST                |
|                                    | Nov 22 2011                    | Oct 19 2012             | 117.00   | 4,192.02      | 2,473.97   | 0.00           | 1,718.05           | 1,718.05          | ST                |
|                                    | Dec 22 2011                    | Oct 19 2012             | 92.00    | 3,296.29      | 2,156.48   | 0.00           | 1,139.81           | 1,139.81          | ST                |
| CON-WAY INC CMN                    | Sep 21 2011                    | Oct 19 2012             | 99.00    | 2,743.23      | 2,408.70   | 0.00           | 334.53             | 334.53            | LT                |
|                                    | Oct 24 2011                    | Oct 19 2012             | 32.00    | 886.70        | 895.68     | 0.00           | (8.98)             | (8.98)            | ST                |
|                                    | Nov 22 2011                    | Oct 19 2012             | 45.00    | 1,246.92      | 1,176.30   | 0.00           | 70.62              | 70.62             | ST                |
|                                    | Dec 22 2011                    | Oct 19 2012             | 28.00    | 775.86        | 820.12     | 0.00           | (44.26)            | (44.26)           | ST                |
| DANA HOLDING CORPORATION CMN       | Sep 21 2011                    | Oct 19 2012             | 200.00   | 2,575.94      | 2,319.00   | 0.00           | 256.94             | 256.94            | LT                |
|                                    | Oct 24 2011                    | Oct 19 2012             | 63.00    | 811.42        | 893.97     | 0.00           | (82.55)            | (82.55)           | ST                |
|                                    | Nov 22 2011                    | Oct 19 2012             | 82.00    | 1,056.14      | 989.74     | 0.00           | 66.40              | 66.40             | ST                |
|                                    | Dec 22 2011                    | Oct 19 2012             | 63.00    | 811.42        | 740.25     | 0.00           | 71.17              | 71.17             | ST                |
| DARDEN RESTAURANTS INC CMN         | Nov 16 2011                    | Oct 19 2012             | 19.00    | 1,019.71      | 895.16     | 0.00           | 124.55             | 124.55            | ST                |
|                                    | Nov 17 2011                    | Oct 19 2012             | 19.00    | 1,019.71      | 896.48     | 0.00           | 123.23             | 123.23            | ST                |
|                                    | Nov 22 2011                    | Oct 19 2012             | 13.00    | 697.69        | 588.12     | 0.00           | 109.57             | 109.57            | ST                |
|                                    | Dec 22 2011                    | Oct 19 2012             | 9.00     | 483.02        | 404.19     | 0.00           | 78.83              | 78.83             | ST                |
| DAVITA HEALTHCARE PARTNERS INC CMN | Mar 14 2012                    | Oct 19 2012             | 57.00    | 3,059.12      | 3,015.52   | 0.00           | 43.60              | 43.60             | ST                |
|                                    | Sep 21 2011                    | Oct 19 2012             | 56.00    | 6,185.06      | 4,138.40   | 0.00           | 2,046.66           | 2,046.66          | LT                |
|                                    | Oct 24 2011                    | Oct 19 2012             | 25.00    | 2,761.19      | 1,716.25   | 0.00           | 1,044.94           | 1,044.94          | ST                |
|                                    | Nov 22 2011                    | Oct 19 2012             | 20.00    | 2,208.95      | 1,462.00   | 0.00           | 746.95             | 746.95            | ST                |
| DEERE & COMPANY CMN                | Dec 22 2011                    | Oct 19 2012             | 18.00    | 1,988.06      | 1,367.82   | 0.00           | 620.24             | 620.24            | ST                |
|                                    | Aug 13 2012                    | Oct 19 2012             | 6.00     | 662.89        | 577.72     | 0.00           | 84.97              | 84.97             | ST                |
|                                    | Sep 21 2011                    | Oct 19 2012             | 29.00    | 2,469.87      | 2,171.14   | 0.00           | 298.73             | 298.73            | LT                |
|                                    | Oct 24 2011                    | Oct 19 2012             | 10.00    | 851.88        | 746.50     | 0.00           | 105.18             | 105.18            | ST                |
| DELL INC CMN                       | Nov 03 2011                    | Oct 19 2012             | 20.00    | 1,703.36      | 1,514.87   | 0.00           | 188.49             | 188.49            | ST                |
|                                    | Nov 22 2011                    | Oct 19 2012             | 17.00    | 1,447.86      | 1,209.55   | 0.00           | 238.31             | 238.31            | ST                |
|                                    | Dec 22 2011                    | Oct 19 2012             | 11.00    | 936.85        | 856.79     | 0.00           | 80.06              | 80.06             | ST                |
|                                    | Sep 21 2011                    | Oct 19 2012             | 256.00   | 2,447.99      | 3,873.20   | 0.00           | (1,425.21)         | (1,425.21)        | LT                |
| DEVON ENERGY CORPORATION (NEW) CMN | Oct 24 2011                    | Oct 19 2012             | 103.00   | 984.93        | 1,616.07   | 0.00           | (631.14)           | (631.14)          | ST                |
|                                    | Nov 22 2011                    | Oct 19 2012             | 109.00   | 1,042.31      | 1,611.02   | 0.00           | (568.71)           | (568.71)          | ST                |
|                                    | Dec 22 2011                    | Oct 19 2012             | 81.00    | 774.56        | 1,201.64   | 0.00           | (427.08)           | (427.08)          | ST                |
|                                    | Sep 21 2011                    | Oct 19 2012             | 85.00    | 5,267.81      | 5,376.00   | 0.00           | (108.19)           | (108.19)          | LT                |
| DEVON ENERGY CORPORATION (NEW) CMN | Oct 24 2011                    | Oct 19 2012             | 34.00    | 2,107.13      | 2,175.32   | 0.00           | (68.19)            | (68.19)           | ST                |
|                                    | Nov 22 2011                    | Oct 19 2012             | 32.00    | 1,983.18      | 1,975.04   | 0.00           | 8.14               | 8.14              | ST                |
|                                    | Dec 22 2011                    | Oct 19 2012             | 24.00    | 1,487.38      | 1,518.00   | 0.00           | (30.62)            | (30.62)           | ST                |



Statement Detail

**SCHLUMBERGER EPOCH: ACV**  
Realized Gains and Losses (Continued)

Period Ended December 31, 2012

|                                    | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity | Sale Proceeds | Cost Basis | FX Gain (Loss) | Market Gain (Loss) | Total Gain (Loss) | Holding<br>Period |
|------------------------------------|--------------------------------|-------------------------|----------|---------------|------------|----------------|--------------------|-------------------|-------------------|
| E.I. DU PONT DE NEMOURS AND CO CMN | Sep 21 2011                    | Oct 19 2012             | 80.00    | 3,931.91      | 3,604.00   | 0.00           | 327.91             | 327.91            | LT                |
|                                    | Oct 24 2011                    | Oct 19 2012             | 30.00    | 1,474.47      | 1,374.30   | 0.00           | 100.17             | 100.17            | ST                |
|                                    | Nov 22 2011                    | Oct 19 2012             | 31.00    | 1,523.62      | 1,411.74   | 0.00           | 111.88             | 111.88            | ST                |
|                                    | Dec 22 2011                    | Oct 19 2012             | 25.00    | 1,228.72      | 1,142.00   | 0.00           | 86.72              | 86.72             | ST                |
|                                    | Mar 14 2012                    | Oct 19 2012             | 10.00    | 491.49        | 528.41     | 0.00           | (36.92)            | (36.92)           | ST                |
|                                    | Sep 21 2011                    | Oct 19 2012             | 43.00    | 2,953.60      | 2,384.58   | 0.00           | 569.02             | 569.02            | LT                |
| ECOLAB INC CMN                     | Oct 24 2011                    | Oct 19 2012             | 17.00    | 1,167.70      | 911.20     | 0.00           | 256.50             | 256.50            | ST                |
|                                    | Nov 22 2011                    | Oct 19 2012             | 17.00    | 1,167.70      | 911.82     | 0.00           | 255.88             | 255.88            | ST                |
|                                    | Dec 22 2011                    | Oct 19 2012             | 15.00    | 1,030.33      | 843.75     | 0.00           | 186.58             | 186.58            | ST                |
|                                    | Mar 14 2012                    | Oct 19 2012             | 11.00    | 755.57        | 660.77     | 0.00           | 94.80              | 94.80             | ST                |
|                                    | Aug 03 2012                    | Oct 19 2012             | 6.00     | 412.13        | 386.57     | 0.00           | 25.56              | 25.56             | ST                |
|                                    | Aug 06 2012                    | Oct 19 2012             | 6.00     | 412.13        | 387.62     | 0.00           | 24.51              | 24.51             | ST                |
| ELECTRONIC ARTS CMN                | Aug 09 2012                    | Oct 19 2012             | 7.00     | 480.82        | 450.73     | 0.00           | 30.09              | 30.09             | ST                |
|                                    | Sep 21 2011                    | Oct 19 2012             | 150.00   | 1,964.71      | 3,410.82   | 0.00           | (1,446.11)         | (1,446.11)        | LT                |
|                                    | Oct 24 2011                    | Oct 19 2012             | 51.00    | 668.00        | 1,263.27   | 0.00           | (595.27)           | (595.27)          | ST                |
|                                    | Nov 22 2011                    | Oct 19 2012             | 61.00    | 798.98        | 1,335.90   | 0.00           | (536.92)           | (536.92)          | ST                |
|                                    | Dec 22 2011                    | Oct 19 2012             | 55.00    | 720.39        | 1,118.70   | 0.00           | (398.31)           | (398.31)          | ST                |
|                                    | Mar 14 2012                    | Oct 19 2012             | 40.00    | 523.92        | 683.04     | 0.00           | (159.12)           | (159.12)          | ST                |
| ENDO HEALTH SOLUTIONS INC CMN      | Sep 21 2011                    | Oct 19 2012             | 173.00   | 5,243.51      | 4,957.33   | 0.00           | 286.18             | 286.18            | LT                |
|                                    | Oct 24 2011                    | Oct 19 2012             | 62.00    | 1,879.18      | 1,807.92   | 0.00           | 71.26              | 71.26             | ST                |
|                                    | Nov 22 2011                    | Oct 19 2012             | 70.00    | 2,121.65      | 2,273.60   | 0.00           | (151.95)           | (151.95)          | ST                |
|                                    | Dec 22 2011                    | Oct 19 2012             | 58.00    | 1,757.94      | 2,030.58   | 0.00           | (272.64)           | (272.64)          | ST                |
|                                    | Aug 13 2012                    | Oct 19 2012             | 10.00    | 303.09        | 321.91     | 0.00           | (18.82)            | (18.82)           | ST                |
|                                    | Sep 21 2011                    | Oct 19 2012             | 60.00    | 5,511.48      | 4,414.20   | 0.00           | 1,097.28           | 1,097.28          | LT                |
| EXXON MOBIL CORPORATION CMN        | Oct 24 2011                    | Oct 19 2012             | 45.00    | 4,133.61      | 3,604.95   | 0.00           | 528.66             | 528.66            | ST                |
|                                    | Nov 22 2011                    | Oct 19 2012             | 42.00    | 3,858.03      | 3,201.24   | 0.00           | 656.79             | 656.79            | ST                |
|                                    | Dec 22 2011                    | Oct 19 2012             | 31.00    | 2,847.60      | 2,621.36   | 0.00           | 226.24             | 226.24            | ST                |
|                                    | Sep 21 2011                    | Oct 19 2012             | 91.00    | 2,941.96      | 2,326.98   | 0.00           | 614.98             | 614.98            | LT                |
| FIDELITY NATL INFO SVCS INC CMN    | Oct 24 2011                    | Oct 19 2012             | 33.00    | 1,066.87      | 872.52     | 0.00           | 194.35             | 194.35            | ST                |
|                                    | Nov 22 2011                    | Oct 19 2012             | 39.00    | 1,260.84      | 894.66     | 0.00           | 366.18             | 366.18            | ST                |



Statement Detail

**SCHLUMBERGER EPOCH: ACV**  
Realized Gains and Losses (Continued)

Period Ended December 31, 2012

| Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds | Cost Basis | FX Gain (Loss) | Market Gain (Loss) | Total Gain (Loss) | Total Gain (Loss) | Holding Period |
|-----------------------------|----------------------|----------|---------------|------------|----------------|--------------------|-------------------|-------------------|----------------|
| Dec 22 2011                 | Oct 19 2012          | 25.00    | 808.23        | 660.50     | 0.00           | 147.73             | 147.73            | 147.73            | ST             |
| Sep 21 2011                 | Oct 19 2012          | 62.00    | 3,811.05      | 3,200.44   | 0.00           | 610.61             | 610.61            | 610.61            | LT             |
| Oct 24 2011                 | Oct 19 2012          | 39.00    | 2,397.27      | 2,280.33   | 0.00           | 116.94             | 116.94            | 116.94            | ST             |
| Nov 22 2011                 | Oct 19 2012          | 39.00    | 2,397.28      | 2,159.04   | 0.00           | 238.24             | 238.24            | 238.24            | ST             |
| Dec 22 2011                 | Oct 19 2012          | 35.00    | 2,151.40      | 2,114.00   | 0.00           | 37.40              | 37.40             | 37.40             | ST             |
| Sep 21 2011                 | Oct 19 2012          | 68.00    | 3,146.29      | 2,227.19   | 0.00           | 919.10             | 919.10            | 919.10            | LT             |
| Oct 24 2011                 | Oct 19 2012          | 41.00    | 1,897.03      | 1,207.86   | 0.00           | 689.17             | 689.17            | 689.17            | ST             |
| Nov 22 2011                 | Oct 19 2012          | 58.00    | 2,683.60      | 1,778.28   | 0.00           | 905.32             | 905.32            | 905.32            | ST             |
| Dec 22 2011                 | Oct 19 2012          | 37.00    | 1,711.95      | 1,156.62   | 0.00           | 555.33             | 555.33            | 555.33            | ST             |
| Sep 21 2011                 | Oct 19 2012          | 103.00   | 5,850.27      | 4,536.65   | 0.00           | 1,313.62           | 1,313.62          | 1,313.62          | LT             |
| Oct 24 2011                 | Oct 19 2012          | 37.00    | 2,101.55      | 1,703.48   | 0.00           | 398.07             | 398.07            | 398.07            | ST             |
| Nov 22 2011                 | Oct 19 2012          | 40.00    | 2,271.95      | 2,043.20   | 0.00           | 228.75             | 228.75            | 228.75            | ST             |
| Dec 22 2011                 | Oct 19 2012          | 32.00    | 1,817.56      | 1,669.76   | 0.00           | 147.80             | 147.80            | 147.80            | ST             |
| Sep 21 2011                 | Oct 19 2012          | 203.00   | 2,653.15      | 3,064.33   | 0.00           | (411.18)           | (411.18)          | (411.18)          | LT             |
| Oct 24 2011                 | Oct 19 2012          | 73.00    | 954.09        | 1,240.27   | 0.00           | (286.18)           | (286.18)          | (286.18)          | ST             |
| Nov 22 2011                 | Oct 19 2012          | 86.00    | 1,123.99      | 1,432.76   | 0.00           | (308.77)           | (308.77)          | (308.77)          | ST             |
| Dec 22 2011                 | Oct 19 2012          | 73.00    | 954.09        | 1,165.81   | 0.00           | (211.72)           | (211.72)          | (211.72)          | ST             |
| Aug 06 2012                 | Oct 19 2012          | 4.00     | 338.07        | 306.41     | 0.00           | 31.66              | 31.66             | 31.66             | ST             |
| Aug 07 2012                 | Oct 19 2012          | 4.00     | 338.07        | 307.57     | 0.00           | 30.50              | 30.50             | 30.50             | ST             |
| Aug 09 2012                 | Oct 19 2012          | 4.00     | 338.07        | 306.66     | 0.00           | 31.41              | 31.41             | 31.41             | ST             |
| Aug 10 2012                 | Oct 19 2012          | 4.00     | 338.07        | 306.67     | 0.00           | 31.40              | 31.40             | 31.40             | ST             |
| Aug 14 2012                 | Oct 19 2012          | 3.00     | 253.55        | 234.02     | 0.00           | 19.53              | 19.53             | 19.53             | ST             |
| Aug 15 2012                 | Oct 19 2012          | 4.00     | 338.07        | 314.85     | 0.00           | 23.22              | 23.22             | 23.22             | ST             |
| Aug 16 2012                 | Oct 19 2012          | 4.00     | 338.07        | 316.74     | 0.00           | 21.33              | 21.33             | 21.33             | ST             |
| Aug 17 2012                 | Oct 19 2012          | 8.00     | 676.15        | 667.05     | 0.00           | 9.10               | 9.10              | 9.10              | ST             |
| Aug 22 2012                 | Oct 19 2012          | 1.00     | 84.52         | 83.95      | 0.00           | 0.57               | 0.57              | 0.57              | ST             |
| Aug 23 2012                 | Oct 19 2012          | 4.00     | 338.07        | 334.95     | 0.00           | 3.12               | 3.12              | 3.12              | ST             |
| Aug 27 2012                 | Oct 19 2012          | 3.00     | 253.55        | 256.03     | 0.00           | (2.48)             | (2.48)            | (2.48)            | ST             |
| Sep 24 2012                 | Oct 19 2012          | 3.00     | 253.55        | 262.58     | 0.00           | (9.03)             | (9.03)            | (9.03)            | ST             |
| Sep 25 2012                 | Oct 19 2012          | 4.00     | 338.07        | 347.30     | 0.00           | (9.23)             | (9.23)            | (9.23)            | ST             |
| Sep 26 2012                 | Oct 19 2012          | 3.00     | 253.55        | 258.62     | 0.00           | (5.07)             | (5.07)            | (5.07)            | ST             |
| Sep 28 2012                 | Oct 19 2012          | 4.00     | 338.07        | 344.07     | 0.00           | (6.00)             | (6.00)            | (6.00)            | ST             |
| Oct 01 2012                 | Oct 19 2012          | 3.00     | 253.55        | 261.29     | 0.00           | (7.74)             | (7.74)            | (7.74)            | ST             |
| Oct 03 2012                 | Oct 19 2012          | 3.00     | 253.55        | 261.59     | 0.00           | (8.04)             | (8.04)            | (8.04)            | ST             |



Statement Detail

**SCHLUMBERGER EPOCH: ACV**  
Realized Gains and Losses (Continued)

Period Ended December 31, 2012

|  | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity | Sale Proceeds | Cost Basis | FX Gain (Loss) | Market Gain (Loss) | Total Gain (Loss) | Holding<br>Period |
|--|--------------------------------|-------------------------|----------|---------------|------------|----------------|--------------------|-------------------|-------------------|
|  | Oct 08 2012                    | Oct 19 2012             | 9.00     | 760.66        | 770.46     | 0.00           | (9.80)             | (9.80)            | ST                |
|  | May 18 2012                    | Oct 19 2012             | 242.00   | 12,760.37     | 11,466.61  | 0.00           | 1,293.76           | 1,293.76          | ST                |
| KOHL'S CORP (WISCONSIN) CMN                      | Sep 21 2011                    | Oct 19 2012             | 75.00    | 6,509.48      | 6,142.47   | 0.00           | 367.01             | 367.01            | LT                |
| LABORATORY CORPORATION OF AMER CMN               | Oct 24 2011                    | Oct 19 2012             | 30.00    | 2,603.79      | 2,493.30   | 0.00           | 110.49             | 110.49            | ST                |
|  | Nov 22 2011                    | Oct 19 2012             | 26.00    | 2,256.62      | 2,091.96   | 0.00           | 164.66             | 164.66            | ST                |
|  | Dec 22 2011                    | Oct 19 2012             | 24.00    | 2,083.03      | 2,079.84   | 0.00           | 3.19               | 3.19              | ST                |
| MARSH & MCLENNAN CO INC CMN                      | Mar 14 2012                    | Oct 19 2012             | 236.00   | 8,092.25      | 7,767.21   | 0.00           | 325.04             | 325.04            | ST                |
|  | Aug 13 2012                    | Oct 19 2012             | 31.00    | 1,062.97      | 1,049.75   | 0.00           | 13.22              | 13.22             | ST                |
| MASCO CORPORATION CMN                            | Sep 21 2011                    | Oct 19 2012             | 304.00   | 4,560.14      | 2,278.97   | 0.00           | 2,281.17           | 2,281.17          | LT                |
|  | Oct 24 2011                    | Oct 19 2012             | 105.00   | 1,575.05      | 977.55     | 0.00           | 597.50             | 597.50            | ST                |
|  | Nov 22 2011                    | Oct 19 2012             | 127.00   | 1,905.06      | 1,107.44   | 0.00           | 797.62             | 797.62            | ST                |
|  | Dec 22 2011                    | Oct 19 2012             | 91.00    | 1,365.04      | 929.57     | 0.00           | 435.47             | 435.47            | ST                |
| MC DONALDS CORP CMN                              | Aug 08 2012                    | Oct 19 2012             | 34.00    | 3,025.59      | 2,973.02   | 0.00           | 52.57              | 52.57             | ST                |
|  | Aug 13 2012                    | Oct 19 2012             | 35.00    | 3,114.58      | 3,076.91   | 0.00           | 37.67              | 37.67             | ST                |
|  | Aug 22 2012                    | Oct 19 2012             | 17.00    | 1,512.79      | 1,503.94   | 0.00           | 8.85               | 8.85              | ST                |
| METLIFE, INC CMN                                 | Sep 21 2011                    | Oct 19 2012             | 72.00    | 2,573.94      | 2,200.32   | 0.00           | 373.62             | 373.62            | LT                |
|  | Oct 24 2011                    | Oct 19 2012             | 61.00    | 2,180.70      | 2,109.38   | 0.00           | 71.32              | 71.32             | ST                |
|  | Nov 22 2011                    | Oct 19 2012             | 40.00    | 1,429.97      | 1,191.20   | 0.00           | 238.77             | 238.77            | ST                |
|  | Dec 22 2011                    | Oct 19 2012             | 29.00    | 1,036.73      | 896.97     | 0.00           | 139.76             | 139.76            | ST                |
| MICROSOFT CORPORATION CMN                        | Sep 21 2011                    | Oct 19 2012             | 367.00   | 10,477.61     | 9,762.20   | 0.00           | 715.41             | 715.41            | LT                |
|  | Oct 24 2011                    | Oct 19 2012             | 142.00   | 4,054.01      | 3,883.70   | 0.00           | 170.31             | 170.31            | ST                |
|  | Nov 22 2011                    | Oct 19 2012             | 142.00   | 4,054.01      | 3,518.05   | 0.00           | 535.96             | 535.96            | ST                |
|  | Dec 22 2011                    | Oct 19 2012             | 118.00   | 3,368.82      | 3,038.50   | 0.00           | 330.32             | 330.32            | ST                |
| NATIONAL OILWELL VARCO, INC. COMMON<br>STOCK CMN | Sep 21 2011                    | Oct 19 2012             | 52.00    | 4,175.80      | 3,149.75   | 0.00           | 1,026.05           | 1,026.05          | LT                |
|  | Oct 24 2011                    | Oct 19 2012             | 25.00    | 2,007.60      | 1,892.75   | 0.00           | 314.85             | 314.85            | ST                |
|  | Nov 22 2011                    | Oct 19 2012             | 22.00    | 1,766.69      | 1,417.68   | 0.00           | 349.01             | 349.01            | ST                |
|  | Dec 22 2011                    | Oct 19 2012             | 16.00    | 1,284.86      | 1,091.68   | 0.00           | 193.18             | 193.18            | ST                |
| OCCIDENTAL PETROLEUM CORP CMN                    | May 18 2012                    | Oct 19 2012             | 60.00    | 4,818.23      | 3,870.44   | 0.00           | 947.79             | 947.79            | ST                |
|  | Sep 21 2011                    | Oct 19 2012             | 45.00    | 3,782.17      | 3,538.35   | 0.00           | 243.82             | 243.82            | LT                |
|  | Oct 24 2011                    | Oct 19 2012             | 16.00    | 1,344.77      | 1,400.48   | 0.00           | (55.71)            | (55.71)           | ST                |



**Statement Detail**  
**SCHLUMBERGER EPOCH: ACV**  
 Realized Gains and Losses (Continued)

Period Ended December 31, 2012

|                                 | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity | Sale Proceeds | Cost Basis | FX Gain (Loss) | Market Gain (Loss) | Total Gain (Loss) | Holding<br>Period |
|---------------------------------|--------------------------------|-------------------------|----------|---------------|------------|----------------|--------------------|-------------------|-------------------|
|                                 | Nov 22 2011                    | Oct 19 2012             | 18 00    | 1,512.87      | 1,649.34   | 0.00           | (136.47)           | (136.47)          | ST                |
|                                 | Dec 22 2011                    | Oct 19 2012             | 14 00    | 1,176.67      | 1,311.94   | 0.00           | (135.27)           | (135.27)          | ST                |
|                                 | Jun 27 2012                    | Oct 19 2012             | 50.00    | 4,202.41      | 4,020.02   | 0.00           | 182.39             | 182.39            | ST                |
| ORACLE CORPORATION CMN          | Sep 21 2011                    | Oct 19 2012             | 164.00   | 4,997.36      | 5,018.40   | 0.00           | (21.04)            | (21.04)           | LT                |
|                                 | Oct 24 2011                    | Oct 19 2012             | 82.00    | 2,498.68      | 2,692.88   | 0.00           | (194.20)           | (194.20)          | ST                |
|                                 | Nov 22 2011                    | Oct 19 2012             | 73.00    | 2,224.44      | 2,168.10   | 0.00           | 56.34              | 56.34             | ST                |
|                                 | Dec 22 2011                    | Oct 19 2012             | 59.00    | 1,797.83      | 1,515.71   | 0.00           | 282.12             | 282.12            | ST                |
|                                 | Mar 14 2012                    | Oct 19 2012             | 31.00    | 944.62        | 930.40     | 0.00           | 14.22              | 14.22             | ST                |
| PEPSICO INC CMN                 | Apr 12 2012                    | Oct 19 2012             | 46.00    | 3,208.43      | 3,008.17   | 0.00           | 200.26             | 200.26            | ST                |
|                                 | Apr 13 2012                    | Oct 19 2012             | 24.00    | 1,673.96      | 1,567.93   | 0.00           | 106.03             | 106.03            | ST                |
|                                 | Apr 17 2012                    | Oct 19 2012             | 12.00    | 836.98        | 796.65     | 0.00           | 40.33              | 40.33             | ST                |
|                                 | Apr 18 2012                    | Oct 19 2012             | 11.00    | 767.23        | 728.27     | 0.00           | 38.96              | 38.96             | ST                |
|                                 | Jul 27 2012                    | Oct 19 2012             | 3.00     | 209.24        | 217.10     | 0.00           | (7.86)             | (7.86)            | ST                |
|                                 | Jul 30 2012                    | Oct 19 2012             | 19.00    | 1,325.22      | 1,381.92   | 0.00           | (56.70)            | (56.70)           | ST                |
|                                 | Jul 31 2012                    | Oct 19 2012             | 11.00    | 767.23        | 799.83     | 0.00           | (32.60)            | (32.60)           | ST                |
|                                 | Aug 01 2012                    | Oct 19 2012             | 11.00    | 767.23        | 797.77     | 0.00           | (30.54)            | (30.54)           | ST                |
|                                 | Aug 03 2012                    | Oct 19 2012             | 23.00    | 1,604.21      | 1,673.10   | 0.00           | (68.89)            | (68.89)           | ST                |
| PRAXAIR, INC CMN SERIES         | Sep 21 2011                    | Oct 19 2012             | 53.00    | 5,561.83      | 5,266.27   | 0.00           | 295.56             | 295.56            | LT                |
|                                 | Oct 24 2011                    | Oct 19 2012             | 29.00    | 3,043.27      | 3,003.82   | 0.00           | 39.45              | 39.45             | ST                |
|                                 | Nov 22 2011                    | Oct 19 2012             | 28.00    | 2,938.33      | 2,682.12   | 0.00           | 256.21             | 256.21            | ST                |
|                                 | Dec 22 2011                    | Oct 19 2012             | 24.00    | 2,518.57      | 2,540.16   | 0.00           | (21.59)            | (21.59)           | ST                |
| ROCK-TENN CO. CL. A CMN CLASS A | Sep 21 2011                    | Oct 19 2012             | 55.00    | 4,047.91      | 2,942.13   | 0.00           | 1,105.78           | 1,105.78          | LT                |
|                                 | Oct 24 2011                    | Oct 19 2012             | 19.00    | 1,398.37      | 1,117.77   | 0.00           | 280.60             | 280.60            | ST                |
|                                 | Nov 22 2011                    | Oct 19 2012             | 25.00    | 1,839.96      | 1,394.50   | 0.00           | 445.46             | 445.46            | ST                |
|                                 | Dec 22 2011                    | Oct 19 2012             | 15.00    | 1,103.98      | 871.20     | 0.00           | 232.78             | 232.78            | ST                |
| ROCKWELL COLLINS, INC. CMN      | Sep 21 2011                    | Oct 19 2012             | 64.00    | 3,457.84      | 3,425.28   | 0.00           | 32.56              | 32.56             | LT                |
|                                 | Oct 24 2011                    | Oct 19 2012             | 25.00    | 1,350.72      | 1,394.00   | 0.00           | (43.28)            | (43.28)           | ST                |
|                                 | Nov 22 2011                    | Oct 19 2012             | 25.00    | 1,350.72      | 1,309.00   | 0.00           | 41.72              | 41.72             | ST                |
|                                 | Dec 22 2011                    | Oct 19 2012             | 20.00    | 1,080.57      | 1,112.40   | 0.00           | (31.83)            | (31.83)           | ST                |
| STAPLES, INC. CMN               | Sep 21 2011                    | Oct 19 2012             | 276.00   | 3,086.99      | 3,822.30   | 0.00           | (735.31)           | (735.31)          | LT                |
|                                 | Oct 24 2011                    | Oct 19 2012             | 100.00   | 1,118.47      | 1,528.00   | 0.00           | (409.53)           | (409.53)          | ST                |
|                                 | Nov 22 2011                    | Oct 19 2012             | 110.00   | 1,230.32      | 1,541.65   | 0.00           | (311.33)           | (311.33)          | ST                |
|                                 | Dec 22 2011                    | Oct 19 2012             | 88.00    | 984.26        | 1,241.24   | 0.00           | (256.98)           | (256.98)          | ST                |
| TD AMERITRADE HOLDING CORP CMN  | Sep 21 2011                    | Oct 19 2012             | 146.00   | 2,292.15      | 2,129.83   | 0.00           | 162.32             | 162.32            | LT                |



Statement Detail

**SCHLUMBERGER EPOCH: ACV**  
Realized Gains and Losses (Continued)

Period Ended December 31, 2012

| Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds | Cost Basis | FX Gain (Loss) | Market Gain (Loss) | Total Gain (Loss) | Holding Period |
|-----------------------------|----------------------|----------|---------------|------------|----------------|--------------------|-------------------|----------------|
| Oct 24 2011                 | Oct 19 2012          | 92.00    | 1,444.37      | 1,488.10   | 0.00           | (43.73)            | (43.73)           | ST             |
| Nov 22 2011                 | Oct 19 2012          | 91.00    | 1,428.67      | 1,426.88   | 0.00           | 1.79               | 1.79              | ST             |
| Dec 22 2011                 | Oct 19 2012          | 68.00    | 1,067.58      | 1,067.60   | 0.00           | (0.02)             | (0.02)            | ST             |
| Sep 21 2011                 | Oct 19 2012          | 181.00   | 5,037.12      | 5,004.65   | 0.00           | 32.47              | 32.47             | LT             |
| Oct 24 2011                 | Oct 19 2012          | 68.00    | 1,892.40      | 2,144.04   | 0.00           | (251.64)           | (251.64)          | ST             |
| Nov 22 2011                 | Oct 19 2012          | 81.00    | 2,254.18      | 2,323.89   | 0.00           | (69.71)            | (69.71)           | ST             |
| Dec 22 2011                 | Oct 19 2012          | 48.00    | 1,335.81      | 1,406.40   | 0.00           | (70.59)            | (70.59)           | ST             |
| Sep 21 2011                 | Oct 19 2012          | 7.00     | 405.78        | 368.44     | 0.00           | 37.34              | 37.34             | LT             |
| Oct 24 2011                 | Oct 19 2012          | 34.00    | 1,970.93      | 1,851.30   | 0.00           | 119.63             | 119.63            | ST             |
| Nov 22 2011                 | Oct 19 2012          | 35.00    | 2,028.90      | 1,580.57   | 0.00           | 448.33             | 448.33            | ST             |
| Dec 22 2011                 | Oct 19 2012          | 27.00    | 1,565.15      | 1,231.74   | 0.00           | 333.41             | 333.41            | ST             |
| Sep 21 2011                 | Oct 19 2012          | 99.00    | 4,431.14      | 3,055.14   | 0.00           | 1,376.00           | 1,376.00          | LT             |
| Oct 24 2011                 | Oct 19 2012          | 40.00    | 1,790.36      | 1,392.00   | 0.00           | 398.36             | 398.36            | ST             |
| Nov 22 2011                 | Oct 19 2012          | 39.00    | 1,745.60      | 1,278.03   | 0.00           | 467.57             | 467.57            | ST             |
| Dec 22 2011                 | Oct 19 2012          | 30.00    | 1,342.77      | 1,056.30   | 0.00           | 286.47             | 286.47            | ST             |
| Aug 01 2012                 | Oct 19 2012          | 50.00    | 2,237.95      | 1,987.49   | 0.00           | 250.46             | 250.46            | ST             |
| Aug 13 2012                 | Oct 19 2012          | 25.00    | 1,118.97      | 1,064.05   | 0.00           | 54.92              | 54.92             | ST             |
| Sep 21 2011                 | Oct 19 2012          | 95.00    | 4,047.86      | 2,726.84   | 0.00           | 1,321.02           | 1,321.02          | LT             |
| Oct 24 2011                 | Oct 19 2012          | 68.00    | 2,897.42      | 2,037.62   | 0.00           | 859.80             | 859.80            | ST             |
| Nov 22 2011                 | Oct 19 2012          | 62.00    | 2,641.76      | 1,836.13   | 0.00           | 805.63             | 805.63            | ST             |
| Dec 22 2011                 | Oct 19 2012          | 56.00    | 2,386.11      | 1,795.92   | 0.00           | 590.19             | 590.19            | ST             |
| Mar 13 2012                 | Oct 19 2012          | 36.00    | 2,804.69      | 3,089.03   | 0.00           | (284.34)           | (284.34)          | ST             |
| Mar 14 2012                 | Oct 19 2012          | 35.00    | 2,726.79      | 3,023.29   | 0.00           | (296.50)           | (296.50)          | ST             |
| Sep 21 2011                 | Oct 19 2012          | 102.00   | 5,650.67      | 5,011.26   | 0.00           | 639.41             | 639.41            | LT             |
| Oct 24 2011                 | Oct 19 2012          | 37.00    | 2,049.75      | 1,817.44   | 0.00           | 232.31             | 232.31            | ST             |
| Nov 22 2011                 | Oct 19 2012          | 40.00    | 2,215.95      | 1,783.60   | 0.00           | 432.35             | 432.35            | ST             |
| Dec 22 2011                 | Oct 19 2012          | 33.00    | 1,828.16      | 1,670.13   | 0.00           | 158.03             | 158.03            | ST             |
| Nov 08 2011                 | Oct 19 2012          | 6.00     | 176.34        | 175.22     | 0.00           | 1.12               | 1.12              | ST             |
| Nov 09 2011                 | Oct 19 2012          | 52.00    | 1,528.25      | 1,497.80   | 0.00           | 30.45              | 30.45             | ST             |
| Nov 10 2011                 | Oct 19 2012          | 59.00    | 1,733.97      | 1,707.31   | 0.00           | 26.66              | 26.66             | ST             |

**SCHLUMBERGER EPOCH: ACV**  
Realized Gains and Losses (Continued)

Period Ended December 31, 2012

|   | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds        | Cost Basis        | FX Gain (Loss)        | Market Gain (Loss)        | Total Gain (Loss)        | Holding Period |
|---|-----------------------------|----------------------|----------|----------------------|-------------------|-----------------------|---------------------------|--------------------------|----------------|
|   | Nov 11 2011                 | Oct 19 2012          | 7 00     | 205 72               | 205 83            | 0 00                  | (0 11)                    | (0 11)                   | ST             |
|   | Nov 22 2011                 | Oct 19 2012          | 41 00    | 1,204.96             | 1,138 16          | 0 00                  | 66 80                     | 66 80                    | ST             |
|   | Dec 22 2011                 | Oct 19 2012          | 31 00    | 911 07               | 925 97            | 0 00                  | (14 90)                   | (14 90)                  | ST             |
|   | Mar 14 2012                 | Oct 19 2012          | 12 00    | 352 67               | 353 09            | 0 00                  | (0 42)                    | (0 42)                   | ST             |
| <b>VENTAS, INC. CMN</b>                   | Sep 21 2011                 | Oct 19 2012          | 34 00    | 2,198 73             | 1,772 59          | 0 00                  | 426 14                    | 426 14                   | LT             |
|   | Oct 24 2011                 | Oct 19 2012          | 18 00    | 1,164.03             | 973.62            | 0 00                  | 190.41                    | 190 41                   | ST             |
|   | Nov 22 2011                 | Oct 19 2012          | 19 00    | 1,228.70             | 962.92            | 0 00                  | 265.78                    | 265 78                   | ST             |
|   | Dec 22 2011                 | Oct 19 2012          | 15 00    | 970.03               | 818.70            | 0 00                  | 151 33                    | 151 33                   | ST             |
| <b>VISA INC. CMN CLASS A</b>              | Sep 21 2011                 | Oct 19 2012          | 8 00     | 1,119.41             | 744.25            | 0 00                  | 375.16                    | 375 16                   | LT             |
|   | Oct 24 2011                 | Oct 19 2012          | 31 00    | 4,337 73             | 2,921.44          | 0 00                  | 1,416 29                  | 1,416 29                 | ST             |
|   | Nov 22 2011                 | Oct 19 2012          | 29 00    | 4,057 88             | 2,643 64          | 0 00                  | 1,414 24                  | 1,414 24                 | ST             |
|   | Dec 22 2011                 | Oct 19 2012          | 26 00    | 3,638 10             | 2,612.74          | 0 00                  | 1,025 36                  | 1,025 36                 | ST             |
| <b>VISTEON CORPORATION CMN</b>            | Sep 21 2011                 | Oct 19 2012          | 66 00    | 2,967.47             | 3,073.76          | 0 00                  | (106 29)                  | (106 29)                 | LT             |
|   | Oct 24 2011                 | Oct 19 2012          | 21 00    | 944 19               | 1,064 07          | 0 00                  | (119 88)                  | (119 88)                 | ST             |
|   | Nov 22 2011                 | Oct 19 2012          | 27 00    | 1,213 96             | 1,404 00          | 0 00                  | (190 04)                  | (190 04)                 | ST             |
|   | Dec 22 2011                 | Oct 19 2012          | 18 00    | 809 31               | 880 38            | 0 00                  | (71 07)                   | (71 07)                  | ST             |
| <b>WABTEC CORP CMN</b>                    | Sep 21 2011                 | Oct 19 2012          | 12 00    | 994.53               | 676 19            | 0 00                  | 318 34                    | 318 34                   | LT             |
|   | Oct 24 2011                 | Oct 19 2012          | 25 00    | 2,071 95             | 1,608 75          | 0 00                  | 463 20                    | 463 20                   | ST             |
|   | Nov 22 2011                 | Oct 19 2012          | 33 00    | 2,734.98             | 2,096.82          | 0 00                  | 638 16                    | 638 16                   | ST             |
|   | Dec 22 2011                 | Oct 19 2012          | 23 00    | 1,906 20             | 1,568 37          | 0 00                  | 337 83                    | 337 83                   | ST             |
| <b>WISCONSIN ENERGY CORP(HLDG CO) CMN</b> | Sep 21 2011                 | Oct 19 2012          | 78 00    | 2,995.91             | 2,481.29          | 0 00                  | 514 62                    | 514 62                   | LT             |
|   | Oct 24 2011                 | Oct 19 2012          | 64 00    | 2,458 18             | 2,125 44          | 0 00                  | 332 74                    | 332 74                   | ST             |
|   | Nov 22 2011                 | Oct 19 2012          | 62 00    | 2,381 37             | 1,978.42          | 0 00                  | 402 95                    | 402 95                   | ST             |
|   | Dec 22 2011                 | Oct 19 2012          | 49 00    | 1,882.05             | 1,702.75          | 0 00                  | 179 30                    | 179 30                   | ST             |
|   |                             |                      |          | <b>Sale Proceeds</b> | <b>Cost Basis</b> | <b>FX Gain (Loss)</b> | <b>Market Gain (Loss)</b> | <b>Total Gain (Loss)</b> |                |
| <b>SHORT TERM GAINS</b>                   |                             |                      |          | 391,487.56           | 331,831.96        | 0 00                  | 59,655 60                 | 59,655 60                |                |
| <b>SHORT TERM LOSSES</b>                  |                             |                      |          | 101,277 37           | 112,541.66        | 0 00                  | (11,264 29)               | (11,264 29)              |                |
| <b>NET SHORT TERM GAINS (LOSSES)</b>      |                             |                      |          | <b>492,764.93</b>    | <b>444,373.62</b> | <b>0.00</b>           | <b>48,391.31</b>          | <b>48,391.31</b>         |                |
| <b>LONG TERM GAINS</b>                    |                             |                      |          | 175,162 86           | 144,691 34        | 0 00                  | 30,471 52                 | 30,471 52                |                |
| <b>LONG TERM LOSSES</b>                   |                             |                      |          | 23,385 48            | 27,638 81         | 0 00                  | (4,253 33)                | (4,253 33)               |                |
| <b>NET LONG TERM GAINS (LOSSES)</b>       |                             |                      |          | <b>198,548.34</b>    | <b>177,330.15</b> | <b>0.00</b>           | <b>26,218.19</b>          | <b>26,218.19</b>         |                |



Statement Detail

SCHLUMBERGER BARON CAPITAL: ACG  
Realized Gains and Losses

Period Ended December 31, 2012

YEAR TO DATE GAINS AND LOSSES

This information is for general guidance and should not be used for tax purposes. You should use only your official form 1099's or other tax records for tax reporting. This information is not, and should not be construed as tax, accounting or legal advice. Please consult with your tax advisor regarding the tax consequences of your investment decisions. The cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account were provided by you or a third party and Goldman Sachs makes no representation as to the accuracy of such information.

|  | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity | Sale Proceeds | Cost Basis | FX Gain (Loss) | Market Gain (Loss) | Total Gain (Loss) | Holding<br>Period |
|--|--------------------------------|-------------------------|----------|---------------|------------|----------------|--------------------|-------------------|-------------------|
| DISCOVERY COMMUNICATIONS, INC. CMN<br>SERIES A | Sep 21 2011                    | Jan 03 2012             | 57.00    | 2,331.59      | 2,296.75   | 0.00           | 34.84              | 34.84             | ST                |
| INTUITIVE SURGICAL, INC. CMN                   | Sep 21 2011                    | Jan 03 2012             | 4.00     | 1,884.93      | 1,549.40   | 0.00           | 335.53             | 335.53            | ST                |
| SBA COMMUNICATIONS CORP CMN                    | Sep 21 2011                    | Jan 03 2012             | 7.00     | 301.76        | 265.99     | 0.00           | 35.77              | 35.77             | ST                |
| TETRA TECH INC (NEW) CMN                       | Sep 21 2011                    | Jan 03 2012             | 126.00   | 2,773.41      | 2,376.05   | 0.00           | 397.36             | 397.36            | ST                |
| EQUINIX INC CMN                                | Sep 21 2011                    | Jan 04 2012             | 16.00    | 1,594.81      | 1,506.40   | 0.00           | 88.41              | 88.41             | ST                |
| SBA COMMUNICATIONS CORP CMN                    | Sep 21 2011                    | Jan 04 2012             | 13.00    | 560.12        | 493.98     | 0.00           | 66.14              | 66.14             | ST                |
| INTUITIVE SURGICAL, INC. CMN                   | Sep 21 2011                    | Jan 20 2012             | 13.00    | 5,776.47      | 5,035.55   | 0.00           | 740.92             | 740.92            | ST                |
| CONCHO RESOURCES INC. CMN                      | Sep 21 2011                    | Feb 29 2012             | 56.00    | 5,977.38      | 4,817.51   | 0.00           | 1,159.87           | 1,159.87          | ST                |
| FASTENAL CO CMN                                | Sep 21 2011                    | Mar 22 2012             | 43.00    | 4,213.30      | 3,699.16   | 0.00           | 514.14             | 514.14            | ST                |
| TETRA TECH INC (NEW) CMN                       | Sep 21 2011                    | Mar 22 2012             | 51.00    | 2,733.51      | 1,860.99   | 0.00           | 872.52             | 872.52            | ST                |
| EDWARDS LIFESCIENCES CORP CMN                  | Sep 21 2011                    | Apr 03 2012             | 4.00     | 106.87        | 75.43      | 0.00           | 31.44              | 31.44             | ST                |
| CONCHO RESOURCES INC. CMN                      | Sep 21 2011                    | Apr 03 2012             | 97.00    | 2,566.99      | 1,829.18   | 0.00           | 737.81             | 737.81            | ST                |
| CORE LABORATORIES N.V. CMN                     | Sep 21 2011                    | Apr 04 2012             | 23.00    | 587.33        | 433.72     | 0.00           | 163.61             | 163.61            | ST                |
| EQUINIX INC CMN                                | Oct 24 2011                    | Apr 04 2012             | 93.00    | 2,415.31      | 2,016.96   | 0.00           | 398.35             | 398.35            | ST                |
| CONCHO RESOURCES INC. CMN                      | Nov 22 2011                    | Apr 04 2012             | 15.00    | 391.28        | 322.80     | 0.00           | 68.48              | 68.48             | ST                |
| SM ENERGY COMPANY CMN                          | Nov 22 2011                    | Apr 04 2012             | 21.00    | 545.39        | 451.92     | 0.00           | 93.47              | 93.47             | ST                |
| CONCHO RESOURCES INC. CMN                      | Nov 22 2011                    | Apr 05 2012             | 70.00    | 1,816.03      | 1,506.40   | 0.00           | 309.63             | 309.63            | ST                |
| SM ENERGY COMPANY CMN                          | Dec 22 2011                    | Apr 05 2012             | 9.00     | 233.49        | 198.40     | 0.00           | 35.09              | 35.09             | ST                |
| SM ENERGY COMPANY CMN                          | Dec 22 2011                    | Apr 10 2012             | 46.00    | 1,176.03      | 1,014.02   | 0.00           | 162.01             | 162.01            | ST                |
| SM ENERGY COMPANY CMN                          | Dec 22 2011                    | Apr 11 2012             | 38.00    | 975.66        | 837.67     | 0.00           | 137.99             | 137.99            | ST                |
| SM ENERGY COMPANY CMN                          | Sep 21 2011                    | Apr 17 2012             | 51.00    | 3,632.66      | 3,979.22   | 0.00           | (346.56)           | (346.56)          | ST                |
| SM ENERGY COMPANY CMN                          | Sep 21 2011                    | May 31 2012             | 7.00     | 600.76        | 602.19     | 0.00           | (1.43)             | (1.43)            | ST                |
| SM ENERGY COMPANY CMN                          | Oct 24 2011                    | May 31 2012             | 15.00    | 1,287.33      | 1,369.35   | 0.00           | (82.02)            | (82.02)           | ST                |
| SM ENERGY COMPANY CMN                          | Sep 21 2011                    | May 31 2012             | 24.00    | 3,022.38      | 2,543.08   | 0.00           | 479.30             | 479.30            | ST                |
| SM ENERGY COMPANY CMN                          | Sep 21 2011                    | May 31 2012             | 16.00    | 2,565.94      | 1,506.40   | 0.00           | 1,059.54           | 1,059.54          | ST                |
| SM ENERGY COMPANY CMN                          | Oct 24 2011                    | Jun 18 2012             | 24.00    | 2,121.80      | 2,190.96   | 0.00           | (69.16)            | (69.16)           | ST                |
| SM ENERGY COMPANY CMN                          | Nov 22 2011                    | Jun 18 2012             | 13.00    | 1,149.31      | 1,231.36   | 0.00           | (82.05)            | (82.05)           | ST                |
| SM ENERGY COMPANY CMN                          | Sep 21 2011                    | Jun 18 2012             | 18.00    | 2,126.35      | 1,907.31   | 0.00           | 219.04             | 219.04            | ST                |
| SM ENERGY COMPANY CMN                          | Sep 21 2011                    | Jun 18 2012             | 36.00    | 1,654.96      | 2,717.27   | 0.00           | (1,062.31)         | (1,062.31)        | ST                |



Statement Detail

**SCHLUMBERGER BARON CAPITAL: ACG**  
Realized Gains and Losses (Continued)

Period Ended December 31, 2012

|                                  | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity | Sale Proceeds | Cost Basis | FX Gain (Loss) | Market Gain (Loss) | Total Gain (Loss) | Holding<br>Period |
|----------------------------------|--------------------------------|-------------------------|----------|---------------|------------|----------------|--------------------|-------------------|-------------------|
| CHARLES SCHWAB CORPORATION CMN   | Sep 21 2011                    | Jul 12 2012             | 168 00   | 2,083.13      | 1,958 95   | 0 00           | 124 18             | 124 18            | ST                |
| EQUINIX INC CMN                  | Sep 21 2011                    | Jul 19 2012             | 17 00    | 2,787 51      | 1,600 55   | 0 00           | 1,186 96           | 1,186 96          | ST                |
| CARBO CERAMICS INC CMN           | Sep 21 2011                    | Jul 26 2012             | 31 00    | 2,230 71      | 4,726 26   | 0 00           | (2,495 55)         | (2,495 55)        | ST                |
| DICKS SPORTING GOODS INC CMN     | Sep 21 2011                    | Aug 09 2012             | 50 00    | 2,547 36      | 1,729 37   | 0 00           | 817 99             | 817 99            | ST                |
| NETFLIX COM INC CMN              | Jul 05 2012                    | Sep 20 2012             | 76 00    | 4,365.15      | 6,198 39   | 0 00           | (1,833 24)         | (1,833 24)        | ST                |
|                                  | Jul 06 2012                    | Sep 20 2012             | 17 00    | 976 42        | 1,411.26   | 0 00           | (434.84)           | (434.84)          | ST                |
|                                  | Jul 09 2012                    | Sep 20 2012             | 38 00    | 2,182.58      | 3,119 33   | 0 00           | (936 75)           | (936 75)          | ST                |
|                                  | Jul 10 2012                    | Sep 20 2012             | 30 00    | 1,723 09      | 2,465.11   | 0 00           | (742 02)           | (742 02)          | ST                |
|                                  | Jul 12 2012                    | Sep 20 2012             | 33 00    | 1,895.39      | 2,781.23   | 0 00           | (885.84)           | (885.84)          | ST                |
|                                  | Jul 26 2012                    | Sep 20 2012             | 52 00    | 2,966.68      | 2,980 54   | 0 00           | 6 14               | 6 14              | ST                |
| C.H. ROBINSON WORLDWIDE INC. CMN | Sep 21 2011                    | Sep 28 2012             | 80 00    | 4,688 75      | 5,423 03   | 0 00           | (734 28)           | (734 28)          | LT                |
|                                  | Oct 24 2011                    | Sep 28 2012             | 29 00    | 1,699 67      | 2,218 21   | 0 00           | (518 54)           | (518 54)          | ST                |
|                                  | Nov 22 2011                    | Sep 28 2012             | 32 00    | 1,875 50      | 2,106 24   | 0 00           | (230 74)           | (230 74)          | ST                |
|                                  | Dec 22 2011                    | Sep 28 2012             | 29 00    | 1,699 67      | 2,001 98   | 0 00           | (302 31)           | (302 31)          | ST                |
| CARBO CERAMICS INC CMN           | Sep 21 2011                    | Sep 28 2012             | 5 00     | 316 21        | 762 30     | 0 00           | (446 09)           | (446 09)          | LT                |
|                                  | Sep 30 2011                    | Sep 28 2012             | 14 00    | 885 39        | 1,464 44   | 0 00           | (579 05)           | (579 05)          | ST                |
|                                  | Oct 24 2011                    | Sep 28 2012             | 14 00    | 885 38        | 1,624 00   | 0 00           | (738 62)           | (738 62)          | ST                |
| SM ENERGY COMPANY CMN            | Sep 21 2011                    | Sep 28 2012             | 11 00    | 597 24        | 830 28     | 0 00           | (233 04)           | (233 04)          | LT                |
|                                  | Oct 24 2011                    | Sep 28 2012             | 16 00    | 868 70        | 1,288 32   | 0 00           | (419 62)           | (419 62)          | ST                |
|                                  | Nov 22 2011                    | Sep 28 2012             | 18 00    | 977 29        | 1,364 40   | 0 00           | (387 11)           | (387 11)          | ST                |
|                                  | Dec 22 2011                    | Sep 28 2012             | 20 00    | 1,085.88      | 1,435.40   | 0 00           | (349 52)           | (349 52)          | ST                |
| CARBO CERAMICS INC CMN           | Oct 24 2011                    | Oct 01 2012             | 6 00     | 376 14        | 696 00     | 0 00           | (319 86)           | (319 86)          | ST                |
|                                  | Nov 22 2011                    | Oct 01 2012             | 19 00    | 1,191.12      | 2,692 30   | 0 00           | (1,501 18)         | (1,501 18)        | ST                |
|                                  | Dec 22 2011                    | Oct 01 2012             | 20 00    | 1,253.81      | 2,531 00   | 0 00           | (1,277 19)         | (1,277 19)        | ST                |
| PEGASYSTEMS INC CMN              | Sep 21 2011                    | Oct 02 2012             | 100 00   | 2,736 19      | 3,676 48   | 0 00           | (940 29)           | (940 29)          | LT                |
|                                  | Sep 21 2011                    | Oct 03 2012             | 35 00    | 949 66        | 1,286 77   | 0 00           | (337 11)           | (337 11)          | LT                |
|                                  | Oct 24 2011                    | Oct 03 2012             | 41 00    | 1,112 46      | 1,504 29   | 0 00           | (391 83)           | (391 83)          | ST                |
|                                  | Oct 24 2011                    | Oct 04 2012             | 10 00    | 287 99        | 366 90     | 0 00           | (88 91)            | (88 91)           | ST                |
|                                  | Nov 22 2011                    | Oct 04 2012             | 53 00    | 1,420 37      | 1,549 72   | 0 00           | (129 35)           | (129 35)          | ST                |
|                                  | Dec 22 2011                    | Oct 04 2012             | 43 00    | 1,152 38      | 1,247 02   | 0 00           | (94 64)            | (94 64)           | ST                |



Statement Detail

**SCHLUMBERGER BARON CAPITAL: ACG**  
Realized Gains and Losses (Continued)

Period Ended December 31, 2012

|                                    | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity | Sale Proceeds | Cost Basis | FX Gain (Loss) | Market Gain (Loss) | Total Gain (Loss) | Holding<br>Period |
|------------------------------------|--------------------------------|-------------------------|----------|---------------|------------|----------------|--------------------|-------------------|-------------------|
| AIRGAS INC CMN                     | Sep 21 2011                    | Oct 19 2012             | 118.00   | 9,714.72      | 7,891.33   | 0.00           | 1,823.39           | 1,823.39          | LT                |
|                                    | Oct 24 2011                    | Oct 19 2012             | 48.00    | 3,951.75      | 3,317.76   | 0.00           | 633.99             | 633.99            | ST                |
|                                    | Nov 22 2011                    | Oct 19 2012             | 50.00    | 4,116.41      | 3,529.00   | 0.00           | 587.41             | 587.41            | ST                |
|                                    | Dec 22 2011                    | Oct 19 2012             | 46.00    | 3,787.09      | 3,628.32   | 0.00           | 158.77             | 158.77            | ST                |
| AMAZON COM INC CMN                 | Sep 21 2011                    | Oct 19 2012             | 40.00    | 9,613.34      | 9,433.20   | 0.00           | 180.14             | 180.14            | LT                |
|                                    | Oct 24 2011                    | Oct 19 2012             | 16.00    | 3,845.34      | 3,822.40   | 0.00           | 22.94              | 22.94             | ST                |
|                                    | Nov 22 2011                    | Oct 19 2012             | 15.00    | 3,605.00      | 2,837.25   | 0.00           | 767.75             | 767.75            | ST                |
|                                    | Dec 22 2011                    | Oct 19 2012             | 13.00    | 3,124.34      | 2,325.70   | 0.00           | 798.64             | 798.64            | ST                |
|                                    | Jan 03 2012                    | Oct 19 2012             | 27.00    | 6,489.01      | 4,834.84   | 0.00           | 1,654.17           | 1,654.17          | ST                |
| ANSYS INC CMN                      | Sep 21 2011                    | Oct 19 2012             | 131.00   | 9,068.52      | 6,886.34   | 0.00           | 2,182.18           | 2,182.18          | LT                |
|                                    | Oct 24 2011                    | Oct 19 2012             | 54.00    | 3,738.17      | 2,764.80   | 0.00           | 973.37             | 973.37            | ST                |
|                                    | Nov 22 2011                    | Oct 19 2012             | 54.00    | 3,738.17      | 3,120.66   | 0.00           | 617.51             | 617.51            | ST                |
|                                    | Dec 22 2011                    | Oct 19 2012             | 49.00    | 3,392.04      | 2,813.27   | 0.00           | 578.77             | 578.77            | ST                |
| APPLE, INC CMN                     | Sep 21 2011                    | Oct 19 2012             | 18.00    | 11,155.79     | 7,517.16   | 0.00           | 3,638.63           | 3,638.63          | LT                |
|                                    | Oct 24 2011                    | Oct 19 2012             | 7.00     | 4,338.36      | 2,825.90   | 0.00           | 1,512.46           | 1,512.46          | ST                |
|                                    | Nov 22 2011                    | Oct 19 2012             | 7.00     | 4,338.36      | 2,616.04   | 0.00           | 1,722.32           | 1,722.32          | ST                |
|                                    | Dec 22 2011                    | Oct 19 2012             | 7.00     | 4,338.36      | 2,788.38   | 0.00           | 1,549.98           | 1,549.98          | ST                |
| BROOKFIELD ASSET MANAGEMENT IN CMN | Sep 21 2011                    | Oct 19 2012             | 25.00    | 864.74        | 739.81     | 0.00           | 124.93             | 124.93            | LT                |
|                                    | Sep 21 2011                    | Oct 19 2012             | 161.00   | 5,568.87      | 4,469.78   | 0.00           | 1,099.09           | 1,099.09          | LT                |
|                                    | Oct 24 2011                    | Oct 19 2012             | 40.00    | 1,383.57      | 1,143.60   | 0.00           | 239.97             | 239.97            | ST                |
|                                    | Nov 22 2011                    | Oct 19 2012             | 63.00    | 2,179.12      | 1,680.84   | 0.00           | 498.28             | 498.28            | ST                |
|                                    | Dec 22 2011                    | Oct 19 2012             | 59.00    | 2,040.76      | 1,599.49   | 0.00           | 441.27             | 441.27            | ST                |
|                                    | Dec 09 2011                    | Oct 19 2012             | 177.00   | 5,952.37      | 5,525.21   | 0.00           | 427.16             | 427.16            | ST                |
| CARMAX, INC. CMN                   | Dec 22 2011                    | Oct 19 2012             | 37.00    | 1,244.28      | 1,090.76   | 0.00           | 153.52             | 153.52            | ST                |
|                                    | Jan 03 2012                    | Oct 19 2012             | 47.00    | 1,580.57      | 1,435.60   | 0.00           | 144.97             | 144.97            | ST                |
|                                    | Jan 04 2012                    | Oct 19 2012             | 49.00    | 1,647.83      | 1,499.43   | 0.00           | 148.40             | 148.40            | ST                |
|                                    | Mar 22 2012                    | Oct 19 2012             | 56.00    | 1,950.49      | 1,992.08   | 0.00           | (41.59)            | (41.59)           | ST                |
|                                    | Jun 29 2012                    | Oct 19 2012             | 89.00    | 2,993.00      | 2,312.30   | 0.00           | 680.70             | 680.70            | ST                |
|                                    | Nov 02 2011                    | Oct 19 2012             | 56.00    | 3,930.55      | 3,479.85   | 0.00           | 450.70             | 450.70            | ST                |
| CERNER CORP CMN                    | Nov 22 2011                    | Oct 19 2012             | 17.00    | 1,193.20      | 974.10     | 0.00           | 219.10             | 219.10            | ST                |
|                                    | Dec 09 2011                    | Oct 19 2012             | 38.00    | 2,667.16      | 2,306.44   | 0.00           | 360.72             | 360.72            | ST                |
|                                    | Dec 22 2011                    | Oct 19 2012             | 23.00    | 1,614.33      | 1,374.25   | 0.00           | 240.08             | 240.08            | ST                |
|                                    | Jan 04 2012                    | Oct 19 2012             | 22.00    | 1,544.15      | 1,363.73   | 0.00           | 180.42             | 180.42            | ST                |
| CHARLES SCHWAB CORPORATION CMN     | Sep 21 2011                    | Oct 19 2012             | 337.00   | 4,515.69      | 3,929.55   | 0.00           | 586.14             | 586.14            | LT                |



Statement Detail

**SCHLUMBERGER BARON CAPITAL: ACG**  
Realized Gains and Losses (Continued)

Period Ended December 31, 2012

|                                    | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity | Sale Proceeds | Cost Basis | FX Gain (Loss) | Market Gain (Loss) | Total Gain (Loss) | Holding<br>Period |
|------------------------------------|--------------------------------|-------------------------|----------|---------------|------------|----------------|--------------------|-------------------|-------------------|
|                                    | Oct 24 2011                    | Oct 19 2012             | 209 00   | 2,800 54      | 2,627 13   | 0 00           | 173 41             | 173 41            | ST                |
|                                    | Nov 22 2011                    | Oct 19 2012             | 242 00   | 3,242 73      | 2,647 48   | 0 00           | 595 25             | 595 25            | ST                |
|                                    | Dec 22 2011                    | Oct 19 2012             | 183 00   | 2,452 14      | 2,082 05   | 0 00           | 370 09             | 370 09            | ST                |
| CHOICE HOTELS INTL INC CMN         | Sep 21 2011                    | Oct 19 2012             | 160 00   | 4,995 47      | 4,805 41   | 0 00           | 190 06             | 190 06            | LT                |
|                                    | Oct 24 2011                    | Oct 19 2012             | 60 00    | 1,873 30      | 2,075 50   | 0 00           | (202 20)           | (202 20)          | ST                |
|                                    | Nov 22 2011                    | Oct 19 2012             | 66 00    | 2,060 63      | 2,232 12   | 0 00           | (171 49)           | (171 49)          | ST                |
|                                    | Dec 22 2011                    | Oct 19 2012             | 53 00    | 1,654 75      | 2,067 77   | 0 00           | (413 02)           | (413 02)          | ST                |
|                                    | Jul 12 2012                    | Oct 19 2012             | 30 00    | 936 65        | 1,139 65   | 0 00           | (203 00)           | (203 00)          | ST                |
|                                    | Jul 13 2012                    | Oct 19 2012             | 29 00    | 905 43        | 1,121 00   | 0 00           | (215 57)           | (215 57)          | ST                |
|                                    | Jul 16 2012                    | Oct 19 2012             | 21 00    | 655 65        | 809 75     | 0 00           | (154 10)           | (154 10)          | ST                |
| CONCHO RESOURCES INC CMN           | Nov 22 2011                    | Oct 19 2012             | 31 00    | 2,856 27      | 2,936 32   | 0 00           | (80 05)            | (80 05)           | ST                |
|                                    | Dec 22 2011                    | Oct 19 2012             | 37 00    | 3,409 10      | 3,522 77   | 0 00           | (113 67)           | (113 67)          | ST                |
| CORE LABORATORIES N V CMN          | Sep 21 2011                    | Oct 19 2012             | 22 00    | 2,324 47      | 2,331 16   | 0 00           | (6 69)             | (6 69)            | LT                |
|                                    | Oct 24 2011                    | Oct 19 2012             | 27 00    | 2,852 75      | 2,710 53   | 0 00           | 142 22             | 142 22            | ST                |
|                                    | Nov 22 2011                    | Oct 19 2012             | 27 00    | 2,852 75      | 2,920 05   | 0 00           | (67 30)            | (67 30)           | ST                |
|                                    | Dec 22 2011                    | Oct 19 2012             | 25 00    | 2,641 44      | 2,925 09   | 0 00           | (283 65)           | (283 65)          | ST                |
| DICKS SPORTING GOODS INC CMN       | Sep 21 2011                    | Oct 19 2012             | 133 00   | 6,806 79      | 4,600 12   | 0 00           | 2,206 67           | 2,206 67          | LT                |
|                                    | Oct 24 2011                    | Oct 19 2012             | 66 00    | 3,377 80      | 2,599 25   | 0 00           | 778 55             | 778 55            | ST                |
|                                    | Nov 22 2011                    | Oct 19 2012             | 81 00    | 4,145 49      | 3,239 19   | 0 00           | 906 30             | 906 30            | ST                |
|                                    | Dec 22 2011                    | Oct 19 2012             | 75 00    | 3,838 41      | 2,717 25   | 0 00           | 1,121 16           | 1,121 16          | ST                |
| DISCOVERY COMMUNICATIONS, INC. CMN | Sep 21 2011                    | Oct 19 2012             | 153 00   | 9,282 30      | 6,164 97   | 0 00           | 3,117 33           | 3,117 33          | LT                |
| SERIES A                           | Oct 24 2011                    | Oct 19 2012             | 85 00    | 5,156 83      | 3,638 00   | 0 00           | 1,518 83           | 1,518 83          | ST                |
|                                    | Nov 22 2011                    | Oct 19 2012             | 81 00    | 4,914 16      | 3,278 07   | 0 00           | 1,636 09           | 1,636 09          | ST                |
|                                    | Dec 22 2011                    | Oct 19 2012             | 84 00    | 5,096 17      | 3,375 74   | 0 00           | 1,720 43           | 1,720 43          | ST                |
| EATON VANCE CORP (NON-VTG) CMN     | Sep 21 2011                    | Oct 19 2012             | 127 00   | 3,641 01      | 2,922 10   | 0 00           | 718 91             | 718 91            | LT                |
|                                    | Oct 24 2011                    | Oct 19 2012             | 46 00    | 1,318 79      | 1,146 78   | 0 00           | 172 01             | 172 01            | ST                |
|                                    | Nov 22 2011                    | Oct 19 2012             | 52 00    | 1,490 81      | 1,202 76   | 0 00           | 288 05             | 288 05            | ST                |
|                                    | Dec 22 2011                    | Oct 19 2012             | 51 00    | 1,462 14      | 1,200 03   | 0 00           | 262 11             | 262 11            | ST                |
| ECOLAB INC CMN                     | Sep 21 2011                    | Oct 19 2012             | 94 00    | 6,480 21      | 4,811 86   | 0 00           | 1,668 35           | 1,668 35          | LT                |
|                                    | Oct 24 2011                    | Oct 19 2012             | 39 00    | 2,688 60      | 2,097 03   | 0 00           | 591 57             | 591 57            | ST                |



Statement Detail

**SCHLUMBERGER BARON CAPITAL: ACG**  
Realized Gains and Losses (Continued)

Period Ended December 31, 2012

|                                      | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity | Sale Proceeds | Cost Basis | FX Gain (Loss) | Market Gain (Loss) | Total Gain (Loss) | Holding<br>Period |
|--------------------------------------|--------------------------------|-------------------------|----------|---------------|------------|----------------|--------------------|-------------------|-------------------|
|                                      | Nov 22 2011                    | Oct 19 2012             | 34 00    | 2,343 91      | 1,808 80   | 0.00           | 535 11             | 535.11            | ST                |
|                                      | Dec 22 2011                    | Oct 19 2012             | 37 00    | 2,550 72      | 2,071 63   | 0.00           | 479 09             | 479.09            | ST                |
| EDWARDS LIFESCIENCES CORP CMN        | Sep 21 2011                    | Oct 19 2012             | 50.00    | 4,295 40      | 3,901 20   | 0.00           | 394 20             | 394.20            | LT                |
|                                      | Oct 24 2011                    | Oct 19 2012             | 40 00    | 3,436 32      | 2,985 60   | 0.00           | 450 72             | 450.72            | ST                |
|                                      | Nov 22 2011                    | Oct 19 2012             | 42 00    | 3,608 14      | 2,709 84   | 0.00           | 898 30             | 898.30            | ST                |
|                                      | Dec 22 2011                    | Oct 19 2012             | 36 00    | 3,092 69      | 2,535 90   | 0.00           | 556 79             | 556.79            | ST                |
| EQUINIX INC CMN                      | Sep 21 2011                    | Oct 19 2012             | 29.00    | 5,290 28      | 2,730 35   | 0.00           | 2,559 93           | 2,559.93          | LT                |
|                                      | Oct 24 2011                    | Oct 19 2012             | 29 00    | 5,290 28      | 2,843 45   | 0.00           | 2,446 83           | 2,446.83          | ST                |
|                                      | Nov 22 2011                    | Oct 19 2012             | 31 00    | 5,655 13      | 3,049 16   | 0.00           | 2,605 97           | 2,605.97          | ST                |
|                                      | Dec 22 2011                    | Oct 19 2012             | 25 00    | 4,560 59      | 2,542 75   | 0.00           | 2,017 84           | 2,017.84          | ST                |
| FACTSET RESEARCH SYSTEMS INC CMN     | Sep 21 2011                    | Oct 19 2012             | 91 00    | 8,318 12      | 8,339 76   | 0.00           | (21 64)            | (21.64)           | LT                |
|                                      | Oct 24 2011                    | Oct 19 2012             | 37 00    | 3,382 09      | 3,617 12   | 0.00           | (235 03)           | (235.03)          | ST                |
|                                      | Nov 22 2011                    | Oct 19 2012             | 38 00    | 3,473 50      | 3,415 06   | 0.00           | 58 44              | 58.44             | ST                |
|                                      | Dec 22 2011                    | Oct 19 2012             | 34 00    | 3,107 87      | 2,958 52   | 0.00           | 149 35             | 149.35            | ST                |
| FASTENAL CO CMN                      | Sep 21 2011                    | Oct 19 2012             | 187.00   | 8,215 04      | 6,823 63   | 0.00           | 1,391 41           | 1,391.41          | LT                |
|                                      | Oct 24 2011                    | Oct 19 2012             | 95 00    | 4,173 42      | 3,388 65   | 0.00           | 784 77             | 784.77            | ST                |
|                                      | Nov 22 2011                    | Oct 19 2012             | 91 00    | 3,997 69      | 3,601 78   | 0.00           | 395 91             | 395.91            | ST                |
|                                      | Dec 22 2011                    | Oct 19 2012             | 96 00    | 4,217 35      | 4,109 68   | 0.00           | 107 67             | 107.67            | ST                |
| GOOGLE, INC. CMN CLASS A             | Sep 28 2012                    | Oct 19 2012             | 12 00    | 8,082 66      | 9,074 68   | 0.00           | (992 02)           | (992.02)          | ST                |
|                                      | Oct 05 2012                    | Oct 19 2012             | 9 00     | 6,061 99      | 6,932 81   | 0.00           | (870 82)           | (870.82)          | ST                |
| HYATT HOTELS CORPORATION CMN CLASS A | Sep 21 2011                    | Oct 19 2012             | 272 00   | 10,576 73     | 9,282 54   | 0.00           | 1,294 19           | 1,294.19          | LT                |
|                                      | Oct 24 2011                    | Oct 19 2012             | 105 00   | 4,082 93      | 3,762 15   | 0.00           | 320 78             | 320.78            | ST                |
|                                      | Nov 22 2011                    | Oct 19 2012             | 119 00   | 4,627 32      | 4,019 82   | 0.00           | 607 50             | 607.50            | ST                |
|                                      | Dec 22 2011                    | Oct 19 2012             | 86 00    | 3,344 11      | 3,222 42   | 0.00           | 121 69             | 121.69            | ST                |
|                                      | Jul 12 2012                    | Oct 19 2012             | 111 00   | 4,316 24      | 3,795 72   | 0.00           | 520 52             | 520.52            | ST                |
| IDEXX LABORATORIES CMN               | Sep 21 2011                    | Oct 19 2012             | 98 00    | 9,477 80      | 7,231 10   | 0.00           | 2,246 70           | 2,246.70          | LT                |
|                                      | Oct 24 2011                    | Oct 19 2012             | 37 00    | 3,578 35      | 2,774 63   | 0.00           | 803 72             | 803.72            | ST                |
|                                      | Nov 22 2011                    | Oct 19 2012             | 41 00    | 3,965 20      | 2,903 21   | 0.00           | 1,061 99           | 1,061.99          | ST                |
|                                      | Dec 22 2011                    | Oct 19 2012             | 32 00    | 3,094 79      | 2,462 54   | 0.00           | 632 25             | 632.25            | ST                |
| ILLUMINA INC CMN                     | Sep 12 2012                    | Oct 19 2012             | 139 00   | 6,484 20      | 6,333 03   | 0.00           | 151 17             | 151.17            | ST                |
|                                      | Sep 13 2012                    | Oct 19 2012             | 68 00    | 3,172 13      | 3,132 64   | 0.00           | 39 49              | 39.49             | ST                |
|                                      | Sep 28 2012                    | Oct 19 2012             | 61 00    | 2,845 58      | 2,889 84   | 0.00           | (44 26)            | (44.26)           | ST                |
| INTUITIVE SURGICAL, INC. CMN         | Sep 21 2011                    | Oct 19 2012             | 2 00     | 1,083 88      | 774 70     | 0.00           | 309 18             | 309.18            | LT                |
|                                      | Oct 24 2011                    | Oct 19 2012             | 7 00     | 3,793 57      | 2,970 73   | 0.00           | 822 84             | 822.84            | ST                |



Statement Detail

**SCHLUMBERGER BARON CAPITAL: ACG**  
Realized Gains and Losses (Continued)

Period Ended December 31, 2012

| Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds | Cost Basis | FX Gain (Loss) | Market Gain (Loss) | Total Gain (Loss) | Holding Period |
|-----------------------------|----------------------|----------|---------------|------------|----------------|--------------------|-------------------|----------------|
| Nov 22 2011                 | Oct 19 2012          | 8.00     | 4,335.50      | 3,377.52   | 0.00           | 957.98             | 957.98            | ST             |
| Dec 22 2011                 | Oct 19 2012          | 6.00     | 3,251.53      | 2,678.94   | 0.00           | 572.69             | 572.69            | ST             |
| Dec 09 2011                 | Oct 19 2012          | 28.00    | 2,189.63      | 2,022.78   | 0.00           | 166.85             | 166.85            | ST             |
| Dec 12 2011                 | Oct 19 2012          | 49.00    | 3,831.86      | 3,496.98   | 0.00           | 334.88             | 334.88            | ST             |
| Dec 22 2011                 | Oct 19 2012          | 16.00    | 1,251.22      | 1,212.00   | 0.00           | 39.22              | 39.22             | ST             |
| Jan 03 2012                 | Oct 19 2012          | 38.00    | 2,971.64      | 2,837.48   | 0.00           | 134.16             | 134.16            | ST             |
| Mar 22 2012                 | Oct 19 2012          | 34.00    | 2,658.84      | 2,592.49   | 0.00           | 66.35              | 66.35             | ST             |
| Mar 26 2012                 | Oct 19 2012          | 37.00    | 2,893.44      | 2,875.94   | 0.00           | 17.50              | 17.50             | ST             |
| Apr 03 2012                 | Oct 19 2012          | 46.00    | 3,597.25      | 3,590.11   | 0.00           | 7.14               | 7.14              | ST             |
| Apr 17 2012                 | Oct 19 2012          | 37.00    | 2,893.44      | 2,866.85   | 0.00           | 26.59              | 26.59             | ST             |
| May 04 2012                 | Oct 19 2012          | 13.00    | 1,016.62      | 967.29     | 0.00           | 49.33              | 49.33             | ST             |
| Jul 12 2012                 | Oct 19 2012          | 46.00    | 3,597.25      | 3,308.75   | 0.00           | 288.50             | 288.50            | ST             |
| Sep 21 2011                 | Oct 19 2012          | 41.00    | 6,712.37      | 6,075.47   | 0.00           | 636.90             | 636.90            | LT             |
| Oct 24 2011                 | Oct 19 2012          | 14.00    | 2,292.03      | 2,137.38   | 0.00           | 154.65             | 154.65            | ST             |
| Nov 22 2011                 | Oct 19 2012          | 17.00    | 2,783.18      | 2,606.61   | 0.00           | 176.57             | 176.57            | ST             |
| Dec 22 2011                 | Oct 19 2012          | 14.00    | 2,292.03      | 2,097.06   | 0.00           | 194.97             | 194.97            | ST             |
| Sep 21 2011                 | Oct 19 2012          | 95.00    | 6,019.06      | 5,509.84   | 0.00           | 509.22             | 509.22            | LT             |
| Oct 24 2011                 | Oct 19 2012          | 37.00    | 2,344.26      | 2,201.13   | 0.00           | 143.13             | 143.13            | ST             |
| Nov 22 2011                 | Oct 19 2012          | 38.00    | 2,407.62      | 2,189.94   | 0.00           | 217.68             | 217.68            | ST             |
| Dec 22 2011                 | Oct 19 2012          | 33.00    | 2,090.83      | 1,958.22   | 0.00           | 132.61             | 132.61            | ST             |
| Sep 21 2011                 | Oct 19 2012          | 217.00   | 5,863.21      | 6,690.48   | 0.00           | (827.27)           | (827.27)          | LT             |
| Oct 24 2011                 | Oct 19 2012          | 84.00    | 2,269.63      | 2,778.72   | 0.00           | (509.09)           | (509.09)          | ST             |
| Nov 22 2011                 | Oct 19 2012          | 87.00    | 2,350.69      | 2,785.74   | 0.00           | (435.05)           | (435.05)          | ST             |
| Dec 22 2011                 | Oct 19 2012          | 84.00    | 2,269.63      | 2,763.60   | 0.00           | (493.97)           | (493.97)          | ST             |
| Sep 21 2011                 | Oct 19 2012          | 260.00   | 8,127.44      | 7,316.97   | 0.00           | 810.47             | 810.47            | LT             |
| Oct 24 2011                 | Oct 19 2012          | 116.00   | 3,626.09      | 3,364.00   | 0.00           | 262.09             | 262.09            | ST             |
| Nov 22 2011                 | Oct 19 2012          | 102.00   | 3,188.46      | 2,941.68   | 0.00           | 246.78             | 246.78            | ST             |
| Dec 22 2011                 | Oct 19 2012          | 114.00   | 3,563.57      | 3,334.77   | 0.00           | 228.80             | 228.80            | ST             |
| Sep 21 2011                 | Oct 19 2012          | 40.00    | 4,724.49      | 3,892.40   | 0.00           | 832.09             | 832.09            | LT             |
| Oct 12 2011                 | Oct 19 2012          | 6.00     | 708.67        | 587.52     | 0.00           | 121.15             | 121.15            | LT             |



Statement Detail

**SCHLUMBERGER BARON CAPITAL: ACG**  
Realized Gains and Losses (Continued)

Period Ended December 31, 2012

| Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds | Cost Basis | FX Gain (Loss) | Market Gain (Loss) | Total Gain (Loss) | Holding Period |
|-----------------------------|----------------------|----------|---------------|------------|----------------|--------------------|-------------------|----------------|
| Oct 24 2011                 | Oct 19 2012          | 19 00    | 2,244 14      | 1,877 77   | 0 00           | 366 37             | 366.37            | ST             |
| Nov 22 2011                 | Oct 19 2012          | 19 00    | 2,244 14      | 1,706 20   | 0 00           | 537 94             | 537.94            | ST             |
| Dec 22 2011                 | Oct 19 2012          | 18 00    | 2,126 02      | 1,821 96   | 0 00           | 304 06             | 304.06            | ST             |
| Oct 12 2011                 | Oct 19 2012          | 26 00    | 4,314 08      | 4,282 89   | 0 00           | 31 19              | 31 19             | LT             |
| Oct 24 2011                 | Oct 19 2012          | 11 00    | 1,825 19      | 1,877 59   | 0 00           | (52 40)            | (52 40)           | ST             |
| Oct 26 2011                 | Oct 19 2012          | 7 00     | 1,161 48      | 1,214 85   | 0 00           | (53 37)            | (53 37)           | ST             |
| Nov 10 2011                 | Oct 19 2012          | 15 00    | 2,488 89      | 2,489 26   | 0 00           | (0 37)             | (0 37)            | ST             |
| Nov 22 2011                 | Oct 19 2012          | 18 00    | 2,986 67      | 2,809 44   | 0 00           | 177 23             | 177.23            | ST             |
| Dec 22 2011                 | Oct 19 2012          | 17 00    | 2,820 75      | 2,775 08   | 0 00           | 45 67              | 45 67             | ST             |
| Sep 21 2011                 | Oct 19 2012          | 10 00    | 5,569 57      | 5,379 90   | 0 00           | 189 67             | 189 67            | LT             |
| Oct 24 2011                 | Oct 19 2012          | 4 00     | 2,227 83      | 2,049 72   | 0 00           | 178 11             | 178 11            | ST             |
| Nov 22 2011                 | Oct 19 2012          | 4 00     | 2,227 83      | 1,936 04   | 0 00           | 291 79             | 291.79            | ST             |
| Dec 22 2011                 | Oct 19 2012          | 3 00     | 1,670 87      | 1,435 38   | 0 00           | 235 49             | 235 49            | ST             |
| Sep 21 2011                 | Oct 19 2012          | 50 00    | 8,142 82      | 7,483 84   | 0 00           | 658 98             | 658 98            | LT             |
| Oct 24 2011                 | Oct 19 2012          | 17 00    | 2,768 56      | 2,680 56   | 0 00           | 88 00              | 88 00             | ST             |
| Nov 22 2011                 | Oct 19 2012          | 24 00    | 3,908 55      | 3,431 04   | 0 00           | 477 51             | 477 51            | ST             |
| Dec 22 2011                 | Oct 19 2012          | 20 00    | 3,257 13      | 2,711 54   | 0 00           | 545 59             | 545 59            | ST             |
| Sep 21 2011                 | Oct 19 2012          | 107 00   | 7,042 92      | 4,065 84   | 0 00           | 2,977 08           | 2,977.08          | LT             |
| Oct 24 2011                 | Oct 19 2012          | 51 00    | 3,356 91      | 1,995 63   | 0 00           | 1,361 28           | 1,361.28          | ST             |
| Nov 22 2011                 | Oct 19 2012          | 53 00    | 3,488 55      | 2,036 79   | 0 00           | 1,451 76           | 1,451.76          | ST             |
| Dec 22 2011                 | Oct 19 2012          | 48 00    | 3,159 44      | 2,038 25   | 0 00           | 1,121 19           | 1,121.19          | ST             |
| Sep 21 2011                 | Oct 19 2012          | 201 00   | 9,357 58      | 7,006 36   | 0 00           | 2,351 22           | 2,351.22          | LT             |
| Oct 24 2011                 | Oct 19 2012          | 83 00    | 3,864 08      | 2,883 42   | 0 00           | 980 66             | 980 66            | ST             |
| Nov 22 2011                 | Oct 19 2012          | 78 00    | 3,631 30      | 2,855 58   | 0 00           | 775 72             | 775.72            | ST             |
| Dec 22 2011                 | Oct 19 2012          | 82 00    | 3,817 52      | 3,220 16   | 0 00           | 597 36             | 597 36            | ST             |
| Mar 22 2012                 | Oct 19 2012          | 38 00    | 1,769 10      | 1,742 96   | 0 00           | 26 14              | 26.14             | ST             |
| Oct 04 2011                 | Oct 19 2012          | 30 00    | 3,462 22      | 3,509 02   | 0 00           | (46 80)            | (46 80)           | LT             |
| Oct 24 2011                 | Oct 19 2012          | 11 00    | 1,269 48      | 1,380 94   | 0 00           | (111 46)           | (111 46)          | ST             |
| Nov 22 2011                 | Oct 19 2012          | 13 00    | 1,500 30      | 1,480 57   | 0 00           | 19 73              | 19.73             | ST             |
| Dec 09 2011                 | Oct 19 2012          | 8 00     | 923 26        | 889 61     | 0 00           | 33 65              | 33 65             | ST             |
| Dec 22 2011                 | Oct 19 2012          | 14 00    | 1,615 70      | 1,497 02   | 0 00           | 118 68             | 118 68            | ST             |
| Feb 21 2012                 | Oct 19 2012          | 24 00    | 2,769 78      | 2,889 16   | 0 00           | (119 38)           | (119 38)          | ST             |



Statement Detail

**SCHLUMBERGER BARON CAPITAL: ACG**  
Realized Gains and Losses (Continued)

Period Ended December 31, 2012

|                                      | Sale Proceeds     | Cost Basis        | FX Gain (Loss) | Market Gain (Loss) | Total Gain (Loss) |
|--------------------------------------|-------------------|-------------------|----------------|--------------------|-------------------|
| SHORT TERM GAINS                     | 389,353 15        | 322,316.04        | 0.00           | 67,037.11          | 67,037 11         |
| SHORT TERM LOSSES                    | 99,145 46         | 121,318 56        | 0.00           | (22,173 10)        | (22,173 10)       |
| <b>NET SHORT TERM GAINS (LOSSES)</b> | <b>488,498.61</b> | <b>443,634.60</b> | <b>0.00</b>    | <b>44,864.01</b>   | <b>44,864.01</b>  |
| LONG TERM GAINS                      | 181,365 58        | 146,516 18        | 0.00           | 34,849 40          | 34,849 40         |
| LONG TERM LOSSES                     | 29,256 07         | 32,849 28         | 0.00           | (3,593 21)         | (3,593 21)        |
| <b>NET LONG TERM GAINS (LOSSES)</b>  | <b>210,621.65</b> | <b>179,365.46</b> | <b>0.00</b>    | <b>31,256.19</b>   | <b>31,256.19</b>  |



Statement Detail

**SCHLUMBERGER FOUNDATIONS: EQ AND FI**  
Realized Gains and Losses

Period Ended December 31, 2012

**YEAR TO DATE GAINS AND LOSSES**

This information is for general guidance and should not be used for tax purposes. You should use only your official form 1099's or other tax records for tax reporting. This information is not, and should not be construed as tax, accounting or legal advice. Please consult with your tax advisor regarding the tax consequences of your investment decisions. The cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account, were provided by you or a third party and Goldman Sachs makes no representation as to the accuracy of such information.

|  | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity | Sale Proceeds     | Cost Basis        | FX Gain (Loss) | Market Gain (Loss) | Total Gain (Loss) | Holding<br>Period |
|--|--------------------------------|-------------------------|----------|-------------------|-------------------|----------------|--------------------|-------------------|-------------------|
| ISHARES TRUST-RUSSELL 1000 INDEX FUND<br>ETF | Sep 20 2011                    | Dec 06 2012             | 1,925.00 | 151,282.35        | 130,471.88        | 0.00           | 20,810.47          | 20,810.47         | LT                |
| <b>LONG TERM GAINS</b>                       |                                |                         |          | 151,282.35        | 130,471.88        | 0.00           | 20,810.47          | 20,810.47         |                   |
| <b>NET LONG TERM GAINS (LOSSES)</b>          |                                |                         |          | <b>151,282.35</b> | <b>130,471.88</b> | <b>0.00</b>    | <b>20,810.47</b>   | <b>20,810.47</b>  |                   |

## FACULTY FOR THE FUTURE - RENEWAL APPLICATION FORM - 2012– 2013.

### Application Instruction

This application has ten tabs and five parts. Use the tabs menu to switch to the next tab. Always remember to save your data at the end of each page, clicking the **SAVE** button before switching to another tab. You can always come back to a particular tab to update your information and save again. You are free to logoff at any time using the **CLOSE** button, and finish filling your application at a later time. Please read each section carefully before completion. Each section must be filled out completely and accurately for your application to be valid (fields with a red asterisk \* are mandatory). Please ensure your answers are as complete and informative as possible. You are responsible for the quality of your applications. If you do not follow the instructions your application will be rejected. **Two** references from your supervisors are mandatory for your application to be valid. The referee should complete and submit the reference **ON-LINE by December 7, 2011**.

You must also submit:

- the Financial University Report fully completed with last year grant financial information, **but only if the university is administering your grant.**
- an official copy of your University transcripts for last year, **but only if you are taking coursework** (this is a breakdown of courses taken during the degree and the grades obtained in each discipline. For Post-Doc a copy of PhD award is accepted).

The above documents should be uploaded **ON-LINE** (use the "Upload Doc" tab) on the application site when submitting your application form. Documents sent by email or by post will **NOT** be taken into consideration and the application will be removed from the selection process.

Deadline to submit application and other documents is **November 30, 2011**.

### General tips to help you:

- Make sure you meet the eligibility criteria and the application deadline.
- Plan ahead! Producing a strong application takes time and final selection is based in part on the quality of your application and accompanying documents.
- Have a clear strategy. Make sure all parts of your application form an integrated whole. Your application should highlight the aspects about you and your career that will give the reviewer a focused yet well rounded view of your candidacy.
- Read and follow the instructions carefully. The instructions are your guide to creating a complete and competitive application.
- The Progress Report section should focus on PhD milestones, results obtained, papers published, conferences attended, and teaching undertaken. It should focus on your academic progress and not solely on your technical progress.
- If your application is for further post-doctorate studies, please explain in the Progress Report section why you want to pursue an additional post-doctorate year. Insight needs to be provided with regards to the difference the PhD or post-doctorate makes on your career progression and your home country university department.
- Please provide information regarding available funding from other sources (fellowships, scholarships, sponsorships...).

Faculty for the Future fellows are expected to return to their home countries to continue their academic careers. Faculty for the Future grants are based on actual expenses to be incurred and can be up to USD 50, 000 per year for a PhD and up to a maximum of USD 40,000 for a Post-Doc. A successful application will have gone through four rounds, with the reviewers paying particular attention to the following criteria:

- Academic performance;
- Quality of references;
- Scientific interest of research proposal;

- Commitment to teaching;
- Relevance of research to home country;
- Commitment to inspiring young woman into the sciences;
- Quality of host country university;
- Evidence of intent to return to home country.

**NB:** If you have previously received a Faculty for the Future grant and you are changing academic program or disciplines, you should complete the New Applicant - Application Form (in this case, please contact us for guidance). If you submit under a Renewal application form your request will not be taken into account and will be rejected. It is your responsibility to ensure you complete the correct application form.

### **Application Calendar:**

**November 30, 2011** Application deadline

**March 31, 2012** Notification of results on web site

**Spring 2013** Latest semester in which award winners can commence studies.

**APPLICATION FORM**

**Part 1/5 Applicant Information**

**1.1 General Information**

**First Name:**  
**Family Name:**

**Postal address to which documents can be mailed:**

**Country of citizenship:**  
**Region of citizenship:**

**Host Country of study:**  
**Email Address:**

**Current telephone number:**

**Relationship with Schlumberger:** None/ Family working for Schlumberger/ Family working for Schlumberger client/ Other:

**Year of your first Faculty for the Future award:**

**How have you benefitted from the Fellows Community web site?:**

**What could be done to improve communication among the Fellows?:**

**Have you attended a Faculty for the Future Forum?:** If yes, where and what year ?

**1.2 Employment History**

Please review your employment history below, if any, and update it accordingly, starting with the most recent employment.

| Employer Name | Job Title | Dates (month/year) |    |
|---------------|-----------|--------------------|----|
|               |           | From               | To |

**1.3 Educational History**

Please review your educational history below and update it as necessary. List all University qualifications starting with your current studies. Education periods will be sorted automatically upon saving (starting with the most recent). Please also fill in the information requested on your home university (this refers to the last university you attended in your home country).

**Home University:**  
**Home country University supervisor name:**  
**Home country University supervisor email:**  
**Home country University supervisor tel no.:**

| Name and Location of Institution | Major Field of Study | Dates (month/year) |    | Title of Degree | Graduation Date |
|----------------------------------|----------------------|--------------------|----|-----------------|-----------------|
|                                  |                      | From               | To |                 |                 |

**Expected start date of your next academic year:**

**Expected Graduation date:**

**Month and year of planned return to home country:**

**If PhD, please advise expected date of PhD Qualifier Examinations:**

**Part 2/5 Progress Report**

**2.1 General Report**

Please summarize in the first box below the following points:

1. Your own view of your academic progress e.g. PhD or Post-Doc milestones, research and/or coursework progress, qualifying exam status, papers published, conferences attended, teaching undertaken etc.;
2. Your current research (including list of any research you have completed or in which you are currently involved);
3. Your progress toward returning to teach in your home country;
4. A realistic assessment of your need for continued financial support, including a clear description of your alternate options of financing.

Renewal of Post-Doc \*

**N.B: If you are reapplying for renewal of a Post-Doc please explain your rationale for continuing with a second year. Please ensure your response includes fact-based information. In addition, how will your Home University demonstrate the value it attaches to your Post-doc? Will it provide additional funding for your research? Will you be promoted? Will you have additional students to supervise? Other reasons? Please expand on these questions below.**

**2.2 Teaching**

**Please describe any teaching experience you have acquired in the last twelve months:**

Due to the high level of required courses for my PhD program as well as the preparation for my Candidacy exam, I declined my teaching assistance duties for the academic year 2009-2010. However, I will commence my teaching assistance duties for the year of 2010-2011 where I am expecting to be involve in teaching the following courses:

1. Health and the Built Environment (EVDS 621)
2. Building Science and Technology (EDVA 511)
3. Computer Modeling and the Environment (EVDS 679)

**2.3 Publications**

1. please list all papers published over last twelve months, if any:
2. please list all papers presented at conferences over last twelve months, if any:

## 2.4 Conferences

Please list all conferences attended over last twelve months, if any:

## 2.5 Women in Sciences

Please describe your involvement in university/faculty life and activities encouraging young women into the sciences:

## Part 3/5 Financial Info – Renewal Funding Request

Please provide estimates of your expected costs for a one grant year as well as an indication of your personal resources including any other scholarships you have received or will receive during the next academic year. The full amount of Tuition fees and the full amount of the Tuition waiver, if any, should be inserted in the respective rows. Bench fees mainly apply to Post-Docs and are commonly related to the purchase of consumables (e.g., chemicals, laboratory equipment, etc). Use only figures in **US Dollars** in this table. Please do NOT add any text within the table. Any applications containing text or currencies other than US Dollars will NOT be accepted and you will not receive any notification or request for corrections. Please also upload supporting documentation of cost estimates (e.g., local Cost of Living data, rent receipt or rental release, university fees, etc.). Please use the Upload Doc tab for this purpose. All fields with a red asterisk are mandatory.

You are responsible for the quality of your application form.

**Please provide Financial Information for the Host University for which you are requesting funding. Please select the PhD or Post-Doc year for which you are requesting funding (i.e., if you are currently in PhD Year 3 but are applying for a grant to cover academic year 2011-2012 then please select PhD Year 4 from the drop down list "Research Type and Year" :**

**Host University:**

**Department/School/Faculty:**

**Title of Degree:**

**Research Type and Year:**

**High Level Field:**

**High Level Discipline:**

| <b>Cost Item</b>  | <b>University of</b> |
|---|----------------------|
| Tuition   |                      |
| Bench Fees (mainly applies to Post-Doc)   |                      |
| Accommodation (including housing and commodities)   |                      |
| Living Allowance (including food, books and supplies, medical/insurance coverage, child care, transport costs)      |                      |
| Travel, Visa and Conferences Expenses (limit is \$3000 if in year 1-2 of PhD and \$7000 if in year 3-4 or Post-Doc) |                      |
| <b>Estimated Total Costs</b>  |                      |
| Personal Resources available to support studies   |                      |
| Tuition/Waiver  |                      |
| <b>Estimated Total Funding</b>  |                      |

**Expected start date for which you are requesting funding:**

Additional Cost-related Information: Please add any comments on costs in this space:

List other sources of financing for which you are eligible to compete:

**Part 4/5 Home Return**

**4.1 Return University where you plan to teach in your home country**

**Return University:**

**Address:**

**Return University supervisor name:**

**Return University supervisor email:**

**Date when you expect to take up an academic post in your Return University:**

**4.2 Current status of your preparation for return to home country**

(If you are in year 3 or more of your PhD studies you are expected to demonstrate strong evidence of home country return planning including a reference from your home country university. Where you cannot provide a home country reference please explain why not and provide other equal evidence of home country return plans.)

**4.3 Return/Host University**

Is there a relationship between your choice of return university (in your home country) and your host university? If yes, what role have you played in creating or contributing to this relationship, if any:

**Part 5/5 References & Transcripts**

For your application to be complete we need to receive confidential feedback from your current supervisors commenting on the status of your progress toward your academic goals. The feedback should also comment on the availability of other sources of financial support for which you are eligible within the university - for instance financial aid, research grants, teaching and laboratory positions. Candidates are expected to submit **2 references** of which at least one must come from their University supervisor (preferably one from Host University).

Candidates who are in year 3 or more of their studies are expected to provide a home country reference that informs the selection committee as to the value attached to the candidate's qualifications on return both in relation to their careers and their academic departments.

Once you submit your application, your referees will receive an automatic email with a username and password to access this site so that they can submit a reference letter on your behalf. Please remember that it is your responsibility to ensure that your referees submit the reference forms in time (by December 7, 2011 at latest). Otherwise your application will be withdrawn from the selection process.

**NB: ONLY references submitted on-line via the Faculty for the Future Application web site will be accepted. Any references sent by email or by post will NOT be taken into consideration and the application will be removed from the selection process.**

**Name:**

**Email:**

**Title:**

**Name:**

**Email:**

**Title:**

| University Transcript and other documents | Date submitted |
|---|----------------|
|---|----------------|

**\*\*Certification\*\*** : I certify that I meet the basic eligibility requirements of the program and that the information provided in this application and in accompanying documents is complete and accurate to the best of my knowledge. If requested, I agree to give proof of the information that I have included on this application. I understand that falsification of information may result in the withdrawal of my application from the fellowship competition and/or in the termination of any fellowship granted. This application becomes the property of the Schlumberger Foundation upon submission.

**Date submitted:**

# FACULTY FOR THE FUTURE - NEW APPLICANT - APPLICATION FORM - 2012-2013.

## Overview and Application Instructions

This application form has eleven tabs and 6 Sections. Each section will include a brief outline of the Schlumberger Foundation's expectations as you respond to the questions. Please use the tab menu to switch from one tab to the next. Remember to save your data at the end of each page, clicking the **SAVE** button, before switching to another tab. You can always come back later to a particular tab to update the information and save again. You are free to logoff at any time using the CLOSE button, and finish filling out your application form at a later time.

The application must be submitted in **English**. Please read each section carefully before completion. All sections must be completed fully and accurately for your application to be accepted (fields with a red asterisk \* are mandatory). Applicants are responsible for the quality of their applications.

Candidates are expected to provide 3 references (**2 are mandatory**) of which at least one must come from your Research Supervisor at your Host University where feasible. The referee should complete and submit the reference ON-LINE by **December 7, 2011**. Please note that web site access for referees to complete their references will not be open until November 4th 2011. You are kindly requested to inform your referees of this should you decide to submit your completed application prior to November 4th 2011.

You must also submit:

- an official copy of your University transcript for last year, **but only if you are taking coursework** (this is a breakdown of courses taken during the degree and the grades obtained in each discipline). For a Post-Doc a copy of PhD award is accepted.
- your offer and/or admission letter to your Host University if available at time of applying.

The above documents should be uploaded on-line (use the Upload Doc tab). Do **NOT** send any document by email or by post as they will NOT be taken into consideration.

The deadline for application submission including upload of documents such as transcripts and University offer letter if available is **November 30, 2011**.

Before you begin:

- Make sure you meet the eligibility criteria and the application deadline. You should also consult the FAQ on the home page.
- Plan ahead. Producing a strong application takes time and the final decision is based in part on the quality of your application and accompanying materials.
- Have a clear strategy. Make sure all parts of your application form an integrated whole.
- Read each section carefully before completion as all sections must be completed fully and accurately for your application to be accepted (fields with a red asterisk \*are mandatory).
- Applicants are responsible for the quality of their applications.

## Program Objectives

This section provides a detailed overview of the fellowship including the goals and objectives of the program and provides a detailed account of the expectations in terms of eligibility, deadlines, selection process and notification.

The Schlumberger Foundation Faculty for the Future program, launched in 2004, awards fellowships to women from **developing and emerging economies** to pursue PhD or post-doctoral studies in the physical sciences, engineering and related disciplines at top universities abroad. Awards in biological sciences are limited to interdisciplinary research between physical and biological sciences. The program's long-term goal is to generate conditions that result in more women pursuing academic careers in scientific disciplines.

The Faculty for the Future program is growing each year and has become a powerful community that today stands at 194 pioneering women scientists from 54 countries. **Ultimately grant**

**recipients are expected to contribute to the socio-economic development of their home countries and regions by strengthening the faculties in their home universities, pursuing relevant research, or using their specific expertise to address policy issues.** The end goal is to attract and retain more young women in the sciences.

#### **Important dates and deadlines**

- Application to be submitted **online** by **November 30th 2011**.
- Reference letters to be submitted by December 7th 2011. **Applications that do not have the mandatory reference letters submitted by this date will not be eligible.**
- Telephone interviews will take place during first half of March 2012 for those candidates who go to the final selection round. **Please do not send emails enquiring about this - you will be contacted if you have been selected for the final round.**
- Final Round candidates will be asked to complete an ethics statement regarding their research (early **March 2012**).
- Notification of award: **March 30th 2012**.

#### **Evaluation Criteria**

**To be eligible for this fellowship applicants must meet the following criteria:**

You:

- are female and are a citizen of a developing or emerging country;
- are preparing for PhD, or postdoctoral study in the physical sciences, engineering and related disciplines;
- are enrolled, admitted or have applied to a host university **abroad** (applications are no longer accepted where an individual has not yet applied to a university);
- have a proven track record of teaching experience;
- can demonstrate active participation in faculty life and outreach programs to encourage young women into the sciences;
- Hold an excellent academic record.

**A successful application will have gone through four review rounds, with the reviewers paying particular attention to the following criteria:**

- Academic performance;
- Quality of references;
- Scientific interest of research proposal;
- Relevance of research to home country;
- Evidence of intent to return to home country;
- Commitment to teaching;
- Commitment to inspiring young women into the sciences;
- Quality of Host University.

#### **Grant Level**

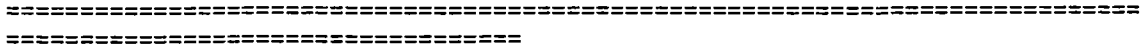
Faculty for the Future grants are based on actual expenses to be incurred and the award can be up to USD 50, 000 per year for a PhD and up USD 40,000 for a Post-Doc.

### **Application Calendar:**

November 30, 2011: Application deadline

March 30, 2012: Notification of results on web site

Spring 2013: Latest semester in which award winners can commence studies.





**1.3 Employment History**

Please fill your employment history below starting with most recent, if any.

| Employer Name | Job Title | Dates (month/year) |    |
|---------------|-----------|--------------------|----|
|               |           | From               | To |
|               |           |                    |    |

**1.4 Educational History**

Home University:

Home country University supervisor name:

Home country University supervisor email:

Home country University supervisor tel. no.:

Please list all university level qualifications, starting with your current studies.

| Name and Location of Institution | Major Field of Study | Dates (month/year) |    | Title of Degree | Graduation Date |
|----------------------------------|----------------------|--------------------|----|-----------------|-----------------|
|                                  |                      | From               | To |                 |                 |
|                                  |                      |                    |    |                 |                 |

Expected start date of your next academic year:

Expected Graduation date:

Month and year of planned return to home country:

If PhD, please advise expected date of PhD Qualifier Examinations:

List Scholarships or Fellowships held at present or in the past: (Give source or sponsor, amount, where held, and duration.)

Indicate any academic honors or prizes, which you have received:

List any books, articles or theses published by you, especially in your proposed field of study:

List professional societies or other organizations in which you now hold membership or in which you have been active in the past:

**1.5 Key Questions**

Teaching Experience: (Please describe your teaching experience to date)

Your Research Proposal: (Please describe the research for which you are requesting a Faculty for the Future grant and research previously completed, if any)

Please describe your involvement in university/faculty life and activities encouraging young women into the sciences:

**PART 2/6 Study Program for which you are requesting funding**

**Full official name of the First Choice Host University:**

**Department/School/Faculty:**

**Title of Degree:**

**Research Type and year:**

**High Level Field:**

**High Level Discipline:**

**Current status:**

**Knowledge of Research Dept:** a) Why did you choose this particular Dept/Research centre for your research? b) What do you know about the range of research that is conducted there? c) Will you be joining a research group or working on your own? d) Do you know any successful alumni from this PhD Program? Max. 200 words

**Knowledge of Host Supervisor:** a) On what basis did you choose your supervisor? b) How much do you know about his/her track record? c) Have you been in communication while preparing your application? d) Did you define your research project together? e) Does your supervisor currently have doctoral students? How many? f) Has she/he supervised students to successful completion of a PhD? Max. 200 words

**Full official name of the Second Choice Host University:**

**Department/School/Faculty:**

**Title of Degree:**

**Research Type and year:**

**High Level Field:**

**High Level Discipline:**

**Current status:**

**Knowledge of Research Dept:** a) Why did you choose this particular Dept/Research centre for your research? b) What do you know about the range of research that is conducted there? c) Will you be joining a research group or working on your own? d) Do you know any successful alumni from this PhD Program? Max. 200 words

**Knowledge of Host Supervisor:** a) On what basis did you choose your supervisor? b) How much do you know about his/her track record? c) Have you been in communication while preparing your application? d) Did you define your research project together? e) Does your supervisor currently have doctoral students? How many? f) Has she/he supervised students to successful completion of a PhD? Max. 200 words

**2.1 University where you hope or plan to teach in your home country**

**Return University:**

**Address:**

**Return University supervisor name:**

**Return University supervisor email:**

**Date when you expect to take up an academic post in your Return University:**

**Notes of explanations:**

## 2.2 Professional Re-Integration Plan

Please provide below a brief description of:

a) your professional plans upon completion of the Schlumberger Faculty for the Future Fellowship. What kind of leadership role would you like to perform in your own country or university? What are the main career skills you will learn from your choice of program?

b) how your home institution will support your plans for re-integration into your institution (if this is the case, please provide a letter of support from your home institution stating your role upon return and your re-integration plan into the institution/organization).

c) Where do you see yourself 10 years from now?

**Max 350 words**

## 2.3 Financial Information

Remember to **SAVE** your data in the previous tab in order to complete your financial information. Please provide estimates of your expected costs for one grant year, as well as an indication of your personal resources, **for each of your University choices**. You should include funding from any other scholarships you have received or will receive during the next academic year. Find out if you can obtain a partial or total tuition waiver from your first and second choice Universities. In this case, the full amount of Tuition fees and the full amount of the Tuition waiver should be inserted in the respective rows. Bench Fees mainly apply to Post-Doc research and are usually related to the purchase of consumables such as chemicals, for example. The grant provided by the Schlumberger Foundation will not exceed USD 50,000 per year and a maximum of USD 40,000 for Post-doc studies. Please only use figures in US Dollars in this table. Please do NOT add any text within the table. Any applications containing text or currencies other than US Dollars will NOT be accepted and you will not receive any notification or request for corrections. Please also upload supporting documentation of cost estimates (e.g., local cost of living data, rent receipt or rental release, university fees, lab supplies, etc.). Please use the Upload Doc tab for this purpose. All fields with a red asterisk are mandatory. You are responsible for the quality of your application form.

| Cost Item   | Host University |
|---|-----------------|
| Tuition   |                 |
| Bench Fees (mainly applies to Post-Doc)   |                 |
| Accommodation (including housing and commodities)   |                 |
| Living Allowance (including food, books and supplies, medical/insurance coverage, child care, transport costs)      |                 |
| Travel, Visa and Conferences Expenses (limit is \$3000 if in year 1-2 of PhD and \$7000 if in year 3-4 or Post-Doc) |                 |
| <b>Estimated Total Costs</b>  |                 |
| Personal Resources available to support studies   |                 |
| Tuition/Waiver  |                 |
| <b>Estimated Total Funding</b>  |                 |

**Expected start date for which you are requesting funding:**

**Additional Cost-related Information: Please add any comments on costs in this space:**

**List other sources of financing for which you are eligible to compete:**

**Part 3/6 Personal Essay [Max 750 words]**

Your essay should allow the reviewers to get to know you, your personal and academic ambitions and plans, and the "women in science" context in your home country. The best essays will address the following topics in a simple narrative style:

1. **Personal motivation in pursuing a PhD or Post-Doc including what it will change for you on return to your home country**
2. **Specific constraints faced as a scientist including gender-related issues;**
3. **An overview on the situation of women science & engineering academics in your country: % of women in your faculty; % of women in your discipline (in your University and in your country); how many universities have science and engineering faculties?**
4. **Your family and financial situation.**

**Personal Essay:**

#### **Part 4/6 Research & Teaching Essay [Max 1000 words]**

In the first box below please write a clear summary of your field of study and your research focus/objectives, including purpose, originality and relevance, particularly to home country. The best project statements will address the following topics in a simple narrative style:

- **Short description of your field of research and how it will be accomplished?**
- **Why is it important and why are you motivated by this research?**
- **How do your PhD or Post-Doc studies fit with your long-term professional goals?**
- **Expected impact of your PhD or Post-Doc on your career, including a sense of how your home university will value it.**

**N.B.** Plagiarism, which can be a form of scientific misconduct, is generally known and described as the appropriation of another person's ideas, results, processes, or words without giving appropriate credit, thereby misleading the reader with respect to the contributions of the author. Plagiarism is not necessarily the same as copyright infringement, which occurs when a researcher or scholar violates copyright law. The copying of a couple of sentences for a quotation is considered fair use, but if it is not attributed to the true author, it is plagiarism. **If a plagiarism is detected in any section of your application form it will lead to the automatic retraction of your application from the selection process for the award of a Fellowship.**

**Summarize your Research Focus, including purpose, originality and relevance particularly to home country \*:**

In your Research & Teaching essay you must address all of the areas detailed below. Please use a simple narrative style. The most effective Research essays are best described in layman terms.

- **Context of the research field and status of your research within this.**
- **Description of the research project, including innovative aspects, scientific quality and relevance to the field;**
- **Research design, objectives, methodology, workplan and timeline;**
- **Expected research outcomes and outputs;**
- **What impact will your PhD or Post-Doc have on your career? How will your home university value it?**
- **What motivates you most about teaching?**

**Research & Teaching Essay:**

#### **Part 5/6 Post-Doctoral Research - supplementary information**

Please complete this section ONLY if you are applying for a grant to fund a Post-Doc.

**1. Please explain your rationale for undertaking a Post-doc. Ensure your response includes fact-based information. (max 150 words):**

**2. a) If the Post-doc was advertised please upload a scanned copy of the advertisement b) If it was not advertised, please explain why not (max 50 words):**

**3. What is the number of post-docs in your field in your home country? (max 50 words):**

**4. How will your Home University demonstrate the value it attaches to your Post-doc?**

**a) Will it provide additional funding for your research? b) Will you be promoted?**

**c) Will you have additional students to supervise? d) Other reasons? (Max 100 words):**

**5. Describe financial contribution that Host University will make? (max 50 words):**

## **Part 6/6 References & Transcripts**

Candidates are required to submit 3 written references (**2 are mandatory**) of which at least one must come from the Host University [where feasible]. The reference letter should address the quality of your academics as a researcher as well as your potential leadership in the field of science and technology.

Once you **submit** your application, your referees will receive an automatic email with a username and password and can submit a reference form on your behalf. Remember it is your responsibility to ensure that your referees submit their references **(by December, 7 2011 at latest - otherwise your application will be withdrawn. (Please note that we will not accept references until November 4th 2011). )**.

Only references submitted on-line via this web site will be accepted. Any on-line reference arriving after the deadline for consideration and the application will be removed from the selection process.

**Name:**

**Email:**

**Title:**

**Name:**

**Email:**

**Title:**

**Name:**

**Email:**

**Title:**

## **Upload documents**

Candidates are expected to provide a University transcript where applicable (this is a breakdown of courses taken during the degree and the grades obtained in each discipline). For Post-Doc a copy of PhD award is accepted.

| University Transcript and other documents | Date submitted |
|---|----------------|
|   | -              |

## Submit

Once submitted, application is final. Take the time to review your whole application, making sure you didn't forget anything. Remember you can always logoff using the EXIT button and come back at a later time to finish filling your application. When you are ready, tick the following checkbox and click Submit.

Please note that emails will be dispatched to the References you provided ONLY when you submit your application



**PLEASE READ CAREFULLY BOTH STATEMENTS below before ticking this box**  
 I certify that I meet the basic eligibility requirements of the program and that the information provided in this application and in accompanying documents is complete and accurate to the best of my knowledge. If requested, I agree to give proof of the information that I have included on this application. I understand that falsification of information may result in the withdrawal of my application from the fellowship competition and/or in the termination of any fellowship granted. This application becomes the property of the Schlumberger Foundation upon submission.

You are about to apply to the Schlumberger Foundation Faculty for the Future program and to provide personal data to us. Please read carefully our Data Privacy Statement on the login page of this web site. Your data may be transferred to authorized third parties in countries not having an adequate level of data protection. If this happens, Schlumberger Foundation will ensure that your data will remain confidential and secure. by clicking on this tick box you agree to: i) the applicability of our Data Privacy Statement; and ii) the aforementioned transfers of your personal data.

Current date is

Date submitted:

**FACULTY FOR THE FUTURE - Confidential Letter of Reference - NEW  
APPLICATION - 2012 – 2013**

**Reference Instructions**

Applicants are expected to provide 3 references (**2 are mandatory**) from supervisors or other authoritative figures. The referee should complete and submit this form ON-LINE by **December 7, 2011**

Any on-line form arriving after this date will not be taken into consideration and the applicant's file will be removed from the selection process.

**Note:** it is mandatory to completely fill in all sections before submitting the reference. The reference should be submitted on line. References sent by email or postal mail are not accepted.

**Referee Information**

|  |                    |
|--|--------------------|
| Name of Person writing this reference letter:  |                    |
|  |                    |
| Email address:                                 | Current telephone: |
|  |                    |
|  | Fax:               |
|  |                    |
| Postal address to which we may mail documents: |                    |

**Applicant Information**

Name of Applicant:

How long have you known the applicant?

In what capacity have you known the applicant?

In the rating chart below, please evaluate the applicant by placing an "X" in the appropriate spaces.

|                                     | Below Average | Average | Good | Very Good | Excellent |
|-------------------------------------|---------------|---------|------|-----------|-----------|
| Intellectual ability                |               |         |      |           |           |
| Motivation to pursue Graduate Study |               |         |      |           |           |
| Seriousness of Purpose              |               |         |      |           |           |
| Teaching Potential                  |               |         |      |           |           |
| Leadership Qualities                |               |         |      |           |           |
| Resourcefulness and Initiative      |               |         |      |           |           |
| Work Habits                         |               |         |      |           |           |
| Knowledge of Field                  |               |         |      |           |           |

Please check the box that, in your judgment, best describes the applicant's chances of achieving her proposed academic goals.

Below Average       Average       Good       Very Good       Excellent

### **Narrative reference**

Please provide a candid evaluation of the applicant's past performance, along with her personal and intellectual qualifications as they affect her academic capability, character, adaptability and seriousness of purpose. You may also add comments about the applicant's ability to successfully complete her proposed university/college program. Your statement will be given serious consideration by the selection committee reviewing this student's application and should therefore be as insightful as possible.

If you are a candidate's home country referee please include a statement indicating the expected impact of her PhD or Post-Doc on her home department and what difference this qualification will make to her career potential and actual progress.

### **Host University Supervisor**

**a) Please provide a brief description of your professional background including some examples of your research outputs (e.g. publications, patents, professional recognition/awards).**

**How does the applicant's profile fit in with your current research project(s)?**

**b) What opportunities will the host institution/your Research Group provide for research training (e.g. research methods modules, commercialization, IP, seminars and research networks).**

**What funding is available for the PhD student/Post Doc under your supervision?**

**If no funding is available, please explain why. If this reference letter is for a Post-Doc position can you please respond to the following:**

**Candidate's name:**

**Letter of reference 2012 submitted by:**

- a) why did you choose this particular applicant?
- b) How will this Post-Doc benefit the applicant?
- c) What would the applicant's status be in your Department (e.g., postdoctoral associate (employee), research fellow?)

|  |                   |
|--|-------------------|
| <b>Printed Name:</b>                             | <b>Date:</b>      |
| <b>Institutional Affiliation, if applicable:</b> | <b>Job Title:</b> |

**FORM 990-PF - PART IV**

**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description   |                          |                                |                                    | P<br>or<br>D | Date<br>acquired     | Date sold |
|--|---------------------------------------|---|--------------------------|--------------------------------|------------------------------------|--------------|----------------------|-----------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis                           | FMV<br>as of<br>12/31/69 | Adj basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss) |           |
| 15278933.                                    |                                       | PUBLICLY TRADED SECURITIES<br>14861797.             |                          |                                |                                    |              | 417,136.             |           |
|  |                                       | PRIVATE EQUITY PARTNERS XI OFFSHORE, L.P<br>11,050. |                          |                                |                                    |              | -11,050.             |           |
| 70,463.                                      |                                       | DYNAMIC EQUITY MANAGERS: PORTFOLIO 2 (SE            |                          |                                |                                    |              | 70,463.              |           |
| 47,125.                                      |                                       | NON-US EQUITY MANAGERS: PORTFOLIO 4 LLC             |                          |                                |                                    |              | 47,125.              |           |
| TOTAL GAIN (LOSS) .....                      |                                       |   |                          |                                |                                    |              | <u>523,674.</u>      |           |

ATTACHMENT 1

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

| <u>DESCRIPTION</u>         | <u>REVENUE<br/>AND<br/>EXPENSES<br/>PER BOOKS</u> | <u>NET<br/>INVESTMENT<br/>INCOME</u> |
|----------------------------|---|--------------------------------------|
| INVESTMENT MANAGEMENT FEES | 95,741.   | 131,205.                             |
| TOTALS                     | <u>95,741.</u>                                    | <u>131,205.</u>                      |

SCHLUMBERGER FOUNDATION, INC.

ATTACHMENT 2

FORM 990PF, PART II - CORPORATE STOCK

| <u>DESCRIPTION</u> | <u>BEGINNING<br/>BOOK VALUE</u> | <u>ENDING<br/>BOOK VALUE</u> | <u>ENDING<br/>FMV</u> |
|--------------------|---------------------------------|------------------------------|-----------------------|
| CORPORATE STOCK    | 331.                            | 375.                         | 375.                  |
| TOTALS             | <u>331.</u>                     | <u>375.</u>                  | <u>375.</u>           |

ATTACHMENT 3

FORM 990PF, PART II - OTHER INVESTMENTS

| <u>DESCRIPTION</u>             | <u>BEGINNING<br/>BOOK VALUE</u> | <u>ENDING<br/>BOOK VALUE</u> | <u>ENDING<br/>FMV</u> |
|--------------------------------|---------------------------------|------------------------------|-----------------------|
| MORTGAGE BACKED SECURITIES     | 15.                             | 14.                          | 14.                   |
| COLLATERALIZED MORTGAGE OBLIGA | 37.                             | 18.                          | 18.                   |
| REGISTERED INVESTMENT COMPANIE | 14,495,539.                     | 16,757,112.                  | 16,757,112.           |
| LIMITED PARTNERSHIPS           | 1,962,912.                      | 2,382,350.                   | 2,382,350.            |
| DEPOSITS & MONEY MARKET FUNDS  | 3,293,179.                      | 52,953.                      | 52,953.               |
| TOTALS                         | <u>19,751,682.</u>              | <u>19,192,447.</u>           | <u>19,192,447.</u>    |

ATTACHMENT 4

FORM 990PF, PART II - OTHER ASSETS

| <u>DESCRIPTION</u>          | <u>BEGINNING<br/>BOOK VALUE</u> | <u>ENDING<br/>BOOK VALUE</u> | <u>ENDING<br/>FMV</u> |
|-----------------------------|---------------------------------|------------------------------|-----------------------|
| ACCRUED INTEREST RECEIVABLE | 2.                              |                              |                       |
| TOTALS                      | <u>2.</u>                       |                              |                       |

FORM 990PF, PART II - OTHER LIABILITIES

| <u>DESCRIPTION</u>                   | <u>BEGINNING<br/>BOOK VALUE</u> | <u>ENDING<br/>BOOK VALUE</u> |
|--------------------------------------|---------------------------------|------------------------------|
| FEDERAL EXCISE TAXES PAYABLE         | 1,113.                          | 10,060.                      |
| DEFERRED FEDERAL EXCISE TAX LIABILIT | 1,336.                          | 7,946.                       |
| TOTALS                               | <u>2,449.</u>                   | <u>18,006.</u>               |

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

| <u>DESCRIPTION</u>             | <u>AMOUNT</u>   |
|--------------------------------|-----------------|
| UNREALIZED GAIN ON INVESTMENTS | 496,665.        |
| TOTAL                          | <u>496,665.</u> |

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 7

| <u>NAME AND ADDRESS</u>   | <u>TITLE AND AVERAGE HOURS PER<br/>WEEK DEVOTED TO POSITION</u> | <u>COMPENSATION</u> | <u>CONTRIBUTIONS<br/>TO EMPLOYEE<br/>BENEFIT PLANS</u> | <u>EXPENSE ACCT<br/>AND OTHER<br/>ALLOWANCES</u> |
|---|---|---------------------|--|--|
| JEAN-MARC PERRAUD<br>C/O SCHLUMB 42, RUE SAINT-DOMINIQUE<br>PARIS<br>FRANCE | CHAIRMAN<br>3.00  | 0                   | 0  | 0  |
| SOLA OYINLOLA<br>C/O SCHLUMB 42, RUE SAINT-DOMINIQUE<br>PARIS<br>FRANCE     | PRESIDENT/TREASURER/MANAGER<br>3.00                             | 0                   | 0  | 0  |
| JEAN CHEVALLIER<br>C/O SCHLUMB 42, RUE SAINT-DOMINIQUE<br>PARIS<br>FRANCE   | VICE PRESIDENT<br>2.00  | 0                   | 0  | 0  |
| HATEM SOLIMAN<br>C/O SCHLUMB 42, RUE SAINT-DOMINIQUE<br>PARIS<br>FRANCE     | BOARD MEMBER<br>2.00  | 0                   | 0  | 0  |
| RANAA RIYAMY<br>C/O SCHLUMB 42, RUE SAINT-DOMINIQUE<br>PARIS<br>FRANCE      | BOARD MEMBER<br>2.00  | 0                   | 0  | 0  |

SCHLUMBERGER FOUNDATION, INC.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 7 (CONT'D)

| <u>NAME AND ADDRESS</u>  | <u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u> | <u>COMPENSATION</u> | <u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u> | <u>EXPENSE ACCT AND OTHER ALLOWANCES</u> |
|--|---|---------------------|--|--|
| STEPHANIE COX<br>C/O SCHLUMB 42, RUE SAINT-DOMINIQUE<br>PARIS<br>FRANCE  | BOARD MEMBER<br>2.00  | 0                   | 0  | 0  |
| TORRE SANDVOLD<br>C/O SCHLUMB 42, RUE SAINT-DOMINIQUE<br>PARIS<br>FRANCE | BOARD MEMBER<br>2.00  | 0                   | 0  | 0  |
| EILEEN HARDELL<br>C/O SCHLUMB 42, RUE SAINT-DOMINIQUE<br>PARIS<br>FRANCE | SECRETARY<br>2.00   | 0                   | 0  | 0  |
| BRAM VERBURG<br>C/O SCHLUMB 42, RUE SAINT-DOMINIQUE<br>PARIS<br>FRANCE   | CONTROLLER<br>5.00  | 0                   | 0  | 0  |
| <u>GRAND TOTALS</u>  |   | <u>0</u>            | <u>0</u>                                       | <u>0</u>                                 |

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

SCHLUMBERGER FOUNDATION, INC.  
42, RUE SAINT-DOMINIQUE 75007  
PARIS  
PARIS  
FRANCE  
7135054737

990PF, PART XV - FORM AND CONTENTS OF SUBMITTED APPLICATIONS

A STANDARD ONLINE APPLICATION FORM IS USED. APPLICATIONS ARE COMPLETED AND SUBMITTED ELECTRONICALLY USING THE ONLINE SYSTEM. THE FOUNDATION DOES NOT ACCEPT UNSOLICITED GRANT REQUESTS.

990PF, PART XV - SUBMISSION DEADLINES

APPLICATIONS FOR THE 2012 CALENDAR YEAR MUST BE SUBMITTED BY NOVEMBER 30, 2011.

990PF, PART XV - RESTRICTIONS OR LIMITATIONS ON AWARDS

RECOGNIZING THE KEY ROLE OF EDUCATION IN REALIZING PEOPLE'S POTENTIAL, AS WELL AS THE STRONG LINK BETWEEN SCIENCE, TECHNOLOGY AND SOCIO-ECONOMIC DEVELOPMENT, THE SCHLUMBERGER FOUNDATION FOCUSES ON: STRENGTHENING UNIVERSITY FACULTIES AND MITIGATING OBSTACLES FACED BY WOMEN SCIENTISTS THROUGH THE FACULTY FOR THE FUTURE PROGRAM SUPPORTING EDUCATION THROUGH LOCAL INITIATIVES LED BY SCHLUMBERGER EMPLOYEES.

FORM 990FE, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 12

| RECIPIENT NAME AND ADDRESS  | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT  |
|---|--|----------------------------------|---------|
| MATILDA ACHEAMPONG<br>2244 VINE STREET, APT 308<br>LINCOLN, NE 68503  | NONE   | RESEARCH                         | 42,000. |
| VICTORIA ADETUNJI<br>DEPARTMENT OF VETERINARY PUBLIC HEA 200284<br>UNIVERSITY OF IBADAN<br>OYO STATE<br>NIGERIA | NONE   | RESEARCH                         | 36,000. |
| OYINKANSOLA AJAYI<br>2501 LAKE AUSTIN BLVD APT B203<br>AUSTIN, TX 78703   | PHD RESEARCH WORK WITH SCHLUMBERGER DOLL RESEARCH                          | RESEARCH                         | 42,410. |
| EYIWUNMI AKINSANMI<br>4133 FRANK STREET<br>PITTSBURGH, PA 15217   | NONE   | RESEARCH                         | 50,000. |
| SHARANYA ARCOT DESAI<br>470, 16TH STREET NW APARTMENT#1032<br>ATLANTA, GA 30363                                 | NONE   | RESEARCH                         | 50,000. |
| DOORCE BATUBARA<br>3650 NICHOLSON DR. APT 2171<br>BATON ROUGE, LA 70802   | NONE   | RESEARCH                         | 49,265. |

FORM 990EF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 12 (CONT'D)

| RECIPIENT NAME AND ADDRESS   | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT  |
|--|--|----------------------------------|---------|
| OMOLOLA BETIKU<br>DEPARTMENT OF ANIMAL AND RANGE SCIENCES<br>MONTANA STATE UNIVERSITY<br>BOZEMAN, MT 59715 | NONE   | RESEARCH                         | 45,000. |
| MARIA LORENA CARLO UNDA<br>154 HAVEN AVE. APARTMENT 906<br>NEW YORK, NY 10032                              | NONE   | RESEARCH                         | 45,400. |
| OZGE CEYHAN<br>284 HARVARD ST. APT. 56<br>CAMBRIDGE, MA 02139  | NONE   | RESEARCH                         | 40,000. |
| REEMA DEB RAY CHOUDHURI<br>1001 ROCKVILLE PIKE, APT 617<br>ROCKVILLE, MD 20852                             | NONE   | RESEARCH                         | 40,000. |
| CLARICE DEMARCHI AIELLO<br>198 ALLSTON ST#3<br>CAMBRIDGE, MA 02139   | NONE   | RESEARCH                         | 50,000. |
| RUMPA DEY<br>1011 E LEMON STREET, APT#123<br>TEMPE, AZ 85281   | NONE   | RESEARCH                         | 50,000. |

FORM 990-PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 12 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT

| RECIPIENT NAME AND ADDRESS   | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT  |
|--|--|----------------------------------|---------|
| FANGYUAN DING<br>ELOWITZ LAB CALIFORNIA INSTITUTE OF TECHNOLOGY<br>1200 E. CALIFORNIA BLVD., MC 114-96<br>PASADENA, CA 91125 | NONE   | RESEARCH                         | 40,000. |
| LAURA DOMINGUEZ DUENAS<br>FRESNOS NO 52 F 302 COLONIA 04640<br>EL RELOJ<br>COYOACAN<br>MEXICO                                | NONE   | RESEARCH                         | 40,000. |
| FATMA NAZLI DONMEZER<br>955 JUNIPER ST. APT 4327<br>ATLANTA, GA 30309  | NONE   | RESEARCH                         | 6,000.  |
| CHINWE EKENNA<br>2301 EASTMARK DRIVE APT 240<br>COLLEGE STATION, TX 77840  | NONE   | RESEARCH                         | 47,160. |
| STEFANIE FALCONI<br>3400 N. CHARLES STREET AMES 313<br>BALTIMORE, MD 21218   | NONE   | RESEARCH                         | 42,000. |
| FENGHONG FAN<br>ROOM 2400-3, PATRICK F. TAYLOR HALL (FORMLY CEB4)<br>LOUISIANA STATE UNIVERSITY<br>BATON ROUGE, LA 70803     | NONE   | RESEARCH                         | 48,600. |

FORM 990FE, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 12 (CONT'D)

| RECIPIENT NAME AND ADDRESS  | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR<br>AND<br>FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT  |
|---|--|----------------------------------|---------|
| NATALIA GONZALEZ PECH<br>7600 KIRBY DRIVE APT 108<br>HOUSTON, TX 77030  | NONE   | RESEARCH                         | 49,905. |
| DEEPTI GOPALAKRISHNAN<br>DEEPTI GOPALAKRISHNAN BAKER LABORATORY 359<br>CORNELL UNIVERSITY<br>ITHACA, NY 14853 | NONE   | RESEARCH                         | 50,000. |
| SI GOU<br>401 STASNEY STREET, APT 304<br>COLLEGE STATION, TX 77840  | NONE   | RESEARCH                         | 45,600. |
| ANA HUAMAN<br>1033 TUMLIN ST NW APT CT<br>B/H KIRK LONDON LEARNING CENTER<br>ATLANTA, GA 30318                | NONE   | RESEARCH                         | 50,000. |
| ZEGBEH JALLAH<br>691 WASHINGTON RD. APT. 304<br>PITTSBURGH, PA 15228  | NONE   | RESEARCH                         | 8,000.  |
| GAURI JOSHI<br>APT 17D-3 TANG HALL 550 MEMORIAL DRIVE<br>CAMBRIDGE, MA 02139                                  | NONE   | RESEARCH                         | 50,000. |

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 12 (CONT'D)

| RECIPIENT NAME AND ADDRESS  | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR<br>AND<br>FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT  |
|---|--|----------------------------------|---------|
| NISHU KARNA<br>9505 HARROWHILL LN<br>BURKE, VA 22015  | NONE   | RESEARCH                         | 47,000. |
| MOUREEN KEMEI<br>6530 EL COLEGIO ROAD, #3128<br>SANTA BARBARA, CA 93106   | NONE   | RESEARCH                         | 50,000. |
| RANJANI KULWARDHANA<br>DEPARTMENT OF ECOSYSTEM SCIENCE AND MANAGEMENT<br>ROOM 305, 2138 TAMU<br>COLLEGE STATION, TX 77840 | NONE   | RESEARCH                         | 50,000. |
| SHAILAJA KUNDA<br>1522 ROSEMARY LANE APT C<br>SOUTH BEND, IN 46637  | NONE   | RESEARCH                         | 50,000. |
| GAYATRI MARLIYANI<br>1215 E VISTA DEL CERRO DIRVE, APT 2121<br>TEMPE, AZ 85281  | NONE   | RESEARCH                         | 43,400. |
| REHEMA MONKO<br>5151 HIGHLAND ROAD, APT 272<br>BATON ROUGE, LA 70808  | NONE   | RESEARCH                         | 50,000. |

SCHLUMBERGER FOUNDATION, INC.

FORM 990FE, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 12 (CONT'D)

| RECIPIENT NAME AND ADDRESS   | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR<br>AND<br>FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT   |
|--|--|----------------------------------|----------|
| TARYN MORRIS<br>PRIVATE BAG X3 RONDEBOSCH 7701 7701<br>SOUTH AFRICA  | NONE   | RESEARCH                         | 22,000.  |
| SREEJA NAG<br>550 MEMORIAL DRIVE, APT 15-D2<br>CAMBRIDGE, MA 02139   | DISTANT FAMILY WORKING FOR SCHLUMBERGER  | RESEARCH                         | 19,402.  |
| JUDITH ODHIMBO<br>UNIVERSITY OF WYOMING, 1000E, UNIVERSITY AVE<br>UW DPT. 3354, AG. BLDG. ROOM 50<br>LARAMIE, WY 82071 | NONE   | RESEARCH                         | 49,550.  |
| OLIHE OKORO<br>2901 SW 13TH STREET, APT 204<br>GAINESVILLE, FL 32608   | NONE   | RESEARCH                         | 50,000.  |
| FOLASADE OLAJUYIGBE<br>AKURE P.M.B. 704 AKURE 340001 340001<br>ONDO STATE<br>NIGERIA                                   | NONE   | RESEARCH                         | -22,800. |
| ANICIA PETERS<br>4301 LINCOLN SWING ST UNIT 20<br>AMES, IA 50014   | NONE   | RESEARCH                         | 46,760.  |

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 12 (CONT'D)

| RECIPIENT NAME AND ADDRESS   | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR<br>AND<br>FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT  |
|--|--|----------------------------------|---------|
| NIRAT RAY<br>9 SPRUCE LANE APT 4<br>NATICK, MA 01760   | NONE   | RESEARCH                         | 49,306. |
| ONJA RAZAFINDRATSINA<br>ECOLOGY AND EVOLUTIONARY BIOLOGY<br>MS 170 RICE UNIVERSITY 6100 MAIN STREET<br>HOUSTON, TX 77005 | NONE   | RESEARCH                         | 48,358. |
| MARIA RIAZ<br>3840 JACKSON STREET, ES KING VILLAGE APT#K-21<br>RALEIGH, NC 27607   | NONE   | RESEARCH                         | 18,300. |
| ARANGASSERY ROSEMARY BASTIAN<br>3717 CHESTNUT STREET, APT A5<br>PHILADELPHIA, PA 19104                                   | NONE   | RESEARCH                         | 43,500. |
| MARIA SEGOVIA-SALCEDO<br>390 MAGUIRE VILLAGE APT 3<br>GAINESVILLE, FL 32603  | NONE   | RESEARCH                         | 31,000. |
| SMRUTHI SURYAPRAKASH<br>7101 MCQUEEN DRIVE<br>DURHAM, NC 27705   | NONE   | RESEARCH                         | 50,000. |

FORM 990FP, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 12 (CONT'D)

| RECIPIENT NAME AND ADDRESS   | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR<br>AND<br>FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT            |
|--|--|----------------------------------|-------------------|
| IGNACIE TUMUSHIME<br>200 CHARLES HALTOM AVE<br>COLLEGE STATION, TX 77840   | NONE   | RESEARCH                         | 44,000.           |
| LINA MARIA VALENCIA<br>5302A DUVAL STREET<br>AUSTIN, TX 78751  | NONE   | RESEARCH                         | 39,000.           |
| SHUANG WANG<br>4601 BAYARD STREET, APT 301<br>PITTSBURGH, PA 15213   | NONE   | RESEARCH                         | 46,000.           |
| OLUFUNMILAYO (EUNICE) AJAYI<br>DEPARTMENT OF ENTOMOLOGY AND PLANT PATHOLOGY 301<br>FUNCHESS HALL COLLEGE OF AGRICULTURE, AUBURN UNIVER<br>AUBURN, AL 36849 | NONE   | RESEARCH                         | 36,000.           |
| TOTAL CONTRIBUTIONS PAID   |  |                                  | <u>1,878,116.</u> |

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENT

ATTACHMENT 13

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR  
AND  
FOUNDATION STATUS OF RECIPIENT

| RECIPIENT NAME AND ADDRESS   | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT  |
|--|----------------------------------|---------|
| VICTORIA ADEJUNJI<br>DEPARTMENT OF VETERINARY PUBLIC HEA 200284<br>UNIVERSITY OF IBADAN<br>OYO STATE<br>NIGERIA  | RESEARCH                         | 4,000.  |
| OLUFUNMILAYO (EUNICE) AJAYI<br>DEPARTMENT OF ENTOMOLOGY AND PLANT PATHOLOGY 301<br>FUNCHESS HALL COLLEGE OF AGRICULTURE, AUBURN UNIVER<br>AUBURN, AL 36849 | RESEARCH                         | 4,000.  |
| ISHRAT BANO<br>PEMBROKE STREET CB2 3QZ CB2 3QZ<br>CAMBRIDGE<br>UNITED KINGDOM  | RESEARCH                         | 40,000. |
| CHINWE EKENNA<br>2301 EASTMARK DRIVE APT 240<br>COLLEGE STATION, TX 77840  | RESEARCH                         | -3,960. |
| FENGHONG FAN<br>ROOM 2400-3 PATRICK F. TAYLOR HALL (FORMLY CEBA)<br>LOUISIANA STATE UNIVERSITY<br>BATON ROUGE, LA 70803                                    | RESEARCH                         | 1,400.  |
| NISHU KARNA<br>9505 HARROWHILL LN<br>BURKE, VA 22015   | RESEARCH                         | 1,000.  |

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENT

ATTACHMENT 13 (CONT'D)

| RECIPIENT NAME AND ADDRESS   | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT          |
|--|--|----------------------------------|-----------------|
| RANJANI KULAWARDHANA<br>DEPARTMENT OF ECOSYSTEM SCIENCE AND MANAGEMENT<br>ROOM 305, 2138 TAMU<br>COLLEGE STATION, TX 77840 | NONE   | RESEARCH                         | -5,000.         |
| SIDROTUN NAIM<br>1 PEBODY TERRACE APT #21<br>CAMBRIDGE, MA 02318   | NONE   | RESEARCH                         | 40,000.         |
| JAMIAT NANTEZA<br>1934 VERANO PLACE<br>IRVINE, CA 92617  | NONE   | RESEARCH                         | 18,800.         |
| JUDITH ODHAMBO<br>UNIVERSITY OF WYOMING, 1000E, UNIVERSITY AVE<br>UW DPT.3354, AG. BLDG. ROOM 50<br>LARAMIE, WY 82071      | NONE   | RESEARCH                         | 450.            |
| NANDHINI PONNUSWAMY<br>ST. JOHN'S COLLEGE CB2 1TP<br>CAMBRIDGE<br>UNITED KINGDOM   | NONE   | RESEARCH                         | 40,000.         |
| TOTAL CONTRIBUTIONS APPROVED   |  |                                  | <u>140,690.</u> |

**SCHEDULE D  
(Form 1041)**

Department of the Treasury  
Internal Revenue Service

# Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T.

▶ Information about Schedule D (Form 1041) and its separate instructions is at [www.irs.gov/form1041](http://www.irs.gov/form1041).

OMB No 1545-0092

**2012**

|  |   |
|--|---|
| Name of estate or trust<br><b>SCHLUMBERGER FOUNDATION, INC.</b><br>C/O BDO USA LLP | Employer identification number<br><b>23-7033142</b> |
|--|---|

Note: Form 5227 filers need to complete only Parts I and II

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

| (a) Description of property<br>(Example 100 shares 7% preferred of "Z" Co) | (b) Date acquired<br>(mo, day, yr) | (c) Date sold<br>(mo, day, yr) | (d) Sales price | (e) Cost or other basis<br>(see instructions) | (f) Gain or (loss) for the entire year<br>Subtract (e) from (d) |
|--|------------------------------------|--------------------------------|-----------------|---|---|
| <b>1a</b>  |                                    |                                |                 |   |   |
|  |                                    |                                |                 |   |   |
|  |                                    |                                |                 |   |   |
|  |                                    |                                |                 |   |   |
|  |                                    |                                |                 |   |   |
|  |                                    |                                |                 |   |   |

|  |           |          |
|--|-----------|----------|
| b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b . . . . .  | <b>1b</b> | 523,674. |
| 2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824 . . . . .  | <b>2</b>  |          |
| 3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts . . . . .                                       | <b>3</b>  |          |
| 4 Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2011 Capital Loss Carryover Worksheet . . . . .               | <b>4</b>  | ( )      |
| 5 <b>Net short-term gain or (loss).</b> Combine lines 1a through 4 in column (f) Enter here and on line 13, column (3) on the back . . . . . ▶ | <b>5</b>  | 523,674. |

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property<br>(Example 100 shares 7% preferred of "Z" Co) | (b) Date acquired<br>(mo, day, yr) | (c) Date sold<br>(mo, day, yr) | (d) Sales price | (e) Cost or other basis<br>(see instructions) | (f) Gain or (loss) for the entire year<br>Subtract (e) from (d) |
|--|------------------------------------|--------------------------------|-----------------|---|---|
| <b>6a</b>  |                                    |                                |                 |   |   |
|  |                                    |                                |                 |   |   |
|  |                                    |                                |                 |   |   |
|  |                                    |                                |                 |   |   |
|  |                                    |                                |                 |   |   |
|  |                                    |                                |                 |   |   |

|  |           |     |
|--|-----------|-----|
| b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b . . . . .   | <b>6b</b> |     |
| 7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824 . . . . .   | <b>7</b>  |     |
| 8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts . . . . .  | <b>8</b>  |     |
| 9 Capital gain distributions . . . . .   | <b>9</b>  |     |
| 10 Gain from Form 4797, Part I . . . . .   | <b>10</b> |     |
| 11 Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2011 Capital Loss Carryover Worksheet . . . . .               | <b>11</b> | ( ) |
| 12 <b>Net long-term gain or (loss).</b> Combine lines 6a through 11 in column (f) Enter here and on line 14a, column (3) on the back . . . . . ▶ | <b>12</b> |     |

For Paperwork Reduction Act Notice, see the Instructions for Form 1041. Schedule D (Form 1041) 2012

| <b>Part III Summary of Parts I and II</b><br>Caution: Read the instructions before completing this part. |  | (1) Beneficiaries' (see instr.) | (2) Estate's or trust's | (3) Total |
|--|--|---------------------------------|-------------------------|-----------|
| 13   | Net short-term gain or (loss)                              | 13                              |                         | 523,674.  |
| 14   | Net long-term gain or (loss):                              |                                 |                         |           |
| a  | Total for year   | 14a                             |                         |           |
| b  | Unrecaptured section 1250 gain (see line 18 of the wrksht) | 14b                             |                         |           |
| c  | 28% rate gain  | 14c                             |                         |           |
| 15   | Total net gain or (loss). Combine lines 13 and 14          | 15                              |                         | 523,674.  |

Note: If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and do not complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the Capital Loss Carryover Worksheet, as necessary.

| <b>Part IV Capital Loss Limitation</b> |   |    |     |
|--|---|----|-----|
| 16                                     | Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of:<br>a The loss on line 15, column (3) or b \$3,000 | 16 | ( ) |

Note: If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the Capital Loss Carryover Worksheet in the instructions to figure your capital loss carryover.

**Part V Tax Computation Using Maximum Capital Gains Rates**

**Form 1041 filers.** Complete this part only if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero

**Caution:** Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if:  
 • Either line 14b, col (2) or line 14c, col (2) is more than zero, or  
 • Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero

**Form 990-T trusts.** Complete this part only if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 14b, col (2) or line 14c, col (2) is more than zero

|    |   |    |  |
|----|---|----|--|
| 17 | Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)   | 17 |  |
| 18 | Enter the smaller of line 14a or 15 in column (2) but not less than zero  | 18 |  |
| 19 | Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)  | 19 |  |
| 20 | Add lines 18 and 19   | 20 |  |
| 21 | If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0-   | 21 |  |
| 22 | Subtract line 21 from line 20. If zero or less, enter -0-   | 22 |  |
| 23 | Subtract line 22 from line 17. If zero or less, enter -0-   | 23 |  |
| 24 | Enter the smaller of the amount on line 17 or \$2,400   | 24 |  |
| 25 | Is the amount on line 23 equal to or more than the amount on line 24?<br><input type="checkbox"/> Yes. Skip lines 25 and 26, go to line 27 and check the "No" box.<br><input type="checkbox"/> No. Enter the amount from line 23. | 25 |  |
| 26 | Subtract line 25 from line 24   | 26 |  |
| 27 | Are the amounts on lines 22 and 26 the same?<br><input type="checkbox"/> Yes. Skip lines 27 thru 30, go to line 31. <input type="checkbox"/> No. Enter the smaller of line 17 or line 22  | 27 |  |
| 28 | Enter the amount from line 26 (If line 26 is blank, enter -0-)  | 28 |  |
| 29 | Subtract line 28 from line 27   | 29 |  |
| 30 | Multiply line 29 by 15% ( 15)   | 30 |  |
| 31 | Figure the tax on the amount on line 23. Use the 2012 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)  | 31 |  |
| 32 | Add lines 30 and 31   | 32 |  |
| 33 | Figure the tax on the amount on line 17. Use the 2012 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)  | 33 |  |
| 34 | Tax on all taxable income. Enter the smaller of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)  | 34 |  |