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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation**

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052

2012

Open to public inspection

For calendar year 2012 or tax year beginning

, and ending

Name of foundation ROGER AND SUSAN STONE FAMILY FOUNDATION C/O ROGER STONE		A Employer identification number 23-7026711
Number and street (or P O box number if mail is not delivered to street address) 1101 SKOKIE BLVD STE 300	Room/suite	B Telephone number 847-239-8831
City or town, state, and ZIP code NORTHBROOK, IL 60062		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 40,086,925.	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue				
1 Contributions, gifts, grants, etc., received			N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments	60.	60.		STATEMENT 1
4 Dividends and interest from securities	3,215,649.	3,215,649.		STATEMENT 2
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	23,263.			
b Gross sales price for all assets on line 6a	23,263.			
7 Capital gain net income (from Part IV, line 2)		23,263.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss)				
11 Other income				
12 Total. Add lines 1 through 11	3,238,972.	3,238,972.		
Operating and Administrative Expenses				
13 Compensation of officers, directors, trustees, etc	0.	0.		0.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees STMT 3	333.	33.		300.
b Accounting fees STMT 4	8,900.	4,450.		4,450.
c Other professional fees				
17 Interest				
18 Taxes STMT 5	1,500.	0.		0.
19 Depreciation and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses STMT 6	49.	0.		49.
24 Total operating and administrative expenses. Add lines 13 through 23	10,782.	4,483.		4,799.
25 Contributions, gifts, grants paid	1,229,884.			1,229,884.
26 Total expenses and disbursements. Add lines 24 and 25	1,240,666.	4,483.		1,234,683.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	1,998,306.			
b Net investment income (if negative, enter -0-)		3,234,489.		
c Adjusted net income (if negative, enter -0-)			N/A	

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LHA For Paperwork Reduction Act Notice, see instructions.

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	104,101.	238,443.	238,443.
	2 Savings and temporary cash investments	162,389.	1,989,844.	1,989,844.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 7	10,462,870.	10,499,379.	37,858,638.
	c Investments - corporate bonds			
11 Investments - land, buildings, and equipment basis ▶				
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment basis ▶				
Less accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers)	10,729,360.	12,727,666.	40,086,925.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
22 Other liabilities (describe ▶)				
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	10,729,360.	12,727,666.	
30 Total net assets or fund balances	10,729,360.	12,727,666.		
31 Total liabilities and net assets/fund balances	10,729,360.	12,727,666.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	10,729,360.
2 Enter amount from Part I, line 27a	1,998,306.
3 Other increases not included in line 2 (itemize) ▶	0.
4 Add lines 1, 2, and 3	12,727,666.
5 Decreases not included in line 2 (itemize) ▶	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	12,727,666.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a CAPITAL GAINS DIVIDENDS			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 23,263.			23,263.
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			23,263.
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	23,263.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	1,030,207.	28,885,579.	.035665
2010	842,110.	22,307,083.	.037751
2009	655,378.	11,585,985.	.056566
2008	475,991.	14,240,819.	.033424
2007	204,100.	6,730,216.	.030326

2 Total of line 1, column (d)	2	.193732
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.038746
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	32,730,368.
5 Multiply line 4 by line 3	5	1,268,171.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	32,345.
7 Add lines 5 and 6	7	1,300,516.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	1,234,683.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	64,690.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	64,690.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	64,690.
6 Credits/Payments:			
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a 1,956.		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c 70,000.		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d		7	71,956.
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	7,266.
11 Enter the amount of line 10 to be: Credited to 2013 estimated tax <input type="checkbox"/> 7,266. Refunded <input type="checkbox"/>		11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV.</i>	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> IL		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

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Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	13	X	
14 The books are in care of ▶ ROGER STONE Telephone no. ▶ 847-239-8831			
Located at ▶ 1101 SKOKIE BLVD STE 300, NORTHBROOK, IL ZIP+4 ▶ 60062			
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A			
16 At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a During the year did the foundation (either directly or indirectly):			
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A ▶ <input type="checkbox"/>	1b		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ _____, _____, _____			
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ _____, _____, _____			
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.) N/A	3b		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here ☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **N/A** ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **N/A**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 8		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 **0**

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Part VIII**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
3 All other program-related investments. See instructions.	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	32,953,451.
b	Average of monthly cash balances	1b	275,349.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	33,228,800.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	33,228,800.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	498,432.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	32,730,368.
6	Minimum investment return. Enter 5% of line 5	6	1,636,518.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,636,518.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	64,690.
b	Income tax for 2012. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	64,690.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,571,828.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	1,571,828.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,571,828.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,234,683.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,234,683.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,234,683.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				1,571,828.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2012:				
a From 2007				
b From 2008				
c From 2009	43,230.			
d From 2010	842,110.			
e From 2011				
f Total of lines 3a through e	885,340.			
4 Qualifying distributions for 2012 from Part XII, line 4: ► \$ 1,234,683.				
a Applied to 2011, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2012 distributable amount				1,234,683.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))	337,145.			337,145.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	548,195.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	548,195.			
10 Analysis of line 9:				
a Excess from 2008				
b Excess from 2009				
c Excess from 2010	548,195.			
d Excess from 2011				
e Excess from 2012				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) **N/A**

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

ROGER STONE

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

- b** The form in which applications should be submitted and information and materials they should include:

- c** Any submission deadlines:

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

ROGER AND SUSAN STONE FAMILY FOUNDATION

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Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
SEE ATTACHED SCHEDULE				1,229,884.
Total			▶ 3a	1,229,884.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Roger and Susan Stone Family Foundation
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Roger and Susan Stone Family Foundation
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Address	Relationship	Status	Purpose	Amount
Academy on Capitalism and Limited Government	None	Public	Charitable	5,000
Accion International	None	Public	Charitable	25,000
Alzheimer's Association	None	Public	Charitable	10,000
American Council on Science and Health	None	Public	Charitable	2,000
American Red Cross	None	Public	Charitable	5,000
American Veterinary Medical Foundation	None	Public	Charitable	2,000
The Antiquarian Society	None	Public	Charitable	500
Armed Forces Relief Trust	None	Public	Charitable	5,000
Army Emergency Relief	None	Public	Charitable	5,000
The Art Institute of Chicago	None	Public	Charitable	5,000
The School of the Art Institute of Chicago	None	Public	Charitable	1,000
Big Shoulders Fund	None	Public	Charitable	10,000
Bowdoin College, Robert N. Thurston Memorial Scholarship Fund	None	Public	Charitable	1,000
Boys & Girls Clubs of America	None	Public	Charitable	5,000
George W. Bush Presidential Center	None	Public	Charitable	2,000
Capital Research Center	None	Public	Charitable	2,500
The Cara Program	None	Public	Charitable	50,000
Career Resource Center	None	Public	Charitable	500
CATO Institute	None	Public	Charitable	5,000
Charity Navigator	None	Public	Charitable	250
Chicago Architecture Foundation	None	Public	Charitable	1,000
Chicago Botanic Garden	None	Public	Charitable	10,000
Chicago International Charter School - Irving Park	None	Public	Charitable	2,000
The Chicago Lighthouse for People who are Blind or Visually Impaired	None	Public	Charitable	10,000
Chicago Orchestra Association	None	Public	Charitable	16,334
Children's Hospital Boston	None	Public	Charitable	25,000
Children's Museum of the East End	None	Public	Charitable	1,500
Coalition on Temporary Shelter	None	Public	Charitable	10,000
Colonial Williamsburg Foundation	None	Public	Charitable	250
Committee for a Constructive Tomorrow	None	Public	Charitable	5,000
Committee for Economic Development	None	Public	Charitable	5,000
Competitive Enterprise Institute	None	Public	Charitable	2,500
The Cradle Foundation	None	Public	Charitable	80
Cristo Rey Network	None	Public	Charitable	150,000
Crohn's & Colitis Foundation of America	None	Public	Charitable	2,500
Deerfield Park Foundation	None	Public	Charitable	1,000
DePaul University	None	Public	Charitable	5,000
Doe Fund, Inc.	None	Public	Charitable	30,000
Drayton Hall	None	Public	Charitable	2,500
Emergency Fund	None	Public	Charitable	1,000
Evans Scholars Foundation	None	Public	Charitable	350
Facing History and Ourselves	None	Public	Charitable	2,500
Family Service of Glencoe	None	Public	Charitable	500
The Federalist Society for Law & Public Policy Studies	None	Public	Charitable	1,000
The Field Museum	None	Public	Charitable	2,500
First Book	None	Public	Charitable	1,000
Folds of Honor Foundation	None	Public	Charitable	2,500
Champaign, IL	None	Public	Charitable	5,000
Boston, MA	None	Public	Charitable	25,000
Chicago, IL	None	Public	Charitable	10,000
New York, NY	None	Public	Charitable	2,000
Chicago, IL	None	Public	Charitable	5,000
Schaumburg, IL	None	Public	Charitable	2,000
Chicago, IL	None	Public	Charitable	500
Washington, D C	None	Public	Charitable	5,000
Alexandria, VA	None	Public	Charitable	5,000
Chicago, IL	None	Public	Charitable	5,000
Chicago, IL	None	Public	Charitable	1,000
Chicago, IL	None	Public	Charitable	10,000
Brunswick, ME	None	Public	Charitable	1,000
Atlanta, GA	None	Public	Charitable	5,000
Dallas, TX	None	Public	Charitable	2,000
Washington, D C	None	Public	Charitable	2,500
Chicago, IL	None	Public	Charitable	50,000
Lake Forest, IL	None	Public	Charitable	500
Washington, D C	None	Public	Charitable	5,000
Glen Rock, NJ	None	Public	Charitable	250
Chicago, IL	None	Public	Charitable	1,000
Chicago, IL	None	Public	Charitable	10,000
Chicago, IL	None	Public	Charitable	2,000
Chicago, IL	None	Public	Charitable	10,000
Chicago, IL	None	Public	Charitable	16,334
Boston, MA	None	Public	Charitable	25,000
Bridgehampton, NY	None	Public	Charitable	1,500
Detroit, MI	None	Public	Charitable	10,000
Williamsburg, VA	None	Public	Charitable	250
Washington, D C	None	Public	Charitable	5,000
Washington, D C	None	Public	Charitable	5,000
Washington, D C	None	Public	Charitable	2,500
Evansville, IL	None	Public	Charitable	80
Chicago, IL	None	Public	Charitable	150,000
New York, NY	None	Public	Charitable	2,500
Deerfield, IL	None	Public	Charitable	1,000
Chicago, IL	None	Public	Charitable	5,000
New York, NY	None	Public	Charitable	30,000
Charleston, SC	None	Public	Charitable	2,500
Chicago, IL	None	Public	Charitable	1,000
Golf, IL	None	Public	Charitable	350
Chicago, IL	None	Public	Charitable	2,500
Glencoe, IL	None	Public	Charitable	500
Washington, D C	None	Public	Charitable	1,000
Chicago, IL	None	Public	Charitable	2,500
Washington, D C	None	Public	Charitable	1,000
Tulsa, OK	None	Public	Charitable	2,500

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Fonkoze USA	Washington, D C	None	Public	Charitable	10,000
Freedom From Hunger	Davis, CA	None	Public	Charitable	10,000
The Garden Conservancy	Cold Spring, NY	None	Public	Charitable	38,270
Glenwood School for Boys and Girls	St Charles, IL	None	Public	Charitable	10,000
The Global Fund for Children	Washington, D C	None	Public	Charitable	3,000
Grameen Foundation	Washington, D C	None	Public	Charitable	10,000
Greater Chicago Food Depository	Chicago, IL	None	Public	Charitable	5,000
The Group for the East End	Bridgehampton, NY	None	Public	Charitable	1,000
Habitat for Humanity of Collier County, Inc	Naples, FL	None	Public	Charitable	1,000
Harlem Children's Zone	New York, NY	None	Public	Charitable	22,500
Harvard Graduate School of Education	Cambridge, MA	None	Public	Charitable	1,000
Harvard Law School Fund	Cambridge, MA	None	Public	Charitable	5,000
The Heartland Institute	Chicago, IL	None	Public	Charitable	10,000
Heifer International Foundation	Little Rock, AR	None	Public	Charitable	60,000
The Heritage Foundation	Washington, D C	None	Public	Charitable	10,000
Historic Charleston Foundation	Charleston, SC	None	Public	Charitable	1,000
Honzon Hospice and Palliative Care	Chicago, IL	None	Public	Charitable	1,000
John Howard Association of Illinois	Chicago, IL	None	Public	Charitable	1,000
100 Club of Chicago	Chicago, IL	None	Public	Charitable	100
The Hunger Project	New York, NY	None	Public	Charitable	200
Illinois Holocaust Museum & Education Center	Skokie, IL	None	Public	Charitable	15,000
The Independent Institute	Washington, D C	None	Public	Charitable	2,500
Inspiration Corporation	Chicago, IL	None	Public	Charitable	2,500
Institute for Justice	Arlington, VA	None	Public	Charitable	5,000
Intercollegiate Studies Institute, Inc	Wilmington, DE	None	Public	Charitable	1,000
International Development Enterprises	Lakewood, CO	None	Public	Charitable	5,000
Jewish Community Center	Chicago, IL	None	Public	Charitable	5,000
Jewish United Fund	Chicago, IL	None	Public	Charitable	10,000
Junior Achievement of Chicago	Washington, D C	None	Public	Charitable	50,000
KIVA	Chicago, IL	None	Public	Charitable	6,000
Lake Forest Academy	San Francisco, CA	None	Public	Charitable	5,000
Lake Forest Country Day School	Lake Forest, IL	None	Public	Charitable	10,000
Legacy Charter School	Lake Forest, IL	None	Public	Charitable	10,000
Leo Catholic High School	Chicago, IL	None	Public	Charitable	5,000
Lincoln Park Zoo	Chicago, IL	None	Public	Charitable	10,000
Malaria No More	Chicago, IL	None	Public	Charitable	1,000
Manhattan Institute for Policy Research	New York, NY	None	Public	Charitable	1,000
Marine Corps - Law Enforcement Foundation	New York, NY	None	Public	Charitable	5,000
Marwen Foundation	New York, NY	None	Public	Charitable	1,000
Ronald McDonald House Charities	Chicago, IL	None	Public	Charitable	1,000
Meals on Wheels Foundation of Northern Illinois	Oak Brook, IL	None	Public	Charitable	20,500
Media Research Center	Chicago, IL	None	Public	Charitable	1,000
Memorial-Sloan Kettering	Alexandria, VA	None	Public	Charitable	15,000
Merit School of Music	New York, NY	None	Public	Charitable	100
Metropolitan Family Services	Chicago, IL	None	Public	Charitable	1,000
The Mission Continues	Chicago, IL	None	Public	Charitable	2,500
Morehouse College	St Louis, MO	None	Public	Charitable	12,500
Mr Holland's Opus Foundation	Atlanta, GA	None	Public	Charitable	5,000
Music Institute of Chicago	Studio City, CA	None	Public	Charitable	1,000
National Legal and Policy Center	Wilmette, IL	None	Public	Charitable	1,000
The Nature Conservancy, Illinois Chapter	Falls Church, VA	None	Public	Charitable	1,000
	Chicago, IL	None	Public	Charitable	1,000

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Navy-Marine Corps Relief Society	Arlington, VA	None	Public	Charitable	5,000
Network for Teaching Entrepreneurship	New York, NY	None	Public	Charitable	10,000
North Shore Country Day School	Winnelka, IL	None	Public	Charitable	500
Northshore University Healthsystem Foundation	Evanston, IL	None	Public	Charitable	5,000
Northwestern Brain Tumor Institute	Evanston, IL	None	Public	Charitable	2,500
Northwestern University/Kellogg School	Evanston, IL	None	Public	Charitable	5,000
Northwestern University/President's Fund	Evanston, IL	None	Public	Charitable	1,000
Northwestern University/ Women's Board Endowed Scholarship Fund	Evanston, IL	None	Public	Charitable	500
Oncology Specialist Research Fund	Chicago, IL	None	Public	Charitable	250
Opportunity International	Oak Brook, IL	None	Public	Charitable	30,000
PADS Crisis Services	Chicago, IL	None	Public	Charitable	1,000
Pathways Foundation	Chicago, IL	None	Public	Charitable	2,500
Paws Chicago	Chicago, IL	None	Public	Charitable	3,500
Peconic Land Trust	Chicago, IL	None	Public	Charitable	2,500
The Philanthropy Roundtable	Southampton, NY	None	Public	Charitable	1,000
Planned Parenthood	Washington, D C	None	Public	Charitable	250
Prison Entrepreneurship	New York, NY	None	Public	Charitable	50,000
Providence St Mel School	Houston, TX	None	Public	Charitable	2,500
Ravina Festival	Chicago, IL	None	Public	Charitable	200
Rehabilitation Institute of Chicago	Highland Park, IL	None	Public	Charitable	5,000
The Resource Foundation	Chicago, IL	None	Public	Charitable	30,000
Rising Tide Capital	New York, NY	None	Public	Charitable	10,000
Rush Neurobehavioral Center	Jersey City, NJ	None	Public	Charitable	5,000
SGA Youth & Family Services	Skokie, IL	None	Public	Charitable	20,000
St Jude Children's Research Hospital	Chicago, IL	None	Public	Charitable	5,000
Salvation Army	Memphis, TN	None	Public	Charitable	2,500
Smile Train	Alexandria, VA	None	Public	Charitable	1,000
Southampton Hospital Foundation	New York, NY	None	Public	Charitable	3,000
State Policy Network	Southampton, NY	None	Public	Charitable	1,000
Technoserve	Arlington, VA	None	Public	Charitable	60,000
U S Holocaust Memorial Museum	Washington, D C	None	Public	Charitable	1,500
United Way of Metropolitan Chicago	Chicago, IL	None	Public	Charitable	50,000
University of Chicago Laboratory Schools	Chicago, IL	None	Public	Charitable	50,000
University of Chicago/Women's Board	Chicago, IL	None	Public	Charitable	1,000
Working in the Schools	Chicago, IL	None	Public	Charitable	1,000
WNUR (Radio Station)	Evanston, IL	None	Public	Charitable	750
Washington Legal Foundation	Washington, D C	None	Public	Charitable	1,000
The Woodrow Wilson International Center for Scholars	Washington, D C	None	Public	Charitable	5,000
Wounded Warrior Project	Jacksonville, FL	None	Public	Charitable	7,500
Writers' Theatre	Glencoe, IL	None	Public	Charitable	1,000
Total Charitable Contributions					1,229,884

All recipients are public charities as described in section 509(a)(1),(2), or (3)

All of the above contributions are for the general charitable, religious, scientific, literary or educational activities of each donee

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

<p>1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?</p> <p>a Transfers from the reporting foundation to a noncharitable exempt organization of:</p> <p>(1) Cash</p> <p>(2) Other assets</p> <p>b Other transactions:</p> <p>(1) Sales of assets to a noncharitable exempt organization</p> <p>(2) Purchases of assets from a noncharitable exempt organization</p> <p>(3) Rental of facilities, equipment, or other assets</p> <p>(4) Reimbursement arrangements</p> <p>(5) Loans or loan guarantees</p> <p>(6) Performance of services or membership or fundraising solicitations</p> <p>c Sharing of facilities, equipment, mailing lists, other assets, or paid employees</p> <p>d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.</p>		Yes	No
	1a(1)		X
	1a(2)		X
	1b(1)		X
	1b(2)		X
	1b(3)		X
	1b(4)		X
	1b(5)		X
	1b(6)		X
	1c		X

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

OFFICER

May the IRS discuss this return with the preparer shown below (see instr. 12)

☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

MORT KESSEL

Preparer's signature

Molt Kessel

Date

8	27	13
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Check ☐ self-employed

PTIN

P00510975

Firm's name ► MCGLADREY LLP

Firm's EIN ► 42-0714325

Firm's address ► 1 S. WACKER DRIVE, STE 800
CHICAGO, IL 60606-3392

Phone no. 312-634-3400

Form **990-PF** (2012)

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
JP MORGAN	34.
JP MORGAN	12.
JP MORGAN	10.
JP MORGAN	4.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	60.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
JP MORGAN	40,452.	23,263.	17,189.
JP MORGAN	3,198,460.	0.	3,198,460.
TOTAL TO FM 990-PF, PART I, LN 4	3,238,912.	23,263.	3,215,649.

FORM 990-PF LEGAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	333.	33.		300.
TO FM 990-PF, PG 1, LN 16A	333.	33.		300.

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX PREPARATION FEES	8,900.	4,450.		4,450.
TO FORM 990-PF, PG 1, LN 16B	8,900.	4,450.		4,450.

FORM 990-PF	TAXES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
TAX PAYMENT	1,500.	0.		0.	
TO FORM 990-PF, PG 1, LN 18	1,500.	0.		0.	



FORM 990-PF	OTHER EXPENSES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
REPORT FEE	10.	0.		10.	
FILING FEE	15.	0.		15.	
MISCELLANEOUS EXPENSES	24.	0.		24.	
TO FORM 990-PF, PG 1, LN 23	49.	0.		49.	

FORM 990-PF	CORPORATE STOCK		STATEMENT	7
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE		
MCDONALDS CORP	421,868.	1,587,780.		
KAPSTONE PAPER AND PACKAGING CORP	9,062,972.	34,913,746.		
JPM INTREPID AMERICA FD-SEL	62,639.	84,559.		
JPM INTREPID GROWTH FD-SEL	302,500.	398,876.		
JPM US EQUITY FD-SEL	411,063.	507,925.		
JPM US LRGE CAP CORE PLUS FD-SEL	238,337.	365,752.		
TOTAL TO FORM 990-PF, PART II, LINE 10B	10,499,379.	37,858,638.		

FORM 990-PF	PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS	STATEMENT	8
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NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
ROGER STONE 1101 SKOKIE BLVD SUITE 300 NORTHBROOK, IL 60062	PRESIDENT/TREASURER/DIRECTOR 3.00	0.	0.	0.
SUSAN STONE 1101 SKOKIE BLVD SUITE 300 NORTHBROOK, IL 60062	SECRETARY/DIRECTOR 2.00	0.	0.	0.
KAREN STONE KAPLAN 1101 SKOKIE BLVD SUITE 300 NORTHBROOK, IL 60062	DIRECTOR 2.00	0.	0.	0.
LAUREN GAIL STONE 1101 SKOKIE BLVD SUITE 300 NORTHBROOK, IL 60062	DIRECTOR 2.00	0.	0.	0.
JENNIFER LYNN STONE 1101 SKOKIE BLVD SUITE 300 NORTHBROOK, IL 60062	DIRECTOR 2.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box  
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I	Automatic 3-Month Extension of Time. Only submit original (no copies needed).
---------------	--

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print File by the due date for filing your return See instructions	Name of exempt organization or other filer, see instructions ROGER AND SUSAN STONE FAMILY FOUNDATION C/O ROGER STONE	Employer identification number (EIN) or 23-7026711
	Number, street, and room or suite no. If a P.O. box, see instructions. 1101 SKOKIE BLVD STE 300	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. NORTHBROOK, IL 60062	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

ROGER STONE

- The books are in the care of ► 1101 SKOKIE BLVD STE 300 - NORTHBROOK, IL 60062
Telephone No ► 847-239-8831 FAX No. ► _____
- If the organization does not have an office or place of business in the United States, check this box _____ ► ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box ► ☐. If it is for part of the group, check this box ► ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until AUGUST 15, 2013, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
- ▶ ☒ calendar year 2012 or
- ▶ ☐ tax year beginning _____, and ending _____.

- 2 If the tax year entered in line 1 is for less than 12 months, check reason. ☐ Initial return ☐ Final return
☐ Change in accounting period

3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	71,956.
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit	3b	\$	1,956.
c	Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions.	3c	\$	70,000.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA For Privacy Act and Paperwork Reduction Act Notice, see instructions.

Form 8868 (Rev. 1-2013)

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II and check this box ☒ **X**

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an **Automatic 3-Month Extension**, complete only Part I (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return See instructions	Name of exempt organization or other filer, see instructions ROGER AND SUSAN STONE FAMILY FOUNDATION C/O ROGER STONE	Employer identification number (EIN) or 23-7026711
	Number, street, and room or suite no. If a P.O. box, see instructions. 1101 SKOKIE BLVD STE 300	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. NORTHBROOK, IL 60062	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

ROGER STONE

- The books are in the care of **1101 SKOKIE BLVD STE 300 - NORTHBROOK, IL 60062**

Telephone No **847-239-8831**

FAX No.

- If the organization does not have an office or place of business in the United States, check this box ☐

- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until **NOVEMBER 15, 2013**

5 For calendar year **2012**, or other tax year beginning , and ending

6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return

☐ Change in accounting period

7 State in detail why you need the extension

ADDITIONAL TIME IS NEEDED TO GATHER THE INFORMATION NECESSARY TO FILE A COMPLETE AND ACCURATE RETURN.

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$ 71,956.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$ 71,956.
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions.	8c	\$ 0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct and complete, and that I am authorized to prepare this form.

Signature **Audrey R. [Signature]** Title **CPA**

Date **8-1-13**

Form 8868 (Rev 1-2013)