Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052
2012
Open to Public Inspection

ENVELOPE POSTMARK DATE MAY 15 2013

For calendar year 2012 or tax year beginning , 2012, and ending , 20

Name of foundation 39-15C037609768 KATHERINE S STIBBE CHARITABLE TRUST CITIBANK, N.A.

Number and street (or P O box number if mail is not delivered to street address) TWO COURT SQUARE, 8TH FLOOR

Room/suite

City or town, state, and ZIP code LONG ISLAND CITY, NY 11120

A Employer identification number 22-6715670

B Telephone number (see instructions) 800- 770-8444

C If exemption application is pending, check here ▶ ☐

D 1 Foreign organizations, check here ▶ ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☒ Address change ☐ Name change

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 13,836,688.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis.)*

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☒ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	2,140,857.	2,422,954.		STMT 1
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	-18,638.			
	b	Gross sales price for all assets on line 6a 1,097,941.				
	7	Capital gain net income (from Part IV, line 2)		NONE		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	709,525.	596,107.		STMT 2
	12	**Total** Add lines 1 through 11	2,831,744.	3,019,061.		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	171,376.	85,688.		85,688.
	14	Other employee salaries and wages		NONE	NONE	
	15	Pension plans, employee benefits		NONE	NONE	
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions) STMT 3	259,358.	187.		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings		NONE	NONE	
	22	Printing and publications		NONE	NONE	
	23	Other expenses (attach schedule)				
	24	**Total operating and administrative expenses.** Add lines 13 through 23	430,734.	85,875.	NONE	85,688.
	25	Contributions, gifts, grants paid	450,000.			450,000.
	26	**Total expenses and disbursements** Add lines 24 and 25	880,734.	85,875.	NONE	535,688.
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	1,951,010.			
	b	**Net investment income** (if negative, enter -0-)		2,933,186.		
	c	**Adjusted net income** (if negative, enter -0-)				

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			Beginning of year	End of year	
Part II	**Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	448,154.	481,869.	481,869.
	3	Accounts receivable ▶			
		Less· allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less· allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less· allowance for doubtful accounts ▶ NONE			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments - U S and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule)			
	c	Investments - corporate bonds (attach schedule)			
	11	Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule) STMT 4	6,479,198.	8,397,585.	13,354,819.
	14	Land, buildings, and equipment basis ▶ Less· accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	6,927,352.	8,879,454.	13,836,688.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)		NONE	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
	27	Capital stock, trust principal, or current funds	6,927,352.	8,429,392.	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds		450,062.	
	30	**Total net assets or fund balances** (see instructions)	6,927,352.	8,879,454.	
	31	**Total liabilities and net assets/fund balances** (see instructions)	6,927,352.	8,879,454.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	6,927,352.
2	Enter amount from Part I, line 27a	2	1,951,010.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 5	3	1,092.
4	Add lines 1, 2, and 3	4	8,879,454.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	8,879,454.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr.)	(d) Date sold (mo , day, yr)
1a	PUBLICLY TRADED SECURITIES			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,097,941.		1,116,402.	-18,461.
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col. (h))
a				-18,461.
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } 2 -18,461.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 3

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	490,345.	10,984,738.	0.044639
2010	431,499.	10,564,036.	0.040846
2009	483,262.	11,376,509.	0.042479
2008	473,778.	10,925,059.	0.043366
2007	407,411.	8,667,086.	0.047007

2	**Total** of line 1, column (d)	2	0.218337
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.043667
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	12,734,307.
5	Multiply line 4 by line 3	5	556,069.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	29,332.
7	Add lines 5 and 6	7	585,401.
8	Enter qualifying distributions from Part XII, line 4	8	535,688.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________________ (attach copy of letter if necessary - see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	58,664.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	
3	Add lines 1 and 2			3	58,664.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	NONE
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	58,664.
6	Credits/Payments:				
a	2012 estimated tax payments and 2011 overpayment credited to 2012	6a	57,867.		
b	Exempt foreign organizations - tax withheld at source	6b	NONE		
c	Tax paid with application for extension of time to file (Form 8868)	6c	NONE		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	57,867.
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** PD. BY EFTPS ▶			9	797.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	
11	Enter the amount of line 10 to be. **Credited to 2013 estimated tax** ▶ Refunded ▶			11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	If the answer is "Yes" to **1a** *or* **1b**, *attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ▶ $ ________ (2) On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	X	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	X	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CT			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
	Website address ▶ N/A			
14	The books are in care of ▶ SEE STATEMENT 6 Telephone no ▶			
	Located at ▶ ZIP+4 ▶			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐			
	and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
			Yes	**No**
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		**Yes**	**No**
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No			
	If "Yes," list the years ▶ , , ,			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	2b		X
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ , , ,			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)*	3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X (No)

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BLAISE FRADELLA TWO COURT SQUARE - 8TH FL, LONG ISLAND CITY, NY 11120	CO-TTEE, AS REQ'	41,076.	-0-	-0-
CONSTANTINE RALLI, ESQ. TWO COURT SQUARE - 8TH FL, LONG ISLAND CITY, NY 11120	CO-TTEE, AS REQ'	41,076.	-0-	-0-
CITIBANK N.A. TWO COURT SQUARE - 8TH FL, LONG ISLAND CITY, NY 11120	TRUSTEE, AS REQ'	89,224.	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over $50,000 ▶ NONE

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		NONE

Total number of others receiving over $50,000 for professional services . . . . ▶ NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions 3 NONE	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities		1a	5,817,424.
b	Average of monthly cash balances		1b	464,712.
c	Fair market value of all other assets (see instructions)		1c	6,646,094.
d	**Total** (add lines 1a, b, and c)		1d	12,928,230.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e		
2	Acquisition indebtedness applicable to line 1 assets		2	NONE
3	Subtract line 2 from line 1d		3	12,928,230.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	193,923.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4		5	12,734,307.
6	**Minimum investment return.** Enter 5% of line 5		6	636,715.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	636,715.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	58,664.		
b	Income tax for 2012. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	58,664.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	578,051.
4	Recoveries of amounts treated as qualifying distributions			4	NONE
5	Add lines 3 and 4			5	578,051.
6	Deduction from distributable amount (see instructions)			6	NONE
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	578,051.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	535,688.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	**Qualifying distributions** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	535,688.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	N/A
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	535,688.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				578,051.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			303,421.	
b Total for prior years 20 10 ,20_____, 20_____		NONE		
3 Excess distributions carryover, if any, to 2012:				
a From 2007 NONE				
b From 2008 NONE				
c From 2009 NONE				
d From 2010 NONE				
e From 2011 NONE				
f **Total** of lines 3a through e	NONE			
4 Qualifying distributions for 2012 from Part XII, line 4: ▶ $ 535,688.				
a Applied to 2011, but not more than line 2a			303,421.	
b Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c Treated as distributions out of corpus (Election required - see instructions)	NONE			
d Applied to 2012 distributable amount				232,267.
e Remaining amount distributed out of corpus	NONE			
5 Excess distributions carryover applied to 2012 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	NONE			NONE
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	NONE			
b Prior years' undistributed income Subtract line 4b from line 2b		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b Taxable amount - see instructions		NONE		
e Undistributed income for 2011. Subtract line 4a from line 2a Taxable amount - see instructions			NONE	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				345,784.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	NONE			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)	NONE			
9 **Excess distributions carryover to 2013.** Subtract lines 7 and 8 from line 6a	NONE			
10 Analysis of line 9:				
a Excess from 2008 NONE				
b Excess from 2009 NONE				
c Excess from 2010 NONE				
d Excess from 2011 NONE				
e Excess from 2012 NONE				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed .					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test - enter **(1)** Value of all assets . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i).					
b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . .					
c "Support" alternative test - enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income .					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
NICHOLAS ROERICH MUSEUM 319 WEST 107TH STREET NEW YORK NY 10025	NONE	OPERATING	UNRESTRICTED GIFT	450,000.
Total . . . . ▶ **3a**				450,000.
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	2,140,857.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			1	1,582.	
8 Gain or (loss) from sales of assets other than inventory			18	-18,638.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b PARTNERSHIP INCOME			01	707,943.	
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				2,831,744.	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 2,831,744.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions)
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee   Date 05/08/2013   Title ▶ TRUSTEE

ALAN GLYNN

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
JEFFREY E. KUHLIN	Jeffrey E Kuhlin	05/08/2013		P00353001

Firm's name ▶ KPMG LLP   Firm's EIN ▶ 13-5565207

Firm's address ▶ 100 WESTMINSTER ST, 6TH FL, SUITE A
PROVIDENCE, RI 02903-2321   Phone no. 800-770-8444

SCHEDULE D (Form 1041)

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T.
▶ Information about Schedule D (Form 1041) and its separate instructions is at *www.irs.gov/form1041*.

OMB No 1545-0092

2012

Name of estate or trust: 39-15C037609768 KATHERINE S STIBBE CHARITABLE

Employer identification number: 22-6715670

Note: *Form 5227 filers need to complete only Parts I and II.*

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

	(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a						

b	Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	8,703.
2	Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3	Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4	Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2011 Capital Loss Carryover Worksheet	4	( )
5	**Net short-term gain or (loss).** Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back ▶	5	8,703.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

	(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a	LONG-TERM CAPITAL GAIN DIVIDENDS					2,052.

b	Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	-30,058.
7	Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8	Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9	Capital gain distributions	9	842.
10	Gain from Form 4797, Part I	10	
11	Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2011 Capital Loss Carryover Worksheet	11	( )
12	**Net long-term gain or (loss).** Combine lines 6a through 11 in column (f) Enter here and on line 14a, column (3) on the back ▶	12	-27,164.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Part III Summary of Parts I and II

Caution: *Read the instructions **before** completing this part.*

			(1) Beneficiaries' (see instr.)	(2) Estate's or trust's	(3) Total
13	**Net short-term gain or (loss)**	13			8,703.
14	**Net long-term gain or (loss):**				
a	Total for year	14a			-27,164.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht.)	14b			842.
c	28% rate gain	14c			
15	**Total net gain or (loss).** Combine lines 13 and 14a ▶	15			-18,461.

Note: *If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and **do not** complete Part IV If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet,** as necessary.*

Part IV Capital Loss Limitation

16 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of
a The loss on line 15, column (3) **or** b $3,000 . . . 16 (3,000)

Note: *If the loss on line 15, column (3), is more than $3,000, **or** if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover.*

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero.

Caution: *Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if:*

- *Either line 14b, col. (2) or line 14c, col. (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.*

Form 990-T trusts. Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 14b, col. (2) or line 14c, col. (2) is more than zero.

17 Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34) . . . 17

18 Enter the **smaller** of line 14a or 15 in column (2) but not less than zero . . . 18

19 Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T) . . 19

20 Add lines 18 and 19 . . . 20

21 If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- . . . ▶ 21

22 Subtract line 21 from line 20. If zero or less, enter -0- . . . 22

23 Subtract line 22 from line 17. If zero or less, enter -0- . . . 23

24 Enter the **smaller** of the amount on line 17 or $2,400 . . . 24

25 Is the amount on line 23 equal to or more than the amount on line 24?

☐ **Yes.** Skip lines 25 and 26; go to line 27 and check the "No" box.

☐ **No.** Enter the amount from line 23 . . . 25

26 Subtract line 25 from line 24 . . . 26

27 Are the amounts on lines 22 and 26 the same?

☐ **Yes.** Skip lines 27 thru 30, go to line 31 ☐ **No.** Enter the smaller of line 17 or line 22 27

28 Enter the amount from line 26 (If line 26 is blank, enter -0-) . . . 28

29 Subtract line 28 from line 27 . . . 29

30 Multiply line 29 by 15% (.15) . . . 30

31 Figure the tax on the amount on line 23. Use the 2012 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) . . . 31

32 Add lines 30 and 31 . . . 32

33 Figure the tax on the amount on line 17. Use the 2012 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) . . . 33

34 **Tax on all taxable income.** Enter the **smaller** of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) . . . 34

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.
▶ Information about Schedule D (Form 1041) and its separate instructions is at *www.irs.gov/form1041*

OMB No 1545-0092

2012

Name of estate or trust
39-15C037609768 KATHERINE S STIBBE CHARITABLE

Employer identification number
22-6715670

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 535. AGCO CORP	02/22/2012	03/29/2012	24,725.00	23,359.00	1,366.00
140. AT&T INC	06/21/2011	01/06/2012	4,159.00	4,376.00	-217.00
730. ADOBE SYS INC	05/18/2012	06/28/2012	22,519.00	21,468.00	1,051.00
820. ANNALY CAPITOL MGMT I	10/07/2011	02/27/2012	13,694.00	13,622.00	72.00
110. ANNALY CAPITOL MGMT I	02/22/2012	02/27/2012	1,837.00	1,823.00	14.00
1160. APARTMENT INVT & MGM	09/02/2011	02/16/2012	28,908.00	30,168.00	-1,260.00
224. APARTMENT INVT & MGMT	09/02/2011	03/26/2012	5,756.00	5,778.00	-22.00
420. APARTMENT INVT & MGMT	05/18/2012	09/27/2012	11,054.00	10,826.00	228.00
190. APARTMENT INVT & MGMT	02/22/2012	10/05/2012	4,836.00	4,684.00	152.00
849. ASSURANT INC	02/22/2012	04/27/2012	34,428.00	31,110.00	3,318.00
279. BANK HAWAII CORP	02/22/2012	02/27/2012	13,026.00	12,826.00	200.00
203. CLIFFS NATURAL RESOUR	02/22/2012	04/19/2012	13,881.00	16,727.00	-2,846.00
1840. COCA-COLA ENTERPR CO	05/18/2012	07/13/2012	50,218.00	48,521.00	1,697.00
1595. DDR CORP	05/18/2012	09/27/2012	24,526.00	21,958.00	2,568.00
702. DR PEPPER SNAPPLE GRO	02/22/2012	05/03/2012	28,252.00	28,151.00	101.00
390. DU PONT E I DE NEMOUR	05/18/2012	12/14/2012	17,281.00	19,081.00	-1,800.00
365. ENDO HEALTH SOLUTIONS	02/22/2012	03/26/2012	14,269.00	13,731.00	538.00
61. EXXON MOBIL CORP	02/22/2012	03/26/2012	5,293.00	5,292.00	1.00
4050. FIFTH THIRD BANCORP	05/18/2012	06/21/2012	52,826.00	52,871.00	-45.00
425. FLUOR CORP NEW	02/22/2012	02/27/2012	26,711.00	22,052.00	4,659.00
1270. FORD MOTOR COMPANY	02/22/2012	05/24/2012	13,255.00	16,685.00	-3,430.00
460. FOREST LABS INC	05/18/2012	09/14/2012	16,101.00	15,109.00	992.00
490. GENERAL DYNAMICS CORP	05/18/2012	06/07/2012	31,179.00	33,807.00	-2,628.00
162. HESS CORP	07/28/2011	02/09/2012	10,245.00	11,836.00	-1,591.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

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SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.
▶ Information about Schedule D (Form 1041) and its separate instructions is at *www.irs.gov/form1041*

OMB No 1545-0092

2012

Name of estate or trust
39-15C037609768 KATHERINE S STIBBE CHARITABLE

Employer identification number
22-6715670

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 730. HEWLETT PACKARD CO	05/18/2012	09/14/2012	13,479.00	17,127.00	-3,648.00
900. INTEL CORP	05/18/2012	08/17/2012	23,669.00	23,712.00	-43.00
231. INTEGRYS ENERGY GROUP	02/22/2012	02/27/2012	12,310.00	11,877.00	433.00
99. INTEGRYS ENERGY GROUP	03/30/2011	02/27/2012	5,276.00	5,020.00	256.00
115. INTERNATIONAL BUSINES	02/22/2012	03/08/2012	22,960.00	21,352.00	1,608.00
80. INTERNATIONAL BUSINESS	06/21/2011	03/26/2012	16,539.00	13,307.00	3,232.00
1319. KEYCORP (NEW) F/K/A	02/22/2012	03/08/2012	10,462.00	10,699.00	-237.00
546. KEYCORP (NEW) F/K/A S	04/15/2011	03/08/2012	4,331.00	4,821.00	-490.00
660. KROGER CO	02/22/2012	04/09/2012	15,488.00	15,960.00	-472.00
505. LILLY ELI & CO. COM	05/18/2012	10/18/2012	27,088.00	20,416.00	6,672.00
55. MACYS INC	01/27/2012	02/16/2012	1,944.00	1,857.00	87.00
465. MACYS INC	05/18/2012	07/27/2012	16,884.00	16,387.00	497.00
813. MARATHON OIL CORPORAT USX-MARATHON GR	02/22/2012	05/24/2012	19,885.00	26,123.00	-6,238.00
60. MARATHON PETE CORP	07/28/2011	02/16/2012	2,654.00	2,557.00	97.00
320. NORFOLK SOUTHERN CORP	06/08/2012	12/06/2012	19,481.00	21,295.00	-1,814.00
.5 PHILLIPS 66	03/27/2012	05/09/2012	15.00	18.00	-3.00 *
329. RYDER SYS INC	02/22/2012	04/19/2012	16,071.00	17,440.00	-1,369.00
1679. SYMANTEC CORP	02/22/2012	05/18/2012	24,762.00	30,101.00	-5,339.00
16. V F CORP	04/01/2011	02/27/2012	2,381.00	1,595.00	786.00
94. V F CORP	02/22/2012	02/27/2012	13,986.00	9,333.00	4,653.00
175. WAL MART STORES INC	05/18/2012	08/17/2012	12,596.00	10,928.00	1,668.00
385. WHOLE FOODS MKT INC	07/28/2011	01/27/2012	28,805.00	23,559.00	5,246.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b 8,703.00

For Paperwork Reduction Act Notice, see the Instructions for Form 1041. **Schedule D-1 (Form 1041) 2012**

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* INDICATES WASH SALE

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side
39-15C037609768 KATHERINE S STIBBE CHARITABLE

Employer identification number
22-6715670

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	**(b)** Date acquired (mo , day, yr)	**(c)** Date sold (mo , day, yr)	**(d)** Sales price	**(e)** Cost or other basis (see instructions)	**(f) Gain or (loss)** Subtract (e) from (d)
6a 575. AT&T INC	02/27/2008	01/06/2012	17,082.00	20,384.00	-3,302.00
71. APARTMENT INVT & MGMT	11/08/2010	03/26/2012	1,824.00	1,806.00	18.00
450. APARTMENT INVT & MGMT	08/26/2011	09/27/2012	11,844.00	11,309.00	535.00
725. APARTMENT INVT & MGMT	08/26/2011	10/05/2012	18,454.00	17,748.00	706.00
351. ASSURANT INC	02/18/2011	04/27/2012	14,234.00	13,901.00	333.00
116. BANK HAWAII CORP	08/24/2010	02/27/2012	5,416.00	5,285.00	131.00
87. CLIFFS NATURAL RESOURC	01/05/2009	04/19/2012	5,949.00	2,683.00	3,266.00
675. COCA-COLA ENTERPR COM	06/21/2011	07/13/2012	18,422.00	19,717.00	-1,295.00
298. DR PEPPER SNAPPLE GRO	03/30/2011	05/03/2012	11,993.00	10,585.00	1,408.00
282. DU PONT E I DE NEMOUR	08/24/2010	12/14/2012	12,495.00	10,196.00	2,299.00
578. DU PONT E I DE NEMOUR	07/28/2011	12/14/2012	25,611.00	29,716.00	-4,105.00
445. ENDO HEALTH SOLUTIONS	08/04/2008	03/26/2012	17,396.00	10,258.00	7,138.00
125. EXXON MOBIL CORP	02/27/2008	01/06/2012	10,681.00	11,177.00	-496.00
159. EXXON MOBIL CORP	02/27/2008	03/26/2012	13,797.00	14,218.00	-421.00
331. FOREST LABS INC	11/08/2010	09/14/2012	11,586.00	10,915.00	671.00
669. FOREST LABS INC	07/28/2011	09/14/2012	23,417.00	25,686.00	-2,269.00
165. GENERAL DYNAMICS CORP	12/02/2008	06/07/2012	10,499.00	8,215.00	2,284.00
78. HESS CORP	04/01/2010	02/09/2012	4,933.00	4,990.00	-57.00
1076. HEWLETT PACKARD CO	07/28/2011	09/14/2012	19,868.00	38,451.00	-18,583.00
514. HEWLETT PACKARD CO	02/27/2008	09/14/2012	9,491.00	25,078.00	-15,587.00
215. J. P . MORGAN CHASE &	03/30/2011	06/28/2012	7,535.00	9,723.00	-2,188.00
590. J. P . MORGAN CHASE &	06/21/2011	06/28/2012	20,676.00	24,149.00	-3,473.00
407. MARATHON OIL CORPORAT USX-MARATHON GR	05/27/2010	05/24/2012	9,955.00	6,756.00	3,199.00
160. MICROSOFT CORP	12/30/2009	01/27/2012	4,669.00	4,848.00	-179.00 *
20. MICROSOFT CORP	11/16/2009	02/16/2012	629.00	573.00	56.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

Schedule D-1 (Form 1041) 2012

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

39-15C037609768 KATHERINE S STIBBE CHARITABLE

Employer identification number

22-6715670

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

	(a) Description of property (Example: 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a	136. RYDER SYS INC	11/08/2010	04/19/2012	6,643.00	6,138.00	505.00
	311. SYMANTEC CORP	11/08/2010	05/18/2012	4,587.00	5,418.00	-831.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . -30,058.00

* INDICATES WASH SALE

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
DIVIDENDS & INTEREST		2,140,857.	2,422,954.
	TOTAL	2,140,857.	2,422,954.

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
PARTNERSHIP INCOME		707,943.	594,525.
RESIDUAL CTF INCOME		1,582.	1,582.
	TOTALS	709,525.	596,107.

FORM 990PF, PART I - TAXES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FOREIGN TAXES			187.
PRIOR YEAR BAL DUE		68,423.	
CURRENT YEAR ESTIMATES		190,935.	
	TOTALS	259,358.	187.

FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION	COST/ FMV C OR F	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
SEE ATTACHED STATEMENTS	C	6,479,198.	8,397,585.	13,354,819.
TOTALS		6,479,198.	8,397,585.	13,354,819.

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION		AMOUNT
DDR CORP ADJ		445.
KIMCO ADJ		241.
REGENCY CENTERS ADJ		190.
MICROSOFT ADJ		47.
WASH SALE ADJUSTMENT		169.
	TOTAL	1,092.

STATEMENT 5

FORM 990PF, PART VII-A, LINE 14 - BOOKS ARE IN THE CARE OF

NAME: CITIBANK, N.A.

ADDRESS: TWO COURT SQUARE, 8TH FL
LONG ISLAND CITY, NY 11120

TELEPHONE NUMBER: (800)770-8444

FEDERAL CAPITAL GAIN DIVIDENDS

LONG-TERM CAPITAL GAIN DIVIDENDS

15% RATE CAPITAL GAIN DIVIDENDS

APARTMENT INVT & MGMT CO CL A	501.00	
CAMDEN PPTY TR SHS BEN INT	215.00	
DDR CORP	80.00	
REGENCY CENTERS CORP REIT	7.00	
VANGUARD BD IDX FD TOTAL BD MKT ETF	1,250.00	
TOTAL 15% RATE CAPITAL GAIN DIVIDENDS		2,052.00
TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS		2,052.00

ACTIVITY DETAILS

Reference Currency: USD

Trade Date	Settlement Date	Transaction Type	Description	Principal	Income	End of Day Balance
US Dollar						
01 DEC 12			Opening Balance - Cash and Short Term Investments	0.00	3.04	3.04
31 DEC 12			Closing Balance - Cash and Short Term Investments	0.00	3.04	3.04

INVESTMENT POSITIONS - INCOME

Reference Currency: USD

CASH AND SHORT TERM INVESTMENTS **100.00%** *SORTED BY LIQUIDITY*

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est. Annual Income
Cash									
USD	0 00	0 000	0 00	0 00	0 00	0 00	0 00	0 00	0 00
Short Term Investments									
CITIBANK M D A (L) CUSIP 9900CST72 Yield 05%	3 04	1 000	3 04	1 00	3 04	0 00	3 04	0 00	0 00
Total Cash and Short Term Investments			**3.04**		**3.04**	**0.00**	**3.04**	**0.00**	**0.00**
TOTAL INVESTMENT POSITIONS - INCOME			**3.04**		**3.04**	**0.00**	**3.04**	**0.00**	**0.00**
TOTAL INVESTMENT POSITIONS - PRINCIPAL AND INCOME			**2,557,614.04**		**6,809,103.04**	**0.00**	**6,809,103.04**	**4,251,489.00**	**421,224.00**

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency· USD

	Total Cost	Market Value	Accrued Interest or Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
TOTAL INVESTMENT POSITIONS - PRINCIPAL	**2,557,611.00**	**6,809,100.00**	**0.00**	**6,809,100.00**	**4,251,489.00**	**421,224.00**

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

CASH AND SHORT TERM INVESTMENTS **0.00%** *SORTED BY LIQUIDITY*

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est Annual Income
Cash									
USD	0 00	0 000	0 00	0 00 —	0 00	0 00	0 00	0 00	0 00
Total Cash and Short Term Investments			**0.00**		**0.00**	**0.00**	**0.00**	**0.00**	**0.00**

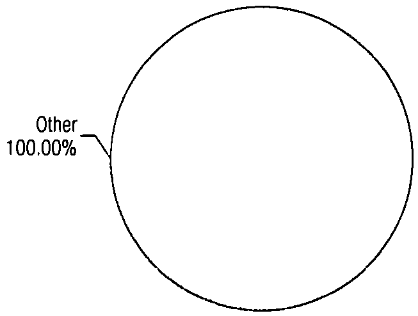
EQUITIES **100.00%** *SORTED ALPHABETICALLY*

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Other - Equities									
CONN CENTRAL CORP CUSIP 99J4C0264 Yield 7 53% Sector Other	1,500	1,097 030	1,645,545 00	885 00 31 Mar 12	1,327,500 00	0.00	1,327,500 00	(318,045 00)	99,990 00
FAIRFIELD PROPERTIES INC CUSIP 99J4C0280 Yield 8 7% Sector Other	20	4,725 000	94,500 00	8,535 00 31 Dec 11	170,700 00	0 00	170,700 00	76,200 00	14,859 00
POST BENSON CORPORATION NON-VOTING CUSIP 99Q3C0235 Yield 5 27% Sector Other	25	7,041 660	176,041 50	41,182 00 31 Dec 11	1,029,550.00	0 00	1,029,550 00	853,508 50	54,292 50
POST BENSON CORPORATION VOTING CUSIP 99J4C0298 Yield 5 06% Sector Other	75	7,041 660	528,124 50	42,898 00 31 Dec 11	3,217,350 00	0 00	3,217,350 00	2,689,225 50	162,877 50
YORKCON PROPERTIES INC CUSIP 99J5C0212 Yield 8 38% Sector Other	20	5,670 000	113,400 00	53,200 00 31 Dec 11	1,064,000 00	0 00	1,064,000 00	950,600 00	89,205 00
Total Equities			**2,557,611.00**		**6,809,100.00**	**0.00**	**6,809,100.00**	**4,251,489.00**	**421,224.00**

EQUITY INVESTMENTS ANALYSIS

Reference Currency USD

SECTOR ALLOCATION



Sector Name	Market Value	% Total
Consumer Discretionary	0 00	0 0
Consumer Staples	0 00	0 0
Energy	0 00	0 0
Financials	0 00	0.0
Foreign Equities	0.00	0 0
Health Care	0.00	0 0
Industrials	0 00	0 0
Information Technology	0.00	0 0
Materials	0.00	0 0
Miscellaneous - Foreign	0 00	0 0
Other	6,809,100 00	100.0
Telecommunication Services	0 00	0 0
Utilities	0 00	0 0
Total	**6,809,100.00**	**100.0**

Values less than 1% not graphed.

TOP TEN HOLDINGS BY VALUE

Description	Sector	Market Value	% Total	Yield
POST BENSON CORP VOTIN	Other	3,217,350 00	47 25	5 06
CONN CENTRAL CORP	Other	1,327,500 00	19 50	7 53
YORKCON PROPERTIES INC	Other	1,064,000 00	15 63	8 38
POST BENSON CORP NON-V	Other	1,029,550.00	15 12	5.27
FAIRFIELD PROPERTIES I	Other	170,700 00	2 51	8 70
Total		**6,809,100.00**	**100.00**	

ACCOUNT SUMMARY

Reference Currency USD

ASSET ALLOCATION - PRINCIPAL AND INCOME

	TOTAL	PRINCIPAL		INCOME	
	Total Value	Market Value	Accrued Interest/Dividend	Market Value	Accrued Interest/Dividend
Cash & Short Term Investments	3.04	0 00	0.00	3 04	0 00
Equities	6,809,100 00	6,809,100.00	0.00	—	—
Fixed Income	—	—	—	—	—
Convertible Bonds	—	—	—	—	—
Multi Asset Class Funds	—	—	—	—	—
Alternative Investments	—	—	—	—	—
Capital Markets	—	—	—	—	—
Others	—	—	—	—	—
Total	**6,809,103.04**	**6,809,100.00**	**0.00**	**3.04**	**0.00**

Total Value = Market Value + Accrued Interest + Accrued Dividend

CASH ACTIVITY - PRINCIPAL AND INCOME

	TOTAL ACTIVITY		PRINCIPAL ACTIVITY		INCOME ACTIVITY	
	This Period	Year to Date	This Period	Year to Date	This Period	Year to Date
Opening Cash Balance	**3.04**	**3.04**	**0.00**	**0.00**	**3.04**	**3.04**
Cash Deposits	—	—	—	—	—	—
Cash Withdrawals	—	—	—	—	—	—
Assets Purchased	—	—	—	—	—	—
Assets Sold	—	—	—	—	—	—
Income Received	—	—	—	—	—	—
Taxes / Fees / Miscellaneous	—	—	—	—	—	—
Closing Cash Balance	**3.04**	**3.04**	**0.00**	**0.00**	**3.04**	**3.04**

ACCOUNT SUMMARY

Reference Currency· USD

ASSET ALLOCATION & UNREALIZED GAIN/(LOSS)

Asset Class	Total Value Last Period	Change	Total Value This Period	Percent of Total Account	Cost	Unrealized Gain/(Loss)	Estimated Annual Income	Accrued Interest/Dividend
Cash & Short Term Investments	3.04	0.00	**3.04**	**0.00**	3 04	0.00	0.00	0 00
Cash	0.00	0 00	0.00	0.00	0.00	0 00	0.00	0 00
Short Term Investments	3.04	0 00	3 04	0.00	3.04	0 00	0 00	0.00
Equities	6,809,100.00	0.00	**6,809,100.00**	**100.00**	2,557,611 00	4,251,489 00	421,224 00	0.00
Other - Equities	6,809,100 00	0.00	6,809,100 00	100 00	2,557,611 00	4,251,489 00	421,224.00	0.00
Total Assets	**6,809,103.04**	**0.00**	**6,809,103.04**	**100.00**	**2,557,614.04**	**4,251,489.00**	**421,224.00**	**0.00**

Total Value = Market Value + Accrued Interest + Accrued Dividend

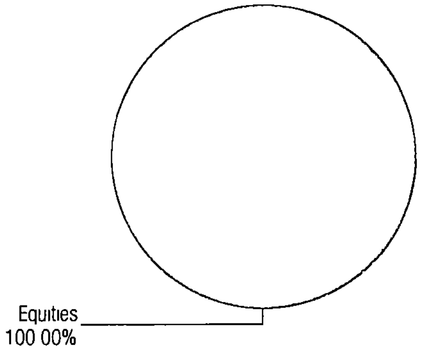
ACCOUNT SUMMARY

Reference Currency USD

ACTIVITY SUMMARY

	TOTAL ACTIVITY		PRINCIPAL ACTIVITY		INCOME ACTIVITY	
	This Period	Year to Date	This Period	Year to Date	This Period	Year to Date
Opening Market Value	6,809,103.04	6,261,603.04	6,809,100.00	6,261,600.00	3.04	3.04
Deposits to Account	—	—	—	—	—	—
Withdrawals from Account	—	—	—	—	—	—
Securities Transferred In	—	—	—	—	—	—
Securities Transferred Out	—	—	—	—	—	—
Dividends and Interest	—	—	—	—	—	—
Market Change	0.00	547,500 00	0.00	547,500.00	0 00	0 00
Other Income or Expense	—	—	—	—	—	—
Closing Market Value	**6,809,103.04**	**6,809,103.04**	**6,809,100.00**	**6,809,100.00**	**3.04**	**3.04**

ASSET ALLOCATION *TABLE SHOWN ON NEXT PAGE*



Values less than 1% not graphed.

ACTIVITY DETAILS

Reference Curiency USD

Trade Date	Settlement Date	Transaction Type	Description	Principal	Income	End of Day Balance
US Dollar						
01 DEC 12			**Opening Balance - Cash and Short Term Investments**	**18,796.30**	**424,719.82**	**443,516.12**
03 DEC 12	03 DEC 12	Interest	CASH RECEIPT OF INTEREST EARNED ON CITIBANK M D A. (L) INTEREST FROM 11/1/12 TO 11/30/12		11.28	
03 DEC 12	03 DEC 12	Interest	CASH RECEIPT OF INTEREST EARNED ON CITIBANK M D.A (L) INTEREST FROM 11/1/12 TO 11/30/12		0 90	
03 DEC 12	03 DEC 12	Dividends	CASH RECEIPT OF DIVIDEND EARNED ON WELLS FARGO & CO NEW COM 0 22 USD/SHARE ON 2,870 SHARES DUE 12/1/12		631 40	
03 DEC 12	03 DEC 12	Dividends	CASH RECEIPT OF DIVIDEND EARNED ON PHILLIPS 66 0 25 USD/SHARE ON 652 SHARES DUE 12/3/12		163.00	
03 DEC 12	03 DEC 12	Dividends	CASH RECEIPT OF DIVIDEND EARNED ON INTEL CORP COM 0 225 USD/SHARE ON 3,570 SHARES DUE 12/1/12		803 25	
03 DEC 12	03 DEC 12	Dividends	CASH RECEIPT OF DIVIDEND EARNED ON CONOCOPHILLIPS 0.66 USD/SHARE ON 1,315 SHARES DUE 12/3/12		867 90	
03 DEC 12	03 DEC 12	Dividends	CASH RECEIPT OF DIVIDEND EARNED ON AFLAC INC COM 0.35 USD/SHARE ON 1,210 SHARES DUE 12/3/12		423.50	

INVESTMENT POSITIONS - INCOME

Reference Curiency USD

CASH AND SHORT TERM INVESTMENTS **100.00%** *SORTED BY LIQUIDITY*

Descnption	Qty	Average/ Unit Cost	Total Cost	Market Pnce As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est. Annual Income
Cash									
USD	0 00	0 000	0 00	0 00 —	0 00	0 00	0 00	0 00	0 00
Short Term Investments									
CITIBANK M D A. (L) CUSIP 9900CST72 Yield 05%	450,062 07	1 000	450,062.07	1 00 —	450,062 07	18 60	450,080 67	0 00	225 03
Total Cash and Short Term Investments			**450,062.07**		**450,062.07**	**18.60**	**450,080.67**	**0.00**	**225.03**
TOTAL INVESTMENT POSITIONS - INCOME			**450,062.07**		**450,062.07**	**18.60**	**450,080.67**	**0.00**	**225.03**
TOTAL INVESTMENT POSITIONS - PRINCIPAL AND INCOME			**6,321,839.91**		**7,027,584.64**	**12,514.00**	**7,040,098.64**	**705,744.73**	**169,288.04**

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency· USD

***FIXED INCOME** CONTINUED*

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est. Annual Income
Mutual Funds									
ISHARES BARCLAYS 1-3 YR CD Rate 1 649% CUSIP 464288646 Yield 1.56%	335	104 400	34,974 00	105 48 31 Dec 12	35,335 80	0 00	35,335 80	361.80	552 42
VANGUARD BD INDEX FD INC Rate 2 241% CUSIP 921937835 Yield 2 67%	4,222	76 620	323,491 11	84 03 31 Dec 12	354,774 66	0 00	354,774 66	31,283 55	9,461 50
Total Fixed Income			**1,469,733.86**		**1,533,616.96**	**6,755.60**	**1,540,372.56**	**63,883.10**	**36,897.42**
TOTAL INVESTMENT POSITIONS - PRINCIPAL			**5,871,777.84**		**6,577,522.57**	**12,495.40**	**6,590,017.97**	**705,744.73**	**169,063.01**

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

***FIXED INCOME** CONTINUED*

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est. Annual Income
Corporate Bonds *CONTINUED*									
JOHN DEERE CAPITAL CORP MAT 07JUN16 Rate 2 25% Pay Frqncy Semi Annual S&P A Moodys A2 CUSIP 24422ERC5 Yield 88%	50,000	101 024	50,512 00	104 626 31 Dec 12	52,313 00	75 00	52,388 00	1,801 00	1,125 00
RABOBANK NEDERLAND UTREC MAT 19JAN17 Rate 3 375% Pay Frqncy Semi Annual S&P AA- Moodys Aa2 CUSIP 21686CAD2 Yield 1 48%	100,000	101 877	101,877 00	107 429 31 Dec 12	107,429 00	1,518 75	108,947 75	5,552 00	3,375 00
SVENSKA HANDELSBANKEN AB MAT 04APR17 Rate 2 875% Pay Frqncy Semi Annual S&P AA- Moodys Aa3 CUSIP 86960BAB8 Yield 1 5%	100,000	101 311	101,311 00	105 66 31 Dec 12	105,660 00	694 79	106,354 79	4,349 00	2,875 00
BP CAPITAL MARKETS PLC MAT 05MAY17 Rate 1 846% Pay Frqncy Semi Annual S&P A Moodys A2 CUSIP 05565QBY3 Yield 1 32%	100,000	99 647	99,647 00	102 227 31 Dec 12	102,227 00	307 67	102,534 67	2,580 00	1,846 00
WELLS FARGO & CO MAT 08MAY17 Rate 2 1% Pay Frqncy Semi Annual S&P A+ Moodys A2 CUSIP 94974BFD7 Yield 1 3%	100,000	98 900	98,900 00	103 387 31 Dec 12	103,387 00	309 17	103,696 17	4,487 00	2,100 00
TOYOTA MOTOR CREDIT CORP MAT 22MAY17 Rate 1 75% Pay Frqncy Semi Annual S&P AA- Moodys Aa3 CUSIP 89233P6D3 Yield 1 19%	100,000	99 787	99,787 00	102 403 31 Dec 12	102,403 00	189 58	102,592 58	2,616 00	1,750 00

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

FIXED INCOME *CONTINUED*

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est. Annual Income
Corporate Bonds *CONTINUED*									
US BANCORP MAT 27JUL15 Rate 2 45% Pay Frqncy Semi Annual S&P A+ Moodys A1 CUSIP 91159HGX2 Yield 67%	50,000	101.026	50,513 00	104 519 31 Dec 12	52,259 50	524 03	52,783 53	1,746 50	1,225 00
GOLDMAN SACHS GROUP INC MAT 01AUG15 Rate 3 7% Pay Frqncy Semi Annual S&P A- Moodys A3 CUSIP 38141EA74 Yield 1 51%	50,000	101 802	50,901 00	105 539 31 Dec 12	52,769 50	770 83	53,540 33	1,868 50	1,850 00
BP CAPITAL MARKETS PLC MAT 01OCT15 Rate 3 125% Pay Frqncy Semi Annual S&P A Moodys A2 CUSIP 05565QBN7 Yield 86%	50,000	102 779	51,389 50	106 155 31 Dec 12	53,077 50	390 63	53,468 13	1,688.00	1,562 50
WESTPAC BANKING CORP MAT 09DEC15 Rate 3% Pay Frqncy Semi Annual S&P AA- Moodys Aa2 CUSIP 961214BP7 Yield 9%	50,000	101 669	50,834 50	106 063 31 Dec 12	53,031 50	91 67	53,123.17	2,197 00	1,500 00
JPMORGAN CHASE & CO MAT 01MAR16 Rate 3.45% Pay Frqncy Semi Annual S&P A Moodys A2 CUSIP 46625HHX1 Yield 1 44%	50,000	102 204	51,101 75	106 201 31 Dec 12	53,100 50	575 00	53,675 50	1,998 75	1,725 00
GENERAL ELECTRIC CAPITAL CORP MAT 09MAY16 Rate 2.95% Pay Frqncy Semi Annual S&P AA+ Moodys A1 CUSIP 36962G5C4 Yield 1 32%	50,000	100 320	50,160 00	105 337 31 Dec 12	52,668 50	213 06	52,881 56	2,508 50	1,475 00

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency: USD

FIXED INCOME **23.37%** *SORTED BY CALL DATE/MATURITY DATE*

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est Annual Income
Corporate Bonds									
TOTAL CAPITAL CANADA LTD MAT 28JAN14 Rate 1 625% Pay Frqncy Semi Annual S&P AA- Moodys Aa1 CUSIP 89153UAB7 Yield 35%	50,000	101 790	50,895 00	101 369 31 Dec 12	50,684 50	345 31	51,029 81	(210 50)	812.50
CISCO SYSTEMS INC MAT 14MAR14 Rate 1 625% Pay Frqncy Semi Annual S&P A+ Moodys A1 CUSIP 17275RAJ1 Yield 38%	50,000	101 568	50,784 00	101 496 31 Dec 12	50,748 00	241 49	50,989 49	(36 00)	812 50
BB&T CORP MAT 28APR14 Rate 2 05% Pay Frqncy Semi Annual Call 28MAR14 @ 100 S&P A- Moodys A2 CUSIP 05531FAH6 Yield 62%	50,000	101 448	50,724 00	101 89 31 Dec 12	50,945 00	179 38	51,124 38	221 00	1,025 00
DELL INC MAT 01APR14 Rate 2 1% Pay Frqncy Semi Annual Call 01APR14 @ 100 S&P A- Moodys A2 CUSIP 24702RAN1 Yield 63%	50,000	102 673	51,336 50	101 83 31 Dec 12	50,915 00	262 50	51,177 50	(421 50)	1,050 00
HEWLETT-PACKARD CO MAT 30MAY14 Rate 1 55% Pay Frqncy Semi Annual S&P BBB+ Moodys Baa1 CUSIP 428236BK8 Yield 1 71%	50,000	101 191	50,595 50	99 776 31 Dec 12	49,888 00	66 74	49,954 74	(707 50)	775 00

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock *CONTINUED*									
US BANCORP DEL NEW Ticker/CUSIP USB/902973304 Yield 2.44% Sector Financials	1,710	29 953	51,219 27	31 94 31 Dec 12	54,617 40	333 45	54,950 85	3,398 13	1,333 80
VALERO ENERGY CORP-NEW Ticker/CUSIP VLO/91913Y100 Yield 2.05% Sector Energy	795	24 363	19,368 24	34 12 31 Dec 12	27,125 40	0 00	27,125 40	7,757 16	556 50
VIACOM INC NEW CLASS B Ticker/CUSIP VIAB/92553P201 Yield 2 09% Sector Consumer Discretionary	1,170	44 155	51,661 80	52 74 31 Dec 12	61,705 80	0.00	61,705 80	10,044 00	1,287 00
WAL-MART STORES INC Ticker/CUSIP WMT/931142103 Yield 2 33% Sector Consumer Discretionary	2,495	54 929	137,047 04	68 23 31 Dec 12	170,233 85	0 00	170,233 85	33,186 81	3,967 05
WELLS FARGO & CO NEW Ticker/CUSIP WFC/949746101 Yield 2 57% Sector Financials	2,870	27 019	77,544 07	34 18 31 Dec 12	98,096 60	0 00	98,096 60	20,552 53	2,525 60
Mutual Funds									
ISHARES TR MSCI EAFE INDEX FD Ticker/CUSIP · EFA/464287465 Yield 3 09% Sector Financials	1,420	72 552	103,023 70	56 86 31 Dec 12	80,741 20	0 00	80,741 20	(22,282 50)	2,497 78
VANGUARD SMALL-CAP Ticker/CUSIP VB/922908751 Yield 1 84% Sector · Other	2,220	70 280	156,021 60	80.90 31 Dec 12	179,598 00	0 00	179,598 00	23,576 40	3,296 70
Total Equities			**4,370,237.50**		**5,012,099.13**	**5,738.86**	**5,017,837.99**	**641,861.63**	**132,149.69**

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

EQUITIES *CONTINUED*

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock *CONTINUED*									
REGENCY CENTERS CORP Ticker/CUSIP REG/758849103 Yield 6 15% Sector Financials	735	44 995	33,071 05	47 12 31 Dec 12	34,633 20	0 00	34,633 20	1,562 15	2,131 50
SUNTRUST BANKS INC Ticker/CUSIP STI/867914103 Yield 71% Sector Financials	850	28 395	24,135 92	28 35 31 Dec 12	24,097 50	0 00	24,097 50	(38 42)	170 00
TARGET CORP Ticker/CUSIP TGT/87612E106 Yield 2 43% Sector Consumer Staples	1,355	49 490	67,058 52	59 17 31 Dec 12	80,175 35	0.00	80,175 35	13,116 83	1,951 20
THE MOSAIC COMPANY Ticker/CUSIP MOS/61945C103 Yield 1 77% Sector Materials	465	61 054	28,389 93	56 63 31 Dec 12	26,332 95	0 00	26,332 95	(2,056 98)	465 00
THERMO FISHER SCIENTIFIC INC Ticker/CUSIP TMO/883556102 Yield 94% Sector Health Care	430	51 509	22,148 83	63 78 31 Dec 12	27,425 40	64 50	27,489 90	5,276 57	258 00
TIME WARNER CABLE INC Ticker/CUSIP TWC/88732J207 Yield 2 3% Sector Consumer Discretionary	510	67 849	34,603 01	97 19 31 Dec 12	49,566 90	0 00	49,566 90	14,963 89	1,142 40
TORCHMARK CORP Ticker/CUSIP TMK/891027104 Yield 1 16% Sector Financials	695	50 799	35,305 10	51 67 31 Dec 12	35,910 65	0 00	35,910 65	605 55	417 00
UNITED TECHNOLOGIES CORP Ticker/CUSIP UTX/913017109 Yield 2 61% Sector Industrials	255	74 934	19,108.05	82 01 31 Dec 12	20,912.55	0 00	20,912 55	1,804 50	545 70
UNITEDHEALTH GROUP INC Ticker/CUSIP UNH/91324P102 Yield 1 57% Sector Health Care	1,380	43 904	60,587 03	54 24 31 Dec 12	74,851 20	0 00	74,851 20	14,264 17	1,173 00

INVESTMENT POSITIONS - PRINCIPAL

Reference Curiency USD

***EQUITIES** CONTINUED*

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est Annual Income
Common Stock *CONTINUED*									
PETSMART INC Ticker/CUSIP · PETM/716768106 Yield 97% Sector · Consumer Discretionary	405	56 771	22,992 15	68 34 31 Dec 12	27,677 70	0 00	27,677 70	4,685 55	267 30
PFIZER INC Ticker/CUSIP · PFE/717081103 Yield 3 83% Sector Health Care	4,260	21 396	91,145 54	25 0793 31 Dec 12	106,837.82	0 00	106,837 82	15,692 28	4,089 60
PHILIP MORRIS INTL INC Ticker/CUSIP PM/718172109 Yield 4 07% Sector · Consumer Staples	750	85 865	64,398 92	83 64 31 Dec 12	62,730 00	637 50	63,367 50	(1,668 92)	2,550 00
PHILLIPS 66 Ticker/CUSIP PSX/718546104 Yield 1 88% Sector Energy	652	33 173	21,628 90	53 10 31 Dec 12	34,621 20	0 00	34,621 20	12,992 30	652 00
PPG INDUSTRIES INC Ticker/CUSIP PPG/693506107 Yield 1 74% Sector Industrials	220	104 250	22,935 00	135 35 31 Dec 12	29,777 00	0 00	29,777 00	6,842 00	519 20
PRUDENTIAL FINANCIAL INC Ticker/CUSIP PRU/744320102 Yield 3% Sector Financials	855	57 607	49,254 32	53 33 31 Dec 12	45,597 15	0 00	45,597 15	(3,657.17)	1,368 00
QUALCOMM INC Ticker/CUSIP QCOM/747525103 Yield 1 62% Sector Information Technology	775	62 615	48,526 47	61 8596 31 Dec 12	47,941 19	0 00	47,941 19	(585 28)	775 00
RALPH LAUREN CORP CL A Ticker/CUSIP RL/751212101 Yield 1 07% Sector Consumer Discretionary	130	163.378	21,239 20	149.92 31 Dec 12	19,489 60	0 00	19,489 60	(1,749 60)	208 00
RAYTHEON COMPANY NEW Ticker/CUSIP . RTN/755111507 Yield 3 47% Sector Industrials	1,745	51 134	89,229 39	57 56 31 Dec 12	100,442 20	872 50	101,314 70	11,212 81	3,490 00

Balanced-Aggressive Port - **15C037609768**
Statement Period 1 Dec 2012 - 31 Dec 2012

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

***EQUITIES** CONTINUED*

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock *CONTINUED*									
MARATHON PETROLEUM CORP Ticker/CUSIP MPC/56585A102 Yield 2 22% Sector Energy	800	34 972	27,977 54	63 00 31 Dec 12	50,400 00	0 00	50,400 00	22,422 46	1,120 00
MERCK & CO INC NEW Ticker/CUSIP MRK/58933Y105 Yield 4 2% Sector Health Care	1,450	38 750	56,187 73	40 94 31 Dec 12	59,363 00	623 50	59,986 50	3,175 27	2,494 00
MICROSOFT CORP Ticker/CUSIP MSFT/594918104 Yield 3 44% Sector Information Technology	6,565	27 355	179,583 86	26 7097 31 Dec 12	175,349 18	0 00	175,349 18	(4,234 68)	6,039 80
MONSANTO CO NEW Ticker/CUSIP MON/61166W101 Yield 1 58% Sector Materials	410	87 187	35,746 82	94 65 31 Dec 12	38,806 50	0 00	38,806 50	3,059 68	615 00
NASDAQ OMX GROUP INC Ticker/CUSIP NDAQ/631103108 Yield 2 08% Sector Financials	1,060	24 273	25,729 63	24 99 31 Dec 12	26,489 40	0 00	26,489 40	759 77	551 20
NISOURCE INC Ticker/CUSIP NI/65473P105 Yield 3 86% Sector . Utilities	1,820	20 961	38,148 71	24 89 31 Dec 12	45,299 80	0 00	45,299 80	7,151 09	1,747 20
NORTHROP GRUMMAN CORP Ticker/CUSIP NOC/666807102 Yield 3 26% Sector Industrials	655	58 096	38,052 96	67 58 31 Dec 12	44,264 90	0 00	44,264 90	6,211 94	1,441 00
OMNICOM GROUP INC Ticker/CUSIP OMC/681919106 Yield 2 4% Sector Consumer Discretionary	470	45 405	21,340 20	49 96 31 Dec 12	23,481 20	0 00	23,481 20	2,141 00	564 00
ORACLE CORP Ticker/CUSIP ORCL/68389X105 Yield 72% Sector Information Technology	4,185	27 276	114,150 44	33 32 31 Dec 12	139,444 20	0 00	139,444 20	25,293 76	1,004 40

INVESTMENT POSITIONS - PRINCIPAL

Reference Curiency USD

***EQUITIES** CONTINUED*

Description	Qty	Average/ Unit Cost	Total Cost	Market Pnce As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock *CONTINUED*									
HOME DEPOT INC Ticker/CUSIP · HD/437076102 Yield 1.88% Sector · Consumer Discretionary	865	50.636	43,800 16	61 85 31 Dec 12	53,500 25	0 00	53,500 25	9,700.09	1,003 40
HUMANA INC Ticker/CUSIP HUM/444859102 Yield 1.52% Sector Health Care	295	72 992	21,532.55	68 63 31 Dec 12	20,245 85	76 70	20,322 55	(1,286 70)	306 80
INTEL CORP Ticker/CUSIP INTC/458140100 Yield 4 36% Sector : Information Technology	3,570	22 111	78,934 58	20 62 31 Dec 12	73,613 40	0 00	73,613 40	(5,321 18)	3,213 00
INTL BUSINESS MACHINES CORP Ticker/CUSIP IBM/459200101 Yield 1.78% Sector Information Technology	680	156 676	106,539 68	191 55 31 Dec 12	130,254 00	0 00	130,254 00	23,714 32	2,312 00
ITT CORP Ticker/CUSIP ITT/450911201 Yield 1 55% Sector · Industrials	1,065	22 205	23,648 01	23 46 31 Dec 12	24,984 90	0 00	24,984 90	1,336 89	387 66
JPMORGAN CHASE & CO Ticker/CUSIP · JPM/46625H100 Yield 2 73% Sector . Financials	2,270	36 549	82,965 54	43 9691 31 Dec 12	99,809 86	0 00	99,809 86	16,844 32	2,724 00
KIMCO REALTY CORPORATION Ticker/CUSIP KIM/49446R109 Yield 8 28% Sector Financials	2,445	18 396	44,977 30	19 32 31 Dec 12	47,237 40	513 45	47,750 85	2,260.10	3,912 00
LOCKHEED MARTIN CORP Ticker/CUSIP · LMT/539830109 Yield 4.98% Sector . Industrials	845	79 664	67,316 03	92 29 31 Dec 12	77,985 05	0 00	77,985 05	10,669 02	3,887 00
MACYS INC Ticker/CUSIP · M/55616P104 Yield 2 05% Sector Consumer Discretionary	630	33 760	21,268 80	39 02 31 Dec 12	24,582.60	126 00	24,708 60	3,313 80	504 00

Balanced-Aggressive Port - **15C037609768**

Statement Period 1 Dec 2012 - 31 Dec 2012

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency: USD

EQUITIES *CONTINUED*

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock *CONTINUED*									
CONSTELLATION BRANDS INC CL A Ticker/CUSIP STZ/21036P108 Sector Consumer Staples	1,650	20 988	34,630 24	35 39 31 Dec 12	58,393 50	0 00	58,393 50	23,763 26	0 00
CVS CAREMARK CORP Ticker/CUSIP CVS/126650100 Yield 1 86% Sector Consumer Staples	2,105	44 209	93,060 89	48 35 31 Dec 12	101,776 75	0 00	101,776 75	8,715 86	1,894 50
DISCOVER FINANCIAL SVCS Ticker/CUSIP DFS/254709108 Yield 1 45% Sector Financials	885	26 317	23,290 58	38 55 31 Dec 12	34,116 75	123 90	34,240 65	10,826 17	495 60
DOVER CORP Ticker/CUSIP DOV/260003108 Yield 2.13% Sector Industrials	380	61 274	23,284 22	65 71 31 Dec 12	24,969 80	0 00	24,969 80	1,685 58	532 00
ELI LILLY & CO Ticker/CUSIP LLY/532457108 Yield 3 97% Sector Health Care	2,795	37 665	105,272 83	49 32 31 Dec 12	137,849 40	0 00	137,849 40	32,576 57	5,478 20
EXXON MOBIL CORP Ticker/CUSIP XOM/30231G102 Yield 2 63% Sector Energy	2,390	78 750	188,211 98	86 55 31 Dec 12	206,854 50	0 00	206,854 50	18,642 52	5,449 20
FREEPORT MCMORAN COPPER & GOLD Ticker/CUSIP FCX/35671D857 Yield 3 66% Sector Materials	1,355	44 057	59,697 47	34 20 31 Dec 12	46,341 00	0 00	46,341 00	(13,356 47)	1,693 75
GENERAL ELECTRIC CO Ticker/CUSIP GE/369604103 Yield 3 62% Sector Industrials	5,615	19 123	107,374 97	20 99 31 Dec 12	117,858 85	1,066 85	118,925 70	10,483 88	4,267 40
HELMERICH & PAYNE INC Ticker/CUSIP HP/423452101 Yield 1 07% Sector Energy	550	56 579	31,118 44	56 01 31 Dec 12	30,805 50	0 00	30,805 50	(312 94)	330 00

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

***EQUITIES** CONTINUED*

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock *CONTINUED*									
CARDINAL HEALTH INC Ticker/CUSIP . CAH/14149Y108 Yield 2.67% Sector · Consumer Discretionary	855	42 559	36,388 32	41 18 31 Dec 12	35,208 90	235.13	35,444 03	(1,179 42)	940 50
CBS CORP NEW CLASS B Ticker/CUSIP CBS/124857202 Yield 1 26% Sector . Consumer Discretionary	1,795	26 101	46,850 89	38 05 31 Dec 12	68,299 75	215 40	68,515 15	21,448.86	861 60
CENTERPOINT ENERGY INC Ticker/CUSIP CNP/15189T107 Yield 4 21% Sector . Utilities	3,735	20 331	75,936 72	19 25 31 Dec 12	71,898 75	0 00	71,898 75	(4,037 97)	3,025 35
CHEVRON CORP Ticker/CUSIP CVX/166764100 Yield 3 33% Sector . Energy	1,640	98.179	161,013 31	108 14 31 Dec 12	177,349 60	0 00	177,349 60	16,336 29	5,904 00
CIGNA CORP Ticker/CUSIP . CI/125509109 Yield 07% Sector Health Care	615	47 782	29,386 18	53 46 31 Dec 12	32,877 90	0 00	32,877 90	3,491.72	24 60
CISCO SYS INC Ticker/CUSIP : CSCO/17275R102 Yield 2.85% Sector : Telecommunication Services	5,735	17 698	101,496 34	19 6494 31 Dec 12	112,689 31	0 00	112,689 31	11,192.97	3,211 60
COMCAST CORP CL A Ticker/CUSIP · CMCSA/20030N101 Yield 1 74% Sector Consumer Discretionary	3,990	23 435	93,505 82	37 36 31 Dec 12	149,066 40	648 38	149,714 78	55,560 58	2,593 50
CONAGRA FOODS INC Ticker/CUSIP . CAG/205887102 Yield 3 39% Sector · Consumer Staples	4,195	25 929	108,770 19	29 50 31 Dec 12	123,752 50	0 00	123,752.50	14,982 31	4,195 00
CONOCOPHILLIPS Ticker/CUSIP COP/20825C104 Yield 4 55% Sector Energy	1,315	52.911	69,577 81	57 99 31 Dec 12	76,256 85	0 00	76,256 85	6,679 04	3,471 60

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

***EQUITIES** CONTINUED*

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock *CONTINUED*									
ALLIANT ENERGY CORP-USD Ticker/CUSIP LNT/018802108 Yield 4 1% Sector Utilities	685	41 120	28,167 40	43 91 31 Dec 12	30,078 35	0 00	30,078 35	1,910 95	1,233 00
ALLSTATE CORP Ticker/CUSIP ALL/020002101 Yield 2 19% Sector Financials	595	40 941	24,359 78	40 17 31 Dec 12	23,901 15	0 00	23,901 15	(458 63)	523 60
AMGEN INC Ticker/CUSIP AMGN/031162100 Yield 2 18% Sector Health Care	1,185	61 673	73,082 06	86 20 31 Dec 12	102,147 00	0 00	102,147 00	29,064 94	2,227 80
APPLE INC Ticker/CUSIP AAPL/037833100 Yield 1 99% Sector Information Technology	353	344 272	121,527 88	532 173 31 Dec 12	187,857 07	0 00	187,857 07	66,329 19	3,741 80
APPLIED MATERIALS INC DELAWARE Ticker/CUSIP AMAT/038222105 Yield 3 15% Sector Information Technology	1,830	12 294	22,497 95	11 44 31 Dec 12	20,935 20	0 00	20,935 20	(1,562 75)	658 80
AT&T INC Ticker/CUSIP T/00206R102 Yield 5 34% Sector Telecommunication Services	2,095	30 944	64,828 06	33 71 31 Dec 12	70,622 45	0 00	70,622 45	5,794 39	3,771 00
BED BATH & BEYOND Ticker/CUSIP · BBBY/075896100 Sector Consumer Discretionary	565	58 644	33,133 78	55 91 31 Dec 12	31,589 15	0 00	31,589 15	(1,544 63)	0 00
BERKSHIRE HATHAWAY INC CL B Ticker/CUSIP BRKB/084670702 Sector Financials	660	84 048	55,471 35	89.70 31 Dec 12	59,202 00	0 00	59,202 00	3,730 65	0.00
CAMDEN PROPERTY TRUST SBI Ticker/CUSIP CPT/133131102 Yield 4.11% Sector Financials	360	64 184	23,106 29	68 21 31 Dec 12	24,555 60	201 60	24,757 20	1,449 31	1,008 00

Balanced-Aggressive Port - **15C037609768**

Statement Period 1 Dec 2012 - 31 Dec 2012

FIXED INCOME INVESTMENTS ANALYSIS

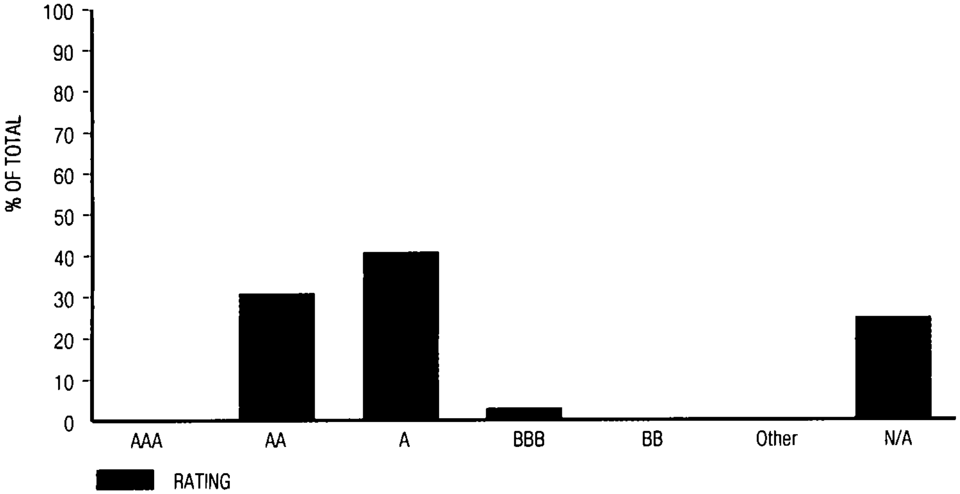
Reference Currency: USD

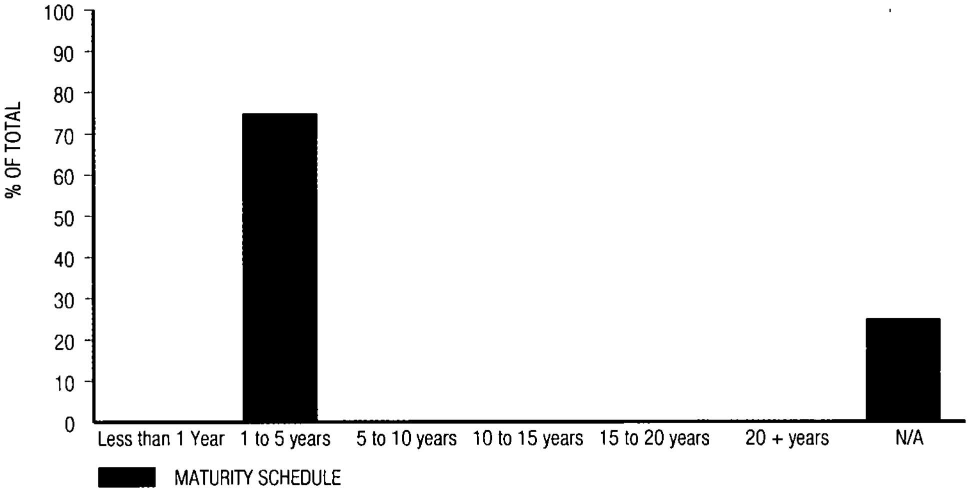
BOND QUALITY RATINGS

S&P Rating	Market Value	% Total
AAA	0.00	0
AA	471,876.50	31
A	621,742 00	41
BBB	49,888 00	3
BB	0 00	0
Other	0.00	0
N/A	390,110 46	25
Total	**1,533,616.96**	**100%**

BOND MATURITY SCHEDULE

Maturity Schedule	Market Value	% Total
Less than 1 year	0 00	0
1 to 5 years	1,143,506.50	75
5 to 10 years	0 00	0
10 to 15 years	0 00	0
15 to 20 years	0 00	0
20+ years	0 00	0
N/A	390,110.46	25
Total	**1,533,616.96**	**100%**





INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

CASH AND SHORT TERM INVESTMENTS **0.48%** *SORTED BY LIQUIDITY*

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est. Annual Income
Cash									
USD	0 00	0 000	0 00	0 00 —	0 00	0 00	0.00	0 00	0 00
Short Term Investments									
CITIBANK M D A (L) CUSIP 9900CST72 Yield 05%	31,806 48	1 000	31,806 48	1 00 —	31,806 48	0 94	31,807 42	0 00	15 90
Total Cash and Short Term Investments			**31,806.48**		**31,806.48**	**0.94**	**31,807.42**	**0.00**	**15.90**

EQUITIES **76.14%** *SORTED ALPHABETICALLY*

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock									
ABBOTT LABORATORIES Ticker/CUSIP ABT/002824100 Yield 86% Sector Health Care	415	53 307	22,122 54	65 50 31 Dec 12	27,182 50	0 00	27,182 50	5,059 96	232 40
ACCENTURE PLC Ticker/CUSIP ACN/G1151C101 Yield 2 44% Sector Consumer Discretionary	355	66 720	23,685.60	66 50 31 Dec 12	23,607 50	0.00	23,607 50	(78 10)	575 10
AETNA INC NEW Ticker/CUSIP AET/00817Y108 Yield 1 73% Sector Health Care	815	39 728	32,378 38	46 31 31 Dec 12	37,742.65	0 00	37,742 65	5,364 27	652 00
AFLAC INC Ticker/CUSIP AFL/001055102 Yield 2 64% Sector . Financials	1,210	46 102	55,783 03	53 12 31 Dec 12	64,275 20	0 00	64,275.20	8,492 17	1,694 00
AGCO CORP Ticker/CUSIP AGCO/001084102 Sector Industrials	525	41 300	21,682 61	49 12 31 Dec 12	25,788 00	0 00	25,788 00	4,105 39	0 00