



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

2012

Open to public inspection

Department of the Treasury
Internal Revenue Service

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2012 or tax year beginning

, and ending

Name of foundation LUCILE AND MAURICE POLLAK FUND		A Employer identification number 22-6051671
Number and street (or P O box number if mail is not delivered to street address) P.O. BOX 357	Room/suite	B Telephone number (609) 978-8718
City or town, state, and ZIP code MANAHAWKIN, NJ 08050		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 3,498,509.	J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received			N/A	
2	Check <input checked="" type="checkbox"/> If the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	97,793.	92,493.		STATEMENT 1
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	39,687.			
b	Gross sales price for all assets on line 6a	1,056,822.			
7	Capital gain net income (from Part IV, line 2)		39,687.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss)				
11	Other income	<515.>	469.		
12	Total. Add lines 1 through 11	136,965.	132,649.		STATEMENT 2
13	Compensation of officers, directors, trustees, etc	0.	0.		0.
14	Other employee salaries and wages	47,185.	27,155.		20,030.
15	Pension plans, employee benefits	4,793.	0.		4,793.
16a	Legal fees				
b	Accounting fees	STMT 3 8,500.	0.		8,500.
c	Other professional fees	STMT 4 29,635.	21,099.		8,536.
17	Interest				
18	Taxes	STMT 5 9,982.	3,488.		1,813.
19	Depreciation and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses	STMT 6 5,106.	1,010.		4,078.
24	Total operating and administrative expenses. Add lines 13 through 23	105,201.	52,752.		47,750.
25	Contributions, gifts, grants paid	130,008.			130,008.
26	Total expenses and disbursements. Add lines 24 and 25	235,209.	52,752.		177,758.
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	<98,244.>			
b	Net investment income (if negative, enter -0-)		79,897.		
c	Adjusted net income (if negative, enter -0-)			N/A	

5
G14

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	100.	394.	394.
	2	Savings and temporary cash investments	159,006.	164,664.	161,348.
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	2,819.	319.	319.
	10a	Investments - U.S. and state government obligations			
	b	Investments - corporate stock STMT 7	1,741,517.	1,725,527.	2,096,860.
	c	Investments - corporate bonds STMT 8	1,103,245.	956,802.	987,881.
Liabilities	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other STMT 9	31,761.	94,910.	250,592.
	14	Land, buildings, and equipment: basis ▶ 1,404.			
		Less accumulated depreciation STMT 10 ▶ 1,404.			
	15	Other assets (describe ▶ DUE FROM BROKER)	5,148.	1,115.	1,115.
	16	Total assets (to be completed by all filers)	3,043,596.	2,943,731.	3,498,509.
	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶ STATEMENT 11)	2,092.	471.	
	23	Total liabilities (add lines 17 through 22)	2,092.	471.	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
		and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
		and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	5,943,437.	5,943,437.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds	<2,901,933.>	<3,000,177.>	
	30	Total net assets or fund balances	3,041,504.	2,943,260.	
	31	Total liabilities and net assets/fund balances	3,043,596.	2,943,731.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,041,504.
2	Enter amount from Part I, line 27a	2	<98,244.>
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	2,943,260.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,943,260.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 1,056,822.		1,017,135.	39,687.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			39,687.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	39,687.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	224,970.	3,644,156.	.061734
2010	171,413.	3,528,236.	.048583
2009	161,684.	3,403,952.	.047499
2008	185,023.	4,229,337.	.043748
2007	232,316.	4,883,284.	.047574

2 Total of line 1, column (d)	2	.249138
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.049828
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	3,480,310.
5 Multiply line 4 by line 3	5	173,417.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	799.
7 Add lines 5 and 6	7	174,216.
8 Enter qualifying distributions from Part XII, line 4	8	177,758.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	799.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	799.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-		5	799.
6 Credits/Payments:			
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a	2,500.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	2,500.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	1,701.	
11 Enter the amount of line 10 to be: Credited to 2013 estimated tax <input checked="" type="checkbox"/> 1,701. Refunded <input type="checkbox"/>	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.		X
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> NONE		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation		X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X	
14	The books are in care of ► <u>ASH & PARSONT LLP</u> Telephone no. ► <u>212-953-1250</u> Located at ► <u>122 EAST 42ND STREET, SUITE 1507, NEW YORK, NY</u> ZIP+4 ► <u>10168</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1b	
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):	1c	X
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ►	2b	
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	3b	
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)	4a	X
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4b	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?		

Form 990-PF (2012)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 12		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2012)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	3,380,589.
b	Average of monthly cash balances	1b	152,721.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	3,533,310.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	3,533,310.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	53,000.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,480,310.
6	Minimum investment return. Enter 5% of line 5	6	174,016.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	174,016.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	799.
b	Income tax for 2012. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	799.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	173,217.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	173,217.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	173,217.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	177,758.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	177,758.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	799.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	176,959.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				173,217.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2012:				
a From 2007				
b From 2008				
c From 2009				
d From 2010				
e From 2011	3,805.			
f Total of lines 3a through e	3,805.			
4 Qualifying distributions for 2012 from Part XII, line 4: ► \$ 177,758.				
a Applied to 2011, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2012 distributable amount				173,217.
e Remaining amount distributed out of corpus	4,541.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	8,346.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	8,346.			
10 Analysis of line 9:				
a Excess from 2008				
b Excess from 2009				
c Excess from 2010				
d Excess from 2011	3,805.			
e Excess from 2012	4,541.			

N/A

- ▶

☐ 4942(l)(3) or ☐ 4942(l)(5)

- (4) Gross investment income

[illegible]Form **990-PF** (2012)

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHMENT (2012 GRANTS-MONMOUTH BRANCH) P.O. BOX 357 MANAHAWKIN, NJ 08050	NONE	AS PER SCHEDULE	FOR OPERATIONS	65,000.
SEE ATTACHMENT (2011 GRANTS-WESTCHESTER BRANCH) P.O. BOX 357 MANAHAWKIN, NJ 08050	NONE	AS PER SCHEDULE	FOR OPERATIONS	65,000.
THROUGH ENBRIDGE ENERGY PARTNERS LP 1100 LOUISIANA - SUITE 3300 HOUSTON, TX 77002	NONE	CHARITABLE	FOR OPERATIONS	8.
Total			3a	130,008.
b Approved for future payment				
NONE				
Total			3b	0.

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a Transfers from the reporting foundation to a noncharitable exempt organization of:

(1) Cash

(2) Other assets

b Other transactions:

(1) Sales of assets to a noncharitable exempt organization

(2) Purchases of assets from a noncharitable exempt organization

(3) Rental of facilities, equipment, or other assets

(4) Reimbursement arrangements

(5) Loans or loan guarantees

(6) Performance of services or membership or fundraising solicitations

c Sharing of facilities, equipment, mailing lists, other assets, or paid employees

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

	Yes	No
1a(1)		X
1a(2)		X
1b(1)		X
1b(2)		X
1b(3)		X
1b(4)		X
1b(5)		X
1b(6)		X
1c		X

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.




7/10/2013

TREASURER

Signature of officer or trustee

Date _____

Title

Print/Type preparer's name	Preparer's signature 	Date	Check <input type="checkbox"/> if self-employed
----------------------------	---	------	---

DAN A. DIERS

12

07/03/13

Check ☐ if
self-employed

PTIN

P00110543

**Paid
Preparer
Use Only**

Firm's name ► **ASH & PARSONT LLP**

Firm's EIN ► 13-1833422

Firm's address ► 122 EAST 42ND STREET, SUITE 1507
NEW YORK, NY 10168-1599

Phone no. 212-953-1250

May the IRS discuss this return with the preparer shown below (see instr)?

☒ Yes ☐ No

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
-------------	--	-----------	---

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
AMORTIZATION OF BOND PREMIUM	<5,421.>	0.	<5,421.>
EVERCORE WEALTH MANAGEMENT - DIVIDENDS	67,599.	2,856.	64,743.
EVERCORE WEALTH MANAGEMENT - INTEREST	37,159.	0.	37,159.
MARKET DISCOUNT INCOME	1,312.	0.	1,312.
TOTAL TO FM 990-PF, PART I, LN 4	100,649.	2,856.	97,793.

FORM 990-PF	OTHER INCOME	STATEMENT	2
-------------	--------------	-----------	---

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
MISC	469.	469.	
ENBRIDGE ENERGY PARTNERS LP	<2,119.>	0.	
BLACKSTONE GROUP LP	1,135.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	<515.>	469.	

FORM 990-PF	ACCOUNTING FEES	STATEMENT	3
-------------	-----------------	-----------	---

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	8,500.	0.		8,500.
TO FORM 990-PF, PG 1, LN 16B	8,500.	0.		8,500.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
INVESTMENT ADVISORS FEES	21,099.	21,099.			0.
OUTSIDE SERVICES (CONTRACTOR - SECRETARIAL+ADMINISTRATIVE	8,536.	0.			8,536.
TO FORM 990-PF, PG 1, LN 16C	29,635.	21,099.			8,536.

FORM 990-PF	TAXES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FEDERAL EXCISE	4,681.	0.			0.
PAYROLL TAXES	4,271.	2,458.			1,813.
FOREIGN TAXES	1,030.	1,030.			0.
TO FORM 990-PF, PG 1, LN 18	9,982.	3,488.			1,813.

FORM 990-PF	OTHER EXPENSES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
WORKMEN'S COMP INS	394.	227.			167.
OFFICE EXPENSE	488.	0.			488.
POSTAGE	560.	0.			560.
TELEPHONE	749.	0.			749.
ENBRIDGE ENERGY PARTNERS LP - NONDEDUCTIBLE EXPENSES	18.	0.			0.
DUES AND SUBSCRIPTIONS	720.	0.			720.
PAYROLL PROCESSING FEE	1,360.	783.			577.
INSURANCE	817.	0.			817.
TO FORM 990-PF, PG 1, LN 23	5,106.	1,010.			4,078.

FORM 990-PF	CORPORATE STOCK	STATEMENT	7
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
CORPORATE STOCK	1,725,527.	2,096,860.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,725,527.	2,096,860.	

FORM 990-PF	CORPORATE BONDS	STATEMENT	8
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
CORPORATE BONDS	956,802.	987,881.	
TOTAL TO FORM 990-PF, PART II, LINE 10C	956,802.	987,881.	

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	9
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
REITS	COST	42,380.	152,699.
MASTER LIMITED PARTNERSHIPS	COST	52,530.	97,893.
TOTAL TO FORM 990-PF, PART II, LINE 13		94,910.	250,592.

FORM 990-PF	DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT	STATEMENT	10
DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
COMPUTER	1,404.	1,404.	0.
TOTAL TO FM 990-PF, PART II, LN 14	1,404.	1,404.	0.

Asset Detail**Statement of Value and Activity**

December 1, 2012 - December 31, 2012

Asset Detail

Description	Shares/Par Value	Current Price	Tax Cost	Market Value	Est. Ann. Income	Current Yield
Cash & Equivalents						
Money Market						
Blackrock Liq Fd Treasury-In TICKER: TTTXX	59,519.05	\$1,000	\$59,519.05	\$59,519.05	\$0.02	0.00%
			\$59,519.05	\$59,519.05	\$0.02	
Cash						
MI Dow Chem AMT V-A 5.500% 12/01/28	100,000.00	\$101.829	\$105,145.28	\$101,829.00	\$5,500.00	5.40%
			\$105,145.28	\$101,829.00	\$5,500.00	
Total Cash & Equivalents			\$164,664.33	\$161,348.05	\$5,500.02	
Fixed Income						
Taxable Bonds						
North Texas Twy Auth REV Transportation Revenue DTD 04/28/2011 2.41% 09/01/2013 Non Callable TICKER: NTT0013A	50,000.00	\$101.305	\$50,000.00	\$50,652.50	\$1,220.50	2.41%
Coventry Health Care Inc DTD 01/28/2005 6.125% 01/15/2015 Non Callable	50,000.00	\$109.247	\$51,007.05	\$54,623.50	\$3,062.50	5.61%
Ford Motor Credit Co LLC DTD 09/21/2010 5.625% 09/15/2015 Non Callable	50,000.00	\$109.503	\$51,111.54	\$54,751.50	\$2,812.50	5.14%

Asset Detail (continued)**Statement of Value and Activity**

December 1, 2012 - December 31, 2012

Description	Shares/Par Value	Current Price	Tax Cost	Market Value	Est. Ann. Income	Current Yield
New Jersey Economic Dev Auth Education Revenue DTD 05/17/2010 3.641% 12/15/2015 Non Callable	50,000.00	\$107.520	\$51,256.12	\$53,760.00	\$1,820.50	3.39%
International Finance Corp DTD 08/02/2012 5.000% 12/21/2015 Non Callable	100,000.00	\$47.624	\$49,316.13	\$47,623.93	\$5,000.00	10.50%
Masco Corp DTD 10/03/2006 6.125% 10/03/2016 Non Callable	50,000.00	\$110.454	\$51,024.75	\$55,227.00	\$3,062.50	5.54%
Norwegian Govt DTD 05/19/2006 0.797% 05/19/2017 Non Callable TICKER: NG00717	250,000.00	\$20.061	\$45,959.71	\$50,151.79	\$10,625.00	21.19%
Suncor Energy Inc Medium Term Note DTD 05/22/2008 5.932% 05/22/2018 Non Callable TICKER: SEM5918	50,000.00	\$116.285	\$56,203.68	\$58,142.50	\$2,900.00	4.99%
Capital One Capital VI DTD 11/13/2009 8.875% 05/15/2040 Non Callable AEGON NV DTD 07/15/2004 Var Cpn 07/15/2049 Callable TICKER: ANV1849	50,000.00	\$100.000	\$50,022.03	\$50,000.00	\$4,437.50	8.88%
	100,000.00	\$55.190	\$50,562.53	\$55,190.00	\$1,866.50	3.38%
			\$506,463.54	\$530,122.72	\$36,807.50	

Asset Detail (continued)

Statement of Value and Activity

December 1, 2012 - December 31, 2012

<i>Description</i>	<i>Shares/Par Value</i>	<i>Current Price</i>	<i>Tax Cost</i>	<i>Market Value</i>	<i>Est. Ann. Income</i>	<i>Current Yield</i>
<i>Bond Mutual Funds</i>						
Ridgeworth Sex Floating Rate High Income Fund TICKER: SAMBX	17,083.93	\$8.980	\$150,338.60	\$153,413.71	\$7,499.85	4.89%
Doubleline Total Return Bond Fund TICKER: DBLTX	17,978.15	\$11.330	\$200,000.00	\$203,692.45	\$12,926.29	6.35%
Osterweis Strategic Income Fund TICKER: OSTIX	8,639.67	\$11.650	\$100,000.00	\$100,652.17	\$5,442.99	5.41%
			\$450,338.60	\$457,758.33	\$25,869.13	
Total Fixed Income			\$956,802.14	\$987,881.05	\$62,676.63	
<i>Equities</i>						
<i>Materials</i>						
Celanese Corp-A TICKER: CE	1,300.00	\$44.530	\$50,010.74	\$57,889.00	\$390.00	0.67%
Rock-Tenn Co CL A TICKER: RKT	500.00	\$69.910	\$33,289.95	\$34,955.00	\$450.00	1.29%
			\$83,300.69	\$92,844.00	\$840.00	
<i>Consumer Discretionary</i>						
Yum! Brands Inc TICKER: YUM	900.00	\$66.400	\$38,116.80	\$59,760.00	\$1,206.00	2.02%
Las Vegas Sands Corp TICKER: LVS	1,000.00	\$46.160	\$53,609.90	\$46,160.00	\$1,000.00	2.17%
Autozone Inc TICKER: AZO	100.00	\$354.430	\$38,268.35	\$35,443.00	\$0.00	0.00%

Asset Detail (continued)

Statement of Value and Activity

December 1, 2012 - December 31, 2012

<i>Description</i>	<i>Shares/Par Value</i>	<i>Current Price</i>	<i>Tax Cost</i>	<i>Market Value</i>	<i>Est. Ann. Income</i>	<i>Current Yield</i>
Nike Inc-Class B TICKER: NKE	600.00	\$51.600	\$19,363.38	\$30,960.00	\$252.00	0.81%
<hr/>						
Consumer Staples Beam Inc TICKER: BEAM	500.00	\$61.090	\$28,512.85	\$30,545.00	\$410.00	1.34%
<hr/>						
Healthcare McKesson Corp TICKER: MCK	800.00	\$96.960	\$29,134.48	\$77,568.00	\$640.00	0.82%
UnitedHealth Group Inc TICKER: UNH	1,000.00	\$54.240	\$55,579.50	\$54,240.00	\$850.00	1.57%
<hr/>						
Energy Exxonmobil Corp TICKER: XOM	700.00	\$86.550	\$10,275.12	\$60,585.00	\$1,596.00	2.63%
Noble Corp TICKER: NE	1,700.00	\$34.820	\$43,197.80	\$59,194.00	\$921.40	1.56%
Schlumberger LTD TICKER: SLB	600.00	\$69.299	\$26,100.00	\$41,579.16	\$660.00	1.59%
Marathon Oil Corp TICKER: MRO	970.00	\$30.660	\$31,472.91	\$29,740.20	\$659.60	2.22%
Oil Sls Intl Inc TICKER: OIS	375.00	\$71.540	\$31,264.95	\$26,827.50	\$0.00	0.00%
<hr/>						
			\$142,310.78	\$217,925.86	\$3,837.00	

Asset Detail (continued)

Statement of Value and Activity

December 1, 2012 - December 31, 2012

Description	Shares/Par Value	Current Price	Tax Cost	Market Value	Est. Ann. Income	Current Yield
<i>Financials</i>						
ACE Limited TICKER: ACE	800.00	\$79.800	\$31,973.60	\$63,840.00	\$1,568.00	2.46%
American Express Co TICKER: AXP	1,000.00	\$57.480	\$52,899.90	\$57,480.00	\$800.00	1.39%
			\$84,873.50	\$121,320.00	\$2,368.00	
<i>Industrials</i>						
Roper Inds Inc TICKER: ROP	600.00	\$111.480	\$49,684.29	\$66,888.00	\$396.00	0.59%
United Technologies Corp TICKER: UTX	800.00	\$82.010	\$33,759.28	\$65,608.00	\$1,712.00	2.61%
3M Co TICKER: MMM	700.00	\$92.850	\$67,991.00	\$64,995.00	\$1,652.00	2.54%
			\$151,434.57	\$197,491.00	\$3,760.00	
<i>Information Technology</i>						
Apple Inc TICKER: AAPL	150.00	\$532.173	\$15,410.23	\$79,825.95	\$1,590.00	1.99%
Accenture PLC CL A TICKER: ACN	1,000.00	\$66.500	\$31,186.40	\$66,500.00	\$1,620.00	2.44%
Mastercard Inc CL A TICKER: MA	130.00	\$491.280	\$20,889.86	\$63,866.40	\$156.00	0.24%
Microsoft Corp TICKER: MSFT	2,000.00	\$26.710	\$67,910.00	\$53,419.40	\$1,840.00	3.44%
Western Digital Corp TICKER: WDC	1,000.00	\$42.490	\$41,424.24	\$42,490.00	\$1,000.00	2.35%
			\$176,820.73	\$306,101.75	\$6,206.00	

Asset Detail (continued)

Statement of Value and Activity
December 1, 2012 - December 31, 2012

Description	Shares/Par Value	Current Price	Tax Cost	Market Value	Est. Ann. Income	Current Yield
<i>Telecommunication</i>						
Centurylink Inc TICKER: CTL	1,400.00	\$39.120	\$37,139.96	\$54,768.00	\$4,060.00	7.41%
			\$37,139.96	\$54,768.00	\$4,060.00	
<i>Equity Mutual Funds</i>						
Third Ave TR Int'l Value Fd TICKER: TAVIX	9,953.98	\$16.960	\$151,400.00	\$168,819.47	\$1,453.28	0.86%
Ewm Macro Opportunity TICKER: EWMOX	20,812.17	\$7.990	\$215,614.04	\$166,289.21	\$1,956.34	1.18%
AQR Multi Strategy Alt Fd-I TICKER: ASAIX	16,710.21	\$9.900	\$165,000.00	\$165,431.07	\$0.00	0.00%
Harbor International Fd-Ins TICKER: HAINX	2,501.35	\$62.120	\$139,200.00	\$155,383.74	\$3,141.69	2.02%
Wisdomtree Emrg Mkt Equity Income TICKER: DEM	2,025.00	\$57.190	\$115,847.82	\$115,809.75	\$3,815.10	3.29%
			\$787,061.86	\$771,733.24	\$10,366.41	
Total Equities			\$1,725,527.35	\$2,096,859.85	\$35,795.41	
<i>REITS</i>						
American Tower Corp REIT TICKER: AMT	1,000.00	\$77.270	\$28,242.40	\$77,270.00	\$960.00	1.24%
Plum Creek Timber Co Inc REIT TICKER: PCL	1,700.00	\$44.370	\$14,137.41	\$75,429.00	\$2,856.00	3.79%
Total REITS			\$42,379.81	\$152,699.00	\$3,816.00	

Asset Detail (continued)

Statement of Value and Activity
December 1, 2012 - December 31, 2012

<i>Description</i>	<i>Shares/Par Value</i>	<i>Current Price</i>	<i>Tax Cost</i>	<i>Market Value</i>	<i>Est. Ann. Income</i>	<i>Current Yield</i>
<i>Miscellaneous</i>						
Blackstone Group LP TICKER: BX	2,700.00	\$15.590	\$41,356.05	\$42,093.00	\$1,080.00	2.57%
Enbridge Energy Partners LP TICKER: EEP	2,000.00	\$27.900	\$31,155.40	\$55,800.00	\$4,348.00	7.79%
Total Miscellaneous			\$72,511.45	\$97,893.00	\$5,428.00	
Total All Assets			\$2,961,885.08	\$3,496,680.95	\$113,216.06	

FORM 990-PF	OTHER LIABILITIES	STATEMENT	11
DESCRIPTION	BOY AMOUNT	EOY AMOUNT	
PAYROLL TAXES PAYABLE	517.	471.	
CASH OVERDRAFT	1,575.	0.	
TOTAL TO FORM 990-PF, PART II, LINE 22	2,092.	471.	

FORM 990-PF	PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS	STATEMENT	12
-------------	---	-----------	----

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
HENRY POLLAK II 3 LEITH PLACE WHITE PLAINS, NY 10605	PRESIDENT 1.00	0.	0.	0.
JEAN DEB. POLLAK 3 LEITH PLACE WHITE PLAINS, NY 10605	SECRETARY 1.00	0.	0.	0.
LOIS P. BRODER 30 ADAMS WAY SHREWSBURY, NJ 07702	V.PRESIDENT 1.00	0.	0.	0.
STEVEN WALLACH 11 DELTA DRIVE WAYSIDE, NJ 07712	TREASURER 1.00	0.	0.	0.
BRIAN POLLAK 239 WARREN AVENUE FORT LEE, NJ 07024	MANAGER 0.25	0.	0.	0.
JOHN POLLAK 3234 SEVENTH STREET BOULDER, CO 80304	MANAGER 0.25	0.	0.	0.
STEVEN POLLAK 1845 SPRING VALLEY ROAD OSSINING, NY 10562	MANAGER 0.25	0.	0.	0.
THOMAS POLLAK 1845 SPRING VALLEY ROAD OSSINING, NY 10562	MANAGER 0.25	0.	0.	0.

LUCILE AND MAURICE POLLAK FUND

22-6051671

WILLIAM POLLAK
12 AVONDALE ROAD
WHITE PLAINS, NY 10605

MANAGER
0.25

0. 0. 0.

JAMES STERN
5-4 OAK RIDGE DRIVE
MAYNARD, MD 01754

MANAGER
0.25

0. 0. 0.

PETER STERN
276 DILL AVENUE
FREDERICK, MD 21701

MANAGER
0.25

0. 0. 0.

ROBERT STERN
124 LAKE SHORE DRIVE
NEW HARTFORD, CT 06057

MANAGER
0.25

0. 0. 0.

PEGGY WALLACH
11 DELTA DRIVE
WAYSIDE, NJ 07712

MANAGER
0.25

0. 0. 0.

TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII

0. 0. 0.

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 13

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

LUCILE AND MAURICE POLLAK FUND
P.O. BOX 357
MANAHAWKIN, NJ 08050

TELEPHONE NUMBER

609-978-8718

FORM AND CONTENT OF APPLICATIONS

UPON WRITTEN REQUEST AN APPLICATION WILL BE SENT SPECIFYING THE FORM AND INFORMATION THAT SHOULD BE SUBMITTED. PLEASE SEND YOUR APPLICATION REQUEST TO THE ATTENTION OF HENRY POLLAK II, PRESIDENT OR LOIS P. BRODER, VICE-PRESIDENT.

ANY SUBMISSION DEADLINES

NONE, BUT MEETINGS ARE GENERALLY HELD IN MAY AND NOVEMBER EACH YEAR

RESTRICTIONS AND LIMITATIONS ON AWARDS

GRANTS IN THE FIELDS OF HEALTH AND EDUCATION FAVORED. JEWISH CHARITIES AND SPECIAL SOCIAL SERVICES ARE EMPHASIZED AND FOCUSED IN MONMOUTH COUNTY, NJ AND WESTCHESTER, NY

LUCILE AND MAURICE POLLAK FUND

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SEE SCHEDULE ATTACHED	P		
b	SEE SCHEDULE ATTACHED	P		
c	SEE SCHEDULE ATTACHED	P		
d	SEE SCHEDULE ATTACHED	P		
e	GAIN FROM FORM 8621	P		
f	CAPITAL GAINS DIVIDENDS			
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 65,924.		105,493.	<39,569.>
b 37,620.		48,362.	<10,742.>
c 105,200.		103,603.	1,597.
d 845,208.		759,677.	85,531.
e 14.			14.
f 2,856.			2,856.
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<39,569.>
b			<10,742.>
c			1,597.
d			85,531.
e			14.
f			2,856.
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	39,687.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

Application for Extension of Time To File an Exempt Organization Return

OMB No 1545-1709

► File a separate application for each return.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	LUCILE AND MAURICE POLLAK FUND	22-6051671
	Number, street, and room or suite no. If a P.O. box, see instructions	Social security number (SSN)
	P.O. BOX 357	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	MANAHAWKIN, NJ 08050	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

ASH & PARSONT LLP

- The books are in the care of ► **122 EAST 42ND STREET, SUITE 1507 - NEW YORK, NY 10168**
Telephone No ► **212-953-1250** FAX No ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2013**, to file the exempt organization return for the organization named above. The extension is for the organization's return for
► ☒ calendar year **2012** or
► ☐ tax year beginning _____, and ending _____

- 2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	799.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	2,500.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA For Privacy Act and Paperwork Reduction Act Notice, see instructions.

Form **8868** (Rev. 1-2013)