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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012, or tax year beginning 01-01-2012 , and ending 12-31-2012

Name of foundation
THE PAUL F & MARY ANNE MCLAUGHLIN FAMILY FOUNDATION INC
% PAUL F MCLAUGHLIN

A Employer identification number
22-3842614

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
505 OCEAN AVENUE
Suite

B Telephone number (see instructions)
(908) 719-3105

City or town, state, and ZIP code
SEA GIRT, NJ 08750

C If exemption application is pending, check here ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 601,520

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify) _
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses
(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	0			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	14,156	14,156		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	13,042			
	b	Gross sales price for all assets on line 6a 250,526				
	7	Capital gain net income (from Part IV, line 2)		13,042		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	27,198	27,198		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0			
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	11,300	11,300	0	0
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)				
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)				
	24	**Total operating and administrative expenses.** Add lines 13 through 23	11,300	11,300	0	0
	25	Contributions, gifts, grants paid	86,994			86,994
	26	**Total expenses and disbursements.** Add lines 24 and 25	98,294	11,300	0	86,994
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-71,096			
	b	**Net investment income** (if negative, enter -0-)		15,898		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	45,012	38,053	38,053
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	633,842	531,378	563,467
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	678,854	569,431	601,520
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)		0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	678,854	569,431	
	30	**Total net assets or fund balances** (see page 17 of the instructions)	678,854	569,431	
	31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	678,854	569,431	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	678,854
2	Enter amount from Part I, line 27a	2	-71,096
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	607,758
5	Decreases not included in line 2 (itemize) ▶	5	38,327
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	569,431

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	OPPENHEIMER LT CAP DIST	P		
b	OPPENHEIMER SHORT TERM GAINS			
c	OPPENHEIMER LONG TERM GAINS			
d	OPPENHEIMER ST PER ATTACHED	P		
e	OPPENHEIMER LT PER ATTACHED	P		

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				5,814
b	9,618		8,853	765
c	32,995		31,255	1,740
d	79,942		76,480	3,462
e	127,971		126,710	1,261

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
a				5,814
b				765
c				1,740
d				3,462
e				1,261

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	13,042
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	84,574	601,070	0 140706
2010	97,170	700,262	0 138762
2009	90,750	707,883	0 128199
2008	75,062	875,903	0 085697
2007	90,927	1,054,614	0 086218

2	**Total** of line 1, column (d)	2	0 579582
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 115916
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	610,700
5	Multiply line 4 by line 3	5	70,790
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	159
7	Add lines 5 and 6	7	70,949
8	Enter qualifying distributions from Part XII, line 4	8	86,994

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ____________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b			1	159
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	
3	Add lines 1 and 2			3	159
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	159
6	Credits/Payments				
a	2012 estimated tax payments and 2011 overpayment credited to 2012	6a	928		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	928
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	769
11	Enter the amount of line 10 to be **Credited to 2013 estimated tax** ▶ 769	**Refunded** ▶		11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ______________ **(2)** On foundation managers ▶ $ ______________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NJ			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶

14 The books are in care of ▶PAUL F MCLAUGHLIN Telephone no ▶(908) 719-3105

Located at ▶505 OCEAN AVENUE SEA GIRT NJ ZIP+4 ▶08750

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No

See instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ▶

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Line	Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		No
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)*.	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☑ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
Total number of other employees paid over $50,000 ▶				0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
DELOITTE TAX 4022 SELLS DR HERMITAGE,TN 37076	tax prep	7,800
Total number of others receiving over $50,000 for professional services. . . . . ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See page 24 of the instructions 3	
Total. Add lines 1 through 3. . . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	580,000
b	Average of monthly cash balances	1b	40,000
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	620,000
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	620,000
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	9,300
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	610,700
6	**Minimum investment return.** Enter 5% of line 5	6	30,535

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	30,535
2a	Tax on investment income for 2012 from Part VI, line 5	2a	159		
b	Income tax for 2012 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	159
3	Distributable amount before adjustments Subtract line 2c from line 1			3	30,376
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	30,376
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	30,376

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	86,994
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0
b	Cash distribution test (attach the required schedule)	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	86,994
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	159
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	86,835

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7					30,376
2 Undistributed income, if any, as of the end of 2012					
a Enter amount for 2011 only.				0	
b Total for prior years 2010 , 2009 , 2008					
3 Excess distributions carryover, if any, to 2012					
a From 2007.	38,604				
b From 2008.	32,343				
c From 2009.	55,621				
d From 2010.	63,017				
e From 2011.	56,372				
f **Total** of lines 3a through e.		245,957			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ $ 86,994					
a Applied to 2011, but not more than line 2a				0	
b Applied to undistributed income of prior years (Election required—see instructions).					
c Treated as distributions out of corpus (Election required—see instructions).					
d Applied to 2012 distributable amount.					30,376
e Remaining amount distributed out of corpus		56,618			
5 Excess distributions carryover applied to 2012 *(If an amount appears in column (d), the same amount must be shown in column (a).)*					
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		302,575			
b Prior years' undistributed income Subtract line 4b from line 2b					
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.					
d Subtract line 6c from line 6b Taxable amount —see instructions					
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions				0	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)					
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)		38,604			
9 **Excess distributions carryover to 2013.** Subtract lines 7 and 8 from line 6a		263,971			
10 Analysis of line 9					
a Excess from 2008.	32,343				
b Excess from 2009.	55,621				
c Excess from 2010.	63,017				
d Excess from 2011.	56,372				
e Excess from 2012.	56,618				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2012	**(b)** 2011	**(c)** 2010	**(d)** 2009	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NA

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NA

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

PAUL MCLAUGHLIN
505 OCEAN AVENUE
SEA GIRT,NJ 08750
(908) 719-3105

b The form in which applications should be submitted and information and materials they should include

N/A

c Any submission deadlines

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

N/A

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				86,994
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income (See instructions)
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	14,156	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	13,042	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a MERRILL LYNCH CLASS ACTION SETTLEMENT			14		
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				27,198	

13 **Total.** Add line 12, columns (b), (d), and (e). 13 27,198

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash.	**1a(1)**		No
	(2) Other assets.	**1a(2)**		No
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization.	**1b(1)**		No
	(2) Purchases of assets from a noncharitable exempt organization.	**1b(2)**		No
	(3) Rental of facilities, equipment, or other assets.	**1b(3)**		No
	(4) Reimbursement arrangements.	**1b(4)**		No
	(5) Loans or loan guarantees.	**1b(5)**		No
	(6) Performance of services or membership or fundraising solicitations.	**1b(6)**		No
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1c**		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

******	2013-05-15	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN
ALAN LANGER	ALAN LANGER			

Firm's name ▸ ALAN LANGER CPA

Firm's EIN ▸

Firm's address ▸ 270 MADISON AVE SUITE 1500 NEW YORK, NY 100160601

Phone no (212) 481-2222

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
PAUL F MCLAUGHLIN 505 OCEAN AVE SEA GIRT, NJ 08750	PRESIDENT/TREASURER 10 0	0	0	0
MARY ANNE MCLAUGHLIN 505 OCEAN AVENUE SEA GIRT, NJ 08750	VICE PRES/SECRETARY 10 0	0	0	0
SCOTT C MCLAUGHLIN 16 TURNBERRY DRIVE HORSEHEADS, NY 14845	ASST TREASURER 10 0	0	0	0
BETHANY R MCLAUGHLIN 16 TURNBERRY DRIVE HORSEHEADS, NY 14845	DIRECTOR 10 0	0	0	0
LYNNE M MCLAUGHLIN 29 GLOUSTER STREET APT 1-R BOSTON, MA 02115	ASST SECRETARY 10 0	0	0	0
LAURIE A MOORE 34 SCHOOL STREET NEWTON, MA 02485	DIRECTOR 10 0	0	0	0
CHRISTOPHER H MOORE 34 SCHOOL STREET NEWTON, MA 02485	DIRECTOR 10 0	0	0	0

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CHILDREN'S MIRACLE NETWORK 4525 SOUTH 2300 EAST SALT LAKE CITY, UT 84117	NONE	CHARITABLE	GENERAL / CHARITABLE	3,000
SKIDMORE COLLEGE 815 NORTH BROADWAY SARATOGA SPRINGS, NY 12866	NONE	CHARITABLE	GENERAL / CHARITABLE	500
NEWTON WELLESLEY CHARITABLE FOUNDATION 2014 WASHINGTON STREET NEWTON, MA 02462	NONE	CHARITABLE	GENERAL / CHARITABLE	10,000
MARANATHA BIBLE CHAPEL 774 SING SING ROAD HORSEHEADS, NY 14845	NONE	CHARITABLE	GENERAL / CHARITABLE	12,000
FAMILY LIFE NETWORK PO BOX 506 BATH, NY 148100506	NONE	CHARITABLE	GENERAL / CHARITABLE	2,000
HOSPITAL FOR SPECIAL SURGERY FUND INC 535 East 70th Street NEW YORK, NY 10021	NONE	CHARITABLE	GENERAL / CHARITABLE	500
SEA GIRT FIRE COMPANY BALTIMORE AND 4TH AVE SEA GIRT, NJ 08750	NONE	CHARITABLE	GENERAL / CHARITABLE	750
AFS-USA INC 198 Madison Avenue 8th Floor NEW YORK, NY 10016	NONE	CHARITABLE	GENERAL / CHARITABLE	500
FOOD BANK OF THE SOUTHERN TIER 945 County Route 64 Elmira, NY 14903	NONE	CHARITABLE	GENERAL / CHARITABLE	4,000
VILLANOVA UNIVERSITY 800 LANCASTER AVE VILLANOVA, PA 19085	NONE	CHARITABLE	GENERAL / CHARITABLE	3,000
ROSIE'S PLACE 889 HARRISON AVE BOSTON, MA 02118	NONE	CHARITABLE	GENERAL / CHARITABLE	3,000
MANASQUAN FIRST AID SQUAD INC 65 BROAD STREET MANASQUAN, NJ 08736	NONE	CHARITABLE	GENERAL / CHARITABLE	750
FOCUS ON FAMILY 8605 EXPLORER DRIVE COLORADO SPRINGS, CO 80920	NONE	CHARITABLE	GENERAL / CHARITABLE	1,500
GEORGIA INSTITUTE OF TECHNOLOGY fifth street Atlanta, GA 30332	NONE	CHARITABLE	GENERAL / CHARITABLE	1,000
EASTER SEALS - NEW JERSEY 25 KENNEDY BLVD SUITE 600 EAST BRUNSWICK, NJ 08816	NONE	CHARITABLE	GENERAL / CHARITABLE	8,750

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
OUR PROMISE TO NICHOLAS FOUNDATION 111 AMHERST STREET MANCHESTER, NH 03110	NONE	CHARITABLE	GENERAL / CHARITABLE	1,500
AMERICAN CANCER SOCIETY 250 WILLIAMS STREET NW ATLANTA, GA 303031002	NONE	CHARITABLE	GENERAL / CHARITABLE	2,000
HARVARD BUSINESS SCHOOL SOLDIERS FIELD BOSTON, MA 02163	NONE	CHARITABLE	CHARITABLE	3,500
BENTLEY UNIVERSITY OFFICE OF DEVELOPMENT LEWIS HAL 175 FOREST ST WALTHAM, MA 024524705	NONE	exempt	GENERAL/ CHARITABLE	1,500
SEA GIRT PUBLIC LIBRARY RR STATION AT THE PLAZA PO BOX 414 SEA GIRT, NJ 08750	none	EXEMPT ORG	GENERAL/CHARITABLE	500
ST CATHERINE'S CATHOLIC CHURCH c/o Mclaughlin 505 OCEAN AVE Sea Girt, NJ 08750	none	CHARITABLE	general/ charitable	10,000
AVON WALK FOR BREAST CANCER c/o Mclaughlin 505 Ocean Ave Sea Girt, NJ 08750	none	exempt	general / charitable	2,000
AVON WALK FOR BREAST CANCER MIKE DUNN c/o McLaughlin 505 Ocean Ave Sea Girt, NJ 08750	none	exempt	general charitable	2,000
RPI CLASS GIFT 45TH REUNION 110 Eighth St Troy, NY 12180	none	exempt	general/ charitable	5,000
MIKE DUNN BRAIN CANCER WALK c/o McLaughlin 505 Ocean Ave Sea Girt, NJ 08750	none	exempt	general charitable	100
MOTION COMMOTION constitution ave Washington, DC 20202	none	exempt	general charitable	3,500
DANA FARBER CANCER INSTITUTE 450 Brookline Ave Boston, MA 02215	none	exempt	general charitable	350
WESTFORD EDUCATION FOUNDATION PO Box 535 Westford, MA 01886	none	exempt	general charitable	1,794
SQUAN STRONG SANDY RELIEF FUND PO Box 95 Mendham, NJ 07945	none	exempt	general charitable	500
FINGER LAKES FALCON TRAVEL BASEBALL main st Horseheads, NY 14845	none	exempt	general charitable	1,500
Total . . . . ▶ 3a				86,994

TY 2012 Accounting Fees Schedule

Name: THE PAUL F & MARY ANNE MCLAUGHLIN FAMILY FOUNDATION INC

EIN: 22-3842614

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREP DELOITTE	7,800			
TAX PREP ALAN LANGER CPA	3,500			

TY 2012 All Other Program Related Investments Schedule

Name: THE PAUL F & MARY ANNE MCLAUGHLIN FAMILY FOUNDATION INC

EIN: 22-3842614

Category	Amount
NONE	

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2012 Depreciation Schedule

Name: THE PAUL F & MARY ANNE MCLAUGHLIN FAMILY FOUNDATION INC

EIN: 22-3842614

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491172000033

TY 2012 Investments Corporate Stock Schedule

Name: THE PAUL F & MARY ANNE MCLAUGHLIN FAMILY FOUNDATION INC

EIN: 22-3842614

Name of Stock	End of Year Book Value	End of Year Fair Market Value
COST VALUE OF SECURITIES	549,042	563,468

TY 2012 Land, Etc. Schedule

Name: THE PAUL F & MARY ANNE MCLAUGHLIN FAMILY FOUNDATION INC

EIN: 22-3842614

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491172000033

TY 2012 Other Decreases Schedule

Name: THE PAUL F & MARY ANNE MCLAUGHLIN FAMILY FOUNDATION INC

EIN: 22-3842614

Description	Amount
PRIOR PERIOD ADJ	38,327

TY 2012 Other Expenses Schedule

Name: THE PAUL F & MARY ANNE MCLAUGHLIN FAMILY FOUNDATION INC

EIN: 22-3842614

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
CHECK BOOK FEE				

TY 2012 Other Income Schedule

Name: THE PAUL F & MARY ANNE MCLAUGHLIN FAMILY FOUNDATION INC

EIN: 22-3842614

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
UNITED STATES OIL FUND, L P (PTP)			
CURRENT YEAR DISALLOWED LOSS			

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491172000033

TY 2012 Other Professional Fees Schedule

Name: THE PAUL F & MARY ANNE MCLAUGHLIN FAMILY FOUNDATION INC

EIN: 22-3842614

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MGMNT				

TY 2012 Taxes Schedule

Name: THE PAUL F & MARY ANNE MCLAUGHLIN FAMILY FOUNDATION INC

EIN: 22-3842614

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL TAX EXPENSE				
FOREIGN TAXES PAID				

Account Number A81-0750438

OPPENHEIMER

Realized Gain/(Loss)
Held at Oppenheimer & Co Inc
For Year 2012
Report Printed on 5/28/2013 12 05 25 PM

PAUL F MCLAUGHLIN &
MARY ANNE MCLAUGHLIN FAMILY
FOUNDATION/IAS PLACEMARK
505 OCEAN AVE
SEA GIRT NJ 08750
H 732-974-6949

FA Rubinstein/Gelbman
FA# G9Y

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/ (Loss)	Prct Gain/ (Loss)	Term Of Gain/ (Loss)
	CASH									
3	ADVANCE AUTO PARTS INC	65 0900	03/22/2011	195 27	89 0621	03/22/2012	267 18	71 91	36 83%	S
4	ADVANCE AUTO PARTS INC	65 0900	03/22/2011	260 36	79 7784	02/14/2012	319 10	58 74	22 56%	S
6	ADVANCE AUTO PARTS INC	58 1589	06/28/2011	348 95	89 0621	03/22/2012	534 36	185 41	53 13%	S
2	AECOM TECHNOLOGY CORP DELAWARE	27 2200	03/22/2011	54 44	18 8400	05/04/2012	37 67	(16 77)	-30 80%	L
34	AECOM TECHNOLOGY CORP DELAWARE	27 2200	03/22/2011	925 48	17 9329	05/10/2012	609 70	(315 78)	-34 12%	L
13	AECOM TECHNOLOGY CORP DELAWARE	20 2300	10/25/2011	262 99	17 9329	05/10/2012	233 12	(29 87)	-11 36%	S
1	AFLAC INC	46 8135	10/28/2011	46 81	43 4100	05/04/2012	43 40	(3 41)	-7 29%	S
1	AFLAC INC	46 8135	10/28/2011	46 81	54 4000	12/19/2012	54 39	7 58	16 18%	L
2	AFLAC INC	46 8135	10/28/2011	93 63	45 5900	08/23/2012	91 17	(2 46)	-2 62%	S
2	AFLAC INC	46 8135	10/28/2011	93 63	48 7090	10/08/2012	97 41	3 78	4 04%	S
1	AGL RES INC	39 3500	03/22/2011	39 35	41 1900	10/08/2012	41 18	1 83	4 65%	L
2	AGL RES INC	39 3500	03/22/2011	78 70	38 4800	05/04/2012	76 95	(1 75)	-2 22%	L
2	AGL RES INC	39 3500	03/22/2011	78 70	39 1900	08/23/2012	78 37	(0 33)	-0 42%	L
24	ALEXANDER & BALDWIN HLDGS INC	40 9900	03/22/2011	983 76	49 5074	06/12/2012	1,188 15	204 39	20 78%	L
1	ALEXANDER & BALDWIN INC	40 9900	03/22/2011	40 99	52 1500	05/04/2012	52 14	11 15	27 20%	L
2	ALLERGAN INC	82 0975	09/23/2011	164 19	90 9502	11/01/2012	181 89	17 70	10 78%	L
7	ALLERGAN INC	82 0975	09/23/2011	574 68	86 2824	08/01/2012	603 96	29 28	5 09%	S
2	ALLERGAN INC	82 4480	09/26/2011	164 90	90 9502	11/01/2012	181 89	16 99	10 30%	L
4	ALLERGAN INC	83 4397	09/30/2011	333 76	90 9502	11/01/2012	363 79	30 03	9 00%	L
3	ALTRIA GROUP INC	25 4798	03/22/2011	76 44	32 4100	12/19/2012	97 22	20 78	27 19%	L
3	ALTRIA GROUP INC	25 4798	03/22/2011	76 44	33 9814	10/08/2012	101 93	25 49	33 35%	L
4	ALTRIA GROUP INC	25 4798	03/22/2011	101 92	32 4004	05/04/2012	129 59	27 67	27 15%	L
5	ALTRIA GROUP INC	25 4798	03/22/2011	127 40	33 5800	08/23/2012	167 89	40 49	31 78%	L
2	AMAZON COM INC	200 0856	08/11/2011	400 17	181 4312	02/17/2012	362 85	(37 32)	-9 33%	S
1	AMAZON COM INC	224 6822	09/15/2011	224 68	181 4312	02/17/2012	181 42	(43 26)	-19 26%	S
1	AMAZON COM INC	224 6822	09/15/2011	224 68	194 6893	04/05/2012	194 68	(30 00)	-13 35%	S

OPPENHEIMER

Account Number A81-0750438

Realızed Gaın/(Loss)
Held at Oppenheımer & Co Inc
For Year 2012
Report Prınted on 5/28/2013 12 05 25 PM

PAUL F MCLAUGHLIN &

FA Rubınsteın/Gelbman

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/ (Loss)	Prct Gain/ (Loss)	Term Of Gain/ (Loss)
1	AMAZON COM INC	186 4096	02/03/2012	186 41	187 6100	04/11/2012	187 60	1 19	0 64%	S
1	AMAZON COM INC	192 5192	03/22/2012	192 52	224 7700	05/04/2012	224 76	32 24	16 75%	S
1	AMAZON COM INC	187 4900	04/10/2012	187 49	241 9801	08/22/2012	241 97	54 48	29 06%	S
1	AMAZON COM INC	188 2900	04/13/2012	188 29	241 9801	08/22/2012	241 97	53 68	28 51%	S
1	AMAZON COM INC	257 5760	09/17/2012	257 58	258 2100	12/19/2012	258 20	0 62	0 24%	S
1	AMERICAN EXPRESS CO	50 8500	05/26/2011	50 85	56 5100	08/23/2012	56 50	5 65	11 11%	L
1	AMERICAN EXPRESS CO	50 8500	05/26/2011	50 85	58 8400	10/08/2012	58 83	7 98	15 69%	L
1	AMERICAN EXPRESS CO	50 8500	05/26/2011	50 85	60 1010	05/04/2012	60 09	9 24	18 17%	S
4	AMERICAN EXPRESS CO	50 8500	05/26/2011	203 40	55 6309	05/31/2012	222 51	19 11	9 40%	L
1	AMERICAN FINL GROUP INC OHIO	34 6400	03/22/2011	34 64	39 4900	05/04/2012	39 48	4 84	13 97%	L
2	AMERICAN FINL GROUP INC OHIO	34 6400	03/22/2011	69 28	39 6000	12/19/2012	79 19	9 91	14 30%	L
2	AMERICAN INTL GROUP INC COM NEW	35 2300	10/05/2012	70 46	35 6800	10/08/2012	71 35	0 89	1 26%	S
4	AMERICAN INTL GROUP INC COM NEW	35 2300	10/05/2012	140 92	36 8090	10/17/2012	147 23	6 31	4 48%	S
7	AMERICAN RLTY CAP TR INC REIT	10 4100	03/09/2012	72 87	11 7200	12/19/2012	82 03	9 16	12 57%	S
9	AMERICAN RLTY CAP TR INC REIT	10 4100	03/09/2012	93 69	11 8800	10/08/2012	106 91	13 22	14 11%	S
1	AMERICAN TOWER CORP NEW REIT	48 3300	03/22/2011	48 33	69 3749	08/23/2012	69 36	21 03	43 51%	L
1	AMERICAN TOWER CORP NEW REIT	52 8372	09/13/2011	52 84	72 4900	10/08/2012	72 48	19 64	37 18%	L
1	AMERISOURCEBERGEN CORP	37 4138	09/14/2012	37 41	43 4700	12/19/2012	43 46	6 05	16 16%	S
4	ANHEUSER BUSCH INBEV SA/NV SPONSORED ADR	74 9000	05/07/2012	299 60	71 7830	05/09/2012	287 12	(12 48)	-4 17%	S
5	ANHEUSER BUSCH INBEV SA/NV SPONSORED ADR	74 9000	05/07/2012	374 50	79 9802	08/01/2012	399 89	25 39	6 78%	S
13	ANIXTER INTL INC	68 3700	03/22/2011	888 81	66 1549	01/20/2012	859 99	(28 82)	-3 24%	S
1	APPLE INC	340 1900	03/22/2011	340 19	545 7692	11/09/2012	545 75	205 56	60 43%	L
1	APPLE INC	340 1900	03/22/2011	340 19	597 3327	10/31/2012	597 31	257 12	75 58%	L
1	APPLE INC	340 1900	03/22/2011	340 19	664 3600	08/23/2012	664 34	324 15	95 28%	L
5	APPLIED MATLS INC	15 1500	03/22/2011	75 75	10 9901	10/08/2012	54 94	(20 81)	-27 47%	L

Oppenheimer

Account Number A81-0750438

Realized Gain/(Loss)
Held at Oppenheimer & Co Inc
For Year 2012
Report Printed on 5/28/2013 12 05 26 PM

PAUL F MCLAUGHLIN &

FA Rubinstein/Gelbman

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Prct Gain/(Loss)	Term Of Gain/(Loss)
6	APPLIED MATLS INC	15 1500	03/22/2011	90 90	11 2401	05/04/2012	67 43	(23 47)	-25 82%	L
6	APPLIED MATLS INC	15 1500	03/22/2011	90 90	11 3110	12/19/2012	67 86	(23 04)	-25 35%	L
9	APPLIED MATLS INC	15 1500	03/22/2011	136 35	11 7701	08/23/2012	105 92	(30 43)	-22 32%	L
1	ASHLAND INC NEW	59 6884	04/09/2012	59 69	71 0000	10/08/2012	70 99	11 30	18 93%	S
1	ASHLAND INC NEW	59 6884	04/09/2012	59 69	78 0100	12/19/2012	78 00	18 31	30 68%	S
4	ASHLAND INC NEW	59 6884	04/09/2012	238 75	71 7410	07/30/2012	286 95	48 20	20 19%	S
115 933	ASTON/MONTAG & CLDWL GRTH-N OPEN END	24 4700	03/22/2011	2,836 88	26 4000	10/08/2012	3,060 63	223 75	7 89%	L
148 947	ASTON/MONTAG & CLDWL GRTH-N OPEN END	24 4700	03/22/2011	3,644 73	24 7700	05/04/2012	3,689 42	44 69	1 23%	L
150 851	ASTON/MONTAG & CLDWL GRTH-N OPEN END	24 4700	03/22/2011	3,691 32	26 0000	12/19/2012	3,922 13	230 81	6 25%	L
195 709	ASTON/MONTAG & CLDWL GRTH-N OPEN END	24 4700	03/22/2011	4,789 00	25 5900	08/23/2012	5,008 19	219 19	4 58%	L
3	AT&T INC	28 1498	03/22/2011	84 45	36 4900	08/23/2012	109 46	25 01	29 62%	L
3	AT&T INC	28 1498	03/22/2011	84 45	37 6600	10/08/2012	112 97	28 52	33 77%	L
4	AT&T INC	28 1498	03/22/2011	112 60	34 0310	12/19/2012	136 11	23 51	20 88%	L
6	AT&T INC	28 1498	03/22/2011	168 90	32 8390	05/04/2012	197 02	28 12	16 65%	L
54	AT&T INC	28 1498	03/22/2011	1,520 09	35 0000	06/13/2012	1,889 95	369 86	24 33%	L
1	BAIDU INC SPON ADR REP A SUBJECT TO CUSTODY FEE	144 1155	08/31/2011	144 12	113 5200	08/23/2012	113 51	(30 61)	-21 24%	S
2	BAIDU INC SPON ADR REP A SUBJECT TO CUSTODY FEE	144 1155	08/31/2011	288 23	92 6401	11/19/2012	185 27	(102 96)	-35 72%	L
3	BAIDU INC SPON ADR REP A SUBJECT TO CUSTODY FEE	123 1091	08/22/2012	369 33	92 6401	11/19/2012	277 91	(91 42)	-24 75%	S
6	BANK OF AMERICA CORPORATION	9 8100	11/27/2012	58 86	11 1801	12/19/2012	67 07	8 21	13 95%	S
1	BED BATH & BEYOND INC	58 6599	11/30/2012	58 66	60 2481	12/19/2012	60 24	1 58	2 69%	S
1	BIOGEN IDEC INC	120 4512	03/22/2012	120 45	151 2600	12/19/2012	151 25	30 80	25 57%	S
1	BIOGEN IDEC INC	124 8300	03/28/2012	124 83	151 9500	10/08/2012	151 94	27 11	21 72%	S
1	BLACKROCK INC	169 9981	10/28/2011	170 00	177 2400	08/23/2012	177 23	7 23	4 25%	S
1	BLACKROCK INC	169 9981	10/28/2011	170 00	189 1100	10/08/2012	189 10	19 10	11 24%	S

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1	BLACKROCK INC	188 4409	11/08/2012	188 44	194 2000	12/07/2012	194 19	5 75	3 05%	S
6	BOEING CO	79 9700	04/29/2011	479 82	73 0510	04/13/2012	438 30	(41 52)	-8 65%	S
1	BOEING CO	59 2292	08/11/2011	59 23	70 6100	08/23/2012	70 60	11 37	19 20%	L
3	BOEING CO	59 2292	08/11/2011	177 69	71 7710	07/12/2012	215 30	37 61	21 17%	S
1	BOK FINL CORP COM NEW	51 6900	03/22/2011	51 69	55 0800	12/19/2012	55 07	3 38	6 54%	L
1	BOK FINL CORP COM NEW	51 6900	03/22/2011	51 69	56 6400	08/23/2012	56 63	4 94	9 56%	L
3	BRISTOL MYERS SQUIBB CO	34 5099	07/11/2012	103 53	32 2260	08/23/2012	96 67	(6 86)	-6 63%	S
1	BRISTOL MYERS SQUIBB CO	35 2600	07/13/2012	35 26	33 6010	10/08/2012	33 59	(1 67)	-4 74%	S
9	BROADCOM CORP CL A	37 2099	03/01/2012	334 89	31 6900	05/25/2012	285 20	(49 69)	-14 84%	S
2	CAPITAL ONE FINL CORP	50 6400	03/22/2011	101 28	54 4200	05/04/2012	108 83	7 55	7 45%	L
2	CAPITAL ONE FINL CORP	50 6400	03/22/2011	101 28	56 2410	08/16/2012	112 47	11 19	11 05%	L
9	CAPITAL ONE FINL CORP	54 6231	04/26/2011	491 61	56 2410	08/16/2012	506 15	14 54	2 96%	L
1	CAPITAL ONE FINL CORP	42 6348	09/16/2011	42 63	56 2410	08/16/2012	56 23	13 60	31 89%	S
2	CAPITAL ONE FINL CORP	42 6348	09/16/2011	85 27	55 7701	08/23/2012	111 53	26 26	30 80%	S
2	CAPITAL ONE FINL CORP	42 6348	09/16/2011	85 27	58 8190	12/19/2012	117 63	32 36	37 95%	L
1	CAPITAL ONE FINL CORP	44 0399	10/12/2011	44 04	54 4200	05/04/2012	54 41	10 37	23 55%	S
1	CAPITAL ONE FINL CORP	44 0399	10/12/2011	44 04	58 8190	12/19/2012	58 81	14 77	33 54%	L
1	CARLISLE COS INC	43 0200	03/22/2011	43 02	51 9400	08/23/2012	51 93	8 91	20 71%	L
1	CARLISLE COS INC	43 0200	03/22/2011	43 02	53 3200	10/08/2012	53 31	10 29	23 92%	L
1	CARLISLE COS INC	43 0200	03/22/2011	43 02	58 5500	12/19/2012	58 54	15 52	36 08%	L
1	CARMAX INC	25 6221	06/26/2012	25 62	31 7500	10/08/2012	31 74	6 12	23 88%	S
1	CARMAX INC	25 6221	06/26/2012	25 62	34 7900	12/19/2012	34 78	9 16	35 74%	S
2	CARMAX INC	25 6221	06/26/2012	51 24	29 4400	08/23/2012	58 87	7 63	14 88%	S
10	CARMAX INC	25 6221	06/26/2012	256 22	32 3688	09/11/2012	323 68	67 46	26 33%	S
1	CATERPILLAR INC DEL	110 9000	01/27/2012	110 90	98 1820	05/04/2012	98 17	(12 73)	-11 48%	S
2	CATERPILLAR INC DEL	110 9000	01/27/2012	221 80	84 6002	07/31/2012	169 19	(52 61)	-23 72%	S
3	CATERPILLAR INC DEL	110 9000	01/27/2012	332 70	87 3722	05/31/2012	262 11	(70 59)	-21 22%	S
4	CATERPILLAR INC DEL	114 4690	02/29/2012	457 88	84 6002	07/31/2012	338 39	(119 49)	-26 10%	S
3	CENTURYLINK INC	40 9199	03/22/2011	122 76	39 6600	10/08/2012	118 97	(3 79)	-3 09%	L

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4	CENTURYLINK INC	40 9199	03/22/2011	163 68	39 8400	12/19/2012	159 35	(4 33)	-2 65%	L
4	CENTURYLINK INC	40 9199	03/22/2011	163 68	41 6000	08/23/2012	166 39	2 71	1 66%	L
1	CHEVRON CORP NEW	105 6400	03/22/2011	105 64	103 4871	05/04/2012	103 48	(2 16)	-2 04%	L
1	CHEVRON CORP NEW	105 6400	03/22/2011	105 64	110 2210	12/19/2012	110 21	4 57	4 33%	L
1	CHEVRON CORP NEW	105 6400	03/22/2011	105 64	111 2190	08/23/2012	111 21	5 57	5 27%	L
1	CHEVRON CORP NEW	105 6400	03/22/2011	105 64	117 5390	10/08/2012	117 53	11 89	11 26%	L
4	CHEVRON CORP NEW	99 6521	05/22/2012	398 61	110 2210	12/19/2012	440 87	42 26	10 60%	S
7	CISCO SYS INC	17 3020	10/12/2011	121 11	20 1301	02/03/2012	140 90	19 79	16 34%	S
3	CISCO SYS INC	18 9399	11/14/2011	56 82	20 1301	02/03/2012	60 38	3 56	6 27%	S
26	CISCO SYS INC	18 9399	11/14/2011	492 44	16 3700	05/25/2012	425 61	(66 83)	-13 57%	S
27	CISCO SYS INC	19 8300	02/01/2012	535 41	16 3700	05/25/2012	441 98	(93 43)	-17 45%	S
5	CITIGROUP INC COM NEW	44 2000	03/22/2011	221 00	31 6700	05/04/2012	158 34	(62 66)	-28 35%	L
11	CITIGROUP INC COM NEW	44 2000	03/22/2011	486 20	28 9100	01/18/2012	318 00	(168 20)	-34 59%	S
1	CITIGROUP INC COM NEW	30 1322	10/20/2011	30 13	29 8800	08/23/2012	29 87	(0 26)	-0 87%	S
17	CITIGROUP INC COM NEW	30 1322	10/20/2011	512 25	28 5500	05/15/2012	485 33	(26 92)	-5 25%	S
4	CITIGROUP INC COM NEW	34 1200	10/27/2011	136 48	34 6400	10/08/2012	138 55	2 07	1 52%	S
8	CITIGROUP INC COM NEW	34 1200	10/27/2011	272 96	29 8800	08/23/2012	239 03	(33 93)	-12 43%	S
1	CITIGROUP INC COM NEW	33 2521	09/27/2012	33 25	34 6400	10/08/2012	34 63	1 38	4 14%	S
4	CITRIX SYS INC	78 9469	05/22/2012	315 79	67 9500	10/12/2012	271 79	(44 00)	-13 93%	S
4	COACH INC	50 7296	10/03/2011	202 92	60 9900	01/12/2012	243 95	41 03	20 22%	S
2	COACH INC	50 6193	10/04/2011	101 24	60 9900	01/12/2012	121 97	20 73	20 48%	S
2	COMCAST CORP NEW CL A	31 1600	07/12/2012	62 32	36 2500	10/08/2012	72 49	10 17	16 32%	S
1	CONOCOPHILLIPS	48 8414	09/28/2011	48 84	57 5799	10/08/2012	57 57	8 73	17 87%	L
2	CONOCOPHILLIPS	48 8414	09/28/2011	97 68	59 3600	12/19/2012	118 71	21 03	21 53%	L
4	CONOCOPHILLIPS	48 8414	09/28/2011	195 37	56 1900	08/23/2012	224 75	29 38	15 04%	S

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11	CULLEN FROST BANKERS INC	57 9700	03/22/2011	637 67	55 5900	01/10/2012	611 47	(26 20)	-4 11%	S
6	CULLEN FROST BANKERS INC	59 7004	04/04/2011	358 20	55 5900	01/10/2012	333 53	(24 67)	-6 89%	S
8	CVS CAREMARK CORPORATION	38 0197	06/03/2011	304 16	43 5941	02/08/2012	348 74	44 58	14 66%	S
2	DENBURY RES INC COM NEW	23 9899	03/22/2011	47 98	16 2900	12/19/2012	32 57	(15 41)	-32 12%	L
4	DENBURY RES INC COM NEW	23 9899	03/22/2011	95 96	16 3500	10/08/2012	65 39	(30 57)	-31 86%	L
4	DENBURY RES INC COM NEW	23 9899	03/22/2011	95 96	17 3100	05/04/2012	69 23	(26 73)	-27 86%	L
8	DENBURY RES INC COM NEW	23 9899	03/22/2011	191 92	15 3700	08/23/2012	122 95	(68 97)	-35 94%	L
1	DENBURY RES INC COM NEW	15 7099	08/16/2011	15 71	16 2900	12/19/2012	16 28	0 57	3 63%	L
7	DIRECTV COM CL A	45 1200	03/22/2011	315 84	44 7517	02/17/2012	313 25	(2 59)	-0 82%	S
8	DIRECTV COM CL A	45 1200	03/22/2011	360 96	43 4736	01/09/2012	347 78	(13 18)	-3 65%	S
15	DIRECTV COM CL A	45 1200	03/22/2011	676 80	44 2888	01/11/2012	664 31	(12 49)	-1 85%	S
9	DIRECTV COM CL A	42 7495	09/29/2011	384 75	44 7517	02/17/2012	402 76	18 01	4 68%	S
1	DISNEY WALT CO COM DISNEY	41 4900	03/22/2011	41 49	52 3300	10/08/2012	52 32	10 83	26 10%	L
2	DISNEY WALT CO COM DISNEY	41 4900	03/22/2011	82 98	42 9310	05/04/2012	85 85	2 87	3 46%	L
2	DISNEY WALT CO COM DISNEY	41 4900	03/22/2011	82 98	49 9800	12/19/2012	99 95	16 97	20 45%	L
3	DISNEY WALT CO COM DISNEY	41 4900	03/22/2011	124 47	49 1003	08/23/2012	147 29	22 82	18 33%	L
1	DISNEY WALT CO COM DISNEY	44 5389	06/01/2012	44 54	49 9800	12/19/2012	49 97	5 43	12 19%	S
4	DOW CHEM CO	28 7263	08/10/2011	114 91	32 3500	05/04/2012	129 39	14 48	12 61%	S
81	DOW CHEM CO	28 7263	08/10/2011	2,326 83	31 5111	06/06/2012	2,552 34	225 51	9 69%	S
1	DST SYS INC DEL	50 6000	03/22/2011	50 60	53 9600	05/04/2012	53 95	3 35	6 62%	L
1	DST SYS INC DEL	50 6000	03/22/2011	50 60	57 0900	10/08/2012	57 08	6 48	12 81%	L

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3	DST SYS INC DEL	50 6000	03/22/2011	151 80	51 3100	08/23/2012	153 92	2 12	1 40%	L
5	DST SYS INC DEL	50 6000	03/22/2011	253 00	62 4920	12/11/2012	312 45	59 45	23 50%	L
7	DST SYS INC DEL	50 6000	03/22/2011	354 20	54 6974	07/19/2012	382 87	28 67	8 09%	L
1	DU PONT E I DE NEMOURS & CO	53 7300	03/22/2011	53 73	50 2810	08/23/2012	50 27	(3 46)	-6 44%	L
1	DU PONT E I DE NEMOURS & CO	53 7300	03/22/2011	53 73	50 3000	10/08/2012	50 29	(3 44)	-6 40%	L
6	DU PONT E I DE NEMOURS & CO	53 7300	03/22/2011	322 38	44 9403	10/24/2012	269 63	(52 75)	-16 36%	L
7	DU PONT E I DE NEMOURS & CO	53 7300	03/22/2011	376 11	49 7800	10/17/2012	348 45	(27 66)	-7 35%	L
1	DUKE ENERGY CORP NEW COM NEW	66 8300	07/12/2012	66 83	65 2700	08/23/2012	65 26	(1 57)	-2 35%	S
10	DUKE ENERGY CORP NEW COM NEW	66 8300	07/12/2012	668 30	63 5301	09/19/2012	635 28	(33 02)	-4 94%	S
2	E M C CORP MASS	28 3147	04/25/2011	56 63	27 7800	05/04/2012	55 55	(1 08)	-1 91%	L
7	E M C CORP MASS	28 3147	04/25/2011	198 20	26 4300	05/15/2012	185 00	(13 20)	-6 66%	L
3	E M C CORP MASS	27 3500	05/16/2011	82 05	25 6000	05/17/2012	76 79	(5 26)	-6 41%	L
13	E M C CORP MASS	27 3500	05/16/2011	355 55	26 4300	05/15/2012	343 58	(11 97)	-3 37%	S
2	E M C CORP MASS	23 9095	10/20/2011	47 82	25 9910	12/19/2012	51 97	4 15	8 68%	L
6	E M C CORP MASS	23 9095	10/20/2011	143 46	25 6000	05/17/2012	153 59	10 13	7 06%	S
6	E M C CORP MASS	23 9095	10/20/2011	143 46	27 1810	10/08/2012	163 08	19 62	13 68%	S
1	E M C CORP MASS	23 9700	10/25/2011	23 97	25 9910	12/19/2012	25 98	2 01	8 39%	L
37 945	EAGLE SER SMALL CAP GROWTH FD A OPEN END	39 4900	03/22/2011	1,498 45	42 3200	10/08/2012	1,605 83	107 38	7 17%	L
48 528	EAGLE SER SMALL CAP GROWTH FD A OPEN END	39 4900	03/22/2011	1,916 37	41 1700	05/04/2012	1,997 90	81 53	4 25%	L
49 801	EAGLE SER SMALL CAP GROWTH FD A OPEN END	39 4900	03/22/2011	1,966 64	42 9700	12/19/2012	2,139 95	173 31	8 81%	L
63 097	EAGLE SER SMALL CAP GROWTH FD A OPEN END	39 4900	03/22/2011	2,491 70	40 7100	08/23/2012	2,568 68	76 98	3 09%	L
1	EBAY INC	41 3407	05/29/2012	41 34	47 5001	10/08/2012	47 49	6 15	14 87%	S
2	EBAY INC	41 3407	05/29/2012	82 68	46 8300	08/23/2012	93 65	10 97	13 27%	S
2	EBAY INC	41 3407	05/29/2012	82 68	51 3401	12/19/2012	102 67	19 99	24 18%	S

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1	EBAY INC	39 0399	06/04/2012	39 04	51 3401	12/19/2012	51 33	12 29	31 48%	S
1	ENSCO PLC SHS CLASS A	40 7931	09/29/2011	40 79	56 7800	08/23/2012	56 77	15 98	39 17%	S
3	ENSCO PLC SHS CLASS A	40 7931	09/29/2011	122 38	54 2434	09/27/2012	162 72	40 34	32 96%	S
1	ENSCO PLC SHS CLASS A	44 9899	10/12/2011	44 99	59 5200	12/19/2012	59 51	14 52	32 27%	L
5	ENSCO PLC SHS CLASS A	44 9899	10/12/2011	224 95	54 2434	09/27/2012	271 21	46 26	20 56%	S
1	ENSCO PLC SHS CLASS A	48 2027	05/21/2012	48 20	54 2434	09/27/2012	54 23	6 03	12 50%	S
1	ENTERGY CORP NEW	66 7561	06/22/2012	66 76	70 6600	10/08/2012	70 65	3 89	5 83%	S
3	ENTERGY CORP NEW	66 7561	06/22/2012	200 27	72 4700	08/01/2012	217 40	17 13	8 55%	S
4	EXELIS INC	10 0411	01/20/2012	40 16	10 9800	10/08/2012	43 91	3 75	9 33%	S
6	EXELIS INC	10 0411	01/20/2012	60 25	11 5600	12/19/2012	69 35	9 10	15 11%	S
8	EXELIS INC	10 0411	01/20/2012	80 33	10 3100	08/23/2012	82 47	2 14	2 67%	S
13	EXELIS INC	10 0411	01/20/2012	130 53	11 7700	05/04/2012	153 00	22 47	17 21%	S
2	EXPRESS SCRIPTS HLDG CO	53 1500	03/22/2011	106 30	54 0499	12/19/2012	108 09	1 79	1 68%	L
2	EXPRESS SCRIPTS HLDG CO	53 1500	03/22/2011	106 30	54 3098	05/04/2012	108 61	2 31	2 17%	L
2	EXPRESS SCRIPTS HLDG CO	53 1500	03/22/2011	106 30	61 2402	08/23/2012	122 47	16 17	15 21%	L
6	EXXON MOBIL CORP	82 4600	03/22/2011	494 76	83 3900	02/10/2012	500 33	5 57	1 13%	S
1	EXXON MOBIL CORP	85 7800	04/05/2011	85 78	87 1710	08/23/2012	87 16	1 38	1 61%	L
1	EXXON MOBIL CORP	85 7800	04/05/2011	85 78	92 6301	10/08/2012	92 62	6 84	7 97%	L
4	EXXON MOBIL CORP	85 7800	04/05/2011	343 12	90 2610	10/24/2012	361 03	17 91	5 22%	L
3	F M C CORP COM NEW	79 6400	03/22/2011	238 92	99 8811	03/02/2012	299 63	60 71	25 41%	S
4	F M C CORP COM NEW	79 6400	03/22/2011	318 56	105 7200	05/04/2012	422 87	104 31	32 74%	L
5	F M C CORP COM NEW	39 8200	03/22/2011	199 10	48 7730	06/04/2012	243 85	44 75	22 48%	L
5	F M C CORP COM NEW	39 8200	03/22/2011	199 10	48 7730	06/04/2012	243 85	44 75	22 48%	L
3	FIFTH THIRD BANCORP	13 0100	06/18/2012	39 03	16 0200	10/08/2012	48 05	9 02	23 11%	S

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4	FIFTH THIRD BANCORP	13 0100	06/18/2012	52 04	14 9200	12/19/2012	59 67	7 63	14 66%	S
10	FIFTH THIRD BANCORP	13 0100	06/18/2012	130 10	14 6400	08/23/2012	146 39	16 29	12 52%	S
1	FREEPORT-MCMORAN COPPER & GOLD	33 7200	07/02/2012	33 72	40 8300	10/08/2012	40 82	7 10	21 06%	S
2	FREEPORT-MCMORAN COPPER & GOLD	33 7200	07/02/2012	67 44	34 0110	12/19/2012	68 01	0 57	0 85%	S
4	FULTON FINL CORP PA	10 9274	03/22/2011	43 71	9 5200	08/23/2012	38 07	(5 64)	-12 90%	L
4	FULTON FINL CORP PA	10 9274	03/22/2011	43 71	10 2500	10/08/2012	40 99	(2 72)	-6 22%	L
5	FULTON FINL CORP PA	10 9274	03/22/2011	54 64	9 7900	12/19/2012	48 94	(5 70)	-10 43%	L
6	FULTON FINL CORP PA	10 9274	03/22/2011	65 56	10 2301	05/04/2012	61 37	(4 19)	-6 40%	L
20	FULTON FINL CORP PA	10 9274	03/22/2011	218 55	9 2723	07/30/2012	185 44	(33 11)	-15 15%	L
25	FULTON FINL CORP PA	10 9274	03/22/2011	273 18	10 4396	01/10/2012	260 98	(12 20)	-4 47%	S
5	GENERAL ELECTRIC CO	16 4044	10/26/2011	82 02	22 9100	10/08/2012	114 54	32 52	39 65%	S
6	GENERAL ELECTRIC CO	16 4044	10/26/2011	98 43	21 0504	12/19/2012	126 29	27 86	28 31%	L
7	GENERAL ELECTRIC CO	16 4044	10/26/2011	114 83	19 2690	05/04/2012	134 87	20 04	17 45%	S
8	GENERAL ELECTRIC CO	16 4044	10/26/2011	131 23	20 6590	08/23/2012	165 26	34 03	25 93%	S
4	GENERAL ELECTRIC CO	16 7700	12/15/2011	67 08	20 7604	12/26/2012	83 03	15 95	23 78%	L
4	GENERAL ELECTRIC CO	16 7700	12/15/2011	67 08	22 9100	10/08/2012	91 63	24 55	36 60%	S
6	GENERAL ELECTRIC CO	16 7700	12/15/2011	100 62	19 2690	05/04/2012	115 60	14 98	14 89%	S
6	GENERAL ELECTRIC CO	16 7700	12/15/2011	100 62	20 6590	08/23/2012	123 94	23 32	23 18%	S
25	GENERAL ELECTRIC CO	16 7700	12/15/2011	419 25	21 0504	12/19/2012	526 24	106 99	25 52%	L
27	GENERAL ELECTRIC CO	16 9800	12/16/2011	458 46	20 7604	12/26/2012	560 51	102 05	22 26%	L
2	GENERAL MTRS CO	25 0100	10/25/2011	50 02	22 3000	05/04/2012	44 59	(5 43)	-10 86%	S
12	GENERAL MTRS CO	25 0100	10/25/2011	300 12	22 6501	05/09/2012	271 79	(28 33)	-9 44%	S
14	GENERAL MTRS CO	25 0100	10/25/2011	350 14	24 1101	04/11/2012	337 53	(12 61)	-3 60%	S
1	GENERAL MTRS CO	22 9768	01/09/2012	22 98	22 6501	05/09/2012	22 64	(0 34)	-1 47%	S
2	GENERAL MTRS CO	22 9768	01/09/2012	45 95	21 3000	08/23/2012	42 59	(3 36)	-7 32%	S
3	GENERAL MTRS CO	22 9768	01/09/2012	68 93	24 5500	10/08/2012	73 64	4 71	6 83%	S
3	GENERAL MTRS CO	22 9768	01/09/2012	68 93	27 1200	12/19/2012	81 35	12 42	18 02%	S
1	GENERAL MTRS CO	24 3999	01/27/2012	24 40	21 3000	08/23/2012	21 29	(3 11)	-12 75%	S
1	GILEAD SCIENCES INC	39 9984	12/06/2011	40 00	74 7200	12/19/2012	74 71	34 71	86 78%	L
2	GILEAD SCIENCES INC	39 9984	12/06/2011	80 00	56 0600	08/23/2012	112 11	32 11	40 14%	S
4	GILEAD SCIENCES INC	39 9984	12/06/2011	159 99	50 6100	05/04/2012	202 43	42 44	26 53%	S

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1	GILEAD SCIENCES INC	45 8700	04/13/2012	45 87	50 6100	05/04/2012	50 60	4 73	10 31%	S
1	GOLDMAN SACHS GROUP INC	123 3091	10/16/2012	123 31	127 1200	12/19/2012	127 11	3 80	3 08%	S
1	GOOGLE INC CL A	576 7000	03/22/2011	576 70	566 7119	01/26/2012	566 69	(10 01)	-1 74%	S
1	GOOGLE INC CL A	564 9470	08/12/2011	564 95	604 8911	03/12/2012	604 87	39 92	7 07%	S
1	GOOGLE INC CL A	540 7900	08/31/2011	540 79	595 6200	07/19/2012	595 60	54 81	10 14%	S
1	GOOGLE INC CL A	547 0663	09/21/2011	547 07	566 7119	01/26/2012	566 69	19 63	3 59%	S
1	GREAT PLAINS ENERGY INC	19 3500	03/22/2011	19 35	21 3800	08/23/2012	21 37	2 02	10 44%	L
1	GREAT PLAINS ENERGY INC	19 3500	03/22/2011	19 35	22 5700	10/08/2012	22 56	3 21	16 59%	L
2	GREAT PLAINS ENERGY INC	19 3500	03/22/2011	38 70	20 6500	12/19/2012	41 29	2 59	6 69%	L
3	GREAT PLAINS ENERGY INC	19 3500	03/22/2011	58 05	19 8600	05/04/2012	59 57	1 52	2 62%	L
8	GREAT PLAINS ENERGY INC	19 3500	03/22/2011	154 80	22 4110	07/30/2012	179 28	24 48	15 81%	L
1	GREIF INC CL A	49 5800	08/09/2011	49 58	45 0500	12/19/2012	45 04	(4 54)	-9 16%	L
1	GREIF INC CL A	49 5800	08/09/2011	49 58	51 8100	05/04/2012	51 80	2 22	4 48%	S
2	GREIF INC CL A	49 5800	08/09/2011	99 16	41 2100	08/23/2012	82 41	(16 75)	-16 89%	L
1	GREIF INC CL A	50 0000	09/02/2011	50 00	43 3000	10/08/2012	43 29	(6 71)	-13 42%	L
1	GUESS INC	37 8500	03/22/2011	37 85	28 0600	05/04/2012	28 05	(9 80)	-25 89%	L
11	GUESS INC	37 8500	03/22/2011	416 35	36 5450	03/14/2012	401 99	(14 36)	-3 45%	S
16	GUESS INC	37 8500	03/22/2011	605 60	26 3433	05/24/2012	421 48	(184 12)	-30 40%	L
10	HALLIBURTON CO	50 7800	04/26/2011	507 80	35 5300	02/16/2012	355 29	(152 51)	-30 03%	S
11	HALLIBURTON CO	45 5600	08/16/2011	501 16	35 5300	02/16/2012	390 82	(110 34)	-22 02%	S
1	HALLIBURTON CO	33 8299	08/03/2012	33 83	33 5900	10/08/2012	33 58	(0 25)	-0 74%	S
1	HALLIBURTON CO	33 8299	08/03/2012	33 83	34 2110	08/23/2012	34 20	0 37	1 09%	S
5	HALLIBURTON CO	33 8299	08/03/2012	169 15	35 0800	08/14/2012	175 39	6 24	3 69%	S
48	HOLOGIC INC	21 9700	03/22/2011	1,054 56	18 9852	05/01/2012	911 26	(143 30)	-13 59%	L
15	HOLOGIC INC	16 9599	08/04/2011	254 40	18 9852	05/01/2012	284 77	30 37	11 94%	S

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2	HOME DEPOT INC	35 7931	10/20/2011	71 59	56 5710	08/23/2012	113 13	41 54	58 03%	S
2	HOME DEPOT INC	35 7931	10/20/2011	71 59	61 9610	12/19/2012	123 91	52 32	73 09%	L
4	HOME DEPOT INC	35 7931	10/20/2011	143 17	51 7910	05/04/2012	207 15	63 98	44 69%	S
1	HOME DEPOT INC	36 3690	10/26/2011	36 37	51 7910	05/04/2012	51 78	15 41	42 37%	S
2	ILLINOIS TOOL WKS INC	45 1342	08/11/2011	90 27	56 2600	05/04/2012	112 51	22 24	24 64%	S
54	ILLINOIS TOOL WKS INC	45 1342	08/11/2011	2,437 25	54 9700	06/06/2012	2,968 31	531 06	21 79%	S
4	INTEL CORP	20 0773	03/22/2011	80 31	22 4201	10/08/2012	89 67	9 36	11 66%	L
6	INTEL CORP	20 0773	03/22/2011	120 46	21 1000	12/19/2012	126 59	6 13	5 09%	L
7	INTEL CORP	20 0773	03/22/2011	140 54	25 1200	08/23/2012	175 83	35 29	25 11%	L
67	INTEL CORP	20 0773	03/22/2011	1,345 18	26 6639	01/26/2012	1,786 44	441 26	32 80%	S
3	INTEL CORP	21 9200	09/29/2011	65 76	26 9003	03/02/2012	80 69	14 93	22 70%	S
24	INTEL CORP	24 6800	10/25/2011	592 32	26 9003	03/02/2012	645 59	53 27	8 99%	S
4	INTERNATIONAL BUSINESS MACHS	158 0100	03/22/2011	632 04	197 2200	07/31/2012	788 86	156 82	24 81%	L
4	INTERNATIONAL BUSINESS MACHS	158 0100	03/22/2011	632 04	199 3242	04/20/2012	797 28	165 24	26 14%	L
1	INTERNATIONAL FLAVORS&FRAGRANC	53 1648	11/28/2011	53 16	58 6400	05/04/2012	58 63	5 47	10 28%	S
18 53	IVY ASSET STRATEGY FD A OPEN END	24 5800	03/22/2011	455 47	25 6800	12/19/2012	475 85	20 38	4 48%	L
29 966	IVY ASSET STRATEGY FD A OPEN END	24 5800	03/22/2011	736 56	25 3400	10/08/2012	759 34	22 78	3 09%	L
39 508	IVY ASSET STRATEGY FD A OPEN END	24 5800	03/22/2011	971 11	25 1000	05/04/2012	991 65	20 54	2 12%	L
50 272	IVY ASSET STRATEGY FD A OPEN END	24 5800	03/22/2011	1,235 69	24 8600	08/23/2012	1,249 76	14 07	1 14%	L
1	JOHNSON & JOHNSON	58 8300	03/22/2011	58 83	69 4790	10/08/2012	69 47	10 64	18 09%	L
2	JOHNSON & JOHNSON	58 8300	03/22/2011	117 66	64 8310	05/04/2012	129 65	11 99	10 19%	L
3	JOHNSON & JOHNSON	58 8300	03/22/2011	176 49	67 7290	08/23/2012	203 18	26 69	15 12%	L
3	JOHNSON & JOHNSON	58 8300	03/22/2011	176 49	70 8900	12/19/2012	212 66	36 17	20 49%	L
3	JOHNSON & JOHNSON	66 2972	05/13/2011	198 89	64 4755	02/10/2012	193 42	(5 47)	-2 75%	S
3	JOHNSON & JOHNSON	66 3400	06/30/2011	199 02	64 4755	02/10/2012	193 42	(5 60)	-2 81%	S
5	JOHNSON & JOHNSON	66 3400	06/30/2011	331 70	63 7901	04/13/2012	318 94	(12 76)	-3 85%	S
5	JOHNSON & JOHNSON	63 2700	11/09/2011	316 35	63 7901	04/13/2012	318 94	2 59	0 82%	S
1	JPMORGAN CHASE & CO COM	45 4800	03/22/2011	45 48	37 3300	08/23/2012	37 32	(8 16)	-17 94%	L

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5	JPMORGAN CHASE & CO COM	45 4800	03/22/2011	227 40	32 4610	05/21/2012	162 30	(65 10)	-28 63%	L
20	JPMORGAN CHASE & CO COM	45 4800	03/22/2011	909 60	36 9600	05/15/2012	739 18	(170 42)	-18 74%	L
3	JPMORGAN CHASE & CO COM	39 4481	06/28/2011	118 34	41 5301	10/08/2012	124 58	6 24	5 27%	L
3	JPMORGAN CHASE & CO COM	39 4481	06/28/2011	118 34	41 8410	05/04/2012	125 51	7 17	6 06%	S
3	JPMORGAN CHASE & CO COM	39 4481	06/28/2011	118 34	43 4899	12/19/2012	130 46	12 12	10 24%	L
4	JPMORGAN CHASE & CO COM	39 4481	06/28/2011	157 79	37 3300	08/23/2012	149 31	(8 48)	-5 38%	L
1	JPMORGAN CHASE & CO COM	35 9987	08/16/2011	36 00	37 3300	08/23/2012	37 32	1 32	3 67%	L
3	JPMORGAN CHASE & CO COM	35 9987	08/16/2011	108 00	41 5301	10/08/2012	124 58	16 58	15 36%	L
1	JPMORGAN CHASE & CO COM	37 1590	08/30/2011	37 16	41 5301	10/08/2012	41 52	4 36	11 74%	L
1	KOHLS CORP	48 2599	09/29/2011	48 26	44 7900	12/19/2012	44 78	(3 48)	-7 21%	L
1	KOHLS CORP	48 2599	09/29/2011	48 26	49 9700	05/04/2012	49 96	1 70	3 52%	S
1	KOHLS CORP	48 2599	09/29/2011	48 26	51 2300	10/08/2012	51 22	2 96	6 13%	L
2	KOHLS CORP	48 2599	09/29/2011	96 52	51 4300	08/23/2012	102 85	6 33	6 56%	S
2	KRAFT FOODS INC CL A	35 1609	09/08/2011	70 32	39 4101	05/04/2012	78 81	8 49	12 07%	S
16	KRAFT FOODS INC CL A	35 1609	09/08/2011	562 57	39 7401	09/11/2012	635 82	73 25	13 02%	L
1	KROGER CO	23 5800	03/22/2011	23 58	23 1200	05/04/2012	23 11	(0 47)	-1 99%	L
4	KROGER CO	23 5800	03/22/2011	94 32	26 5300	12/19/2012	106 11	11 79	12 50%	L
4	LAS VEGAS SANDS CORP	51 4006	02/03/2012	205 60	42 3510	06/04/2012	169 39	(36 21)	-17 61%	S
1	LAS VEGAS SANDS CORP	51 5299	02/10/2012	51 53	43 2120	08/23/2012	43 20	(8 33)	-16 17%	S
3	LAS VEGAS SANDS CORP	51 5299	02/10/2012	154 59	42 3510	06/04/2012	127 04	(27 55)	-17 82%	S
6	LAS VEGAS SANDS CORP	51 5299	02/10/2012	309 18	43 7638	06/29/2012	262 57	(46 61)	-15 08%	S
1	LAS VEGAS SANDS CORP	56 6390	03/02/2012	56 64	47 3799	12/19/2012	47 37	(9 27)	-16 36%	S
1	LENDER PROCESSING SVCS INC	32 5200	03/22/2011	32 52	27 4700	08/23/2012	27 46	(5 06)	-15 56%	L
2	LENDER PROCESSING SVCS INC	32 5200	03/22/2011	65 04	25 5900	12/19/2012	51 17	(13 87)	-21 33%	L
2	LENDER PROCESSING SVCS INC	32 5200	03/22/2011	65 04	29 1990	10/08/2012	58 39	(6 65)	-10 22%	L
15	LENDER PROCESSING SVCS INC	32 5200	03/22/2011	487 80	23 9483	05/04/2012	359 21	(128 59)	-26 36%	L
22	LIBERTY PPTY TR SH BEN INT REIT	32 3300	03/22/2011	711 26	33 4255	02/09/2012	735 34	24 08	3 39%	S

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12	LIBERTY PPTY TR SH BEN INT REIT	35 3400	04/28/2011	424 08	33 4255	02/09/2012	401 10	(22 98)	-5 42%	S
1	LIFE TECHNOLOGIES CORP	42 7798	05/16/2012	42 78	49 8400	10/08/2012	49 83	7 05	16 48%	S
2	LIFE TECHNOLOGIES CORP	42 7798	05/16/2012	85 56	50 7500	12/19/2012	101 49	15 93	18 62%	S
281 396	LORD ABBETT INVT TR TOTAL RETURN A OPEN END	10 7200	03/22/2011	3,016 57	10 9600	10/08/2012	3,084 10	67 53	2 24%	L
397 479	LORD ABBETT INVT TR TOTAL RETURN A OPEN END	10 7200	03/22/2011	4,260 97	10 7800	05/04/2012	4,284 82	23 85	0 56%	L
399 692	LORD ABBETT INVT TR TOTAL RETURN A OPEN END	10 7200	03/22/2011	4,284 70	10 7400	12/19/2012	4,292 69	7 99	0 19%	L
481 261	LORD ABBETT INVT TR TOTAL RETURN A OPEN END	10 7200	03/22/2011	5,159 12	10 8900	08/23/2012	5,240 93	81 81	1 59%	L
2	LOWES COS INC	24 8420	08/02/2012	49 68	31 4790	10/08/2012	62 95	13 27	26 70%	S
3	LOWES COS INC	24 8420	08/02/2012	74 53	27 4700	08/23/2012	82 40	7 87	10 57%	S
4	LOWES COS INC	24 8420	08/02/2012	99 37	35 1899	12/19/2012	140 75	41 38	41 65%	S
2	LOWES COS INC	34 5264	11/21/2012	69 05	35 5710	11/28/2012	71 13	2 08	3 01%	S
10	LOWES COS INC	34 5264	11/21/2012	345 27	35 0800	12/21/2012	350 79	5 52	1 60%	S
1	LOWES COS INC	35 2290	12/20/2012	35 23	35 0800	12/21/2012	35 07	(0 16)	-0 45%	S
1	MACK CALI RLTY CORP REIT	29 0500	02/09/2012	29 05	25 9400	12/19/2012	25 93	(3 12)	-10 74%	S
2	MACK CALI RLTY CORP REIT	29 0500	02/09/2012	58 10	28 6400	05/04/2012	57 27	(0 83)	-1 43%	S
1	MARKEL CORP	389 2557	11/22/2011	389 26	407 7200	01/20/2012	407 71	18 45	4 74%	S
1	MARKEL CORP	389 2557	11/22/2011	389 26	431 8241	08/15/2012	431 81	42 55	10 93%	S
1	MARKEL CORP	389 2557	11/22/2011	389 26	433 0600	08/23/2012	433 05	43 79	11 25%	S
1	MARKEL CORP	407 1500	02/23/2012	407 15	426 8400	07/30/2012	426 83	19 68	4 83%	S
4	MARSH & MCLENNAN COS INC	29 1899	03/22/2011	116 76	34 1400	05/04/2012	136 55	19 79	16 95%	L
98	MARSH & MCLENNAN COS INC	29 1899	03/22/2011	2,860 61	32 2998	06/06/2012	3,165 30	304 69	10 65%	L
1	MARSH & MCLENNAN COS INC	33 3533	07/31/2012	33 35	34 7300	10/08/2012	34 72	1 37	4 10%	S

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2	MASTERCARD INC CL A	341 4496	09/16/2011	682 90	342 0610	01/24/2012	684 10	1 20	0 18%	S
1	MASTERCARD INC CL A	350 7190	09/21/2011	350 72	454 0010	09/17/2012	453 98	103 26	29 44%	S
1	MASTERCARD INC CL A	323 1280	09/29/2011	323 13	439 3600	05/04/2012	439 35	116 22	35 97%	S
1	MASTERCARD INC CL A	349 9100	01/27/2012	349 91	470 4900	10/08/2012	470 47	120 56	34 45%	S
1	MASTERCARD INC CL A	438 9500	05/07/2012	438 95	418 5800	08/23/2012	418 57	(20 38)	-4 64%	S
1	MASTERCARD INC CL A	422 3590	08/24/2012	422 36	444 4610	09/11/2012	444 45	22 09	5 23%	S
1	MASTERCARD INC CL A	453 6100	09/14/2012	453 61	472 2800	10/11/2012	472 26	18 65	4 11%	S
1	MASTERCARD INC CL A	453 4490	09/18/2012	453 45	453 5610	09/19/2012	453 54	0 09	0 02%	S
1	MASTERCARD INC CL A	450 2690	09/20/2012	450 27	451 3710	09/27/2012	451 35	1 08	0 24%	S
1	MASTERCARD INC CL A	472 4900	10/03/2012	472 49	469 2300	11/01/2012	469 21	(3 28)	-0 69%	S
1	MASTERCARD INC CL A	466 4500	10/09/2012	466 45	457 3810	11/15/2012	457 36	(9 09)	-1 95%	S
1	MASTERCARD INC CL A	454 6000	10/26/2012	454 60	478 9000	11/28/2012	478 88	24 28	5 34%	S
1	MASTERCARD INC CL A	463 0000	11/08/2012	463 00	480 9200	12/11/2012	480 90	17 90	3 87%	S
1	MASTERCARD INC CL A	463 2700	11/16/2012	463 27	489 8900	12/19/2012	489 87	26 60	5 74%	S
1	MCCORMICK & CO INC COM NON VTG	49 0200	03/22/2011	49 02	55 7300	05/04/2012	55 72	6 70	13 67%	L
2	MCCORMICK & CO INC COM NON VTG	49 0200	03/22/2011	98 04	60 5100	08/23/2012	121 01	22 97	23 43%	L
3	MCCORMICK & CO INC COM NON VTG	49 0200	03/22/2011	147 06	60 2110	07/09/2012	180 62	33 56	22 82%	L
7	MCCORMICK & CO INC COM NON VTG	49 0200	03/22/2011	343 14	64 4951	11/28/2012	451 45	108 31	31 56%	L

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5	MCCORMICK & CO INC COM NON VTG	61 1700	07/30/2012	305 85	64 4951	11/28/2012	322 47	16 62	5 43%	S
2	MCDONALDS CORP	73 6600	03/22/2011	147 32	92 1990	09/17/2012	184 39	37 07	25 16%	L
3	MCDONALDS CORP	73 6600	03/22/2011	220 98	98 5012	04/11/2012	295 49	74 51	33 72%	L
5	MCDONALDS CORP	73 6600	03/22/2011	368 30	88 2610	08/22/2012	441 30	73 00	19 82%	L
1	MCDONALDS CORP	86 6308	08/16/2011	86 63	91 4820	10/08/2012	91 47	4 84	5 59%	L
3	MCDONALDS CORP	86 6308	08/16/2011	259 89	92 1990	09/17/2012	276 59	16 70	6 43%	L
1	MCDONALDS CORP	89 0778	06/14/2012	89 08	88 2100	08/23/2012	88 20	(0 88)	-0 99%	S
1	MCDONALDS CORP	89 0778	06/14/2012	89 08	89 9300	12/19/2012	89 92	0 84	0 95%	S
1	MCDONALDS CORP	89 0778	06/14/2012	89 08	91 4820	10/08/2012	91 47	2 39	2 69%	S
5	MCDONALDS CORP	88 9199	08/24/2012	444 60	91 4820	10/08/2012	457 39	12 79	2 88%	S
7	MCDONALDS CORP	92 9800	09/18/2012	650 86	85 9501	11/21/2012	601 63	(49 23)	-7 56%	S
3	MERCK & CO INC NEW	37 2639	05/19/2011	111 79	46 4240	10/08/2012	139 26	27 47	24 57%	L
4	MERCK & CO INC NEW	37 2639	05/19/2011	149 06	38 7911	05/04/2012	155 15	6 09	4 09%	S
4	MERCK & CO INC NEW	37 2639	05/19/2011	149 06	43 7310	12/19/2012	174 91	25 85	17 35%	L
5	MERCK & CO INC NEW	37 2639	05/19/2011	186 32	42 6800	08/23/2012	213 39	27 07	14 53%	L
18	MERCK & CO INC NEW	37 0700	12/20/2011	667 26	37 7701	03/22/2012	679 84	12 58	1 89%	S
47 509	MERGER FUND-SBI OPEN END SBI/CBI	16 0600	03/22/2011	762 99	15 9700	10/08/2012	758 72	(4 27)	-0 56%	L
61 523	MERGER FUND-SBI OPEN END SBI/CBI	16 0600	03/22/2011	988 06	15 8000	05/04/2012	972 06	(16 00)	-1 62%	L
63 303	MERGER FUND-SBI OPEN END SBI/CBI	16 0600	03/22/2011	1,016 65	16 1000	12/19/2012	1,019 18	2 53	0 25%	L
81 122	MERGER FUND-SBI OPEN END SBI/CBI	16 0600	03/22/2011	1,302 82	15 9200	08/23/2012	1,291 46	(11 36)	-0 87%	L
1	METLIFE INC	44 9100	03/22/2011	44 91	35 1690	10/08/2012	35 16	(9 75)	-21 71%	L
2	METLIFE INC	44 9100	03/22/2011	89 82	33 9600	05/04/2012	67 91	(21 91)	-24 39%	L
5	METLIFE INC	44 9100	03/22/2011	224 55	32 6407	11/21/2012	163 19	(61 36)	-27 33%	L
10	METLIFE INC	44 9100	03/22/2011	449 10	27 8500	06/04/2012	278 49	(170 61)	-37 99%	L
10	METLIFE INC	44 9100	03/22/2011	449 10	30 0001	05/18/2012	299 99	(149 11)	-33 20%	L
12	METLIFE INC	44 9100	03/22/2011	538 92	29 2601	05/31/2012	351 11	(187 81)	-34 85%	L
11	METLIFE INC	43 9400	06/30/2011	483 34	32 6407	11/21/2012	359 04	(124 30)	-25 72%	L

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Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/ (Loss)	Prct Gain/ (Loss)	Term Of Gain/ (Loss)
3	METLIFE INC	29 1219	06/14/2012	87 37	33 2200	12/19/2012	99 65	12 28	14 06%	S
3	METLIFE INC	29 1219	06/14/2012	87 37	35 1690	10/08/2012	105 50	18 13	20 76%	S
4	METLIFE INC	29 1219	06/14/2012	116 49	34 4000	08/23/2012	137 59	21 10	18 12%	S
70	MICROCHIP TECHNOLOGY INC	36 0800	03/22/2011	2,525 60	36 4814	04/17/2012	2,553 64	28 04	1 11%	L
2	MICROSOFT CORP	26 6265	07/12/2011	53 25	30 8700	09/11/2012	61 73	8 48	15 92%	L
8	MICROSOFT CORP	26 6265	07/12/2011	213 01	30 2600	08/23/2012	242 07	29 06	13 64%	L
31	MICROSOFT CORP	27 1300	07/20/2011	841 03	30 8700	09/11/2012	956 94	115 91	13 78%	L
1	MICROSOFT CORP	24 6682	08/08/2011	24 67	30 8700	09/11/2012	30 86	6 19	25 10%	L
13	MICROSOFT CORP	24 6682	08/08/2011	320 68	29 7301	10/03/2012	386 48	65 80	20 52%	L
4	MICROSOFT CORP	25 7399	09/02/2011	102 96	29 7301	10/03/2012	118 91	15 95	15 49%	L
11	MICROSOFT CORP	25 7399	09/02/2011	283 14	27 2501	12/19/2012	299 74	16 60	5 86%	L
13	MICROSOFT CORP	25 7399	09/02/2011	334 62	26 8901	12/17/2012	349 56	14 94	4 46%	L
1	MID-AMER APT CMNTYS INC REIT	57 5576	10/03/2011	57 56	63 9100	12/19/2012	63 90	6 34	11 02%	L
1	MID-AMER APT CMNTYS INC REIT	57 5576	10/03/2011	57 56	64 0300	10/08/2012	64 02	6 46	11 23%	L
3	MID-AMER APT CMNTYS INC REIT	57 5576	10/03/2011	172 67	69 5834	07/30/2012	208 74	36 07	20 89%	S
1	MOLEX INC CL A	20 3200	03/22/2011	20 32	21 8301	05/04/2012	21 82	1 50	7 38%	L
15	MOLEX INC CL A	20 3200	03/22/2011	304 80	22 6196	02/07/2012	339 28	34 48	11 31%	S
3	MOLSON COORS BREWING CO CL B	44 5304	07/14/2011	133 59	42 0100	05/04/2012	126 02	(7 57)	-5 67%	S
3	MOLSON COORS BREWING CO CL B	44 5304	07/14/2011	133 59	43 5600	12/19/2012	130 67	(2 92)	-2 19%	L
3	MOLSON COORS BREWING CO CL B	44 5304	07/14/2011	133 59	44 1000	08/23/2012	132 29	(1 30)	-0 97%	L
3	MOLSON COORS BREWING CO CL B	44 5304	07/14/2011	133 59	44 8490	10/08/2012	134 54	0 95	0 71%	L
4	MONSANTO CO NEW	74 9100	11/15/2011	299 64	80 1936	03/01/2012	320 76	21 12	7 05%	S
1	MONSANTO CO NEW	73 5275	12/01/2011	73 53	73 5700	05/04/2012	73 56	0 03	0 04%	S
1	MONSANTO CO NEW	73 5275	12/01/2011	73 53	80 1936	03/01/2012	80 18	6 65	9 05%	S

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3	MONSANTO CO NEW	73 5275	12/01/2011	220 58	71 6833	05/14/2012	215 04	(5 54)	-2 51%	S
4	MONSANTO CO NEW	82 1300	02/03/2012	328 52	71 6833	05/14/2012	286 72	(41 80)	-12 72%	S
2	MONSANTO CO NEW	78 9300	03/12/2012	157 86	71 6833	05/14/2012	143 36	(14 50)	-9 19%	S
1	NETAPP INC	33 2900	12/07/2012	33 29	34 2701	12/19/2012	34 26	0 97	2 91%	S
30	NEWS CORP CL A	16 4000	07/22/2011	492 00	18 7500	01/26/2012	562 48	70 48	14 33%	S
1	NEWS CORP CL A	14 7382	08/05/2011	14 74	19 2501	05/04/2012	19 24	4 50	30 54%	S
2	NEWS CORP CL A	14 7382	08/05/2011	29 48	25 0800	10/08/2012	50 15	20 67	70 14%	L
5	NEWS CORP CL A	14 7382	08/05/2011	73 69	23 2099	08/23/2012	116 04	42 35	57 47%	L
9	NEWS CORP CL A	14 7382	08/05/2011	132 64	18 7500	01/26/2012	168 74	36 10	27 21%	S
4	NEWS CORP CL A	17 0870	08/16/2011	68 35	25 2401	12/19/2012	100 95	32 60	47 70%	L
3	NII HLDGS INC CL B NEW	41 1957	06/07/2011	123 59	8 0199	10/08/2012	24 05	(99 54)	-80 54%	L
4	NII HLDGS INC CL B NEW	41 1957	06/07/2011	164 78	7 2500	12/19/2012	28 99	(135 79)	-82 41%	L
5	NII HLDGS INC CL B NEW	41 1957	06/07/2011	205 98	6 2000	08/23/2012	30 99	(174 99)	-84 95%	L
2	NIKE INC CL B	93 7097	11/10/2011	187 42	91 1110	07/06/2012	182 21	(5 21)	-2 78%	S
2	NIKE INC CL B	93 7097	11/10/2011	187 42	105 2900	02/08/2012	210 57	23 15	12 35%	S
4	NIKE INC CL B	91 0400	11/25/2011	364 16	91 1110	07/06/2012	364 43	0 27	0 07%	S
4	NIKE INC CL B	94 2600	12/14/2011	377 04	97 1200	12/14/2012	388 47	11 43	3 03%	S
2	NOBLE CORPORATION BAAR NAMEN -AKT	33 9739	11/15/2012	67 95	35 8600	12/19/2012	71 71	3 76	5 54%	S
1	NOBLE CORPORATION BAAR NAMEN -AKT	34 4500	11/19/2012	34 45	35 8600	12/19/2012	35 85	1 40	4 06%	S
6	NORDSTROM INC	53 0498	03/07/2012	318 30	50 2202	07/02/2012	301 31	(16 99)	-5 34%	S

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1	NORDSTROM INC	55 0693	03/16/2012	55 07	56 0800	05/04/2012	56 07	1 00	1 82%	S
7	NORDSTROM INC	55 0693	03/16/2012	385 48	50 2202	07/02/2012	351 53	(33 95)	-8 81%	S
1	NORTHEAST UTILS	34 3100	03/22/2011	34 31	35 9900	05/04/2012	35 98	1 67	4 87%	L
27	NORTHEAST UTILS	34 3100	03/22/2011	926 37	37 8625	06/22/2012	1,022 26	95 89	10 35%	L
3	NOVARTIS A G SPONSORED ADR	54 8800	03/22/2011	164 64	54 0680	05/04/2012	162 19	(2 45)	-1 49%	L
37	NOVARTIS A G SPONSORED ADR	54 8800	03/22/2011	2,030 56	53 3015	05/09/2012	1,972 11	(58 45)	-2 88%	L
31	NOVARTIS A G SPONSORED ADR	57 9859	04/27/2011	1,797 56	53 3015	05/09/2012	1,652 31	(145 25)	-8 08%	L
2	NUCOR CORP	45 4300	03/22/2011	90 86	37 8200	05/04/2012	75 63	(15 23)	-16 76%	L
2	NUCOR CORP	45 4300	03/22/2011	90 86	39 1600	10/08/2012	78 31	(12 55)	-13 81%	L
2	NUCOR CORP	45 4300	03/22/2011	90 86	43 3500	12/19/2012	86 69	(4 17)	-4 59%	L
3	NUCOR CORP	45 4300	03/22/2011	136 29	39 2900	08/23/2012	117 86	(18 43)	-13 52%	L
1	OCCIDENTAL PETE CORP DEL	102 6996	02/03/2012	102 70	87 2700	08/23/2012	87 26	(15 44)	-15 03%	S
2	OCCIDENTAL PETE CORP DEL	102 6996	02/03/2012	205 40	83 3800	06/12/2012	166 75	(38 65)	-18 82%	S
4	OCCIDENTAL PETE CORP DEL	102 6996	02/03/2012	410 80	82 1203	10/10/2012	328 47	(82 33)	-20 04%	S
6	OCCIDENTAL PETE CORP DEL	84 7823	06/08/2012	508 69	82 1203	10/10/2012	492 70	(15 99)	-3 14%	S
1	OMNICOM GROUP INC	47 4400	03/22/2011	47 44	50 7000	12/19/2012	50 69	3 25	6 85%	L
1	OMNICOM GROUP INC	47 4400	03/22/2011	47 44	50 9600	08/23/2012	50 95	3 51	7 40%	L
1	OMNICOM GROUP INC	47 4400	03/22/2011	47 44	51 0400	05/04/2012	51 03	3 59	7 57%	L
1	OMNICOM GROUP INC	47 4400	03/22/2011	47 44	52 5000	10/08/2012	52 49	5 05	10 65%	L
6	OMNICOM GROUP INC	47 4400	03/22/2011	284 64	47 4000	01/19/2012	284 39	(0 25)	-0 09%	S
12	ORACLE CORP	31 2173	03/22/2011	374 61	29 2000	04/18/2012	350 39	(24 22)	-6 46%	L
13	ORACLE CORP	31 2173	03/22/2011	405 82	29 2300	03/30/2012	379 98	(25 84)	-6 37%	L
25	ORACLE CORP	31 2173	03/22/2011	780 43	28 7504	02/01/2012	718 74	(61 69)	-7 90%	S
2	PATTERSON COMPANIES INC	31 3300	03/22/2011	62 66	33 6100	05/04/2012	67 21	4 55	7 26%	L
1	PEABODY ENERGY CORP	27 7856	12/14/2012	27 79	27 0400	12/19/2012	27 03	(0 76)	-2 72%	S
4	PEOPLES UNITED FINANCIAL INC	12 9617	04/15/2011	51 85	12 2100	05/04/2012	48 83	(3 02)	-5 82%	L
5	PEOPLES UNITED FINANCIAL INC	12 9617	04/15/2011	64 81	12 3300	12/19/2012	61 64	(3 17)	-4 89%	L
1	PEPSICO INC	64 0000	03/22/2011	64 00	65 9500	05/04/2012	65 94	1 94	3 03%	L
1	PEPSICO INC	64 0000	03/22/2011	64 00	70 0000	12/19/2012	69 99	5 99	9 36%	L

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2	PEPSICO INC	64 0000	03/22/2011	128 00	71 3100	10/08/2012	142 61	14 61	11 41%	L
2	PEPSICO INC	64 0000	03/22/2011	128 00	72 6401	08/23/2012	145 27	17 27	13 49%	L
1	PEPSICO INC	68 8400	05/17/2012	68 84	71 3100	10/08/2012	71 30	2 46	3 57%	S
2	PEPSICO INC	68 8400	05/17/2012	137 68	72 6401	08/23/2012	145 27	7 59	5 51%	S
1	PERKINELMER INC	25 6800	03/22/2011	25 68	27 3000	08/23/2012	27 29	1 61	6 27%	L
1	PERKINELMER INC	25 6800	03/22/2011	25 68	30 3490	10/08/2012	30 34	4 66	18 15%	L
2	PERKINELMER INC	25 6800	03/22/2011	51 36	27 3700	05/04/2012	54 73	3 37	6 56%	L
2	PERKINELMER INC	25 6800	03/22/2011	51 36	31 9000	12/19/2012	63 79	12 43	24 20%	L
8	PERKINELMER INC	25 6800	03/22/2011	205 44	30 1487	09/18/2012	241 18	35 74	17 40%	L
17	PERKINELMER INC	25 6800	03/22/2011	436 56	26 1180	02/07/2012	444 00	7 44	1 70%	S
1	PERKINELMER INC	21 7600	08/04/2011	21 76	31 9000	12/19/2012	31 89	10 13	46 55%	L
13	PETSMART INC	40 8600	03/22/2011	531 18	68 9609	06/20/2012	896 46	365 28	68 77%	L
4	PFIZER INC	19 8500	03/22/2011	79 40	23 7590	08/23/2012	95 03	15 63	19 69%	L
4	PFIZER INC	19 8500	03/22/2011	79 40	25 3815	12/19/2012	101 52	22 12	27 86%	L
5	PFIZER INC	19 8500	03/22/2011	99 25	22 3500	05/04/2012	111 74	12 49	12 58%	L
6	PFIZER INC	19 8500	03/22/2011	119 10	25 4410	10/08/2012	152 64	33 54	28 16%	L
4	PFIZER INC	22 2208	05/09/2012	88 88	25 4410	10/08/2012	101 75	12 87	14 48%	S
6	PFIZER INC	22 2208	05/09/2012	133 32	23 7590	08/23/2012	142 54	9 22	6 91%	S
6	PFIZER INC	22 2208	05/09/2012	133 32	25 3815	12/19/2012	152 28	18 96	14 22%	S
1	PHILIP MORRIS INTL INC	63 6100	03/22/2011	63 61	84 7600	12/19/2012	84 75	21 14	33 23%	L
1	PHILIP MORRIS INTL INC	63 6100	03/22/2011	63 61	88 9290	08/23/2012	88 92	25 31	39 79%	L
1	PHILIP MORRIS INTL INC	63 6100	03/22/2011	63 61	89 1100	05/04/2012	89 10	25 49	40 07%	L
20	PHILIP MORRIS INTL INC	63 6100	03/22/2011	1,272 20	87 3447	04/17/2012	1,746 85	474 65	37 31%	L
5	PHILIP MORRIS INTL INC	68 8963	08/25/2011	344 48	74 0100	01/19/2012	370 04	25 56	7 42%	S
1	PHILIP MORRIS INTL INC	70 7975	11/10/2011	70 80	89 1100	05/04/2012	89 10	18 30	25 85%	S
19	PHILLIPS 66	31 6116	09/28/2011	600 62	35 8236	07/19/2012	680 63	80 01	13 32%	S
	PHILLIPS 66	0 0000	05/01/2012	0 00	0 0000	05/01/2012	16 90	16 90	N/A	S
3	PITNEY BOWES INC	24 3599	03/22/2011	73 08	13 7100	10/08/2012	41 12	(31 96)	-43 73%	L
4	PITNEY BOWES INC	24 3599	03/22/2011	97 44	17 0700	05/04/2012	68 27	(29 17)	-29 94%	L
6	PITNEY BOWES INC	24 3599	03/22/2011	146 16	13 5000	08/23/2012	80 99	(65 17)	-44 59%	L
98	PITNEY BOWES INC	24 3599	03/22/2011	2,387 27	12 7488	11/06/2012	1,249 35	(1,137 92)	-47 67%	L

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1	PLUM CREEK TIMBER CO INC REIT	35 4500	12/03/2009	36 03	42 7100	10/08/2012	42 70	6 67	18 50%	L
2	PLUM CREEK TIMBER CO INC REIT	35 4500	12/03/2009	72 07	44 4100	12/19/2012	88 81	16 74	23 23%	L
3	PLUM CREEK TIMBER CO INC REIT	35 4500	12/03/2009	108 10	38 7000	05/04/2012	116 09	7 99	7 39%	L
6	PLUM CREEK TIMBER CO INC REIT	35 4500	12/03/2009	216 21	40 3300	08/23/2012	241 97	25 76	11 92%	L
2	PNC FINL SVCS GROUP INC	53 6380	08/02/2011	107 28	58 8100	12/19/2012	117 61	10 33	9 63%	L
2	PNC FINL SVCS GROUP INC	53 6380	08/02/2011	107 28	61 8400	08/23/2012	123 67	16 39	15 28%	L
2	PNC FINL SVCS GROUP INC	53 6380	08/02/2011	107 28	64 5590	10/08/2012	129 11	21 83	20 35%	L
2	PNC FINL SVCS GROUP INC	53 6380	08/02/2011	107 28	65 2000	05/04/2012	130 39	23 11	21 55%	S
6	PNC FINL SVCS GROUP INC	56 1398	10/27/2011	336 84	58 3528	03/08/2012	350 11	13 27	3 94%	S
7	PNC FINL SVCS GROUP INC	56 1398	10/27/2011	392 98	63 9993	09/27/2012	447 98	55 00	14 00%	S
1	PNC FINL SVCS GROUP INC	59 4429	01/19/2012	59 44	65 2000	05/04/2012	65 19	5 75	9 67%	S
5	PNC FINL SVCS GROUP INC	59 4429	01/19/2012	297 22	63 9993	09/27/2012	319 99	22 77	7 66%	S
4	PPL CORP	28 3706	09/07/2011	113 48	29 1600	10/08/2012	116 63	3 15	2 77%	L
5	PPL CORP	28 3706	09/07/2011	141 85	27 4200	05/04/2012	137 09	(4 76)	-3 36%	S
5	PPL CORP	28 3706	09/07/2011	141 85	29 0100	08/23/2012	145 04	3 19	2 25%	S
5	PPL CORP	28 3706	09/07/2011	141 85	29 1700	12/19/2012	145 84	3 99	2 81%	L
6	PRECISION CASTPARTS CORP	141 1300	03/22/2011	846 78	158 6960	09/18/2012	952 15	105 37	12 44%	L
6	PROCTER & GAMBLE CO	67 0800	05/16/2011	402 48	64 5601	01/24/2012	387 35	(15 13)	-3 76%	S
3	QUALCOMM INC	52 4600	03/22/2011	157 38	62 0899	05/04/2012	186 26	28 88	18 35%	L
6	QUALCOMM INC	52 4600	03/22/2011	314 76	53 6700	07/12/2012	322 01	7 25	2 30%	L
1	QUALCOMM INC	52 8200	04/18/2011	52 82	61 4799	10/08/2012	61 47	8 65	16 38%	L
1	QUALCOMM INC	52 8200	04/18/2011	52 82	62 0602	08/23/2012	62 05	9 23	17 47%	L
6	QUALCOMM INC	52 8200	04/18/2011	316 92	53 6700	07/12/2012	322 01	5 09	1 61%	L
1	QUALCOMM INC	57 4200	11/15/2011	57 42	62 0899	05/04/2012	62 08	4 66	8 12%	S
3	QUEST SOFTWARE INC	22 9346	06/30/2011	68 80	22 8601	05/04/2012	68 57	(0 23)	-0 34%	S
5	QUEST SOFTWARE INC	22 9346	06/30/2011	114 67	27 8501	07/11/2012	139 24	24 57	21 42%	L
32	QUEST SOFTWARE INC	22 9346	06/30/2011	733 91	27 6600	06/26/2012	885 10	151 19	20 60%	S
6	QUEST SOFTWARE INC	19 5600	07/19/2011	117 36	27 9201	07/30/2012	167 51	50 15	42 73%	L

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7	QUEST SOFTWARE INC	19 5600	07/19/2011	136 92	27 8501	07/11/2012	194 94	58 02	42 38%	S
12	QUEST SOFTWARE INC	23 8486	03/13/2012	286 18	27 9201	07/30/2012	335 03	48 85	17 07%	S
1	REINSURANCE GROUP AMER INC COM NEW	62 1500	03/22/2011	62 15	54 4300	05/04/2012	54 42	(7 73)	-12 44%	L
1	REINSURANCE GROUP AMER INC COM NEW	62 1500	03/22/2011	62 15	58 3100	10/08/2012	58 30	(3 85)	-6 19%	L
11	REINSURANCE GROUP AMER INC COM NEW	62 1500	03/22/2011	683 65	53 2200	10/22/2012	585 40	(98 25)	-14 37%	L
6	REINSURANCE GROUP AMER INC COM NEW	48 2385	08/22/2011	289 43	53 2200	10/22/2012	319 31	29 88	10 32%	L
2	ROCKWOOD HLDGS INC	47 3959	05/24/2012	94 79	46 5300	08/23/2012	93 05	(1 74)	-1 84%	S
5	ROCKWOOD HLDGS INC	47 3959	05/24/2012	236 98	47 2527	10/10/2012	236 25	(0 73)	-0 31%	S
6	ROCKWOOD HLDGS INC	43 7200	06/04/2012	262 32	47 2527	10/10/2012	283 51	21 19	8 08%	S
11	ROCKWOOD HLDGS INC	43 8694	07/30/2012	482 56	47 2527	10/10/2012	519 76	37 20	7 71%	S
1	SAUER-DANFOSS INC	43 1139	05/10/2012	43 11	38 2300	08/23/2012	38 22	(4 89)	-11 35%	S
1	SAUER-DANFOSS INC	43 1139	05/10/2012	43 11	40 5200	10/08/2012	40 51	(2 60)	-6 04%	S
2	SAUER-DANFOSS INC	43 1139	05/10/2012	86 23	52 4233	11/30/2012	104 84	18 61	21 59%	S
10	SAUER-DANFOSS INC	43 1139	05/10/2012	431 14	52 5153	11/29/2012	525 13	93 99	21 80%	S
9	SAUER-DANFOSS INC	38 6680	05/24/2012	348 01	52 4233	11/30/2012	471 79	123 78	35 57%	S
7	SAUER-DANFOSS INC	36 9489	06/12/2012	258 64	52 4233	11/30/2012	366 95	108 31	41 88%	S
1	SCHLUMBERGER LTD	88 5899	03/22/2011	88 59	74 1300	08/23/2012	74 12	(14 47)	-16 33%	L
5	SCHLUMBERGER LTD	88 5899	03/22/2011	442 95	71 7903	03/22/2012	358 94	(84 01)	-18 97%	S
5	SCHLUMBERGER LTD	88 5899	03/22/2011	442 95	75 0274	03/15/2012	375 13	(67 82)	-15 31%	S
1	SCHLUMBERGER LTD	84 0500	06/07/2011	84 05	69 8190	05/04/2012	69 81	(14 24)	-16 94%	S
1	SCHLUMBERGER LTD	84 0500	06/07/2011	84 05	71 4900	10/08/2012	71 48	(12 57)	-14 96%	L
1	SCHWAB CHARLES CORP NEW	13 9100	03/08/2012	13 91	13 1300	10/08/2012	13 12	(0 79)	-5 68%	S
1	SCHWAB CHARLES CORP NEW	13 9100	03/08/2012	13 91	14 4415	12/19/2012	14 43	0 52	3 74%	S
3	SCHWAB CHARLES CORP NEW	13 9100	03/08/2012	41 73	13 0000	08/23/2012	38 99	(2 74)	-6 57%	S
3	SCHWAB CHARLES CORP NEW	13 9100	03/08/2012	41 73	13 5200	05/04/2012	40 55	(1 18)	-2 83%	S
1	SIGMA ALDRICH CORP	63 3100	03/22/2011	63 31	73 1900	10/08/2012	73 18	9 87	15 59%	L
1	STATE STR CORP	31 4265	09/26/2011	31 43	45 2700	05/04/2012	45 26	13 83	44 02%	S
25	STATE STR CORP	31 4265	09/26/2011	785 67	43 6501	06/18/2012	1,091 22	305 55	38 89%	S

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Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/ (Loss)	Prct Gain/ (Loss)	Term Of Gain/ (Loss)
1	SYNOPSYS INC	28 0216	01/17/2012	28 02	32 0900	12/19/2012	32 08	4 06	14 48%	S
1	SYNOPSYS INC	28 0216	01/17/2012	28 02	33 1400	08/23/2012	33 13	5 11	18 23%	S
2	SYNOPSYS INC	28 0216	01/17/2012	56 04	32 6298	10/08/2012	65 25	9 21	16 43%	S
189	TAIWAN SEMICONDUCTOR MFG LTD SPONSORED ADR	11 8599	03/22/2011	2,241 52	14 8816	04/09/2012	2,812 55	571 03	25 47%	L
2	TARGET CORP	47 5241	06/21/2011	95 05	61 5700	12/19/2012	123 13	28 08	29 54%	L
2	TARGET CORP	47 5241	06/21/2011	95 05	63 8290	10/08/2012	127 65	32 60	34 30%	L
3	TARGET CORP	47 5241	06/21/2011	142 57	55 7310	05/04/2012	167 18	24 61	17 26%	S
3	TARGET CORP	47 5241	06/21/2011	142 57	62 9500	08/23/2012	188 84	46 27	32 45%	L
4	TARGET CORP	62 4495	11/16/2012	249 80	61 5700	12/19/2012	246 27	(3 53)	-1 41%	S
3	TD AMERITRADE HLDG CORP	20 7600	03/22/2011	62 28	18 3400	05/04/2012	55 01	(7 27)	-11 67%	L
2	TEVA PHARMACEUTICAL INDS LTD ADR	39 2224	07/02/2012	78 44	40 3300	10/08/2012	80 65	2 21	2 81%	S
5	TEVA PHARMACEUTICAL INDS LTD ADR	39 2224	07/02/2012	196 11	40 4410	08/23/2012	202 20	6 09	3 10%	S
47	TEVA PHARMACEUTICAL INDS LTD ADR	39 2224	07/02/2012	1,843 45	38 9525	12/13/2012	1,830 72	(12 73)	-0 69%	S
59 003	THORNBURG INTL VALUE FD-A OPEN END	28 6100	03/22/2011	1,688 08	26 3100	10/08/2012	1,552 37	(135 71)	-8 04%	L
84 319	THORNBURG INTL VALUE FD-A OPEN END	28 6100	03/22/2011	2,412 37	26 0000	05/04/2012	2,192 29	(220 08)	-9 12%	L
87 597	THORNBURG INTL VALUE FD-A OPEN END	28 6100	03/22/2011	2,506 15	27 5900	12/19/2012	2,416 80	(89 35)	-3 57%	L
96 97	THORNBURG INTL VALUE FD-A OPEN END	28 6100	03/22/2011	2,774 31	25 8000	08/23/2012	2,501 83	(272 48)	-9 82%	L
1	TIME WARNER CABLE INC	81 9507	04/17/2012	81 95	98 5590	10/08/2012	98 55	16 60	20 26%	S
2	TIME WARNER CABLE INC	81 9507	04/17/2012	163 90	78 4700	05/04/2012	156 93	(6 97)	-4 25%	S
2	TIME WARNER CABLE INC	81 9507	04/17/2012	163 90	88 8900	08/23/2012	177 77	13 87	8 46%	S
2	TIME WARNER CABLE INC	81 9507	04/17/2012	163 90	95 6000	12/19/2012	191 19	27 29	16 65%	S
1	TIME WARNER CABLE INC	85 0300	07/30/2012	85 03	95 6000	12/19/2012	95 59	10 56	12 42%	S
3	TOTAL S A SPONSORED ADR	59 1800	03/22/2011	177 54	49 8700	10/08/2012	149 60	(27 94)	-15 74%	L
3	TOTAL S A SPONSORED ADR	59 1800	03/22/2011	177 54	51 9100	12/19/2012	155 72	(21 82)	-12 29%	L

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PAUL F MCLAUGHLIN &

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Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/ (Loss)	Prct Gain/ (Loss)	Term Of Gain/ (Loss)
4	TOTAL S A SPONSORED ADR	59 1800	03/22/2011	236 72	46 3566	05/04/2012	185 42	(51 30)	-21 67%	L
5	TOTAL S A SPONSORED ADR	59 1800	03/22/2011	295 90	49 1990	08/23/2012	245 99	(49 91)	-16 87%	L
1	TOWERS WATSON & CO CL A	59 9818	01/03/2012	59 98	53 6000	10/08/2012	53 59	(6 39)	-10 66%	S
1	TOWERS WATSON & CO CL A	59 9818	01/03/2012	59 98	58 6200	12/19/2012	58 61	(1 37)	-2 29%	S
3	TOWERS WATSON & CO CL A	59 9818	01/03/2012	179 95	54 0300	08/23/2012	162 08	(17 87)	-9 93%	S
1	TRAVELERS COMPANIES INC	58 4900	03/22/2011	58 49	69 6090	10/08/2012	69 60	11 11	18 99%	L
2	TRAVELERS COMPANIES INC	58 4900	03/22/2011	116 98	64 2500	05/04/2012	128 49	11 51	9 84%	L
2	TRAVELERS COMPANIES INC	58 4900	03/22/2011	116 98	64 7200	08/23/2012	129 43	12 45	10 64%	L
2	TRAVELERS COMPANIES INC	58 4900	03/22/2011	116 98	73 5100	12/19/2012	147 01	30 03	25 67%	L
1	UNION PAC CORP	94 6400	03/22/2011	94 64	122 8200	10/08/2012	122 81	28 17	29 77%	L
1	UNION PAC CORP	94 6400	03/22/2011	94 64	123 6900	08/23/2012	123 68	29 04	30 68%	L
3	UNION PAC CORP	94 6400	03/22/2011	283 92	114 0137	03/15/2012	342 03	58 11	20 47%	S
1	UNITED PARCEL SERVICE INC CL B	72 1400	03/22/2011	72 14	73 7000	10/08/2012	73 69	1 55	2 15%	L
1	UNITED PARCEL SERVICE INC CL B	72 1400	03/22/2011	72 14	75 9000	12/19/2012	75 89	3 75	5 20%	L
2	UNITED PARCEL SERVICE INC CL B	72 1400	03/22/2011	144 28	75 4700	08/23/2012	150 93	6 65	4 61%	L
3	UNITED PARCEL SERVICE INC CL B	72 1400	03/22/2011	216 42	77 7900	05/04/2012	233 36	16 94	7 83%	L
5	UNITED PARCEL SERVICE INC CL B	72 1400	03/22/2011	360 70	73 7100	09/11/2012	368 54	7 84	2 17%	L
4	UNITED PARCEL SERVICE INC CL B	66 2200	10/06/2011	264 88	73 7100	09/11/2012	294 83	29 95	11 31%	S
5	UNITED PARCEL SERVICE INC CL B	77 0480	02/29/2012	385 24	73 7100	09/11/2012	368 54	(16 70)	-4 33%	S
11	UNITED TECHNOLOGIES CORP	80 7000	03/22/2011	887 70	73 7022	05/17/2012	810 70	(77 00)	-8 67%	L
1	UNITEDHEALTH GROUP INC	49 2500	06/03/2011	49 25	53 9100	08/23/2012	53 90	4 65	9 44%	L
3	UNITEDHEALTH GROUP INC	49 2500	06/03/2011	147 75	55 9503	10/17/2012	167 84	20 09	13 60%	L

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Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/ (Loss)	Prct Gain/ (Loss)	Term Of Gain/ (Loss)
10	UNITEDHEALTH GROUP INC	52 0009	06/21/2011	520 01	55 9503	10/17/2012	559 48	39 47	7 59%	L
1	V F CORP	148 3454	03/28/2012	148 35	132 3000	07/02/2012	132 29	(16 06)	-10 82%	S
1	V F CORP	148 3454	03/28/2012	148 35	144 5370	04/10/2012	144 53	(3 82)	-2 57%	S
1	V F CORP	148 3454	03/28/2012	148 35	148 9500	05/04/2012	148 94	0 59	0 40%	S
1	V F CORP	145 5700	04/11/2012	145 57	132 3000	07/02/2012	132 29	(13 28)	-9 12%	S
3	V F CORP	149 1272	04/13/2012	447 38	134 8542	07/05/2012	404 55	(42 83)	-9 57%	S
1	VALASSIS COMMUNICATIONS INC	28 2700	05/02/2011	28 27	24 7500	08/23/2012	24 74	(3 53)	-12 49%	L
1	VALASSIS COMMUNICATIONS INC	28 2700	05/02/2011	28 27	25 7680	10/08/2012	25 76	(2 51)	-8 88%	L
2	VALASSIS COMMUNICATIONS INC	28 2700	05/02/2011	56 54	19 5100	05/04/2012	39 01	(17 53)	-31 00%	L
3	VALASSIS COMMUNICATIONS INC	28 2700	05/02/2011	84 81	26 6500	12/19/2012	79 94	(4 87)	-5 74%	L
12	VALASSIS COMMUNICATIONS INC	28 2700	05/02/2011	339 24	24 8679	08/20/2012	298 40	(40 84)	-12 04%	L
5	VALSPAR CORP	30 4054	08/23/2011	152 03	44 2002	02/07/2012	220 99	68 96	45 36%	S
16	VALSPAR CORP	30 4054	08/23/2011	486 48	45 9537	02/16/2012	735 24	248 76	51 13%	S
1	VECTREN CORP	28 0787	05/25/2011	28 08	29 0800	05/04/2012	29 07	0 99	3 53%	S
1	VECTREN CORP	28 0787	05/25/2011	28 08	30 0700	12/19/2012	30 06	1 98	7 06%	L
8	VIACOM INC NEW CL B	51 2261	05/09/2011	409 81	47 2100	04/05/2012	377 67	(32 14)	-7 84%	S
8	VIACOM INC NEW CL B	50 3900	07/12/2011	403 12	47 5327	05/02/2012	380 25	(22 87)	-5 67%	S
8	VIACOM INC NEW CL B	48 1481	01/26/2012	385 18	47 5327	05/02/2012	380 25	(4 93)	-1 28%	S
1	VMWARE INC CL A COM	101 1400	03/02/2012	101 14	89 8210	06/29/2012	89 81	(11 33)	-11 20%	S
3	VMWARE INC CL A COM	101 1400	03/02/2012	303 42	90 2820	06/15/2012	270 84	(32 58)	-10 74%	S
3	VMWARE INC CL A COM	112 3227	03/30/2012	336 97	89 8210	06/29/2012	269 45	(67 52)	-20 04%	S
3	WEATHERFORD INTERNATIONAL LTD REG SHS	20 7900	03/22/2011	62 37	11 9690	10/08/2012	35 90	(26 47)	-42 44%	L
6	WEATHERFORD INTERNATIONAL LTD REG SHS	20 7900	03/22/2011	124 74	12 6700	08/23/2012	76 01	(48 73)	-39 07%	L
7	WEATHERFORD INTERNATIONAL LTD REG SHS	20 7900	03/22/2011	145 53	9 1400	11/14/2012	63 97	(81 56)	-56 04%	L

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Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/ (Loss)	Prct Gain/ (Loss)	Term Of Gain/ (Loss)
22	WEATHERFORD INTERNATIONAL LTD REG SHS	20 7200	03/23/2011	455 84	9 1400	11/14/2012	201 07	(254 77)	-55 89%	L
21	WEATHERFORD INTERNATIONAL LTD REG SHS	19 7295	05/26/2011	414 32	9 1400	11/14/2012	191 93	(222 39)	-53 68%	L
25	WEATHERFORD INTERNATIONAL LTD REG SHS	13 1299	10/06/2011	328 25	9 1400	11/14/2012	228 49	(99 76)	-30 39%	L
19	WEATHERFORD INTERNATIONAL LTD REG SHS	12 5999	07/30/2012	239 40	9 1400	11/14/2012	173 65	(65 75)	-27 46%	S
33	WEINGARTEN RLTY INVS SH BEN INT REIT	24 3600	03/22/2011	803 88	24 7635	03/09/2012	817 18	13 30	1 65%	S
12	WEINGARTEN RLTY INVS SH BEN INT REIT	22 1719	08/22/2011	266 06	24 7635	03/09/2012	297 15	31 09	11 69%	S
3	WELLPOINT INC	67 2400	03/22/2011	201 72	64 3200	01/30/2012	192 95	(8 77)	-4 35%	S
6	WELLPOINT INC	68 2744	04/18/2011	409 65	64 3200	01/30/2012	385 91	(23 74)	-5 80%	S
6	WELLPOINT INC	75 1500	04/27/2011	450 90	66 1322	02/01/2012	396 78	(54 12)	-12 00%	S
3	WELLS FARGO & CO NEW	33 8844	03/15/2012	101 65	35 8390	10/08/2012	107 51	5 86	5 76%	S
5	WELLS FARGO & CO NEW	33 8844	03/15/2012	169 42	34 7200	12/19/2012	173 59	4 17	2 46%	S
1	WHITING PETE CORP NEW	41 8215	11/29/2012	41 82	43 3600	12/19/2012	43 35	1 53	3 66%	S
66 811	WHV INTL EQUITY FUND CLASS A OPEN END	21 5200	03/22/2011	1,437 77	19 1000	10/08/2012	1,276 09	(161 68)	-11 25%	L
90 085	WHV INTL EQUITY FUND CLASS A OPEN END	21 5200	03/22/2011	1,938 63	18 6800	05/04/2012	1,682 79	(255 84)	-13 20%	L
92 975	WHV INTL EQUITY FUND CLASS A OPEN END	21 5200	03/22/2011	2,000 82	19 7300	12/19/2012	1,834 40	(166 42)	-8 32%	L
116 273	WHV INTL EQUITY FUND CLASS A OPEN END	21 5200	03/22/2011	2,502 20	19 0800	08/23/2012	2,218 49	(283 71)	-11 34%	L
1	ZEBRA TECHNOLOGIES CORP CL A	37 0300	03/22/2011	37 03	36 9900	08/23/2012	36 98	(0 05)	-0 14%	L
1	ZEBRA TECHNOLOGIES CORP CL A	37 0300	03/22/2011	37 03	37 9400	10/08/2012	37 93	0 90	2 43%	L
1	ZEBRA TECHNOLOGIES CORP CL A	37 0300	03/22/2011	37 03	39 5300	12/19/2012	39 52	2 49	6 72%	L

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Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/ (Loss)	Prct Gain/ (Loss)	Term Of Gain/ (Loss)
2	ZEBRA TECHNOLOGIES CORP CL A	37 0300	03/22/2011	74 06	37 8300	05/04/2012	75 65	1 59	2 15%	L
5	ZEBRA TECHNOLOGIES CORP CL A	37 0300	03/22/2011	185 15	36 9500	08/20/2012	184 74	(0 41)	-0 22%	L
			TOTAL	**203,190 67**			**207,913 90**			

TOTAL ST COST	76,480 23	TOTAL ST PROCEEDS	79,942 47
TOTAL LT COST	126,710 44	TOTAL LT PROCEEDS	127,971 43
TOTAL COST	203,190 67	TOTAL PROCEEDS	207,913 90

TOTAL SHORT TERM GAIN/(LOSS)	3,462 25
TOTAL LONG TERM GAIN/(LOSS)	1,260 99
TOTAL REALIZED GAIN/(LOSS)	4,723 24

Positions and/or balances marked with an * have been posted to a non-U S currency account
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The information reflected is from sources believed to be reliable and is subject to change Past performance is not indicative of future results