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Department of the Treasury
Internal Revenue Service**Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation**

OMB No 1545 0052

2012**Note.** The foundation may be able to use a copy of this return to satisfy state reporting requirements**For calendar year 2012, or tax year beginning , 2012, and ending**

Name of foundation THE ROBERT & MARION SCHAMANN BROZOWSKI FOUNDATION, INC.		A Employer identification number 22-3613766
Number and street (or P O box number if mail is not delivered to street address) 355 EAST LINDEN AVENUE		B Telephone number (see the instructions) (908) 862-4990
City or town LINDEN	State ZIP code NJ 07036	C If exemption application is pending, check here ☐
G Check all that apply		D 1 Foreign organizations, check here ☐
☐ Initial return	☐ Initial Return of a former public charity	2 Foreign organizations meeting the 85% test, check here and attach computation ☐
☐ Final return	☐ Amended return	E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
☐ Address change	☐ Name change	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation		
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		
I Fair market value of all assets at end of year (from Part II, column (c), line 16) \$ 8,472,751.	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc., received (att sch)	316,157.			
	2 ☐ if the foundn is not req to att Sch B				
	3 Interest on savings and temporary cash investments	64.	64.		
	4 Dividends and interest from securities	343,642.	300,595.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain/(loss) from sale of assets not on line 10	103,753.			
	b Gross sales price for all assets on line 6a	3,526,527.			
	7 Capital gain net income (from Part IV, line 2)		103,753.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit/(loss) (att sch)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	763,616.	404,412.			
ADMINISTRATIVE AND OPERATING EXPENSES	13 Compensation for officers, directors, trustees, etc	10,000.	1,500.		8,500.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule) L-16a Stmt	4,850.	728.		4,122.
	b Accounting fees (attach sch)				
	c Other prof fees (attach sch) L-16c Stmt	16,209.	2,433.		13,776.
	17 Interest				
	18 Taxes (attach schedule)(see instrs) See Line 18 Stmt	9,043.	793.		
	19 Depreciation (attach sch) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	24 Total operating and administrative expenses. Add lines 13 through 23	40,102.	5,454.		26,398.
	25 Contributions, gifts, grants paid	420,625.			420,625.
26 Total expenses and disbursements. Add lines 24 and 25	460,727.	5,454.		447,023.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	302,889.				
b Net investment income (if negative, enter -0)		398,958.			
c Adjusted net income (if negative, enter -0)					

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
ASSETS	1	Cash — non-interest-bearing				
	2	Savings and temporary cash investments		641,772.	1,123,772.	1,126,541.
	3	Accounts receivable				
		Less: allowance for doubtful accounts				
	4	Pledges receivable				
		Less: allowance for doubtful accounts				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach sch)				
		Less: allowance for doubtful accounts				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments — U S and state government obligations (attach schedule) L-10a Stmt	963,840.	411,137.	433,185.	
	b	Investments — corporate stock (attach schedule) L-10b Stmt	3,057,742.	3,633,977.	3,625,481.	
	c	Investments — corporate bonds (attach schedule) L-10c Stmt	1,794,142.	2,152,096.	2,261,136.	
	11	Investments — land, buildings, and equipment basis				
	Less: accumulated depreciation (attach schedule)					
12	Investments — mortgage loans					
13	Investments — other (attach schedule) L-13 Stmt	699,097.	470,904.	501,408.		
14	Land, buildings, and equipment basis					
	Less: accumulated depreciation (attach schedule)					
15	Other assets (describe CERTIFICATES OF INTEREST)	525,000.	525,000.	525,000.		
16	Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I)	7,681,593.	8,316,886.	8,472,751.		
LIABILITIES	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, & other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe)	0.	0.		
23	Total liabilities (add lines 17 through 22)	0.	0.			
NET ASSETS OR FUND BALANCES	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ☐					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ☒					
	27	Capital stock, trust principal, or current funds	7,681,593.	8,316,886.		
	28	Paid-in or capital surplus, or land, building, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds	0.	0.		
	30	Total net assets or fund balances (see instructions)	7,681,593.	8,316,886.		
	31	Total liabilities and net assets/fund balances (see instructions)	7,681,593.	8,316,886.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	7,681,593.
2	Enter amount from Part I, line 27a	2	302,889.
3	Other increases not included in line 2 (itemize) <u>UNREALIZED GAIN</u>	3	332,404.
4	Add lines 1, 2, and 3	4	8,316,886.
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	8,316,886.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a	SEE WELLS FARGO STATEMENT, ATTACHED	D	Various	Various
b	SEE ROOSEVELT INVESTMENT STATEMENT, ATTACHED	D	Various	Various
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,767,570.		1,765,226.	2,344.
b 1,758,958.		1,657,549.	101,409.
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a 0.	0.	0.	2,344.
b 0.	0.	0.	101,409.
c			
d			
e			

2 Capital gain net income or (net capital loss)	— [If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7]	2	103,753.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	— [If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8]	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2011	317,859.	5,899,235.	0.053881
2010	169,675.	3,360,706.	0.050488
2009	153,696.	1,685,008.	0.091214
2008	84,790.	926,902.	0.091477
2007	42,307.	418,984.	0.100975

2 Total of line 1, column (d)	2	0.388035
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.077607
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	8,200,284.
5 Multiply line 4 by line 3	5	636,399.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	3,990.
7 Add lines 5 and 6	7	640,389.
8 Enter qualifying distributions from Part XII, line 4	8	447,023.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter 'N/A' on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary – see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	7,979.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3 Add lines 1 and 2		3	7,979.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	7,979.
6 Credits/Payments:			
a 2012 estimated tax pmts and 2011 overpayment credited to 2012	6 a	5,600.	
b Exempt foreign organizations – tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c	3,000.	
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments Add lines 6a through 6d	7	8,600.	
8 Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	621.	
11 Enter the amount of line 10 to be Credited to 2013 estimated tax ☐ Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ _____ (2) On foundation managers \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>NJ - New Jersey</u>		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>	X	

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes', attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>EDMUND FAULKNER</u> Telephone no <u>(908) 862-4990</u> Located at <u>355 E. LINDEN AVE.</u> <u>LINDEN</u> <u>NJ</u> ZIP + 4 <u>07036</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year <u>15</u>			
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If 'Yes,' enter the name of the foreign country <u></u>	16		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No	
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <u></u>	1 b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? If 'Yes,' list the years <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions)	2 b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If 'Yes,' did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)	3 b	
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4 b	X

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Part VII-B. Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5 a.** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5 b

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

6 a. Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6 b

If 'Yes' to 6b, file Form 8870

7 a. At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

7 b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JAMES KOSLOVSKI 355 EAST LINDEN AVENUE LINDEN NJ 07036	PRESIDENT 5.00	2,000.	0.	0.
EDMUND FAULKNER 355 EAST LINDEN AVENUE LINDEN NJ 07036	VICE PRESIDENT 5.00	2,000.	0.	0.
VERONICA DANIEL 355 EAST LINDEN AVENUE LINDEN NJ 07036	SECRETARY 2.00	2,000.	0.	0.
See Information about Officers, Directors, Trustees, Etc.		4,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 — see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

None

Part VIII: Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE ----- ----- -----		
----- ----- -----		
----- ----- -----		
----- ----- -----		
----- ----- -----		
----- ----- -----		
----- ----- -----		
----- ----- -----		
----- ----- -----		
----- ----- -----		
Total number of others receiving over \$50,000 for professional services		None

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc		Expenses
1		
2		
3		
4		

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 	
2 	
All other program-related investments See instructions. 3 	
Total. Add lines 1 through 3	

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Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1 a	7,348,133.
b	Average of monthly cash balances	1 b	452,028.
c	Fair market value of all other assets (see instructions)	1 c	525,000.
d	Total (add lines 1a, b, and c)	1 d	8,325,161.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	8,325,161.
4	Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	124,877.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	8,200,284.
6	Minimum investment return. Enter 5% of line 5	6	410,014.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	410,014.
2a	Tax on investment income for 2012 from Part VI, line 5	2 a	7,979.
b	Income tax for 2012 (This does not include the tax from Part VI)	2 b	
c	Add lines 2a and 2b	2 c	7,979.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	402,035.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	402,035.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	402,035.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	447,023.
b	Program-related investments — total from Part IX-B	1 b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3 a	
b	Cash distribution test (attach the required schedule)	3 b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	447,023.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	447,023.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				402,035.
2 Undistributed income, if any, as of the end of 2012:				
a Enter amount for 2011 only			0.	
b Total for prior years 20 __, 20 __, 20 __				
3 Excess distributions carryover, if any, to 2012:				
a From 2007	42,500.			
b From 2008	38,865.			
c From 2009	70,227.			
d From 2010	4,371.			
e From 2011	28,347.			
f Total of lines 3a through e	184,310.			
4 Qualifying distributions for 2012 from Part XII, line 4 \$ 447,023.				
a Applied to 2011, but not more than line 2a				
b Applied to undistributed income of prior years (Election required — see instructions)				
c Treated as distributions out of corpus (Election required — see instructions)				
d Applied to 2012 distributable amount				402,035.
e Remaining amount distributed out of corpus	44,988.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	229,298.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount — see instructions		0.		
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount — see instructions			0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)	42,500.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	186,798.			
10 Analysis of line 9.				
a Excess from 2008	38,865.			
b Excess from 2009	70,227.			
c Excess from 2010	4,371.			
d Excess from 2011	28,347.			
e Excess from 2012	44,988.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2012	(b) 2011	(c) 2010	(d) 2009	
b 85% of line 2a				
c Qualifying distributions from Part XII, line 4 for each year listed				
d Amounts included in line 2c not used directly for active conduct of exempt activities				
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c				
3 Complete 3a, b, or c for the alternative test relied upon				
a 'Assets' alternative test — enter				
(1) Value of all assets				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				
b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				
c 'Support' alternative test — enter				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				
(3) Largest amount of support from an exempt organization				
(4) Gross investment income				

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year — see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)

MARION BROZOWSKI (DATE OF DEATH: MAY 5, 2008)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

EDMUND FAULKNER
355 EAST LINDEN AVENUE
LINDEN NJ 07036 (908) 862-4990

b The form in which applications should be submitted and information and materials they should include

NO PRESCRIBED FORM

c Any submission deadlines

NO DEADLINE DATE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

Part XV **Supplementary Information** (continued)

3. Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient		If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)					
a Paid during the year					
AMANDLA CROSSING - MIPH 100 MITCH SNYDER DRIVE EDISON NJ 08837		NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	6,000.
AMERICA'S GROW A ROW 150 PITTSTOWN ROAD PITTSTOWN NJ 08867		NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	6,000.
ASSOCIATED HUMANE SOCIETIES ANIMAL RESCUE 124 EVERGREEN AVENUE NEWARK NJ 07114		NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	17,500.
BONNIE BRAE 3415 VALLEY ROAD LIBERTY CORNER NJ 07938		NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	13,000.
CAT ADOPTION AND PET INFO CENTER PO BOX 266 MARTINSVILLE NJ 08836		NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	13,500.
CENTER FOR HOPE HOSPICE 1900 RARITAN ROAD SCOTCH PLAINS NJ 07076		NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	25,000.
JACK'S KIDS 375 UNION AVENUE BRIDGEWATER NJ 08807		NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	5,000.
COMMUNITY FOOD BANK OF NJ 33 EVANS TERMINAL ROAD HILLSIDE NJ 07205		NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	10,000.
DEBORAH HOSPITAL FOUNDATION 20 PINE MILL ROAD BROWNS MILLS NJ 08015		NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	15,000.
See Line 3a statement					309,625.
Total					420,625.
b Approved for future payment					
Total					

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

► **Attach to Form 990, Form 990-EZ, or Form 990-PF**

OMB No 1545 0047

2012

Name of the organization

THE ROBERT & MARION SCHAMANN BROZOWSKI FOUNDATION, INC.

Employer identification number

22-3613766

Organization type (check one)

Filers of:

Form 990 or 990-EZ

Section:

☐ 501(c)(____) (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. (Complete Parts I and II.)

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ► \$ _____

Caution: An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF) but it **must** answer 'No' on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2, of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990EZ, or 990-PF.

Schedule **B** (Form 990, 990-EZ, or 990-PF) (2012)

Name of organization

Employer identification number

THE ROBERT & MARION SCHAMANN BROZOWSKI FOUNDATION, INC.

22-3613766

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed.

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ESTATE OF MARION BROZOWSKI PO BOX 2029 LINDEN NJ 07036	\$ 372,689.	Person ☒ Payroll ☐ Noncash ☒ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)



2012 ENHANCED SUMMARY

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As of Date: 2/08/13

Your Financial Advisor :
MCKNIGHT/BROWN/MILLER/SHOLDER
600 PENN STREET
READING, PA 19602
(888) 243-1422

THE ROBERT AND MARION SCHAMANN
BROZOWSKI FOUNDATION
P O BOX 2029
LINDEN NJ 07036

Account Number: 6008-6436

Year-End Account Summary

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes. Please consult with your Financial Advisor or Tax Advisor regarding specific questions.

For non covered transactions, we provide supplemental cost basis information, when it is available, to assist you with completing your tax return, however, THIS INFORMATION IS NOT PROVIDED TO THE INTERNAL REVENUE SERVICE.

SHORT TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2012

Description (Box 8) Stock or Other Symbol (Box 1d)	Quantity Sold (Box 1e)	Unit Price	Date of		Sales Price (Box 2a)*	Cost or Other Basis (Box 3)	Gain or Loss Amount	Transaction Description
			Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)				
BANK OF BARODA CD NEW YORK NY ACT/365 FDIC INSURED CPN 0 350% DUE 11/19/12 CUSIP 060624LX9	250,000.0000	N/A	08/14/12	11/19/12	250,000.00	250,000.00	0.00	REDEMPTION

COMPUTER SCIENCES CORP SENIOR NOTED ISS'D IN EXCH OF 144A/REG S CPN 6 500% DUE 03/15/18 CUSIP 205363AL8	150,000.0000	106.92000	11/30/11	05/21/12	160,375.00	158,555.00	1,820.00	SALE
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MIZUHO CORPORATE BK CD NEW YORK NY ACT/365 FDIC INSURED CPN 0 200% DUE 11/29/12 CUSIP 60688TEJ4	150,000.0000	N/A	08/20/12	11/29/12	150,000.00	150,000.00	0.00	REDEMPTION
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TOTAL SHORT TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES					560,375.00	558,555.00	1,820.00	
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LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2012

Description (Box 8) Stock or Other Symbol (Box 1d)	Quantity Sold (Box 1e)	Unit Price	Date of		Sales Price (Box 2a)*	Cost or Other Basis (Box 3)	Gain or Loss Amount	Transaction Description
			Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)				
BANK OF AMERICA CORP SUBORDINATE INTERNOTES CALLABLE QUARTERLY PAY CPN 5 000% DUE 05/15/15 CUSIP 06050XUR2	84,000.0000	N/A	05/09/05	08/15/12	84,000.00	84,000.00	0.00	REDEMPTION

2012 ENHANCED SUMMARY

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As of Date: 2/08/13

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 READING, PA 19602
 (888) 243-1422

THE ROBERT AND MARION SCHAMANN
 BROZOWSKI FOUNDATION
 P O BOX 2029
 LINDEN NJ 07036

Account Number: 6008-6436

Year-End Account Summary

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 Please consult with your Financial Advisor or Tax Advisor regarding specific questions.

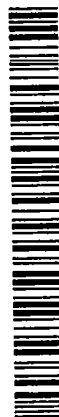
LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2012

continued
 SUPPLEMENTAL INFORMATION

Description (Box 8) Stock or Other Symbol (Box 1d)	Quantity Sold (Box 1e)	Unit Price	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Sales Price (Box 2a)*	Cost or Other Basis (Box 3)	Gain or Loss Amount	Transaction Description
BARCLAYS BK CD WILMINGTON DE ACT/365 FDIC INSURED CPN 2.400% DUE 10/09/12 CUSIP 06740KBX4	120,000.0000	N/A	09/30/09	10/09/12	120,000.00	120,000.00	0.00	REDEMPTION
BORDENTOWN NJ REGL SCH DIST BRD ED G/O UNLTD B/E FGIC SCH BD RES FD CPN 4.900% DUE 01/15/28 CUSIP 099642CV0	10,000.0000	N/A	02/02/07	01/17/12	10,100.00	10,100.00	0.00	REDEMPTION
BRICK TWP NJ MUN UTILS AUTH B/E MBIA-RE FGIC CPN 4.750% DUE 12/01/19 DTD 06/01/02 FC 12/01/02 CUSIP 107897FK1	25,000.0000	N/A	06/11/02	12/03/12	25,000.00	25,000.00	0.00	REDEMPTION
CHERRY HILL TWP NJ REF G/O UNLTD B/E CPN 4.500% DUE 03/01/12 DTD 03/01/02 FC 09/01/02 CUSIP 164663SR8	60,000.0000	N/A	02/21/02	03/01/12	60,000.00	60,000.00	0.00	REDEMPTION
CLINTON TWP NJ BRD ED G/O UNLTD B/E FGIC CPN 5.250% DUE 01/15/34 DTD 12/01/03 FC 07/15/04 CUSIP 188630EE3	40,000.0000	N/A	02/28/07	01/17/12	40,000.00	40,000.00	0.00	REDEMPTION
COLTS NECK TWP NJ SR A G/O UNLTD B/Q B/E OID OY=4.28% CPN 4.100% DUE 05/01/13 CUSIP 197126BP9	35,000.0000	N/A	05/14/02	06/28/12	35,350.00	34,737.50	612.50	REDEMPTION

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2012 ENHANCED SUMMARY

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As of Date: 2/08/13

Your Financial Advisor :

MCKNIGHT/BROWN MILLER/SCHOLDER
600 PENN STREET
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THE ROBERT AND MARION SCHAMANN
BROZOWSKI FOUNDATION
P O BOX 2029
LINDEN NJ 07036

Account Number: 6008-6436

Year-End Account Summary

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**LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2012**

continued

Proceeds from Broker and Barter Exchange Transactions for 2012							SUPPLEMENTAL INFORMATION	
Description (Box 8)								
Stock or Other Symbol (Box 1d)	Quantity Sold (Box 1e)	Unit Price	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Sales Price (Box 2a)*	Cost or Other Basis (Box 3)	Gain or Loss Amount	Transaction Description
DELAWARE RIV PORT AUTH PA & NJ PORT DIST PJ SER B REV OLD B/E FSA INSD CPN 4.625% DUE 01/01/13 CUSIP 246348DB9	30,000.0000	N/A	12/21/01	04/26/12	30,000.00	30,000.00	0.00	REDEMPTION
EWING TWP NJ GEN IMPT G/O UNLTD B/E FGIC CPN 4.125% DUE 05/01/12 DTD 05/01/02 FC 11/01/02 CUSIP 300551JN9	64,000.0000	N/A	05/01/02	05/02/12	64,000.00	64,000.00	0.00	REDEMPTION
FORD MOTOR CREDIT CO LLC MEDIUM TERM NOTES CALLABLE CPN 5.000% DUE 08/20/18 CUSIP 34540TAM4	100,000.0000	N/A	08/08/11	08/20/12	100,000.00	100,000.00	0.00	REDEMPTION
GENWORTH FINANCIAL INC MEDIUM TERM NOTES CALLABLE CPN 6.515% DUE 05/22/18 CUSIP 37247DAK2	150,000.0000	102.50000	06/13/11	10/15/12	153,745.00	154,422.50	-677.50	SALE
GOLDMAN SACHS GROUP INC SENIOR NOTES CPN 5.300% DUE 02/14/12 DTD 02/14/07 FC 08/14/07 CUSIP 38141GEV2	50,000.0000	N/A	01/08/09	02/14/12	50,000.00	49,781.00	219.00	REDEMPTION
GOLDMAN SACHS GRP INC NOTES MULTI STEP UP CPN MEDIUM TERM NOTES CLLB CPN 5.000% DUE 06/23/26 CUSIP 38143UVQ1	150,000.0000	N/A	06/13/11	09/24/12	150,000.00	149,630.00	370.00	REDEMPTION

2012 ENHANCED SUMMARY

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As of Date: 2/08/13

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THE ROBERT AND MARION SCHAMANN
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Year-End Account Summary

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LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)

Proceeds from Broker and Barter Exchange Transactions for 2012

continued

SUPPLEMENTAL INFORMATION

Description (Box 8) Stock or Other Symbol (Box 1d)	Quantity Sold (Box 1e)	Unit Price	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Sales Price (Box 2a)*	Cost or Other Basis (Box 3)	Gain or Loss Amount	Transaction Description
HOLMDEL TWP NJ SCH DIST REF G/O UNLTD B/E AGM								
CPN 4.500% DUE 03/15/12 CUSIP 436290HT0	20,000.0000	N/A	08/02/06	03/15/12	20,000.00	20,000.00	0.00	REDEMPTION
KENILWORTH N J SCH DIST G/O UNLTD B/Q B/E AGM								
CPN 5.000% DUE 07/15/12 CUSIP 489062AX7	25,000.0000	N/A	01/16/07	07/16/12	25,000.00	25,000.00	0.00	REDEMPTION
KINNELON N J SCH DIST G/O UNLTD B/E FGIC								
CPN 4.100% DUE 10/01/13 CUSIP 497080EV9	25,000.0000	N/A	10/04/07	10/01/12	25,000.00	25,000.00	0.00	REDEMPTION
KINNELON NJ S/D REF G/O UNLTD B/Q B/E AGM								
CPN 3.500% DUE 10/01/12 CUSIP 497080FN6	20,000.0000	N/A	05/16/07	10/01/12	20,000.00	20,000.00	0.00	REDEMPTION
NEW JERSEY ST REF-SER M G/O UNLTD B/E AMBAC								
CPN 5.250% DUE 07/15/12 CUSIP 646039KP1	10,000.0000	N/A	05/16/07	07/16/12	10,000.00	10,000.00	0.00	REDEMPTION
READINGTON TWP N J BRD ED G/O UNLTD B/E OID AGM OY=4.8%								
CPN 4.700% DUE 07/15/21 CUSIP 755672CL4	25,000.0000	N/A	05/29/07	07/16/12	25,000.00	25,000.00	0.00	REDEMPTION



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2012 ENHANCED SUMMARY

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As of Date: 2/08/13

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Your Financial Advisor :
MCKNIGHT/BROWN/MILLER/SHOLDER
600 PENN STREET
READING, PA 19602
(888) 243-1422

THE ROBERT AND MARION SCHAMANN
BROZOWSKI FOUNDATION
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LINDEN NJ 07036

Account Number: 6008-6436

Year-End Account Summary

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Please consult with your Financial Advisor or Tax Advisor regarding specific questions.

LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)

continued

Proceeds from Broker and Barter Exchange Transactions for 2012

SUPPLEMENTAL INFORMATION

Description (Box 8) Stock or Other Symbol (Box 1d)	Quantity Sold (Box 1e)	Unit Price	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Sales Price (Box 2a)*	Cost or Other Basis (Box 3)	Gain or Loss Amount	Transaction Description
SOUTH JERSEY TRANSN AUTH NJ TRANSN SYS REV REF B/E AGM CPN 5 250% DUE 11/01/12 CUSIP 838536CM0	35,000.0000	N/A	04/01/05	11/01/12	35,000.00	35,000.00	0.00	REDEMPTION
UNION CNTY NJGEN IMPT G/O UNLTD B/E OID OY=4.15% CPN 4 000% DUE 03/01/12 CUSIP 906344T55	20,000.0000	N/A	06/11/02	03/01/12	20,000.00	20,000.00	0.00	REDEMPTION
WALLENPAUPACK PA AREA SCH DIST SER AA G/O UNLTD B/E XLCA CPN 3.600% DUE 09/01/15 CUSIP 932432QM2	45,000.0000	N/A	09/28/05	09/04/12	45,000.00	45,000.00	0.00	REDEMPTION
WASHINGTON TWP NJ BRD ED MERC G/O UNLTD B/E FGIC CPN 4.750% DUE 01/01/18 CUSIP 940456DB9	35,000.0000	N/A	11/29/07	01/03/12	35,000.00	35,000.00	0.00	REDEMPTION
WESTERN MONMOUTH UTILS AUTH NJ REV SER A B/E AGM CPN 5 000% DUE 02/01/12 CUSIP 958699DK3	25,000.0000	N/A	03/27/08	02/01/12	25,000.00	25,000.00	0.00	REDEMPTION
TOTAL LONG TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES					1,207,195.00	1,206,671.00	524.00	

*Box 2a Sales price less commissions and option premiums

2012 ENHANCED SUMMARY

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As of Date: 2/08/13

Your Financial Advisor :

MCKNIGHT/BROWN/MILLER/SHOLDER
600 PENN STREET
READING, PA 19602
(888) 243-1422

THE ROBERT AND MARION SCHAMANN
BROZOWSKI FOUNDATION
P O BOX 2029
LINDEN NJ 07036

Account Number: 6008-6436

Details of the Year-End Account Summary

**This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.
Please consult with your Financial Advisor or Tax Advisor regarding specific questions.**

Tax reporting requirements can create differences with the amounts previously reported in monthly client statements. If you have an investment in a mutual fund, regulated investment company (RIC), real estate investment trust (REIT), or unit investment trust (UIT), some of those issuers provide reclassification information after the original tax form is printed. We will issue an amended form for information received after your original tax forms are generated.

Dividends and Distributions

Description	Notes	Payment Date	# of Payments	Activity	Amount	Box	Country	CUSIP
ALTRIA GROUP INC		VARIOUS	4	QUALIFIED DIVIDEND	3,302.95	1a, 1b		02209S103
AMERICAN HIGH INCOME A		VARIOUS	12	DIVIDEND	4,941.55	1a		026547109
AMERICAN HIGH INCOME A		VARIOUS	12	QUALIFIED DIVIDEND	115.81	1a, 1b		026547109
AT & T INC		VARIOUS	4	QUALIFIED DIVIDEND	8,984.36	1a, 1b		00206R102
BRISTOL MYERS SQUIBB		VARIOUS	4	QUALIFIED DIVIDEND	7,861.19	1a, 1b		110122108
ELI LILLY & CO		VARIOUS	4	QUALIFIED DIVIDEND	7,328.39	1a, 1b		532457108
INFINEON TECHNOLOGIES		03/16/2012	1	QUALIFIED DIVIDEND	14.23	1a, 1b	GM	45662N103
ISHARES S&P PRD STK ET		VARIOUS	11	DIVIDEND	2,012.00	1a		464288687
ISHARES S&P PRD STK ET		VARIOUS	11	QUALIFIED DIVIDEND	2,293.28	1a, 1b		464288687
ISHARES S&P PRD STK ET		01/02/2013	1	DIVIDEND	265.18	1a		464288687
ISHARES S&P PRD STK ET		01/02/2013	1	QUALIFIED DIVIDEND	276.99	1a, 1b		464288687
KIMBERLY-CLARK CORP		VARIOUS	4	QUALIFIED DIVIDEND	2,522.54	1a, 1b		494368103
LEGGETT & PLATT INC		VARIOUS	5	QUALIFIED DIVIDEND	2,548.92	1a, 1b		524660107
LORD ABBETT HIGH YLD A		VARIOUS	11	DIVIDEND	4,652.93	1a		54400N102
LORD ABBETT HIGH YLD A		VARIOUS	2	SHORT TERM CAP GAIN	1,200.35	1a		54400N102
LORD ABBETT HIGH YLD A		VARIOUS	11	QUALIFIED DIVIDEND	30.91	1a, 1b		54400N102
LORD ABBETT HIGH YLD A		01/02/2013	1	DIVIDEND	442.53	1a		54400N102
LORD ABBETT HIGH YLD A		01/02/2013	1	QUALIFIED DIVIDEND	9.63	1a, 1b		54400N102
NEXTERA ENERGY INC		VARIOUS	4	QUALIFIED DIVIDEND	2,605.67	1a, 1b		65339F101
NUVEEN DIV ADVNTG MUN FD		VARIOUS	2	DIVIDEND	29.26	1a		67066V101
PEPCO HOLDINGS INC		VARIOUS	2	QUALIFIED DIVIDEND	2,773.72	1a, 1b		713291102
PIEDMONT NATURAL GAS CO		VARIOUS	5	QUALIFIED DIVIDEND	3,138.88	1a, 1b		720186105
PIMCO HI YLD FD CL A		VARIOUS	12	DIVIDEND	4,082.00	1a		693390379

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Form 990-PF, Page 1, Part I, Line 18

Line 18 Stmt

Taxes	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
2011 FEDERAL EXCISE TAX	2,650.			
2012 FEDERAL EXCISE TAX (ESTIMATE)	5,600.			
SCHWAB - FOREIGN TAX	119.	119.		
TD AMERITRADE - FOREIGN TAX	274.	274.		
NJ CHARITABLE REGISTRATION TAX	250.	250.		
NJ ANNUAL REPORT	150.	150.		
Total	9,043.	793.		

Form 990-PF, Page 6, Part VIII, Line 1

Information about Officers, Directors, Trustees, Etc.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Person ☒ Business ☐ PHYLLIS CORDUAN 355 EAST LINDEN AVENUE LINDEN NJ 07036	ASST. SECRETARY 2.00	2,000.	0.	0.
Person ☒ Business ☐ ROBERT R. STANICKI 1435 RARITAN ROAD CLARK NJ 07066	TREASURER 2.00	2,000.	0.	0.

Total

4,000. 0. 0.

Form 990-PF, Page 11, Part XV, line 3a

Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Founda- tion status of re- cipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a Paid during the year				
FOOD BANK OF OCEAN & MONMOUTH COUNTIES 3300 ROUTE 66 NEPTUNE NJ 07753	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRI	Person or ☐ Business ☒ 20,000.
FRANCISCAN CHARITIES 117 HIGHWAY 35 SOUTH KEYPORT NJ 07735	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRI	Person or ☐ Business ☒ 25,000.

Form 990-PF, Page 11, Part XV, line 3a

Continued

Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Founda- tion status of re- cipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a Paid during the year				
FRIENDS OF LINDEN ANIMAL SHELTER 1305 WOODLAWN AVENUE LINDEN NJ 07036	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRI	Person or ☐ Business ☒ 12,000.
FRIENDS OF CHILD ADVOCACY CENTER 783 SPRINGFIELD AVENUE SUMMIT NJ 07901	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRI	Person or ☐ Business ☒ 7,500.
GREENBROOK EDUCATION FOUNDATION 132 JEFFERSON AVENUE GREEN BROOK NJ 08812	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRI	Person or ☐ Business ☒ 4,500.
HOPE FOR A THOUSAND HILLS PO BOX 1384 WAYNE NJ 07474	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRI	Person or ☐ Business ☒ 14,000.
HOOR CHILDREN 36-11A 12TH STREET LONG ISLAND CITY NY 11106	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRI	Person or ☐ Business ☒ 27,500.
JERSEY SHORE ANIMAL CENTER 185 BRICK BOULEVARD BRICK NJ 08723	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRI	Person or ☐ Business ☒ 15,000.
NOAH'S ARK ANIMAL RESCUE PO BOX 5734 CLARK NJ 07066	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRI	Person or ☐ Business ☒ 6,000.
OPERATION SMILE 6435 TIDEWATER DRIVE NORFOLK VA 23509	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRI	Person or ☐ Business ☒ 10,000.
PANCREATIC CANCER ACTION NETWORK 1500 ROSECRANS AVENUE MANHATTAN BEACH CA 90266	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRI	Person or ☐ Business ☒ 15,000.
ST. HUBERT'S ANIMAL SHELTER 575 WOODLAND AVENUE MADISON NJ 07940	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRI	Person or ☐ Business ☒ 5,000.
ST. JOSEPH'S SOCIAL SERVICE CENTER 118 DIVISION STREET ELIZABETH NJ 07201	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRI	Person or ☐ Business ☒ 32,500.
ST. JUDE CHILDREN'S RESEARCH HOSPITAL 501 ST. JUDE PLACE MEMPHIS TN 38105	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRI	Person or ☐ Business ☒ 20,000.
SPAY USA FUND SOMERSET 100 COMMONS WAY BRIDGEWATER NJ 08807	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRI	Person or ☐ Business ☒ 4,500.
TABBY'S PLACE 1100 US HWY 202 RINGOES NJ 08551	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRI	Person or ☐ Business ☒ 5,000.
THE LUSTGARTEN FOUNDATION FOR RESEARCH 1111 STEWART AVENUE BETHPAGE NY 11714	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRI	Person or ☐ Business ☒ 12,000.

Form 990-PF, Page 11, Part XV, line 3a

Continued

Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Founda- tion status of re- cipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a Paid during the year				
THE SALVATION ARMY	NO RELATIONSHIP			Person or ☐
PO BOX 1428				Business ☒
ALEXANDRIA VA 22313		PUBLIC	GENERAL CHARITABLE CONTRI	15,000.
VICTORY JUNCTION	NO RELATIONSHIP			Person or ☐
4500 ADAMS WAY				Business ☒
RANDLEMAN NC 27317		PUBLIC	GENERAL CHARITABLE CONTRI	10,000.
WOODLANDS WILDLIFE REFUGE	NO RELATIONSHIP			Person or ☐
676 COUNTY ROAD 513				Business ☒
PITTSBOWN NJ 08867		PUBLIC	GENERAL CHARITABLE CONTRI	6,000.
WOUNDED WARRIOR PROJECT	NO RELATIONSHIP			Person or ☐
370 7th AVE., STE 1802				Business ☒
NEW YORK NY 10001		PUBLIC	GENERAL CHARITABLE CONTRI	17,000.
YOUTH CONSULTATION SERVICE FOUNDATION	NO RELATIONSHIP			Person or ☐
284 BROADWAY				Business ☒
NEWARK NJ 07104		PUBLIC	GENERAL CHARITABLE CONTRI	5,625.
PUPPIES BEHIND BARS	NO RELATIONSHIP			Person or ☐
263 W 38th STREET				Business ☒
NEW YORK NY 10018		PUBLIC	GENERAL CHARITABLE CONTRI	6,000.
RISING TIDE	NO RELATIONSHIP			Person or ☐
334 MARTIN LUTHER KING DR.				Business ☐
JERSEY CITY NJ 07305		PUBLIC	GENERAL CHARITABLE CONTRI	12,000.
PILOTS-N-PAWS	NO RELATIONSHIP			Person or ☐
4651 HOWE ROAD				Business ☐
LANDRUM SC 29356		PUBLIC	GENERAL CHARITABLE CONTRI	2,500.

Total

309,625.

Form 990-PF, Page 1, Part I

Line 16a - Legal Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MACKEVICH, BURKE & ST	LEGAL	4,850.	728.		4,122.
Total		<u>4,850.</u>	<u>728.</u>		<u>4,122.</u>

Form 990-PF, Page 1, Part I

Line 16c - Other Professional Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
SCHWAB INVESTMENTS	MANAGEMENT FEES	50.	8.		42.
ROOSEVELT INVESTMENT	MANAGEMENT FEES	3,707.	556.		3,151.
ROOSEVELT INVESTMENT	MANAGEMENT FEES	4,192.	629.		3,563.
ROOSEVELT INVESTMENT	MANAGEMENT FEES	4,025.	604.		3,421.
ROOSEVELT INVESTMENT	MANAGEMENT FEES	4,085.	613.		3,472.
MORGAN STANLEY	MANAGEMENT FEES	150.	23.		127.
Total		<u>16,209.</u>	<u>2,433.</u>		<u>13,776.</u>

Form 990-PF, Page 2, Part II, Line 10a

L-10a Stmt

Line 10a - Investments - US and State Government Obligations:	End of Year		End of Year	
	State and Local Obligations Book Value	State and Local Obligations FMV	US Government Obligations Book Value	US Government Obligations FMV
SEE STATEMENT	411,137.	433,185.		
Total	<u>411,137.</u>	<u>433,185.</u>		

Form 990-PF, Page 2, Part II, Line 10b

L-10b Stmt

Line 10b - Investments - Corporate Stock:	End of Year	
	Book Value	Fair Market Value
SEE STATEMENT	3,633,977.	3,625,481.
Total	<u>3,633,977.</u>	<u>3,625,481.</u>

Form 990-PF, Page 2, Part II, Line 10c

L- 10c Stmt

Line 10c - Investments - Corporate Bonds:	End of Year	
	Book Value	Fair Market Value
SEE STATEMENT	2,152,096.	2,261,136.
Total	<u>2,152,096.</u>	<u>2,261,136.</u>

Form 990-PF, Page 2, Part II, Line 13

L-13 Stmt

Line 13 - Investments - Other:	End of Year	
	Book Value	Fair Market Value
SEE STATEMENT	470,904.	501,408.
Total	<u>470,904.</u>	<u>501,408.</u>

Supporting Statement of:

Form 990-PF, p1/Line 4(b)

Description	Amount
DIVIDENDS AND INTEREST FROM SECURITIES	343,642.
LESS TAX EXEMPT INTEREST	-43,047.
Total	<u>300,595.</u>

Form 990-PF, Page 1, Part I
Line 1 Statement

CONTRIBUTIONS

<u>FROM ESTATE OF MARION BROZOWSKI</u>	<u>TRANSFER DATE</u>	<u>REVENUE</u> <u>PER BOOKS</u>
AT&T INC	7/6/2012	\$ 2,513.30
ALCATEL-LUCENT	7/6/2012	\$ 250.92
ALLIANCEBERNSTEIN	7/6/2012	\$ 49,105.60
COMCAST CORP	7/6/2012	\$ 2,535.00
FRONTIER COMMUNICATIONS	7/6/2012	\$ -
JANUS FUND CLASS T	7/6/2012	\$ 36,786.67
NEW JERSEY RESOURCES CORP	7/6/2012	\$ 3,150.00
TRANSNET CORP	7/6/2012	\$ 1.00
VERIZON COMMUNICATIONS	7/6/2012	\$ 21,442.47
CASH	7/6/2012	\$ 200,372.23
		\$ 316,157.19

Form 990-PF, Schedule B
Part II Non Cash Property Statement

NON CASH CONTRIBUTIONS

<u>STOCK TRANSFERRED</u>	<u>TRANSFER DATE</u>	<u>FMV</u> <u>ON TRANSFER DATE</u>
AT&T INC	7/6/2012	\$ 4,004.72
ALCATEL-LUCENT	7/6/2012	\$ 123.82
ALLIANCEBERNSTEIN	7/6/2012	\$ 63,768.55
COMCAST CORP	7/6/2012	\$ 4,704.00
FRONTIER COMMUNICATIONS	7/6/2012	\$ 866.16
JANUS FUND CLASS T	7/6/2012	\$ 56,587.63
NEW JERSEY RESOURCES CORP	7/6/2012	\$ 4,459.15
TRANSNET CORP	7/6/2012	\$ 1.00
VERIZON COMMUNICATIONS	7/6/2012	\$ 37,801.42
TOTAL STOCK CONTRIBUTED 7/6/12		\$ 172,316.45

CASH CONTRIBUTIONS

<u>CONTRIBUTOR</u>	<u>TRANSFER DATE</u>	<u>CASH TRANSFERRED</u>
MARION BROZOWSKI ESTATE	7/6/2012	\$ 200,372.23

Form 990-PF, Page 2, Part II
Line 10b Statement

2012

CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
ALCATEL-LUCENT	\$ 250.92	\$ 114.00
ALEXION PHARMACEUTICALS INC.	\$ 19,966.62	\$ 14,160.13
ALTRIA GROUP INC	\$ 47,489.00	\$ 64,154.00
APPLE INC	\$ 65,457.27	\$ 48,184.83
AT&T INC	\$ 146,834.00	\$ 177,553.00
AT&T INC	\$ 2,513.30	\$ 3,809.00
BEAM INC	\$ 27,490.50	\$ 22,174.16
BIOGEN IDEC INC	\$ 31,908.66	\$ 25,090.80
BRISTOL MYERS SQUIBB	\$ 162,142.00	\$ 193,090.00
BUCKEYE PARTNERS L P	\$ 55,909.00	\$ 40,601.00
CAPITAL ONE FINL CORP COM	\$ 42,868.20	\$ 38,708.83
CHENIERE ENERGY INC COM	\$ 17,747.10	\$ 14,032.67
CHUBB CORP COM	\$ 32,688.88	\$ 30,102.91
CLEAN HARBORS INC COM	\$ 48,298.78	\$ 33,079.33
COMCAST CORP	\$ 2,535.00	\$ 5,604.00
D R HORTON INC COM	\$ 57,362.00	\$ 48,854.78
DISCOVER FINL SVCS COM	\$ 54,509.70	\$ 33,938.96
EBAY INC COM	\$ 26,110.82	\$ 16,287.67
ELAN CORP PLC COM	\$ 7,044.90	\$ 7,959.94
ELI LILLY & CO	\$ 139,262.00	\$ 189,372.00
ELI LILLY & CO ADR	\$ 51,588.72	\$ 45,249.34
ENERGY XXI LIMITED COM	\$ 29,274.70	\$ 33,592.48
EOG RESOURCES INC ORD	\$ 35,029.10	\$ 31,960.61
FREEPORT MCMORAN COPPER & GOLD COM	\$ 35,670.60	\$ 33,338.94
FRONTIER COMMUNICATIONS	\$ -	\$ 924.00
HERTZ GLOBAL HOLDINGS INC CL B	\$ 46,987.76	\$ 34,485.99
HOME DEPOT INC COM COM	\$ 40,511.75	\$ 32,915.33
INFINEON TECHNOLOGIES	\$ 3,392.00	\$ 827.00
INGREDION INC	\$ 27,833.76	\$ 24,127.36
ISHARES S&P U.S.	\$ 82,411.00	\$ 82,714.00
KIMBERLY-CLARK CORP	\$ 55,159.00	\$ 74,571.00
KINDER MORGAN ENERGY L P	\$ 55,720.00	\$ 61,506.00
KINDER MORGAN MANAGEMENT COM	\$ 36,371.72	\$ 28,108.56
LEGGETT & PLATT INC	\$ 39,827.00	\$ 50,609.00
LENNAR CORP COM	\$ 36,697.83	\$ 27,097.47
LORILLARD INC COM	\$ 13,300.38	\$ 13,935.95
LYONDELLBASELL INDUSTRIES COM	\$ 29,001.72	\$ -
MICROCHIP TECHNOLOGY INC CL A ORD	\$ 13,492.26	\$ 13,618.20
MORGAN STANLEY COM	\$ 53,230.08	\$ 42,855.48
NATURAL RESOURCES LP	\$ 56,406.00	\$ 34,545.00
NEW JERSEY RESOURCES CORP	\$ 3,150.00	\$ 4,002.00
NEXTERA ENERGY INC	\$ 57,063.00	\$ 76,788.00
NOVO-NORDISK A S COM	\$ 17,953.10	\$ 16,059.84
NUSTAR ENERGY LP	\$ 56,140.00	\$ 38,661.00
OCWEN FINANCIAL CORP ADR	\$ 32,272.47	\$ 33,793.96
OMNICARE INC COM	\$ 31,804.10	\$ 25,605.86
PEPCO	\$ 102,619.00	\$ 102,860.00
PERRIGO CO COM	\$ 25,695.41	\$ 11,037.81
PFIZER INC COM	\$ 50,233.84	\$ 48,376.79
PHILIP MORRIS INTL COM	\$ 28,604.88	\$ 31,147.95

PIEDMONT NATURAL GAS CO	\$	56,955.00	\$	67,856.00
PIMCO ETF	\$	25,207.18	\$	26,001.02
PLUM CREEK TIMBER CO INC COM	\$	26,577.63	\$	26,871.50
POWERSHARES	\$	83,227.00	\$	84,685.00
PROSHARES ETF	\$	14,176.82	\$	16,026.65
PROSHARES ETF	\$	28,290.60	\$	35,848.99
PROTHENA CORP COM ORD ISIN IE00B91XRM	\$	117.28	\$	139.28
PVH CORP	\$	25,976.34	\$	15,540.75
QUESTAR CORP COM	\$	29,225.04	\$	27,798.85
REGENERON PHARMACEUTICALS INC COM	\$	18,817.70	\$	16,067.20
REYNOLDS AMERICAN INC	\$	54,440.00	\$	58,494.00
ROBERT HALF INTL INC COM	\$	41,206.90	\$	33,703.47
ROPER INDS INC COM	\$	17,167.92	\$	9,098.38
ROYAL DUTCH SHELL PLC	\$	83,415.00	\$	102,717.00
SCANA CORP COM	\$	85,561.00	\$	108,791.00
SMITHFIELD FOODS INC COM	\$	18,334.50	\$	16,285.10
SPDR BARCLAYS CAP	\$	186,734.00	\$	200,040.00
SPDR GOLD TR GOLD SHS ETF	\$	47,147.94	\$	47,760.17
SUBURBAN PROPANE LP	\$	55,979.00	\$	43,186.00
THE SOUTHERN COMPANY	\$	107,426.00	\$	114,217.00
TRANSDIGM GROUP INC COM	\$	23,726.64	\$	13,616.70
TRANSNET CORP	\$	1.00	\$	1.00
TW TELECOM INC COM	\$	34,333.56	\$	28,261.92
VANGUARD LT CORP BOND FND	\$	186,618.00	\$	202,806.00
VERIZON	\$	104,141.00	\$	117,797.00
VERIZON COMMUNICATIONS	\$	21,442.47	\$	36,823.00
WELLS FARGO COMPANY COM	\$	57,935.10	\$	57,430.18
WEYERHAEUSER CO COM	\$	34,663.72	\$	33,118.03
WHIRLPOOL CORP COM COM	\$	14,245.00	\$	10,995.97
WYNDHAM WORLDWIDE	\$	15,058.00	\$	11,317.00
TOTALS	\$	3,633,977.17	\$	3,625,481.09

Form 990-PF, Page 2, Part II
Line 10c Statement

2012

CORPORATE BONDS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
ALCOA INC 5.4% 4/15/21	\$ 153,512.00	\$ 155,841.00
AMGEN INC 6.375% 6/1/37	\$ 85,598.00	\$ 89,492.00
ARCELORMITTAL 5.75% 8/5/20	\$ 72,884.00	\$ 75,203.00
BARCLAYS BANK PLC 5.1% 10/14/20	\$ 147,596.00	\$ 156,045.00
ROYAL BK OF SCOTLAND PLC 6.1% 1/11/21	\$ 100,350.00	\$ 120,910.00
BANK OF AMERICA 4.75% 06/15/16	\$ 50,000.00	\$ 53,516.00
BOEING CAPITAL CORP 4.7% 10/27/19	\$ 75,289.00	\$ 88,802.00
DOW CHEMICAL 2.45% 11/15/19	\$ 120,000.00	\$ 119,057.00
DOW CHEMICAL 1.85% 11/15/17	\$ 120,000.00	\$ 118,424.00
GENERAL ELEC 5% 7/15/15	\$ 40,000.00	\$ 43,457.00
GENERAL ELEC 5.375% 10/20/16	\$ 74,665.00	\$ 86,129.00
GOLDMAN SACHS 2.0% 5/30/20	\$ 100,000.00	\$ 98,616.00
GOLDMAN SACHS 5.375% 3/15/20	\$ 51,094.00	\$ 57,261.00
JERSEY CENTRAL PWR 6.4% 5/15/36	\$ 63,932.00	\$ 68,262.00
JP MORGAN CHASE 5.75% 1/2/13	\$ 51,677.50	\$ 50,015.00
L-3 COMMUNICATIONS CORP 4.7% 7/15/20	\$ 98,400.00	\$ 110,726.00
MERRILL LYNCH 5.7% 5/2/17	\$ 101,198.00	\$ 109,539.00
MORGAN STANLEY 5.5% 7/24/20	\$ 154,897.00	\$ 168,410.00
PERKINELMER INC 5.0% 11/15/21	\$ 77,616.00	\$ 83,192.00
PRUDENTIAL FINL 5.15% 1/15/13	\$ 50,168.00	\$ 50,092.00
RR DONNELLY 7.25% 5/15/18	\$ 49,127.00	\$ 48,501.00
SAFEWAY 4.75 12/1/21	\$ 98,959.00	\$ 103,077.00
US STEEL 7.0% 2/1/18	\$ 51,898.00	\$ 53,375.00
WESTERN UNION 6.2% 11/17/36	\$ 163,235.00	\$ 153,194.00
TOTALS	\$ 2,152,095.50	\$ 2,261,136.00

Form 990-PF, Page 2, Part II
Line 10a Statement

2012

US AND STATE GOVERNMENT OBLIGATIONS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
ALLEGHENY CNTY 5% 12/1/20	\$ 35,679.00	\$ 38,720.00
BURLINGTON TWP NJ SCH 3.5% 1/15/13	\$ 29,842.00	\$ 30,038.00
CAMDEN CNTY NJ MUN UTIL 5.2% 7/15/17	\$ 26,125.00	\$ 29,388.00
LOUSIANA ST CITIZENS 5.0% 6/1/21	\$ 20,504.00	\$ 21,943.00
KLICKITAT CNTY 5.2% 12/1/20	\$ 36,110.00	\$ 40,248.00
MARYLAND ST LOC FACS LN 5% 8/1/16	\$ 30,191.00	\$ 30,833.00
MONTCLAIR NJ REF SER A 5% 1/15/13	\$ 15,006.00	\$ 15,027.00
NEW JERSEY BLDG AUTH ST 5.2% 12/15/14	\$ 34,977.00	\$ 35,147.00
NEW JERSEY ECON DEV AUTH 5.2% 12/15/11	\$ 15,182.00	\$ 15,686.00
NEW JERSEY ECONOMIC DEV 5% 3/1/18	\$ 40,785.00	\$ 43,868.00
NEW JERSEY ST 4.7% 6/15/14	\$ 30,000.00	\$ 30,100.00
SUSSEX COUNTY NJ COUNTY 3.7% 9/1/13	\$ 20,054.00	\$ 20,381.00
TENAFLY NJ GEN IMPT 4.2% 8/15/13	\$ 35,000.00	\$ 35,742.00
UMDNJ 3.5% 6/15/13	\$ 10,000.00	\$ 10,106.00
UNIVERSITY TX UNIV REVS 5.2% 8/15/17	\$ 31,682.00	\$ 35,958.00
TOTALS	\$ 411,137.00	\$ 433,185.00

Form 990-PF, Page 2, Part II
Line 10a Statement

2012

US AND STATE GOVERNMENT OBLIGATIONS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
ALLEGHENY CNTY 5% 12/1/20	\$ 35,679.00	\$ 38,720.00
BURLINGTON TWP NJ SCH 3.5% 1/15/13	\$ 29,842.00	\$ 30,038.00
CAMDEN CNTY NJ MUN UTIL 5.2% 7/15/17	\$ 26,125.00	\$ 29,388.00
LOUISIANA ST CITIZENS 5.0% 6/1/21	\$ 20,504.00	\$ 21,943.00
KLICKITAT CNTY 5.2% 12/1/20	\$ 36,110.00	\$ 40,248.00
MARYLAND ST LOC FACS LN 5% 8/1/16	\$ 30,191.00	\$ 30,833.00
MONTCLAIR NJ REF SER A 5% 1/15/13	\$ 15,006.00	\$ 15,027.00
NEW JERSEY BLDG AUTH ST 5.2% 12/15/14	\$ 34,977.00	\$ 35,147.00
NEW JERSEY ECON DEV AUTH 5.2% 12/15/1	\$ 15,182.00	\$ 15,686.00
NEW JERSEY ECONOMIC DEV 5% 3/1/18	\$ 40,785.00	\$ 43,868.00
NEW JERSEY ST 4.7% 6/15/14	\$ 30,000.00	\$ 30,100.00
SUSSEX COUNTY NJ COUNTY 3.7% 9/1/13	\$ 20,054.00	\$ 20,381.00
TENAFLY NJ GEN IMPT 4.2% 8/15/13	\$ 35,000.00	\$ 35,742.00
UMDNJ 3.5% 6/15/13	\$ 10,000.00	\$ 10,106.00
UNIVERSITY TX UNIV REVS 5.2% 8/15/17	\$ 31,682.00	\$ 35,958.00
TOTALS	\$ 411,137.00	\$ 433,185.00

Form 990-PF, Page 2, Part II
Line 2 Statement

2012

CASH AND SAVINGS ACCOUNTS

<u>CASH</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
WF BANK DEPOSIT SWEEP	\$ 590,837.00	\$ 590,837.00
MS ACTIVE ASSETS MONEY TRST	\$ 201,452.00	\$ 201,452.00
TD INSURED DEPOSIT ACCOUNT	\$ 132,483.00	\$ 132,483.00
 <u>CERTIFICATES OF DEPOSIT</u>		
AMERICAN EXP BANK FSB CD 3.2% 4/8/13	\$ 90,000.00	\$ 90,693.00
DISCOVER BANK CD 5% 5/13/13	\$ 84,000.00	\$ 85,403.00
MORGAN STANLEY BK NA CD 3.5% 1/16/14	\$ 25,000.00	\$ 25,673.00
TOTAL CASH & SAVINGS ACCOUNTS	\$ 1,123,772.00	\$ 1,126,541.00

Form 990-PF, Page 2, Part II
Line 13 Statement**OTHER INVESTMENTS**

<u>MUTUAL FUNDS</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
AMERICAN HIGH INCOME	\$ 75,005.00	\$ 71,838.00
LORD ABBETT HIGH YIELD	\$ 75,005.00	\$ 73,046.00
PIMCO HIGH YIELD FUND	\$ 75,005.00	\$ 74,154.00
PRINCIPAL FDS INC	\$ 75,005.00	\$ 70,604.00
NUVEEN DIVIDEND ADVANTG	\$ 84,992.00	\$ 85,883.00
ALLIANCEBERNSTEIN	\$ 49,105.60	\$ 65,110.00
JANUS FUND CLASS T	\$ 36,786.67	\$ 60,773.00
TOTAL OTHER INVESTMENTS	\$ 470,904.27	\$ 501,408.00

The Roosevelt Investment Group, Inc.
REALIZED GAINS AND LOSSES
THE ROBERT & MARION SCHAMANN BROZOWSKI FOUNDATION
920039933
From 01-01-12 Through 12-31-12

Open Date	Close Date	Quantity	Security	Cost		Gain Or Loss		
				Basis	Proceeds	Short Term	Long Term	
11-16-11	01-05-12	181 000	PROSHS ULTRASHRT S&P500 PROSHARES TRUST	3,644 66	3,350 55	-294 11		
11-17-11	01-05-12	308 000	PROSHS ULTRASHRT S&P500 PROSHARES TRUST	6,373 47	5,701 50	-671 97		
12-22-10	01-06-12	85 000	GLOBAL PAYMENTS INC	3,868 19	3,970 19		102 00	
12-22-10	01-06-12	95 000	GLOBAL PAYMENTS INC	4,321 16	4,437 27		116 11	
07-20-11	01-10-12	163 000	TIFFANY & CO	13,687 42	9,754 04	-3,933 38		
07-20-11	01-11-12	115 000	TIFFANY & CO	9,656 77	6,895 43	-2,761 34		
08-29-11	01-11-12	58 000	TIFFANY & CO	4,052 79	3,477 70	-575 09		
08-27-09	01-17-12	125 000	HASBRO INC	3,507 67	4,062 22		554 55	
08-27-09	01-18-12	70 000	HASBRO INC	1,964 29	2,306 87		342 58	
09-03-09	01-18-12	50 000	HASBRO INC	1,431 57	1,647 76		216 19	
11-15-11	01-19-12	73 000	PROSHS ULTRASHORT QQQ	3,187 91	2,860 09	-327 82		
11-16-11	01-19-12	55 000	PROSHS ULTRASHORT QQQ	2,396 92	2,154 86	-242 06		
02-17-09	01-19-12	101 000	NATIONAL FUEL GAS CO	3,281 48	5,074 28		1,792 80	
09-03-09	01-19-12	9 000	HASBRO INC	257 68	294 72		37 04	
10-19-09	01-19-12	208 000	HASBRO INC	5,944 41	6,811 39		866 98	
10-19-09	01-19-12	73 000	HASBRO INC	2,100 27	2,390 54		290 27	
07-21-10	01-19-12	28 000	HASBRO INC	1,144 15	916 92		-227 23	
07-21-10	01-19-12	28 000	HASBRO INC	1,144 15	916 92		-227 23	
07-26-10	01-19-12	32 000	HASBRO INC	1,358 93	1,047 90		-311 03	
07-26-10	01-19-12	36 000	HASBRO INC	1,526 55	1,178 89		-347 66	
11-02-11	01-20-12	172 000	CAPITAL ONE FINL CORP	7,810 63	7,718 21	-92 42		
05-27-11	01-26-12	201 000	J M SMUCKER CO NEW	15,667 77	16,287 62	619 85		
06-17-11	01-26-12	51 000	J M SMUCKER CO NEW	3,921 02	4,132 68	211 66		
06-10-10	01-26-12	311 000	BRISTOL-MYERS SQUIBB CO	7,609 80	10,237 59		2,627 79	
06-10-10	01-26-12	348 000	BRISTOL-MYERS SQUIBB CO	8,529 05	11,455 56		2,926 51	
07-15-10	01-26-12	29 000	BRISTOL-MYERS SQUIBB CO	747 20	954 63		207 43	
07-15-10	01-26-12	32 000	BRISTOL-MYERS SQUIBB CO	822 63	1,053 39		230 76	

The Roosevelt Investment Group, Inc.
REALIZED GAINS AND LOSSES
THE ROBERT & MARION SCHAMANN BROZOWSKI FOUNDATION
920039933

From 01-01-12 Through 12-31-12

Open Date	Close Date	Quantity	Security	Cost		Gain Or Loss		
				Basis	Proceeds	Short Term	Long Term	
06-10-11	01-26-12	88 000	BRISTOL-MYERS SQUIBB CO	2,436.82	2,896.81	459.99		
06-20-11	02-01-12	212 000	ONEOK INC NEW	14,955.31	17,283.06	2,327.75		
06-24-11	02-01-12	47 000	ONEOK INC NEW	3,463.79	3,831.62	367.83		
08-29-11	02-01-12	78 000	ORACLE CORPORATION	2,111.23	2,220.54	109.31		
09-29-11	02-01-12	211 000	ORACLE CORPORATION	6,385.48	6,006.85	-378.63		
10-26-11	02-01-12	38 000	SM ENERGY COMPANY	3,062.06	2,753.83	-308.23		
10-27-11	02-01-12	58 000	SM ENERGY COMPANY	5,112.54	4,203.21	-909.33		
11-16-11	02-01-12	90 000	PROSHS ULTRASHORT QQQ	3,922.23	3,403.48	-518.75		
11-17-11	02-01-12	54 000	PROSHS ULTRASHORT QQQ	2,432.81	2,042.09	-390.72		
11-17-11	02-03-12	95 000	PROSHS ULTRASHORT QQQ	4,279.94	3,472.73	-807.21		
02-17-09	02-03-12	59 000	NATIONAL FUEL GAS CO	1,916.91	2,972.61		1,055.70	
03-25-09	02-07-12	69 000	PERRIGO CO	1,631.48	6,708.88		5,077.40	
03-25-09	02-07-12	3 000	PERRIGO CO	70.88	291.69		220.81	
05-19-11	02-15-12	119 000	WEIGHT WATCHERS INTL INC	9,858.78	9,046.54	-812.24		
11-09-11	02-15-12	62 000	WEIGHT WATCHERS INTL INC	4,233.05	4,713.32	480.27		
11-10-11	02-15-12	44 000	WEIGHT WATCHERS INTL INC	2,932.13	3,344.94	412.81		
11-11-11	02-15-12	62 000	WEIGHT WATCHERS INTL INC	4,195.81	4,713.32	517.51		
11-14-11	02-15-12	41 000	WEIGHT WATCHERS INTL INC	2,796.04	3,116.88	320.84		
02-17-09	02-17-12	30 000	NATIONAL FUEL GAS CO	974.70	1,434.99		460.29	
08-07-09	02-17-12	6 000	NATIONAL FUEL GAS CO	272.71	287.00		14.29	
08-07-09	02-17-12	32 000	NATIONAL FUEL GAS CO	1,448.47	1,530.66		82.19	
11-04-09	02-17-12	10 000	NATIONAL FUEL GAS CO	464.96	478.33		13.37	
01-11-12	02-24-12	272 000	ROYAL CARIBBEAN CRUISESF	7,687.13	7,827.89	140.76		
01-12-12	02-24-12	17 000	ROYAL CARIBBEAN CRUISESF	481.44	489.24	7.80		
12-09-11	02-24-12	784 000	UNITED CONTINENTAL HOLDINGS, INC	16,084.49	16,406.06	321.57		

The Roosevelt Investment Group, Inc.
REALIZED GAINS AND LOSSES
THE ROBERT & MARION SCHAMANN BROZOWSKI FOUNDATION
920039933
From 01-01-12 Through 12-31-12

Open Date	Close Date	Quantity	Security	Cost		Gain Or Loss		
				Basis	Proceeds	Short Term	Long Term	
01-12-12	02-27-12	250 000	ROYAL CARIBBEAN CRUISESF	7,080 06	7,028 67	-51 39		
01-17-12	02-27-12	26 000	ROYAL CARIBBEAN CRUISESF	700 77	730 98	30 21		
12-09-11	02-27-12	207 000	UNITED CONTINENTAL HOLDINGS, INC	4,246 80	4,155 01	-91 79		
06-28-10	03-08-12	232 000	CROWN HOLDINGS INC	5,828 05	8,454 83			2,626 78
06-28-10	03-08-12	258 000	CROWN HOLDINGS INC	6,499 19	9,402 36			2,903 17
07-13-10	03-08-12	59 000	CROWN HOLDINGS INC	1,551 89	2,150 15			598 26
07-13-10	03-08-12	66 000	CROWN HOLDINGS INC	1,733 87	2,405 25			671 38
04-20-11	03-08-12	37 000	CROWN HOLDINGS INC	1,405 03	1,348 40	-56 63		
04-20-11	03-08-12	42 000	CROWN HOLDINGS INC	1,592 47	1,530 62	-61 85		
12-09-11	03-14-12	96 000	UNITED CONTINENTAL HOLDINGS, INC	1,969 53	1,884 19	-85 34		
12-16-11	03-14-12	298 000	UNITED CONTINENTAL HOLDINGS, INC	6,236 80	5,848 82	-387 98		
11-04-09	03-14-12	14 000	NATIONAL FUEL GAS CO	650 94	707 03			56 09
11-04-09	03-14-12	27 000	NATIONAL FUEL GAS CO	1,253 14	1,363 56			110 42
06-04-10	03-14-12	35 000	NATIONAL FUEL GAS CO	1,724 80	1,767 57			42 77
06-04-10	03-14-12	37 000	NATIONAL FUEL GAS CO	1,841 36	1,868 58			27 22
12-02-10	03-14-12	26 000	NATIONAL FUEL GAS CO	1,713 72	1,313 06			-400 66
12-02-10	03-14-12	30 000	NATIONAL FUEL GAS CO	1,965 55	1,515 06			-450 49
03-25-09	03-14-12	74 000	PERRIGO CO	1,748 46	7,715 44			5,966 98
03-27-09	03-14-12	23 000	PERRIGO CO	583 21	2,398 04			1,814 83
09-08-09	03-19-12	107 000	TRANSDIGM GROUP INC	4,780 06	12,150 31			7,370 25
12-16-11	03-21-12	12 000	UNITED CONTINENTAL HOLDINGS, INC	251 15	250 70	-0 45		
01-26-12	03-21-12	746 000	UNITED CONTINENTAL HOLDINGS, INC	16,065 41	15,584 99	-480 42		
03-01-12	04-05-12	502 000	GOLDCORP INC NEW F	24,512 57	20,823 58	-3,688 99		
06-22-11	04-09-12	282 000	INTERNATIONAL PAPER CO	8,151 41	9,638 05	1,486 64		
06-22-11	04-11-12	453 000	INTERNATIONAL PAPER CO	13,094 29	14,893 79	1,799 50		
06-22-11	04-13-12	78 000	INTERNATIONAL PAPER CO	2,254 65	2,580 38	325 73		

The Roosevelt Investment Group, Inc.
REALIZED GAINS AND LOSSES
THE ROBERT & MARION SCHAMANN BROZOWSKI FOUNDATION
920039933
From 01-01-12 Through 12-31-12

Open Date	Close Date	Quantity	Security	Cost		Gain Or Loss		
				Basis	Proceeds	Short Term	Long Term	
07-01-11	04-13-12	138 000	INTERNATIONAL PAPER CO	4,148 95	4,565 28	416 33		
12-02-11	04-13-12	528 000	INTERNATIONAL PAPER CO	14,998 87	17,467 15	2,468 28		
02-28-11	04-19-12	70 000	KIRBY CORPORATION	3,866 10	4,500 24		634 14	
02-28-11	04-19-12	78 000	KIRBY CORPORATION	4,305 89	5,014 56		708 67	
03-01-11	04-19-12	10 000	KIRBY CORPORATION	557 62	642 89		85 27	
01-17-12	04-23-12	144 000	ROYAL CARIBBEAN CRUISESF	3,881 18	3,801 17	-80.01		
01-19-12	04-25-12	392 000	JUNIPER NETWORKS	9,296 29	8,197 27	-1,099 02		
03-08-12	04-25-12	242 000	Itau Unibanco Hldng-Pref ADR	5,123 03	3,812 62	-1,310 41		
03-08-12	04-26-12	927 000	Itau Unibanco Hldng-Pref ADR	19,624 18	14,375 45	-5,248 73		
01-19-12	04-27-12	265 000	JUNIPER NETWORKS	6,284 48	5,649 86	-634 62		
08-05-09	05-07-12	18 000	DOW CHEMICAL COMPANY	424 16	578 47		154 31	
11-13-09	05-07-12	23 000	DOW CHEMICAL COMPANY	677.41	739 16		61 75	
02-04-11	05-07-12	122 000	DOW CHEMICAL COMPANY	4,525 36	3,920.76		-604.60	
09-27-11	05-07-12	21 000	SALESFORCE.COM INC COM STK	2,645 11	3,218 58	573 47		
10-06-11	05-07-12	26 000	SALESFORCE.COM INC COM STK	3,173 56	3,984 91	811 35		
09-09-10	05-07-12	115 000	ITC HOLDINGS CORP	6,921.83	8,439.68		1,517 85	
09-09-10	05-07-12	128 000	ITC HOLDINGS CORP	7,702 26	9,393 73		1,691 47	
05-11-11	05-07-12	63 000	ITC HOLDINGS CORP	4,637 37	4,623 47	-13 90		
05-11-11	05-07-12	70 000	ITC HOLDINGS CORP	5,140 10	5,137.19	-2 91		
06-13-11	05-07-12	49 000	ITC HOLDINGS CORP	3,422 65	3,596 04	173 39		
09-08-11	05-08-12	71 000	ULTA SALON COSMETICS & FRAGRANCES, INC	4,130 54	6,044 37	1,913 83		
02-01-12	05-09-12	29 000	CF INDUSTRIES HOLDINGS, INC	5,216 87	5,124 31	-92 56		
09-29-10	05-09-12	34 000	ALBEMARLE CORP	1,552 30	2,117 50		565 20	
11-03-11	05-09-12	166 000	OWENS CORNING INC	4,688 94	5,483 12	794 18		

The Roosevelt Investment Group, Inc.
REALIZED GAINS AND LOSSES
THE ROBERT & MARION SCHAMANN BROZOWSKI FOUNDATION
920039933
From 01-01-12 Through 12-31-12

Open Date	Close Date	Quantity	Security	Cost		Gain Or Loss		
				Basis	Proceeds	Short Term	Long Term	
02-01-12	05-10-12	496 000	ISRAEL CHEMICALS UNSPONSORED ADR 1 A	5,296 53	5,295 65	-0 88		
02-01-12	05-14-12	52 000	CF INDUSTRIES HOLDINGS, INC	9,354.40	8,632 16	-722 24		
09-29-10	05-14-12	66 000	ALBEMARLE CORP	3,013 28	4,020 35		1,007 07	
11-03-11	05-14-12	101 000	OWENS CORNING INC	2,852 91	3,220 50	367 59		
11-04-11	05-14-12	22 000	OWENS CORNING INC	628 81	701 50	72 69		
02-01-12	05-17-12	44 000	CF INDUSTRIES HOLDINGS, INC	7,915 26	7,113 58	-801.68		
02-16-12	05-17-12	51 000	CF INDUSTRIES HOLDINGS, INC	9,171.10	8,245 28	-925 82		
03-01-11	05-18-12	56 000	KIRBY CORPORATION	3,122 64	3,074 60		-48 04	
03-01-11	05-18-12	33 000	KIRBY CORPORATION	1,839 27	1,811 81		-27 46	
03-01-11	05-21-12	40 000	KIRBY CORPORATION	2,229 41	2,226 54		-2 87	
08-01-11	05-21-12	63 000	KIRBY CORPORATION	3,745 46	3,506 81	-238 65		
10-12-11	05-21-12	63 000	KIRBY CORPORATION	3,576 46	3,506 81	-69 65		
11-04-11	05-21-12	81 000	OWENS CORNING INC	2,315 15	2,321 45	6 30		
11-07-11	05-21-12	152 000	OWENS CORNING INC	4,404 79	4,356.29	-48 50		
12-01-11	05-21-12	247 000	OWENS CORNING INC	7,121 51	7,078 98	-42 53		
12-20-11	05-21-12	43 000	OWENS CORNING INC	1,178 88	1,232 37	53 49		
09-29-10	05-23-12	21 000	ALBEMARLE CORP	958 77	1,254 36		295 59	
10-06-10	05-23-12	52 000	ALBEMARLE CORP	2,489.77	3,106.05		616.28	
03-19-10	05-29-12	34 000	EBAY INC	940 08	1,378 00		437 92	
03-19-10	05-29-12	176 000	EBAY INC	4,877.83	7,133 15		2,255.32	
04-14-10	05-29-12	90 000	EBAY INC	2,434 50	3,647 63		1,213 13	
11-17-11	05-30-12	78 000	PROSHS ULTRASHRT S&P500 PROSHARES TRUST	1,614 06	1,323.07	-290 99		
11-21-11	05-30-12	206 000	PROSHS ULTRASHRT S&P500 PROSHARES TRUST	4,543 01	3,494 26	-1,048 75		
05-07-12	05-30-12	396 000	PROSHS ULTRASHRT S&P500 PROSHARES TRUST	6,239 85	6,717 12	477 27		
05-08-12	05-30-12	198 000	PROSHS ULTRASHRT S&P500 PROSHARES TRUST	3,212 39	3,358 56	146 17		

The Roosevelt Investment Group, Inc.
REALIZED GAINS AND LOSSES
THE ROBERT & MARION SCHAMANN BROZOWSKI FOUNDATION
920039933
From 01-01-12 Through 12-31-12

Open Date	Close Date	Quantity	Security	Cost		Gain Or Loss		
				Basis	Proceeds	Short Term	Long Term	
05-10-12	05-30-12	85.000	PROSHS ULTRASHT S&P500 PROSHARES TRUST	1,365.81	1,441.80	75.99		
08-19-10	06-01-12	78.000	MC DONALDS CORP	5,677.86	6,810.54		1,132.68	
08-19-10	06-01-12	87.000	MC DONALDS CORP	6,331.72	7,596.37		1,264.65	
08-25-10	06-01-12	12.000	MC DONALDS CORP	888.44	1,047.78		159.34	
07-22-10	06-01-12	16.000	ALLEGHENY TECH INC	796.15	485.83		-310.32	
07-22-10	06-01-12	40.000	ALLEGHENY TECH INC	1,988.38	1,214.57		-773.81	
08-12-10	06-01-12	21.000	ALLEGHENY TECH INC	958.55	637.65		-320.90	
08-12-10	06-01-12	24.000	ALLEGHENY TECH INC	1,092.92	728.74		-364.18	
09-13-10	06-01-12	36.000	ALLEGHENY TECH INC	1,726.47	1,093.11		-633.36	
09-13-10	06-01-12	41.000	ALLEGHENY TECH INC	1,963.76	1,244.94		-718.82	
09-24-10	06-01-12	34.000	ALLEGHENY TECH INC	1,537.11	1,032.38		-504.73	
09-24-10	06-01-12	38.000	ALLEGHENY TECH INC	1,715.83	1,153.85		-561.98	
08-26-11	06-01-12	1.000	ALLEGHENY TECH INC	45.98	30.36	-15.62		
12-20-11	06-05-12	60.000	OWENS CORNING INC	1,644.95	1,654.74	9.79		
12-21-11	06-05-12	121.000	OWENS CORNING INC	3,349.20	3,337.07	-12.13		
01-05-12	06-05-12	78.000	OWENS CORNING INC	2,415.74	2,151.17	-264.57		
02-15-12	06-05-12	122.000	OWENS CORNING INC	3,760.33	3,364.65	-395.68		
08-26-11	06-05-12	123.000	ALLEGHENY TECH INC	5,655.75	3,628.08	-2,027.67		
12-09-11	06-05-12	378.000	LENNAR CP CL A	7,407.36	9,316.13	1,908.77		
12-12-11	06-05-12	195.000	LENNAR CP CL A	3,797.74	4,805.93	1,008.19		
12-16-11	06-05-12	104.000	LENNAR CP CL A	1,938.81	2,563.17	624.36		
03-14-12	06-05-12	1,114.000	CHENIERE ENERGY INC	16,554.19	13,888.80	-2,665.39		
04-02-12	06-05-12	110.000	CHENIERE ENERGY INC	1,696.87	1,371.43	-325.44		
12-09-11	06-06-12	589.000	D R HORTON INC	7,410.56	9,027.22	1,616.66		
12-12-11	06-06-12	302.000	D R HORTON INC	3,780.84	4,628.56	847.72		
12-16-11	06-06-12	149.000	D R HORTON INC	1,803.71	2,283.62	479.91		
11-17-11	06-11-12	94.000	PROSHS ULTRASHT ETF NEW RUSSELL 2000	3,956.08	3,134.67	-821.41		
11-21-11	06-11-12	93.000	PROSHS ULTRASHT ETF NEW RUSSELL 2000	4,161.40	3,101.33	-1,060.07		
05-07-12	06-11-12	194.000	PROSHS ULTRASHT ETF NEW RUSSELL 2000	6,212.41	6,469.43	257.02		
10-06-10	06-14-12	11.000	ALBEMARLE CORP	526.68	635.79		109.11	
10-06-10	06-14-12	71.000	ALBEMARLE CORP	3,397.20	4,103.76		706.56	
08-29-11	06-14-12	76.000	ALBEMARLE CORP	3,864.83	4,392.75	527.92		
01-06-12	06-14-12	321.000	HOME DEPOT INC	13,843.18	16,472.36	2,629.18		

The Roosevelt Investment Group, Inc.
REALIZED GAINS AND LOSSES
THE ROBERT & MARION SCHAMANN BROZOWSKI FOUNDATION
920039933

From 01-01-12 Through 12-31-12

Open Date	Close Date	Quantity	Security	Cost		Gain Or Loss		
				Basis	Proceeds	Short Term	Long Term	
12-30-11	06-14-12	246 000	STANLEY BLACK & DECKER INC	16,674 88	15,333 78	-1,341 10		
12-16-11	06-14-12	107 000	LENNAR CP CL A	1,994 74	2,674 32	679 58		
12-19-11	06-14-12	147 000	LENNAR CP CL A	2,753 45	3,674 07	920 62		
12-20-11	06-14-12	66 000	LENNAR CP CL A	1,288 02	1,649 58	361 56		
12-21-11	06-14-12	26 000	LENNAR CP CL A	515 28	649 84	134 56		
02-01-12	06-20-12	1,644 000	ISRAEL CHEMICALS UNSPONSORED ADR 1 A	17,555 42	16,961 52	-593 90		
05-17-12	06-20-12	295 000	ULTRASHORT MSCI EUROPE PROSHARES	13,287 05	11,868 45	-1,418 60		
03-09-12	06-25-12	112 000	WHIRLPOOL CORP	8,445 67	6,244 64	-2,201 03		
03-14-12	06-25-12	30 000	WHIRLPOOL CORP	2,356 28	1,672 67	-683 61		
07-07-11	06-29-12	169 000	NIKE INC CLASS B	15,715 81	14,902 33	-813 48		
04-14-10	07-02-12	57 000	EBAY INC	1,541 85	2,346 06		804 21	
04-14-10	07-02-12	163 000	EBAY INC	4,427 15	6,708 89		2,281 74	
02-11-11	07-02-12	66 000	EBAY INC	2,275 48	2,716 49		441 01	
09-09-11	07-03-12	338 000	NUANCE COMMUNICATIONS COM	5,688 84	7,883 17	2,194 33		
05-10-12	07-05-12	55 000	PROSHS ULTRASHRT S&P500 PROSHARES	883 76	843 76	-40 00		
05-17-12	07-05-12	271 000	PROSHS ULTRASHRT S&P500 PROSHARES	4,561 75	4,157 42	-404 33		
06-05-12	07-05-12	763 000	PROSHS ULTRASHRT S&P500 PROSHARES	13,635 49	11,705 21	-1,930 28		
02-11-10	07-09-12	14 000	APPLE INC	2,796 70	8,482 40		5,685 70	
08-05-09	07-10-12	118 000	MICROCHIP TECHNOLOGY INC	3,221 78	3,708 55		486 77	
08-07-09	07-10-12	134 000	MICROCHIP TECHNOLOGY INC	3,540 65	4,211 40		670 75	
09-09-09	07-10-12	22 000	MICROCHIP TECHNOLOGY INC	611 28	691 42		80 14	
09-13-11	07-10-12	34 000	RED HAT INC COM	1,317 46	1,747 17	429 71		
09-15-11	07-10-12	74 000	RED HAT INC COM	3,028 76	3,802 67	773 91		
10-06-11	07-10-12	60 000	RED HAT INC COM	2,478 40	3,083 24	604 84		

The Roosevelt Investment Group, Inc.
REALIZED GAINS AND LOSSES
THE ROBERT & MARION SCHAMANN BROZOWSKI FOUNDATION
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From 01-01-12 Through 12-31-12

Open Date	Close Date	Quantity	Security	Cost		Gain Or Loss		
				Basis	Proceeds	Short Term	Long Term	
09-09-09	07-11-12	78 000	MICROCHIP TECHNOLOGY INC	2,167 25	2,443 04		275 79	
09-09-09	07-11-12	115 000	MICROCHIP TECHNOLOGY INC	3,192 81	3,601 91		409 10	
11-05-09	07-11-12	51 000	MICROCHIP TECHNOLOGY INC	1,334 82	1,597 37		262 55	
11-05-09	07-11-12	1 000	MICROCHIP TECHNOLOGY INC	26 14	31 32		5 18	
02-11-11	07-11-12	1 000	EBAY INC	34 48	39 22		4 74	
02-11-11	07-11-12	74 000	EBAY INC	2,549 41	2,902 21		352 80	
05-04-11	07-11-12	143 000	EBAY INC	4,704 30	5,608 33		904 03	
09-09-11	07-11-12	73 000	NUANCE COMMUNICATIONS COM	1,228 65	1,567 32	338 67		
09-13-11	07-11-12	176 000	NUANCE COMMUNICATIONS COM	3,170 98	3,778 74	607 76		
09-15-11	07-11-12	106 000	NUANCE COMMUNICATIONS COM	2,010 42	2,275 83	265 41		
12-30-11	07-11-12	81 000	STANLEY BLACK & DECKER INC	5,490 51	4,826 02	-664 49		
01-05-12	07-11-12	48 000	STANLEY BLACK & DECKER INC	3,397 58	2,859 86	-537 72		
01-26-12	07-11-12	8 000	STANLEY BLACK & DECKER INC	579 77	476 64	-103 13		
09-20-11	08-14-12	80 000	ALEXION PHARMACEUTICAL	5,125 12	8,261 42	3,136 30		
12-16-11	08-14-12	170 000	D R HORTON INC	2,057 93	3,111 27	1,053 34		
03-23-11	08-14-12	164 000	DISCOVER FINANCIAL SVCS	3,788 38	6,059 99		2,271 61	
03-23-11	08-14-12	183 000	DISCOVER FINANCIAL SVCS	4,225 47	6,762 07		2,536 60	
03-28-11	08-14-12	209 000	DISCOVER FINANCIAL SVCS	5,097 12	7,722 79		2,625 67	
03-28-11	08-14-12	84 000	DISCOVER FINANCIAL SVCS	2,047 92	3,103 90		1,055 98	
05-04-11	08-14-12	70 000	EBAY INC	2,302 80	3,205 93		903 13	
10-07-11	08-14-12	690 000	HERTZ GLOBAL HOLDINGS INC	6,579 06	8,412 29	1,833 23		

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REALIZED GAINS AND LOSSES
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Open Date	Close Date	Quantity	Security	Cost		Gain Or Loss		
				Basis	Proceeds	Short Term	Long Term	
10-10-11	08-14-12	390 000	KEYCORP	2,490 63	3,233 06	742 43		
12-21-11	08-14-12	140 000	LENNAR CP CL A	2,774 59	4,414 38	1,639 79		
04-13-12	08-14-12	240 000	LYONDELLBASELL INDS F CLASS A	10,371 01	11,477 02	1,106 01		
02-28-11	08-14-12	90 000	MARSH & MC LENNAN CO INC	2,721 32	3,060 84		339 52	
11-05-09	08-14-12	40 000	MICROCHIP TECHNOLOGY INC	1,045 66	1,402 76		357 10	
04-21-11	08-14-12	80 000	NORDSTROM INC	3,795 09	4,495 18		700 09	
04-21-11	08-14-12	89 000	NORDSTROM INC	4,220 01	5,000 89		780 88	
04-26-11	08-14-12	21 000	NORDSTROM INC	1,016 19	1,179 98		163 79	
09-15-11	08-14-12	52 000	NUANCE COMMUNICATIONS COM	986 25	1,224 16	237 91		
09-23-11	08-14-12	151 000	NUANCE COMMUNICATIONS COM	2,773 76	3,554 76	781 00		
10-06-11	08-14-12	164 000	NUANCE COMMUNICATIONS COM	3,320 83	3,860 80	539 97		
12-05-11	08-14-12	168 000	NUANCE COMMUNICATIONS COM	4,103 11	3,954 97	-148 14		
02-10-12	08-14-12	147 000	NUANCE COMMUNICATIONS COM	3,929 44	3,460 59	-468 85		
03-27-09	08-14-12	30 000	PERRIGO CO	760 71	3,500 02		2,739 31	
06-29-12	08-14-12	120 000	PHARMACYCLICS INC	6,223 50	7,005 56	782 06		
04-05-12	08-14-12	240 000	PIMCO EXCH TRADED FUND 25+ YR ZERO COUPON	23,645 61	27,606 82	3,961 21		
11-17-11	08-14-12	22 000	PROSHS ULTRASHORT QQQ	991 15	639 53	-351 62		
11-21-11	08-14-12	99 000	PROSHS ULTRASHORT QQQ	4,884 70	2,877 88	-2,006 82		
11-23-11	08-14-12	67 000	PROSHS ULTRASHORT QQQ	3,379 61	1,947 65	-1,431 96		
05-07-12	08-14-12	192 000	PROSHS ULTRASHORT QQQ	6,247 20	5,581 33	-665 87		
05-08-12	08-14-12	102 000	PROSHS ULTRASHORT QQQ	3,433 55	2,965 08	-468 47		

The Roosevelt Investment Group, Inc.
REALIZED GAINS AND LOSSES
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920039933
From 01-01-12 Through 12-31-12

Open Date	Close Date	Quantity	Security	Cost		Gain Or Loss		
				Basis	Proceeds	Short Term	Long Term	
05-17-12	08-14-12	147 000	PROSHS ULTRASHORT QQQ	5,058.43	4,273.21	-785.22		
06-01-12	08-14-12	226 000	PROSHS ULTRASHORT QQQ	8,377.84	6,569.70	-1,808.14		
06-14-12	08-14-12	447 000	PROSHS ULTRASHORT QQQ	15,470.23	12,994.04	-2,476.19		
06-05-12	08-14-12	317 000	PROSHS ULTRASHRT S&P500 PROSHARES TRUST	5,665.07	4,571.66	-1,093.41		
05-07-12	08-14-12	6 000	PROSHS ULTRASHT ETF NEW RUSSELL 2000	192.14	178.45	-13.69		
05-08-12	08-14-12	106 000	PROSHS ULTRASHT ETF NEW RUSSELL 2000	3,466.50	3,152.58	-313.92		
05-17-12	08-14-12	153 000	PROSHS ULTRASHT ETF NEW RUSSELL 2000	5,140.57	4,550.42	-590.15		
06-01-12	08-14-12	15 000	PROSHS ULTRASHT ETF NEW RUSSELL 2000	537.64	446.12	-91.52		
09-17-10	08-14-12	51 000	PVH CORP	2,926.90	4,353.26		1,426.36	
09-17-10	08-14-12	57 000	PVH CORP	3,270.40	4,865.41		1,595.01	
09-20-10	08-14-12	77 000	PVH CORP	4,591.09	6,572.57		1,981.48	
09-20-10	08-14-12	15 000	PVH CORP	894.00	1,280.37		386.37	
10-12-11	08-14-12	73 000	RED HAT INC COM	3,345.66	4,042.06	696.40		
05-16-12	08-14-12	83 000	RED HAT INC COM	4,706.38	4,595.77	-110.61		
05-10-10	08-14-12	247 000	ROBERT HALF INTL	6,650.43	7,002.78		352.35	
05-10-10	08-14-12	23 000	ROBERT HALF INTL	620.77	652.08		31.31	
10-14-08	08-14-12	30 000	ROPER INDUSTRIES INC	1,418.40	3,149.02		1,730.62	
12-20-10	08-14-12	180 000	SLM CORPORATION	2,317.37	2,903.69		586.32	
04-25-11	08-14-12	150 000	TW TELECOM INC CL A CLASS A	3,216.10	3,565.72		349.62	
05-17-12	08-14-12	61 000	ULTRASHORT MSCI EUROPE PROSHARES	2,747.49	2,108.12	-639.37		
05-21-12	08-14-12	229 000	ULTRASHORT MSCI EUROPE PROSHARES	10,095.20	7,914.08	-2,181.12		
05-29-12	08-14-12	300 000	VEECO INSTRS INC DEL COM	10,199.61	10,680.36	480.75		
07-03-12	08-14-12	274 000	VIVUS INC COM	8,146.75	6,192.81	-1,953.94		
03-14-12	08-14-12	40 000	WHIRLPOOL CORP	3,141.70	2,894.73	-246.97		

The Roosevelt Investment Group, Inc.
REALIZED GAINS AND LOSSES
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From 01-01-12 Through 12-31-12

Open Date	Close Date	Quantity	Security	Cost		Gain Or Loss		
				Basis	Proceeds	Short Term	Long Term	
01-19-12	08-14-12	294 000	WYNDHAM WORLDWIDE	11,708 86	14,994 25	3,285 39		
01-20-12	08-14-12	6 000	WYNDHAM WORLDWIDE	239 95	306 00	66.05		
01-26-12	08-14-12	20 000	STANLEY BLACK & DECKER INC	1,449 42	1,347 40	-102 02		
01-26-12	08-14-12	100 000	STANLEY BLACK & DECKER INC	7,247 09	6,736 85	-510 24		
09-15-11	08-14-12	164 000	MONSTER BEVERAGE CORP	7,205.09	9,170 94	1,965 85		
09-19-11	08-14-12	58 000	MONSTER BEVERAGE CORP	2,678 23	3,243 38	565 15		
09-23-11	08-14-12	56 000	MONSTER BEVERAGE CORP	2,458 93	3,131 54	672 61		
09-27-11	08-14-12	22 000	MONSTER BEVERAGE CORP	1,021 61	1,230 25	208 64		
09-08-09	08-14-12	24 000	TRANSDIGM GROUP INC	1,072.16	3,076 49		2,004 33	
09-08-09	08-14-12	6 000	TRANSDIGM GROUP INC	267 95	769 12		501.17	
09-08-09	08-14-12	100 000	TRANSDIGM GROUP INC	4,465 84	12,818 71		8,352 87	
06-08-11	08-15-12	0 075	KINDER MORGAN MGMT LLC	4 40	5 54		1.14	
04-05-12	08-16-12	18 000	PIMCO EXCH TRADED FUND 25+ YR ZERO COUPON	1,773 42	1,978 16	204 74		
04-09-12	08-16-12	82 000	PIMCO EXCH TRADED FUND 25+ YR ZERO COUPON	8,374 39	9,011 60	637 21		
01-26-12	08-29-12	91 000	STANLEY BLACK & DECKER INC	6,594 85	6,022 24	-572 61		
09-27-11	08-29-12	56 000	MONSTER BEVERAGE CORP	2,600 46	3,324 08	723 62		
10-14-11	08-29-12	68 000	MONSTER BEVERAGE CORP	3,277.87	4,036 39	758 52		
02-15-11	09-06-12	33 000	VERIFONE SYSTEMS INC	1,584.41	1,067 28		-517 13	
02-15-11	09-06-12	37 000	VERIFONE SYSTEMS INC	1,775 89	1,196 64		-579.25	
03-29-11	09-06-12	78 000	VERIFONE SYSTEMS INC	4,344 22	2,522.65		-1,821 57	
06-01-12	09-07-12	338 000	PROSHS ULTRASHT ETF NEW RUSSELL 2000	12,114 79	9,044 70	-3,070.09		

The Roosevelt Investment Group, Inc.
REALIZED GAINS AND LOSSES
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From 01-01-12 Through 12-31-12

Open Date	Close Date	Quantity	Security	Cost		Gain Or Loss		
				Basis	Proceeds	Short Term	Long Term	
02-28-11	09-10-12	281 000	MARSH & MC LENNAN CO INC	8,496 57	9,594 47		1,097 90	
02-28-11	09-10-12	79 000	MARSH & MC LENNAN CO INC	2,388 57	2,697 38		308 81	
07-11-12	09-12-12	255 000	EQUITY RESIDENTIAL SH BEN INT	16,005 89	15,087 68	-918 21		
08-14-12	09-12-12	150 000	EQUITY RESIDENTIAL SH BEN INT	8,938 20	8,875 10	-63 10		
03-29-11	09-13-12	81 000	VERIFONE SYSTEMS INC	4,511 30	2,525 94		-1,985 36	
03-29-11	09-13-12	177 000	VERIFONE SYSTEMS INC	9,856 00	5,519 66		-4,336 34	
06-13-12	09-13-12	257 000	VERIFONE SYSTEMS INC	8,065 41	8,014 42	-50 99		
08-14-12	09-13-12	230 000	AVALON BAY COMM	31,904 61	32,535 71	631 10		
05-19-11	09-13-12	76 000	LORILLARD INC	8,676 89	8,919 73		242 84	
05-20-11	09-13-12	27 000	LORILLARD INC	3,162 44	3,168 85		6 41	
05-26-11	09-13-12	29 000	LORILLARD INC	3,325 01	3,403 58		78 57	
06-13-11	09-13-12	6 000	LORILLARD INC	599 48	704 19		104 71	
05-21-12	09-14-12	87 000	ULTRASHORT MSCI EUROPE PROSHARES	3,835 29	2,504 99	-1,330 30		
05-24-12	09-14-12	54 000	ULTRASHORT MSCI EUROPE PROSHARES	2,449 89	1,554 82	-895 07		
06-25-12	09-14-12	386 000	ULTRASHORT MSCI EUROPE PROSHARES	16,978 05	11,114 08	-5,863 97		
07-09-12	09-14-12	270 000	PROSHARES ULTRASHORT MSCI	8,018 93	6,423 91	-1,595 02		
08-14-12	09-14-12	330 000	EMERGING MARKETS PROSHARES	8,737 74	7,851 45	-886 29		
06-13-11	09-17-12	72 000	LORILLARD INC	7,193 76	8,449 13		1,255 37	
03-17-09	09-25-12	80 000	TYCO INTL LTD	1,580 65	4,483 90		2,903 25	
03-23-09	09-25-12	86 000	TYCO INTL LTD	1,732 29	4,820 19		3,087 90	
05-10-11	09-25-12	78 000	TYCO INTL LTD	3,931 17	4,371 80		440 63	
05-10-11	09-25-12	40 000	TYCO INTL LTD	2,015 03	2,241 95		226 92	
04-26-12	09-27-12	332 000	METHANEX CORPORATION	11,269 44	9,237 86	-2,031 58		
03-25-09	10-01-12	0 500	ADT CORPORATION	6 56	19 39		12 83	
03-25-09	10-01-12	0 829	PENTAIR INC	13 29	36 82		23 53	

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Open Date	Close Date	Quantity	Security	Cost		Gain Or Loss		
				Basis	Proceeds	Short Term	Long Term	
06-24-11	10-01-12	62 000	BIAGEN IDEC INC	6,367.35	9,407.29		3,039.94	
03-23-09	10-04-12	12 500	ADT CORPORATION	164.09	485.95		321.86	
05-10-11	10-04-12	39 000	ADT CORPORATION	1,267.26	1,516.18		248.92	
05-10-11	10-04-12	43 500	ADT CORPORATION	1,412.81	1,691.12		278.31	
03-23-09	10-04-12	5 409	PENTAIR INC	86.69	239.58		152.89	
05-10-11	10-04-12	18 716	PENTAIR INC	742.44	828.89		86.45	
05-10-11	10-04-12	20 875	PENTAIR INC	827.71	924.53		96.82	
03-23-09	10-04-12	144 000	TYCO INTL LTD	1,069.15	4,137.04		3,067.89	
05-10-11	10-04-12	47 000	TYCO INTL LTD	-324.34	1,350.28		1,674.62	
10-10-11	10-10-12	712 000	KEYCORP	4,546.99	6,108.58	1,561.59		
10-12-11	10-10-12	47 000	KEYCORP	321.97	403.23	81.26		
10-12-11	10-17-12	485 000	KEYCORP	3,322.51	4,040.90		718.39	
10-24-11	10-17-12	1,105 000	KEYCORP	7,881.41	9,206.60	1,325.19		
10-26-11	10-17-12	436 000	KEYCORP	3,139.89	3,632.65	492.76		
05-29-12	10-17-12	179 000	VEECO INSTRS INC DEL COM	6,085.76	5,376.33	-709.43		
02-28-11	10-18-12	335 000	MARSH & MC LENNAN CO INC	10,128.76	11,720.39		1,591.63	
03-14-11	10-18-12	21 000	MARSH & MC LENNAN CO INC	647.51	734.71		87.20	
04-26-12	10-26-12	162 000	METHANEX CORPORATION	5,498.95	4,757.41	-741.54		
08-14-12	10-26-12	70 000	METHANEX CORPORATION	1,965.59	2,055.67	90.08		
03-14-11	10-26-12	32 000	MARSH & MC LENNAN CO INC	986.67	1,071.38		84.71	
03-14-11	10-26-12	60 000	MARSH & MC LENNAN CO INC	1,847.63	2,008.83		161.20	
08-10-11	10-26-12	118 000	MARSH & MC LENNAN CO INC	3,323.12	3,950.70		627.58	
10-05-11	10-26-12	153 000	MARSH & MC LENNAN CO INC	4,099.30	5,122.51		1,023.21	
10-06-11	10-26-12	118 000	MARSH & MC LENNAN CO INC	3,180.61	3,950.70		770.09	
12-20-10	11-01-12	93 000	SLM CORPORATION	1,197.31	1,637.14		439.83	
06-29-12	11-07-12	273 000	PHARMACYCLICS INC	14,158.45	13,101.16	-1,057.29		
08-16-12	11-14-12	276 000	MOSAIC CO NEW	16,456.17	13,469.93	-2,986.24		

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From 01-01-12 Through 12-31-12

Open Date	Close Date	Quantity	Security	Cost		Gain Or Loss		
				Basis	Proceeds	Short Term	Long Term	
08-25-10	11-14-12	6 000	MC DONALD'S CORP	444.22	511 70		67 48	
08-25-10	11-14-12	20 000	MC DONALD'S CORP	1,478 73	1,705 68		226 95	
06-10-11	11-14-12	54 000	MC DONALD'S CORP	4,375 28	4,605 34		230 06	
11-23-11	11-14-12	44 000	MC DONALD'S CORP	4,056 10	3,752 50	-303 60		
06-08-11	11-14-12	0 725	KINDER MORGAN MGMT LLC	41 77	52.00		10 23	
08-14-12	12-03-12	279 000	VERIZON COMMUNICATIONS	12,308 92	12,317 94	9 02		
08-14-12	12-12-12	261 000	VERIZON COMMUNICATIONS	11,514 80	11,606 26	91 46		
10-26-11	12-12-12	606 000	KEYCORP	4,364 17	5,044 84		680 67	
06-19-12	12-12-12	965 000	KEYCORP	7,370 65	8,033 45	662 80		
06-15-12	12-12-12	49 000	SPDR GOLD TRUST SPDR GOLD SHARES	7,740.73	8,141 00	400 27		
08-14-12	12-17-12	620 000	ARCHER-DANIELS-MIDLAND CO	16,007 16	16,957 03	949 87		
09-06-12	12-17-12	229 000	ARCHER-DANIELS-MIDLAND CO	6,165 60	6,263 16	97 56		
05-15-12	12-17-12	1,151 000	BARNES & NOBLE	21,647 75	18,225 33	-3,422 42		
08-14-12	12-17-12	330 000	BARNES & NOBLE	4,424 64	5,225 33	800 69		
04-11-12	12-17-12	795 000	CREE INC	24,608 16	27,136 32	2,528 16		
05-16-12	12-17-12	80 000	CREE INC	2,547 24	2,730 70	183 46		
10-19-12	12-17-12	301 000	CREE INC	8,612 12	10,274 25	1,662 13		
05-22-12	12-17-12	433 000	GNC HOLDINGS INC	16,265 50	14,256 60	-2,008 90		
05-24-12	12-17-12	84 000	GNC HOLDINGS INC	3,276 90	2,765 71	-511 19		
04-26-11	12-17-12	3 000	NORDSTROM INC	145 17	155 01		9 84	
04-26-11	12-17-12	27 000	NORDSTROM INC	1,304 28	1,395 08		90 80	
05-10-11	12-17-12	134 000	NORDSTROM INC	6,435 26	6,923 71		488.45	
05-10-11	12-17-12	150 000	NORDSTROM INC	7,201 50	7,750 43		548.93	
11-11-11	12-17-12	58 000	NORDSTROM INC	2,836 31	2,996 83		160 52	
12-20-10	12-17-12	343 000	SLM CORPORATION	4,415 89	5,788.29		1,372 40	
01-12-11	12-17-12	152 000	SLM CORPORATION	2,137 40	2,565 07		427 67	
02-01-11	12-17-12	135 000	SLM CORPORATION	2,037 47	2,278 19		240 72	
05-11-11	12-17-12	77 000	SLM CORPORATION	1,276.90	1,299 41		22 51	
08-10-11	12-17-12	323 000	SLM CORPORATION	4,321.74	5,450 77		1,129 03	
08-12-11	12-17-12	278 000	SLM CORPORATION	4,059 88	4,691 38		631 50	
10-05-11	12-17-12	290 000	SLM CORPORATION	3,413 41	4,893 89		1,480 48	
10-06-11	12-17-12	284 000	SLM CORPORATION	3,511 61	4,792 63		1,281 02	

The Roosevelt Investment Group, Inc.
REALIZED GAINS AND LOSSES
THE ROBERT & MARION SCHAMANN BROZOWSKI FOUNDATION
920039933

From 01-01-12 Through 12-31-12

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss	
						Short Term	Long Term
09-08-11	12-17-12	52,000	ULTA SALON COSMETICS & FRAGRANCES, INC	3,025.19	4,965.71		1,940.52
09-13-11	12-17-12	35,000	ULTA SALON COSMETICS & FRAGRANCES, INC	2,453.62	3,342.31		888.69
09-15-11	12-17-12	34,000	ULTA SALON COSMETICS & FRAGRANCES, INC	2,375.96	3,246.81		870.85
09-23-11	12-17-12	14,000	ULTA SALON COSMETICS & FRAGRANCES, INC	947.51	1,336.92		389.41
09-27-11	12-17-12	47,000	ULTA SALON COSMETICS & FRAGRANCES, INC	3,337.97	4,488.24		1,150.27
10-06-11	12-17-12	57,000	ULTA SALON COSMETICS & FRAGRANCES, INC	3,796.55	5,443.18		1,646.63
TOTAL GAINS						<u>73,156.42</u>	<u>140,723.52</u>
TOTAL LOSSES						<u>-96,395.72</u>	<u>-16,075.03</u>
TOTAL REALIZED GAIN/LOSS				<u>1,657,548.44</u>	<u>1,758,957.63</u>	<u>-23,239.30</u>	<u>124,648.50</u>

TOTAL REALIZED GAIN/LOSS 101,409.19

This information is obtained from sources believed reliable, but has not been verified for accuracy or completeness. We encourage you to compare and verify the information on this statement with your custodial statement. Please contact us at 646-452-6700 if you do not receive statements from your Custodian on at least a quarterly basis, if there is any change in your financial situation or objectives, or you wish to initiate or modify restrictions on your account.

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☐
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension—check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print	Name of exempt organization or other filer, see instructions.	Enter filer's identifying number, see instructions
File by the due date for filing your return. See instructions.	ROBERT & MARION SCHAMANN BROZOWSKI FOUNDATION, INC.	Employer identification number (EIN) or 22-3613766
	Number, street, and room or suite no. If a P.O. box, see instructions.	Social security number (SSN)
	355 EAST LINDEN AVENUE	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
	LINDEN, NJ 07036	

Enter the Return code for the return that this application is for (file a separate application for each return)

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► **EDMUND FAULKNER**

Telephone No. ► **(908) 862-4990**

FAX No. ► **(732) 388-0330**

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15**, 20 **13**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
► ☒ calendar year 20 **12** or

► ☐ tax year beginning, 20, and ending, 20

2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	0.00
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	0.00
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0.00

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

For Privacy Act and Paperwork Reduction Act Notice, see instructions.

Form **8868** (Rev. 1-2013)