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Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012, or tax year beginning 01-01-2012 , and ending 12-31-2012

Name of foundation The Lisa McGraw Figure Skating Foundation Inc		A Employer identification number 22-3473319	
% ROCKEFELLER & CO		B Telephone number (see instructions) (212) 549-5100	
Number and street (or P O box number if mail is not delivered to street address) C/O ROCKEFELLER CO 30 ROCKEFELLER PLAZA Suite 5600		Room/suite	
City or town, state, and ZIP code New York, NY 10112		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change		☐ Initial return of a former public charity ☐ Amended return ☐ Name change	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 5,218,557		J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)	
		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐ F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	0			
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	26,811	26,811		
	4 Dividends and interest from securities.	76,446	76,446		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	278,135			
	b Gross sales price for all assets on line 6a 2,109,433				
	7 Capital gain net income (from Part IV, line 2)		278,135		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	381,392	381,392		
	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule).	1,683	1,122	0	561
	b Accounting fees (attach schedule).	11,400	7,600	0	3,800
	c Other professional fees (attach schedule)	26,424	26,424		
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	7,308	4,008		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule).	7,591	7,591		
	24 Total operating and administrative expenses. Add lines 13 through 23	54,406	46,745	0	4,361
	25 Contributions, gifts, grants paid.	192,500			192,500
	26 Total expenses and disbursements. Add lines 24 and 25	246,906	46,745	0	196,861
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	134,486			
	b Net investment income (if negative, enter -0-)		334,647		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	114,092	138,191	138,191
	3	Accounts receivable ▶ 12,814			
		Less allowance for doubtful accounts ▶	5,266	12,814	12,814
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	377,903	☒ 364,788	369,270
	b	Investments—corporate stock (attach schedule)	2,225,646	☒ 2,339,823	2,987,616
	c	Investments—corporate bonds (attach schedule).	1,125,816	☒ 1,085,287	1,127,374
	11	Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶				
12	Investments—mortgage loans				
13	Investments—other (attach schedule)	196,037	☒ 256,659	583,292	
14	Land, buildings, and equipment basis ▶				
	Less accumulated depreciation (attach schedule) ▶				
15	Other assets (describe ▶)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	4,044,760	4,197,562	5,218,557	
Liabilities	17	Accounts payable and accrued expenses	0	0	
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	4,044,760	4,197,562	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	0	0	
	30	Total net assets or fund balances (see page 17 of the instructions)	4,044,760	4,197,562	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	4,044,760	4,197,562	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	4,044,760
2	Enter amount from Part I, line 27a	2	134,486
3	Other increases not included in line 2 (itemize) ▶ ☒	3	18,316
4	Add lines 1, 2, and 3	4	4,197,562
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	4,197,562

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	278,135
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	160,448	4,958,934	0 032355
2010	167,532	4,600,027	0 03642
2009	206,215	4,189,608	0 049221
2008	85,573	4,829,522	0 017719
2007	111,588	5,379,099	0 020745

2 Total of line 1, column (d).	2	0 15646
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 031292
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5.	4	5,094,022
5 Multiply line 4 by line 3.	5	159,402
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	3,346
7 Add lines 5 and 6.	7	162,748
8 Enter qualifying distributions from Part XII, line 4.	8	196,861

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1			
		Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	3,346
c		All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3		Add lines 1 and 2.		3	3,346
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	
5		Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	3,346
6		Credits/Payments			
a		2012 estimated tax payments and 2011 overpayment credited to 2012	6a	4,314	
b		Exempt foreign organizations—tax withheld at source	6b		
c		Tax paid with application for extension of time to file (Form 8868)	6c		
d		Backup withholding erroneously withheld	6d		
7		Total credits and payments Add lines 6a through 6d.		7	4,314
8		Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	968
11		Enter the amount of line 10 to be Credited to 2013 estimated tax 968	Refunded	11	

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?			No
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file Form 1120-POL for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ (2) On foundation managers \$			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		No
	If "Yes," attach a detailed description of the activities.			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?.	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		No
	If "Yes," attach the statement required by General Instruction T.			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either - By language in the governing instrument, or - By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions)			
	NJ			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address ▶n/a				
14	The books are in care of ▶ROCKEFELLER & CO Telephone no ▶(212) 549-5100 Located at ▶30 ROCKEFELLER PLAZA ROOM 5600 NEW YORK NY ZIP +4 ▶10112			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶	15		
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?. ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.</i>).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here.

☒

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

No

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances

Total number of other employees paid over \$50,000.

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Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Total number of others receiving over \$50,000 for professional services.		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3.	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	4,469,948
b	Average of monthly cash balances.	1b	155,850
c	Fair market value of all other assets (see instructions).	1c	545,798
d	Total (add lines 1a, b, and c).	1d	5,171,596
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	5,171,596
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	77,574
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	5,094,022
6	Minimum investment return. Enter 5% of line 5.	6	254,701

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	254,701
2a	Tax on investment income for 2012 from Part VI, line 5.	2a	3,346
b	Income tax for 2012 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	3,346
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	251,355
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	251,355
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	251,355

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	196,861
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	196,861
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	3,346
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	193,515
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				251,355
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only.			0	
b Total for prior years 2010 , 2009 , 2008		0		
3 Excess distributions carryover, if any, to 2012				
a From 2007.				
b From 2008.				
c From 2009.				
d From 2010.				
e From 2011.				
f Total of lines 3a through e.				
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ 196,861				
a Applied to 2011, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2012 distributable amount.				196,861
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				54,494
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions). . .				
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2008. . . .				
b Excess from 2009. . . .				
c Excess from 2010. . . .				
d Excess from 2011. . . .				
e Excess from 2012. . . . 0				

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
		(a) 2012	(b) 2011	(c) 2010	(d) 2009	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

ELIZABETH M WEBSTER

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NA

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	192,500
b Approved for future payment				
Total			3b	

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue					
a	_____					
b	_____					
c	_____					
d	_____					
e	_____					
f	_____					
g	Fees and contracts from government agencies					
2	Membership dues and assessments.					
3	Interest on savings and temporary cash investments			14	26,811	
4	Dividends and interest from securities.			14	76,446	
5	Net rental income or (loss) from real estate					
a	Debt-financed property.					
b	Not debt-financed property.					
6	Net rental income or (loss) from personal property					
7	Other investment income.					
8	Gain or (loss) from sales of assets other than inventory			18	278,135	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory.					
	OTHER PORTFOLIO INCOME			14		
11	Other revenue a THRU K-1 _____					
b	_____					
c	_____					
d	_____					
e	_____					
12	Subtotal Add columns (b), (d), and (e).				381,392	
13	Total. Add line 12, columns (b), (d), and (e).					381,392

[illegible]

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? a Transfers from the reporting foundation to a noncharitable exempt organization of (1) Cash. (2) Other assets. b Other transactions (1) Sales of assets to a noncharitable exempt organization. (2) Purchases of assets from a noncharitable exempt organization. (3) Rental of facilities, equipment, or other assets. (4) Reimbursement arrangements. (5) Loans or loan guarantees. (6) Performance of services or membership or fundraising solicitations. c Sharing of facilities, equipment, mailing lists, other assets, or paid employees. d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received		Yes	No
1a(1)			No
1a(2)			No
1b(1)			No
1b(2)			No
1b(3)			No
1b(4)			No
1b(5)			No
1b(6)			No
1c			No

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

* * * * *

2013-05-09

* * * * *

Signature of officer or trustee

Date

Title

May the IRS discuss this return with the preparer shown below (see instr. 7)? ☒ **Yes** ☐ **No**

**Paid
Preparer
Use Only**

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN
Firm's name	Rockefeller & Co Inc		Firm's EIN	
	30 Rockefeller Pl - Rm 5600			
Firm's address	New York, NY 10112		Phone no (212) 549-5100	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
30000 SH 3M COMPANY 4 375% 08/15/13	P	2011-09-07	2012-01-05
15000 SH 3M COMPANY1 375% 09/29/16	P	2012-01-05	2012-08-09
130 SH ADVANCE AUTO PARTS, INC	P	2012-08-28	2012-12-21
20 SH ADVANCE AUTO PARTS, INC	P	2012-08-29	2012-12-21
50 SH ADVANCE AUTO PARTS, INC	P	2012-08-29	2012-12-28
120 SH AFLAC, INC	P	2012-08-21	2012-12-28
20 SH ALLSTATE CORPORATION	P	2011-07-01	2012-02-06
25000 SH AMERICAN EXPRESS 7% 03/19/2018	P	2012-01-06	2012-08-22
80 SH AMERICAN EXPRESS CO	P	2012-02-07	2012-07-25
100 SH AMERICAN EXPRESS CO	P	2012-02-07	2012-07-26
60 SH AMERICAN EXPRESS CO	P	2012-02-06	2012-07-27
50 SH AMERICAN EXPRESS CO	P	2012-02-07	2012-07-27
260 SH AMERICAN EXPRESS CO	P	2012-02-06	2012-07-30
90 SH ARCOS DORADOS HOLDINGS, INC	P	2012-12-26	2012-12-28
15000 SH BANK OF AMER 5 3% 03/15/17	P	2012-08-09	2012-12-13
620 SH BEST BUY CO , INC	P	2012-04-18	2012-08-09
100 SH BEST BUY CO , INC	P	2012-04-18	2012-08-09
890 SH BEST BUY CO , INC	P	2012-04-19	2012-08-09
50 SH BEST BUY CO , INC	P	2012-04-18	2012-08-10
15000 SH CAMPBELL SOUP 4 25% 04/15/21	P	2011-09-26	2012-07-12
130 SH CHINA MOBILE (HONG KONG) LTD	P	2012-08-21	2012-12-21
70 SH CHINA MOBILE (HONG KONG) LTD	P	2012-08-21	2012-12-28
80 SH CISCO SYSTEMS, INC	P	2011-07-01	2012-01-24
20 SH CREE, INC	P	2011-07-01	2012-05-25
80 SH DELPHI AUTOMOTIVE PLC	P	2012-11-02	2012-12-28
30 SH DOVER CORP	P	2012-11-19	2012-12-28
30 SH FEDEX CORPORATION	P	2012-09-21	2012-12-28
10000 SH FFCB 4 875% 01/17/17	P	2011-06-01	2012-03-21
15000 SH FFCB 4 875% 01/17/17	P	2011-11-22	2012-03-21
25000 SH FNMA 5 375 % 06/12/17	P	2011-07-26	2012-01-05

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15000 SH GENERAL DYNAMICS 5 25% 2/01/14	P	2011-08-01	2012-03-15
20 SH GOLDMAN SACHS GROUP	P	2012-10-16	2012-12-28
30 SH HERTZ GLOBAL HOLDINGS INC	P	2011-07-01	2012-02-17
310 SH ING GROEP	P	2012-12-14	2012-12-31
10 SH INTERCONTINENTAL HOTELS GROUP	P	2011-07-01	2012-04-26
2550 SH INTESA SANPAOLO	P	2011-12-06	2012-04-24
3010 SH INTESA SANPAOLO	P	2011-12-07	2012-04-24
4690 SH INTESA SANPAOLO	P	2011-12-06	2012-04-26
590 SH INTESA SANPAOLO	P	2011-12-08	2012-04-26
840 SH INTESA SANPAOLO	P	2011-12-08	2012-04-27
1430 SH INTESA SANPAOLO	P	2011-12-09	2012-04-27
1370 SH INTESA SANPAOLO	P	2011-12-12	2012-04-27
120 SH J P MORGAN CHASE & CO	P	2012-08-21	2012-12-28
140 SH JOHNSON MATTHEY PLC	P	2011-11-16	2012-07-17
70 SH JOHNSON MATTHEY PLC	P	2011-11-16	2012-07-18
15000 SH JPM CHASE 3 7% 01/20/2015	P	2011-07-08	2012-05-24
25000 SH MCDONALD'S CORP, 5 35% 3/1/18	P	2011-09-06	2012-03-06
60 SH MERCK & CO INC	P	2012-01-05	2012-12-28
80 SH MICROSOFT CORP	P	2012-11-06	2012-12-28
80 SH MICROSOFT CORP	P	2012-11-07	2012-12-28
100 SH MITSUI & CO , LTD	P	2011-07-01	2012-04-19
230 SH MORGAN STANLEY	P	2011-08-09	2012-04-10
240 SH MORGAN STANLEY	P	2011-08-22	2012-04-10
40 SH OPHIR ENERGY PLC	P	2012-05-03	2012-12-31
70 SH ORACLE CORPORATION	P	2012-01-24	2012-12-28
30000 SH PEPSICO INC , 5 15% 05/15/2012	P	2011-10-19	2012-01-05
15000 SH PEPSICO INC 3% DUE 8/25/21	P	2012-01-05	2012-08-09
40 SH PFIZER INC	P	2011-07-01	2012-01-05
1550 SH PICC PROP & CASUALTY CO LTD	P	2012-11-05	2012-12-31
130 SH QUEST DIAGNOSTICS INCORPORATED	P	2011-07-21	2012-06-08

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20 SH REINSURANCE GROUP OF AMERICA,	P	2012-07-26	2012-12-28
90 SH ROYAL CARIBBEAN CRUISES LTD	P	2012-02-17	2012-12-28
70 SH SBERBANK - SPONSORED ADR	P	2012-04-25	2012-12-31
60 SH SBERBANK - SPONSORED ADR	P	2012-04-27	2012-12-31
20 SH TIFFANY & CO	P	2012-07-26	2012-12-28
15000 SH U S TREASURY INFL INDEX NOTES	P	2011-06-30	2012-01-15
50 SH UNITEDHEALTH GROUP INC	P	2012-07-18	2012-12-28
20000 SH US TREAS INF IX 1 625% 1/15/15	P	2011-08-25	2012-04-25
24000 SH US TREAS INF IX 1 875% 7/15/13	P	2012-08-09	2012-12-28
75000 SH US TREAS INFL 0 625% 04/15/13	P	2012-01-05	2012-04-25
25000 SH US TREAS INFL 2 125% 01/15/19	P	2011-05-18	2012-04-25
5000 SH US TREAS INFL 2 125% 01/15/19	P	2012-09-17	2012-12-28
75000 SH US TREAS N/B 0 625% 04/30/13	P	2012-04-25	2012-08-09
25000 SH US TREAS N/B 1 75% 04/15/13	P	2011-08-23	2012-01-05
50000 SH US TREAS N/B 1 75% 04/15/13	P	2011-08-25	2012-01-05
75000 SH US TREAS N/B 1 75% 04/15/13	P	2011-08-25	2012-08-09
30000 SH US TREASURY 2 25% 01/31/15	P	2012-04-25	2012-09-17
35000 SH US TREASURY N/B 2 75% 2/15/19	P	2012-04-25	2012-09-17
12000 SH US TRES INFL 2 5% 07/15/2016	P	2012-12-11	2012-12-28
50 SH VIRGIN MEDIA INC	P	2012-12-13	2012-12-28
10000 SH WALGREEN CO 5 25% 01/15/19	P	2011-06-30	2012-06-22
15000 SH WALGREEN CO 5 25% 01/15/19	P	2011-06-30	2012-06-25
20 SH ALEXION PHARMACEUTICALS, INC	P	2009-10-16	2012-12-28
480 SH ALLSTATE CORPORATION	P	2010-04-28	2012-02-06
370 SH ALLSTATE CORPORATION	P	2010-04-27	2012-02-07
55 SH ALLSTATE CORPORATION	P	2010-04-28	2012-02-07
30 SH ANADARKO PETROLEUM CORPORATION	P	2009-10-16	2012-12-28
10 SH APACHE CORPORATION	P	2010-06-17	2012-12-28
78 SH APPLE INC	P	2010-04-27	2012-09-11
10 SH APPLE INC	P	2011-07-01	2012-09-11

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40 SH APPLE INC	P	2010-04-27	2012-12-26
22 SH APPLE INC	P	2010-04-27	2012-12-27
30 SH APPLE INC	P	2010-05-07	2012-12-27
20 SH BAKER HUGHES INCORPORATED	P	2010-06-14	2012-12-28
20 SH BAKER HUGHES INCORPORATED	P	2011-07-01	2012-12-28
50 SH BIOMARIN PHARMACEUTICAL INC	P	2008-09-30	2012-07-17
90 SH BIOMARIN PHARMACEUTICAL INC	P	2008-10-03	2012-07-17
70 SH BIOMARIN PHARMACEUTICAL INC	P	2011-07-01	2012-07-17
30 SH BIOMARIN PHARMACEUTICAL INC	P	2008-10-03	2012-12-28
10000 SH BOEING, 6 00% 3/15/2019	P	2011-07-14	2012-10-25
30 SH BOSKALIS WESTMINSTER - CVA	P	2007-05-15	2012-12-31
40 SH CAREFUSION CORPORATION	P	2010-11-04	2012-12-28
30 SH CAREFUSION CORPORATION	P	2011-07-01	2012-12-28
440 SH CENTRICA PLC	P	2011-05-10	2012-12-31
130 SH CENTRICA PLC	P	2011-07-01	2012-12-31
30 SH CHEVRON CORPORATION	P	2003-03-28	2012-12-28
900 SH CHINA AGRI-INDUSTRIES HOLD RTS	P	2010-11-24	2012-12-10
900 SH CHINA AGRI-INDUSTRIES HOLD RTS	P	2010-11-26	2012-12-10
300 SH CHINA AGRI-INDUSTRIES HOLD RTS	P	2011-07-05	2012-12-10
300 SH CHINA AGRI-INDUSTRIES HOLD RTS	P	2010-05-05	2012-12-10
600 SH CHINA AGRI-INDUSTRIES HOLD RTS	P	2010-05-06	2012-12-10
300 SH CHINA AGRI-INDUSTRIES HOLD RTS	P	2010-05-12	2012-12-10
300 SH CHINA AGRI-INDUSTRIES HOLD RTS	P	2010-05-13	2012-12-10
300 SH CHINA AGRI-INDUSTRIES HOLD RTS	P	2010-05-14	2012-12-10
300 SH CHINA AGRI-INDUSTRIES HOLD RTS	P	2010-05-17	2012-12-10
600 SH CHINA AGRI-INDUSTRIES HOLD RTS	P	2010-05-04	2012-12-10
1000 SH CHINA AGRI-INDUSTRIES HOLDINGS	P	2010-05-04	2012-12-31
20 SH CHINA MOBILE (HONG KONG) LTD	P	2011-12-20	2012-12-28
6 SH CHUNGHWA TELECOM LTD ADR	P	2010-07-07	2012-05-02
24 SH CHUNGHWA TELECOM LTD ADR	P	2010-07-08	2012-05-02

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28 SH CHUNGHWA TELECOM LTD ADR	P	2010-04-23	2012-05-03
24 SH CHUNGHWA TELECOM LTD ADR	P	2010-07-01	2012-05-03
18 SH CHUNGHWA TELECOM LTD ADR	P	2010-07-07	2012-05-03
70 SH CHUNGHWA TELECOM LTD ADR	P	2010-04-23	2012-05-04
60 SH CHUNGHWA TELECOM LTD ADR	P	2010-04-23	2012-05-07
10 SH CHUNGHWA TELECOM LTD ADR	P	2010-04-22	2012-05-08
34 SH CHUNGHWA TELECOM LTD ADR	P	2010-04-23	2012-05-08
96 SH CHUNGHWA TELECOM LTD ADR	P	2010-04-26	2012-05-08
160 SH CHUNGHWA TELECOM LTD ADR	P	2010-04-22	2012-05-09
38 SH CHUNGHWA TELECOM LTD ADR	P	2010-04-22	2012-05-10
32 SH CHUNGHWA TELECOM LTD ADR	P	2010-04-20	2012-05-10
88 SH CHUNGHWA TELECOM LTD ADR	P	2010-04-20	2012-05-11
42 SH CHUNGHWA TELECOM LTD ADR	P	2010-04-21	2012-05-11
118 SH CHUNGHWA TELECOM LTD ADR	P	2010-04-21	2012-05-14
2100 SH CISCO SYSTEMS, INC	P	2005-11-22	2012-01-24
640 SH COMCAST CORPORATION - CL A	P	2007-12-10	2012-12-13
10 SH COMCAST CORPORATION - CL A	P	2011-07-01	2012-12-13
180 SH COMCAST CORPORATION - CL A	P	2007-12-10	2012-12-21
250 SH COMCAST CORPORATION - CL A	P	2008-10-28	2012-12-21
60 SH COMCAST CORPORATION - CL A	P	2008-10-28	2012-12-28
40 SH COMERICA INCORPORATED	P	2010-03-16	2012-12-28
30 SH CONTINENTAL RESOURCES, INC	P	2009-07-07	2012-12-28
10 SH COVIDIEN PLC	P	2008-11-25	2012-12-28
30 SH COVIDIEN PLC	P	2011-07-01	2012-12-28
290 SH CREE, INC	P	2011-04-04	2012-05-25
15000 SH DIAGEO CAP PLC 5 750% 10/23/17	P	2011-07-21	2012-12-11
30000 SH DOWCHEMICAL CO 4 25% 11/15/20	P	2011-07-18	2012-10-04
10 SH FEDEX CORPORATION	P	2011-07-01	2012-12-28
4500 SH GALAXY ENTERTAINMENT GROUP LTD	P	2010-10-13	2012-11-05
70 SH GALAXY ENTERTAINMENT GROUP LTD	P	2010-10-11	2012-11-05

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300 SH GALAXY ENTERTAINMENT GROUP LTD	P	2011-07-05	2012-11-05
1620 SH GALAXY ENTERTAINMENT GROUP LTD	P	2010-10-11	2012-11-06
1980 SH GALAXY ENTERTAINMENT GROUP LTD	P	2010-10-11	2012-11-07
1900 SH GALAXY ENTERTAINMENT GROUP LTD	P	2010-10-12	2012-12-21
630 SH GALAXY ENTERTAINMENT GROUP LTD	P	2010-10-11	2012-12-21
750 SH GALAXY ENTERTAINMENT GROUP LTD	P	2010-10-08	2012-12-21
1160 SH GALAXY ENTERTAINMENT GROUP LTD	P	2010-10-08	2012-12-24
1790 SH GALAXY ENTERTAINMENT GROUP LTD	P	2010-10-08	2012-12-27
10 SH GOOGLE INC - CLASS A	P	2007-11-05	2012-12-28
340 SH HERTZ GLOBAL HOLDINGS INC	P	2010-04-14	2012-02-17
330 SH HERTZ GLOBAL HOLDINGS INC	P	2010-04-15	2012-02-17
570 SH HERTZ GLOBAL HOLDINGS INC	P	2010-04-12	2012-02-21
850 SH HERTZ GLOBAL HOLDINGS INC	P	2010-04-13	2012-02-21
30 SH HERTZ GLOBAL HOLDINGS INC	P	2010-04-14	2012-02-21
40 SH HEWLETT-PACKARD COMPANY	P	2011-02-23	2012-12-28
50 SH HEWLETT-PACKARD COMPANY	P	2011-02-24	2012-12-28
40 SH HONEYWELL INC	P	2005-12-20	2012-12-28
30000 SH IBM CORP SR UNSEC NTS, 4 75%	P	2011-07-26	2012-11-29
110 SH INTERCONTINENTAL HOTELS GROUP	P	2009-05-12	2012-04-26
430 SH INTERCONTINENTAL HOTELS GROUP	P	2009-05-08	2012-04-27
90 SH INTERCONTINENTAL HOTELS GROUP	P	2009-05-12	2012-04-27
490 SH INTERCONTINENTAL HOTELS GROUP	P	2009-05-08	2012-04-30
270 SH INTERCONTINENTAL HOTELS GROUP	P	2009-05-08	2012-05-01
20 SH INTERCONTINENTAL HOTELS GROUP	P	2009-05-11	2012-05-01
310 SH INTERCONTINENTAL HOTELS GROUP	P	2009-05-11	2012-05-02
20 SH INTERTEK GROUP PLC	P	2009-12-16	2012-05-23
50 SH INTERTEK GROUP PLC	P	2009-12-16	2012-05-24
30 SH INTERTEK GROUP PLC	P	2009-12-15	2012-05-25
50 SH INTERTEK GROUP PLC	P	2009-12-16	2012-05-25
10 SH INTERTEK GROUP PLC	P	2009-12-29	2012-05-25

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10 SH INTERTEK GROUP PLC	P	2009-12-11	2012-05-29
20 SH INTERTEK GROUP PLC	P	2009-12-24	2012-05-29
10 SH INTERTEK GROUP PLC	P	2009-12-29	2012-05-29
80 SH INTERTEK GROUP PLC	P	2009-12-11	2012-05-30
10 SH INTERTEK GROUP PLC	P	2009-12-11	2012-05-31
40 SH INTERTEK GROUP PLC	P	2009-12-23	2012-05-31
10 SH INTERTEK GROUP PLC	P	2009-12-22	2012-06-06
50 SH INTERTEK GROUP PLC	P	2009-12-23	2012-06-06
90 SH INTERTEK GROUP PLC	P	2009-12-22	2012-11-08
80 SH INTERTEK GROUP PLC	P	2009-12-17	2012-11-09
90 SH INTERTEK GROUP PLC	P	2009-12-17	2012-11-12
20 SH INTERTEK GROUP PLC	P	2009-12-17	2012-11-13
120 SH INTERTEK GROUP PLC	P	2009-12-21	2012-11-13
150 SH INTERTEK GROUP PLC	P	2009-12-18	2012-11-14
20 SH INTERTEK GROUP PLC	P	2009-12-21	2012-11-14
90 SH INTERTEK GROUP PLC	P	2009-12-18	2012-11-15
30 SH INTERTEK GROUP PLC	P	2009-12-18	2012-11-16
10000 SH J&J 5 15% DUE 07/15/2018	P	2011-11-22	2012-12-11
30 SH JOHNSON MATTHEY PLC	P	2006-11-03	2012-07-18
40 SH JOHNSON MATTHEY PLC	P	2006-10-31	2012-07-19
20 SH JOHNSON MATTHEY PLC	P	2006-11-03	2012-07-19
40 SH JOHNSON MATTHEY PLC	P	2006-10-31	2012-07-20
60 SH JOHNSON MATTHEY PLC	P	2006-10-31	2012-07-23
20 SH JOHNSON MATTHEY PLC	P	2006-10-30	2012-07-24
10 SH JOHNSON MATTHEY PLC	P	2006-10-31	2012-07-24
50 SH JOHNSON MATTHEY PLC	P	2006-10-30	2012-07-25
38 1818 SH JOHNSON MATTHEY PLC	P	2006-10-30	2012-12-31
21 8182 SH JOHNSON MATTHEY PLC	P	2008-09-25	2012-12-31
70 SH MICROSOFT CORP	P	2007-08-07	2012-12-28
1200 SH MITSUI & CO ,LTD	P	2011-03-16	2012-04-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
500 SH MITSUI & CO , LTD	P	2011-03-17	2012-04-19
430 SH MORGAN STANLEY	P	2009-08-07	2012-04-05
340 SH MORGAN STANLEY	P	2009-08-07	2012-04-09
70 SH MORGAN STANLEY	P	2009-08-07	2012-04-10
70 SH MSCI INC	P	2010-12-08	2012-12-28
10 SH MSCI INC	P	2011-07-01	2012-12-28
60 SH NETAPP, INC	P	2011-09-08	2012-12-28
490 SH NGK INSULATORS LTD	P	2011-03-17	2012-11-02
20 SH NGK INSULATORS LTD	P	2011-03-16	2012-11-05
510 SH NGK INSULATORS LTD	P	2011-03-17	2012-11-05
540 SH NGK INSULATORS LTD	P	2011-03-16	2012-11-07
280 SH NGK INSULATORS LTD	P	2011-03-16	2012-11-14
160 SH NGK INSULATORS LTD	P	2011-03-16	2012-11-16
50 SH NOVARTIS AG - ADR	P	2011-07-01	2012-12-28
270 SH NYSE EURONEXT	P	2009-12-23	2012-11-08
10 SH NYSE EURONEXT	P	2011-07-01	2012-11-08
180 SH NYSE EURONEXT	P	2009-12-18	2012-12-13
330 SH NYSE EURONEXT	P	2009-12-22	2012-12-13
130 SH NYSE EURONEXT	P	2009-12-23	2012-12-13
10 SH OCEANEERING INTERNATIONAL, INC	P	2011-07-01	2012-12-28
100 SH OGX PETROLEO E GAS PARTICIPAOE	P	2009-10-16	2012-06-18
300 SH OGX PETROLEO E GAS PARTICIPAOE	P	2009-12-29	2012-06-18
500 SH OGX PETROLEO E GAS PARTICIPAOE	P	2009-12-30	2012-06-18
1000 SH OGX PETROLEO E GAS PARTICIPAOE	P	2009-10-16	2012-06-19
20 SH PEPSICO, INC	P	2008-12-17	2012-12-28
10 SH PEPSICO, INC	P	2011-07-01	2012-12-28
1420 SH PFIZER INC	P	2010-10-29	2012-01-05
220 SH PFIZER INC	P	2010-11-01	2012-01-05
550 SH PFIZER INC	P	2010-11-02	2012-01-05
2 SH PHILIPPINE LONG DIST TELE CO	P	2010-09-20	2012-08-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
18 SH PHILIPPINE LONG DIST TELE CO	P	2010-09-21	2012-08-23
10 SH PHILIPPINE LONG DIST TELE CO	P	2010-09-20	2012-09-11
2 SH PHILIPPINE LONG DIST TELE CO	P	2010-09-16	2012-09-13
8 SH PHILIPPINE LONG DIST TELE CO	P	2010-09-20	2012-09-13
10 SH PHILIPPINE LONG DIST TELE CO	P	2010-09-16	2012-09-14
8 SH PHILIPPINE LONG DIST TELE CO	P	2010-09-16	2012-09-17
12 SH PHILIPPINE LONG DIST TELE CO	P	2010-09-17	2012-09-17
10 SH PHILIPPINE LONG DIST TELE CO	P	2010-09-17	2012-09-19
2 SH PHILIPPINE LONG DIST TELE CO	P	2010-09-14	2012-09-20
8 SH PHILIPPINE LONG DIST TELE CO	P	2010-09-17	2012-09-20
2 SH PHILIPPINE LONG DIST TELE CO	P	2010-09-10	2012-09-21
10 SH PHILIPPINE LONG DIST TELE CO	P	2010-09-13	2012-09-21
8 SH PHILIPPINE LONG DIST TELE CO	P	2010-09-14	2012-09-21
2 SH PHILIPPINE LONG DIST TELE CO	P	2010-09-09	2012-09-25
8 SH PHILIPPINE LONG DIST TELE CO	P	2010-09-10	2012-09-25
20 SH PHILIPPINE LONG DIST TELE CO	P	2010-09-09	2012-09-27
12 SH PHILIPPINE LONG DIST TELE CO	P	2010-09-03	2012-10-01
8 SH PHILIPPINE LONG DIST TELE CO	P	2010-09-09	2012-10-01
10 SH PHILIPPINE LONG DIST TELE CO	P	2010-09-03	2012-10-04
8 SH PHILIPPINE LONG DIST TELE CO	P	2010-09-03	2012-10-31
10 SH PHILIPPINE LONG DIST TELE CO	P	2010-09-07	2012-10-31
20 SH PHILIPPINE LONG DIST TELE CO	P	2010-09-08	2012-10-31
120 SH PRUDENTIAL PLC	P	2011-11-08	2012-12-31
30 SH QUALCOMM INC	P	2010-02-09	2012-12-28
10 SH QUALCOMM INC	P	2011-07-01	2012-12-28
140 SH QUEST DIAGNOSTICS INCORPORATED	P	2011-03-09	2012-06-08
30 SH QUEST DIAGNOSTICS INCORPORATED	P	2011-07-21	2012-12-28
90 SH REGENERON PHARMACEUTICALS	P	2010-12-03	2012-04-12
20 SH REGENERON PHARMACEUTICALS	P	2010-12-02	2012-04-13
10 SH REGENERON PHARMACEUTICALS	P	2010-12-03	2012-04-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
68 SH REGENERON PHARMACEUTICALS	P	2010-12-02	2012-12-13
10 SH REGENERON PHARMACEUTICALS	P	2010-12-02	2012-12-28
20 SH ROYAL DUTCH SHELL PLC-ADR A	P	2011-07-01	2012-12-28
140 SH SAFRAN SA	P	2011-11-30	2012-12-31
90 SH SANTOS LIMITED	P	2011-03-09	2012-12-31
70 SH THE MCGRAW-HILL COMPANIES, INC	P	1911-06-01	2012-12-28
10 SH THERMO FISHER SCIENTIFIC INC	P	2010-08-26	2012-12-28
20 SH THERMO FISHER SCIENTIFIC INC	P	2011-07-01	2012-12-28
10 SH TORONTO-DOMINION BANK	P	2011-07-01	2012-12-28
30 SH TULLOW OIL PLC	P	2009-10-16	2012-12-31
25000 SH US TREAS N/B 2 625% 11/15/20	P	2011-08-25	2012-08-29
30000 SH US TREASURY N/B 4 25% 11/15/17	P	2010-08-12	2012-03-21
40000 SH US TREASURY N/B 4 25% 11/15/17	P	2010-08-12	2012-09-17
30 SH VEDANTA RESOURCES PLC	P	2011-04-01	2012-12-31
110 SH VODAFONE GROUP PLC - SP ADR	P	2011-11-15	2012-12-28
50000 SH WA ST BAB 3 919% 08/01/18	P	2011-11-14	2012-12-28
30 SH WAL-MART STORES, INC	P	2011-11-15	2012-12-28
300 SH WELLPOINT INC	P	2009-07-20	2012-07-18
130 SH WELLPOINT INC	P	2009-10-22	2012-07-18
140 SH WELLPOINT INC	P	2010-11-04	2012-07-18
20 SH WELLPOINT INC	P	2011-07-01	2012-07-18
80 SH WELLS FARGO COMPANY	P	2011-08-09	2012-12-28
15000 SH WELLS FARGO , 5 625% 12/11/17	P	2011-09-27	2012-12-20
110 SH WYNN MACAU, LIMITED	P	2010-10-11	2012-12-31
200 SH WYNN MACAU, LIMITED	P	2011-07-05	2012-12-31
15 SH YUM! BRANDS, INC	P	2006-02-13	2012-06-18
270 SH YUM! BRANDS, INC	P	2006-03-13	2012-06-18
30 SH YUM! BRANDS, INC	P	2006-03-13	2012-12-28
SECURITIES LITIGATION - MCKESSON HBOC, INC	P	2000-01-01	2012-02-27
SECURITIES LITIGATION - JUNIPER NETWORKS	P	2000-01-01	2012-03-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
SECURITIES LITIGATION - VERITAX SOFTWARE CO	P	2000-01-01	2012-11-20
FOREIGN CURRENCY EXCHANGE			

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
31,865		31,907	-42
15,295		15,145	150
9,142		9,382	-240
1,407		1,440	-33
3,563		3,600	-37
6,303		5,605	698
611		613	-2
31,576		29,795	1,781
4,490		4,179	311
5,733		5,224	509
3,474		3,103	371
2,895		2,612	283
15,178		13,445	1,733
1,060		1,077	-17
16,727		16,151	576
12,146		13,700	-1,554
1,909		2,210	-301
16,986		19,677	-2,691
962		1,105	-143
17,147		16,497	650
7,516		6,929	587
4,096		3,731	365
1,573		1,258	315
520		688	-168
3,038		2,679	359
1,949		1,879	70
2,729		2,535	194
11,676		11,288	388
17,514		17,538	-24
30,240		29,077	1,163

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
16,280		16,260	20
2,527		2,467	60
414		480	-66
2,822		2,951	-129
235		207	28
3,587		4,569	-982
4,234		5,576	-1,342
7,011		8,404	-1,393
882		1,039	-157
1,265		1,479	-214
2,153		2,396	-243
2,063		2,246	-183
5,228		4,633	595
4,597		4,158	439
2,352		2,079	273
15,532		15,560	-28
30,205		29,701	504
2,448		2,324	124
2,137		2,386	-249
2,137		2,377	-240
1,570		1,737	-167
4,091		3,953	138
4,269		3,868	401
325		372	-47
2,323		2,001	322
30,498		30,516	-18
15,789		15,380	409
858		824	34
2,099		2,111	-12
7,181		7,450	-269

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,063		1,134	-71
3,006		2,782	224
856		903	-47
734		772	-38
1,137		1,115	22
19,120		18,999	121
2,720		2,812	-92
25,858		26,008	-150
30,728		30,503	225
82,307		81,609	698
31,970		28,936	3,034
6,552		6,527	25
75,243		75,231	12
25,496		25,492	4
50,992		50,983	9
75,800		75,790	10
31,379		31,310	69
38,687		38,061	626
15,803		15,817	-14
1,819		1,777	42
11,427		11,083	344
17,120		16,624	496
1,865		460	1,405
14,673		16,323	-1,650
11,301		12,428	-1,127
1,680		1,870	-190
2,177		1,996	181
774		970	-196
51,922		20,478	31,444
6,657		3,357	3,300

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
20,573		10,502	10,071
11,229		5,776	5,453
15,313		7,092	8,221
801		857	-56
801		1,440	-639
2,080		1,328	752
3,745		2,315	1,430
2,912		1,909	1,003
1,460		772	688
12,576		11,545	1,031
1,332		1,048	284
1,134		975	159
851		820	31
2,403		2,227	176
710		684	26
3,214		981	2,233
64			64
64			64
21			21
21			21
43			43
21			21
21			21
21			21
21			21
43			43
559		1,334	-775
1,170		946	224
185		155	30
739		620	119

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
866		691	175
743		594	149
557		464	93
2,170		1,727	443
1,844		1,480	364
307		245	62
1,044		839	205
2,949		2,366	583
4,864		3,922	942
1,148		932	216
966		784	182
2,663		2,156	507
1,271		1,020	251
3,560		2,867	693
41,286		36,245	5,041
23,743		11,800	11,943
371		253	118
6,694		3,319	3,375
9,298		3,500	5,798
2,210		840	1,370
1,206		1,517	-311
2,136		709	1,427
566		370	196
1,699		1,605	94
7,536		13,261	-5,725
18,157		16,955	1,202
33,164		30,212	2,952
910		949	-39
16,712		4,054	12,658
260		62	198

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,114		679	435
5,908		1,424	4,484
7,291		1,741	5,550
7,449		1,655	5,794
2,470		554	1,916
2,940		637	2,303
4,474		985	3,489
6,818		1,520	5,298
7,049		7,173	-124
4,695		4,138	557
4,557		4,080	477
7,779		6,749	1,030
11,600		10,144	1,456
409		365	44
548		1,729	-1,181
685		2,152	-1,467
2,538		1,531	1,007
30,000		30,000	
2,585		1,155	1,430
10,309		4,369	5,940
2,158		945	1,213
11,719		4,979	6,740
6,447		2,744	3,703
478		196	282
7,502		3,044	4,458
791		400	391
1,989		1,000	989
1,200		599	601
2,001		1,000	1,001
400		199	201

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
413		199	214
827		398	429
413		199	214
3,263		1,589	1,674
406		199	207
1,624		786	838
403		196	207
2,016		983	1,033
4,106		1,762	2,344
3,624		1,554	2,070
4,078		1,748	2,330
908		389	519
5,449		2,321	3,128
6,758		2,871	3,887
901		387	514
4,019		1,722	2,297
1,343		574	769
12,195		11,749	446
1,008		824	184
1,376		1,098	278
688		550	138
1,378		1,098	280
1,961		1,647	314
655		542	113
327		275	52
1,581		1,355	226
1,461		1,084	377
835		618	217
1,870		2,063	-193
18,841		20,217	-1,376

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
7,851		8,169	-318
7,910		13,542	-5,632
6,074		10,707	-4,633
1,245		2,204	-959
2,166		2,595	-429
309		379	-70
1,970		2,186	-216
5,326		7,577	-2,251
212		300	-88
5,407		7,886	-2,479
5,629		8,095	-2,466
2,805		4,197	-1,392
1,657		2,398	-741
3,147		3,037	110
6,336		6,962	-626
235		343	-108
4,160		4,551	-391
7,627		8,429	-802
3,005		3,352	-347
527		404	123
477		921	-444
1,431		2,981	-1,550
2,385		4,859	-2,474
4,956		9,207	-4,251
1,370		1,090	280
685		700	-15
30,454		24,874	5,580
4,718		3,908	810
11,796		9,609	2,187
129		116	13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,157		1,054	103
665		578	87
134		114	20
535		462	73
691		571	120
551		457	94
826		682	144
677		568	109
134		113	21
538		455	83
134		112	22
672		561	111
537		451	86
133		111	22
532		448	84
1,320		1,109	211
791		658	133
527		444	83
660		548	112
508		439	69
636		545	91
1,271		1,097	174
1,671		1,247	424
1,831		1,138	693
610		566	44
7,734		8,051	-317
1,751		1,719	32
11,012		2,687	8,325
2,451		593	1,858
1,225		299	926

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
12,113		2,015	10,098
1,701		296	1,405
1,376		1,418	-42
5,965		4,073	1,892
1,026		1,348	-322
3,761		1	3,760
633		435	198
1,266		1,289	-23
835		846	-11
613		614	-1
27,513		25,845	1,668
34,724		33,511	1,213
47,055		44,300	2,755
564		1,162	-598
2,763		3,176	-413
56,027		54,635	1,392
2,042		1,725	317
18,960		14,939	4,021
8,216		5,983	2,233
8,848		7,830	1,018
1,264		1,585	-321
2,729		1,933	796
17,902		16,614	1,288
296		226	70
538		695	-157
979		375	604
17,616		6,574	11,042
1,952		730	1,222
38			38
385			385

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
78		0	78
28			28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-42
			150
			-240
			-33
			-37
			698
			-2
			1,781
			311
			509
			371
			283
			1,733
			-17
			576
			-1,554
			-301
			-2,691
			-143
			650
			587
			365
			315
			-168
			359
			70
			194
			388
			-24
			1,163

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			20
			60
			-66
			-129
			28
			-982
			-1,342
			-1,393
			-157
			-214
			-243
			-183
			595
			439
			273
			-28
			504
			124
			-249
			-240
			-167
			138
			401
			-47
			322
			-18
			409
			34
			-12
			-269

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-71
			224
			-47
			-38
			22
			121
			-92
			-150
			225
			698
			3,034
			25
			12
			4
			9
			10
			69
			626
			-14
			42
			344
			496
			1,405
			-1,650
			-1,127
			-190
			181
			-196
			31,444
			3,300

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			10,071
			5,453
			8,221
			-56
			-639
			752
			1,430
			1,003
			688
			1,031
			284
			159
			31
			176
			26
			2,233
			64
			64
			21
			21
			43
			21
			21
			21
			21
			43
			-775
			224
			30
			119

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			175
			149
			93
			443
			364
			62
			205
			583
			942
			216
			182
			507
			251
			693
			5,041
			11,943
			118
			3,375
			5,798
			1,370
			-311
			1,427
			196
			94
			-5,725
			1,202
			2,952
			-39
			12,658
			198

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			435
			4,484
			5,550
			5,794
			1,916
			2,303
			3,489
			5,298
			-124
			557
			477
			1,030
			1,456
			44
			-1,181
			-1,467
			1,007
			1,430
			5,940
			1,213
			6,740
			3,703
			282
			4,458
			391
			989
			601
			1,001
			201

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			214
			429
			214
			1,674
			207
			838
			207
			1,033
			2,344
			2,070
			2,330
			519
			3,128
			3,887
			514
			2,297
			769
			446
			184
			278
			138
			280
			314
			113
			52
			226
			377
			217
			-193
			-1,376

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-318
			-5,632
			-4,633
			-959
			-429
			-70
			-216
			-2,251
			-88
			-2,479
			-2,466
			-1,392
			-741
			110
			-626
			-108
			-391
			-802
			-347
			123
			-444
			-1,550
			-2,474
			-4,251
			280
			-15
			5,580
			810
			2,187
			13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			103
			87
			20
			73
			120
			94
			144
			109
			21
			83
			22
			111
			86
			22
			84
			211
			133
			83
			112
			69
			91
			174
			424
			693
			44
			-317
			32
			8,325
			1,858
			926

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			10,098
			1,405
			-42
			1,892
			-322
			3,760
			198
			-23
			-11
			-1
			1,668
			1,213
			2,755
			-598
			-413
			1,392
			317
			4,021
			2,233
			1,018
			-321
			796
			1,288
			70
			-157
			604
			11,042
			1,222
			38
			385

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			78
			28

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ELIZABETH M WEBSTER	PRESIDENT & TRUSTEE 0	0		
C/O ROCKEFELLER CO NEW YORK,NY 10112				
CURTIS M WEBSTER	VP & TRUSTEE 0	0		
2 CORNELIA STREET NEW YORK,NY 10014				
PAUL WYLIE	TRUSTEE 0	0		
9819 DEERBROOK LANE CHARLOTTE,NC 28210				
THEO M WEBSTER	TREASURER 0	0		
62 STONE STREET NEW BRUNSWICK,NJ 08901				
SAMUEL W LAMBERT III	SECRETARY 0	0		
115 NORTH ROAD PRINCETON,NJ 08540				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ICE THEATER OF NEWYORK 59 CHELSEA PIERS 5911 NEWYORK,NY 10011			GENERAL FUND	25,000
FIGURE SKATING IN HARLEM INC 361 WEST 125TH STREET NEWYORK,NY 10027			GENERAL FUND	20,000
THE SKATERS' FUND INC 252 WILLIAM LIVINGSTON COURT PRINCETON,NJ 08540			GENERAL FUND	25,000
US FIGURE SKATING 20 FIRST STREET COLORADO SPRINGS,CO 80906			GENERAL FUND	35,000
THE NEXT ICE AGE POB 65127 BALTIMORE,MD 21209			GENERAL FUND	15,000
NEW ENGLAND AMATEUR SKATING FOUNDATION P O BOX 6881 PROVIDENCE,RI 02940			GENERAL FUND	60,000
BROOKLYN ICE 143 6TH AVENUE BROOKLYN,NY 11217			GENERAL FUND	5,000
PRINCETON SKATING CLUB PO BOX 26 PRINCETON,NJ 08542			GENERAL FUND	5,000
SUN VALLEY FIGURE SKATING CLUB POB 351 SUN VALLEY,ID 83353			GENERAL FUND	2,500
Total  3a				192,500

TY 2012 Accounting Fees Schedule

Name: The Lisa McGraw Figure Skating Foundation Inc

EIN: 22-3473319

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ROCKEFELLER & CO	11,400	7,600		3,800

**TY 2012 All Other Program
Related Investments Schedule**

Name: The Lisa McGraw Figure Skating Foundation Inc
EIN: 22-3473319

Category	Amount
NONE	

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2012 Depreciation Schedule

Name: The Lisa McGraw Figure Skating Foundation Inc

EIN: 22-3473319

TY 2012 Investments Corporate
Bonds Schedule

Name: The Lisa McGraw Figure Skating Foundation Inc
EIN: 22-3473319

Name of Bond	End of Year Book Value	End of Year Fair Market Value
10000 BOEING, 6.00% DUE ON 03/	0	0
10000 E.I. DU PONT DE NEMOURS,	11,414	12,341
10000 JOHNSON & JOHNSON 5.15%	0	0
17000 BANK OF AMERICA CORP	15,981	15,756
40000 FANNIE MAE FNMA 5.375%	45,393	48,099
15000 CAMPBELL SOUP CO 4.25% D	0	0
15000 CATEPILLAR INC. 5.7% 8/1	16,842	17,401
15000 COMCAST CORP NOTES, 5.30	15,636	15,738
15000 DIAGEO CAP PLC 5.750% DU	0	0
15000 DUKE ENERGY INDIANA INC.	15,272	16,610
15000 GENERAL DYNAMICS CORP NO	0	0
15000 HONEYWELL INTERNATIONAL	16,470	17,839
15000 JP MORGAN CHASE 3.70% D	16,572	17,758
15000 ORACLE CORP 5.00% DUE 07	16,408	18,013
15000 VERIZON COMMUNICATIONS 6	17,594	18,957
15000 WELLS FARGO & COMPANY, 5	0	0
40000 FEDERAL FARM CREDIT BANK	44,353	46,851
20000 UNITED PARCEL SERVICE 5.	22,161	24,324
75000 FEDERAL HOME LOAN BANK	75,116	75,156
75000 FREDDIE MAC FHLMC 1.375%	75,653	76,008
25000 CSX CORP DEBENTURES 6.25	27,557	27,994
25000 GENERAL ELECTRIC CAP	29,301	29,688
25000 MCDONALD'S CORP 5.35% DU	0	0
25000 GOLDMAN SACHS GROUP	28,241	29,369
25000 NEW YORK ST DORM AUTH ST	27,211	29,898
25000 OHIO STATE BUILD AMERICA	26,616	30,654
50000 KENTUCKY ST PROPERTY & B	54,249	55,800
25000 PA ST BUILD AMERICA BOND	27,383	29,634
25000 WALGREEN CO. 5.25% DUE 0	0	0
25000 WAL-MART STORES INC. 5.8	29,062	30,626

Name of Bond	End of Year Book Value	End of Year Fair Market Value
30000 KEYCORP 5.1% DUE 3/24/21	32,732	34,950
30000 3M COMPANY 4.375% DUE 08	0	0
30000 BB&T CORPORATION 3.95% D	31,507	32,714
45000 LAS VEGAS VLY NV WATER	47,996	47,943
30000 DOW CHEMICAL COMPANY 4.2	0	0
30000 GENERAL MILLS INC 5.70%	33,674	35,253
30000 IBM CORP. SR. UNSEC NOTE	0	0
30000 PEPSICO INC. NOTES, 5.15	0	0
30000 TORONTO DOMINION BANK 2.	30,355	31,525
30000 MORGAN STANLEY 6% DUE 15	31,195	32,676
25000 NASSAU CNTY NY 1.976%	25,316	25,209
50000 NY NY TXBL 3.397% DUE 15	52,862	53,655
30000 NVR INC CORP 3.95%	30,972	31,088
25000 PNC FUND CORP 5.125%	27,872	29,733
30000 US BANKCORP 2.2% DUE 16	30,470	31,257
17000 WACHOVIA BANK NA 6% 2017	20,417	20,386
30000 YUM! BRANDS INC. 6.25%	35,434	36,471
50000 WASHINGTON ST BUILD AMER	0	0
65000 FANNIE MAE FNMA 5.375% D		
65000 FEDERAL FARM CREDIT BANK		
75000 FEDERAL HOME LOAN BANK F		
75000 FREDDIE MAC FHLMC 1.375%		

TY 2012 Investments Corporate

Stock Schedule

Name:

The Lisa McGraw Figure Skating Foundation Inc

EIN:

22-3473319

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ADVANCE AUTO PARTS, INC.	22,869	38,346
ARCOS DORADOS HOLDINGS, INC.	8,080	8,133
AFLAC, INC.	70,909	88,710
ALEXION PHARMACEUTICALS, INC.	6,103	25,310
ALLSTATE CORPORATION	0	0
DELPHI AUTOMOTIVE PLC	36,704	43,222
ANADARKO PETROLEUM CORPORATION	39,391	50,159
DOVER CORP.	28,804	30,884
APACHE CORPORATION	30,677	29,045
APPLE INC.	0	0
JP MORGAN CHASE & CO.	57,645	74,747
BAKER HUGHES INCORPORATED	29,379	28,593
MERCK & CO. INC.	38,347	40,531
BIOMARIN PHARMACEUTICAL INC.	10,544	22,632
MURATA MANUFACTURING CO LTD	34,474	35,043
BOSKALIS WESTMINSTER - CVA	20,247	29,495
CAREFUSION CORPORATION	33,382	46,585
CENTRICA PLC	50,161	67,566
CHEVRON CORPORATION	16,352	54,070
CHINA AGRI-INDUSTRIES HOLDINGS	18,769	8,399
PHARMACYCLICS INC.	6,724	9,245
CHINA MOBILE (HONG KONG) LIMIT	58,568	76,923
CHUNGHWA TELECOM LTD ADR	0	0
CISCO SYSTEMS, INC.	0	0
PICC PROPERTY & CASUALTY CO.	28,060	30,307
COMCAST CORPORATION - CL A	10,140	29,141
COMERICA INCORPORATED	23,946	19,418
SINGAPORE TELECOMMUNICATIONS	43,275	45,927
CONTINENTAL RESOURCES, INC.	12,261	38,215
COVIDIEN PLC	25,774	41,573

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ROCHE HOLDKINGS AG	44,441	52,063
CREE, INC.	0	0
FEDEX CORPORATION	49,209	62,828
GALAXY ENTERTAINMENT GROUP LIM	0	0
GOLDMAN SACHS GROUP, INC.	23,698	28,829
GOOGLE INC - CLASS A	90,271	106,107
HERTZ GLOBAL HOLDINGS INC.	0	0
HEWLETT-PACKARD COMPANY	61,855	24,652
HONEYWELL INC.	35,672	59,662
ING GROEP NV	36,972	36,306
HYUNDAI MOTOR COMPANY LTD PFD	7,328	7,768
HYUNDAI MOTOR CO., LTD. - 2ND	36,109	33,190
ORACLE CORPORATION	32,581	37,985
INTERCONTINENTAL HOTELS GROUP	0	0
INTERTEK GROUP PLC	0	0
INTESA SANPAOLO	0	0
JOHNSON MATTHEY PLC	21,588	29,739
KOREA ELECTRIC POWER CORP.	50,910	56,885
LG ELECTRONICS INC. - PFD	13,236	6,860
MASTERCARD INC - CLASS A	14,942	42,741
MICROSOFT CORP.	74,754	77,992
MITSUI & CO., LTD.	0	0
MORGAN STANLEY	0	0
MSCI INC. - A	33,324	33,469
NETAPP, INC.	30,177	29,860
OPHIR ENERGY PLC	4,662	4,182
NGK INSULATORS LTD.	13,282	13,185
NOVARTIS AG - ADR	64,560	71,529
NYSE EURONEXT	0	0
OCEANEERING INTERNATIONAL, INC	11,376	21,516

Name of Stock	End of Year Book Value	End of Year Fair Market Value
OGX PETROLEO E GAS PARTICIPACO	0	0
PEPSICO, INC	42,592	55,428
PFIZER INC.	0	0
PHILIPPINE LONG DIST TELE CO	0	0
PRUDENTIAL PLC	25,207	35,312
QUALCOMM INC.	32,103	52,581
QUEST DIAGNOSTICS INCORPORATED	26,312	26,804
REGENERON PHARMACEUTICALS	4,801	27,713
REINSURANCE GROUP OF AMERICA	19,662	18,732
ROYAL DUTCH SHELL PLC-ADR A	41,898	51,575
SAFRAN SA	35,356	53,286
SAMSUNG ELECTRONICS CO., LTD P	43,706	58,096
SANTOS LIMITED	27,526	21,435
SBERBANK-SPONSORED ADR	22,220	23,124
SEMEN GRESIK (PERSERO) TBK	14,054	22,202
SMC CORPORATION	36,533	35,991
SMITHS GROUP PLC	30,373	34,383
TIFFANY & CO.	13,503	14,335
UNITEDHEALTH GROUP INC.	39,928	38,510
SVENSKA HANDELSBANKEN AB - A S	32,974	43,226
SWEDBANK AB	19,657	36,506
THE MCGRAW-HILL COMPANIES, INC	21	78,178
THERMO FISHER SCIENTIFIC INC.	27,284	40,181
TORONTO-DOMINION BANK	30,012	38,792
TULLOW OIL PLC	11,148	11,274
VEDANTA RESOURCES PLC	38,240	21,440
VODAFONE GROUP PLC - SP ADR	52,254	64,235
WAL-MART STORES, INC.	42,149	60,725
WELLPOINT INC.	0	0
WELLS FARGO COMPANY	28,000	57,422

Name of Stock	End of Year Book Value	End of Year Fair Market Value
WYNN MACAU, LIMITED	13,081	17,542
YUM! BRANDS, INC.	8,765	23,904
ROYAL CARIBBEAN CRUISES LTD.	46,248	52,700
VIRGIN MEDIA INC.	21,684	22,417

**TY 2012 Investments Government
Obligations Schedule****Name:** The Lisa McGraw Figure Skating Foundation Inc**EIN:** 22-3473319**US Government Securities - End of****Year Book Value:**

364,788

US Government Securities - End of**Year Fair Market Value:**

369,270

**State & Local Government
Securities - End of Year Book
Value:****State & Local Government
Securities - End of Year Fair
Market Value:**

TY 2012 Investments - Other Schedule

Name: The Lisa McGraw Figure Skating Foundation Inc

EIN: 22-3473319

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
5500 FUND LP	AT COST	256,659	583,292

TY 2012 Land, Etc. Schedule

Name: The Lisa McGraw Figure Skating Foundation Inc

EIN: 22-3473319

TY 2012 Legal Fees Schedule

Name: The Lisa McGraw Figure Skating Foundation Inc

EIN: 22-3473319

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
DRINKER BIDDLE & REATH LLP	1,683	1,122		561

TY 2012 Other Expenses Schedule**Name:** The Lisa McGraw Figure Skating Foundation Inc**EIN:** 22-3473319

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK CHARGES	89	89		
PORTFOLIO DEDUCTIONS THRU K-1	7,472	7,472		
FEES & LICENSES	30	30		

TY 2012 Other Increases Schedule**Name:** The Lisa McGraw Figure Skating Foundation Inc**EIN:** 22-3473319

Description	Amount
PRIOR YEAR INCREASE FOR 5500 FUND	18,316

TY 2012 Other Professional Fees Schedule

Name: The Lisa McGraw Figure Skating Foundation Inc

EIN: 22-3473319

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT ADVISORY FEES- ROCK	26,424	26,424		

TY 2012 Taxes Schedule**Name:** The Lisa McGraw Figure Skating Foundation Inc**EIN:** 22-3473319

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX	4,008	4,008		
U S EXCISE TAX	3,300			