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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012, or tax year beginning 01-01-2012 , and ending 12-31-2012

Name of foundation AUFZIEN FOUNDATION INC		A Employer identification number 22-2838652	
Number and street (or P O box number if mail is not delivered to street address) 350 PASSAIC AVENUE		B Telephone number (see instructions) (973) 575-5132	
City or town, state, and ZIP code FAIRFIELD, NJ 07004		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <div><input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change</div>		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) <input checked="" type="checkbox"/> \$ 5,668,386		J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) <input type="checkbox"/> (Part I, column (d) must be on cash basis.)	

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	274,054			
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	125,568	125,568		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	48,551			
	b Gross sales price for all assets on line 6a <u>3,196,489</u>				
	7 Capital gain net income (from Part IV , line 2)		48,551		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	18,341	18,341		
	12 Total. Add lines 1 through 11	466,514	192,460		
	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest	2,427	2,427		0
	18 Taxes (attach schedule) (see instructions)	4,916	0		0
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	90,259	90,259		0
	24 Total operating and administrative expenses. Add lines 13 through 23	97,602	92,686		0
	25 Contributions, gifts, grants paid	439,240			439,240
	26 Total expenses and disbursements. Add lines 24 and 25	536,842	92,686		439,240
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-70,328			
	b Net investment income (if negative, enter -0-)		99,774		
	c Adjusted net income (if negative, enter -0-)				

Part II

Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash—non-interest-bearing	96,520	56,150	56,150
	2	Savings and temporary cash investments	350,616	178,828	178,828
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule).			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	4,309,590	4,432,190	5,433,408
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	4,756,726	4,667,168	5,668,386	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	0	0	
	Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.		
24		Unrestricted			
25		Temporarily restricted			
26		Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.			
27		Capital stock, trust principal, or current funds	4,756,726	4,667,168	
28		Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
29		Retained earnings, accumulated income, endowment, or other funds	0	0	
30		Total net assets or fund balances (see page 17 of the instructions)	4,756,726	4,667,168	
31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	4,756,726	4,667,168		

Part III

Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	4,756,726
2	Enter amount from Part I, line 27a	2	-70,328
3	Other increases not included in line 2 (itemize) ▶ _____	3	8,875
4	Add lines 1, 2, and 3	4	4,695,273
5	Decreases not included in line 2 (itemize) ▶ _____	5	28,105
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	4,667,168

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	48,551
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	387,258	5,456,438	0 070973
2010	433,709	5,498,577	0 078877
2009	510,474	5,257,633	0 097092
2008	451,678	8,408,276	0 053718
2007	205,114	7,279,615	0 028176

2	Total of line 1, column (d).	2	0 328836
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 065767
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5.	4	5,384,002
5	Multiply line 4 by line 3.	5	354,090
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	998
7	Add lines 5 and 6.	7	355,088
8	Enter qualifying distributions from Part XII, line 4.	8	439,240

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1			
		Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	998
c		All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3		Add lines 1 and 2.		3	998
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5		Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	998
6		Credits/Payments			
a		2012 estimated tax payments and 2011 overpayment credited to 2012	6a	2,268	
b		Exempt foreign organizations—tax withheld at source	6b		
c		Tax paid with application for extension of time to file (Form 8868)	6c		
d		Backup withholding erroneously withheld	6d		
7		Total credits and payments Add lines 6a through 6d.		7	2,268
8		Enter any penalty for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached		8	
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	1,270
11		Enter the amount of line 10 to be Credited to 2013 estimated tax	1,270	Refunded	0

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?			No
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file Form 1120-POL for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ 0 (2) On foundation managers \$ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) NJ _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	Yes	

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address ▶N/A				
14	The books are in care of ▶ALAN AUFZIEN Telephone no ▶(973) 575-5132 Located at ▶350 PASSAIC AVENUE FAIRFIELD NJ ZIP +4 ▶07004			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ▶	15		
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. ▶ <input type="checkbox"/>	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.</i>).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

Yes

No

(2)

Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

Yes

No

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

Yes

No

(4)

Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).

Yes

No

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

Yes

No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here.

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

Yes

No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

Yes

No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

Yes

No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total

number of other employees paid over \$50,000.

0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3.	0

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	5,154,854
b	Average of monthly cash balances.	1b	311,138
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	5,465,992
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	5,465,992
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	81,990
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	5,384,002
6	Minimum investment return. Enter 5% of line 5.	6	269,200

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	269,200
2a	Tax on investment income for 2012 from Part VI, line 5.	2a	998
b	Income tax for 2012 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	998
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	268,202
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	268,202
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	268,202

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	439,240
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	439,240
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	998
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	438,242
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				268,202
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only.			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2012				
a From 2007.				
b From 2008.				32,974
c From 2009.				247,662
d From 2010.				164,362
e From 2011.				115,500
f Total of lines 3a through e.	560,498			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ 439,240				
a Applied to 2011, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2012 distributable amount.				268,202
e Remaining amount distributed out of corpus	171,038			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	731,536			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).	0			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions). . . .	0			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a.	731,536			
10 Analysis of line 9				
a Excess from 2008. . . .				32,974
b Excess from 2009. . . .				247,662
c Excess from 2010. . . .				164,362
d Excess from 2011. . . .				115,500
e Excess from 2012. . . .				171,038

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2012	(b) 2011	(c) 2010	(d) 2009	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

ALAN AUFZIEN

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number or e-mail of the person to whom applications should be addressed

ALAN AUFZIEN
350 PASSAIC AVENUE
FAIRFIELD, NJ 07004
(973) 575-5132

b

The form in which applications should be submitted and information and materials they should include

LETTER

c

Any submission deadlines

NONE

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	439,240
b Approved for future payment				
Total			3b	0

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments.					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities.			14	125,568	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.			14	18,341	
8 Gain or (loss) from sales of assets other than inventory			14	48,551	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. . .					
11 Other revenue a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e).		0		192,460	0
13 Total. Add line 12, columns (b), (d), and (e).			13		192,460

[illegible]

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.			

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

* * * * *

2013-10-28

* * * * *

Signature of officer or trustee

Date

Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ **Yes** ☐ **No**

**Paid
Preparer
Use
Only**

Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed <input checked="" type="checkbox"/>	PTIN P00005683
	LARRY GOLDSTEIN	LARRY GOLDSTEIN	2013-10-16		
	Firm's name <input type="checkbox"/>	HOBERMAN GOLDSTEIN & LESSER		Firm's EIN <input type="checkbox"/> 13-2669370	
		226 WEST 26TH STREET			
	Firm's address <input type="checkbox"/>	NEW YORK, NY 100016785		Phone no (212) 463-0900	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
189 SHS ABBOTT LABS	P	2011-07-07	2012-08-17
200 SHS ACCENTURE	P	2011-12-01	2012-03-16
100 SHS ACCENTURE	P	2012-01-24	2012-03-16
300 SHS AETNA INC	P	2012-02-06	2012-04-09
300 SHS AETNA INC	P	2012-04-16	2012-07-26
200 SHS AIRGAS INC	P	2012-01-30	2012-04-20
77 SHS AMER TOWER CORP CL A	P	2009-05-08	2012-01-19
78 SHS AMER TOWER CORP CL A	P	2009-05-08	2012-07-17
238 SHS AMERICAN INTL GROUP INC	P	2012-08-23	2012-11-08
200 SHS AMERICAN TOWER CORP	P	2012-08-24	2012-10-19
2,890 SHS ANNALY CAPITAL MGMT	P	2012-02-09	2012-10-24
863 SHS ANNALY CAPITAL MGMT	P	2012-02-27	2012-10-24
100 SHS AVALONBAY COMMUNITIES INC	P	2011-11-09	2012-04-20
100 SHS AVALONBAY COMMUNITIES INC	P	2012-08-28	2012-11-08
200 SHS BANK OF MONTREAL	P	2012-03-20	2012-09-11
12 SHS BLACKROCK INC	P	2010-11-09	2012-09-10
200 SHS BOEING COMPANY	P	2012-02-27	2012-09-24
61 SHS BORG WARNER INC	P	2010-08-30	2012-12-07
31 SHS BORG WARNER INC	P	2011-06-23	2012-12-07
117 SHS C H ROBINSON WORLDWIDE	P	2011-08-12	2012-03-06
3,255 185 SHS CALAMOS MARKET NEUTRAL FD	P	2008-10-14	2012-02-09
1,636 029 SHS CALAMOS MARKET NEUTRAL FD	P	2008-10-21	2012-02-09
886 7 SHS CALAMOS MARKET NEUTRAL FD	P	2009-04-03	2012-02-09
3,743 339 SHS CALAMOS MARKET NEUTRAL FD	P	2011-02-25	2012-02-09
3 CALLS ACCENTURE	P	2012-03-17	2012-03-19
3 CALLS AETNA INC	P	2012-04-10	2012-04-21
3 CALLS AETNA INC	P	2012-05-19	2012-05-21
3 CALLS AETNA INC	P	2012-07-21	2012-07-23
2 CALLS AIRGAS INC	P	2012-04-21	2012-04-23
2 CALLS AMERICAN TOWER CORP	P	2012-10-20	2012-10-22

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(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 CALL AVALONBAY COMMUNITIES INC	P	2012-04-21	2012-04-23
1 CALL AVALONBAY COMMUNITIES INC	P	2012-10-15	2012-10-20
2 CALLS BANK OF MONTREAL	P	2012-05-29	2012-09-16
2 CALLS BANK OF MONTREAL	P	2012-07-06	2012-07-21
2 CALLS BOEING CO	P	2012-05-19	2012-05-21
2 CALLS BOEING CO	P	2012-07-25	2012-08-18
2 CALLS BOEING CO	P	2012-09-22	2012-09-24
2 CALLS BOSTON PROPERTIES	P	2012-11-17	2012-11-19
3 CALLS CAPITAL ONE FINANCIAL CORP	P	2012-11-12	2012-11-17
2 CALLS COACH INC	P	2012-05-15	2012-05-19
5 CALLS COCO COLA ENTERPRISES INC	P	2012-03-17	2012-03-19
5 CALLS COCO COLA ENTERPRISES INC	P	2012-05-19	2012-05-21
5 CALLS COCO COLA ENTERPRISES INC	P	2012-08-18	2012-08-20
2 CALLS CUMMINS INC	P	2012-02-13	2012-02-18
2 CALLS DEERE & CO	P	2012-04-12	2012-05-19
2 CALLS DEERE & CO	P	2012-12-22	2012-12-24
3 CALLS DR PEPPER SNAPPLE GROUP INC	P	2012-02-21	2012-05-19
3 CALLS DR PEPPER SNAPPLE GROUP INC	P	2012-05-21	2012-11-17
3 CALLS DR PEPPER SNAPPLE GROUP INC	P	2012-11-19	2012-12-31
2 CALLS EQUITY RESIDENTIAL	P	2012-06-05	2012-06-16
2 CALLS EQUITY RESIDENTIAL	P	2012-06-28	2012-07-21
2 CALLS EQUITY RESIDENTIAL	P	2012-08-18	2012-08-20
1 CALL FAMILY DOLLAR STORES INC	P	2012-08-18	2012-08-20
1 CALL FAMILY DOLLAR STORES INC	P	2012-10-12	2012-10-20
1 CALL FAMILY DOLLAR STORES INC	P	2012-10-20	2012-10-22
1 CALL FAMILY DOLLAR STORES INC	P	2012-11-17	2012-11-19
3 CALLS GENERAL MILLS INC	P	2012-04-21	2012-04-23
1 CALL GENERAL MILLS INC	P	2012-07-21	2012-07-23
1 CALL GENERAL MILLS INC	P	2012-10-05	2012-10-20
2 CALLS GENERAL MILLS INC	P	2012-10-20	2012-10-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 CALL GENERAL MILLS INC	P	2012-10-20	2012-10-22
3 CALLS GENERAL MILLS INC	P	2012-12-22	2012-12-24
3 CALLS GRACO INC	P	2012-10-20	2012-10-22
3 CALLS GRACO INC	P	2012-12-22	2012-12-24
2 CALLS HONEYWELL INTL INC	P	2012-06-04	2012-06-16
2 CALLS HONEYWELL INTL INC	P	2012-09-22	2012-09-24
2 CALLS HONEYWELL INTL INC	P	2012-11-17	2012-11-19
2 CALLS HONEYWELL INTL INC	P	2012-12-22	2012-12-24
4 CALLS INTERNATIONAL PAPER CO	P	2012-05-12	2012-12-31
2 CALLS INTUIT INC	P	2012-10-20	2012-10-22
2 CALLS INTUIT INC	P	2012-11-17	2012-11-19
3 CALLS JB HUNT TRANSPORT SVCS INC	P	2012-10-20	2012-10-22
3 CALLS MACERICH CO	P	2012-04-21	2012-04-23
2 CALLS MACERICH CO	P	2012-09-22	2012-09-24
3 CALLS MARATHON PETROLEUM CORP	P	2012-11-17	2012-11-19
2 CALLS MCDONALDS CORP	P	2012-06-18	2012-06-16
2 CALLS MCDONALDS CORP	P	2012-07-18	2012-08-18
2 CALLS MCDONALDS CORP	P	2012-09-18	2012-09-22
2 CALLS MCDONALDS CORP	P	2012-10-20	2012-10-22
3 CALLS MCGRAW HILL CO	P	2012-02-18	2012-02-21
3 CALLS MCGRAW HILL CO	P	2012-05-18	2012-05-19
3 CALLS MCGRAW HILL CO	P	2012-08-18	2012-08-20
2 CALLS MCKESSON CORP	P	2012-02-18	2012-02-21
2 CALLS MEAD JOHNSON NUTRITION	P	2012-05-19	2012-05-21
2 CALLS MEAD JOHNSON NUTRITION	P	2012-07-16	2012-08-18
2 CALLS MEAD JOHNSON NUTRITION	P	2012-07-26	2012-09-22
2 CALLS MONSANTO CO	P	2012-04-11	2012-04-21
1 CALL MONSANTO CO	P	2012-10-12	2012-10-20
1 CALL MONSANTO CO	P	2012-11-12	2012-11-17
1 CALL MONSANTO CO	P	2012-11-14	2012-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
3 CALLS NORDSTROM INC	P	2012-08-18	2012-08-20
2 CALLS ONEOK INC	P	2012-04-21	2012-04-23
3 CALLS PENTAIR INC	P	2012-11-17	2012-11-19
3 CALLS PENTAIR INC	P	2012-12-22	2012-12-24
2 CALLS PHILIP MORRIS INTL	P	2012-03-17	2012-03-19
2 CALLS PNC FINANCIAL SVCS GP INC	P	2012-05-19	2012-05-21
2 CALLS PNC FINANCIAL SVCS GP INC	P	2012-07-21	2012-07-23
2 CALLS PNC FINANCIAL SVCS GP INC	P	2012-09-22	2012-09-24
2 CALLS POLARIS INDUSTRIES INC	P	2012-03-17	2012-03-19
2 CALLS POLARIS INDUSTRIES INC	P	2012-04-21	2012-04-23
2 CALLS POLARIS INDUSTRIES INC	P	2012-08-13	2012-08-18
2 CALLS POLARIS INDUSTRIES INC	P	2012-09-22	2012-09-24
2 CALLS POLARIS INDUSTRIES INC	P	2012-12-22	2012-12-24
4 CALLS PROLOGIS	P	2012-06-16	2012-06-18
4 CALLS PROLOGIS	P	2012-08-18	2012-08-20
4 CALLS PROLOGIS	P	2012-10-20	2012-10-22
2 CALLS ROCKWELL AUTOMATION INC	P	2012-05-19	2012-05-21
2 CALLS ROCKWELL AUTOMATION INC	P	2012-06-19	2012-07-21
2 CALLS ROSS STORES INC	P	2012-11-08	2012-11-17
2 CALLS SIGMA-ALDRICH CORP	P	2012-06-04	2012-06-16
2 CALLS SIGMA-ALDRICH CORP	P	2012-07-21	2012-07-23
2 CALLS SMUCKER JM CO	P	2012-01-23	2012-04-21
2 CALLS SMUCKER JM CO	P	2012-02-21	2012-05-19
2 CALLS SMUCKER JM CO	P	2012-05-21	2012-10-20
2 CALLS SMUCKER JM CO	P	2012-10-18	2012-11-17
2 CALLS SMUCKER JM CO	P	2012-11-19	2012-12-31
3 CALLS STARBUCKS CORP	P	2012-07-27	2012-08-18
3 CALLS STARBUCKS CORP	P	2012-09-24	2012-10-20
3 CALLS STARBUCKS CORP	P	2012-11-17	2012-11-19
2 CALLS TIME WARNER CABLE INC	P	2012-08-18	2012-08-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

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2 CALLS TORONTO DOMINION BANK	P	2012-06-05	2012-07-21
2 CALLS TORONTO DOMINION BANK	P	2012-06-20	2012-07-21
2 CALLS TORONTO DOMINION BANK	P	2012-08-18	2012-08-20
3 CALLS TYCO INTL LTD	P	2012-03-17	2012-03-19
2 CALLS UNION PACIFIC CORP	P	2012-05-19	2012-05-21
2 CALLS UNION PACIFIC CORP	P	2012-08-18	2012-08-20
3 CALLS UNITEDHEALTHGROUP INC	P	2012-03-17	2012-03-19
3 CALLS UNITEDHEALTHGROUP INC	P	2012-07-21	2012-07-23
3 CALLS UNITEDHEALTHGROUP INC	P	2012-10-20	2012-10-22
3 CALLS UNITEDHEALTHGROUP INC	P	2012-12-22	2012-12-24
1 CALL V F CORP	P	2012-02-18	2012-02-21
1 CALL V F CORP	P	2012-12-11	2012-12-22
3 CALLS VIACOM INC CL B	P	2012-02-18	2012-02-21
3 CALLS VIACOM INC CL B	P	2012-04-21	2012-04-23
3 CALLS VIACOM INC CL B	P	2012-06-04	2012-06-16
3 CALLS VIACOM INC CL B	P	2012-09-12	2012-09-22
2 CALLS WHOLE FOODS MARKET INC	P	2012-05-18	2012-05-19
2 CALLS WHOLE FOODS MARKET INC	P	2012-06-16	2012-06-18
4 CALLS WILLIAM SONOMA INC	P	2012-01-21	2012-01-23
4 CALLS WILLIAM SONOMA INC	P	2012-03-17	2012-03-19
4 CALLS WILLIAM SONOMA INC	P	2012-05-15	2012-05-19
4 CALLS WILLIAM SONOMA INC	P	2012-05-23	2012-06-16
4 CALLS WILLIAM SONOMA INC	P	2012-08-18	2012-08-20
4 CALLS WILLIAM SONOMA INC	P	2012-10-19	2012-10-20
2 CALLS YUM BRANDS INC	P	2012-06-28	2012-07-21
2 CALLS YUM BRANDS INC	P	2012-07-13	2012-08-18
2 CALLS YUM BRANDS INC	P	2012-10-20	2012-10-22
56 SHS CAPITAL ONE FINANCIAL CORP	P	2012-02-03	2012-07-17
82 SHS CELANESE CORP	P	2012-04-03	2012-09-27
106 SHS CELANESE CORP	P	2012-04-03	2012-12-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
422 SHS CELGENE CORP	P	2011-10-27	2012-02-09
401 SHS CELGENE CORP	P	2011-12-15	2012-09-09
100 SHS CIGNA CORP	P	2011-11-17	2012-02-17
200 SHS CIGNA CORP	P	2011-11-07	2012-04-20
100 SHS CIGNA CORP	P	2012-02-22	2012-04-20
1,100 SHS CISCO SYSTEMS INC	P	2011-07-25	2012-02-09
1,428 SHS CISCO SYSTEMS INC	P	2011-10-18	2012-02-09
200 SHS COACH INC	P	2012-04-04	2012-05-15
500 SHS COCA COLA ENTERPRISES	P	2012-01-27	2012-03-16
500 SHS COCA COLA ENTERPRISES	P	2012-03-20	2012-08-17
750 SHS CONOCO PHILLIPS	P	2011-07-25	2012-05-22
200 SHS CUMMINS INC	P	2012-01-06	2012-02-10
25 SHS CUMMINS INC	P	2012-01-03	2012-03-14
200 SHS DEERE & CO	P	2012-03-21	2012-04-12
53 SHS DISCOVERY COMM	P	2010-12-02	2012-03-14
76 SHS DISCOVERY COMM	P	2010-12-02	2012-04-30
10 SHS DISCOVERY COMM	P	2011-01-03	2012-04-30
3 SHS DISCOVERY COMM	P	2011-01-11	2012-04-30
1,443 SHS DISNEY WALT CO	P	2011-12-06	2012-02-09
300 SHS DR PEPPER SNAPPLE GP INC	P	2011-12-27	2012-05-18
75 SHS EBAY INC	P	2011-08-12	2012-03-14
108 SHS EBAY INC	P	2011-08-12	2012-04-18
44 SHS EBAY INC	P	2011-08-12	2012-08-16
28 SHS EBAY INC	P	2011-09-09	2012-08-16
129 SHS EL PASO CORP	P	2011-05-27	2012-03-14
104 SHS EL PASO CORP	P	2011-05-27	2012-03-15
175 SHS EL PASO CORP	P	2011-05-31	2012-03-15
56 SHS EL PASO CORP	P	2011-05-31	2012-05-31
296 SHS EL PASO CORP	P	2011-06-22	2012-05-31
362 SHS EL PASO CORP	P	2011-11-02	2012-05-31

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(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1,975 SHS ENERPLUS CORP	P	2011-12-06	2012-04-12
200 SHS EQUITY RESIDENTIAL	P	2012-05-04	2012-09-24
1,038 SHS EXELON	P	2011-05-27	2012-11-20
308 SHS EXELON	P	2011-08-09	2012-11-20
223 SHS EXELON	P	2012-08-17	2012-11-20
4,876 033 SHS GABELLI ABC FUND ADV CL	P	2008-09-15	2012-02-19
1,809 422 SHS GABELLI ABC FUND ADV CL	P	2008-10-21	2012-02-09
956 256 SHS GABELLI ABC FUND ADV CL	P	2010-09-17	2012-02-09
5,498 12 SHS GABELLI ABC FUND ADV CL	P	2011-02-25	2012-02-09
409 SHS GENERAL ELECTRIC CO	P	2011-12-06	2012-08-17
100 SHS GENERAL MILLS INC	P	2012-02-06	2012-10-04
200 SHS GENERAL MILLS INC	P	2012-02-06	2012-12-21
100 SHS GENERAL MILLS INC	P	2012-04-12	2012-12-21
300 SHS GENERAL MILLS INC	P	2011-11-18	2012-01-05
47 SHS GOOGLE INC CL A	P	2011-10-27	2012-02-09
10 SHS GOOGLE INC CL A	P	2012-02-01	2012-07-17
300 SHS GRACO INC	P	2012-08-31	2012-12-21
1,114 SHS HEWLETT PACKARD	P	2011-10-18	2012-02-09
509 SHS HEWLETT PACKARD	P	2012-01-27	2012-02-09
200 SHS HONEYWELL INTL INC	P	2012-05-15	2012-09-21
200 SHS HONEYWELL INTL INC	P	2012-09-28	2012-12-21
400 SHS INTERNATIONAL PAPER CO	P	2011-11-07	2012-02-10
53 SHS INTL BUSINESS MACH	P	2009-10-23	2012-04-18
153 SHS IRON MTN	P	2011-08-10	2012-06-11
88 SHS ISHARES IBOXX \$ HIGH YEILD CORP BOND	P	2012-01-10	2012-07-06
70 SHS ISHARES IBOXX \$ HIGH YEILD CORP BOND	P	2012-03-05	2012-11-20
39 SHS ISHARES IBOXX \$ HIGH YEILD CORP BOND	P	2012-04-30	2012-11-20
6 SHS ISHARES IBOXX \$ HIGH YEILD CORP BOND	P	2012-04-30	2012-12-05
109 SHS ISHARES IBOXX \$ HIGH YEILD CORP BOND	P	2012-07-06	2012-12-05
189 SHS ISHARES SILVER TR	P	2012-03-01	2012-07-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
83 SHS ISHARES TR JPMORGAN	P	2009-10-29	2012-09-11
9 SHS ISHARES TR JPMORGAN	P	2010-03-02	2012-09-11
109 SHS ISHARES TR RUSSELL 2000 GROWTH INDEX FD	P	2012-07-06	2012-11-15
83 SHS ISHARES TRUST BARCLAYS	P	2009-10-29	2012-06-04
309 SHS ISHARES TRUST MSCI EMERGING MARKETS	P	2009-10-29	2012-05-11
252 SHS ISHARES TRUST MSCI EMERGING MARKETS	P	2009-10-29	2012-05-22
600 SHS JABIL CIRCUIT INC	P	2011-12-02	2012-01-20
300 SHS JB HUNT TRANSPORT SERVICES INC	P	2012-08-21	2012-10-19
62 SHS LOWES CAMPANIES	P	2012-02-21	2012-03-14
183 SHS LOWES CAMPANIES	P	2012-02-21	2012-08-13
69 SHS LOWES CAMPANIES	P	2012-02-21	2012-08-20
16 SHS LOWES CAMPANIES	P	2012-03-02	2012-08-20
149 SHS LOWES CAMPANIES	P	2012-03-02	2012-08-21
300 SHS MACERICH CO	P	2011-12-01	2012-01-20
300 SHS MACERICH CO	P	2012-03-07	2012-04-20
200 SHS MACERICH CO	P	2012-07-10	2012-10-15
391 SHS MARKET VECTORS ETF TRUST EMERGING MKT	P	2011-01-06	2012-05-22
200 SHS MCDONALD CORP	P	2012-04-13	2012-10-25
300 SHS MCGRAW HILL	P	2012-01-04	2012-08-17
200 SHS MCKESSON CORP	P	2012-01-04	2012-02-17
200 SHS MEAD JOHNSON NUTRITION	P	2011-12-01	2012-05-18
200 SHS MEAD JOHNSON NUTRITION	P	2012-05-22	2012-08-15
3,551 089 SHS MERK HARD CURRENCY	P	2010-04-15	2012-02-19
2,266 314 SHS MERK HARD CURRENCY	P	2010-06-18	2012-02-09
57 897 SHS MERK HARD CURRENCY	P	2010-12-09	2012-02-09
6 785 SHS MERK HARD CURRENCY	P	2010-12-09	2012-02-09
2,089 SHS MICROSOFT CORP	P	2011-07-25	2012-02-09
377 SHS MONSANTO CO	P	2011-10-27	2012-01-23
200 SHS MONSANTO CO	P	2012-03-02	2012-04-11
100 SHS MONSANTO CO	P	2012-09-11	2012-11-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
19 SHS MONSANTO CO	P	2010-11-08	2012-03-14
27 SHS MONSANTO CO	P	2010-11-08	2012-06-04
51 SHS MONSANTO CO	P	2010-11-09	2012-06-04
11 SHS MONSANTO CO	P	2011-06-22	2012-06-04
114 SHS MSCI CL A	P	2011-02-24	2012-06-14
241 SHS MSCI CL A	P	2011-03-15	2012-06-14
57 SHS NEXTERA ENERGY INC	P	2009-04-08	2012-02-06
18 SHS NEXTERA ENERGY INC	P	2009-05-08	2012-02-06
69 SHS NEXTERA ENERGY INC	P	2009-05-08	2012-02-10
21 SHS NEXTERA ENERGY INC	P	2009-05-08	2012-03-16
50 SHS NEXTERA ENERGY INC	P	2010-04-15	2012-03-16
162 SHS NEILSEN HOLDINGS	P	2011-03-24	2012-10-22
76 SHS NEILSEN HOLDINGS	P	2011-08-09	2012-10-22
300 SHS NORDSTROM INC	P	2012-06-21	2012-08-17
200 SHS NORFOLK STHN CORP	P	2011-12-27	2012-03-08
300 SHS OCEANEERING INTL	P	2011-12-27	2012-02-17
200 SHS ONEOK INC	P	2012-03-07	2012-04-20
50 SHS PALL CORP	P	2011-01-20	2012-06-05
99 SHS PALL CORP	P	2011-01-25	2012-06-05
1,155 289 SHS PAYDEN EMERGING MKTS BD	P	2012-02-09	2012-08-15
2,878 008 SHS PAYDEN HIGH INCOME FUND	P	2012-01-26	2012-08-15
300 SHS PENTAIR LTD	P	2012-10-09	2012-12-21
90 SHS PENTAIR LTD	P	2012-10-01	2012-11-23
200 SHS PHILIP MORRIS INTL INC	P	2012-01-30	2012-03-16
375 SHS PHILLIPS 66	P	2012-05-08	2012-05-08
28,827 286 SHS PIMCO STKS PLUS TR SHORT	P	2012-09-04	2012-10-22
172 064 SHS PIMCO STKS PLUS TR SHORT	P	2012-09-20	2012-10-22
200 SHS PNC FINANCIAL SVCS GP INC	P	2012-04-13	2012-09-21
200 SHS POLARIS INDUSTRIES INC	P	2012-01-10	2012-03-16
200 SHS POLARIS INDUSTRIES INC	P	2012-03-20	2012-04-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
200 SHS POLARIS INDUSTRIES INC	P	2012-07-17	2012-09-21
151 SHS POTASH CORP OF SASKATCHEWAN	P	2011-09-27	2012-04-02
53 SHS POTASH CORP OF SASKATCHEWAN	P	2011-10-17	2012-04-02
45 SHS POTASH CORP OF SASKATCHEWAN	P	2011-10-17	2012-09-05
139 SHS POTASH CORP OF SASKATCHEWAN	P	2011-12-28	2012-09-05
6,726 SHS POWERSHS EXCH TRAD FD	P	2012-02-09	2012-05-08
15 SHS PRAXAIR	P	2009-08-05	2012-03-14
8 SHS PRAXAIR	P	2009-08-05	2012-05-15
68 SHS PRAXAIR	P	2010-01-21	2012-05-15
400 SHS PORLOGIS INC	P	2012-05-14	2012-10-19
1,083 SHS PROSHARES SHORT S&P 500	P	2011-04-01	2012-01-17
1,311 SHS PROSHARES SHORT S&P 500	P	2011-06-15	2012-01-17
1,606 SHS PROSHARES SHORT S&P 500	P	2011-06-30	2012-01-17
486 SHS PROSHARES SHORT S&P 500	P	2011-06-30	2012-02-27
544 SHS PROSHARES SHORT S&P 500	P	2011-06-30	2012-08-17
829 SHS PROSHARES SHORT S&P 500	P	2011-08-12	2012-08-17
109 SHS PROSHARES SHORT S&P 500	P	2011-08-12	2012-09-05
2,688 SHS PROSHARES SHORT S&P 500	P	2011-12-15	2012-09-05
1,456 SHS PROSHARES SHORT S&P 500	P	2012-06-06	2012-06-08
2,040 SHS PROSHARES SHORT S&P 500	P	2012-10-24	2012-12-26
200 SHS ROCKWELL AUTOMATION INC	P	2012-03-26	2012-06-19
200 SHS ROSS STORES INC	P	2012-10-05	2012-11-08
127 SHS ROVI CORP	P	2011-12-01	2012-02-23
225 SHS ROVI CORP	P	2011-12-01	2012-07-23
133 SHS ROVI CORP	P	2011-12-23	2012-07-23
105 SHS SCHLUMBERGER LTD	P	2011-12-09	2012-03-30
66 SHS SCHLUMBERGER LTD	P	2012-01-25	2012-03-30
200 SHS SIGMA-ALDRICH CORP	P	2012-05-16	2012-07-20
100 SHS SIMON PROERTY GROUP INC	P	2011-12-02	2012-01-20
200 SHS SMUCKER JM COMPANY	P	2011-11-18	2012-01-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
200 SHS SMUCKER JM COMPANY	P	2012-02-06	2012-10-17
77 SHS SOLERA HOLDING INC	P	2011-08-10	2012-08-01
98 SHS SOLERA HOLDING INC	P	2011-09-26	2012-08-01
3,349 SHS SPDR BARCLAYS CAP CONV SEC	P	2012-02-09	2012-06-06
200 SHS TIME WARNER CABLE INC	P	2012-06-20	2012-08-17
200 SHS TORONTO-DOMINION BANK	P	2012-05-04	2012-08-17
752 SHS TRIMBLE NAVIGATION LTD	P	2011-10-27	2012-01-23
300 SHS TYCO INTERNATIONAL LTD	P	2012-01-26	2012-03-16
191 5 SHS TYCO INTERNATIONAL LTD	P	2012-04-02	2012-10-11
75 SHS TYCO INTERNATIONAL LTD	P	2012-04-24	2012-10-11
111 SHS TYCO INTERNATIONAL LTD	P	2012-07-13	2012-10-11
200 SHS UNION PACIFIC CORP	P	2012-03-21	2012-08-17
300 SHS UNITED HRAALTH GROUP INC	P	2012-01-25	2012-03-16
100 SHS V F CORP	P	2012-01-05	2012-02-17
100 SHS V F CORP	P	2012-11-13	2012-12-11
35 SHS VERISK ANALYTICS INC CL A	P	2009-11-30	2012-03-14
1 SH VERISK ANALYTICS INC CL A	P	2009-11-30	2012-08-03
35 SHS VERISK ANALYTICS INC CL A	P	2010-02-12	2012-08-03
263 SHS VERIZON COMMUNICATIONS	P	2011-04-01	2012-08-17
300 SHS VIACOM INC	P	2012-01-04	2012-02-17
300 SHS VIACOM INC	P	2012-02-17	2012-09-11
36 SHS VISA INC CL A	P	2011-07-14	2012-02-22
9 SHS VISA INC CL A	P	2011-07-14	2012-03-14
16 SHS VISA INC CL A	P	2011-07-14	2012-03-30
42 SHS VISA INC CL A	P	2011-07-14	2012-09-10
200 SHS WHOLE FOODS MARKET	P	2011-12-02	2012-01-20
200 SHS WHOLE FOODS MARKET	P	2012-04-16	2012-06-15
400 SHS WILLIAMS SONOMA INC	P	2012-01-04	2012-03-16
400 SHS WILLIAMS SONOMA INC	P	2012-03-30	2012-10-18
456 WTS KINDER MORGAN HOLDING CO	P	2012-05-31	2012-08-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
200 SHS YUM BRANDS INC	P	2012-05-24	2012-10-19
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
12,471		10,018	2,453
11,500		11,574	-74
5,750		5,652	98
13,500		13,188	312
10,564		14,188	-3,624
16,000		15,760	240
4,791		2,347	2,444
5,493		2,378	3,115
7,799		8,220	-421
14,000		13,933	67
46,326		49,451	-3,125
13,832		14,299	-467
13,500		12,617	883
13,405		14,419	-1,014
11,965		11,902	63
2,120		2,029	91
13,948		15,062	-1,114
4,058		2,657	1,401
2,062		2,249	-187
7,830		7,622	208
40,462		36,100	4,362
20,336		17,800	2,536
11,022		9,000	2,022
46,530		45,519	1,011
396			396
414			414
390			390
297			297
443			443
360			360

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
330			330
311		5	306
270		20	250
210		260	-50
394			394
375		212	163
188			188
500			500
438		35	403
640		10	630
350			350
305			305
332			332
761			761
518		276	242
470			470
300			300
360			360
294			294
260		54	206
214		42	172
162			162
160			160
150		38	112
110			110
140			140
243			243
93			93
60			60
		120	-120

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
60			60
213			213
465			465
333			333
370		22	348
330			330
360			360
294			294
576			576
251			251
270			270
525			525
465			465
310			310
450			450
456			456
260		620	-360
402		144	258
143			143
394			394
345		18	327
306			306
500			500
425			425
538		64	474
234		111	123
452		13	439
239		36	203
152		6	146
186		135	51

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
624			624
400			400
367			367
377			377
352			352
340			340
295			295
220			220
383			383
384			384
460		18	442
464			464
636			636
420			420
300			300
357			357
370			370
300		40	260
310		8	302
340		96	244
306			306
402			402
360		30	330
420			420
330			330
411			411
376		14	362
234		229	5
220			220
410			410

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
410		50	360
252		454	-202
314			314
328			328
548			548
720			720
330			330
641			641
243			243
409			409
277			277
340		16	324
465			465
315			315
345		84	261
546			546
687		9	678
428			428
360			360
300			300
401		60	341
468		69	399
374			374
400			400
568		29	539
193		218	-25
274			274
2,999		2,555	444
3,193		3,665	-472
4,162		4,738	-576

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
31,059		28,494	2,565
29,513		25,532	3,981
4,500		4,253	247
9,400		8,506	894
4,700		4,551	149
36,838		29,101	7,737
28,715		24,785	3,930
13,535		14,875	-1,340
13,500		13,467	33
14,500		14,213	287
51,451		56,811	-5,360
19,000		18,736	264
2,985		2,198	787
15,896		16,455	-559
2,342		1,894	448
3,669		2,716	953
483		366	117
145		105	40
58,261		52,087	6,174
12,000		11,982	18
2,720		2,164	556
3,917		3,116	801
1,985		1,269	716
1,263		802	461
3,777		2,623	1,154
2,984		2,115	869
5,021		3,717	1,304
1,252		1,189	63
6,619		5,816	803
8,095		8,962	-867

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
39,099		51,082	-11,983
11,610		12,460	-850
30,296		43,772	-13,476
8,989		13,068	-4,079
6,508		8,494	-1,986
48,254		47,557	697
17,906		17,648	258
9,463		9,327	136
54,410		53,625	785
8,560		6,521	2,039
3,900		3,974	-74
8,000		7,948	52
4,000		3,868	132
11,700		11,660	40
28,597		28,036	561
5,674		5,750	-76
15,000		14,820	180
32,056		28,305	3,751
14,647		14,519	128
11,500		11,491	9
12,000		11,977	23
12,000		11,403	597
10,798		6,487	4,311
4,753		4,674	79
10,413		10,022	391
6,439		6,375	64
3,588		3,555	33
558		547	11
10,144		9,919	225
5,203		6,526	-1,323

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
10,019		8,725	1,294
1,086		946	140
9,714		10,232	-518
10,019		8,568	1,451
12,361		12,057	304
9,489		9,833	-344
13,500		12,491	1,009
16,500		16,238	262
1,845		1,703	142
4,792		5,025	-233
1,852		1,895	-43
429		440	-11
4,002		4,101	-99
15,000		14,636	364
16,500		16,490	10
11,864		11,773	91
9,619		10,374	-755
17,444		19,444	-2,000
14,100		13,560	540
16,000		15,813	187
16,000		15,041	959
14,538		16,422	-1,884
43,577		41,622	1,955
27,811		26,563	1,248
710		679	31
83		80	3
62,935		56,770	6,165
30,491		28,169	2,322
14,955		16,113	-1,158
8,336		8,963	-627

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,506		1,122	384
2,072		1,594	478
3,913		3,166	747
844		727	117
3,771		4,198	-427
7,972		8,348	-376
3,431		2,994	437
1,083		1,038	45
4,153		3,981	172
1,278		1,211	67
3,042		2,487	555
5,151		4,357	794
2,417		2,153	264
15,000		14,799	201
13,257		14,584	-1,327
15,000		14,270	730
16,500		16,480	20
2,776		2,497	279
5,496		4,890	606
17,364		16,567	797
20,808		20,319	489
13,500		13,467	33
4,096		3,894	202
15,000		14,918	82
11,752		13,015	-1,263
106,035		107,864	-1,829
633		618	15
12,500		12,364	136
13,000		12,217	783
13,500		13,402	98

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
15,000		14,983	17
6,832		7,128	-296
2,398		2,618	-220
1,806		2,223	-417
5,580		5,876	-296
138,251		136,052	2,199
1,646		1,170	476
896		624	272
7,617		5,488	2,129
13,600		13,596	4
42,528		44,885	-2,357
51,480		55,661	-4,181
63,064		67,666	-4,602
18,085		20,477	-2,392
19,086		22,921	-3,835
29,085		38,639	-9,554
3,834		5,080	-1,246
94,559		110,781	-16,222
51,219		56,688	-5,469
68,657		69,428	-771
13,925		16,301	-2,376
11,167		13,215	-2,048
4,568		3,419	1,149
2,345		6,057	-3,712
1,386		3,231	-1,845
7,413		8,111	-698
4,659		4,858	-199
14,000		13,972	28
12,480		12,347	133
15,000		14,802	198

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
16,000		15,936	64
2,941		4,067	-1,126
3,744		5,100	-1,356
124,934		135,902	-10,968
16,000		15,816	184
16,000		16,214	-214
32,847		29,937	2,910
15,000		14,758	242
15,988		10,699	5,289
2,139		4,130	-1,991
3,165		5,908	-2,743
22,999		22,514	485
15,750		15,398	352
14,000		13,524	476
15,077		15,816	-739
1,578		978	600
50		28	22
1,755		979	776
11,626		10,101	1,525
14,100		13,751	349
14,400		14,094	306
4,118		3,168	950
1,054		792	262
1,920		1,408	512
5,350		3,696	1,654
14,000		13,734	266
17,000		16,911	89
14,800		15,232	-432
15,600		15,026	574
1,368		871	497

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
14,000		13,929	71
33,145			33,145

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2,453
			-74
			98
			312
			-3,624
			240
			2,444
			3,115
			-421
			67
			-3,125
			-467
			883
			-1,014
			63
			91
			-1,114
			1,401
			-187
			208
			4,362
			2,536
			2,022
			1,011
			396
			414
			390
			297
			443
			360

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			330
			306
			250
			-50
			394
			163
			188
			500
			403
			630
			350
			305
			332
			761
			242
			470
			300
			360
			294
			206
			172
			162
			160
			112
			110
			140
			243
			93
			60
			-120

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			60
			213
			465
			333
			348
			330
			360
			294
			576
			251
			270
			525
			465
			310
			450
			456
			-360
			258
			143
			394
			327
			306
			500
			425
			474
			123
			439
			203
			146
			51

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			624
			400
			367
			377
			352
			340
			295
			220
			383
			384
			442
			464
			636
			420
			300
			357
			370
			260
			302
			244
			306
			402
			330
			420
			330
			411
			362
			5
			220
			410

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			360
			-202
			314
			328
			548
			720
			330
			641
			243
			409
			277
			324
			465
			315
			261
			546
			678
			428
			360
			300
			341
			399
			374
			400
			539
			-25
			274
			444
			-472
			-576

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2,565
			3,981
			247
			894
			149
			7,737
			3,930
			-1,340
			33
			287
			-5,360
			264
			787
			-559
			448
			953
			117
			40
			6,174
			18
			556
			801
			716
			461
			1,154
			869
			1,304
			63
			803
			-867

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-11,983
			-850
			-13,476
			-4,079
			-1,986
			697
			258
			136
			785
			2,039
			-74
			52
			132
			40
			561
			-76
			180
			3,751
			128
			9
			23
			597
			4,311
			79
			391
			64
			33
			11
			225
			-1,323

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,294
			140
			-518
			1,451
			304
			-344
			1,009
			262
			142
			-233
			-43
			-11
			-99
			364
			10
			91
			-755
			-2,000
			540
			187
			959
			-1,884
			1,955
			1,248
			31
			3
			6,165
			2,322
			-1,158
			-627

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			384
			478
			747
			117
			-427
			-376
			437
			45
			172
			67
			555
			794
			264
			201
			-1,327
			730
			20
			279
			606
			797
			489
			33
			202
			82
			-1,263
			-1,829
			15
			136
			783
			98

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			17
			-296
			-220
			-417
			-296
			2,199
			476
			272
			2,129
			4
			-2,357
			-4,181
			-4,602
			-2,392
			-3,835
			-9,554
			-1,246
			-16,222
			-5,469
			-771
			-2,376
			-2,048
			1,149
			-3,712
			-1,845
			-698
			-199
			28
			133
			198

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			64
			-1,126
			-1,356
			-10,968
			184
			-214
			2,910
			242
			5,289
			-1,991
			-2,743
			485
			352
			476
			-739
			600
			22
			776
			1,525
			349
			306
			950
			262
			512
			1,654
			266
			89
			-432
			574
			497

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			71
			33,145


Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ALAN AUFZIEN	DIRECTOR 0 00	0	0	0
350 PASSAIC AVENUE FAIRFIELD,NJ 07004				
NORMA AUFZIEN	DIRECTOR 0 00	0	0	0
350 PASSAIC AVENUE FAIRFIELD,NJ 07004				
JONATHAN AUFZIEN	DIRECTOR 0 00	0	0	0
350 PASSAIC AVENUE FAIRFIELD,NJ 07004				
LESLIE LEVINE	DIRECTOR 0 00	0	0	0
350 PASSAIC AVENUE FAIRFIELD,NJ 07004				
MEREDITH BAUER	DIRECTOR 0 00	0	0	0
350 PASSAIC AVENUE FAIRFIELD,NJ 07004				
LISA AUFZIEN	DIRECTOR 0 00	0	0	0
350 PASSAIC AVENUE FAIRFIELD,NJ 07004				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
AMERICAN FRIENDS OF TEL AVIV UNIVERSITY 360 LEXINGTON AVENUE NEW YORK,NY 10017		EXEMPT	DONATION	75,500
AMERICAN JEWISH COMMITTEE 165 EAST 56TH STREET NEW YORK,NY 10222		EXEMPT	DONATION	2,500
ANTI DEFAMATION LEAGUE 605 THIRD AVENUE NEW YORK,NY 10158		EXEMPT	DONATION	5,500
BOYS TOWN JERUSALEM FOUNDAITON OF AMERICA INC 91 FIFTH AVENUE NEW YORK,NY 10003		EXEMPT	DONATION	500
CITY HARVEST 575 EIGHTH AVENUE NEW YORK,NY 10018		EXEMPT	DONATION	2,000
CJLPRINCETON HILLEL 70 WASHINGTON ROAD PRINCETON,NJ 08540		EXEMPT	DONATIONDONATION	1,000
JEWISH NATIONAL FUND 42 EAST 69TH STREET NEW YORK,NY 10021		EXEMPT	DONATION	4,000
NEW JERSEY SEEDS THE PEDDIE SCHOOL PO BOX 31 HIGHTSTOWN,NJ 08520		EXEMPT	DONATION	10,000
PINE CREST SCHOOL 1501 NE 62ND STREET FORT LAUDERDALE,FL 33334		EXEMPT	DONATION	500
PRINCETON UNIVERSITY PO BOX 5357 PRINCETON,NJ 08543		EXEMPT	DONATION	1,000
THE RAMAZ SCHOOL 114 EAST 85TH STREET NEW YORK,NY 10028		EXEMPT	DONATION	850
THE WASHINGTON INSTITUTE 5101 WISCONSIN AVE NW 100 WASHINGTON,DC 20036		EXEMPT	DONATION	114,000
UJA FEDERATION NYC 130 EAST 59TH ST NEW YORK,NY 10022		EXEMPT	DONATION	5,000
UNIVERSITY OF PENNSYLVANIA 433 FRANKLIN BUILDING PHILADELPHIA,PA 19104		EXEMPT	DONATION	100,000
VASSAR COLLEGE BOX 14 POUGHKEEPSIE,NY 12601		EXEMPT	DONATION	50,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CHRON'S & COLITIS FOUNDATION OF AMERICA 386 PARK AVE SOUTH NEW YORK,NY 100168804		EXEMPT	DONATION	250
SUNRISE DAY CAMP C/O FREIBERG JCC 15 NEAL CT OCEANSIDE,NY 11572		EXEMPT	DONATION	26,600
PROJECT INTERCHANGE 165 EAST 56TH STREET NEW YORK,NY 100222746		EXEMPT	DONATION	2,500
B'NAI AVIV SYNAGOGUE 1418 INDIAN FRACE WESTON,FL 33326		EXEMPT	DONATION	1,000
JEWISH ADOPTION & FOSTER CARE OPTIONS INC 4200 N UNIVERSITY DR SUNRISE,FL 33351		EXEMPT	DONATION	14,000
JEWISH FAMILY SERVICES OF CLIFTON-PASSIAC 925 ALLWOOD RD 2ND FL CLIFTON,NJ 07012		EXEMPT	DONATION	1,000
AMERICAN FRIENDS OF NGO MONITOR INC PBM 309 100 SPRINGFIELD RD STE A3 CHERRY HILL,NJ 08003		EXEMPT	DONATION	540
PAPERMILL PLAYHOUSE 22 BROOKSIDE DR MILLBURN,NJ 07041		EXEMPT	DONATION	1,000
REPORT INC PBM 309 CHERRY HILL,NJ 08003		EXEMPT	DONATION	5,000
SYMPHONY SPACE 2537 BROADWAY NEW YORK,NY 10025		EXEMPT	DONATION	2,000
THE CONGREGATION SHEARITH ISRAEL 8 WEST 70TH STREET NEW YORK,NY 10023		EXEMPT	DONATION	4,500
THE INVESTIGATIVE PROJECT ON TERRORISM 5614 CONNECTICUT AVE NW NO341 WASHINGTON,DC 20015		EXEMPT	DONATION	5,000
THE WASHINGTON INSTITUTE FOR NEAR EAST POLICY 1828 L STREET WASHINGTON,DC 20036		EXEMPT	DONATION	2,500
THE ROLLINS COLLEGE FUND 100 HOLT AVENUE WINTER PARK,FL 32789		EXEMPT	DONATION	1,000
Total  3a				439,240

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2012

Name of the organization AUFZIEN FOUNDATION INC	Employer identification number 22-2838652
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Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	<div><input type="checkbox"/> 501(c)() (enter number) organization</div> <div><input type="checkbox"/> 4947(a)(1) nonexempt charitable trust not treated as a private foundation</div> <div><input type="checkbox"/> 527 political organization</div>
Form 990-PF	<div><input checked="" type="checkbox"/> 501(c)(3) exempt private foundation</div> <div><input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation</div> <div><input type="checkbox"/> 501(c)(3) taxable private foundation</div>

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer “No” on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization AUFZIEN FOUNDATION INC	Employer identification number 22-2838652
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Part I	Contributors (see instructions) Use duplicate copies of Part I if additional space is needed		
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
 ____	See Additional Data Table _____ _____ _____	 \$ _____	 Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
 ____	_____ _____ _____	 \$ _____	 Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
 ____	_____ _____ _____	 \$ _____	 Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
 ____	_____ _____ _____	 \$ _____	 Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
 ____	_____ _____ _____	 \$ _____	 Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
 ____	_____ _____ _____	 \$ _____	 Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
 ____	_____ _____ _____	 \$ _____	 Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)

Name of organization AUFZIEN FOUNDATION INC	Employer identification number 22-2838652
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Part II	Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed		
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
2	350 SHARES FASTENAL CO	\$ 16,093	2012-12-27
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
3	500 SHARES ECOLAB INC	\$ 35,910	2012-12-27
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
4	909 SHARES DENTSPLY INTERNATIONAL INC	\$ 34,346	2012-12-27
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
5	1,400 SHARES MYLAN INC	\$ 38,430	2012-12-27
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
6	400 SHARES COLGATE PALMOLIVE CO	\$ 41,884	2012-12-27
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	

Name of organization AUFZIEN FOUNDATION INC	Employer identification number 22-2838652
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Part III

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **\$1,000 or less** for the year (Enter this information once See instructions) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
<div></div> <div></div>		<div></div> <div></div> <div></div>	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
<div></div> <div></div>		<div></div> <div></div> <div></div>	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
<div></div> <div></div>		<div></div> <div></div> <div></div>	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
<div></div> <div></div>		<div></div> <div></div> <div></div>	

Additional Data

Software ID:
Software Version:
EIN: 22-2838652
Name: AUFZIEN FOUNDATION INC

Form 990 Schedule B, Part I - Contributors (see Instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<u>1</u>	MEREDITH A BAUER 3 BLACKBERRY LANE NORTH CALDWELL, NJ 07006	\$ 55,391	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
<u>2</u>	MICHELLE AUFZIEN 140 WEST END AVENUE APT 5C NEW YORK, NY 10023	\$ 16,093	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)
<u>3</u>	MICHELLE AUFZIEN 140 WEST END AVENUE APT 5C NEW YORK, NY 10023	\$ 35,910	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)
<u>4</u>	MICHELLE AUFZIEN 140 WEST END AVENUE APT 5C NEW YORK, NY 10023	\$ 34,346	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)
<u>5</u>	MICHELLE AUFZIEN 140 WEST END AVENUE APT 5C NEW YORK, NY 10023	\$ 38,430	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)
<u>6</u>	MICHELLE AUFZIEN 140 WEST END AVENUE APT 5C NEW YORK, NY 10023	\$ 41,884	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)
<u>7</u>	ALAN AUFZIEN 350 PASSAIC AVENUE FAIRFILED, NJ 07004	\$ 50,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)

TY 2012 Investments - Other Schedule**Name:** AUFZIEN FOUNDATION INC **EIN:** 22-2838652

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
STOCKS AND BONDS	AT COST	1,690,351	2,691,569
CMS STRUCTURED PRODUCTS FUND	AT COST	432,790	432,790
CMS PRIVATE REIT FUND	AT COST	448,249	448,249
MUUS INDEPENDENCE FUND	AT COST	27,187	27,187
MAGNITUDE INTERNATIONAL	AT COST	386,429	386,429
WYNNEFIELD SMALL CAP OFFSHORE	AT COST	265,894	265,894
ALLEN KONRAD MERIDIAN INVESTORS LLC	AT COST	56,727	56,727
CGM 2007 OPPORTUNITY FUND LP	AT COST	0	0
GOLUB CAPITAL PARTNERS V LP	AT COST	175,262	175,262
WHITEBOX MULTI STRATEGY FUND	AT COST	656,365	656,365
GEMS FUND LP	AT COST	136,270	136,270
GOLUB CAPITAL PARTNERS VII LP	AT COST	130,909	130,909
GOLUB CAPITAL PARTNERS VIII LP	AT COST	25,757	25,757

TY 2012 Other Decreases Schedule**Name:** AUFZIEN FOUNDATION INC**EIN:** 22-2838652

Description	Amount
BOOK VERSES TAX DIFFERENCES	21,218
NON-DEDUCTIBLE EXPENSES	31
UNITED STATES TREASURY - TAX REFUND	6,856

TY 2012 Other Expenses Schedule**Name:** AUFZIEN FOUNDATION INC**EIN:** 22-2838652

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT FEES	55,433	55,433		0
OTHER INVESTMENT EXPENSES	21,853	21,853		0
PROFESSIONAL FEES	12,903	12,903		0
BANK CHARGES	45	45		0
FILING FEES	25	25		0

TY 2012 Other Income Schedule**Name:** AUFZIEN FOUNDATION INC**EIN:** 22-2838652

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
MUUS INDEPENDENCE FUND	-621	-621	-621
GOLUB CAPITAL PARTNERS V LP	608	608	608
ALLEN KONRAD MERIDIAN REDEV VALUE FUND 1	-3,648	-3,648	-3,648
GEMS FUND LP	3,847	3,847	3,847
GOLUB CAPITAL PARTNERS VII LP	2,851	2,851	2,851
OTHER INCOME (LOSS)	-143	-143	-143
GOLUB CAPITAL PARTNERS VIII LP	362	362	362
RBC #300-00838	80	80	80
CHARLES SCHWAB #2148-1279	10,460	10,460	10,460
DEUTSCHE BANK	4,501	4,501	4,501
RBC # 309-58541	44	44	44

TY 2012 Other Increases Schedule

Name: AUFZIEN FOUNDATION INC

EIN: 22-2838652

Description	Amount
NON-TAXABLE DISTRIBUTIONS	8,875

TY 2012 Substantial Contributors Schedule**Name:** AUFZIEN FOUNDATION INC**EIN:** 22-2838652

Name	Address
ALAN AUFZIEN	350 PASSAIC AVENUE FAIRFIELD,NJ 07004
MICHELLE AUFZIEN	140 WEST END AVENUE APT 5C NEW YORK,NY 10023
MERIDITH A BAUER	3 BLACKBERRY LANE FAIRFIELD,NJ 07004

TY 2012 Taxes Schedule**Name:** AUFZIEN FOUNDATION INC**EIN:** 22-2838652

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	416	0		0
FEDERAL TAXES	4,500	0		0