Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052

2012

Open to public Inspection

For calendar year 2012 or tax year beginning , **and ending**

Name of foundation: THE RICHARD K. LUBIN FAMILY FOUNDATION

A Employer identification number: 22-2773808

Number and street (or P O box number if mail is not delivered to street address): 776 BOYLSTON STREET

Room/suite: PH1G

B Telephone number: 617-965-9818

City or town, state, and ZIP code: BOSTON, MA 02199

C If exemption application is pending, check here ▶☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶$ 44,876,409.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶☐

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	15,356,127.		N/A	
	2 Check ▶☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	43,062.	40,852.		STATEMENT 1
	4 Dividends and interest from securities	778,659.	778,213.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	1,923,453.			
	b Gross sales price for all assets on line 6a 8,206,936.				
	7 Capital gain net income (from Part IV, line 2)		1,923,453.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	139,111.	139,111.		STATEMENT 3
	12 **Total.** Add lines 1 through 11	18,240,412.	2,881,629.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees				
	c Other professional fees STMT 4	109,047.	55,939.		0.
	17 Interest				
	18 Taxes STMT 5	59,535.	23,907.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 6	28,564.	14.		0.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	197,146.	79,860.		0.
	25 Contributions, gifts, grants paid	2,975,450.			2,975,450.
	26 **Total expenses and disbursements.** Add lines 24 and 25	3,172,596.	79,860.		2,975,450.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	15,067,816.			
	b **Net investment income** (if negative, enter -0-)		2,801,769.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

G18

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	2,419,764.	5,999,181.	5,999,181.
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations			
	b	Investments - corporate stock			
	c	Investments - corporate bonds			
	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other STMT 8	20,554,021.	28,107,525.	38,877,228.
	14	Land, buildings, and equipment: basis ▶			
		Less accumulated depreciation ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers)	22,973,785.	34,106,706.	44,876,409.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶ OUTSTANDING CHECKS)	1,500.	459,681.	
	23	**Total liabilities** (add lines 17 through 22)	1,500.	459,681.	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds	22,972,285.	33,647,025.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds	0.	0.	
	30	**Total net assets or fund balances**	22,972,285.	33,647,025.	
	31	**Total liabilities and net assets/fund balances**	22,973,785.	34,106,706.	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	22,972,285.
2	Enter amount from Part I, line 27a	2	15,067,816.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	38,040,101.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 7	5	4,393,076.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	33,647,025.

Form **990-PF** (2012)

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	SEE ATTACHED STATEMENTS			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	8,206,936.		6,481,102.	1,923,453.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				1,923,453.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } 2 1,923,453.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 } 3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	1,915,950.	22,697,501.	.084412
2010	1,846,345.	9,635,078.	.191627
2009	1,896,495.	6,195,163.	.306125
2008	2,088,377.	9,124,719.	.228870
2007	932,350.	8,522,261.	.109402

2	**Total** of line 1, column (d)	2	.920436
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.184087
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	31,696,141.
5	Multiply line 4 by line 3	5	5,834,848.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	28,018.
7	Add lines 5 and 6	7	5,862,866.
8	Enter qualifying distributions from Part XII, line 4	8	2,975,450.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. *See the Part VI instructions.*

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: __________ (attach copy of letter if necessary-see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	56,035.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3	Add lines 1 and 2			3	56,035.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	56,035.
6	Credits/Payments:				
a	2012 estimated tax payments and 2011 overpayment credited to 2012	6a	22,833.		
b	Exempt foreign organizations - tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c	58,500.		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments. Add lines 6a through 6d			7	81,333.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☒ if Form 2220 is attached			8	37.
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	25,261.
11	Enter the amount of line 10 to be: **Credited to 2013 estimated tax** ▶ 25,261. **Refunded** ▶			11	0.

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** *On the foundation.* ▶ $ 0. **(2)** *On foundation managers.* ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	X	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	X	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Form **990-PF** (2012)

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ RICHARD K. LUBIN Telephone no.▶617-965-9818

Located at ▶776 BOYLSTON STREET, PH1G, BOSTON, MA ZIP+4 ▶02199

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

				Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.				
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?	☐ Yes ☒ No			
	If "Yes," list the years ▶ , , ,				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ , , ,				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A 5b

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? 6b X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A 7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
RICHARD K. LUBIN 776 BOYLSTON STREET PH1G BOSTON, MA 02199	TRUSTEE 5.00	0.	0.	0.
NANCY K. LUBIN 776 BOYLSTON STREET PH1G BOSTON, MA 02199	TRUSTEE 0.00	0.	0.	0.
KATE E. LUBIN 1 CHARLES STREET UNIT 1C BOSTON, MA 02116	TRUSTEE 0.00	0.	0.	0.
EMILY L. WOODS 116 PEMBROKE STREET BOSTON, MA 02118	TRUSTEE 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	30,898,792.
b	Average of monthly cash balances	1b	1,280,031.
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	32,178,823.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	32,178,823.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	482,682.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	31,696,141.
6	**Minimum investment return.** Enter 5% of line 5	6	1,584,807.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,584,807.
2a	Tax on investment income for 2012 from Part VI, line 5 2a 56,035.		
b	Income tax for 2012. (This does not include the tax from Part VI.) 2b 262.		
c	Add lines 2a and 2b	2c	56,297.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,528,510.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	1,528,510.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,528,510.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	2,975,450.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,975,450.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	2,975,450.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				1,528,510.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			0.	
b Total for prior years: _____ , _____ , _____		0.		
3 Excess distributions carryover, if any, to 2012:				
a From 2007 517,968.				
b From 2008 1,682,787.				
c From 2009 1,590,547.				
d From 2010 1,418,801.				
e From 2011 812,669.				
f **Total** of lines 3a through e	6,022,772.			
4 Qualifying distributions for 2012 from Part XII, line 4: ▶ $ 2,975,450.				
a Applied to 2011, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2012 distributable amount				1,528,510.
e Remaining amount distributed out of corpus	1,446,940.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	7,469,712.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7	517,968.			
9 **Excess distributions carryover to 2013.** Subtract lines 7 and 8 from line 6a	6,951,744.			
10 Analysis of line 9:				
a Excess from 2008 1,682,787.				
b Excess from 2009 1,590,547.				
c Excess from 2010 1,418,801.				
d Excess from 2011 812,669.				
e Excess from 2012 1,446,940.				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

RICHARD K. LUBIN

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ALZHEIMER'S ASSOCIATION 480 PLEASANT STREET WATERTOWN, MA 02472	NONE	501(C)(3)	TO PROVIDE SUPPORT FOR THE NEEDS OF THE ORGANIZATION.	500.
AMERICAN FRIENDS OF ISRAEL MUSEUM 500 FIFTH AVENUE, SUITE 2540 NEW YORK, NY 10110	NONE	501(C)(3)	TO PROVIDE SUPPORT FOR THE NEEDS OF THE ORGANIZATION.	500.
BEACON ACADEMY 477 LONGWOOD AVENUE BOSTON, MA 02215	NONE	501(C)(3)	TO PROVIDE SUPPORT FOR THE NEEDS OF THE ORGANIZATION.	12,500.
BETH ISRAEL DEACONESS MEDICAL CENTER 110 FRANCIS STREET BOSTON, MA 02215	NONE	501(C)(3)	TO PROVIDE SUPPORT FOR THE NEEDS OF THE ORGANIZATION.	51,000.
BIG BROTHERS BIG SISTERS 75 FEDERAL STREET, 8TH FL BOSTON, MA 02210	NONE	501(C)(3)	TO PROVIDE SUPPORT FOR THE NEEDS OF THE ORGANIZATION.	500.
Total SEE CONTINUATION SHEET(S)			▶ 3a	2,975,450.
b *Approved for future payment* NONE				
Total			▶ 3b	0.

Form **990-PF** (2012)

223611
12-05-12

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments	523000		14	40,852.	2,656.
4 Dividends and interest from securities	523000		14	778,213.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income	523000	4,493.	14	139,125.	
8 Gain or (loss) from sales of assets other than inventory	523000		18	1,923,453.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		4,493.		2,881,643.	2,656.

13 Total. Add line 12, columns (b), (d), and (e) 13 2,888,792.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).
3	FEDERALLY TAX EXEMPT INTEREST (IRC SECTION 103)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee

Date 11/15/13

Title TRUSTEE

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
DOUGLAS THEOBALD		11/14/13		P00368792

Firm's name ▶ MORIARTY & PRIMACK, P.C.

Firm's EIN ▶ 04-3191789

Firm's address ▶ ONE MONARCH PLACE, SUITE 900
SPRINGFIELD, MA 01144-4011

Phone no. (413) 739-1800

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**

OMB No 1545-0047

2012

Name of the organization	**Employer identification number**
THE RICHARD K. LUBIN FAMILY FOUNDATION	22-2773808

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor Complete Parts I and II.

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of $5,000 or more during the year . . . . ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization	**Employer identification number**
THE RICHARD K. LUBIN FAMILY FOUNDATION	22-2773808

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	RICHARD K. LUBIN 776 BOYLSTON STREET PH1G BOSTON, MA 02199	$ 1,542,471.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II if there is a noncash contribution.)
2	RICHARD K. LUBIN 776 BOYLSTON STREET PH1G BOSTON, MA 02199	$ 1,899,997.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II if there is a noncash contribution.)
3	RICHARD K. LUBIN 776 BOYLSTON STREET PH1G BOSTON, MA 02199	$ 624,566.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II if there is a noncash contribution)
4	RICHARD K. LUBIN 776 BOYLSTON STREET PH1G BOSTON, MA 02199	$ 2,832,667.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II if there is a noncash contribution.)
5	RICHARD K. LUBIN 776 BOYLSTON STREET PH1G BOSTON, MA 02199	$ 2,506,426.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II if there is a noncash contribution.)
6	RICHARD K. LUBIN 776 BOYLSTON STREET PH1G BOSTON, MA 02199	$ 5,950,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)

Name of organization	Employer identification number
THE RICHARD K. LUBIN FAMILY FOUNDATION	22-2773808

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	VARIOUS SECURITIES (SEE ATTACHED)	$ 1,542,471.	12/17/12
2	VARIOUS SECURITIES (SEE ATTACHED)	$ 1,899,997.	12/17/12
3	VARIOUS SECURITIES (SEE ATTACHED)	$ 624,566.	04/04/12
4	53,649 SHS CARTERS INC. (CRI)	$ 2,832,667.	10/11/12
5	22,323 SHS TRANSDIGM GROUP INC. (TDG)	$ 2,506,426.	03/07/12
		$	

Name of organization

THE RICHARD K. LUBIN FAMILY FOUNDATION

Employer identification number

22-2773808

Part III *Exclusively* **religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year.** Complete columns **(a)** through **(e)** and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **$1,000 or less** for the year. (Enter this information once) ▶ $________

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

Schedule K-1
(Form 1065)

Schedule K-1
(Form 1065)

1000035927

Schedule K-1
(Form 1065)

Department of the Treasury

REALIZED GAINS AND LOSSES

Supplemental Information - Consult your Form 1099 for tax reporting purposes

REALIZED GAIN AND LOSS SUMMARY

SHORT-TERM GAINS (LOSSES)		LONG-TERM GAINS (LOSSES)		ORDINARY GAINS (LOSSES)	
Net Covered Short-Term Gains (Losses)	2,292 28	Net Covered Long-Term Gains (Losses)	86,262 76	Net Ordinary Gains (Losses)	0 00
Net NonCovered Short-Term Gains (Losses)	0 00	Net NonCovered Long-Term Gains (Losses)	1,422,382 60		
Net 1099B Non Reportable Short-Term Gains (Losses)	0 00	Net 1099B Non Reportable Long-Term Gains (Losses)	0 00		
Net Miscellaneous Short-Term Gains (Losses)	0 00	Net Miscellaneous Long-Term Gains (Losses)	0 00	Net Miscellaneous Ordinary Gains (Losses)	0 00
Net Regulated Futures Contract Short-Term Gains (Losses)	0 00	Net Regulated Futures Contract Long-Term Gains (Losses)	0 00		
Total Short-Term Gains (Losses)	**2,292.28**	**Total Long-Term Gains (Losses)**	**1,508,645.36**	**Total Ordinary Gains (Losses)**	**0.00**

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds[5]	Total accretion (Amortization)	Cost Basis[4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
GS EMERGING MARKETS EQUITY FUND INSTITUTIONAL SHARES (38142B369)	12/10/2012	12/18/2012	724.06	12,012 16	0 00	11,765 97	246.19
KRAFT FOODS GROUP, INC CMN (50076Q106)	10/02/2012	12/18/2012	128 00	5,936 50	0 00	3,919.75	2,016.75
MACQUARIE GROUP LIMITED ADR CMN (55607P105)	07/17/2012	12/18/2012	3.00[9]	107.37	0.00	78.03	29.34
Net Covered Short-Term Gains (Losses)				**18,056.03**	**0.00**	**15,763.75**	**2,292.28**

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds[5]	Total accretion (Amortization)	Cost Basis[4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
AEGON N V AMER REG ADR CMN (007924103)	09/22/2011	12/18/2012	1,575.00[9]	9,749 49	0.00	5,740 72	4,008 77
ARM HOLDINGS PLC SPON ADR SPONSORED ADR CMN (042068106)	08/09/2011	12/18/2012	175.00[9]	6,492 35	0 00	4,244 08	2,248 27
ASSA ABLOY AB UNSPONSORED ADR (SWEDEN) (045387107)	09/22/2011	12/18/2012	1,810.00[9]	32,518.81	0.00	18,038 82	14,479 99
BARCLAYS PLC,AMER DEP SHS ADR CMN (06738E204)	09/22/2011	12/18/2012	287.00[9]	4,853.41	0.00	2,436 63	2,416 78
BARCLAYS PLC,AMER DEP SHS ADR CMN (06738E204)	09/13/2011	12/18/2012	905 00[9]	15,304.29	0 00	8,505 28	6,799 01

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[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

[9] This position is a gifted security

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
COMPAGNIE FINANCIERE RICHEMONT UNSPONSORED ADR CMN (204319107)	09/22/2011	12/18/2012	4,590.00 [9]	35,801.19	0.00	22,353 30	13,447.89
FUJI HEAVY IND LTD ADR ADR CMN (359556206)	11/15/2011	12/18/2012	1,650 00 [9]	38,953 64	0.00	18,793.50	20,160.14
HANNOVER RUCKVESICHERUNGS CORP SPONSORED ADR CMN (410693105)	09/22/2011	12/18/2012	496.00 [9]	19,115.41	0.00	10,019.20	9,096 21
INTERCONTINENTAL HOTELS GROUP PLC SPONSORED ADR CMN (45857P400)	09/13/2011	12/18/2012	21.00 [9]	565.31	0.00	360 64	204.67
INTERCONTINENTAL HOTELS GROUP PLC SPONSORED ADR CMN (45857P400)	09/22/2011	12/18/2012	220 00 [9]	5,922 26	0 00	3,677 75	2,244.51
LUXOTTICA GROUP ADS(SPONSORED) REPRESENT THE RIGHT TO RECEIVE ONE ORDINARY SHARES (55068R202)	09/22/2011	12/18/2012	66.00 [9]	2,713 85	0 00	1,739.36	974 49
SANDS CHINA LTD ADR CMN (80007R105)	09/22/2011	12/18/2012	125.00 [9]	5,524 87	0 00	3,215 00	2,309 87
SWEDBANK A B ADR CMN (870195104)	09/13/2011	12/18/2012	339.00 [9]	6,376 44	0.00	3,932 40	2,444 04
ZURICH INS GROUP LTD SPONSORED ADR CMN (989825104)	09/13/2011	12/18/2012	592.00 [9]	15,480 45	0 00	10,052.33	5,428.12
Net Covered Long-Term Gains (Losses)				**199,371.77**	**0.00**	**113,109.01**	**86,262.76**
NonCovered Long-Term Gains (Losses)							
ABB LTD SPONSORED ADR CMN (000375204)	11/28/2008	12/18/2012	2,456.00 [9]	50,692.17	0.00	31,720.96	18,971.21
AKZO NOBEL N.V SPONSORED ADR SPONSORED ADR CMN (010199305)	11/28/2008	12/18/2012	276.00 [9]	5,939.38	0 00	3,128.00	2,811.38
ALLIANZ SE ADR CMN (018805101)	02/25/2009	12/18/2012	686.00 [9]	9,397 99	0 00	4,288 80	5,109 19
ALLIANZ SE ADR CMN (018805101)	11/28/2008	12/18/2012	4,731 00 [9]	64,813 24	0 00	38,990 07	25,823 17
AMCOR LTD ADR (NEW) ADR CMN (02341R302)	05/26/2010	12/18/2012	1,125 00 [9]	37,405 41	0 00	22,322 02	15,083.39
ATLAS COPCO AB SPONS ADR NEW REPSTG CL-B (049255805)	02/10/2010	12/18/2012	1,055.00 [9]	24,886 89	0 00	13,254 07	11,632 82
AXA-UAP AMERICAN DEPOSITARY SHARES (054536107)	02/25/2009	12/18/2012	3,157.00 [9]	54,299 18	0.00	29,833 02	24,466 16
BARCLAYS PLC,AMER DEP SHS ADR CMN (06738E204)	04/01/2009	12/18/2012	1,413.00 [9]	23,894 99	0.00	12,832 30	11,062 69
BASF SE ADR SPONSORED ADR CMN USD0.7322 (055262505)	05/26/2010	12/18/2012	493.00 [9]	47,386 09	0.00	25,394.43	21,991 66

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[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

[9] This position is a gifted security

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
BAYER AG-SPONSORED ADR SPONSORED ADR CMN (072730302)	11/28/2008	12/18/2012	68.00[9]	6,525 13	0 00	3,509 64	3,015 49
BAYER AG-SPONSORED ADR SPONSORED ADR CMN (072730302)	07/13/2009	12/18/2012	796 00[9]	76,382.45	0 00	41,041 76	35,340 69
BHP BILLITON LIMITED SPONSORED ADR CMN (088606108)	07/13/2009	12/18/2012	17.00[9]	1,318 15	0.00	867.17	450.98
BHP BILLITON LIMITED SPONSORED ADR CMN (088606108)	04/01/2009	12/18/2012	111.00[9]	8,606.74	0.00	4,940.61	3,666.13
BRIDGESTONES CORP. ADR ADR CMN (108441205)	08/05/2008	12/18/2012	204.00[9]	10,130 49	0 00	6,582 47	3,548 02
BRIDGESTONES CORP ADR ADR CMN (108441205)	02/25/2009	12/18/2012	642.00[9]	31,881.26	0.00	18,595.47	13,285.79
CARNIVAL PLC ADR CMN (14365C103)	11/28/2008	12/18/2012	151 00[9]	6,181 80	0 00	3,193 65	2,988 15
CHEUNG KONG HLDGS LTD (ADR) ADR CMN (166744201)	01/14/2009	12/18/2012	5 00[9]	76.75	0.00	46 50	30.25
CHEUNG KONG HLDGS LTD (ADR) ADR CMN (166744201)	10/21/2008	12/18/2012	327 00[9]	5,019 33	0.00	3,237 30	1,782.03
COCA-COLA HELLENIC BOTTLING CO SPONSORED ADR CMN (1912EP104)	01/14/2009	12/18/2012	5 00[9]	107.54	0.00	54 49	53.05
COCA-COLA HELLENIC BOTTLING CO SPONSORED ADR CMN (1912EP104)	11/28/2008	12/18/2012	204.00[9]	4,387 67	0.00	2,411.58	1,976 09
CSL LIMITED UNSPONSORED ADR CMN (12637N105)	12/17/2009	12/18/2012	177.00[9]	4,978 72	0.00	2,401 89	2,576 83
CSL LIMITED UNSPONSORED ADR CMN (12637N105)	05/26/2010	12/18/2012	1,159.00[9]	32,600.77	0.00	14,911 81	17,688 96
DANONE SPONSORED ADR CMN (23636T100)	09/23/2004	12/18/2012	1,946.00[9]	25,355.80	0.00	15,196 61	10,159.19
DANSKE BK A/S ADR CMN (236363107)	04/01/2009	12/18/2012	4,078.00[9]	34,167 98	0.00	17,882.85	16,285.13
DASSAULT SYSTEMES SPONSORED ADR CMN (237545108)	02/10/2010	12/18/2012	65.00[9]	7,286.98	0.00	3,607.57	3,679.41
DBS GROUP HOLDINGS SPONSORED ADR CMN (23304Y100)	11/28/2008	12/18/2012	931.00[9]	45,729.69	0.00	23,228.45	22,501.24
DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN (251561304)	02/25/2009	12/18/2012	222.00[9]	4,184 60	0 00	2,530 80	1,653 80
DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN (251561304)	04/01/2009	12/18/2012	443.00[9]	8,350 36	0 00	4,904 01	3,446 35
EUROPEAN AERONAUTIC DEFENCE AN ADR CMN (29875W100)	02/10/2010	12/18/2012	1,032.00[9]	40,515 41	0 00	19,787.05	20,728 36
GS EMERGING MARKETS EQUITY FUND INSTITUTIONAL SHARES (38142B369)	12/11/2009	12/18/2012	7.27	120 66	0.00	111.86	8.80
GS EMERGING MARKETS EQUITY FUND INSTITUTIONAL SHARES (38142B369)	06/29/2011	12/18/2012	17.02	282 33	0.00	305 82	(23.49)

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
GS EMERGING MARKETS EQUITY FUND INSTITUTIONAL SHARES (38142B369)	12/13/2010	12/18/2012	86.41	1,433.57	0.00	1,532.95	(99.38)
GS EMERGING MARKETS EQUITY FUND INSTITUTIONAL SHARES (38142B369)	02/07/2001	12/18/2012	216.94	3,599.04	0 00	2,000.00	1,599 04
GS EMERGING MARKETS EQUITY FUND INSTITUTIONAL SHARES (38142B369)	12/12/2011	12/18/2012	293.76	4,873.46	0.00	4,259.50	613 96
GS EMERGING MARKETS EQUITY FUND INSTITUTIONAL SHARES (38142B369)	12/13/2000	12/18/2012	403 87	6,700 20	0 00	3,599.19	3,101.01
GS EMERGING MARKETS EQUITY FUND INSTITUTIONAL SHARES (38142B369)	04/07/2000	12/18/2012	604.00	10,020 36	0 00	7,753.53	2,266 83
GS EMERGING MARKETS EQUITY FUND INSTITUTIONAL SHARES (38142B369)	12/11/2006	12/18/2012	2,536.10	42,073 85	0.00	60,000 00	(17,926 15)
GS EMERGING MARKETS EQUITY FUND INSTITUTIONAL SHARES (38142B369)	10/04/2000	12/18/2012	3,900.56	64,710 29	0 00	40,016 00	24,694 29
GS EMERGING MARKETS EQUITY FUND INSTITUTIONAL SHARES (38142B369)	05/03/2010	12/18/2012	7,768 80	128,884.39	0.00	125,000 00	3,884 39
GS EMERGING MARKETS EQUITY FUND INSTITUTIONAL SHARES (38142B369)	01/05/2009	12/18/2012	32,085.56	532,299.46	0.00	300,000.00	232,299 46
GS EMERGING MARKETS EQUITY FUND INSTITUTIONAL SHARES (38142B369)	03/24/2009	12/18/2012	34,129.69	566,211.61	0.00	300,000.00	266,211 61
HENDERSON LAND DEVELOPMENT CO LTD SPON ADR (425166303)	10/21/2008	12/18/2012	945.00 [9]	6,662 09	0.00	3,581 55	3,080 54
HONDA MTR LTD (AMER SHS) ADR CMN (438128308)	11/28/2008	12/18/2012	1,873 00 [9]	65,020 67	0 00	41,218 18	23,802.49
HSBC HOLDINGS PLC SPONSORED ADR CMN (404280406)	04/01/2009	12/18/2012	576.00 [9]	30,068 31	0 00	17,223.15	12,845 16
HUTCHISON WHAMPOA (ADR) ADR CMN (448415208)	12/17/2009	12/18/2012	280.00 [9]	5,823 86	0.00	3,626 56	2,197 30
ING GROEP N V SPONS ADR SPONSORED ADR CMN (456837103)	04/01/2009	12/18/2012	1,038 00 [9]	9,746 60	0 00	5,175 92	4,570 68
INTERNATIONAL CONSOLIDATED AIRLINES GROUP, S.A SPONSORED ADR CMN (459348108)	02/25/2009	12/18/2012	208 00 [9]	3,026 33	0 00	1,971.51	1,054 82

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds[5]	Total accretion (Amortization)	Cost Basis[4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
KUBOTA CORP ADR ADR CMN (501173207)	11/28/2008	12/18/2012	738.00[9]	41,650 45	0.00	21,564 14	20,086 31
KYOCERA CORP ADR ADR CMN (501556203)	02/25/2009	12/18/2012	43.00[9]	3,995.47	0.00	2,591 61	1,403 86
L'OREAL CO (ADR) ADR CMN (502117203)	10/08/2004	12/18/2012	110 00[9]	2,996 33	0 00	1,435.50	1,560 83
L'OREAL CO (ADR) ADR CMN (502117203)	10/14/2004	12/18/2012	121.00[9]	3,295.97	0.00	1,574.77	1,721 20
L'OREAL CO (ADR) ADR CMN (502117203)	10/12/2004	12/18/2012	179 00[9]	4,875.85	0.00	2,316 35	2,559.50
L'OREAL CO (ADR) ADR CMN (502117203)	10/13/2004	12/18/2012	413 00[9]	11,249 87	0.00	5,347.98	5,901 89
L'OREAL CO (ADR) ADR CMN (502117203)	09/23/2004	12/18/2012	1,130 00[9]	30,780 51	0.00	14,916 00	15,864 51
LUXOTTICA GROUP ADS(SPONSORED) REPRESENT THE RIGHT TO RECEIVE ONE ORDINARY SHARES (55068R202)	02/10/2010	12/18/2012	845.00[9]	34,745 53	0.00	20,954 82	13,790 71
LVMH MOET HENNESSY LOUIS VUITTON S A. ADR CMN (502441306)	02/10/2010	12/18/2012	310.00[9]	11,271 34	0.00	6,646 40	4,624.94
MACQUARIE GROUP LIMITED ADR CMN (55607P105)	04/01/2009	12/18/2012	423.00[9]	15,138 82	0.00	7,910 10	7,228.72
MARKS AND SPENCER P L.C SPONSORED ADR CMN (570912105)	02/25/2009	12/18/2012	1,135 00[9]	14,436 87	0 00	8,160 65	6,276 22
MARKS AND SPENCER P L C. SPONSORED ADR CMN (570912105)	11/28/2008	12/18/2012	1,922.00[9]	24,447 29	0.00	13,396 34	11,050.95
MITSUBISHI ESTATE LTD ADR ADR CMN (606783207)	02/25/2009	12/18/2012	1,040.00[9]	21,954.74	0.00	10,649 60	11,305.14
MITSUBISHI ESTATE LTD ADR ADR CMN (606783207)	04/01/2009	12/18/2012	1,314 00[9]	27,738 96	0.00	15,439.50	12,299.46
NOVARTIS AG-ADR SPONSORED ADR CMN (66987V109)	02/25/2009	12/18/2012	37.00[9]	2,363 13	0.00	1,406.37	956.76
POWER ASSETS HLDGS LTD SPONSORED ADR CMN (739197200)	11/28/2008	12/18/2012	4,478.00[9]	37,748.68	0.00	25,459.67	12,289.01
ROLLS-ROYCE GROUP PLC SPONSORED ADR CMN (775781206)	05/26/2010	12/18/2012	94 00[9]	6,712 38	0 00	3,844 60	2,867 78
SANOFI SPONSORED ADR CMN (80105N105)	11/28/2008	12/18/2012	1,335.00[9]	63,224 71	0.00	36,897 53	26,327 18
SAP AG (SPON ADR) (803054204)	12/17/2009	12/18/2012	997 00[9]	80,725 78	0.00	44,957.63	35,768 15
SCHNEIDER ELECTRIC SA UNSPONSORED ADR (FRANCE) (80687P106)	04/01/2009	12/18/2012	1,870 00[9]	27,207 88	0 00	12,697 30	14,510 58
SEKISUI HOMES LTD SPONSORED ADR CMN (816078307)	02/25/2009	12/18/2012	1,620.00[9]	16,637 02	0 00	10,724 40	5,912 62
SIEMENS AKTIENGESELLSCHAFT SPONSORED ADR CMN (826197501)	11/28/2008	12/18/2012	632 00[9]	69,123 20	0 00	37,567 03	31,556 17
SODEXO SPONSORED ADR CMN (833792104)	11/28/2008	12/18/2012	430.00[9]	36,347.08	0 00	21,694 66	14,652.42

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds[5]	Total accretion (Amortization)	Cost Basis[4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
STATOILHYDRO ASA SPONSORED ADR CMN (85771P102)	02/25/2009	12/18/2012	290.00[9]	7,212.13	0.00	4,773 05	2,439.08
SUN HUNG KAI PROP LTD SPON ADR SPONSORED ADR CMN (86676H302)	11/28/2008	12/18/2012	3,098.00[9]	46,376 01	0.00	24,629.10	21,746 91
SYNGENTA AG SPONSORED ADR CMN (87160A100)	05/26/2010	12/18/2012	5.00[9]	406.89	0.00	215 57	191.32
TELECOM CORP OF NEW ZEALAND 1 ADS REP 5 ORD SHS (879278208)	11/28/2008	12/18/2012	3,178.00[9]	29,681.85	0.00	15,926 58	13,755 27
UBS AG CMN (H89231338)	04/01/2009	12/18/2012	2,904.00[9]	48,355.45	0.00	28,273.63	20,081 82
UNILEVER N V NY SHS (NEW) ADR CMN (904784709)	11/28/2008	12/18/2012	1,571.00[9]	60,560 69	0.00	36,578 85	23,981.84
UNILEVER PLC (NEW) SPONSORED ADR CMN (904767704)	11/28/2008	12/18/2012	247.00[9]	9,706 88	0.00	5,653 58	4,053 30
UNITED UTILITIES GROUP PLC SPONSORED ADR CMN (91311E102)	04/01/2009	12/18/2012	330 00[9]	7,477 63	0.00	4,575 71	2,901 92
UNITED UTILITIES GROUP PLC SPONSORED ADR CMN (91311E102)	02/25/2009	12/18/2012	1,197 00[9]	27,123 41	0.00	17,428 32	9,695.09
UPM-KYMMENE OYJ SPONSORED ADR CMN (915436109)	02/25/2009	12/18/2012	676 00[9]	8,078 01	0.00	4,921 28	3,156.73
VOLKSWAGEN AKTIENGESELLSCHAFT SPONSORED ADR CMN (928662303)	02/10/2010	12/18/2012	938 00[9]	39,667.13	0.00	16,740 49	22,926 64
WOODSIDE PETROLEUM SPONS ADR (NEW) (980228308)	11/28/2008	12/18/2012	1,242.00[9]	44,390 07	0.00	29,088 26	15,301.81
GS HIGH YIELD MUNICIPAL FUND INSTITUTIONAL SHARES (38142Y583)	12/31/2008	12/19/2012	682.14[9]	6,425 72	0.00	4,624.88	1,800.84
GS HIGH YIELD MUNICIPAL FUND INSTITUTIONAL SHARES (38142Y583)	01/13/2009	12/19/2012	64,102.56[9]	603,846 15	0.00	450,000.00	153,846.15
Net NonCovered Long-Term Gains (Losses)				**3,682,035.92**	**0.00**	**2,259,653.32**	**1,422,382.60**

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Goldman Sachs

REALIZED GAINS AND LOSSES

Supplemental Information - Consult your Form 1099 for tax reporting purposes

REALIZED GAIN AND LOSS SUMMARY

SHORT-TERM GAINS (LOSSES)		LONG-TERM GAINS (LOSSES)		ORDINARY GAINS (LOSSES)	
Net Covered Short-Term Gains (Losses)	13,169 81	Net Covered Long-Term Gains (Losses)	29,120 32	Net Ordinary Gains (Losses)	0 00
Net NonCovered Short-Term Gains (Losses)	0 00	Net NonCovered Long-Term Gains (Losses)	5,083 84		
Net 1099B Non Reportable Short-Term Gains (Losses)	0 00	Net 1099B Non Reportable Long-Term Gains (Losses)	0 00		
Net Miscellaneous Short-Term Gains (Losses)	0 00	Net Miscellaneous Long-Term Gains (Losses)	0 00	Net Miscellaneous Ordinary Gains (Losses)	0 00
Net Regulated Futures Contract Short-Term Gains (Losses)	0 00	Net Regulated Futures Contract Long-Term Gains (Losses)	0 00		
Total Short-Term Gains (Losses)	**13,169.81**	**Total Long-Term Gains (Losses)**	**34,204.16**	**Total Ordinary Gains (Losses)**	**0.00**

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
CAMPBELL SOUP CO CMN (134429109)	11/01/2011	01/03/2012	55 00	1,815 95	0 00	1,809 34	6.61
CAMPBELL SOUP CO CMN (134429109)	10/31/2011	01/03/2012	179.00	5,910 11	0 00	5,985 51	(75 40)
CORNING INCORPORATED CMN (219350105)	11/18/2011	01/03/2012	13.00	169 95	0 00	196 95	(27 00)
CORNING INCORPORATED CMN (219350105)	07/06/2011	01/03/2012	34.00	444 49	0.00	621.15	(176.66)
CORNING INCORPORATED CMN (219350105)	03/28/2011	01/03/2012	38.00	496.77	0.00	770.45	(273.68)
CORNING INCORPORATED CMN (219350105)	03/28/2011	01/03/2012	57.00	745.16	0.00	1,139.60	(394.44)
CORNING INCORPORATED CMN (219350105)	03/28/2011	01/03/2012	224.00	2,928.36	0 00	4,495.95	(1,567 59)
CORNING INCORPORATED CMN (219350105)	08/09/2011	01/03/2012	985 00	12,876 95	0 00	13,696 23	(819.28)
CAMPBELL SOUP CO CMN (134429109)	11/07/2011	01/04/2012	3 00	98 18	0 00	101 50	(3 32)
CAMPBELL SOUP CO CMN (134429109)	11/01/2011	01/04/2012	204 00	6,676 44	0.00	6,711 01	(34.57)
CAMPBELL SOUP CO CMN (134429109)	11/08/2011	01/05/2012	5 00	159 85	0 00	170 01	(10 16)
CAMPBELL SOUP CO CMN (134429109)	11/07/2011	01/05/2012	216.00	6,905 73	0 00	7,308 21	(402 48)
CAMPBELL SOUP CO CMN (134429109)	11/18/2011	01/06/2012	25.00	785 79	0.00	837 31	(51 52)
CAMPBELL SOUP CO CMN (134429109)	11/08/2011	01/06/2012	197.00	6,192 02	0.00	6,698 49	(506 47)
FREEPORT-MCMORAN COPPER & GOLD CMN (35671D857)	07/05/2011	01/23/2012	6.00	262 42	0.00	339.47	(77.05)
FREEPORT-MCMORAN COPPER & GOLD CMN (35671D857)	12/05/2011	01/23/2012	52.00	2,274 28	0.00	2,094 56	179.72

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
FREEPORT-MCMORAN COPPER & GOLD CMN (35671D857)	07/05/2011	01/23/2012	291.00	12,727.19	0.00	15,609 18	(2,881.99)
RPC INC CMN (749660106)	08/29/2011	01/23/2012	64.00	1,118 49	0 00	2,630 66	(1,512 17)
RPC INC CMN (749660106) Disallowed Loss							1,512.17
RPC INC CMN (749660106)	08/29/2011	01/24/2012	2.00	35.14	0.00	82.21	(47.07)
RPC INC CMN (749660106) Disallowed Loss							47 07
RPC INC CMN (749660106)	08/29/2011	01/24/2012	5.00	87.83	0 00	188.61	(100 78)
RPC INC CMN (749660106) Disallowed Loss							100.78
RPC INC CMN (749660106)	08/29/2011	01/24/2012	11 00	193 25	0 00	520 06	(326 81)
RPC INC CMN (749660106) Disallowed Loss							326 81
RPC INC CMN (749660106)	08/29/2011	01/24/2012	20.00	351 35	0 00	935 64	(584 29)
RPC INC CMN (749660106) Disallowed Loss							584 29
RPC INC CMN (749660106)	08/29/2011	01/24/2012	22.00	386 49	0 00	830 52	(444 03)
RPC INC CMN (749660106) Disallowed Loss							444 03
RPC INC CMN (749660106)	08/29/2011	01/24/2012	35.00	614.87	0.00	1,463.69	(848.82)
RPC INC CMN (749660106) Disallowed Loss							848 82
RPC INC CMN (749660106)	08/29/2011	01/25/2012	2.00	32.86	0 00	87 64	(54 78)
RPC INC CMN (749660106) Disallowed Loss							54.78
RPC INC CMN (749660106)	08/29/2011	01/25/2012	4.00	65 71	0.00	189 11	(123 40)
RPC INC CMN (749660106) Disallowed Loss							123 40
RPC INC CMN (749660106)	08/29/2011	01/25/2012	5.00	82 15	0 00	202 34	(120 19)
RPC INC CMN (749660106) Disallowed Loss							120 19
RPC INC CMN (749660106)	08/29/2011	01/25/2012	12.00	197 15	0 00	489 78	(292 63)
RPC INC CMN (749660106) Disallowed Loss							292 63
RPC INC CMN (749660106)	08/29/2011	01/25/2012	17.00	279 30	0 00	791 96	(512.66)
RPC INC CMN (749660106) Disallowed Loss							512 66
RPC INC CMN (749660106)	08/29/2011	01/25/2012	64.00	1,051.49	0.00	2,810 38	(1,758 89)

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
RPC INC CMN (749660106) Disallowed Loss							1,758.89
RPC INC CMN (749660106)	08/29/2011	01/26/2012	4.00	61 33	0.00	205 92	(144.59)
RPC INC CMN (749660106) Disallowed Loss							144 59
RPC INC CMN (749660106)	08/29/2011	01/26/2012	5.00	76.65	0 00	203.93	(127 28)
RPC INC CMN (749660106) Disallowed Loss							127 28
RPC INC CMN (749660106)	08/29/2011	01/26/2012	5.00	76.66	0 00	204.08	(127 42)
RPC INC CMN (749660106) Disallowed Loss							127 42
RPC INC CMN (749660106)	08/29/2011	01/26/2012	8.00	122 65	0 00	403 00	(280 35)
RPC INC CMN (749660106) Disallowed Loss							280.35
RPC INC CMN (749660106)	08/29/2011	01/26/2012	9.00	137 99	0 00	457 10	(319 11)
RPC INC CMN (749660106) Disallowed Loss							319.11
RPC INC CMN (749660106)	08/29/2011	01/26/2012	9.00	137 99	0 00	429 31	(291 32)
RPC INC CMN (749660106) Disallowed Loss							291 32
RPC INC CMN (749660106)	08/29/2011	01/26/2012	11.00	168.66	0.00	553.77	(385.11)
RPC INC CMN (749660106) Disallowed Loss							385.11
RPC INC CMN (749660106)	08/29/2011	01/26/2012	20.00	306 64	0.00	996 93	(690 29)
RPC INC CMN (749660106) Disallowed Loss							690 29
RPC INC CMN (749660106)	08/29/2011	01/26/2012	35.00	536 62	0.00	1,570.94	(1,034 32)
RPC INC CMN (749660106) Disallowed Loss							1,034 32
RPC INC CMN (749660106)	08/29/2011	01/27/2012	2.00	30 62	0 00	95 22	(64.60)
RPC INC CMN (749660106) Disallowed Loss							64.60
RPC INC CMN (749660106)	08/30/2011	01/27/2012	3 00	45 92	0 00	86 22	(40 30)
RPC INC CMN (749660106) Disallowed Loss							40.30
RPC INC CMN (749660106)	08/29/2011	01/27/2012	4.00	61 23	0 00	225 17	(163 94)
RPC INC CMN (749660106) Disallowed Loss							163.94
RPC INC CMN (749660106)	08/29/2011	01/27/2012	5.00	76.54	0.00	221 28	(144.74)

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
RPC INC CMN (749660106) Disallowed Loss							144.74
RPC INC CMN (749660106)	08/29/2011	01/27/2012	5.00	76 54	0.00	228.51	(151.97)
RPC INC CMN (749660106) Disallowed Loss							151.97
RPC INC CMN (749660106)	08/29/2011	01/27/2012	5.00	76 54	0.00	228 37	(151 83)
RPC INC CMN (749660106) Disallowed Loss							151.83
RPC INC CMN (749660106)	08/29/2011	01/27/2012	5 00	76.54	0 00	248 85	(172.31)
RPC INC CMN (749660106) Disallowed Loss							172 31
RPC INC CMN (749660106)	08/29/2011	01/27/2012	8.00	122.47	0 00	441 51	(319 04)
RPC INC CMN (749660106) Disallowed Loss							319.04
RPC INC CMN (749660106)	08/29/2011	01/27/2012	9.00	137.77	0 00	500 41	(362 64)
RPC INC CMN (749660106) Disallowed Loss							362 64
RPC INC CMN (749660106)	08/29/2011	01/27/2012	9.00	137.77	0 00	472 63	(334 86)
RPC INC CMN (749660106) Disallowed Loss							334 86
RPC INC CMN (749660106)	08/29/2011	01/27/2012	11.00	168.39	0.00	606.71	(438.32)
RPC INC CMN (749660106) Disallowed Loss							438 32
RPC INC CMN (749660106)	08/29/2011	01/27/2012	12.00	183.70	0.00	535 25	(351 55)
RPC INC CMN (749660106) Disallowed Loss							351.55
RPC INC CMN (749660106)	08/29/2011	01/27/2012	20 00	306.16	0 00	1,093 19	(787 03)
RPC INC CMN (749660106) Disallowed Loss							787 03
RPC INC CMN (749660106)	08/29/2011	01/27/2012	30.00	459 24	0 00	1,490.91	(1,031 67)
RPC INC CMN (749660106) Disallowed Loss							1,031 67
RPC INC CMN (749660106)	08/30/2011	01/27/2012	49 00	750 10	0 00	1,440 07	(689 97)
RPC INC CMN (749660106) Disallowed Loss							689 97
RPC INC CMN (749660106)	08/29/2011	01/27/2012	55.00	841 94	0 00	2,623.56	(1,781.62)
RPC INC CMN (749660106) Disallowed Loss							1,781.62
FREEPORT-MCMORAN COPPER & GOLD CMN (35671D857)	12/05/2011	01/30/2012	303.00	13,866 07	0.00	12,204.84	1,661.23

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
KIMBERLY CLARK CORP CMN (494368103)	01/30/2012	02/21/2012	106 00	7,569.82	0 00	7,554.71	15 11
KIMBERLY CLARK CORP CMN (494368103)	01/30/2012	02/22/2012	108.00	7,686.53	0.00	7,697.26	(10.73)
FEDERATED INVESTORS, INC. CMN CLASS B (314211103)	03/28/2011	02/29/2012	94.00	1,929.47	0.00	2,479.36	(549.89)
ELI LILLY & CO CMN (532457108)	02/06/2012	03/05/2012	12.00	469 88	0.00	475.34	(5.46)
ELI LILLY & CO CMN (532457108)	07/14/2011	03/05/2012	145.00	5,677 70	0.00	5,406.61	271.09
ELI LILLY & CO CMN (532457108)	05/23/2011	03/05/2012	225.00	8,810 21	0.00	8,392.82	417.39
TJX COMPANIES INC (NEW) CMN (872540109)	03/28/2011	03/05/2012	214.00	7,990 85	0 00	5,278 36	2,712 49
ELI LILLY & CO CMN (532457108)	02/06/2012	03/06/2012	386 00	14,945 73	0 00	15,290 04	(344 31)
TJX COMPANIES INC (NEW) CMN (872540109)	03/28/2011	03/06/2012	237 00	8,735 35	0 00	5,845.66	2,889 69
ACCENTURE PLC CMN (G1151C101)	07/05/2011	03/20/2012	11.00	692 87	0 00	643.94	48 93
ACCENTURE PLC CMN (G1151C101)	07/14/2011	03/20/2012	249.00	15,684 17	0.00	15,176 47	507.70
WINDSTREAM CORPORATION CMN (97381W104)	03/28/2011	03/20/2012	577.00	6,942.10	0 00	7,552 93	(610 83)
WINDSTREAM CORPORATION CMN (97381W104)	03/28/2011	03/21/2012	598.00	7,201 52	0 00	7,827 82	(626 30)
WINDSTREAM CORPORATION CMN (97381W104)	03/28/2011	03/22/2012	595.00	7,138.98	0.00	7,788.55	(649 57)
FEDERATED INVESTORS, INC CMN CLASS B (314211103)	03/28/2011	03/23/2012	626.00	14,313 42	0.00	16,511 50	(2,198 08)
WINDSTREAM CORPORATION CMN (97381W104)	04/05/2011	03/23/2012	6 00	71.51	0.00	76.61	(5 10)
WINDSTREAM CORPORATION CMN (97381W104)	03/28/2011	03/23/2012	10.00	119.18	0.00	130.90	(11 72)
WINDSTREAM CORPORATION CMN (97381W104)	04/19/2011	03/23/2012	596.00	7,103 06	0.00	7,421.10	(318 04)
AFLAC INCORPORATED CMN (001055102)	03/28/2011	03/26/2012	258 00	12,019 43	0 00	13,704 96	(1,685 53)
EATON VANCE CORP (NON-VTG) CMN (278265103)	03/28/2011	03/26/2012	520 00	15,116 17	0 00	16,645.82	(1,529 65)
WADDELL & REED FIN , INC. CLASS A COMMON (930059100)	03/28/2011	03/26/2012	501.00	16,439 11	0.00	19,782.18	(3,343 07)
WINDSTREAM CORPORATION CMN (97381W104)	04/19/2011	03/26/2012	589 00	7,026 05	0 00	7,333.94	(307 89)
ACCENTURE PLC CMN (G1151C101)	07/14/2011	04/16/2012	7 00	434 67	0.00	426.65	8 02
ACCENTURE PLC CMN (G1151C101)	01/23/2012	04/16/2012	269.00	16,703 82	0.00	15,134.21	1,569 61
MARATHON OIL CORPORATION CMN (565849106)	09/26/2011	05/07/2012	1 00	26.38	0.00	22.66	3.72

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
MARATHON OIL CORPORATION CMN (565849106)	09/19/2011	05/07/2012	566.00	14,931 14	0.00	14,173 94	757 20
MARATHON OIL CORPORATION CMN (565849106)	11/18/2011	05/08/2012	6.00	155.28	0.00	157 98	(2.70)
MARATHON OIL CORPORATION CMN (565849106)	09/26/2011	05/08/2012	590.00	15,269 04	0.00	13,371 47	1,897.57
FREEPORT-MCMORAN COPPER & GOLD CMN (35671D857)	05/07/2012	05/21/2012	88.00	2,848 84	0 00	3,205 49	(356.65)
FREEPORT-MCMORAN COPPER & GOLD CMN (35671D857)	03/05/2012	05/21/2012	384.00	12,431 29	0.00	15,455 92	(3,024.63)
FREEPORT-MCMORAN COPPER & GOLD CMN (35671D857) Disallowed Loss							2,772 58
CAMPBELL SOUP CO CMN (134429109)	04/19/2012	05/30/2012	101 00	3,239 27	0 00	3,408.23	(168 96)
CAMPBELL SOUP CO CMN (134429109)	04/18/2012	05/30/2012	103 00	3,303 41	0 00	3,468 40	(164 99)
CAMPBELL SOUP CO CMN (134429109)	04/16/2012	05/30/2012	122.00	3,912.78	0.00	4,063 37	(150 59)
CAMPBELL SOUP CO CMN (134429109)	04/17/2012	05/30/2012	157.00	5,035 30	0.00	5,280 70	(245 40)
WATERS CORPORATION COMMON STOCK (941848103)	11/18/2011	05/30/2012	7.00	565 66	0.00	524 66	41 00
APPLE, INC CMN (037833100)	07/05/2011	06/18/2012	1.00	582.65	0.00	348 86	233 79
CATERPILLAR INC (DELAWARE) CMN (149123101)	03/19/2012	06/18/2012	4.00	345.15	0.00	454 91	(109.76)
CONOCOPHILLIPS CMN (20825C104)	05/07/2012	06/18/2012	1.00	54.99	0.00	53 28	1.71
DIAMOND OFFSHORE DRILLING, INC CMN (25271C102)	08/29/2011	06/18/2012	1 00	59 59	0.00	63.79	(4 20)
FREEPORT-MCMORAN COPPER & GOLD CMN (35671D857)	03/05/2012	06/18/2012	2 00	67.97	0.00	88.61	(20.64)
FREEPORT-MCMORAN COPPER & GOLD CMN (35671D857) Disallowed Loss							20.64
HALLIBURTON COMPANY CMN (406216101)	11/07/2011	06/18/2012	34 00	978 03	0.00	1,274 73	(296 70)
APPLE, INC. CMN (037833100)	07/05/2011	06/27/2012	26 00	14,920 83	0.00	9,070 27	5,850 56
HARRIS CORP CMN (413875105)	05/21/2012	06/27/2012	37.00	1,538 22	0 00	1,457 84	80 38
HARRIS CORP CMN (413875105)	05/21/2012	06/28/2012	39 00	1,593 32	0 00	1,536 64	56 68
HARRIS CORP CMN (413875105)	05/23/2012	06/28/2012	47 00	1,920 16	0.00	1,865 26	54 90
HARRIS CORP CMN (413875105)	05/22/2012	06/28/2012	77.00	3,145.80	0 00	3,049.75	96 05

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
KELLOGG COMPANY CMN (487836108)	01/23/2012	06/28/2012	6.00	292.15	0 00	305 10	(12 95)
CBOE HOLDINGS, INC. CMN (12503M108)	03/21/2012	06/29/2012	112.00	3,105 38	0 00	3,234.83	(129.45)
CBOE HOLDINGS, INC. CMN (12503M108)	03/20/2012	06/29/2012	118 00	3,271.74	0.00	3,379.76	(108.02)
CBOE HOLDINGS, INC. CMN (12503M108) Disallowed Loss							85.13
DISCOVER FINANCIAL SERVICES CMN (254709108)	03/20/2012	06/29/2012	151.00	5,184 30	0.00	4,832.32	351 98
HARRIS CORP CMN (413875105)	05/23/2012	06/29/2012	82.00	3,429 73	0 00	3,254.28	175 45
KELLOGG COMPANY CMN (487836108)	01/23/2012	06/29/2012	79.00	3,894 43	0 00	4,017 17	(122 74)
HARRIS CORP CMN (413875105)	05/23/2012	07/02/2012	80.00	3,320 72	0.00	3,174 91	145.81
KELLOGG COMPANY CMN (487836108)	01/23/2012	07/02/2012	13 00	641.11	0 00	661 05	(19 94)
KELLOGG COMPANY CMN (487836108)	01/24/2012	07/02/2012	65 00	3,205 56	0 00	3,287.73	(82.17)
ROSS STORES,INC CMN (778296103)	11/18/2011	07/02/2012	8 00	505 32	0 00	341 92	163 40
ROSS STORES,INC CMN (778296103)	03/05/2012	07/02/2012	70.00	4,421 56	0 00	3,829 15	592 41
CORE LABORATORIES N.V CMN (N22717107)	11/18/2011	07/03/2012	4.00	463.01	0.00	439 21	23.80
COLGATE-PALMOLIVE CO CMN (194162103)	09/07/2011	07/16/2012	7.00	733.94	0.00	638.18	95.76
COLGATE-PALMOLIVE CO CMN (194162103)	09/06/2011	07/16/2012	72.00	7,549.11	0.00	6,387 40	1,161 71
KELLOGG COMPANY CMN (487836108)	01/24/2012	07/16/2012	30.00	1,457.04	0.00	1,517 42	(60.38)
KELLOGG COMPANY CMN (487836108)	01/25/2012	07/16/2012	109.00	5,293 90	0 00	5,544.11	(250.21)
DIAMOND OFFSHORE DRILLING, INC CMN (25271C102)	08/29/2011	07/23/2012	120.00	7,775.22	0.00	7,655 05	120 17
DIAMOND OFFSHORE DRILLING, INC CMN (25271C102)	09/19/2011	07/24/2012	14.00	894 47	0.00	876 46	18 01
DIAMOND OFFSHORE DRILLING, INC CMN (25271C102)	08/29/2011	07/24/2012	105 00	6,708.53	0.00	6,698.17	10.36
CBOE HOLDINGS, INC CMN (12503M108)	03/20/2012	07/30/2012	77.00	2,201 66	0 00	2,220.40	(18 74)
DIAMOND OFFSHORE DRILLING, INC CMN (25271C102)	09/19/2011	07/30/2012	221.00	14,649.14	0 00	13,835 53	813.61
DISCOVER FINANCIAL SERVICES CMN (254709108)	03/20/2012	07/30/2012	154 00	5,595 00	0.00	4,928 32	666 68
HARRIS CORP CMN (413875105)	06/18/2012	07/30/2012	11.00	466 08	0 00	458 70	7.38
HARRIS CORP CMN (413875105)	05/23/2012	07/30/2012	21.00	889 78	0 00	833.41	56.37

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REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
HARRIS CORP CMN (413875105)	05/30/2012	07/30/2012	112.00	4,745.51	0.00	4,435.20	310 31
HARRIS CORP CMN (413875105)	06/01/2012	07/30/2012	130.00	5,508 18	0.00	5,104.58	403 60
HARRIS CORP CMN (413875105)	05/31/2012	07/30/2012	133.00	5,635.30	0 00	5,274 30	361 00
CBOE HOLDINGS, INC. CMN (12503M108)	03/22/2012	07/31/2012	1.00	28.42	0 00	29.05	(0 63)
CBOE HOLDINGS, INC. CMN (12503M108)	03/20/2012	07/31/2012	16.00	454.73	0.00	461.38	(6.65)
CBOE HOLDINGS, INC CMN (12503M108)	03/21/2012	07/31/2012	79.00	2,245.26	0.00	2,281.71	(36 45)
FREEPORT-MCMORAN COPPER & GOLD CMN (35671D857)	03/14/2012	08/06/2012	2.00	69 02	0 00	84 85	(15 83)
FREEPORT-MCMORAN COPPER & GOLD CMN (35671D857)	03/05/2012	08/06/2012	350 00	12,077.53	0 00	15,505 92	(3,428 39)
FREEPORT-MCMORAN COPPER & GOLD CMN (35671D857)	06/27/2012	08/06/2012	475 00	16,390 94	0.00	15,250 40	1,140 54
RPC INC CMN (749660106)	08/29/2011	08/06/2012	3 00	42.48	0 00	105 00	(62 52)
RPC INC CMN (749660106)	08/29/2011	08/06/2012	4 00	56 63	0 00	180 13	(123 50)
RPC INC CMN (749660106)	08/29/2011	08/06/2012	7 00	99 10	0 00	252 81	(153 71)
RPC INC CMN (749660106)	08/29/2011	08/06/2012	8.00	113.26	0.00	245.72	(132.46)
RPC INC CMN (749660106)	08/29/2011	08/06/2012	8.00	113.26	0.00	252 95	(139 69)
RPC INC CMN (749660106)	08/29/2011	08/06/2012	8 00	113 26	0.00	273 29	(160.03)
RPC INC CMN (749660106)	08/29/2011	08/06/2012	11.00	155.73	0 00	444.57	(288 84)
RPC INC CMN (749660106)	08/29/2011	08/06/2012	18.00	254 83	0 00	593.90	(339.07)
RPC INC CMN (749660106)	08/29/2011	08/06/2012	30 00	424 71	0 00	1,190.94	(766 23)
RPC INC CMN (749660106)	08/29/2011	08/06/2012	39.00	552.13	0 00	1,367 31	(815 18)
RPC INC CMN (749660106)	08/29/2011	08/06/2012	43 00	608 76	0 00	1,523.61	(914 85)
RPC INC CMN (749660106)	08/29/2011	08/06/2012	45 00	637 08	0 00	1,637 54	(1,000 46)
RPC INC CMN (749660106)	08/29/2011	08/07/2012	1.00	13.87	0 00	40 42	(26 55)
RPC INC CMN (749660106)	08/29/2011	08/07/2012	6 00	83.18	0 00	247 04	(163 86)
RPC INC CMN (749660106)	08/29/2011	08/07/2012	12.00	166 36	0 00	485 24	(318 88)
RPC INC CMN (749660106)	08/29/2011	08/07/2012	13.00	180.23	0.00	521.84	(341 61)

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
RPC INC CMN (749660106)	08/29/2011	08/07/2012	14.00	194 09	0 00	549.62	(355 53)
RPC INC CMN (749660106)	08/30/2011	08/07/2012	31.00	429 77	0 00	626.32	(196.55)
RPC INC CMN (749660106)	08/30/2011	08/07/2012	42 00	582 27	0 00	818.19	(235 92)
RPC INC CMN (749660106)	08/30/2011	08/07/2012	105.00	1,455 68	0.00	2,057.24	(601 56)
RPC INC CMN (749660106)	08/30/2011	08/08/2012	4.00	53 97	0 00	99 43	(45.46)
RPC INC CMN (749660106)	08/30/2011	08/08/2012	5 00	67 46	0 00	102 62	(35.16)
RPC INC CMN (749660106)	08/30/2011	08/08/2012	23 00	310 34	0 00	448 06	(137 72)
RPC INC CMN (749660106)	08/31/2011	08/08/2012	47.00	634 18	0 00	920 04	(285 86)
RPC INC CMN (749660106)	08/31/2011	08/08/2012	72.00	971 49	0 00	1,451 46	(479 97)
RPC INC CMN (749660106)	08/30/2011	08/08/2012	73.00	984.99	0 00	1,707 96	(722 97)
RPC INC CMN (749660106)	09/21/2011	08/09/2012	2 00	26 25	0 00	45 64	(19 39)
RPC INC CMN (749660106)	05/08/2012	08/09/2012	3.00	39 37	0 00	32 31	7.06
RPC INC CMN (749660106)	09/21/2011	08/09/2012	6.00	78.74	0.00	101.41	(22 67)
RPC INC CMN (749660106)	09/20/2011	08/09/2012	14.00	183.75	0 00	369.09	(185 34)
RPC INC CMN (749660106)	09/21/2011	08/09/2012	15.00	196 87	0.00	255 20	(58.33)
RPC INC CMN (749660106)	01/10/2012	08/09/2012	34.00	446.23	0.00	477.83	(31 60)
RPC INC CMN (749660106)	11/18/2011	08/09/2012	36 00	472.48	0.00	493 68	(21 20)
RPC INC CMN (749660106)	09/21/2011	08/09/2012	39.00	511.86	0 00	639 92	(128 06)
RPC INC CMN (749660106)	08/31/2011	08/09/2012	55.00	721 86	0.00	1,076 64	(354.78)
RPC INC CMN (749660106)	11/10/2011	08/09/2012	75 00	984 35	0 00	1,050.18	(65 83)
RPC INC CMN (749660106)	08/31/2011	08/09/2012	165.00	2,165 55	0 00	3,253.10	(1,087 55)
WALTER ENERGY INC CMN (93317Q105)	07/30/2012	08/13/2012	469.00	17,588 13	0 00	16,029 76	1,558 37
EXPRESS SCRIPTS HOLDINGS CMN (30219G108)	10/10/2011	09/10/2012	253 00	15,724 45	0.00	10,338 44	5,386 01
VALERO ENERGY CORPORATION CMN (91913Y100)	06/18/2012	09/17/2012	41.00	1,327.98	0.00	893 84	434.14
VALERO ENERGY CORPORATION CMN (91913Y100)	11/07/2011	09/17/2012	560 00	18,138.22	0.00	14,518 34	3,619 88

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REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
VALERO ENERGY CORPORATION CMN (91913Y100)	01/03/2012	09/17/2012	671.00	21,733.47	0.00	14,069 06	7,664 41
WHITING PETROLEUM CORPORATION CMN (966387102)	06/27/2012	09/17/2012	61.00	3,161.38	0 00	2,299.10	862 28
WHITING PETROLEUM CORPORATION CMN (966387102)	06/28/2012	09/17/2012	438.00	22,699.72	0.00	16,596.00	6,103 72
WHITING PETROLEUM CORPORATION CMN (966387102)	08/09/2012	09/18/2012	98.00	4,839 91	0.00	4,408.36	431.55
WHITING PETROLEUM CORPORATION CMN (966387102)	08/07/2012	09/18/2012	99.00	4,889 29	0.00	4,390 93	498.36
WHITING PETROLEUM CORPORATION CMN (966387102)	08/08/2012	09/18/2012	99.00	4,889 29	0.00	4,426 94	462.35
WHITING PETROLEUM CORPORATION CMN (966387102)	08/06/2012	09/18/2012	100.00	4,938 68	0 00	4,240 94	697 74
WHITING PETROLEUM CORPORATION CMN (966387102)	06/28/2012	09/18/2012	104.00	5,136 22	0.00	3,940.60	1,195 62
CBOE HOLDINGS, INC CMN (12503M108)	03/23/2012	10/08/2012	4.00	118 50	0 00	117 53	0 97
CBOE HOLDINGS, INC CMN (12503M108)	03/22/2012	10/08/2012	138 00	4,088 00	0 00	4,008 62	79 38
DISCOVER FINANCIAL SERVICES CMN (254709108)	03/20/2012	10/08/2012	167.00	6,722 88	0 00	5,344 35	1,378 53
EXPRESS SCRIPTS HOLDINGS CMN (30219G108)	10/10/2011	10/08/2012	68 00	4,357 51	0 00	2,778 71	1,578.80
EXPRESS SCRIPTS HOLDINGS CMN (30219G108)	10/17/2011	10/08/2012	95.00	6,087 71	0.00	3,800.40	2,287.31
EXPRESS SCRIPTS HOLDINGS CMN (30219G108)	10/17/2011	10/09/2012	169.00	10,647 49	0.00	6,760 71	3,886 78
COLGATE-PALMOLIVE CO CMN (194162103)	11/18/2011	10/19/2012	12.00	1,299 86	0.00	1,064.82	235.04
COLGATE-PALMOLIVE CO CMN (194162103)	09/04/2012	10/19/2012	121 00	13,106.96	0.00	12,937.45	169.51
SUPERIOR ENERGY SERVICES INC CMN (868157108)	09/17/2012	10/19/2012	523.00	10,946.24	0.00	12,493 11	(1,546.87)
SUPERIOR ENERGY SERVICES INC CMN (868157108) Disallowed Loss							1,251 10
SUPERIOR ENERGY SERVICES INC CMN (868157108)	09/17/2012	10/22/2012	201 00	4,124 17	0 00	4,801 37	(677 20)
SUPERIOR ENERGY SERVICES INC CMN (868157108)	09/17/2012	10/22/2012	321.00	6,586 35	0.00	7,213.73	(627 38)
EXPRESS SCRIPTS HOLDINGS CMN (30219G108)	06/18/2012	10/31/2012	4.00	246 09	0 00	214 36	31 73
EXPRESS SCRIPTS HOLDINGS CMN (30219G108)	10/05/2012	10/31/2012	129.00	7,936 39	0.00	8,514 00	(577 61)
KRONOS WORLDWIDE INC CMN (50105F105)	07/30/2012	10/31/2012	35 00	472 72	0 00	569 18	(96.46)
KRONOS WORLDWIDE INC CMN (50105F105) Disallowed Loss							96.46
KRONOS WORLDWIDE INC CMN (50105F105)	07/30/2012	11/01/2012	283.00	3,801.93	0.00	4,602.23	(800.30)

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REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
KRONOS WORLDWIDE INC CMN (50105F105) Disallowed Loss							800 30
KRONOS WORLDWIDE INC CMN (50105F105)	07/30/2012	11/02/2012	14.00	187.27	0.00	227 67	(40 40)
KRONOS WORLDWIDE INC CMN (50105F105) Disallowed Loss							31 74
KRONOS WORLDWIDE INC CMN (50105F105)	07/30/2012	11/02/2012	35.00	468.18	0.00	630.84	(162 66)
KRONOS WORLDWIDE INC CMN (50105F105)	07/31/2012	11/02/2012	150.00	2,006.50	0.00	2,538.12	(531.62)
KRONOS WORLDWIDE INC CMN (50105F105)	07/30/2012	11/02/2012	283.00	3,785 60	0.00	5,121.17	(1,335 57)
KRONOS WORLDWIDE INC CMN (50105F105)	07/30/2012	11/05/2012	11.00	143 34	0.00	199 69	(56 35)
KRONOS WORLDWIDE INC CMN (50105F105)	07/31/2012	11/05/2012	179 00	2,332 58	0 00	3,028 82	(696 24)
KRONOS WORLDWIDE INC CMN (50105F105)	08/01/2012	11/05/2012	331.00	4,313 32	0 00	5,909 67	(1,596 35)
NEWFIELD EXPLORATION CO CMN (651290108)	06/28/2012	11/20/2012	107.00	2,555.27	0 00	2,910.17	(354 90)
NEWFIELD EXPLORATION CO CMN (651290108)	06/27/2012	11/20/2012	177.00	4,226 94	0 00	4,625 08	(398 14)
NU SKIN ENTERPRISES INC CMN CLASS A (67018T105)	10/31/2012	11/20/2012	170.00	7,353 72	0.00	7,970.48	(616 76)
SUPERIOR ENERGY SERVICES INC CMN (868157108)	09/17/2012	11/20/2012	102.00	1,905.07	0.00	2,292.21	(387.14)
SUPERIOR ENERGY SERVICES INC CMN (868157108)	09/18/2012	11/20/2012	171.00	3,193.79	0.00	4,024.38	(830.59)
NEWFIELD EXPLORATION CO. CMN (651290108)	06/29/2012	11/21/2012	58 00	1,388 34	0.00	1,702.08	(313.74)
NEWFIELD EXPLORATION CO. CMN (651290108)	06/28/2012	11/21/2012	227.00	5,433 66	0 00	6,173.90	(740.24)
NU SKIN ENTERPRISES INC CMN CLASS A (67018T105)	10/31/2012	11/21/2012	170.00	7,472 15	0.00	7,970.48	(498 33)
SUPERIOR ENERGY SERVICES INC CMN (868157108)	09/18/2012	11/21/2012	274.00	5,165 82	0 00	6,448 42	(1,282 60)
NEWFIELD EXPLORATION CO. CMN (651290108)	07/02/2012	11/23/2012	2 00	48 11	0 00	58 02	(9 91)
NEWFIELD EXPLORATION CO CMN (651290108)	06/29/2012	11/23/2012	275 00	6,615 45	0 00	8,070.20	(1,454.75)
NU SKIN ENTERPRISES INC CMN CLASS A (67018T105)	10/31/2012	11/23/2012	164.00	7,277 79	0.00	7,689.17	(411.38)
SUPERIOR ENERGY SERVICES INC CMN (868157108)	09/18/2012	11/23/2012	274 00	5,209 17	0.00	6,448 42	(1,239.25)
Net Covered Short-Term Disallowed Losses							**22,170.65**
Net Covered Short-Term Gains (Losses)				**787,676.95**	**0.00**	**796,677.79**	**13,169.81**

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Realized and unrealized gains and loss values do not include securities for which cost basis is unavailable The recognition of any loss reflected herein may be disallowed or deferred by the application of capital loss limitations, wash sale rules or other special tax rules, and you are strongly urged to consult your own outside tax and other advisors about this and all tax-related matters addressed in any way herein All information regarding the cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account(s) were provided by you and/or a third party and Goldman Sachs makes no representation as to the accuracy and completeness of such information and it should not be relied upon as such

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds[5]	Total accretion (Amortization)	Cost Basis[4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
WATERS CORPORATION COMMON STOCK (941848103)	03/28/2011	04/16/2012	48 00	4,177.29	0.00	4,120 08	57 21
WATERS CORPORATION COMMON STOCK (941848103)	03/28/2011	04/17/2012	49.00	4,342 43	0.00	4,205 91	136.52
WATERS CORPORATION COMMON STOCK (941848103)	03/28/2011	04/18/2012	32.00	2,828 05	0.00	2,746 72	81.33
WATERS CORPORATION COMMON STOCK (941848103)	03/28/2011	04/19/2012	32.00	2,814 85	0 00	2,746.72	68.13
WATERS CORPORATION COMMON STOCK (941848103)	03/28/2011	04/20/2012	33.00	2,934.23	0 00	2,832 55	101.68
TJX COMPANIES INC (NEW) CMN (872540109)	03/28/2011	05/07/2012	364.00	15,152 40	0.00	8,978.15	6,174 25
COPA HOLDINGS, S A CMN CLASS A (P31076105)	03/28/2011	05/08/2012	21 00	1,651 95	0.00	1,090 22	561 73
COPA HOLDINGS, S A CMN CLASS A (P31076105)	03/28/2011	05/09/2012	52.00	4,025 74	0 00	2,699 59	1,326 15
COPA HOLDINGS, S A CMN CLASS A (P31076105)	03/28/2011	05/10/2012	49 00	4,162 40	0 00	2,543 84	1,618 56
COPA HOLDINGS, S A CMN CLASS A (P31076105)	03/28/2011	05/11/2012	75 00	6,360 88	0 00	3,893 63	2,467.25
WATERS CORPORATION COMMON STOCK (941848103)	03/28/2011	05/21/2012	66.00	5,442 64	0.00	5,665 10	(222 46)
WATERS CORPORATION COMMON STOCK (941848103)	03/28/2011	05/22/2012	58.00	4,866 08	0.00	4,978 42	(112 34)
WATERS CORPORATION COMMON STOCK (941848103)	03/28/2011	05/23/2012	62.00	5,047 72	0.00	5,321.76	(274.04)
GILEAD SCIENCES CMN (375558103)	03/28/2011	05/30/2012	137 00	6,923.40	0 00	5,751.26	1,172.14
KELLOGG COMPANY CMN (487836108)	03/28/2011	05/30/2012	310.00	15,376.43	0.00	16,721.40	(1,344.97)
WATERS CORPORATION COMMON STOCK (941848103)	03/28/2011	05/30/2012	100 00	8,080 90	0.00	8,583 49	(502.59)
ALTRIA GROUP, INC. CMN (02209S103)	03/28/2011	06/18/2012	17.00	576 85	0 00	444 38	132.47
CHEVRON CORPORATION CMN (166764100)	03/28/2011	06/18/2012	3.00	310 88	0 00	319 56	(8 68)
CLIFFS NATURAL RESOURCES INC CMN (18683K101)	03/28/2011	06/18/2012	2.00	97 03	0.00	192 13	(95 10)
CLIFFS NATURAL RESOURCES INC CMN (18683K101) Disallowed Loss							95 10
COACH INC CMN (189754104)	03/28/2011	06/18/2012	9.00	539 62	0 00	463 50	76 12
COLGATE-PALMOLIVE CO CMN (194162103)	03/28/2011	06/18/2012	2.00	202 43	0 00	161.16	41 27
ENDO HEALTH SOLUTIONS INC CMN (29264F205)	03/28/2011	06/18/2012	8 00	240 79	0.00	279 41	(38 62)
GILEAD SCIENCES CMN (375558103)	03/28/2011	06/18/2012	6.00	300.29	0.00	251.88	48.41
HERBALIFE LTD. CMN (G4412G101)	03/28/2011	06/18/2012	3.00	140 84	0 00	122.48	18.36

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
INTL BUSINESS MACHINES CORP CMN (459200101)	03/28/2011	06/18/2012	1.00	198 69	0.00	161 83	36.86
KELLOGG COMPANY CMN (487836108)	03/28/2011	06/18/2012	14.00	691 58	0 00	755 16	(63 58)
LOCKHEED MARTIN CORPORATION CMN (539830109)	06/06/2011	06/18/2012	2.00	168 53	0 00	154.60	13.93
PHILIP MORRIS INTL INC CMN (718172109)	03/28/2011	06/18/2012	1 00	88.48	0 00	65 39	23 09
TJX COMPANIES INC (NEW) CMN (872540109)	03/28/2011	06/18/2012	10 00	428 39	0.00	246 65	181 74
CORE LABORATORIES N V CMN (N22717107)	05/16/2011	06/27/2012	32.00	3,658 34	0.00	2,999 61	658.73
EXXON MOBIL CORPORATION CMN (30231G102)	03/28/2011	06/27/2012	195.00	16,233 93	0 00	16,321 50	(87.57)
EXXON MOBIL CORPORATION CMN (30231G102) Disallowed Loss							1 35
KELLOGG COMPANY CMN (487836108)	03/28/2011	06/27/2012	60 00	2,918 97	0.00	3,236 40	(317 43)
ROSS STORES,INC CMN (778296103)	06/13/2011	06/27/2012	92 00	5,849 00	0.00	3,591 98	2,257 02
CORE LABORATORIES N V CMN (N22717107)	05/17/2011	06/28/2012	29.00	3,242 48	0 00	2,757 46	485.02
CORE LABORATORIES N V. CMN (N22717107)	05/16/2011	06/28/2012	51.00	5,702 31	0 00	4,780.63	921.68
KELLOGG COMPANY CMN (487836108)	03/28/2011	06/28/2012	73.00	3,554 55	0.00	3,937 62	(383.07)
ROSS STORES,INC CMN (778296103)	06/13/2011	06/28/2012	122.00	7,438 45	0.00	4,763.28	2,675 17
AFLAC INCORPORATED CMN (001055102)	03/28/2011	06/29/2012	47.00	1,994 08	0.00	2,496 64	(502 56)
AFLAC INCORPORATED CMN (001055102) Disallowed Loss							502.56
CORE LABORATORIES N V. CMN (N22717107)	05/17/2011	06/29/2012	44.00	5,068.93	0.00	4,183.74	885 19
EATON VANCE CORP (NON-VTG) CMN (278265103)	03/28/2011	06/29/2012	250.00	6,688 30	0 00	8,002 80	(1,314 50)
EATON VANCE CORP (NON-VTG) CMN (278265103) Disallowed Loss							788 70
FEDERATED INVESTORS, INC CMN CLASS B (314211103)	03/28/2011	06/29/2012	238 00	5,122 12	0 00	6,277 54	(1,155 42)
FEDERATED INVESTORS, INC. CMN CLASS B (314211103) Disallowed Loss							475 76
ROSS STORES,INC CMN (778296103)	06/13/2011	06/29/2012	124.00	7,744.33	0 00	4,841.36	2,902.97
WADDELL & REED FIN , INC CLASS A COMMON (930059100)	03/28/2011	06/29/2012	183 00	5,497 03	0.00	7,225 83	(1,728 80)
WADDELL & REED FIN , INC CLASS A COMMON (930059100) Disallowed Loss							1,001.38

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
CORE LABORATORIES N V. CMN (N22717107)	05/17/2011	07/02/2012	10.00	1,133 35	0 00	950 85	182.50
CORE LABORATORIES N.V. CMN (N22717107)	06/14/2011	07/02/2012	40 00	4,533 38	0.00	4,167.59	365.79
CORE LABORATORIES N.V CMN (N22717107)	06/13/2011	07/02/2012	76.00	8,613 44	0.00	7,668 92	944.52
ROSS STORES,INC CMN (778296103)	06/13/2011	07/02/2012	40.00	2,526 60	0.00	1,561 73	964 87
CORE LABORATORIES N.V CMN (N22717107)	06/14/2011	07/03/2012	27.00	3,125.32	0.00	2,813.13	312.19
COLGATE-PALMOLIVE CO CMN (194162103)	03/28/2011	07/16/2012	124 00	13,001.24	0 00	9,991 92	3,009 32
AFLAC INCORPORATED CMN (001055102)	03/28/2011	07/30/2012	133.00	5,821 46	0 00	7,064.96	(1,243 50)
EATON VANCE CORP (NON-VTG) CMN (278265103)	03/28/2011	07/30/2012	171.00	4,571 64	0 00	5,473 92	(902 28)
FEDERATED INVESTORS, INC CMN CLASS B (314211103)	03/28/2011	07/30/2012	168 00	3,356 59	0 00	4,431.20	(1,074 61)
WADDELL & REED FIN , INC CLASS A COMMON (930059100)	03/28/2011	07/30/2012	158 00	4,691 23	0 00	6,238 69	(1,547 46)
CLIFFS NATURAL RESOURCES INC. CMN (18683K101)	03/28/2011	08/06/2012	94.00	4,024 98	0 00	9,030 02	(5,005 04)
MICROSOFT CORPORATION CMN (594918104)	04/19/2011	08/28/2012	219.00	6,723 43	0.00	5,494 23	1,229 20
AFLAC INCORPORATED CMN (001055102)	03/28/2011	10/08/2012	88.00	4,278 37	0.00	4,674.56	(396.19)
AFLAC INCORPORATED CMN (001055102) Disallowed Loss							396.19
EATON VANCE CORP (NON-VTG) CMN (278265103)	03/28/2011	10/08/2012	45.00	1,307 09	0.00	1,383.95	(76.86)
EATON VANCE CORP (NON-VTG) CMN (278265103) Disallowed Loss							76.86
EATON VANCE CORP (NON-VTG) CMN (278265103)	03/28/2011	10/08/2012	130.00	3,776 07	0.00	4,161 46	(385 39)
EATON VANCE CORP (NON-VTG) CMN (278265103) Disallowed Loss							385.39
FEDERATED INVESTORS, INC. CMN CLASS B (314211103)	04/26/2011	10/08/2012	3 00	62 74	0.00	79 75	(17 01)
FEDERATED INVESTORS, INC CMN CLASS B (314211103) Disallowed Loss							17.01
FEDERATED INVESTORS, INC. CMN CLASS B (314211103)	03/28/2011	10/08/2012	98.00	2,049 61	0.00	2,593 35	(543 74)
FEDERATED INVESTORS, INC. CMN CLASS B (314211103) Disallowed Loss							543 74
FEDERATED INVESTORS, INC. CMN CLASS B (314211103)	03/28/2011	10/08/2012	187.00	3,911.00	0.00	4,932 35	(1,021.35)

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REALIZED GAINS AND LOSSES (Continued)

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
FEDERATED INVESTORS, INC. CMN CLASS B (314211103) Disallowed Loss							1,021.35
WADDELL & REED FIN , INC. CLASS A COMMON (930059100)	03/28/2011	10/08/2012	15 00	477.36	0.00	588 83	(111 47)
WADDELL & REED FIN , INC. CLASS A COMMON (930059100) Disallowed Loss							111 47
WADDELL & REED FIN , INC. CLASS A COMMON (930059100)	03/28/2011	10/08/2012	128 00	4,073.45	0.00	5,054.13	(980 68)
WADDELL & REED FIN., INC CLASS A COMMON (930059100) Disallowed Loss							980 68
COLGATE-PALMOLIVE CO CMN (194162103)	09/07/2011	10/19/2012	61.00	6,607 64	0.00	5,561 29	1,046 35
EXPRESS SCRIPTS HOLDINGS CMN (30219G108)	10/17/2011	10/31/2012	104 00	6,398 33	0 00	4,160 44	2,237 89
COPA HOLDINGS, S.A. CMN CLASS A (P31076105)	03/28/2011	11/26/2012	212.00	19,770 48	0.00	11,006 00	8,764 48
Net Covered Long-Term Disallowed Losses							**6,397.54**
Net Covered Long-Term Gains (Losses)				**293,719.41**	**0.00**	**270,996.63**	**29,120.32**
NonCovered Long-Term Gains (Losses)							
MICROSOFT CORPORATION CMN (594918104)	07/10/2009	05/07/2012	13.00	399 59	0.00	290.54	109 05
MICROSOFT CORPORATION CMN (594918104)	07/30/2009	05/07/2012	40.00	1,229 50	0.00	961.91	267.59
MICROSOFT CORPORATION CMN (594918104)	08/06/2009	05/07/2012	54 00	1,659 83	0 00	1,271 71	388 12
MICROSOFT CORPORATION CMN (594918104)	07/29/2009	05/07/2012	60.00	1,844 25	0.00	1,413.78	430.47
MICROSOFT CORPORATION CMN (594918104)	07/14/2009	05/07/2012	78.00	2,397 53	0.00	1,796 32	601.21
MICROSOFT CORPORATION CMN (594918104)	08/13/2009	05/07/2012	82 00	2,520 47	0.00	1,939 66	580.81
MICROSOFT CORPORATION CMN (594918104)	07/13/2009	05/07/2012	82.00	2,520 48	0 00	1,871 35	649 13
MICROSOFT CORPORATION CMN (594918104)	07/15/2009	05/07/2012	87.00	2,674 16	0.00	2,075 86	598 30
MICROSOFT CORPORATION CMN (594918104)	08/13/2009	06/18/2012	33.00	981.72	0 00	780 60	201.12
MICROSOFT CORPORATION CMN (594918104)	11/02/2009	08/27/2012	40.00	1,232 41	0 00	1,114.70	117.71
MICROSOFT CORPORATION CMN (594918104)	01/29/2010	08/27/2012	42.00	1,294.03	0.00	1,194 75	99.28

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
MICROSOFT CORPORATION CMN (594918104)	08/13/2009	08/27/2012	43.00	1,324 84	0.00	1,017 14	307.70
MICROSOFT CORPORATION CMN (594918104)	10/29/2009	08/27/2012	47.00	1,448 08	0.00	1,325 72	122.36
MICROSOFT CORPORATION CMN (594918104)	08/21/2009	08/27/2012	82.00	2,526.44	0.00	1,973.37	553.07
MICROSOFT CORPORATION CMN (594918104)	01/29/2010	08/28/2012	1.00	30 70	0.00	28.45	2.25
MICROSOFT CORPORATION CMN (594918104)	03/10/2010	08/28/2012	32.00	982 42	0.00	926.75	55.67
Net NonCovered Long-Term Gains (Losses)				**25,066.45**	**0.00**	**19,982.61**	**5,083.84**

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REALIZED GAIN AND LOSS SUMMARY

SHORT-TERM GAINS (LOSSES)		**LONG-TERM GAINS (LOSSES)**		**ORDINARY GAINS (LOSSES)**	
Net Covered Short-Term Gains (Losses)	31,204 59	Net Covered Long-Term Gains (Losses)	71,929 70	Net Ordinary Gains (Losses)	0 00
Net NonCovered Short-Term Gains (Losses)	0 00	Net NonCovered Long-Term Gains (Losses)	108,470 83		
Net 1099B Non Reportable Short-Term Gains (Losses)	0 00	Net 1099B Non Reportable Long-Term Gains (Losses)	0 00		
Net Miscellaneous Short-Term Gains (Losses)	0 00	Net Miscellaneous Long-Term Gains (Losses)	0 00	Net Miscellaneous Ordinary Gains (Losses)	0 00
Net Regulated Futures Contract Short-Term Gains (Losses)	0 00	Net Regulated Futures Contract Long-Term Gains (Losses)	0 00		
Total Short-Term Gains (Losses)	**31,204.59**	**Total Long-Term Gains (Losses)**	**180,400.53**	**Total Ordinary Gains (Losses)**	**0.00**

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	**Date Acquired or Sold Short**	**Date Sold or Covered**	**Quantity**	**Sale Proceeds [5]**	**Total accretion (Amortization)**	**Cost Basis [4]**	**Total Gain (Loss)**
Covered Short-Term Gains (Losses)							
3M COMPANY CMN (88579Y101)	03/28/2011	01/11/2012	89.00	7,430 33	0 00	8,235 74	(805 41)
3M COMPANY CMN (88579Y101) Disallowed Loss							805 41
3M COMPANY CMN (88579Y101)	03/28/2011	01/11/2012	185.00	15,445.08	0 00	17,111 43	(1,666 35)
3M COMPANY CMN (88579Y101) Disallowed Loss							1,666.35
3M COMPANY CMN (88579Y101)	03/28/2011	01/12/2012	217.00	18,235.78	0 00	20,071 24	(1,835.46)
3M COMPANY CMN (88579Y101) Disallowed Loss							1,835.46
STARBUCKS CORP CMN (855244109)	08/11/2011	01/13/2012	147.00	6,938.59	0.00	5,403.74	1,534.85
STARBUCKS CORP. CMN (855244109)	08/10/2011	01/13/2012	188.00	8,873 84	0 00	6,650.20	2,223.64
TIFFANY & CO CMN (886547108)	12/27/2011	01/18/2012	68.00	4,093 64	0.00	4,582 65	(489.01)
TIFFANY & CO CMN (886547108)	01/05/2012	01/18/2012	119 00	7,163 86	0 00	7,808 89	(645.03)
TIFFANY & CO CMN (886547108)	12/30/2011	01/18/2012	119 00	7,163 87	0 00	7,985 52	(821 65)
TIFFANY & CO CMN (886547108)	12/28/2011	01/18/2012	150 00	9,030 08	0 00	10,029.62	(999 54)
NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS) (641069406)	09/23/2011	01/23/2012	125 00	7,146 20	0.00	6,729 88	416 32
NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS) (641069406)	12/30/2011	01/23/2012	187 00	10,690 71	0.00	10,752 50	(61 79)
NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS) (641069406) Disallowed Loss							61 79

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REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS) (641069406)	03/28/2011	01/23/2012	554.00	31,671.96	0 00	31,517.06	154 90
STARBUCKS CORP. CMN (855244109)	08/18/2011	01/23/2012	28 00	1,322.19	0.00	959 16	363 03
STARBUCKS CORP. CMN (855244109)	08/11/2011	01/23/2012	232.00	10,955.31	0.00	8,528 34	2,426 97
YUM BRANDS, INC. CMN (988498101)	08/03/2011	01/23/2012	99.00	6,139.37	0.00	4,998.91	1,140 46
YUM BRANDS, INC. CMN (988498101)	08/10/2011	01/23/2012	112.00	6,945.55	0 00	5,491.36	1,454 19
3M COMPANY CMN (88579Y101)	03/28/2011	01/24/2012	21.00	1,795.60	0 00	1,905.59	(109 99)
3M COMPANY CMN (88579Y101)	03/28/2011	01/24/2012	79 00	6,754 86	0.00	7,307 04	(552 18)
3M COMPANY CMN (88579Y101) Disallowed Loss							552 18
3M COMPANY CMN (88579Y101)	03/28/2011	01/24/2012	89 00	7,609 91	0 00	8,128.67	(518 76)
3M COMPANY CMN (88579Y101) Disallowed Loss							518 76
3M COMPANY CMN (88579Y101)	03/28/2011	01/24/2012	185.00	15,818 35	0.00	16,888 86	(1,070 51)
3M COMPANY CMN (88579Y101) Disallowed Loss							358 77
ROVI CORPORATION CMN (779376102)	05/12/2011	01/24/2012	74.00	2,207.41	0 00	4,371.55	(2,164.14)
ROVI CORPORATION CMN (779376102)	03/28/2011	01/24/2012	327.00	9,754.39	0.00	18,289 11	(8,534 72)
NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS) (641069406)	12/30/2011	01/26/2012	183.00	10,627.30	0.00	10,522.50	104 80
NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS) (641069406)	01/05/2012	01/26/2012	183.00	10,627.30	0.00	10,447.47	179.83
NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS) (641069406)	12/30/2011	01/26/2012	187 00	10,859 59	0.00	10,737 62	121 97
NIKE CLASS-B CMN CLASS B (654106103)	08/10/2011	02/02/2012	52.00	5,354 48	0.00	4,257.93	1,096 55
NIKE CLASS-B CMN CLASS B (654106103)	06/28/2011	02/02/2012	72 00	7,413 89	0 00	6,291 67	1,122 22
STARBUCKS CORP CMN (855244109)	08/18/2011	02/02/2012	111 00	5,278 84	0 00	3,802 40	1,476 44
STARBUCKS CORP CMN (855244109)	08/19/2011	02/02/2012	193 00	9,178 51	0 00	6,781.88	2,396 63
YUM BRANDS, INC CMN (988498101)	08/17/2011	02/02/2012	92.00	5,864 73	0 00	4,685 14	1,179.59
YUM BRANDS, INC CMN (988498101)	08/10/2011	02/02/2012	112.00	7,139 66	0 00	5,491.36	1,648 30
EXPEDITORS INTL WASH INC CMN (302130109)	03/28/2011	02/03/2012	44.00	1,950.76	0.00	2,122.56	(171 80)
EXPEDITORS INTL WASH INC CMN (302130109) Disallowed Loss							171.80

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
STARBUCKS CORP CMN (855244109)	08/19/2011	02/03/2012	46 00	2,223 26	0.00	1,616 41	606 85
STARBUCKS CORP CMN (855244109)	12/30/2011	02/03/2012	212.00	10,246.31	0.00	9,807.52	438.79
3M COMPANY CMN (88579Y101)	03/28/2011	02/08/2012	6.00	527.37	0 00	533 15	(5 78)
3M COMPANY CMN (88579Y101)	03/28/2011	02/08/2012	65.00	5,713 27	0.00	5,898.24	(184 97)
3M COMPANY CMN (88579Y101)	03/28/2011	02/08/2012	79 00	6,943.82	0 00	7,114.91	(171.09)
3M COMPANY CMN (88579Y101)	03/28/2011	02/08/2012	89 00	7,822.78	0 00	7,912.21	(89 43)
3M COMPANY CMN (88579Y101)	03/28/2011	02/08/2012	131.00	11,514 44	0.00	11,990 54	(476 10)
3M COMPANY CMN (88579Y101)	05/11/2011	02/10/2012	45.00	3,918 47	0.00	4,295 00	(376 53)
3M COMPANY CMN (88579Y101)	04/26/2011	02/10/2012	49 00	4,266 78	0.00	4,664 10	(397.32)
3M COMPANY CMN (88579Y101)	03/28/2011	02/10/2012	56 00	4,876 32	0 00	4,976 11	(99 79)
EXPEDITORS INTL WASH INC CMN (302130109)	12/30/2011	02/15/2012	17.00	737 10	0 00	698 09	39 01
EXPEDITORS INTL WASH INC CMN (302130109)	03/28/2011	02/15/2012	44.00	1,907 77	0 00	1,972 04	(64.27)
EXPEDITORS INTL WASH INC CMN (302130109)	05/19/2011	02/15/2012	84 00	3,642.10	0.00	4,478.38	(836.28)
EXPEDITORS INTL WASH INC CMN (302130109)	05/11/2011	02/15/2012	121.00	5,246 37	0.00	6,532 61	(1,286.24)
EXPEDITORS INTL WASH INC CMN (302130109)	04/18/2011	02/15/2012	144.00	6,243.61	0 00	7,291.79	(1,048.18)
EXPEDITORS INTL WASH INC CMN (302130109)	03/28/2011	02/15/2012	259.00	11,229.83	0.00	12,494.16	(1,264 33)
EXPEDITORS INTL WASH INC CMN (302130109)	12/30/2011	02/27/2012	286.00	12,389 36	0.00	11,744.28	645.08
EXPEDITORS INTL WASH INC CMN (302130109)	12/30/2011	02/29/2012	261.00	11,123 04	0 00	10,717 68	405 36
EXPEDITORS INTL WASH INC CMN (302130109)	12/30/2011	03/01/2012	44 00	1,938 34	0 00	1,806 81	131.53
EXPEDITORS INTL WASH INC CMN (302130109)	01/05/2012	03/01/2012	249 00	10,969 27	0.00	10,187 74	781.53
EXPEDITORS INTL WASH INC CMN (302130109)	01/05/2012	03/06/2012	314.00	13,668 42	0.00	12,847 18	821 24
NIKE CLASS-B CMN CLASS B (654106103)	08/19/2011	03/23/2012	58.00	6,217.19	0 00	4,573 22	1,643 97
NIKE CLASS-B CMN CLASS B (654106103)	08/17/2011	03/23/2012	69 00	7,396 31	0 00	5,663 97	1,732 34
NIKE CLASS-B CMN CLASS B (654106103)	08/10/2011	03/23/2012	78.00	8,361 05	0.00	6,386.89	1,974.16
NIKE CLASS-B CMN CLASS B (654106103)	01/05/2012	03/23/2012	179.00	19,187.54	0 00	17,418.47	1,769 07

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
NIKE CLASS-B CMN CLASS B (654106103)	12/30/2011	03/23/2012	180.00	19,294.73	0.00	17,555 40	1,739.33
BAKER HUGHES INC CMN (057224107)	05/11/2011	03/27/2012	68.00	2,866 12	0.00	4,731 61	(1,865.49)
BAKER HUGHES INC CMN (057224107)	04/08/2011	03/27/2012	129.00	5,437.20	0.00	9,327 06	(3,889.86)
BAKER HUGHES INC CMN (057224107)	12/30/2011	03/27/2012	165.00	6,954.56	0.00	7,962 52	(1,007.96)
BAKER HUGHES INC CMN (057224107)	03/28/2011	03/27/2012	730.00	30,768 65	0.00	51,677.43	(20,908.78)
BAKER HUGHES INC CMN (057224107)	01/26/2012	03/28/2012	250.00	10,200 19	0.00	12,019.58	(1,819 39)
BAKER HUGHES INC CMN (057224107)	12/30/2011	03/28/2012	338 00	13,790 66	0.00	16,311 10	(2,520 44)
BAKER HUGHES INC CMN (057224107)	01/05/2012	03/28/2012	503.00	20,522 79	0 00	25,431 68	(4,908 89)
NETAPP, INC CMN (64110D104)	01/05/2012	04/26/2012	520.00	20,429 15	0 00	18,211 54	2,217 61
NETAPP, INC CMN (64110D104)	12/30/2011	04/26/2012	522 00	20,507 72	0 00	18,973 03	1,534 69
STARBUCKS CORP CMN (855244109)	12/30/2011	04/27/2012	306 00	17,514 41	0 00	14,156 14	3,358 27
STARBUCKS CORP. CMN (855244109)	01/05/2012	04/27/2012	515 00	29,476 86	0.00	23,540 08	5,936 78
PRAXAIR, INC CMN SERIES (74005P104)	08/11/2011	06/05/2012	3.00	308.02	0.00	286.15	21 87
PRAXAIR, INC CMN SERIES (74005P104)	07/29/2011	06/05/2012	150.00	15,401.08	0.00	15,612.20	(211.12)
PRICELINE.COM INC CMN (741503403)	08/19/2011	06/14/2012	8 00	5,151.29	0.00	3,601 64	1,549.65
PRICELINE COM INC CMN (741503403)	08/19/2011	06/19/2012	17.00	11,539 74	0.00	7,653.48	3,886.26
PRICELINE.COM INC CMN (741503403)	12/30/2011	06/19/2012	21.00	14,254.96	0.00	9,985.29	4,269.67
FRANKLIN RESOURCES INC CMN (354613101)	02/02/2012	06/21/2012	108.00	11,407 11	0.00	12,177 73	(770.62)
FRANKLIN RESOURCES INC CMN (354613101)	12/30/2011	06/21/2012	131.00	13,836 41	0 00	12,628 41	1,208 00
FRANKLIN RESOURCES INC CMN (354613101)	01/05/2012	06/21/2012	131 00	13,836 41	0.00	12,669 39	1,167 02
FRANKLIN RESOURCES INC CMN (354613101)	01/26/2012	06/21/2012	139 00	14,681 38	0 00	14,853 26	(171 88)
AMERICAN EXPRESS CO CMN (025816109)	03/28/2012	07/19/2012	379.00	21,288 33	0 00	22,259 77	(971.44)
AMERICAN EXPRESS CO CMN (025816109) Disallowed Loss							715 12
PRICELINE.COM INC CMN (741503403)	12/30/2011	07/19/2012	16.00	10,750.47	0.00	7,607.84	3,142 63
COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A (192446102)	08/19/2011	07/23/2012	3.00	169.12	0.00	164.37	4 75

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REALIZED GAINS AND LOSSES (Continued)

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A (192446102)	08/17/2011	07/23/2012	87.00	4,904 64	0 00	5,376.15	(471 51)
COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A (192446102)	08/18/2011	07/23/2012	134 00	7,554 28	0.00	7,321 60	232.68
COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A (192446102)	08/03/2011	07/23/2012	210 00	11,838 80	0 00	15,141.69	(3,302 89)
COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A (192446102)	08/10/2011	07/23/2012	216.00	12,177.05	0.00	13,614.59	(1,437.54)
COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A (192446102)	12/30/2011	07/26/2012	34 00	1,935 78	0 00	2,166.14	(230 36)
COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A (192446102)	08/22/2011	07/26/2012	76 00	4,327 04	0.00	4,296 33	30 71
COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A (192446102)	12/20/2011	07/26/2012	88.00	5,010.25	0 00	5,939 38	(929 13)
COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A (192446102)	08/19/2011	07/26/2012	102.00	5,807.34	0.00	5,588 67	218 67
AMERICAN EXPRESS CO CMN (025816109)	04/19/2012	08/03/2012	94 00	5,415 17	0.00	5,403 76	11 41
AMERICAN EXPRESS CO CMN (025816109)	03/28/2012	08/03/2012	241 00	13,883 58	0.00	14,154 63	(271.05)
AMERICAN EXPRESS CO CMN (025816109)	03/28/2012	08/03/2012	279 00	16,072 69	0 00	16,699 28	(626 59)
AMERICAN EXPRESS CO. CMN (025816109)	04/02/2012	08/03/2012	369 00	21,257 43	0 00	21,432 92	(175 49)
AMERICAN EXPRESS CO. CMN (025816109)	04/19/2012	08/06/2012	217.00	12,455 52	0.00	12,474 63	(19 11)
AMERICAN EXPRESS CO CMN (025816109)	04/27/2012	08/06/2012	386.00	22,155 90	0.00	23,509.29	(1,353 39)
VISA INC CMN CLASS A (92826C839)	12/30/2011	08/09/2012	22.00	2,815 94	0 00	2,258 08	557 86
BAIDU, INC SPONSORED ADR CMN (056752108)	01/19/2012	08/23/2012	109.00	12,438.70	0.00	13,525.29	(1,086.59)
BAIDU, INC. SPONSORED ADR CMN (056752108)	01/20/2012	08/23/2012	109.00	12,438 70	0.00	13,472.13	(1,033.43)
BAIDU, INC. SPONSORED ADR CMN (056752108)	04/25/2012	08/23/2012	114 00	13,009 29	0.00	14,928 55	(1,919 26)
BAIDU, INC SPONSORED ADR CMN (056752108)	01/12/2012	08/23/2012	145 00	16,546 90	0 00	18,593 15	(2,046 25)
BAIDU, INC SPONSORED ADR CMN (056752108)	01/11/2012	08/23/2012	148.00	16,889 25	0 00	18,942 83	(2,053 58)
BAIDU, INC SPONSORED ADR CMN (056752108)	07/24/2012	08/23/2012	156 00	17,802.18	0 00	18,262 73	(460 55)
PRICELINE COM INC CMN (741503403)	12/30/2011	08/31/2012	19 00	11,471.45	0 00	9,034 31	2,437 14
PRICELINE COM INC CMN (741503403)	01/05/2012	08/31/2012	55 00	33,206 82	0.00	26,229 21	6,977 61
F5 NETWORKS INC CMN (315616102)	12/30/2011	09/11/2012	165.00	15,837.67	0.00	17,642.84	(1,805.17)
F5 NETWORKS INC CMN (315616102)	12/30/2011	09/12/2012	46.00	4,470 11	0.00	4,918 61	(448.50)

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

Realized and unrealized gains and loss values do not include securities for which cost basis is unavailable The recognition of any loss reflected herein may be disallowed or deferred by the application of capital loss limitations, wash sale rules or other special tax rules, and you are strongly urged to consult your own outside tax and other advisors about this and all tax-related matters addressed in any way herein All information regarding the cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account(s) were provided by you and/or a third party and Goldman Sachs makes no representation as to the accuracy and completeness of such information and it should not be relied upon as such

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds[5]	Total accretion (Amortization)	Cost Basis[4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
F5 NETWORKS INC CMN (315616102)	01/05/2012	09/12/2012	212.00	20,601.40	0.00	22,149.65	(1,548.25)
COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A (192446102)	12/30/2011	10/01/2012	198.00	14,061.64	0.00	12,614 58	1,447 06
EBAY INC CMN (278642103)	06/04/2012	10/01/2012	460.00	22,166 90	0.00	17,945.01	4,221.89
ECOLAB INC CMN (278865100)	02/08/2012	10/01/2012	245.00	15,846.24	0 00	15,114 32	731 92
EMC CORPORATION MASS CMN (268648102)	01/23/2012	10/01/2012	468.00	12,719.96	0.00	10,921.16	1,798 80
FAMILY DOLLAR STORES INC CMN (307000109)	01/13/2012	10/01/2012	144 00	9,413 56	0 00	7,738 17	1,675 39
MONSANTO COMPANY CMN (61166W101)	11/23/2011	10/01/2012	104 00	9,400 09	0 00	7,092.21	2,307 88
MONSANTO COMPANY CMN (61166W101)	11/21/2011	10/01/2012	161.00	14,552 06	0 00	11,414 80	3,137 26
PRECISION CASTPARTS CORP CMN (740189105)	08/07/2012	10/01/2012	72 00	11,842 29	0 00	11,461 23	381 06
SHIRE LIMITED SPONSORED ADR CMN (82481R106)	12/30/2011	10/01/2012	130 00	11,517 74	0.00	13,464 76	(1,947 02)
STARBUCKS CORP CMN (855244109)	08/01/2012	10/01/2012	219.00	10,971 65	0.00	9,674 70	1,296 95
UNION PACIFIC CORP CMN (907818108)	08/29/2012	10/01/2012	88.00	10,411 04	0 00	10,825 04	(414 00)
UNION PACIFIC CORP. CMN (907818108) Disallowed Loss							414.00
VISA INC. CMN CLASS A (92826C839)	12/30/2011	10/01/2012	120.00	16,352.03	0.00	12,316 80	4,035 23
W.W. GRAINGER INCORPORATED CMN (384802104)	06/20/2012	10/01/2012	37.00	7,796.83	0.00	6,951 97	844.86
WALT DISNEY COMPANY (THE) CMN (254687106)	03/23/2012	10/01/2012	280.00	14,559.67	0.00	12,213 07	2,346 60
YUM BRANDS, INC. CMN (988498101)	12/30/2011	10/01/2012	69.00	4,639 80	0.00	4,093 77	546 03
SHIRE LIMITED SPONSORED ADR CMN (82481R106)	12/30/2011	10/15/2012	138.00	11,907 27	0.00	14,293 36	(2,386 09)
PHILIP MORRIS INTL INC CMN (718172109)	12/30/2011	10/17/2012	97.00	8,931 47	0.00	7,680 46	1,251 01
WALT DISNEY COMPANY (THE) CMN (254687106)	03/23/2012	10/18/2012	229 00	12,031 41	0.00	9,988 55	2,042 86
EMC CORPORATION MASS CMN (268648102)	01/23/2012	10/26/2012	897.00	21,483 30	0 00	20,932 22	551 08
EMC CORPORATION MASS CMN (268648102)	01/24/2012	10/26/2012	1,210 00	28,979 69	0.00	30,036.43	(1,056 74)
EMC CORPORATION MASS CMN (268648102)	01/24/2012	11/01/2012	76 00	1,914 39	0.00	1,886 59	27 80
EMC CORPORATION MASS CMN (268648102)	04/27/2012	11/01/2012	748.00	18,841 55	0.00	21,347 40	(2,505.85)
PRAXAIR, INC CMN SERIES (74005P104)	12/30/2011	11/05/2012	135.00	14,702 17	0.00	14,461 20	240 97

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A (192446102)	01/05/2012	11/07/2012	69.00	4,608 80	0.00	4,500.99	107.81
COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A (192446102)	12/30/2011	11/07/2012	268 00	17,900 82	0.00	17,074 28	826.54
PRAXAIR, INC CMN SERIES (74005P104)	12/30/2011	11/09/2012	58 00	6,244 80	0.00	6,212 96	31.84
PRAXAIR, INC CMN SERIES (74005P104)	01/05/2012	11/09/2012	193.00	20,780.09	0.00	20,826 30	(46.21)
WALT DISNEY COMPANY (THE) CMN (254687106)	03/23/2012	11/28/2012	25.00	1,214 38	0 00	1,090 45	123 93
WALT DISNEY COMPANY (THE) CMN (254687106)	03/26/2012	11/28/2012	212.00	10,297.92	0 00	9,380.45	917.47
UNILEVER N V NY SHS (NEW) ADR CMN (904784709)	10/05/2012	12/04/2012	214 00	8,162 48	0.00	7,825 96	336 52
UNILEVER N V. NY SHS (NEW) ADR CMN (904784709)	10/04/2012	12/04/2012	441 00	16,820 82	0 00	15,950 04	870 78
UNILEVER N V NY SHS (NEW) ADR CMN (904784709)	10/05/2012	12/06/2012	225.00	8,499 43	0.00	8,228 23	271.20
UNILEVER N V NY SHS (NEW) ADR CMN (904784709)	10/11/2012	12/06/2012	599.00	22,627 38	0.00	21,596 11	1,031 27
PHILIP MORRIS INTL INC CMN (718172109)	12/30/2011	12/07/2012	88 00	7,861 10	0 00	6,967 84	893 26
PHILIP MORRIS INTL INC CMN (718172109)	12/30/2011	12/11/2012	179 00	15,957.31	0 00	14,173 22	1,784 09
WALT DISNEY COMPANY (THE) CMN (254687106)	03/26/2012	12/17/2012	318.00	15,563 27	0.00	14,070 67	1,492 60
WALT DISNEY COMPANY (THE) CMN (254687106)	03/27/2012	12/17/2012	456 00	22,317 14	0.00	20,163 68	2,153 46
PHILIP MORRIS INTL INC CMN (718172109)	12/30/2011	12/19/2012	28 00	2,372.41	0.00	2,217 04	155.37
PHILIP MORRIS INTL INC CMN (718172109)	01/05/2012	12/19/2012	391.00	33,128.95	0.00	30,657.88	2,471.07
YUM BRANDS, INC. CMN (988498101)	01/05/2012	12/20/2012	187.00	12,382.65	0.00	10,933.89	1,448.76
YUM BRANDS, INC CMN (988498101)	12/30/2011	12/20/2012	265 00	17,547.61	0.00	15,722 45	1,825 16
WALT DISNEY COMPANY (THE) CMN (254687106)	03/27/2012	12/21/2012	112.00	5,606 23	0.00	4,952 48	653 75
WALT DISNEY COMPANY (THE) CMN (254687106)	05/09/2012	12/21/2012	395.00	19,771 99	0.00	17,763 27	2,008 72
YUM BRANDS, INC CMN (988498101)	08/23/2012	12/21/2012	67 00	4,268 56	0 00	4,337 46	(68 90)
YUM BRANDS, INC. CMN (988498101)	01/05/2012	12/21/2012	146 00	9,301 63	0 00	8,536.62	765 01
YUM BRANDS, INC CMN (988498101)	06/14/2012	12/21/2012	243.00	15,481 47	0 00	15,594 28	(112 81)

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
YUM BRANDS, INC CMN (988498101) Disallowed Loss							68.24
Net Covered Short-Term Disallowed Losses							**7,167.88**
Net Covered Short-Term Gains (Losses)				**1,712,263.29**	**0.00**	**1,688,226.58**	**31,204.59**

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
F5 NETWORKS INC CMN (315616102)	03/28/2011	04/17/2012	294.00	36,616.34	0 00	28,345 69	8,270 65
NETAPP, INC. CMN (64110D104)	03/28/2011	04/26/2012	955 00	37,518 91	0.00	46,288 66	(8,769 75)
PRICELINE COM INC CMN (741503403)	03/28/2011	06/14/2012	3 00	1,931.73	0.00	1,470 96	460 77
FRANKLIN RESOURCES INC CMN (354613101)	03/28/2011	06/21/2012	241.00	25,454 76	0.00	29,071 83	(3,617 07)
VISA INC. CMN CLASS A (92826C839)	04/06/2011	08/07/2012	37.00	4,808.62	0.00	2,802 41	2,006.21
VISA INC. CMN CLASS A (92826C839)	03/30/2011	08/07/2012	108 00	14,035.97	0 00	8,095.01	5,940.96
VISA INC. CMN CLASS A (92826C839)	04/21/2011	08/09/2012	50 00	6,399.86	0.00	3,894.63	2,505 23
VISA INC. CMN CLASS A (92826C839)	04/06/2011	08/09/2012	53.00	6,783.86	0.00	4,014 27	2,769.59
VISA INC. CMN CLASS A (92826C839)	04/27/2011	08/09/2012	56.00	7,167.84	0.00	4,388 38	2,779.46
VISA INC CMN CLASS A (92826C839)	05/06/2011	08/09/2012	121.00	15,487 66	0 00	9,588 75	5,898 91
F5 NETWORKS INC CMN (315616102)	03/28/2011	09/11/2012	8 00	767.89	0.00	771 31	(3 42)
F5 NETWORKS INC CMN (315616102)	04/27/2011	09/11/2012	43.00	4,127 39	0 00	4,553 94	(426 55)
F5 NETWORKS INC CMN (315616102)	05/11/2011	09/11/2012	45 00	4,319 37	0.00	4,668 73	(349 36)
SHIRE LIMITED SPONSORED ADR CMN (82481R106)	03/28/2011	09/19/2012	669.00	61,425 66	0.00	58,658 72	2,766 94
CELGENE CORPORATION CMN (151020104)	03/28/2011	10/01/2012	379.00	29,019.37	0 00	21,037 57	7,981.80
DISCOVERY COMMUNICATIONS, INC. CMN SERIES A (25470F104)	03/28/2011	10/01/2012	256.00	15,346 85	0.00	10,089.80	5,257 05
DOLLAR GENERAL CORPORATION CMN (256677105)	04/08/2011	10/01/2012	105.00	5,415 09	0.00	3,401 01	2,014 08

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
DOLLAR GENERAL CORPORATION CMN (256677105)	04/07/2011	10/01/2012	120.00	6,188 68	0.00	3,906.53	2,282.15
DOLLAR GENERAL CORPORATION CMN (256677105)	04/06/2011	10/01/2012	198.00	10,211 32	0.00	6,408 80	3,802 52
GENERAL ELECTRIC CO CMN (369604103)	03/28/2011	10/01/2012	1,065.00	24,249 50	0.00	21,073.58	3,175 92
GOOGLE, INC CMN CLASS A (38259P508)	03/28/2011	10/01/2012	22.00	16,692 14	0.00	12,822.04	3,870 10
INTUITIVE SURGICAL, INC. CMN (46120E602)	03/28/2011	10/01/2012	22.00	10,953.82	0.00	7,303.54	3,650.28
LAS VEGAS SANDS CORP. CMN (517834107)	09/26/2011	10/01/2012	4.00	184.21	0.00	168 81	15.40
LAS VEGAS SANDS CORP. CMN (517834107)	09/22/2011	10/01/2012	182.00	8,381.40	0.00	8,202 41	178 99
LAS VEGAS SANDS CORP. CMN (517834107)	09/23/2011	10/01/2012	208.00	9,578 74	0.00	9,347.69	231.05
PHILIP MORRIS INTL INC CMN (718172109)	09/07/2011	10/01/2012	209.00	18,927 61	0.00	14,381 98	4,545 63
PRAXAIR, INC CMN SERIES (74005P104)	08/11/2011	10/01/2012	81.00	8,416 52	0.00	7,726 06	690.46
SABMILLER PLC SPONSORED ADR (78572M105)	03/28/2011	10/01/2012	342 00	15,157 10	0.00	11,812.68	3,344 42
SCHLUMBERGER LTD CMN (806857108)	03/28/2011	10/01/2012	260 00	18,839.17	0.00	22,552 71	(3,713 54)
SHIRE LIMITED SPONSORED ADR CMN (82481R106)	03/28/2011	10/01/2012	6.00	531.59	0.00	526.09	5.50
TENCENT HOLDINGS LIMITED UNSPONSORED ADR CMN (88032Q109)	03/28/2011	10/01/2012	491 00	16,644 52	0.00	12,191 53	4,452.99
YUM BRANDS, INC. CMN (988498101)	08/17/2011	10/01/2012	12 00	806 93	0.00	611.11	195.82
YUM BRANDS, INC. CMN (988498101)	08/19/2011	10/01/2012	182.00	12,238.32	0.00	9,023 69	3,214 63
PHILIP MORRIS INTL INC CMN (718172109)	09/14/2011	10/10/2012	9.00	825.11	0 00	612.59	212.52
PHILIP MORRIS INTL INC CMN (718172109)	09/09/2011	10/10/2012	83.00	7,609.34	0.00	5,492 77	2,116 57
PHILIP MORRIS INTL INC CMN (718172109)	09/12/2011	10/10/2012	83.00	7,609 34	0 00	5,407.32	2,202 02
PHILIP MORRIS INTL INC CMN (718172109)	09/07/2011	10/10/2012	97.00	8,892 84	0 00	6,674 89	2,217 95
PHILIP MORRIS INTL INC CMN (718172109)	09/19/2011	10/15/2012	32.00	2,947.92	0.00	2,176.53	771 39
PHILIP MORRIS INTL INC CMN (718172109)	09/14/2011	10/15/2012	139.00	12,805 04	0 00	9,461 16	3,343.88
PHILIP MORRIS INTL INC CMN (718172109)	09/19/2011	10/17/2012	66 00	6,077.08	0 00	4,489.09	1,587.99
DISCOVERY COMMUNICATIONS, INC. CMN SERIES A (25470F104)	03/28/2011	10/23/2012	30 00	1,787.63	0.00	1,182.40	605.23
DISCOVERY COMMUNICATIONS, INC. CMN SERIES A (25470F104)	04/27/2011	10/23/2012	55.00	3,277.31	0.00	2,317.47	959.84

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
SCHLUMBERGER LTD CMN (806857108)	03/28/2011	10/25/2012	303.00	21,410.11	0 00	26,282.58	(4,872 47)
PRAXAIR, INC CMN SERIES (74005P104)	08/11/2011	11/05/2012	53.00	5,771.96	0.00	5,055.32	716.64
PRAXAIR, INC CMN SERIES (74005P104)	08/12/2011	11/05/2012	67.00	7,296 63	0.00	6,616.29	680 34
SCHLUMBERGER LTD CMN (806857108)	03/28/2011	11/05/2012	235.00	16,344 16	0.00	20,384.18	(4,040 02)
Net Covered Long-Term Gains (Losses)				**557,283.21**	**0.00**	**485,353.51**	**71,929.70**
NonCovered Long-Term Gains (Losses)							
EXPEDITORS INTL WASH INC CMN (302130109)	12/12/2006	02/03/2012	462.00	20,483 02	0 00	19,754.10	728 92
PRICELINE COM INC CMN (741503403)	10/20/2009	05/31/2012	2.00	1,241 01	0 00	351.51	889.50
PRICELINE COM INC CMN (741503403)	06/17/2010	05/31/2012	5 00	3,102 52	0 00	973.69	2,128.83
PRICELINE.COM INC CMN (741503403)	10/21/2009	05/31/2012	9 00	5,584 54	0 00	1,587 34	3,997 20
PRICELINE COM INC CMN (741503403)	05/12/2010	05/31/2012	11 00	6,825 55	0 00	2,407 24	4,418 31
PRICELINE.COM INC CMN (741503403)	11/20/2009	05/31/2012	13.00	8,066.56	0.00	2,720.30	5,346.26
PRICELINE.COM INC CMN (741503403)	02/19/2010	05/31/2012	15.00	9,307 57	0 00	3,476.99	5,830 58
PRICELINE.COM INC CMN (741503403)	06/24/2010	06/14/2012	5.00	3,219 55	0 00	924.10	2,295 45
PRICELINE.COM INC CMN (741503403)	06/17/2010	06/14/2012	12 00	7,726.93	0.00	2,336.86	5,390.07
VISA INC. CMN CLASS A (92826C839)	05/24/2010	08/01/2012	7.00	895.02	0.00	525.83	369.19
VISA INC. CMN CLASS A (92826C839)	05/17/2010	08/01/2012	11.00	1,406.47	0.00	817.19	589.28
VISA INC. CMN CLASS A (92826C839)	10/29/2008	08/01/2012	25 00	3,196 53	0 00	1,274 02	1,922 51
VISA INC CMN CLASS A (92826C839)	06/13/2008	08/01/2012	26 00	3,324 39	0 00	2,121 56	1,202 83
VISA INC. CMN CLASS A (92826C839)	05/17/2010	08/01/2012	84 00	10,740 33	0 00	6,236 44	4,503 89
VISA INC CMN CLASS A (92826C839)	10/06/2008	08/01/2012	138.00	17,644 83	0 00	7,640 05	10,004 78
VISA INC CMN CLASS A (92826C839)	05/24/2010	08/07/2012	15.00	1,949 44	0 00	1,126 79	822 65
VISA INC CMN CLASS A (92826C839)	09/10/2010	08/07/2012	28.00	3,638 96	0 00	1,903 72	1,735 24
VISA INC CMN CLASS A (92826C839)	09/08/2010	08/07/2012	37.00	4,808.62	0.00	2,542 04	2,266.58

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
VISA INC. CMN CLASS A (92826C839)	06/01/2010	08/07/2012	37.00	4,808.62	0.00	2,689.68	2,118 94
ALLERGAN INC CMN (018490102)	12/14/2006	10/01/2012	11.00	1,018 33	0 00	665 03	353.30
ALLERGAN INC CMN (018490102)	03/16/2007	10/01/2012	32.00	2,962.43	0.00	1,773.02	1,189.41
ALLERGAN INC CMN (018490102)	10/28/2008	10/01/2012	38 00	3,517 89	0.00	1,278.79	2,239.10
ALLERGAN INC CMN (018490102)	04/29/2008	10/01/2012	54.00	4,999.10	0.00	3,067 09	1,932.01
ALLERGAN INC CMN (018490102)	06/25/2008	10/01/2012	115.00	10,646.23	0 00	6,160.86	4,485.37
APPLE, INC CMN (037833100)	01/25/2008	10/01/2012	9 00	5,933.66	0 00	1,185.61	4,748 05
APPLE, INC CMN (037833100)	09/15/2008	10/01/2012	9.00	5,933 66	0 00	1,283 44	4,650 22
APPLE, INC. CMN (037833100)	02/05/2008	10/01/2012	13.00	8,570 84	0.00	1,731 61	6,839 23
APPLE, INC CMN (037833100)	06/25/2008	10/01/2012	53 00	34,942 64	0.00	9,469 51	25,473 13
Net NonCovered Long-Term Gains (Losses)				**196,495.24**	**0.00**	**88,024.41**	**108,470.83**

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

REALIZED GAIN AND LOSS SUMMARY

SHORT-TERM GAINS (LOSSES)		LONG-TERM GAINS (LOSSES)		ORDINARY GAINS (LOSSES)	
Net Covered Short-Term Gains (Losses)	(23,241 65)	Net Covered Long-Term Gains (Losses)	(39,239 97)	Net Ordinary Gains (Losses)	0 00
Net NonCovered Short-Term Gains (Losses)	0 00	Net NonCovered Long-Term Gains (Losses)	943 98		
Net 1099B Non Reportable Short-Term Gains (Losses)	0 00	Net 1099B Non Reportable Long-Term Gains (Losses)	0 00		
Net Miscellaneous Short-Term Gains (Losses)	0 00	Net Miscellaneous Long-Term Gains (Losses)	0 00	Net Miscellaneous Ordinary Gains (Losses)	0 00
Net Regulated Futures Contract Short-Term Gains (Losses)	0 00	Net Regulated Futures Contract Long-Term Gains (Losses)	0 00		
Total Short-Term Gains (Losses)	**(23,241.65)**	**Total Long-Term Gains (Losses)**	**(38,295.99)**	**Total Ordinary Gains (Losses)**	**0.00**

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	***Date Acquired* or Sold Short**	***Date Sold* or Covered**	**Quantity**	**Sale Proceeds** [5]	**Total accretion (Amortization)**	**Cost Basis** [4]	**Total Gain (Loss)**
Covered Short-Term Gains (Losses)							
CNOOC LIMITED SPONSORED ADR CMN (126132109)	06/10/2011	01/10/2012	73.00	14,247.12	0.00	17,321 34	(3,074 22)
CNOOC LIMITED SPONSORED ADR CMN (126132109) Disallowed Loss							2,526 75
INFOSYS LTD SPONSORED ADR CMN SRS 16840480 (456788108)	06/10/2011	01/12/2012	94 00	4,873 04	0 00	5,950 46	(1,077 42)
INFOSYS LTD SPONSORED ADR CMN SRS 16840480 (456788108) Disallowed Loss							1,077.42
TENCENT HOLDINGS LIMITED UNSPONSORED ADR CMN (88032Q109)	01/05/2012	01/13/2012	110.00	2,392 45	0.00	2,239 60	152 85
TENCENT HOLDINGS LIMITED UNSPONSORED ADR CMN (88032Q109)	12/30/2011	01/13/2012	1,330 00	28,926.94	0.00	26,826.10	2,100 84
NOVO-NORDISK A/S ADR ADR CMN (670100205)	06/10/2011	01/17/2012	68.00	8,064.19	0.00	8,451.50	(387 31)
NOVO-NORDISK A/S ADR ADR CMN (670100205) Disallowed Loss							341.75
INFOSYS LTD SPONSORED ADR CMN SRS 16840480 (456788108)	01/05/2012	01/20/2012	16 00	834 58	0 00	867 52	(32 94)
INFOSYS LTD SPONSORED ADR CMN SRS 16840480 (456788108)	06/10/2011	01/20/2012	44.00	2,295 09	0.00	2,890 01	(594 92)
INFOSYS LTD SPONSORED ADR CMN SRS 16840480 (456788108)	06/10/2011	01/20/2012	50 00	2,608 05	0.00	3,157 60	(549 55)
INFOSYS LTD SPONSORED ADR CMN SRS 16840480 (456788108)	06/10/2011	01/20/2012	196.00	10,223 56	0 00	12,407 35	(2,183 79)
INFOSYS LTD SPONSORED ADR CMN SRS 16840480 (456788108)	09/27/2011	01/20/2012	300.00	15,648 30	0.00	15,252 00	396 30
TESCO PLC (SPONSORED) ADR SPONSORED ADR CMN (881575302)	06/10/2011	01/25/2012	614.00	9,407 33	0.00	12,332.93	(2,925 60)
TESCO PLC (SPONSORED) ADR SPONSORED ADR CMN (881575302) Disallowed Loss							1,858.28

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
TESCO PLC (SPONSORED) ADR SPONSORED ADR CMN (881575302)	06/10/2011	01/26/2012	154.00	2,352.78	0.00	3,093.28	(740.50)
ERICSSON AMERICAN ADR CMN CLASS B (294821608)	06/10/2011	02/06/2012	819.00	7,673.47	0.00	11,524.97	(3,851.50)
HUTCHISON WHAMPOA (ADR) ADR CMN (448415208)	06/10/2011	02/09/2012	120.00	2,364.06	0.00	2,644.80	(280.74)
HUTCHISON WHAMPOA (ADR) ADR CMN (448415208)	06/10/2011	02/10/2012	609.00	11,907.06	0.00	13,422.36	(1,515.30)
TESCO PLC (SPONSORED) ADR SPONSORED ADR CMN (881575302)	06/10/2011	02/16/2012	170.00	2,587.63	0.00	4,026.42	(1,438.79)
TESCO PLC (SPONSORED) ADR SPONSORED ADR CMN (881575302)	06/10/2011	02/16/2012	220.00	3,348.69	0.00	5,116.06	(1,767.37)
TESCO PLC (SPONSORED) ADR SPONSORED ADR CMN (881575302)	09/27/2011	02/16/2012	246.00	3,744.45	0.00	4,445.22	(700.77)
TESCO PLC (SPONSORED) ADR SPONSORED ADR CMN (881575302)	06/10/2011	02/16/2012	312.00	4,749.06	0.00	6,266.90	(1,517.84)
KONINKLIJKE AHOLD N.V. SPONSORED ADR CMN (500467402)	06/10/2011	02/29/2012	182.00	2,513.70	0.00	2,442.44	71.26
FOMENTO ECONOMICO MEXICANO SAB DE C V NEW SPONS ADR REPSTG UNIT 1 SER B SH FOMENTO ECONOMICO MEXICANO (344419106)	06/10/2011	03/01/2012	126.00	9,510.07	0.00	7,924.14	1,585.93
KONINKLIJKE AHOLD N.V. SPONSORED ADR CMN (500467402)	06/10/2011	03/01/2012	681.00	9,251.28	0.00	9,139.02	112.26
VODAFONE GROUP PLC SPONSORED ADR CMN (92857W209)	06/10/2011	03/15/2012	497.00	12,995.37	0.00	12,956.19	39.18
VODAFONE GROUP PLC SPONSORED ADR CMN (92857W209)	06/10/2011	03/28/2012	193.00	5,366.25	0.00	5,031.28	334.97
VODAFONE GROUP PLC SPONSORED ADR CMN (92857W209)	09/27/2011	03/28/2012	343.00	9,536.90	0.00	8,883.70	653.20
CIELO S A SPONSORED ADR CMN (171778202)	09/22/2011	04/23/2012	0.40	12.35	0.00	0.00	12.35
FOMENTO ECONOMICO MEXICANO SAB DE C.V. NEW SPONS ADR REPSTG UNIT 1 SER B SH FOMENTO ECONOMICO MEXICANO (344419106)	09/27/2011	04/23/2012	55.00	4,432.90	0.00	3,656.05	776.85
FOMENTO ECONOMICO MEXICANO SAB DE C V. NEW SPONS ADR REPSTG UNIT 1 SER B SH FOMENTO ECONOMICO MEXICANO (344419106)	06/10/2011	04/23/2012	114.00	9,188.18	0.00	7,169.46	2,018.72
NOVARTIS AG-ADR SPONSORED ADR CMN (66987V109)	06/10/2011	05/02/2012	195.00	10,652.49	0.00	11,873.55	(1,221.06)
NOVO-NORDISK A/S ADR ADR CMN (670100205)	06/10/2011	05/03/2012	52.00	7,750.19	0.00	6,462.91	1,287.28
FANUC CORP UNSPONSORED ADR CMN (307305102)	06/10/2011	05/07/2012	391.00	11,099.15	0.00	9,786.10	1,313.05

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
VODAFONE GROUP PLC SPONSORED ADR CMN (92857W209)	12/30/2011	05/18/2012	100.00	2,607.68	0.00	2,800.00	(192 32)
VODAFONE GROUP PLC SPONSORED ADR CMN (92857W209)	01/05/2012	05/18/2012	130.00	3,389 99	0 00	3,600.86	(210.87)
VODAFONE GROUP PLC SPONSORED ADR CMN (92857W209)	09/27/2011	05/18/2012	417 00	10,874 03	0.00	10,800 30	73.73
BNP PARIBAS SPONSORED ADR CMN (05565A202)	01/05/2012	05/21/2012	100.00	1,669 96	0.00	1,869.00	(199.04)
BNP PARIBAS SPONSORED ADR CMN (05565A202)	12/30/2011	05/21/2012	190.00	3,172.93	0.00	3,752 50	(579.57)
BNP PARIBAS SPONSORED ADR CMN (05565A202)	09/27/2011	05/21/2012	480.00	8,015 82	0.00	9,796 80	(1,780.98)
BNP PARIBAS SPONSORED ADR CMN (05565A202)	06/10/2011	05/21/2012	540 00	9,017 79	0.00	20,241 90	(11,224 11)
TAIWAN SEMICONDUCTOR MFG ADS LTD ADS 1ADS EQUALS 5ORDS (874039100)	06/10/2011	06/04/2012	813.00	10,559 98	0 00	10,754 12	(194.14)
CGI GROUP INC CMN CLASS A (39945C109)	06/10/2011	06/07/2012	177.00	4,055 20	0 00	4,014 36	40 84
CGI GROUP INC CMN CLASS A (39945C109)	06/10/2011	06/08/2012	123 00	2,786.13	0 00	2,789 64	(3 51)
CGI GROUP INC CMN CLASS A (39945C109) Disallowed Loss							3 51
DANONE SPONSORED ADR CMN (23636T100)	09/27/2011	07/03/2012	321.00	3,964 35	0 00	4,025 34	(60 99)
INTERNATIONAL POWER PLC SPONSORED ADR CMN (46018M104)	12/30/2011	07/25/2012	20.00	1,301 15	0.00	1,051.80	249.35
INTERNATIONAL POWER PLC SPONSORED ADR CMN (46018M104)	01/05/2012	07/25/2012	50.00	3,252.88	0.00	2,629.50	623.38
INTERNATIONAL POWER PLC SPONSORED ADR CMN (46018M104)	09/27/2011	07/25/2012	250.00	16,264.38	0.00	12,620.00	3,644.38
GLAXOSMITHKLINE PLC SPONSORED ADR CMN (37733W105)	12/30/2011	07/26/2012	40 00	1,794 31	0.00	1,832 00	(37.69)
GLAXOSMITHKLINE PLC SPONSORED ADR CMN (37733W105)	01/05/2012	07/26/2012	50.00	2,242 88	0 00	2,300 00	(57.12)
GLAXOSMITHKLINE PLC SPONSORED ADR CMN (37733W105)	11/28/2011	07/26/2012	171 00	7,670 67	0.00	7,221 24	449 43
GLAXOSMITHKLINE PLC SPONSORED ADR CMN (37733W105)	11/02/2011	07/26/2012	335 00	15,027 33	0 00	14,513 04	514 29
TOTAL SA SPONSORED ADR CMN (89151E109)	12/30/2011	07/31/2012	40.00	1,848 93	0.00	2,046 80	(197 87)
TOTAL SA SPONSORED ADR CMN (89151E109)	01/05/2012	07/31/2012	50 00	2,311.17	0 00	2,549 00	(237 83)
TOTAL SA SPONSORED ADR CMN (89151E109)	09/27/2011	07/31/2012	260.00	12,018 07	0 00	11,510 20	507.87

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
FOMENTO ECONOMICO MEXICANO SAB DE C V. NEW SPONS ADR REPSTG UNIT 1 SER B SH FOMENTO ECONOMICO MEXICANO (344419106)	09/27/2011	08/06/2012	91.00	7,855.77	0.00	6,049 10	1,806.67
TESCO PLC (SPONSORED) ADR SPONSORED ADR CMN (881575302)	09/27/2011	08/07/2012	299.00	4,560.30	0.00	5,402.93	(842 63)
TESCO PLC (SPONSORED) ADR SPONSORED ADR CMN (881575302)	09/27/2011	08/08/2012	411.00	6,307 80	0.00	7,426.77	(1,118.97)
TESCO PLC (SPONSORED) ADR SPONSORED ADR CMN (881575302)	09/27/2011	08/09/2012	204.00	3,140.40	0.00	3,686 28	(545.88)
DANONE SPONSORED ADR CMN (23636T100)	09/27/2011	08/14/2012	220 00	2,628 90	0.00	2,758 80	(129 90)
DANONE SPONSORED ADR CMN (23636T100)	12/30/2011	08/15/2012	270 00	3,231 12	0 00	3,412 80	(181 68)
DANONE SPONSORED ADR CMN (23636T100)	01/05/2012	08/15/2012	340 00	4,068 82	0 00	4,256 80	(187 98)
DANONE SPONSORED ADR CMN (23636T100)	09/27/2011	08/15/2012	1,359.00	16,263 33	0.00	17,041 86	(778.53)
VIMPELCOM LIMITED SPONSORED ADR CMN (92719A106)	12/30/2011	08/17/2012	100 00	1,059 77	0 00	949 41	110 36
VIMPELCOM LIMITED SPONSORED ADR CMN (92719A106)	01/05/2012	08/17/2012	130 00	1,377 70	0.00	1,297 40	80 30
VIMPELCOM LIMITED SPONSORED ADR CMN (92719A106)	09/27/2011	08/17/2012	780.00	8,266.18	0.00	7,901.40	364 78
KONINKLIJKE AHOLD N.V SPONSORED ADR CMN (500467402)	09/27/2011	08/31/2012	698.00	8,623 88	0.00	7,964.18	659.70
KONINKLIJKE AHOLD N V. SPONSORED ADR CMN (500467402)	12/30/2011	10/17/2012	160 00	1,995 71	0.00	2,153.60	(157.89)
KONINKLIJKE AHOLD N.V SPONSORED ADR CMN (500467402)	01/05/2012	10/17/2012	210.00	2,619 38	0.00	2,744.70	(125.32)
YAMADA DENKI CO., LTD. CMN (J95534103)	12/30/2011	11/28/2012	20 00	702 37	0.00	1,373 00	(670.63)
YAMADA DENKI CO., LTD. CMN (J95534103)	01/05/2012	11/28/2012	30.00	1,053 56	0.00	2,029.50	(975.94)
NIDEC CORP SPONSORED ADR (654090109)	08/10/2012	12/21/2012	33 00	472 41	0 00	686.92	(214 51)
NIDEC CORP SPONSORED ADR (654090109)	12/30/2011	12/21/2012	70 00	1,002 09	0.00	1,505 70	(503 61)
NIDEC CORP SPONSORED ADR (654090109)	01/05/2012	12/21/2012	130.00	1,861 02	0 00	2,889 90	(1,028.88)
NIDEC CORP SPONSORED ADR (654090109)	08/13/2012	12/21/2012	407 00	5,826 44	0 00	8,557.91	(2,731 47)
Net Covered Short-Term Disallowed Losses							**5,807.71**
Net Covered Short-Term Gains (Losses)				**437,319.26**	**0.00**	**466,368.62**	**(23,241.65)**

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
CGI GROUP INC CMN CLASS A (39945C109)	06/10/2011	06/11/2012	91.00	2,067.12	0.00	2,063 88	3.24
CGI GROUP INC CMN CLASS A (39945C109)	06/10/2011	06/12/2012	94.00	2,129.91	0.00	2,131.92	(2.01)
CGI GROUP INC CMN CLASS A (39945C109) Disallowed Loss							2 01
CGI GROUP INC CMN CLASS A (39945C109)	06/10/2011	06/13/2012	55.00	1,250 94	0.00	1,247 40	3 54
CGI GROUP INC CMN CLASS A (39945C109)	06/10/2011	06/13/2012	94.00	2,137.97	0.00	1,970 06	167 91
CGI GROUP INC CMN CLASS A (39945C109)	06/10/2011	06/14/2012	17.00	384.51	0 00	356.29	28.22
DANONE SPONSORED ADR CMN (23636T100)	06/10/2011	06/20/2012	56.00	678 26	0 00	808.78	(130.52)
BHP BILLITON LIMITED SPONSORED ADR CMN (088606108)	06/10/2011	06/21/2012	115 00	7,482 16	0 00	10,384 02	(2,901 86)
DANONE SPONSORED ADR CMN (23636T100)	06/10/2011	06/21/2012	1,023 00	12,115 93	0.00	14,774.68	(2,658 75)
DANONE SPONSORED ADR CMN (23636T100)	06/10/2011	07/02/2012	135.00	1,671 60	0.00	1,949 74	(278 14)
DANONE SPONSORED ADR CMN (23636T100)	06/10/2011	07/03/2012	526 00	6,496 11	0 00	7,596 76	(1,100 65)
TRANSCANADA CORP CMN (89353D107)	06/10/2011	07/17/2012	188 00	8,115 36	0 00	8,027 60	87 76
BUNZL PLC SPONSORED ADR CMN (120738406)	06/10/2011	07/18/2012	157.00	13,324.60	0.00	9,963 33	3,361 27
CANON INC ADR SPONSORED ADR CMN (138006309)	06/10/2011	07/18/2012	287.00	10,581.82	0.00	13,394 46	(2,812 64)
INTERNATIONAL POWER PLC SPONSORED ADR CMN (46018M104)	06/10/2011	07/25/2012	227.00	14,768 06	0 00	11,826.70	2,941 36
COCA-COLA AMATIL LIMITED SPONSORED ADR CMN (191085208)	06/10/2011	07/30/2012	52.00	1,509 99	0.00	1,269.32	240.67
COCA-COLA AMATIL LIMITED SPONSORED ADR CMN (191085208)	06/10/2011	07/31/2012	157 00	4,554.43	0.00	3,832.37	722.06
TOTAL SA SPONSORED ADR CMN (89151E109)	06/10/2011	07/31/2012	240.00	11,093 60	0.00	13,166 40	(2,072 80)
AMERICA MOVIL SAB DE CV SPONSORED ADR CMN SERIES L (02364W105)	06/10/2011	08/01/2012	392 00	10,475 49	0 00	9,433 48	1,042 01
COCA-COLA AMATIL LIMITED SPONSORED ADR CMN (191085208)	06/10/2011	08/01/2012	157.00	4,523 91	0.00	3,832 37	691 54
TAIWAN SEMICONDUCTOR MFG ADS LTD ADS 1ADS EQUALS 5ORDS (874039100)	06/10/2011	08/09/2012	588.00	8,470.36	0 00	7,777.89	692 47
NOVO-NORDISK A/S ADR ADR CMN (670100205)	06/10/2011	08/13/2012	11.00	1,708.36	0.00	1,328 64	379.72
NOVO-NORDISK A/S ADR ADR CMN (670100205)	06/10/2011	08/13/2012	50.00	7,765 28	0 00	6,214 34	1,550 94

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
VIMPELCOM LIMITED SPONSORED ADR CMN (92719A106)	06/10/2011	08/17/2012	700.00	7,418.36	0.00	9,632.00	(2,213 64)
KONINKLIJKE AHOLD N.V. SPONSORED ADR CMN (500467402)	06/10/2011	08/30/2012	175.00	2,165.54	0.00	2,348.50	(182.96)
KONINKLIJKE AHOLD N.V. SPONSORED ADR CMN (500467402)	06/10/2011	08/31/2012	42.00	518 91	0.00	563.64	(44.73)
KINGFISHER PLC SPONSORED ADR CMN (495724403)	06/10/2011	09/04/2012	1,905.00	16,440 92	0 00	16,513.12	(72.20)
SWEDBANK A B ADR CMN (870195104)	06/10/2011	09/05/2012	468 00	7,868.77	0 00	7,717.32	151.45
CANON INC ADR SPONSORED ADR CMN (138006309)	07/26/2011	10/10/2012	4.00	119 76	0.00	198.99	(79 23)
CANON INC ADR SPONSORED ADR CMN (138006309)	06/10/2011	10/10/2012	313 00	9,370 60	0 00	14,607 90	(5,237.30)
KONINKLIJKE AHOLD N.V. SPONSORED ADR CMN (500467402)	09/27/2011	10/17/2012	472.00	5,887 36	0.00	5,385 52	501 84
FOMENTO ECONOMICO MEXICANO SAB DE C V. NEW SPONS ADR REPSTG UNIT 1 SER B SH FOMENTO ECONOMICO MEXICANO (344419106)	09/27/2011	10/18/2012	107 00	10,301 12	0 00	7,112 67	3,188 45
CANON INC ADR SPONSORED ADR CMN (138006309)	07/26/2011	11/01/2012	38.00	1,234 99	0 00	1,890 36	(655 37)
CANON INC ADR SPONSORED ADR CMN (138006309)	09/27/2011	11/01/2012	228 00	7,409 92	0.00	10,521.90	(3,111.98)
WORLEYPARSONS LIMITED UNSPONOSRED ADR CMN (98161Q101)	06/10/2011	11/01/2012	214.00	5,435 47	0.00	6,556 96	(1,121.49)
DENSO CORP ADR ADR CMN (24872B100)	06/10/2011	11/06/2012	585.00	9,089 23	0 00	10,350.64	(1,261 41)
YAMADA DENKI CO., LTD. CMN (J95534103)	09/27/2011	11/27/2012	82.00	2,897.20	0.00	5,768.70	(2,871.50)
YAMADA DENKI CO , LTD. CMN (J95534103)	06/16/2011	11/27/2012	176.00	6,218 38	0.00	14,319 98	(8,101 60)
YAMADA DENKI CO , LTD. CMN (J95534103)	09/27/2011	11/28/2012	118 00	4,144 00	0.00	8,301.30	(4,157.30)
NIDEC CORP SPONSORED ADR (654090109)	06/10/2011	12/20/2012	202.00	2,873 14	0 00	4,566 92	(1,693 78)
CANON INC ADR SPONSORED ADR CMN (138006309)	09/27/2011	12/21/2012	321.00	12,589.91	0.00	14,813 73	(2,223 82)
CANON INC ADR SPONSORED ADR CMN (138006309) Disallowed Loss							554 22
DENSO CORP ADR ADR CMN (24872B100)	06/10/2011	12/21/2012	213 00	3,610 26	0 00	3,768 69	(158 43)
NIDEC CORP SPONSORED ADR (654090109)	06/10/2011	12/21/2012	478.00	6,842.84	0 00	10,806.86	(3,964 02)
NIDEC CORP SPONSORED ADR (654090109)	09/27/2011	12/21/2012	770 00	11,022 99	0 00	16,216 89	(5,193 90)
CAP GEMINI ADR CMN (139098107)	06/16/2011	12/26/2012	120.00	2,627 94	0 00	3,229.24	(601.30)

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[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
CAP GEMINI ADR CMN (139098107)	09/27/2011	12/26/2012	180 00	3,941.91	0 00	3,214.80	727.11
CAP GEMINI ADR CMN (139098107)	06/10/2011	12/26/2012	270.00	5,912 87	0.00	7,287.30	(1,374.43)
***Net Covered* Long-Term Disallowed Losses**							**556.23**
Net Covered Long-Term Gains (Losses)				**279,248.16**	**0.00**	**319,044.36**	**(39,239.97)**
NonCovered Long-Term Gains (Losses)							
BRAMBLES LIMITED UNSPONSORED ADR CMN (105105100)		07/12/2012	0.00	41.10	0.00	No Cost	41.10 [8]
JULIUS BAER GROUP LTD. ADR CMN (48137C108)		11/07/2012	0.00	902 88	0 00	No Cost	902 88 [8]
Net NonCovered Long-Term Gains (Losses)				**943.98**	**0.00**	**0.00**	**943.98**

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[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

[8] Due to incomplete cost basis, gains may be incorrectly stated and should not be used for tax reporting purposes Please consult with your tax advisor

Part XV **Supplementary Information**

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
BOSTON CHILDRENS HOSPITAL 300 LONGWOOD AVENUE BOSTON, MA 02115	NONE	501(C)(3)	TO PROVIDE SUPPORT FOR THE NEEDS OF THE ORGANIZATION.	1,000.
BOSTON FOUNDATION 75 ARLINGTON STREET BOSTON, MA 02116	NONE	501(C)(3)	TO PROVIDE SUPPORT FOR THE NEEDS OF THE ORGANIZATION.	1,000.
BOSTON PUBLIC LIBRARY 700 BOYLSTON STREET BOSTON, MA 02115	NONE	501(C)(3)	TO PROVIDE SUPPORT FOR THE NEEDS OF THE ORGANIZATION.	1,000.
BOSTON SCHOLAR ATHLETES 65 ALLERTON STREET BOSTON, MA 02119	NONE	501(C)(3)	TO PROVIDE SUPPORT FOR THE NEEDS OF THE ORGANIZATION.	1,000.
BOSTON YOUTH SYMPHONY ORCHESTRA 855 COMMONWEALTH AVENUE BOSTON, MA 02215	NONE	501(C)(3)	TO PROVIDE SUPPORT FOR THE NEEDS OF THE ORGANIZATION.	2,500.
BOWDOIN COLLEGE 5000 SOUTH STREET BRUNSWICK, ME 04011	NONE	501(C)(3)	TO PROVIDE SUPPORT FOR THE NEEDS OF THE ORGANIZATION.	6,000.
BOYS AND GIRLS CLUBS OF BOSTON 50 CONGRESS STREET, SUITE 730 BOSTON, MA 02109	NONE	501(C)(3)	TO PROVIDE SUPPORT FOR THE NEEDS OF THE ORGANIZATION.	11,000.
BRAVO VAIL VALLEY MUSIC FESTIVAL 2271 N. FRONTAGE ROAD WEST, SUITE C VAIL, CO 81657	NONE	501(C)(3)	TO PROVIDE SUPPORT FOR THE NEEDS OF THE ORGANIZATION.	10,000.
COMBINED JEWISH PHILANTHROPIES 126 HIGH STREET BOSTON, MA 02110	NONE	501(C)(3)	TO PROVIDE SUPPORT FOR THE NEEDS OF THE ORGANIZATION.	50,000.
COMMUNITY MUSICWORKS 1392 WESTMINSTER STREET PROVIDENCE, RI 02909	NONE	501(C)(3)	TO PROVIDE SUPPORT FOR THE NEEDS OF THE ORGANIZATION.	10,000.
Total from continuation sheets				2,910,450.

223631
05-01-12

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CYSTIC FIBROSIS FOUNDATION/THE JOEY FUND 220 NORTH MAIN STREET, SUITE 104 NATICK, MA 01760	NONE	501(C)(3)	TO PROVIDE SUPPORT FOR THE NEEDS OF THE ORGANIZATION.	1,000.
DANA-FARBER CANCER INSTITUTE 450 BROOKLINE AVENUE BOSTON, MA 02215	NONE	501(C)(3)	TO PROVIDE SUPPORT FOR THE NEEDS OF THE ORGANIZATION.	911,500.
DOCTORS WITHOUT BORDERS PO BOX 5030 HAGERSTOWN, MD 21741	NONE	501(C)(3)	TO PROVIDE SUPPORT FOR THE NEEDS OF THE ORGANIZATION.	1,000.
ELLIS MEMORIAL AND ELDREDGE HOUSE 58 BERKELEY STREET BOSTON, MA 02116	NONE	501(C)(3)	TO PROVIDE SUPPORT FOR THE NEEDS OF THE ORGANIZATION.	1,000.
FACING HISTORY AND OURSELVES 16 HURD ROAD BROOKLINE, MA 02445	NONE	501(C)(3)	TO PROVIDE SUPPORT FOR THE NEEDS OF THE ORGANIZATION.	4,500.
FAMILY-TO-FAMILY PROJECT 14 BEACON STREET, SUITE 202 BOSTON, MA 02108	NONE	501(C)(3)	TO PROVIDE SUPPORT FOR THE NEEDS OF THE ORGANIZATION.	500.
FROM THE TOP 295 HUNTINGTON AVENUE, SUITE 201 BOSTON, MA 02115	NONE	501(C)(3)	TO PROVIDE SUPPORT FOR THE NEEDS OF THE ORGANIZATION.	15,000.
HANDEL & HAYDN SOCIETY 300 MASSACHUSETTS AVENUE BOSTON, MA 02115	NONE	501(C)(3)	TO PROVIDE SUPPORT FOR THE NEEDS OF THE ORGANIZATION.	1,000.
HARVARD BUSINESS SCHOOL 117 WESTERN AVENUE ALLSTON, MA 02134	NONE	501(C)(3)	TO PROVIDE SUPPORT FOR THE NEEDS OF THE ORGANIZATION.	25,000.
HEBREW SENIOR LIFE 1200 CENTRE STREET ROSLINDALE, MA 02131	NONE	501(C)(3)	TO PROVIDE SUPPORT FOR THE NEEDS OF THE ORGANIZATION.	5,000.
Total from continuation sheets				

223631
05-01-12

Part XV **Supplementary Information**

3 **Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
HISTORIC NEW ENGLAND OTIS HOUSE, 141 CAMBRIDGE STREET BOSTON, MA 02114	NONE	501(C)(3)	TO PROVIDE SUPPORT FOR THE NEEDS OF THE ORGANIZATION.	1,000.
HORIZONS FOR HOMELESS CHILDREN 1705 COLUMBUS AVENUE ROXBURY, MA 02119	NONE	501(C)(3)	TO PROVIDE SUPPORT FOR THE NEEDS OF THE ORGANIZATION.	2,000.
MAKE-A-WISH FOUNDATION 1 BULFINCH PLACE #201 BOSTON, MA 02114	NONE	501(C)(3)	TO PROVIDE SUPPORT FOR THE NEEDS OF THE ORGANIZATION.	1,000.
MUSEUM OF FINE ARTS - BOSTON 465 HUNTINGTON AVENUE BOSTON, MA 02115	NONE	501(C)(3)	TO PROVIDE SUPPORT FOR THE NEEDS OF THE ORGANIZATION.	403,000.
MUSEUM OF SCIENCE 1 SCIENCE PARK BOSTON, MA 02114	NONE	501(C)(3)	TO PROVIDE SUPPORT FOR THE NEEDS OF THE ORGANIZATION.	1,200.
MUSIC CONNECTS 20 BELGRADE AVENUE #1 ROSLINDALE, MA 02131	NONE	501(C)(3)	TO PROVIDE SUPPORT FOR THE NEEDS OF THE ORGANIZATION.	2,500.
NANTUCKET CONSERVATION FOUNDATION 118 CLIFF ROAD NANTUCKET, MA 02554	NONE	501(C)(3)	TO PROVIDE SUPPORT FOR THE NEEDS OF THE ORGANIZATION.	1,000.
NEW ENGLAND CONSERVATORY 290 HUNTINGTON AVENUE BOSTON, MA 02115	NONE	501(C)(3)	TO PROVIDE SUPPORT FOR THE NEEDS OF THE ORGANIZATION.	10,000.
NEWTON-WELLESLEY HOSPITAL 2014 WASHINGTON STREET NEWTON, MA 02462	NONE	501(C)(3)	TO PROVIDE SUPPORT FOR THE NEEDS OF THE ORGANIZATION.	1,000.
PIATIGORSKY FOUNDATION 225 WEST 34TH STREET, SUITE 1513 NEW YORK, NY 10122	NONE	501(C)(3)	TO PROVIDE SUPPORT FOR THE NEEDS OF THE ORGANIZATION.	500.
Total from continuation sheets				

223631
05-01-12

Part XV **Supplementary Information**

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
POSSE FOUNDATION 45 FRANKLIN STREET, 3RD FLOOR BOSTON, MA 02110	NONE	501(C)(3)	TO PROVIDE SUPPORT FOR THE NEEDS OF THE ORGANIZATION.	500.
SCHOOL OF THE MUSEUM OF FINE ARTS 230 THE FENWAY BOSTON, MA 02115	NONE	501(C)(3)	TO PROVIDE SUPPORT FOR THE NEEDS OF THE ORGANIZATION.	3,000.
SOUTH END LIBRARY 685 TREMONT STREET BOSTON, MA 02118	NONE	501(C)(3)	TO PROVIDE SUPPORT FOR THE NEEDS OF THE ORGANIZATION.	500.
SPRUCE STREET NURSERY SCHOOL 5 AVERY PLACE BOSTON, MA 02111	NONE	501(C)(3)	TO PROVIDE SUPPORT FOR THE NEEDS OF THE ORGANIZATION.	10,000.
SQUASHBUSTERS 795 COLUMBUS AVENUE ROXBURY CROSSING, MA 02120	NONE	501(C)(3)	TO PROVIDE SUPPORT FOR THE NEEDS OF THE ORGANIZATION.	1,500.
STEPPING STONE FOUNDATION 155 FEDERAL STREET #800 BOSTON, MA 02110	NONE	501(C)(3)	TO PROVIDE SUPPORT FOR THE NEEDS OF THE ORGANIZATION.	1,000.
TENACITY, INC. 38 EVERETT STREET BOSTON, MA 02134	NONE	501(C)(3)	TO PROVIDE SUPPORT FOR THE NEEDS OF THE ORGANIZATION.	500.
THE BRITISH SOCIETY 3 CHANNING ROAD MATTAPOISETT, MA 02739	NONE	501(C)(3)	TO PROVIDE SUPPORT FOR THE NEEDS OF THE ORGANIZATION.	1,000.
THE ESPLANADE ASSOCIATION 376 BOYLSTON STREET, SUITE 503 BOSTON, MA 02116	NONE	501(C)(3)	TO PROVIDE SUPPORT FOR THE NEEDS OF THE ORGANIZATION.	1,000.
THE JIMMY FUND 10 BROOKLINE PLACE WEST, 6TH FLOOR BROOKLINE, MA 02445	NONE	501(C)(3)	TO PROVIDE SUPPORT FOR THE NEEDS OF THE ORGANIZATION.	1,500.
Total from continuation sheets				

223631
05-01-12

Part XV **Supplementary Information**

3 *Grants and Contributions Paid During the Year (Continuation)*

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
THE MAX WARBURG COURAGE CURRICULUM 263 HUNTINGTON AVENUE, #366 BOSTON, MA 02115	NONE	501(C)(3)	TO PROVIDE SUPPORT FOR THE NEEDS OF THE ORGANIZATION.	1,500.
THE WINSOR SCHOOL 103 PILGRIM ROAD BOSTON, MA 02215	NONE	501(C)(3)	TO PROVIDE SUPPORT FOR THE NEEDS OF THE ORGANIZATION.	532,500.
UASPIRE 31 ST. JAMES AVENUE, SUITE 520 BOSTON, MA 02116	NONE	501(C)(3)	TO PROVIDE SUPPORT FOR THE NEEDS OF THE ORGANIZATION.	1,000.
UNITED WAY 51 SLEEPER STREET BOSTON, MA 02210	NONE	501(C)(3)	TO PROVIDE SUPPORT FOR THE NEEDS OF THE ORGANIZATION.	28,500.
UNIVERSITY OF PENNSYLVANIA 3451 WALNUT STREET PHILADELPHIA, PA 19104	NONE	501(C)(3)	TO PROVIDE SUPPORT FOR THE NEEDS OF THE ORGANIZATION.	620,000.
VAIL VALLEY FOUNDATION SUITE 300, 90 BENCHMARK ROAD AVON, CO 81620	NONE	501(C)(3)	TO PROVIDE SUPPORT FOR THE NEEDS OF THE ORGANIZATION.	11,250.
VAIL VALLEY MEDICAL CENTER 181 W MEADOW DRIVE VAIL, CO 81657	NONE	501(C)(3)	TO PROVIDE SUPPORT FOR THE NEEDS OF THE ORGANIZATION.	1,000.
VOSS FOUNDATION 236 WEST 30TH STREET, 12TH FLR NEW YORK, NY 10001	NONE	501(C)(3)	TO PROVIDE SUPPORT FOR THE NEEDS OF THE ORGANIZATION.	1,000.
WBUR (PUBLIC RADIO 90.9FM BOSTON) 890 COMMONWEALTH AVENUE, 3RD FLOOR BOSTON, MA 02215	NONE	501(C)(3)	TO PROVIDE SUPPORT FOR THE NEEDS OF THE ORGANIZATION.	1,000.
WGBH (PUBLIC RADIO 89.7FM BOSTON) ONE GUEST STREET BOSTON, MA 02135	NONE	501(C)(3)	TO PROVIDE SUPPORT FOR THE NEEDS OF THE ORGANIZATION.	10,000.
Total from continuation sheets				

Part XV **Supplementary Information**

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
WHEATON COLLEGE 26 E. MAIN STREET NORTON, MA 02766	NONE	501(C)(3)	TO PROVIDE SUPPORT FOR THE NEEDS OF THE ORGANIZATION.	200,000.
Total from continuation sheets				

223631
05-01-12

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
FROM K-1 - CRA FUND II, LLC	13,662.
FROM K-1 - HIGHVISTA II, LP	28,390.
GOLDMAN SACHS #17593	163.
GOLDMAN SACHS #58579	15.
GOLDMAN SACHS #74196	142.
GOLDMAN SACHS #74198	136.
GOLDMAN SACHS #75069	554.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	43,062.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
FIDELITY #71455	71.	0.	71.
FROM K-1 - ALTRINSIC: NON-US EQUITY, LLC	38,573.	0.	38,573.
FROM K-1 - CRA FUND II, LLC	16,884.	0.	16,884.
FROM K-1 - HIGHVISTA II, LP	30,563.	0.	30,563.
GOLDMAN SACHS #17593	196,010.	17,458.	178,552.
GOLDMAN SACHS #58579	37,841.	0.	37,841.
GOLDMAN SACHS #74196	56,348.	0.	56,348.
GOLDMAN SACHS #74198	38,118.	0.	38,118.
GOLDMAN SACHS #75069	94,858.	0.	94,858.
MERRILL LYNCH #04211	286,851.	0.	286,851.
TOTAL TO FM 990-PF, PART I, LN 4	796,117.	17,458.	778,659.

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
FROM K-1 - ALTRINSIC: NON-US EQUITY, LLC - OTHER INCOME (LOSS)	-14,422.	-14,422.	
FROM K-1 - CRA FUND II, LLC - OTHER INCOME (LOSS)	-26,455.	-26,455.	
FROM K-1 - HIGHVISTA II, LP - OTHER INCOME (LOSS)	179,988.	179,988.	
TOTAL TO FORM 990-PF, PART I, LINE 11	139,111.	139,111.	

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
GOLDMAN SACHS #17593	7,246.	3,623.		0.
GOLDMAN SACHS #58579	1,000.	500.		0.
GOLDMAN SACHS #74196	19,399.	9,700.		0.
GOLDMAN SACHS #74198	37,070.	18,597.		0.
GOLDMAN SACHS #75069	44,332.	23,519.		0.
TO FORM 990-PF, PG 1, LN 16C	109,047.	55,939.		0.

FORM 990-PF TAXES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL ESTIMATED TAX PAYMENTS	5,000.	0.		0.
FEDERAL PRIOR-YEAR EXTENSION PAYMENT	27,000.	0.		0.
FOREIGN TAX FROM K-1 - ALTRINSIC: NON-US EQUITY, LLC	3,434.	3,434.		0.
FOREIGN TAX FROM K-1 - CRA FUND II, LLC	904.	904.		0.
FOREIGN TAX FROM K-1 - HIGHVISTA II, LP	741.	741.		0.
FOREIGN TAX GOLDMAN SACHS #17593	8,799.	8,799.		0.
FOREIGN TAX GOLDMAN SACHS #58579	2,633.	2,633.		0.
FOREIGN TAX GOLDMAN SACHS #74196	26.	26.		0.
FOREIGN TAX GOLDMAN SACHS #74198	70.	70.		0.
FOREIGN TAX GOLDMAN SACHS #75069	7,300.	7,300.		0.
RECLAIMED TAX GOLDMAN SACHS #74196	37.	0.		0.
RECLAIMED TAX GOLDMAN SACHS #75069	3,591.	0.		0.
TO FORM 990-PF, PG 1, LN 18	59,535.	23,907.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MISCELLANEOUS FEE FROM CRA FUND II	27.	14.		0.
NONDEDUCTIBLE EXPENSES FROM K-1 - ALTRINSIC: NON-EQUITY, LLC	2,226.	0.		0.
NONDEDUCTIBLE EXPENSES FROM K-1 - CRA FUND II, LP	50.	0.		0.
NONDEDUCTIBLE EXPENSES FROM K-1 - HIGHVISTA II, LP	671.	0.		0.
TPI EXPENSES	24,972.	0.		0.
MA PC FEES	618.	0.		0.
TO FORM 990-PF, PG 1, LN 23	28,564.	14.		0.

FORM 990-PF OTHER DECREASES IN NET ASSETS OR FUND BALANCES STATEMENT 7

DESCRIPTION	AMOUNT
UNREALIZED APPRECIATION ON CONTRIBUTED SECURITIES	4,393,076.
TOTAL TO FORM 990-PF, PART III, LINE 5	4,393,076.

FORM 990-PF OTHER INVESTMENTS STATEMENT 8

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
FROM K-1 - ALTRINSIC, LLC	COST	141,934.	141,992.
FROM K-1 - CRA FUND II, LLC	COST	1,092,125.	1,164,138.
FROM K-1 - HIGHVISTA II, LP	COST	4,903,259.	5,315,661.
GOLDMAN SACHS CLIENT #5792	COST	1,958,716.	6,943,771.
GOLDMAN SACHS DSM #4198	COST	2,660,403.	3,122,737.
GOLDMAN SACHS HERNDON #4196	COST	2,519,540.	2,671,172.
GOLDMAN SACHS INVESCO #5069	COST	4,136,770.	4,534,942.
GOLDMAN SACHS MANAGED #5933	COST	8,495,380.	8,953,284.
MERRILL LYNCH #04211	COST	2,199,398.	6,029,531.
TOTAL TO FORM 990-PF, PART II, LINE 13		28,107,525.	38,877,228.

Richard Lubin Family Foundation Account xxxxx579-2
Gifts Made As of 4/11/2012

Base Currency :USD and Custodian :Goldman Sachs & Company

Symbol	CUSIP	Product Description	Qty	Market Value	Daily Low	Daily High	Average[1] Price	Average [2] Market Value
CSCO	17275R102	CISCO SYSTEMS, INC.	956	$19,134.34	$19.62	$20.04	$19.83	$18,957.48
K	487836108	KELLOGG COMPANY	1,005	$53,275.05	$52.97	$53.56	$53.27	$53,531.33
MANH	562750109	MANHATTAN ASSOCIATES, INC.	567	$26,558.28	$46.10	$47.02	$46.56	$26,399.52
MAR	571903202	MARRIOTT INTERNATIONAL, INC.	890	$33,232.60	$36.60	$37.46	$37.03	$32,956.70
COST	22160K105	COSTCO WHOLESALE CORPORATION	445	$38,857.40	$87.06	$87.59	$87.33	$38,859.63
JNPR	48203R104	JUNIPER NETWORKS, INC.	1,472	$32,016.00	$20.83	$21.85	$21.34	$31,412.48
BRCM	111320107	BROADCOM CORPORATION	1,029	$37,599.66	$36.25	$37.21	$36.73	$37,795.17
INTC	458140100	INTEL CORPORATION	719	$20,024.15	$27.63	$28.00	$27.82	$19,998.99
UNH	91324P102	UNITEDHEALTH GROUP INCORPORATED	457	$26,515.14	$57.85	$58.54	$58.20	$26,595.12
HSIC	806407102	HENRY SCHEIN, INC.	445	$33,032.35	$73.82	$74.88	$74.35	$33,085.75
SBH	79546E104	SALLY BEAUTY HOLDINGS, INC.	815	$20,546.15	$24.73	$25.21	$24.97	$20,350.55
ADBE	00724F101	ADOBE SYSTEMS INCORPORATED	785	$25,908.93	$32.63	$33.10	$32.87	$25,799.03
SAM	100557107	BOSTON BEER COMPANY, INC. (THE)	504	$51,856.56	$101.90	$102.89	$102.40	$51,607.08
ABT	002824100	ABBOTT LABORATORIES	659	$39,315.94	$59.59	$60.41	$60.00	$39,540.00
CPB	134429109	CAMPBELL SOUP COMPANY	597	$19,784.58	$33.06	$33.34	$33.20	$19,820.40
DLTR	256746108	DOLLAR TREE INC.	142	$13,453.79	$93.77	$94.78	$94.28	$13,387.05
CW	231561101	CURTISS-WRIGHT CORPORATION	546	$19,383.00	$35.19	$35.56	$35.38	$19,314.75
FDO	307000109	FAMILY DOLLAR STORES, INC.	213	$13,499.94	$62.99	$63.83	$63.41	$13,506.33
SM	78454L100	SM ENERGY COMPANY	476	$30,625.84	$64.20	$66.00	$65.10	$30,987.60
MCRL	594793101	MICREL, INCORPORATED	3,284	$31,329.36	$9.48	$9.64	$9.56	$31,395.04
NOV	637071101	NATIONAL OILWELL VARCO INC	508	$39,100.76	$76.77	$77.82	$77.30	$39,265.86
		Totals		$625,049.82				$624,565.84

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[1] Avg of Daily High and Low = (Daily High Price+Daily Low Price)/2

[2] Avg Market Value = Avg of High Low*Qty

Please see page 2 for additional important disclosures.

Richard Lubin Securities Gifted as of 12/17/12
AS OF 17-Dec-2012
Base Currency USD and Custodian Goldman Sachs & Company

[1] Avg of Daily High and Low = (Daily High Price+Daily Low Price)/2
[2] Avg Market Value = Avg of High Low*Qty

Description	Symbol	CUSIP	Qty	Unit Cost	Current Cost	Trade Date	Tax Term	Price	Mkt Val	Unrlzd Gain	Daily High	Daily Low	Average[1] Price	Average[2] Market Value
ABB LTD SPONSORED ADR CMN	ABB	000375204	2,456	$12.9157	$31,720.96	11/28/2008	Long	$20.49	$50,323.44	$18,602.48	$20.49	$20.36	$20.43	$50,163.80
AEGON N V AMER REG ADR CMN	AEG	007924103	1,575	$3.6449	$5,740.72	9/22/2011	Long	$6.08	$9,576.00	$3,835.28	$6.10	$6.02	$6.06	$9,544.50
AKZO NOBEL N V SPONSORED ADR	AKZOY	010199305	276	$11.3333	$3,128.00	11/28/2008	Long	$21.33	$5,887.36	$2,759.36	$21.49	$21.30	$21.40	$5,905.02
AMCOR LTD ADR (NEW) ADR CMN	AMCRY	02341R302	1,125	$19.8418	$22,322.02	5/26/2010	Long	$34.07	$38,328.75	$16,006.73	$34.07	$33.90	$33.99	$38,233.13
ARM HOLDINGS PLC SPON ADR	ARMH	042068106	175	$24.2519	$4,244.08	8/9/2011	Long	$36.62	$6,408.50	$2,164.42	$36.64	$35.80	$36.22	$6,338.50
ASSA ABLOY AB UNSPONSORED ADR	ASAZY	045387107	1,810	$9.9662	$18,038.82	9/22/2011	Long	$17.80	$32,218.00	$14,179.18	$17.90	$17.77	$17.84	$32,281.35
ATLAS COPCO AB SPONS ADR	ATLCY	049255805	1,055	$12.5631	$13,254.07	2/10/2010	Long	$23.20	$24,476.00	$11,221.93	$23.34	$23.20	$23.27	$24,549.85
AXA-UAP	AXAHY	054536107	3,157	$9.4498	$29,833.02	2/25/2009	Long	$17.18	$54,237.26	$24,404.24	$17.18	$16.92	$17.05	$53,826.85
ALLIANZ SE ADR CMN	AZSEY	018805101	686	$6.2519	$4,288.80	2/25/2009	Long	$13.65	$9,363.90	$5,075.10	$13.65	$13.54	$13.60	$9,326.17
ALLIANZ SE ADR CMN	AZSEY	018805101	4,731	$8.2414	$38,990.07	11/28/2008	Long	$13.65	$64,578.15	$25,588.08	$13.65	$13.54	$13.60	$64,317.95
BASF SE ADR SPONSORED ADR	BASFY	055262505	493	$51.5100	$25,394.43	5/26/2010	Long	$94.99	$46,830.07	$21,435.64	$95.15	$94.25	$94.70	$46,687.10
BAYER AG-SPONSORED ADR	BAYRY	072730302	796	$51.5600	$41,041.76	7/13/2009	Long	$95.25	$75,819.00	$34,777.24	$95.25	$94.62	$94.94	$75,568.26
BAYER AG-SPONSORED ADR	BAYRY	072730302	68	$51.6124	$3,509.64	11/28/2008	Long	$95.25	$6,477.00	$2,967.36	$95.25	$94.62	$94.94	$6,455.58
BARCLAYS PLC,AMER DEP SHS ADR CMN	BCS	06738E204	287	$8.4900	$2,436.63	9/22/2011	Long	$16.67	$4,784.29	$2,347.66	$16.67	$16.44	$16.56	$4,751.29
BARCLAYS PLC,AMER DEP SHS ADR CMN	BCS	06738E204	1,413	$9.0816	$12,832.30	4/1/2009	Long	$16.67	$23,554.71	$10,722.41	$16.67	$16.44	$16.56	$23,392.22
BARCLAYS PLC,AMER DEP SHS ADR CMN	BCS	06738E204	905	$9.3981	$8,505.28	9/13/2011	Long	$16.67	$15,086.35	$6,581.07	$16.67	$16.44	$16.56	$14,982.28
BHP BILLITON LIMITED SPONSORED ADR CMN	BHP	088606108	111	$44.5100	$4,940.61	4/1/2009	Long	$77.24	$8,573.64	$3,633.03	$77.40	$76.67	$77.04	$8,550.89
BHP BILLITON LIMITED SPONSORED ADR CMN	BHP	088606108	17	$51.0100	$867.17	7/13/2009	Long	$77.24	$1,313.08	$445.91	$77.40	$76.67	$77.04	$1,309.60
BRIDGESTONES CORP ADR ADR CMN	BRDCY	108441205	642	$28.9649	$18,595.47	2/25/2009	Long	$49.77	$31,952.34	$13,356.87	$49.77	$49.51	$49.64	$31,868.88
BRIDGESTONES CORP ADR ADR CMN	BRDCY	108441205	204	$32.2670	$6,582.47	8/5/2008	Long	$49.77	$10,153.08	$3,570.61	$49.77	$49.51	$49.64	$10,126.56
COCA-COLA HELLENIC BOTTLING CO	CCH	1912EP104	5	$10.8974	$54.49	1/14/2009	Long	$21.56	$107.80	$53.31	$21.56	$21.34	$21.45	$107.25
COCA-COLA HELLENIC BOTTLING CO	CCH	1912EP104	204	$11.8214	$2,411.58	11/28/2008	Long	$21.56	$4,398.24	$1,986.67	$21.56	$21.34	$21.45	$4,375.80
COMPAGNIE FINANCIERE RICHEMONT	CFRUY	204319107	4,590	$4.8700	$22,353.30	9/22/2011	Long	$7.83	$35,939.70	$13,586.40	$7.89	$7.81	$7.85	$36,031.50
CHEUNG KONG HLDGS LTD (ADR)	CHEUY	166744201	5	$9.3000	$46.50	1/14/2009	Long	$15.56	$77.80	$31.30	$15.56	$15.34	$15.45	$77.25
CHEUNG KONG HLDGS LTD (ADR)	CHEUY	166744201	327	$9.9000	$3,237.30	10/21/2008	Long	$15.56	$5,088.12	$1,850.82	$15.56	$15.34	$15.45	$5,052.15
CSL LIMITED UNSPONSORED	CMXHY	12637N105	1,159	$12.8661	$14,911.81	5/26/2010	Long	$28.20	$32,683.80	$17,771.99	$28.25	$27.97	$28.11	$32,579.49
CSL LIMITED UNSPONSORED ADR CMN	CMXHY	12637N105	177	$13.5700	$2,401.89	12/17/2009	Long	$28.20	$4,991.40	$2,589.51	$28.25	$27.97	$28.11	$4,975.47
CARNIVAL PLC ADR CMN	CUK	14365C103	151	$21.1500	$3,193.65	11/28/2008	Long	$40.27	$6,080.77	$2,887.12	$40.44	$39.78	$40.11	$6,056.61
DANONE SPONSORED ADR CMN	DANOY	23636T100	1,946	$7.8092	$15,196.61	9/23/2004	Long	$13.01	$25,317.46	$10,120.85	$13.07	$12.90	$12.99	$25,268.81
DASSAULT SYSTEMES SPONSORED ADR CMN	DASTY	237545108	65	$55.5011	$3,607.57	2/10/2010	Long	$111.45	$7,244.25	$3,636.68	$111.48	$110.92	$111.20	$7,228.00
DBS GROUP HOLDINGS SPONSORED ADR CMN	DBSDY	23304Y100	931	$24.9500	$23,228.45	11/28/2008	Long	$49.19	$45,800.17	$22,571.72	$49.20	$48.61	$48.91	$45,530.56
DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN	DLAKY	251561304	443	$11.0700	$4,904.01	4/1/2009	Long	$18.37	$8,138.35	$3,234.34	$18.54	$18.30	$18.42	$8,160.06
DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN	DLAKY	251561304	222	$11.4000	$2,530.80	2/25/2009	Long	$18.37	$4,078.36	$1,547.56	$18.54	$18.30	$18.42	$4,089.24
DANSKE BK A/S ADR CMN	DNSKY	236363107	4,078	$4.3852	$17,882.85	4/1/2009	Long	$8.54	$34,830.20	$16,947.35	$8.63	$8.53	$8.58	$34,989.24
EUROPEAN AERONAUTIC DEFENCE AN ADR CMN	EADSY	29875W100	1,032	$19.1735	$19,787.05	2/10/2010	Long	$38.47	$39,701.04	$19,913.99	$38.49	$38.03	$38.26	$39,484.32
FUJI HEAVY IND LTD ADR ADR CMN	FUJHY	359556206	1,650	$11.3900	$18,793.50	11/15/2011	Long	$23.14	$38,181.00	$19,387.50	$23.14	$23.04	$23.09	$38,098.50
HSBC HOLDINGS PLC SPONSORED ADR CMN	HBC	404280406	576	$29.9013	$17,223.15	4/1/2009	Long	$52.13	$30,026.88	$12,803.73	$52.15	$51.67	$51.91	$29,900.16
POWER ASSETS HLDGS LTD SPONSORED ADR CMN	HGKGY	739197200	4,478	$5.6855	$25,459.67	11/28/2008	Long	$8.56	$38,322.72	$12,863.05	$8.56	$8.53	$8.55	$38,264.51
HENDERSON LAND DEVELOPMENT CO LTD SPON ADR	HLDCY	425166303	945	$3.7900	$3,581.55	10/21/2008	Long	$7.12	$6,728.40	$3,146.85	$7.17	$7.12	$7.15	$6,752.03
HONDA MTR LTD (AMER SHS) ADR CMN	HMC	438128308	1,873	$22.0065	$41,218.18	11/28/2008	Long	$33.96	$63,607.08	$22,388.90	$33.96	$33.67	$33.82	$63,335.50
HUTCHISON WHAMPOA (ADR) ADR CMN	HUWHY	448415208	280	$12.9520	$3,626.56	12/17/2009	Long	$20.85	$5,838.00	$2,211.44	$20.85	$20.71	$20.78	$5,818.40
HANNOVER RUCKVESICHERUNGS CORP SPONSORED ADR	HVRRY	410693105	496	$20.2000	$10,019.20	9/22/2011	Long	$37.99	$18,843.04	$8,823.84	$38.10	$37.86	$37.98	$18,838.08
INTERNATIONAL CONSOLIDATED AIRLINES GROUP, S.A.	ICAGY	459348108	208	$9.4784	$1,971.51	2/25/2009	Long	$14.54	$3,024.32	$1,052.81	$14.60	$14.45	$14.53	$3,021.20
INTERCONTINENTAL HOTELS GROUP PLC SPONSORED ADR	IHG	45857P400	220	$16.7170	$3,677.75	9/22/2011	Long	$26.74	$5,882.80	$2,205.05	$26.76	$26.55	$26.66	$5,864.10
INTERCONTINENTAL HOTELS GROUP PLC SPONSORED ADR	IHG	45857P400	21	$17.1733	$360.64	9/13/2011	Long	$26.74	$561.54	$200.90	$26.76	$26.55	$26.66	$559.76
ING GROEP N V. SPONS ADR SPONSORED ADR CMN	ING	456837103	1,038	$4.9864	$5,175.92	4/1/2009	Long	$9.53	$9,892.14	$4,716.22	$9.55	$9.42	$9.49	$9,845.43
KRAFT FOODS GROUP, INC CMN	KRFT	50076Q106	128	$30.6230	$3,919.75	2/12/2010	Long	$46.33	$5,930.24	$2,010.49	$46.65	$45.99	$46.32	$5,928.96
KUBOTA CORP ADR ADR CMN	KUB	501173207	738	$29.2197	$21,564.14	11/28/2008	Long	$54.33	$40,095.54	$18,531.40	$54.43	$53.95	$54.19	$39,992.22

Past performance is not a guide of future results and the value of the investments and the income derived from them can go down as well as up
Please see page 3 for additional important disclosures

Richard Lubin Securities Gifted as of 12/17/12
AS OF 17-Dec-2012
Base Currency USD and Custodian Goldman Sachs & Company

[1] Avg of Daily High and Low = (Daily High Price+Daily Low Price)/2
[2] Avg Market Value = Avg of High Low*Qty

Description	Symbol	CUSIP	Qty	Unit Cost	Current Cost	Trade Date	Tax Term	Price	Mkt Val	Unrlzd Gain	Daily High	Daily Low	Average[1] Price	Average[2] Market Value
KYOCERA CORP ADR ADR CMN	KYO	501556203	43	$60.2700	$2,591.61	2/25/2009	Long	$93.41	$4,016.63	$1,425.02	$93.51	$92.79	$93.15	$4,005.45
L'OREAL CO (ADR) ADR CMN	LRLCY	502117203	179	$12.9405	$2,316.35	10/12/2004	Long	$27.29	$4,884.91	$2,568.56	$27.29	$27.10	$27.20	$4,867.91
L'OREAL CO (ADR) ADR CMN	LRLCY	502117203	413	$12.9491	$5,347.98	10/13/2004	Long	$27.29	$11,270.77	$5,922.79	$27.29	$27.10	$27.20	$11,231.54
L'OREAL CO (ADR) ADR CMN	LRLCY	502117203	121	$13.0146	$1,574.77	10/14/2004	Long	$27.29	$3,302.09	$1,727.32	$27.29	$27.10	$27.20	$3,290.60
L'OREAL CO (ADR) ADR CMN	LRLCY	502117203	110	$13.0500	$1,435.50	10/8/2004	Long	$27.29	$3,001.90	$1,566.40	$27.29	$27.10	$27.20	$2,991.45
L'OREAL CO (ADR) ADR CMN	LRLCY	502117203	1,130	$13.2000	$14,916.00	9/23/2004	Long	$27.29	$30,837.70	$15,921.70	$27.29	$27.10	$27.20	$30,730.35
LUXOTTICA GROUP ADS(SPONSORED)	LUX	55068R202	845	$24.7986	$20,954.82	2/10/2010	Long	$40.85	$34,518.25	$13,563.43	$40.94	$40.68	$40.81	$34,484.45
LUXOTTICA GROUP ADS(SPONSORED)	LUX	55068R202	66	$26.3539	$1,739.36	9/22/2011	Long	$40.85	$2,696.10	$956.74	$40.94	$40.68	$40.81	$2,693.46
LVMH MOET HENNESSY LOUIS VUITTON S A ADR CMN	LVMUY	502441306	310	$21.4400	$6,646.40	2/10/2010	Long	$36.15	$11,206.50	$4,560.10	$36.33	$35.96	$36.15	$11,204.95
MARKS AND SPENCER P L.C SPONSORED ADR CMN	MAKSY	570912105	1,922	$6.9700	$13,396.34	11/28/2008	Long	$12.61	$24,236.42	$10,840.08	$12.67	$12.54	$12.61	$24,226.81
MARKS AND SPENCER P.L C. SPONSORED ADR CMN	MAKSY	570912105	1,135	$7.1900	$8,160.65	2/25/2009	Long	$12.61	$14,312.35	$6,151.70	$12.67	$12.54	$12.61	$14,306.68
MITSUBISHI ESTATE LTD ADR ADR CMN	MITEY	606783207	1,040	$10.2400	$10,649.60	2/25/2009	Long	$20.82	$21,652.80	$11,003.20	$20.90	$20.70	$20.80	$21,632.00
MITSUBISHI ESTATE LTD ADR ADR CMN	MITEY	606783207	1,314	$11.7500	$15,439.50	4/1/2009	Long	$20.82	$27,357.48	$11,917.98	$20.90	$20.70	$20.80	$27,331.20
MACQUARIE GROUP LIMITED ADR CMN	MQBKY	55607P105	423	$18.7000	$7,910.10	4/1/2009	Long	$35.15	$14,868.45	$6,958.35	$35.15	$34.97	$35.06	$14,830.38
NOVARTIS AG-ADR SPONSORED ADR CMN	NVS	66987V109	37	$38.0100	$1,406.37	2/25/2009	Long	$63.54	$2,350.98	$944.61	$63.64	$63.20	$63.42	$2,346.54
TELECOM CORP OF NEW ZEALAND 1 ADS REP 5 ORD SHS	NZTCY	879278208	3,178	$5.0115	$15,926.58	11/28/2008	Long	$9.09	$28,888.02	$12,961.44	$9.15	$9.04	$9.10	$28,903.91
ROLLS-ROYCE GROUP PLC SPONSORED ADR CMN	RYCEY	775781206	94	$40.9000	$3,844.60	5/26/2010	Long	$69.78	$6,559.32	$2,714.72	$69.98	$69.35	$69.67	$6,548.51
SAP AG (SPON ADR)	SAP	803054204	997	$45.0929	$44,957.63	12/17/2009	Long	$80.64	$80,398.08	$35,440.46	$80.69	$80.13	$80.41	$80,168.77
SCHNEIDER ELECTRIC SA UNSPONSORED ADR (FRANCE)	SBGSY	80687P106	1,870	$6.7900	$12,697.30	4/1/2009	Long	$14.40	$26,928.00	$14,230.70	$14.50	$14.35	$14.43	$26,974.75
SANDS CHINA LTD ADR CMN	SCHYY	80007R105	125	$25.7200	$3,215.00	9/22/2011	Long	$43.86	$5,483.00	$2,268.00	$43.91	$43.47	$43.69	$5,461.25
SODEXO SPONSORED ADR CMN	SDXAY	833792104	430	$50.4527	$21,694.66	11/28/2008	Long	$84.54	$36,353.92	$14,659.26	$84.54	$83.91	$84.23	$36,216.75
SIEMENS AKTIENGESELLSCHAFT SPONSORED ADR CMN	SI	826197501	632	$59.4415	$37,567.03	11/28/2008	Long	$108.24	$68,407.68	$30,840.65	$108.68	$107.90	$108.29	$68,439.28
SEKISUI HOMES LTD SPONSORED ADR CMN	SKHSY	816078307	1,620	$6.6200	$10,724.40	2/25/2009	Long	$9.94	$16,102.80	$5,378.40	$9.99	$9.93	$9.96	$16,135.20
SANOFI SPONSORED ADR CMN	SNY	80105N105	1,335	$27.6386	$36,897.53	11/28/2008	Long	$46.84	$62,531.40	$25,633.87	$46.99	$46.56	$46.78	$62,444.63
STATOILHYDRO ASA SPONSORED ADR CMN	STO	85771P102	290	$16.4588	$7,521.67	2/25/2009	Long	$24.68	$11,278.76	$3,757.09	$24.71	$24.54	$24.63	$7,141.25
SUN HUNG KAI PROP LTD SPON ADR SPONSORED ADR CMN	SUHJY	86676H302	3,098	$7.9500	$24,629.10	11/28/2008	Long	$15.04	$46,593.92	$21,964.82	$15.04	$14.97	$15.01	$46,485.49
SWEDBANK A B ADR CMN	SWDBY	870195104	339	$11.6000	$3,932.40	9/13/2011	Long	$18.73	$6,349.47	$2,417.07	$18.79	$18.60	$18.70	$6,337.61
SYNGENTA AG SPONSORED ADR CMN	SYT	87160A100	5	$43.1142	$215.57	5/26/2010	Long	$81.00	$405.00	$189.43	$81.13	$80.78	$80.96	$404.78
UBS AG CMN	UBS	H89231338	2,904	$9.7361	$28,273.63	4/1/2009	Long	$16.34	$47,451.36	$19,177.73	$16.37	$16.17	$16.27	$47,248.08
UNILEVER PLC (NEW) SPONSORED ADR CMN	UL	904767704	247	$22.8890	$5,653.58	11/28/2008	Long	$39.14	$9,667.58	$4,014.00	$39.17	$39.03	$39.10	$9,657.70
UNILEVER N V. NY SHS (NEW) ADR CMN	UN	904784709	1,571	$23.2838	$36,578.85	11/28/2008	Long	$38.51	$60,499.21	$23,920.36	$38.52	$38.32	$38.42	$60,357.82
UPM-KYMMENE OYJ SPONSORED ADR CMN	UPMKY	915436109	676	$7.2800	$4,921.28	2/25/2009	Long	$11.71	$7,915.96	$2,994.68	$11.78	$11.69	$11.74	$7,932.86
UNITED UTILITIES GROUP PLC SPONSORED ADR CMN	UUGRY	91311E102	330	$13.8658	$4,575.71	4/1/2009	Long	$22.79	$7,520.70	$2,944.99	$23.00	$22.77	$22.89	$7,552.05
UNITED UTILITIES GROUP PLC SPONSORED ADR CMN	UUGRY	91311E102	1,197	$14.5600	$17,428.32	2/25/2009	Long	$22.79	$27,279.63	$9,851.31	$23.00	$22.77	$22.89	$27,393.35
VOLKSWAGEN AKTIENGESELLSCHAFT SPONSORED ADR CMN	VLKAY	928662303	938	$17.8470	$16,740.49	2/10/2010	Long	$42.20	$39,583.60	$22,843.11	$42.42	$42.00	$42.21	$39,592.98
WOODSIDE PETROLEUM SPONS ADR (NEW)	WOPEY	980228308	1,242	$23.4205	$29,088.26	11/28/2008	Long	$36.05	$44,774.10	$15,685.84	$36.05	$35.66	$35.86	$44,531.91
ZURICH INS GROUP LTD SPONSORED ADR CMN	ZURVY	989825104	592	$16.9803	$10,052.33	9/13/2011	Long	$26.31	$15,575.52	$5,523.19	$26.31	$26.02	$26.17	$15,489.68
Subtotal					$1,080,226.94				$1,908,412.44	$828,185.49				$1,899,996.67

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Richard Lubin Securities Gifted as of 12/17/12
AS OF 17-Dec-2012
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[1] Avg of Daily High and Low = (Daily High Price+Daily Low Price)/2
[2] Avg Market Value = Avg of High Low*Qty

Description	Symbol	CUSIP	Qty	Unit Cost	Current Cost	Trade Date	Tax Term	Price	Mkt Val	Unrlzd Gain	Daily High	Daily Low	Average[1] Price	Average[2] Market Value
GS SMALL CAP VALUE FUND INSTITUTIONAL CLASS SHARES	GSSIX	38142V209	4 5350	$28.7122	$130 21	12/12/2002	Long	$43 77	$198 50	$68 29	N/A	N/A	N/A	$198 18
GS SMALL CAP VALUE FUND INSTITUTIONAL CLASS SHARES	GSSIX	38142V209	5 1290	$28 7171	$147 29	12/12/2002	Long	$43 77	$224 50	$77 21	N/A	N/A	N/A	$224 14
GS SMALL CAP VALUE FUND INSTITUTIONAL CLASS SHARES	GSSIX	38142V209	13 3190	$24.2623	$323 15	12/9/2008	Long	$43 77	$582.97	$259 82	N/A	N/A	N/A	$582 04
GS SMALL CAP VALUE FUND INSTITUTIONAL CLASS SHARES	GSSIX	38142V209	30 9870	$24 2624	$751 82	12/9/2008	Long	$43 77	$1,356.30	$604 48	N/A	N/A	N/A	$1,354 13
GS SMALL CAP VALUE FUND INSTITUTIONAL CLASS SHARES	GSSIX	38142V209	45 1260	$31 4329	$1,418 44	12/8/2009	Long	$43 77	$1,975 17	$556 72	N/A	N/A	N/A	$1,972 01
GS SMALL CAP VALUE FUND INSTITUTIONAL CLASS SHARES	GSSIX	38142V209	5,082 9650	$28 0630	$142,643 25	10/21/2002	Long	$43 77	$222,481 38	$79,838 13	N/A	N/A	N/A	$222,125 57
Subtotal			**5,182.0610**		**$145,414.16**				**$226,818.81**	**$81,404.65**				**$226,456.07**
GS HIGH YIELD FUND INSTITUTIONAL SHARES	GSHIX	38141W679	129 0680	$5 1887	$669 69	3/31/2009	Long	$7 31	$943 49	$273 80	N/A	N/A	N/A	$928 00
GS HIGH YIELD FUND INSTITUTIONAL SHARES	GSHIX	38141W679	707 6140	$5 9199	$4,188 99	5/29/2009	Long	$7.31	$5,172 66	$983 67	N/A	N/A	N/A	$5,087.74
GS HIGH YIELD FUND INSTITUTIONAL SHARES	GSHIX	38141W679	737 2470	$5 6394	$4,157 64	4/30/2009	Long	$7.31	$5,389 28	$1,231 64	N/A	N/A	N/A	$5,300 81
GS HIGH YIELD FUND INSTITUTIONAL SHARES	GSHIX	38141W679	97,303 1730	$5 1386	$500,000 00	3/24/2009	Long	$7.31	$711,286 19	$211,286 19	N/A	N/A	N/A	$699,609 81
Subtotal			**98,877.1020**		**$509,016.32**				**$722,791.62**	**$213,775.30**				**$710,926.36**
GS HIGH YIELD MUNICIPAL FUND INSTITUTIONAL SHARES	GHYIX	38142Y583	682 136	$6 7800	$4,624 88	31-Dec-08	Long	$9 45	$6,446 19	$1,821 31	N/A	N/A	N/A	$6,371 15
GS HIGH YIELD MUNICIPAL FUND INSTITUTIONAL SHARES	GHYIX	38142Y584	64,102 5640	$7 0200	$450,000 00	13-Jan-09	Long	$9 45	$605,769 23	$155,769 23	N/A	N/A	N/A	$598,717.95
Subtotal			**64,784.7000**		**$454,624.88**				**$612,215.42**	**$157,590.54**				**$605,089.10**

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THE RICHARD K. LUBIN FAMILY FOUNDATION

CONTINUATION FOR 990-PF, PART IV

22-2773808 PAGE 1 OF 2

Part IV **Capital Gains and Losses for Tax on Investment Income**

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	FROM K-1 - ALTRINSIC: NON-US EQUITY, LLC	P	VARIOUS	VARIOUS
b	FROM K-1 - ALTRINSIC: NON-US EQUITY, LLC	P	VARIOUS	VARIOUS
c	FROM K-1 - CRA FUND II, LLC	P	VARIOUS	VARIOUS
d	FROM K-1 - CRA FUND II, LLC	P	VARIOUS	VARIOUS
e	FROM K-1 - HIGHVISTA II LP	P	VARIOUS	VARIOUS
f	FROM K-1 - HIGHVISTA II LP	P	VARIOUS	VARIOUS
g	GOLDMAN SACHS #17593 (SEE ATTACHED)	P	VARIOUS	VARIOUS
h	GOLDMAN SACHS #17593 (SEE ATTACHED)	P	VARIOUS	VARIOUS
i	GOLDMAN SACHS #17593 (SEE ATTACHED)	P	VARIOUS	VARIOUS
j	GOLDMAN SACHS #74196 (SEE ATTACHED)	P	VARIOUS	VARIOUS
k	GOLDMAN SACHS #74196 (SEE ATTACHED)	P	VARIOUS	VARIOUS
l	GOLDMAN SACHS #74196 (SEE ATTACHED)	P	VARIOUS	VARIOUS
m	GOLDMAN SACHS #74198 (SEE ATTACHED)	P	VARIOUS	VARIOUS
n	GOLDMAN SACHS #74198 (SEE ATTACHED)	P	VARIOUS	VARIOUS
o	GOLDMAN SACHS #74198 (SEE ATTACHED)	P	VARIOUS	VARIOUS

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				3,645.
b				65,149.
c				3,933.
d				28,449.
e				11,802.
f				84,641.
g	18,056.		15,764.	2,292.
h	199,372.		113,109.	86,263.
i	3,682,036.		2,259,653.	1,422,383.
j	787,677.		774,507.	13,170.
k	293,719.		264,599.	29,120.
l	25,066.		19,983.	5,083.
m	1,712,263.		1,681,059.	31,204.
n	557,283.		485,354.	71,929.
o	196,495.		88,024.	108,471.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				3,645.
b				65,149.
c				3,933.
d				28,449.
e				11,802.
f				84,641.
g				2,292.
h				86,263.
i				1,422,383.
j				13,170.
k				29,120.
l				5,083.
m				31,204.
n				71,929.
o				108,471.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

CONTINUATION FOR 990-PF, PART IV

THE RICHARD K. LUBIN FAMILY FOUNDATION 22-2773808 PAGE 2 OF 2

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	GOLDMAN SACHS #75069 (SEE ATTACHED)	P	VARIOUS	VARIOUS
b	GOLDMAN SACHS #75069 (SEE ATTACHED)	P	VARIOUS	VARIOUS
c	GOLDMAN SACHS #75069 (SEE ATTACHED)	P	VARIOUS	VARIOUS
d	CAPITAL GAINS DIVIDENDS			
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	437,319.		460,562.	-23,243.
b	279,248.		318,488.	-39,240.
c	944.			944.
d	17,458.			17,458.
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				-23,243.
b				-39,240.
c				944.
d				17,458.
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	1,923,453.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A