



See a Social Security Number? Say Something!  
Report Privacy Problems to <https://public.resource.org/privacy>  
Or call the IRS Identity Theft Hotline at 1-800-908-4490



**Return of Private Foundation**  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

OMB No 1545-0052

**2012**

**Open to Public Inspection**

Department of the Treasury  
Internal Revenue Service

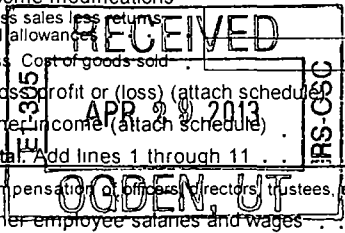
Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

**For calendar year 2012 or tax year beginning , 2012, and ending , 20**

Name of foundation <b>THE CURRAN FOUNDATION</b>		A Employer identification number <b>22-2668435</b>						
Number and street (or P O box number if mail is not delivered to street address)  <b>401 TEMPLE ST</b>		B Telephone number (see instructions)  <b>(203) 772-0740</b>						
City or town, state, and ZIP code  <b>NEW HAVEN, CT 06511</b>		C If exemption application is pending, check here <input type="checkbox"/>  D 1 Foreign organizations check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test check here and attach computation <input type="checkbox"/>  E If private foundation status was terminated under section 507(b)(1)(A) check here <input type="checkbox"/>  F If the foundation is in a 60-month termination under section 507(b)(1)(B) check here <input type="checkbox"/>						
G Check all that apply: <table style="display: inline-table; vertical-align: top;"> <tr><td><input type="checkbox"/> Initial return</td><td><input type="checkbox"/> Initial return of a former public charity</td></tr> <tr><td><input type="checkbox"/> Final return</td><td><input type="checkbox"/> Amended return</td></tr> <tr><td><input type="checkbox"/> Address change</td><td><input type="checkbox"/> Name change</td></tr> </table>			<input type="checkbox"/> Initial return	<input type="checkbox"/> Initial return of a former public charity	<input type="checkbox"/> Final return	<input type="checkbox"/> Amended return	<input type="checkbox"/> Address change	<input type="checkbox"/> Name change
<input type="checkbox"/> Initial return	<input type="checkbox"/> Initial return of a former public charity							
<input type="checkbox"/> Final return	<input type="checkbox"/> Amended return							
<input type="checkbox"/> Address change	<input type="checkbox"/> Name change							
H Check type of organization: <table style="display: inline-table; vertical-align: top;"> <tr><td><input type="checkbox"/> Section 501(c)(3) exempt private foundation</td></tr> <tr><td><input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust</td></tr> <tr><td><input checked="" type="checkbox"/> Other taxable private foundation</td></tr> </table>		<input type="checkbox"/> Section 501(c)(3) exempt private foundation	<input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust	<input checked="" type="checkbox"/> Other taxable private foundation				
<input type="checkbox"/> Section 501(c)(3) exempt private foundation								
<input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust								
<input checked="" type="checkbox"/> Other taxable private foundation								
I Fair market value of all assets at end of year (from Part II, col (c), line 16) <b>\$ 4,835,884.</b> J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)								

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	119,572.	119,572.		ATCH 1
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	33,138.			
b Gross sales price for all assets on line 6a	1,196,278.			
7 Capital gain net income (from Part IV, line 2)		33,138.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less: Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11	152,710.	152,710.		
13 Compensation of officers, directors, trustees, etc.	0			
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule) ATCH. 2	1,400.	1,400.		
c Other professional fees (attach schedule) *	25,034.	25,034.		
17 Interest				
18 Taxes (attach schedule) (see instructions) ATCH. 4	1,050.	1,050.		
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule) ATCH. 5	1,316.	1,316.		
24 Total operating and administrative expenses. Add lines 13 through 23	28,800.	28,800.		
25 Contributions, gifts, grants paid	221,000.			221,000.
26 Total expenses and disbursements. Add lines 24 and 25	249,800.	28,800.	0	221,000.
27 Subtract line 26 from line 12	-97,090.			
a Excess of revenue over expenses and disbursements		123,910.		
b Net investment income (if negative, enter -0-)				
c Adjusted net income (if negative, enter -0-)				

SCANNED MAY 03 2013



17

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing . . . . .		1,325,410.	365,333.	365,333.
	2	Savings and temporary cash investments . . . . .				
	3	Accounts receivable ▶ . . . . .				
		Less allowance for doubtful accounts ▶ . . . . .				
	4	Pledges receivable ▶ . . . . .				
		Less allowance for doubtful accounts ▶ . . . . .				
	5	Grants receivable . . . . .				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .				
	7	Other notes and loans receivable (attach schedule) ▶ . . . . .				
		Less allowance for doubtful accounts ▶ . . . . .				
	8	Inventories for sale or use . . . . .				
	9	Prepaid expenses and deferred charges . . . . .				
	10 a	Investments - U S and state government obligations (attach schedule). **	40,000.	41,224.	41,132.	
	b	Investments - corporate stock (attach schedule) ATCH 7	1,933,433.	2,277,453.	3,354,991.	
	c	Investments - corporate bonds (attach schedule) ATCH 8	548,901.	1,070,757.	1,074,428.	
	11	Investments - land, buildings, and equipment basis ▶ . . . . .				
	Less accumulated depreciation ▶ (attach schedule) . . . . .					
12	Investments - mortgage loans . . . . .					
13	Investments - other (attach schedule) . . . . .					
14	Land, buildings, and equipment basis ▶ . . . . .					
	Less accumulated depreciation ▶ (attach schedule) . . . . .					
15	Other assets (describe ▶ . . . . .)					
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I) . . . . .	3,847,744.	3,754,767.	4,835,884.		
Liabilities	17	Accounts payable and accrued expenses . . . . .				
	18	Grants payable . . . . .				
	19	Deferred revenue . . . . .				
	20	Loans from officers, directors, trustees, and other disqualified persons . . . . .				
	21	Mortgages and other notes payable (attach schedule) . . . . .				
	22	Other liabilities (describe ▶ . . . . .)				
23	Total liabilities (add lines 17 through 22) . . . . .	0	0			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted . . . . .				
	25	Temporarily restricted . . . . .				
	26	Permanently restricted . . . . .				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>					
	27	Capital stock, trust principal, or current funds . . . . .	437.	437.		
	28	Paid-in or capital surplus, or land, bldg, and equipment fund . . . . .				
	29	Retained earnings, accumulated income, endowment, or other funds . . . . .	3,847,307.	3,754,330.		
	30	Total net assets or fund balances (see instructions) . . . . .	3,847,744.	3,754,767.		
	31	Total liabilities and net assets/fund balances (see instructions) . . . . .	3,847,744.	3,754,767.		

## Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	3,847,744.
2	Enter amount from Part I, line 27a . . . . .	2	-97,090.
3	Other increases not included in line 2 (itemize) ▶ ATCH 9 . . . . .	3	4,113.
4	Add lines 1, 2, and 3 . . . . .	4	3,754,767.
5	Decreases not included in line 2 (itemize) ▶ . . . . .	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b) line 30 . . . . .	6	3,754,767.

\*\*ATCH 6

Form 990-PF (2012)

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b> SEE PART IV SCHEDULE					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
<b>a</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
Complete only for assets showing gain in column (n) and owned by the foundation on 12/31/69					
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
<b>a</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
<b>2</b> Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			<b>2</b>	33,138.	
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8			<b>3</b>	0	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	185,950.	3,714,154.	0.050065
2010	149,300.	3,447,958.	0.043301
2009	157,650.	2,930,488.	0.053797
2008	203,500.	3,724,401.	0.054640
2007	215,600.	4,087,147.	0.052751
<b>2</b> Total of line 1, column (d)			<b>2</b> 0.254554
<b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			<b>3</b> 0.050911
<b>4</b> Enter the net value of noncharitable-use assets for 2012 from Part X, line 5			<b>4</b> 4,642,170.
<b>5</b> Multiply line 4 by line 3			<b>5</b> 236,338.
<b>6</b> Enter 1% of net investment income (1% of Part I line 27b)			<b>6</b> 1,239.
<b>7</b> Add lines 5 and 6			<b>7</b> 237,577.
<b>8</b> Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions			<b>8</b> 221,000.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 . . . . . Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		1	2,478.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . .			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2 . . . . .		3	2,478.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- . . . . .		5	2,478.
6 Credits/Payments			
a 2012 estimated tax payments and 2011 overpayment credited to 2012 . . . . .	6a	1,200.	
b Exempt foreign organizations - tax withheld at source . . . . .	6b		
c Tax paid with application for extension of time to file (Form 8868) . . . . .	6c		
d Backup withholding erroneously withheld . . . . .	6d		
7 Total credits and payments. Add lines 6a through 6d . . . . .	7	1,200.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached . . . . .	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .	9	1,278.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . . . .	10		
11 Enter the amount of line 10 to be Credited to 2013 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

**Part VII-A Statements Regarding Activities**

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? . . . . . If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year? . . . . .		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .		X
b If "Yes," has it filed a tax return on Form 990-T for this year? . . . . .		X
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> CT, _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation . . . . .	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV . . . . .		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses . . . . .		X

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) . . . . .	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) . . . . .	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? . . . . .	13	X	
Website address ▶ N/A				
14	The books are in care of ▶ WILLIAM E. CURRAN Telephone no ▶ 203-772-0740			
Located at ▶ 234 CHURCH ST 10TH FL NEW HAVEN, CT ZIP+4 ▶ 06510				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here . . . . . and enter the amount of tax-exempt interest received or accrued during the year . . . . . ▶ 15			
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .	16	Yes	No X
See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ▶				

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? . . . . .	1b	
Organizations relying on a current notice regarding disaster assistance check here . . . . . ▶ <input type="checkbox"/>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012? . . . . .	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
If "Yes," list the years ▶		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) . . . . .	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012) . . . . .	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? . . . . .	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012? . . . . .	4b	X

Form 990-PF (2012)

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ Nob If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No

5b

Organizations relying on a current notice regarding disaster assistance check here ☐c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ Nob Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

6b

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ Nob If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

7b

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 10		0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services . . . . . ▶

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3 . . . . . ▶	



**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	4,155,992.
b	Average of monthly cash balances	1b	556,871.
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	4,712,863.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	4,712,863.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	70,693.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	4,642,170.
6	Minimum investment return. Enter 5% of line 5	6	232,109.

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	232,109.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	2,478.
b	Income tax for 2012 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	2,478.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	229,631.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	229,631.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	229,631.

**Part XII** Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	221,000.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	221,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	221,000.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7 . . . . .				229,631.
2 Undistributed income if any, as of the end of 2012				
a Enter amount for 2011 only . . . . .				
b Total for prior years 20 10 20 09 20 08 . . . . .				
3 Excess distributions carryover, if any, to 2012				
a From 2007 . . . . .				21,322.
b From 2008 . . . . .				18,053.
c From 2009 . . . . .				12,142.
d From 2010 . . . . .				
e From 2011 . . . . .				1,289.
f Total of lines 3a through e . . . . .	52,806.			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ 221,000.				
a Applied to 2011, but not more than line 2a . . . . .				
b Applied to undistributed income of prior years (Election required - see instructions) . . . . .				
c Treated as distributions out of corpus (Election required - see instructions) . . . . .				
d Applied to 2012 distributable amount . . . . .				221,000.
e Remaining amount distributed out of corpus . . . . .				
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a) )	8,631.			8,631.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	44,175.			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .				
d Subtract line 6c from line 6b Taxable amount - see instructions . . . . .				
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount - see instructions . . . . .				
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013 . . . . .				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions) . . . . .	12,691.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a . . . . .	31,484.			
10 Analysis of line 9				
a Excess from 2008 . . . . .				18,053.
b Excess from 2009 . . . . .				12,142.
c Excess from 2010 . . . . .				
d Excess from 2011 . . . . .				1,289.
e Excess from 2012 . . . . .				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

NOT APPLICABLE

**1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling . . . . .

**b** Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	
<b>2 a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .					
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon:					
<b>a</b> "Assets" alternative test - enter:					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .					
<b>b</b> "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X line 6 for each year listed . . . . .					
<b>c</b> "Support" alternative test - enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)) or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .					
<b>(3)</b> Largest amount of support from an exempt organization . . . . .					
<b>(4)</b> Gross investment income . . . . .					

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

WILLIAM CURRAN

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number or e-mail of the person to whom applications should be addressed

**b** The form in which applications should be submitted and information and materials they should include

**c** Any submission deadlines

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> Paid during the year  ATCH 11				
<b>Total</b> . . . . . ► <b>3a</b>				221,000.
<b>b</b> Approved for future payment				
<b>Total</b> . . . . . ► <b>3b</b>				

## Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions )
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount		
1 Program service revenue						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments . . . . .						
3 Interest on savings and temporary cash investments						
4 Dividends and interest from securities . . . . .			14	119,572.		
5 Net rental income or (loss) from real estate						
a Debt-financed property . . . . .						
b Not debt-financed property . . . . .						
6 Net rental income or (loss) from personal property .						
7 Other investment income . . . . .						
8 Gain or (loss) from sales of assets other than inventory			18	33,138.		
9 Net income or (loss) from special events . . . . .						
10 Gross profit or (loss) from sales of inventory . .						
11 Other revenue a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal Add columns (b), (d), and (e) . . . . .				152,710.		
13 Total Add line 12, columns (b), (d), and (e) . . . . .				13		152,710.

(See worksheet in line 13 instructions to verify calculations )

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- |   |   |       |     |    |
|---|---|-------|-----|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?   |       | Yes | No |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of   |       |     |    |
|   | (1) Cash . . . . .  | 1a(1) |     | X  |
|   | (2) Other assets . . . . .  | 1a(2) |     | X  |
| b | Other transactions  |       |     |    |
|   | (1) Sales of assets to a noncharitable exempt organization . . . . .  | 1b(1) |     | X  |
|   | (2) Purchases of assets from a noncharitable exempt organization . . . . .  | 1b(2) |     | X  |
|   | (3) Rental of facilities, equipment, or other assets . . . . .  | 1b(3) |     | X  |
|   | (4) Reimbursement arrangements . . . . .  | 1b(4) |     | X  |
|   | (5) Loans or loan guarantees . . . . .  | 1b(5) |     | X  |
|   | (6) Performance of services or membership or fundraising solicitations . . . . .  | 1b(6) |     | X  |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees . . . . .  | 1c    |     | X  |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received |       |     |    |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes " complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign  
Here

Under penalties of perjury I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee Wm. E. Cramer Date 4/24/13 Title TREASURER

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☒ No

**Paid  
Preparer  
Use Only**

---

Print/Type preparer's name

Preparer's signature

Date \_\_\_\_\_

Check	X	if
-------	---	----

PTIN

P01239902

Firm's name ▶ JANET S. MALEY, CPA

Firm's address ► 29 WEPAWAUG ROAD  
WOODBRIDGE, CT

06525-2422

Phone no 203 389-8991

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
21,650.		\$20,000 NALCO 8.250% PROPERTY TYPE: SECURITIES 21,944.				P	04/20/2011 -294.	01/08/2012
22,862.		\$20,000 NALCO CO 8.25% PROPERTY TYPE: SECURITIES 21,943.				P	04/20/2011 919.	01/10/2012
36,399.		\$35,000 KANSAS CITY SOUTHERN PROPERTY TYPE: SECURITIES 37,275.				P	01/05/2012 -876.	02/24/2012
32,418.		400 SHS GEN-PROBE INC PROPERTY TYPE: SECURITIES 22,457.				P	12/14/2011 9,961.	05/23/2012
20,000.		\$20,000 CORRECTIONS CORP 6.75% PROPERTY TYPE: SECURITIES 20,247.				P	01/06/2010 -247.	06/14/2012
13,276.		\$13,000 L-3 COMMUN CORP 6.37% PROPERTY TYPE: SECURITIES 13,325.				P	01/05/2012 -49.	07/26/2012
40,000.		\$40,000 IRON MOUNTAIN 6.62% PROPERTY TYPE: SECURITIES 40,220.				P	05/19/2011 -220.	08/20/2012
25,000.		\$25,000 IRON MOUNTAIN 6.62% PROPERTY TYPE: SECURITIES 25,000.				P	01/05/2012 08/20/2012	
20,000.		\$20,000 IRON MOUNTAIN 6.62% PROPERTY TYPE: SECURITIES 20,012.				P	02/17/2012 -12.	08/20/2012
20,000.		\$20,000 WESTINGHOUSE ELECT PROPERTY TYPE: SECURITIES 20,618.				P	02/17/2012 -618.	08/01/2012
988.		44 SHS ENCANA CORP PROPERTY TYPE: SECURITIES 336.				P	03/15/2001 652.	08/13/2012
33,060.		1472 SHS ENCANA CORP PROPERTY TYPE: SECURITIES 11,230.				P	03/15/2001 21,830.	08/13/2012

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
17,608.		784 SHS ENCANA CORP PROPERTY TYPE: SECURITIES 5,744.				P	10/29/2002	08/13/2012
							11,864.	
40,900.		\$40,000 ALLIANT TECHSYST PROPERTY TYPE: SECURITIES 41,400.				P	04/12/2011	09/07/2012
							-500.	
30,675.		\$30,000 ALLIANT TECHSYST PROPERTY TYPE: SECURITIES 30,900.				P	01/05/2012	09/07/2012
							-225.	
20,450.		\$20,000 ALLIANT TECHSYST PROPERTY TYPE: SECURITIES 20,554.				P	02/17/2012	09/07/2012
							-104.	
20,000.		\$20,000 HOSPITALITY PROP PROPERTY TYPE: SECURITIES 20,500.				P	01/05/2012	09/10/2012
							-500.	
20,000.		\$20,000 HOSPITALITY PROP PROPERTY TYPE: SECURITIES 20,446.				P	02/21/2012	09/10/2012
							-446.	
22,700.		908 SHS HPT PDF FIXED PROPERTY TYPE: SECURITIES 22,729.				P	03/08/2012	09/10/2012
							-29.	
14,149.		\$14,000 L-3 COMMUN C CLD PROPERTY TYPE: SECURITIES 13,857.				P	06/16/2010	10/15/2012
							292.	
25,000.		\$25,000 TYCO ELECTRONICS 6.0% PROPERTY TYPE: SECURITIES 25,817.				P	02/17/2012	10/01/2012
							-817.	
44,093.		1500 SHS VERIFONE SYSTEMS PROPERTY TYPE: SECURITIES 70,988.				P	05/01/2012	10/04/2012
							-26,895.	
27,762.		\$25,000 HANESBRANDS INC 8% PROPERTY TYPE: SECURITIES 27,656.				P	02/17/2012	12/27/2012
							106.	
4,000.		\$ 4,000 CORRECTIONS CORP 6.75% PROPERTY TYPE: SECURITIES 4,049.				P	01/06/2010	12/19/2012
							-49.	



**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
16,000.		\$16,000 CORRECTIONS CORP 6.75% PROPERTY TYPE: SECURITIES 16,198.				P	01/05/2010 -198.	08/27/2012
40,555.		500 SHS GEN-PROBE INC PROPERTY TYPE: SECURITIES 28,085.				P	12/14/2011 12,470.	05/23/2012
40,000.		\$40,000 GOLDMAN MTN PROPERTY TYPE: SECURITIES 40,000.				P	03/18/2011	03/15/2012
13,909.		500 SHS VERIFONE SYSTEMS PROPERTY TYPE: SECURITIES 19,685.				P	05/25/2012 -5,776.	10/02/2012
72,669.		\$70,000 DIRECTV HOLDINGS PROPERTY TYPE: SECURITIES 74,071.				P	01/11/2012 -1,402.	05/15/2012
40,000.		\$40,000 ILLINOIS ST FIN AUTH PROPERTY TYPE: SECURITIES 40,000.				P	11/19/2010	11/15/2012
69.		FRACTIONAL SH KINDER MORGAN PROPERTY TYPE: SECURITIES 19.				P	03/26/2004 50.	02/27/2012
38.		FRACTIONAL SH KINDER MORGAN PROPERTY TYPE: SECURITIES 12.				P	03/26/2004 26.	05/21/2012
16.		FRACTIONAL SH KINDER MORGAN PROPERTY TYPE: SECURITIES 5.				P	08/20/2004 11.	08/16/2012
1.		FRACTIONAL SH KINDER MORGAN PROPERTY TYPE: SECURITIES				P	03/26/2004 1.	08/16/2012
30.		FRACTIONAL SH KINDER MORGAN PROPERTY TYPE: SECURITIES 9.				P	03/26/2004 21.	11/15/2012
51,340.		100 SHS INTUITIVE SURGICAL PROPERTY TYPE: SECURITIES 9,748.				P	02/26/2009 41,592.	02/14/2012

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
50,628.		100 SHS INTUITIVE SURGICAL PROPERTY TYPE: SECURITIES 9,748.				P	02/26/2009	07/20/2012 40,880.
28,239.		800 SHS DOLBY LABORATORIES PROPERTY TYPE: SECURITIES 27,700.				P	07/08/2009	08/21/2012 539.
28,239.		800 SHS DOLBY LABORATORIES PROPERTY TYPE: SECURITIES 28,298.				P	07/07/2009	08/21/2012 -59.
8,310.		220 SHS FIRST SOLAR PROPERTY TYPE: SECURITIES 34,500.				P	06/30/2009	01/10/2012 -26,190.
25,266.		\$25,000 L-3 COMMUNICATIONS PROPERTY TYPE: SECURITIES 25,427.				P	10/07/2010	10/15/2012 -161.
40,889.		\$40,000 FIDELITY NATL FIN PROPERTY TYPE: SECURITIES 40,460.				P	03/17/2010	10/03/2012 429.
41,598.		\$40,000 KANSAS CITY SOUTH PROPERTY TYPE: SECURITIES 43,400.				P	11/10/2010	02/06/2012 -1,802.
26,651.		\$24,000 HANESBRANDS INC PROPERTY TYPE: SECURITIES 26,100.				P	03/15/2011	12/27/2012 551.
25,000.		\$ 1,000 HOSPITALITY PROP PROPERTY TYPE: SECURITIES 24,760.				P	01/10/2008	02/13/2012 240.
25,000.		\$ 1,000 HOSPITALITY PROP PROPERTY TYPE: SECURITIES 24,519.				P	01/28/2008	02/13/2012 481.
7,028.		\$16,600 HUABAO INTERNATIONAL PROPERTY TYPE: SECURITIES 22,299.				P	02/23/2011	05/04/2012 -15,271.
9,907.		\$23,400 HUABAO INTERNATIONAL PROPERTY TYPE: SECURITIES 31,355.				P	02/14/2011	05/04/2012 -21,448.

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
19,410.		\$ 1,000 ING GROEP NV PROPERTY TYPE: SECURITIES 25,426.				P	05/24/2007 -6,016.	01/05/2012
11,234.		\$11,000 L-3 COMMUNICATIONS 6.375% PROPERTY TYPE: SECURITIES 10,887.				P	06/16/2010 347.	07/26/2012
1,110.		\$ 1,000 HANESBRANDS 8.0% PROPERTY TYPE: SECURITIES 1,096.				P	01/05/2012 14.	12/27/2012
86.		GNMA POOL P215167 PROPERTY TYPE: SECURITIES 86.				P	VARIOUS	VARIOUS
52.		SETTLEMENT PROCEEDS MAXIM PROPERTY TYPE: SECURITIES				P	52.	
14.		SETTLEMENT PROCEEDS AOL TIME W PROPERTY TYPE: SECURITIES				P	14.	
TOTAL GAIN (LOSS) .....							<u>33,138.</u>	

ATTACHMENT 1FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
MERRILL LYNCH DIVIDENDS	18,321.	18,321.
MERRILL LYNCH INTEREST	70,564.	70,564.
NBT BANCORP DIVIDENDS	25,443.	25,443.
NBT BANCORP INTEREST	24,038.	24,038.
CONSENT PAYMENT KANSAS CITY SOUTHERN	700.	700.
ABB LTD	703.	703.
LESS PURCHASED INTEREST	-19,909.	-19,909.
LESS BOND PREMIUM AMORTIZED	-288.	-288.
TOTAL	<u>119,572.</u>	<u>119,572.</u>

ATTACHMENT 2

FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
ACCOUNTING FEES	1,400.	1,400.		
TOTALS	<u>1,400.</u>	<u>1,400.</u>		

ATTACHMENT 3FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
INVESTMENT MANAGEMENT FEES NBT	5,677.	5,677.
INVESTMENT MANAGEMENT FEES ML	6,022.	6,022.
FIDUCIARY FEES NBT	5,835.	5,835.
ADMINISTRATIVE ASSISTANCE	7,500.	7,500.
TOTALS	<u>25,034.</u>	<u>25,034.</u>

ATTACHMENT 4FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
FOREIGN TAXES WITHHELD NBT	891.	891.
FOREIGN TAXES WITHHELD ML	159.	159.
TOTALS	<u>1,050.</u>	<u>1,050.</u>

ATTACHMENT 5

FORM 990PF, PART I - OTHER EXPENSES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
ACCOUNT FEES	150.	150.
MISCELLANEOUS	1,166.	1,166.
TOTALS	<u>1,316.</u>	<u>1,316.</u>



FORM 990PF, PART II - U.S. AND STATE OBLIGATIONSATTACHMENT 6

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
US OBLIGATIONS TOTAL			
ILLINOIS FIN AUTHORITY	40,000.	41,224.	41,132.
PRIVATE COLLEGES			
STATE OBLIGATIONS TOTAL	<u>40,000.</u>	<u>41,224.</u>	<u>41,132.</u>

FORM 990PF, PART II - CORPORATE STOCKATTACHMENT 7

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
ABB LTD	22,145.	22,145.	20,790.
ABBOTT LABS	35,809.	35,809.	65,500.
ARCOS DORADOS	12,633.	12,633.	7,176.
BERKSHIRE HATHAWAY	57,260.	57,260.	134,550.
CENOVUS ENERGY	16,302.	16,302.	77,142.
CHINA MINZHONG		17,849.	16,373.
CISCO SYTEMS	33,830.	33,830.	29,474.
COGNIZANT TECH	14,915.	14,915.	59,106.
DRESSER RAND		59,115.	67,368.
ENCANA	17,310.		
FACEBOOK		23,053.	34,606.
GEN-PROBE	22,457.		
GENERAL ELECTRIC	44,556.	44,556.	31,485.
HPT PFD		47,511.	47,716.
JACOBS	10,884.	10,884.	72,369.
MICROSYSTEMS		46,857.	42,440.
MONSANTO	18,258.	18,258.	23,663.
NBT BANCORP	1,785.	1,785.	20,270.
PEPSICO	107,985.	107,985.	136,860.
PROSHARES SHORT S&P		101,405.	102,090.
QUANTA SERVICES	24,757.	24,757.	35,477.
RESMED	45,063.	45,063.	166,280.
ROYAL CARIBBEAN PFD	25,355.	25,355.	26,100.
TEVA PHARMACEUTICALS	66,784.	66,784.	74,680.
ABB LTD	34,033.	60,576.	62,370.
APPLE	26,348.	26,348.	159,652.
ARCOS DORADOS	20,864.	33,761.	23,920.
CELGENE	35,639.	75,167.	94,164.
CENOVUS	31,185.	31,185.	40,248.

FORM 990PF, PART II - CORPORATE STOCKATTACHMENT 7 (CONT'D)

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
CHEUNG KONG HOLDINGS	30,277.	30,277.	30,706.
CHINA MINZHONG FOOD	38,995.	38,995.	26,196.
COCA COLA	88,440.	88,440.	145,000.
COGNIZANT TECH		50,743.	59,106.
DIAGEO PLC	39,184.	39,184.	58,290.
DOLBY LABS	55,998.		
EMC	28,824.	52,170.	63,250.
EVERCORE		43,462.	54,342.
EXPEDITORS INTL WASH	11,714.	39,636.	39,550.
FACEBOOK		23,048.	34,606.
FIRST SOLAR	34,500.		
GEN-PROBE	28,085.		
GOLDMAN SACHS	40,000.		
GOOGLE	73,517.	73,517.	113,181.
HENDERSON LAND	32,384.	32,384.	28,229.
HOSPITALITY PPTY	49,279.		
HUABAO INTL	53,654.		
HYFLUX	28,123.	28,123.	47,156.
ING GROEP	25,426.		
INTUITIVE SURGICAL	19,496.		
ITRON	59,972.	59,972.	44,550.
JACOBS ENGINEERING		42,356.	46,827.
JOHNSON & JOHNSON	69,447.	69,447.	98,140.
KINDER MORGAN	27,652.	27,606.	96,287.
MICROSYSTEMS		14,415.	12,732.
MONSANTO	18,152.	40,990.	52,058.
PROSHARES SHORT S&P		67,383.	68,060.
PULTE HOME PDF	24,270.	24,270.	25,060.
QUANTA SVCS	29,263.	29,263.	32,748.

FORM 990PF, PART II - CORPORATE STOCKATTACHMENT 7 (CONT'D)

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
SCOTTS MIRACLE GROW	41,200.	41,200.	43,000.
UPS	82,310.	82,310.	103,222.
VARIAN MEDICAL	74,010.	74,010.	133,456.
VISA	103,104.	103,104.	227,370.
TOTALS	<u>1,933,433.</u>	<u>2,277,453.</u>	<u>3,354,991.</u>

FORM 990PF, PART II - CORPORATE BONDSATTACHMENT 8

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
GNMA P215167	675.	589.	532.
ALLIANT TECHSYS	41,400.	86,573.	87,800.
BALL CORP		54,638.	53,500.
BIO-RAD		56,493.	54,438.
COLONIAL REALTY	34,468.	34,468.	35,525.
CORRECTIONS CORP	40,494.		
CRANE		21,393.	20,554.
DARLING INTL		45,704.	45,800.
FORD MOTOR 7%		53,407.	52,015.
FORD MOTOR 3.25%		50,725.	50,674.
HANESBRAND		37,247.	37,230.
HEWLETT PACKARD		52,410.	52,448.
IRON MOUNTAIN	40,220.		
L-3 COMMUNICATIONS	24,744.		
LEUCADIA NATIONAL		48,620.	50,596.
MOOG		50,647.	50,000.
NALCO	43,887.		
ROYAL CARIBBEAN		79,303.	76,500.
SCHOLASTIC		50,858.	50,063.
TREEHOUSE FOODS	43,380.	70,655.	70,688.
TUPPERWARE		77,631.	79,696.
ARIZONA PUBLIC SERVICE	39,557.	39,557.	42,849.
FIDELITY NATIONAL TITLE	40,460.		
HANESBRANDS	54,375.	28,275.	28,470.
HANESBRANDS		55,438.	55,000.
KANSAS CITY SOUTHERN RAIL	43,400.		
L-3 BONDS	25,715.		
MOOG	38,900.	38,900.	40,000.
SCHOLASTIC CORP	37,226.	37,226.	40,050.
TOTALS	<u>548,901.</u>	<u>1,070,757.</u>	<u>1,074,428.</u>

ATTACHMENT 9FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCESDESCRIPTIONAMOUNT

FEDERAL TAX REFUND

4,113.

TOTAL

4,113.

THE CURRAN FOUNDATION

22-2668435

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 10

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
WILLIAM E. CURRAN 401 TEMPLE ST NEW HAVEN, CT 06511	VP/TREAS	0	0	0
JOHN W. BARNETT ONE CENTURY TOWER NEW HAVEN CT 06511	SECRETARY	0	0	0
MARGARET C. ANDREASSI 52 POND ST MILFORD CT 06460	PRESIDENT	0	0	0
JEAN C. SOSNAUD 4301 CESAR CHAVEZ ST SAN FRANCISCO CA 94131	DIRECTOR	0	0	0
JAMES S. ZOLDY, JR. 234 CHURCH ST STE 1010 NEW HAVEN, CT 06510	DIRECTOR	0	0	0
JANET S. MALEY 29 WEPAWAUG ROAD WOODBIDGE CT 06525	DIRECTOR	0	0	0
GRAND TOTALS		0	0	0

FORM 990FF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 11

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

FOUNDATION STATUS OF RECIPIENT

RECIPIENT NAME AND ADDRESS

PURPOSE OF GRANT OR CONTRIBUTION

AMOUNT

221,000

GENERAL SUPPORT

N/A

PUBLIC

SEE DETAIL SHEET

TOTAL CONTRIBUTIONS PAID

221,000.



# THE CURRAN FOUNDATION - 2012 Tax Report

	Actual \$	Ck Date	Ack	Address
Amistad Academy (Achievement First)	20000	04/09	y	407 James Street, New Haven, CT 06513
Appalachian Mountain Club	2000	10/15	y	5 Joy Street, Boston, MA 02108
Arts Council of Greater New Haven	500	11/09	y	70 Audubon St , New Haven, CT 06510
Christian Community Action	1000	03/09	y	168 Davenport Ave , New Haven, CT 06519
Clifford W Beers Guidance Clinic	500	01/27	y	93 Edwards Street, New Haven, CT 06511
Columbus House, Inc - Annual Fund	1000	03/09	y	586 Ella Grasso Blvd , New Haven 06519
Community Soup Kitchen, New Haven	500	10/05	y	84 Broadway, Box 205800, New Haven, CT 06520
Connecticut Food Bank	1000	06/04	y	PO Box 8686, New Haven, CT 06531
Creative Arts Workshop	500	03/09	y	80 Audubon St , New Haven, CT 06510
Downtown Evening Soup Kitchen	500	04/09	y	P O Box 1478, New Haven, CT 06510
Dwight Hall at Yale	500	04/09	y	P/O/ Box 209008, New Haven, CT 06520
East Rock Village	1000	04/09	y	291 Whitney Avenue, New Haven, CT 06511
Edgerton Park Restoration Fund	1000	06/04	y	PO Box 6163, Hamden, CT 06517
Edith B Jackson Child Care Program	500	06/04	y	405 Canner St , #1, New Haven, CT 06511
Elm Shakespeare Company	35000	06/04	y	PO Box 206029, New Haven, CT 06520
Fair Haven Community Health Center	2000	04/09	y	374 Grand Ave , New Haven, CT 06513
Farmington Canal Rail-to-Trail Association	500	02/06	y	940 Whitney Avenue, Hamden, CT 06517
Fellowship Place	500	01/27	y	441 Elm Street, New Haven, CT 06511
First Church - Woodbridge (Pledge)	14000	05/07	y	5 Meetinghouse Ln, Woodbridge, CT 06525
Fisher House Foundation	500	01/27	y	111 Rockville Pike, #420, Rockville, MD 20850
Friends of New Haven Animal Shelter	500	05/07	y	P O Box 9056, Brewery St Sta , New Haven, CT 06532
Gateway Community College Fdn (Scholarships)	5000	09/05	y	60 Sargent Dr , New Haven, CT 06511
Greater New Haven Community Chorus	500	03/09	y	P O Box 351, New Haven, CT 06502
Greater New Haven Community Loan Fund	1000	10/15	y	171 Orange St , New Haven, CT 06510
Greater Norwich Foundation	2000	09/05	y	52 South Broad St , Norwich, NY 13815
Habitat for Humanity - Annual Fund	1000	05/07	y	37 Union St , New Haven, CT 06511
International Festival of Arts & Ideas	4000	01/27	y	195 Church St , 12th Flr , New Haven, CT 06510
L E A P	1000	03/09	y	31 Jefferson St, New Haven, CT 06511
Leukemia & Lymphoma Society	500	05/07	y	1311 Mamaroneck Av, #103, White Plains, NY 06513
Long Wharf Theatre	25000	05/07	y	222 Sargent Drive, New Haven, CT 06511
Maine Coast Heritage Trust	1000	01/27	y	One Bowdin Mill Island, #201, Topsham, ME 04086
Mary Wade Home	1000	11/09	y	118 Clinton Ave , New Haven, CT 06513
Massaro Farm	2000	05/07	y	41 Ford Road, Woodbridge, CT 06525
Mory's Association - Capital Campaign	1500	01/27	y	306 York Street, New Haven, CT 06511
Music Haven	500	04/09	y	P O Box 207332, New Haven, CT 06520
Neighborhood Music School	500	06/04	y	100 Audubon Street, New Haven, CT 06510
New Haven Ecology Project (Common Ground)	5000	01/27	y	358 Springside Ave , New Haven, CT 06515
New Haven Free Public Library - Patrons of	500	02/06	y	133 Elm Street, New Haven, CT 06510
New Haven Home Recovery	500	09/05	y	153 East St , New Haven, CT 06511
New Haven Independent Newspaper	1000	02/29	y	P O Box 3288 Westville Sta , New Haven, CT 06515
New Haven Museum & Historical Society	500	05/07	y	114 Whitney Ave , New Haven, CT 06510
New Haven Scholarship Fund	3000	06/04	y	PO Box 8936, New Haven, CT 06532
New Haven Symphony Orchestra (2 Of 4)	25000	02/06	y	PO Box 9718, New Haven, CT 06536
Orchestra New England	1500	04/09	y	PO Box 0123 Yale Station, New Haven, CT 06520
Partnership for Connecticut Cities	3000	09/05	y	195 Church Street, New Haven, CT 06510
Project Access	2000	02/06	y	P O Box 9293, New Haven, CT 06533
Resources First Foundation	2000	09/05	y	189 Main St , Yarmouth, ME 04096
St Thomas's Day School	2000	09/05	y	830 Whitney Avenue, New Haven, CT 06511
SUNY - Maritime College	500	02/06	y	6 Pennyfield Ave , Throggs Neck, NY 10465
The Nature Conservancy - Connecticut	500	09/05	y	55 High St , Middletown, CT 06457
The Nature Conservancy - Maine	2000	09/05	y	14 Main St , #401, Brunswick, ME 04011
The Tommy Fund	500	04/09	y	20 York Street, New Haven, CT 06504-1001
United Church on the Green	2000	10/05	y	323 Temple Street, New Haven, CT 06511
United Way of Greater New Haven	10000	04/09	y	370 James St , #403, New Haven, CT 06513
Urban Resources Initiative	1000	01/27	y	205 Prospect St , New Haven, CT 06511
WMNR - Fine Arts Radio	500	02/06	y	1014 Monroe Turnpike, Monroe, CT 06466
Yale Club of New Haven - Scholarships)	1000	06/04	y	P O Box 775, New Haven, CT 06503
Yale New Haven Hospital (#3 of 4 gifts)	25000	03/09	y	20 York Street, New Haven, CT 06510
Yale New Haven Hospital Auxiliary	1000	10/05	y	20 York Street, New Haven, CT 06510
Youth Continuum	1000	03/09	y	746 Chapel Street, New Haven, CT, 06510
TOTAL	\$221,000			
Number of Agencies	60			

**SCHEDULE D**  
**(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Capital Gains and Losses**

▶ Attach to Form 1041, Form 5227, or Form 990-T.  
▶ Information about Schedule D (Form 1041) and its separate instructions is at  
[www.irs.gov/form1041](http://www.irs.gov/form1041).

OMB No 1545-0092

**2012**

Name of estate or trust

THE CURRAN FOUNDATION

Employer identification number

22-2668435

**Note:** Form 5227 filers need to complete *only* Parts I and II

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					

b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b **1b** -14,573.

2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824 **2**

3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts **3**

4 Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2011 Capital Loss Carryover Worksheet **4** ( )

5 **Net short-term gain or (loss).** Combine lines 1a through 4 in column (f) Enter here and on line 13, column (3) on the back **5** -14,573.

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a					

b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b **6b** 47,711.

7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824 **7**

8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts **8**

9 Capital gain distributions **9**

10 Gain from Form 4797, Part I **10**

11 Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2011 Capital Loss Carryover Worksheet **11** ( )

12 **Net long-term gain or (loss).** Combine lines 6a through 11 in column (f) Enter here and on line 14a, column (3) on the back **12** 47,711.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041

Schedule D (Form 1041) 2012

<b>Part III Summary of Parts I and II</b>		(1) Beneficiaries' (see instr)	(2) Estate's or trust's	(3) Total
<b>Caution: Read the instructions before completing this part</b>				
13	Net short-term gain or (loss)	13		-14,573.
14	Net long-term gain or (loss):			
a	Total for year	14a		47,711.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht)	14b		
c	28% rate gain	14c		
15	Total net gain or (loss). Combine lines 13 and 14a	15		33,138.

**Note:** If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and do not complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

<b>Part IV Capital Loss Limitation</b>	
16	Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the <b>smaller of</b>
a	The loss on line 15, column (3) or b \$3,000
16	( )

**Note:** If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover.

<b>Part V Tax Computation Using Maximum Capital Gains Rates</b>	
<b>Form 1041 filers.</b> Complete this part <b>only</b> if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.	
<b>Caution:</b> Skip this part and complete the <b>Schedule D Tax Worksheet</b> in the instructions if	
• Either line 14b, col (2) or line 14c, col (2) is more than zero, or	
• Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero	
<b>Form 990-T trusts.</b> Complete this part <b>only</b> if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the <b>Schedule D Tax Worksheet</b> in the instructions if either line 14b, col (2) or line 14c, col (2) is more than zero.	

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)	17	
18	Enter the <b>smaller</b> of line 14a or 15 in column (2) but not less than zero	18	
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19	
20	Add lines 18 and 19	20	
21	If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0-	21	
22	Subtract line 21 from line 20. If zero or less, enter -0-	22	
23	Subtract line 22 from line 17. If zero or less, enter -0-	23	
24	Enter the <b>smaller</b> of the amount on line 17 or \$2,400	24	
25	Is the amount on line 23 equal to or more than the amount on line 24?	25	
<input type="checkbox"/> <b>Yes.</b> Skip lines 25 and 26, go to line 27 and check the "No" box			
<input type="checkbox"/> <b>No.</b> Enter the amount from line 23			
26	Subtract line 25 from line 24	26	
27	Are the amounts on lines 22 and 26 the same?	27	
<input type="checkbox"/> <b>Yes.</b> Skip lines 27 thru 30, go to line 31			
<input type="checkbox"/> <b>No.</b> Enter the smaller of line 17 or line 22			
28	Enter the amount from line 26 (If line 26 is blank, enter -0-)	28	
29	Subtract line 28 from line 27	29	
30	Multiply line 29 by 15% ( 15 )	30	
31	Figure the tax on the amount on line 23. Use the 2012 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	31	
32	Add lines 30 and 31	32	
33	Figure the tax on the amount on line 17. Use the 2012 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	33	
34	<b>Tax on all taxable income.</b> Enter the <b>smaller</b> of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)	34	

**SCHEDULE D-1**  
**(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Continuation Sheet for Schedule D**  
**(Form 1041)**

OMB No 1545-0092

**2012**

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a

▶ Information about Schedule D (Form 1041) and its separate instructions is at [www.irs.gov/form1041](http://www.irs.gov/form1041).

Name of estate or trust

Employer identification number

THE CURRAN FOUNDATION

22-2668435

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 sh 7% preferred of "Z" Co.)	(b) Date acquired (mo. day yr.)	(c) Date sold (mo. day yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
<b>1a</b>					
\$20,000 NALCO 8.250%	04/20/2011	01/08/2012	21,650.	21,944.	-294.
\$20,000 NALCO CO 8.25%	04/20/2011	01/10/2012	22,862.	21,943.	919.
\$35,000 KANSAS CITY SOUTHERN	01/05/2012	02/24/2012	36,399.	37,275.	-876.
400 SHS GEN-PROBE INC	12/14/2011	05/23/2012	32,418.	22,457.	9,961.
\$13,000 L-3 COMMUN CORP 6.37%	01/05/2012	07/26/2012	13,276.	13,325.	-49.
\$25,000 IRON MOUNTAIN 6.62%	01/05/2012	08/20/2012	25,000.	25,000.	
\$20,000 IRON MOUNTAIN 6.62%	02/17/2012	08/20/2012	20,000.	20,012.	-12.
\$20,000 WESTINGHOUSE ELECT	02/17/2012	08/01/2012	20,000.	20,618.	-618.
\$30,000 ALLIANT TECHSYST	01/05/2012	09/07/2012	30,675.	30,900.	-225.
\$20,000 ALLIANT TECHSYST	02/17/2012	09/07/2012	20,450.	20,554.	-104.
\$20,000 HOSPITALITY PROP	01/05/2012	09/10/2012	20,000.	20,500.	-500.
\$20,000 HOSPITALITY PROP	02/21/2012	09/10/2012	20,000.	20,446.	-446.
908 SHS HPT PDF FIXED	03/08/2012	09/10/2012	22,700.	22,729.	-29.
\$25,000 TYCO ELECTRONICS 6.0%	02/17/2012	10/01/2012	25,000.	25,817.	-817.
1500 SHS VERIFONE SYSTEMS	05/01/2012	10/04/2012	44,093.	70,988.	-26,895.
\$25,000 HANESBRANDS INC 8%	02/17/2012	12/27/2012	27,762.	27,656.	106.
500 SHS GEN-PROBE INC	12/14/2011	05/23/2012	40,555.	28,085.	12,470.
\$40,000 GOLDMAN MTN	03/18/2011	03/15/2012	40,000.	40,000.	
500 SHS VERIFONE SYSTEMS	05/25/2012	10/02/2012	13,909.	19,685.	-5,776.
\$70,000 DIRECTV HOLDINGS	01/11/2012	05/15/2012	72,669.	74,071.	-1,402.
\$ 1,000 HANESBRANDS 8.0%	01/05/2012	12/27/2012	1,110.	1,096.	14.

**1b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b -14,573.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041

Schedule D-1 (Form 1041) 2012

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

Employer identification number

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
<b>6a</b> \$20,000 CORRECTIONS CORP 6.75%	01/06/2010	06/14/2012	20,000.	20,247.	-247.
\$40,000 IRON MOUNTAIN 6.62%	05/19/2011	08/20/2012	40,000.	40,220.	-220.
44 SHS ENCANA CORP	03/15/2001	08/13/2012	988.	336.	652.
1472 SHS ENCANA CORP	03/15/2001	08/13/2012	33,060.	11,230.	21,830.
784 SHS ENCANA CORP	10/29/2002	08/13/2012	17,608.	5,744.	11,864.
\$40,000 ALLIANT TECHSYST	04/12/2011	09/07/2012	40,900.	41,400.	-500.
\$14,000 L-3 COMMUN C CLD	06/16/2010	10/15/2012	14,149.	13,857.	292.
\$ 4,000 CORRECTIONS CORP 6.75%	01/06/2010	12/19/2012	4,000.	4,049.	-49.
\$16,000 CORRECTIONS CORP 6.75%	01/05/2010	08/27/2012	16,000.	16,198.	-198.
\$40,000 ILLINOIS ST FIN AUTH	11/19/2010	11/15/2012	40,000.	40,000.	
FRACTIONAL SH KINDER MORGAN	03/26/2004	02/27/2012	69.	19.	50.
FRACTIONAL SH KINDER MORGAN	03/26/2004	05/21/2012	38.	12.	26.
FRACTIONAL SH KINDER MORGAN	08/20/2004	08/16/2012	16.	5.	11.
FRACTIONAL SH KINDER MORGAN	03/26/2004	08/16/2012	1.		1.
FRACTIONAL SH KINDER MORGAN	03/26/2004	11/15/2012	30.	9.	21.
100 SHS INTUITIVE SURGICAL	02/26/2009	02/14/2012	51,340.	9,748.	41,592.
100 SHS INTUITIVE SURGICAL	02/26/2009	07/20/2012	50,628.	9,748.	40,880.
800 SHS DOLBY LABORATORIES	07/08/2009	08/21/2012	28,239.	27,700.	539.
800 SHS DOLBY LABORATORIES	07/07/2009	08/21/2012	28,239.	28,298.	-59.
220 SHS FIRST SOLAR	06/30/2009	01/10/2012	8,310.	34,500.	-26,190.
\$25,000 L-3 COMMUNICATIONS	10/07/2010	10/15/2012	25,266.	25,427.	-161.
\$40,000 FIDELITY NATL FIN	03/17/2010	10/03/2012	40,889.	40,460.	429.
\$40,000 KANSAS CITY SOUTH	11/10/2010	02/06/2012	41,598.	43,400.	-1,802.
\$24,000 HANESBRANDS INC	03/15/2011	12/27/2012	26,651.	26,100.	551.
\$ 1,000 HOSPITALITY PROP	01/10/2008	02/13/2012	25,000.	24,760.	240.

**6b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . .

Schedule D-1 (Form 1041) 2012

