Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

For calendar year 2012, or tax year beginning , 2012, and ending ,

Name of foundation: RICHARD RIFFEL FOUNDATION INC.

A Employer identification number: 20-8113258

Number and street (or P O box number if mail is not delivered to street address): PO BOX 177 | Room/suite:

B Telephone number (see the instructions): (813) 645-2669

City or town: RUSKIN | State: FL | ZIP code: 33575

C If exemption application is pending, check here ► [ ]

G Check all that apply [ ] Initial return [ ] Initial Return of a former public charity [ ] Final return [ ] Amended return [ ] Address change [ ] Name change

D 1 Foreign organizations, check here ► [ ]

2 Foreign organizations meeting the 85% test, check here and attach computation ► [ ]

H Check type of organization [X] Section 501(c)(3) exempt private foundation [ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ► [ ]

I Fair market value of all assets at end of year *(from Part II, column (c), line 16)* ► $ 197,095.

J Accounting method [X] Cash [ ] Accrual [ ] Other (specify) *(Part I, column (d) must be on cash basis)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► [ ]

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc, received (att sch)	8,500.			
	2 Ck ► [ ] if the foundn is not req to att Sch B				
	3 Interest on savings and temporary cash investments	14.	14.	14.	
	4 Dividends and interest from securities	2,949.	2,949.	2,949.	
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain/(loss) from sale of assets not on line 10	11,425.	L-6a Stmt		
	b Gross sales price for all assets on line 6a 383,636.				
	7 Capital gain net income (from Part IV, line 2)		11,425.		
	8 Net short-term capital gain			11,425.	
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit/(loss) (att sch)				
	11 Other income (attach schedule)				
	12 **Total.** Add lines 1 through 11	22,888.	14,388.	14,388.	
OPERATING AND ADMINISTRATIVE EXPENSES	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach sch) L-16b Stmt	775.			
	c Other prof fees (attach sch) L-16c Stmt	2,371.	2,371.	2,371.	
	17 Interest				
	18 Taxes (attach schedule)(see instrs) TAX ON INVESTMENT	108.	108.	108.	
	19 Depreciation (attach sch) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	24 **Total operating and administrative expenses.** Add lines 13 through 23	3,254.	2,479.	2,479.	
	25 Contributions, gifts, grants paid	9,600.			9,600.
	26 **Total expenses and disbursements.** Add lines 24 and 25	12,854.	2,479.	2,479.	9,600.
	27 Subtract line 26 from line 12:				
	a **Excess of revenue over expenses and disbursements**	10,034.			
	b **Net investment income** (if negative, enter -0-)		11,909.		
	c **Adjusted net income** (if negative enter -0-)			11,909.	

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
ASSETS	1 Cash – non-interest-bearing			
	2 Savings and temporary cash investments	11,174.	34,545.	34,370.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less. allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch) ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments – U.S. and state government obligations (attach schedule)			
	b Investments – corporate stock (attach schedule) L-10b Stmt	171,373.	158,036.	162,725.
	c Investments – corporate bonds (attach schedule)			
	11 Investments – land, buildings, and equipment: basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	12 Investments – mortgage loans			
	13 Investments – other (attach schedule)			
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers – see the instructions Also, see page 1, item I)	182,547.	192,581.	197,095.
LIABILITIES	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)			
NET ASSETS OR FUND BALANCES	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ X and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	182,547.	192,581.	
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see instructions)	182,547.	192,581.	
	31 Total liabilities and net assets/fund balances (see instructions)	182,547.	192,581.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	182,547.
2	Enter amount from Part I, line 27a	2	10,034.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	192,581.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	192,581.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a	PUBLICLY TRADED SECURITIES-SEE SCHEDULE	P	Various	Various
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	383,636.		372,211.	11,425.
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (Column (h) gain minus column (k), but not less than 0-) or Losses (from column (h))
a	0.	0.	0.	11,425.
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) — If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7	2	11,425.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	11,425.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2011			
2010			
2009			
2008			
2007			

2	**Total** of line 1, column (d)	2	
3	Average distribution ratio for the 5-year base period – divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	
5	Multiply line 4 by line 3	5	
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	
7	Add lines 5 and 6	7	
8	Enter qualifying distributions from Part XII, line 4	8	

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ► ☐ and enter 'N/A' on line 1			
Date of ruling or determination letter _______ **(attach copy of letter if necessary – see instrs)**			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► ☐ and enter 1% of Part I, line 27b		1	238.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3 Add lines 1 and 2		3	238.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	238.
6 Credits/Payments:			
a 2012 estimated tax pmts and 2011 overpayment credited to 2012	6 a		
b Exempt foreign organizations – tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c		
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments Add lines 6a through 6d		7	
8 Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ►		9	238.
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ►		10	0.
11 Enter the amount of line 10 to be. **Credited to 2013 estimated tax** ►	**Refunded** ►	11	

Part VII-A Statements Regarding Activities

		Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1 a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?	1 b		X
*If the answer is 'Yes' to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c Did the foundation file **Form 1120-POL** for this year?	1 c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ► $ ______ **(2)** On foundation managers ► $ ______			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► $ ______			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If 'Yes,' attach a detailed description of the activities*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes*	3		X
4 a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4 a		X
b If 'Yes,' has it filed a tax return on **Form 990-T** for this year?	4 b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If 'Yes,' attach the statement required by General Instruction T*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, column (c), and Part XV*	7	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) ► FL - Florida			
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation*	8 b		X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses*	10	X	

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► N/A

14 The books are in care of ► RICHARD A. RIFFEL, PRESIDENT Telephone no ► (813) 645-2669

Located at ► PO BOX 177 RUSKIN FL ZIP + 4 ► 33570-0177

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** – Check here ► ☐

and enter the amount of tax-exempt interest received or accrued during the year ► | 15 |

			Yes	No
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If 'Yes,' enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

				Yes	No
1 a	During the year did the foundation (either directly or indirectly).				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is 'Yes' to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1 b		
	Organizations relying on a current notice regarding disaster assistance check here ► ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?		1 c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).				
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?	☐ Yes ☒ No			
	If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement – see instructions)		2 b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ► 20__ , 20__ , 20__ , 20__				
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If 'Yes,' did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)*		3 b		
4 a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4 a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?		4 b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5 a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? . **5b**

Organizations relying on a current notice regarding disaster assistance check here ► ☐

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53 4945-5(d)

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X

If 'Yes' to 6b, file Form 8870.

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** No: X

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
RICHARD A. RIFFEL PO BOX 177 RUSKIN FL 33575	PRESIDENT 2.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ► None

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services ►		None

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 Provide assistance to charitable organizations whose purpose and goals are family planning, the reduction and elimination of uncontrolled population growth and protection of the environment.	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	0.
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ►	None

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes		
a	Average monthly fair market value of securities	1a	197,095.
b	Average of monthly cash balances	1b	
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	197,095.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	197,095.
4	Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	2,956.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	194,139.
6	**Minimum investment return.** Enter 5% of line 5	6	9,707.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	9,707.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	238.		
b	Income tax for 2012 (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	238.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	9,469.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	9,469.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	9,469.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes		
a	Expenses, contributions, gifts, etc – total from Part I, column (d), line 26	1a	9,600.
b	Program-related investments – total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	9,600.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	9,600.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				9,469.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			7,140.	
b Total for prior years 20 10, 20 __, 20 __		7,801.		
3 Excess distributions carryover, if any, to 2012				
a From 2007				
b From 2008				
c From 2009				
d From 2010				
e From 2011				
f **Total** of lines 3a through e				
4 Qualifying distributions for 2012 from Part XII, line 4: ► $ 9,600.				
a Applied to 2011, but not more than line 2a			7,140.	
b Applied to undistributed income of prior years (Election required – see instructions)				
c Treated as distributions out of corpus (Election required – see instructions)				
d Applied to 2012 distributable amount				2,460.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2012 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		7,801.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount – see instructions		7,801.		
e Undistributed income for 2011 Subtract line 4a from line 2a. Taxable amount – see instructions			0.	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				7,009.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)	0.			
9 **Excess distributions carryover to 2013.** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2008 0.				
b Excess from 2009 0.				
c Excess from 2010 0.				
d Excess from 2011 0.				
e Excess from 2012 0.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling ►

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a 'Assets' alternative test – enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test – enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year – see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

Richard A. Riffel

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here ► ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
Planned Parenthood of SW FL 2055 Wood St Ste 110 Sarasota FL 34237	n/a	Public	Unrestricted	2,000.
Planned Parenthood International 2055 Wood St Ste 110 Sarasota FL 34237	n/a	Public	Unrestricted	1,000.
USO 2111 Wilson Blvd Ste 1200 Arlington VA 22201	n/a	Public	Unrestricted	600.
Salvation Army PO Box 2839 Tampa FL 33601	n/a	Public	Unrestricted	750.
Environmnetal Defense Fund 1875 Connecticut Ave Washington DC 2009	n/a	Public	Unrestricted	1,200.
NRDC 40 West 20th Street New York NY 10011	n/a	Public	Unrestricted	1,000.
Nature Conservancy 4245 N. Fairfax Dr Ste 100 Arlington VA 22203	n/a	Public	Unrestricted	750.
Pro English 1601 N. Kent St Arlington VA 22209	n/a	Public	Unrestricted	1,000.
FL Wildlife Federation PO Box 6870 Talahassee FL 32314	n/a	Public	Unrestricted	300.
Suncoast Hospice Foundation 12107 Majestic Blvd. Hudson FL 34667	n/a	Public	Unrestricted	1,000.
Total			► 3a	9,600.
b Approved for future payment				
Total			► 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	14.	
4 Dividends and interest from securities			14	2,949.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18		11,425.
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				2,963.	11,425.
13 **Total.** Add line 12, columns (b), (d), and (e)				13	14,388.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
8	EXEMPT FUNCTION INCOME

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of.			
(1) Cash	1 a (1)		X
(2) Other assets	1 a (2)		X
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1 b (1)		X
(2) Purchases of assets from a noncharitable exempt organization	1 b (2)		X
(3) Rental of facilities, equipment, or other assets	1 b (3)		X
(4) Reimbursement arrangements	1 b (4)		X
(5) Loans or loan guarantees	1 b (5)		X
(6) Performance of services or membership or fundraising solicitations	1 b (6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1 c		X

d If the answer to any of the above is 'Yes,' complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no.	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2 a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [signature] | Date: May 11, 2013 | Title: PRESIDENT

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
SHELDON L. BARAT, CPA	Sheldon L. Barat	5-7-13		P00081941
Firm's name ► BARAT & BARAT, CPA's			Firm's EIN ► 59-3613518	
Firm's address ► 550 N. REO STREET STE 300 TAMPA FL 33609-1037			Phone no (813) 289-1111	

Schedule B
(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

► **Attach to Form 990, Form 990-EZ, or Form 990-PF**

OMB No 1545-0047

2012

Name of the organization	Employer identification number
RICHARD RIFFEL FOUNDATION INC.	20-8113258

Organization type (check one)·

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)(____) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor (Complete Parts I and II.)

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc, purposes, but these contributions did not total to more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc, purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc, contributions of $5,000 or more during the year ► $ ____________

Caution: An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF) but it **must** answer 'No' on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on Part I, line 2, of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization
RICHARD RIFFEL FOUNDATION INC.

Employer identification number
20-8113258

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	RICHARD A. RIFFEL PO BOX 177 RUSKIN FL 33575-0177	$ 8,500.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)

Form 990-PF Part I, Line 6a	Net Gain or Loss From Sale of Assets	2012

Name	Employer Identification Number
RICHARD RIFFEL FOUNDATION INC.	20-8113258

Asset Information:

Description of Property. SEE ATTACHED STATEMENT-RAYMOND JAMES & ASSOCIATES
Date Acquired Various How Acquired Purchased
Date Sold Various Name of Buyer
Sales Price 383,636. Cost or other basis (do not reduce by depreciation) 372,211.
Sales Expense Valuation Method
Total Gain (Loss) 11,425. Accumulation Depreciation

Description of Property
Date Acquired How Acquired
Date Sold. Name of Buyer.
Sales Price Cost or other basis (do not reduce by depreciation)
Sales Expense Valuation Method
Total Gain (Loss) Accumulation Depreciation

Description of Property
Date Acquired. How Acquired.
Date Sold Name of Buyer.
Sales Price. Cost or other basis (do not reduce by depreciation)
Sales Expense Valuation Method
Total Gain (Loss) Accumulation Depreciation

Description of Property
Date Acquired How Acquired:
Date Sold. Name of Buyer
Sales Price Cost or other basis (do not reduce by depreciation)
Sales Expense: Valuation Method
Total Gain (Loss) Accumulation Depreciation

Description of Property:
Date Acquired: How Acquired
Date Sold Name of Buyer
Sales Price Cost or other basis (do not reduce by depreciation)
Sales Expense: Valuation Method
Total Gain (Loss). Accumulation Depreciation

Description of Property
Date Acquired. How Acquired
Date Sold Name of Buyer
Sales Price Cost or other basis (do not reduce by depreciation)
Sales Expense: Valuation Method
Total Gain (Loss) Accumulation Depreciation

Description of Property:
Date Acquired. How Acquired
Date Sold: Name of Buyer:
Sales Price Cost or other basis (do not reduce by depreciation)
Sales Expense Valuation Method
Total Gain (Loss) Accumulation Depreciation

Description of Property
Date Acquired: How Acquired
Date Sold: Name of Buyer.
Sales Price. Cost or other basis (do not reduce by depreciation)
Sales Expense Valuation Method
Total Gain (Loss): Accumulation Depreciation

Form 990-PF, Page 1, Part I
Line 16b - Accounting Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
JOHN C. PRETTO, EA	TAX PREPARATION	775.			
Total		775.			

Form 990-PF, Page 1, Part I
Line 16c - Other Professional Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Day Hagan Asset Mgt	Advisory	2,371.	2,371.		
Total		2,371.	2,371.		

Form 990-PF, Page 2, Part II, Line 10b
L-10b Stmt

Line 10b - Investments - Corporate Stock:	End of Year Book Value	Fair Market Value
ISHARES TR CORE TOT US	49,351.	49,208.
ISHARES TR MSCI EMERG MKT	33,034.	35,125.
ISHARES TR RUSSELL 1000 VAL	47,899.	50,173.
ISHARES TR RUSSELL 1000 GRW	12,516.	12,312.
ISHARES TR RUSSELL 2000 VAL	12,103.	12,761.
ISHARES TR RUSSELL 2000 GRW	3,133.	3,146.
Total	158,036.	162,725.

Additional Information

STATEMENT-PART IV

LINE 1a-STATEMENT OF PUBLICLY TRADED SECURITIES.

RICHARD RIFFEL FOUNDATION EIN 20-8113258

Raymond James & Associates, Inc. Account 52515014

Proceeds from Broker and Barter Exchange Transactions

2012

*SHORT-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS***

Report on Form 8949, Part I, with Box B checked

8 - Description / CUSIP / 1d - Symbol

These columns are not reported to the IRS

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional information	Notes
ISHARES TR CORE TOTUSBD ETF / CUSIP 464287226 / Symbol AGG							
	3 tax lots for 02/01/12 Total proceeds (and cost when required) reported to the IRS						
	12 000	*1,327 00*	*04/01/11*	*1,260 18*	*66 82*	*Sale*	
	87 000	*9,620 76*	*07/01/11*	*9,261 04*	*359 72*	*Sale*	
	24 000	*2,654 00*	*01/03/12*	*2,640 00*	*14 00*	*Sale*	
02/01/12	123 000	13,601 76	VARIOUS	13,161 22	440 54	Total of 3 lots	
03/02/12	103 000	11,365 84	01/03/12	11,330 00	35 84	Sale	
10/03/12	129 000	14,470 89	01/03/12	14,190 00	280 89	Sale	
	Security total	39,438 49		38,681 22	757 27		
ISHARES TR MSCI EMERG MKT / CUSIP 464287234 / Symbol EEM							
	5 tax lots for 01/03/12 Total proceeds (and cost when required) reported to the IRS						
	30 000	*1,175 68*	*05/02/11*	*1,509 00*	*-333 32*	*Sale*	
	6 000	*235 14*	*08/01/11*	*281 55*	*-46 41*	*Sale*	
	3 000	*117 57*	*09/01/11*	*128 90*	*-11 33*	*Sale*	
	12 000	*470 27*	*10/03/11*	*423 43*	*46 84*	*Sale*	
	201 000	*7,877 03*	*11/01/11*	*7,953 78*	*-76 75*	*Sale*	
01/03/12	252 000	9,875 69	VARIOUS	10,296 66	-420 97	Total of 5 lots	
	2 tax lots for 03/26/12 Total proceeds (and cost when required) reported to the IRS						
	27 000	*1,168 97*	*11/01/11*	*1,068 42*	*100 55*	*Sale*	
	216 000	*9,351 77*	*12/01/11*	*8,650 60*	*701 17*	*Sale*	
03/26/12	243 000	10,520 74	VARIOUS	9,719 02	801 72	Total of 2 lots	
07/02/12	55 000	2,136 70	12/01/11	2,202 70	-66 00	Sale	
	2 tax lots for 07/27/12 Total proceeds (and cost when required) reported to the IRS						
	17 000	*671 99*	*12/01/11*	*680 83*	*-8 84*	*Sale*	
	345 000	*13 637 54*	*02/01/12*	*14,863 12*	*-1,225 58*	*Sale*	
07/27/12	362 000	14,309 53	VARIOUS	15,543 95	-1,234 42	Total of 2 lots	
	2 tax lots for 09/04/12 Total proceeds (and cost when required) reported to the IRS						
	39 000	*1,520 18*	*02/01/12*	*1,680 18*	*-160 00*	*Sale*	
	2 000	*77 96*	*04/02/12*	*86 50*	*-8 54*	*Sale*	
09/04/12	41 000	1,598 14	VARIOUS	1,766 68	-168 54	Total of 2 lots	
11/01/12	42 000	1,754 72	10/03/12	1,751 82	2 90	Sale	
12/03/12	112 000	4,691 57	10/03/12	4,671 52	20 05	Sale	

RICHARD RIFFEL FOUNDATION EIN 20-8113258

Raymond James & Associates, Inc. Account, 52515014

2012 **Proceeds from Broker and Barter Exchange Transactions** (continued)

*SHORT-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS***

Report on Form 8949, Part I, with Box B checked

8 - Description / CUSIP / 1d - Symbol

These columns are not reported to the IRS

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc	Date of acquisition	Cost or other basis	Gain or loss	Additional information	Notes
	Security total.	44,887 09		45,952 35	-1,065 26		
ISHARES TR RUSSELL1000VAL / CUSIP 464287598 / Symbol IWD							
	2 tax lots for 01/03/12 Total proceeds (and cost when required) reported to the IRS						
	54 000	*3,499 07*	*06/20/11*	*3,584 07*	*-85 00*	*Sale*	
	93 000	*6,026 17*	*08/01/11*	*6,073 12*	*-46 95*	*Sale*	
01/03/12	147 000	9,525 24	VARIOUS	9,657 19	-131 95	Total of 2 lots	
	2 tax lots for 02/01/12 Total proceeds (and cost when required) reported to the IRS						
	88 000	*5,879 20*	*08/01/11*	*5,746 61*	*132 59*	*Sale*	
	101 000	*6,747 71*	*11/01/11*	*6,188 78*	*558 93*	*Sale*	
02/01/12	189 000	12,626 91	VARIOUS	11,935 39	691 52	Total of 2 lots	
	3 tax lots for 11/01/12 Total proceeds (and cost when required) reported to the IRS						
	63 000	*4,566 14*	*11/01/11*	*3,860 32*	*705 82*	*Sale*	
	4 000	*289 91*	*03/02/12*	*275 45*	*14 46*	*Sale*	
	17 000	*1,232 13*	*04/02/12*	*1,200 91*	*31 22*	*Sale*	
11/01/12	84 000	6,088 18	VARIOUS	5,336 68	751 50	Total of 3 lots	
12/03/12	84 000	6,017 79	04/02/12	5,933 90	83 89	Sale	
	Security total	34,258 12		32,863 16	1,394 96		
ISHARES TR RUSSELL1000GRW / CUSIP 464287614 / Symbol IWF							
	2 tax lots for 01/03/12 Total proceeds (and cost when required) reported to the IRS						
	54 000	*3,183 95*	*02/01/11*	*3,207 78*	*-23 83*	*Sale*	
	42 000	*2,476 40*	*06/01/11*	*2,573 76*	*-97 36*	*Sale*	
01/03/12	96 000	5,660 35	VARIOUS	5,781 54	-121 19	Total of 2 lots	
	4 tax lots for 02/01/12 Total proceeds (and cost when required) reported to the IRS						
	110 000	*6,803 57*	*06/01/11*	*6,740 79*	*62 78*	*Sale*	
	179 000	*11,071 27*	*07/01/11*	*10,997 63*	*73 64*	*Sale*	
	65 000	*4,020 29*	*08/01/11*	*3,883 75*	*136 54*	*Sale*	
	492 000	*30,430 52*	*10/03/11*	*25,849 26*	*4,581 26*	*Sale*	
02/01/12	846 000	52,325 65	VARIOUS	47,471 43	4,854 22	Total of 4 lots	
	6 tax lots for 07/02/12 Total proceeds (and cost when required) reported to the IRS						
	68 000	*4,287 17*	*10/03/11*	*3,572 66*	*714 51*	*Sale*	

RICHARD RIFFEL FOUNDATION EIN 20-8113258

Raymond James & Associates, Inc. Account 52515014

Proceeds from Broker and Barter Exchange Transactions

2012 (continued)

*SHORT-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS***

Report on Form 8949, Part I, with Box B checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional information	Notes
ISHARES TR RUSSELL1000GRW / CUSIP 464287614 / Symbol IWF (cont'd)							
	145 000	*9,141 77*	*10/17/11*	*8,258 75*	*883 02*	*Sale*	
	44 000	*2,774 05*	*11/01/11*	*2,500 08*	*273 97*	*Sale*	
	12 000	*756 56*	*12/01/11*	*699 96*	*56 60*	*Sale*	
	17 000	*1,071 79*	*03/02/12*	*1,096 80*	*-25 01*	*Sale*	
	603 000	*38,017 16*	*04/02/12*	*40,008 68*	*-1,991 52*	*Sale*	
07/02/12	889 000	56,048 50	VARIOUS	56,136 93	-88 43	Total of 6 lots	
11/01/12	18 000	1,180 41	04/02/12	1,194 29	-13 88	Sale	
12/03/12	26 000	1,711 87	04/02/12	1,725 08	-13 21	Sale	
Security total		116,926 78		112,309 27	4,617 51		
ISHARES TR RUSL 2000 VALU / CUSIP 464287630 / Symbol IWN							
	5 tax lots for 01/03/12 Total proceeds (and cost when required) reported to the IRS						
	17 000	*1,142 38*	*05/02/11*	*1,301 77*	*-159 39*	*Sale*	
	31 000	*2,083 17*	*08/01/11*	*2,179 52*	*-96 35*	*Sale*	
	10 000	*671 99*	*10/03/11*	*571 47*	*100 52*	*Sale*	
	60 000	*4,031 95*	*11/01/11*	*3,793 17*	*238 78*	*Sale*	
	32 000	*2,150 37*	*12/01/11*	*2,070 67*	*79 70*	*Sale*	
01/03/12	150 000	10,079 86	VARIOUS	9,916 60	163 26	Total of 5 lots	
	2 tax lots for 04/09/12 Total proceeds (and cost when required) reported to the IRS						
	60 000	*4,244 91*	*12/01/11*	*3,882 50*	*362 41*	*Sale*	
	120 000	*8,489 82*	*02/02/12*	*8,587 80*	*-97 98*	*Sale*	
04/09/12	180 000	12,734 73	VARIOUS	12,470 30	264 43	Total of 2 lots	
11/01/12	18 000	1,322 19	02/02/12	1,288 17	34 02	Sale	
12/03/12	22 000	1,608 95	02/02/12	1,574 43	34 52	Sale	
Security total		25,745 73		25,249 50	496 23		
ISHARES TR RUSL 2000 GROW / CUSIP 464287648 / Symbol IWO							
01/03/12	171 000	14,719 83	10/03/11	12,578 50	2,141 33	Sale	
	2 tax lots for 03/26/12 Total proceeds (and cost when required) reported to the IRS						
	10 000	*971 21*	*10/03/11*	*735 59*	*235 62*	*Sale*	
	92 000	*8,935 18*	*11/01/11*	*7,572 91*	*1,362 27*	*Sale*	
03/26/12	102 000	9,906 39	VARIOUS	8,308 50	1,597 89	Total of 2 lots	

These columns are not reported to the IRS: Cost or other basis, Gain or loss, Additional information, Notes.

RICHARD RIFFEL FOUNDATION EIN 20-8113258

Raymond James & Associates, Inc. Account 52515014

Proceeds from Broker and Barter Exchange Transactions

2012 (continued)

SHORT-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**

Report on Form 8949, Part I, with Box B checked

8 - Description / CUSIP / 1d - Symbol

These columns are not reported to the IRS

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional Information	Notes
ISHARES TR RUSL 2000 GROW / CUSIP, 464287648 / Symbol IWO (cont'd)							
	3 tax lots for 04/02/12 Total proceeds (and cost when required) reported to the IRS						
	20 000	*1,916 34*	*11/01/11*	*1,646 28*	*270 06*	*Sale*	
	68 000	*6,515 57*	*12/01/11*	*5,741 37*	*774 20*	*Sale*	
	526 000	*50,399 82*	*02/02/12*	*48,917 68*	*1,482 14*	*Sale*	
04/02/12	614 000	58,831 73	VARIOUS	56,305 33	2 526 40	Total of 3 lots	
	3 tax lots for 05/01/12 Total proceeds (and cost when required) reported to the IRS						
	17 000	*1,618 82*	*02/02/12*	*1,580 99*	*37 83*	*Sale*	
	49 000	*4,666 02*	*03/02/12*	*4,611 88*	*54 14*	*Sale*	
	9 000	*857 02*	*04/04/12*	*852 17*	*4 85*	*Sale*	
05/01/12	75 000	7,141 88	VARIOUS	7,045 04	96 82	Total of 3 lots	
07/02/12	94 000	8,583 92	04/04/12	8,900 40	-316 48	Sale	
12/03/12	11 000	1,024 80	04/04/12	1,041 54	-16 74	Sale	
	Security total	100,208 53		94,179 31	6,029 22		
VANGUARD INTL EQUITY INDEX / CUSIP 922042858 / Symbol VWO							
06/01/12	92 000	3,420 49	03/02/12	4,129 87	-709 38	Sale	
VANGUARD INTL EQUITY INDEX / CUSIP 922042866 / Symbol VPL							
02/01/12	3 000	153 77	09/01/11	159 70	-5 93	Sale	
04/02/12	9 000	477 89	09/01/11	479 09	-1 20	Sale	
	4 tax lots for 08/31/12 Total proceeds (and cost when required) reported to the IRS						
	19 000	*954 57*	*09/01/11*	*1,011 41*	*-56 84*	*Sale*	
	6 000	*301 44*	*10/03/11*	*290 58*	*10 86*	*Sale*	
	4 000	*200 96*	*11/01/11*	*200 48*	*0 48*	*Sale*	
	145 000	*7,284 89*	*01/03/12*	*7,052 92*	*231 97*	*Sale*	
08/31/12	174 000	8,741 86	VARIOUS	8,555 39	186 47	Total of 4 lots	
	2 tax lots for 09/04/12 Total proceeds (and cost when required) reported to the IRS						
	65 000	*3,204 50*	*01/03/12*	*3,161 65*	*42 85*	*Sale*	
	42 000	*2,070 60*	*07/02/12*	*2,102 06*	*-31 46*	*Sale*	
09/04/12	107 000	5,275 10	VARIOUS	5,263 71	11 39	Total of 2 lots	
	2 tax lots for 11/01/12 Total proceeds (and cost when required) reported to the IRS						
	34 000	*1,725 03*	*07/02/12*	*1,701 66*	*23 37*	*Sale*	

RICHARD RIFFEL FOUNDATION EIN 20-8113258

Raymond James & Associates, Inc. Account 52515014

2012

Proceeds from Broker and Barter Exchange Transactions (continued)

*SHORT-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS***

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8 - Description / CUSIP / 1d - Symbol 1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc	These columns are not reported to the IRS Date of acquisition	Cost or other basis	Gain or loss	Additional information	Notes
VANGUARD INTL EQUITY INDEX / CUSIP 922042866 / Symbol VPL (cont'd)							
	8 000	*405 89*	*10/03/12*	*402 40*	*3 49*	*Sale*	
11/01/12	42 000	2,130 92	VARIOUS	2,104 06	26 86	Total of 2 lots	
Security total		16,779 54		16,561 95	217 59		
VANGUARD INTL EQUITY INDEX / CUSIP 922042874 / Symbol VGK							
06/01/12	51 000	1,971 04	02/01/12	2,283 75	-312 71	Sale	
Totals		383,635 81		372,210.38	11,425 43		