Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052
2012
Open to public Inspection

For calendar year 2012 or tax year beginning , **and ending**

Name of foundation
THE PER AND ASTRID HEIDENREICH FAMILY FOUNDATION

A Employer identification number
20-6382658

Number and street (or P O box number if mail is not delivered to street address)
1010 WASHINGTON BOULEVARD, 9TH FLOOR

Room/suite

B Telephone number
203-413-2024

City or town, state, and ZIP code
STAMFORD, CT 06901

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶$ 12,232,845.

J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received	4,940.		N/A	
	2	Check ▶ ☒ if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments	46.	32.		STATEMENT 1
	4	Dividends and interest from securities	186,466.	186,466.		STATEMENT 2
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	36,575.			
	b	Gross sales price for all assets on line 6a 3,472,392.				
	7	Capital gain net income (from Part IV, line 2)		36,575.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss)				
	11	Other income				
	12	**Total.** Add lines 1 through 11	228,027.	223,073.		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0.	0.		0.
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees STMT 3	10,714.			10,714.
	b	Accounting fees STMT 4	30,300.	15,150.		15,150.
	c	Other professional fees STMT 5	22,184.	22,184.		0.
	17	Interest				
	18	Taxes STMT 6	17.	17.		0.
	19	Depreciation and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses STMT 7	4,970.	0.		30.
	24	**Total operating and administrative expenses** Add lines 13 through 23	68,185.	37,351.		25,894.
	25	Contributions, gifts, grants paid	617,160.			617,160.
	26	**Total expenses and disbursements** Add lines 24 and 25	685,345.	37,351.		643,054.
	27	Subtract line 26 from line 12				
	a	Excess of revenue over expenses and disbursements	-457,318.			
	b	**Net investment income** (if negative, enter -0-)		185,722.		
	c	**Adjusted net income** (if negative, enter -0-)			N/A	

17

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	635,807.	1,336,698.	1,335,631.
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶ Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U S and state government obligations STMT 8	1,268,311.	954,263.	957,689.
	b	Investments - corporate stock STMT 9	1,031,584.	1,399,724.	4,303,817.
	c	Investments - corporate bonds STMT 10	708,441.	5,346,326.	5,543,224.
	11	Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other STMT 11	5,932,835.	93,113.	92,484.
	14	Land, buildings, and equipment basis ▶ Less accumulated depreciation ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers)	9,576,978.	9,130,124.	12,232,845.
Liabilities	17	Accounts payable and accrued expenses	250.		
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶ DUE TO HELP)	0.	10,714.	
	23	**Total liabilities** (add lines 17 through 22)	250.	10,714.	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	0.	0.	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds	9,576,728.	9,119,410.	
	30	**Total net assets or fund balances**	9,576,728.	9,119,410.	
	31	**Total liabilities and net assets/fund balances**	9,576,978.	9,130,124.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	9,576,728.
2	Enter amount from Part I, line 27a	2	-457,318.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	9,119,410.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	9,119,410.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a				
b	SEE ATTACHED STATEMENT			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	3,472,392.		3,435,817.	36,575.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				
b				
c				
d				
e				36,575.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	36,575.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	673,445.	11,390,236.	.059125
2010	507,172.	8,628,758.	.058777
2009	484,573.	8,026,053.	.060375
2008	510,976.	9,490,113.	.053843
2007	521,745.	10,590,384.	.049266

2	**Total** of line 1, column (d)	2	.281386
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.056277
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	12,532,049.
5	Multiply line 4 by line 3	5	705,266.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1,857.
7	Add lines 5 and 6	7	707,123.
8	Enter qualifying distributions from Part XII, line 4	8	643,054.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary-see instructions)** **b** Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b **c** All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			1	3,714.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0.
3 Add lines 1 and 2			3	3,714.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	3,714.
6 Credits/Payments				
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a	8,568.		
b Exempt foreign organizations - tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c			
d Backup withholding erroneously withheld	6d			
7 Total credits and payments Add lines 6a through 6d			7	8,568.
8 Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	4,854.
11 Enter the amount of line 10 to be **Credited to 2013 estimated tax** ▶	4,854.	**Refunded** ▶	11	0.

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*	1b		X
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ 0. **(2)** On foundation managers ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CT			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ CHRISTOPHER CARREIRA C/O WTAS LLC Telephone no ▶ (203)987-3660

Located at ▶ 1700 EAST PUTNAM AVE, STE. 206, OLD GREENWICH, CT ZIP+4 ▶ 06870

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 | N/A

			Yes	No
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of (**1**) any purchase by the foundation or disqualified persons after May 26, 1969, (**2**) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (**3**) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? N/A **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X (No)

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
PER HEIDENREICH C/O HELP, 1010 WASHINGTON BLVD., 9TH STAMFORD, CT 06901	TRUSTEE 0.00	0.	0.	0.
CECILIE H. JEDLICKA C/O HELP, 1010 WASHINGTON BLVD., 9TH STAMFORD, CT 06901	TRUSTEE & EXECUTIVE DIRECT 0.00	0.	0.	0.
ASTRID HEIDENREICH C/O HELP, 1010 WASHINGTON BLVD., 9TH STAMFORD, CT 06901	TRUSTEE 0.00	0.	0.	0.
FRITZ HEIDENREICH C/O HELP, 1010 WASHINGTON BLVD., 9TH STAMFORD, CT 06901	TRUSTEE 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes				
a	Average monthly fair market value of securities			1a	12,407,517.
b	Average of monthly cash balances			1b	315,375.
c	Fair market value of all other assets			1c	
d	**Total** (add lines 1a, b, and c)			1d	12,722,892.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c *(attach detailed explanation)*	1e	0.		
2	Acquisition indebtedness applicable to line 1 assets			2	0.
3	Subtract line 2 from line 1d			3	12,722,892.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)			4	190,843.
5	**Net value of noncharitable-use assets** Subtract line 4 from line 3 Enter here and on Part V, line 4			5	12,532,049.
6	**Minimum investment return.** Enter 5% of line 5			6	626,602.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	626,602.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	3,714.		
b	Income tax for 2012 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	3,714.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	622,888.
4	Recoveries of amounts treated as qualifying distributions			4	0.
5	Add lines 3 and 4			5	622,888.
6	Deduction from distributable amount (see instructions)			6	0.
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	622,888.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	643,054.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	643,054.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	643,054.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7					622,888.
2 Undistributed income, if any, as of the end of 2012					
a Enter amount for 2011 only				0.	
b Total for prior years , ,			0.		
3 Excess distributions carryover, if any, to 2012					
a From 2007					
b From 2008					
c From 2009	66,047.				
d From 2010	85,864.				
e From 2011	113,515.				
f **Total** of lines 3a through e		265,426.			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ $	643,054.				
a Applied to 2011, but not more than line 2a				0.	
b Applied to undistributed income of prior years (Election required - see instructions)			0.		
c Treated as distributions out of corpus (Election required - see instructions)		0.			
d Applied to 2012 distributable amount					622,888.
e Remaining amount distributed out of corpus		20,166.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)		0.			0.
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		285,592.			
b Prior years' undistributed income Subtract line 4b from line 2b			0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			0.		
d Subtract line 6c from line 6b Taxable amount - see instructions			0.		
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount - see instr				0.	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013					0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)		0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7		0.			
9 **Excess distributions carryover to 2013** Subtract lines 7 and 8 from line 6a		285,592.			
10 Analysis of line 9					
a Excess from 2008					
b Excess from 2009	66,047.				
c Excess from 2010	85,864.				
d Excess from 2011	113,515.				
e Excess from 2012	20,166.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

SEE STATEMENT 12

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
GREENWICH POINT CONSERVANCY PO BOX 377 OLD GREENWICH, CT 06870	NONE	501(C)(3)	GENERAL SUPPORT	400.
PATIENT AIRLIFT SERVICES 120 ADAMS BLVD. FARMINGDALE, NY 11735	NONE	501(C)(3)	GENERAL SUPPORT	2,500.
USSGA MEMORIAL FUND 88 FIELD POINT ROAD GREENWICH, CT 06830	NONE	501(C)(3)	GENERAL SUPPORT	500.
GREENWICH ARTS COUNCIL (GAC) 299 GREENWICH AVENUE GREENWICH, CT 06830	NONE	501(C)(3)	GENERAL SUPPORT	250.
GREENWICH SYMPHONY ORCHESTRA (GSO) PO BOX 35 GREENWICH, CT 06836	NONE	501(C)(3)	GENERAL SUPPORT	500.
Total SEE CONTINUATION SHEET(S)			▶ 3a	617,160.
b Approved for future payment NONE				
Total			▶ 3b	0.

THE PER AND ASTRID HEIDENREICH FAMILY FOUNDATION 20-6382658

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MILL RIVER COLLABORATIVE, INC. 888 WASHINGTON BLVD., 7TH FLOOR STAMFORD, CT 06901	NONE	501(C)(3)	GENERAL SUPPORT	5,000.
THE GREENWICH HOSPITAL FOUNDATION 35 RIVER ROAD COS COB, CT 06830	NONE	501(C)(3)	GENERAL SUPPORT	1,500.
ALLIANCE FOR CANCER GENE THERAPY 96 CUMMINGS POINT ROAD STAMFORD, CT 06902	NONE	501(C)(3)	GENERAL SUPPORT	5,000.
CT CHALLENGE 250 PEQUOT AVENUE SOUTHPORT, CT 06890	NONE	501(C)(3)	GENERAL SUPPORT	250.
BOYS AND GIRLS CLUB NEW ROCHELLE 79 SEVENTH STREET NEW ROCHELLE, NY 10801	NONE	501(C)(3)	GENERAL SUPPORT	5,500.
FOUNDATION FOR LEADERSHIP AND ACADEMIC GROWTH (FLAG) PO BOX 4755 GREENWICH, CT 06831	NONE	501(C)(3)	GENERAL SUPPORT	1,500.
NATIONAL MULTIPLE SCLEROSIS SOCIETY 733 THIRD AVENUE, THIRD FLOOR NEW YORK, NY 10017	NONE	501(C)(3)	GENERAL SUPPORT	250.
HOBE SOUND NATURE CENTER 13640 SE FEDERAL HWY HOBE SOUND, FL 33455	NONE	501(C)(3)	GENERAL SUPPORT	1,000.
UNITED WAY OF GREENWICH 1 LAFAYETTE COURT GREENWICH, CT 06830	NONE	501(C)(3)	GENERAL SUPPORT	10,000.
CMA EDUCATION FOUNDATION 62 SOUTHFIELD AVE, SUITE 214 STAMFORD, CT 06902	NONE	501(C)(3)	GENERAL SUPPORT	10,000.
Total from continuation sheets				613,010.

Part XV **Supplementary Information**

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MARTIN HEALTH FOUNDATION PO BOX 9010 STUART, FL 34995	NONE	501(C)(3)	GENERAL SUPPORT	10,000.
ADOPT-A-DOG 849 LAKE AVENUE GREENWICH, CT 06831	NONE	501(C)(3)	GENERAL SUPPORT	750.
AMERICAN SCANDINAVIAN FOUNDATION 58 PARK AVENUE NEW YORK, NY 10016	NONE	501(C)(3)	GENERAL SUPPORT	9,500.
AMERICARES 88 HAMILTON AVENUE STAMFORD, CT 06902	NONE	501(C)(3)	GENERAL SUPPORT	15,000.
AMOGERONE FIRE COMPANY NO. 1, INC. 15 HAVEMEYER PLACE GREENWICH, CT 06830	NONE	501(C)(3)	GENERAL SUPPORT	2,000.
BOYS AND GIRLS CLUB OF GREENWICH 4 HORSENECK LANE GREENWICH, CT 06830	NONE	501(C)(3)	GENERAL SUPPORT	5,000.
BOYS AND GIRLS CLUB OF MARTIN COUNTY 11500 S.E. LARES AVENUE P.O. BOX 910 HOBE SOUND, FL 33475	NONE	501(C)(3)	GENERAL SUPPORT	30,000.
CALL-A-RIDE GREENWICH 37 LAFAYETTE PLACE GREENWICH, CT 06830-6633	NONE	501(C)(3)	GENERAL SUPPORT	500.
CAMP AMERIKIDS 88 HAMILTON AVENUE STAMFORD, CT 06902	NONE	501(C)(3)	GENERAL SUPPORT	5,000.
CENTRAL MIDDLE SCHOOL PTA 9 INDIAN ROCK LANE GREENWICH, CT 06830	NONE	501(C)(3)	GENERAL SUPPORT	1,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CHILD GUIDANCE CENTER OF SOUTHERN, CT 196 GREYROCK PLACE STAMFORD, CT 06901	NONE	501(C)(3)	GENERAL SUPPORT	5,000.
COMMUNITY CENTERS INC. 61 EAST PUTNAM AVENUE GREENWICH, CT 06830	NONE	501(C)(3)	GENERAL SUPPORT	3,100.
CONNECTICUT FOOD BANK 150 BRADLEY STREET EAST HAVEN, CT 06512	NONE	501(C)(3)	GENERAL SUPPORT	10,000.
CONNECTICUT PUBLIC TV 1049 ASYLUM AVENUE HARTFORD, CT 06105	NONE	501(C)(3)	GENERAL SUPPORT	1,500.
DOCTORS WITHOUT BORDERS 333 7TH AVENUE, 2ND FLOOR NEW YORK, NY 10001-5004	NONE	501(C)(3)	GENERAL SUPPORT	1,500.
FAMILY AND CHILDREN'S AGENCY, INC. 9 MOTT AVENUE NORWALK, CT 06850	NONE	501(C)(3)	GENERAL SUPPORT	10,000.
FAMILY CENTER 40 ARCH STREET GREENWICH, CT 06830	NONE	501(C)(3)	GENERAL SUPPORT	10,000.
FEED THE CHILDREN PO BOX 36 OKLAHOMA CITY, OK 73101	NONE	501(C)(3)	GENERAL SUPPORT	1,500.
FISHER HOUSE FOUNDATION, INC. 111 ROCKVILLE PIKE, SUITE 420 ROCKVILLE, MD 20850-5168	NONE	501(C)(3)	GENERAL SUPPORT	20,000.
FLORIDA OCEANOGRAPHIC SOCIETY 890 NORTHEAST OCEAN BOULEVARD STUART, FL 34996	NONE	501(C)(3)	GENERAL SUPPORT	250.
Total from continuation sheets				

THE PER AND ASTRID HEIDENREICH FAMILY FOUNDATION 20-6382658

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
GEORGE WASHINGTON CARVER COMMUNITY CENTER 7 ACADEMY STREET NORWALK, CT 06850	NONE	501(C)(3)	GENERAL SUPPORT	30,000.
GOODWILL INDUSTRIES OF WESTERN CT, INC. 345 BUCKLAND HILLS DR #15213 CENTRAL MANCHESTER, CT 06042	NONE	501(C)(3)	GENERAL SUPPORT	500.
GREENWICH ADULT DAY CARE 125 RIVER ROAD EXTENSION COS COB, CT 06807	NONE	501(C)(3)	GENERAL SUPPORT	15,000.
GREENWICH EMERGENCY MEDICAL SERVICES (GEMS) 1111 EAST PUTNAM AVENUE RIVERSIDE, CT 06878	NONE	501(C)(3)	GENERAL SUPPORT	10,000.
GREENWICH GREEN & CLEAN 113 PEMBERWICK ROAD GREENWICH, CT 06831	NONE	501(C)(3)	GENERAL SUPPORT	500.
GREENWICH HISTORICAL SOCIETY 39 STRICKLAND ROAD COS COB, CT 06807	NONE	501(C)(3)	GENERAL SUPPORT	1,000.
GREENWICH HS LOAN FUND, INC. P.O. BOX 646 OLD GREENWICH, CT 06870	NONE	501(C)(3)	GENERAL SUPPORT	1,250.
GREENWICH POLICE SCHOLARSHIP 11 BRUCE PLACE GREENWICH, CT 06830	NONE	501(C)(3)	GENERAL SUPPORT	1,500.
HABITAT FOR HUMANITY OF MARTIN COUNTY 2555 S.E. BONITA STREET STUART, FL 34997	NONE	501(C)(3)	GENERAL SUPPORT	5,000.
HARLEM CHILDREN'S ZONE 35 EAST 125TH STREET NEW YORK, NY 10035	NONE	501(C)(3)	GENERAL SUPPORT	20,000.
Total from continuation sheets				

THE PER AND ASTRID HEIDENREICH FAMILY FOUNDATION 20-6382658

Part XV **Supplementary Information**

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
HOBE SOUND COMMUNITY CHEST P.O. BOX 511 HOBE SOUND, FL 33475	NONE	501(C)(3)	GENERAL SUPPORT	5,000.
HOLE IN THE WALL GANG CAMP 565 ASHFORD CENTER ROAD ASHFORD, CT 06278	NONE	501(C)(3)	GENERAL SUPPORT	8,000.
HORIZONS 1705 COLUMBUS AVENUE ROXBURY, MA 02119	NONE	501(C)(3)	GENERAL SUPPORT	21,560.
JACKIE ROBINSON PARK OF FAME 860 CANAL STREET STAMFORD, CT 06902	NONE	501(C)(3)	GENERAL SUPPORT	5,000.
JACOB A. RIIS NEIGHBORHOOD SETTLEMENT 10-25 41ST AVENUE LONG ISLAND CITY, NY 11101	NONE	501(C)(3)	GENERAL SUPPORT	10,000.
KEVIN'S COMMUNITY CENTER 153 SOUTH MAIN STREET NEWTOWN, CT 06470	NONE	501(C)(3)	GENERAL SUPPORT	3,000.
KIDS IN CRISIS 1 SALEM STREET COS COB, CT 06830	NONE	501(C)(3)	GENERAL SUPPORT	7,500.
LOBLOLLY FOUNDATION, INC. 7407 SE HILL TERRACE HOBE SOUND, FL 33455	NONE	501(C)(3)	GENERAL SUPPORT	5,000.
LUSTGARTEN FOUNDATION 1111 STEWART AVENUE BETHPAGE, NY 11714	NONE	501(C)(3)	GENERAL SUPPORT	5,000.
MARITIME AQUARIUM AT NORWALK 10 NORTH WATER STREET NORWALK, CT 06854-2228	NONE	501(C)(3)	GENERAL SUPPORT	125,000.
Total from continuation sheets				

Part XV **Supplementary Information**

3 **Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MEALS ON WHEELS GREENWICH 89 MAPLE AVENUE GREENWICH, CT 06830-5652	NONE	501(C)(3)	GENERAL SUPPORT	500.
MEMORIAL SLOAN KETTERING CANCER CENTER 1275 YORK AVENUE NEW YORK, NY 10065	NONE	501(C)(3)	GENERAL SUPPORT	10,000.
NMSU FOUNDATION P.O. BOX 3590 LAS CRUCES, NM 88001-3590	NONE	501(C)(3)	GENERAL SUPPORT	2,500.
NORWALK COMMUNITY COLLEGE FOUNDATION 188 RICHARDS AVENUE NORWALK, CT 06854-1655	NONE	501(C)(3)	GENERAL SUPPORT	30,000.
OLD GREENWICH SCHOOL 285 SOUND BEACH AVENUE OLD GREENWICH, CT 06870-1693	NONE	501(C)(3)	GENERAL SUPPORT	1,000.
PATHWAYS, INC. 175 MILBANK AVENUE GREENWICH, CT 06830	NONE	501(C)(3)	GENERAL SUPPORT	1,000.
PERROT MEMORIAL LIBRARY ASSOCIATION 90 SOUND BEACH AVENUE OLD GREENWICH, CT 06870-1414	NONE	501(C)(3)	GENERAL SUPPORT	750.
R.A.M.P. 187 SOUND BEACH AVENUE, SUITE 2 OLD GREENWICH, CT 06870	NONE	501(C)(3)	GENERAL SUPPORT	750.
SOUND BEACH VOLUNTEERS 207 SOUND BEACH AVENUE OLD GREENWICH, CT 06870	NONE	501(C)(3)	GENERAL SUPPORT	3,000.
SOUNDWATERS COVE ISLAND PARK, 1281 COVE ROAD STAMFORD, CT 06902	NONE	501(C)(3)	GENERAL SUPPORT	20,000.
Total from continuation sheets				

THE PER AND ASTRID HEIDENREICH FAMILY FOUNDATION 20-6382658

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ST. SAVIOURS CHURCH 350 SOUND BEACH AVENUE OLD GREENWICH, CT 06870-1930	NONE	501(C)(3)	GENERAL SUPPORT	500.
STAMFORD HOSPITAL FOUNDATION 30 SHELBURNE ROAD STAMFORD, CT 06905	NONE	501(C)(3)	GENERAL SUPPORT	20,750.
TEACH FOR AMERICA 315 WEST 36TH STREET, 7TH FLOOR NEW YORK, NY 10018	NONE	501(C)(3)	GENERAL SUPPORT	26,600.
THE FIRST TEE OF CONNECTICUT 525 BROCK STREET ROCKY HILL, CT 06067-3633	NONE	501(C)(3)	GENERAL SUPPORT	500.
THE GREENWICH TEEN CENTER P.O. BOX 1339 GREENWICH, CT 06836	NONE	501(C)(3)	GENERAL SUPPORT	750.
THE JIM ARDEN FOUNDATION P.O. BOX 4 COS COB, CT 06807	NONE	501(C)(3)	GENERAL SUPPORT	750.
THE NORWEGIAN SEAMAN'S CHURCH 317 EAST 52ND STREET NEW YORK, NY 10022-6302	NONE	501(C)(3)	GENERAL SUPPORT	10,000.
THE SALVATION ARMY 855 ASYLUM AVENUE HARTFORD, CT 06105	NONE	501(C)(3)	GENERAL SUPPORT	750.
THE SILVER SHIELD ASSOCIATION 11 HAVEMEYER PLACE GREENWICH, CT 06830-6517	NONE	501(C)(3)	GENERAL SUPPORT	5,000.
UNICEF 125 MAIDEN LANE NEW YORK, NY 10038	NONE	501(C)(3)	GENERAL SUPPORT	2,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
UNITED WAY OF MARTIN COUNTY P.O. BOX 362 STUART, FL 34995	NONE	501(C)(3)	GENERAL SUPPORT	5,000.
UNIVERSITY OF COLORADO FOUNDATION 4740 WALNUT STREET BOULDER, CO 80301	NONE	501(C)(3)	GENERAL SUPPORT	500.
WGA CADDIE SCHOLARSHIP FUND 49 KNOLLWOOD ROAD, SUITE 220 ELSMFORD, NY 10523	NONE	501(C)(3)	GENERAL SUPPORT	1,000.
WHOLE PLANET FOUNDATION 550 BOWIE STREET AUSTIN, TX 78703-4677	NONE	501(C)(3)	GENERAL SUPPORT	2,500.
WLIW21 825 EIGHTH AVENUE NEW YORK, NY 10019	NONE	501(C)(3)	GENERAL SUPPORT	500.
YWCA OF GREENWICH 50 EAST PUTNAM AVENUE GREENWICH, CT 06830	NONE	501(C)(3)	GENERAL SUPPORT	5,000.
Total from continuation sheets				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	46.	
4 Dividends and interest from securities			14	186,466.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	36,575.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0.		223,087.	0.

13 Total. Add line 12, columns (b), (d), and (e) 13 223,087.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes)
	N/A

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee

Date 4/29/13

Title TRUSTEE

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self- employed	PTIN
CHRIS CARREIRA		4/24/13		P01250834

Firm's name ▶ WTAS LLC

Firm's EIN ▶ 33-1197384

Firm's address ▶ 1700 EAST PUTNAM AVENUE, SUITE 206
OLD GREENWICH, CT 06870

Phone no 203-987-3660

THE PER AND ASTRID HEIDENREICH FAMILY FOUNDATION

CONTINUATION FOR 990-PF, PART IV
20-6382658 PAGE 1 OF 1

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	MERRILL LYNCH #7EG-04999 (SEE ATTACHED)	P	VARIOUS	VARIOUS
b	MERRILL LYNCH #7EG-04999 (SEE ATTACHED)	P	VARIOUS	VARIOUS
c	RBC #968-54643 (SEE ATTACHED)	P	VARIOUS	VARIOUS
d	RBC #968-54643 (SEE ATTACHED)	P	VARIOUS	VARIOUS
e	8,000 SHS COX COMMUN INC 5.45% 2014	P	02/03/12	12/27/12
f	18,000 SHS COX COMMUN INC 5.45% 2014	P	10/26/11	12/27/12
g	CAPITAL GAINS DIVIDENDS			
h				
i				
j				
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	963,707.		959,166.	4,541.
b	546,031.		543,221.	2,810.
c	29,704.		29,683.	21.
d	1,879,156.		1,874,813.	4,343.
e	8,779.		8,954.	-175.
f	19,753.		19,980.	-227.
g	25,262.			25,262.
h				
i				
j				
k				
l				
m				
n				
o				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				4,541.
b				2,810.
c				21.
d				4,343.
e				-175.
f				-227.
g				25,262.
h				
i				
j				
k				
l				
m				
n				
o				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 } 2 36,575.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c)
If (loss), enter "-0-" in Part I, line 8 } 3 N/A

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
CHASE #802596080	9.
MERRILL LYNCH #7EG-04481	23.
RBC #968-54643	14.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	46.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
MERRILL LYNCH #7EG-04999 - DIVIDENDS	1.	0.	1.
MERRILL LYNCH #7EG-04999 - INTEREST	47,133.	0.	47,133.
MERRILL LYNCH #7EG-04999- ABP ADJUSTMENT	-17,504.	0.	-17,504.
MERRILL LYNCH #7EG-04999- ACCRUED INTEREST PAID	-5,923.	0.	-5,923.
RBC #968-54643	188,021.	25,262.	162,759.
TOTAL TO FM 990-PF, PART I, LN 4	211,728.	25,262.	186,466.

FORM 990-PF LEGAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	10,714.	0.		10,714.
TO FM 990-PF, PG 1, LN 16A	10,714.	0.		10,714.

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX PREPARATION FEE	30,300.	15,150.		15,150.
TO FORM 990-PF, PG 1, LN 16B	30,300.	15,150.		15,150.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	22,184.	22,184.		0.
TO FORM 990-PF, PG 1, LN 16C	22,184.	22,184.		0.

FORM 990-PF TAXES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
RBC #968-54643 - FOREIGN TAXES PAID	17.	17.		0.
TO FORM 990-PF, PG 1, LN 18	17.	17.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK CHARGES	30.	0.		30.
MISCELLANEOUS EXPENSES	4,940.	0.		0.
TO FORM 990-PF, PG 1, LN 23	4,970.	0.		30.

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 8

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
MERRILL LYNCH #7EG-04999 (SEE ATTACHED)	X		954,263.	957,689.
TOTAL U.S. GOVERNMENT OBLIGATIONS			954,263.	957,689.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			954,263.	957,689.

FORM 990-PF CORPORATE STOCK STATEMENT 9

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
7,295,500 SHS HURTIGRUTEN AS	1,031,584.	3,932,275.
RBC #968-54643 (SEE ATTACHED)	368,140.	371,542.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,399,724.	4,303,817.

FORM 990-PF CORPORATE BONDS STATEMENT 10

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
MERRILL LYNCH #7EG-04999 (SEE ATTACHED)	859,913.	872,540.
RBC #968-54643 (SEE ATTACHED)	4,486,413.	4,670,684.
TOTAL TO FORM 990-PF, PART II, LINE 10C	5,346,326.	5,543,224.

FORM 990-PF OTHER INVESTMENTS STATEMENT 11

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
RBC #968-54643 (SEE ATTACHED)	COST	93,113.	92,484.
TOTAL TO FORM 990-PF, PART II, LINE 13		93,113.	92,484.

FORM 990-PF PART XV - LINE 1A STATEMENT 12
LIST OF FOUNDATION MANAGERS

NAME OF MANAGER

PER HEIDENREICH
CECILIE H. JEDLICKA
ASTRID HEIDENREICH
FRITZ HEIDENREICH

FDTN BLACKROCK

Account Number 7EG-04999

24-Hour Assistance: (800) MERRILL
Access Code. 59-734-04999

YOUR EMA ASSETS

December 01, 2012 - December 31, 2012

GOVERNMENT AND AGENCY SECURITIES [1] *Description*	*Acquired*	*Quantity*	*Adjusted/Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Unrealized Gain/(Loss)*	*Estimated Accrued Interest*	*Estimated Annual Income*	*Current Yield%*
Δ FEDERAL NATL MTG ASSOC *NOTES 04 375% MAR 15 2013* *MOODY'S AAA S&P AA+ CUSIP 31359MRG0* *ORIGINAL UNIT/TOTAL COST 106 9095/37,418 33*	03/03/11	35,000	35,247.87	100 8620	**35,301.70**	53.83	450 87	**1,532**	4 33
Δ U S TREASURY NOTE *1 000% JUL 15 2013 01 000% JUL 15 2013* *MOODY'S AAA S&P *** CUSIP 912828NN6* *ORIGINAL UNIT/TOTAL COST· 100 0589/55,032 41*	03/03/11	55,000	55,007.47	100 4610	**55,253.55**	246 08	252.58	**550**	.99
U.S. TREASURY NOTE *0 750% SEP 15 2013 00 750% SEP 15 2013* *MOODY'S AAA S&P *** CUSIP 912828NY2*	03/03/11	80,000	79,406.52	100.4180	**80,334.40**	927.88	177.35	**600**	.74
Δ FEDERAL NATL MTG ASSOC *NOTES SR 11 01 250% FEB 27 2014* *MOODY'S AAA S&P AA+ CUSIP 3135G0AP8* *ORIGINAL UNIT/TOTAL COST 101 5430/20,308 60*	10/18/11	20,000	20,152 26	101 3340	**20,266.80**	114.54	86 11	**250**	1 23
U S TREASURY NOTE *0 250% FEB 28 2014* *MOODY'S AAA S&P *** CUSIP· 912828SG6*	03/02/12	40,000	39,976.70	100 0550	**40,022.00**	45.30	33 70	**100**	.24
Δ U.S. TREASURY NOTE *1 250% APR 15 2014 01 250% APR 15 2014* *MOODY'S AAA S&P *** CUSIP 912828QC7* *ORIGINAL UNIT/TOTAL COST 100 7894/110,868 34*	05/04/11	110,000	110,383.43	101 3200	**111,452.00**	1,068 57	290 87	**1,375**	1 23

+

FDTN BLACKROCK Account Number: 7EG-04999

YOUR EMA ASSETS

December 01, 2012 - December 31, 2012

GOVERNMENT AND AGENCY SECURITIES [1] (continued) Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
U.S. TREASURY NOTE *0 250% MAY 31 2014* *MOODY'S AAA S&P *** CUSIP 912828SW1*	06/13/12	60,000	59,950 98	100.0470	**60,028.20**	77.22	12.84	**150**	.24
Δ U.S. TREASURY NOTE *0 500% OCT 15 2014 00.500% OCT 15 2014* *MOODY'S AAA S&P *** CUSIP 912828RL6* *ORIGINAL UNIT/TOTAL COST 100 1097/101,110 81*	10/18/11	101,000	101,066.51	100.4530	101,457.53	391.02	106 83	505	.49
Δ U.S. TREASURY NOTE *ORIGINAL UNIT/TOTAL COST 100 4143/79,327 37*	11/21/12	79,000	79,310.68	100.4530	79,357.87	47.19	83.56	395	.49
Subtotal		*180,000*	*180,377 19*		***180,815.40***	*438 21*	*190 39*	***900***	*49*
Δ FEDERAL NATL MTG ASSOC *NOTES 00 625% OCT 30 2014* *MOODY'S AAA S&P AA+ CUSIP 3135G0DW0* *ORIGINAL UNIT/TOTAL COST 100.3930/80,314 40*	06/13/12	80,000	80,243.45	100.6230	80,498.40	254.95	83.33	500	62
Δ FEDERAL NATL MTG ASSOC *ORIGINAL UNIT/TOTAL COST 100 6260/70,438 20*	11/21/12	70,000	70,416.75	100.6230	70,436.10	19.35	72 92	438	.62
Subtotal		*150,000*	*150,660 20*		***150,934.50***	*274 30*	*156 25*	***938***	*.62*
Δ U.S. TREASURY NOTE *0 375% NOV 15 2014 00 375% NOV 15 2014* *MOODY'S AAA S&P *** CUSIP 912828RQ5* *ORIGINAL UNIT/TOTAL COST 100 0550/100,055 02*	12/13/11	100,000	100,035 37	100.2340	**100,234.00**	198 63	47 65	**375**	.37
Δ U.S. TREASURY NOTE *1 250% OCT 31 2015 01 250% OCT 31 2015* *MOODY'S AAA S&P *** CUSIP 912828PE4* *ORIGINAL UNIT/TOTAL COST 102 8440/82,275 27*	09/05/12	80,000	82,051 60	102 5390	82,031 20	(20.40)	168 51	1,000	1.21

+

FDTN BLACKROCK

Account Number. 7EG-04999

24-Hour Assistance: (800) MERRILL
Access Code: 59-734-04999

YOUR EMA ASSETS

December 01, 2012 - December 31, 2012

GOVERNMENT AND AGENCY SECURITIES ¹ (continued) *Description*	*Acquired*	*Quantity*	*Adjusted/Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Unrealized - Gain/(Loss)*	*Estimated Accrued Interest*	*Estimated Annual Income*	*Current Yield%*
Δ U.S. TREASURY NOTE *ORIGINAL UNIT/TOTAL COST 102.6058/41,042 32*	11/28/12	40,000	41,013.74	102.5390	41,015.60	1.86	84.25	500	1 21
Subtotal		120,000	*123,065 34*		***123,046.80***	*(18 54)*	*252 76*	***1,500***	*1 21*
TOTAL		950,000	954,263.33		**957,689.35**	3,426 02	1,951 37	**8,270**	86

CORPORATE BONDS *Description*	*Acquired*	*Quantity*	*Adjusted/Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Unrealized Gain/(Loss)*	*Estimated Accrued Interest*	*Estimated Annual Income*	*Current Yield%*
Δ DEUTSCHE BANK AG LONDON *SER GMTN GLB 04 875% MAY 20 2013* *MOODY'S A2 S&P A+< CUSIP 2515A0NY5* *ORIGINAL UNIT/TOTAL COST 104 0740/15,611 10*	02/03/12	15,000	15,184.98	101.7360	**15,260.40**	75.42	81.25	**732**	4 79
Δ GENERAL ELEC CAP CORP *GLB 02 100% JAN 07 2014* *MOODY'S· A1 S&P AA+ CUSIP 36962G4X9* *ORIGINAL UNIT/TOTAL COST 101 0910/20,218 20*	08/18/11	20,000	20,094 52	101.6410	**20,328.20**	233 68	203 00	**420**	2 06
Δ ROGERS WIRELESS INC *COMPANY GUARNT GLB 06 375% MAR 01 2014* *MOODY'S BAA1 S&P BBB< CUSIP 77531QAD0* *ORIGINAL UNIT/TOTAL COST 111 3520/16,702 80*	10/26/11	15,000	15,857 00	106.5370	**15,980.55**	123 55	318 75	**957**	5 98
Δ VERIZON COMMUNICATIONS *GLB 01 950% MAR 28 2014* *MOODY'S A3 S&P A- CUSIP 92343VBA1* *ORIGINAL UNIT/TOTAL COST 102 1570/15,323 55*	08/18/11	15,000	15,155.79	101.8080	**15,271.20**	115.41	75.56	**293**	1 91
Δ MORGAN STANLEY *SUBORDINATED GLB 04 750% APR 01 2014* *MOODY'S BAA2 S&P BBB+ CUSIP 61748AAE6* *ORIGINAL UNIT/TOTAL COST 103 5830/25,895 75*	11/28/12	25,000	25,843 74	103 5480	**25,887.00**	43.26	296 88	**1,188**	4 58

+

FDTN BLACKROCK Account Number· 7EG-04999

YOUR EMA ASSETS

December 01, 2012 - December 31, 2012

CORPORATE BONDS (continued) *Description*	*Acquired*	*Quantity*	*Adjusted/Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Unrealized Gain/(Loss)*	*Estimated Accrued Interest*	*Estimated Annual Income*	*Current Yield%*
Δ NBC UNIVERSAL *GLB 02 100% APR 01 2014* *MOODY'S BAA2 S&P BBB+ CUSIP 63946BAA8* *ORIGINAL UNIT/TOTAL COST. 101 7730/15,265 95*	10/18/11	15,000	15,137.05	101.8300	**15,274.50**	137.45	78.75	**315**	2 06
Δ TIME WARNER CABLE INC *COMPANY GUARNT 07 500% APR 01 2014* *MOODY'S BAA2 S&P BBB CUSIP 88732JAR9* *ORIGINAL UNIT/TOTAL COST 112 9990/16,949 85*	01/19/12	15,000	16,121 79	108 3550	**16,253.25**	131.46	281.25	**1,125**	6.92
Δ CITIGROUP INC *GLB 05 125% MAY 05 2014* *MOODY'S BAA2 S&P A- CUSIP 172967CK5* *ORIGINAL UNIT/TOTAL COST 105 7240/15,858 60*	02/03/12	15,000	15,520 22	105.3460	**15,801.90**	281.68	119 58	**769**	4 86
Δ GOOGLE INC *GLB 01 250% MAY 19 2014* *MOODY'S AA2 S&P AA CUSIP 38259PAA0* *ORIGINAL UNIT/TOTAL COST 101 4330/15,214 95*	10/18/11	15,000	15,115.81	101.2340	**15,185.10**	69.29	21.87	**188**	1.23
Δ HEWLETT-PACKARD CO *GLB 01 550% MAY 30 2014* *MOODY'S BAA1 S&P BBB+ CUSIP 428236BK8* *ORIGINAL UNIT/TOTAL COST 100 2620/15,039 30*	02/03/12	15,000	15,024 19	99 7760	**14,966.40**	(57.79)	19 37	**233**	1.55
Δ USD BARRICK GOLD COR *SER WI VARI% MAY 30 2014* *MOODY'S BAA1 S&P BBB+< CUSIP 067901AE8* *ORIGINAL UNIT/TOTAL COST 101 2580/15,188 70*	10/18/11	15,000	15,103 03	101 3970	**15,209.55**	106.52	21.87	**263**	1 72
Δ JPMORGAN CHASE & CO *04 650% JUN 01 2014* *MOODY'S A2 S&P A CUSIP 46625HHN3* *ORIGINAL UNIT/TOTAL COST 105 3980/21,079 60*	12/13/11	20,000	20,629 55	105 3610	**21,072.20**	442.65	77.50	**930**	4 41

+

YOUR EMA ASSETS

December 01, 2012 - December 31, 2012

CORPORATE BONDS (continued) *Description*	*Acquired*	*Quantity*	*Adjusted/Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Unrealized Gain/(Loss)*	*Estimated Accrued Interest*	*Estimated Annual Income*	*Current Yield%*
Δ ANHEUSER-BUSCH INBEV WOR	08/18/11	20,000	20,144.41	101 4440	20,288.80	144.39	139 17	300	1.47
COMPANY GUARNT 01 500% JUL 14 2014									
MOODY'S A3 S&P A< CUSIP 03523TBL1									
ORIGINAL UNIT/TOTAL COST 101 3500/20,270 00									
Δ ANHEUSER-BUSCH INBEV WOR	12/13/11	20,000	20,112.32	101.4440	20,288.80	176 48	139.17	300	1 47
ORIGINAL UNIT/TOTAL COST. 100 9370/20,187 40									
Subtotal		*40,000*	*40,256 73*		***40,577.60***	*320 87*	*278 34*	***600***	*1 47*
CAPITAL ONE FINANCIAL CO	08/18/11	20,000	19,943 60	101.7980	**20,359.60**	416 00	195 97	**425**	2.08
GLB 02 125% JUL 15 2014									
MOODY'S BAA1 S&P BBB CUSIP· 14040HAV7									
Δ XL CAPITAL LTD	12/13/11	20,000	20,671.64	106.4840	**21,296.80**	625.16	309 17	**1,050**	4 93
GLB 05 250% SEP 15 2014									
MOODY'S BAA2 S&P BBB+< CUSIP 98372PAF5									
ORIGINAL UNIT/TOTAL COST 105 3260/21,065 20									
Δ DIRECTV HOLDINGS/FING	10/26/11	18,000	18,975 49	106.7720	19,218 96	243 47	213.75	855	4.44
COMPANY GUARNT GLB 04 750% OCT 01 2014									
MOODY'S BAA2 S&P BBB CUSIP 25459HAL9									
ORIGINAL UNIT/TOTAL COST 108 9610/19,612 98									
Δ DIRECTV HOLDINGS/FING	02/16/12	11,000	11,634 56	106.7720	11,744.92	110.36	130 63	523	4 44
ORIGINAL UNIT/TOTAL COST 108 5500/11,940 50									
Subtotal		*29,000*	*30,610 05*		***30,963.88***	*353 83*	*344 38*	***1,378***	*4 44*
Δ ENTERPRISE PRODUCTS OPER	02/03/12	18,000	19,279.08	108.2560	**19,486.08**	207.00	212 80	**1,008**	5 17
COMP GUARNT SER G GLB 05 600% OCT 15 2014									
MOODY'S BAA2 S&P BBB CUSIP 293791AN9									
ORIGINAL UNIT/TOTAL COST 110 6010/19,908 18									

+

YOUR EMA ASSETS

December 01, 2012 - December 31, 2012

CORPORATE BONDS (continued) *Description*	*Acquired*	*Quantity*	*Adjusted/Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Unrealized Gain/(Loss)*	*Estimated Accrued Interest*	*Estimated Annual Income*	*Current Yield%*
Δ BHP BILLITON FIN USA LTD *COMPANY GUARNT GLB 01.125% NOV 21 2014* *MOODY'S A1 S&P A+< CUSIP 055451AJ7* *ORIGINAL UNIT/TOTAL COST 100 3800/20,076 00*	12/13/11	20,000	20,049.23	101 2060	**20,241.20**	191.97	25 00	**225**	1.11
Δ COX COMMUNICATIONS INC *GLB 05 450% DEC 15 2014* *MOODY'S BAA2 S&P BBB CUSIP 224044BM8* *ORIGINAL UNIT/TOTAL COST 111 9240/12,311 64*	02/03/12	11,000	11,903.74	109.0590	**11,996.49**	92.75	26.64	**600**	4.99
Δ PRUDENTIAL FINANCIAL INC *SER MTN 03 875% JAN 14 2015* *MOODY'S BAA2 S&P A CUSIP 74432QBL8* *ORIGINAL UNIT/TOTAL COST 104 5050/26,126 25*	06/13/12	25,000	25,896 23	105 8040	**26,451.00**	554 77	449 39	**969**	3 66
Δ TIME WARNER CABLE INC *COMPANY GUARNT 03 500% FEB 01 2015* *MOODY'S BAA2 S&P BBB CUSIP 88732JAV0* *ORIGINAL UNIT/TOTAL COST 106 5620/20,246 78*	02/16/12	19,000	19,887 46	105 6140	**20,066.66**	179 20	277.08	**665**	3 31
Δ BNP PARIBAS *BANK GUARANTEED 03 250% MAR 11 2015* *MOODY'S A2 S&P A+< CUSIP 05567LG68* *ORIGINAL UNIT/TOTAL COST 100 5190/20,103 80*	02/03/12	20,000	20,074.64	104 3680	**20,873.60**	798 96	198.61	**650**	3.11
Δ WALGREEN CO *GLB 01 000% MAR 13 2015* *MOODY'S BAA1 S&P BBB CUSIP 931422AG4* *ORIGINAL UNIT/TOTAL COST 100 1570/20,031 40*	11/28/12	20,000	20,030 34	100.0840	**20,016.80**	(13.54)	60 00	**200**	99
Δ PFIZER INC *5 35% DUE 3/15/2015* *MOODY'S A1 S&P AA CUSIP 717081DA8* *ORIGINAL UNIT/TOTAL COST 112 5850/22,517 00*	07/20/12	20,000	22,105 88	110 1310	**22,026.20**	(79 68)	315 06	**1,070**	4 85

+

YOUR EMA ASSETS

December 01, 2012 - December 31, 2012

CORPORATE BONDS (continued) *Description*	*Acquired*	*Quantity*	*Adjusted/Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Unrealized Gain/(Loss)*	*Estimated Accrued Interest*	*Estimated Annual Income*	*Current Yield%*
Δ WELLS FARGO BANK NA *GLB 03 625% APR 15 2015* *MOODY'S A2 S&P A+ CUSIP 94974BEU0* *ORIGINAL UNIT/TOTAL COST 107 3330/20,393 27*	02/03/12	19,000	20,006 31	106.2820	**20,193.58**	187.27	145.40	**689**	3.41
Δ KELLOGG CO *GLB 01 125% MAY 15 2015* *MOODY'S BAA1 S&P. BBB+ CUSIP 487836BG2* *ORIGINAL UNIT/TOTAL COST 100 5120/25,128 00*	06/13/12	25,000	25,104 65	100 9700	**25,242.50**	137.85	35.94	**282**	1.11
Δ USD ONTARIO PROVINCE *0 950% MAY 26 2015* *MOODY'S AA2 S&P AA-< CUSIP 68323ABJ2* *ORIGINAL UNIT/TOTAL COST. 101 1560/40,462 40*	09/05/12	40,000	40,410 10	101 2850	**40,514.00**	103 90	36.94	**380**	93
Δ AMERICAN EXPRESS CREDIT *SER MTN GLB 01 750% JUN 12 2015* *MOODY'S A2 S&P A- CUSIP· 0258M0DE6* *ORIGINAL UNIT/TOTAL COST 100 6450/25,161 25*	06/13/12	25,000	25,132.81	102.0940	**25,523.50**	390 69	23.09	**438**	1 71
Δ DR PEPPER SNAPPLE GROUP *COMPANY GUARNT GLB 02 900% JAN 15 2016* *MOODY'S BAA1 S&P BBB CUSIP 26138EAM1* *ORIGINAL UNIT/TOTAL COST 103 6000/20,720 00*	12/13/11	20,000	20,541 59	105.3670	**21,073.40**	531.81	267.44	**580**	2.75
Δ GOLDMAN SACHS GROUP INC *GLB 03 625% FEB 07 2016* *MOODY'S A3 S&P A- CUSIP 38143USC6* *ORIGINAL UNIT/TOTAL COST 101 4480/20,289 60*	02/03/12	20,000	20,227 76	105 8520	21,170 40	942 64	290 00	725	3.42
Δ GOLDMAN SACHS GROUP INC *ORIGINAL UNIT/TOTAL COST 105 8080/10,580 80*	11/28/12	10,000	10,566 92	105.8520	10,585 20	18 28	145.00	363	3 42
Subtotal		*30,000*	*30,794 68*		***31,755 60***	*960 92*	*435 00*	***1,088***	*3 42*

+

FDTN BLACKROCK Account Number: 7EG-04999

YOUR EMA ASSETS

December 01, 2012 - December 31, 2012

CORPORATE BONDS (continued) Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
Δ METLIFE INC 06 750% JUN 01 2016 MOODY'S A3 S&P A- CUSIP 59156RAU2 ORIGINAL UNIT/TOTAL COST 117 1780/19,920 26	01/19/12	17,000	19,318.57	118 4350	20,133.95	815.38	95.63	1,148	5.69
Δ METLIFE INC ORIGINAL UNIT/TOTAL COST 118 6430/9,491 44	02/16/12	8,000	9,202.58	118.4350	9,474.80	272.22	45.00	540	5.69
Subtotal		*25,000*	*28,521 15*		***29,608.75***	*1,087 60*	*140 63*	***1,688***	*5 69*
Δ BERKSHIRE HATHAWAY INC GLB 02 200% AUG 15 2016 MOODY'S AA2 S&P AA+ CUSIP 084670BB3 ORIGINAL UNIT/TOTAL COST 103 4620/20,692 40	01/19/12	20,000	20,553.75	104.3390	**20,867.80**	314.05	166.22	**440**	2.10
Δ AMERICAN EXPRESS CREDIT SER MTN 02 800% SEP 19 2016 MOODY'S A2 S&P A- CUSIP 0258M0DC0 ORIGINAL UNIT/TOTAL COST 101 2770/20,255 40	01/19/12	20,000	20,206.30	105.8050	**21,161.00**	954.70	158 67	**560**	2.64
Δ VERIZON COMMUNICATIONS GLB 02 000% NOV 01 2016 MOODY'S A3 S&P A- CUSIP 92343VBD5 ORIGINAL UNIT/TOTAL COST 104 3840/20,876.80	07/26/12	20,000	20,791 78	103 4930	**20,698.60**	(93 18)	66 67	**400**	1.93
Δ USD RABOBANK UTRECHT 3 375% JAN 19 2017 MOODY'S AA2 S&P AA-< CUSIP 21686CAD2 ORIGINAL UNIT/TOTAL COST 101 7060/20,341 20	01/19/12	20,000	20,280.93	107.4290	**21,485.80**	1,204.87	303 75	**675**	3.14
Δ AMERICAN INTL GROUP GLB 03 800% MAR 22 2017 MOODY'S BAA1 S&P A- CUSIP 026874CS4 ORIGINAL UNIT/TOTAL COST 103 6860/31,105 80	07/26/12	30,000	31,011.63	108 2330	**32,469.90**	1,458 27	313 50	**1,140**	3 51

+

YOUR EMA ASSETS

December 01, 2012 - December 31, 2012

CORPORATE BONDS (continued) *Description*	*Acquired*	*Quantity*	*Adjusted/Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Unrealized Gain/(Loss)*	*Estimated Accrued Interest*	*Estimated Annual Income*	*Current Yield%*
Δ USD BP CAPITAL PLC *1 846% MAY 05 2017* *MOODY'S A2 S&P A< CUSIP 05565QBY3* *ORIGINAL UNIT/TOTAL COST 102 7270/20,545 40*	07/26/12	20,000	20,498 60	102 2270	**20,445.40**	(53.20)	57.43	**370**	1.80
Δ ANHEUSER-BUSCH INBEV WOR *COMPANY GUARNT GLB 01 375% JUL 15 2017* *MOODY'S A3 S&P A< CUSIP 03523TBN7* *ORIGINAL UNIT/TOTAL COST 101 1580/40,463 20*	07/26/12	40,000	40,425.26	101.0530	**40,421.20**	(4.06)	252 08	**550**	1.36
Δ EBAY INC *GLB 01 350% JUL 15 2017* *MOODY'S A2 S&P A CUSIP 278642AG8* *ORIGINAL UNIT/TOTAL COST 100 7480/20,149 60*	07/26/12	20,000	20,137.36	101.1650	**20,233.00**	95.64	117 75	**270**	1 33
TOTAL		836,000	859,913 19		**872,540.19**	12,627 00	6,808.58	**25,803**	2 96

Notes

Δ Debt Instruments purchased at a premium show amortization θ Debt Instruments purchased at a discount show accretion

[1] Some agency securities are not backed by the full faith and credit of the United States government

< Derived from Moody's and/or S & P ratings for other debt securities of this issuer and provided by a third party vendor

For Credit Ratings S&P and Moody's provide credit ratings on the credit quality of certain bonds and preferred stocks. For a credit enhanced security, Moody's and S&P publish and provide third party vendors the higher of the rating on the credit enhancer (guarantor) or the stand alone rating on the underlying security

+

ASSET DETAIL

The Estimated Annualized Income ("EAI') for certain securities could include a return of principal or capital gains, in which case EAI depicted on this account statement would be overstated EAI is only an estimate of income generated by the investment and the actual income may be higher or lower In the event the investment matures, is sold or called, the full EAI may not be realized.

** The Unrealized Gain/Loss may not reflect your investments' total return Specifically, the net cost may include dividend and capital gains distributions which have been reinvestedAdditionally, the information that appears in these columns may be based on information provided by you or at your direction RBC has not verified such data Please see "About Your Statement" on page 2 for further information*

Your Financial Advisor has elected to display Asset Detail with the following options asset purchases (tax lots) listed individually, reinvested dividends and capital gains distributions consolidated,and the cost of reinvested dividends and capital gains distributions included

US EQUITIES

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	PURCHASE DATE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
EATON VANCE ATLANTA CAP SMID CAP FD CL I	EISMX	4,081 633	$18.260	$74,530.62	12/26/12	$74,035.00	$495 62	
RIVERPARK FDS TR WEDGEWOOD FD INSTL CL	RWGIX	6,734 993	$13.840	$93,212.30	12/26/12	$92,035.00	$1,177 30	
RS INVT TR GLOBAL NATURAL RES FD CL Y	RSNYX	2,510.917	$37.230	$93,481.44	12/26/12	$92,000.00	$1,481.44	$118.01
TOTAL US EQUITIES				**$261,224.36**		**$258,070.00**	**$3,154.36**	**$118.01**

INTERNATIONAL EQUITIES

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	PURCHASE DATE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
ABERDEEN FDS EMERGING MKTS INSTL FD	ABEMX	3,523.382	$15 860	$55,880.84	12/26/12	$55,035.00	$845.84	$609.55
DREYFUS INVESTMENTS INTERNATIONAL STOCK FUND CL I	DISRX	3,754 266	$14 500	$54,436.86	12/26/12	$55,035 00	-$598.14	$593.17
TOTAL INTERNATIONAL EQUITIES				**$110,317.70**		**$110,070.00**	**$247.70**	**$1,202.72**

TAXABLE FIXED INCOME

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST	PURCHASE DATE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
EATON VANCE MUT FDS TR FLTG RATE FD CL I	EIBLX	4,057.018	$9.120	$37,000.00	12/26/12	$37,035.00	-$35.00	$1,643.09
FIDELITY NEW MARKETS INCOME INCOME FUND	FNMIX	5,183 099	$17.800	$92,259.16	12/26/12	$92,035.00	$224.16	$4,042.82
PIMCO FOREIGN BOND FUND INSTL CL HEDGED	PFORX	8,549.745	$10.790	$92,251.75		$93,710 64	-$1,458.89	$2,513.63
		8,394.161			*12/26/12*	*$92,035 00*	*-$1,462.00*	
		155 584			*Reinvest*	*$1,675 64*	*$3.11*	
PIMCO SHORT TERM FUND INSTL CL	PTSHX	68,014.563	$9.880	$671,983.88		$671,378.01	$605.87	$7,277.56
		63,515 661			*02/18/11*	*$627,545 71*	*-$10.98*	
		4,498 902			*Reinvest*	*$43,832.30*	*$616 83*	
PIMCO TOTAL RETURN FUND INSTL CL	PTTRX	181,983 106	$11.240	$2,045,490 11		$1,872,344 04	$173,146.07	$66,241 85
		122,927.988			*01/12/07*	*$1,272,319 04*	*$109,391 54*	
		59,055 118			*04/08/09*	*$600,025 00*	*$63,754 53*	
TEMPLETON GLOBAL BOND FUND ADVISOR CL	TGBAX	6,953.893	$13.340	$92,764.93	12/26/12	$92,000.00	$764.93	$4,123.66
VANGUARD INFLATION PROTECTED SECURITIES FUND ADMIRAL CL	VAIPX	3,211.169	$28.540	$91,646.76	12/26/12	$92,035 00	-$388.24	$2,398.74
VANGUARD FIXED INCOME SECS FD SHORT TERM TREAS FD ADMIRAL	VFIRX	144,067.700	$10.740	$1,547,287.10		$1,535,875.59	$11,411.51	$8,355.93
		143,181 231			*02/23/11*	*$1,526,338 63*	*$11,427.79*	
		886 469			*Reinvest*	*$9,536 96*	*-$16 28*	
TOTAL TAXABLE FIXED INCOME		**422,020.293**		**$4,670,683.69**		**$4,486,413.28**	**$184,270.41**	**$96,597.28**

OTHER ASSETS

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	PURCHASE DATE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
ING GLOBAL REAL ESTATE FD CL W	IRGWX	2,009.777	$18.080	$36,336.77	12/26/12	$37,000.00	-$663.23	$791.85
PIMCO COMMODITY REAL RETURN STRATEGY FUND INSTITUTIONAL CL	PCRIX	4,210.230	$6.640	$27,955.93		$28,116 99	-$161.06	$741 00
		4,197 901			*12/26/12*	*$28,035 00*	*-$160 93*	
		12.329			*Reinvest*	*$81 99*	*-$0 13*	
SPDR GOLD TR GOLD SHS	GLD	174 000	$162.020	$28,191.55	12/26/12	$27,995.94	$195.61	
TOTAL OTHER ASSETS				**$92,484.25**		**$93,112.93**	**-$628.68**	**$1,532.85**