

#### See a Social Security Number? Say Something! Report Privacy Problems to https://public.resource.org/privacy Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form 990-PF

## Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545 0052

	ent of the Treasury levenue Service Note. The foundation may	Treated as a Privat be able to use a copy of this	e Foundation return to satisfy state repo	rting requirements.	Open to public Inspection
	endar year 2012 or tax year beginning	<u> </u>	, and ending	3 1-	Open to prome mappedien
Name	of foundation	<del></del>	<del>-</del>	A Employer identification	number
EAS	ST TENNESSEE CARDIOVASCU	LAR			
RES	SEARCH FOUNDATION	20-5911602			
Number	and street (or P O box number if mail is not delivered to street	B Telephone number			
108	310 PARKSIDE DRIVE, SUIT	E 301		865-602-67	00
	town, state, and ZIP code			C If exemption application is pe	ending, check here
	ck all that apply: Initial return	Initial return of a fo	ormer public charity	D 1. Foreign organizations	. check here
<b>C</b> 01100	Final return	Amended return	on public onanty	S 1.1 Grough or gamzzanono	, 0.110011 11010
	Address change	Name change		Foreign organizations mee     check here and attach cor	eting the 85% test,
H Chec	ck type of organization: X Section 501(c)(3) ex	cempt private foundation		E If private foundation stat	
		Other taxable private founda	ation	under section 507(b)(1)	
	narket value of all assets at end of year   J   Account	ng method: X Cash	Accrual	F If the foundation is in a 6	•
	· 1 —	ther (specify)		under section 507(b)(1)	_
▶\$	2,040,257. (Part I, colu	ımn (d) must be on cash i	basis)	, , , , ,	
Part	Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
	Contributions, gifts, grants, etc., received	74,841.		"N/A s	
2		*	*	, *	*
3	Interest on savings and temporary cash investments				was
4	Dividends and interest from securities	36,156.	36,156.		STATEMENT 1
5	ā Gross rents	-	•		· · · / / / / / / / / / / / / / / / / /
ŀ	b Net rental income or (loss)			4 4 5	
_   e	38 Net gain or (loss) from sale of assets not on line 10	23,009.	<b></b>	14, 14, 14, 14, 14, 18	
Revenue 7	b Gross sales price for all 564,971.	À		,	
<b>8</b> 7			23,009.	*	
Æ   8	Net short-term capital gain		<b>*</b>		, , ,
9	Income modifications		84 N X		1.
10	Gross sales less returns and allowances	\$ 50		,	* * * * * * * * * * * * * * * * * * * *
	b Less Cost of goods sold			*	
	c Gross profit or (loss)		**		, 1
11	•	101 006	50 465		
	2 Total. Add lines 1 through 11	134,006.	59,165.		***************************************
13		0.	0.		0.
14		,		<u>-</u>	
S)	5 Pension plans, employee benefits				
20 16	Sa Legal fees				-
ğ	b Accounting fees li				
ய்  9 17	c Other professional fees 7 Interest				
and Administrative Expense		326.	326.		0.
istra		320.	320.		
E 20					<u> </u>
<b>a</b> 2	1 Travel, conferences, and meetings				
E 22					
E 23		63,831.	17,852.		34,749.
듩 2	4 Total operating and administrative				
Operating 52	expenses. Add lines 13 through 23	64,157.	18,178.		34,749.
Õ  <sub>2</sub>	5 Contributions, gifts, grants paid	75,500.	,		34,749. 75,500.
	6 Total expenses and disbursements.				
	Add lines 24 and 25	139,657.	18,178.		110,249.
27	7 Subtract line 26 from line 12:		•		
	Excess of revenue over expenses and disbursements	-5,651.			
	b Net investment income (if negative, enter -0-)		40,987.		
	c Adjusted net income (if negative, enter -0-)			N/A	
223501 12-05-12	LHA For Paperwork Reduction Act Notice, see	instructions.			Form <b>990-PF</b> (2012)

Form 990-PF (2012)

RESEARCH FOUNDATION

20-5911602

Page 2

<u></u>	Part II Balance Sheets Attached schedules and amounts in the description		Beginning of year	End o	
	arı	column should be for end of year amounts only	(a) Book Value	(b) Book Value	(c) Fair Market Value
	1	Cash - non-interest-bearing	1,203,313.	196,041.	196,041.
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶			_ 4
		Less; allowance for doubtful accounts			
	4	Pledges receivable			
		Less; allowance for doubtful accounts			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other			
		disqualified persons			
	7	Other notes and loans receivable			
		Less; allowance for doubtful accounts			
ts	8	Inventories for sale or use			
Assets	9	Prepaid expenses and deferred charges			
4	10a	Investments - U.S. and state government obligations			
	b	Investments - corporate stock			
	C	Investments - corporate bonds			
	11	Investments - land buildings, and equipment basis			
		Less accumulated depreciation			
	12	Investments - mortgage loans			
	13	Investments - other STMT 4	799,374.	1,800,995.	1,844,216.
	14	Land, buildings, and equipment: basis ► 3, 251.	Grand State	eri()	
		Less accumulated depreciation STMT 5 ► 3,251.			
	15	Other assets (describe )			
		<del>\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\</del>			
	16	Total assets (to be completed by all filers)	2,002,687.	1,997,036.	2,040,257.
	17	Accounts payable and accrued expenses			
	18	Grants payable			
S	19	Deferred revenue			
Liabilities	20	Loans from officers, directors, trustees, and other disqualified persons			
jab	21	Mortgages and other notes payable			* * * * * * * * * * * * * * * * * * * *
_	22	Other liabilities (describe )			
			_		^′
_	23	Total liabilities (add lines 17 through 22)	0.	0.	2. s. ž
		Foundations that follow SFAS 117, check here			. 388.3
S	ł	and complete lines 24 through 26 and lines 30 and 31.			, i
Š		Unrestricted			
lan	25	Temporarily restricted			
ä	26	Permanently restricted			
Ĕ		Foundations that do not follow SFAS 117, check here			
F		and complete lines 27 through 31.		_	<u>'</u>
ţs (		Capital stock, trust principal, or current funds	0.	0.	
SSe	28	Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.	
Net Assets or Fund Bala	29	Retained earnings, accumulated income, endowment, or other funds	2,002,687.	1,997,036.	
ž	30	Total net assets or fund balances	2,002,687.	1,997,036.	
	l <sub>-</sub> .		0 000 600	1 000 000	
	31	Total liabilities and net assets/fund balances	2,002,687.	1,997,036.	
P	art	III Analysis of Changes in Net Assets or Fund B	alances		
=	T-4-	and an about a find below a debugger of the David and the Control of the Control	00		
1		net assets or fund balances at beginning of year - Part II, column (a), line	30		2 002 697
		t agree with end-of-year figure reported on prior year's return)		1	2,002,687. -5,651.
		r amount from Part I, line 27a		2	-5,651.
		r increases not included in line 2 (itemize)	<del></del>	3	1,997,036.
		Ines 1, 2, and 3 eases not included in line 2 (itemize)		4	1,997,036.
		net assets or fund balances at end of year (line 4 minus line 5) - Part II, co	dumn (b) line 20	5	1,997,036.
6	rold	net assets of futio variances at end of year (line 4 minus line 5) - Part II, Co	numin (u), ime 30	16	Form <b>990-PF</b> (2012)
					ronn <b>330-77</b> (2012)

20-5911602 Page 3

Part IV   Capital Gains	and Loss	es for Tax on In	vestmen	t Income						
(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co )				I P	How ac - Purcl - Dona	hase I	(c) Date (mo , d	acquired ay, yr)	(d) Date sold (mo , day, yr.)	
1a CAPITAL GAINS		2999096 (S					P			
•		2999203 (S	EE ATT	ACHED)			P			
c CAPITAL GAINS	DIVIDE	NDS						-		
<u>d</u>					_					
e	(4) Danie		/-> O	A	<u> </u>			41.0		
(e) Gross sales price		eciation allowed allowable)		st or other basis expense of sale					ain or (loss) s (f) minus (	g)
a 181,591.				179,88					<del></del>	1,709.
b 364,877. c 18,503.		-		362,08						2,797.
d 18,503.										10,503.
e					-				_	· · · · · · · · · · · · · · · · · · ·
Complete only for assets showir	l 10 dain in colu	mn (h) and owned by t	he foundation	on 12/31/69				I) Gaine (C	ol. (h) gain	minue
- Complete any lor added another		djusted basis		cess of col. (i)	$\overline{}$		co	l. (k), but r	not less thai	1 -0-) <b>or</b>
(i) F.M.V as of 12/31/69		of 12/31/69		col. (j), if any				Losses	(from col. (	h))
a					$\neg$					1.709.
<u>b</u>				• •					·······	2,797.
C	<del></del>									1,709. 2,797. 18,503.
d										<del></del>
е							,			
2 Capital gain net income or (net ca	ipital loss)	If gain, also enter			}	2				23,009.
3 Net short-term capital gain or (los	ss) as defined									
If gain, also enter in Part I, line 8,		111 300000113 1222(3) a11	u (0).		٦l					
If (loss), enter -0- in Part I, line 8	. ,					3			N/A	
Part V Qualification U	nder Sec	tion 4940(e) for	Reduced	Tax on Net	Inv	estn	ent In	come		
(For optional use by domestic private	e foundations	subject to the section 4	1940(a) tax or	net investment in	come	3.)				
If postion 4040(d)(2) applies Januari	hia masa blamb									
If section 4940(d)(2) applies, leave the	nis part diank.									
Was the foundation liable for the sec	tion 4942 tax	on the distributable am	ount of any y	ear in the base per	riod?					Yes X No
If "Yes," the foundation does not qua	lify under sect	ion 4940(e) Do not co	mplete this pa	rt.						
1 Enter the appropriate amount in	each column 1	or each year; see the ir	istructions be	fore making any e	ntries	S.				7.67
(a) Base period years		<b>(b)</b> Adjusted qualifying dist	tributions	Net value of no	(c)	ritable.	uen aeente	.	Distrib	ution ratio
Calendar year (or tax year beginni	ng in)			Net value of no					(col. (b) div	ided by col. (c))
2011		10	9,121.			,98	7,908	3.		.054892
2010		<del> </del>								
2009								+		<del></del>
2007								+		
2007		<del></del>						_		
2 Total of line 1, column (d)								2		.054892
3 Average distribution ratio for the	5-vear base o	eriod - divide the total o	on line 2 by 5.	or by the number	of ve	ars		<u>-</u>		1001031
the foundation has been in existe			,	o, o,	0. ,0			3		.054892
		<b>,</b>						<u> </u>		
4 Enter the net value of noncharitat	ole-use assets	for 2012 from Part X, I	line 5					4		1,972,868.
		•								<del></del>
5 Multiply line 4 by line 3								5		108,295.
										· · · · · · · · · · · · · · · · · · ·
6 Enter 1% of net investment incom	ne (1% of Par	t I, line 27b)						6		410.
7 Add lines 5 and 6								7		108,705.
O Fater multime 1 t 1 t	- <b>5</b>									110 240
	8 Enter qualifying distributions from Part XII, line 4					8		110,249.		
If line Que equal to an aventor than										
See the Part VI instructions.	ı lıne 7, check	the box in Part VI, line	1b, and comp	lete that part usin	ıg a 1	% tax r	ate.			

Bart W   Excise Tax Based on Investment Income (Section 4940(a), 4940(b), or 4948 - see instructions)   Dies of ruling or determination letter: (tatach capy of letter in decessary-see instructions)   Dies of ruling or determination letter: (tatach capy of letter in decessary-see instructions)   Dies of ruling or determination letter: (tatach capy of letter in decessary-see instructions)   Dies of ruling or determination letter: (tatach capy of letter in decessary-see instructions)   Dies of ruling or determination letter: (tatach capy of letter in decessary-see instructions)   Dies of ruling or determination letter: (tatach capy of letter in decessary-see instructions)   Dies of ruling or determination letter: (tatach capy of letter in decessary-see instructions)   Dies of ruling or determination letter: (tatach capy of letter in decessary-see instructions)   Dies of ruling or determination letter in decessary-see instructions)   Dies of letter or decessary-see instructions or d	Form 990-PF (2012) RESEARCH FOUNDATION		20-59116	
Date of ruling or determination letter:    Author   Auth			4948 - see in	structions)
b Domestac foundations that met the section 4947(e) rouncements in Part V, check hare ► IX and next 1% of Part I, line 12, col (b).  If Part I, line 12 col (b).  I and there demostic foundations enter 2% of line 27th Exampl foreign organizations enter 4% of Part I, line 12, col (b).  Add lines I and 2  Tax under section 51t (domestic section 4947(e)(1) trusts and taxable foundations only. Others enter -0-)  Add lines I and 2  Subtlet A (income) tax (domestic section 4947(e)(1) trusts and taxable foundations only. Others enter -0-)  S Tax based on investment fileories. Subtact line 4 from line 3 frazero or tess, enter -0-  S Credistic Pyments:  2 2 0 0 .  4 10 .  5 12 .  5 12 .  6 8 .  6 8 .  6 8 .  6 8 .  6 8 .  6 8 .  6 8 .  7 7 0 .  7 0 .  8 8 .  8 12 .  8 9 .  4 10 .  9 9 .  4 10 .  9 9 .  4 10 .  9 9 .  4 10 .  9 9 .  10 .  11 Thirt the amount of line 10to be: Credited to 2013 estimated tax ▶  PERT VILSS STatements Regarding Activities  1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any pointical companyin?  b bit spend more than 5100 during the year (either directly) for political purposes (see instructions for definition)?  If the answer is "Ves" to jac or p <sub>2</sub> statisch a defaulted description of the activities.  1a During the tax year, did the foundation attempts to influence any national, state, or local legislation or did it participate or intervene in any political companying.  b bit spend more than 5100 during the year (either directly for political purposes (see instructions for definition)?  If the answer is "Ves" to jac or p <sub>2</sub> statisch a defaulted or description of the activitie	1a Exempt operating foundations described in section 4940(d)(2), check here land e	nter "N/A" on line 1.		
of Part I, line 27b c All Other domestic boundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col. (b). 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) 3 Add lines 1 and 2 4 10 . 4 Subtite A (norme) bax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) 5 Tax based on investment income. Subtract line 4 from line 3 if zero or bass, enter -0- 5 Tax based on investment income. Subtract line 4 from line 3 if zero or bass, enter -0- 5 Tax based on investment income. Subtract line 4 from line 3 if zero or bass, enter -0- 5 Tax based on investment income. Subtract line 4 from line 3 if zero or bass, enter -0- 5 Tax based on investment income. Subtract line 4 from line 3 if zero or bass, enter -0- 5 Tax based on investment income. Subtract line 4 from line 3 if zero or bass, enter -0- 5 Tax based on investment income. Subtract line 4 from line 3 if zero or bass, enter -0- 5 Tax based on investment income. Subtract line 4 from line 3 if zero or bass, enter -0- 5 Tax based on investment income. Subtract line 4 from line 3 if zero or bass, enter -0- 5 Tax based on investment income. Subtract line 4 from line 3 if zero or bass, enter -0- 5 Tax based on investment income. Subtract line 4 from line 3 if zero or bass, enter -0- 5 Tax based on investment line 4 from line 3 if zero or bass, enter -0- 5 Tax based on investment line 4 from line 3 if zero or bass, enter -0- 6 Tax based on investment and tax based on line 4 from line 3 if zero or bass, enter -0- 6 Tax based on investment and tax based on line 5 from 100 from 200 is attached 7 Total credits and payments. Add lines 6 through and 100 from 200 is attached 9 Tax based on line 4 from 200 is attached 9 Tax based on 100 from 200 is attached 9 Tax based on 100 from 200 is attached 9 Tax based on 100 from 200 is attached 9 Tax based on 100 from 200 is attached 9 Tax based on 100 from 200 from	Date of ruling or determination letter: (attach copy of letter if ned	cessary-see instructions)	22.	
c All other domestic foundations enter 2% of time 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).  2 Tax under section 511 (domestic saction 4947(a)(1) trusts and taxable foundations only. Others enter -0-)  3 def lines 1 and 2  4 Subtide A (income) bix (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)  5 Tax based on investment income. Subtract line 4 from line 3 if zero roless, enter -0-  5 Tax based on investment income. Subtract line 4 from line 3 if zero roless, enter -0-  5 Tax based on investment income. Subtract line 4 from line 3 if zero roless, enter -0-  5 Tax based on investment income. Subtract line 4 from line 3 if zero roless, enter -0-  5 Tax based on investment income. Subtract line 4 from line 3 if zero roless, enter -0-  6 Credits Payments:  a 2012 estimated tax payments and 2011 overpayment credited to 2012  b Exempt foreign organizations - Lax withheld at source  c Tax paid with application for extension of time to life (Form 8868)  6 Enter any penalty for underspayment of estimated tax Check here life from 2220 is attached  9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owned  9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owned  10 Tester than amount of line 10 to be. Credited to 2013 estimated tax line 1 line 7 is more than 1 line 7 is more than line 10 to het. Credited to 2013 estimated tax line 1 line	b Domestic foundations that meet the section 4940(e) requirements in Part V, check here	► X and enter 1%	1	410.
2 Tav under section \$11 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) 3 Add times 1 and 2 4 Subtitis A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) 5 Tax based on investment income. Subtract line 4 from line 3 if zero or less, enter -0- 5 Tax based on investment income. Subtract line 4 from line 3 if zero or less, enter -0- 5 Tax based on investment income. Subtract line 4 from line 3 if zero or less, enter -0- 5 Tax based on investment income. Subtract line 4 from line 3 if zero or less, enter -0- 5 Tax based on investment income. Subtract line 4 from line 3 if zero or less, enter -0- 5 Tax based on investment income. Subtract line 4 from line 3 if zero or less, enter -0- 5 Tax based on investment income. Subtract line 4 from line 6 if zero or less, enter -0- 5 Tax based on investment income. Subtract line 4 from line 6 if zero or less, enter -0- 6 De zero or less or le	, and the second se			
Add lines 1 and 2 4 Subtide A (income) bitx (domestic section 4947(a)(1) thrusts and brable foundations only. Others enter -D-) 5 Tax based on investment income. Subtract line 4 from line 3 if zero or less, enter -D- 5 Tax based on investment income. Subtract line 4 from line 3 if zero or less, enter -D- 5 Cradist-Payments. 6 Cradist-Payments. 7 Cracy paid with application for extension of time to file (Form 8868) 6 Enter any pearly for underpsyment of estimated at source. 7 Tax paid with application for extension of time to file (Form 8868) 6 Enter any pearly for underpsyment of estimated tax. Check here in IfForm 2220 is attached. 8 Tenter any pearly for underpsyment of estimated tax. Check here in IfForm 2220 is attached. 9 Tax due. If the total of lines 5 and 8 is more than kine 7, enter amount overgrand. 11 Enter the amount of line 10 to be. Credited to 2013 estimated tax. ► Refunded in 1  Part VIIEA   Statements Regarding Activities. 12 During the tax year, and the foundation artimpt to influence any national, state, or local legislation or did it participate or intervene in any potitical campaign? 13 During the tax year, and the foundation artimpt to influence any national, state, or local legislation or did it participate or intervene in any potitical campaign? 14 Tax in the answer is "Yes" to 1 <sub>30</sub> or 1 <sub>10</sub> , attache a detailed description of the activities and copies of any materials published or distributed by the foundation on econnection with the activities. 2 Dut the foundation in a connection with the activities. 3 Has the foundation in Payment in the activities. 4 Dut the foundation in a connection with the activities. 5 Co. (2) On foundation managers. ► \$ O. (2) On foundation managers. ► \$ O. (3) On foundation managers. ► \$ O. (4) On foundation managers. ► \$ O. (5) On foundation managers. ► \$ O. (6) On foundation managers. ► \$ O. (7) On foundation managers. ► \$ O. (7) On foundation managers. ► \$ O. (8) On foundation managers. ► \$ O. (8) On foundation managers. ► \$ O. (8) On foundation managers.	c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4%	。of Part I, line 12, col (b). ノ		
4 Substite A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) 5 Tax based on Investment income. Subtract line 4 from line 3 if zero or less, enter -0- 5 Credits/Payments; a 2012 estimated tax payments and 2011 overpayment credited to 2012 b Exempt foreign organizations - tax withhold at source c Tax pand with application for extension of time to file (Form 8868) d Backup withholding erroneously withhold of 3 Total credits and payments Add lines 6a through 6d 8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached 5 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed 5 Tax due. If the total of lines 5 to 8 is credited to 2013 estimated tax.  10 overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 11 Enter the amount of line 10 to 8 ic-redited to 2013 estimated tax.  Part VIEA/S Statements Regarding Activities 1a Dump the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?  b Did it spend more than \$100 duming the year (either directly or molecule) for political purposes (see instructions for definition)?  If the answer is "Yes" to 1 <sub>10</sub> or 1 <sub>10</sub> , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.  1 but the foundation his Form 1120+01, for this year?  If "Yes," attach a detailed description of the activities.  3 Has the foundation engaged in any activities that have not previously been reported to the IRS; in its governing instrument, articles of incorporation, or bytaws, or other small antifurionents? If "Yes, "attach a conforment of the year?  If "Yes," attach the statement required by General Instruction 7.  B Are the requirements of section 5040 (if clinical his year?  If "Yes," attach the statement required by General Instruction 7.  If "Yes," attach the statem	2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only O	thers enter -0-)	<del></del>	
S Tax based on investment income. Subtractine 4 from line 3 if zero or less, enter-0-  6 Crodisp-Ryments.  a 2012 estimated tax payments and 2011 overpayment credited to 2012  b Exempt foreign organizations - Tax withhelid at source  c Tax pand with application for extension of time to file (Form 8868)  d Sackup withholding erroreously withhelid  7 Total credits and payments Add lines 5a through 6d  8 Enter any penalty for undersyment of estimated tax Check here ☐ if Form 2220 is attached  8 Tax due. If the folial of lines 5 and 8 is more than line 7, enter amount owed  9 Tax due. If the folial of lines 5 and 8 is more than line 7, enter amount owed  10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid  11 Enter the amount of line 100 be; Credited to 2013 estimated tax ▶  Part VIII-All Statements Regarding Activities  1 During the tax year, did the broundation attempt to influence any national, state, or local legislation or did it participate or intervere in any political campaign?  b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?  1 If the answer is "Yes" for a for 1p, attach a defauled description of the activities and copies of any materials published or distributed by the foundation in Form 112-PO-FL to this year?  6 Enter the remiburisement (any) of tax on political expenditures (section 4955) imposed during the year:  (1) On the foundation in Ferom 112-PO-FL to this year?  6 Enter the remiburisement (any) paid by the foundation our managers. ▶ \$ 0  1 Has the foundation engaged in any activities that have not previously been reported to the IRS?  1 "Yes, Tatach a detailed description of the activities.  1 Has the foundation engaged in any activities that have not previously been reported to the IRS?  1 "Yes, Tatach a detailed description of the activities.  1 Has the foundation engaged in any activities that have not previously been reported to the IRS?  1 "Yes, Tatach a detail				
6 Credns-Payments: a 2012 estimated tax payments and 2011 overpayment credited to 2012 b Exempt foreign organizations - tax withheld at source c Tax paid with application for extension of time to file (Form 8868) d Backup withholding erroneously withheld 7 Total credits and payments. Add lines 6a through 6d 8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached 9 Tax due. If the potal of lines 5 and 6, enter the amount owed 10 Overpayment if line? Is more than the total of lines 5 and 6, enter the amount overpaid 11 Enter the amount of line 10 to loc. Credited to 2013 estimated tax.  Part VIEAL Statements Regarding Activities 1a Dump the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any potitical campaign?  1b Did it spend more than \$100 duming the year (either directly or indirectly) for political purposes (see instructions for definition)?  1a Turning the tax year, did the foundation activities and copies of any materials published or distributed by the foundation in connection with the activities.  1 Did the amount (if any) of tax on political expenditures (section 4955) imposed during the year?  1 Yes, 'attach a detailed description of the activities.  2 Lists the foundation file Form 112-POLL for the year?  1 Yes, 'attach a detailed description of the activities.  3 Has the foundation made any changes, not previously been reported to the IRS; in its governing instrument, articles of incorporation, or bylaws, or other small anstituments? If Yes, 'attach a conformed copy of the changes 4 Did the foundation have unrelated business gross income of \$1,000 or more during the year?  If 'Yes, 'attach the statement required by General Instruction 7.  By the foundation charming statument, or  9 bylanguage in the governing instrument, or  9 bylanguage in the governing instrument, or  9 bylanguage in the governing instrument, or  9 bylanguage in the governing instrument in the activities are requi		Others enter -0-)	<del></del>	
a 2012 estimated tax payments and 2011 overpayment credited to 2012 b Exempt foreign organizations - tax withheld at source c Tax pair with application for extension of time to file (Form 8868) d Backup withholding erroneously withheld 7 Total credits and payments. Add these Sa through 6d b Enter any penalty for underpayment of estimated tax. Check here I if Form 2220 is attached 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed 10 Overpayment If line 7 is more than the total of lines 5 and 8 is more than line 7, enter amount owed 11 Enter the amount of lines 10 to be; Credited to 2013 estimated tax ▶ Refunded ▶ 11    Part VIEA  Statements Regarding Activities 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 15 Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? 16 If the answer is "Yes" to 1 <sub>10</sub> or 1 <sub>10</sub> , attach a defailed description of the activities. 17 On the foundation in the form 1120-POL for this year? 18 If "Yes," attach a defailed description of the activities. 19 If "Yes," attach a defailed description of the activities. 20 Life the foundation may pay by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ 0. (2) On foundation managers ▶ \$ 0. (3) On foundation man			5	410.
b Exempt foreign organizations - tax withheld at source c Tax paid with application for extension of time to file (Form 8868) d Backup withholding erroneously withheld 7 Total credits and payments. Add lines 6 at through 6d 8 Enter any penalty for undergoyment of estimated tax. Check here ☐ if Form 2220 is attached 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed 10 Overpayment if line 7 is more than line total of lines 5 and 8, enter the amount overpaid 11 Enter the amount of line 10 to be; Credited to 2013 estimated tax.  Part VIIEA   Statements Regarding Activities 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or interview in any political campaign?  b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?  If the answer is *Yes* to 1a or 1b, attach a detailed description of the activities.  c Did the foundation in connection with the activities.  c Did the foundation in Form 1120-POL for this year?  (1) On the foundation. ► \$ 0 . (2) On foundation managers. ► \$ 0.  e Enter the reimbursement (if any) paid by the foundation duming the year for political expenditure tax imposed on foundation managers. ► \$ 0.  e Enter the reimbursement (if any) paid by the foundation duming the year for political expenditure tax imposed on foundation managers. ► \$ 0.  e Enter the reimbursement (if any) paid by the foundation duming the year for political expenditure tax imposed on foundation managers. ► \$ 0.  e Enter the reimbursement (if any) paid by the foundation duming the year?  If *Yes,* it attach a detailed description of the activities.  3 Has the foundation have entleaded bursenses gross income of \$1,000 or more duming the year?  If *Yes,* or the smallar instruments? If *Yes,* attach a conformed copy of the changes  3 X  4 Did the foundation have artisested bursenses gross income of \$1,000 or more duming the year?  If *Yes,* comp		1 1	, · · ·	
c Tax paid with application for extension of time to file (Form 8868) d Backup withholding erroneously withhold 1 Total credits and payments Add lines 6s through 6d 8 Enter any penalty for underpayment of estimated tax. Check here I if Form 2220 is attached 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount ower 10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount of lines 5 and 8 is more than line 7, enter amount ower 11 Enter the amount of line 10 to be; Credited to 2013 estimated tax. ► Refunded ► 11    Part VIEA  Statements Regardling Activities   Ta During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?   Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?   If the answer is "Yes" to ** to ** fa or ** to**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in comnication with the activities.   Did the foundation in Form 1120-P0I for the year?   The the amount (if any) of tax on political expenditures (section 4955) imposed during the year.   The total companies of the section of the activities of the changes   \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$			4	
d Backup withholding erroneously withhold 7 Total credits and payments Add lines 6a through 6d 8 Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed 10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpard 11 Enter the amount of line 10 to be: Credited to 2013 estimated tax ▶		6b	42	
7 Total credits and payments Add lines 6a through 6d 8 Enter any penalty for underpayment of estimated tax Check here If Form 2220 is attached 9 Tax dus. If the total of lines 5 and 8 is more than hine 7, enter amount owed 10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 11 Enter the amount of line 10 to be, Credited to 2018 estimated tax Images Ima	c Tax paid with application for extension of time to file (Form 8868)		48. 1	1
8 Enter any penalty for underpayment of estimated tax Check here If Form 2220 is attached 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed 10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount owed 11 Enter the amount of line 10 to be; Credited to 2013 estimated tax \rightharpoonup Intervention	•			
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed 10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 11 Enter the amount of line 10 to be: Credited to 2013 estimated tax > Refunded > 11    Part VII_SA  Statements Regarding Activities 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?  b Did it spend more than \$100 during the year (either directity or indirectly) for political purposes (see instructions for definition)?  If the answer is "Yes" to 10 or 10, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.  c Did the foundation life Form 1120-POL for this year?  d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:  (1) On the foundation. S			<del></del>	<u> </u>
10 Overpayment if line 7 is more than the total of lines 5 and 8, enter the amount overpaid  11 Enter the amount of line 10 to be: Credited to 2013 estimated tax ▶ Refunded ▶ 11    Part VII_AI  Statements Regarding Activities   12 During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?   12 During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?   13 During the tax year, did the foundation in connection with interactive or indicated purposes (see instructions for definition)?   13		iched	<del></del>	410
The Enter the amount of line 10 to be; Credited to 2013 estimated tax ▶ Refunded ▶ 11    Part VII; All Statements Regarding Activities   12 During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		<b>.</b>		410.
Part VII:A    Statements Regarding Activities   1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?   b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?   If the answer is "Yes" to 1 <sub>10</sub> or 1 <sub>10</sub> , attach a detailed description of the activities and copies of any maternals published or distributed by the foundation in connection with the activities.   c Did the foundation file Form 1120-PGL for this year?   d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:   (1) On the foundation. ► \$	, -	<del>.</del>	<u> </u>	
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?  1b If it is answer is "Yes" to 1a or 1b, altach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.  1c Did the foundation hile Form 1120-POL for this year?  2d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:  2 Enter the reimbursement (if any) and by the foundation during the year for political expenditure tax imposed on foundation managers.  3 Has the foundation engaged in any activities that have not previously been reported to the IRS?  3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a detailed description of the activities.  3 Has the foundation have unrelated business gross income of \$1,000 or more during the year?  3 If "Yes," has it filed a tax return on Form 990-T for this year?  4 Did the foundation, termination, dissolution, or substantial contraction during the year?  4 If "Yes," attach the statement required by General Instruction T.  5 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:  8 By language in the governing instrument, or  9 By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?  7 Did the foundation have at least \$5,000 in assets at any time during the year?  11 If "Yes," complete Part II, col. (c), and Part XV.  28 Enter the states to which the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation  9 is the foundation claiming status as a private operating foundation withi		Refunded▶	11	
any political campaign?  b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?  If the answer is "Yes" to 1 <sub>a</sub> or 1 <sub>b</sub> , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.  c Did the foundation file Form 1120-P0L for this year?  d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:  (1) On the foundation. ▶ \$ 0 ⋅ (2) On foundation managers. ▶ \$ 0 ⋅  Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ 0 ⋅  Has the foundation engaged in any activities that have not previously been reported to the IRS?  If "Yes," attach declared description of the activities.  Has the foundation have unrelated business gross income of \$1,000 or more during the year?  If "Yes," attach the state return on Form 990-T for this year?  N/A  Was there a liquidation, termination, dissolution, or substantial contraction during the year?  If "Yes," attach the statement required by General Instruction T.  Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:  By I anguage in the governing instrument, or  By Is alonguage in the governing instrument, or  By Is alonguage in the governing instrument?  If "Yes," complete Part II, col. (c), and Part XV.  Enter the states to which the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation  If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation  If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required			<del></del>	JVIN-
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?  If the answer is "Yes" to 19 of 16, at the head detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.  Did the foundation life Form 1120-POL for this year?  d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:  (1) On the foundation. \( \bar{} \) \(		slation or did it participate or interven	e in	0. 1 24
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.  c Did the foundation lie Form 1120-POL for this year?  d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year.  (1) On the foundation. ▶ \$ 0 . (2) On foundation managers. ▶ \$ 0 .  e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ 0 .  e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ 0 .  e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ 0 .  e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ 0 .  e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ 0 .  e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ 0 .  e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation namagers. ▶ \$ 0 .  e Enter the reimbursement (if any) paid by the foundation furnished a conformed copy of the changes at the foundation have unrelated business gross income of \$1,000 or more during the year?  If "Yes," attach a fet fectively amends the governing instruction or substantial contraction during the year?  If "Yes," attach the statement required by General Instruction or the substantial contraction during the year?  If "Yes," complete Part II, col. (c), and Part XV.  8a Enter the states to which the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by Gene			-	
distributed by the foundation in connection with the activities.  c Did the foundation hie Form 1120-POL for this year?  d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:  (1) On the foundation. \( \backsquare\) \( \backsquare\) \( \alpha\) \( \cdot\) \( \cdo\) \( \cdot\) \( \cdot\) \( \cdot\) \( \cdot\) \( \cdot\) \( \cd				10
to Did the foundation file Form 1120-POL for this year?  If Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:  (1) On the foundation. ▶ \$ ○ .  Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ ○ .  Has the foundation engaged in any activities that have not previously been reported to the IRS?  If "Yes," attach a detailed description of the activities.  Has the foundation made any changes, not previously reported to the IRS?  If "Yes," attach a detailed description of the activities.  Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes  The Did the foundation have unrelated business gross income of \$1,000 or more during the year?  If "Yes," has if filed a tax return on Form 990-T for this year?  If "Yes," attach the statement required by General Instruction T.  Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:  By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?  Did the foundation have at least \$5,000 in assets at any time during the year?  If "Yes," complete Part II, col. (c), and Part XV.  Enter the states to which the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation  If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation  If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruct		id copies of any materials publisf	ned or	
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:  (1) On the foundation. ▶ \$ 0 . (2) On foundation managers. ▶ \$ 0 .  e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ 0 .  Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ 0 .  Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ 0 .  Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ 0 .  Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ 0 .  Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ 0 .  Enter the reimbursement (if any) paid by the foundation fath avenue and extended the search of the foundation on the extended tax return on Form 990-T for this year?  If "Yes," attach the statement required by General Instruction T.  Enter the reimbursement (if any) paid by the foundation reports or with which it is registered (see instructions) ▶ 10 the foundation have at least \$5,000 in assets at any time during the year?  If "Yes," complete Part II, col. (c), and Part XV.  Be Enter the states to which the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction GP II "No," attach explanation  Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV  Did any persons become substantial contributors during the tax year? "Tatach a schedule isti			]-	
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ 0. enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ 0. enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ 0. enter the states to which the foundation of the activities.  3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If *Yes,* attach a conformed copy of the changes  4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?  4b If *Yes,* hast filed a tax return on Form 990-Tor this year?  5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?  6 If *Yes,* attach the statement required by General Instruction T.  8 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:  9 By language in the governing instrument, or  9 By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?  7 Did the foundation have at least \$5,000 in assets at any time during the year?  10 If "Yes,* complete Part II, col. (c), and Part XV.  11 If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No,* attach explanation  9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV  10 Did any persons become substantial contributors during the tax year? if ryes,* attach a sche	•		ŀ	IC A
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers  \$ \$ 0.2  2 Has the foundation engaged in any activities that have not previously been reported to the IRS?  If "Yes," attach a detailed description of the activities.  3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes  4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?  b If "Yes," has it filed a tax return on Form 990-T for this year?  for "Yes," attach the statement required by General Instruction T.  6 Are the requirements of section \$08(e) (relating to sections 4941 through 4945) satisfied either:  • By language in the governing instrument, or  • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?  7 Did the foundation have at least \$5,000 in assets at any time during the year?  If "Yes," complete Part II, col. (c), and Part XV.  8 Enter the states to which the foundation reports or with which it is registered (see instructions)  TN  b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation  9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIVI)" If "Yes," complete Part XIV  9 X  10 Did any persons become substantial contributors during the tax year? if "Yes," attach a schedule listing their names and addresses	•	•		
Has the foundation engaged in any activities that have not previously been reported to the IRS?  If "Yes," attach a detailed description of the activities.  3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes  4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?  b If "Yes," has it filed a tax return on Form 990-T for this year?  N/A  5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?  If "Yes," attach the statement required by General Instruction T.  6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:  • By language in the governing instrument, or  • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?  7 Did the foundation have at least \$5,000 in assets at any time during the year?  If "Yes," complete Part II, col. (c), and Part XV.  8a Enter the states to which the foundation reports or with which it is registered (see instructions)  ■ TN  b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation  9 is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV  9 X  10 Did any persons become substantial contributors during the tax year? if "Yes," attach a schedule listing their names and addresses		······	<u>.</u>	34 86 8
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?  If "Yes," attach a detailed description of the activities.  3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes  4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?  b If "Yes," has it filed a tax return on Form 990-T for this year?  N/A  5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?  If "Yes," attach the statement required by General Instruction T.  6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:  • By language in the governing instrument, or  • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?  If "Yes," complete Part II, col. (c), and Part XV.  8a Enter the states to which the foundation reports or with which it is registered (see instructions)  TN  b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate)  of each state as required by General Instruction G? If "No," attach explanation  9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)' If "Yes," complete Part XIV  10 Did any persons become substantial contributors during the tax year? in "yes," attach a schedule listing their names and addresses		nure tax imposed on foundation	li	
If "Yes," attach a detailed description of the activities.  3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes  4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?  b If "Yes," has it filed a tax return on Form 990-T for this year?  N/A  Was there a liquidation, termination, dissolution, or substantial contraction during the year?  If "Yes," attach the statement required by General Instruction T.  Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:  By language in the governing instrument, or  By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?  7 Did the foundation have at least \$5,000 in assets at any time during the year?  If "Yes," complete Part II, col. (c), and Part XV.  8a Enter the states to which the foundation reports or with which it is registered (see instructions)  TN  b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation  9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV  9 X  10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		000	ľ	Y 7
Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes  4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?  b if "Yes," has it filed a tax return on Form 990-T for this year?  Was there a liquidation, termination, dissolution, or substantial contraction during the year?  If "Yes," attach the statement required by General Instruction T.  6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:  ■ By language in the governing instrument, or  ■ By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?  7 Did the foundation have at least \$5,000 in assets at any time during the year?  If "Yes," complete Part II, col. (c), and Part XV.  8a Enter the states to which the foundation reports or with which it is registered (see instructions)  TN  b if the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation  9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(i)(3) or 4942(i)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV  9 X  10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		no	<b> </b>	
bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes  4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?  b if "Yes," has it filed a tax return on Form 990-T for this year?  Was there a liquidation, termination, dissolution, or substantial contraction during the year?  If "Yes," attach the statement required by General Instruction T.  6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:  By language in the governing instrument, or  By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?  7 Did the foundation have at least \$5,000 in assets at any time during the year?  If "Yes," complete Part II, col. (c), and Part XV.  8a Enter the states to which the foundation reports or with which it is registered (see instructions)  TN  b if the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation  9 is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV  10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	· · · · · · · · · · · · · · · · · · ·	instrument articles of incorporation	or S	
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?  b if "Yes," has it filed a tax return on Form 990-T for this year?  Was there a liquidation, termination, dissolution, or substantial contraction during the year?  If "Yes," attach the statement required by General Instruction T.  6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:  By language in the governing instrument, or  By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?  7 Did the foundation have at least \$5,000 in assets at any time during the year?  If "Yes," complete Part II, col. (c), and Part XV.  8a Enter the states to which the foundation reports or with which it is registered (see instructions)  TN  b if the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation  9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV  9 X  10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses			oi l	3 L X
b If "Yes," has it filed a tax return on Form 990-T for this year?  5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?  If "Yes," attach the statement required by General Instruction T.  6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:  • By language in the governing instrument, or  • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?  7 Did the foundation have at least \$5,000 in assets at any time during the year?  If "Yes," complete Part II, col. (c), and Part XV.  8a Enter the states to which the foundation reports or with which it is registered (see instructions)  TN  b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation  9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV  9 X  10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	· · ·		ŀ	<del>-  </del>
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?  If "Yes," attach the statement required by General Instruction T.  Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:  By language in the governing instrument, or  By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?  Did the foundation have at least \$5,000 in assets at any time during the year?  If "Yes," complete Part II, col. (c), and Part XV.  Ba Enter the states to which the foundation reports or with which it is registered (see instructions)  TN  b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation  9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV  10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses  10 X		•	N/A	
If "Yes," attach the statement required by General Instruction T.  6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:  • By language in the governing instrument, or  • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?  7 Did the foundation have at least \$5,000 in assets at any time during the year?  If "Yes," complete Part II, col. (c), and Part XV.  8a Enter the states to which the foundation reports or with which it is registered (see instructions)  TN  b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation  9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV  10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses  10 X		?		
Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:  By language in the governing instrument, or  By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?  7 Did the foundation have at least \$5,000 in assets at any time during the year?  If "Yes," complete Part II, col. (c), and Part XV.  8a Enter the states to which the foundation reports or with which it is registered (see instructions)  TN  b if the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation  9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV  10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses			Ī	134 48 by 1
<ul> <li>By language in the governing instrument, or</li> <li>By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?</li> <li>Did the foundation have at least \$5,000 in assets at any time during the year?</li> <li>If "Yes," complete Part II, col. (c), and Part XV.</li> <li>Enter the states to which the foundation reports or with which it is registered (see instructions)</li> <li>TN</li> <li>b if the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation</li> <li>By It the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV</li> <li>Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses</li> <li>X</li> </ul>	· · · · · · · · · · · · · · · · · · ·	either:	İ	
<ul> <li>By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?</li> <li>Did the foundation have at least \$5,000 in assets at any time during the year?</li> <li>If "Yes," complete Part II, col. (c), and Part XV.</li> <li>Enter the states to which the foundation reports or with which it is registered (see instructions)</li> <li>TN</li> <li>b if the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation</li> <li>Bb X</li> <li>Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV</li> <li>Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses</li> <li>X</li> </ul>				
remain in the governing instrument?  7 Did the foundation have at least \$5,000 in assets at any time during the year?  If "Yes," complete Part II, col. (c), and Part XV.  8a Enter the states to which the foundation reports or with which it is registered (see instructions)  TN  b if the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate)  of each state as required by General Instruction G? If "No," attach explanation  9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV  10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses  10 X		ry directions that conflict with the sta	te law	
7 X  If "Yes," complete Part II, col. (c), and Part XV.  8a Enter the states to which the foundation reports or with which it is registered (see instructions)  TN  b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation  9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV  10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses  10 X			ľ	6 X
If "Yes," complete Part II, col. (c), and Part XV.  8a Enter the states to which the foundation reports or with which it is registered (see instructions)  TN  b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation  9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV  10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses  10 X			Ì	7 X
8a Enter the states to which the foundation reports or with which it is registered (see instructions)  TN  b if the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation  9 is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV  10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses  10 X			ļ	
TN  b if the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation  9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV  10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses  10 X		ons) 🕨		
b if the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation  9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV  10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses  10 X			-	
of each state as required by General Instruction G? If "No," attach explanation  9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV  10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses  10 X		Attorney General (or designate)	_	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV  10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses  10 X		- , <b>-</b> ,	ľ	8b X
year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV  10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses  10 X		ection 4942(j)(3) or 4942(j)(5) for ca	lendar	
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 10 X			ľ	9 X

Form **990-PF** (2012)

	1990-PF(2012) RESEARCH FOUNDATION 20-591	<u> 1602</u>		Page 5
Pa	art VII-A Statements Regarding Activities (continued)			
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of			
	section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		Х
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges?			
	If "Yes," attach statement (see instructions)	12		Х
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Х	
	Website address ► N/A			
14	The books are in care of ► MALCOLM T. FOSTER, MD  Telephone no ►865-6	02-6	700	
	Located at ▶ 10810 PARKSIDE DRIVE, SUITE 301, KNOXVILLE, TN ZIP+4 ▶3	7934		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here			
	and enter the amount of tax-exempt interest received or accrued during the year	N	/A	
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank,		Yes	No
	securities, or other financial account in a foreign country?	16		Х
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign		L	
	country <b>&gt;</b>			
Pa	art VII-B   Statements Regarding Activities for Which Form 4720 May Be Required			
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
12	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes X No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from)	<u> </u>		
	a disqualified person?			ij W
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	ľ	, \$ j	ľ
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  Yes X No		š	
	(5) Transfer any income or assets to a disqualified person (or make any of either available	`	25	` `
	for the benefit or use of a disqualified person)?		, , , , , , , , , , , , , , , , , , ,	
	(6) Agree to pay money or property to a government official? (Exception, Check "No"	,	ية إلى	· %
	If the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)  Yes X No	* 43		
		1	3.3	(1
	olf any answer is "Yes" to 1a(1)-(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A			
	,	1b	W245	. 5
	Organizations relying on a current notice regarding disaster assistance check here	II. N	· 🏋	4
•	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected			
	before the first day of the tax year beginning in 2012?	1c	50	Х
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation	11 7	. 5.	<u>}</u> .
	defined in section 4942(j)(3) or 4942(j)(5)):	``	1 % - 1%	<i>"&gt;</i>
	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning			
	before 2012? Yes X No			
	If "Yes," list the years		1. 6 mg.	\$ d
ı	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect	- [	3"	
	valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach			
	statement - see instructions ) N/A	2b		<u> </u>
(	: If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here.		ş.	
	<b>&gt;</b>			l
3	a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time			İ
	during the year?			
ì	o if "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after		ŀ	
	May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose			
	of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C,		ŀ	
	Form 4720, to determine if the foundation had excess business holdings in 2012)  N/A	3b		
4:	a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	1	Х
	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that			
	had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		X
	, , , , , , , , , , , , , , , , , , , ,			

		• •		
EAST TENNESSEE CARDIOVAS	CULAR		20 50116	0.0 -
Form 990-PF (2012) RESEARCH FOUNDATION	Taura 4700 May Da F		<u> 20-59116</u>	02 Page 6
Part VII-B   Statements Regarding Activities for Which	Form 4720 May be F	required (contin	uea)	
5a During the year did the foundation pay or incur any amount to:	4045(-)\0	Г у	es X No	;
(1) Carry on propaganda, or otherwise attempt to influence legislation (section	` ''		ES LAL NO	
(2) Influence the outcome of any specific public election (see section 4955); o	r to carry on, directly or indire		es X No	
any voter registration drive?	0			
(3) Provide a grant to an individual for travel, study, or other similar purposes		Y6	es X No	,
(4) Provide a grant to an organization other than a charitable, etc., organizatio	n described in section		es X No	'
509(a)(1), (2), or (3), or section 4940(d)(2)?			es 🔝 NO	1
(5) Provide for any purpose other than religious, charitable, scientific, literary,	or educational purposes, or to		es X No	
the prevention of cruelty to children or animals?	dor the eventions described i		S A NO	
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify une section 53 4945 or in a current notice regarding disaster assistance (see instru		ii negulations	N/A	
Organizations relying on a current notice regarding disaster assistance (see more	•			30   -
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption fi		nad		
expenditure responsibility for the grant?		/A Ye	es 🔲 No	i
If "Yes," attach the statement required by Regulations section 53 494		/	., ,,	
6a Did the foundation, during the year, receive any funds, directly or indirectly, to	• •			
a personal benefit contract?	pay promisino on		es X No	
b Did the foundation, during the year, pay premiums, directly or indirectly, on a p	ersonal benefit contract?			6b X
If "Yes" to 6b, file Form 8870			<u> </u>	1 1
7a At any time during the tax year, was the foundation a party to a prohibited tax s	helter transaction?	Ye	es X No :	
b If "Yes," did the foundation receive any proceeds or have any net income attribi				7b
Part VIII Information About Officers, Directors, Trust	ees, Foundation Ma	nagers, Highly	У	<u> </u>
List all officers, directors, trustees, foundation managers and their	compensation.			
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MALCOLM T. FOSTER III, MD	PRESIDENT	,	gumpunsuuun	
10810 PARKSIDE DRIVE, SUITE 301				
KNOXVILLE, TN 37934	0.00	0.	0.	0.
STEVE REED, MD	TRUSTEE			
10810 PARKSIDE DRIVE, SUITE 301				
KNOXVILLE, TN 37934	0.00	0.	0.	0.
BARRY I. MICHELSON, MD	SECRETARY			
10810 PARKSIDE DRIVE, SUITE 301				
KNOXVILLE, TN 37934	0.00	0.	0.	0.
CLINT DOIRON, MD	TRUSTEE			
10810 PARKSIDE DRIVE, SUITE 301				
KNOXVILLE, TN 37934	0.00	0.	0.	0.
2 Compensation of five highest-paid employees (other than those inc		enter "NONE."	[ (d\a	
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deterred compensation	(e) Expense account, other allowances
NONE				
				:

► 0 Form **990-PF** (2012)

Total number of other employees paid over \$50,000

Form	$\alpha \alpha \alpha$	חר	/ N N 4	<b>α</b> \
rorm	4411-	~	1 /11	<i>_</i> 1

RESEARCH FOUNDATION 20-5911602 Page 7

Part VIII Information About Officers, Directors, Trustees, Foundat Paid Employees, and Contractors (continued)	ion Managers, Highly	
3 Five highest-paid independent contractors for professional services. If none, enter	"NONE."	
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
	7	
Total number of others receiving over \$50,000 for professional services		▶ 0
Part IX-A Summary of Direct Charitable Activities		
List the foundation's four largest direct charitable activities during the tax year. Include relevant statistic		Expenses
number of organizations and other beneficiaries served, conferences convened, research papers produ	icea, etc.	
1 N/A		
2		
3		
3		
4		<del></del>
4		
PartiX-B Summary of Program-Related Investments	, <u>"</u>	-
Describe the two largest program-related investments made by the foundation during the tax year on lin	nes 1 and 2.	Amount
1 N/A		
2		
	<u> </u>	
All other program-related investments. See instructions		
3		
Total. Add lines 1 through 3	<b>&gt;</b>	0.
		Form <b>990-PF</b> (2012)

Form 990-PF (2012) Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.) Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: 1,300,184. a Average monthly fair market value of securities 1a 702.728. b Average of monthly cash balances 1b 1c c Fair market value of all other assets 2.002 912. d Total (add lines 1a, b, and c) 1d e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e Acquisition indebtedness applicable to line 1 assets 2 2,002,912. 3 3 Subtract line 2 from line 1d 30,044. 4 Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions) 1,972,868. Net value of noncharitable-use assets Subtract line 4 from line 3. Enter here and on Part V. line 4 5 98,643. Minimum investment return. Enter 5% of line 5 Distributable Amount (see instructions) (Section 4942(1)(3) and (1)(5) private operating foundations and certain Part XI foreign organizations check here 

and do not complete this part.) 98,643. Minimum investment return from Part X, line 6 Tax on investment income for 2012 from Part VI, line 5 410. Income tax for 2012. (This does not include the tax from Part VI.) 2b Add lines 2a and 2b 2c 410. 3 98.233. 3 Distributable amount before adjustments. Subtract line 2c from line 1 Recoveries of amounts treated as qualifying distributions 4 4 98,233. 5 5 Add lines 3 and 4 Ō. Deduction from distributable amount (see instructions) 6 7 98,233. Distributable amount as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1. Part XII Qualifying Distributions (see instructions) Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: 110,249. a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 1a b Program-related investments - total from Part IX-B 1b 2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes 2 Amounts set aside for specific charitable projects that satisfy the: a Suitability test (prior iRS approval required) 3а 3b Cash distribution test (attach the required schedule) 110.249. Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 4 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment 410. income. Enter 1% of Part I, line 27b 5 109,839. Adjusted qualifying distributions. Subtract line 5 from line 4 6 Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section

Form **990-PF** (2012)

4940(e) reduction of tax in those years.

Page 9

RESEARCH FOUNDATION

	(-)	/6)	(2)	141
	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part line 7	XI,			98,233
2 Undistributed income, if any, as of the end of 20	012			
a Enter amount for 2011 only			0.	
<b>b</b> Total for prior years.		0.		,
3 Excess distributions carryover, if any, to	2012 <sup>.</sup>	•		
a From 2007	<i>*</i>	~	_ ^	
<b>b</b> From 2008				
<b>c</b> From 2009				
d From 2010		,		4
e From 2011 9 , 7	726.			
f Total of lines 3a through e	9,726		^	
4 Qualifying distributions for 2012 from Part XII, line 4: ►\$ 110, 2	249.	`,	,*	
a Applied to 2011, but not more than line	2a .		0.	
<b>b</b> Applied to undistributed income of prior	******			~
years (Election required - see instruction	ns)	0.		. S
c Treated as distributions out of corpus		/		\$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$
(Election required - see instructions)	0		~,	
d Applied to 2012 distributable amount		,		98,233
e Remaining amount distributed out of cor	rpus 12,016	• ** *** ***	·	· :
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same at	mount 0	• 3	7344	0
must be shown in column (a))	***			***
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	21,742		**	
b Prior years' undistributed income. Subtr	act			
line 4b from line 2b		0.	h h	(a , ·
c Enter the amount of prior years' undistributed income for which a notice deficiency has been issued, or on which the section 4942(a) tax has been previou	*		. 3	
assessed		0.	,	* *
d Subtract line 6c from line 6b Taxable amount - see instructions	* `	0.	a	\ \\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\
e Undistributed income for 2011. Subtract		1		,
4a from line 2a. Taxable amount - see in	· · · · · · · · · · · · · · · · · · ·		0.	
f Undistributed income for 2012. Subtract				
lines 4d and 5 from line 1. This amount i	must			,
be distributed in 2013				0
7 Amounts treated as distributions out of	.			
corpus to satisfy requirements imposed	0			
section 170(b)(1)(F) or 4942(g)(3)		•		<u>'</u>
8 Excess distributions carryover from 200	0			<u> </u>
not applied on line 5 or line 7  9 Excess distributions carryover to 2013.		•		
Subtract lines 7 and 8 from line 6a	21,742			
10 Analysis of line 9:	21,742	•		
				*
a Excess from 2008 b Excess from 2009				
c Excess from 2010				
	726.			
	016.		1	

	H FOUNDATIO			20-59	11602 Page 1
Part XIV   Private Operating F	oundations (see ins	structions and Part VII	I-A, question 9)	N/A	
1 a If the foundation has received a ruling o	r determination letter that	it is a private operating			
foundation, and the ruling is effective fo	r 2012, enter the date of t	he ruling	<b>&gt;</b>		
b Check box to indicate whether the found	lation is a private operatir	ig foundation described i	ın section	4942(j)(3) or 49	942(j)(5)
2 a Enter the lesser of the adjusted net	Tax year		Prior 3 years		
income from Part I or the minimum	(a) 2012	(b) 2011	(c) 2010	(d) 2009	(e) Total
investment return from Part X for					
each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII,					
line 4 for each year listed					
d Amounts included in line 2c not					
used directly for active conduct of					
exempt activities			<del> </del>	ļ	
e Qualifying distributions made directly					
for active conduct of exempt activities.					
Subtract line 2d from line 2c 3 Complete 3a, b, or c for the			-		
alternative test relied upon:					
a "Assets" alternative test - enter					
(1) Value of all assets			<del> </del>		<u> </u>
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return	!				
shown in Part X, line 6 for each year					
listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest,					
dividends, rents, payments on					
securities loans (section					
512(a)(5)), or royalties)				<del>                                     </del>	
(2) Support from general public and 5 or more exempt					
organizations as provided in					
section 4942(j)(3)(B)(iii) (3) Largest amount of support from					
an exempt organization					
(4) Gross investment income				-	
Part XV   Supplementary Info	rmation (Comple	te this part only	if the foundation	n had \$5,000 or me	ore in assets
at any time during t				• •	
1 Information Regarding Foundation		-			
a List any managers of the foundation wh	o have contributed more	than 2% of the total cont	tributions received by the	e foundation before the clo	se of any tax
year (but only if they have contributed in	nore than \$5,000) (See s	ection 507(d)(2).)			
NONE				,	
b List any managers of the foundation wh			(or an equally large port	ion of the ownership of a p	artnership or
other entity) of which the foundation ha	s a 10% of greater interes	SI.			
NONE					
2 Information Regarding Contribut Check here ► if the foundation of					vanta far francis If
the foundation makes gifts, grants, etc					
a The name, address, and telephone num	•				
MALCOLM T. FOSTER, M	•	• • •	onodia do adaresta.		
10810 PARKSIDE DRIVE			E, TN 37934		
b The form in which applications should b	·	·			
IN WRITING					
c Any submission deadlines: NONE					
d Any restrictions or limitations on award	s, such as by geographic	al areas, charitable fields.	, kınds of institutions, or	other factors:	
NONE	<b>-</b> ·		•		

20-5911602 Page 11

Part XV Supplementary Information (continued) Grants and Contributions Paid During the Year or Approved for Future Payment If recipient is an individual, Recipient Purpose of grant or contribution Foundation status of recipient show any relationship to Amount any foundation manager Name and address (home or business) or substantial contributor a Paid during the year UT GRADUATE SCHOOL OF MEDICINE BASIC SCIENCE RESEARCH 1924 ALCOA HIGHWAY CONDUCTED BY UT CANCER KNOXVILLE, TN 37920-6999 CENTER. 10,000. HEART SURGERIES FOR CHADASHA FOUNDATION P.O. BOX 743 HAITIAN CHILDREN; LOUISVILLE, TN 37777 JIMANI PROJECT. 35,000. KNOXVILLE YOUTH ATHLETICS HEALTH AND FITNESS FOR KNOX COUNTY SCHOOL AGE PO BOX 7204 CHILDREN; TRACK AND KNOXVILLE, TN 37921 FIELD EVENTS. 10,000. CYSTIC FIBROSIS FOUNDATION RESEARCH 6931 ARLINGTON ROAD BETHESDA, MD 20804 1,000. AMERICAN HEART ASSOCIATION RESEARCH PO BOX 4002900 DES MOINES, IA 50340 10,000. SEE CONTINUATION SHEET(S) 75,500. ➤ 3a Total b Approved for future payment NONE Total 0.

Form 990-PF•(2012)

Part XVI-A Analysis of Income-Producing Activities

		Unrelate	d business income	Evaluded b	u engling £12 £12 or £14	· · · · · · · · · · · · · · · · · · ·
	s amounts unless otherwise indicated.	(a) Business	(b) Amount	(C) Exclu- ston	y section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Prograi	m service revenue	code		code	Allount	
a			· · ·			
b		_				
٠. —				+		
¹ —		_				<del></del>
e		_				
ī	and anticate funda covernment agencies	_				
	s and contracts from government agencies			<del></del>		
	ership dues and assessments	-				
	t on savings and temporary cash					
Investn	nems ids and interest from securities		·· ·- ·- · ·	14	36,156.	· · · · · · · · · · · · · · · · · · ·
	tal income or (loss) from real estate:	5000 - 100°		11	30,130.	
			** * * * * * * * * * * * * * * * * * *	+		
	t-financed property debt-financed property			<del>-   -   -</del>		
	tal income or (loss) from personal	-		<del></del>	·	
	, , ,					
propert	ry nvestment income					<del></del>
	(loss) from sales of assets other			<del></del>		
	ventory			14	23,009.	
	ome or (loss) from special events			13	23,003.	<del></del>
	profit or (loss) from sales of inventory		<del></del>			
11 Other r		-		<del></del>		
a						
				<del>-   -   -</del>		
°					· · · · · · · · · · · · · · · · · · ·	
d						
	<del></del>	-				
12 Subtota	al Add columns (b), (d), and (e)		(	<del>)      </del>	59,165.	0.
	Add line 12, columns (b), (d), and (e)	Commissioner, News		, ,	13	59,165.
	sheet in line 13 instructions to verify calculations.	.)			<del>-</del>	<del> </del>
Part X			omplishment of	Exempt P	urposes	
Line No.	Explain below how each activity for which in					aliah manut af
	the foundation's exempt purposes (other th				importantly to the accomp	DISTINENT OF
	are realisation a exempt purposes (butter the	ian by provious for	nus for such purposes,	·		
			<u>.,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,</u>	<del> </del>		
<del></del>	<del>                                     </del>			·		
				<del></del>	<del></del>	· · · · · · · · · · · · · · · · · · ·
		<del></del>	<del></del>			
					·	<del> </del>
	<u> </u>					<del></del>
				_		
			<del></del>	<del></del>		<del></del>
	<u> </u>		<del></del>			
			<del></del>		<del></del>	
						<del></del>
223621 12-05-12	· · <u> </u>				<u> </u>	Form <b>990-PF</b> (2012)

		RCH FOUND	NOITA			20-59	<u> 11602</u>	Pa	age 13
Part )	<u> </u>		sfers To a	and Transactions	and Relations	ships With Nonch	naritable	Ð	
	Exempt Organ			<del></del>		<u>.</u>		IV	
	the organization directly or indi			•		tion 501(c) of		Yes	No
	Code (other than section 501(c				nizations?		1		
	nsfers from the reporting found	ation to a noncharita	ible exempt or	ganization of:			4-743	-	X
٠,	Cash						1a(1)		X
	Other assets						1a(2)		<u> </u>
	er transactions; Sales of assets to a noncharita	bla avemet organiza	tion				15(1)	<u></u>	X
, ,	Purchases of assets from a no	, -					1b(1) 1b(2)	-	X
٠,	Rental of facilities, equipment,	•	oi yanizanon				1b(2)		X
٠,	Reimbursement arrangements						1b(4)	<u> </u>	X
	Loans or loan guarantees						1b(5)		X
, ,	Performance of services or me	embership or fundrai	isino solicitatio	ons			1b(6)		Х
	iring of facilities, equipment, ma	•	-				1c		Х
	e answer to any of the above is	· ·	· ·	• •	ways show the fair	market value of the good	s, other ass	ets,	
	services given by the reporting f		_		-			•	
coli	ımn (d) the value of the goods,	other assets, or serv	vices received.						
(a)Line no	(b) Amount involved	(c) Name o	f noncharitable	e exempt organization	(d) Description	on of transfers, transactions, a	nd sharing ar	rangeme	ents
			N/A						
	_								
		}							
	-			· ··· ,, · · · · · · · · · · · · · · ·		· /r · · · · ·			
2a Ist	he foundation directly or indirec	tly affiliated with, or	related to, one	or more tax-exempt organ	izations described				
	ection 501(c) of the Code (othe	-					Yes	X	] No
b if"\	es," complete the following sch								
	(a) Name of or	ganization		(b) Type of organization		(c) Description of relation	nship		
	N/A								
	<del></del>								
	Under penalties of perjury, I declare	that I have everyned th	un ratura unabudur	an accompany and achadulas an	d statements and to th	a heat of my knowledge			
Sign	and belief, it is true, correct, and co			in taxpayer) is based on all infor			May the IRS return with th	discussi le prepa	this rer
Here	<b>▶</b>	JT\$\(\)		1/1/14/13	PRESI		shown below	(see ins	str )?
	Signature of officer or trustee			Date	Title	DEMI	LAL Yes	· L	J No
	Print/Type preparer's n	ame	Preparer's s		Date	Check   if PTI	N		
	BEN M. ALE			\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \		self- employed			
Paid	CPA		1200 I	& lockador	/ <i>11/14/1</i> 3	P	00646	380	)
Prepa		TIMORE BI	LACK MO	RGAN & CAIN	P.C.	Firm's EIN ▶ 62-			
114	N., I I			<i>,</i>		1			

Form **990-PF** (2012)

(865) 691-9000

Phone no.

**Use Only** 

Firm's address ► 10024 INVESTMENT DRIVE, STE 200 KNOXVILLE, TN 37932

EAST TENNESSEE CARDIOVASCULAR RESEARCH FOUNDATION

20-5911602

Part XV Supplementary Information 3 Grants and Contributions Paid During the Year (Continuation) If recipient is an individual, show any relationship to any foundation manager or substantial contributor Recipient Purpose of grant or contribution Foundation Amount status of recipient Name and address (home or business) CARDIAC CLUB - AFTER KNOX COUNTY COORDINATED SCHOOL HEALTH SCHOOL PROGRAM FOR 912 SOUTH GAY STREET KNOXVILLE, TN 37901-2188 CHILDREN AND FAMILIES TO TEACH HEALTHY LIFESTYLES. 4,500. STROKE RESEARCH FORT SANDERS FOUNDATION 280 FORT SANDERS WEST BLVD KNOXVILLE, TN 37922 5,000. 9,500. Total from continuation sheets

FORM 990-PF DIVIDEN	DS AND	INTER	EST	FROM	SECUF	RITIES	STATEMENT	1
SOURCE		GROSS	AMC	TNU		TAL GAINS	COLUMN (A	۷)
MORGAN KEEGAN 32999096 MORGAN KEEGAN 32999203	-		14,358. 40,301.			2,754. 15,749.	11,6 24,5	
TOTAL TO FM 990-PF, PART I,	LN 4		54,	659.		18,503.	36,1	.56.
FORM 990-PF		TAX	ES			<del> </del>	STATEMENT	2
DESCRIPTION	EXPE	A) NSES BOOKS		(B) INVI IT IN		(C) ADJUSTED NET INCOM		
FOREIGN TAXES - ML 32999096 FOREIGN TAXES - ML		41.			41.			0.
32999203		285.			285.			0.
TO FORM 990-PF, PG 1, LN 18	:=== · · ·:	326.			326.			0.
FORM 990-PF	0	THER E	XPEN	ISES			STATEMENT	3
DESCRIPTION	EXPE	A) NSES BOOKS		(B) INVI IT INC		(C) ADJUSTED NET INCOM		
OFFICE EXPENSES		60.			60.			0.
INVESTMENT FEES - MK 32999096 MISCELLANEOUS EXPENSES INVESTMENT FEES - MK		4,562. 2,386.		4	,562. 0.			0. 0.
32999203 CLINICAL TRIAL EXPENSES PROMOTION EXPENSES	3	3,230. 4,749. 8,844.		13	,230. 0. 0.		34,7	0. 749. 0.
TO FORM 990-PF, PG 1, LN 23	6	3,831.		17	,852.		34,7	749.

FORM 990-PF OTH	ER INVESTMENTS		STATEMENT 4
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MORGAN KEEGAN 32999096 MORGAN KEEGAN 32999203	COST COST	492,783. 1,308,212.	500,781. 1,343,435.
TOTAL TO FORM 990-PF, PART II, LIN	E 13	1,800,995.	1,844,216.
FORM 990-PF DEPRECIATION OF ASSE	TS NOT HELD FOR	INVESTMENT	STATEMENT 5
DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
LAPTOPS	3,251.	3,251.	0.
TOTAL TO FM 990-PF, PART II, LN 14	3,251.	3,251.	0.

2012 DEPRECIATION AND AMORTIZATION REPORT FORM 990-PF PAGE 1

Asset No

990-PF

Current Year Deduction	0.0	1						1
Current Sec 179	• 0	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	1					,
Accumulated Depreciation	0				4.0			; ; ,
Basis For Depreciation	• 0			A contract of the contract of	**************************************		,	
Reduction In Basis	3,251.	• • • • • • • • • • • • • • • • • • • •	**			4		
Bus % Excl			Management of the state of the	***		,		
Unadjusted Cost Or Basis	3,251.		*				A. 18	under the second
Line No	17						3	
Life	7.00			*		Physical Property of the Control of		
Method	200DB	to the second se	The state of the s	The second secon		***************************************		
Date Acquired	121311200DB	1 1		The state of the s		2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	1	
Description	LAPTOPS * TOTAL 990-PF PG 1 DEPR	;						

(D) - Asset disposed

228 102 05-01-12

\* ITC, Section 179, Salvage, Bonus, Commercial Revitalization Deduction

15

	-		Notes													Notes					
Account (32999096.	OMB No. 1545-0715		Again or loss Additional Information		Sale	Sare Total of 2 lots	Sale	Sale		· ·	Safe	Total of 2 lots			the IRS	Additional Information			Solo	Sale Sale Sale	
Transactions			Gain or loss		237.03	-550 66	0.18	348 95			031	142 63	377 91	IRS**	These columns are not reported to the IRS	Gain or loss	12 30		35 16	112 92 0 31	1
Proceeds from Broker and Barter Exchange Transaction		ED tax lot for which cost basis is reported to the IRS**	3 - Cost or other basis		orted to the IRS 10,000 00	25,000 00	96.03	50,000 00			44,62 24.358 80	24,403 42	99,499.45	6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**	These	Cost or other basis	121.07		orted to the IRS.	25,000.00 25,000.00 31.38	i i k
from Broker and		ot for which cost basis i	f # 1b · Date of acquisition		2 (ax lots for 10/24/12 Total proceeds (and cost when required) reported to the IRS 408 664 10,237 03 02/01/12 1		× 01/31/12	72388E605 / Symbol MYFRX 50,348 95 03/27/12	DAX	d cost whe	3 01/31/12 02/01/12			lot for which cost basis		* Date of acquisiti		×	8 tax lots for 01/31/12 Total proceeds (and cost when required) reported to the IRS.		
1 '		6 - COVERED tax 1	2a - Proceeds of #	166000759 / Symbol; WA	/24/12 Total proceeds (an 10,237 <b>03</b>	74,049,17 24,886,15	391674 / Symbol PTT <b>DX</b>		74972K666 / Symbol PL	/24/12. Total proceeds (a	44 93 24 501 12	24,546 05	98,778,86	NONCOVERED tax		2a - Proceeds of * stocks, bonds, etc.	166000759 / Symbol WAS 133.37	3391674 / Symbol· <b>PTTDX</b>	/31/12 Total proceeds (an	25,112,92 31,69	
Morgan Keegan & Company, inc.	**************************************	Ic - SHORT-TERM TRANSACTIONS Report on Form 8949; Part I, with Box's checked	ISIP / 1d - Symbol	IVY ASSET STRATEGY FUND CLA/CUSIP 466000759/Symbol; WASAX	2 tax lots for 10/ 408 664	364 / 93 993 459	PIMCO TOTAL RETURN FUND D / CUSIP 693391674 / Symbol PTT <b>DX</b> 02/02/12 8 636 96 21	PIONEER MULTI-ASSET FLOATING RATE FUND Y / CUSIP 10/24/12 4,985 045	RS LOW DURATION BOND FUND A / CUSIP 74972K666 / Symbol RLDAX	2 tax lots for 10	7.371.841	2,376.190	Totals:	cke	ISIP / 1d - Symbol	1e - Quantity	IVY ASSET STRATEGY FUND CL A / CUSIP 466000759 / Symbol WASAX 10/24/12 133.37	PIMCO TOTAL RETURN FUND D/CUSIP 693391674/Symb	8 tax lots for 01,	2,258 356 2,258 356 2 850	i i i
Morgan Keegan	2012 1099-B	1c - SHORT-TER Report on Form 8949(1)	8 - Description / CUSIP / 1d - Symbol 1a - Date of Sale or exchange	IVY ASSET STRATE		10/24/12	PIMCO TOTAL RETU 02/02/12	PIONEER MULTI-AS 10/24/12	RS LOW DURATION			10/24/12		SHORT-TERM TRANSACTIONS Report on Form 8949, Parl, with Box B phe	8 - Description / CUSIP / 1d - Symbol	1a - Date of Sale or exchange	IVY ASSET STRATE 10/24/12	PIMCO TOTAL RETI			

ť

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxapers are ultimately responsible for the accuracy of their tax returns.
\*\*For NONCOVERED tots, values for Date of acquisition, Cost or other basis and Wash sale loss disallowed are provided for your reference and are NOT reported to the IRS.
# Less commissions.

# 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS\*\* Report on Form 8949, Part II, with Box B checked LONG-TERM TRANSACTIONS

Total of 4 lots

178.56

38,911.28

39,089.84

Totals.

VARIOUS

8 - Description / CUSIP / 1d - Symbol	1d - Symbol			These colum	These columns are not reported to the IR	o the IRS	,
1a - Date of Sale	1e - Quantity	2a - Proceeds of	Date of acquisition	Cost or Cost o	Gain or loss	Additional information	Notes
FPA NEW INCOME INC / CUSIP 302544101 / Symbol FPNIX 10/24/12 141 376	CUSIP 3025441017 Sy 141376	ymbol FPNIX 1,500. <b>00</b>	08/10/11	1,532 52	-32 52 Sale	Sale	
FIDELITY ADVISOR STRATEGIC E INCOME I / CUSIP 315920801 / Symbol· FSRIX 10/24/12 5,000.00 08	ATEGIC E INCOME 17	CUSIP 315920801 / Symb 5,000.00	bol· FSRIX 08/10/11	4,875 97	124 03	Sale	
IVY ASSET STRATEGY FUND CL A / CUSIP 466000759 / Symbol WASAX 10/24/12 10.816.06	UND CL A / CUSIP 466 431 779	i6000759 / Symbol WASA) 10,81 <b>6.06</b>	X 08/10/11	10,000 00	816 06	Sale	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return; a negligence penalty or other sanction may be imposed on you if this income is raxable and the IRS determines that it has not been reported. Remember, faxpayers are ultimately responsible for the accuracy of their tax returns.
\*\*For NONCOVERED tols. values for Date of acquisition, Cost or other basis and Wash safe loss disallowed are provided for your reference and are NOT reported to the IRS.
#\*Less commissions

15
5 of
age
Pa

Morgan Keegan & Company, Inc.	c. Droceode		arton Exchange Transactions	Account (3299996	
2012 1099-B*		(continued)		oMB No∴1545-0715	
LONG-TERM TRANSACTIONS 6 - Report on Form 8949, Part II, with Box B checked	NONCOVE	RED tax for for which cost basis is NOT reported to the IRS**	VOT reported to the IRS**		
8 - Description / CUSIP / 1d - Symbol 1a - Date of Sale or exchance 1e - Quantity	2a - Proceeds of stocks, bonds, etc.	Dain Off	The Book and the IRS Columns are not reported to the IRS Cost or Cost	ed to the IRS	US
TION BOND FU					
4 tax lo	0/24/12. Total	proceeds (and cost when required) reported to the IRS.			
16		75 08/10/11	00 000 0		
1,46	1,466.276 15,146.63	46:63 08/18/11	15,000.00 146.63	SS Sale	
10/24/12 2,44	25,3		25,063.21 245.17	7 Total of 4 lots	
Tot	Totals: 42,624,44	<b></b>	41,471.70 1,152.74	4	
* This is important tax information and is taxable and the IRS determines that it has "For NONCOVERED lots, values for Dat	is being furnished to the Internal has not been reported. Remember, the of acquisition, Cost or other bassi	Revenue Scrytce, If you are requestrayers are ultimately respons	lired to file a return, a negligence panality or otl sible for the accuracy of their tax returns, provided for your reference and are NOT reporte	* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, harpayers are ultimately responsible for the accuracy of their tax returns,  ** For NONCOVERED lots, values for Date of acquisition, Cost or other basis and Wash sale loss disallowed are provided for your reference and are NOT reported to the IRS:	5
# Less commissions.					

Less commissions.

12	Ī
3 of	
Page	

						Page	5 2
Morgan Keegan & Company, Inc.	iny, Inc.	700000	Called the second			Account 32999203	
2012 1099-B*		Spanio L		1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1		OMB No. 1545-0715	
1c - SHORT-TERM TRANSACTIONS Report on Form 8949, Part I, with Box A checked	SACTIONS Box A checked	6 - COVERED IAX	6 - COVERED tax lot for which cost basis is reported to the IRS**	ported to the IRS**			
8 - Description / CUSIP / 1d - Symbol	Symbol	2a - Proceeds of	of # 1b Date of	3 - Cost or	Cain or lose	Thèse columns are not reported to the IRS	2 2 2
PIONEER MULTI-ASSET FLOATING RATE FUND Y / CUSIP 72388E605 / Symbol MYFRX 11/01/12 18,5625 00 03/27/12	4TING RATE FUI 18,576.733	ND Y / CUSIP 72388E60 187,625 00	05 / Symbol MYFRX 0 03/27/12	186,324.64	1,300.36	Sale	
RS LOW DURATION BOND FUND A / CUSIP 74972K666 / Symbol RLDAX	JND A / CUSIP 7	74972K666 / Symbol RL	DAX				
	3 tax lots for 11/	01/12 Total proceeds (an	3 tax lots for 11/01/12 Total proceeds (and cost when required) reported to the IRS 13.050	J to the IRS 133.89	1.05	Sale	
	7.302 824	75,511 20		75,000 00	511 20	Sale	
	2,434 275	25,170.40		25,000.00	170 40	Sale	
11/01/12	9,750 149	100,816.54	4 VARIOUS	100,133.89	682 65	Total of 3 lots	
	Totals:	288,441.54	4	286,458.53	1,983.01		
SHORT-TERM TRANSACTIONS Report on Form 8949, Part I. with Box B checked	CTIONS 6 - Box B checked	NONCOVERED iax	SHORT-TERM TRANSACTIONS 6 - NONCOVERED iax lot for which cost basis is NOT reported to the IRS**	VOT reported to the IR	**S		
8 - Description / CUSIP / 1d - Symbol 1a - Date of Sale	Symbol 1e - Quantity	Za - Proceeds of	of Date of S	These colur Costor	nns are not reported to	epointer to the IRS	Notes
RS LOW DURATION BOND FUND A / CUSIP 74972K666 / Symbol RLDAX	JND A / CUSIP 7	74972K666 / Symbol Ri	DAX		The second second second second second second second second second second second second second second second se		
		•					

reported to the IRS 3 tay lots for 11/01/12 Total proceeds land cost

	Sale	Sale	Sale	Total of 3 lots	
	1 93	0 46	1 70	4.09	4 09
to the IRS		33 93			308.04
st wnen required) reported	11/30/11	12/16/11	12/30/11	30 187 312 13 VARIOUS	
oral proceeds fand co.	142 70	34:39	135 04	312 13	312.13
3 fax lots for 11/01/12 /c	13.801	3 326	13.060	30 187	Totals:
				•	
				11/01/12	

ì

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty, or other sanction may be imposed on you if this meaner taxable and the RS determines that it has not been reported. Remember, fuxpayers are ultimately responsible for the accuracy of their fax returns.

\*\*For NONCOVERED lots, values for Date of acquisition, Cost or other basis and Wush sale loss disallowed are provided for your reference and are NOT reported to the IRS

\*\*Less commissions

12
<b>4</b> of
Page

	-		10000	the boundary of the second of		
Morgan Ke <u>ęgaņ∕&amp; Compa</u> ņy,₄Inc.	ğ	Proceeds	from Broker and Barte	Proceeds from Broker and Barter Exchange Transactions	Account 32999203	
2012. 1099-B*			(continu		OMB No. 1545-0715	
LONG-TERM TRANSACTIONS 6 - Report on Form 8949, Part II, with Box B checked	S 6 - NC checked	NCOVERED tax	6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**	OT reported to the IRS**		
8 - Description / CUSIP / 1d - Symbol 1a - Date of Sale or exchange	Symbol 1e - Quantity	2a - Proceeds of stocks, bonds, etc.	of Date of a scouisition	Cost of Cost o	nal Information.	Notes
TON BOND FL	/ CUSIP. 74	972K666 / Symbol RL				
5 tax i	5 tax lots for 11/01/12 Total		d cost whe			
2,5	2,932.551	30,322 58			Sale	
, *P	4,398 827	45,483,87		4	Sale	
	6.479	66.93			Sale	
	12.114	125.2			Sale	
	12.084	124.95			Sale	
11/01/12 7,3	7,362 055	76,123.65	S VARIOUS	75,313.40 810.25	l otal of 5 tots	
ī,	Totals:	76,123.65	ĸ	75,313.40 810.25		

• This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the RS determines that it has not been reported. Remember, juxpayers are ultimately responsible for the accuracy of their tax returns.
• \*For NONCOVERED lots, values for Date of acquisition, Cost or other basis and Wash sale loss dissilowed are provided for your reference and are NOT reported to the IRS.

# Less commissions.

١.