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Form 990

### Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

OMB No 1545-0047 2012

Open to Public Inspection

Department of the Treasury Internal Revenue Service ► The organization may have to use a copy of this return to satisfy state reporting requirements. For the 2012 calendar year, or tax year beginning , 2012, and ending D Employer Identification Number Check if applicable 20-5756271 Address change FRIENDS OF THE GARVAN INSTITUTE OF MEDICAL RESEARCH Telephone number Name change 150 EAST 58TH STREET Initial return (212) 317-6415 NEW YORK, NY 10155 Terminated 297,400. G Gross receipts \$ Amended return H(a) Is this a group return for affiliates? F Name and address of principal officer  $|X|_{No}$ Application pending H(b) Are all affiliates included?

If 'No,' attach a list (see instructions) Same As C Above 527 Tax-exempt status X 501(c)(3) 4947(a)(1) or 501(c) ( (insert no.) Website: ► H(c) Group exemption number L Year of Formation 2006 Form of organization X Corporation Trust Association Other P M State of legal domicile NY Part I Briefly describe the organization's mission or most significant activities. SUPPORT RESEARCH AT GARVAN INSTITUTE OF MEDICAL RESEARCH & Governance Check this box | If the organization discontinued its operations or disposed of more than 25% of its net assets. Number of voting members of the governing body (Part VI, line 1a). Number of independent voting members of the governing body (Part VI, line 1b)... 4 0 Activities Total number of individuals employed in calendar year 2012 (Part V, line 2a). 5 0 Total number of volunteers (estimate if necessary) . ... 6 0 7a Total unrelated business revenue from Part VIII, column (C), line 12 b Net unrelated business taxable income from Form 990-T, line 34 0. **Current Year Prior Year** Contributions and grants (Part VIII, line 1h)... 297,400. 130,000 Program service revenue (Part VIII, line 2g)... 9 Investment income (Part VIII, column (A), lines 3, 4, and 7d) ... 10 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e), . . . 11 Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12) 130,000. 12 297,400. 13 Grants and similar amounts paid (Part IX, column (A), lines 1-3)..... 178,000. 302,000 14 Benefits paid to or for members (Part IX, column (A), line 4) .......... Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10). ... 15 16a Professional fundraising fees (Part IX, column (A), line 11e). . b Total fundraising expenses (Part IX, column (D), line 25) 17 Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e) ... 90. 1,385 Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)... 178,090. 303,385. 19 Revenue less expenses. Subtract line 18 from line 12 -48,090. -5,985. Beginning of Current Year **End of Year** 20 Total assets (Part X, line 16). 18,317 12,332 Total liabilities (Part X, line 26) 21 1,500 1,500 Net assets or fund balances. Subtract line 21 from line 20. 16,817. 10,832 Part II Signature Block Under penalties of penury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer patier than officer) is based on all information of which preparer has any knowledge Sign Here 040-Type or print name and title Print/Type preparer's name P0484216 Paid self-employed Preparer Firm's name JEFFREY S. WALLERSTEIN CPA **Use Only** Firm's address 120 WOOD AVE S STE 200 Firm's EIN

ISELIN, NJ 08830-2709

May the IRS discuss this return with the preparer shown above? (see instructions). . .

BAA For Paperwork Reduction Act Notice, see the separate instructions.

Form 990 (2012)

603-7738

Yes

(732)

Phone no.

TEEA0113L 12/18/12

Form	990 (2012) FRIENDS OF THE GARVAN INSTITUTE OF	20-575627	1 Page <b>2</b>
Par	Statement of Program Service Accomplishments	•	
	Check if Schedule O contains a response to any question in this Part III		
1	Briefly describe the organization's mission:		
	SUPPORT RESEARCH AT GARVAN INSTITUTE OF MEDICAL RESEARCH		
			<b></b>
2	Did the drganization undertake any significant program services during the year which were not listed on the prior	or	
	Form 990 or 990-EZ?		Yes X No
	If 'Yes,' describe these new services on Schedule O		
3	Did the organization cease conducting, or make significant changes in how it conducts, any program ser	vices?	Yes X No
	If 'Yes,' describe these changes on Schedule O.		
4	Describe the organization's program service accomplishments for each of its three largest program service Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of	ces, as measure	ed by expenses
	others, the total expenses, and revenue, if any, for each program service reported	grants and anoca	uons to
4 a	(Code: ) (Expenses \$ 302,000. including grants of \$ 302,000.) (R	evenue \$	)
	THE ORGANIZATION MADE GRANTS TO SUPPORT MEDICAL RESEARCH AND STAF		ARVAN
	INSTITUTE FOR MEDICAL RESEARCH.		<u> </u>
4 b	(Code ) (Expenses \$ including grants of \$ ) (R	evenue \$	<u> </u>
40	: (Code. ) (Expenses \$ including grants of \$ ) (Re	evenue \$	,
	, , , , , , , , , , , , , , , , , , ,	<del>-</del> •	
4	Other program services (Describe in Schedule O )	<del></del>	<del></del>
<b>-</b> • u	(Expenses \$ including grants of \$ ) (Revenue \$		)
40	Total program service expenses ► 302,000.		
RAA	TEFANION ORIONIS		Form <b>990</b> (2012)

Part IV Checklist of Required Schedules Yes No Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If 'Yes,' complete Schedule A Х 1 2 X Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)? Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office?, If 'Yes,' complete Schedule C, Part I Х 3 Section 501(c)(3) organizations Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If 'Yes,' complete Schedule C, Part II 4 Х Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If 'Yes,' complete Schedule C, Part III X 5 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If 'Yes,' complete Schedule Di 6 Χ Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas or historic structures? If 'Yes,' complete Schedule D, Part II Х 7 Did the organization maintain collections of works of art, historical treasures, or other similar assets? If 'Yes,' complete Schedule D, Part III 8 Х Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management credit repair, or debt negotiation services? If 'Yes,' complete Schedule D, Part IV Х 9 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? If 'Yes,' complete Schedule D, Part V Х 10 If the organization's answer to any of the following questions is 'Yes', then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable. a Did the organization report an amount for land, buildings and equipment in Part X, line 10? If 'Yes,' complete Schedule Х 11 a **b** Did the organization report an amount for investments – other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If 'Yes,' complete Schedule D, Part VII Х 11 b c Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If 'Yes,' complete Schedule D, Part VIII X 11 c d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If 'Yes,' complete Schedule D, Part IX Х 11 d Х e Did the organization report an amount for other liabilities in Part X, line 25? If 'Yes,' complete Schedule D, Part X 11 e f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If 'Yes,' complete Schedule D, Part X Х 11 f 12a Did the organization obtain separate, independent audited financial statements for the tax year? If 'Yes,' complete Х 12a Schedule D, Parts XI, and XII b Was the organization included in consolidated, independent audited financial statements for the tax year? If 'Yes,' and Х 12b If the organization answered 'No' to line 12a, then completing Schedule D, Parts XI and XII is optional X 13 Is the organization a school described in section 170(b)(1)(A)(ii)? If 'Yes,' complete Schedule E 13 14a X 14a Did the organization maintain an office, employees, or agents outside of the United States? **b** Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? *If 'Yes,' complete Schedule F, Parts I and IV* 14b X Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the United States? If 'Yes,' complete Schedule F, Parts II and IV 15 Х Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the United States? If 'Yes,' complete Schedule F, Parts III and IV Х 16 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, Х column (A), lines 6 and 11e? If 'Yes,' complete Schedule G, Part I (see instructions) 17 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, Х lines 1c and 8a? If 'Yes,' complete Schedule G, Part II 18 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If 'Yes,' Х complete Schedule G, Part III 19 Х 20 20 a Did the organization operate one or more hospital facilities? If 'Yes,' complete Schedule H

20 b

b If 'Yes' to line 20a, did the organization attach a copy of its audited financial statements to this return?

| Checklist of Required Schedules (continued) Yes No Did the organization report more than \$5,000 of grants and other assistance to governments and organizations in the United States on Part IX, column (A), line 1? If 'Yes,' complete Schedule I, Parts I and II 21 Х Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? If 'Yes,' complete Schedule I, Parts I and III 22 Х 22 Did the organization answer 'Yes' to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If 'Yes,' complete Х 23 24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, and that was issued after December 31, 2002? If 'Yes,' answer lines 24b through 24d and complete Schedule K. If 'No,'go to line 25 Х 24a 24b **b** Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds? 24c d Did the organization act as an 'on behalf of' issuer for bonds outstanding at any time during the year? 24d 25 a Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a Χ 25a disqualified person during the year? If 'Yes,' complete Schedule L, Part I **b** Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? *If 'Yes,' complete* 25b Х Schedule L. Part I Was a loan to or by a current or former officer, director, trustee, key employee, highest compensated employee, or Х disqualified person outstanding as of the end of the organization's tax year? If 'Yes,' complete Schedule L, Part II 26 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? If 'Yes,' complete Schedule L, Part III Χ 27 Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions): X a A current or former officer, director, trustee, or key employee? If 'Yes,' complete Schedule L, Part IV 28a b A family member of a current or former officer, director, trustee, or key employee? If 'Yes,' complete Х 28b Schedule L, Part IV c An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an X officer, director, trustee, or direct or indirect owner? If 'Yes,' complete Schedule L, Part IV 28c  $\overline{X}$ 29 Did the organization receive more than \$25,000 in non-cash contributions? If 'Yes.' complete Schedule M 29 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If 'Yes,' complete Schedule M Х 30 X Did the organization liquidate, terminate, or dissolve and cease operations? If 'Yes,' complete Schedule N, Part I 31 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If 'Yes,' complete Х Schedule N, Part II 32 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301 7701-2 and 301 7701-3? If 'Yes,' complete Schedule R, Part I Х 33 Was the organization related to any tax-exempt or taxable entity? If 'Yes,' complete Schedule R, Parts II, III, IV, Х and V, line 1 34 X 35a Did the organization have a controlled entity within the meaning of section 512(b)(13)? 35a b If 'Yes' to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' complete Schedule R, Part V, line 2 35b Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? If 'Yes,' complete Schedule R, Part V, line 2 36 Х Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If 'Yes,' complete Schedule R, Part VI Х 37

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Form 990 (2012)

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Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 197

Note. All Form 990 filers are required to complete Schedule O

Check if Schedule O contains a response to any question in this Part V				
	,		Yes	No
1 a Enter the number reported in Box 3 of Form 1096 Enter -0- if not applicable	0			
b Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable	0			
c Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?		1 c		
2a Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	0			
<b>b</b> If at least one is reported on line 2a, did the organization file all required federal employment tax returns?	一首	2 b		
Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)	ļ			
3a Did the organization have unrelated business gross income of \$1,000 or more during the year?	ľ	3 a		X
b If 'Yes' has it filed a Form 990-T for this year? If 'No,' provide an explanation in Schedule Q	F	3 b		
4 a At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?		4 a		Х
<b>b</b> If 'Yes,' enter the name of the foreign country	f			
See instructions for filing requirements for Form TD F 90-22 1, Report of Foreign Bank and Financial Accounts		, "		
5 a Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	ŀ	5 a	***************************************	Х
<b>b</b> Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	-	5 b		Х
c If 'Yes,' to line 5a or 5b, did the organization file Form 8886-T?	ļ	5 c		
6 a Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?	۱	6 a		Х
b If 'Yes,' did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?		6 b		
7 Organizations that may receive deductible contributions under section 170(c).	Ī			
a Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	-	7 a		- X
<b>b</b> If 'Yes,' did the organization notify the donor of the value of the goods or services provided?	}	7 b		
c Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?		7 c		Х
d If 'Yes,' indicate the number of Forms 8282 filed during the year 7 d				
e Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		7 e	<del></del>	X
f Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	Ī	7 f		Х
g If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?		7 g		
h If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-0?	Ì	7 h		
8 Sponsoving organizations maintaining donor advised funds and section E09(a)(2) supporting organizations. Did	tho I			
8 Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?	.iie	 8	·	
9 Sponsoring organizations maintaining donor advised funds.				
a Did the organization make any taxable distributions under section 4966?	ĺ	9 a		
<b>b</b> Did the organization make a distribution to a donor, donor advisor, or related person?	Ţ	9 b		
10 Section 501(c)(7) organizations. Enter.				
a Initiation fees and capital contributions included on Part VIII, line 12				
<b>b</b> Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities 10 b				
11 Section 501(c)(12) organizations. Enter.				
a Gross income from members or shareholders 11 a				
b Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.).				
12a Section 4947(a)(1) non - exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?		12a		
b If 'Yes,' enter the amount of tax-exempt interest received or accrued during the year [12b]		-		
13 Section 501(c)(29) qualified nonprofit health insurance issuers.				
a is the organization licensed to issue qualified health plans in more than one state?		13 a		<u> </u>
Note. See the instructions for additional information the organization must report on Schedule O.	ſ	,,	· 4	٠,
b Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans		1		184°.
c Enter the amount of reserves on hand	$\neg \neg$	1 4		-
14 a Did the organization receive any payments for indoor tanning services during the tax year?		14a		X
b If 'Yes,' has it filed a Form 720 to report these payments? If 'No,' provide an explanation in Schedule Q	ľ	14 b		
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Form 990 (2012)

Part VI Governance, Management and Disclosure For each 'Yes' response to lines 2 through 7b below, and for a 'No' response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions. Check if Schedule O contains a response to any question in this Part VI X Section A. Governing Body and Management Yes No 1 a Enter the number of voting members of the governing body at the end of the tax year 1 a If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O **b** Enter the number of voting members included in line 1a, above, who are independent Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee or key employee? 2 X Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person? Х 3 Did the organization make any significant changes to its governing documents since the prior Form 990 was filed? 1 Did the organization become aware during the year of a significant diversion of the organization's assets? 5 Did the organization have members or stockholders? 6 7 a Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body? Х 7 a b Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or other persons other than the governing body? 7 b Х Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following a The governing body? 8 a Х b Each committee with authority to act on behalf of the governing body? 8 b Is there any officer, director or trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If Yes, provide the names and addresses in Schedule O9 Х Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code. No Yes 10 a Did the organization have local chapters, branches, or affiliates? 10 a X b If 'Yes.' did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes 10 b X 11 a Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form? 11 a b Describe in Schedule O the process, if any, used by the organization to review this Form 990 See Schedule O 12a Did the organization have a written conflict of interest policy? If 'No,' go to line 13 X 12 a b Were officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts? X 12 b c Did the organization regularly and consistently monitor and enforce compliance with the policy? If 'Yes,' describe in Schedule O how this is done

See Schedule O Schedule O how this is done 120 Х X 13 Did the organization have a written whistleblower policy? 13 14 Did the organization have a written document retention and destruction policy? 14 Х Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision? a The organization's CEO. Executive Director, or top management official. 15 a Х b Other officers of key employees of the organization 15 b Х If 'Yes' to line 15a or 15b, describe the process in Schedule O. (See instructions.) 16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a X taxable entity during the year? 16 a b If 'Yes,' did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements? 16<sub>b</sub> Section C. Disclosure List the states with which a copy of this Form 990 is required to be filed NY Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you make these available. Check all that apply. Another's website Other (explain in Schedule O) Upon request 19 Describe in Schedule O whether (and if so, how) the organization makes its governing documents, conflict of interest policy, and financial statements available to the public during the tax year. See Schedule O State the name, physical address, and telephone number of the person who possesses the books and records of the organization: HOWARD MORGAN 150 EAST 58TH STREET NEW YORK NY 10155 (212) 317-6415

TEEA0106L 08/08/12

Form <b>990</b> (2012)	FRIENDS	OF	THE	CARVAN	TNSTTTIITE	OF

20-5756271

#### Part VIII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response to any question in this Part VII

#### Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

- 1 a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year
- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation Enter :0- in columns (D), (E), and (F) if no compensation was paid
  - List all of the organization's current key employees, if any See instructions for definition of 'key employee'
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations
- List all of the organization's former officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations
- List all of the organization's former directors or trustees that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order individual trustees or directors, institutional trustees, officers; key employees, highest compensated employees, and former such persons

X Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

Check this box in heither the organization in	1	(C)							1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	
<b>(A)</b> Name and Title	(B) Average hours per week (list	offic	on (de ox, un er an	o not	checl	k more t in is bot or/truste	e)	(D)  Reportable compensation from	(E) Reportable compensation from	(F) Estimated amount of other compensation
	week (list any hours for related organiza- tions below dotted line)	Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	the organization (W-2/1099-MISC)	related organizations (W-2/1099-MISC)	from the organization and related organizations
(1) LEONARD HARLAN President	$-\frac{1}{0}$							0.	0.	0.
(2) HOWARD MORGAN Treasurer	1							0.	0.	0.
(3) PHILP HANDY	1	<b></b> -							0.	<u></u>
Director	1- <del>-</del>	<b>†</b>						0.	0.	0.
(4) WILLIAM FERRIS Director	10							0.	0.	0.
(5)									-	
<u>(6)</u>										
(9)										
(10)										
<u>(11)</u>										
(12)										
<u>(13)</u>										
(14)										
	_									

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Average loss of the component of the com	Part VII   Section A. Officers, Directors, Trus	(B)	∧e <u>y</u> ∣	EIT	1 <u>pic</u>		es,	and	a Hignest Con	ipensated Empi	oyee	s (coi	ור
(15) (16) (17) (20) (21) (22) (23) (24) (25) 1 b Sub-total from continuation sheets to Part VIII, Section A of Total from the organization by the first of the fi		Average hours per	box	, unle	Pos check	sition more erson direct	ıs bot or/trus	h an tee)	Reportable compensation from	Reportable compensation from	amo	stimated	ther
(15) (16) (17) (18) (20) (21) (22) (23) (24) (25)  1 b Sub-total c Total from continuation sheets to Part VII, Section A		(list any hours for related organiza - tions below dotted line)	Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensatemployee	Former	(W-2/1099-MISC)	(W-2/1099 MISC)	org ar	from the ganization nd relate	on d
(17) (18) (20) (21) (22) (23) (24) (25)  1 b Sub-total c Total from continuation sheets to Part VII, Section A d Total (add lines 1b and 1c)  2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization   3 Did the organization   6 For any individual listed on line 1a, is the sum of reportable compensation and other compensation and related organizations greater than \$150,000? If "Yes" complete Schedule J for such individual  5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such individual  5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such individual  5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such individual  5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual  5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual  5 Did any person listed on line 1a receive or accrue compensation from the organization from the org	(15)						ed.						
(19) (20) (21) (22) (23) (24) (25)  1 b Sub-total c Total from continuation sheets to Part VII, Section A d Total (add lines 1b and 1c)  2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization ist any former officer, director or trustee, key employee, or highest compensated employee on line 1a? If 'Yes,' complete Schedule J for such individual 4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If 'Yes,' complete Schedule J for such individual 5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If 'Yes,' complete Schedule J for such person  Section B. Independent Contractors 1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization reparation from the individual for services rendered to the organization? If 'Yes,' complete Schedule J for such person  1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization is tax year	(16)					<u> </u>							
(29)  (21)  (22)  (23)  (24)  (25)  1 b Sub-total  c Total from continuation sheets to Part VII, Section A d Total (add lines 1b and 1c)  2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization   0  3 Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? If 'Yes,' complete Schedule J for such individual  4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If 'Yes' complete Schedule J for such individual for services rendered to the organizations greater than \$150,000? If 'Yes' complete Schedule J for such individual for services rendered to the organizations greater than \$150,000? If 'Yes' complete Schedule J for such individual for services rendered to the organization from any unrelated organization or individual for services rendered to the organization Report compensation from the received more than \$100,000 of services rendered to the organization Report compensation from the calendar year ending with or within the organization's tax year	(17)		-						<u> </u>				
(20)  (21)  (22)  (23)  (24)  (25)  1 b Sub-total  c Total from continuation sheets to Part VII, Section A  d Total (add lines 1b and 1c)  2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization or line 1a? If 'Yes,' complete Schedule J for such individual  4 For any individual isted on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If 'Yes' complete Schedule J for such individual  5 Did any person listed on line 1a receive or accrue compensation and other compensation from the organization and related organizations greater than \$150,000? If 'Yes' complete Schedule J for such individual  5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If 'Yes,' complete Schedule J for such person  5 X  Section B. Independent Contractors  1 Complete Init table for your five highest compensation from the organization from Report compensation from the organization fro	(18)					ļ <u>.</u>							
(22)  (23)  (24)  (25)  1 b Sub-total  c Total from continuation sheets to Part VII, Section A  d Total (add lines 1b and 1c)  2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization   3 Did the organization for the organization of individual is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If 'Yes' complete Schedule J for such individual in the organization and related organizations greater than \$150,000? If 'Yes' complete Schedule J for such individual in the organization in the organization or individual in the organization or individual in the organization or in the organization or individual in the organization or in the organization or in the organization or individual in the organization or individual in the organization or individual into services rendered to the organization or individual into services rendered individual into services rendered individual into service	(19)									1-11			
(23)  (24)  (25)  1 b Sub-total  c Total from continuation sheets to Part VII, Section A  d Total (add lines 1b and 1c)  2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization is any former officer, director or trustee, key employee, or highest compensated employee on line 1a? If Yes,' complete Schedule J for such individual  4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If 'Yes' complete Schedule J for such individual  5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If 'Yes,' complete Schedule J for such person  5 Exection B. Independent Contractors  1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization? Report compensation from the calendar year ending with or within the organization's tax year	(20)												
(23)  (24)  (25)  1 b Sub-total  c Total from continuation sheets to Part VII, Section A  d Total (add lines 1b and 1c)  2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization   3 Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? If 'Yes,' complete Schedule J for such individual  4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If 'Yes' complete Schedule J for such individual  5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If 'Yes,' complete Schedule J for such person  5 Exection B. Independent Contractors  1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization Report compensation for the calendar year ending with or within the organization's tax year	(21)												
25    1 b Sub-total													
1 b Sub-total													
1 b Sub-total c Total from continuation sheets to Part VII, Section A d Total (add lines 1b and 1c)  2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization of the organization and related organizations greater than \$150,000? If 'Yes' complete Schedule J for such individual of the organization of the													
c Total from continuation sheets to Part VII, Section A d Total (add lines 1b and 1c)  2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization   0						į							
d Total (add lines 1b and 1c)  2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization ▶ 0  3 Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? If 'Yes,' complete Schedule J for such individual  4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If 'Yes' complete Schedule J for such individual  5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If 'Yes,' complete Schedule J for such person  5 X  Section B. Independent Contractors  1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization Report compensation for the calendar year ending with or within the organization's tax year	- 1 11 111	_						•					0.
The formation is any former officer, director or trustee, key employee, or highest compensated employee on line 1a? If 'Yes,' complete Schedule J for such individual  4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If 'Yes' complete Schedule J for such individual  5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If 'Yes,' complete Schedule J for such person  5 X  Section B. Independent Contractors  1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.	d Total (add lines 1b and 1c)							•	0.	0.			0.
3 Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? If 'Yes,' complete Schedule J for such individual.  4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If 'Yes' complete Schedule J for such individual  5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If 'Yes,' complete Schedule J for such person  5 X  Section B. Independent Contractors  1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.		those II	sted	abo	ve) v	who	recei	ved ——	more than \$100,00	O of reportable comp	ensatio 		T
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If 'Yes' complete Schedule J for such individual  5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If 'Yes,' complete Schedule J for such person  5 Section B. Independent Contractors  1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.	3 Did the organization list any former officer, director	r or trus	stee,	key	em	ploy	ee, c	or hi	ghest compensat	ed employee	3	Yes	
such individual  5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If 'Yes,' complete Schedule J for such person  5 X  Section B. Independent Contractors  1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.	4 For any individual listed on line 1a, is the sum of re	eportabl	le co	mpe	ensa If 'Y	ition ∕es′	and comi	oth plet	er compensation e Schedule J for	from			
Section B. Independent Contractors  1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.	such individual  5 Did any person listed on line 1a receive or accrue of	such individual  5. Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual						ındıvıdual	ļ		X		
	Section B. Independent Contractors										] 3		<u> </u>
(A) Name and business address  (B) Description of services  (C) Compensation	1 Complete this table for your five highest compensa compensation from the organization. Report compensa	ited inde	epen the c	den alen	t coi dar <u>y</u>	ntra year	ctors endı	tha	it received more t with or within the or	han \$100,000 of ganization's tax year			
1	Name and business addres	ss						-	Description (	of services	Compe	<b>C)</b> ensatio	n
											<u>-</u>		
2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization ▶ ∩			ted to	thc	se I	ıstec	abo	ve)	who received more	than			

	Check if Schedule O contains a response	to any question	on in this Part VIII			
·	•		<b>(A)</b> Total revenue	(B) Related or exempt function revenue	<b>(C)</b> Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514
CONTRIBUTIONS, GIFTS, GRANTS AND OTHER SIMILAR AMOUNTS	1 a Federated campaigns.  b Membership dues  c Fundraising events.  d Related organizations  e Government grants (contributions)  f All other contributions, gifts, grants, and similar amounts not included above  g Noncash contributions included in lns 1a-1f: \$	297,400.		,		
		<b>P</b>	<u> 297,400.</u>			
PROGRAM SERVICE REVENUE	b c d d e f All other program service revenue g Total. Add lines 2a-2f	siness Code				
		rest and			<del></del>	
	3 Investment income (including dividends, interother similar amounts) 4 Income from investment of tax-exempt bond 5 Royalties	•				
	6 a Gross rents. b Less: rental expenses c Rental income or (loss)	(ii) reisuliai				
	d Net rental income or (loss)					
	7 a Gross amount from sales of assets other than inventory  b Less, cost or other basis and sales expenses c Gain or (loss)	(ii) Other				
	d Net gain or (loss)	<u> </u>				
OTHER REVENUE	SI dana da l					
0	c Net income or (loss) from fundraising events	, <b>-</b>				
	9 a Gross income from gaming activities See Part IV, line 19		·			
	<b>b</b> Less direct expenses <b>b</b>					
	c Net income or (loss) from gaming activities  10 a Gross sales of inventory, less returns and allowances					
	<b>b</b> Less: cost of goods sold <b>b</b>					
	c Net income or (loss) from sales of inventory					
į	Miscellaneous Revenue Bu	siness Code				
1	11 a					
	b					
	c					
ĺ	d All other revenue					
	e Total. Add lines 11a-11d .	•				<u> </u>
	12 Total revenue. See instructions	<b>•</b>	297,400.	0.	0.	0.

### Part IX | Statement of Functional Expenses

Sec	tion 501(c)(3) and 501(c)(4) organizations must con Check if Schedule O contains a			omplete column (A)	
		(A)	(B)	(C)	(D)
	not include amounts reported on lines 6b, 8b, 9b, and 10b of Part VIII	Total expenses	Program service expenses	Management and general expenses	Fundraising expenses
1	Grants and other assistance to governments and organizations in the United States See Part IV, line 21				
2	Grants and other assistance to individuals in the United States See Part IV, line 22				
3	Grants and other assistance to governments, organizations, and individuals outside the United States See Part IV, lines 15 and 16	302,000.	302,000.		
4					
5	Compensation of current officers, directors, trustees, and key employees	0.	0.	0.	0.
6	Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	0.	0	0.	0.
7	Other salaries and wages				<del></del>
8	Pension plan accruals and contributions (include section 401(k) and section 403(b) employer contributions)				
9	Other employee benefits				
10	Payroll taxes				
11	Fees for services (non-employees)				
	a Management				
	b Legal				
	Accounting	1 250		1 250	<del></del>
	<u> </u>	1,250.		1,250.	
	Lobbying				<del> </del>
	Professional fundraising services See Part IV, line 17	-			
	Investment management fees	<del></del> .			
	Other (If line 11g amt exceeds 10% of line 25, column (A) amt, list line 11g expenses on Sch 0)  Advertising and promotion				
13	Office expenses	135.		135.	
14	Information technology	155.			
15	Royalties				
16	Occupancy				
17	Travel		<del></del>		
18	Payments of travel or entertainment	<del></del>	<del></del>		
	expenses for any federal, state, or local public officials				
19	Conferences, conventions, and meetings				
20	Interest				
21	Payments to affiliates				
22	Depreciation, depletion, and amortization	<u>.</u>			
23		,			
24	Other expenses Itemize expenses not covered above (List miscellaneous expenses in line 24e If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O)				
a	· '				
ŀ	,		<del></del>		<del></del>
Ì	` <del>-</del>				
_	All other expenses			<del></del>	<del></del>
	Total functional expenses. Add lines 1 through 24e	202 205	303 000	1 205	0.
	' ' I	303,385.	302,000.	1,385.	
26	Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation Check here  if following				
	SOP 98-2 (ASC 958-720).				

Form **990** (2012)

Part X

**Balance Sheet** 

Check if Schedule O contains a response to any question in this Part X (B) End of year (A) Beginning of year Cash - non-interest-bearing 18,317 1 12,332. 2 Savings and temporary cash investments 2 3 Pledges and grants receivable, net 3 Accounts receivable, net 4 Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L 5 Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions) Complete Part II of Schedule L 6 Notes and loans receivable, net 7 Inventories for sale or use 8 Prepaid expenses and deferred charges 9 10 a Land, buildings, and equipment cost or other basis. Complete Part VI of Schedule D 10a 10b **b** Less: accumulated depreciation 10 c Investments - publicly traded securities. 11 11 12 Investments - other securities. See Part IV, line 11 12 13 Investments - program-related See Part IV, line 11 13 14 Intangible assets 14 15 Other assets See Part IV, line 11 15 Total assets. Add lines 1 through 15 (must equal line 34) 12,332 18,317 16 Accounts payable and accrued expenses 17 1,500. 17 1,500 18 Grants payable 18 Deferred revenue 19 19 20 Tax-exempt bond liabilities 20 21 Escrow or custodial account liability Complete Part IV of Schedule D. 21 Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons Complete Part II of Schedule L 22 Secured mortgages and notes payable to unrelated third parties 23 Unsecured notes and loans payable to unrelated third parties 24 Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D. 25 25 Total liabilities. Add lines 17 through 25 26 1,500 1,500 Organizations that follow SFAS 117 (ASC 958), check here > X and complete lines 27 through 29, and lines 33 and 34. Unrestricted net assets 27 16.817 10,832. 28 Temporarily restricted net assets 28 Permanently restricted net assets 29 R Organizations that do not follow SFAS 117 (ASC 958), check here > and complete lines 30 through 34. 30 Capital stock or trust principal, or current funds 30 Paid-in or capital surplus, or land, building, or equipment fund 31 32 Retained earnings, endowment, accumulated income, or other funds 32 Total net assets or fund balances 33 16,817 10,832 Total liabilities and net assets/fund balances 34 18,317. 34 12,332.

BAA

Form 990 (2012)

		<u>0-5756</u>	271	P	age 12		
Pai	微X線 Reconciliation of Net Assets						
	Check if Schedule O contains a response to any question in this Part XI						
1	Total revenue (must equal Part VIII, column (A), line 12)	1		297,	400.		
2	Total expenses (must equal Part IX, column (A), line 25)	2		303,	385.		
3	Revenue less expenses Subtract line 2 from line 1	3		5,	985.		
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4		16,	817.		
5	Net unrealized gains (losses) on investments	5		_			
6	Donated services and use of facilities	6					
7	Investment expenses	7					
8	Prior period adjustments	8					
9	9 Other changes in net assets or fund balances (explain in Schedule O) 9						
10	column (B))						
Pai	版义III Financial Statements and Reporting	,	•		<u>-</u>		
	Check if Schedule O contains a response to any question in this Part XII				П		
				Yes	No		
1	Accounting method used to prepare the Form 990 Cash X Accrual Other		[	:1			
	If the organization changed its method of accounting from a prior year or checked 'Other,' explain in Schedule O						
2:	a Were the organization's financial statements compiled or reviewed by an independent accountant?			2 a	Х		
	If 'Yes,' check a box below to indicate whether the financial statements for the year were compiled or reviseparate basis, consolidated basis, or both	ewed on	a [, . '		1		
	Separate basis Consolidated basis Both consolidated and separate basis		1				
1	Were the organization's financial statements audited by an independent accountant?			2 Ь	X		
	If 'Yes,' check a box below to indicate whether the financial statements for the year were audited on a sep basis, consolidated basis, or both:	oarate		* 2 460			
	Separate basis Consolidated basis Both consolidated and separate basis						
•	If 'Yes' to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the at review, or compilation of its financial statements and selection of an independent accountant?	udit,		2 c			
	If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O						
3 :	a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	le		3 a	Х		
١	of if 'Yes,' did the organization undergo the required audit or audits? If the organization did not undergo the required or audits, explain why in Schedule O and describe any steps taken to undergo such audits	audit		3 Ь	<u>L</u> .		
BAA			F	orm <b>990</b>	(2012)		

#### SCHEDULE A (Form 990 or 990-EZ)

Department of the Treasury Internal Revenue Service

Total

**Public Charity Status and Public Support** 

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

► Attach to Form 990 or Form 990-EZ. ► See separate instructions.

OMB No 1545-0047

Open to Public Inspection

Name of the organization Employer identification number FRIENDS OF THE GARVAN INSTITUTE OF MEDICAL RESEARCH 20-5756271 Reason for Public Charity Status (All organizations must complete this part.) See instructions. The organization is not a private foundation because it is. (For lines 1 through 11, check only one box) A church, convention of churches or association of churches described in section 170(b)(1)(A)(i). 2 A school described in section 170(b)(1)(A)(ii). (Attach Schedule E) 3 A hospital or a cooperative hospital service organization described in section 170(b)(1)(A)(iii). 4 A medical research organization operated in conjunction with a hospital described in section 170(b)(1)(A)(iii). Enter the hospital's name, city, and state: GARVAN INSTITUTE OF MEDICAL SYDNEY AUSTRALIA, Australia An organization operated for the benefit of a college or university owned or operated by a governmental unit described in section 5 170(b)(1)(A)(iv). (Complete Part II.) 6 A federal, state, or local government or governmental unit described in section 170(b)(1)(A)(v). An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in section 170(b)(1)(A)(vi). (Complete Part II) 7 8 A community trust described in section 170(b)(1)(A)(vi). (Complete Part II.) 9 An organization that normally receives (1) more than 33-1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions — subject to certain exceptions, and (2) no more than 33-1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See section 509(a)(2). (Complete Part III ) 10 An organization organized and operated exclusively to test for public safety. See section 509(a)(4). An organization organization organization described in section 509(a)(1) or section 509(a)(2) See **section 509(a)(3)**. Check the box that describes the type of 11 supporting organization and complete lines 11e through 11h. Type I Type III - Functionally integrated d | Type III - Non-functionally integrated C By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) If the organization received a written determination from the IRS that is a Type I, Type II or Type III supporting organization, check this box ... Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons? Yes No A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization? (i) 11 g (i) 11 q (ii) A family member of a person described in (i) above? (iii) A 35% controlled entity of a person described in (i) or (ii) above? 11 g (iii) Provide the following information about the supported organization(s) h (vii) Amount of monetary (II) EIN (III) Type of organization (described on lines 1-9 above or IRC section (v) Did you notify the organization in column (i) of your (i) Name of supported organization (IV) Is the (vi) Is the organization in column (i) listed in organization in column (i) support organized in the (see instructions)) your governing document? support? Yes Yes No No Yes (A) (B) (C) (D) (E)

BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule **A** (Form 990 or 990-EZ) 2012

Sch	edule A (Form 990 or 990-EZ) 201	2 FRIENDS	OF THE GARV	'AN INSTITU	re of	20-5756271	Page 2
Pai	rt II Support Schedule for						/i)
	(Complete only if you checked organization fails to qualify i	the box on line 5,	7, or 8 of Part I or	If the organization	failed to qualify un	ider Part III. If the	
		The tests in	ted below, please				
	tion A. Public Support		I	T	<u> </u>	<del> </del>	<del></del>
beg	endar year (or fiscal year inning in) ►	(a) 2008	<b>(b)</b> 2009	<b>(c)</b> 2010	<b>(d)</b> 2011	<b>(e)</b> 2012	(f) Total
1	Gifts, grants, contributions, and membership fees received (Do not include any 'unusual grants.)						
2	Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3	The value of services or facilities furnished by a governmental unit to the organization without charge						
4	Total. Add lines 1 through 3	,					
5	The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6	Public support. Subtract line 5 from line 4						
Sec	tion B. Total Support			T-			
Cale beg	endar year (or fiscal year inning in) ►	(a) 2008	<b>(b)</b> 2009	<b>(c)</b> 2010	<b>(d)</b> 2011	<b>(e)</b> 2012	(f) Total
7	Amounts from line 4						
8	Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
9	Net income from unrelated business activities, whether or not the business is regularly carried on						
10	Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV)						
11	Total support. Add lines 7 through 10						
12	Gross receipts from related activ	rities, etc (see ins	structions)			12	
13	First five years. If the Form 990 is organization, check this box and	for the organizatio	n's first, second, th	ird, fourth, or fifth t	tax year as a secti	on 501(c)(3)	▶ 🗌
Sec	tion C. Computation of Pu	blic Support F	Percentage				
	Public support percentage for 20	•	•	ne 11, column (f))	<u> </u>	14	%
15	Public support percentage from	2011 Schedule A	, Part II, line 14			15	%
16	a 33-1/3% support test — 2012. If and stop here. The organization				nd the line 14 is	33-1/3% or more, c	heck this box
1	<b>b 33-1/3% support test – 2011.</b> If to and <b>stop here.</b> The organization	the organization of qualifies as a pu	did not check a bo iblicly supported c	ox on line 13 or 16 organization	5a, and line 15 is	33-1/3% or more, o	heck this box
17	a 10%-facts-and-circumstances te or more, and if the organization the organization meets the 'facts	meets the 'facts-	and-circumstance	s' test, check this	box and <b>stop he</b>	re.Explain in Part I	V how
l	b 10%-facts-and-circumstances te or more, and if the organization organization meets the 'facts-an	meets the 'facts-	and-circumstance	s' test, check this	box and <b>stop he</b>	re.Explain in Part I	15 is 10% V how the

BAA

Schedule A (Form 990 or 990-EZ) 2012

18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions

Part III | Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the	box on line 9 of Part I	or if the organization fai	lled to qualify under Part II	If the organization fails
to qualify under the tests listed	below, please comple	ete Part II.)		

Sec	tion A. Public Support						
	dar year (or fiscal yr beginning in)	(a) 2008	<b>(b)</b> 2009	<b>(c)</b> 2010	<b>(d)</b> 2011	<b>(e)</b> 2012	(f) Total
1	Gifts, grants, contributions and membership fees received (Do not include any 'unusual grants')						
2	Gross receipts from admis-			<u> </u>		<u> </u>	<del>                                     </del>
	sions, merchandise sold or						
	services performed, or facilities furnished in any activity that is						
	related to the organization's						
_	tax-exempt purpose						
3	Gross receipts from activities that are not an unrelated trade or business under section 513						
4	Tax revenues levied for the				<del>                                     </del>		
	organization's benefit and						
	either paid to or expended on its behalf						
5	The value of services or				<u> </u>		
	facilities furnished by a governmental unit to the						
	organization without charge						
6	Total. Add lines 1 through 5						
7 a	Amounts included on lines 1,						
	2, and 3 received from disqualified persons						
b	Amounts included on lines 2						
	and 3 received from other than						
	disqualified persons that exceed the greater of \$5,000 or						
	1% of the amount on line 13						
	for the year						
C	Add lines 7a and 7b		<u>.                                    </u>				
	Public support (Subtract line 7c from line 6)	•					
<u>Sec</u>	tion B. Total Support				,	<b>-</b>	
Calen	dar year (or fiscal yr beginning in) 🟲 👚	(a) 2008	<b>(b)</b> 2009	<b>(c)</b> 2010	<b>(d)</b> 2011	<b>(e)</b> 2012	(f) Total
	Amounts from line 6						
10 a	Gross income from interest, dividends, payments received						
	on securities loans, rents,						
	royalties and income from						
b	similar sources Unrelated business taxable						<del> </del>
-	income (less section 511						
	taxes) from businesses acquired after June 30, 1975						
c	Add lines 10a and 10b						
	Net income from unrelated business						<del>                                     </del>
	activities not included in line 10b,						
	whether or not the business is regularly carried on						
12	Other income. Do not include						<del> </del>
	gain or loss from the sale of capital assets (Explain in						
	Part IV.)						
	Total support. (Add Ins 9, 10c, 11, and 12)						
14	First five years. If the Form 990 organization, check this box and	is for the organiza	ation's first, secon	nd, third, fourth, c	or fifth tax year as	a section 501(c)	(3)
Sec	tion C. Computation of Pu		ercentage				
	Public support percentage for 20			ne 13 column (ft)		15	8
	Public support percentage from		- · ·	(0)	-	16	8
	tion D. Computation of Inv			<u> </u>			<u>~</u>
	Investment income percentage f				mn (f))	17	%
	Investment income percentage f	· · · · · · · · · · · · · · · · · · ·		<del>-</del>	(1)/	18	- %
	33-1/3% support tests – 2012. If				and line 15 is mor		
. J a	is not more than 33-1/3%, check	this box and stop	here. The organ	nization qualifies	as a publicly supp	orted organization	on
b	33-1/3% support tests - 2011. If	the organization	did not check a b	ox on line 14 or I	ine 19a, and line	16 is more than	33-1/3%, and
	line 18 is not more than 33-1/3%	, check this box a	and <b>stop here.</b> Th	ie organization qu	alities as a public	ly supported org	anization
<b>2</b> 0	Private foundation. If the organi	zation did not che	ck a box on line	14, 19a, 0r 190, 0	neck uns box and		00 or 000 E7) 2012

Schedule A (Form 990 or 990-EZ) 2012	FRIENDS	OF THE	GARVAN	INSTITUTE	OF	20-5756271	Page 4
Part IV: Supplemental Informa Part II, line 17a or 17b (See instructions).	tion. Comp ; and Part II	lete this I, line 12	part to po . Also co	rovide the exp implete this p	planations req art for any ad	uired by Part II, line ditional information.	10;
						- <del></del> -	
							<b>-</b>
					- <del></del>		
			<b>-</b>				
	<b>-</b>						
							. <b></b> -

#### Schedule F (Form 990)

#### Statement of Activities Outside the United States

Complete if the organization answered 'Yes' to Form 990, Part IV, line 14b, 15, or 16.
 ► Attach to Form 990.
 ► See separate instructions.

OMB No 1545-0047

Open to Public Inspection

Department of the Treasury Internal Revenue Service Name of the organization

FRIENDS OF THE GARVAN INSTITUTE OF

Employer identification number

20-5756271 General Information on Activities Outside the United States. Complete if the organization answered 'Yes' to Form 990, Part IV, line 14b.

1 For grantmakers. Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?

For grantmakers. Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in region	(d) Activities conducted in region (by type) (e g , fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for and investments in region
(1)					
(2)					
(3)					
(4)					
(5)					
(6)					
(7)					<u></u>
(8)					
(9)					
10)					
11)					
12)					
13)					
14)					
15)					
16)					
7)					
<b>3 a</b> Sub-total	_				
<b>b</b> Total from continuation sheets to Part I					
c Totals (add lines 3a and 3b)  BAA For Paperwork Reduction	0	0			dule <b>F</b> (Form 990) 2

FRIENDS OF THE GARVAN INSTITUTE OF

Schedule F (Form 990) 2012

Part II Grants and Other Assistance to Organizations or Entities Outside the United States. Complete if the organization answered 'Yes' to Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed. 20-5756271

1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(1)		AUSTRAILA	MEDICAL RES	302,000.	WIRE			
(2)								
(3)								
(4)								
(5)								
(6)								
ω								
(8)								
(6)								
(10)								
(11)								
(12)								
(13)								
(14)								
(15)								
(16)								
2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which	ions listed above that a	re recognized as cha	rrties by the foreig	in country, recognize	ed as tax-exempt by	, the IRS, or for whice	5	

the grantee or counsel has provided a section 501(c)(3) equivalency letter 3 Enter total number of other organizations or entities BAA

Schedule **F** (Form 990) 2012

Page 3

FRIENDS OF THE GARVAN INSTITUTE OF Schedule F (Form 990) 2012

Part III Grants and Other Assistance to Individuals Outside the United States. Complete if the organization answered 'Yes' to Form 990, Part IV, line 16. Part III can be duplicated if additional space is needed. 20-5756271

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non- cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
(1)							
(2)							
(3)							
(4)							
(5)							
(9)							
ω							
(8)							
(6)							
(10)							
(11)							
(12)							
(13)							
(14)							
(15)							
(16)							
(1)							
(18)							
ВАА						Schedule F (	Schedule F (Form 990) 2012

Sche	edule F (Form 990) 2012 FRIENDS OF THE GARVAN INSTITUTE OF	20-5756271	Page <b>4</b>
Pa	Foreign Forms	<u> </u>	
1	Was the organization a U.S. transferor of property to a foreign corporation during the tax year? If 'Yes,' the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)	Yes	X No
2	Did the organization have an interest in a foreign trust during the tax year? If 'Yes,' the organization may be required to file Form 3520, Annual Return To Report Transactions with Foreign Trusts and Receipt of C Foreign Gifts, and/or Form 3520-A Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A)		X No
3	Did the organization have an ownership interest in a foreign corporation during the tax year? If 'Yes,' the organization may be required to file Form 5471, Information Return of U.S. Persons With Respect To C Foreign Corporations. (see Instructions for Form 5471)	Certain Yes	X No
4	Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified fund during the tax year? If 'Yes,' the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund (see Instructions for Form 8621)	alified Yes	X No
5	Did the organization have an ownership interest in a foreign partnership during the tax year? If 'Yes,' the organization may be required to file Form 8865, Return of U.S. Persons With Respect To Certain Foreign Partnerships (see Instructions for Form 8865)	gn Yes	X No
6	Did the organization have any operations in or related to any boycotting countries during the tax year? If 'Yes,' the organization may be required to file Form 5713, International Boycott Report (see Instructions for Form 5713)	∏Yes	X No

TEEA3505L 12/17/12

Schedule **F** (Form 990) 2012

BAA

Schedule F	(Form 990) 2012	FRIENDS OF	THE GARVAN	INSTITUTE OF	20-5756271	Page <b>5</b>
Part V	Supplemental					-
	Complete this	nart to provide	the informatid; amounts of accounting root of complete the state of the information of th	on required by Pa f investments vs e method); and Part nis part to provide	art I, line 2 (monitoring of funds); expenditures per region); Part II, III, column (c) (estimated number any additional information (see	Part I, line 3, line 1 er of instructions).
		<del>-</del>				
~						
	<u> </u>		<u></u>		<del></del>	(Farm 000) 2012
BAA			TEEA3504L	12/17/12	Schedule F	(Form 990) 2012

### SCHEDULE O (Form 990 or 990-EZ)

# Supplemental Information to Form 990 or 990-EZ

OMB No 1545-0047

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.

2012

Open to Public Inspection

Department of the Treas Internal Revenue Service		► Attach to Form 990 or 990-EZ.		Open-to Public Inspection
Name of the organization	FRIENDS OF THE GARVAN MEDICAL RESEARCH	INSTITUTE OF	Employer Identifica	
Form 990,	Part VI, Line 11b - Form 990	Review Process		
The_boar	d reviews the Form 990	before filing.		
Form 990,	Part VI, Line 12c - Explanation	on of Monitoring and Enforcement of Cor	ıflicts	
The_Boar	d of Directors reveiws	conflict of interest surveys.		
Form 990,	Part VI, Line 19 - Other Orga	nization Documents Publicly Available		
No docum	ents available to the p	public.		
				<b></b>
		· <b></b>		