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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

2012

Open to public inspection

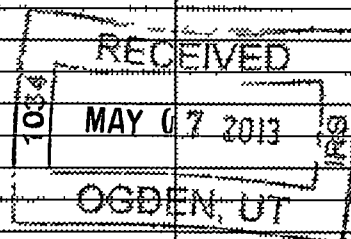
For calendar year 2012 or tax year beginning

, and ending

Name of foundation THE CLEMENTZ FOUNDATION		A Employer identification number 20-2823362
Number and street (or P.O. box number if mail is not delivered to street address) 7321 NE WILLIAM ROGERS RD		B Telephone number 360-598-3614
City or town, state, and ZIP code INDIANOLA, WA 98342		C If exemption application is pending, check here ☐
G Check all that apply. ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 171,883.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ If the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		4,633.	4,633.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)		5,690.			
6a Net gain or (loss) from sale of assets not on line 10					
b Gross sales price for all assets on line 6a 242,045.			5,690.		
7 Capital gain net income (from Part IV, line 2)					
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		10,323.	10,323.		
13 Compensation of officers, directors, trustees, etc.		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees					
c Other professional fees					
17 Interest					
18 Taxes STMT 2		37.	11.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 3		1,568.	1,568.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		1,605.	1,579.		0.
25 Contributions, gifts, grants paid		11,100.			11,100.
26 Total expenses and disbursements. Add lines 24 and 25		12,705.	1,579.		11,100.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		<2,382.>			
b Net investment income (if negative, enter -0-)			8,744.		
c Adjusted net income (if negative, enter -0-)				N/A	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	1,067.	6,499.	6,499.
	2 Savings and temporary cash investments			
	3 Accounts receivable 135.			
	Less: allowance for doubtful accounts	159.	135.	135.
	4 Pledges receivable			
	Less: allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable			
	Less: allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 4	170,086.	162,296.	165,249.
	c Investments - corporate bonds			
11 Investments - land, buildings, and equipment basis				
Less: accumulated depreciation				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment basis				
Less: accumulated depreciation				
15 Other assets (describe)				
16 Total assets (to be completed by all filers)	171,312.	168,930.	171,883.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☒ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	171,312.	168,930.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ☐ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
30 Total net assets or fund balances	171,312.	168,930.		
31 Total liabilities and net assets/fund balances	171,312.	168,930.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	171,312.
2 Enter amount from Part I, line 27a	2	<2,382.>
3 Other increases not included in line 2 (itemize))	3	0.
4 Add lines 1, 2, and 3	4	168,930.
5 Decreases not included in line 2 (itemize))	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	168,930.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 242,045.		236,355.	5,690.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(l) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			5,690.

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	5,690.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	13,474.	189,589.	.071070
2010	10,249.	187,199.	.054749
2009	8,500.	167,308.	.050805
2008	16,413.	207,978.	.078917
2007	13,228.	242,751.	.054492

2 Total of line 1, column (d)	2	.310033
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.062007
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	173,357.
5 Multiply line 4 by line 3	5	10,749.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	87.
7 Add lines 5 and 6	7	10,836.
8 Enter qualifying distributions from Part XII, line 4	8	11,100.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	87.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	87.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	87.
6 Credits/Payments.			
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7		0.
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		87.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be Credited to 2013 estimated tax ☐ Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation. ☐ \$ 0. (2) On foundation managers. ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes.		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ WA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV.		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13		
14	The books are in care of MICHAEL J. CLEMENTZ Telephone no. 360-598-3614 Located at 7321 NE WILLIAM ROGERS RD, INDIANOLA, WA ZIP+4 98342			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year 15 N/A			
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country N/A	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012? N/A	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No If "Yes," list the years N/A	2a	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No	3a	
b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ Nob If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ N/AOrganizations relying on a current notice regarding disaster assistance check here ☐c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ Nob Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ Nob If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ N/A**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MICHAEL J. CLEMENTZ	DIRECTOR			
7321 NE WILLIAM ROGERS RD				
INDIANOLA, WA 98342	0.00	0.	0.	0.
PATRICIA C. CLEMENTZ	DIRECTOR			
7321 NE WILLIAM ROGERS RD				
INDIANOLA, WA 98342	0.00	0.	0.	0.
STEVEN D. PETERSON	DIRECTOR			
5319 228TH AVE SE				
ISSAQUAH, WA 98029	0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 NATIONAL ATAXIA FOUNDATION - SC3	
	10,000.
2 ROLLINGBAY PRESBYTERIAN CHURCH	
	1,000.
3 CHRIST MEMORIAL CHURCH	
	100.
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 NONE	
	0.
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

Form 990-PF (2012)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	160,185.
b	Average of monthly cash balances	1b	15,812.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	175,997.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	175,997.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	2,640.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	173,357.
6	Minimum investment return. Enter 5% of line 5	6	8,668.

Part XI Distributable Amount (see instructions) (Section 4942(i)(3) and (i)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	8,668.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	87.
b	Income tax for 2012 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	87.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	8,581.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	8,581.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	8,581.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	11,100.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	11,100.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	87.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	11,013.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				8,581.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			0.	
b Total for prior years.		0.		
3 Excess distributions carryover, if any, to 2012				
a From 2007	1,834.			
b From 2008	6,088.			
c From 2009	135.			
d From 2010	988.			
e From 2011	2,047.			
f Total of lines 3a through e	11,092.			
4 Qualifying distributions for 2012 from Part XII, line 4. ▶ \$	11,100.			
a Applied to 2011, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2012 distributable amount				8,581.
e Remaining amount distributed out of corpus	2,519.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	13,611.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7	1,834.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	11,777.			
10 Analysis of line 9:				
a Excess from 2008	6,088.			
b Excess from 2009	135.			
c Excess from 2010	988.			
d Excess from 2011	2,047.			
e Excess from 2012	2,519.			

N/A

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

b 85% of line 2a ...

c Qualifying distributions from Part XII,
line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities
Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test - enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.

c "Support" alternative test - enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2))

SEE STATEMENT 5

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed.

N/A

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
CHRIST MEMORIAL CHURCH 18901 8TH AVENUE NORTHEAST POULSBORO, WA 98370		501(C)(3)		100.
NATIONAL ATAXIA FOUNDATION 2600 FERNBROOK LANE, SUITE 119 MINNEAPOLIS, MN 55447		501(C)(3)	TO SUPPORT SCA 3 RESEARCH	10,000.
ROLLINGBAY PRESBYTERIAN CHURCH 11042 SUNRISE DRIVE NORTHEAST BAINBRIDGE ISLAND, WA 98110		501(C)(3)	YOUTH MISSIONS	1,000.
Total			3a	11,100.
b Approved for future payment				
NONE				
Total			3b	0.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	UBS FINANCIAL STCG COVERED - SEE ATTACHED DETAIL	P	VARIOUS	VARIOUS
b	UBS FINANCIAL STCG NONCOVERED - SEE ATTACHED DETA	P	VARIOUS	VARIOUS
c	UBS FINANCIAL LTCG NONCOVERED - SEE ATTACHED DETA	P	VARIOUS	VARIOUS
d	UBS FINANCIAL CIL - SEE ATTACHED DETAIL	P	VARIOUS	VARIOUS
e	CAPITAL GAINS DIVIDENDS			
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 72,639.		71,190.	1,449.
b 31,390.		31,023.	367.
c 136,979.		134,142.	2,837.
d 2.			2.
e 1,035.			1,035.
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1,449.
b			367.
c			2,837.
d			2.
e			1,035.
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	5,690.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	N/A

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Short-term transactions for covered securities; included in Line 2a.

Security description (Line 8) Symbol (Line 1a) CUSIP	Quantity / face value (Line 1e)	Original cost basis (S)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (S)	Cost or other basis (Line 3) (S)	Wash sale loss disallowed (Line 5) (S)	Federal income tax withheld (Line 4) (S)	Gain/Loss (S)
ALGER SPECTRA FUND CLASS A									
Symbol: SPECX CUSIP: 015566102									
Sell	7,1840		07/27/12	10/03/12	102.87	97.06			5.81
Sell	15,3850		07/27/12	10/19/12	216.16	207.85			8.31
Sub Total	22,5690				319.03	304.91			14.12
ALLIANCE BERNSTEIN HIGH INCOME FD									
CLA Symbol: AGDAX CUSIP: 01859M101									
Sell	4,3390		01/20/12	07/27/12	39.49	38.10			1.39
Sell	6,1070		02/17/12	07/27/12	55.57	54.72			0.85
Sell	5,7740		03/20/12	07/27/12	52.55	52.20			0.35
Sell	6,6860		04/20/12	07/27/12	60.84	59.97			0.87
Sell	5,8690		05/18/12	07/27/12	53.41	51.88			1.53
Sell	6,5750		06/20/12	07/27/12	59.83	58.65			1.18
Sell	6,5320		07/20/12	07/27/12	59.44	59.38			0.06
Sub Total	41,8920				381.13	374.90			6.23
BLACKROCK EQUITY DIVIDEND FUND A									
Symbol: MDDVX CUSIP: 09251M108									
Sell	0,6020		07/27/12	10/03/12	12.18	11.78			0.40
Sell	12,9180		07/27/12	10/19/12	260.16	252.67			7.49
Sub Total	13,5200				272.34	264.45			7.89

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Short-term transactions for covered securities; included in Line 2a. (continued)

Security description (Line 8) Symbol (Line 1a) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/loss (\$)
Activity type									
BLACKROCK GLOBAL ALLOCATION									
FUND INC A									
Symbol: BHYAX									
CUSIP: 092511T103									
Sell	2.2110		07/27/12	10/03/12	43.40	41.77			1.63
Sell	15.3300		07/27/12	10/19/12	300.32	289.58			10.74
Sub Total	17.5410				343.72	331.35			12.37
BLACKROCK HIGH YIELD BOND A									
Symbol: BHYAX									
CUSIP: 091929679									
Sell	0.9960		07/27/12	10/03/12	7.93	7.75			0.18
Sell	20.0570		07/27/12	10/19/12	161.06	156.04			5.02
Sub Total	21.0530				168.99	163.79			5.20
CALAMOS GROWTH & INCOME FUND									
CLASS A									
Symbol: CVTRX									
CUSIP: 128119104									
Sell	0.8530		07/27/12	10/03/12	28.32	27.49			0.83
Sell	6.7270		07/27/12	10/19/12	221.71	216.81			4.90
Sub Total	7.5800				250.03	244.30			5.73
DELAWARE DIVERSIFIED INCOME FUND									
CLASS A									
Symbol: DPDFX									
CUSIP: 246248744									
Sell	35.7450		07/27/12	10/19/12	338.15	337.08			1.07
DELAWARE LIMITED-TERM DIVERSIFIED									
INCOME FUND CL A									
Symbol: DTRIX									
CUSIP: 245912308									
Sell	1,315.0410		01/11/12	07/27/12	11,822.21	11,638.11			184.10
Sell	3.6600		01/20/12	07/27/12	32.91	32.50			0.41

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Short-term transactions for covered securities; included in Line 2a. (continued)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (5)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (5)	Cost or other basis (Line 3) (5)	Wash sale loss disallowed (Line 5) (5)	Federal income tax withheld (Line 4) (5)	Gain/loss (5)
DELAWARE LIMITED-TERM DIVERSIFIED									
INCOME FUND CL A									
Symbol: DTRIX									
CUSIP: 245912308									
Sell	5.1540		02/22/12	07/27/12	46.33	45.92			0.41
Sell	4.5060		03/22/12	07/27/12	40.51	39.97			0.54
Sell	4.8890		04/20/12	07/27/12	43.95	43.56			0.39
Sell	5.0130		05/22/12	07/27/12	45.07	44.67			0.40
Sell	4.8140		06/22/12	07/27/12	43.28	43.04			0.24
Sell	4.5220		07/20/12	07/27/12	40.65	40.79			(0.14)
Sub Total	1,347.5990				12,114.91	11,928.56			186.35
DREMAN CONTRARIAN SMALL CAP									
VALUE CLASS A									
Symbol: DRSAX									
CUSIP: 261527792									
Sell	2.0780		07/27/12	10/03/12	37.24	35.28			1.96
Sell	5.0150		07/27/12	10/19/12	89.92	85.16			4.76
Sub Total	7.0930				127.16	120.44			6.72
DWS FLOATING RATE FUND CLASS A									
Symbol: DFRAX									
CUSIP: 23337F706									
Sell	41.2650		07/27/12	10/19/12	388.72	381.70			7.02
DWS REEF GLOBAL INFRASTRUCTURE									
FD CL A									
Symbol: TOLLX									
CUSIP: 233379718									
Sell	27.6230		07/27/12	10/19/12	322.09	316.28			5.81

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Short-term transactions for covered securities; included in Line 2a. (continued)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
EATON VANCE ATLANTA CAPITAL SMID-CAP FUND CLASS A Symbol: EAASX CUSIP: 277902656									
Sell	0.4300		07/27/12	10/03/12	7.10	6.88			0.22
Sell	5.3950		07/27/12	10/19/12	89.02	86.26			2.76
Sub Total	5.8250				96.12	93.14			2.98
EATON VANCE RICHARD BERNSTEIN EQUITY STRATEGY FUND CLASS A Symbol: ERBAX CUSIP: 277902599									
Sell	2.5650		07/27/12	10/03/12	28.65	27.50			1.15
Sell	13.9030		07/27/12	10/19/12	153.63	149.04			4.59
Sub Total	16.4680				182.28	176.54			5.74
FEDERATED PRUDENT BEAR FUND CLASS A Symbol: BEARX CUSIP: 314172354									
Sell	1,753.8690		07/27/12	09/07/12	6,559.47	6,875.17	5.18		(310.52)
Sell	43.7250		07/27/12	10/19/12	163.97	171.40			(7.43)
Sub Total	1,797.5940				6,723.44	7,046.57	5.18		(317.95)
FIRST TRUST EXCHANGE TRADED FUND II Symbol: FXD CUSIP: 33734X101									
Sell	7.0000		09/11/12	10/19/12	156.79	155.26			1.53
FIRST TRUST EXCHANGE TRADED FUND II CONSUMER STAPLES ALPHADEX FUND Symbol: FXG CUSIP: 33734X119									
Sell	5.0000		09/11/12	10/19/12	122.09	123.35	1.26		0.00

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Short-term transactions for covered securities; included in Line 2a. (continued)

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b) (Line 1a)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
FIRST TRUST EXCHANGE TRADED FUND									
II HEALTH CARE ALPHADEX FUND									
Symbol: FXH CUSIP: 33734X143									
Sell	1.0000		09/11/12	10/19/12	32.22	31.75			0.47
FIRST TRUST S&P REIT INDEX FUND									
Symbol: FRI CUSIP: 33734G108									
Sell	9.0000		09/11/12	10/19/12	158.45	162.63	4.18		0.00
FRANKLIN/TEMPLETON GLOBAL BOND									
FUND CLASS A									
Symbol: TPINX CUSIP: 880208103									
Sell	0.9110		07/27/12	10/03/12	12.19	11.90			0.29
Sell	25.8250		07/27/12	10/19/12	348.64	337.27			11.37
Sub Total	26.7360				360.83	349.17			11.66
FT MUTUAL GLOBAL DISCOVERY FUND									
CLASS A									
Symbol: TEDIX CUSIP: 628380859									
Sell	3.3320		07/27/12	10/03/12	99.64	96.39			3.25
Sell	12.9200		07/27/12	10/19/12	388.12	373.78			14.34
Sub Total	16.2520				487.76	470.17			17.59
GATEWAY FUND CLASS A									
Symbol: GATEX CUSIP: 367829207									
Sell	8.6670		07/27/12	10/19/12	238.94	236.09			2.85

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Proceeds from Broker Transactions (continued)

Short-term transactions for covered securities; included in Line 2a. (continued)

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
INVESCO BALANCED-RISK ALLOCATION									
FUND A									
Symbol: ABRZX									
CUSIP: 00141V747									
Sell	2.3340		07/27/12	10/03/12	30.50	29.46			1.04
Sell	23.4480		07/27/12	10/19/12	302.72	295.91			6.81
Sub Total	25.7820				333.22	325.37			7.85
ISHARES BARCLAYS 10-20 YR TREAS									
BD FD									
Symbol: TLH									
CUSIP: 464288653									
Sell	2.0000		07/27/12	10/19/12	269.63	278.04	8.41		0.00
ISHARES BARCLAYS 20+ YEAR TREAS									
BD FD									
Symbol: TLT									
CUSIP: 464287432									
Sell	27.0000		07/27/12	08/16/12	3,277.24	3,495.69			(218.45)
ISHARES BARCLAYS 7-10 YEAR TREAS									
BOND FD									
Symbol: IEF									
CUSIP: 464287440									
Sell	1.0000		07/27/12	10/19/12	107.28	109.05	1.77		0.00
Sell	31.0000		07/27/12	11/27/12	3,362.41	3,380.55			(18.14)
Sell	1.0000		10/03/12	11/27/12	108.46	110.26			(1.80)
Sub Total	33.0000				3,578.15	3,599.86	1.77		(19.94)
ISHARES CORE LONG TERM US BOND									
ETF									
Symbol: ILTB									
CUSIP: 464289479									
Sell	3.0000		07/27/12	10/19/12	192.98	197.16	2.79		(1.39)

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Proceeds from Broker Transactions (continued)

Short-term transactions for covered securities; included in Line 2a. (continued)

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
ISHARES TRUST S&P U S PFD STOCK INDEX FUND Symbol: PFF CUSIP: 464288687									
Sell	5.0000		07/27/12	10/19/12	200.49	196.98			3.51
ISHARES TRUST S&P 500 VALUE INDEX FD Symbol: IVE CUSIP: 464287408									
Sell	1.0000		07/27/12	10/03/12	66.26	62.48			3.78
Sell	1.0000		07/27/12	10/19/12	66.37	62.48			3.89
Sub Total	2.0000				132.63	124.96			7.67
ISHARES TRUST 10+ YR CR BD FD ETF Symbol: CLY CUSIP: 464289511									
Sell	3.0000		08/16/12	10/19/12	191.09	183.38			7.71
IVY ASSET STRATEGY FUND CLASS A Symbol: WASAX CUSIP: 466000759									
Sell	5.2690		07/27/12	10/03/12	133.52	125.82			7.70
Sell	11.6620		07/27/12	10/19/12	293.54	278.49			15.05
Sub Total	16.9310				427.06	404.31			22.75
JANUS FORTY FUND A Symbol: JDCAX CUSIP: 47103A674									
Sell	55.1430		01/11/12	07/27/12	2,016.03	1,794.35			221.68

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Short-term transactions for covered securities; included in Line 2a. (continued)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
JEFFERIES COMMODITY STRATEGY ALLOCATION FUND CLASS A Symbol: JCRAX CUSIP: 317609675									
Sell	7.6120		07/27/12	10/03/12	83.73	80.92			2.81
Sell	17.4880		07/27/12	10/19/12	192.19	185.89			6.30
Sub Total	25.1000				275.92	266.81			9.11
JOHN HANCOCK DISCIPLINED VALUE MID CAP FUND CLASS A Symbol: JVMAX CUSIP: 47803W505									
Sell	2.2890		07/27/12	10/03/12	28.52	27.35			1.17
Sell	15.0380		07/27/12	10/19/12	187.67	179.71			7.96
Sub Total	17.3270				216.19	207.06			9.13
LOOMIS SAYLES INVESTMENT GRADE BOND FUND CLASS A Symbol: LIGRX CUSIP: 543487144									
Sell	2.3790		07/27/12	10/03/12	30.31	29.62			0.69
Sell	23.6710		07/27/12	10/19/12	303.46	294.70			8.76
Sub Total	26.0500				333.77	324.32			9.45
LORD ABBETT SHORT DURATION INCOME FUND CLASS A Symbol: LALDX CUSIP: 543916100									
Sell	75.8950		07/27/12	10/19/12	352.91	349.88			3.03
METROPOLITAN WEST TOTAL RETURN BOND FUND Symbol: MWTRX CUSIP: 592905103									
Sell	2,434.0240		01/11/12	07/27/12	26,311.80	25,338.19			973.61
Sell	5.2840		01/31/12	07/27/12	57.12	55.53			1.59

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Short-term transactions for covered securities; included in Line 2a. (continued)

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b) (Line 1a)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
METROPOLITAN WEST TOTAL RETURN									
BOND FUND									
Symbol: MWTRX									
CUSIP: 592905103									
Sell	7.7550		02/29/12	07/27/12	83.83	81.82			2.01
Sell	8.9470		03/30/12	07/27/12	96.72	94.30			2.42
Sell	8.5240		04/30/12	07/27/12	92.14	90.52			1.62
Sell	7.7530		05/31/12	07/27/12	83.81	82.65			1.16
Sell	8.5150		06/29/12	07/27/12	92.05	90.86			1.19
Sub Total	2,480.8020				26,817.47	25,833.87			983.60
NATIXIS ASG MANAGED FUTURES									
STRATEGY FUND CLASS A									
Symbol: AMFAX									
CUSIP: 638721745									
Sell	9.5750		07/27/12	10/19/12	87.71	91.73	4.02		0.00
NEUBERGER BERMAN EQUITY INCOME									
FUND CLASS A									
Symbol: NBHAX									
CUSIP: 641224522									
Sell	0.2750		07/27/12	10/03/12	3.27	3.20			0.07
Sell	21.3070		07/27/12	10/19/12	253.34	248.23			5.11
Sub Total	21.5820				256.61	251.43			5.18
OPPENHEIMER DEVELOPING MARKETS									
FUND CL A									
Symbol: ODMAX									
CUSIP: 683974109									
Sell	3.0280		07/27/12	10/03/12	103.44	96.93			6.51
Sell	5.1110		07/27/12	10/19/12	175.47	163.60			11.87
Sub Total	8.1390				278.91	260.53			18.38

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Proceeds from Broker Transactions (continued)

Short-term transactions for covered securities; included in Line 2a. (continued)

Security description (Line 8) Symbol (Line 1a) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b) (Date)	Date of sale / exchange (Line 1a) (Date)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
Activity type									
OPPENHEIMER INTL BOND FD CL A Symbol: OIBAX CUSIP: 68380T103									
Sell	45.0940		07/27/12	10/19/12	297.17	290.41			6.76
PIMCO ALL ASSETS ALL AUTH-A Symbol: PAUAX CUSIP: 72200Q232									
Sell	6.9710		07/27/12	10/03/12	77.59	74.66			2.93
Sell	27.9740		07/27/12	10/19/12	313.87	299.60			14.27
Sub Total	34.9450				391.46	374.26			17.20
PIMCO INVESTMENT GRADE CORPORATE BOND FUND CLASS A Symbol: PBDAX CUSIP: 722008307									
Sell	444.5190		01/11/12	07/27/12	4,920.82	4,623.00			297.82
Sell	7.5120		01/31/12	07/27/12	83.16	79.85			3.31
Sell	7.8900		02/29/12	07/27/12	87.34	84.11			3.23
Sell	8.4840		03/30/12	07/27/12	93.92	89.93			3.99
Sell	7.6170		04/30/12	07/27/12	84.32	81.88			2.44
Sell	8.3460		05/31/12	07/27/12	92.39	90.22			2.17
Sell	8.5420		06/29/12	07/27/12	94.56	93.02			1.54
Sub Total	492.9100				5,456.51	5,142.01			314.50
PIMCO TOTAL RETURN FUND CLASS A Symbol: PTTAX CUSIP: 693390445									
Sell	27.0920		07/27/12	10/19/12	313.46	309.39			4.07

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Proceeds from Broker Transactions (continued)

Short-term transactions for covered securities; included in Line 2a. (continued)

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / Face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
PRINCIPAL INVESTORS PREFERRED									
SECURITIES FUND A									
Symbol: PPSAX									
CUSIP: 74254T252									
Sell	2.4810		07/27/12	10/03/12	25.98	25.41			0.57
Sell	24.2310		07/27/12	10/19/12	257.33	248.12			9.21
Sub Total	26.7120				283.31	273.53			9.78
PRUDENTIAL JENNISON NATURAL									
RESOURCES FUND INC A									
Symbol: PGNAX									
CUSIP: 74441K107									
Sell	2.4400		07/27/12	10/03/12	111.60	105.80			5.80
Sell	3.7670		07/27/12	10/19/12	174.73	163.33			11.40
Sub Total	6.2070				286.33	269.13			17.20
PRUDENTIAL JENNISON 2020 FOCUS									
FUND A									
Symbol: PTWAX									
CUSIP: 74440G107									
Sell	121.8620		01/11/12	07/27/12	1,885.21	1,896.18			(10.97)
Sell	8.4170		06/01/12	07/27/12	130.21	122.47			7.74
Sub Total	130.2790				2,015.42	2,018.65			(3.23)
THORNBURG INTERNATIONAL VALUE									
FUND CLASS A									
Symbol: TGVAX									
CUSIP: 885215657									
Sell	2.9470		07/27/12	10/03/12	77.01	74.29			2.72
Sell	14.7780		07/27/12	10/19/12	393.23	372.56			20.67
Sub Total	17.7250				470.24	446.85			23.39

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Proceeds from Broker Transactions (continued)

Short-term transactions for covered securities; included in Line 2a. (continued)

Security description (Line 8) Symbol (Line 1a) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
Activity type									
WELLS FARGO ADVANTAGE ABSOLUTE RETURN FUND CL A Symbol: WARAX CUSIP: 94987W109									
Sell	29,7080		07/27/12	10/19/12	301.83	295.30			6.53
Total	7,125.0100				\$72,638.93	\$71,217.69	\$27.61		\$1,448.85

Short-term transactions for noncovered securities; included in Line 2a.

Security description (Line 8) Symbol (Line 1a) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
Activity type									
ALLIANCE BERNSTEIN HIGH INCOME FD CL A Symbol: AGDAX CUSIP: 01859M101									
Sell	9,1290		08/19/11	07/27/12	83.08	79.88			3.20
Sell	8,6170		09/20/11	07/27/12	78.41	74.19			4.22
Sell	8,6700		10/20/11	07/27/12	78.90	73.52			5.38
Sell	8,8160		11/18/11	07/27/12	80.23	75.29			4.94
Sell	7,7440		12/20/11	07/27/12	70.47	66.13			4.34
Sell	2,8470		12/30/11	07/27/12	25.90	24.48			1.42
Sub Total	45,8230				416.99	393.49			23.50
COLUMBIA SELECT LGE CAP GWTH Z Symbol: UMLGX CUSIP: 19765Y688									
Sell	588,4590		12/05/11	01/11/12	7,302.78	7,438.12			(135.34)
Sell	762,3950		12/05/11	07/27/12	9,895.89	9,636.67			259.22
Sub Total	1,350,8540				17,198.67	17,074.79			123.88

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Proceeds from Broker Transactions (continued)

Short-term transactions for noncovered securities; included in Line 2a. (continued)

Security description (Line 8) Symbol (Line 1a) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis (\$) (Line 1b)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
DELAWARE LIMITED-TERM DIVERSIFIED INCOME FUND CL A Symbol: DTRIX CUSIP: 245912308									
Sell	2.8590		08/22/11	07/27/12	25.70	25.99			(0.29)
Sell	2.9470		09/22/11	07/27/12	26.49	26.82			(0.33)
Sell	2.9880		10/21/11	07/27/12	26.86	26.83			0.03
Sell	9.5840		11/22/11	07/27/12	86.16	84.34			1.82
Sell	2.7890		11/22/11	07/27/12	25.08	24.54			0.54
Sell	13.4550		11/22/11	07/27/12	120.96	118.40			2.56
Sell	2.8020		12/22/11	07/27/12	25.19	24.71			0.48
Sub Total	37.4240				336.44	331.63			4.81

FIRST EAGLE GOLD FUND CLASS A Symbol: SGGDIX CUSIP: 32008F408									
Sell	2.6050		12/14/11	07/27/12	67.78	78.64			(10.86)
Sell	5.9850		12/14/11	07/27/12	155.73	180.69			(24.96)
Sub Total	8.5900				223.51	259.33			(35.82)

FRANKLINTEMPLETON GLOBAL BOND FUND CLASS A Symbol: TPINX CUSIP: 880208103									
Sell	4.3120		01/20/11	01/11/12	54.07	58.38			(4.31)
Sell	4.3280		02/17/11	01/11/12	54.27	58.60			(4.33)
Sell	4.3890		03/17/11	01/11/12	55.04	58.81			(3.77)
Sell	4.2500		04/19/11	01/11/12	53.29	59.03			(5.74)
Sell	4.2550		05/16/11	01/11/12	53.36	58.68			(5.32)
Sell	4.2570		06/15/11	01/11/12	53.38	58.87			(5.49)
Sell	4.2580		07/15/11	01/11/12	53.40	59.10			(5.70)
Sell	4.3200		08/15/11	01/11/12	54.17	59.31			(5.14)

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Proceeds from Broker Transactions (continued)

Short-term transactions for noncovered securities; included in Line 2a. (continued)

Security description (Line 8) Symbol (Line 1a) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
FRANKLINTEMPLETON GLOBAL BOND									
FUND CLASS A									
Symbol: TPINX									
CUSIP: 880208103									
Sell	4.4730		09/15/11	01/11/12	56.09	59.54			(3.45)
Sell	4.5790		10/19/11	01/11/12	57.42	59.44			(2.02)
Sell	4.6430		11/17/11	01/11/12	58.22	59.66			(1.44)
Sub Total	48.0640				602.71	649.42			(46.71)
IVY INTERNATIONAL CORE EQUITY									
FUND CLASS A									
Symbol: IYIAX									
CUSIP: 465897353									
Sell	11.0250		12/08/11	07/27/12	156.88	151.82			5.06
Sell	13.5340		12/08/11	07/27/12	192.59	186.36			6.23
Sub Total	24.5590				349.47	338.18			11.29
JANUS FORTY FUND A									
Symbol: JDCAX									
CUSIP: 47103A674									
Sell	2.1140		12/20/11	07/27/12	77.29	65.49			11.80
JANUS OVERSEAS FUND A									
Symbol: JDIAX									
CUSIP: 47103C480									
Sell	29.7390		12/05/11	01/11/12	981.68	1,124.73			(143.05)
PIMCO INVESTMENT GRADE CORPORATE BOND FUND CLASS A									
Symbol: PBDAX									
CUSIP: 722008307									
Sell	4.4170		07/31/11	07/27/12	48.90	47.75			1.15
Sell	4.5490		08/31/11	07/27/12	50.36	48.40			1.96
Sell	4.5840		09/30/11	07/27/12	50.74	48.04			2.70
Sell	3.8820		10/31/11	07/27/12	42.98	41.54			1.44

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Proceeds from Broker Transactions (continued)

Short-term transactions for noncovered securities; included in Line 2a. (continued)

Security description (Line 8) Symbol (Line 1a) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/loss (\$)
PIMCO INVESTMENT GRADE CORPORATE BOND FUND CLASS A									
Symbol: PBDAX CUSIP: 722008307									
Sell	4.0100		11/30/11	07/27/12	44.39	42.11			2.28
Sell	794.8900		12/05/11	07/27/12	8,799.43	8,417.88			381.55
Sell	42.3520		12/07/11	07/27/12	468.84	436.23			32.61
Sell	13.1080		12/07/11	07/27/12	145.10	135.01			10.09
Sell	7.0960		12/30/11	07/27/12	78.56	73.44			5.12
Sub Total	878.8880				9,729.30	9,290.40			438.90
PRUDENTIAL JENNISON 2020 FOCUS FUND A									
Symbol: PTWAX CUSIP: 74440G107									
Sell	25.8830		12/01/11	07/27/12	400.41	394.98			5.43
SPDR GOLD TRUST									
Symbol: GLD CUSIP: 78463V107									
Sell	1.0000		07/27/12	10/03/12	172.28	157.03			15.25
TCW EMERGING MARKETS INCOME FUND CLASS N									
Symbol: TGINX CUSIP: 87234N351									
Sell	5.7710		01/31/11	01/11/12	61.35	63.37			(2.02)
Sell	5.7790		02/28/11	01/11/12	61.43	63.68			(2.25)
Sell	6.7540		03/31/11	01/11/12	71.80	75.64			(3.84)
Sell	7.4300		04/29/11	01/11/12	78.98	84.85			(5.87)
Sell	7.4170		05/31/11	01/11/12	78.84	84.63			(5.79)
Sell	7.4910		06/30/11	01/11/12	79.63	85.17			(5.54)
Sell	6.9270		07/29/11	01/11/12	73.63	79.80			(6.17)

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Proceeds from Broker Transactions (continued)

Short-term transactions for noncovered securities; included in Line 2a. (continued)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b) (Line 1a)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
TCW EMERGING MARKETS INCOME									
FUND CLASS N									
Symbol: TGINX									
CUSIP: 87234N351									
Sell	6.3880		08/31/11	01/11/12	67.90	71.35			(3.45)
Sell	5.9010		09/30/11	01/11/12	62.73	59.78			2.95
Sell	5.5910		11/01/11	01/11/12	59.43	59.77			(0.34)
Sell	5.6970		11/30/11	01/11/12	60.56	60.05			0.51
Sub Total	71.1460				756.28	788.09			(31.81)
THORNBURG INTERNATIONAL VALUE									
FUND CLASS A									
Symbol: TGVAX									
CUSIP: 885215657									
Sell	0.3710		03/25/11	01/11/12	9.08	10.70			(1.62)
Sell	2.4020		06/24/11	01/11/12	58.80	67.95			(9.15)
Sell	1.4790		09/26/11	01/11/12	36.21	34.18			2.03
Sub Total	4.2520				104.09	112.83			(8.74)
VAN ECK GLOBAL HARD ASSETS FUND									
CLASS A									
Symbol: GHAA									
CUSIP: 921075750									
Sell	0.8200		12/21/11	07/27/12	34.16	35.52			(1.36)
Sell	0.1590		12/21/11	07/27/12	6.62	6.89			(0.27)
Sub Total	0.9790				40.78	42.41			(1.63)
Total	2,529.3150				\$31,389.90	\$31,022.80			\$367.10*

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Proceeds from Broker Transactions (continued)

Long-term transactions for noncovered securities; included in Line 2a.

Security description (Line 4) Symbol (Line 1a) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/loss (\$)
ALLIANCE BERNSTEIN HIGH INCOME FD									
CL A									
Symbol: AGDAX									
CUSIP: 01859M101									
Sell	115.1400		12/16/09	01/11/12	1,000.57	964.87			35.70
Sell	880.4130		12/16/09	07/27/12	8,011.76	7,377.85			633.90
Sell	1.1790		12/18/09	07/27/12	10.73	9.87			0.86
Sell	3.2160		12/31/09	07/27/12	29.26	27.08			2.18
Sell	5.9660		01/20/10	07/27/12	54.29	51.01			3.28
Sell	10.1340		02/19/10	07/27/12	92.22	84.92			7.30
Sell	8.7410		03/19/10	07/27/12	79.55	75.87			3.68
Sell	8.7650		04/20/10	07/27/12	79.76	77.92			1.84
Sell	9.0310		05/20/10	07/27/12	82.18	76.31			5.87
Sell	9.4970		06/18/10	07/27/12	86.42	81.01			5.41
Sell	9.5460		07/20/10	07/27/12	86.87	82.67			4.20
Sell	9.8760		08/20/10	07/27/12	89.87	87.80			2.07
Sell	8.4000		09/20/10	07/27/12	76.44	75.35			1.09
Sell	8.7410		10/20/10	07/27/12	79.55	80.07			(0.52)
Sell	9.1910		11/19/10	07/27/12	83.64	83.73			(0.09)
Sell	3.9150		12/20/10	07/27/12	35.62	35.20			0.42
Sell	8.3340		12/20/10	07/27/12	75.84	75.01			0.83
Sell	3.0490		12/31/10	07/27/12	27.75	27.62			0.13
Sell	5.3730		01/20/11	07/27/12	48.89	49.06			(0.17)
Sell	8.8940		02/18/11	07/27/12	80.94	81.91			(0.97)
Sell	7.4110		03/18/11	07/27/12	67.44	67.74			(0.30)
Sell	8.3560		04/20/11	07/27/12	76.04	77.29			(1.25)
Sell	8.7190		05/20/11	07/27/12	79.34	81.09			(1.75)

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Security description (Line 8)		Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
Symbol (Line 1d) CUSIP	Activity type									
ALLIANCE BERNSTEIN HIGH INCOME FD										
CL A										
Symbol: AGDAX										
CUSIP: 01859M101										
Sell		8.0250		06/20/11	07/27/12	73.03	72.95			0.08
Sell		8.4140		07/20/11	07/27/12	76.56	76.99			(0.43)
Sub Total		1,168.3260				10,584.56	9,881.20			703.36
DELAWARE LIMITED-TERM DIVERSIFIED										
INCOME FUND CL A										
Symbol: DTRX										
CUSIP: 245912308										
Sell		1,431.9690		12/16/09	07/27/12	12,873.40	12,773.16			100.24
Sell		0.9080		12/22/09	07/27/12	8.16	8.08			0.08
Sell		4.5770		01/22/10	07/27/12	41.15	41.01			0.14
Sell		4.7230		02/22/10	07/27/12	42.46	42.18			0.28
Sell		4.3500		03/22/10	07/27/12	39.11	39.02			0.09
Sell		4.2540		04/22/10	07/27/12	38.24	38.24			0.00
Sell		4.2220		05/21/10	07/27/12	37.95	37.53			0.42
Sell		4.6700		06/22/10	07/27/12	41.99	41.56			0.43
Sell		3.2600		07/22/10	07/27/12	29.31	29.14			0.17
Sell		3.2130		08/20/10	07/27/12	28.88	28.92			(0.04)
Sell		3.1420		09/22/10	07/27/12	28.25	28.37			(0.12)
Sell		3.1680		10/22/10	07/27/12	28.48	28.61			(0.13)
Sell		7.7350		11/22/10	07/27/12	69.53	69.46			0.07
Sell		3.2030		11/22/10	07/27/12	28.80	28.76			0.04
Sell		3.3450		12/22/10	07/27/12	30.07	29.84			0.23
Sell		3.5460		01/21/11	07/27/12	31.88	31.56			0.32
Sell		3.7930		02/22/11	07/27/12	34.10	33.68			0.42
Sell		3.3120		03/22/11	07/27/12	29.77	29.41			0.36
Sell		4.2610		04/21/11	07/27/12	38.31	37.88			0.43

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Proceeds from Broker Transactions (continued)

Long-term transactions for noncovered securities; included in Line 2a. (continued)

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
DELAWARE LIMITED-TERM DIVERSIFIED INCOME FUND CL A									
Symbol: DTRX CUSIP: 245912308									
Sell	4.1960		05/20/11	07/27/12	37.72	37.68			0.04
Sell	3.8370		06/22/11	07/27/12	34.50	34.61			(0.11)
Sell	2.6750		07/22/11	07/27/12	24.05	24.13			(0.08)
Sub Total	1,512.3590				13,596.11	13,492.83			103.28
FIRST EAGLE GOLD FUND CLASS A									
Symbol: SGGDX CUSIP: 32008F408									
Sell	3.0400		12/16/09	01/11/12	92.76	83.63			9.13
Sell	183.5130		12/16/09	07/27/12	4,775.01	5,048.44			(273.43)
Sell	5.6950		12/17/10	07/27/12	148.18	187.48			(39.30)
Sell	9.4110		12/17/10	07/27/12	244.88	309.81			(64.93)
Sub Total	201.6590				5,260.83	5,629.36			(368.53)
FRANKLINTEMPLETON GLOBAL BOND FUND CLASS A									
Symbol: TPINX CUSIP: 880208103									
Sell	952.3290		12/16/09	01/11/12	11,942.22	12,056.49	3.38		(110.89)
Sell	4.0650		01/20/10	01/11/12	50.98	52.76			(1.78)
Sell	4.1080		02/18/10	01/11/12	51.51	52.95			(1.44)
Sell	4.0190		03/17/10	01/11/12	50.40	53.13			(2.73)
Sell	3.9290		04/19/10	01/11/12	49.27	53.32			(4.05)
Sell	4.4820		05/19/10	01/11/12	56.20	59.43			(3.23)
Sell	4.5540		06/17/10	01/11/12	57.11	59.34			(2.23)
Sell	4.5650		07/19/10	01/11/12	57.25	59.57			(2.32)
Sell	4.4690		08/20/10	01/11/12	56.04	59.80			(3.76)
Sell	4.4330		09/17/10	01/11/12	55.59	60.02			(4.43)

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Proceeds from Broker Transactions (continued)

Long-term transactions for noncovered securities; included in Line 2a. (continued)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$) (Line 1b)	Date acquired (Line 1a)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
FRANKLINTEMPLETON GLOBAL BOND									
FUND CLASS A									
Symbol: TPINX									
CUSIP: 880208103									
Sell	4.3600		10/19/10	01/11/12	54.67	60.26			(5.59)
Sell	4.4490		11/17/10	01/11/12	55.79	60.46			(4.67)
Sell	15.6150		12/17/10	01/11/12	195.81	209.55			(13.74)
Sell	21.3720		12/19/11	01/11/12	268.00	265.44			2.56
Sell	6.8300		12/19/11	01/11/12	85.65	84.83			0.82
Sub Total	1,043.5790				13,086.49	13,247.35	3.38		(157.48)
IVY INTERNATIONAL CORE EQUITY									
FUND CLASS A									
Symbol: IVIAX									
CUSIP: 465897353									
Sell	277.1790		12/16/09	01/11/12	3,869.42	4,077.30			(207.88)
Sell	417.8930		12/16/09	07/27/12	5,946.61	6,147.21			(200.60)
Sell	7.1470		12/09/10	07/27/12	101.71	115.85			(14.14)
Sub Total	702.2190				9,917.74	10,340.36			(422.62)
JANUS FORTY FUND A									
Symbol: JDCAX									
CUSIP: 47103A674									
Sell	431.1480		12/16/09	07/27/12	15,762.77	13,473.38			2,289.39
JANUS OVERSEAS FUND A									
Symbol: JDIAX									
CUSIP: 47103C480									
Sell	244.9500		12/16/09	01/11/12	8,085.80	10,366.28	214.70		(2,065.78)
Sell	0.8990		12/22/09	01/11/12	29.68	37.75			(8.07)
Sell	0.2430		12/22/10	01/11/12	8.02	12.21			(4.19)
Sell	23.0610		12/20/11	01/11/12	761.24	949.90			(188.66)
Sub Total	269.1530				8,884.74	11,366.14	214.70		(2,266.70)

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Proceeds from Broker Transactions (continued)

Long-term transactions for noncovered securities; included in Line 2a. (continued)

Security description (Line 8) Symbol (Line 1a) CUSIP	Quantity / face value (Line 1c)	Original cost basis (\$)	Date acquired (Line 1b) (Line 1a)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
PIMCO INVESTMENT GRADE CORPORATE BOND FUND CLASS A Symbol: PBDAX CUSIP: 722008307									
Sell	928.8010		12/16/09	07/27/12	10,281.83	10,216.81			65.02
Sell	2.9750		12/31/09	07/27/12	32.93	32.52			0.41
Sell	4.4180		01/29/10	07/27/12	48.91	49.08			(0.17)
Sell	4.5320		02/26/10	07/27/12	50.17	50.31			(0.14)
Sell	4.4440		03/31/10	07/27/12	49.19	49.68			(0.49)
Sell	4.7300		04/30/10	07/27/12	52.36	53.54			(1.18)
Sell	4.3150		05/28/10	07/27/12	47.77	47.90			(0.13)
Sell	4.2960		06/30/10	07/27/12	47.56	48.33			(0.77)
Sell	4.4520		07/30/10	07/27/12	49.28	51.11			(1.83)
Sell	4.1110		08/31/10	07/27/12	45.51	48.18			(2.67)
Sell	4.0940		09/30/10	07/27/12	45.32	48.51			(3.19)
Sell	4.1110		10/29/10	07/27/12	45.51	49.09			(3.58)
Sell	4.2200		11/30/10	07/27/12	46.72	49.21			(2.49)
Sell	39.0270		12/08/10	07/27/12	432.02	407.05			24.97
Sell	70.2210		12/08/10	07/27/12	777.35	732.40			44.95
Sell	5.0160		12/31/10	07/27/12	55.53	52.57			2.96
Sell	4.3670		01/31/11	07/27/12	48.34	45.90			2.44
Sell	4.3340		02/28/11	07/27/12	47.98	45.81			2.17
Sell	4.6400		03/31/11	07/27/12	51.36	49.04			2.32
Sell	4.5960		04/30/11	07/27/12	50.88	49.36			1.52
Sell	4.4120		05/31/11	07/27/12	48.84	47.69			1.15
Sell	4.5360		06/30/11	07/27/12	50.21	48.31			1.90
Sub Total	1,120.6480				12,405.57	12,272.40			133.17

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Proceeds from Broker Transactions (continued)

Long-term transactions for noncovered securities; included in Line 2a. (continued)

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b) (Line 1a)	Date of sale / exchange (Line 1a) (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
PRUDENTIAL JENNISON MID-CAP GROWTH FUND INC A Symbol: PEEAX CUSIP: 74441C105									
Sell	17,1990		12/16/09	01/11/12	493,26	389,73			103,53
Sell	76,6840		12/16/09	07/27/12	2,376,43	1,737,66			638,77
Sell	4,4560		12/16/09	10/03/12	143,89	100,97			42,92
Sell	4,4510		12/16/09	10/19/12	140,79	100,86			39,93
Sub Total	102,7900				3,154,37	2,329,22			825,15
PRUDENTIAL JENNISON 2020 FOCUS FUND A Symbol: PTWAX CUSIP: 74440G107									
Sell	871,1690		12/16/09	07/27/12	13,476,98	12,675,51			801,47
TCW EMERGING MARKETS INCOME FUND CLASS N Symbol: TGINX CUSIP: 87234N351									
Sell	806,3590		12/16/09	01/11/12	8,571,60	7,991,02			580,58
Sell	5,8350		12/30/09	01/11/12	62,03	57,65			4,38
Sell	1,4740		12/30/09	01/11/12	15,67	14,56			1,11
Sell	6,4920		01/29/10	01/11/12	69,01	64,98			4,03
Sell	6,2010		02/26/10	01/11/12	65,92	62,51			3,41
Sell	5,4060		03/31/10	01/11/12	57,47	57,14			0,33
Sell	5,3810		04/30/10	01/11/12	57,20	57,42	0,22		0,00*
Sell	5,6420		05/28/10	01/11/12	59,97	57,38			2,59
Sell	6,5870		06/30/10	01/11/12	70,02	68,04			1,98
Sell	6,3540		07/30/10	01/11/12	67,54	68,43	0,05		(0,84)
Sell	6,3240		08/31/10	01/11/12	67,22	68,81			(1,59)
Sell	6,2100		09/30/10	01/11/12	66,01	69,18			(3,17)

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Proceeds from Broker Transactions (continued)

Long-term transactions for noncovered securities; included in Line 2a. (continued)

Security description (Line 4) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1c)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
TCW EMERGING MARKETS INCOME									
FUND CLASS N									
Symbol: TGINX									
CUSIP: 87234N351									
Sell	6.1390		11/01/10	01/11/12	65.26	69.55			(4.29)
Sell	6.3500		11/30/10	01/11/12	67.50	69.91			(2.41)
Sell	6.0480		12/29/10	01/11/12	64.29	66.95			(2.66)
Sell	11.2760		12/29/10	01/11/12	119.86	124.82			(4.96)
Sell	0.3610		12/29/11	01/11/12	3.84	3.86			(0.02)
Sell	5.3810		12/29/11	01/11/12	57.20	-56.99			0.21
Sub Total	903.8200				9,607.61	9,029.20	0.27		578.68
TCW GROUP GALILEO SMALL CAP									
GROWTH FUND CLASS N									
Symbol: TGSNX									
CUSIP: 87234N666									
Sell	13.9710		12/16/09	01/11/12	364.93	316.58			48.35
Sell	185.0890		12/16/09	07/27/12	4,695.71	4,194.12			501.59
Sub Total	199.0600				5,060.64	4,510.70			549.94
THORNBURG INTERNATIONAL VALUE									
FUND CLASS A									
Symbol: TGVAX									
CUSIP: 885215657									
Sell	407.5210		12/16/09	01/11/12	9,976.12	10,061.69	0.06		(85.51)
Sell	0.0900		03/26/10	01/11/12	2.20	2.26			(0.06)
Sell	1.7130		06/25/10	01/11/12	41.93	40.36			1.57
Sell	1.0330		09/24/10	01/11/12	25.29	27.00			(1.71)
Sell	0.0320		12/27/10	01/11/12	0.78	0.89			(0.11)
Sell	0.2920		12/23/11	01/11/12	7.15	7.07			0.08
Sub Total	410.6810				10,053.47	10,139.27	0.06		(85.74)

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UBS Financial Services Inc.

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Proceeds from Broker Transactions (continued)

Long-term transactions for noncovered securities; included in Line 2a. (continued)

Security description (Line 8) Symbol (Line 1a) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
VAN ECK GLOBAL HARD ASSETS FUND									
CLASS A									
Symbol: GHAAAX									
CUSIP: 921075750									
Sell	9.6100		12/16/09	01/11/12	439.00	392.18			46.82
Sell	135.8280		12/16/09	07/27/12	5,657.24	5,543.14			114.10
Sell	0.7380		12/21/10	07/27/12	30.73	38.03			(7.30)
Sub Total	146.1760				6,126.97	5,973.35			153.62
Total	9,082.7870				\$136,978.85	\$134,360.27	\$218.41		\$2,836.99

Term undetermined transactions for noncovered securities; included in Line 2a.

Security description (Line 8) Symbol (Line 1a) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
SPDR GOLD TRUST									
Symbol: GLD									
CUSIP: 78463V107									
Return of Principal				12/31/12	2.58				Not Calculated
Total					\$2.58				
Total					\$241,010.26				

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2012 Realized Gain/Loss Summary

Description	Sale amount	Cost/other basis	Wash sale loss disallowed	Net Gain/Loss
Short-term Gain/Loss				
Short-term transactions for covered securities; included in line 2a	72,638.93	71,217.69	27.61	1,448.85
Short-term transactions for noncovered securities; included in line 2a	31,389.90	31,022.80		367.10
Total short-term	\$104,028.83	\$102,240.49	\$27.61	\$1,815.95
Long-term Gain/Loss				
Long-term transactions for noncovered securities; included in line 2a	136,978.85	134,360.27	218.41	2,836.99
Total long-term	\$136,978.85	\$134,360.27	\$218.41	\$2,836.99
Sub Total	\$241,007.68	\$236,600.76	\$246.02	\$4,652.94
Term Unknown Gain/Loss				
Term undetermined transactions for noncovered securities; included in line 2a	2.58			
Totals	\$241,010.26	\$236,600.76	\$246.02	\$4,652.94

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
UBS FINANCIAL	5,668.	1,035.	4,633.
TOTAL TO FM 990-PF, PART I, LN 4	5,668.	1,035.	4,633.

FORM 990-PF	TAXES	STATEMENT	2
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES PAID - UBS FINANCIAL	11.	11.		0.
FEDERAL TAX PAID ON NET INVESTMENT INCOME	26.	0.		0.
TO FORM 990-PF, PG 1, LN 18	37.	11.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	1,543.	1,543.		0.
FILING FEES	25.	25.		0.
TO FORM 990-PF, PG 1, LN 23	1,568.	1,568.		0.

FORM 990-PF	CORPORATE STOCK	STATEMENT	4
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
UBS FINANCIAL INVESTMENTS	162,296.	165,249.
TOTAL TO FORM 990-PF, PART II, LINE 10B	162,296.	165,249.

FORM 990-PF

PART XV - LINE 1A
LIST OF FOUNDATION MANAGERS

STATEMENT 5

NAME OF MANAGER

MICHAEL J. CLEMENTZ
PATRICIA C. CLEMENTZ