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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052

2012Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

Open to Public Inspection

For calendar year 2012 or tax year beginning

, 2012, and ending

, 20

Name of foundation BECKER FAMILY FOUNDATION		A Employer identification number 20-1648227
Number and street (or P O box number if mail is not delivered to street address) 102 SOUTH HARRISON, SUITE 200		B Telephone number (see instructions) (563) 823-4422
City or town, state, and ZIP code DAVENPORT, IA 52801		C If exemption application is pending, check here ☐
G Check all that apply	Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ ☒ Address change ☐ Name change ☐	D 1 Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 8,778,058.		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)		4,943,825.			
2 Check ☐ if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		92,084.	92,084.		ATCH 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		1,038,928.			
b Gross sales price for all assets on line 6a		7,240,920.			
7 Capital gain net income (from Part IV, line 2)			1,038,928.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule) ATCH 2		7,014.	3,143.		
12 Total Add lines 1 through 11		6,081,851.	1,134,155.		
13 Compensation of officers, directors, trustees, etc		0			
14 Other employee salaries and wages					
15 Pension plans; employee benefits					
16a Legal fees (attach schedule)					
b Accounting fees (attach schedule) ATCH 3		3,995.			3,995.
c Other professional fees (attach schedule)					
17 Interest		1,017.	1,017.		
18 Taxes (attach schedule) (see instructions) ATCH 4		4,539.	2,506.		
19 Depreciation (attach schedule) and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses (attach schedule) ATCH 5		26,269.	26,269.		
24 Total operating and administrative expenses. Add lines 13 through 23		35,820.	29,792.		3,995.
25 Contributions, gifts, grants paid		109,875.			109,875.
26 Total expenses and disbursements Add lines 24 and 25		145,695.	29,792.	0	113,870.
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements		5,936,156.			
b Net investment income (if negative, enter -0-)			1,104,363.		
c Adjusted net income (if negative, enter -0-)					

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		134,944.	5,894,631.	5,904,631.
	3	Accounts receivable ▶				
		Less allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶				
		Less allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10 a	Investments - U.S. and state government obligations (attach schedule) . .				
	b	Investments - corporate stock (attach schedule) ATCH 6		2,491,071.	2,663,546.	2,873,427.
	c	Investments - corporate bonds (attach schedule)				
	Liabilities	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶				
12		Investments - mortgage loans				
13		Investments - other (attach schedule) ATCH 7		1,320.		
14		Land, buildings, and equipment basis ▶				
		Less accumulated depreciation (attach schedule) ▶				
15		Other assets (describe ▶)				
16		Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		2,627,335.	8,558,177.	8,778,058.
17		Accounts payable and accrued expenses				
18		Grants payable				
Net Assets or Fund Balances	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons . .				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)		0	0		
Foundations that follow SFAS 117, check here ☐						
and complete lines 24 through 26 and lines 30 and 31.						
24	Unrestricted					
25	Temporarily restricted					
26	Permanently restricted					
Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ☒						
27	Capital stock, trust principal, or current funds					
28	Paid-in or capital surplus, or land, bldg. and equipment fund					
29	Retained earnings, accumulated income, endowment, or other funds . .		2,627,335.	8,558,177.		
30	Total net assets or fund balances (see instructions)		2,627,335.	8,558,177.		
31	Total liabilities and net assets/fund balances (see instructions)		2,627,335.	8,558,177.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,627,335.
2	Enter amount from Part I, line 27a	2	5,936,156.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	8,563,491.
5	Decreases not included in line 2 (itemize) ▶ ATCH 8	5	5,314.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	8,558,177.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	1,038,928.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	112,585.	2,616,771.	0.043024
2010	103,715.	2,062,309.	0.050291
2009	121,275.	2,175,256.	0.055752
2008	143,561.	2,354,445.	0.060974
2007	105,314.	2,805,194.	0.037543

2 Total of line 1, column (d)	2	0.247584
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.049517
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	3,137,073.
5 Multiply line 4 by line 3	5	155,338.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	11,044.
7 Add lines 5 and 6	7	166,382.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions	8	113,870.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		1	22,087.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2		3	22,087.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	22,087.
6 Credits/Payments			
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a	2,575.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	2,575.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	27.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	19,539.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be Credited to 2013 estimated tax ☐ Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ IA, _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ☐ N/A				
14	The books are in care of ☐ SHARON BECKER Telephone no ☐ 515-232-5907			
Located at ☐ 265 W RIVERSIDE ROAD AMES, IA ZIP+4 ☐ 50010				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15		
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ☐	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☒ Yes ☐ No If "Yes," list the years ☐ 2011		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b	X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ☐		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ **5b**Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ **6b** X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ **7b****Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 9		0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ►

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3 ►	

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	2,702,380.
b	Average of monthly cash balances	1b	482,466.
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	3,184,846.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	3,184,846.
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	47,773.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	3,137,073.
6	Minimum investment return. Enter 5% of line 5	6	156,854.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	156,854.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	22,087.
b	Income tax for 2012 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	22,087.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	134,767.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	134,767.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	134,767.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	113,870.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	113,870.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	113,870.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

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Page **9****Part XIII Undistributed Income (see instructions)**

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				134,767.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			105,974.	
b Total for prior years 20 <u>10</u> , 20 <u>09</u> , 20 <u>08</u>				
3 Excess distributions carryover, if any, to 2012				
a From 2007				
b From 2008				
c From 2009				
d From 2010				
e From 2011				
f Total of lines 3a through e				
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ <u>113,870.</u>				
a Applied to 2011, but not more than line 2a			105,974.	
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2012 distributable amount				7,896.
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				126,871.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a		0		
10 Analysis of line 9				
a Excess from 2008				
b Excess from 2009				
c Excess from 2010				
d Excess from 2011				
e Excess from 2012				

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Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

ATTACHMENT 10

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

- a The name, address, and telephone number or e-mail of the person to whom applications should be addressed.

- b The form in which applications should be submitted and information and materials they should include

- c Any submission deadlines.

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year ATCH 11				
Total			▶ 3a	109,875.
b Approved for future payment				
Total			▶ 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount		
1 Program service revenue						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments						
4 Dividends and interest from securities			14	92,084.		
5 Net rental income or (loss) from real estate						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property .						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory			18	1,046,750.		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory . .						
11 Other revenue a _____						
b ATCH 12 _____				7,014.		
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)				1,145,848.		
13 Total. Add line 12, columns (b), (d), and (e)				1,145,848.		

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | | |
|---|--------------|-----|----|
| 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | Yes | No |
| a Transfers from the reporting foundation to a noncharitable exempt organization of | | | |
| (1) Cash | 1a(1) | | X |
| (2) Other assets | 1a(2) | | X |
| b Other transactions: | | | |
| (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| (4) Reimbursement arrangements | 1b(4) | | X |
| (5) Loans or loan guarantees | 1b(5) | | X |
| (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |
| d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.


 Title _____

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

PTIN	
P00836303	

Firm's EIN ► 86-1065772

50309

Phone no 515-288-1200

Schedule of Contributors

OMB No 1545-0047

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

2012

Name of the organization

BECKER FAMILY FOUNDATION

Employer identification number

20-1648227

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it must answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization BECKER FAMILY FOUNDATION

Employer identification number
20-1648227**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	JEFFREY AND SHARON BECKER 265 W RIVERSIDE ROAD AMES, IA 50010	\$ 4,943,825.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Name of organization BECKER FAMILY FOUNDATION

Employer identification number

20-1648227

Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
---	----- ----- ----- -----	\$-----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
---	----- ----- ----- -----	\$-----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
---	----- ----- ----- -----	\$-----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
---	----- ----- ----- -----	\$-----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
---	----- ----- ----- -----	\$-----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
---	----- ----- ----- -----	\$-----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
---	----- ----- ----- -----	\$-----	-----

Name of organization BECKER FAMILY FOUNDATION

Employer identification number

20-1648227

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry.

For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this information once. See instructions.) ► \$ _____

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
---	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- -----		----- ----- -----
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
---	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- -----		----- ----- -----
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
---	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- -----		----- ----- -----
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
---	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- -----		----- ----- -----



Business Services Account
December 2012

UBS ACCOUNT #35798

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period
Cash	1.07	0.00				
RMA MONEY MKT. PORTFOLIO	1,201,944.07	0.00	1.00	0.01%	Nov 26 to Dec 23	28
UBS SELECT PRIME CAPITAL	0.00	5,806,121.73	1.00	0.09%	Nov 1 to Nov 30	30
Total	\$1,201,945.14	\$5,806,121.73				

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
BP PLC SPON ADR								
Symbol BP Exchange NYSE								
EAI \$3.309 Current yield 5.19%	Jun 2, 10	1,000,000	37.543	37,543.60	41.640	41,640.00	4,096.40	LT
	Oct 28, 10	500,000	40.919	20,459.52	41.640	20,820.00	360.48	LT
	Earnings	32,000	39.027	1,248.87	41.640	1,332.48	83.61	
Security total		1,532,000	38.676	59,251.99		63,792.48	4,540.49	
NUFARM LTD ORD SHS AUD								
Symbol NUFMF Exchange OTC								
AUD Exchange rate 0.96320	Jan 11, 11	2,000,000	5.259	10,519.30	6.031	12,062.00	1,542.70	LT
Total				\$69,771.29		\$75,854.48	\$6,083.19	
Total estimated annual income: \$3,309								



Business Services Account
December 2012

UBS ACCOUNT #35798

Your assets • Equities (continued)

Closed end funds & Exchange traded products

Total reinvested¹ is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis² is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
EATON VANCE TAX MANAGED GLOBAL DIVERSIFIED EQUITY INCOME FUND									
Symbol	EXG								
Total reinvested	294 000	9 452			8 810	2,590.14	-188.98	2,590.14	
EAI \$287 Current yield	11 08%								

² Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction

Fixed income

Corporate bonds and notes

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected. Cost basis has been adjusted for accreted original issue discount (OID). If you have made a tax

election to deduct the premium amortization on taxable debt securities, you may request that UBS adjust cost basis for the bond premium amortization

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
GENL ELEC CAP CORP NTS ADJ RATE MATURES 08/15/36 CUSIP 36962GX74 Moody A1 S&P AA+								
EAI \$632 Current yield	1.02%	80,000.000	79,400	63,525.25	77.606	62,084.80	-1,440.45	5T
GOLDMAN SACHS CAPITAL II US\$UBOR+76BP RATE 04.000% MATURES 06/01/43 ACCRUED INTEREST \$949 99 CUSIP 381427AA1 Moody Ba2 S&P BB+								
EAI \$11,400 Current yield	5.12%	150,000.000	70 144	105,221.25	78.108	117,162.00	11,940 75	5T

continued next page



Business Services Account
December 2012

UBS ACCOUNT #35798

Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Feb 23, 12	135,000,000	70,400	95,045.25	78,108	105,445.80	10,400.55	ST
		285,000,000		200,266.50		222,607.80	22,341.30	
Total		\$365,000,000		\$263,791.75		\$284,692.60	\$20,900.85	

Total accrued interest: \$949.99

Total estimated annual income: \$12,032

Your total assets

Cash	Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash and money balances	5,806,121.73	94.10%	5,806,121.73		
Common stock	75,854.48		69,771.29	3,309.00	6,083.19
Closed end funds & Exchange traded products	2,590.14		2,779.12	287.00	-188.98
Total equities	78,444.62	1.27%	72,550.41	3,596.00	5,894.21
Fixed income	284,692.60		263,791.75	12,032.00	20,900.85
Total accrued interest	949.99				
Total fixed income	285,642.59	4.63%	263,791.75	12,032.00	20,900.85
Total	\$6,170,208.94	100.00%	\$6,142,463.89	\$15,628.00	\$26,795.06

Account activity this month

Date	Activity	Description	Amount (\$)
Dec 28	Transfer	MISCELLANEOUS ADJUSTMENT	150.00
Total deposits and other funds credited			\$150.00

Date	Activity	Description	Amount (\$)
Dec 10	Dividend	RMA MONEY MKT PORTFOLIO	3.86
Dec 17	St Cap Gain	UBS SELECT PRIME CAPITAL FUND AS OF 12/14/12	1.53
Total taxable dividends			\$5.39
Dec 24	Dividend	EATON VANCE AMT FREE MUNICIPAL INCOME FUND CLASS A AS OF 12/21/12	4,004.66
Tax-exempt dividends			

continued next page



ACCESS
December 2012

UBS ACCOUNT #35855

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information

Cash

Cash and money balances

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period
Cash	0.00	114.31				
UBS CASHFUND INC.	1.34	0.00	1.00	0.01%	Nov 23 to Dec 20	28
RMA MONEY MKT. PORTFOLIO	18,025.11	0.00	1.00	0.01%	Nov 26 to Dec 23	28
UBS SELECT PRIME CAPITAL	0.00	25,950.30	1.00	0.09%	Nov 1 to Nov 30	30
Total	\$18,026.45	\$26,064.61				

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
AEGON NV ADR N Y SHS	Dec 30, 04	225.000	13.740	3,091.50	6.440	1,449.00	-1,642.50	LT
NETHERLANDS ADR	Feb 13, 08	280.000	14.036	3,930.28	6.440	1,803.20	-2,127.08	LT
Symbol. AEG Exchange. NYSE	Oct 31, 11	410.000	4.978	2,040.98	6.440	2,640.40	599.42	LT
	Earnings	76.000	7.627	579.68	6.440	489.44	-90.24	
Security total		991.000	9.730	9,642.44		6,382.04	-3,260.40	
AMERICA MOVIL S.A.B. DE C.V.								
SER L SPON ADR								
Symbol AMX Exchange NYSE	Aug 5, 11	200.000	23.089	4,617.84	23.140	4,628.00	10.16	LT
EAT \$89 Current yield 1.30%	Oct 17, 11	95.000	22.997	2,184.77	23.140	2,198.30	13.53	LT
Security total		295.000	23.060	6,802.61		6,826.30	23.69	

continued next page



ACCESS
December 2012

UBS ACCOUNT #35855

Your assets • **Equities** • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
ASTELLAS PHARMA INC ADR								
Symbol: ALPMY Exchange OTC								
EAI \$155 Current yield 3.14%	Aug 12, 10	80,000	33.324	2,665.97	44.850	3,588.00	922.03	LT
	Jan 18, 11	30,000	37.840	1,135.20	44.850	1,345.50	210.30	LT
Security total		110,000	34.556	3,801.17		4,933.50	1,132.33	
ASTRAZENECA PLC SPON ADR								
Symbol AZN Exchange NYSE								
EAI \$456 Current yield 6.03%	May 24, 07	30,000	53.603	1,608.11	47.270	1,418.10	-190.01	LT
	Nov 9, 07	70,000	45.031	3,152.22	47.270	3,308.90	156.68	LT
	Jan 14, 11	25,000	47.510	1,187.75	47.270	1,181.75	-6.00	LT
	Feb 11, 11	35,000	48.450	1,695.75	47.270	1,654.45	-41.30	LT
Security total		160,000	47.774	7,643.83		7,563.20	-80.63	
BANCO SANTANDER BRASIL ADS								
Symbol BSBR Exchange NYSE								
EAI \$53 Current yield 1.40%	Nov 8, 12	520,000	6.830	3,551.76	7.280	3,785.60	233.84	ST
BANK OF NEW YORK MELLON CORP								
Symbol BK Exchange NYSE								
EAI \$104 Current yield 2.02%	Apr 5, 12	200,000	23.814	4,762.94	25.700	5,140.00	377.06	ST
BANK OF AMER CORP								
Symbol BAC Exchange NYSE								
EAI \$36 Current yield 0.35%	Aug 1, 07	25,000	151.842	3,796.06	11.610	290.25	-3,505.81	LT
	Aug 16, 07	33,000	98.868	3,262.67	11.610	383.13	-2,879.54	LT
	Jul 3, 08	225,000	22.315	5,020.90	11.610	2,612.25	-2,408.65	LT
	Oct 21, 10	75,000	11.399	854.99	11.610	870.75	15.76	LT
	Oct 22, 10	20,000	11.490	229.80	11.610	232.20	2.40	LT
	Feb 11, 11	155,000	14.449	2,239.63	11.610	1,799.55	-440.08	LT
	Apr 29, 11	105,000	12.370	1,298.85	11.610	1,219.05	-79.80	LT
	Oct 14, 11	260,000	6.180	1,606.80	11.610	3,018.60	1,411.80	LT
Security total		898,000	20.389	18,309.70		10,425.78	-7,883.92	
BOSTON SCIENTIFIC CORP								
Symbol BSX Exchange NYSE								
	Nov 1, 06	405,000	16.040	6,496.28	5.730	2,320.65	-4,175.63	LT
	Jan 18, 11	140,000	7.479	1,047.09	5.730	802.20	-244.89	LT
	Feb 11, 11	200,000	6.898	1,379.62	5.730	1,146.00	-233.62	LT

continued next page



ACCESS
December 2012

UBS ACCOUNT #35855

Your assets • **Equities** • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		745,000	11,977	8,922.99		4,268.85	-4,654.14	
BP PLC SPON ADR								
Symbol BP Exchange NYSE								
EAI \$497 Current yield 5.19%	Jul 21, 11	135,000	45.566	6,151.46	41.640	5,621.40	-530.06	LT
	Oct 20, 11	30,000	40.676	1,220.28	41.640	1,249.20	28.92	LT
	May 22, 12	65,000	38.173	2,481.30	41.640	2,706.60	225.30	ST
Security total		230,000	42.839	9,853.04		9,577.20	-275.84	
CANON INC ADR JAPAN SPON ADR								
Symbol CAJ Exchange NYSE								
EAI \$289 Current yield 3.60%	Dec 10, 08	15,000	29.204	438.06	39.210	588.15	150.09	LT
	Dec 12, 08	15,000	29.190	437.85	39.210	588.15	150.30	LT
	Feb 26, 09	40,000	25.578	1,023.13	39.210	1,568.40	545.27	LT
	Jun 1, 09	35,000	32.785	1,147.49	39.210	1,372.35	224.86	LT
	Feb 11, 11	60,000	47.618	2,857.13	39.210	2,352.60	-504.53	LT
	Jul 27, 12	40,000	31.992	1,279.70	39.210	1,568.40	288.70	ST
Security total		205,000	35.041	7,183.36		8,038.05	854.69	
CARREFOUR SA SPON ADR								
Symbol CRRFY Exchange OTC								
	Dec 30, 10	705,000	8.460	5,964.65	5.150	3,630.75	-2,333.90	LT
	Jan 14, 11	195,000	8.880	1,731.60	5.150	1,004.25	-727.35	LT
	Feb 11, 11	185,000	9.520	1,761.20	5.150	952.75	-808.45	LT
	Dec 8, 11	305,000	4.827	1,472.30	5.150	1,570.75	98.45	LT
	May 3, 12	445,000	3.947	1,756.50	5.150	2,291.75	535.25	ST
	Earnings	52,000	3.160	164.34	5.150	267.80	103.46	
Security total		1,887,000	6.810	12,850.59		9,718.05	-3,132.54	
CENTRAIS ELETRICAS BRAS SA								
SPON ADR REP 50 COM								
(ELETROBRAS)								
Symbol EBR Exchange NYSE								
	Dec 30, 04	255,000	7.253	1,849.52	3.120	795.60	-1,053.92	LT
	Apr 27, 12	160,000	8.375	1,340.03	3.120	499.20	-840.83	ST
Security total		415,000	7.686	3,189.55		1,294.80	-1,894.75	

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Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
CHESAPEAKE ENERGY CORP OKLA								
Symbol CHK Exchange NYSE								
EAI \$212 Current yield 2.11%								
	Apr 27, 10	125,000	23.884	2,985.53	16.620	2,077.50	-908.03	LT
	Jul 27, 10	55,000	21.170	1,164.37	16.620	914.10	-250.27	LT
	Nov 3, 10	50,000	21.969	1,098.45	16.620	831.00	-267.45	LT
	Dec 29, 11	65,000	22.787	1,481.21	16.620	1,080.30	-400.91	LT
	Apr 17, 12	125,000	19.194	2,399.35	16.620	2,077.50	-321.85	ST
	May 4, 12	60,000	17.273	1,036.41	16.620	997.20	-39.21	ST
	May 4, 12	55,000	17.390	956.50	16.620	914.10	-42.40	ST
	May 25, 12	70,000	15.825	1,107.76	16.620	1,163.40	55.64	ST
Security total		605,000	20.214	12,229.58		10,055.10	-2,174.48	
CITIGROUP INC								
Symbol C Exchange NYSE								
EAI \$8 Current yield 0.11%								
	May 11, 09	36,000	30.605	1,101.80	39.560	1,424.16	322.36	LT
	Dec 18, 09	70,000	32.732	2,291.25	39.560	2,769.20	477.95	LT
	Feb 11, 11	29,000	46.047	1,335.38	39.560	1,147.24	-188.14	LT
	Nov 7, 11	55,000	30.000	1,650.00	39.560	2,175.80	525.80	LT
Security total		190,000	33.571	6,378.43		7,516.40	1,137.97	
CORNING INC								
Symbol GLW Exchange NYSE								
EAI \$263 Current yield 2.85%								
	Jun 6, 11	395,000	18.969	7,492.76	12.620	4,984.90	-2,507.86	LT
	Jun 24, 11	90,000	17.595	1,583.59	12.620	1,135.80	-447.79	LT
	Sep 30, 11	115,000	12.471	1,434.18	12.620	1,451.30	17.12	LT
	Aug 13, 12	130,000	11.537	1,499.88	12.620	1,640.60	140.72	ST
Security total		730,000	16.453	12,010.41		9,212.60	-2,797.81	
CRH PLC IRELAND SPON ADR								
Symbol CRH Exchange NYSE								
EAI \$376 Current yield 3.89%								
	Jun 25, 12	380,000	16.952	6,442.03	20.340	7,729.20	1,287.17	ST
	Oct 22, 12	95,000	18.277	1,736.35	20.340	1,932.30	195.95	ST
Security total		475,000	17.218	8,178.38		9,661.50	1,483.12	
DAIICHI SANKYO CO LTD SPON ADR								
Symbol DSNKY Exchange OTC								
EAI \$238 Current yield 4.22%								
	Jan 14, 11	185,000	21.300	3,940.50	15.240	2,819.40	-1,121.10	LT

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Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Mar 14, 11 Sep 24, 12	70,000 115,000 370,000	20.080 17.146 19.778	1,405.63 1,971.79 7,317.92	15.240 15.240	1,066.80 1,752.60 5,638.80	-338.83 -219.19 -1,679.12	LT ST
DEUTSCHE TELEKOM AG DE SPON								
ADR								
Symbol DTEGY Exchange OTC	Dec 30, 04	35,000	22.710	794.85	11.362	397.67	-397.18	LT
EAI \$655 Current yield 7.49%	Jun 9, 06	420,000	16.425	6,898.50	11.362	4,772.04	-2,126.46	LT
	Jan 14, 11	165,000	12.880	2,125.20	11.362	1,874.73	-250.47	LT
	Feb 11, 11	150,000	13.400	2,010.00	11.362	1,704.30	-305.70	LT
Security total		770,000	15.362	11,828.55		8,748.74	-3,079.81	
ENI SPA IT SPON ADR								
Symbol E Exchange NYSE	Sep 1, 09	85,000	46.390	3,943.15	49.140	4,176.90	233.75	LT
EAI \$568 Current yield 4.36%	Feb 1, 10	20,000	47.163	943.27	49.140	982.80	39.53	LT
	Mar 17, 10	15,000	48.029	720.44	49.140	737.10	16.66	LT
	May 20, 10	15,000	38.002	570.03	49.140	737.10	167.07	LT
	Dec 21, 10	15,000	43.256	648.84	49.140	737.10	88.26	LT
	Jan 14, 11	40,000	47.220	1,888.80	49.140	1,965.60	76.80	LT
	Feb 11, 11	45,000	49.289	2,218.04	49.140	2,211.30	-6.74	LT
	Mar 8, 11	30,000	49.926	1,497.78	49.140	1,474.20	-23.58	LT
Security total		265,000	46.907	12,430.35		13,022.10	591.75	
ERICSSON SEK 10 NEW 2002 ADR								
Symbol ERIC Exchange OTC	Oct 24, 07	90,000	14.812	1,333.16	10.100	909.00	-424.16	LT
EAI \$164 Current yield 2.41%	Jan 3, 08	280,000	11.564	3,238.05	10.100	2,828.00	-410.05	LT
	Feb 11, 11	145,000	12.536	1,817.79	10.100	1,464.50	-353.29	LT
	Oct 10, 12	160,000	8.652	1,384.32	10.100	1,616.00	231.68	ST
Security total		675,000	11.516	7,773.32		6,817.50	-955.82	
FRANCE TELECOM SA SPON ADR								
Symbol FTE Exchange NYSE	Sep 11, 06	130,000	21.796	2,833.60	11.050	1,436.50	-1,397.10	LT
EAI \$980 Current yield 13.00%								

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Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Nov 14, 12	110,000	13.230	1,455.30	14.250	1,567.50	112.20	ST
Security total		530,000	19.969	10,583.77		7,552.50	-3,031.27	
HONDA MOTOR CO ADR JAPAN ADR								
Symbol HMC Exchange NYSE								
EAI \$135 Current yield 2.09%	Jan 14, 11	100,000	40.673	4,067.30	36.940	3,694.00	-373.30	LT
	Apr 1, 11	40,000	36.864	1,474.57	36.940	1,477.60	3.03	LT
	Apr 21, 11	35,000	37.390	1,308.68	36.940	1,292.90	-15.78	LT
Security total		175,000	39.146	6,850.55		6,464.50	-386.05	
INTEL CORP								
Symbol INTC Exchange OTC								
EAI \$257 Current yield 4.37%	Oct 21, 08	25,000	15.532	388.31	20.620	515.50	127.19	LT
	Feb 11, 11	100,000	21.599	2,159.98	20.620	2,062.00	-97.98	LT
	Oct 19, 12	100,000	21.453	2,145.36	20.620	2,062.00	-83.36	ST
	Nov 16, 12	60,000	19.980	1,198.82	20.620	1,237.20	38.38	ST
Security total		285,000	20.675	5,892.47		5,876.70	-15.77	
INTESA SANPAOLO SPON ADR								
Symbol ISNPY Exchange OTC								
EAI \$116 Current yield 2.66%	Mar 19, 10	120,000	23.129	2,775.53	10.640	1,276.80	-1,498.73	LT
	Dec 15, 10	45,000	17.216	774.74	10.640	478.80	-295.94	LT
	Jan 14, 11	65,000	18.060	1,173.90	10.640	691.60	-482.30	LT
	Feb 23, 12	180,000	11.771	2,118.91	10.640	1,915.20	-203.71	ST
Security total		410,000	16.690	6,843.08		4,362.40	-2,480.68	
J. SAINSBURY PLC SPON ADR								
Symbol JSAYI Exchange OTC								
EAI \$265 Current yield 4.49%	Sep 5, 08	120,000	23.963	2,875.63	22.690	2,722.80	-152.83	LT
	Apr 14, 10	55,000	21.773	1,197.53	22.690	1,247.95	50.42	LT
	Feb 11, 11	85,000	24.630	2,093.55	22.690	1,928.65	-164.90	LT
Security total		260,000	23.718	6,166.71		5,899.40	-267.31	
KONINKLIJKE AHOLD NV NEW 2007 SPON ADR								
Symbol AHONY Exchange OTC								
EAI \$255 Current yield 3.21%	Dec 30, 04	410,000	9.687	3,971.88	13.600	5,576.00	1,604.12	LT
	Feb 11, 11	175,000	13.200	2,310.00	13.600	2,380.00	70.00	LT

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Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
KROGER COMPANY								
Symbol KR Exchange NYSE								
EAI \$168 Current yield 2.31%	Jan 14, 11	215,000	21.428	4,607.10	26.020	5,594.30	987.20	LT
	Feb 11, 11	65,000	22.419	1,457.25	26.020	1,691.30	234.05	LT
Security total		280,000	21.658	6,064.35		7,285.60	1,221.25	
LULLY EU & CO								
Symbol LLY Exchange NYSE								
EAI \$255 Current yield 3.98%	Feb 8, 10	30,000	34.806	1,044.18	49.320	1,479.60	435.42	LT
	Jan 14, 11	50,000	34.758	1,737.90	49.320	2,466.00	728.10	LT
	Feb 11, 11	50,000	34.750	1,737.50	49.320	2,466.00	728.50	LT
Security total		130,000	34.766	4,519.58		6,411.60	1,892.02	
LUKOIL OIL CO SPON ADR (25 RUBLES)								
Symbol LUKOY Exchange OTC								
EAI \$321 Current yield 4.53%	Apr 17, 12	20,000	60.281	1,205.63	67.500	1,350.00	144.37	ST
	Apr 24, 12	60,000	60.080	3,604.84	67.500	4,050.00	445.16	ST
	May 22, 12	25,000	52.295	1,307.39	67.500	1,687.50	380.11	ST
Security total		105,000	58.265	6,117.86		7,087.50	969.64	
MARKS & SPENCER GROUP INC SPON ADR								
Symbol MAKSY Exchange OTC								
EAI \$284 Current yield 4.00%	Dec 30, 04	270,000	13.141	3,548.16	12.460	3,364.20	-183.96	LT
	Feb 11, 11	175,000	11.620	2,033.50	12.460	2,180.50	147.00	LT
	Dec 11, 12	125,000	12.681	1,585.15	12.460	1,557.50	-27.65	ST
Security total		570,000	12.573	7,166.81		7,102.20	-64.61	
MASCO CORP								
Symbol MAS Exchange NYSE								
EAI \$110 Current yield 1.81%	Mar 7, 08	250,000	18.555	4,638.90	16.660	4,165.00	-473.90	LT
	Feb 11, 11	115,000	14.106	1,622.28	16.660	1,915.90	293.62	LT
Security total		365,000	17.154	6,261.18		6,080.90	-180.28	
MERCK & CO INC NEW COM								
Symbol MRK Exchange NYSE								
EAI \$227 Current yield 4.20%	May 16, 08	47,000	19.335	908.77	40.940	1,924.18	1,015.41	LT

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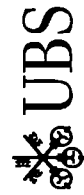
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Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		390,000	16,036	6,254.19		9,948.90	3,694.71	
NIPPON TELEGRAPH & TEL CORP SPON ADR								
Symbol, NTT Exchange NYSE								
EAI \$371 Current yield 4.06%	May 23, 07	35,000	23,522	823.28	21,030	736.05	-87.23	LT
	Oct 18, 07	140,000	22,742	3,184.01	21,030	2,944.20	-239.81	LT
	Nov 5, 09	80,000	20,830	1,666.46	21,030	1,682.40	15.94	LT
	Jan 14, 11	100,000	22,716	2,271.65	21,030	2,103.00	-168.65	LT
	Feb 11, 11	80,000	23,500	1,880.07	21,030	1,682.40	-197.67	LT
Security total		435,000	22,587	9,825.47		9,148.05	-677.42	
OIS A SPON ADR								
Symbol OIBR Exchange NYSE								
EAI \$232 Current yield 18.72%	Jul 11, 07	57,000	9,920	565.49	4,010	228.57	-336.92	LT
	Nov 24, 09	225,000	10,438	2,348.62	4,010	902.25	-1,446.37	LT
	Nov 24, 09	27,000	10,460	282.42	4,010	108.27	-174.15	LT
Security total		309,000	10,345	3,196.53		1,239.09	-1,957.44	
PEPSICO INC								
Symbol, PEP Exchange NYSE								
EAI \$355 Current yield 3.14%	Aug 1, 11	105,000	63,865	6,705.87	68,430	7,185.15	479.28	LT
	Oct 14, 11	30,000	62,050	1,861.50	68,430	2,052.90	191.40	LT
	Mar 2, 12	30,000	62,554	1,876.64	68,430	2,052.90	176.26	ST
Security total		165,000	63,297	10,444.01		11,290.95	846.94	
PFIZER INC								
Symbol, PFE Exchange NYSE								
EAI \$372 Current yield 3.82%	Jul 31, 08	35,000	18,835	659.25	25,079	877.76	218.51	LT
	Oct 16, 09	123,000	17,660	2,172.18	25,079	3,084.72	912.54	LT
	Jan 14, 11	105,000	18,308	1,922.34	25,079	2,633.29	710.95	LT
	Feb 11, 11	125,000	18,878	2,359.75	25,079	3,134.87	775.12	LT
Security total		388,000	18,334	7,113.52		9,730.65	2,617.12	
PNC FINANCIAL SERVICES GROUP								
Symbol, PNC Exchange NYSE								
EAI \$74 Current yield 2.76%	May 27, 08	31,000	145.321	4,504.97	58,310	1,807.61	-2,697.36	LT
	Jan 14, 11	15,000	63,640	954.60	58,310	874.65	-79.95	LT

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Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		46,000	118.686	5,459.57		2,682.26	-2,777.31	
PORTUGAL TELECOM SGP S.A. 1								
ADR = 1 ORD SPON ADR								
Symbol PT Exchange NYSE								
EAI \$189 Current yield 10.69%	Dec 30, 04	225,000	12.240	2,754.00	4.980	1,120.50	-1,633.50	LT
	Jan 14, 11	130,000	11.036	1,434.78	4.980	647.40	-787.38	LT
Security total		355,000	11.799	4,188.78		1,767.90	-2,420.88	
SAFEWAY INC								
Symbol SWY Exchange NYSE								
EAI \$266 Current yield 3.87%	Dec 30, 04	90,000	19.810	1,782.90	18.090	1,628.10	-154.80	LT
	Oct 1, 09	65,000	19.499	1,267.44	18.090	1,175.85	-91.59	LT
	Jan 14, 11	75,000	20.900	1,567.50	18.090	1,356.75	-210.75	LT
	Feb 11, 11	75,000	21.620	1,621.50	18.090	1,356.75	-264.75	LT
	Jun 21, 12	75,000	17.504	1,312.81	18.090	1,356.75	43.94	ST
Security total		380,000	19.874	7,552.15		6,874.20	-677.95	
SANOFI SPON ADR								
Symbol SNY Exchange NYSE								
EAI \$322 Current yield 3.02%	Dec 8, 06	25,000	45.343	1,133.59	47.380	1,184.50	50.91	LT
	Jul 6, 07	90,000	41.993	3,779.45	47.380	4,264.20	484.75	LT
	Jan 14, 11	50,000	34.166	1,708.33	47.380	2,369.00	660.67	LT
	Mar 9, 11	60,000	35.169	2,110.15	47.380	2,842.80	732.65	LT
Security total		225,000	38.807	8,731.52		10,660.50	1,928.98	
SONY CORP ADR NEW JAPAN ADR								
Symbol SNE Exchange NYSE								
EAI \$49 Current yield 2.50%	Nov 17, 09	40,000	28.505	1,140.22	11.200	448.00	-692.22	LT
	Jan 14, 11	40,000	35.856	1,434.26	11.200	448.00	-986.26	LT
	Feb 11, 11	45,000	34.847	1,568.15	11.200	504.00	-1,064.15	LT
	May 4, 11	50,000	28.269	1,413.46	11.200	560.00	-853.46	LT
Security total		175,000	31.749	5,556.09		1,960.00	-3,596.09	
STATE STREET CORP								
Symbol STT Exchange NYSE								
EAI \$110 Current yield 2.03%	Mar 6, 12	115,000	41.153	4,732.61	47.010	5,406.15	673.54	ST

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Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
STMICROELECTRONICS N.V. EUR								
Symbol: STM Exchange: NYSE								
EAI \$194 Current yield 4.70%								
EUR Exchange rate 0.75849								
	Jun 3, 11	140,000	10,990	1,538.61	7,240	1,013.60	-525.01	LT
	Aug 1, 11	190,000	7,616	1,447.15	7,240	1,375.60	-71.55	LT
	Dec 15, 11	240,000	5,566	1,336.06	7,240	1,737.60	401.54	LT
Security total		570,000	7,582	4,321.82		4,126.80	-195.02	
SUMITOMO MITSUBI FINANCIAL SPON ADR								
Symbol: SMFG Exchange: NYSE								
EAI \$163 Current yield 3.08%	Sep 14, 07	440,000	13,964	6,144.51	7,340	3,229.60	-2,914.91	LT
	Feb 11, 11	280,000	7,180	2,010.40	7,340	2,055.20	44.80	LT
Security total		720,000	11,326	8,154.91		5,284.80	-2,870.11	
SWISS RE LTD SPON ADR								
Symbol: SSREY Exchange: OTC								
EAI \$412 Current yield 4.56%	Jun 10, 11	125,000	58,543	7,317.93	72,300	9,037.50	1,719.57	LT
TAKEDA PHARMACEUTICAL CO LTD SPON ADR								
Symbol: TKPYY Exchange: OTC								
EAI \$251 Current yield 4.43%	Jan 6, 11	125,000	24,091	3,011.40	22,200	2,775.00	-236.40	LT
	Jan 18, 11	45,000	24,160	1,087.20	22,200	999.00	-88.20	LT
	Sep 25, 12	85,000	23,478	1,995.70	22,200	1,887.00	-108.70	ST
Security total		255,000	23,899	6,094.30		5,661.00	-433.30	
TE CONNECTIVITY LTD CHF								
Symbol: TEL Exchange: NYSE								
EAI \$231 Current yield 2.26%								
CHF Exchange rate 0.91535								
	Feb 24, 11	185,000	35,389	6,547.11	37,120	6,867.20	320.09	LT
	May 4, 11	40,000	35,359	1,414.37	37,120	1,484.80	70.43	LT
	Nov 7, 12	50,000	33,903	1,695.16	37,120	1,856.00	160.84	ST
Security total		275,000	35,115	9,656.64		10,208.00	551.36	
TELECOM ITALIA SPA NEW REPSTG 10 ORD SHS SPON ADR								
Symbol: TI Exchange: NYSE								
EAI \$470 Current yield 4.78%	Sep 28, 06	257,000	28,152	7,235.12	9,050	2,325.85	-4,909.27	LT

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Feb 28, 08	140 000	25.805	3,612.78	9 050	1,267.00	-2,345.78	LT
	Jun 15, 09	50 000	13.155	657.78	9 050	452.50	-205.28	LT
	Mar 26, 10	70 000	14.188	993.21	9 050	633.50	-359.71	LT
	Jan 14, 11	210 000	13.856	2,909.87	9 050	1,900.50	-1,009.37	LT
	Feb 11, 11	180 000	14.178	2,552.11	9 050	1,629.00	-923.11	LT
	Dec 29, 11	180 000	10 337	1,860 77	9 050	1,629 00	-231.77	LT
Security total		1,087 000	18.235	19,821.64		9,837.35	-9,984.29	
TELEFONICA S A SPON ADR								
Symbol. TEF Exchange. NYSE	Dec 30, 04	78 000	18.141	1,415.00	13 490	1,052.22	-362.78	LT
	Jan 14, 11	45 000	23.594	1,061.75	13 490	607.05	-454.70	LT
	Feb 2, 12	70 000	17.698	1,238.90	13 490	944 30	-294.60	ST
	Earnings	5 000	12.530	62.65	13 490	67.45	4.80	
Security total		198 000	19.082	3,778.30		2,671 02	-1,107 28	
TIM PARTICIPACOES SA SPON ADR								
Symbol TSU Exchange NYSE	Dec 30, 04	1 000	9.010	9.01	19.820	19.82	10.81	LT
EAI \$96 Current yield 1.44%	Jul 13, 12	140 000	24 924	3,489.39	19 820	2,774.80	-714 59	ST
	Oct 12, 12	195 000	17.397	3,392.55	19 820	3,864.90	472.35	ST
Security total		336 000	20.509	6,890.95		6,659.52	-231.43	
TOKIO MARINE HOLDINGS INC SPON ADR								
Symbol TKOMY Exchange OTC	Dec 30, 04	180 000	29 320	5,277 60	27 840	5,011.20	-266 40	LT
EAI \$100 Current yield 2.00%								
TOTAL S.A. FRANCE SPON ADR								
Symbol. TOT Exchange. NYSE	Aug 13, 10	70 000	50.036	3,502.55	52.010	3,640.70	138.15	LT
EAI \$577 Current yield 4.82%	Aug 26, 10	30 000	47 068	1,412.06	52.010	1,560 30	148.24	LT
	Dec 2, 10	25 000	49.891	1,247.28	52.010	1,300.25	52.97	LT
	Jan 14, 11	35 000	56.740	1,985.90	52.010	1,820.35	-165.55	LT
	Feb 11, 11	40 000	58 570	2,342.80	52 010	2,080 40	-262.40	LT
	May 14, 12	30 000	43.930	1,317.90	52.010	1,560.30	242.40	ST
Security total		230 000	51.341	11,808.49		11,962 30	153.81	

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UBS ACCOUNT #35855

Your assets • **Equities** • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
TOYOTA MOTOR CORP NEW JAPAN								
SPON ADR								
Symbol TM Exchange NYSE								
EAI \$165 Current yield 1.47%	Nov 18, 09	35,000	79.222	2,772.77	93.250	3,263.75	490.98	LT
	Oct 13, 10	10,000	70.474	704.74	93.250	932.50	227.76	LT
	Jan 14, 11	25,000	85.810	2,145.25	93.250	2,331.25	186.00	LT
	Jan 26, 11	15,000	82.650	1,239.75	93.250	1,398.75	159.00	LT
	Feb 11, 11	15,000	89.370	1,340.55	93.250	1,398.75	58.20	LT
	Apr 14, 11	20,000	78.275	1,565.51	93.250	1,865.00	299.49	LT
Security total		120,000	81.405	9,768.57		11,190.00	1,421.43	
UNILEVER NV N Y SHS NEW								
NETHERLANDS SPON SPON ADR								
Symbol UN Exchange NYSE								
EAI \$281 Current yield 2.72%	Dec 30, 04	100,000	22.286	2,228.66	38.300	3,830.00	1,601.34	LT
	Jan 14, 11	55,000	30.420	1,673.10	38.300	2,106.50	433.40	LT
	Feb 11, 11	70,000	29.416	2,059.16	38.300	2,681.00	621.84	LT
	Apr 28, 11	45,000	33.095	1,489.31	38.300	1,723.50	234.19	LT
Security total		270,000	27.593	7,450.23		10,341.00	2,890.77	
WELLS FARGO & CO NEW								
Symbol WFC Exchange NYSE								
EAI \$208 Current yield 2.58%	Apr 16, 08	35,000	128.736	4,505.76	34.180	1,196.30	-3,309.46	LT
	May 28, 08	19,000	123.858	2,353.32	34.180	649.42	-1,703.90	LT
	Jul 17, 08	67,000	56.395	3,778.48	34.180	2,290.06	-1,488.42	LT
	Sep 2, 09	35,000	26.450	925.76	34.180	1,196.30	270.54	LT
	Jan 14, 11	30,000	32.508	975.24	34.180	1,025.40	50.16	LT
	Feb 11, 11	50,000	32.920	1,646.00	34.180	1,709.00	63.00	LT
Security total		236,000	60.104	14,184.56		8,066.48	-6,118.08	
WESTN DIGITAL CORP								
Symbol WDC Exchange OTC								
EAI \$225 Current yield 2.35%	Oct 6, 10	145,000	27.987	4,058.13	42.490	6,161.05	2,102.92	LT
	Feb 11, 11	40,000	35.020	1,400.80	42.490	1,699.60	298.80	LT
	Mar 14, 11	40,000	33.604	1,344.19	42.490	1,699.60	355.41	LT
Security total		225,000	30.236	6,803.12		9,560.25	2,757.13	

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
XEROX CORP								
Symbol	Dec 30, 04	15 000	17 050	255 75	6 820	102 30	-153 45	LT
XR Exchange	Sep 9, 05	280 000	13 399	3,751.92	6 820	1,909.60	-1,842.32	LT
EAI \$139 Current yield 2.49%	Feb 11, 11	180 000	10 858	1,954.44	6 820	1,227.60	-726 84	LT
	Jun 3, 11	145 000	10 015	1,452.29	6 820	988.90	-463.39	LT
	Aug 13, 12	200 000	7.188	1,437.74	6 820	1,364.00	-73.74	ST
Security total		820 000	10 795	8,852.14		5,592 40	-3,259 74	
Total				\$529,846.62		\$470,889.22	-\$58,957.40	

Total estimated annual income: \$16,148

Your total assets

	Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	26,064.61	5.24%	26,064.61		
Equities	470,889.22	94.76%	529,846.62	16,148.00	-58,957.40
Total	\$496,953.83	100.00%	\$555,911.23	\$16,148.00	-\$58,957.40

Account activity this month

Date	Activity	Description	Amount (\$)
Dividend and interest income			
<i>Taxable dividends</i>			
Dec 3	Dividend	INTEL CORP PAID ON 225 AS OF 12/01/12	50.63
Dec 3	Dividend	KROGER COMPANY PAID ON 280 AS OF 12/01/12	42.00
Dec 3	Dividend	WELLS FARGO & CO NEW PAID ON 236 AS OF 12/01/12	51.92
Dec 4	Foreign Dividend	HONDA MOTOR CO ADR JAPAN ADR PAID ON 175 CUSIP 438128308	40.41
Dec 4	Dividend	PFIZER INC PAID ON 388	85.36
Dec 4	Foreign Dividend	STMICROELECTRONICS N.V. EUR PAID ON 1010	101.00
Dec 7	Foreign Dividend	TOYOTA MOTOR CORP NEW JAPAN SPON ADR PAID ON 120 CUSIP 892331307	87.55

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December 2012

UBS ACCOUNT #35856

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period
Cash	0.00	349.00				
RMA MONEY MKT. PORTFOLIO	13,086.70	0.00	1.00	0.01%	Nov 26 to Dec 23	28
UBS SELECT PRIME CAPITAL	0.00	19,660.94	1.00	0.09%	Nov 1 to Nov 30	30
Total	\$13,086.70	\$20,009.94				

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
ACORDA THERAPEUTICS INC								
Symbol ACOR Exchange OTC	Oct 8, 12	100,000	26.153	2,615.39	24.860	2,486.00	-129.39	ST
	Dec 13, 12	3,000	25.416	76.25	24.860	74.58	-1.67	ST
Security total		103,000	26.132	2,691.64		2,560.58	-131.06	
ANSYS INC								
Symbol ANSS Exchange OTC	Jan 14, 11	11,000	53.760	591.36	67.340	740.74	149.38	LT
	Feb 11, 11	45,000	54.600	2,457.00	67.340	3,030.30	573.30	LT
Security total		56,000	54.435	3,048.36		3,771.04	722.68	
ARIAD PHARMACEUTICALS INC								
Symbol ARIA Exchange OTC	Mar 14, 12	125,000	14.926	1,865.75	19.180	2,397.50	531.75	ST
	May 14, 12	95,000	16.908	1,606.29	19.180	1,822.10	215.81	ST
	May 31, 12	75,000	16.375	1,228.19	19.180	1,438.50	210.31	ST
Security total		295,000	15.933	4,700.23		5,658.10	957.87	
ATLAS AIR WORLDWIDE HOLDINGS INC NEW								
Symbol AAWW Exchange OTC	Sep 21, 10	40,000	54.496	2,179.84	44.320	1,772.80	-407.04	LT

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December 2012

UBS ACCOUNT #35856

Your assets • **Equities** • **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total								
ATWOOD OCEANICS INC								
Symbol ATW Exchange NYSE	Oct 11, 11	52,000	38.765	2,015.81	45.790	2,381.08	365.27	LT
BALLY TECHNOLOGIES INC								
Symbol BYI Exchange NYSE	Oct 20, 08	20,000	22.020	440.41	44.710	894.20	453.79	LT
	Nov 13, 08	20,000	16.872	337.44	44.710	894.20	556.76	LT
	Nov 18, 08	65,000	16.557	1,076.26	44.710	2,906.15	1,829.89	LT
	Jul 8, 09	5,000	27.560	137.80	44.710	223.55	85.75	LT
	Jan 14, 11	35,000	42.050	1,471.75	44.710	1,564.85	93.10	LT
	Feb 11, 11	25,000	40.109	1,002.74	44.710	1,117.75	115.01	LT
Security total		170,000	26.273	4,466.40		7,600.70	3,134.30	
BIOMARIN PHARMACEUTICAL INC								
Symbol BMRN Exchange OTC	Jan 14, 11	26,000	26.470	688.22	49.200	1,279.20	590.98	LT
	Feb 11, 11	35,000	26.520	928.20	49.200	1,722.00	793.80	LT
	Jan 23, 12	5,000	34.582	172.91	49.200	246.00	73.09	ST
Security total		66,000	27.111	1,789.33		3,247.20	1,457.87	
BJ'S RESTAURANTS INC								
Symbol BJRI Exchange OTC	Mar 6, 09	10,000	10.638	106.38	32.900	329.00	222.62	LT
	Jan 14, 11	55,000	34.420	1,893.10	32.900	1,809.50	-83.60	LT
	Feb 11, 11	70,000	36.680	2,567.60	32.900	2,303.00	-264.60	LT
Security total		135,000	33.830	4,567.08		4,441.50	-125.58	
BROADSOFT INC COM								
Symbol BSFT Exchange OTC	Jul 19, 11	67,000	39.915	2,674.35	36.330	2,434.11	-240.24	LT
	Jan 23, 12	5,000	30.920	154.60	36.330	181.65	27.05	ST
Security total		72,000	39.291	2,828.95		2,615.76	-213.19	
BUFFALO WILD WINGS INC								
Symbol BWLD Exchange OTC	Aug 28, 12	65,000	77.214	5,018.96	72.820	4,733.30	-285.66	ST
CASH AMER INTL INC								
Symbol CSH Exchange NYSE	Jan 28, 09	10,000	19.406	194.06	39.670	396.70	202.64	LT
EAI \$16 Current yield 0.35%	Jul 8, 09	10,000	22.070	220.70	39.670	396.70	176.00	LT

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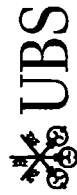
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December 2012

UBS ACCOUNT #35856

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Dec 21, 09	20,000	34.217	684.34	39.670	793.40	109.06	LT
	Jan 14, 11	30,000	39.650	1,189.50	39.670	1,190.10	0.60	LT
	Feb 11, 11	45,000	41.240	1,855.80	39.670	1,785.15	-70.65	LT
Security total		115,000	36.038	4,144.40		4,562.05	417.65	
CATAMARAN CORP CAD								
Symbol CTRX Exchange OTC								
CAD Exchange rate: 0.99570								
	Nov 12, 10	38,910	33.316	1,296.33	47.100	1,832.66	536.33	LT
	Nov 22, 10	39,636	32.865	1,302.66	47.100	1,866.86	564.20	LT
	Jan 14, 11	26,424	36.050	952.60	47.100	1,244.57	291.97	LT
	Feb 11, 11	26,424	33.537	886.20	47.100	1,244.57	358.37	LT
	Jan 23, 12	6,606	41.765	275.90	47.100	311.14	35.24	ST
Security total		138,000	34.157	4,713.69		6,499.80	1,786.11	
CAVIUM INC COM								
Symbol CAVM Exchange OTC								
	Jan 19, 12	70,000	35.067	2,454.72	31.210	2,184.70	-270.02	ST
	Mar 9, 12	85,000	33.422	2,840.92	31.210	2,652.85	-188.07	ST
Security total		155,000	34.165	5,295.64		4,837.55	-458.09	
CHART INDUSTRIES INC								
Symbol GTLS Exchange OTC								
	Nov 2, 12	39,000	64.965	2,533.64	66.689	2,600.87	67.23	ST
CHEMTURA CORP								
Symbol CHMT Exchange NYSE								
	May 23, 12	165,000	14.559	2,402.35	21.260	3,507.90	1,105.55	ST
CHICO'S FAS INC								
Symbol CHS Exchange NYSE								
EAI \$33 Current yield 1.15%								
	Feb 22, 12	155,000	14.784	2,291.61	18.460	2,861.30	569.69	ST
COGNEX CORP								
Symbol CGNX Exchange OTC								
EAI \$59 Current yield 1.21%								
	Aug 23, 11	69,000	31.319	2,161.02	36.790	2,538.51	377.49	LT
	Aug 30, 11	59,000	36.088	2,129.23	36.790	2,170.61	41.38	LT
	Jan 23, 12	5,000	40.710	203.55	36.790	183.95	-19.60	ST
Security total		133,000	33.788	4,493.80		4,893.07	399.27	
COHERENT INC								
Symbol COHR Exchange OTC								
	Jan 19, 07	73,000	30.195	2,204.28	50.632	3,696.13	1,491.85	LT
	Jan 14, 11	30,000	48.830	1,464.90	50.632	1,518.96	54.06	LT

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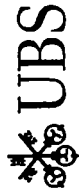
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December 2012

UBS ACCOUNT #35856

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Feb 11, 11	30.000	58.616	1,758.48	50.632	1,518.96	-239.52	LT
	Jan 23, 12	10.000	58.140	581.40	50.632	506.32	-75.08	ST
Security total		143.000	42.021	6,009.06		7,240.37	1,231.31	
COLFAX CORP								
Symbol CFX Exchange NYSE	Feb 7, 12	166.000	32.785	5,442.44	40.350	6,698.10	1,255.66	ST
	Aug 9, 12	65.000	32.352	2,102.91	40.350	2,622.75	519.84	ST
Security total		231.000	32.664	7,545.35		9,320.85	1,775.50	
CONCUR TECHNOLOGIES INC								
Symbol CNQR Exchange OTC	Aug 11, 11	31.000	37.784	1,171.33	67.520	2,093.12	921.79	LT
	Dec 28, 11	35.000	51.139	1,789.88	67.520	2,363.20	573.32	LT
Security total		66.000	44.867	2,961.21		4,456.32	1,495.11	
CUBIST PHARMACEUTICALS INC								
Symbol CBST Exchange OTC	Nov 21, 11	65.000	35.801	2,327.08	42.050	2,733.25	406.17	LT
	Dec 5, 11	60.000	38.834	2,330.08	42.050	2,523.00	192.92	LT
	Jan 23, 12	10.000	38.710	387.10	42.050	420.50	33.40	ST
Security total		135.000	37.365	5,044.26		5,676.75	632.49	
DEL FRISCOS RESTAURANT GROUP INC								
Symbol DFRG Exchange OTC	Jul 30, 12	150.000	13.105	1,965.89	15.590	2,338.50	372.61	ST
DOMINO'S PIZZA INC								
Symbol DPZ Exchange NYSE	Aug 15, 12	72.000	34.067	2,452.85	43.550	3,135.60	682.75	ST
DUFF & PHELPS CORP NEW CL A								
Symbol DJF Exchange NYSE	May 13, 09	95.000	15.697	1,491.28	15.620	1,483.90	-7.38	LT
EAI \$63 Current yield 2.30%	Jan 14, 11	40.000	17.000	680.00	15.620	624.80	-55.20	LT
	Feb 11, 11	40.000	16.835	673.40	15.620	624.80	-48.60	LT
Security total		175.000	16.255	2,844.68		2,733.50	-111.18	
EZCHIP SEMICONDUCTOR LTD ORD ILS								
Symbol EZCH Exchange OTC	Nov 2, 11	73.000	29.745	2,171.43	33.070	2,414.11	242.68	LT
ILS Exchange rate 3.73110	Aug 8, 12	96.000	29.451	2,827.36	33.070	3,174.72	347.36	ST
Security total		169.000	29.579	4,998.79		5,588.83	590.04	

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December 2012

UBS ACCOUNT #35856

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
FORTINET INC								
Symbol	FINTE	Exchange	OTC					
	Oct 6, 11	112,000	17.663	1,978.29	21.020	2,354.24	375.95	LT
	Dec 28, 11	100,000	21.407	2,140.76	21.020	2,102.00	-38.76	LT
	Nov 2, 12	167,000	20.088	3,354.70	21.020	3,510.34	155.64	ST
	Nov 5, 12	2,000	19.750	39.50	21.020	42.04	2.54	ST
	Dec 11, 12	130,000	20.232	2,630.24	21.020	2,732.60	102.36	ST
Security total		511,000	19.850	10,143.49		10,741.22	597.73	
FRESH MKT INC								
Symbol	TFM	Exchange	OTC					
	Nov 8, 10	15,000	32.075	481.13	48.090	721.35	240.22	LT
	Nov 9, 10	40,000	33.730	1,349.22	48.090	1,923.60	574.38	LT
	Jan 14, 11	25,000	39.800	995.00	48.090	1,202.25	207.25	LT
	Feb 11, 11	25,000	42.825	1,070.64	48.090	1,202.25	131.61	LT
	Apr 28, 11	36,000	42.115	1,516.15	48.090	1,731.24	215.09	LT
	Jan 23, 12	5,000	44.152	220.76	48.090	240.45	19.69	ST
Security total		146,000	38.582	5,632.90		7,021.14	1,388.24	
FUSIONHO INC COM								
Symbol	FIO	Exchange	NYSE					
	Apr 13, 12	92,000	28.017	2,577.57	22.930	2,109.56	-468.01	ST
	Apr 27, 12	94,000	28.176	2,648.62	22.930	2,155.42	-493.20	ST
	Nov 2, 12	125,000	24.518	3,064.84	22.930	2,866.25	-198.59	ST
	Nov 5, 12	3,000	24.493	73.48	22.930	68.79	-4.69	ST
Security total		314,000	26.639	8,364.51		7,200.02	-1,164.49	
GENESCO INC								
Symbol	GCO	Exchange	NYSE					
	May 15, 08	50,000	23.443	1,172.18	55.000	2,750.00	1,577.82	LT
	Nov 24, 08	70,000	12.260	858.23	55.000	3,850.00	2,991.77	LT
	Jan 14, 11	50,000	38.000	1,900.00	55.000	2,750.00	850.00	LT
	Feb 11, 11	70,000	40.436	2,830.52	55.000	3,850.00	1,019.48	LT
	Jan 23, 12	5,000	58.860	294.30	55.000	275.00	-19.30	ST
Security total		245,000	28.797	7,055.23		13,475.00	6,419.77	
GEO GROUP INC								
Symbol	GEO	Exchange	NYSE					
	Jul 24, 06	26,000	13.658	355.12	28.200	733.20	378.08	LT
	Sep 28, 09	45,000	20.002	900.09	28.200	1,269.00	368.91	LT
	Jan 14, 11	80,000	23.850	1,908.00	28.200	2,256.00	348.00	LT

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UBS ACCOUNT #35856

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Feb 11, 11	70,000	24.359	1,705.14	28.200	1,974.00	268.86	LT
	Jan 23, 12	15,000	17.100	256.50	28.200	423.00	166.50	ST
		35,000	—This information was unavailable—		28.200	987.00		
Security total		271,000	21.715	5,124.85		7,642.20	1,530.35	
GEOSPACE TECHNOLOGIES CORP								
Symbol GEOS Exchange OTC	Aug 3, 07	16,000	37.323	597.17	88.870	1,421.92	824.75	LT
	Jul 8, 09	10,000	11.790	117.90	88.870	888.70	770.80	LT
	Jan 14, 11	30,000	46.030	1,380.90	88.870	2,666.10	1,285.20	LT
	Feb 11, 11	50,000	52.450	2,622.50	88.870	4,443.50	1,821.00	LT
Security total		106,000	44.514	4,718.47		9,420.22	4,701.75	
GLIMCHER RLTY TRUST SBI								
Symbol GRT Exchange NYSE	Jul 6, 12	5,000	10.478	52.39	11.090	55.45	3.06	ST
EAI \$192 Current yield 3.61%	Jul 27, 12	475,000	10.118	4,806.29	11.090	5,267.75	461.46	ST
Security total		480,000	10.122	4,858.68		5,323.20	464.52	
GULFPORT ENERGY CORP NEW								
Symbol GPOR Exchange OTC	Oct 18, 11	70,000	28.729	2,011.04	38.220	2,675.40	664.36	LT
	Aug 10, 12	122,000	25.977	3,169.26	38.220	4,662.84	1,493.58	ST
Security total		192,000	26.981	5,180.30		7,338.24	2,157.94	
HERBALIFE LTD								
Symbol HLF Exchange NYSE	Feb 12, 09	23,000	10.359	238.26	32.940	757.62	519.36	LT
EAI \$100 Current yield 3.66%	Jan 14, 11	30,000	34.595	1,037.85	32.940	988.20	-49.65	LT
	Feb 11, 11	30,000	33.890	1,016.70	32.940	988.20	-28.50	LT
Security total		83,000	27.624	2,292.81		2,734.02	441.21	
HEXCEL CORP NEW								
Symbol HXL Exchange NYSE	Oct 6, 11	90,000	21.836	1,965.31	26.960	2,426.40	461.09	LT
	Oct 10, 11	93,000	23.006	2,139.65	26.960	2,507.28	367.63	LT
Security total		183,000	22.431	4,104.96		4,933.68	828.72	
HUNTSMAN CORP								
Symbol HUN Exchange NYSE	Mar 19, 09	135,000	3.225	435.44	15.900	2,146.50	1,711.06	LT
EAI \$208 Current yield 2.52%	Jul 14, 10	90,000	9.065	815.87	15.900	1,431.00	615.13	LT

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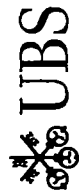
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Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
INTEPID POTASH INC								
Symbol IPI Exchange NYSE	Jan 14, 11	105,000	17.900	1,879.50	15.900	1,669.50	-210.00	LT
	Feb 11, 11	190,000	17.979	3,416.05	15.900	3,021.00	-395.05	LT
Security total		520,000	12.590	6,546.86		8,268.00	1,721.14	
IPG PHOTONICS CORP								
Symbol IGP Exchange OTC	Aug 6, 10	90,000	25.988	2,339.00	21.290	1,916.10	-422.90	LT
	Jan 14, 11	25,000	38.030	950.75	21.290	532.25	-418.50	LT
	Feb 11, 11	30,000	39.190	1,175.70	21.290	638.70	-537.00	LT
Security total		145,000	30.796	4,465.45		3,087.05	-1,378.40	
JETBLUE AIRWAYS CORP								
Symbol JBLU Exchange OTC	Nov 1, 11	49,000	49.155	2,408.60	66.650	3,265.85	857.25	LT
	Apr 3, 12	35,000	54.458	1,906.03	66.650	2,332.75	426.72	ST
Security total		84,000	51.365	4,314.63		5,598.60	1,283.97	
KRATON PERFORMANCE POLYMERS INC								
Symbol KRA Exchange NYSE	Oct 20, 10	345,000	7.087	2,445.33	5.720	1,973.40	-471.93	LT
	Jan 14, 11	150,000	6.860	1,029.00	5.720	858.00	-171.00	LT
	Feb 11, 11	70,000	6.097	426.85	5.720	400.40	-26.45	LT
	Jan 23, 12	55,000	5.440	299.20	5.720	314.60	15.40	ST
Security total		620,000	6.775	4,200.38		3,546.40	-653.98	
LANDSTAR SYSTEMS INC								
Symbol LSTR Exchange OTC	Jun 6, 11	136,000	38.690	5,261.94	24.030	3,268.08	-1,993.86	LT
EAI \$27 Current yield 0.47%	Mar 29, 05	64,000	33.261	2,128.72	52.460	3,357.44	1,228.72	LT
	Jan 14, 11	20,000	42.140	842.80	52.460	1,049.20	206.40	LT
	Feb 11, 11	20,000	44.360	887.20	52.460	1,049.20	162.00	LT
	Jan 23, 12	5,000	49.320	246.60	52.460	262.30	15.70	ST
Security total		109,000	37.663	4,105.32		5,718.14	1,612.82	
LOUISIANA PACIFIC CORP								
Symbol LPX Exchange NYSE	Nov 30, 12	67,000	39.949	2,676.64	40.860	2,737.62	60.98	ST
	Jun 21, 12	470,000	10.278	4,830.75	19.320	9,080.40	4,249.65	ST

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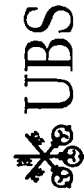


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Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Jul 30, 12	199,000	10.932	2,175.47	19.320	3,844.68	1,669.21	ST
Security total		669,000	10.473	7,006.22		12,925.08	5,918.86	
LUFKIN INDUSTRIES INC								
Symbol LUFK Exchange OTC	Feb 24, 09	46,000	16.504	759.20	58.130	2,673.98	1,914.78	LT
EAI \$83 Current yield 0.86%	Mar 10, 09	40,000	15.396	615.86	58.130	2,325.20	1,709.34	LT
	Jul 8, 09	10,000	19.250	192.50	58.130	581.30	388.80	LT
	Jan 14, 11	40,000	62.300	2,492.00	58.130	2,325.20	-166.80	LT
	Feb 11, 11	30,000	73.690	2,210.70	58.130	1,743.90	-466.80	LT
Security total		166,000	37.773	6,270.26		9,649.58	3,379.32	
MEDASSETS INC								
Symbol MDAS Exchange. OTC	Apr 30, 09	105,000	16.468	1,729.20	16.770	1,760.85	31.65	LT
	Jul 8, 09	5,000	17.510	87.55	16.770	83.85	-3.70	LT
	Dec 31, 09	15,000	21.514	322.71	16.770	251.55	-71.16	LT
	Dec 10, 10	65,000	18.890	1,227.88	16.770	1,090.05	-137.83	LT
	Jan 14, 11	55,000	20.580	1,131.90	16.770	922.35	-209.55	LT
	Feb 11, 11	55,000	20.369	1,120.32	16.770	922.35	-197.97	LT
	Jan 23, 12	5,000	10.626	53.13	16.770	83.85	30.72	ST
Security total		305,000	18.599	5,672.69		5,114.85	-557.84	
MEDIDATA SOLUTIONS INC								
Symbol MDSD Exchange. OTC	Jan 3, 11	30,000	24.251	727.53	39.180	1,175.40	447.87	LT
	Jan 14, 11	35,000	25.600	896.00	39.180	1,371.30	475.30	LT
	Feb 11, 11	40,000	26.939	1,077.56	39.180	1,567.20	489.64	LT
	Mar 15, 11	110,000	21.333	2,346.66	39.180	4,309.80	1,963.14	LT
	Jan 23, 12	15,000	20.110	301.65	39.180	587.70	286.05	ST
Security total		230,000	23.258	5,349.40		9,011.40	3,662.00	
MONSTER WORLDWIDE INC								
Symbol MWW Exchange NYSE	Sep 5, 08	115,000	19.196	2,207.59	5.620	646.30	-1,561.29	LT
	Jul 8, 09	25,000	9.678	241.95	5.620	140.50	-101.45	LT
	Jan 14, 11	30,000	23.600	708.00	5.620	168.60	-539.40	LT
	Feb 11, 11	20,000	17.000	340.00	5.620	112.40	-227.60	LT
	Aug 31, 11	262,000	9.855	2,582.01	5.620	1,472.44	-1,109.57	LT
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Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total								
MWI VETERINARY SUPPLY INC								
Symbol MWIV Exchange OTC	Nov 4, 11	30 000	70 146	2,104.39	110 000	3,300.00	1,195.61	LT
NICE SYSTEMS LTD SPON ADR								
Symbol NICE Exchange OTC	Mar 27, 12	20 000	39.421	788.42	33 480	669.60	-118.82	ST
Security total	Aug 7, 12	55 000	31.999	1,759.96	33,480	1,841.40	81.44	ST
		75 000	33 978	2,548.38		2,511.00	-37.38	
NORTHWEST PIPE CO								
Symbol NWPX Exchange OTC	Feb 13, 09	5 000	33 016	165.08	23 860	119.30	-45.78	LT
	Feb 17, 09	25 000	31.282	782.05	23 860	596.50	-185.55	LT
	Mar 31, 09	30 000	28 449	853.49	23 860	715.80	-137.69	LT
	Jan 14, 11	30 000	23 030	690.90	23 860	715.80	24.90	LT
Security total	Feb 11, 11	30 000	24 100	723.00	23 860	715.80	-7.20	LT
		120 000	26 788	3,214.52		2,863.20	-351.32	
OASIS PETROLEUM INC								
Symbol OAS Exchange NYSE	Aug 25, 11	80 000	23 989	1,919.15	31 800	2,544.00	624.85	LT
Security total	Oct 28, 11	85 000	31.132	2,646.27	31,800	2,703.00	56.73	LT
		165 000	27 669	4,565.42		5,247.00	681.58	
ONYX PHARMACEUTICALS INC								
Symbol ONXX Exchange OTC	Nov 22, 11	57 000	38.909	2,217.82	75 530	4,305.21	2,087.39	LT
Security total	Dec 12, 11	65 000	38.356	2,493.17	75 530	4,909.45	2,416.28	LT
		122 000	38.615	4,710.99		9,214.66	4,503.67	
PACIFIC DRILLING S.A								
Symbol PACD Exchange NYSE	Feb 17, 12	240 000	10 915	2,619.79	9,440	2,265.60	-354.19	ST
PANTRY INC								
Symbol PTRY Exchange OTC	Feb 28, 12	40 000	12.297	491.89	12 130	485.20	-6.69	ST
Security total	Feb 29, 12	170 000	12 543	2,132.40	12 130	2,062.10	-70.30	ST
		210 000	12.497	2,624.29		2,547.30	-76.99	

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Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
PAREXEL INTL CORP								
Symbol PRXL Exchange OTC	Dec 20, 10	67,000	21.350	1,430.46	29.590	1,982.53	552.07	LT
	Jan 14, 11	30,000	20.000	600.00	29.590	887.70	287.70	LT
	Feb 11, 11	15,000	21.750	326.25	29.590	443.85	117.60	LT
	Jul 19, 11	54,000	24.132	1,303.15	29.590	1,597.86	294.71	LT
	Jan 23, 12	5,000	21.000	105.00	29.590	147.95	42.95	ST
Security total		171,000	22.017	3,764.86		5,059.89	1,295.03	
PINNACLE ENTERTAINMENT GROUP								
Symbol PNK Exchange NYSE	Oct 27, 11	223,000	10.957	2,443.57	15.830	3,530.09	1,086.52	LT
PROASSURANCE CORP								
Symbol PRA Exchange NYSE	Jun 8, 12	50,000	44.836	2,241.81	42.190	2,109.50	-132.31	ST
EAI \$80 Current yield 2.37%	Jul 25, 12	30,000	45.049	1,351.47	42.190	1,265.70	-85.77	ST
Security total		80,000	44.916	3,593.28		3,375.20	-218.08	
QLIK TECHNOLOGIES INC COM								
Symbol QLIK Exchange OTC	Mar 1, 11	195,000	25.957	5,061.71	21.720	4,235.40	-826.31	LT
	Feb 21, 12	80,000	28.890	2,311.27	21.720	1,737.60	-573.67	ST
Security total		275,000	26.811	7,372.98		5,973.00	-1,399.98	
QUAKER CHEMICAL CORP								
Symbol KWR Exchange NYSE	May 5, 11	62,000	41.716	2,586.44	53.860	3,339.32	752.88	LT
EAI \$61 Current yield 1.83%								
REGAL BELOIT CORP								
Symbol RBC Exchange NYSE	May 19, 09	45,000	39.404	1,773.18	70.470	3,171.15	1,397.97	LT
EAI \$87 Current yield 1.07%	Dec 17, 09	25,000	50.231	1,255.79	70.470	1,761.75	505.96	LT
	Jan 14, 11	20,000	67.820	1,356.40	70.470	1,409.40	53.00	LT
	Feb 11, 11	25,000	72.370	1,809.25	70.470	1,761.75	-47.50	LT
Security total		115,000	53.866	6,194.62		8,104.05	1,909.43	
RIVERBED TECHNOLOGY INC								
Symbol RVBD Exchange OTC	Jan 5, 10	24,873	12.065	300.11	19.720	490.50	190.39	LT
	Jan 14, 11	135,000	39.940	5,391.90	19.720	2,662.20	-2,729.70	LT
	Feb 11, 11	45,000	41.860	1,883.70	19.720	887.40	-996.30	LT
	May 31, 12	95,000	16.019	1,521.82	19.720	1,873.40	351.58	ST

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Holding	Trade date	Number of Shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total								
RTI INTL METALS INC (HOLDING COMPANY)								
Symbol RTI Exchange NYSE	Jul 14, 11	84,000	32.176	2,702.80	27.560	2,315.04	-387.76	LT
RYLAND GROUP INC								
Symbol RYL Exchange NYSE	Sep 21, 12	100,000	33.622	3,362.28	36.500	3,650.00	287.72	ST
EAI \$26 Current yield 0.33%	Oct 16, 12	25,000	31.062	776.57	36.500	912.50	135.93	ST
	Oct 18, 12	38,000	32.399	1,231.19	36.500	1,387.00	155.81	ST
	Nov 20, 12	50,000	34.194	1,709.71	36.500	1,825.00	115.29	ST
Security total		213,000	33.238	7,079.75		7,774.50	694.75	
SALIX PHARMACEUTICALS LTD (DELA)								
Symbol SLXP Exchange OTC	Apr 5, 10	25,000	39.410	985.25	40.471	1,011.77	26.52	LT
	Sep 20, 10	15,000	41.559	623.39	40.471	607.06	-16.33	LT
	Jan 14, 11	15,000	44.570	668.55	40.471	607.06	-61.49	LT
	Feb 11, 11	15,000	41.770	626.55	40.471	607.06	-19.49	LT
	Feb 25, 11	64,000	32.977	2,110.55	40.471	2,590.14	479.59	LT
	Jan 23, 12	15,000	45.620	684.30	40.471	607.06	-77.24	ST
Security total		149,000	38.246	5,698.59		6,030.17	331.56	
SAPIENT CORP								
Symbol SAPE Exchange OTC	Feb 11, 11	26,000	13.006	338.18	10.560	274.56	-63.62	LT
	Aug 5, 11	215,000	12.119	2,605.69	10.560	2,270.40	-335.29	LT
Security total		241,000	12.215	2,943.87		2,544.96	-398.91	
SEATTLE GENETICS INC								
Symbol SGEN Exchange OTC	Oct 11, 10	15,000	16.797	251.96	23.170	347.55	95.59	LT
	Jan 14, 11	40,000	17.320	692.80	23.170	926.80	234.00	LT
	Feb 11, 11	75,000	15.467	1,160.03	23.170	1,737.75	577.72	LT
Security total		130,000	16.191	2,104.79		3,012.10	907.31	

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Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
SHFL ENTMT INC COM								
Symbol SHFL Exchange OTC	Mar 13, 09	170,000	2.508	426.48	14 500	2,465.00	2,038.52	LT
	Jul 8, 09	15,000	5.958	89 37	14,500	217.50	128.13	LT
	Jan 14, 11	80 000	11 080	886 40	14 500	1,160.00	273.60	LT
	Feb 11, 11	125,000	10.216	1,277.06	14 500	1,812.50	535.44	LT
	Jan 23, 12	20,000	12.620	252.40	14,500	290.00	37 60	ST
Security total		410,000	7 151	2,931.71		5,945.00	3,013.29	
SIRONA DENTAL SYSTEMS INC								
Symbol SIRO Exchange OTC	Sep 20, 10	30 000	37.572	1,127.17	64,460	1,933.80	806.63	LT
	Oct 14, 10	35,000	36 935	1,292.73	64 460	2,256.10	963.37	LT
	Dec 1, 10	35,000	40 006	1,400.23	64 460	2,256.10	855.87	LT
	Jan 14, 11	30 000	43 180	1,295.40	64 460	1,933.80	638.40	LT
	Feb 11, 11	40,000	49,990	1,999.60	64,460	2,578.40	578.80	LT
Security total		170,000	41,854	7,115.13		10,958.20	3,843.07	
SOTHEBYS								
Symbol BID Exchange NYSE	Jun 23, 09	60,000	13 083	785.00	33,620	2,017.20	1,232.20	LT
EAI \$58 Current yield. 1.19%	Jul 8, 09	20,000	11,880	237.60	33,620	672.40	434.80	LT
	Jan 14, 11	25,000	44,700	1,117.50	33,620	840.50	-277.00	LT
	Feb 11, 11	40,000	44,719	1,788.78	33,620	1,344.80	-443.98	LT
Security total		145,000	27,096	3,928.88		4,874 90	946.02	
STEVEN MADDEN LTD								
Symbol SHOO Exchange OTC	Mar 26, 10	35 000	21 818	763 66	42 270	1,479.45	715.79	LT
	Jan 14, 11	97,000	27,802	2,696.85	42,270	4,100.19	1,403.34	LT
	Feb 11, 11	38,000	27,789	1,056.00	42,270	1,606.26	550.26	LT
	Nov 15, 12	8 000	41 162	329 30	42 270	338 16	8.86	ST
Security total		178,000	27,224	4,845.81		7,524.06	2,678.25	
STIFEL FINANCIAL CORP								
Symbol SF Exchange NYSE	Aug 26, 11	67,000	27,796	1,862.39	31 970	2,141.99	279.60	LT
TANGER FACTORY OUTLET CENTERS INC								
Symbol SKT Exchange NYSE								
EAI \$122 Current yield 2.46%	Aug 7, 12	145,000	33,590	4,870.64	34 200	4,959.00	88.36	ST

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Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
TERADYNE INC								
Symbol TER Exchange NYSE	Jul 14, 09	155,000	6.923	1,073.08	16.890	2,617.95	1,544.87	LT
	Jan 14, 11	55,000	14.100	775.50	16.890	928.95	153.45	LT
	Feb 11, 11	65,000	18.058	1,173.80	16.890	1,097.85	-75.95	LT
Security total		275,000	10.990	3,022.38		4,644.75	1,622.37	
TEREX CORP DELA NEW								
Symbol TEX Exchange NYSE	Jan 26, 12	115,000	21.371	2,457.72	28.110	3,232.65	774.93	ST
	Mar 30, 12	22,000	22.382	492.41	28.110	618.42	126.01	ST
	Apr 4, 12	100,000	22.557	2,255.75	28.110	2,811.00	555.25	ST
Security total		237,000	21.966	5,205.88		6,662.07	1,456.19	
TEXAS INDUSTRIES								
Symbol TXI Exchange NYSE	Oct 5, 12	20,000	43.345	866.91	51.010	1,020.20	153.29	ST
	Oct 8, 12	43,000	41.738	1,794.77	51.010	2,193.43	398.66	ST
Security total		63,000	42.249	2,661.68		3,213.63	551.95	
THERMON GROUP HLDGS INC								
Symbol THR Exchange NYSE	Oct 3, 12	106,000	24.942	2,643.90	22.530	2,388.18	-255.72	ST
THORATEC CORP NEW								
Symbol THOR Exchange OTC	Apr 21, 08	10,000	16.227	162.27	37.520	375.20	212.93	LT
	Jul 8, 09	5,000	24.978	124.89	37.520	187.60	62.71	LT
	Jan 14, 11	65,000	28.250	1,836.25	37.520	2,438.80	602.55	LT
	Feb 11, 11	40,000	26.818	1,072.72	37.520	1,500.80	428.08	LT
	Nov 27, 12	28,000	38.416	1,075.65	37.520	1,050.56	-25.09	ST
Security total		148,000	28.863	4,271.78		5,552.96	1,281.18	
TIBCO SOFTWARE INC								
Symbol TIBX Exchange OTC	Jan 14, 11	47,000	21.100	991.70	21.980	1,033.06	41.36	LT
	Feb 11, 11	120,000	25.367	3,044.15	21.980	2,637.60	-406.55	LT
Security total		167,000	24.167	4,035.85		3,670.66	-365.19	
TRIUMPH GROUP INC								
Symbol TGI Exchange NYSE	Apr 16, 10	50,000	35.955	1,797.76	65.300	3,265.00	1,467.24	LT
EAI \$26 Current yield 0.25%	Jun 21, 10	30,000	36.010	1,080.32	65.300	1,959.00	878.68	LT
	Jan 14, 11	40,000	47.530	1,901.20	65.300	2,612.00	710.80	LT

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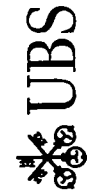
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UBS ACCOUNT #35856

Your assets > **Equities** > **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Feb 11, 11	40 000	44 483	1,779.33	65 300	2,612.00	832.67	LT
Security total		160,000	40,991	6,558.61		10,448.00	3,889.39	
TUMI HLDGS INC								
Symbol TUMI Exchange NYSE	Nov 9, 12	167 000	21 001	3,507.22	20 850	3,481.95	-25.27	ST
TWO HARBORS INVT CORP REIT SBI								
Symbol TWO Exchange: NYSE	Jul 6, 11	245,000	10,975	2,689.02	11 080	2,714.60	25.58	LT
EAI \$1,027 Current yield 19.85%	Jul 26, 11	106,000	10,194	1,080.66	11,080	1,174.48	93.82	LT
	Feb 22, 12	116 000	9,980	1,164.71 ²	11 080	1,285.28	120.57	
Security total		467,000	10,566	4,934.39		5,174.36	239.97	
UMB FINANCIAL CORP								
Symbol UMBF Exchange OTC	May 12, 09	35 000	45 878	1,605.74	43 820	1,533.70	-72.04	LT
EAI \$52 Current yield 1.98%	Jan 14, 11	10,000	42,630	426.30	43 820	438.20	11.90	LT
	Feb 11, 11	15,000	42,400	636.00	43 820	657.30	21.30	LT
Security total		60,000	44,467	2,668.04		2,629.20	-38.84	
UNITED THERAPEUTICS CORP								
Symbol UTHR Exchange OTC	Nov 18, 11	55 000	41 337	2,273.56	53 420	2,938.10	664.54	LT
	Nov 30, 11	55,000	40,293	2,216.15	53 420	2,938.10	721.95	LT
	Feb 14, 12	55 000	47 148	2,593.18	53 420	2,938.10	344.92	ST
Security total		165,000	42,927	7,082.89		8,814.30	1,731.41	
UNIVERSAL ELECTRONICS INC								
Symbol UEIC Exchange OTC	Feb 26, 08	85,000	22,361	1,900.72	19 350	1,644.75	-255.97	LT
	Jan 14, 11	60,000	26,880	1,612.80	19,350	1,161.00	-451.80	LT
	Feb 11, 11	55,000	26,640	1,465.20	19,350	1,064.25	-400.95	LT
	Jan 23, 12	10,000	18,200	182.00	19,350	193.50	11.50	ST
Security total		210,000	24,575	5,160.72		4,063.50	-1,097.22	
UNTD NAT FOODS INC								
Symbol UNFI Exchange OTC	Dec 27, 11	60,000	40,060	2,403.61	53,590	3,215.40	811.79	LT
	Jan 6, 12	55,000	40,807	2,244.43	53,590	2,947.45	703.02	ST
	Jan 23, 12	5 000	41,410	207.05	53,590	267.95	60.90	ST
Security total		120,000	40,459	4,855.09		6,430.80	1,575.71	

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UBS ACCOUNT #35856

Your assets • **Equities** • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
US AIRWAYS GROUP INC								
Symbol LCC Exchange NYSE	Sep 11, 12	220,000	11.923	2,623.06	13.500	2,970.00	346.94	ST
USG CORP NEW								
Symbol USG Exchange NYSE	Jul 25, 12	137,000	16.887	2,313.52	28.070	3,845.59	1,532.07	ST
Symbol USG Exchange NYSE	Aug 9, 12	150,000	17.051	2,557.70	28.070	4,210.50	1,652.80	ST
Security total		287,000	16.973	4,871.22		8,056.09	3,184.87	
VEECO INSTRUMENTS INC								
DELAWARE								
Symbol VECO Exchange OTC	Feb 1, 10	45,000	33.184	1,493.31	29.490	1,327.05	-166.26	LT
	Feb 16, 10	25,000	36.992	924.80	29.490	737.25	-187.55	LT
	Jan 14, 11	20,000	47.080	941.60	29.490	589.80	-351.80	LT
	Jan 23, 12	20,000	25.250	505.00	29.490	589.80	84.80	ST
Security total		110,000	35.134	3,864.71		3,243.90	-620.81	
VITAMIN SHOPPE INC								
Symbol VSI Exchange NYSE	Oct 29, 09	68,000	19.032	1,294.20	57.360	3,900.48	2,606.28	LT
	May 20, 10	45,000	23.480	1,056.60	57.360	2,581.20	1,524.60	LT
	Jan 14, 11	40,000	32.600	1,304.00	57.360	2,294.40	990.40	LT
	Feb 11, 11	45,000	34.620	1,557.90	57.360	2,581.20	1,023.30	LT
	Jan 23, 12	25,000	43.040	1,076.00	57.360	1,434.00	358.00	ST
Security total		223,000	28.200	6,288.70		12,791.28	6,502.58	
WABCO HOLDINGS INC								
Symbol WBC Exchange NYSE	Jun 22, 10	15,000	34.705	520.58	65.190	977.85	457.27	LT
	Jan 14, 11	75,000	61.980	4,648.50	65.190	4,889.25	240.75	LT
	Feb 11, 11	20,000	62.240	1,244.80	65.190	1,303.80	59.00	LT
Security total		110,000	58.308	6,413.88		7,170.90	757.02	
WASTE CONNECTIONS INC								
Symbol WCN Exchange NYSE	Dec 30, 04	154,000	15.093	2,324.37	33.790	5,203.66	2,879.29	LT
EAI \$114 Current yield 1.19%	Jan 14, 11	65,000	27.240	1,770.60	33.790	2,196.35	425.75	LT
	Feb 11, 11	55,000	29.258	1,609.21	33.790	1,858.45	249.24	LT
	Jan 23, 12	10,000	32.130	321.30	33.790	337.90	16.60	ST
Security total		284,000	21.216	6,025.48		9,596.36	3,570.88	

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UBS ACCOUNT #35857

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period
Cash	1,397.60	0.00				
RMA MONEY MKT. PORTFOLIO	6,972.11	0.00	1.00	0.01%	Nov 26 to Dec 23	28
UBS SELECT PRIME CAPITAL	0.00	12,461.29	1.00	0.09%	Nov 1 to Nov 30	30
Total	\$8,369.71	\$12,461.29				

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
ABBOTT LABS								
Symbol ABT Exchange NYSE								
EAI: \$69 Current yield: 0.86%								
	Mar 23, 12	2,000	60.250	120.50	65.500	131.00	10.50	ST
	Mar 27, 12	24,000	61.409	1,473.82	65.500	1,572.00	98.18	ST
	Mar 28, 12	21,000	60.865	1,278.17	65.500	1,375.50	97.33	ST
	May 8, 12	10,000	62.027	620.27	65.500	655.00	34.73	ST
	May 11, 12	11,000	62.367	686.04	65.500	720.50	34.46	ST
	May 14, 12	23,000	61.649	1,417.93	65.500	1,506.50	88.57	ST
	Jun 20, 12	12,000	62.906	754.88	65.500	786.00	31.12	ST
	Jul 20, 12	20,000	65.167	1,303.34	65.500	1,310.00	6.66	ST
Security total		123,000	62,235	7,654.95		8,056.50	401.55	
ALEXION PHARMACEUTICALS INC								
Symbol ALXN Exchange OTC								
	Aug 11, 11	2,000	49.990	99.98	93.740	187.48	87.50	LT
	Aug 15, 11	22,000	53.149	1,169.29	93.740	2,062.28	892.99	LT
	Aug 16, 11	24,000	51.937	1,246.51	93.740	2,249.76	1,003.25	LT
	Aug 18, 11	24,000	50.000	1,200.00	93.740	2,249.76	1,049.76	LT

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UBS ACCOUNT #35857

Your assets • **Equities • Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Dec 23, 11	17 000	68 611	1,166.40	93 740	1,593 58	427.18	LT
	Jun 14, 12	10 000	93.112	931.12	93 740	937 40	6.28	ST
	Nov 21, 12	5 000	93.956	469.78	93 740	468.70	-1.08	ST
Security total		104 000	60.414	6 283.08	9 748.96		3 465.88	
ALLERGAN INC								
Symbol: AGN Exchange NYSE								
EAI \$28 Current yield 0.22%								
	May 3, 12	5 000	94 002	470.01	91 730	458.65	-11.36	ST
	May 4, 12	51 000	93.395	4 763.16	91 730	4 678.23	-84.93	ST
	May 7, 12	55 000	93.651	5 150.85	91 730	5 045.15	-105 70	ST
	May 21, 12	9 000	89.780	808 02	91 730	825.57	17.55	ST
	Oct 2, 12	11 000	92.316	1 015.48	91 730	1 009.03	-6.45	ST
	Oct 23, 12	7 000	90 655	634 59	91 730	642 11	7.52	ST
Security total		138 000	93 059	12 842.11	12 658 74		-183.37	
AMAZON.COM INC								
Symbol AMZN Exchange OTC								
	Aug 14, 12	12 000	234.190	2 810.29	250 870	3 010.44	200.15	ST
	Aug 15, 12	24 000	235.314	5 647.54	250 870	6 020.88	373.34	ST
	Aug 29, 12	6 000	247.253	1 483.52	250 870	1 505 22	21 70	ST
	Oct 5, 12	3 000	257.823	773.47	250 870	752 61	-20.86	ST
Security total		45 000	238 107	10 714.82	11 289.15		574.33	
AMERIPRISE FINANCIAL INC								
Symbol AMP Exchange NYSE								
EAI \$382 Current yield 2.88%								
	Oct 22, 12	15 000	57.882	868.24	62 630	939.45	71.21	ST
	Oct 23, 12	34 000	57.055	1 939.89	62 630	2 129 42	189.53	ST
	Oct 23, 12	15 000	57.244	858.66	62 630	939.45	80.79	ST
	Oct 24, 12	49 000	57.416	2 813.42	62 630	3 068.87	255.45	ST
	Oct 25, 12	35 000	60 000	2 100.00	62 630	2 192 05	92 05	ST
	Nov 5, 12	23 000	60 042	1 380.98	62 630	1 440.49	59.51	ST
	Nov 15, 12	22 000	57.890	1 273 60	62 630	1 377.86	104.26	ST
	Nov 19, 12	9 000	59.721	537.49	62 630	563 67	26.18	ST
	Dec 12, 12	10 000	62.617	626.17	62 630	626.30	0.13	ST
Security total		212 000	58.483	12 398 45		13 277.56	879.11	

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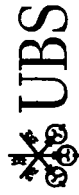
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UBS ACCOUNT #35857

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
ANHEUSER BUSCH INBEV SPON ADR								
Symbol BUD Exchange NYSE								
EAI \$204 Current yield 1.48%								
	Oct 2, 12	21,000	88.243	1,853.11	87.410	1,835.61	-17.50	ST
	Oct 2, 12	20,000	88.420	1,768.41	87.410	1,748.20	-20.21	ST
	Oct 3, 12	31,000	87.604	2,715.75	87.410	2,709.71	-6.04	ST
	Oct 4, 12	31,000	88.647	2,748.08	87.410	2,709.71	-38.37	ST
	Oct 4, 12	11,000	88.664	975.31	87.410	961.51	-13.80	ST
	Oct 5, 12	23,000	90.639	2,084.71	87.410	2,010.43	-74.28	ST
	Oct 15, 12	6,000	86.898	521.39	87.410	524.46	3.07	ST
	Nov 5, 12	7,000	82.301	576.11	87.410	611.87	35.76	ST
	Dec 12, 12	8,000	87.805	702.44	87.410	699.28	-3.16	ST
Security total		158,000	88.261	13,945.31		13,810.78	-134.53	
APPLE INC								
Symbol AAPL Exchange OTC								
EAI \$869 Current yield 1.99%								
	Oct 15, 09	5,000	190.032	950.16	532.172	2,660.86	1,710.70	LT
	Oct 21, 09	5,000	205.464	1,027.32	532.172	2,660.86	1,633.54	LT
	Dec 9, 09	34,000	196.580	6,683.72	532.172	18,093.85	11,410.13	LT
	Jan 13, 11	19,000	346.219	6,578.17	532.172	10,111.27	3,533.10	LT
	Feb 11, 11	12,000	356.350	4,276.20	532.172	6,386.06	2,109.86	LT
	Oct 7, 11	1,000	376.040	376.04	532.172	532.17	156.13	LT
	May 8, 12	2,000	563.190	1,126.38	532.172	1,064.34	-62.04	ST
	Jun 11, 12	3,000	582.906	1,748.72	532.172	1,596.52	-152.20	ST
	Jun 20, 12	1,000	585.120	585.12	532.172	532.17	-52.95	ST
Security total		82,000	284.778	23,351.83		43,638.10	20,286.27	
BROADCOM CORP CL A								
Symbol BRCM Exchange OTC								
EAI \$120 Current yield 1.20%								
	Jun 1, 12	118,000	31.727	3,743.90	33.210	3,918.78	174.88	ST
	Jun 1, 12	117,000	31.825	3,723.63	33.210	3,885.57	161.94	ST
	Jun 18, 12	49,000	34.710	1,700.81	33.210	1,627.29	-73.52	ST
	Jun 22, 12	16,000	33.756	540.10	33.210	531.36	-8.74	ST
Security total		300,000	32.361	9,708.44		9,963.00	254.56	

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UBS ACCOUNT #35857

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
CAPITAL ONE FINCL CORP								
Symbol COF Exchange NYSE								
EAI \$33 Current yield 0.35%	Oct 23, 12	71,000	59.504	4,224.79	57.930	4,113.03	-111.76	ST
	Oct 23, 12	38,000	60.148	2,285.63	57.930	2,201.34	-84.29	ST
	Oct 24, 12	34,000	60.198	2,046.75	57.930	1,969.62	-77.13	ST
	Nov 19, 12	11,000	57.890	636.79	57.930	637.23	0.44	ST
	Dec 12, 12	11,000	58.744	646.19	57.930	637.23	-8.96	ST
Security total		165,000	59.637	9,840.15		9,558.45	-281.70	
CBS CORP NEW CL B								
Symbol CBS Exchange NYSE								
EAI \$141 Current yield 1.26%	Aug 23, 12	36,000	36.206	1,303.45	38.050	1,369.80	66.35	ST
	Aug 24, 12	79,000	35.893	2,835.59	38.050	3,005.95	170.36	ST
	Aug 27, 12	79,000	36.657	2,895.97	38.050	3,005.95	109.98	ST
	Aug 29, 12	20,000	36.267	725.34	38.050	761.00	35.66	ST
	Aug 30, 12	21,000	36.188	759.96	38.050	799.05	39.09	ST
	Sep 21, 12	58,000	38.132	2,211.69	38.050	2,206.90	-4.79	ST
Security total		293,000	36.628	10,732.00		11,148.65	416.65	
CROWN CASTLE INTL CORP								
Symbol CCI Exchange NYSE								
	Mar 8, 12	5,000	53.906	269.53	72.160	360.80	91.27	ST
	Mar 8, 12	1,000	53.910	53.91	72.160	72.16	18.25	ST
	Mar 9, 12	58,000	54.005	3,132.34	72.160	4,185.28	1,052.94	ST
	Mar 12, 12	57,000	55.095	3,140.45	72.160	4,113.12	972.67	ST
	Mar 14, 12	11,000	52.519	577.71	72.160	793.76	216.05	ST
	Mar 20, 12	13,000	52.743	685.67	72.160	938.08	252.41	ST
	Mar 26, 12	11,000	53.699	590.69	72.160	793.76	203.07	ST
	Apr 16, 12	57,000	54.228	3,091.01	72.160	4,113.12	1,022.11	ST
	May 3, 12	18,000	56.627	1,019.29	72.160	1,298.88	279.59	ST
	May 21, 12	24,000	53.347	1,280.34	72.160	1,731.84	451.50	ST
Security total		255,000	54.278	13,840.94		18,400.80	4,559.86	
CUMMINS INC								
Symbol CMI Exchange NYSE								
EAI \$224 Current yield 1.85%	Jul 13, 10	9,000	72.478	652.31	108.350	975.15	322.84	LT
	Jul 14, 10	14,000	73.755	1,032.58	108.350	1,516.90	484.32	LT

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UBS ACCOUNT #35857

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
DANAHER CORP Symbol: DHR Exchange NYSE EAI \$27 Current yield 0.18%	Jan 13, 11	24,000	111.443	2,674.64	108.350	2,600.40	-74.24	LT
	Feb 7, 11	16,000	111.795	1,788.72	108.350	1,733.60	-55.12	LT
	Feb 11, 11	15,000	111.930	1,678.95	108.350	1,625.25	-53.70	LT
	Apr 21, 11	8,000	108.618	868.95	108.350	866.80	-2.15	LT
	Oct 15, 12	8,000	88.926	711.41	108.350	866.80	155.39	ST
	Oct 16, 12	6,000	90.210	541.26	108.350	650.10	108.84	ST
	Oct 18, 12	12,000	93.207	1,118.49	108.350	1,300.20	181.71	ST
		112,000	98.815	11,067.31		12,135.20	1,067.89	
	May 3, 11	7,000	55.015	385.11	55.900	391.30	6.19	LT
	May 4, 11	47,000	54.899	2,580.29	55.900	2,627.30	47.01	LT
DOLLAR GEN CORP NEW Symbol: DG Exchange NYSE	Jun 3, 11	13,000	52.149	677.94	55.900	726.70	48.76	LT
	Jun 9, 11	20,000	52.029	1,040.58	55.900	1,118.00	77.42	LT
	Jun 15, 11	84,000	51.709	4,343.58	55.900	4,695.60	352.02	LT
	Jun 15, 11	43,000	51.807	2,227.74	55.900	2,403.70	175.96	LT
	Jul 21, 11	15,000	52.280	784.20	55.900	838.50	54.30	LT
	Jul 25, 11	10,000	51.659	516.59	55.900	559.00	42.41	LT
	Oct 7, 11	10,000	42.438	424.38	55.900	559.00	134.62	LT
	Mar 7, 12	10,000	52.157	521.57	55.900	559.00	37.43	ST
	May 8, 12	10,000	53.007	536.14 ²	55.900	559.00	22.86	LT
		269,000	52.186	14,038.12		15,037.10	998.98	
DOLLAR GEN CORP NEW Symbol: DG Exchange NYSE	Sep 16, 11	32,000	38.301	1,225.64	44.090	1,410.88	185.24	LT
	Sep 19, 11	33,000	37.699	1,244.08	44.090	1,454.97	210.89	LT
	Oct 5, 11	19,000	37.423	711.04	44.090	837.71	126.67	LT
	Dec 20, 11	52,000	40.757	2,119.37	44.090	2,292.68	173.31	LT
	Jan 31, 12	10,000	42.495	424.95	44.090	440.90	15.95	ST
	Feb 16, 12	36,000	42.000	1,512.00	44.090	1,587.24	75.24	ST
	Mar 7, 12	10,000	42.448	424.48	44.090	440.90	16.42	ST
	Mar 20, 12	13,000	45.162	587.11	44.090	573.17	-13.94	ST

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Your assets • **Equities** • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Mar 26, 12	13,000	47.308	615.01	44.090	573.17	-41.84	ST
	Mar 29, 12	96,000	46.250	4,440.00	44.090	4,232.64	-207.36	ST
	May 8, 12	10,000	47.093	470.93	44.090	440.90	-30.03	ST
	Jun 25, 12	17,000	52.973	900.55	44.090	749.53	-151.02	ST
	Aug 31, 12	31,000	50.926	1,578.73	44.090	1,366.79	-211.94	ST
		372,000	43.693	16,253.89		16,401.48	147.59	
EBAY INC								
Symbol	EBAY	Exchange	OTC					
Security total	Dec 8, 11	73,000	31.316	2,286.12	50.997	3,722.78	1,436.66	LT
	Dec 9, 11	149,000	31.586	4,706.37	50.997	7,598.55	2,892.18	LT
	Dec 19, 11	41,000	30.435	1,247.86	50.997	2,090.88	843.02	LT
	Feb 16, 12	44,000	34.160	1,503.04	50.997	2,243.87	740.83	ST
	Feb 21, 12	37,000	35.107	1,298.98	50.997	1,886.89	587.91	ST
	Mar 7, 12	10,000	35.468	354.68	50.997	509.97	155.29	ST
	Mar 14, 12	14,000	37.480	524.72	50.997	713.96	189.24	ST
	Jun 11, 12	39,000	41.540	1,620.06	50.997	1,988.88	368.82	ST
		407,000	33.272	13,541.83		20,755.77	7,213.95	
GILEAD SCIENCES INC								
Symbol	GILD	Exchange	OTC					
Security total	Jan 18, 12	23,000	47.396	1,090.13	73.450	1,689.35	599.22	ST
	Jan 19, 12	118,000	47.231	5,573.29	73.450	8,667.10	3,093.81	ST
	Apr 9, 12	10,000	46.967	469.67	73.450	734.50	264.83	ST
	Jul 12, 12	14,000	49.953	699.35	73.450	1,028.30	328.95	ST
	Jul 12, 12	11,000	50.737	558.11	73.450	807.95	249.84	ST
	Jul 20, 12	10,000	53.147	531.47	73.450	734.50	203.03	ST
	Aug 29, 12	28,000	57.682	1,615.12	73.450	2,056.60	441.48	ST
		214,000	49.239	10,537.14		15,718.30	5,181.16	
GOOGLE INC CL A								
Symbol	GOOG	Exchange	OTC					
Security total	Jan 13, 11	1,000	619.000	619.00	707.380	707.38	88.38	LT
	Feb 11, 11	3,000	618.696	1,856.09	707.380	2,122.14	266.05	LT
	Jul 15, 11	3,000	592.403	1,777.21	707.380	2,122.14	344.93	LT
	Jul 21, 11	8,000	606.272	4,850.18	707.380	5,659.04	808.86	LT
	Oct 7, 11	1,000	519.360	519.36	707.380	707.38	188.02	LT
	Jan 18, 12	2,000	631.830	1,263.66	707.380	1,414.76	151.10	ST

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Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
SECURITY TOTAL	Apr 26, 12	1,000	616.240	616.24	707.380	707.38	91.14	ST
	May 14, 12	1,000	602.940	602.94	707.380	707.38	104.44	ST
	Aug 29, 12	2,000	687.915	1,375.83	707.380	1,414.76	38.93	ST
	Oct 23, 12	2,000	682.510	1,365.02	707.380	1,414.76	49.74	ST
	Nov 5, 12	3,000	680.610	2,041.83	707.380	2,122.14	80.31	ST
	Nov 15, 12	2,000	651.535	1,303.07	707.380	1,414.76	111.69	ST
	Nov 20, 12	1,000	676.080	676.08	707.380	707.38	31.30	ST
	Dec 12, 12	1,000	699.250	699.25	707.380	707.38	8.13	ST
		31,000	631.154	19,565.76		21,928.78	2,363.02	
HERSHEY CO Symbol HSY Exchange NYSE EAI. \$245 Current yield 2.32%	Jun 29, 12	25,000	71.457	1,786.43	72.220	1,805.50	19.07	ST
	Jul 2, 12	38,000	71.749	2,726.48	72.220	2,744.36	17.88	ST
	Jul 3, 12	31,000	72.650	2,252.15	72.220	2,238.82	-13.33	ST
	Jul 5, 12	31,000	72.500	2,247.50	72.220	2,238.82	-8.68	ST
	Jul 20, 12	11,000	71.100	782.11	72.220	794.42	12.31	ST
	Jul 23, 12	10,000	70.817	708.17	72.220	722.20	14.03	ST
		146,000	71.937	10,502.84		10,544.12	41.28	
HERTZ GLOBAL HOLDINGS INC Symbol HTZ Exchange NYSE	Apr 18, 11	43,000	16.644	715.72	16.270	699.61	-16.11	LT
	Apr 19, 11	78,000	16.745	1,306.18	16.270	1,269.06	-37.12	LT
	Apr 19, 11	77,000	16.886	1,300.28	16.270	1,252.79	-47.49	LT
	Apr 20, 11	74,000	17.249	1,276.48	16.270	1,203.98	-72.50	LT
	Apr 27, 11	86,000	17.094	1,516.40 ²	16.270	1,399.22	-117.18	LT
	May 3, 11	126,000	16.871	2,125.80	16.270	2,050.02	-75.78	LT
	Aug 31, 11	39,000	11.238	438.32	16.270	634.53	196.21	LT
	Sep 1, 11	55,000	11.209	616.54	16.270	894.85	278.31	LT
	Mar 7, 12	20,000	13.985	279.71	16.270	325.40	45.69	ST
	May 21, 12	48,000	12.935	655.56 ²	16.270	780.96	125.40	ST
	Aug 29, 12	46,000	14.511	667.52	16.270	748.42	80.90	ST
	Aug 30, 12	33,000	14.351	473.60	16.270	536.91	63.31	ST

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Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Nov 16, 12	57 000	14 581	856.18 ²	16 270	927.39	71.21	
Security total		782.000	15.637	12,228.29		12,723.14	494.85	
HOME DEPOT INC								
Symbol HD Exchange NYSE								
EAI \$197 Current yield 1.87%								
	Jun 18, 12	103.000	52 330	5,389.99	61 850	6,370.55	980.56	ST
	Jun 22, 12	21.000	51.790	1,087.59	61 850	1,298.85	211.26	ST
	Jun 27, 12	10.000	51.574	515.74	61 850	618.50	102.76	ST
	Sep 21, 12	11.000	59.847	658.32	61 850	680.35	22.03	ST
	Oct 4, 12	25.000	61.907	1,547.69	61 850	1,546.25	-1.44	ST
Security total		170 000	54 114	9,199.33		10,514.50	1,315.17	
INTUITIVE SURGICAL INC NEW								
Symbol ISRG Exchange OTC								
	Feb 2, 12	1 000	484.630	484.63	490 370	490 37	5.74	ST
	Feb 3, 12	6.000	490.786	2,944.72	490 370	2,942.22	-2.50	ST
	Feb 6, 12	7.000	492.591	3,448.14	490 370	3,432.59	-15.55	ST
	Mar 7, 12	1.000	507.710	507.71	490 370	490.37	-17.34	ST
	Jun 5, 12	2.000	519.005	1,038.01	490 370	980.74	-57.27	ST
	Jun 6, 12	1.000	536.960	536.96	490 370	490 37	-46.59	ST
	Dec 19, 12	1.000	529.420	529.42	490 370	490.37	-39.05	ST
Security total		19.000	499.452	9,489.59		9,317.03	-172.56	
LIBERTY MEDIA CORPORATION COM								
Symbol LMCA Exchange OTC								
	Sep 27, 12	6.000	103.923	623.54	116.010	696.06	72.52	ST
	Sep 27, 12	3.000	103.923	311.77	116.010	348.03	36.26	ST
	Sep 28, 12	25.000	103.734	2,593.37	116 010	2,900.25	306.88	ST
	Oct 1, 12	17.000	105.358	1,791.10	116.010	1,972.17	181.07	ST
	Oct 2, 12	16.000	106.014	1,696.23	116.010	1,856.16	159.93	ST
	Oct 3, 12	18 000	106 857	1,923.44	116 010	2,088 18	164.74	ST
	Oct 4, 12	27.000	110.024	2,970.65	116.010	3,132.27	161.62	ST
	Oct 5, 12	5.000	107.646	538.23	116.010	580.05	41.82	ST
	Oct 11, 12	5 000	108.278	541.39	116 010	580.05	38.66	ST
Security total		122.000	106.473	12,989.72		14,153.22	1,163.50	
LIMITED BRANDS INC CL A								
Symbol LTD Exchange NYSE								
EAI \$360 Current yield 2.12%								
	Apr 18, 12	78.000	49.206	3,838.07	47.060	3,670.68	-167.39	ST

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Holding	Trade date	Number of Shares	Purchase price/ Average price per Share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
LINKEDIN CORP CL A Symbol: LNKD Exchange NYSE	Apr 19, 12	87,000	48.864	4,251.18	47.060	4,094.22	-156.96	ST
	Apr 23, 12	31,000	48.307	1,497.54	47.060	1,458.86	-38.68	ST
	Apr 24, 12	28,000	48.852	1,367.88	47.060	1,317.68	-50.20	ST
	May 14, 12	14,000	48.010	672.15	47.060	658.84	-13.31	ST
	May 17, 12	12,000	47.231	566.78	47.060	564.72	-2.06	ST
	Jun 14, 12	10,000	42.075	420.75	47.060	470.60	49.85	ST
	Jun 27, 12	13,000	40.699	529.09	47.060	611.78	82.69	ST
	Sep 14, 12	12,000	50.196	602.36	47.060	564.72	-37.64	ST
	Sep 19, 12	11,000	49.930	549.23	47.060	517.66	-31.57	ST
	Sep 20, 12	23,000	50.507	1,161.67	47.060	1,082.38	-79.29	ST
	Sep 21, 12	27,000	52.018	1,404.51	47.060	1,270.62	-133.89	ST
	Oct 5, 12	14,000	50.384	705.38	47.060	658.84	-46.54	ST
Security total		360,000	48.796	17,566.59		16,941.60	-624.99	
LULULEMON ATHLETICA INC Symbol: LULU Exchange OTC	Nov 19, 12	40,000	103.845	4,153.82	114.820	4,592.80	438.98	ST
	Nov 20, 12	40,000	105.258	4,210.35	114.820	4,592.80	382.45	ST
		80,000	104.552	8,364.17		9,185.60	821.43	
Security total								
MONSANTO CO NEW Symbol: MON Exchange NYSE EAI \$276 Current yield 1.58%	Oct 5, 11	4,000	49.497	197.99	76.230	304.92	106.93	LT
	Oct 6, 11	54,000	51.294	2,769.91	76.230	4,116.42	1,346.51	LT
	Nov 14, 11	10,000	54.296	542.96	76.230	762.30	219.34	LT
	Nov 21, 11	16,000	47.695	763.13	76.230	1,219.68	456.55	LT
	Dec 7, 11	19,000	45.297	860.66	76.230	1,448.37	587.71	LT
	Jun 11, 12	19,000	64.815	1,231.49	76.230	1,448.37	216.88	ST
	Dec 12, 12	7,000	74.860	524.02	76.230	533.61	9.59	ST
		129,000	53.412	6,890.16		9,833.67	2,943.51	
Security total								
	Jul 22, 11	25,000	75.376	1,884.42	94.650	2,366.25	481.83	LT
	Sep 13, 11	18,000	67.010	1,206.18	94.650	1,703.70	497.52	LT
	Oct 5, 11	56,000	64.994	3,639.71	94.650	5,300.40	1,660.69	LT
	Nov 22, 11	4,000	69.477	277.91	94.650	378.60	100.69	LT

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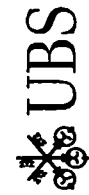
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Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Jan 4, 12	16,000	71.538	1,144.62	94.650	1,514.40	369.78	ST
	Feb 22, 12	33,000	80.065	2,642.15	94.650	3,123.45	481.30	ST
	Mar 14, 12	11,000	78.284	861.13	94.650	1,041.15	180.02	ST
	Jun 14, 12	10,000	78.493	784.93	94.650	946.50	161.57	ST
	Oct 15, 12	11,000	89.046	979.51	94.650	1,041.15	61.64	ST
Security total		184,000	72.938	13,420.56		17,415.60	3,995.04	
PIONEER NAT RES CO								
Symbol: PXD Exchange: NYSE								
EAI: \$9 Current yield: 0.07%								
	Jan 13, 12	57,000	96.993	5,528.63	106.590	6,075.63	547.00	ST
	Jan 13, 12	31,000	95.904	2,973.03	106.590	3,304.29	331.26	ST
	Mar 8, 12	13,000	107.566	1,398.36	106.590	1,385.67	-12.69	ST
	Mar 14, 12	6,000	107.365	644.19	106.590	639.54	-4.65	ST
	Mar 26, 12	7,000	103.950	727.65	106.590	746.13	18.48	ST
Security total		114,000	98.876	11,271.86		12,151.26	879.40	
PRECISION CASTPARTS CORP								
Symbol: PCP Exchange: NYSE								
EAI: \$11 Current yield: 0.06%								
	Apr 27, 10	3,000	132.180	396.54	189.420	568.26	171.72	LT
	Apr 27, 10	3,000	132.183	396.55	189.420	568.26	171.71	LT
	Apr 27, 10	1,000	130.820	130.82	189.420	189.42	58.60	LT
	Apr 27, 10	1,000	132.180	132.18	189.420	189.42	57.24	LT
	Apr 28, 10	6,000	131.131	786.79	189.420	1,136.52	349.73	LT
	Apr 30, 10	10,000	129.035	1,290.35	189.420	1,894.20	603.85	LT
	May 10, 10	6,000	124.570	747.42	189.420	1,136.52	389.10	LT
	Sep 14, 10	7,000	124.244	869.71	189.420	1,325.94	456.23	LT
	Jan 13, 11	15,000	142.555	2,138.33	189.420	2,841.30	702.97	LT
	Feb 11, 11	11,000	149.810	1,647.91	189.420	2,083.62	435.71	LT
	May 6, 11	8,000	158.003	1,264.03	189.420	1,515.36	251.33	LT
	Oct 5, 11	11,000	149.651	1,646.17	189.420	2,083.62	437.45	LT
	Mar 7, 12	3,000	165.193	495.58	189.420	568.26	72.68	ST
	May 31, 12	2,000	165.030	330.06	189.420	378.84	48.78	ST
	Oct 23, 12	4,000	162.065	648.26	189.420	757.68	109.42	ST
Security total		91,000	141.986	12,920.70		17,237.22	4,316.52	

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Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
PRICELINE.COM INC NEW								
Symbol PCLN Exchange: OTC								
	Dec 9, 09	2,000	217.140	434.28	620.390	1,240.78	806.50	LT
	Jan 13, 11	5,000	437.670	2,188.35	620.390	3,101.95	913.60	LT
	Feb 11, 11	4,000	452.390	1,809.56	620.390	2,481.56	672.00	LT
	Apr 1, 11	2,000	514.490	1,028.98	620.390	1,240.78	211.80	LT
	May 8, 12	1,000	714.810	714.81	620.390	620.39	-94.42	ST
	Aug 8, 12	2,000	571.130	1,142.26	620.390	1,240.78	98.52	ST
	Nov 28, 12	1,000	643.940	643.94	620.390	620.39	-23.55	ST
Security total		17,000	468.364	7,962.18		10,546.63	2,584.45	
QUALCOMM INC								
Symbol QCOM Exchange: OTC								
EAI \$200 Current yield 1.62%								
	Nov 11, 10	27,000	47.892	1,293.10	61.859	1,670.19	377.09	LT
	Jan 13, 11	40,000	51.908	2,076.32	61.859	2,474.36	398.04	LT
	Feb 11, 11	30,000	57.290	1,718.70	61.859	1,855.77	137.07	LT
	Feb 25, 11	54,000	58.991	3,185.52	61.859	3,340.39	154.87	LT
	Mar 7, 11	19,000	57.008	1,083.17	61.859	1,175.32	92.15	LT
	Jun 3, 11	10,000	57.340	573.40	61.859	618.59	45.19	LT
	Aug 31, 11	10,000	52.108	521.08	61.859	618.59	97.51	LT
	Mar 7, 12	10,000	61.860	618.60	61.859	618.59	-0.01	ST
Security total		200,000	55.349	11,069.89		12,371.80	1,301.91	
SALESFORCE.COM INC								
Symbol CRM Exchange: NYSE								
	Nov 21, 12	19,000	158.035	3,002.67	168.100	3,193.90	191.23	ST
	Nov 21, 12	16,000	157.424	2,518.79	168.100	2,689.60	170.81	ST
	Nov 21, 12	7,000	158.950	1,112.65	168.100	1,176.70	64.05	ST
	Nov 26, 12	10,000	157.284	1,572.84	168.100	1,681.00	108.16	ST
	Nov 26, 12	5,000	157.474	787.37	168.100	840.50	53.13	ST
	Nov 27, 12	11,000	157.365	1,731.02	168.100	1,849.10	118.08	ST
Security total		68,000	157.726	10,725.34		11,430.80	705.46	
SANOFI SPON ADR								
Symbol SNOY Exchange: NYSE								
EAI \$392 Current yield 3.02%								
	Dec 13, 12	41,000	47.064	1,929.66	47.380	1,942.58	12.92	ST
	Dec 14, 12	51,000	47.248	2,409.68	47.380	2,416.38	6.70	ST

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Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		274,000	47,243	12,944.54	47,380	12,982.12	37.58	ST
STARBUCKS CORP								
Symbol SBUX Exchange OTC								
EAI \$215 Current yield 1.57%								
	Mar 11, 11	50,000	37.242	1,862.11	53.630	2,681.50	819.39	LT
	Mar 11, 11	10,000	36.712	367.12	53.630	536.30	169.18	LT
	Mar 15, 11	38,000	35.536	1,350.39	53.630	2,037.94	687.55	LT
	Jan 31, 12	20,000	48.180	963.60	53.630	1,072.60	109.00	ST
	Mar 9, 12	35,000	51.828	1,814.00	53.630	1,877.05	63.05	ST
	Mar 14, 12	13,000	52.768	685.99	53.630	697.19	11.20	ST
	May 21, 12	20,000	53.268	1,065.37	53.630	1,072.60	7.23	ST
	Jun 6, 12	11,000	53.317	586.49	53.630	589.93	3.44	ST
	Jun 11, 12	15,000	53.460	801.90	53.630	804.45	2.55	ST
	Jun 18, 12	11,000	53.709	590.80	53.630	589.93	-0.87	ST
	Nov 5, 12	33,000	50.946	1,681.22	53.630	1,769.79	88.57	ST
Security total		256,000	45.973	11,768.99	53,630	13,729.28	1,960.29	ST
TIX COS INC NEW								
Symbol: TIX Exchange NYSE								
EAI \$157 Current yield 1.08%								
	Sep 19, 11	6,000	28.220	169.32	42.450	254.70	85.38	LT
	Sep 20, 11	88,000	28.956	2,548.17	42.450	3,735.60	1,187.43	LT
	Sep 22, 11	104,000	28.092	2,921.60	42.450	4,414.80	1,493.20	LT
	Oct 7, 11	20,000	28.279	565.59	42.450	849.00	283.41	LT
	Mar 7, 12	20,000	36.799	735.98	42.450	849.00	113.02	ST
	May 8, 12	10,000	40.789	407.89	42.450	424.50	16.61	ST
	Aug 29, 12	32,000	46.496	1,487.90	42.450	1,358.40	-129.50	ST
	Sep 14, 12	19,000	46.314	879.98	42.450	806.55	-73.43	ST
	Sep 17, 12	20,000	45.988	919.76	42.450	849.00	-70.76	ST
	Oct 5, 12	16,000	45.228	723.66	42.450	679.20	-44.46	ST
	Nov 20, 12	7,000	43.520	304.64	42.450	297.15	-7.49	ST
Security total		342,000	34.107	11,664.49	42,450	14,517.90	2,853.41	ST

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Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
UNION PACIFIC CORP								
Symbol UNP Exchange NYSE								
EAI \$392 Current yield 2.20%								
	Nov 4, 10	15 000	91 730	1,375.96	125 720	1,885.80	509.84	LT
	Dec 30, 10	7 000	91 984	643.89	125 720	880.04	236.15	LT
	Dec 31, 10	5 000	92 576	462.88	125 720	628.60	165.72	LT
	Jan 13, 11	20 000	98 766	1,975.32	125 720	2,514.40	539.08	LT
	Feb 11, 11	20 000	99 228	1,984.56	125 720	2,514.40	529.84	LT
	Mar 18, 11	19 000	95 002	1,805.04	125 720	2,388.68	583.64	LT
	Apr 5, 11	10 000	98 509	985.09	125 720	1,257.20	272.11	LT
	Mar 7, 12	4 000	108 185	432.74	125 720	502.88	70.14	ST
	Mar 20, 12	7 000	111 707	781.95	125 720	880.04	98.09	ST
	Jul 12, 12	5 000	116 598	582.99	125 720	628.60	45.61	ST
	Aug 29, 12	18 000	123 041	2,214.74	125 720	2,262.96	48.22	ST
	Oct 5, 12	7 000	121 715	852.01	125 720	880.04	28.03	ST
	Oct 23, 12	5 000	122 110	610.55	125 720	628.60	18.05	ST
Security total		142 000	103 575	14,707.72		17,852.24	3,144.52	
UNITEDHEALTH GROUP INC								
Symbol UNH Exchange NYSE								
EAI \$160 Current yield 1 57%								
	Aug 29, 12	42 000	54 761	2,299.97	54 240	2,278.08	-21.89	ST
	Aug 30, 12	63 000	54 838	3,454.81	54 240	3,417.12	-37.69	ST
	Aug 31, 12	63 000	54 597	3,439.62	54 240	3,417.12	-22.50	ST
	Oct 23, 12	12 000	55 951	671.42	54 240	650.88	-20.54	ST
	Nov 26, 12	8 000	53 027	424.22	54 240	433.92	9.70	ST
Security total		188 000	54 734	10,290.04		10,197.12	-92.92	
VISA INC CL A								
Symbol V Exchange NYSE								
EAI \$177 Current yield 0.87%								
	Jun 30, 11	36 000	84 723	3,050.06	151 580	5,456.88	2,406.82	LT
	Jul 25, 11	50 000	89 747	4,487.36	151 580	7,579.00	3,091.64	LT
	Sep 13, 11	11 000	87 417	961.59	151 580	1,667.38	705.79	LT
	Mar 7, 12	4 000	115 070	460.28	151 580	606.32	146.04	ST
	Jun 11, 12	17 000	117 630	1,999.71	151 580	2,576.86	577.15	ST
	Sep 21, 12	16 000	135 385	2,166.16	151 580	2,425.28	259.12	ST
								continued next page



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UBS ACCOUNT #35857

Your assets > **Equities** > **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		134,000	97.949	13,125.16		20,311.72	7,186.56	
VMWARE INC CL A								
Symbol VMW Exchange. NYSE								
	Sep 11, 12	33,000	93.960	3,100.69	94.140	3,106.62	5.93	ST
	Sep 12, 12	33,000	97.487	3,217.09	94.140	3,106.62	-110.47	ST
	Sep 13, 12	33,000	97.447	3,215.77	94.140	3,106.62	-109.15	ST
	Oct 2, 12	8,000	95.558	764.47	94.140	753.12	-11.35	ST
	Oct 5, 12	6,000	97.185	583.11	94.140	564.84	-18.27	ST
	Oct 24, 12	15,000	85.865	1,287.98	94.140	1,412.10	124.12	ST
	Oct 25, 12	5,000	86.186	430.93	94.140	470.70	39.77	ST
	Nov 19, 12	9,000	86.590	779.31	94.140	847.26	67.95	ST
	Dec 12, 12	10,000	92.031	920.31	94.140	941.40	21.09	ST
Security total		152,000	94.077	14,299.66		14,309.28	9.62	
WHOLE FOODS MARKET INC								
Symbol WFM Exchange OTC								
EAI \$132 Current yield 0.88%								
	May 21, 12	22,000	85.254	1,875.59	91.160	2,005.52	129.93	ST
	May 22, 12	21,000	87.090	1,828.91	91.160	1,914.36	85.45	ST
	May 23, 12	32,000	85.450	2,734.42	91.160	2,917.12	182.70	ST
	May 23, 12	31,000	85.919	2,663.51	91.160	2,825.96	162.45	ST
	Jul 12, 12	8,000	93.741	749.93	91.160	729.28	-20.65	ST
	Jul 20, 12	6,000	84.386	506.32	91.160	546.96	40.64	ST
	Jul 23, 12	7,000	83.467	584.27	91.160	638.12	53.85	ST
	Aug 29, 12	9,000	97.761	879.85	91.160	820.44	-59.41	ST
	Oct 5, 12	6,000	100.840	605.04	91.160	546.96	-58.08	ST
	Oct 15, 12	7,000	97.421	681.95	91.160	638.12	-43.83	ST
	Nov 5, 12	16,000	97.167	1,554.68	91.160	1,458.56	-96.12	ST
Security total		165,000	88.876	14,664.47		15,041.40	376.93	
WILLIAMS COS INC (DEL)								
Symbol WMB Exchange NYSE								
EAI \$377 Current yield 3.97%								
	Apr 19, 12	68,000	32.199	2,189.58	32.740	2,226.32	36.74	ST
	Apr 20, 12	91,000	32.416	2,949.94	32.740	2,979.34	29.40	ST
	May 14, 12	21,000	32.000	672.00	32.740	687.54	15.54	ST
	May 21, 12	64,000	30.655	1,961.95	32.740	2,095.36	133.41	ST

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December 2012

UBS ACCOUNT #35857

Your assets • **Equities** • **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
	May 31, 12	18,000	29.997	539.95	32.740	589.32	49.37	ST
	Jun 11, 12	28,000	29.572	828.03	32.740	916.72	88.69	ST
Security total		290,000	31.522	9,141.45		9,494.60	353.15	
Total				\$483,523.87		\$566,329.17	\$82,805.31	

Total estimated annual income: \$5,397

² Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction

Your total assets

Cash	Cash and money balances	Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
		12,461.29	2.15%	12,461.29		
Equities	Common stock	566,329.17	97.85%	483,523.87	5,397.00	82,805.31
Total		\$578,790.46	100.00%	\$495,985.16	\$5,397.00	\$82,805.31

Account activity this month

Dividend and interest income		Taxable dividends		Amount (\$)	
Date	Activity	Description			
Dec 3	Dividend	CUMMINS INC PAID ON	112		56.00
Dec 3	Dividend	GRAINGER W W INC PAID ON	37 AS OF 12/01/12		29.60
Dec 4	Dividend	VISA INC CL A PAID ON	148		48.84
Dec 10	Dividend	LIMITED BRANDS INC CL A PAID ON	370		92.50
Dec 10	Dividend	BROADCOM CORP CL A PAID ON	300		30.00
Dec 10	Dividend	RMA MONEY MKT. PORTFOLIO			0.02
Dec 12	Dividend	ALLERGAN INC PAID ON	138		6.90
Dec 13	Dividend	HOME DEPOT INC PAID ON	170		49.30
Dec 14	Dividend	HERSHEY CO PAID ON	146		61.32
Dec 17	St Cap Gain	UBS SELECT PRIME CAPITAL FUND AS OF 12/14/12			0.02
Dec 21	Dividend	QUALCOMM INC PAID ON	200		50.00
Dec 21	Dividend	WHOLE FOODS MARKET INC PAID ON	165		330.00
Dec 21	Dividend	UNITEDHEALTH GROUP INC PAID ON	188		39.95

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December 2012

UBS ACCOUNT #36363

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period
RMA MONEY MKT. PORTFOLIO	15,174.65	0.00	1.00	0.01%	Nov 26 to Dec 23	28
UBS SELECT PRIME CAPITAL	0.00	30,693.02	1.00	0.09%	Nov 1 to Nov 30	30
Total	\$15,174.65	\$30,693.02				

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
ALLSTATE CORP								
Symbol ALL Exchange NYSE								
EAI \$260 Current yield 2.19%								
	Mar 10, 06	15,000	54.579	818.69	40.170	602.55	-216.14	LT
	Mar 10, 06	5,000	54.320	271.60	40.170	200.85	-70.75	LT
	Mar 13, 06	10,000	54.879	548.79	40.170	401.70	-147.09	LT
	Mar 17, 06	30,000	54.814	1,644.44	40.170	1,205.10	-439.34	LT
	Nov 13, 08	65,000	26.858	1,745.80	40.170	2,611.05	865.25	LT
	Dec 9, 09	50,000	27.787	1,389.38	40.170	2,008.50	619.12	LT
	Jan 14, 11	85,000	30.698	2,609.36	40.170	3,414.45	805.09	LT
	Feb 11, 11	35,000	31.658	1,108.03	40.170	1,405.95	297.92	LT
Security total		295,000	34,360	10,136.09		11,850.15	1,714.06	

AMER ELECTRIC POWER CO

Symbol AEP Exchange NYSE

EAI \$506 Current yield 4.41%

	Jan 6, 12	53,000	40.830	2,163.99	42.680	2,262.04	98.05	ST
	Jan 10, 12	216,000	41.410	8,944.62	42.680	9,218.88	274.26	ST
Security total		269,000	41,296	11,108.61		11,480.92	372.31	

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December 2012

UBS ACCOUNT #36363

Your assets • **Equities** • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
AMERIPRISE FINANCIAL INC								
Symbol AMP Exchange NYSE								
EAI \$364 Current yield 2.88%	Aug 30, 10	68,000	43.340	2,947.13	62.630	4,258.84	1,311.71	LT
	Sep 2, 10	50,000	46.023	2,301.16	62.630	3,131.50	830.34	LT
	Feb 11, 11	12,000	63.909	766.91	62.630	751.56	-15.35	LT
	Oct 19, 11	72,000	41.620	2,996.64	62.630	4,509.36	1,512.72	LT
Security total		202,000	44.613	9,011.84		12,651.26	3,639.42	
ANNALY CAPITAL MANAGEMENT INC REIT								
Symbol NLY Exchange NYSE								
EAI \$1,345 Current yield 12.82%	May 17, 10	116,000	16.100	1,867.60	14.040	1,628.64	-238.96	LT
	Jan 14, 11	355,000	17.730	6,294.15	14.040	4,984.20	-1,309.95	LT
	Feb 10, 11	15,000	17.906	268.59	14.040	210.60	-57.99	LT
	Feb 11, 11	105,000	17.949	1,884.67	14.040	1,474.20	-410.47	LT
	Jan 23, 12	76,000	16.558	1,258.43	14.040	1,067.04	-191.39	ST
	Oct 18, 12	80,000	16.145	1,291.66	14.040	1,123.20	-168.46	ST
Security total		747,000	17.222	12,865.10		10,487.88	-2,377.22	
ASTRAZENECA PLC SPON ADR								
Symbol AZN Exchange NYSE								
EAI \$1,476 Current yield 6.03%	Jun 27, 12	54,000	43.708	2,360.25	47.270	2,552.58	192.33	ST
	Jul 2, 12	167,000	45.380	7,578.48	47.270	7,894.09	315.61	ST
	Jul 6, 12	22,000	45.244	995.38	47.270	1,039.94	44.56	ST
	Jul 18, 12	88,000	46.629	4,103.41	47.270	4,159.76	56.35	ST
	Jul 23, 12	35,000	45.817	1,603.60	47.270	1,654.45	50.85	ST
	Aug 9, 12	10,000	46.901	469.01	47.270	472.70	3.69	ST
	Sep 17, 12	20,000	46.951	939.02	47.270	945.40	6.38	ST
	Sep 28, 12	112,000	47.893	5,364.09	47.270	5,294.24	-69.85	ST
	Oct 12, 12	10,000	46.107	461.07	47.270	472.70	11.63	ST
Security total		518,000	46.089	23,874.31		24,485.86	611.55	
AT&T INC								
Symbol T Exchange NYSE								
EAI \$565 Current yield 5.34%	Mar 8, 06	85,000	26.650	2,265.25	33.710	2,865.35	600.10	LT
	Dec 9, 09	90,000	27.467	2,472.03	33.710	3,033.90	561.87	LT

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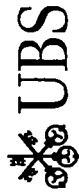


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December 2012

UBS ACCOUNT #36363

Your assets • **Equities** • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total								
BARRICK GOLD CORP CAD								
Symbol ABX Exchange NYSE								
EAI \$236 Current yield 2.29%								
CAD Exchange rate 0.99570								
	Jan 14, 11	99,000	28.407	2,812.39	33.710	3,337.29	524.90	LT
	Feb 11, 11	40,000	28.518	1,140.72	33.710	1,348.40	207.68	LT
Security total								
		314,000	27.676	8,690.39		10,584.94	1,894.55	
CA INC								
Symbol CA Exchange OTC								
EAI \$418 Current yield 4.55%								
	Jan 26, 12	138,000	48.894	6,747.45	35.010	4,831.38	-1,916.07	ST
	Feb 1, 12	23,000	49.244	1,132.63	35.010	805.23	-327.40	ST
	Feb 3, 12	64,000	49.171	3,146.96	35.010	2,240.64	-906.32	ST
	Apr 12, 12	35,000	42.099	1,473.48	35.010	1,225.35	-248.13	ST
	Sep 12, 12	35,000	39.603	1,386.11	35.010	1,225.35	-160.76	ST
Security total								
		295,000	47.073	13,886.63		10,327.95	-3,558.68	
CHEVRON CORP								
Symbol CVX Exchange NYSE								
EAI \$371 Current yield 3.33%								
	May 7, 12	122,000	26.141	3,189.23	21.980	2,681.56	-507.67	ST
	May 8, 12	190,000	26.095	4,958.16	21.980	4,176.20	-781.96	ST
	May 9, 12	106,000	26.307	2,788.57	21.980	2,329.88	-458.69	ST
Security total								
		418,000	26.163	10,935.96		9,187.64	-1,748.32	
CISCO SYSTEMS INC								
Symbol CSCO Exchange OTC								
EAI \$358 Current yield 2.85%								
	Mar 8, 06	49,000	55.000	2,695.00	108.140	5,298.86	2,603.86	LT
	Dec 9, 09	30,000	76.815	2,304.47	108.140	3,244.20	939.73	LT
	Jan 14, 11	15,000	92.748	1,391.22	108.140	1,622.10	230.88	LT
	Feb 11, 11	9,000	96.767	870.91	108.140	973.26	102.35	LT
Security total								
		103,000	70.501	7,261.60		11,138.42	3,876.82	
CONOCOPHILLIPS								
Symbol COP Exchange NYSE								
EAI \$1,085 Current yield 4.55%								
	May 18, 12	155,000	16.545	2,564.60	19.649	3,045.60	481.00	ST
	May 30, 12	485,000	16.448	7,977.62	19.649	9,529.77	1,552.15	ST
Security total								
		640,000	16.472	10,542.22		12,575.36	2,033.15	
Continued next page								
	Mar 8, 06	38,000	45.365	1,723.89	57.990	2,203.62	479.73	LT



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December 2012

UBS ACCOUNT #36363

Your assets • **Equities** • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
DU PONT DE NEMOURS Symbol: DD Exchange NYSE EAI \$372 Current yield 3.83%	Jan 18, 07	10 000	47 768	477 68	57 990	579 90	102.22	LT
	Nov 11, 09	80 000	40 647	3 251.80	57 990	4 639.20	1 387.40	LT
	Nov 13, 09	5 000	39 924	199 62	57 990	289.95	90.33	LT
	Dec 9, 09	85 000	38 104	3 238.92	57 990	4 929.15	1 690.23	LT
	Jan 14, 11	42 000	51 462	2 161.44	57 990	2 435.58	274.14	LT
	Feb 11, 11	18 000	54 616	983.09	57 990	1 043.82	60.73	LT
	Jan 23, 12	35 000	53 713	1 879.97	57 990	2 029.65	149.68	ST
	May 11, 12	98 000	53 667	5 259.42	57 990	5 683.02	423.60	ST
		411 000	46 657	19 175.83		23 833.89	4 658.06	
Security total								
ENSCO PLC CL A Symbol: ESV Exchange NYSE EAI \$303 Current yield 2.53%	Mar 13, 12	115 000	52 263	6 010.25	44 978	5 172.47	-837.78	ST
	Mar 14, 12	71 000	52 933	3 758.27	44 978	3 193.44	-564.83	ST
	Mar 16, 12	30 000	53 499	1 604.99	44 978	1 349.34	-255.65	ST
		216 000	52 655	11 373.51		9 715.24	-1 658.26	
Security total								
FIFTH THIRD BANCORP Symbol: FITB Exchange OTC EAI \$313 Current yield 2.63%	Nov 23, 11	24 000	47 802	1 147.27	59 280	1 422.72	275.45	LT
	Nov 30, 11	154 000	52 310	8 055.86	59 280	9 129.12	1 073.26	LT
	Dec 1, 11	24 000	52 010	1 248.24	59 280	1 422.72	174.48	LT
		202 000	51 739	10 451.37		11 974.56	1 523.19	
Security total								
FREEMONT-MCMORAN COPPER & GOLD INC Symbol: FCX Exchange NYSE EAI \$391 Current yield 3.65%	Aug 30, 12	301 000	15 035	4 525.63	15 200	4 575.20	49.57	ST
	Sep 5, 12	481 000	14 947	7 189.80	15 200	7 311.20	121.40	ST
		782 000	14 981	11 715.43		11 886.40	170.97	
Security total								
FREEMONT-MCMORAN COPPER & GOLD INC Symbol: FCX Exchange NYSE EAI \$391 Current yield 3.65%	Jan 13, 10	134 000	42 400	5 681.66	34 200	4 582.80	-1 098.86	LT
	Feb 11, 11	37 000	53 678	1 986.12	34 200	1 265.40	-720.72	LT
	May 26, 11	10 000	50 377	503.77	34 200	342.00	-161.77	LT
	Oct 19, 11	72 000	35 334	2 544.11	34 200	2 462.40	-81.71	LT
Security total								

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December 2012

UBS ACCOUNT #36363

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Jun 8, 12	60,000	33.699	2,021.94	34.200	2,052.00	30.06	ST
		313,000	40.695	12,737.60		10,704.60	-2,033.00	
GENL ELECTRIC CO								
Symbol GE Exchange NYSE								
EAI \$442 Current yield 3.62%								
	Jul 28, 10	40,000	16.021	640.84	20.990	839.60	198.76	LT
	Jul 29, 10	140,000	16.127	2,257.84	20.990	2,938.60	680.76	LT
	Aug 11, 10	240,000	15.963	3,831.12	20.990	5,037.60	1,206.48	LT
	Jan 14, 11	100,000	18.799	1,879.92	20.990	2,099.00	219.08	LT
	Jan 23, 12	61,000	18.986	1,158.18	20.990	1,280.39	122.21	ST
Security total		581,000	16.812	9,767.90		12,195.19	2,427.29	
HARRIS CORP DELA								
Symbol HRS Exchange NYSE								
EAI \$443 Current yield 3.03%								
	Jan 21, 09	25,000	41.226	1,030.65	48.960	1,224.00	193.35	LT
	Jan 30, 09	10,000	43.281	432.81	48.960	489.60	56.79	LT
	Feb 2, 09	10,000	43.411	434.11	48.960	489.60	55.49	LT
	Feb 9, 09	20,000	43.977	879.54	48.960	979.20	99.66	LT
	Feb 18, 09	25,000	41.334	1,033.37	48.960	1,224.00	190.63	LT
	Dec 9, 09	60,000	44.954	2,697.24	48.960	2,937.60	240.36	LT
	Jan 14, 11	52,000	48.309	2,512.08	48.960	2,545.92	33.84	LT
	Feb 11, 11	19,000	49.338	937.43	48.960	930.24	-7.19	LT
	Dec 8, 11	44,000	35.153	1,546.76	48.960	2,154.24	607.48	LT
	Dec 9, 11	34,000	35.466	1,205.85	48.960	1,664.64	458.79	LT
Security total		299,000	42.508	12,709.84		14,639.04	1,929.20	
INTEL CORP								
Symbol INTC Exchange OTC								
EAI \$919 Current yield 4.37%								
	Dec 9, 09	61,000	20.017	1,221.07	20.620	1,257.82	36.75	LT
	Aug 27, 10	410,000	18.226	7,472.95	20.620	8,454.20	981.25	LT
	Jan 14, 11	198,000	21.116	4,181.09	20.620	4,082.76	-98.33	LT
	Feb 11, 11	93,000	21.796	2,027.12	20.620	1,917.66	-109.46	LT
	Mar 21, 11	64,000	20.329	1,301.10	20.620	1,319.68	18.58	LT
	Sep 12, 12	195,000	23.227	4,529.44	20.620	4,020.90	-508.54	ST
Security total		1,021,000	20.306	20,732.77		21,053.02	320.25	

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UBS ACCOUNT #36363

Your assets • **Equities** • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
INTL PAPER CO								
Symbol IP Exchange NYSE								
EAI \$802 Current yield 3.01%	Mar 18, 11	314,000	26.811	8,418.75	39.840	12,509.76	4,091.01	LT
	Jun 22, 11	57,000	28.745	1,638.48	39.840	2,270.88	632.40	LT
	Jun 23, 11	116,000	28.829	3,344.25	39.840	4,621.44	1,277.19	LT
	Jun 24, 11	181,000	28.787	5,210.54	39.840	7,211.04	2,000.50	LT
Security total		668,000	27.862	18,612.02		26,613.12	8,001.10	
JOHNSON & JOHNSON COM								
Symbol JNJ Exchange NYSE								
EAI \$439 Current yield 3.48%	May 4, 09	5,000	53.664	268.32	70.100	350.50	82.18	LT
	May 15, 09	65,000	55.273	3,592.80	70.100	4,556.50	963.70	LT
	Dec 9, 09	35,000	64.347	2,252.16	70.100	2,453.50	201.34	LT
	Jan 14, 11	49,000	62.528	3,063.89	70.100	3,434.90	371.01	LT
	Feb 10, 11	10,000	60.941	609.41	70.100	701.00	91.59	LT
	Feb 11, 11	16,000	60.759	972.15	70.100	1,121.60	149.45	LT
Security total		180,000	59.771	10,758.73		12,618.00	1,859.27	
JPMORGAN CHASE & CO								
Symbol JPM Exchange NYSE								
EAI \$695 Current yield 2.73%	May 3, 11	104,000	45.994	4,783.38	43.969	4,572.78	-210.60	LT
	Nov 4, 11	233,000	33.954	7,911.47	43.969	10,244.78	2,333.31	LT
	Nov 7, 11	137,000	34.104	4,672.30	43.969	6,023.75	1,351.45	LT
	Jun 8, 12	105,000	33.573	3,525.21	43.969	4,616.74	1,091.53	ST
Security total		579,000	36.084	20,892.36		25,458.05	4,565.69	
KIMBERLY CLARK CORP								
Symbol KMB Exchange NYSE								
EAI \$400 Current yield 3.51%	Mar 8, 06	47,000	59.020	2,773.94	84.430	3,968.21	1,194.27	LT
	Dec 9, 09	25,000	63.975	1,599.39	84.430	2,110.75	511.36	LT
	Jan 14, 11	47,000	63.489	2,983.99	84.430	3,968.21	984.22	LT
	Feb 11, 11	16,000	65.926	1,054.83	84.430	1,350.88	296.05	LT
Security total		135,000	62.312	8,412.15		11,398.05	2,985.90	
LOCKHEED MARTIN CORP								
Symbol LMT Exchange NYSE								
EAI \$603 Current yield 4.99%	Mar 16, 10	85,000	84.616	7,192.39	92.290	7,844.65	652.26	LT

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UBS ACCOUNT #36363

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Sep 23, 10	10,000	71.678	716.78	92.290	922.90	206.12	LT
	Jan 14, 11	36,000	74.360	2,676.96	92.290	3,322.44	645.48	LT
Security total		131,000	80.810	10,586.13		12,089.99	1,503.86	
MARATHON OIL CORP								
Symbol MRO Exchange NYSE	Mar 8, 06	129,000	21.087	2,720.29	30.660	3,955.14	1,234.85	LT
EAI \$285 Current yield 2.22%	Dec 9, 09	65,000	18.794	1,221.66	30.660	1,992.90	771.24	LT
	Feb 11, 11	20,000	28.220	564.41	30.660	613.20	48.79	LT
	Jul 14, 11	135,000	31.915	4,308.63	30.660	4,139.10	-169.53	LT
	Aug 8, 12	70,000	27.482	1,923.74	30.660	2,146.20	222.46	ST
Security total		419,000	25.629	10,738.73		12,846.54	2,107.81	
MATTEL INC								
Symbol MAT Exchange OTC	Aug 30, 11	92,000	27.300	2,511.63	36.620	3,369.04	857.41	LT
EAI \$458 Current yield 3.39%	Sep 1, 11	27,000	26.854	725.08	36.620	988.74	263.66	LT
	Sep 2, 11	69,000	26.264	1,812.26	36.620	2,526.78	714.52	LT
	Sep 23, 11	141,000	25.537	3,600.76	36.620	5,163.42	1,562.66	LT
	Sep 28, 11	40,000	26.758	1,070.32	36.620	1,464.80	394.48	LT
Security total		369,000	26.342	9,720.05		13,512.78	3,792.73	
MEDTRONIC INC								
Symbol MDT Exchange NYSE	Feb 18, 09	25,000	34.420	860.52	41.020	1,025.50	164.98	LT
EAI \$285 Current yield 2.54%	Feb 20, 09	25,000	34.186	854.66	41.020	1,025.50	170.84	LT
	Feb 25, 09	15,000	34.198	512.98	41.020	615.30	102.32	LT
	Feb 26, 09	10,000	32.837	328.37	41.020	410.20	81.83	LT
	Dec 9, 09	85,000	42.727	3,631.80	41.020	3,486.70	-145.10	LT
	Aug 20, 10	30,000	34.690	1,040.70	41.020	1,230.60	189.90	LT
	Jan 14, 11	69,000	37.207	2,567.35	41.020	2,830.38	263.03	LT
	Feb 11, 11	15,000	39.768	596.53	41.020	615.30	18.77	LT
Security total		274,000	37.930	10,392.91		11,239.48	846.57	

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UBS ACCOUNT #36363

Your assets • **Equities • Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
MERCK & CO INC NEW COM								
Symbol: MRK Exchange NYSE								
EAI: \$506 Current yield 4.20%								
	Apr 3, 12	95 000	38 585	3,665 65	40 940	3,889.30	223.65	ST
	Apr 4, 12	23 000	38.857	893.72	40.940	941.62	47.90	ST
	Apr 5, 12	160 000	38.726	6,196.26	40.940	6,550.40	354.14	ST
	Apr 9, 12	16 000	38.644	618.31	40.940	655.04	36.73	ST
Security total		294 000	38 687	11,373 94		12,036.36	662.42	
METLIFE INC								
Symbol: MET Exchange NYSE								
EAI: \$223 Current yield 2.24%								
	May 5, 09	81 000	28.518	2,309.97	32.940	2,668.14	358.17	LT
	Dec 9, 09	50 000	36.017	1,800.88	32 940	1,647 00	-153.88	LT
	Jan 14, 11	52 000	46.408	2,413.22	32.940	1,712.88	-700.34	LT
	Feb 11, 11	15 000	48.569	728.54	32.940	494.10	-234.44	LT
	Aug 24, 11	84 000	32 000	2,688.06	32 940	2,766.96	78 90	LT
	Dec 8, 11	20 000	31.348	626.96	32.940	658.80	31.84	LT
Security total		302 000	34.992	10,567.63		9,947 88	-619.75	
MICROSOFT CORP								
Symbol: MSFT Exchange OTC								
EAI: \$358 Current yield 3.45%								
	Aug 15, 12	389 000	30.137	11,723.53	26.709	10,389.80	-1,333.73	ST
MOLSON COORS BREWING CO CL B								
Symbol: TAP Exchange NYSE								
EAI: \$353 Current yield 2.99%								
	Aug 22, 12	48 000	44 047	2,114.26	42 790	2,053 92	-60.34	ST
	Aug 23, 12	14 000	44.095	617.34	42.790	599.06	-18.28	ST
	Aug 27, 12	11 000	44 225	486.48	42.790	470.69	-15.79	ST
	Aug 29, 12	33 000	44.099	1,455.28	42 790	1,412.07	-43.21	ST
	Aug 30, 12	25 000	43.520	1,088.02	42.790	1,069.75	-18.27	ST
	Oct 4, 12	2 000	44.405	88.81	42.790	85.58	-3.23	ST
	Oct 10, 12	51 000	44.226	2,255.57	42.790	2,182.29	-73.28	ST
	Oct 11, 12	18 000	44 412	799.42	42 790	770 22	-29.20	ST
	Oct 19, 12	74 000	44.082	3,262.08	42.790	3,166.46	-95.62	ST
Security total		276 000	44 084	12,167 26		11,810 04	-357 22	

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Your assets • **Equities** • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
NORTHROP GRUMMAN CORP								
Symbol: NOC Exchange NYSE								
EAI \$400 Current yield 3.25%								
	Oct 23, 09	35,000	44.800	1,568.02	67.580	2,365.30	797.28	LT
	Oct 26, 09	10,000	45.820	458.20	67.580	675.80	217.60	LT
	Oct 28, 09	40,000	45.879	1,835.16	67.580	2,703.20	868.04	LT
	Oct 30, 09	5,000	45.792	228.96	67.580	337.90	108.94	LT
	Dec 9, 09	35,000	49.009	1,715.33	67.580	2,365.30	649.97	LT
	Jan 14, 11	20,000	60.617	1,212.35	67.580	1,351.60	139.25	LT
	Feb 11, 11	9,000	63.914	575.23	67.580	608.22	32.99	LT
	Jan 23, 12	28,000	60.556	1,695.57	67.580	1,892.24	196.67	ST
Security total		182,000	51.037	9,288.82		12,299.56	3,010.74	
PFIZER INC								
Symbol: PFE Exchange NYSE								
EAI \$489 Current yield. 3.83%								
	Dec 9, 09	97,000	18.227	1,768.07	25.079	2,432.66	664.59	LT
	Apr 14, 10	100,000	17.134	1,713.44	25.079	2,507.90	794.46	LT
	Jan 14, 11	216,000	18.295	3,951.72	25.079	5,417.06	1,465.34	LT
	Feb 11, 11	96,000	18.830	1,807.68	25.079	2,407.58	599.90	LT
Security total		509,000	18.155	9,240.91		12,765.21	3,524.29	
PHILLIPS 66								
Symbol: PSX Exchange NYSE								
EAI \$237 Current yield 1.88%								
	Jan 14, 11	20,500	32.454	665.31	53.100	1,088.55	423.24	LT
	Feb 11, 11	9,000	34.443	309.99	53.100	477.90	167.91	LT
	Jan 23, 12	17,500	33.873	592.78	53.100	929.25	336.47	ST
	May 14, 12	147,000	31.079	4,568.66	53.100	7,805.70	3,237.04	ST
	May 16, 12	38,000	31.903	1,212.35	53.100	2,017.80	805.45	ST
	May 30, 12	5,000	30.476	152.38	53.100	265.50	113.12	ST
Security total		237,000	31.652	7,501.47		12,584.70	5,083.23	
PNC FINANCIAL SERVICES GROUP								
Symbol: PNC Exchange NYSE								
EAI \$296 Current yield. 2.74%								
	Nov 11, 10	75,000	57.827	4,337.05	58.310	4,373.25	36.20	LT
	Nov 18, 10	50,000	56.302	2,815.14	58.310	2,915.50	100.36	LT
	Jan 14, 11	33,000	64.187	2,118.20	58.310	1,924.23	-193.97	LT

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Feb 11, 11 Jan 23, 12	12,000 15,000 185,000	64,708 59,718 59,150	776.50 895.77 10,942.66	58.310 58.310	699.72 874.65 10,787.35	-76.78 -21.12 -155.31	LT ST
REYNOLDS AMERN INC (HOLDING CO)								
Symbol RAI Exchange NYSE	Mar 8, 06	98,000	26,590	2,605.82	41.430	4,060.14	1,454.32	LT
EAI \$599 Current yield 5.69%	Dec 9, 09	50,000	26,358	1,317.94	41.430	2,071.50	753.56	LT
	Jan 14, 11	65,000	32,598	2,118.87	41.430	2,692.95	574.08	LT
	Feb 11, 11	41,000	32,699	1,340.67	41.430	1,658.63	357.96	LT
Security total		254,000	29,068	7,383.30		10,523.22	3,139.92	
ROYAL DUTCH SHELL PLC CL A SPON ADR								
Symbol RDS.A Exchange NYSE	Sep 6, 07	25,000	80,571	2,014.28	68.950	1,723.75	-290.53	LT
EAI \$468 Current yield 4.24%	Sep 25, 07	20,000	83,617	1,672.34	68.950	1,379.00	-293.34	LT
	Oct 2, 07	25,000	83,170	2,079.26	68.950	1,723.75	-355.51	LT
	Oct 5, 07	30,000	80,115	2,403.45	68.950	2,068.50	-334.95	LT
	Dec 9, 09	15,000	59,357	890.36	68.950	1,034.25	143.89	LT
	Jan 14, 11	28,000	68,009	1,904.27	68.950	1,930.60	26.33	LT
	Feb 11, 11	17,000	68,379	1,162.45	68.950	1,172.15	9.70	LT
Security total		160,000	75,790	12,126.41		11,032.00	-1,094.41	
STAPLES INC								
Symbol SPLS Exchange OTC	Jul 20, 12	454,000	12,538	5,692.66	11.400	5,175.60	-517.06	ST
EAI \$449 Current yield 3.86%	Jul 23, 12	10,000	12,301	123.01	11.400	114.00	-9.01	ST
	Aug 15, 12	557,000	11,426	6,364.39	11.400	6,349.80	-14.59	ST
Security total		1,021,000	11,930	12,180.06		11,639.40	-540.66	
TOTAL S.A. FRANCE SPON ADR								
Symbol TOT Exchange NYSE	Dec 13, 07	25,000	83,121	2,078.03	52.010	1,300.25	-777.78	LT
EAI \$1,148 Current yield 4.82%	Dec 21, 07	20,000	80,368	1,607.37	52.010	1,040.20	-567.17	LT
	Jan 3, 08	20,000	85,044	1,700.88	52.010	1,040.20	-660.68	LT

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UBS ACCOUNT #36363

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
TRAVELERS COS INC/THE Symbol TRV Exchange NYSE EAI \$326 Current yield 2.56%	Jan 8, 08	20,000	86.605	1,732.10	52.010	1,040.20	-691.90	LT
	Dec 9, 09	25,000	61.970	1,549.25	52.010	1,300.25	-249.00	LT
	Feb 11, 10	120,000	57.018	6,842.24	52.010	6,241.20	-601.04	LT
	Feb 16, 10	5,000	57.870	289.35	52.010	260.05	-29.30	LT
	May 17, 10	55,000	47.861	2,632.37	52.010	2,860.55	228.18	LT
	Jan 14, 11	55,000	57.050	3,137.75	52.010	2,860.55	-277.20	LT
	Feb 11, 11	28,000	58.768	1,645.52	52.010	1,456.28	-189.24	LT
	Jan 23, 12	51,000	52.140	2,659.14	52.010	2,652.51	-6.63	ST
	Apr 9, 12	34,000	49.739	1,691.14	52.010	1,768.34	77.20	ST
		458,000	60.186	27,565.14		23,820.58	-3,744.56	
Security total								
WAL MART STORES INC Symbol WMT Exchange NYSE EAI \$258 Current yield 2.33%	Mar 8, 06	115,000	42.500	4,887.50	71.820	8,259.30	3,371.80	LT
	Dec 9, 09	20,000	50.117	1,002.35	71.820	1,436.40	434.05	LT
	Jan 14, 11	42,000	54.518	2,289.76	71.820	3,016.44	726.68	LT
		177,000	46.212	8,179.61		12,712.14	4,532.53	
Security total								
WELLS FARGO & CO NEW Symbol WFC Exchange NYSE EAI \$628 Current yield 2.57%	Jul 13, 11	162,000	54.029	8,752.71	68.230	11,053.26	2,300.55	LT
	Aug 26, 10	5,000	23.498	117.49	34.180	170.90	53.41	LT
	Sep 1, 10	260,000	24.491	6,367.74	34.180	8,886.80	2,519.06	LT
	Jan 14, 11	38,000	32.810	1,246.78	34.180	1,298.84	52.06	LT
WHIRLPOOL CORP Symbol WHR Exchange NYSE EAI \$246 Current yield 1.97%	Feb 11, 11	23,000	33.869	778.99	34.180	786.14	7.15	LT
	Jan 23, 12	35,000	30.776	1,077.18	34.180	1,196.30	119.12	ST
	Dec 5, 12	258,000	33.010	8,516.76	34.180	8,818.44	301.68	ST
	Dec 10, 12	95,000	33.097	3,144.28	34.180	3,247.10	102.82	ST
Security total								
WHIRLPOOL CORP Symbol WHR Exchange NYSE EAI \$246 Current yield 1.97%	Aug 12, 11	78,000	62.677	4,888.88	101.750	7,936.50	3,047.62	LT
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Your assets ▶ **Equities ▶ Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Aug 22, 11 Jan 23, 12	13 000 32 000 123 000	56 107 53.946 59.712	729.40 1,726.29 7,344.57	101.750 101.750	1,322.75 3,256.00 12,515.25	593.35 1,529.71 5,170.68	LT ST
XEROX CORP								
Symbol XRX Exchange NYSE								
EAI: \$272 Current yield: 2.50%								
	Jun 26, 08	75 000	13 651	1,023.87	6.820	511.50	-512.37	LT
	Jul 8, 08	125 000	13.712	1,714.10	6.820	852.50	-861.60	LT
	Jul 14, 08	125 000	13.001	1,625.14	6.820	852.50	-772.64	LT
	Dec 9, 09	365 000	7.877	2,875.29	6.820	2,489.30	-385.99	LT
	Jan 14, 11	164 000	11.598	1,902.14	6.820	1,118.48	-783.66	LT
	Feb 11, 11	151 000	10.969	1,656.35	6.820	1,029.82	-626.53	LT
	Apr 26, 11	110 000	10.041	1,104.52	6.820	750.20	-354.32	LT
	Jan 23, 12	153 000	8.728	1,335.51	6.820	1,043.46	-292.05	ST
	Feb 9, 12	130 000	8.036	1,044.71	6.820	886.60	-158.11	ST
	Aug 9, 12	200 000	7 162	1,432.50	6 820	1,364.00	-68.50	ST
Security total		1,598 000	9.834	15,714.13		10,898.36	-4,815.77	
Total				\$530,391.45		\$587,767.96	\$57,376.52	
Total estimated annual income: \$21,390								

Your total assets

	Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	30,693.02	4.96%	30,693.02		
Equities	587,767.96	95.04%	530,391.45	21,390.00	57,376.52
Total	\$618,460.98	100.00%	\$561,084.47	\$21,390.00	\$57,376.52



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Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period
Cash	24,592.22	0.00				
RMA MONEY MKT. PORTFOLIO	113.15	0.00	1.00	0.01%	Nov 26 to Dec 23	28
UBS SELECT PRIME CAPITAL	0.00	9,280.63	1.00	0.09%	Nov 1 to Nov 30	30
Total	\$24,705.37	\$9,280.63				

Fixed income

Asset backed securities

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected. The cost basis for asset backed securities has been adjusted automatically for return of principal payments and if issued at a discount, accreted original issue discount (OID).

Holding	Trade date	Total face value at maturity (\$)	Purchase price(\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
FNMA PL 735676								
RATE 05.0000% MATURES 07/01/35								
CURRENT PAR VALUE 4,238								
ACCRUED INTEREST \$17.65								
CUSIP 31402RV2								
EAI \$212 Current yield 4.60%	Jul 10, 12	20,000 000	109,312	4,632.98	108 793	4,610.64	-22.34	ST
FNMA PL 190370								
RATE 06.0000% MATURES 06/01/36								
CURRENT PAR VALUE 185								
ACCRUED INTEREST \$0.92								
CUSIP 31368HMT7								
EAI \$11 Current yield 5.48%	Jan 26, 11	1,000,000	109,312	202.75	109,481	202.53	-0 22	LT

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Your assets • Fixed income • Asset backed securities (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price(\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
FNMA PL 988580								
RATE 06.0000% MATURES 08/01/38								
CURRENT PAR VALUE	783							
ACCRUED INTEREST \$3.91								
CUSIP 31415TFV7								
EAI \$47 Current yield 5.44%	Dec 08, 08	4,000.000	102.429	801.89	110.328	863.86	61.97	LT
FNMA PL 995069								
RATE 06.0000% MATURES 10/01/38								
CURRENT PAR VALUE	4,849							
ACCRUED INTEREST \$24.24								
CUSIP 31416BMS4								
EAI \$291 Current yield 5.38%	Nov 05, 08	19,000.000	101.746	4,933.95	111.425	5,402.99	469.04	LT
FNMA PL AB1389								
RATE 04.5000% MATURES 08/01/40								
CURRENT PAR VALUE	3,643							
ACCRUED INTEREST \$13.66								
CUSIP 31416WRK0								
EAI \$164 Current yield 4.15%	Feb 02, 11	8,000.000	101.632	3,755.94	108.369	3,947.88	191.94	LT
FNMA PL AD8033								
RATE 04.0000% MATURES 08/01/40								
CURRENT PAR VALUE	11,081							
ACCRUED INTEREST \$36.93								
CUSIP 31418V4T6								
EAI \$443 Current yield 3.73%	Aug 07, 12	19,000.000	107.046	11,861.93	107.306	11,890.57	28.64	ST
FHLMC PL A97046								
RATE 04.5000% MATURES 02/01/41								
CURRENT PAR VALUE	7,395							
ACCRUED INTEREST \$27.73								
CUSIP 312945ZK7								
EAI \$333 Current yield 4.17%	Feb 09, 11	12,000.000	100.554	7,436.08	107.903	7,979.42	543.34	LT
FHLMC PL A97047								
RATE 04.5000% MATURES 02/01/41								
CURRENT PAR VALUE	6,488							
ACCRUED INTEREST \$24.33								
CUSIP 312945ZL5								
EAI \$292 Current yield 4.17%	Feb 11, 11	10,000.000	100.660	6,531.15	107.903	7,000.74	469.59	LT

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Your assets • **Fixed income** • Asset backed securities (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price(\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
FNMA PL AH3645								
RATE 04.0000% MATURES 02/01/41								
CURRENT PAR VALUE 12,744								
ACCRUED INTEREST \$42.47								
CUSIP 3138A58P6								
EAI \$510 Current yield 3.73%	Apr 09, 12	19,000.000	105.718	13,472.65	107.306	13,675.07	202.42	ST
FNMA PL AL0789								
RATE 04.0000% MATURES 09/01/41								
CURRENT PAR VALUE 847								
ACCRUED INTEREST \$2.82								
CUSIP 3138EG2X1								
EAI \$34 Current yield 3.64%	Oct 12, 12	1,000.000	108.671	919.94	109.900	930.85	10.91	ST
FNMA PL AB4297								
RATE 03.5000% MATURES 01/01/42								
CURRENT PAR VALUE 9,184								
ACCRUED INTEREST \$26.78								
CUSIP 31417AX38								
EAI \$321 Current yield 3.28%	Jan 10, 12	11,000.000	103.109	9,469.16	106.700	9,799.32	330.16	ST
FNMA PL AB5468								
RATE 03.5000% MATURES 06/01/42								
CURRENT PAR VALUE 9,046								
ACCRUED INTEREST \$26.38								
CUSIP 31417CCE3								
EAI \$317 Current yield 3.27%	Jun 07, 12	10,000.000	104.968	9,495.17	106.918	9,671.80	176.63	ST
FNMA PL AP7488								
RATE 03.5000% MATURES 09/01/42								
CURRENT PAR VALUE 17,506								
ACCRUED INTEREST \$51.05								
CUSIP 3138MBKA3								
EAI \$613 Current yield 3.27%	Oct 05, 12	18,000.000	107.218	18,769.10	107.106	18,749.97	-19.13	ST
FNMA PL AB7079								
RATE 03.0000% MATURES 11/01/42								
CURRENT PAR VALUE 10,976								
ACCRUED INTEREST \$27.43								
CUSIP 31417D2M4								
EAI \$329 Current yield 2.86%	Nov 07, 12	11,000.000	105.398	11,568.14	104.888	11,512.50	-55.64	ST

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UBS ACCOUNT #36364

Your assets • Fixed income • Asset backed securities (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price(\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Total		\$163,000.000		\$103,850.83		\$106,238.14	\$2,387.31	

Total accrued interest: \$326.30

Total estimated annual income: \$3,917

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
ALLIANZ FIXED INCOME									
SHARES SERIES C									
Symbol FXICX									
Trade date Mar 6, 06	3,675,000	11.420	41,968.50	41,968.50	13.500	49,612.50	7,644.00		LT
Trade date Feb 6, 07	175,000	11.390	1,993.25	1,993.25	13.500	2,362.50	369.25		LT
Trade date Jan 21, 09	190,000	11.820	2,245.80	2,245.80	13.500	2,565.00	319.20		LT
Trade date Apr 13, 09	287,000	11.210	3,217.27	3,217.27	13.500	3,874.50	657.23		LT
Trade date Dec 30, 10	285,000	12.610	3,593.85	3,593.85	13.500	3,847.50	253.65		LT
Trade date Jan 18, 11	665,000	12.760	8,485.40	8,485.40	13.500	8,977.50	492.10		LT
Trade date Feb 18, 11	655,000	12.820	8,397.10	8,397.10	13.500	8,842.50	445.40		LT
Trade date Aug 16, 11	205,000	13.030	2,671.15	2,671.15	13.500	2,767.50	96.35		LT
Trade date Oct 4, 11	265,000	12.160	3,222.40	3,222.40	13.500	3,577.50	355.10		LT
EAI: \$3,982 Current yield 4.61%									
Security total	6,402,000	11.839	75,794.72	75,794.72		86,427.00	10,632.28	10,632.28	

ALLIANZ FIXED INCOME

SHARES SERIES M

Symbol FXIMX

Trade date Mar 6, 06

Trade date Jun 5, 06

Trade date Aug 1, 07

4,050,000

40,000

160,000

11,060

10,860

10,980

44,793.00

434.40

1,756.80

45,441.00

448.80

1,795.20

648.00

14.40

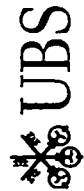
38.40

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December 2012

UBS ACCOUNT #36364

Your assets • Fixed income • Mutual funds (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
Trade date: Apr 3, 08		465,000	11.050	5,138.25	5,138.25	11.220	5,217.30	79.05		LT
Trade date: Nov 7, 08		36,000	8.760	315.36	315.36	11.220	403.92	88.56		LT
Trade date: Jan 21, 09		736,000	8.420	6,197.12	6,197.12	11.220	8,257.92	2,060.80		LT
Trade date: Apr 13, 09		66,000	8.590	566.94	566.94	11.220	740.52	173.58		LT
Trade date: Dec 30, 10		135,000	10.210	1,378.35	1,378.35	11.220	1,514.70	136.35		LT
Trade date: Jan 18, 11		840,000	10.300	8,652.00	8,652.00	11.220	9,424.80	772.80		LT
Trade date: Feb 18, 11		885,000	10.260	9,080.10	9,080.10	11.220	9,929.70	849.60		LT
Trade date: Mar 19, 12		225,000	10.570	2,378.25	2,378.25	11.220	2,524.50	146.25		ST
EAI \$4,117 Current yield 4.80%										
Security total		7,638,000	10.564	80,690.57	80,690.57		85,698.36	5,007.79	5,007.79	
Total				\$156,485.29	\$156,485.29		\$172,125.36	\$15,640.07	\$15,640.07	
Total estimated annual income: \$8,099										

Government securities

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected. Cost basis has been adjusted for accreted original issue discount (OID). If you have made a

tax election to deduct the premium amortization on taxable debt securities, you may request that UBS adjust cost basis for the bond premium amortization

Holding	Trade date	Quantity	Purchase price (\$)	Cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
U S TREASURY NOTE								
RATE 0.7500% MATURES 10/31/17								
ACCRUED INTEREST \$58.13								
EAI \$345 Current yield 0.75%	Nov 21, 12	23,000.000	100.332	23,076.37	100.328	23,075.44	-0.93	ST
	Dec 13, 12	23,000.000	100.406	23,093.44	100.328	23,075.44	-18.00	ST
Security total		46,000.000		46,169.81		46,150.88	-18.93	
U S TREASURY NOTE								
RATE 1.3750% MATURES 02/28/19								
ACCRUED INTEREST \$55.60								
EAI \$165 Current yield 1.34%	Mar 01, 12	12,000.000	99.531	11,943.75	102.477	12,297.24	353.49	ST
U S TSY INFL PROT NOTE								
RATE 0.1250% DUE 07/15/22								
CURRENT PAR VALUE 29.171								
ACCRUED INTEREST \$16.74								
EAI \$36 Current yield 0.12%	Jul 24, 12	7,000.000	108.484	7,638.68	108.555	7,643.66	4.98	ST

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December 2012

UBS ACCOUNT #36364

Your assets • Fixed income • Government securities (continued)

Holding	Trade date	Quantity	Purchase price (\$)	Cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total								
US TSY INFL PROT NOTE								
RATE 2.5000% DUE 01/15/29								
CURRENT PAR VALUE 7,542								
ACCRUED INTEREST \$86.58								
EAI \$189 Current yield 1.75%								
	Jul 24, 12	4,000.000	108.468	4,364.31	108.555	4,367.80	3.49	ST
	Nov 28, 12	7,000.000	109.250	7,697.87	108.555	7,643.66	-54.21	ST
	Dec 07, 12	11,000.000	110.351	12,210.22	108.555	12,011.46	-198.76	ST
		29,000.000		31,911.08		31,666.57	-244.50	
Security total								
	Jul 13, 12	2,000.000	142.953	3,080.37	142.625	3,073.36	-7.01	ST
	Dec 27, 12	5,000.000	144.187	7,772.67	142.625	7,683.41	-89.26	ST
		7,000.000		10,853.04		10,756.77	-96.27	
Security total								
		94,000.000		\$100,877.68		\$100,871.46	-\$6.21	

Total

Total accrued interest: \$217.05

Total estimated annual income: \$735

Your total assets

Cash	Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash and money balances	9,280.63	2.39%	9,280.63		
Fixed income					
Asset backed securities	106,238.14		103,850.83	3,917.00	2,387.31
Mutual funds	172,125.36		156,485.29	8,099.00	15,640.07
Government securities	100,871.46		100,877.68	735.00	-6.21
Total accrued interest	543.35				
Total fixed income	379,778.31	97.61%	361,213.80	12,751.00	18,021.17
Total	\$389,058.94	100.00%	\$370,494.43	\$12,751.00	\$18,021.17

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
7,240,920.		UBS SECURITIES				P	VARIOUS	VARIOUS
		PROPERTY TYPE: SECURITIES					1,038,874.	
		GAIN FROM KKR FINANCIAL K-1					VARIOUS	VARIOUS
		PROPERTY TYPE: SECURITIES					22.	
		GAIN FROM KKR FINANCIAL K-1					VARIOUS	VARIOUS
		PROPERTY TYPE: SECURITIES					32.	
TOTAL GAIN(LOSS)							<u>1,038,928.</u>	

ATTACHMENT 1FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
DIVIDENDS	75,973.	75,973.
INTEREST	16,111.	16,111.
TOTAL	<u>92,084.</u>	<u>92,084.</u>

ATTACHMENT 2

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
NONTAXABLE DISTRIBUTIONS	4,011.	140.
INCOME FROM PASSTHROUGH	3,003.	3,003.
OTHER INCOME		
TOTALS	<u>7,014.</u>	<u>3,143.</u>

ATTACHMENT 3FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
TAX PREPARATION FEES	3,995.			3,995.
TOTALS	<u>3,995.</u>			<u>3,995.</u>

ATTACHMENT 4

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
FOREIGN TAXES	2,506.	2,506.
FEDERAL TAX	2,033.	
TOTALS	<u>4,539.</u>	<u>2,506.</u>

ATTACHMENT 5

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
INVESTMENT MANAGEMENT FEES	25,888.	25,888.
BANK SERVICE CHARGES	51.	51.
ADR FEES	330.	330.
TOTALS	<u>26,269.</u>	<u>26,269.</u>

ATTACHMENT 6FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
UBS ACCOUNT #35798 STOCK	72,550.	78,445.
UBS ACCOUNT #35798 BONDS	263,792.	285,643.
UBS ACCOUNT #35855	529,847.	470,889.
UBS ACCOUNT #35856	422,228.	504,575.
UBS ACCOUNT #35857	483,524.	566,329.
UBS ACCOUNT #36363	530,391.	587,768.
UBS ACCOUNT #36364	361,214.	379,778.
TOTALS	<u>2,663,546.</u>	<u>2,873,427.</u>

ATTACHMENT 7

FORM 990PF, PART II - OTHER INVESTMENTS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
INVESTMENT IN PASSTHROUGH	NONE	NONE
TOTALS		

ATTACHMENT 8FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
PRIOR PERIOD ADJUSTMENT	5,314.
TOTAL	<u>5,314.</u>

BECKER FAMILY FOUNDATION

20-1648227

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 9

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
JEFFREY BECKER 102 SOUTH HARRISON, SUITE 200 DAVENPORT, IA 52801	DIRECTOR 1.00	0	0	0
SHARON BECKER 102 SOUTH HARRISON, SUITE 200 DAVENPORT, IA 52801	DIRECTOR 1.00	0	0	0
GRAND TOTALS		0	0	0

ATTACHMENT 10

FORM 990PF, PART XV - INFORMATION REGARDING FOUNDATION MANAGERS

JEFFREY BECKER
SHARON BECKER

BECKER FAMILY FOUNDATION

20-1648227

FORM 990EF, PART XV -- GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 11

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
JUVENILE DIABETES RESEARCH FOUNDATION 5444 NW 96TH ST, SUITE A JOHNSTON, IA 50131	NONE PUBLIC CHARITY	OPERATIONAL	30,000.
GILBERT EDUCATION FOUNDATION 103 MATHEWS DRIVE GILBERT, IA 50105	NONE PUBLIC CHARITY	OPERATIONAL	1,825.
FIRST EVANGELICAL FREE CHURCH 2008 24TH STREET AMES, IA	NONE PUBLIC CHARITY	OPERATIONAL	5,000.
UNITED WAY OF CENTRAL IOWA 1111 9TH STREET, SUITE 100 DES MOINES, IA 50314	NONE PUBLIC CHARITY	OPERATIONAL	4,000.
AMES HISTORICAL SOCIETY 416 DOUGLAS, #101 AMES, IA 50010	NONE PUBLIC CHARITY	OPERATIONAL	11,000.
GILBERT FINE ARTS BOOSTERS 103 MATHEWS DRIVE GILBERT, IA 50105	NONE PUBLIC CHARITY	OPERATIONAL	200.

BECKER FAMILY FOUNDATION

20-1648227

FORM 990EF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 11 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
THE NAVIGATORS 4808 IDAHO CIRCLE AMES, IA 50014	NONE PUBLIC CHARITY	OPERATIONAL	1,500.
CASS COUNTY MEMORIAL HOSPITAL FOUNDATION 1501 E 10TH STREET ATLANTIC, IA 50022	NONE PUBLIC CHARITY	OPERATIONAL	20,000.
BOY SCOUTS - MID-IOWA COUNCIL 1659 E EUCLID AVENUE P.O. BOX 3009 DES MOINES, IA 50316	NONE PUBLIC CHARITY	OPERATIONAL	2,000.
BSF INTERNATIONAL 19001 HUEBNER RD SAN ANTONIO, TX 78258	NONE PUBLIC CHARITY	OPERATIONAL	1,500.
COMMUNITY FOUNDATION OF NORTHEAST IOWA 425 CEDAR STREET, STE 310 WATERLOO, IA 50701	NONE PUBLIC CHARITY	OPERATIONAL	15,000.
CREIGHTON UNIVERSITY 2500 CALIFORNIA PLAZA OMAHA, NE 68178	NONE PUBLIC CHARITY	OPERATIONAL	500.

BECKER FAMILY FOUNDATION

20-1648227

FORM 990EF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 11 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
IOWA YOUTH SPORTS FOUNDATION PO BOX 21099 DES MOINES, IA 50321	NONE PUBLIC CHARITY	OPERATIONAL	500
THE MOSAIC FOUNDATION 730 11TH STREET NW, SUITE 302 WASHINGTON, DC 20001	NONE PUBLIC CHARITY	OPERATIONAL	10,000.
SPECIAL OLYMPICS IOWA 551 SE DOVETAIL ROAD BOX 620 GRIMES, IA 50111	NONE PUBLIC CHARITY	OPERATIONAL	250
STORY COUNTY COMMUNITY FOUNDATION 416 DOUGLAS, SUITE 202 PO BOX 1666 AMES, IA 50010	NONE PUBLIC CHARITY	OPERATIONAL	5,000.
VARIETY - THE CHILDREN'S CHARITY 505 5TH AVENUE, SUITE 310 DES MOINES, IA 50309	NONE PUBLIC CHARITY	OPERATIONAL	1,000
WILLIAM R BLISS CANCER CENTER 1111 DUFF AVENUE AMES, IA 50010	NONE PUBLIC CHARITY	OPERATIONAL	100.

BECKER FAMILY FOUNDATION

20-1648227

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 11 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
GILBERT COMMUNITY HISTORICAL SOCIETY 2011 MELROSE AVE AMES, IA 50010	NONE PUBLIC CHARITY	OPERATIONAL	500.

TOTAL CONTRIBUTIONS PAID

109,875

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 12

<u>DESCRIPTION</u>	<u>BUSINESS CODE</u>	<u>EXCLUSION</u>		<u>RELATED OR EXEMPT FUNCTION INCOME</u>
		<u>AMOUNT</u>	<u>CODE</u>	
NONTAXABLE DISTRIBUTIONS			18	4,011.
OTHER INCOME			18	3,003.
TOTALS				<u>7,014.</u>