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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012 or tax year beginning

, 2012, and ending

, 20

Name of foundation UNDERWOOD FAMILY FOUNDATION		A Employer identification number 20-1487042
Number and street (or P O box number if mail is not delivered to street address) 102 SOUTH HARRISON, SUITE 200		B Telephone number (see instructions) (563) 823-4422
City or town, state, and ZIP code DAVENPORT, IA 52801		C If exemption application is pending, check here ☐
G Check all that apply ☐ Initial return ☐ Final return ☒ Address change		D 1 Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 9,773,463.		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐
J Accounting method ☒ Cash ☐ Accrual Other (specify) _____		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule) if the foundation is not required to attach Sch B		6,568,906.			
2 Check ☐ if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		54,335.	54,335.		ATCH 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		776,969.			
b Gross sales price for all assets on line 6a 5,675,048.					
7 Capital gain net income (from Part IV, line 2)			776,969.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule) ATCH. 2			70.		
12 Total. Add lines 1 through 11		7,400,210.	831,374.		
13 Compensation of officers, directors, trustees, etc.		0			
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees (attach schedule)					
b Accounting fees (attach schedule) ATCH. 3		3,650.			3,650.
c Other professional fees (attach schedule)					
17 Interest					
18 Taxes (attach schedule) (see instructions) ATCH. 4		9,073.	2,563.		
19 Depreciation (attach schedule) and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses (attach schedule) ATCH. 5		25,143.	25,143.		
24 Total operating and administrative expenses. Add lines 13 through 23		37,866.	27,706.		3,650.
25 Contributions, gifts, grants paid		184,870.			184,870.
26 Total expenses and disbursements. Add lines 24 and 25		222,736.	27,706.	0	188,520.
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements		7,177,474.			
b Net investment income (if negative, enter -0-)			803,668.		
c Adjusted net income (if negative, enter -0-)					

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		151,095.	5,868,719.	5,868,719.
	3	Accounts receivable				
		Less: allowance for doubtful accounts				
	4	Pledges receivable				
		Less: allowance for doubtful accounts				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)		300.		ATCH 6
	7	Other notes and loans receivable (attach schedule)				
		Less: allowance for doubtful accounts				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10 a	Investments - U.S. and state government obligations (attach schedule)				
	b	Investments - corporate stock (attach schedule) ATCH 7		1,947,181.	3,408,731.	3,904,744.
	c	Investments - corporate bonds (attach schedule)				
	11	Investments - land, buildings, and equipment basis				
	Less: accumulated depreciation (attach schedule)					
12	Investments - mortgage loans					
13	Investments - other (attach schedule) ATCH 8		1,400.			
14	Land, buildings, and equipment basis					
	Less: accumulated depreciation (attach schedule)					
15	Other assets (describe)					
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item i)		2,099,976.	9,277,450.	9,773,463.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe)				
23	Total liabilities (add lines 17 through 22)		0	0		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☐ and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ☒					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg., and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds		2,099,976.	9,277,450.	
	30	Total net assets or fund balances (see instructions)		2,099,976.	9,277,450.	
	31	Total liabilities and net assets/fund balances (see instructions)		2,099,976.	9,277,450.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,099,976.
2	Enter amount from Part I, line 27a	2	7,177,474.
3	Other increases not included in line 2 (itemize)	3	
4	Add lines 1, 2, and 3	4	9,277,450.
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	9,277,450.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col. (h))		
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	776,969.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8			3	0	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	69,736.	2,215,462.	0.031477
2010	46,050.	1,422,023.	0.032383
2009	70,339.	1,336,715.	0.052621
2008	68,370.	1,361,340.	0.050223
2007	76,497.	1,232,516.	0.062066
2 Total of line 1, column (d)			2 0.228770
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.045754
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5			4 3,073,767.
5 Multiply line 4 by line 3			5 140,637.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 8,037.
7 Add lines 5 and 6			7 148,674.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions			8 188,520.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		1	8,037.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2		3	8,037.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	8,037.
6 Credits/Payments			
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a	2,459.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	10,000.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	12,459.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	37.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	4,385.	
11 Enter the amount of line 10 to be Credited to 2013 estimated tax ☐ 4,385. Refunded ☐ 0	11		0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ IA, _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ☐ N/A				
14	The books are in care of ☐ CONNIE UNDERWOOD Telephone no ☐ 515-233-5990			
Located at ☐ 5525 NORTH DAYTON AVENUE AMES, IA ZIP+4 ☐ 50010				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ☐ 15			
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ☐	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☒ Yes ☐ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012? ☐	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No If "Yes," list the years ☐		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b	X
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ☐		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ NoOrganizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 9		0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE ----- ----- -----	
2 ----- ----- -----	
All other program-related investments See instructions	
3 NONE ----- ----- -----	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	2,455,585.
b	Average of monthly cash balances	1b	664,991.
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	3,120,576.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	3,120,576.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	46,809.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,073,767.
6	Minimum investment return. Enter 5% of line 5	6	153,688.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	153,688.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	8,037.
b	Income tax for 2012. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	8,037.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	145,651.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	145,651.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	145,651.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	188,520.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	188,520.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	8,037.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	180,483.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				145,651.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			37,143.	
b Total for prior years 20 10, 20 09, 20 08				
3 Excess distributions carryover, if any, to 2012				
a From 2007				
b From 2008				
c From 2009				
d From 2010				
e From 2011				
f Total of lines 3a through e				
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ 188,520				
a Applied to 2011, but not more than line 2a			37,143.	
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2012 distributable amount				145,651.
e Remaining amount distributed out of corpus	5,726.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	5,726.			
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount - see instructions				
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	5,726.			
10 Analysis of line 9				
a Excess from 2008				
b Excess from 2009				
c Excess from 2010				
d Excess from 2011				
e Excess from 2012	5,726.			

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Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

ATTACHMENT 10

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year ATCH 11				
Total			► 3a	184,870.
b Approved for future payment				
Total			► 3b	

Enter gross amounts unless otherwise indicated

(See worksheet in line 13 instructions to verify calculations)

Line No.	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
▼	

N/A

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | | |
|----------|--|--------------|-----------|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | Yes | No |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee: Connie J. Underwood Date: 5/30/13 Title: President

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only	Print/type preparer's name AMANDA WEATHERS	Preparer's signature <i>Amanda Weather</i>	Date 5/31/2013	Check ☐ if self-employed	PTIN P00836303
	Firm's name ▶ DELOITTE TAX LLP			Firm's EIN ▶ 86-1065772	
	Firm's address ▶ 699 WALNUT STREET SUITE 1800 DES MOINES, IA 50309			Phone no 515-288-1200	

Schedule of Contributors

OMB No 1545-0047

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

2012

Name of the organization
UNDERWOOD FAMILY FOUNDATION

Employer identification number
20-1487042

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990; or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization UNDERWOOD FAMILY FOUNDATION

Employer identification number
20-1487042**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ROGER AND CONNIE UNDERWOOD 5525 N DAYTON AVE AMES, IA 50010	\$ 6,568,906.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Name of organization UNDERWOOD FAMILY FOUNDATION

Employer identification number

20-1487042

Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
---	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
---	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
---	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
---	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
---	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
---	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
---	----- ----- ----- -----	\$ -----	-----

Name of organization UNDERWOOD FAMILY FOUNDATION

Employer identification number

20-1487042

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry.For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
---	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- -----	----- ----- -----	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
---	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- -----	----- ----- -----	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
---	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- -----	----- ----- -----	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
---	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- -----	----- ----- -----	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
5,673,438.		PUBLICLY TRADED STOCK PROPERTY TYPE: SECURITIES 4,896,679.				P	VARIOUS 776,759.	VARIOUS
1,610.		INTEREST IN KKR 1,400.					08/23/2011 210.	08/23/2012
TOTAL GAIN (LOSS)							<u>776,969.</u>	

ATTACHMENT 1

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
DIVIDENDS	47,648.	47,648.
INTEREST	6,687.	6,687.
TOTAL	<u>54,335.</u>	<u>54,335.</u>

ATTACHMENT 2

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION INCOME FROM PASSTHROUGHS	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
		70.
		<u>70.</u>

TOTALS

ATTACHMENT 3

FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
TAX PREPARATION FEES	3,650.			3,650.
TOTALS	<u>3,650.</u>			<u>3,650.</u>

ATTACHMENT 4

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
FOREIGN TAXES	2,563.	2,563.
FEDERAL TAX	6,510.	
TOTALS	<u>9,073.</u>	<u>2,563.</u>

ATTACHMENT 5

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
INVESTMENT MANAGEMENT FEES	24,649.	24,649.
BANK SERVICE CHARGES	50.	50.
ADR FEES	444.	444.
TOTALS	<u>25,143.</u>	<u>25,143.</u>

ATTACHMENT 6FORM 990PF, PART II - RECEIVABLES DUE FROM OFFICERS, ETC.

BORROWER: ROGER C UNDERWOOD, OFFICER

ORIGINAL AMOUNT: 300.

INTEREST RATE: 0.002800

DATE OF NOTE: 11/18/2011

BEGINNING BALANCE DUE 300.

ENDING BALANCE DUE _____

ENDING FAIR MARKET VALUE _____

TOTAL BEGINNING RECEIVABLES DUE FROM OFFICERS, ETC. 300.

TOTAL ENDING BOOK - RECEIVABLES DUE FROM OFFICERS, ETC. _____

TOTAL ENDING FMV - RECEIVABLES DUE FROM OFFICERS, ETC. _____

FORM 990PF, PART II - CORPORATE STOCK

ATTACHMENT 7

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
UBS ACCOUNT #07493	951,605.	965,271.
UBS ACCOUNT #35769	1,199,365.	1,394,646.
UBS ACCOUNT #35847	752,651.	895,661.
UBS ACCOUNT #35849	505,110.	649,166.
TOTALS	3,408,731.	3,904,744.

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Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period
Cash	0.00	157.85				
RMA MONEY MKT. PORTFOLIO	27,085.59	0.00	1.00	0.01%	Nov 26 to Dec 23	28
UBS SELECT PRIME CAPITAL	0.00	45,734.53	1.00	0.09%	Nov 1 to Nov 30	30
Total	\$27,085.59	\$45,892.38				

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
AEGON NV ADR N Y SHS								
NETHERLANDS ADR								
Symbol: AEG Exchange NYSE								
	Aug 18, 04	60,000	10.551	633.10	6.440	386.40	-246.70	LT
	Nov 11, 08	390,000	4.905	1,913.18	6.440	2,511.60	598.42	LT
	Jun 8, 10	170,000	5.295	900.27	6.440	1,094.80	194.53	LT
	Jan 14, 11	700,000	6.946	4,862.55	6.440	4,508.00	-354.55	LT
	Oct 4, 11	225,000	3.859	868.34	6.440	1,449.00	580.66	LT
	Oct 31, 11	490,000	4.978	2,439.22	6.440	3,155.60	716.38	LT
	Earnings	142,000	6.953	987.34	6.440	914.48	-72.86	
Security total		2,177,000	5.790	12,604.00		14,019.88	1,415.88	
AMERICA MOVIL S.A.B. DE C.V.								
SER L SPON ADR								
Symbol: AMX Exchange NYSE								
EAI \$176 Current yield 1.30%								
	Aug 5, 11	180,000	23.089	4,156.06	23.140	4,165.20	9.14	LT
	Aug 5, 11	120,000	23.089	2,770.70	23.140	2,776.80	6.10	LT
	Oct 17, 11	285,000	22.997	6,554.32	23.140	6,594.90	40.58	LT

continued next page



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UBS ACCOUNT #07493

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		585,000	23,045	13,481.08		13,536.90	55.82	
ASTELLAS PHARMA INC ADR								
Symbol: ALPMY Exchange OTC								
EAI \$402 Current yield 3.14%								
	Jun 7, 10	95,000	32.286	3,067.22	44.850	4,260.75	1,193.53	LT
	Aug 12, 10	20,000	33.324	666.49	44.850	897.00	230.51	LT
	Jan 14, 11	120,000	37.800	4,536.00	44.850	5,382.00	846.00	LT
	Apr 8, 11	50,000	36.871	1,843.56	44.850	2,242.50	398.94	LT
Security total		285,000	35.485	10,113.27		12,782.25	2,668.98	
ASTRAZENECA PLC SPON ADR								
Symbol: AZN Exchange NYSE								
EAI \$969 Current yield 6.03%								
	Jan 8, 08	25,000	44.110	1,102.75	47.270	1,181.75	79.00	LT
	Nov 11, 08	105,000	42.237	4,434.94	47.270	4,963.35	528.41	LT
	Jan 14, 11	145,000	47.498	6,887.27	47.270	6,854.15	-33.12	LT
	Feb 11, 11	45,000	48.450	2,180.25	47.270	2,127.15	-53.10	LT
	Nov 8, 11	20,000	46.130	922.60	47.270	945.40	22.80	LT
Security total		340,000	45.670	15,527.81		16,071.80	543.99	
BANCO SANTANDER BRASIL ADS								
Symbol: BSBR Exchange NYSE								
EAI \$117 Current yield 1.40%								
	Nov 8, 12	765,000	6.830	5,225.18	7.280	5,569.20	344.02	ST
	Nov 8, 12	380,000	6.830	2,595.51	7.280	2,766.40	170.89	ST
Security total		1,145,000	6.830	7,820.69		8,335.60	514.91	
BANK OF NEW YORK MELLON CORP								
Symbol: BK Exchange NYSE								
EAI \$226 Current yield 2.02%								
	Apr 5, 12	150,000	23.814	3,572.21	25.700	3,855.00	282.79	ST
	May 10, 12	285,000	22.200	6,327.00	25.700	7,324.50	997.50	ST
Security total		435,000	22.757	9,899.21		11,179.50	1,280.29	
BANK OF AMER CORP								
Symbol: BAC Exchange NYSE								
EAI \$86 Current yield 0.34%								
	Aug 4, 06	81,000	84.985	6,883.79	11.610	940.41	-5,943.38	LT
	Aug 8, 06	78,000	84.095	6,559.43	11.610	905.58	-5,653.85	LT
	Jan 8, 08	28,000	30.461	852.91	11.610	325.08	-527.83	LT
	Jul 3, 08	25,000	22.315	557.88	11.610	290.25	-267.63	LT
	Dec 17, 09	10,000	15.140	151.40	11.610	116.10	-35.30	LT

continued next page



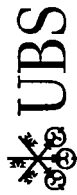
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December 2012

UBS ACCOUNT #07493

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Oct 13, 10	10,000	13.460	134.60	11.610	116.10	-18.50	LT
	Oct 25, 10	15,000	11.411	171.17	11.610	174.15	2.98	LT
	Jan 14, 11	300,000	15.070	4,521.00	11.610	3,483.00	-1,038.00	LT
	Feb 11, 11	175,000	14.449	2,528.61	11.610	2,031.75	-496.86	LT
	Jun 3, 11	185,000	11.240	2,079.40	11.610	2,147.85	68.45	LT
	Dec 9, 11	715,000	5.820	4,161.30	11.610	8,301.15	4,139.85	LT
	Dec 15, 11	535,000	5.250	2,808.75	11.610	6,211.35	3,402.60	LT
		2,157,000	14.562	31,410.24		25,042.77	-6,367.47	
BOSTON SCIENTIFIC CORP								
Symbol BSX Exchange NYSE								
Security total	Jan 8, 08	95,000	11.099	1,054.41	5.730	544.35	-510.06	LT
	Jan 14, 11	625,000	7.479	4,674.88	5.730	3,581.25	-1,093.63	LT
	Feb 11, 11	285,000	6.898	1,965.96	5.730	1,633.05	-332.91	LT
	Dec 9, 11	620,000	5.390	3,341.80	5.730	3,552.60	210.80	LT
	Oct 22, 12	385,000	5.242	2,018.21	5.730	2,206.05	187.84	ST
		2,010,000	6.495	13,055.26		11,517.30	-1,537.96	
BP PLC SPON ADR								
Symbol BP Exchange NYSE								
EAI \$968 Current yield 5.19%								
Security total	Jul 21, 11	185,000	45.566	8,429.78	41.640	7,703.40	-726.38	LT
	Oct 20, 11	45,000	40.676	1,830.42	41.640	1,873.80	43.38	LT
	Dec 9, 11	83,000	42.337	3,514.01	41.640	3,456.12	-57.89	LT
	Apr 5, 12	25,000	43.260	1,081.50	41.640	1,041.00	-40.50	ST
	May 22, 12	90,000	38.173	3,435.65	41.640	3,747.60	311.95	ST
	May 22, 12	20,000	38.174	763.48	41.640	832.80	69.32	ST
		448,000	42.533	19,054.84		18,654.72	-400.12	
CANON INC ADR JAPAN SPON ADR								
Symbol CAJ Exchange NYSE								
EAI \$635 Current yield 3.60%								
Security total	Sep 25, 08	15,000	39.597	593.96	39.210	588.15	-5.81	LT
	Dec 10, 08	15,000	29.204	438.06	39.210	588.15	150.09	LT
	Jan 14, 11	130,000	51.349	6,675.40	39.210	5,097.30	-1,578.10	LT
	Feb 11, 11	60,000	47.618	2,857.13	39.210	2,352.60	-504.53	LT
	Jul 23, 12	60,000	35.491	2,129.47	39.210	2,352.60	223.13	ST
	Oct 8, 12	170,000	31.316	5,323.81	39.210	6,665.70	1,341.89	ST

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December 2012

UBS ACCOUNT #07493

Your assets > Equities > Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		450,000	40.040	18,017.83		17,644.50	-373.33	
CARREFOUR SA SPON ADR								
Symbol: CRRFY Exchange: OTC								
	Jan 14, 11	1,210,000	8.920	10,793.20	5.150	6,231.50	-4,561.70	LT
	Feb 11, 11	255,000	9.520	2,427.60	5.150	1,313.25	-1,114.35	LT
	Oct 13, 11	465,000	4.695	2,183.18	5.150	2,394.75	211.57	LT
	Apr 13, 12	365,000	4.233	1,545.23	5.150	1,879.75	334.52	ST
	Jun 7, 12	485,000	3.480	1,687.80	5.150	2,497.75	809.95	ST
	Oct 8, 12	1,295,000	4.100	5,309.50	5.150	6,669.25	1,359.75	ST
	Earnings	79,000	3.160	249.67	5.150	406.85	157.18	
Security total		4,154,000	5.825	24,196.18		21,393.10	-2,803.08	
CENTRAIS ELETRICAS BRAS SA								
SPON ADR REP 50 COM								
(ELETROBRAS)								
Symbol: EBR Exchange: NYSE								
	Mar 9, 11	355,000	14.909	5,292.77	3.120	1,107.60	-4,185.17	LT
	Apr 27, 12	220,000	8.375	1,842.54	3.120	686.40	-1,156.14	ST
Security total		575,000	12.409	7,135.31		1,794.00	-5,341.31	
CHESAPEAKE ENERGY CORP OKLA								
Symbol: CHK Exchange: NYSE								
EAI \$446 Current yield 2.10%								
	Jul 27, 10	40,000	21.170	846.82	16.620	664.80	-182.02	LT
	Dec 8, 10	10,000	22.820	228.20	16.620	166.20	-62.00	LT
	Jan 14, 11	290,000	27.629	8,012.47	16.620	4,819.80	-3,192.67	LT
	Jan 19, 12	100,000	20.950	2,095.00	16.620	1,662.00	-433.00	ST
	Apr 16, 12	135,000	19.383	2,616.80	16.620	2,243.70	-373.10	ST
	Apr 16, 12	60,000	19.383	1,163.02	16.620	997.20	-165.82	ST
	Apr 17, 12	10,000	19.195	191.95	16.620	166.20	-25.75	ST
	Apr 17, 12	10,000	19.195	191.95	16.620	166.20	-25.75	ST
	Apr 23, 12	35,000	18.201	637.06	16.620	581.70	-55.36	ST
	May 4, 12	80,000	17.273	1,381.88	16.620	1,329.60	-52.28	ST
	May 4, 12	80,000	17.390	1,391.27	16.620	1,329.60	-61.67	ST
	May 4, 12	45,000	17.390	782.59	16.620	747.90	-34.69	ST
	May 25, 12	95,000	15.825	1,503.39	16.620	1,578.90	75.51	ST
	May 25, 12	55,000	15.825	870.39	16.620	914.10	43.71	ST
	Dec 10, 12	230,000	16.989	3,907.52	16.620	3,822.60	-84.92	ST

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Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		1,275,000	20.251	25,820.31		21,190.50	-4,629.81	
CITIGROUP INC								
Symbol C Exchange NYSE								
EAI \$16 Current yield 0.10%								
	Mar 30, 09	21,000	20.942	439.80	39.560	830.76	390.96	LT
	Jan 14, 11	110,000	51.665	5,683.20	39.560	4,351.60	-1,331.60	LT
	Feb 11, 11	51,000	45.929	2,342.38	39.560	2,017.56	-324.82	LT
	Dec 9, 11	145,000	29.027	4,208.99	39.560	5,736.20	1,527.21	LT
	Jul 6, 12	75,000	26.486	1,986.50	39.560	2,967.00	980.50	ST
Security total		402,000	36.470	14,660.87		15,903.12	1,242.25	
CORNING INC								
Symbol GLW Exchange NYSE								
EAI \$389 Current yield 2.85%								
	Jun 6, 11	540,000	18.969	10,243.26	12.620	6,814.80	-3,428.46	LT
	Jun 24, 11	125,000	17.595	2,199.43	12.620	1,577.50	-621.93	LT
	Sep 30, 11	155,000	12.471	1,933.02	12.620	1,956.10	23.08	LT
	Aug 13, 12	260,000	11.537	2,999.75	12.620	3,281.20	281.45	ST
Security total		1,080,000	16.088	17,375.46		13,629.60	-3,745.86	
CRH PLC IRELAND SPON ADR								
Symbol CRH Exchange NYSE								
EAI \$831 Current yield 3.89%								
	Jun 25, 12	565,000	16.952	9,578.28	20.340	11,492.10	1,913.82	ST
	Jun 25, 12	280,000	16.952	4,746.76	20.340	5,695.20	948.44	ST
	Oct 22, 12	135,000	18.277	2,467.45	20.340	2,745.90	278.45	ST
	Oct 22, 12	70,000	18.277	1,279.42	20.340	1,423.80	144.38	ST
Security total		1,050,000	17.211	18,071.91		21,357.00	3,285.09	
DAIICHI SANKYO CO LTD SPON ADR								
Symbol DSNKY Exchange OTC								
EAI \$536 Current yield 4.21%								
	Jan 14, 11	250,000	21.300	5,325.00	15.240	3,810.00	-1,515.00	LT
	Mar 14, 11	90,000	20.080	1,807.24	15.240	1,371.60	-435.64	LT
	Sep 24, 12	205,000	17.146	3,514.93	15.240	3,124.20	-390.73	ST
	Oct 8, 12	290,000	15.330	4,445.70	15.240	4,419.60	-26.10	ST
Security total		835,000	18.075	15,092.87		12,725.40	-2,367.47	

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Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
DEUTSCHE TELEKOM AG DE SPON ADR								
Symbol DTEGY Exchange OTC								
EAI \$884 Current yield 7.48%	Jan 8, 08	125,000	22.480	2,810.00	11.362	1,420.25	-1,389.75	LT
	Jan 14, 11	710,000	12.860	9,130.60	11.362	8,067.02	-1,063.58	LT
	Feb 11, 11	205,000	13.400	2,747.00	11.362	2,329.21	-417.79	LT
Security total		1,040,000	14.123	14,687.60		11,816.48	-2,871.12	
ENI SPA IT SPON ADR								
Symbol E Exchange NYSE								
EAI \$1,148 Current yield 4.37%	Jul 10, 09	15,000	44.106	661.60	49.140	737.10	75.50	LT
	Aug 12, 09	5,000	45.508	227.54	49.140	245.70	18.16	LT
	Feb 9, 10	5,000	44.900	224.50	49.140	245.70	21.20	LT
	Apr 8, 10	5,000	46.000	230.00	49.140	245.70	15.70	LT
	Dec 21, 10	5,000	43.256	216.28	49.140	245.70	29.42	LT
	Jan 14, 11	225,000	47.183	10,616.18	49.140	11,056.50	440.32	LT
	Feb 11, 11	55,000	49.289	2,710.94	49.140	2,702.70	-8.24	LT
	Mar 8, 11	45,000	49.926	2,246.67	49.140	2,211.30	-35.37	LT
	Dec 9, 11	175,000	43.167	7,554.30	49.140	8,599.50	1,045.20	LT
Security total		535,000	46.146	24,688.01		26,289.90	1,601.89	
ERICSSON SEK 10 NEW 2002 ADR								
Symbol ERIC Exchange OTC								
EAI \$324 Current yield 2.40%	Jan 8, 08	85,000	10.965	932.03	10.100	858.50	-73.53	LT
	Nov 11, 08	325,000	6.380	2,073.50	10.100	3,282.50	1,209.00	LT
	Jan 14, 11	500,000	11.507	5,753.50	10.100	5,050.00	-703.50	LT
	Nov 11, 11	75,000	10.289	771.74	10.100	757.50	-14.24	LT
	Dec 8, 11	155,000	10.305	1,597.32	10.100	1,565.50	-31.82	LT
	Jun 7, 12	195,000	8.609	1,678.93	10.100	1,969.50	290.57	ST
Security total		1,335,000	9.593	12,807.02		13,483.50	676.48	
FRANCE TELECOM SA SPON ADR								
Symbol FTE Exchange NYSE								
EAI \$1,552 Current yield 13.00%	Jul 16, 04	40,000	25.072	1,002.91	11.050	442.00	-560.91	LT
	Oct 26, 09	10,000	26.574	265.74	11.050	110.50	-155.24	LT
	Apr 20, 10	10,000	23.226	232.26	11.050	110.50	-121.76	LT

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Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
FUJIFILM HOLDINGS CORP ADR Symbol FUJY Exchange OTC EAI \$245 Current yield 1.81%	Jun 28, 10	15,000	18.101	271.52	11.050	165.75	-105.77	LT
	Jan 14, 11	495,000	21.178	10,483.31	11.050	5,469.75	-5,013.56	LT
	Feb 11, 11	140,000	21.990	3,078.60	11.050	1,547.00	-1,531.60	LT
	Jan 13, 12	225,000	14.794	3,328.81	11.050	2,486.25	-842.56	ST
	Jun 7, 12	145,000	11.916	1,727.89	11.050	1,602.25	-125.64	ST
	Security total	1,080,000	18.881	20,391.04		11,934.00	-8,457.04	
GDF SUEZ SPON ADR Symbol GDFZY Exchange OTC EAI \$2,340 Current yield 10.02%	Sep 10, 08	20,000	28.896	577.93	20.030	400.60	-177.33	LT
	Nov 16, 09	10,000	28.317	283.17	20.030	200.30	-82.87	LT
	Jan 14, 11	155,000	36.770	5,699.35	20.030	3,104.65	-2,594.70	LT
	Feb 11, 11	65,000	35.150	2,284.75	20.030	1,301.95	-982.80	LT
	Mar 30, 11	85,000	31.360	2,665.60	20.030	1,702.55	-963.05	LT
	Jun 7, 12	180,000	18.730	3,371.40	20.030	3,605.40	234.00	ST
	Oct 8, 12	160,000	16.580	2,652.80	20.030	3,204.80	552.00	ST
	Security total	675,000	25.978	17,535.00		13,520.25	-4,014.75	
GLAXO SMITHKLINE PLC ADR Symbol GSK Exchange NYSE EAI \$974 Current yield 5.33%	Apr 2, 12	395,000	25.752	10,172.28	21.040	8,310.80	-1,861.48	ST
	May 16, 12	125,000	20.686	2,585.80	21.040	2,630.00	44.20	ST
	May 16, 12	65,000	20.686	1,344.62	21.040	1,367.60	22.98	ST
	May 31, 12	165,000	19.732	3,255.93	21.040	3,471.60	215.67	ST
	May 31, 12	85,000	19.732	1,677.30	21.040	1,788.40	111.10	ST
	Dec 14, 12	275,000	20.097	5,526.76	21.040	5,786.00	259.24	ST
	Security total	1,110,000	22.129	24,562.69		23,354.40	-1,208.29	
GLAXO SMITHKLINE PLC ADR Symbol GSK Exchange NYSE EAI \$974 Current yield 5.33%	Jan 8, 08	35,000	53.670	1,878.45	43.470	1,521.45	-357.00	LT
	Nov 11, 08	90,000	37.794	3,401.54	43.470	3,912.30	510.76	LT
	Jan 14, 11	170,000	39.166	6,658.31	43.470	7,389.90	731.59	LT
	Feb 10, 11	30,000	38.092	1,142.77	43.470	1,304.10	161.33	LT
	Feb 11, 11	70,000	37.989	2,659.24	43.470	3,042.90	383.66	LT

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Mar 8, 11	25,000	38.221	955.53	43.470	1,086.75	131.22	LT
Security total		420,000	39.752	16,695.84		18,257.40	1,561.56	
HEWLETT PACKARD CO								
Symbol HPQ Exchange NYSE	Sep 29, 11	250,000	23.715	5,928.83	14.250	3,562.50	-2,366.33	LT
EAI \$531 Current yield 3.71%	Oct 17, 11	115,000	24.912	2,864.90	14.250	1,638.75	-1,226.15	LT
	Jun 27, 12	135,000	19.515	2,634.53	14.250	1,923.75	-710.78	ST
	Aug 31, 12	125,000	16.991	2,123.89	14.250	1,781.25	-342.64	ST
	Nov 14, 12	155,000	13.230	2,050.65	14.250	2,208.75	158.10	ST
	Nov 20, 12	225,000	11.680	2,628.00	14.250	3,206.25	578.25	ST
Security total		1,005,000	18.140	18,230.80		14,321.25	-3,909.55	
HONDA MOTOR CO ADR JAPAN ADR								
Symbol HMC Exchange NYSE	Jan 14, 11	135,000	40.599	5,480.89	36.940	4,986.90	-493.99	LT
EAI \$177 Current yield 2.08%	Apr 1, 11	50,000	36.864	1,843.21	36.940	1,847.00	3.79	LT
	Apr 21, 11	45,000	37.390	1,682.59	36.940	1,662.30	-20.29	LT
Security total		230,000	39.160	9,006.69		8,496.20	-510.49	
INTEL CORP								
Symbol INTC Exchange OTC	Oct 8, 08	90,000	16.430	1,478.70	20.620	1,855.80	377.10	LT
EAI \$567 Current yield 4.36%	Jan 14, 11	70,000	21.106	1,477.45	20.620	1,443.40	-34.05	LT
	Feb 11, 11	105,000	21.599	2,267.98	20.620	2,165.10	-102.88	LT
	Oct 19, 12	160,000	21.453	3,432.58	20.620	3,299.20	-133.38	ST
	Oct 19, 12	75,000	21.453	1,609.02	20.620	1,546.50	-62.52	ST
	Nov 13, 12	45,000	20.560	925.20	20.620	927.90	2.70	ST
	Nov 16, 12	85,000	19.980	1,698.33	20.620	1,752.70	54.37	ST
Security total		630,000	20.459	12,889.26		12,990.60	101.34	
INTESA SANPAOLO SPON ADR								
Symbol ISNYP Exchange OTC	Mar 12, 10	30,000	23.563	706.90	10.640	319.20	-387.70	LT
EAI \$161 Current yield 2.65%	Jan 14, 11	280,000	18.060	5,056.80	10.640	2,979.20	-2,077.60	LT
	Feb 23, 12	260,000	11.771	3,060.64	10.640	2,766.40	-294.24	ST
Security total		570,000	15.481	8,824.34		6,064.80	-2,759.54	

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Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
J. SAINSBURY PLC SPON ADR								
Symbol JSAIY Exchange OTC								
EAI \$439 Current yield 4.50%								
	Jan 14, 11	40 000	24 050	962 00	22 690	907 60	-54 40	LT
	Feb 11, 11	75 000	24 630	1,847.25	22 690	1,701.75	-145.50	LT
	Dec 9, 11	225 000	18 590	4,182.75	22 690	5,105 25	922.50	LT
	Jun 7, 12	90 000	18 010	1,620.90	22 690	2,042.10	421.20	ST
Security total		430 000	20 030	8,612.90		9,756.70	1,143.80	
KONINKLIJKE AHOLD NV NEW 2007 SPON ADR								
Symbol AHONY Exchange OTC								
EAI \$529 Current yield 3.20%								
	Dec 20, 04	424 000	9 401	3,986.07	13 600	5,766 40	1,780 33	LT
	Jan 8, 08	105 000	13 200	1,386.00	13 600	1,428.00	42.00	LT
	Jan 14, 11	525 000	12 900	6,772 50	13 600	7,140.00	367.50	LT
	Feb 11, 11	160 000	13 200	2,112 00	13 600	2,176 00	64.00	LT
Security total		1,214 000	11 743	14,256.57		16,510.40	2,253.83	
KROGER COMPANY								
Symbol KR Exchange NYSE								
EAI \$351 Current yield 2.31%								
	Sep 29, 09	155 000	20 370	3,157.45	26 020	4,033.10	875.65	LT
	Jan 14, 11	290 000	21 408	6,208.43	26 020	7,545.80	1,337 37	LT
	Feb 8, 11	50 000	22 359	1,117.97	26 020	1,301.00	183.03	LT
	Feb 11, 11	90 000	22 419	2,017.73	26 020	2,341.80	324.07	LT
Security total		585 000	21 370	12,501 58		15,221.70	2,720.12	
LILLY ELI & CO								
Symbol LLY Exchange NYSE								
EAI \$559 Current yield 3.98%								
	Oct 6, 09	10 000	32 996	329.96	49 320	493.20	163.24	LT
	Dec 3, 09	85 000	37 910	3,222.35	49 320	4,192.20	969.85	LT
	Jan 14, 11	120 000	34 719	4,166.28	49 320	5,918.40	1,752.12	LT
	Feb 11, 11	70 000	34 750	2,432.50	49 320	3,452.40	1,019.90	LT
Security total		285 000	35 618	10,151.09		14,056.20	3,905 11	
LUKOIL OIL CO SPON ADR (25 RUBLES)								
Symbol LUKOY Exchange OTC								
EAI \$672 Current yield 4.53%								
	Apr 17, 12	55 000	60 281	3,315 48	67 500	3,712.50	397.02	ST

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Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Apr 17, 12	35,000	60.281	2,109.85	67.500	2,362.50	252.65	ST
	Apr 24, 12	75,000	60.080	4,506.05	67.500	5,062.50	556.45	ST
	May 22, 12	35,000	52.295	1,830.34	67.500	2,362.50	532.16	ST
	May 22, 12	20,000	52.295	1,045.91	67.500	1,350.00	304.09	ST
Security total		220,000	58.217	12,807.63		14,850.00	2,042.37	

MARKS & SPENCER GROUP INC SPON

ADR

Symbol MAKSY Exchange OTC
EAI \$560 Current yield 4.00%

	Jan 8, 08	75,000	20.066	1,505.00	12.460	934.50	-570.50	LT
	Nov 11, 08	245,000	7.950	1,947.75	12.460	3,052.70	1,104.95	LT
	Jan 14, 11	375,000	12.020	4,507.50	12.460	4,672.50	165.00	LT
	Mar 7, 11	195,000	11.006	2,146.35	12.460	2,429.70	283.35	LT
	Mar 7, 11	100,000	11.006	1,100.69	12.460	1,246.00	145.31	LT
	Nov 8, 11	135,000	10.510	1,418.85	12.460	1,682.10	263.25	LT
Security total		1,125,000	11.223	12,626.14		14,017.50	1,391.36	

MACO CORP

Symbol MAS Exchange NYSE
EAI \$272 Current yield 1.80%

	Mar 7, 08	50,000	18.555	927.78	16.660	833.00	-94.78	LT
	Jan 14, 11	340,000	13.909	4,729.13	16.660	5,664.40	935.27	LT
	Feb 23, 11	155,000	12.731	1,973.43	16.660	2,582.30	608.87	LT
	Dec 9, 11	360,000	9.236	3,325.18	16.660	5,997.60	2,672.42	LT
Security total		905,000	12.106	10,955.52		15,077.30	4,121.78	

MERCK & CO INC NEW COM

Symbol MRK Exchange NYSE
EAI \$504 Current yield 4.20%

	Oct 28, 08	57,000	27.140	1,546.98	40.940	2,333.58	786.60	LT
	Feb 27, 09	21,000	16.846	353.78	40.940	859.74	505.96	LT
	Mar 4, 09	40,000	22.909	916.39	40.940	1,637.60	721.21	LT
	Mar 19, 09	85,000	26.347	2,239.55	40.940	3,479.90	1,240.35	LT
	Feb 10, 10	30,000	36.091	1,082.75	40.940	1,228.20	145.45	LT
	Dec 1, 10	60,000	34.797	2,087.84	40.940	2,456.40	368.56	LT
Security total		293,000	28.079	8,227.29		11,995.42	3,768.13	

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Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
MICROSOFT CORP								
Symbol MSFT Exchange OTC								
EAI \$959 Current yield 3.45%								
	Mar 4, 08	45,000	27.216	1,224.72	26.709	1,201.90	-22.82	LT
	Sep 18, 08	120,000	24.759	2,971.16	26.709	3,205.08	233.92	LT
	Nov 10, 08	102,000	21.690	2,212.38	26.709	2,724.32	511.94	LT
	Dec 31, 10	55,000	27.699	1,523.46	26.709	1,468.99	-54.47	LT
	Jan 5, 11	15,000	27.889	418.34	26.709	400.63	-17.71	LT
	Jan 14, 11	215,000	28.176	6,057.95	26.709	5,742.43	-315.52	LT
	Feb 11, 11	160,000	27.346	4,375.44	26.709	4,273.44	-102.00	LT
	Mar 2, 11	45,000	26.230	1,180.36	26.709	1,201.90	21.54	LT
	Apr 15, 11	40,000	25.443	1,017.72	26.709	1,068.36	50.64	LT
	May 16, 11	95,000	24.877	2,363.33	26.709	2,537.35	174.02	LT
	May 16, 11	55,000	24.877	1,368.25	26.709	1,468.99	100.74	LT
	Nov 23, 11	40,000	24.518	980.74	26.709	1,068.36	87.62	LT
	Dec 12, 11	55,000	25.390	1,396.45	26.709	1,468.99	72.54	LT
Security total		1,042,000	25.998	27,090.30		27,830.77	740.44	
MITSUBISHI UFJ FINANCIAL GROUP								
INC SPON ADR								
Symbol MTU Exchange NYSE								
EAI \$277 Current yield 2.47%								
	Jan 8, 08	160,000	9.209	1,473.58	5.420	867.20	-606.38	LT
	Jan 14, 11	805,000	5.548	4,466.46	5.420	4,363.10	-103.36	LT
	Mar 9, 11	350,000	5.388	1,885.98	5.420	1,897.00	11.02	LT
	Dec 9, 11	755,000	4.417	3,335.14	5.420	4,092.10	756.96	LT
Security total		2,070,000	5.392	11,161.16		11,219.40	58.24	
MS&AD INS GROUP HLDGS ADR								
Symbol MSADY Exchange OTC								
EAI \$327 Current yield 2.67%								
	Jan 8, 08	120,000	15.550	1,866.00	9.900	1,188.00	-678.00	LT
	Jan 14, 11	525,000	12.500	6,562.50	9.900	5,197.50	-1,365.00	LT
	Feb 11, 11	190,000	12.700	2,413.00	9.900	1,881.00	-532.00	LT
	Jun 7, 12	400,000	7.830	3,132.00	9.900	3,960.00	828.00	ST
Security total		1,235,000	11.315	13,973.50		12,226.50	-1,747.00	

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UBS ACCOUNT # 07493

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
NEWS CORP INC DELA CL A								
Symbol NWSA Exchange OTC								
EAI \$147 Current yield 0 67%	Jul 29, 11	485 000	16 046	7,782.56	25.510	12,372.35	4,589.79	LT
	Jul 29, 11	220 000	16 046	3,530.23	25.510	5,612.20	2,081.97	LT
	Sep 14, 11	95 000	16 000	1,520.00	25.510	2,423.45	903.45	LT
	Oct 20, 11	65 000	16.716	1,086.54	25.510	1,658.15	571.61	LT
Security total		865 000	16 092	13,919.33		22,066.15	8,146.82	
NIPPON TELEG & TEL CORP SPON								
ADR								
Symbol NTT Exchange NYSE								
EAI \$785 Current yield 4 06%	Jan 4, 05	30 000	21 820	654.60	21 030	630.90	-23.70	LT
	May 23, 07	160 000	23 522	3,763.58	21.030	3,364.80	-398.78	LT
	Oct 26, 07	140 000	22 959	3,214.27	21 030	2,944.20	-270.07	LT
	Jan 8, 08	80 000	23.480	1,878.40	21.030	1,682.40	-196.00	LT
	Jan 14, 11	400 000	22.696	9,078.60	21.030	8,412.00	-666.60	LT
	Feb 11, 11	110 000	23.500	2,585.10	21.030	2,313.30	-271.80	LT
Security total		920 000	23.016	21,174.55		19,347.60	-1,826.95	
PEPSICO INC								
Symbol PEP Exchange NYSE								
EAI \$774 Current yield 3 14%	Aug 1, 11	155 000	63.865	9,899.14	68.430	10,606.65	707.51	LT
	Aug 1, 11	65 000	63.865	4,151.25	68.430	4,447.95	296.70	LT
	Sep 12, 11	20 000	59 500	1,190.00	68.430	1,368.60	178.60	LT
	Sep 16, 11	30 000	61.983	1,859.51	68.430	2,052.90	193.39	LT
	Mar 1, 12	30 000	62.990	1,889.70	68.430	2,052.90	163.20	ST
	Mar 2, 12	25 000	62 554	1,563.87	68.430	1,710.75	146.88	ST
	Mar 2, 12	20 000	62.555	1,251.10	68.430	1,368.60	117.50	ST
	Mar 15, 12	15 000	63.997	959.96	68.430	1,026.45	66.49	ST
Security total		360 000	63.235	22,764.53		24,634.80	1,870.27	
PRIZER INC								
Symbol PFE Exchange NYSE								
EAI \$787 Current yield 3 83%	Nov 11, 08	135 000	16 370	2,209.95	25 079	3,385.67	1,175.72	LT
	Oct 16, 09	147 000	17.660	2,596.02	25.079	3,686.61	1,090.59	LT
	Oct 16, 09	9 000	17.660	158.94	25 079	225.71	66.77	LT

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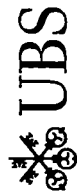
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UBS ACCOUNT #07493

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Jan 4, 10	34 000	18 677	635 04	25 079	852 69	217 65	LT
	Jan 14, 11	330 000	18 278	6 031 74	25 079	8 276 07	2 244 33	LT
	Feb 11, 11	165 000	18 878	3 114 87	25 079	4 138 04	1 023 17	LT
Security total		820 000	17 984	14 746 56		20 564 78	5 818 23	
PNC FINANCIAL SERVICES GROUP								
Symbol PNC Exchange NYSE								
EAI \$170 Current yield 2.75%	Jan 8, 08	2 000	576 000	1 152 00	58 310	116 62	-1 035 38	LT
	May 27, 08	4 000	109 925	439 70	58 310	233 24	-206 46	LT
	Dec 3, 09	40 000	53 970	2 158 80	58 310	2 332 40	173 60	LT
	Jan 14, 11	60 000	63 580	3 814 80	58 310	3 498 60	-316 20	LT
Security total		106 000	71 371	7 565 30		6 180 86	-1 384 44	
PORTUGAL TELECOM SGPS S.A. 1								
ADR = 1 ORD SPON ADR								
Symbol PT Exchange NYSE								
EAI \$252 Current yield 10.65%	Jan 8, 08	70 000	13 510	945 70	4 980	348 60	-597 10	LT
	Jan 14, 11	405 000	11 029	4 466 83	4 980	2 016 90	-2 449 93	LT
Security total		475 000	11 395	5 412 53		2 365 50	-3 047 03	
SAFEWAY INC								
Symbol SWY Exchange NYSE								
EAI \$676 Current yield 3.87%	Jan 14, 11	315 000	20 959	6 602 15	18 090	5 698 35	-903 80	LT
	Feb 11, 11	100 000	21 620	2 162 00	18 090	1 809 00	-353 00	LT
	Jun 7, 12	110 000	18 456	2 030 16	18 090	1 989 90	-40 26	ST
	Jul 26, 12	115 000	15 146	1 741 88	18 090	2 080 35	338 47	ST
	Oct 8, 12	325 000	16 187	5 261 00	18 090	5 879 25	618 25	ST
Security total		965 000	18 443	17 797 19		17 456 85	-340 34	
SANOFI SPON ADR								
Symbol SNY Exchange NYSE								
EAI \$601 Current yield 3.02%	Nov 11, 08	115 000	29 938	3 442 97	47 380	5 448 70	2 005 73	LT
	Jan 14, 11	230 000	34 198	7 865 63	47 380	10 897 40	3 031 77	LT
	Mar 9, 11	75 000	35 169	2 637 69	47 380	3 553 50	915 81	LT
Security total		420 000	33 205	13 946 29		19 899 60	5 953 31	

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
SONY CORP ADR NEW JAPAN ADR								
Symbol SNE Exchange NYSE								
EAI \$101 Current yield 2.50%	Jan 14, 11	105,000	35.833	3,762.47	11.200	1,176.00	-2,586.47	LT
	Feb 11, 11	60,000	34.847	2,090.87	11.200	672.00	-1,418.87	LT
	Jun 3, 11	70,000	26.476	1,853.36	11.200	784.00	-1,069.36	LT
	Jun 7, 12	125,000	13.330	1,666.25	11.200	1,400.00	-266.25	ST
Security total		360,000	26.036	9,372.95		4,032.00	-5,340.95	
STATE STREET CORP								
Symbol STT Exchange NYSE								
EAI \$283 Current yield 2.04%	Mar 6, 12	160,000	41.153	6,584.50	47.010	7,521.60	937.10	ST
	Mar 6, 12	85,000	41.153	3,498.01	47.010	3,995.85	497.84	ST
	Sep 25, 12	50,000	42.409	2,120.49	47.010	2,350.50	230.01	ST
Security total		295,000	41.366	12,203.00		13,867.95	1,664.95	
STMICROELECTRONICS N.V. EUR								
Symbol STM Exchange NYSE								
EAI \$396 Current yield 4.69%	Jun 3, 11	100,000	10.990	1,099.01	7.240	724.00	-375.01	LT
EUR Exchange rate 0.75849	Aug 1, 11	260,000	7.616	1,980.32	7.240	1,882.40	-97.92	LT
	Dec 15, 11	330,000	5.566	1,837.08	7.240	2,389.20	552.12	LT
	Oct 8, 12	475,000	5.597	2,658.72	7.240	3,439.00	780.28	ST
Security total		1,165,000	6.502	7,575.13		8,434.60	859.47	
SUMITOMO MITSUI FINANCIAL SPON								
ADR								
Symbol SMFG Exchange NYSE								
EAI \$361 Current yield 3.09%	Jan 8, 08	100,000	15.400	1,540.00	7.340	734.00	-806.00	LT
	Jan 14, 11	630,000	7.298	4,597.99	7.340	4,624.20	26.21	LT
	Mar 9, 11	245,000	7.248	1,775.96	7.340	1,798.30	22.34	LT
	Nov 8, 11	615,000	5.450	3,351.75	7.340	4,514.10	1,162.35	LT
Security total		1,590,000	7.085	11,265.70		11,670.60	404.90	
SWISS RE LTD SPON ADR								
Symbol SSREY Exchange OTC								
EAI \$807 Current yield 4.56%	Jun 10, 11	170,000	58.543	9,952.38	72.300	12,291.00	2,338.62	LT
	Oct 8, 12	75,000	69.060	5,179.50	72.300	5,422.50	243.00	ST

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UBS ACCOUNT #07493

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		245 000	61.763	15,131.88		17,713.50	2,581.62	
TAKEDA PHARMACEUTICAL CO LTD								
SPON ADR								
Symbol TKPY Exchange OTC								
EAI \$551 Current yield 4.43%								
	Oct 4, 10	140 000	23.126	3,237.71	22.200	3,108.00	-129.71	LT
	Jan 14, 11	220 000	24.080	5,297.60	22.200	4,884.00	-413.60	LT
	Mar 30, 11	75 000	23.600	1,770.00	22.200	1,665.00	-105.00	LT
	Sep 25, 12	80 000	23.478	1,878.30	22.200	1,776.00	-102.30	ST
	Sep 25, 12	45 000	23.478	1,056.55	22.200	999.00	-57.55	ST
Security total		560 000	23.643	13,240.16		12,432.00	-808.16	
TE CONNECTIVITY LTD CHF								
Symbol TEL Exchange NYSE								
EAI \$491 Current yield 2.26%								
CHF Exchange rate 0.91535								
	Jan 8, 08	30 000	33.420	1,002.60	37.120	1,113.60	111.00	LT
	Nov 11, 08	175 000	14.614	2,557.57	37.120	6,496.00	3,938.43	LT
	Feb 24, 11	220 000	35.389	7,785.76	37.120	8,166.40	380.64	LT
	Jun 27, 12	70 000	30.695	2,148.71	37.120	2,598.40	449.69	ST
	Oct 24, 12	65 000	32.037	2,082.42	37.120	2,412.80	330.38	ST
	Nov 7, 12	25 000	33.903	847.58	37.120	928.00	80.42	ST
Security total		585 000	28.076	16,424.64		21,715.20	5,290.56	
TELECOM ITALIA SPA NEW REPSTG								
10 ORD SHS SPON ADR								
Symbol TI Exchange NYSE								
EAI \$642 Current yield 4.78%								
	Jan 8, 08	95 000	30.470	2,894.65	9.050	859.75	-2,034.90	LT
	Jun 19, 09	10 000	13.164	131.64	9.050	90.50	-41.14	LT
	Jul 28, 10	20 000	12.974	259.48	9.050	181.00	-78.48	LT
	Jan 14, 11	850 000	13.856	11,778.03	9.050	7,692.50	-4,085.53	LT
	Feb 11, 11	250 000	14.178	3,544.60	9.050	2,262.50	-1,282.10	LT
	Dec 29, 11	260 000	10.337	2,687.78	9.050	2,353.00	-334.78	LT
Security total		1,485 000	14.341	21,296.18		13,439.25	-7,856.93	
TELEFONICA S A SPON ADR								
Symbol TEF Exchange NYSE								
	Jan 8, 08	15 000	32.896	493.45	13.490	202.35	-291.10	LT

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UBS ACCOUNT #07493

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
TIM PARTICIPACIOES SA SPON ADR Symbol TSU Exchange NYSE EAI \$211 Current yield 1.45%	Jan 14, 11	150,000	23.563	3,534.48	13.490	2,023.50	-1,510.98	LT
	Feb 2, 12	105,000	17.698	1,858.35	13.490	1,416.45	-441.90	ST
	Earnings	7,000	12.530	87.71	13.490	94.43	6.72	
Security total		277,000	21.567	5,973.99		3,736.73	-2,237.26	
TOKIO MARINE HOLDINGS INC SPON ADR Symbol TKOMY Exchange OTC EAI \$195 Current yield 2.00%	Jul 13, 12	210,000	24.924	5,234.08	19.820	4,162.20	-1,071.88	ST
	Oct 8, 12	195,000	18.006	3,511.27	19.820	3,864.90	353.63	ST
	Oct 12, 12	50,000	17.397	869.89	19.820	991.00	121.11	ST
	Oct 15, 12	280,000	17.770	4,975.60	19.820	5,549.60	574.00	ST
		735,000	19.851	14,590.84		14,567.70	-23.14	
TOTAL S.A. FRANCE SPON ADR Symbol TOT Exchange NYSE EAI \$802 Current yield 4.82%	Nov 28, 01	40,000	15.617	624.70	27.840	1,113.60	488.90	LT
	Oct 4, 04	50,000	26.945	1,347.27	27.840	1,392.00	44.73	LT
	Jan 8, 08	35,000	35.690	1,249.15	27.840	974.40	-274.75	LT
	Jan 14, 11	135,000	30.900	4,171.50	27.840	3,758.40	-413.10	LT
	Mar 30, 11	90,000	26.597	2,393.78	27.840	2,505.60	111.82	LT
Security total		350,000	27.961	9,786.40		9,744.00	-42.40	
TOYOTA MOTOR CORP NEW JAPAN SPON ADR Symbol TM Exchange NYSE EAI \$351 Current yield 1.48%	Jun 28, 10	25,000	46.619	1,165.48	52.010	1,300.25	134.77	LT
	Dec 21, 10	5,000	53.260	266.30	52.010	260.05	-6.25	LT
	Jan 14, 11	185,000	56.666	10,483.30	52.010	9,621.85	-861.45	LT
	Feb 11, 11	50,000	58.570	2,928.50	52.010	2,600.50	-328.00	LT
	Mar 29, 12	35,000	49.833	1,744.18	52.010	1,820.35	76.17	ST
Security total		320,000	43.930	878.60	52.010	1,040.20	161.60	ST
			54.582	17,466.36		16,643.20	-823.16	
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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Nov 20, 09	5,000	77.304	386.52	93.250	466.25	79.73	LT
	Jan 14, 11	110,000	85.785	9,436.37	93.250	10,257.50	821.13	LT
	Feb 11, 11	30,000	89.370	2,681.10	93.250	2,797.50	116.40	LT
	Apr 14, 11	25,000	78.275	1,956.89	93.250	2,331.25	374.36	LT
	Dec 9, 11	75,000	68.503	5,137.76	93.250	6,993.75	1,855.99	LT
Security total		255,000	79.938	20,384.15		23,778.75	3,394.60	

UNILEVER NV N Y SHS NEW
NETHERLANDS SPON ADR
Symbol UN Exchange NYSE
EAI \$641 Current yield 2.72%

	Jan 4, 05	30,000	22.016	660.50	38.300	1,149.00	488.50	LT
	Aug 7, 08	135,000	27.885	3,764.49	38.300	5,170.50	1,406.01	LT
	Jan 14, 11	235,000	30.416	7,147.88	38.300	9,000.50	1,852.62	LT
	Feb 11, 11	90,000	29.416	2,647.49	38.300	3,447.00	799.51	LT
	Apr 28, 11	65,000	33.095	2,151.23	38.300	2,489.50	338.27	LT
	Apr 28, 11	60,000	33.095	1,985.75	38.300	2,298.00	312.25	LT
Security total		615,000	29.849	18,357.34		23,554.50	5,197.16	

WELLS FARGO & CO NEW
Symbol WFC Exchange NYSE
EAI \$741 Current yield 2.57%

	Dec 21, 07	40,000	30.029	1,201.16	34.180	1,367.20	166.04	LT
	Apr 16, 08	7,000	143.040	1,001.28	34.180	239.26	-762.02	LT
	Jun 20, 08	5,000	101.936	509.68	34.180	170.90	-338.78	LT
	Jul 17, 08	12,000	49.195	590.34	34.180	410.16	-180.18	LT
	Nov 10, 08	35,000	29.000	1,015.00	34.180	1,196.30	181.30	LT
	Jan 26, 09	135,000	16.273	2,196.91	34.180	4,614.30	2,417.39	LT
	Mar 6, 09	170,000	8.589	1,460.13	34.180	5,810.60	4,350.47	LT
	Nov 10, 09	10,000	28.150	281.50	34.180	341.80	60.30	LT
	Jan 4, 10	243,000	27.256	6,623.45	34.180	8,305.74	1,682.29	LT
	Dec 9, 11	185,000	26.786	4,955.52	34.180	6,323.30	1,367.78	LT
Security total		842,000	23.557	19,834.97		28,779.56	8,944.59	

WSTN DIGITAL CORP
Symbol WDC Exchange OTC
EAI \$500 Current yield 2.35%

	Aug 17, 10	125,000	24.438	3,054.79	42.490	5,311.25	2,256.46	LT
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December 2012

UBS ACCOUNT #07493

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Aug 31, 10	25 000	24 276	606 92	42 490	1,062 25	455 33	LT
	Oct 6, 10	45 000	27 987	1,259 42	42 490	1,912 05	652 63	LT
	Oct 6, 10	10 000	27 987	279 87	42 490	424 90	145 03	LT
	Jan 14, 11	170 000	33 378	5,674 33	42 490	7,223 30	1,548 97	LT
	Feb 24, 11	80 000	30 783	2,462 66	42 490	3,399 20	936 54	LT
	Oct 27, 11	45 000	27 084	1,218 81	42 490	1,912 05	693 24	LT
Security total		500 000	29 114	14,556 80		21,245 00	6,688 20	
XEROX CORP								
Symbol XRX Exchange NYSE								
EAI \$183 Current yield 2.50%								
	Nov 28, 01	65 000	8 230	534 95	6 820	443 30	-91 65	LT
	Jan 14, 11	410 000	11 409	4,677 77	6 820	2,796 20	-1,881 57	LT
	Feb 11, 11	165 000	10 858	1,791 57	6 820	1,125 30	-666 27	LT
	Jun 3, 11	210 000	10 015	2,103 32	6 820	1,432 20	-671 12	LT
	Jun 7, 12	225 000	7 517	1,691 48	6 820	1,534 50	-156 98	ST
Security total		1,075 000	10 046	10,799 09		7,331 50	-3,467 59	
Total				\$951,604.97		\$965,270.79	\$13,665.80	
Total estimated annual income: \$31,597								

Your total assets

	Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	45,892.38	4.54%	45,892.38		
Equities	965,270.79	95.46%	951,604.97	31,597.00	13,665.80
Total	\$1,011,163.17	100.00%	\$997,497.35	\$31,597.00	\$13,665.80



Business Services Account
December 2012

UBS ACCOUNT #35769

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period
Cash	1.94	0.00				
RMA MONEY MKT. PORTFOLIO	861,433.32	0.00	1.00	0.01%	Nov 26 to Dec 23	28
UBS SELECT PRIME CAPITAL	0.00	810,389.12	1.00	0.09%	Nov 1 to Nov 30	30
Total	\$861,435.26	\$810,389.12				

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
BP PLC SPON ADR								
Symbol BP Exchange NYSE								
EAI \$1,140 Current yield 5.19%	Jun 2, 10	500,000	37.064	18,532.09	41.640	20,820.00	2,287.91	LT
	Earnings	28,000	42.273	1,183.65	41.640	1,165.92	-17.73	
Security total		528,000	37.340	19,715.74		21,985.92	2,270.18	

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
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EATON VANCE TAX MANAGED GLOBAL

continued next page



Business Services Account
December 2012

UBS ACCOUNT # 35769

Your assets • **Equities** • Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
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DIVERSIFIED EQUITY INCOME

FUND

Symbol EXG

Total reinvested

EAI \$139 Current yield 11.11%

2 Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction

1,343.31² 8.810 1,251.02 -92.29 1,251.02

Fixed income

Corporate bonds and notes

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected. Cost basis has been adjusted for accreted original issue discount (OID). If you have made a tax

election to deduct the premium amortization on taxable debt securities, you may request that UBS adjust cost basis for the bond premium amortization

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
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GOLDMAN SACHS CAPITAL II

US\$LIBOR+76BP

RATE 04.000% MATURES 06/01/43

ACCRUED INTEREST \$716.65

CUSIP 381427AA1

Moody: Ba2 S&P: BB+

EAI \$8,600 Current yield 5.12%

69.888

150,264.45

78.108

167,932.20

17,667.75

ST

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
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EATON VANCE NATIONAL

MUNICIPAL INCOME

FUND CLASS A

continued next page



Business Services Account
December 2012

UBS ACCOUNT #35769

Your assets • Fixed income • Mutual funds (continued)

Holding	Symbol	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Investment return (\$)	Holding period
	SYNAX									
Trade date: Oct 8, 08		343,829.218	8.740	3,005,067.37	3,005,067.37	10.260	3,527,687.78	522,620.41		LT
Trade date: Oct 8, 08		461.025	8.740	4,029.36	4,029.36	10.260	4,730.12	700.76		LT
EAI \$162,161 Current yield 4.59%										
Security total		344,290.243	8.740	3,009,096.73	3,009,096.73		3,532,417.89	523,321.17	523,321.17	
NUVEEN FLAGSHIP ALL-										
AMERICAN MUNICIPAL BOND										
FUND CLASS A										
Symbol	FLAAX									
		102,800.000	---This information was unavailable---			11.700	1,202,760.00			
EAI \$50,783 Current yield 4.22%										
Total				\$3,009,096.73	\$3,009,096.73		\$4,735,177.89	\$523,321.17	\$523,321.17	
Total estimated annual income: \$212,944										

Your total assets

Cash	Cash and money balances	Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Equities	Common stock	21,985.92		19,715.74	1,140.00	2,270.18
	Closed end funds & Exchange traded products	1,251.02		1,343.31	139.00	-92.29
	Total equities	23,236.94	0.41%	21,059.05	1,279.00	2,177.89
Fixed income	Corporate bonds and notes	167,932.20		150,264.45	8,600.00	17,667.75
	* Mutual funds	4,735,177.89		3,009,096.73	212,944.00	523,321.17
	Total accrued interest	716.65				
	Total fixed income	4,903,826.74	85.47%	3,159,361.18	221,544.00	540,988.92
Total		\$5,737,452.80	100.00%	\$3,990,809.35	\$222,823.00	\$543,166.81

* Missing cost basis information



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December 2012

UBS ACCOUNT #35847

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period
Cash	2,398.88	0.00				
RMA MONEY MKT. PORTFOLIO	10,742.36	0.00	1.00	0.01%	Nov 26 to Dec 23	28
UBS SELECT PRIME CAPITAL	0.00	19,926.27	1.00	0.09%	Nov 1 to Nov 30	30
Total	\$13,141.24	\$19,926.27				

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
ABBOTT LABS								
Symbol ABBT Exchange NYSE								
EAI \$109 Current yield: 0.85%								
	Mar 23, 12	5,000	60.250	301.25	65.500	327.50	26.25	ST
	Mar 27, 12	38,000	61.409	2,333.55	65.500	2,489.00	155.45	ST
	Mar 28, 12	33,000	60.865	2,008.55	65.500	2,161.50	152.95	ST
	May 8, 12	10,000	62.027	620.27	65.500	655.00	34.73	ST
	May 11, 12	22,000	62.366	1,372.07	65.500	1,441.00	68.93	ST
	May 14, 12	36,000	61.649	2,219.37	65.500	2,358.00	138.63	ST
	Jun 20, 12	20,000	62.906	1,258.13	65.500	1,310.00	51.87	ST
	Jul 20, 12	31,000	65.167	2,020.18	65.500	2,030.50	10.32	ST
Security total		195,000	62.222	12,133.37		12,772.50	639.13	
ALEXION PHARMACEUTICALS INC								
Symbol ALXN Exchange OTC								
	Aug 15, 11	33,000	53.149	1,753.93	93.740	3,093.42	1,339.49	LT
	Aug 16, 11	37,000	51.938	1,921.71	93.740	3,468.38	1,546.67	LT
	Aug 18, 11	37,000	50.000	1,850.00	93.740	3,468.38	1,618.38	LT
	Dec 22, 11	7,000	67.300	471.10	93.740	656.18	185.08	LT

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December 2012

UBS ACCOUNT #35847

Your assets • **Equities** • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Dec 23, 11	23 000	68.612	1,578.08	93.740	2,156.02	577.94	LT
	Jan 31, 12	10,000	75.124	751.24	93.740	937.40	186.16	ST
	May 8, 12	10,000	84.170	841.70	93.740	937.40	95.70	ST
	Nov 21, 12	8,000	93.955	751.64	93.740	749.92	-1.72	ST
Security total		165,000	60.118	9,919.40		15,467.10	5,547.70	
ALLERGAN INC								
Symbol	AGN	Exchange	NYSE					
EAI	\$44	Current yield	0.22%					
	May 3, 12	7,000	94.002	658.02	91.730	642.11	-15.91	ST
	May 4, 12	81,000	93.395	7,565.02	91.730	7,430.13	-134.89	ST
	May 7, 12	88,000	93.651	8,241.36	91.730	8,072.24	-169.12	ST
	May 21, 12	14,000	89.780	1,256.93	91.730	1,284.22	27.29	ST
	Aug 22, 12	10,000	85.950	981.14 ²	91.730	917.30	-63.84	ST
	Oct 2, 12	7,000	92.315	646.21	91.730	642.11	-4.10	ST
	Oct 23, 12	12,000	90.655	1,087.86	91.730	1,100.76	12.90	ST
Security total		219,000	93.318	20,436.54		20,088.87	-347.67	
AMAZON.COM INC								
Symbol	AMZN	Exchange	OTC					
	Aug 14, 12	19,000	234.191	4,449.63	250.870	4,766.53	316.90	ST
	Aug 15, 12	38,000	235.314	8,941.94	250.870	9,533.06	591.12	ST
	Aug 29, 12	10,000	247.253	2,472.53	250.870	2,508.70	36.17	ST
	Oct 5, 12	4,000	257.825	1,031.30	250.870	1,003.48	-27.82	ST
Security total		71,000	237.963	16,895.40		17,811.77	916.37	
AMERIPRISE FINANCIAL INC								
Symbol	AMP	Exchange	NYSE					
EAI	\$605	Current yield	2.87%					
	Oct 22, 12	23,000	57.882	1,331.30	62.630	1,440.49	109.19	ST
	Oct 23, 12	54,000	57.055	3,081.00	62.630	3,382.02	301.02	ST
	Oct 23, 12	24,000	57.243	1,373.85	62.630	1,503.12	129.27	ST
	Oct 24, 12	78,000	57.416	4,478.50	62.630	4,885.14	406.64	ST
	Oct 25, 12	56,000	60.000	3,360.00	62.630	3,507.28	147.28	ST
	Nov 5, 12	36,000	60.042	2,161.53	62.630	2,254.68	93.15	ST
	Nov 15, 12	34,000	57.890	1,968.28	62.630	2,129.42	161.14	ST
	Nov 19, 12	15,000	59.721	895.82	62.630	939.45	43.63	ST
	Dec 12, 12	16,000	62.616	1,001.87	62.630	1,002.08	0.21	ST

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December 2012

UBS ACCOUNT #35847

Your assets > **Equities** > **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		336 000	58 489	19,652.15		21,043.68	1,391.53	
ANHEUSER BUSCH INBEV SPON ADR								
Symbol BUD Exchange NYSE								
EAI \$322 Current yield 1.48%								
	Oct 2, 12	33,000	88.243	2,912.03	87.410	2,884.53	-27.50	ST
	Oct 2, 12	32,000	88.420	2,829.45	87.410	2,797.12	-32.33	ST
	Oct 3, 12	48,000	87.604	4,205.03	87.410	4,195.68	-9.35	ST
	Oct 4, 12	50,000	88.647	4,432.39	87.410	4,370.50	-61.89	ST
	Oct 4, 12	17,000	88.664	1,507.30	87.410	1,485.97	-21.33	ST
	Oct 5, 12	36,000	90.639	3,263.02	87.410	3,146.76	-116.26	ST
	Oct 15, 12	11,000	86.897	955.87	87.410	961.51	5.64	ST
	Nov 5, 12	10,000	82.302	823.02	87.410	874.10	51.08	ST
	Dec 12, 12	12,000	87.805	1,053.66	87.410	1,048.92	-4.74	ST
Security total		249 000	88 280	21,981.77		21,765.09	-216.68	
APPLE INC								
Symbol AAPL Exchange OTC								
EAI \$1,378 Current yield 1.99%								
	Jan 29, 09	8,000	93.565	748.52	532.172	4,257.38	3,508.86	LT
	Mar 18, 09	21,000	101.231	2,125.87	532.172	11,175.61	9,049.74	LT
	Apr 29, 09	10,000	124.941	1,249.41	532.172	5,321.72	4,072.31	LT
	May 27, 09	16,000	133.402	2,134.44	532.172	8,514.75	6,380.31	LT
	Oct 15, 09	20,000	190.032	3,800.65	532.172	10,643.44	6,842.79	LT
	Oct 21, 09	15,000	205.464	3,081.97	532.172	7,982.58	4,900.61	LT
	Nov 4, 10	1,000	318.550	318.55	532.172	532.17	213.62	LT
	Feb 11, 11	21,000	356.350	7,483.35	532.172	11,175.61	3,692.26	LT
	Oct 7, 11	3,000	376.040	1,128.12	532.172	1,596.52	468.40	LT
	Jan 31, 12	3,000	457.516	1,372.55	532.172	1,596.52	223.97	ST
	Apr 9, 12	1,000	636.600	636.60	532.172	532.17	-104.43	ST
	May 8, 12	3,000	563.190	1,689.57	532.172	1,596.52	-93.05	ST
	Jun 11, 12	5,000	582.908	2,914.54	532.172	2,660.86	-253.68	ST
	Jun 20, 12	2,000	585.120	1,170.24	532.172	1,064.34	-105.90	ST
	Oct 26, 12	1,000	608.400	608.40	532.172	532.17	-76.23	ST
Security total		130 000	234.329	30,462.78		69,182.36	38,719.58	
							continued next page	



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December 2012

UBS ACCOUNT # 35847

Your assets • **Equities** • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
BROADCOM CORP CL A								
Symbol BRCM Exchange OTC								
EAI \$190 Current yield 1.21%								
	Jun 1, 12	187,000	31.728	5,933.14	33.210	6,210.27	277.13	ST
	Jun 1, 12	185,000	31.825	5,887.79	33.210	6,143.85	256.06	ST
	Jun 18, 12	77,000	34.710	2,672.70	33.210	2,557.17	-115.53	ST
	Jun 22, 12	25,000	33.756	843.91	33.210	830.25	-13.66	ST
Security total		474,000	32.358	15,337.54		15,741.54	404.00	
CAPITAL ONE FINCL CORP								
Symbol COF Exchange NYSE								
EAI \$52 Current yield 0.34%								
	Oct 23, 12	113,000	59.504	6,723.96	57.930	6,546.09	-177.87	ST
	Oct 23, 12	60,000	60.148	3,608.89	57.930	3,475.80	-133.09	ST
	Oct 24, 12	53,000	60.198	3,190.52	57.930	3,070.29	-120.23	ST
	Nov 19, 12	17,000	57.890	984.13	57.930	984.81	0.68	ST
	Dec 12, 12	18,000	58.744	1,057.40	57.930	1,042.74	-14.66	ST
Security total		261,000	59.636	15,564.90		15,119.73	-445.17	
CBS CORP NEW CL B								
Symbol CBS Exchange NYSE								
EAI \$223 Current yield 1.26%								
	Aug 23, 12	58,000	36.207	2,100.01	38.050	2,206.90	106.89	ST
	Aug 24, 12	125,000	35.893	4,486.69	38.050	4,756.25	269.56	ST
	Aug 27, 12	126,000	36.657	4,618.90	38.050	4,794.30	175.40	ST
	Aug 29, 12	30,000	36.267	1,088.01	38.050	1,141.50	53.49	ST
	Aug 30, 12	33,000	36.188	1,194.22	38.050	1,255.65	61.43	ST
	Sep 21, 12	92,000	38.132	3,508.20	38.050	3,500.60	-7.60	ST
Security total		464,000	36.629	16,996.03		17,655.20	659.17	
CROWN CASTLE INTL CORP								
Symbol CCI Exchange NYSE								
	Mar 9, 12	84,000	54.005	4,536.49	72.160	6,061.44	1,524.95	ST
	Mar 9, 12	8,000	54.005	432.04	72.160	577.28	145.24	ST
	Mar 12, 12	90,000	55.095	4,958.60	72.160	6,494.40	1,535.80	ST
	Mar 14, 12	17,000	52.519	892.83	72.160	1,226.72	333.89	ST
	Mar 20, 12	22,000	52.744	1,160.37	72.160	1,587.52	427.15	ST
	Mar 26, 12	16,000	53.698	859.18	72.160	1,154.56	295.38	ST
	Apr 16, 12	90,000	54.228	4,880.55	72.160	6,494.40	1,613.85	ST
	May 3, 12	29,000	56.627	1,642.19	72.160	2,092.64	450.45	ST
								continued next page



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December 2012

UBS ACCOUNT #35847

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	May 21, 12	37,000	53.347	1,973.86	72.160	2,669.92	696.06	ST
	Oct 26, 12	10,000	66.283	662.83	72.160	721.60	58.77	ST
		403,000	54.588	21,998.94		29,080.48	7,081.54	
CUMMINS INC								
Symbol CMI Exchange NYSE								
EAI \$354 Current yield 1.85%								
Security total	Jul 12, 10	1,000	70.430	70.43	108.350	108.35	37.92	LT
	Jul 13, 10	36,000	72.479	2,609.25	108.350	3,900.60	1,291.35	LT
	Jul 14, 10	28,000	73.755	2,065.16	108.350	3,033.80	968.64	LT
	Feb 7, 11	20,000	111.795	2,235.90	108.350	2,167.00	-68.90	LT
	Feb 11, 11	29,000	111.930	3,245.97	108.350	3,142.15	-103.82	LT
	Apr 21, 11	12,000	108.618	1,303.42	108.350	1,300.20	-3.22	LT
	Mar 7, 12	10,000	117.380	1,173.80	108.350	1,083.50	-90.30	ST
	Oct 15, 12	12,000	88.926	1,067.12	108.350	1,300.20	233.08	ST
	Oct 16, 12	10,000	90.210	902.10	108.350	1,083.50	181.40	ST
	Oct 18, 12	19,000	93.206	1,770.93	108.350	2,058.65	287.72	ST
		177,000	92.904	16,444.08		19,177.95	2,733.87	

DANAHER CORP								
Symbol DHR Exchange NYSE								
EAI \$43 Current yield 0.18%								
Security total	May 3, 11	15,000	55.015	825.23	55.900	838.50	13.27	LT
	May 4, 11	73,000	54.899	4,007.68	55.900	4,080.70	73.02	LT
	Jun 3, 11	25,000	52.149	1,303.73	55.900	1,397.50	93.77	LT
	Jun 9, 11	20,000	52.029	1,040.58	55.900	1,118.00	77.42	LT
	Jun 15, 11	131,000	51.709	6,773.92	55.900	7,322.90	548.98	LT
	Jun 15, 11	74,000	51.807	3,833.79	55.900	4,136.60	302.81	LT
	Jul 21, 11	23,000	52.280	1,202.44	55.900	1,285.70	83.26	LT
	Jul 25, 11	10,000	51.659	516.59	55.900	559.00	42.41	LT
	Oct 7, 11	10,000	42.438	424.38	55.900	559.00	134.62	LT
	Jan 31, 12	10,000	52.585	525.85	55.900	559.00	33.15	ST
	Mar 7, 12	20,000	52.156	1,043.13	55.900	1,118.00	74.87	ST
	May 8, 12	10,000	53.007	536.03 ²	55.900	559.00	22.97	LT
	Sep 25, 12	10,000	54.677	546.77	55.900	559.00	12.23	ST
		431,000	52.390	22,580.12		24,092.90	1,512.78	

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December 2012

UBS ACCOUNT #35847

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
DOLLAR GEN CORP NEW								
Symbol DG Exchange NYSE								
	Sep 16, 11	40,000	38.301	1,532.05	44.090	1,763.60	231.55	LT
	Sep 19, 11	52,000	37.699	1,960.36	44.090	2,292.68	332.32	LT
	Oct 5, 11	29,000	37.422	1,085.26	44.090	1,278.61	193.35	LT
	Dec 20, 11	79,000	40.757	3,219.82	44.090	3,483.11	263.29	LT
	Jan 31, 12	30,000	42.494	1,274.84	44.090	1,322.70	47.86	ST
	Feb 16, 12	50,000	42.000	2,100.00	44.090	2,204.50	104.50	ST
	Mar 20, 12	37,000	45.162	1,671.02	44.090	1,631.33	-39.69	ST
	Mar 26, 12	20,000	47.308	946.17	44.090	881.80	-64.37	ST
	Mar 29, 12	152,000	46.250	7,030.00	44.090	6,701.68	-328.32	ST
	May 8, 12	10,000	47.093	470.93	44.090	440.90	-30.03	ST
	Jun 25, 12	33,000	52.973	1,748.13	44.090	1,454.97	-293.16	ST
	Aug 31, 12	46,000	50.926	2,342.63	44.090	2,028.14	-314.49	ST
	Oct 26, 12	10,000	47.540	475.40	44.090	440.90	-34.50	ST
Security total		588,000	43.974	25,856.61		25,924.92	68.31	
EBAY INC								
Symbol EBAY Exchange OTC								
	Dec 8, 11	112,000	31.316	3,507.47	50.997	5,711.66	2,204.19	LT
	Dec 9, 11	231,000	31.586	7,296.46	50.997	11,780.30	4,483.84	LT
	Dec 19, 11	62,000	30.435	1,887.01	50.997	3,161.81	1,274.80	LT
	Jan 31, 12	20,000	31.658	633.17	50.997	1,019.94	386.77	ST
	Feb 16, 12	61,000	34.160	2,083.76	50.997	3,110.82	1,027.06	ST
	Feb 21, 12	57,000	35.107	2,001.13	50.997	2,906.83	905.70	ST
	Mar 14, 12	39,000	37.480	1,461.72	50.997	1,988.88	527.16	ST
	Jun 11, 12	62,000	41.540	2,575.48	50.997	3,161.81	586.33	ST
Security total		644,000	33.302	21,446.20		32,842.06	11,395.85	
GILEAD SCIENCES INC								
Symbol GILD Exchange OTC								
	Jan 18, 12	45,000	47.397	2,132.87	73.450	3,305.25	1,172.38	ST
	Jan 19, 12	183,000	47.231	8,643.33	73.450	13,441.35	4,798.02	ST
	Mar 7, 12	10,000	44.908	449.08	73.450	734.50	285.42	ST
	Apr 9, 12	10,000	46.967	469.67	73.450	734.50	264.83	ST
	Jul 12, 12	19,000	49.953	949.12	73.450	1,395.55	446.43	ST
	Jul 12, 12	17,000	50.737	862.53	73.450	1,248.65	386.12	ST

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Jul 20, 12	16 000	53 146	850 35	73 450	1,175 20	324 85	ST
	Aug 29, 12	39 000	57 682	2,249 63	73 450	2,864 55	614 92	ST
		339 000	48 987	16,606 58		24,899 55	8,292 97	
GOOGLE INC CL A								
Symbol GOOG Exchange OTC	Oct 19, 10	3 000	611 683	1,835 05	707 380	2,122 14	287 09	LT
	Feb 11, 11	2 000	618 695	1,237 39	707 380	1,414 76	177 37	LT
	Jul 15, 11	5 000	592 404	2,962 02	707 380	3,536 90	574 88	LT
	Jul 21, 11	13 000	606 272	7,881 54	707 380	9,195 94	1,314 40	LT
	Oct 7, 11	1 000	519 360	519 36	707 380	707 38	188 02	LT
	Nov 14, 11	1 000	615 950	615 95	707 380	707 38	91 43	LT
	Jan 18, 12	2 000	631 830	1,263 66	707 380	1,414 76	151 10	ST
	Mar 7, 12	1 000	608 160	608 16	707 380	707 38	99 22	ST
	Apr 26, 12	1 000	616 240	616 24	707 380	707 38	91 14	ST
	May 14, 12	3 000	602 940	1,808 82	707 380	2,122 14	313 32	ST
	Aug 29, 12	3 000	687 913	2,063 74	707 380	2,122 14	58 40	ST
	Oct 23, 12	3 000	682 510	2,047 53	707 380	2,122 14	74 61	ST
	Nov 5, 12	5 000	680 610	3,403 05	707 380	3,536 90	133 85	ST
	Nov 15, 12	2 000	651 535	1,303 07	707 380	1,414 76	111 69	ST
	Nov 20, 12	2 000	676 080	1,352 16	707 380	1,414 76	62 60	ST
	Dec 12, 12	1 000	699 250	699 25	707 380	707 38	8 13	ST
Security total		48 000	629 521	30,216 99		33,954 24	3,737 25	
HERSHEY CO								
Symbol HSY Exchange NYSE EAI \$386 Current yield 2.32%	Jun 29, 12	40 000	71 457	2,858 29	72 220	2,888 80	30 51	ST
	Jul 2, 12	59 000	71 749	4,233 23	72 220	4,260 98	27 75	ST
	Jul 3, 12	50 000	72 650	3,632 50	72 220	3,611 00	-21 50	ST
	Jul 5, 12	49 000	72 500	3,552 50	72 220	3,538 78	-13 72	ST
	Jul 20, 12	17 000	71 101	1,208 72	72 220	1,227 74	19 02	ST
	Jul 23, 12	15 000	70 817	1,062 26	72 220	1,083 30	21 04	ST
Security total		230 000	71 946	16,547 50		16,610 60	63 10	
HERTZ GLOBAL HOLDINGS INC								
Symbol HTZ Exchange NYSE	Apr 15, 11	10 000	16 847	168 47	16 270	162 70	-5 77	LT

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Your assets • **Equities** • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Apr 18, 11	119 000	16 644	1,980.72	16 270	1,936.13	-44.59	LT
	Apr 19, 11	121 000	16 745	2,026.25	16 270	1,968.67	-57.58	LT
	Apr 19, 11	121 000	16 886	2,043.29	16 270	1,968.67	-74.62	LT
	Apr 20, 11	114 000	17 249	1,966.47	16 270	1,854.78	-111.69	LT
	Apr 27, 11	139 000	17 094	2,452.73 ²	16 270	2,261.53	-191.20	LT
	May 3, 11	192 000	16 871	3,239.31	16 270	3,123.84	-115.47	LT
	Aug 31, 11	61 000	11 239	685.58	16 270	992.47	306.89	LT
	Sep 1, 11	85 000	11 209	952.83	16 270	1,382.95	430.12	LT
	Mar 7, 12	40 000	13.985	559.42	16 270	650.80	91.38	ST
	May 21, 12	52 000	12.935	672.67	16 270	846.04	173.37	ST
	Aug 29, 12	73 000	14 511	1,059.32	16 270	1,187.71	128.39	ST
	Aug 30, 12	52 000	14.351	746.28	16 270	846.04	99.76	ST
	Nov 16, 12	90 000	14 581	1,312.31	16 270	1,464.30	151.99	ST
		1,269,000	15.655	19,865.65		20,646.63	780.98	
Security total								
HOME DEPOT INC								
Symbol	HD	Exchange	NYSE					
EAI	\$311	Current yield	1.88%					
	Jun 18, 12	163 000	52 330	8,529.79	61 850	10,081.55	1,551.76	ST
	Jun 22, 12	33 000	51.790	1,709.07	61 850	2,041.05	331.98	ST
	Jun 27, 12	16 000	51.574	825.19	61 850	989.60	164.41	ST
	Sep 21, 12	17 000	59 847	1,017.40	61 850	1,051.45	34.05	ST
	Oct 4, 12	39 000	61 907	2,414.40	61 850	2,412.15	-2.25	ST
		268 000	54 089	14,495.85		16,575.80	2,079.95	
Security total								
INTUITIVE SURGICAL INC NEW								
Symbol	ISRG	Exchange	OTC					
	Feb 2, 12	2 000	484.635	969.27	490 370	980.74	11.47	ST
	Feb 3, 12	10 000	490.787	4,907.87	490 370	4,903.70	-4.17	ST
	Feb 6, 12	11 000	492 591	5,418.51	490 370	5,394.07	-24.44	ST
	Mar 7, 12	1 000	507.710	507.71	490 370	490.37	-17.34	ST
	Jun 5, 12	2 000	519 005	1,038.01	490 370	980.74	-57.27	ST
	Jun 6, 12	2 000	536 960	1,073.92	490 370	980.74	-93.18	ST
	Dec 19, 12	2 000	529 420	1,058.84	490 370	980.74	-78.10	ST
		30 000	499.138	14,974.13		14,711.10	-263.03	
Security total								

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Your assets • **Equities** • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
LIBERTY MEDIA CORPORATION COM								
Symbol LMCA Exchange OTC								
	Sep 27, 12	9,000	103.923	935.31	116.010	1,044.09	108.78	ST
	Sep 27, 12	5,000	103.922	519.61	116.010	580.05	60.44	ST
	Sep 28, 12	40,000	103.734	4,149.39	116.010	4,640.40	491.01	ST
	Oct 1, 12	26,000	105.358	2,739.33	116.010	3,016.26	276.93	ST
	Oct 2, 12	26,000	106.014	2,756.37	116.010	3,016.26	259.89	ST
	Oct 3, 12	28,000	106.857	2,992.02	116.010	3,248.28	256.26	ST
	Oct 4, 12	43,000	110.024	4,731.04	116.010	4,988.43	257.39	ST
	Oct 5, 12	9,000	107.645	968.81	116.010	1,044.09	75.28	ST
	Oct 11, 12	7,000	108.277	757.94	116.010	812.07	54.13	ST
Security total		193,000	106.476	20,549.82		22,389.93	1,840.11	
LIMITED BRANDS INC CL A								
Symbol LTD Exchange NYSE								
EAI \$574 Current yield 2.12%								
	Apr 18, 12	130,000	49.206	6,396.78	47.060	6,117.80	-278.98	ST
	Apr 19, 12	138,000	48.864	6,743.25	47.060	6,494.28	-248.97	ST
	Apr 23, 12	49,000	48.307	2,367.07	47.060	2,305.94	-61.13	ST
	Apr 24, 12	44,000	48.852	2,149.52	47.060	2,070.64	-78.88	ST
	May 14, 12	22,000	48.010	1,056.24	47.060	1,035.32	-20.92	ST
	May 17, 12	19,000	47.232	897.41	47.060	894.14	-3.27	ST
	Jun 14, 12	20,000	42.075	841.50	47.060	941.20	99.70	ST
	Jun 27, 12	15,000	40.699	610.49	47.060	705.90	95.41	ST
	Sep 14, 12	19,000	50.196	953.74	47.060	894.14	-59.60	ST
	Sep 19, 12	18,000	49.930	898.74	47.060	847.08	-51.66	ST
	Sep 20, 12	36,000	50.507	1,818.26	47.060	1,694.16	-124.10	ST
	Sep 21, 12	42,000	52.018	2,184.79	47.060	1,976.52	-208.27	ST
	Oct 5, 12	22,000	50.384	1,108.46	47.060	1,035.32	-73.14	ST
Security total		574,000	48.826	28,026.25		27,012.44	-1,013.81	
LINKEDIN CORP CL A								
Symbol LNKD Exchange NYSE								
	Nov 19, 12	63,000	103.845	6,542.27	114.820	7,233.66	691.39	ST
	Nov 20, 12	63,000	105.258	6,631.30	114.820	7,233.66	602.36	ST
Security total		126,000	104.552	13,173.57		14,467.32	1,293.75	
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Your assets • **Equities** • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
LULULEMON ATHLETICA INC								
Symbol LULU Exchange OTC								
	Oct 6, 11	83,000	51.294	4,257.46	76.230	6,327.09	2,069.63	LT
	Nov 14, 11	10,000	54.296	542.96	76.230	762.30	219.34	LT
	Nov 21, 11	31,000	47.695	1,478.56	76.230	2,363.13	884.57	LT
	Dec 7, 11	30,000	45.297	1,358.93	76.230	2,286.90	927.97	LT
	Jan 31, 12	10,000	63.227	632.27	76.230	762.30	130.03	ST
	May 8, 12	10,000	72.330	723.30	76.230	762.30	39.00	ST
	Jun 11, 12	20,000	64.815	1,296.31	76.230	1,524.60	228.29	ST
	Dec 12, 12	11,000	74.860	823.46	76.230	838.53	15.07	ST
Security total		205,000	54.211	11,113.25		15,627.15	4,513.90	
MONSANTO CO NEW								
Symbol MON Exchange NYSE								
EAI \$446 Current yield 1.59%								
	Jul 11, 11	8,000	75.115	600.92	94.650	757.20	156.28	LT
	Jul 22, 11	41,000	75.377	3,090.46	94.650	3,880.65	790.19	LT
	Sep 13, 11	29,000	67.010	1,943.29	94.650	2,744.85	801.56	LT
	Oct 5, 11	86,000	64.994	5,589.55	94.650	8,139.90	2,550.35	LT
	Nov 22, 11	7,000	69.477	486.34	94.650	662.55	176.21	LT
	Jan 4, 12	23,000	71.538	1,645.39	94.650	2,176.95	531.56	ST
	Feb 22, 12	58,000	80.065	4,643.79	94.650	5,489.70	845.91	ST
	Mar 14, 12	17,000	78.284	1,330.83	94.650	1,609.05	278.22	ST
	Jun 14, 12	10,000	78.493	784.93	94.650	946.50	161.57	ST
	Oct 15, 12	18,000	89.046	1,602.83	94.650	1,703.70	100.87	ST
Security total		297,000	73.126	21,718.33		28,111.05	6,392.72	
PIONEER NAT RES CO								
Symbol PXD Exchange NYSE								
EAI \$14 Current yield 0.07%								
	Jan 13, 12	87,000	96.993	8,438.43	106.590	9,273.33	834.90	ST
	Jan 13, 12	53,000	95.904	5,082.92	106.590	5,649.27	566.35	ST
	Mar 7, 12	5,000	103.954	519.77	106.590	532.95	13.18	ST
	Mar 8, 12	17,000	107.566	1,828.63	106.590	1,812.03	-16.60	ST
	Mar 14, 12	8,000	107.365	858.92	106.590	852.72	-6.20	ST
	Mar 26, 12	11,000	103.950	1,143.45	106.590	1,172.49	29.04	ST
Security total		181,000	98.741	17,872.12		19,292.79	1,420.67	

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
PRECISION CASTPARTS CORP								
Symbol PCP Exchange NYSE								
EAI \$17 Current yield 0.06%								
	Apr 27, 10	11 000	132.180	1,453.99	189.420	2,083.62	629.63	LT
	Apr 27, 10	4,000	130.822	523.29	189.420	757.68	234.39	LT
	Apr 27, 10	3 000	130.823	392.47	189.420	568.26	175.79	LT
	Apr 27, 10	2 000	132.180	264.36	189.420	378.84	114.48	LT
	Apr 28, 10	13,000	131.132	1,704.72	189.420	2,462.46	757.74	LT
	Apr 30, 10	18 000	129.035	2,322.63	189.420	3,409.56	1,086.93	LT
	May 10, 10	12,000	124.570	1,494.84	189.420	2,273.04	778.20	LT
	Jun 30, 10	1,000	104.620	104.62	189.420	189.42	84.80	LT
	Sep 14, 10	13 000	124.244	1,615.18	189.420	2,462.46	847.28	LT
	Feb 11, 11	18,000	149.810	2,696.58	189.420	3,409.56	712.98	LT
	May 6, 11	13,000	158.003	2,054.05	189.420	2,462.46	408.41	LT
	Oct 5, 11	17 000	149.651	2,544.08	189.420	3,220.14	676.06	LT
	Jan 31, 12	2,000	164.020	328.04	189.420	378.84	50.80	ST
	Mar 7, 12	5,000	165.194	825.97	189.420	947.10	121.13	ST
	May 31, 12	6,000	165.028	990.17	189.420	1,136.52	146.35	ST
	Oct 23, 12	7,000	162.065	1,134.46	189.420	1,325.94	191.48	ST
Security total		145,000	141,031	20,449.45		27,465.90	7,016.45	
PRICELINE COM INC NEW								
Symbol PCLN Exchange OTC								
	Nov 9, 09	10,000	173.246	1,732.46	620.390	6,203.90	4,471.44	LT
	Feb 11, 11	6 000	452.390	2,714.34	620.390	3,722.34	1,008.00	LT
	Apr 1, 11	3,000	514.490	1,543.47	620.390	1,861.17	317.70	LT
	Nov 14, 11	1,000	541.190	541.19	620.390	620.39	79.20	LT
	Mar 7, 12	1,000	639.000	639.00	620.390	620.39	-18.61	ST
	May 8, 12	1,000	714.810	714.81	620.390	620.39	-94.42	ST
	Aug 8, 12	4,000	571.130	2,284.52	620.390	2,481.56	197.04	ST
Security total		26 000	391.146	10,169.79		16,130.14	5,960.35	
QUALCOMM INC								
Symbol QCOM Exchange OTC								
EAI \$307 Current yield 1.62%								
	Nov 11, 10	86,000	47.892	4,118.75	61.859	5,319.87	1,201.12	LT
	Feb 11, 11	50,000	57.290	2,864.50	61.859	3,092.95	228.45	LT

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
SALESFORCE COM INC Symbol CRM Exchange NYSE	Feb 25, 11	75 000	58.991	4,424.33	61.859	4,639.42	215.09	LT
	Mar 7, 11	39 000	57.008	2,223.35	61.859	2,412.50	189.15	LT
	Jun 3, 11	17 000	57.340	974.78	61.859	1,051.60	76.82	LT
	Oct 7, 11	10 000	50.650	506.50	61.859	618.59	112.09	LT
	Jan 31, 12	10 000	59.018	590.18	61.859	618.59	28.41	ST
	Mar 7, 12	20 000	61.860	1,237.20	61.859	1,237.18	-0.02	ST
Security total		307 000	55 178	16,939.59		18,990.71	2,051.11	
SANOFI SPON ADR Symbol SNY Exchange NYSE EAI \$621 Current yield 3.02%	Nov 21, 12	30 000	158.035	4,741.05	168 100	5,043.00	301.95	ST
	Nov 21, 12	25 000	157.424	3,935.61	168 100	4,202.50	266.89	ST
	Nov 21, 12	10 000	158.950	1,589.50	168 100	1,681.00	91.50	ST
	Nov 26, 12	17 000	157.284	2,673.83	168 100	2,857.70	183.87	ST
	Nov 26, 12	9 000	157.474	1,417.27	168 100	1,512.90	95.63	ST
	Nov 27, 12	16 000	157.365	2,517.84	168 100	2,689.60	171.76	ST
Security total		107 000	157.711	16,875.10		17,986.70	1,111.60	
STARBUCKS CORP Symbol SBUX Exchange OTC EAI \$332 Current yield 1.57%	Dec 13, 12	64 000	47.064	3,012.15	47 380	3,032.32	20.17	ST
	Dec 14, 12	81 000	47.248	3,827.15	47 380	3,837.78	10.63	ST
	Dec 17, 12	85 000	47 005	3,995.43	47 380	4,027.30	31.87	ST
	Dec 19, 12	130 000	47.303	6,149.51	47 380	6,159.40	9.89	ST
	Dec 21, 12	74 000	47 558	3,519.33	47 380	3,506.12	-13.21	ST
		434 000	47 243	20,503.57		20,562.92	59.35	
	Mar 11, 11	60 000	37 242	2,234.52	53 630	3,217.80	983.28	LT
	Mar 15, 11	59 000	35.536	2,096.66	53 630	3,164.17	1,067.51	LT
	Oct 7, 11	10 000	39 625	396.25	53 630	536.30	140.05	LT
	Jan 31, 12	30 000	48 180	1,445.40	53 630	1,608.90	163.50	ST
	Mar 7, 12	10 000	48.778	487.78	53 630	536.30	48.52	ST
	Mar 9, 12	52 000	51 828	2,695.09	53 630	2,788.76	93.67	ST
	Mar 14, 12	21 000	52.768	1,108.14	53 630	1,126.23	18.09	ST
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Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Apr 9, 12	10,000	57.890	578.90	53.630	536.30	-42.60	ST
	May 21, 12	32,000	53.268	1,704.59	53.630	1,716.16	11.57	ST
	Jun 6, 12	17,000	53.317	906.39	53.630	911.71	5.32	ST
	Jun 11, 12	24,000	53.460	1,283.04	53.630	1,287.12	4.08	ST
	Jun 18, 12	18,000	53.708	966.76	53.630	965.34	-1.42	ST
	Oct 26, 12	10,000	45.636	456.36	53.630	536.30	79.94	ST
	Nov 5, 12	42,000	50.945	2,139.73	53.630	2,252.46	112.73	ST
Security total		395,000	46.834	18,499.61		21,183.85	2,684.24	

TJX COS INC NEW

Symbol TJX Exchange NYSE
EAI \$249 Current yield 1.08%

	Sep 19, 11	39,000	28.220	1,100.58	42.450	1,655.55	554.97	LT
	Sep 20, 11	134,000	28.956	3,880.17	42.450	5,688.30	1,808.13	LT
	Sep 22, 11	164,000	28.092	4,607.14	42.450	6,961.80	2,354.66	LT
	Oct 7, 11	20,000	28.279	565.59	42.450	849.00	283.41	LT
	Jan 31, 12	20,000	33.823	676.47	42.450	849.00	172.53	ST
	Mar 7, 12	20,000	36.799	735.98	42.450	849.00	113.02	ST
	Aug 29, 12	45,000	46.496	2,138.86	42.450	1,952.70	-186.16	ST
	Sep 14, 12	30,000	46.314	1,389.44	42.450	1,273.50	-115.94	ST
	Sep 17, 12	32,000	45.988	1,471.62	42.450	1,358.40	-113.22	ST
	Oct 5, 12	24,000	45.228	1,085.49	42.450	1,018.80	-66.69	ST
	Nov 20, 12	12,000	43.520	522.24	42.450	509.40	-12.84	ST
Security total		541,000	33.593	18,173.58		22,965.45	4,791.87	

UNION PACIFIC CORP

Symbol UNP Exchange NYSE
EAI \$618 Current yield 2.19%

	Nov 3, 10	19,000	90.557	1,720.59	125.720	2,388.68	668.09	LT
	Nov 4, 10	40,000	91.730	3,669.22	125.720	5,028.80	1,359.58	LT
	Dec 30, 10	11,000	91.984	1,011.83	125.720	1,382.92	371.09	LT
	Dec 31, 10	8,000	92.576	740.61	125.720	1,005.76	265.15	LT
	Feb 11, 11	30,000	99.228	2,976.84	125.720	3,771.60	794.76	LT
	Mar 18, 11	41,000	95.001	3,895.08	125.720	5,154.52	1,259.44	LT
	Jan 31, 12	4,000	114.177	456.71	125.720	502.88	46.17	ST
	Mar 7, 12	5,000	108.186	540.93	125.720	628.60	87.67	ST

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UBS ACCOUNT #35847

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Mar 20, 12	11 000	111.707	1,228.78	125.720	1,382.92	154.14	ST
	Jul 12, 12	8,000	116.597	932.78	125.720	1,005.76	72.98	ST
	Aug 29, 12	29,000	123.041	3,568.19	125.720	3,645.88	77.69	ST
	Oct 5, 12	11 000	121.716	1,338.88	125.720	1,382.92	44.04	ST
	Oct 23, 12	7,000	122.110	854.77	125.720	880.04	25.27	ST
Security total		224,000	102.389	22,935.21		28,161.28	5,226.07	
UNITEDHEALTH GROUP INC								
Symbol UNH Exchange NYSE								
EAI \$252 Current yield 1.56%								
Security total	Aug 29, 12	67,000	54.761	3,668.99	54.240	3,634.08	-34.91	ST
	Aug 30, 12	99,000	54.838	5,428.99	54.240	5,369.76	-59.23	ST
	Aug 31, 12	99,000	54.597	5,405.11	54.240	5,369.76	-35.35	ST
	Oct 23, 12	20 000	55.951	1,119.03	54.240	1,084.80	-34.23	ST
	Nov 26, 12	12,000	53.028	636.34	54.240	650.88	14.54	ST
Security total		297,000	54.742	16,258.46		16,109.28	-149.18	
VISA INC CL A								
Symbol V Exchange NYSE								
EAI \$280 Current yield 0.87%								
Security total	Jun 30, 11	48,000	84.723	4,066.75	151.580	7,275.84	3,209.09	LT
	Jul 25, 11	70,000	89.747	6,282.30	151.580	10,610.60	4,328.30	LT
	Sep 13, 11	25,000	87.417	2,185.44	151.580	3,789.50	1,604.06	LT
	Oct 7, 11	10,000	87.954	879.54	151.580	1,515.80	636.26	LT
	Mar 7, 12	6,000	115.070	690.42	151.580	909.48	219.06	ST
	Jun 11, 12	28,000	117.630	3,293.64	151.580	4,244.24	950.60	ST
	Sep 21, 12	25,000	135.385	3,384.63	151.580	3,789.50	404.87	ST
		212 000	98.032	20,782.72		32,134.96	11,352.24	
VMWARE INC CL A								
Symbol VMW Exchange NYSE								
Security total	Sep 11, 12	53,000	93.960	4,979.90	94.140	4,989.42	9.52	ST
	Sep 12, 12	51,000	97.487	4,971.87	94.140	4,801.14	-170.73	ST
	Sep 13, 12	53,000	97.447	5,164.72	94.140	4,989.42	-175.30	ST
	Oct 2, 12	12,000	95.559	1,146.71	94.140	1,129.68	-17.03	ST
	Oct 5, 12	10,000	97.184	971.84	94.140	941.40	-30.44	ST
	Oct 24, 12	23 000	85.865	1,974.90	94.140	2,165.22	190.32	ST
	Oct 25, 12	9,000	86.185	775.67	94.140	847.26	71.59	ST

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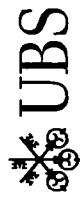
UBS ACCOUNT #35847

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Nov 19, 12	13,000	86.590	1,125.67	94.140	1,223.82	98.15	ST
	Dec 12, 12	16,000	92.031	1,472.50	94.140	1,506.24	33.74	ST
Security total		240,000	94.099	22,583.78		22,593.60	9.82	
WHOLE FOODS MARKET INC								
Symbol	WFM	Exchange	OTC					
EAI	\$209	Current yield	0.88%					
Security total	May 21, 12	34,000	85.254	2,898.64	91.160	3,099.44	200.80	ST
	May 22, 12	34,000	87.090	2,961.09	91.160	3,099.44	138.35	ST
	May 23, 12	50,000	85.450	4,272.54	91.160	4,558.00	285.46	ST
	May 23, 12	49,000	85.919	4,210.07	91.160	4,466.84	256.77	ST
	Jul 12, 12	14,000	93.740	1,312.37	91.160	1,276.24	-36.13	ST
	Jul 20, 12	9,000	84.385	759.47	91.160	820.44	60.97	ST
	Jul 23, 12	11,000	83.467	918.14	91.160	1,002.76	84.62	ST
	Aug 29, 12	13,000	97.761	1,270.90	91.160	1,185.08	-85.82	ST
	Oct 5, 12	10,000	100.840	1,008.40	91.160	911.60	-96.80	ST
	Oct 15, 12	11,000	97.421	1,071.64	91.160	1,002.76	-68.88	ST
	Nov 5, 12	26,000	97.167	2,526.35	91.160	2,370.16	-156.19	ST
	Security total		261,000	88.926	23,209.61		23,792.76	583.15
WILLIAMS COS INC (DEL)								
Symbol	WMB	Exchange	NYSE					
EAI	\$616	Current yield	3.97%					
Security total	Apr 19, 12	122,000	32.199	3,928.37	32.740	3,994.28	65.91	ST
	Apr 20, 12	145,000	32.416	4,700.45	32.740	4,747.30	46.85	ST
	May 14, 12	33,000	32.000	1,056.00	32.740	1,080.42	24.42	ST
	May 21, 12	101,000	30.655	3,096.21	32.740	3,306.74	210.53	ST
	May 31, 12	30,000	29.997	899.92	32.740	982.20	82.28	ST
	Jun 11, 12	43,000	29.572	1,271.62	32.740	1,407.82	136.20	ST
Security total		474,000	31.546	14,952.57		15,518.76	566.19	
Total				\$755,198.91		\$895,661.06	\$140,462.13	

Total estimated annual income: \$8,552

² Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction



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UBS ACCOUNT #35847

Your assets (continued)

Your total assets

	Value on Dec. 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	19,926.27	2.18%	19,926.27		
Equities	895,661.06	97.82%	755,198.91	8,552.00	140,462.13
Total	\$915,587.33	100.00%	\$775,125.18	\$8,552.00	\$140,462.13

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Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period
Cash	0.00	429.56				
RMA MONEY MKT. PORTFOLIO	14,618.10	0.00	1.00	0.01%	Nov 26 to Dec 23	28
UBS SELECT PRIME CAPITAL	0.00	25,128.55	1.00	0.09%	Nov 1 to Nov 30	30
Total	\$14,618.10	\$25,558.11				

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
ACORDA THERAPEUTICS INC								
Symbol ACOR Exchange OTC	Oct 8, 12	130,000	26.153	3,400.01	24.860	3,231.80	-168.21	ST
	Dec 13, 12	1 000	25.420	25.42	24.860	24.86	-0.56	ST
Security total		131 000	26.148	3,425.43		3,256.66	-168.77	
ANSYS INC								
Symbol ANSS Exchange OTC	Aug 3, 06	22 000	24.012	528.28	67.340	1,481.48	953.20	LT
	Feb 11, 11	50,000	54.600	2,730.00	67.340	3,367.00	637.00	LT
Security total		72,000	45.254	3,258.28		4,848.48	1,590.20	
ARIAD PHARMACEUTICALS INC								
Symbol ARIA Exchange OTC	Mar 14, 12	110,000	14.926	1,641.86	19.180	2,109.80	467.94	ST
	May 14, 12	175 000	16.908	2,958.95	19.180	3,356.50	397.55	ST
	May 31, 12	95 000	16.375	1,555.70	19.180	1,822.10	266.40	ST
Security total		380,000	16.201	6,156.51		7,288.40	1,131.89	
ATLAS AIR WORLDWIDE HOLDINGS INC NEW								
Symbol AAWW Exchange OTC	Sep 21, 10	80,000	54.496	4,359.68	44.320	3,545.60	-814.08	LT

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UBS ACCOUNT #35849

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
ATWOOD OCEANICS INC								
Symbol ATW Exchange NYSE	Oct 11, 11	73,000	38.765	2,829.90	45.790	3,342.67	512.77	LT
BALLY TECHNOLOGIES INC								
Symbol BYI Exchange NYSE	Jun 26, 08	10,000	35.691	356.91	44.710	447.10	90.19	LT
	Oct 20, 08	85,000	22.020	1,871.73	44.710	3,800.35	1,928.62	LT
	Nov 13, 08	20,000	16.872	337.44	44.710	894.20	556.76	LT
	Nov 18, 08	75,000	16.557	1,241.84	44.710	3,353.25	2,111.41	LT
	Feb 11, 11	30,000	40.109	1,203.29	44.710	1,341.30	138.01	LT
Security total		220,000	22.778	5,011.21		9,836.20	4,824.99	
BIOMARIN PHARMACEUTICAL INC								
Symbol BMRN Exchange OTC	Dec 8, 08	35,000	17.185	601.49	49.200	1,722.00	1,120.51	LT
	Feb 11, 11	50,000	26.520	1,326.00	49.200	2,460.00	1,134.00	LT
Security total		85,000	22.676	1,927.49		4,182.00	2,254.51	
BJ'S RESTAURANTS INC								
Symbol BJRI Exchange OTC	Mar 6, 09	100,000	10.638	1,063.83	32.900	3,290.00	2,226.17	LT
	Feb 11, 11	70,000	36.680	2,567.60	32.900	2,303.00	-264.60	LT
Security total		170,000	21.361	3,631.43		5,593.00	1,961.57	
BROADSOFT INC COM								
Symbol BSFT Exchange OTC	Jul 19, 11	85,000	39.915	3,432.74	36.330	3,124.38	-308.36	LT
BUFFALO WILD WINGS INC								
Symbol BWLD Exchange OTC	Aug 28, 12	85,000	77.214	6,563.26	72.820	6,189.70	-373.56	ST
CASH AMER INTL INC								
Symbol CSH Exchange NYSE	Jan 28, 09	57,000	19.405	1,106.12	39.670	2,261.19	1,155.07	LT
EAI \$21 Current yield 0.36%	Dec 21, 09	55,000	34.216	1,881.92	39.670	2,181.85	299.93	LT
	Feb 11, 11	35,000	41.240	1,443.40	39.670	1,388.45	-54.95	LT
Security total		147,000	30.146	4,431.44		5,831.49	1,400.05	
CATAMARAN CORP CAD								
Symbol CTRX Exchange OTC	Nov 12, 10	71,516	33.316	2,382.65	47.100	3,368.40	985.75	LT
CAD Exchange rate 0.99570	Nov 22, 10	72,666	32.865	2,388.22	47.100	3,422.57	1,034.35	LT
	Feb 11, 11	19,818	33.537	664.65	47.100	933.43	268.78	LT
Security total		164,000	33.143	5,435.52		7,724.40	2,288.88	

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UBS ACCOUNT #35849

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
CAVIUM INC COM								
Symbol CAVM Exchange OTC	Jan 19, 12	89,000	35.067	3,121.00	31 210	2,777.69	-343.31	ST
	Mar 9, 12	110,000	33 422	3,676 49	31 210	3,433 10	-243.39	ST
Security total		199,000	34,158	6,797.49		6,210 79	-586.70	
CHART INDUSTRIES INC								
Symbol GTLS Exchange OTC	Nov 2, 12	51,000	64 965	3,313.23	66.689	3,401 13	87 90	ST
CHEMTURA CORP								
Symbol CHMT Exchange NYSE	May 23, 12	215,000	14 559	3,130 34	21.260	4,570 90	1,440 56	ST
CHICO'S FAS INC								
Symbol CHS Exchange NYSE								
EAI \$42 Current yield 1 14%	Feb 22, 12	200 000	14 784	2,956.92	18.460	3,692 00	735.08	ST
COGNEX CORP								
Symbol CGNX Exchange OTC								
EAI \$72 Current yield 1.19%	Aug 23, 11	88,000	31.319	2,756.09	36.790	3,237.52	481.43	LT
	Aug 30, 11	76,000	36 088	2,742.73	36.790	2,796.04	53 31	LT
Security total		164 000	33 529	5,498.82		6,033 56	534.74	
COHERENT INC								
Symbol COHR Exchange OTC	Dec 31, 04	40 000	30 440	1,217.60	50.632	2,025 28	807.68	LT
	Jun 5, 06	50,000	33.724	1,686 21	50.632	2,531 60	845 39	LT
	Jan 19, 07	75,000	30.195	2,264.66	50.632	3,797.40	1,532.74	LT
	Feb 11, 11	20,000	58.616	1,172.32	50 632	1,012.64	-159.68	LT
Security total		185 000	34.275	6,340 79		9,366.92	3,026.13	
COLFAX CORP								
Symbol CFX Exchange NYSE	Feb 7, 12	215 000	32 785	7,048 95	40 350	8,675 25	1,626 30	ST
	Aug 9, 12	80,000	32.352	2,588.20	40.350	3,228.00	639.80	ST
Security total		295,000	32.668	9,637.15		11,903.25	2,266.10	
CONCUR TECHNOLOGIES INC								
Symbol CNQR Exchange OTC	Aug 11, 11	42,000	37.785	1,586.97	67 520	2,835.84	1,248.87	LT
	Dec 28, 11	45 000	51.139	2,301.28	67 520	3,038.40	737.12	LT
Security total		87 000	44.693	3,888.25		5,874 24	1,985 99	
CUBIST PHARMACEUTICALS INC								
Symbol CBST Exchange OTC	Nov 21, 11	80,000	35 801	2,864 10	42.050	3,364.00	499.90	LT
	Dec 5, 11	75 000	38 834	2,912 60	42 050	3,153.75	241.15	LT

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		155 000	37 269	5,776.70		6,517 75	741 05	
DEL FRISCOS RESTAURANT GROUP INC								
Symbol DFRG Exchange OTC	Jul 30, 12	190 000	13.105	2,490.12	15 590	2,962 10	471.98	ST
DOMINO'S PIZZA INC								
Symbol DPZ Exchange NYSE	Aug 15, 12	93 000	34.067	3,168.27	43 550	4,050.15	881.88	ST
DUFF & PHELPS CORP NEW CLA								
Symbol DUF Exchange NYSE	May 13, 09	85 000	15.697	1,334.30	15 620	1,327 70	-6.60	LT
EAI \$85 Current yield 2.32%	Feb 11, 11	150 000	16.835	2,525.25	15 620	2,343.00	-182.25	LT
Security total		235 000	16 424	3,859.55		3,670 70	-188 85	
EZCHIP SEMICONDUCTOR LTD ORD ILS								
Symbol EZCH Exchange OTC	Nov 2, 11	100 000	29.745	2,974.56	33.070	3,307 00	332.44	LT
ILS Exchange rate 3.73110	Aug 8, 12	117 000	29 451	3,445.85	33.070	3,869.19	423.34	ST
Security total		217 000	29 587	6,420.41		7,176 19	755 78	
FORTINET INC								
Symbol FTNT Exchange OTC	Oct 6, 11	157 000	17 663	2,773.14	21 020	3,300 14	527.00	LT
	Dec 28, 11	125 000	21.407	2,675.95	21.020	2,627 50	-48.45	LT
	Nov 2, 12	206 000	20.088	4,138 13	21 020	4,330.12	191.99	ST
	Nov 5, 12	2 000	19.750	39.50	21.020	42.04	2 54	ST
	Dec 11, 12	60 000	20.232	1,213.96	21.020	1,261.20	47.24	ST
Security total		550 000	19,710	10,840.68		11,561 00	720.32	
FRESH MKT INC								
Symbol TFM Exchange OTC	Nov 8, 10	50 000	32.075	1,603 76	48 090	2,404 50	800.74	LT
	Nov 9, 10	65 000	33.730	2,192.48	48.090	3,125.85	933.37	LT
	Feb 11, 11	25 000	42 825	1,070.64	48 090	1,202.25	131 61	LT
	Apr 28, 11	48 000	42.115	2,021.53	48.090	2,308.32	286.79	LT
Security total		188 000	36 640	6,888.41		9,040.92	2,152 51	
FUSION-IO INC COM								
Symbol FIO Exchange NYSE	Apr 13, 12	118 000	28.017	3,306.02	22.930	2,705.74	-600.28	ST
	Apr 27, 12	74 000	28.176	2,085.08	22.930	1,696.82	-388.26	ST

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UBS ACCOUNT #35849

Your assets • **Equities** • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Nov 2, 12	208 000	24.518	5,099.89	22.930	4,769.44	-330.45	ST
	Nov 5, 12	3,000	24.493	73.48	22.930	68.79	-4.69	ST
Security total		403,000	26.215	10,564.47		9,240.79	-1,323.68	
GENESCO INC								
Symbol GCO Exchange NYSE	Apr 18, 08	83,000	22.700	1,884.16	55.000	4,565.00	2,680.84	LT
	May 15, 08	90,000	23.443	2,109.92	55.000	4,950.00	2,840.08	LT
	Nov 24, 08	80,000	12.260	980.83	55.000	4,400.00	3,419.17	LT
	Feb 11, 11	65,000	40.436	2,628.34	55.000	3,575.00	946.66	LT
Security total		318,000	23.910	7,603.25		17,490.00	9,886.75	
GEO GROUP INC								
Symbol GEO Exchange NYSE	Jul 18, 06	120,000	12.717	1,526.04	28.200	3,384.00	1,857.96	LT
	Jul 24, 06	30,000	13.658	409.75	28.200	846.00	436.25	LT
	Sep 28, 09	65,000	20.002	1,300.14	28.200	1,833.00	532.86	LT
	Feb 11, 11	90,000	24.359	2,192.33	28.200	2,538.00	345.67	LT
		46,000	---This information was unavailable---			1,297.20		
Security total		351,000	17.798	5,428.26	28.200	9,898.20	3,172.74	
GEOSPACE TECHNOLOGIES CORP								
Symbol GEOS Exchange OTC	Feb 7, 07	8,000	33.078	264.63	88.870	710.96	446.33	LT
	Aug 3, 07	90,000	37.323	3,359.08	88.870	7,998.30	4,639.22	LT
	Feb 11, 11	40,000	52.450	2,098.00	88.870	3,554.80	1,456.80	LT
Security total		138,000	41.462	5,721.71		12,264.06	6,542.35	
GLIMCHER RLTY TRUST SBI								
Symbol GRT Exchange NYSE	Jul 6, 12	125,000	10.478	1,309.78	11.090	1,386.25	76.47	ST
EAI \$248 Current yield 3.61%	Jul 27, 12	495,000	10.118	5,008.66	11.090	5,489.55	480.89	ST
Security total		620,000	10.191	6,318.44		6,875.80	557.36	
GULFPORT ENERGY CORP NEW								
Symbol GPOR Exchange OTC	Oct 18, 11	103,000	28.729	2,959.10	38.220	3,936.66	977.56	LT
	Aug 10, 12	143,000	25.977	3,714.78	38.220	5,465.46	1,750.68	ST
Security total		246,000	27.130	6,673.88		9,402.12	2,728.24	

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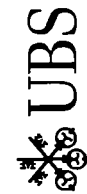


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December 2012

UBS ACCOUNT #35849

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
HERBALIFE LTD								
Symbol HLF Exchange NYSE	Feb 12, 09	76,000	10.358	787.28	32.940	2,503.44	1,716.16	LT
EAI \$127 Current yield 3.64%	Feb 11, 11	30,000	33.890	1,016.70	32.940	988.20	-28.50	LT
Security total		106,000	17,019	1,803.98		3,491.64	1,687.66	
HEXCEL CORP NEW								
Symbol HXL Exchange NYSE	Oct 6, 11	125,000	21.836	2,729.60	26.960	3,370.00	640.40	LT
	Oct 10, 11	122,000	23.006	2,806.85	26.960	3,289.12	482.27	LT
Security total		247,000	22,415	5,536.45		6,659.12	1,122.67	
HUNTSMAN CORP								
Symbol HUN Exchange NYSE	Mar 19, 09	340,000	3.225	1,096.67	15.900	5,406.00	4,309.33	LT
EAI \$266 Current yield 2.52%	Jul 14, 10	175,000	9.065	1,586.41	15.900	2,782.50	1,196.09	LT
	Feb 11, 11	150,000	17.979	2,696.88	15.900	2,385.00	-311.88	LT
Security total		665,000	8.090	5,379.96		10,573.50	5,193.54	
INTREPID POTASH INC								
Symbol IPI Exchange NYSE	Aug 6, 10	160,000	25.988	4,158.22	21.290	3,406.40	-751.82	LT
	Feb 11, 11	25,000	39.190	979.75	21.290	532.25	-447.50	LT
Security total		185,000	27.773	5,137.97		3,938.65	-1,199.32	
IPG PHOTONICS CORP								
Symbol IGP Exchange OTC	Nov 1, 11	62,000	49.155	3,047.62	66.650	4,132.30	1,084.68	LT
	Apr 3, 12	45,000	54.458	2,450.61	66.650	2,999.25	548.64	ST
Security total		107,000	51.385	5,498.23		7,131.55	1,633.32	
JETBLUE AIRWAYS CORP								
Symbol JBLU Exchange OTC	Oct 20, 10	620,000	7.087	4,394.50	5.720	3,546.40	-848.10	LT
	Feb 11, 11	105,000	6.097	640.28	5.720	600.60	-39.68	LT
Security total		725,000	6.945	5,034.78		4,147.00	-887.78	
KRATON PERFORMANCE POLYMERS INC								
Symbol KRA Exchange NYSE	Jun 6, 11	174,000	38.690	6,732.18	24.030	4,181.22	-2,550.96	LT
LANDSTAR SYSTEMS INC								
Symbol LSTR Exchange OTC	Jan 28, 05	25,000	33.161	829.04	52.460	1,311.50	482.46	LT
EAI \$34 Current yield 0.48%							continued next page	



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Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Mar 29, 05	90,000	33.261	2,993.52	52.460	4,721.40	1,727.88	LT
	Feb 11, 11	20,000	44.360	887.20	52.460	1,049.20	162.00	LT
		135,000	34.887	4,709.76		7,082.10	2,372.34	
LIQUIDITY SERVICES INC								
Symbol	LQDT Exchange OTC	Nov 30, 12	39,949	3,475.63	40.860	3,554.82	79.19	ST
LOUISIANA PACIFIC CORP								
Symbol	LPM Exchange NYSE	Jun 21, 12	10,278	6,218.31	19.320	11,688.60	5,470.29	ST
		Jul 30, 12	10,931	2,798.59	19.320	4,945.92	2,147.33	ST
Security total		861,000	10.473	9,016.90		16,634.52	7,617.62	
LUFKIN INDUSTRIES INC								
Symbol	LUFK Exchange OTC	Feb 12, 09	19,309	637.22	58.130	1,918.29	1,281.07	LT
EAI \$107 Current yield 0.86%		Feb 24, 09	16,504	1,320.35	58.130	4,650.40	3,330.05	LT
		Mar 10, 09	15,396	1,077.75	58.130	4,069.10	2,991.35	LT
		Feb 11, 11	73,690	2,210.70	58.130	1,743.90	-466.80	LT
Security total		213,000	24.629	5,246.02		12,381.69	7,135.67	
MEDASSETS INC								
Symbol	MDAS Exchange OTC	Apr 30, 09	16,468	2,964.35	16.770	3,018.60	54.25	LT
		Dec 31, 09	21,513	1,183.26	16.770	922.35	-260.91	LT
		Dec 10, 10	18,890	2,266.86	16.770	2,012.40	-254.46	LT
		Feb 11, 11	20,369	611.09	16.770	503.10	-107.99	LT
Security total		385,000	18.248	7,025.56		6,456.45	-569.11	
MEDIDATA SOLUTIONS INC								
Symbol	MDSO Exchange OTC	Dec 21, 10	24,220	290.65	39.180	470.16	179.51	LT
		Dec 28, 10	24,122	1,326.71	39.180	2,154.90	828.19	LT
		Jan 3, 11	24,251	1,430.81	39.180	2,311.62	880.81	LT
		Feb 11, 11	26,939	942.87	39.180	1,371.30	428.43	LT
		Mar 15, 11	21,333	2,880.00	39.180	5,289.30	2,409.30	LT
Security total		296,000	23.213	6,871.04		11,597.28	4,726.24	
MONSTER WORLDWIDE INC								
Symbol	MWW Exchange NYSE	Sep 5, 08	19,196	4,127.23	5.620	1,208.30	-2,918.93	LT
		Feb 11, 11	17,000	680.00	5.620	224.80	-455.20	LT

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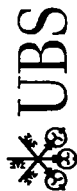


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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Aug 31, 11	337 000	9 855	3,321 14	5 620	1,893.94	-1,427 20	LT
	Mar 2, 12	285 000	7.787	2,219.44	5 620	1,601.70	-617.74	ST
	Apr 9, 12	239 000	9.176	2,193.30	5 620	1,343 18	-850 12	ST
	May 18, 12	290 000	8 683	2,518 33	5 620	1,629.80	-888.53	ST
		1,406,000	10.711	15,059.44		7,901.72	-7,157 72	
MWI VETERINARY SUPPLY INC								
Symbol	MWV Exchange	OTC						
NICE SYSTEMS LTD SPON ADR								
Symbol	NICE Exchange	OTC						
Security total	Mar 27, 12	80 000	39 420	3,153 66	33 480	2,678.40	-475.26	ST
	Aug 7, 12	20 000	31.999	639 99	33 480	669 60	29 61	ST
		100,000	37.937	3,793.65		3,348.00	-445.65	
NORTHWEST PIPE CO								
Symbol	NWPX Exchange	OTC						
Security total	Feb 13, 09	40 000	33.017	1,320.69	23 860	954.40	-366.29	LT
	Feb 17, 09	45 000	31 282	1,407.69	23 860	1,073 70	-333 99	LT
	Mar 31, 09	55 000	28.449	1,564.73	23 860	1,312 30	-252 43	LT
	Feb 11, 11	35 000	24.100	843.50	23 860	835.10	-8.40	LT
		175 000	29 352	5,136 61		4,175 50	-961 11	
OASIS PETROLEUM INC								
Symbol	OAS Exchange	NYSE						
Security total	Aug 25, 11	115 000	23.989	2,758.77	31 800	3,657.00	898.23	LT
	Oct 28, 11	105 000	31 132	3,268 92	31 800	3,339 00	70.08	LT
		220 000	27.399	6,027.69		6,996 00	968 31	
ONYX PHARMACEUTICALS INC								
Symbol	ONXX Exchange	OTC						
Security total	Nov 22, 11	74 000	38 909	2,879 28	75 530	5,589 22	2,709 94	LT
	Dec 12, 11	80 000	38.356	3,068.51	75 530	6,042.40	2,973.89	LT
		154,000	38.622	5,947.79		11,631 62	5,683 83	
PACIFIC DRILLING S A								
Symbol	PACD Exchange	NYSE						
PANTRY INC								
Symbol	PTRY Exchange	OTC						
Security total	Feb 28, 12	50 000	12.297	614.86	12 130	606.50	-8.36	ST
	Feb 29, 12	225 000	12.543	2,822.29	12 130	2,729 25	-93 04	ST
		275 000	12.499	3,437.15		3,335.75	-101.40	
PAREXEL INTL CORP								
Symbol	PRXL Exchange	OTC						
Security total	Dec 20, 10	121 000	21 350	2,583.37	29 590	3,580 39	997 02	LT
							continued next page	

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Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Feb 11, 11 Jul 19, 11	25,000 67,000 213,000	21,750 24,132 22,272	543,75 1,616.87 4,743.99	29,590 29,590	739,75 1,982.53 6,302.67	196.00 365.66 1,558.68	LT LT
PINNACLE ENTERTAINMENT GROUP								
Symbol PNK Exchange NYSE	Oct 27, 11	286,000	10.957	3,133.90	15.830	4,527.38	1,393.48	LT
PROASSURANCE CORP								
Symbol PRA Exchange NYSE	Jun 8, 12	60,000	44.836	2,690.18	42,190	2,531.40	-158.78	ST
EAI \$100 Current yield 2.37%	Jul 25, 12	40,000	45.049	1,801.96	42,190	1,687.60	-114.36	ST
Security total		100,000	44.921	4,492.14		4,219.00	-273.14	
QLIK TECHNOLOGIES INC COM								
Symbol QLIK Exchange OTC	Mar 1, 11	250,000	25.957	6,489.38	21,720	5,430.00	-1,059.38	LT
Security total	Feb 21, 12	105,000 355,000	28.890 26.825	3,033.54 9,522.92	21,720	2,280.60 7,710.60	-752.94 -1,812.32	ST
QUAKER CHEMICAL CORP								
Symbol KWR Exchange NYSE	May 5, 11	79,000	41.716	3,295.62	53.860	4,254.94	959.32	LT
EAI \$77 Current yield 1.81%								
REGAL BELOIT CORP								
Symbol RBC Exchange NYSE	May 19, 09	60,000	39.403	2,364.23	70,470	4,228.20	1,863.97	LT
EAI \$110 Current yield 1.08%	May 26, 09	15,000	38.121	571.82	70,470	1,057.05	485.23	LT
	Dec 17, 09	45,000	50.231	2,260.42	70,470	3,171.15	910.73	LT
Security total	Feb 11, 11	25,000 145,000	72.370 48.315	1,809.25 7,005.72	70,470	1,761.75 10,218.15	-47.50 3,212.43	LT
RIVERBED TECHNOLOGY INC								
Symbol RVBD Exchange OTC	Jan 5, 10	230,719	12.065	2,783.76	19,720	4,549.77	1,766.01	LT
	Feb 11, 11	70,000	41.860	2,930.20	19,720	1,380.40	-1,549.80	LT
	May 31, 12	85,000	16.019	1,361.63	19,720	1,676.20	314.57	ST
	Dec 18, 12	23,024	19.125	440.34	19,720	454.04	13.70	ST
Security total	Dec 18, 12	15,257 424,000	19.124 18.414	291.79 7,807.72	19,720	300.87 8,361.28	9.08 553.56	ST

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
RTI INTL METALS INC (HOLDING COMPANY)								
Symbol RTI Exchange NYSE	Jul 14, 11	108 000	32.176	3,475.03	27.560	2,976.48	-498.55	LT
	Sep 27, 12	43 000	23.893	1,027.40	27.560	1,185.08	157.68	ST
Security total		151 000	29.817	4,502.43		4,161.56	-340.87	
RYLAND GROUP INC								
Symbol RYL Exchange NYSE	Sep 21, 12	155,000	33.622	5,211.53	36.500	5,657.50	445.97	ST
EAI \$32 Current yield 0.33%	Oct 16, 12	5,000	31.062	155.31	36.500	182.50	27.19	ST
	Oct 18, 12	49,000	32.399	1,587.59	36.500	1,788.50	200.91	ST
	Nov 20, 12	60 000	34.194	2,051.65	36.500	2,190.00	138.35	ST
Security total		269,000	33.480	9,006.08		9,818.50	812.42	
SALIX PHARMACEUTICALS LTD (DELA)								
Symbol SLXP Exchange OTC	Apr 5, 10	40,000	39.409	1,576.39	40.471	1,618.84	42.45	LT
	Sep 20, 10	35,000	41.559	1,454.58	40.471	1,416.48	-38.10	LT
	Feb 25, 11	97,000	32.977	3,198.81	40.471	3,925.69	726.88	LT
Security total		172,000	36.220	6,229.78		6,961.01	731.23	
SAPIENT CORP								
Symbol SAPE Exchange OTC	Feb 11, 11	35 000	13.007	455.25	10.560	369.60	-85.65	LT
	Aug 5, 11	275,000	12.119	3,332.86	10.560	2,904.00	-428.86	LT
Security total		310 000	12.220	3,788.11		3,273.60	-514.51	
SEATTLE GENETICS INC								
Symbol SGEN Exchange OTC	Oct 11, 10	105 000	16.797	1,763.72	23.170	2,432.85	669.13	LT
	Feb 11, 11	65,000	15.467	1,005.36	23.170	1,506.05	500.69	LT
Security total		170,000	16.289	2,769.08		3,938.90	1,169.82	
SHFL ENTMT INC COM								
Symbol SHFL Exchange OTC	Mar 13, 09	385 000	2.508	965.85	14.500	5,582.50	4,616.65	LT
	Feb 11, 11	140 000	10.216	1,430.31	14.500	2,030.00	599.69	LT
Security total		525 000	4.564	2,396.16		7,612.50	5,216.34	
SIRONA DENTAL SYSTEMS INC								
Symbol SIRO Exchange OTC	Sep 20, 10	55,000	37.572	2,066.48	64.460	3,545.30	1,478.82	LT
	Oct 14, 10	65 000	36.935	2,400.78	64.460	4,189.90	1,789.12	LT

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Dec 1, 10	61,000	40.006	2,440.41	64.460	3,932.06	1,491.65	LT
	Feb 11, 11	40,000	49.990	1,999.60	64.460	2,578.40	578.80	LT
Security total		221,000	40.304	8,907.27		14,245.66	5,338.39	
SOTHEBYS								
Symbol BID Exchange NYSE								
EAI \$76 Current yield 1.19%	Jun 23, 09	140,000	13.083	1,831.67	33.620	4,706.80	2,875.13	LT
	Feb 11, 11	50,000	44.719	2,235.98	33.620	1,681.00	-554.98	LT
Security total		190,000	21.409	4,067.65		6,387.80	2,320.15	
STEVEN MADDEN LTD								
Symbol SHOO Exchange OTC	Mar 26, 10	190,000	21.818	4,145.57	42.270	8,031.30	3,885.73	LT
	Feb 11, 11	60,000	28.160	1,689.60	42.270	2,536.20	846.60	LT
Security total		250,000	23.341	5,835.17		10,567.50	4,732.33	
STIFEL FINANCIAL CORP								
Symbol SF Exchange NYSE	Aug 26, 11	98,000	27.796	2,724.10	31.970	3,133.06	408.96	LT
TANGER FACTORY OUTLET CENTERS INC								
Symbol SKT Exchange NYSE								
EAI \$155 Current yield 2.45%	Aug 7, 12	185,000	33.590	6,214.26	34.200	6,327.00	112.74	ST
TERADYNE INC								
Symbol TER Exchange NYSE	Jul 14, 09	335,000	6.923	2,319.24	16.890	5,658.15	3,338.91	LT
	Feb 11, 11	60,000	18.058	1,083.50	16.890	1,013.40	-70.10	LT
Security total		395,000	8.615	3,402.74		6,671.55	3,268.81	
TEREX CORP DELA NEW								
Symbol TEX Exchange NYSE	Jan 26, 12	150,000	21.371	3,205.73	28.110	4,216.50	1,010.77	ST
	Mar 30, 12	24,000	22.382	537.18	28.110	674.64	137.46	ST
	Apr 4, 12	130,000	22.557	2,932.48	28.110	3,654.30	721.82	ST
Security total		304,000	21.959	6,675.39		8,545.44	1,870.05	
TEXAS INDUSTRIES								
Symbol TXI Exchange NYSE	Oct 5, 12	5,000	43.346	216.73	51.010	255.05	38.32	ST
	Oct 8, 12	71,000	41.738	2,963.46	51.010	3,621.71	658.25	ST
Security total		76,000	41.845	3,180.19		3,876.76	696.57	
THERMON GROUP HLDGS INC								
Symbol THR Exchange NYSE	Oct 3, 12	137,000	24.942	3,417.12	22.530	3,086.61	-330.51	ST

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Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
THORATEC CORP NEW								
Symbol THOR Exchange OTC	Apr 21, 08	102,000	16.227	1,655.16	37.520	3,827.04	2,171.88	LT
	Feb 11, 11	50,000	26.818	1,340.90	37.520	1,876.00	535.10	LT
	Nov 27, 12	38,000	38.416	1,459.82	37.520	1,425.76	-34.06	ST
Security total		190,000	23.452	4,455.88		7,128.80	2,672.92	
TIBCO SOFTWARE INC								
Symbol TIBX Exchange OTC	Dec 31, 07	119,000	8.012	953.49	21.980	2,615.62	1,662.13	LT
	Feb 11, 11	95,000	25.367	2,409.95	21.980	2,088.10	-321.85	LT
Security total		214,000	15.717	3,363.44		4,703.72	1,340.28	
TRIUMPH GROUP INC								
Symbol TGI Exchange NYSE	Apr 16, 10	120,000	35.955	4,314.63	65.300	7,836.00	3,521.37	LT
EAI \$37 Current yield 0.25%	Jun 21, 10	50,000	36.010	1,800.53	65.300	3,265.00	1,464.47	LT
	Feb 11, 11	60,000	44.483	2,669.00	65.300	3,918.00	1,249.00	LT
Security total		230,000	38.192	8,784.16		15,019.00	6,234.84	
TUMI HLDGS INC								
Symbol TUMI Exchange NYSE	Nov 9, 12	215,000	21.001	4,515.28	20.850	4,482.75	-32.53	ST
TWO HARBORS INVT CORP REIT SBI								
Symbol TWO Exchange NYSE	Jul 6, 11	320,000	10.975	3,512.19	11.080	3,545.60	33.41	LT
EAI \$1.421 Current yield 19.85%	Jul 26, 11	113,000	10.194	1,152.02	11.080	1,252.04	100.02	LT
	Feb 22, 12	213,000	9.980	2,125.74	11.080	2,360.04	234.30	ST
Security total		646,000	10.511	6,789.95		7,157.68	367.73	
UMB FINANCIAL CORP								
Symbol UMBF Exchange OTC	May 12, 09	60,000	45.878	2,752.70	43.820	2,629.20	-123.50	LT
EAI \$65 Current yield 1.98%	Feb 11, 11	15,000	42.400	636.00	43.820	657.30	21.30	LT
Security total		75,000	45.183	3,388.70		3,286.50	-102.20	
UNITED THERAPEUTICS CORP								
Symbol UTHR Exchange OTC	Nov 18, 11	75,000	41.337	3,100.31	53.420	4,006.50	906.19	LT
	Nov 30, 11	70,000	40.293	2,820.55	53.420	3,739.40	918.85	LT
	Feb 14, 12	70,000	47.148	3,300.41	53.420	3,739.40	438.99	ST
Security total		215,000	42.890	9,221.27		11,485.30	2,264.03	

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Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
UNIVERSAL ELECTRONICS INC								
Symbol UEIC Exchange OTC	Feb 22, 08	210,000	19.631	4,122.66	19.350	4,063.50	-59.16	LT
	Feb 11, 11	65,000	26.640	1,731.60	19.350	1,257.75	-473.85	LT
Security total		275,000	21.288	5,854.26		5,321.25	-533.01	
UNITD NAT FOODS INC								
Symbol UNFI Exchange OTC	Dec 27, 11	75,000	40.060	3,004.51	53.590	4,019.25	1,014.74	LT
	Jan 6, 12	75,000	40.807	3,060.59	53.590	4,019.25	958.66	ST
Security total		150,000	40.434	6,065.10		8,038.50	1,973.40	
US AIRWAYS GROUP INC								
Symbol LCC Exchange NYSE	Sep 11, 12	285,000	11.923	3,398.06	13.500	3,847.50	449.44	ST
USG CORP NEW								
Symbol USG Exchange NYSE	Jul 25, 12	176,000	16.886	2,972.11	28.070	4,940.32	1,968.21	ST
	Aug 9, 12	200,000	17.051	3,410.26	28.070	5,614.00	2,203.74	ST
Security total		376,000	16.974	6,382.37		10,554.32	4,171.95	
VEECO INSTRUMENTS INC								
DELAWARE								
Symbol VECO Exchange OTC	Feb 1, 10	85,000	33.184	2,820.70	29.490	2,506.65	-314.05	LT
	Feb 16, 10	30,000	36.992	1,109.76	29.490	884.70	-225.06	LT
	Feb 11, 11	15,000	51.990	779.85	29.490	442.35	-337.50	LT
Security total		130,000	36.233	4,710.31		3,833.70	-876.61	
VITAMIN SHOPPE INC								
Symbol VSI Exchange NYSE	Oct 29, 09	167,000	19.032	3,178.39	57.360	9,579.12	6,400.73	LT
	May 20, 10	80,000	23.480	1,878.40	57.360	4,588.80	2,710.40	LT
	Feb 11, 11	40,000	34.620	1,384.80	57.360	2,294.40	909.60	LT
Security total		287,000	22.445	6,441.59		16,462.32	10,020.73	
WABCO HOLDINGS INC								
Symbol WBC Exchange NYSE	Jun 22, 10	115,000	34.705	3,991.14	65.190	7,496.85	3,505.71	LT
	Feb 11, 11	25,000	62.240	1,556.00	65.190	1,629.75	73.75	LT
Security total		140,000	39.622	5,547.14		9,126.60	3,579.46	
WASTE CONNECTIONS INC								
Symbol WCN Exchange NYSE								
EAI \$140 Current yield 1.18%	Dec 31, 04	262,000	15.251	3,995.83	33.790	8,852.98	4,857.15	LT

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Jan 12, 05	23 000	13 995	321 90	33 790	777 17	455.27	LT
	Feb 11, 11	65 000	29 258	1,901.80	33 790	2,196.35	294.55	LT
Security total		350 000	17 770	6,219.53		11,826 50	5,606 97	
WEB COM GROUP INC								
Symbol	WWWX Exchange OTC	Jun 4, 12	15 200	3,040.00	14.800	2,960 00	-80.00	ST
WELLCARE HEALTH PLANS INC								
Symbol	WCG Exchange OTC	Aug 20, 12	54 789	6,300.82	48 690	5,599 35	-701.47	ST
	Sep 11, 12	50 000	61.012	3,050.61	48 690	2,434.50	-616.11	ST
Security total		165 000	56 675	9,351 43		8,033 85	-1,317 58	
ZILLOW INC CL A								
Symbol	Z Exchange OTC	Nov 5, 12	34 446	3,272.42	27.750	2,636 25	-636.17	ST
Total				\$505,109.74		\$649,166.09	\$142,759.15	
Total estimated annual income:				\$3,215				

Other

Other

Holding	Trade date	Quantity/ face value	Purchase price (\$)	Cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
TENDER GEO GROUP INC		305,000	---This information was unavailable---					

Your total assets

	Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	25,558.11	3.79%	25,558.11		
Cash and money balances	25,558.11	3.79%	25,558.11		
Equities	649,166.09	96.21%	505,109.74	3,215.00	142,759.15
* Common stock					
* Other					
Total	\$674,724.20	100.00%	\$530,667.85	\$3,215.00	\$142,759.15

* Missing cost basis information.

ATTACHMENT 8

FORM 990PF, PART II - OTHER INVESTMENTS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
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INVESTMENT IN PASSTHROUGH

NONE

NONE

TOTALS

UNDERWOOD FAMILY FOUNDATION

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FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 9

TITLE AND AVERAGE HOURS PER
WEEK DEVOTED TO POSITION

NAME AND ADDRESS

ROGER UNDERWOOD 102 SOUTH HARRISON, SUITE 200 DAVENPORT, IA 52801	DIRECTOR 1.00	0	0	0
CONNIE UNDERWOOD 102 SOUTH HARRISON, SUITE 200 DAVENPORT, IA 52801	DIRECTOR 1.00	0	0	0

GRAND TOTALS

ATTACHMENT 10

FORM 990PF, PART XV - INFORMATION REGARDING FOUNDATION MANAGERS

ROGER UNDERWOOD
CONNIE UNDERWOOD

UNDERWOOD FAMILY FOUNDATION

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FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 11

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
DUNCAN, IA COMMUNITY BALLROOM FUNDRAISER 1432 234 ST BRITT, IA 50423	NONE PUBLIC CHARITY	OPERATIONAL	240.
IOWA NATIONAL HERITAGE FOUNDATION 505 5TH AVENUE SUITE 444 DES MOINES, IA 50309	NONE PUBLIC CHARITY	OPERATIONAL	650.
ALPHA OMICRON PI FOUNDATION 2007 GREELEY ST AMES, IA 50014	NONE PUBLIC CHARITY	OPERATIONAL	1,500
JUVENILE DIABETES RESEARCH FOUNDATION 5444 NW 96TH ST, SUITE A JOHNSTON, IA 50131	NONE PUBLIC CHARITY	OPERATIONAL	1,000
GILBERT EDUCATION FOUNDATION 103 MATHEWS DRIVE GILBERT, IA 50105	NONE PUBLIC CHARITY	OPERATIONAL	60,482
SPECIAL OLYMPICS IOWA 551 SE DOVETAIL RD PO BOX 620 GRIMES, IA 50111	NONE PUBLIC CHARITY	OPERATIONAL	1,800

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FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 11 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
IOWA STATE UNIVERSITY FOUNDATION 2505 UNIVERSITY BOULEVARD AMES, IA 50010	NONE PUBLIC CHARITY	OPERATIONAL	1,250
MID-IOWA COUNCIL, BOY SCOUTS OF AMERICA 1659 E. EUCLID AVENUE PO BOX 3009 DES MOINES, IA 50316	NONE PUBLIC CHARITY	OPERATIONAL	12,500.
BIBLE STUDY FELLOWSHIP 19001 HUEBNER ROAD SAN ANTONIO, TX 78258	NONE PUBLIC CHARITY	OPERATIONAL	11,000
UNITED WAY OF STORY COUNTY 315 CLARK AVENUE AMES, IA 50010	NONE PUBLIC CHARITY	OPERATIONAL	11,000
CALVARY EVANGELICAL FREE HIGHWAY 371 NORTH PO BOX 358 WALKER, MN 56484	NONE PUBLIC CHARITY	OPERATIONAL	3,100
GILBERT ATHLETIC BOOSTER CLUB 103 MATHEWS DRIVE GILBERT, IA 50105	NONE PUBLIC CHARITY	OPERATIONAL	1,000

UNDERWOOD FAMILY FOUNDATION

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FORM 990-E, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 11 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
CORNERSTONE CHURCH OF AMES 56829 US HIGHWAY 30 AMES, IA 50010	NONE PUBLIC CHARITY	OPERATIONAL	1,600
FAITH IN ACTION 108 3RD ST S HACKENSACK, MN 56452	NONE PUBLIC CHARITY	OPERATIONAL	200
FRIENDSHIP ARK HOMES 130 S SHELDON AVE, SUITE 203 AMES, IA 50014	NONE PUBLIC CHARITY	OPERATIONAL	250
FFA GILBERT CHAPTER 103 MATTHEWS DRIVE GILBERT, IA 50105	NONE PUBLIC CHARITY	OPERATIONAL	600
GRAND AVENUE BAPTIST CHURCH 612 24TH STREET AMES, IA 50010	NONE PUBLIC CHARITY	OPERATIONAL	125
WALKER FIRE DEPARTMENT 208 PARK STREET WALKER, IA 52352	NONE PUBLIC CHARITY	OPERATIONAL	2,250

UNDERWOOD FAMILY FOUNDATION

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FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 11 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
IOWA ASSOCIATION OF BUSINESS AND INDUSTRY 400 E COURT AVE, SUITE 100 DES MOINES, IA 50309	NONE PUBLIC CHARITY	OPERATIONAL	2,500
MARY GREELEY MEDICAL CENTER FOUNDATION 1111 DUFF AVENUE AMES, IA 50010	NONE PUBLIC CHARITY	OPERATIONAL	35,750
RAISING READERS ENDOWMENT FUND PO BOX 2374 AMES, IA 50010	NONE PUBLIC CHARITY	OPERATIONAL	250.
ST JUDE CHILDREN'S RESEARCH HOSPITAL 4619 N RAVENSWOOD AVE CHICAGO, IL 60640	NONE PUBLIC CHARITY	OPERATIONAL	2,750
AMES PUBLIC LIBRARY FRIENDS FOUNDATION 620 LINCOLN WAY AMES, IA 50010	NONE PUBLIC CHARITY	OPERATIONAL	5,000
VARIETY - THE CHILDREN'S CHARITY 505 5TH AVENUE, SUITE 310 DES MOINES, IA 50309	NONE PUBLIC CHARITY	OPERATIONAL	125

UNDERWOOD FAMILY FOUNDATION

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FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 11 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNITED CHRISTIANS INTERNATIONAL PO BOX 51 ORANGE CITY, IA 51041	NONE PUBLIC CHARITY	OPERATIONAL	100
AMERICAN CANCER SOCIETY 250 WILLIAMS STREET NW ATLANTA, GA 30303	NONE PUBLIC CHARITY	OPERATIONAL	50
ASCENSION LUTHERAN CHURCH 2400 BLOOMINGTON ROAD AMES, IA 50010	NONE PUBLIC CHARITY	OPERATIONAL	1,000
AMES CHRISTIAN SCHOOL 925 SOUTH 16TH STREET AMES, IA 50010	NONE PUBLIC CHARITY	OPERATIONAL	1,500
BOY SCOUT TROOP 157 GILBERT, IOWA 135 SCHOOL STREET GILBERT, IA 50105	NONE PUBLIC CHARITY	OPERATIONAL	663
CYCLONE GRIDIRON CLUB PO BOX 93592 DES MOINES, IA 50393	NONE PUBLIC CHARITY	OPERATIONAL	1,000

UNDERWOOD FAMILY FOUNDATION

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FORM 990-E, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 11 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
CHILDREN'S MIRACLE NETWORK HOSPITALS 205 W 700 S SALT LAKE CITY, UT 84101	NONE PUBLIC CHARITY	OPERATIONAL	200
P E O INTERNATIONAL 3700 GRAND AVENUE DES MOINES, IA 50312	NONE PUBLIC CHARITY	OPERATIONAL	100
GILBERT IOWA VOLUNTEER FIREFIGHTERS PO BOX 1 GILBERT, IA 50105	NONE PUBLIC CHARITY	OPERATIONAL	100
HARVEST VINEYARD CHURCH 1627 SOUTH HIGH AVE AMES, IA 50010	NONE PUBLIC CHARITY	OPERATIONAL	400
IOWA STATE UNIVERSITY AGRICULTURAL BUSINESS CLUB 174 HEADY HALL AMES, IA 50011	NONE PUBLIC CHARITY	OPERATIONAL	250
LAKESIDE FELLOWSHIP - MOMMIES WITH HOPE 302 S 2ND STREET POLK CITY, IA 50226	NONE PUBLIC CHARITY	OPERATIONAL	100

UNDERWOOD FAMILY FOUNDATION

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FORM 990-E, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 11 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
LUTHERAN SERVICES IN IOWA 106 16TH ST SW WAVERLY, IA 50677	NONE PUBLIC CHARITY	OPERATIONAL	50.
MUSCULAR DYSTROPHY ASSOCIATION 3300 E SUNRISE DRIVE TUCSON, AZ 85718	NONE PUBLIC CHARITY	OPERATIONAL	150
NATIONAL MS SOCIETY - UPPER MIDWEST CHAPTER 200 12TH AVENUE SOUTH MINNEAPOLIS, MN 55415	NONE PUBLIC CHARITY	OPERATIONAL	150
NATIONAL ALLIANCE ON MENTAL ILLNESS - IOWA 5911 MEREDITH DRIVE, SUITE E DES MOINES, IA 50322	NONE PUBLIC CHARITY	OPERATIONAL	200
PARALYZED VETERANS OF AMERICA 801 EIGHTEENTH STREET WASHINGTON, DC 20006	NONE PUBLIC CHARITY	OPERATIONAL	50
PERFORMING ARTS FUND AT STEPHENS AUDITORIUM IOWA STATE CENTER SCHEMAN BUILDING, SUITE 102 AMES, IA 50011	NONE PUBLIC CHARITY	OPERATIONAL	250

UNDERWOOD FAMILY FOUNDATION

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FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 11 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
CYCLONE CLUB 1800 SOUTH FOURTH STREET AMES, IA 50011	NONE PUBLIC CHARITY	OPERATIONAL	12,500.
CENTRAL IOWA SYMPHONY PO BOX 1080 AMES, IA 50014	NONE PUBLIC CHARITY	OPERATIONAL	135
GOSPEL FOR ASIA 1800 GOLDEN TRAIL COURT CARROLLTON, TX 75010	NONE PUBLIC CHARITY	OPERATIONAL	250.
FRIENDS OF IOWA PUBLIC TELEVISION 6535 CORPORATE DRIVE JOHNSTON, IA 50131	NONE PUBLIC CHARITY	OPERATIONAL	250
HABITAT FOR HUMANITY 401 CLARK, SUITE 100 AMES, IA 50010	NONE PUBLIC CHARITY	OPERATIONAL	250
AMES CHILDREN'S CHOIRS ASSOCIATION, INC PO BOX 1965 AMES, IA 50010	NONE PUBLIC CHARITY	OPERATIONAL	250

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 11 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
MUSEUM OF THE FUR TRADE 6321 HIGHWAY 20 CHADRON, NE 69337	NONE PUBLIC CHARITY	OPERATIONAL	500
BOYS AND GIRLS CLUB OF STORY COUNTY 210 SOUTH FIFTH STREET AMES, IA 50010	NONE PUBLIC CHARITY	OPERATIONAL	500
IN FAITH 672 CONESTOGA RD VILLANOVA, PA 19085	NONE PUBLIC CHARITY	OPERATIONAL	1,000
WALKER AREA COMMUNITY CENTER 105 TOWER AVENUE WALKER, MN 56484	NONE PUBLIC CHARITY	OPERATIONAL	2,500
WALKER PUBLIC LIBRARY 207 4TH STREET WALKER, MN 56484	NONE PUBLIC CHARITY	OPERATIONAL	1,000
EMERGENCY RESIDENCE PROJECT 225 SOUTH KELLOGG AMES, IA 50010	NONE PUBLIC CHARITY	OPERATIONAL	1,500

UNDERWOOD FAMILY FOUNDATION

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FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 11 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
HERITAGE HOUSE GOOD SAMARITAN FUND 1200 BROOKRIDGE CIRCLE ATLANTIC, IA 50022	NONE PUBLIC CHARITY	OPERATIONAL	1,000
TOTAL CONTRIBUTIONS PAID			<u>184,870</u>

Form **8868**

(Rev. January 2013)

Department of the Treasury
Internal Revenue Service**Application for Extension of Time To File an
Exempt Organization Return**

OMB No 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	UNDERWOOD FAMILY FOUNDATION	20-1487042
	Number, street, and room or suite no. If a P.O. box, see instructions	Social security number (SSN)
	102 SOUTH HARRISON, SUITE 200	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	DAVENPORT, IA 52801	

Enter the Return code for the return that this application is for (file a separate application for each return)

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720- (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► CONNIE UNDERWOOD

Telephone No ► 515 233-5990

FAX No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15, 20 13, to file the exempt organization return for the organization named above. The extension is for the organization's return for
- ☒ calendar year 2012 or
- ☐ tax year beginning , 20 , and ending , 20 .

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
- ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a \$	12,159.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b \$	2,159.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c \$	10,000.

Caution If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form **8868** (Rev. 1-2013)

JSA

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