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Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012, or tax year beginning 01-01-2012 , and ending 12-31-2012

Name of foundation The Jain Foundation Inc		A Employer identification number 20-0284800	
Number and street (or P O box number if mail is not delivered to street address) 14 Island Drive		B Telephone number (see instructions) (425) 882-1492	
City or town, state, and ZIP code Rye, NY 10580		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 21,674,038		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	15,213,818			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	282	282		
	4 Dividends and interest from securities.				
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	1,778,028			
	b Gross sales price for all assets on line 6a _____ 1,778,672				
	7 Capital gain net income (from Part IV , line 2)		1,778,028		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	6,537			
	12 Total. Add lines 1 through 11	16,998,665	1,778,310		
	13 Compensation of officers, directors, trustees, etc	200,000			200,000
	14 Other employee salaries and wages	394,833			394,833
	15 Pension plans, employee benefits	53,539			53,539
	16a Legal fees (attach schedule)	12,909			9,682
	b Accounting fees (attach schedule)	4,510			3,383
	c Other professional fees (attach schedule)	26,675			26,675
	17 Interest	64			
	18 Taxes (attach schedule) (see instructions)	33,531			
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy	43,765			43,765
	21 Travel, conferences, and meetings	24,423			24,423
	22 Printing and publications				
	23 Other expenses (attach schedule)	499,612			499,362
	24 Total operating and administrative expenses. Add lines 13 through 23	1,293,861	0		1,255,662
	25 Contributions, gifts, grants paid	1,250,579			1,250,579
	26 Total expenses and disbursements. Add lines 24 and 25	2,544,440	0		2,506,241
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	14,454,225			
	b Net investment income (if negative, enter -0-)		1,778,310		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	875,551	113,669	113,669
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	3,743	5,907	5,907
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	5,697,104	19,565,356	21,554,462
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	15	Other assets (describe ▶ _____)			
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	6,576,398	19,684,932	21,674,038

Liabilities	17	Accounts payable and accrued expenses	37,241	36,932	
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	37,241	36,932	

Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted	6,539,157	19,648,000	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see page 17 of the instructions)	6,539,157	19,648,000	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	6,576,398	19,684,932	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	6,539,157
2	Enter amount from Part I, line 27a	2	14,454,225
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	20,993,382
5	Decreases not included in line 2 (itemize) ▶ _____	5	1,345,382
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	19,648,000

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	1,778,028
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }			3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part


1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	2,070,176	8,637,266	0 23968
2010	2,010,592	9,806,815	0 20502
2009	3,127,278	10,783,042	0 29002
2008	2,102,194	16,818,122	0 12500
2007	1,794,375	17,375,400	0 10327
2 Total of line 1, column (d).			2 0 96299
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0 19260
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5.			4 11,336,068
5 Multiply line 4 by line 3.			5 2,183,293
6 Enter 1% of net investment income (1% of Part I, line 27b).			6 17,783
7 Add lines 5 and 6.			7 2,201,076
8 Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions			8 2,506,241

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1			
		Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	17,783
c		All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3		Add lines 1 and 2.		3	17,783
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	
5		Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	17,783
6		Credits/Payments			
a		2012 estimated tax payments and 2011 overpayment credited to 2012	6a	28,000	
b		Exempt foreign organizations—tax withheld at source	6b		
c		Tax paid with application for extension of time to file (Form 8868)	6c		
d		Backup withholding erroneously withheld	6d		
7		Total credits and payments Add lines 6a through 6d.		7	28,000
8		Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached		8	
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	10,217
11		Enter the amount of line 10 to be Credited to 2013 estimated tax <input type="checkbox"/> 10,217	Refunded <input type="checkbox"/>	11	

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?			No
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file Form 1120-POL for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		No
	If "Yes," attach a detailed description of the activities.			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?.	4b		No
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		No
	If "Yes," attach the statement required by General Instruction T.			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either			
	• By language in the governing instrument, or			
	• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> NY _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 	10	Yes	

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No		
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No		
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes			
Website address ▶ https://www.jain-foundation.org/						
14	The books are in care of ▶ <u>Plavi Mittal</u> Telephone no ▶ <u>(425) 882-1492</u> Located at ▶ <u>2310 130th Ave NE Suite B101 Bellevue WA</u> ZIP +4 ▶ <u>98005</u>					
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ▶ <table><tr><td>15</td><td></td></tr></table>	15				
15						
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No		
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶						

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. ▶ <input type="checkbox"/>	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.</i>).	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b		No
	Organizations relying on a current notice regarding disaster assistance check here.			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		No

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
S Shira	Office Manager	50,708		
2310 130th Ave NE Suite B101 Bellevue, WA 98005	40 00			
L Rufibach PhD	Research Director	91,180		
2310 130th Ave NE Suite B101 Bellevue, WA 98005	40 00			
D Albrecht PhD	Research Director	88,500		
2310 130th Ave NE Suite B101 Bellevue, WA 98005	40 00			
Total number of other employees paid over \$50,000.				

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 While the focus of the Jain Foundation is LGMD2B, the resulting scientific advances and collaboration have a broader impact on human health through their relevance to other muscle disorders, degenerative diseases of tissues other than muscle, and normal aging. The Foundation is hands on in its approach to funding. We closely monitor progress (every quarter) of every project we fund and keep track of results and agreed upon timelines through detail oriented conference calls with researchers and site visits. Our goal continues to be to fund all possible approaches to a cure and have the patients diagnosed for when we reach a cure so they are able to avail it. Contract Research Organizations. In 2012 we initiated several projects at Contract Research Organizations. There are many drugs in development (many even FDA approved) for other diseases that may be useful in LGMD2B. To evaluate their benefit in LGMD2B we need specific assays. The process of developing assays is tedious, time consum.	488,206
2 Patient Recruitment and Diagnosis. LGMD2B is very rare. An important goal of the Foundation is to identify LGMD2B patients worldwide. The Foundation maintains a patient registry and facilitates by providing funding for patients' diagnosis. The Jain Foundation team reviews patient records to identify patients that have a high probability of having dysferlinopathy before doing expensive protein or genetic analysis covered by the Foundation. More often than not, however, patients records or their physicians do not have the information needed for us to make a well-informed decision. In an attempt to identify patients rapidly and to save money, the Foundation developed an easy-to-use online diagnostic tool. The tool is based on a Bayesian algorithm and is grounded on published clinical reports. The tool discriminates between 25 types LGMD. The tool was launched in May 2012 and has been very well received by the medical community all over the world. This tool has increased our rate of findin.	115,454
3 Clinical Outcome Study. The process of becoming trial ready was started in 2012. Clinical trials frequently fail because testable outcomes are not well defined and are not sensitive enough to measure a small benefit from the treatment or drug being delivered. To find measurable outcomes for dysferlinopathy we launched a Clinical Outcome Study (COS) running out of 14 centers (in the US, Europe, Japan and Australia). COS will recruit 150 patients. Patients will visit their respective centers 6 times over 3 years for medical, physiotherapy and MRI/MRS assessments at each visit. We are funding, leading and managing this study. COS will help define the best tests for measuring disease progression. We will also collect and bank biological samples for use in research and identify and register as many dysferlin deficient patients as possible worldwide. Finally COS will get all 14 sites ready for clinical trials. In other words, COS is a dress rehearsal for future clinical trials.	82,624
4 Tools for Researchers. The Jain Foundation continues to actively identify and fund the development of research tools and resources that were needed to advance research in the dysferlin field in 2012. The Foundation is also committed to developing and providing frequently required reagents, the unavailability of which slows down research. In addition we generated and maintained new mouse models at a custom breeding colony at Jackson Laboratories (Maine, US). Information about these resources is frequently disseminated to relevant researchers through e-mails and through the Foundations website.	51,294

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3.	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	10,970,748
b	Average of monthly cash balances.	1b	537,950
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	11,508,698
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	11,508,698
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	172,630
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	11,336,068
6	Minimum investment return. Enter 5% of line 5.	6	566,803

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	566,803
2a	Tax on investment income for 2012 from Part VI, line 5.	2a	17,783
b	Income tax for 2012 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	17,783
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	549,020
4	Recoveries of amounts treated as qualifying distributions.	4	6,537
5	Add lines 3 and 4.	5	555,557
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	555,557

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	2,506,241
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	2,506,241
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	17,783
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	2,488,458
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				555,557
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only.				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2012				
a From 2007.	790,915			
b From 2008.	1,281,403			
c From 2009.	2,619,026			
d From 2010.	1,476,657			
e From 2011.	1,684,805			
f Total of lines 3a through e.	7,852,806			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ 2,506,241				
a Applied to 2011, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2012 distributable amount.				555,557
e Remaining amount distributed out of corpus	1,950,684			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	9,803,490			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions). . .	790,915			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a.	9,012,575			
10 Analysis of line 9				
a Excess from 2008. . . .	1,281,403			
b Excess from 2009. . . .	2,619,026			
c Excess from 2010. . . .	1,476,657			
d Excess from 2011. . . .	1,684,805			
e Excess from 2012. . . .	1,950,684			

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a

Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years				(e) Total
(a) 2012	(b) 2011	(c) 2010	(d) 2009		
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a

The name, address, and telephone number or e-mail of the person to whom applications should be addressed

The Jain Foundation Inc
2310 130th Ave NE Suite B101
Bellevue, WA 98005
(425) 882-1492
See Foundation Website www.jain-foundation.org

b

The form in which applications should be submitted and information and materials they should include

See Foundation Website www.jain-foundation.org

c

Any submission deadlines

There are no application deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

The explicit goal of the Jain Foundation is to expedite development of a treatment or cure for LGMD2B/Miyoshi. Any proposed project that does not have application to this disease will not be considered

Form 990-PF (2012)

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	1,250,579
b Approved for future payment				
Total			3b	

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	282	
4 Dividends and interest from securities. . . .			14		
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory					1,778,028
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a <u>Unused Grants Refunded</u>			21	6,537	
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e). .				6,819	1,778,028
13 Total. Add line 12, columns (b), (d), and (e).			13		1,784,847

[illegible]

<p>1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?</p> <p>a Transfers from the reporting foundation to a noncharitable exempt organization of</p> <p>(1) Cash.</p> <p>(2) Other assets.</p> <p>b Other transactions</p> <p>(1) Sales of assets to a noncharitable exempt organization.</p> <p>(2) Purchases of assets from a noncharitable exempt organization.</p> <p>(3) Rental of facilities, equipment, or other assets.</p> <p>(4) Reimbursement arrangements.</p> <p>(5) Loans or loan guarantees.</p> <p>(6) Performance of services or membership or fundraising solicitations.</p> <p>c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.</p> <p>d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received</p>		Yes	No
1a(1)			No
1a(2)			No
1b(1)			No
1b(2)			No
1b(3)			No
1b(4)			No
1b(5)			No
1b(6)			No
1c			No

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

* * * * *

2013-09-05

* * * * *

Signature of officer or trustee

Date

Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ **Yes** ☐ **No**

**Paid
Preparer
Use
Only**

Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed <input checked="" type="checkbox"/>	PTIN P01450835
	Lorraine S Boss Esq	Lorraine S Boss Esq			
	Firm's name <input type="checkbox"/>	LEXINGTON TAX SERVICES		Firm's EIN <input type="checkbox"/>	
		1325 FRANKLIN AVE STE 335			
	Firm's address <input type="checkbox"/>	GARDEN CITY, NY 115301604		Phone no (516) 739-1462	

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2012

Name of the organization The Jain Foundation Inc	Employer identification number 20-0284800
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Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	<input type="checkbox"/> 501(c)() (enter number) organization
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust not treated as a private foundation
	<input type="checkbox"/> 527 political organization
Form 990-PF	<input checked="" type="checkbox"/> 501(c)(3) exempt private foundation
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation
	<input type="checkbox"/> 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer “No” on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<u> 1 </u>	Warren Buffett 1440 Kiewit Plaza Omaha, NE 68131	\$ 15,213,818	<div> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> </div> <div>(Complete Part II if there is a noncash contribution)</div>
<u> </u>		\$ _____	<div> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> </div> <div>(Complete Part II if there is a noncash contribution)</div>
<u> </u>		\$ _____	<div> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> </div> <div>(Complete Part II if there is a noncash contribution)</div>
<u> </u>		\$ _____	<div> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> </div> <div>(Complete Part II if there is a noncash contribution)</div>
<u> </u>		\$ _____	<div> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> </div> <div>(Complete Part II if there is a noncash contribution)</div>
<u> </u>		\$ _____	<div> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> </div> <div>(Complete Part II if there is a noncash contribution)</div>
<u> </u>		\$ _____	<div> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> </div> <div>(Complete Part II if there is a noncash contribution)</div>

Name of organization The Jain Foundation Inc	Employer identification number 20-0284800
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Part III	Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry For organizations completing Part III, enter the total of <i>exclusively</i> religious, charitable, etc , contributions of \$1,000 or less for the year (Enter this information once See instructions) ▶ \$ Use duplicate copies of Part III if additional space is needed
-----------------	---

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div>	<div></div>	<div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
—	<div></div>	<div></div>	
—	<div></div>	<div></div>	<div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
—	<div></div>	<div></div>	
—	<div></div>	<div></div>	<div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
—	<div></div>	<div></div>	
—	<div></div>	<div></div>	<div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
—	<div></div>	<div></div>	

TY 2012 Accounting Fees Schedule**Name:** The Jain Foundation Inc**EIN:** 20-0284800**Software ID:** 12000229**Software Version:** 2012v2.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Pradeep Agarwal	4,510	0	0	3,383

TY 2012 Investments Corporate Stock Schedule

Name: The Jain Foundation Inc

EIN: 20-0284800

Software ID: 12000229

Software Version: 2012v2.0

Name of Stock	End of Year Book Value	End of Year Fair Market Value
240,295 Shares Berkshire Hathaway Inc.	19,565,356	21,554,462

TY 2012 Legal Fees Schedule

Name: The Jain Foundation Inc

EIN: 20-0284800

Software ID: 12000229

Software Version: 2012v2.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Brosnan & Hegler, LLP	12,909	0	0	9,682

TY 2012 Other Decreases Schedule

Name: The Jain Foundation Inc

EIN: 20-0284800

Software ID: 12000229

Software Version: 2012v2.0

Description	Amount
Adjust FMV vs. Cost basis of Contributed Property	1,345,382

TY 2012 Other Expenses Schedule

Name: The Jain Foundation Inc

EIN: 20-0284800

Software ID: 12000229

Software Version: 2012v2.0

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Website design & Software	24,998			24,998
Translation Services	22,826			22,826
Telephone & Internet	6,674			6,674
Supplies	2,210			2,210
Scientific Network Resources	39,073			39,073
Relocation expense	2,000			2,000
Psychogenics CRO	50,000			50,000
Postage, shipping, delivery	7,702			7,702
Office Expenses	6,800			6,800
NYS Charities Bureau Filing Fee	250			
Miscellaneous	225			225
Jackson Labs	17,950			17,950
Insurance	849			849
Foreign transaction fees	-3			-3
Evotec AG	98,000			98,000
Charles Rover Discovery Service	106,500			106,500
Books & Periodicals	390			390
BioFocus CRO	115,000			115,000
2011 Bank Charges reversed	-1,832			-1,832

TY 2012 Other Income Schedule**Name:** The Jain Foundation Inc**EIN:** 20-0284800**Software ID:** 12000229**Software Version:** 2012v2.0

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Unused Grants Refunded	6,537		

TY 2012 Other Professional Fees Schedule**Name:** The Jain Foundation Inc**EIN:** 20-0284800**Software ID:** 12000229**Software Version:** 2012v2.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
N Monnier PhD Research Consultant	2,825	0	0	2,825
B Williams PhD Research Consultant	23,850	0	0	23,850

TY 2012 Substantial Contributors Schedule

Name: The Jain Foundation Inc

EIN: 20-0284800

Software ID: 12000229

Software Version: 2012v2.0

Name	Address
Warren Buffett	1440 Kiewit Plaza Omaha, NE 68131

TY 2012 Taxes Schedule**Name:** The Jain Foundation Inc**EIN:** 20-0284800**Software ID:** 12000229**Software Version:** 2012v2.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Federal tax refund	1,375			
2012 Estimated Tax	28,000			
2011 Federal tax paid	6,906			

990-PF Attachment

The Jain Foundation, Inc.
E.I.N. # 20-0284800
ATTACHMENT TO 2012 FORM 990-PF
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) Grantee: 1 bis rue de L'Internationale, 91 002 EVRY CEDEX, FRANCE (Dr. Isabelle Richard/Genethon)

(2) Date Paid in Current Tax Year: January 31, 2012, October 31, 2012

(3) Total Paid: \$ 67,891.50 USD paid in 2010 (53,500 Euros dispersed for this project in 2010)
\$ 35,505.70 USD paid in 2011
\$ 32,232.50 USD paid in 2012
\$ 9,540.96 USD paid in 2012
\$145,170.66 USD total

(4) Purpose of Grant: "Development of Therapeutic Strategies for Dysferlinopathy"

(5) Amount of Grant Spent by Grantee: \$137,796.92 USD (converted remaining Euros 5592 to USD=\$7373.05 using google on April 30, 2013. The total amount spent in 2012 was calculated converting the remaining Euros, to US dollars and subtracting that amount from the total funds given).

(6) Diversion:

To the knowledge of the foundation, and based on the reports furnished by the grantee, no part has been used for other than its intended purpose.

(7) Date of Report(s) Received from Grant:

1/14/2010, 3/11/2010, 8/5/2010, 2/16/2011, 11/14/2011, 2/17/2012, 12/17/2012

990-PF Attachment

The Jain Foundation, Inc.
E.I.N. # 20-0284800
ATTACHMENT TO 2012 FORM 990-PF
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) Grantee:

Dr. Sandra Cooper
Faculty of Medicine, University of Sydney
Neurogenetics Research Unit
Children's Hospital at Westmead
Locked Bag 4001, Westmead
Sydney, NSW 2145
Australia

(2) Date Paid in Current Tax Year: March 31, 2012, April 30, 2012, August 31, 2012

(3) Total Paid:

\$ 24,309.21 USD
\$ 43,540.18 USD
\$ 32,566.24 USD
\$ 33,592.38 USD paid in 2012
\$ 47,240.44 USD paid in 2012
\$ 47,652.26 USD paid in 2012
\$228,900.71 USD

(4) Purpose of Grant: The Functional Role of Dysferlin

(5) Amount of Grant Spent by Grantee: \$214,461.03 (Calculated by converting the 2012 online balance of 15,272 AUS to USD \$14,439.68 and subtracting that amount from the total in USD)

(6) Diversion: To the knowledge of the foundation, and based on the reports furnished by the grantee, no part has been used for other than its intended purpose.

(7) Date of Report(s) Received from Grant:

4/12/2011, 4/19/2011, 8/9/2011, 11/8/2011, 1/5/2012, 5/8/2012, 7/31/2012, 11/15/2012, 1/7/2013, 4/4/2013

990-PF Attachment

The Jain Foundation, Inc.
E.I.N. # 20-0284800
ATTACHMENT TO 2012 FORM 990-PF
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

- 1) **Grantee:** Katharine Bushby, Professor of Neuromuscular Genetics, Institute of Human Genetics, International Center for Life, Central Parkway, New Castle upon Tyne, NE1 3BZ, UK
- 2) **Date Paid in Current Tax Year:** October 31, 2012
- 3) **Total Paid:** \$281,474.28 USD in 2011
 \$198,263.47 USD in 2012
 \$479,737.75 USD total paid
- 4) **Purpose of Grant:** Natural History Study in Dysferlinopathy (COS)
- 5) **Amount of Grant Spent by Grantee:** \$243,671.61 USD (calculated converting the balance reported by grantee 156893.70 British Pounds to USD online on April 30, 2012 and subtracting that from the total amount that has been given)
- 6) **Diversion:** To the knowledge of the foundation, and based on the reports furnished by the grantee, no part has been used for other than its intended purpose.
- 7) **Date of Report(s) Received from Grant:** 1/11/2011, 1/15/2011, 2/18/2011, 6/15/2011, 8/24/2011, 12/16/2011, 7/25/2012

The Jain Foundation, Inc.
E.I.N. # 20-0284800
ATTACHMENT TO 2012 FORM 990-PF
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) Grantee: Minanda Grounds School of Anatomy & Human Biology, M309, The University of
Western Australia, 35 Sterling Highway, Crawley, Western
Australia, 6009 Australia

(2) Date Paid in Current Tax Year: January 31, 2012

(3) Total Paid: \$58,879.00 USD paid in 2011
\$26,546.78 USD paid Jan 2012
\$85,425.78 USD Total paid

(4) Purpose of Grant: Monitoring of oxidative stress associated with dystrophia in dysferlin-deficient A/J mice

(5) Amount of Grant Spent by Grantee: \$85,425.78 USD

(6) Diversion:

To the knowledge of the foundation, and based on the report furnished by the grantee, no part has been used for other than its intended purpose.

(7) Date of Report(s) Received from Grantee:

3/20/2011,4/18/2011,11/10/2011,3/20/2012,4/4/2012,7/12/2012,8/30/2012

990-PF Attachment

The Jain Foundation, Inc.
E.I.N. # 20-0284800
ATTACHMENT TO 2012 FORM 990-PF
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) Grantee:

Dr. Sasikumar Menon
Institute for Advanced Training and Research in Interdisciplinary Sciences
Therapeutic Drug Monitoring (TDM) laboratory
Mumbai, India.

(2) Date Paid in Current Tax Year: January 31, 2012, August 31, 2012

(3) Total Paid: \$ 28,000.00 USD

\$ 26,591.00 USD

\$ 54,591.00 USD

(4) Purpose of Grant: Analysis of blood samples for detection of dysferlin gene mutations in patients with limb girdle muscular dystrophy in the Indian population.

(5) Amount of Grant Spent by Grantee: \$19,778.65 USD

(6) Diversion: To the knowledge of the foundation, and based on the reports furnished by the grantee, no part has been used for other than its intended purpose.

(7) Date of Report(s) Received from Grant:

2/15/2012, 4/17/2012, 5/16/2012

990-PF Attachment

The Jain Foundation, Inc.
E.I.N. # 20-0284800
ATTACHMENT TO 2012 FORM 990-PF
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) Grantee: Eduard Gallardo, Laboratori de Malalties Neuromusculars, Institut de Recerca Hospital de la Santa Creu i Sant Pau. U.A.B.

(2) Date Paid in Current Tax Year: May 31, 2012

(3) Total Paid: \$34,832.20 USD paid in 2012

(4) Purpose of Grant: Combined cell transplantation as a therapeutic approach for dysferlinopathies and transversal study to evaluate the frequency of carriers of dysferlinopathy in Caucasian population using a monocyte's test to detect dysferlin expression

(5) Amount of Grant Spent by Grantee: \$34,832.20 (additional funds for this project continue in 2013/researcher spend more than was given in 2012 but additional funds were sent to account for this in 2013)

(6) Diversion: To the knowledge of the foundation, and based on the reports furnished by the grantee, no part has been used for other than its intended purpose.

(7) Date of Report(s) Received from Grant: 4/24/2012, 10/30/2012, 1/17/2013

990-PF Attachment

The Jain Foundation, Inc.
E.I.N. # 20-0284800
ATTACHMENT TO 2012 FORM 990-PF
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) Grantee: Peter Currie, Monash University (ABN 12 377 614 012) of Wellington Road, Clayton, VIC 3800 acting through its Australian Regenerative Medicine Institute (ARMI)

(2) Date Paid in Current Tax Year: July 31, 2012

(3) Total Paid: \$31,785.03 USD paid in 2012

(4) Purpose of Grant: Generating a targeted mutation resource in zebrafish

(5) Amount of Grant Spent by Grantee: \$31,785.03 USD

(6) Diversion: To the knowledge of the foundation, and based on the reports furnished by the grantee, no part has been used for other than its intended purpose.

(7) Date of Report(s) Received from Grant:
10/18/2012, 2/26/2013

990-PF Attachment

The Jain Foundation, Inc.
E.I.N. # 20-0284800
ATTACHMENT TO 2012 FORM 990-PF
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) Grantee:

INSERUM UMRS 910, Translational Myology; Faculté de Médecine de Marseille, Université de la Méditerranée 27, boulevard Jean Moulin; 13385 Marseille Cedex 05, France (Dr. Marc Bartoli)

(2) Date Paid in Current Tax Year: October 10/31/2012

(3) Total Paid: \$13,228.93 USD paid in 2012

(4) Purpose of Grant: In vivo Delivery of AON in Dysferlin Deficient Mice

(5) Amount of Grant Spent by Grantee: \$11,843.63 USD (calculated by converting what has been reported as the balance 1052.5 Euros to USD online = \$ 1385.3 USD and subtracting that from the USD amount given.)

(6) Diversion: To the knowledge of the foundation, and based on the reports furnished by the grantee, no part has been used for other than its intended purpose.

(7) Date of Report(s) Received from Grant:
8/29/2012

990-PF Attachment

The Jain Foundation, Inc.
E.I.N. # 20-0284800
ATTACHMENT TO 2012 FORM 990-PF
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) Grantee: Charite Universitätsmedizin Berlin, 13125 Berlin, Germany (Dr. Simone Spuler)

(2) Date Paid in Current Tax Year: N/A

(3) Total Paid: \$66,000.00 US, April 4, 2009

(4) Purpose of Grant: AFM study/"Observing membrane wounding and healing on molecular level: Atomic Force Microscopy"

(5) Amount of Grant Spent by Grantee: \$65,444.59 (\$555.41 USD were refunded to the Jain Foundation from Charite Univ Berlin on May 9, 2012 via check. Deposited into Bank of America Account in WA state.)

(6) Diversion:

To the knowledge of the foundation, and based on the reports furnished by the grantee, no part has been used for other than its intended purpose.

(7) Date of Report(s) Received from Grantee:

5/7/2009, 6/4/2009, 8/6/2009, 11/3/09, 11/9/2009, 1/11/2010, 3/3/2010, 4/1/2010, 8/13/2010, 9/23/2010, 10/13/2010, 1/26/2011, 3/31/2011, 9/20/2011

990-PF Attachment

The Jain Foundation, Inc.
E.I.N. # 20-0284800
ATTACHMENT TO 2012 FORM 990-PF
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

- 1) **Grantee:** Halo therapeutics, LLC
- 2) **Date Paid in Current Tax Year:** July 31, 2012
- 3) **Total Paid:** \$50,000.00
- 4) **Purpose of Grant:** A randomized, double-blinded, placebo-controlled, multiple-dose, dose-escalation study to evaluate the safety, tolerability pharmacokinetic and pharmacodynamic effects of HT-100 in patients with muscular dystrophy.
- 5) **Amount of Grant Spent by Grantee:** \$50,000.00
- 6) **Diversion:** To the knowledge of the foundation, and based on the reports furnished by the grantee, no part has been used for other than its intended purpose.
- 7) **Date of Report(s) Received from Grantee:** 5/8/2013

990-PF Attachment

The Jain Foundation, Inc.
E.I.N. # 20-0284800
ATTACHMENT TO 2012 FORM 990-PF
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) Grantee:

Laboratory of Molecular Genetics, 264 rue St. Pierre, 13385 Marseille Cedex 05, France (Dr. Martin Krahn

(2) Date Paid in Current Tax Year: funds were not sent for this project in 2012

(3) Total Paid: \$23,679.62 USD paid 3/23/2010
\$24,422.54 USD paid 3/08/2011
\$48,102.16 USD total

(4) Purpose of Grant: The maintenance and further development of the UMD-DYSF Locus-Specific Database

(5) Amount of Grant Spent by Grantee: \$48,102.16 USD

(6) Diversion: To the knowledge of the foundation, and based on the reports furnished by the grantee, no part has been used for other than its intended purpose.

(7) Date of Report(s) Received from Grant:
12/13/2010, 1/26/2012, 8/29/2012, 5/10/2013

990-PF Attachment

The Jain Foundation, Inc.
E.I.N. # 20-0284800
ATTACHMENT TO 2012 FORM 990-PF
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) Grantee: Gillian Butler-Browne, Institute de Myologie, 105 bd de l'Hopital, Paris, 5013, FRANCE

(2) Date Paid in Current Tax Year: no funds were sent in 2012

(3) Total Paid: \$51,607.50 USD

(4) Purpose of Grant: Could modifications in the muscle secretome be involved in the physiopathology of Dysferlinopathie

(5) Amount of Grant Spent by Grantee: \$50,928.19 (converted online on 4/2/2012: 509.49 Euro = 679.318188 US Dollar/1 EUR = 1.33332977 USD | 1 USD = 0.750002 EUR)

(6) Diversion:

To the knowledge of the foundation, and based on the report furnished by the grantee, no part has been used for other than its intended purpose.

(7) Date of Report(s) Received from Grantee:

10-/1/2010, 11/17/2011, 3/31/2012

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Ajay Jain	Director 1 00	0		
Island Drive Rye, NY 10580				
Akshay Jain	Director 1 00	0		
Island Drive New York, NY 10580				
Indrma Jain	Director 1 00	0		
Island Drive Rye, NY 10580				
Ajit Jain	Director & CEO 3 00	0		
Island Drive Rye, NY 10580				
Dr Plavi Mittal	President 50 00	200,000		
2310 130th Ave NE Suite B101 Bellevue, WA 98005				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Immune Disease Institute 3 Blackfan Circle Boston,MA 02115	NONE	509(a)(1)	Scientific Research Grant	23,333
Universit de la Mditerrane Boulevard Jean Moulin Marseille,Cedex 05 13385 FR	NONE	foreign	Scientific Research Grant	13,229
Monash University Wellington Road Clayton 3800 OC	NONE	foreign	Scientific Research Grant	31,785
Halo Therapeutics LLC 275 Grove Street Suite 2-400 Newton,MA 02466	NONE	nonexempt	Scientific Research Grant	50,000
Childrens Research Institute DrPart 111 Michigan Avenue NW Suite 5400 Washington DC,DC 20010	NONE	509(a)(1)	Scientific Research Grant	31,983
Laboratori de Malalties Neuromuscul UAB C/ Paret Claret 167 Barcelona 08025 SP	NONE	foreign	Scientific Research Grant	34,832
Childrens Research Institute Dr Coh 111 Michigan Avenue NW Suite 5400 Washington DC,DC 20010	NONE	509(a)(1)	Scientific Research Grant	26,667
University of Pennsylvania 700 Clinical Research Building Philadelphia,PA 19104	NONE	509(a)(1)	Conference Sponsor	3,500
University of Minnesota 1988 Fitch Ave St Paul,MN 55108	NONE	509(a)(1)	Scientific Research Grant	3,150
Institute for Advanced TrainingRese Dr Sasikumar Menon Mumbai 400 022 IN	NONE	foreign	Scientific Research Grant	54,591
University of Maryland-Dr Robert Bl PO Box 41428 Baltimore,MD 21203	NONE	509(a)(1)	Scientific Research Grant	233,334
Children's Hospital Boston-Dr Kunke 300 Longwood Ave Boston,MA 02115	NONE	509(a)(1)	Scientific Research Grant	18,635
Univ Western Australia - Dr MGround 35 Stirling Highway Crawley,Western Australia 6009 AS	NONE	foreign	Scientific Research Grant	26,547
Emory University - Dr Madhuri Hegde 2165 N Decatur Road Atlanta,GA 30033	NONE	509(a)(1)	Scientific Research Grant	30,000
MIT Leonard Guarente Professor Biol 77 Massachusetts Avenue 68-280 Cambridge,MA 02139	NONE	509(a)(1)	Scientific Research Grant	68,402

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Institute of Human Genetics-Dr Bush Central Parkwway New Castle upon Tyne NE1 3BZ UK	NONE	foreign	Natural History Project	198,263
Univof Wisconsin Madison-Dr KSonnem 21 N Park Street Suite 6401 Madison,WI 53715	NONE	509(a)(1)	Scientific Research Grant	55,376
UNC Chapel Hill-Dr Matthew Hirsch 104 Airport Dr Chapel Hill,NC 27599	NONE	509(a)(1)	Scientific Research Grant	23,990
Texas Tech University Dr B Sutton 3601 4th Street Lubbock,TX 79415	NONE	509(a)(1)	Scientific Research Grant	33,652
University of Sydney - Dr Sandra Co Hospital at Westmead Sydney,Western Australia NSW 2145 AS	NONE	foreign	Scientific Research Grant	128,484
University of Maryland- Dr Joseph R PO Box 41428 Baltimore,MD 21203	NONE	509(a)(1)	Scientific Research Grant	88,385
NIH- Dr Zimmerberg 9000 Rockville Pike Bethesda,MD 20892	NONE	509(a)(1)	Scientific Research Grant	30,667
Gnthon Dr Isabelle Richard 1 bis rue de lInternationale BP60 Evry,Cedex 91002 FR	NONE	foreign	Scientific Research Grant	41,774
Total			▶ 3a	1,250,579