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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012, or tax year beginning 01-01-2012 , and ending 12-31-2012

Name of foundation
TUW CLAUD D STEFFENHAGEN 15056501

A Employer identification number
16-6384243

Number and street (or P O box number if mail is not delivered to street address)
C/O ALLIANCE BANK NA 160 MAIN ST

Room/suite

B Telephone number (see instructions)
(315) 366-3200

City or town, state, and ZIP code
ONEIDA, NY 134211629

C If exemption application is pending, check here ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* $ 1,215,824

J Accounting method ☐ Cash ☐ Accrual ☐ Other (specify) _
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	1	1		
	4	Dividends and interest from securities	36,711	37,015		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	20,707			
	b	Gross sales price for all assets on line 6a 591,708				
	7	Capital gain net income (from Part IV, line 2)		16,472		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	448			
	12	**Total.** Add lines 1 through 11	57,867	53,488		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	10,210	9,189		1,021
	14	Other employee salaries and wages		0	0	0
	15	Pension plans, employee benefits		0	0	
	16a	Legal fees (attach schedule)				0
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)				0
	17	Interest				0
	18	Taxes (attach schedule) (see instructions)	24	370		0
	19	Depreciation (attach schedule) and depletion	0	0		
	20	Occupancy				
	21	Travel, conferences, and meetings		0	0	
	22	Printing and publications	2	0	0	2
	23	Other expenses (attach schedule)	250			250
	24	**Total operating and administrative expenses.** Add lines 13 through 23	10,486	9,559	0	1,273
	25	Contributions, gifts, grants paid	57,340			57,340
	26	**Total expenses and disbursements.** Add lines 24 and 25	67,826	9,559	0	58,613
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-9,959			
	b	**Net investment income** (if negative, enter -0-)		43,929		
	c	**Adjusted net income** (if negative, enter -0-)			0	

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	18,881	33,184	33,184
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶		0	0
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶ 0			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	244,872	224,861	245,662
	c	Investments—corporate bonds (attach schedule)	26,288		
	11	Investments—land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	851,840	873,877	936,978
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	1,141,881	1,131,922	1,215,824
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)		0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☑ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds	1,135,236	1,129,858	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	6,645	2,064	
	30	**Total net assets or fund balances** (see page 17 of the instructions)	1,141,881	1,131,922	
	31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	1,141,881	1,131,922	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,141,881
2	Enter amount from Part I, line 27a	2	-9,959
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	1,131,922
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,131,922

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	16,472
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	63,923	1,207,036	0 052959
2010	48,423	1,160,810	0 041715
2009	42,134	1,049,454	0 040148
2008	54,193	1,189,521	0 045559
2007	55,285	1,325,038	0 041723

2	**Total** of line 1, column (d).	2	0 222104
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 044421
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5.	4	1,181,108
5	Multiply line 4 by line 3.	5	52,466
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	439
7	Add lines 5 and 6.	7	52,905
8	Enter qualifying distributions from Part XII, line 4.	8	58,613

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ______ **(attach copy of letter if necessary–see instructions)**		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b	1	439
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2	3	439
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	439
6	Credits/Payments		
a	2012 estimated tax payments and 2011 overpayment credited to 2012 — 6a — 876		
b	Exempt foreign organizations—tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c — 0		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	876
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	437
11	Enter the amount of line 10 to be **Credited to 2013 estimated tax** ▶ 437 **Refunded** ▶	11	0

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ____ **(2)** On foundation managers ▶ $ ____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶

14 The books are in care of ▶ALLIANCE BANK NA Telephone no ▶(315) 366-3200

Located at ▶241 MAIN ST STE 200 BUFFALO NY ZIP+4 ▶14203

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No

See instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ▶

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☑ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		No
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	5b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ALLIANCE BANK NA 160 MAIN STREET ONEIDA, NY 13421	TRUSTEE 1	10,210		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** ***(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services. . . . . ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See page 24 of the instructions 3	
Total. Add lines 1 through 3. . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes			
a	Average monthly fair market value of securities		1a	1,180,696
b	Average of monthly cash balances		1b	18,398
c	Fair market value of all other assets (see instructions)		1c	0
d	**Total** (add lines 1a, b, and c)		1d	1,199,094
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0		
2	Acquisition indebtedness applicable to line 1 assets		2	0
3	Subtract line 2 from line 1d		3	1,199,094
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	17,986
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	1,181,108
6	**Minimum investment return.** Enter 5% of line 5		6	59,055

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6		1	59,055
2a	Tax on investment income for 2012 from Part VI, line 5	2a 439		
b	Income tax for 2012 (This does not include the tax from Part VI)	2b		
c	Add lines 2a and 2b		2c	439
3	Distributable amount before adjustments Subtract line 2c from line 1		3	58,616
4	Recoveries of amounts treated as qualifying distributions		4	0
5	Add lines 3 and 4		5	58,616
6	Deduction from distributable amount (see instructions)		6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1		7	58,616

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	58,613
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0
b	Cash distribution test (attach the required schedule)	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	58,613
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	439
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	58,174

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				58,616
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only.			26,045	
b Total for prior years 20___, 20___, 20___		0		
3 Excess distributions carryover, if any, to 2012				
a From 2007. 0				
b From 2008. 0				
c From 2009. 0				
d From 2010. 0				
e From 2011. 0				
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ $ 58,613				
a Applied to 2011, but not more than line 2a			26,045	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2012 distributable amount.				32,568
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2012 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount —see instructions		0		
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				26,048
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions) . . .	0			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2008. . . . 0				
b Excess from 2009. . . . 0				
c Excess from 2010. . . . 0				
d Excess from 2011. . . . 0				
e Excess from 2012. . . . 0				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2012	**(b)** 2011	**(c)** 2010	**(d)** 2009	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . .					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Masonic Home For Children c/o Hodgson Russ LLP & Ttees MASONIC HALL 1540 BROADWAY 24TH FL New York, NY 100364039	N/A	NONE	FOR CHILD DAY CARE & SUMMER	11,368
Colgate University 13 OAK DRIVE Hamilton, NY 13346	N/A	NONE	ALUMNI ENDOWMENT FUND	23,236
Cedars Home For Children 6601 PIONEER BLVD Lincoln, NE 68506	N/A	NONE	YOUTH SERVICES	11,368
St Andrew's Priory School 224 QUEEN EMMA SQUARE Honolulu, HI 96813	N/A	NONE	COLLEGE PREP EDU,	11,368
Total . . . . ▶ **3a**				57,340
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	1	
4 Dividends and interest from securities. . . .			14	36,711	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	20,707	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a FEDERAL EXCISE TAX			01	448	
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				57,867	

13 **Total.** Add line 12, columns (b), (d), and (e). **13** 57,867

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	**1a(1)**		**No**
	(2) Other assets	**1a(2)**		**No**
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	**1b(1)**		**No**
	(2) Purchases of assets from a noncharitable exempt organization	**1b(2)**		**No**
	(3) Rental of facilities, equipment, or other assets	**1b(3)**		**No**
	(4) Reimbursement arrangements	**1b(4)**		**No**
	(5) Loans or loan guarantees	**1b(5)**		**No**
	(6) Performance of services or membership or fundraising solicitations	**1b(6)**		**No**
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	**1c**		**No**

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

******	2013-04-26	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN
LOUIS J DEROSE	LOUIS J DEROSE	2013-04-26		

Firm's name ▶	THOMSON REUTERS (TAX & ACCOUNTING)	Firm's EIN ▶
Firm's address ▶	343 THORNALL ST STE 710 EDISON, NJ 08837	Phone no (732) 767-9100

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
100 BAXTER INTL INC	P		2012-02-23
367 324 BLACKROCK HIGH YIELD BOND INSTITUTIONAL	P	2011-01-20	2012-02-23
50 BLACKROCK INC	P		2012-02-23
5 BLACKROCK INC	P	2011-07-15	2012-02-23
10 BOEING CO	P	2011-09-01	2012-02-23
5 BROADCOM CORPORATION-CL A	P	2010-07-09	2012-02-23
20 CVS CORP	P	2011-11-09	2012-02-23
30 914 CALAMOS MARKET NEUTRAL INC FD	P	2011-09-01	2012-02-23
65 COMCAST CORP-CL A	P	2011-09-01	2012-02-23
10 CUMMINS INC	P		2012-02-23
160 894 DFA EMERGING MARKETS SOCIAL CORE EQUITY	P	2010-10-27	2012-02-23
10 EATON CORP	P	2011-11-14	2012-02-23
110 EMERSON ELEC CO	P		2012-02-23
5 EXXON MOBIL CORP	P	2011-05-20	2012-02-23
25 EXXON MOBIL CORP	P		2012-02-23
30 HALLIBURTON CO	P		2012-02-23
10 HALLIBURTON CO	P	2011-09-01	2012-02-23
27 189 HARBOR INTERNATIONAL FUND	P	2003-06-09	2012-02-23
285 INTEL CORP	P	2011-09-01	2012-02-23
10 J P MORGAN CHASE & CO	P	2011-02-15	2012-02-23
140 JUNIPER NETWORKS	P		2012-02-23
150 JUNIPER NETWORKS	P		2012-02-23
30 NOBLE ENERGY INC	P	2011-11-09	2012-02-23
5 NOVARTIS AG-ADR	P	2011-11-09	2012-02-23
95 NOVARTIS AG-ADR	P		2012-02-23
26 013 OPPENHEIMER INTL GROWTH FUND Y	P	2009-02-24	2012-02-23
10 65 OPPENHEIMER DEVELOPING MARKETS FUND	P	2011-07-15	2012-02-23
20 PG & E CORP	P		2012-02-23
41 225 PARNASSUS SMALL CAP FUND	P	2011-09-01	2012-02-23
15 PROCTER & GAMBLE CO	P	2011-09-01	2012-02-23

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100 PROCTER & GAMBLE CO	P		2012-02-23
15 PRUDENTIAL FINL INC	P	2011-01-18	2012-02-23
10 QUALCOMM INC	P	2011-01-18	2012-02-23
5 STARWOOD HOTELS & RESORTS	P	2011-11-09	2012-02-23
70 SUNCOR ENERGY INC	P		2012-02-23
250 TJX COS INC NEW	P		2012-02-23
110 TEVA PHARMACEUTICAL INDS LTD ADR	P		2012-02-23
20 TEVA PHARMACEUTICAL INDS LTD ADR	P		2012-02-23
120 UNITEDHEALTH GROUP INC	P		2012-02-23
10 VANGUARD TELECOMM SVCS ETFS	P	2011-10-14	2012-02-23
5 AETNA INC	P	2012-02-23	2012-04-04
15 AMERICAN EXPRESS CO	P	2011-02-15	2012-04-04
5 APPLE COMPUTER INC	P	2010-10-18	2012-04-04
10 BRISTOL MYERS SQUIBB CO	P	2012-02-23	2012-04-04
5 BROADCOM CORPORATION-CL A	P	2010-07-09	2012-04-04
5 CVS CORP	P	2011-11-09	2012-04-04
15 CISCO SYS INC	P	2012-02-23	2012-04-04
10 COCA COLA CO	P	2011-02-15	2012-04-04
5 COMCAST CORP-CL A	P	2011-09-01	2012-04-04
5 DU PONT E I DE NEMOURS & CO	P	2011-01-18	2012-04-04
25 E M C CORP MASS	P	2012-02-23	2012-04-04
5 GENERAL MLS INC	P	2012-02-23	2012-04-04
10 GILEAD SCIENCES INC	P	2012-02-23	2012-04-04
40 J P MORGAN CHASE & CO	P		2012-04-04
5 MCKESSON CORPORATION	P	2012-02-23	2012-04-04
5 ORACLE SYS CORP	P	2011-05-20	2012-04-04
5 PG & E CORP	P	2010-11-19	2012-04-04
5 PEPSICO INC	P	2011-02-15	2012-04-04
5 PRUDENTIAL FINL INC	P	2011-01-18	2012-04-04
10 QUALCOMM INC	P	2010-11-22	2012-04-04

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10 SALESFORCE COM INC	P	2011-10-14	2012-04-04
5 STARWOOD HOTELS & RESORTS	P	2011-09-20	2012-04-04
15 TARGET CORP	P	2012-02-23	2012-04-04
5 UNITED PARCEL SERVICE INC	P	2010-11-22	2012-04-04
30 WELLS FARGO & CO NEW	P	2012-02-23	2012-04-04
40 384 BLACKROCK HIGH YIELD BOND INSTITUTIONAL	P	2011-01-20	2012-04-05
34 453 CALAMOS MARKET NEUTRAL INC FD	P	2011-09-01	2012-04-05
190 869 DFA GLOBAL REAL ESTATE SEC	P		2012-04-05
3 582 HARBOR INTERNATIONAL FUND	P	2003-06-09	2012-04-05
31 837 LOOMIS SAYLES BOND FUND INSTITUTIONAL	P		2012-04-05
41 392 OPPENHEIMER INTL GROWTH FUND Y	P	2009-02-24	2012-04-05
17 764 OPPENHEIMER DEVELOPING MARKETS FUND	P		2012-04-05
31 466 PARNASSUS SMALL CAP FUND	P	2011-09-01	2012-04-05
32 367 T ROWE PRICE MID-CAP VALUE	P		2012-04-05
3118 921 TEMPLETON INTERNATIONAL BOND FD	P		2012-04-05
408 724 WELLS FARGO ADV-PRECIOUS METALS INSTL	P	2011-11-17	2012-04-05
5 BOEING CO	P	2012-04-04	2012-08-27
213 353 CALAMOS MARKET NEUTRAL INC FD	P	2011-09-01	2012-08-27
35 COMCAST CORP-CL A	P	2011-09-01	2012-08-27
45 803 CREDIT SUISSE COMMODITY RETURN STRATEGY FUND	P		2012-08-27
58 CREDIT SUISSE COMMODITY RETURN STRATEGY FUND	P	2011-11-09	2012-08-27
85 617 DFA INFLATION PROT SEC INSTL	P		2012-08-27
785 131 DFA EMERGING MARKETS SOCIAL CORE EQUITY	P		2012-08-27
2425 646 DFA EMERGING MARKETS SOCIAL CORE EQUITY	P	2010-10-27	2012-08-27
5 EXXON MOBIL CORP	P	2010-11-22	2012-08-27
133 811 FIDELITY NEW MARKETS INCOME FD	P	2012-04-05	2012-08-27
180 GENERAL MLS INC	P	2012-02-23	2012-08-27
25 GILEAD SCIENCES INC	P	2012-02-23	2012-08-27
10 GOOGLE INC-CL A	P	2008-10-17	2012-08-27
5 HALLIBURTON CO	P	2011-09-20	2012-08-27

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15 HALLIBURTON CO	P	2010-11-19	2012-08-27
1054 673 LOOMIS SAYLES BOND FUND INSTITUTIONAL	P	2011-09-01	2012-08-27
15 MCKESSON CORPORATION	P	2012-02-23	2012-08-27
65 MCKESSON CORPORATION	P		2012-08-27
60 MONSANTO CO	P		2012-08-27
5 ORACLE SYS CORP	P	2011-01-18	2012-08-27
15 ORACLE SYS CORP	P	2012-02-23	2012-08-27
10 PEPSICO INC	P		2012-08-27
75 ROCKWELL AUTOMATION, INC	P		2012-08-27
42 924 T ROWE PRICE MID-CAP VALUE	P	2011-09-01	2012-08-27
10 SPDR GOLD TRUST	P	2012-04-04	2012-08-27
45 SALESFORCE COM INC	P		2012-08-27
140 STARWOOD HOTELS & RESORTS	P	2011-09-20	2012-08-27
5 SUNCOR ENERGY INC	P	2011-02-15	2012-08-27
10 TARGET CORP	P	2012-02-23	2012-08-27
3455 277 VANGUARD TOTAL BD MARKET INDEX INSTITUTIONAL	P	2011-11-09	2012-08-27
280 WELLS FARGO & CO NEW	P	2012-02-23	2012-08-27
15 NOBLE CORP	P	2012-02-23	2012-08-27
25000 BANK OF AMERICA CORP 4 875% 9/15/12	P	2004-03-08	2012-09-15
5 AETNA INC	P	2012-02-23	2012-09-25
5 BRISTOL MYERS SQUIBB CO	P	2012-08-27	2012-09-25
5 CVS CORP	P	2011-11-09	2012-09-25
20 CARNIVAL CORP	P	2012-08-27	2012-09-25
10 COMCAST CORP-CL A	P	2011-09-01	2012-09-25
5 E M C CORP MASS	P	2012-02-23	2012-09-25
5 EXXON MOBIL CORP	P	2010-07-12	2012-09-25
260 GENERAL ELEC CO	P	2012-08-27	2012-09-25
15 GILEAD SCIENCES INC	P	2012-02-23	2012-09-25
5 HALLIBURTON CO	P	2011-09-20	2012-09-25
25 J P MORGAN CHASE & CO	P		2012-09-25

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5 MCDONALDS CORP	P	2011-11-09	2012-09-25
5 NOBLE ENERGY INC	P	2012-04-04	2012-09-25
5 QUALCOMM INC	P	2012-08-27	2012-09-25
5 SPDR GOLD TRUST	P	2012-04-04	2012-09-25
10 SUNCOR ENERGY INC	P	2011-02-15	2012-09-25
5 TRAVELERS CO, INC	P	2012-08-27	2012-09-25
20 WESTERN UNION	P	2012-08-27	2012-09-25
176 972 BLACKROCK HIGH YIELD BOND INSTITUTIONAL	P	2012-09-14	2012-09-26
21 043 DFA INFLATION PROT SEC INSTL	P	2012-09-14	2012-09-26
40 504 DFA INTERNATIONAL SMALL CO INSTL	P	2012-02-23	2012-09-26
23 92 DFA EMERGING MARKETS INSTL	P	2012-08-27	2012-09-26
12 731 FIDELITY NEW MARKETS INCOME FD	P	2012-09-14	2012-09-26
15 745 HARBOR INTERNATIONAL FUND	P	2012-08-27	2012-09-26
10 649 LOOMIS SAYLES BOND FUND INSTITUTIONAL	P	2011-09-01	2012-09-26
21 314 LOOMIS SAYLES BOND FUND INSTITUTIONAL	P	2012-09-14	2012-09-26
20 179 OPPENHEIMER INTL GROWTH FUND Y	P	2012-08-27	2012-09-26
25 004 OPPENHEIMER INTL GROWTH FUND Y	P	2009-02-24	2012-09-26
34 634 OPPENHEIMER DEVELOPING MARKETS FUND	P	2011-09-01	2012-09-26
516 796 PARNASSUS SMALL CAP FUND	P	2011-09-01	2012-09-26
32 162 T ROWE PRICE MID-CAP VALUE	P	2011-09-01	2012-09-26
149 752 VANGUARD TOTAL BD MARKET INDEX INSTITUTIONAL	P	2012-09-14	2012-09-26
40 474 WELLS FARGO ADV-PRECIOUS METALS INSTL	P	2011-11-17	2012-09-26
0099 KRAFT FOODS GROUP, INC	P	2012-08-27	2012-10-22
99 KRAFT FOODS GROUP, INC	P	2012-08-27	2012-10-23
20 AETNA INC	P	2012-02-23	2012-11-15
5 AIR PRODUCTS & CHEM	P	2012-08-27	2012-11-15
10 AMERICAN EXPRESS CO	P	2010-11-19	2012-11-15
5 BOEING CO	P	2012-09-25	2012-11-15
10 BOEING CO	P	2011-09-01	2012-11-15
10 BRISTOL MYERS SQUIBB CO	P		2012-11-15

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10 CVS CORP	P	2011-11-09	2012-11-15
30 CARNIVAL CORP	P	2012-08-27	2012-11-15
25 CISCO SYS INC	P	2012-02-23	2012-11-15
5 COCA COLA CO	P	2012-09-25	2012-11-15
10 COCA COLA CO	P	2011-02-15	2012-11-15
20 COMCAST CORP-CL A	P	2011-09-01	2012-11-15
10 CUMMINS INC	P		2012-11-15
90 DU PONT E I DE NEMOURS & CO	P		2012-11-15
10 EATON CORP	P	2011-11-14	2012-11-15
5 EATON CORP	P	2012-04-04	2012-11-15
5 EXXON MOBIL CORP	P	2010-07-12	2012-11-15
20 GILEAD SCIENCES INC	P	2012-02-23	2012-11-15
15 J P MORGAN CHASE & CO	P	2009-12-21	2012-11-15
58 9999 KRAFT FOODS GROUP, INC	P		2012-11-15
10 NOBLE ENERGY INC	P	2011-11-09	2012-11-15
5 NORFOLK SOUTHN CORP	P	2012-09-25	2012-11-15
10 ORACLE SYS CORP	P	2012-02-23	2012-11-15
10 PG & E CORP	P		2012-11-15
150 PG & E CORP	P		2012-11-15
5 PEPSICO INC	P	2012-09-25	2012-11-15
5 PEPSICO INC	P	2010-07-12	2012-11-15
10 QUALCOMM INC	P	2012-08-27	2012-11-15
215 SPDR GOLD TRUST	P	2012-04-04	2012-11-15
15 SUNCOR ENERGY INC	P	2011-02-15	2012-11-15
10 TARGET CORP	P	2012-02-23	2012-11-15
140 TRAVELERS CO, INC	P	2012-08-27	2012-11-15
5 UNITED PARCEL SERVICE INC	P	2012-09-25	2012-11-15
5 UNITED PARCEL SERVICE INC	P	2008-10-02	2012-11-15
5 VANGUARD TELECOMM SVCS ETFS	P	2012-08-27	2012-11-15
400 WESTERN UNION	P	2012-08-27	2012-11-15

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15 NOBLE CORP	P	2012-02-23	2012-11-15
2552 505 BLACKROCK HIGH YIELD BOND INSTITUTIONAL	P		2012-11-16
1138 853 BLACKROCK HIGH YIELD BOND INSTITUTIONAL	P	2011-01-20	2012-11-16
101 204 CALAMOS MARKET NEUTRAL INC FD	P	2011-09-01	2012-11-16
97 101 CALAMOS MARKET NEUTRAL INC FD	P	2012-09-26	2012-11-16
218 843 CREDIT SUISSE COMMODITY RETURN STRATEGY FUND	P		2012-11-16
2669 205 CREDIT SUISSE COMMODITY RETURN STRATEGY FUND	P		2012-11-16
105 819 DFA INFLATION PROT SEC INSTL	P		2012-11-16
118 702 DFA GLOBAL REAL ESTATE SEC	P	2012-08-27	2012-11-16
3 575 HARBOR INTERNATIONAL FUND	P	2012-08-27	2012-11-16
390 431 LOOMIS SAYLES BOND FUND INSTITUTIONAL	P	2011-09-01	2012-11-16
26 804 OPPENHEIMER INTL GROWTH FUND Y	P	2009-02-24	2012-11-16
511 835 PARNASSUS SMALL CAP FUND	P	2011-09-01	2012-11-16
1289 781 VANGUARD TOTAL BD MARKET INDEX INSTITUTIONAL	P	2012-09-14	2012-11-16
100 EATON CORP	P	2011-11-14	2012-12-03
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
5,657		4,944	713
2,843		2,850	-7
9,837		8,282	1,555
984		924	60
758		662	96
185		182	3
880		770	110
383		367	16
1,926		1,419	507
1,225		707	518
2,158		2,373	-215
517		461	56
5,612		5,925	-313
435		410	25
2,175		1,853	322
1,145		1,181	-36
382		434	-52
1,638		805	833
7,587		5,729	1,858
384		468	-84
3,282		3,627	-345
3,516		3,953	-437
3,128		2,676	452
288		275	13
5,467		4,875	592
745		405	340
353		371	-18
834		949	-115
958		844	114
996		951	45

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,638		6,040	598
915		931	-16
635		524	111
270		243	27
2,554		2,962	-408
8,970		6,687	2,283
4,898		5,255	-357
891		905	-14
6,586		6,014	572
649		627	22
248		234	14
863		692	171
3,120		1,588	1,532
336		324	12
188		182	6
222		192	30
305		304	1
734		631	103
146		109	37
263		248	15
727		684	43
198		194	4
472		453	19
1,776		1,836	-60
440		406	34
145		172	-27
216		237	-21
332		322	10
314		310	4
674		475	199

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
1,557		1,318	239
276		220	56
868		823	45
399		344	55
1,017		921	96
312		313	-1
427		409	18
1,632		1,568	64
209		106	103
466		465	1
1,169		644	525
589		614	-25
726		644	82
765		734	31
36,179		37,782	-1,603
27,066		34,353	-7,287
357		369	-12
2,690		2,535	155
1,190		764	426
382		413	-31
5		5	
1,093		1,067	26
9,547		10,199	-652
29,496		35,778	-6,282
439		350	89
2,326		2,203	123
7,101		6,973	128
1,431		1,134	297
6,713		3,706	3,007
172		177	-5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
517		571	-54
15,525		15,356	169
1,318		1,217	101
5,713		4,290	1,423
5,180		4,363	817
159		157	2
477		434	43
733		641	92
5,419		6,102	-683
1,043		957	86
1,616		1,573	43
6,713		5,920	793
7,637		6,171	1,466
159		212	-53
635		549	86
38,595		38,146	449
9,545		8,593	952
575		586	-11
25,000		26,288	-1,288
199		234	-35
170		165	5
238		192	46
746		679	67
360		218	142
136		137	-1
459		294	165
5,806		5,463	343
1,012		680	332
174		177	-3
1,015		1,101	-86

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
465		464	1
461		486	-25
315		312	3
854		786	68
328		423	-95
343		327	16
367		350	17
1,403		1,423	-20
272		273	-1
614		641	-27
619		604	15
223		224	-1
930		909	21
159		155	4
319		321	-2
590		571	19
731		389	342
1,148		1,137	11
11,690		10,584	1,106
800		717	83
1,679		1,664	15
3,021		3,402	-381
46		41	5
814		936	-122
399		415	-16
536		428	108
355		348	7
710		662	48
308		327	-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
446		385	61
1,120		1,019	101
447		506	-59
181		189	-8
363		315	48
701		437	264
948		1,074	-126
3,785		3,517	268
482		461	21
241		243	-2
431		294	137
1,455		907	548
590		628	-38
2,587		2,595	-8
928		892	36
285		327	-42
300		289	11
402		435	-33
6,031		6,442	-411
341		352	-11
341		319	22
614		624	-10
35,695		33,817	1,878
474		635	-161
624		549	75
9,566		9,146	420
351		362	-11
351		306	45
340		354	-14
5,068		7,004	-1,936

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
508		586	-78
20,139		20,067	72
8,986		8,838	148
1,259		1,202	57
1,208		1,226	-18
1,779		1,845	-66
21,701		21,733	-32
1,380		1,318	62
1,074		1,094	-20
206		206	
5,841		5,685	156
770		417	353
10,974		10,482	492
14,458		14,329	129
5,191		4,613	578
			2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			713
			-7
			1,555
			60
			96
			3
			110
			16
			507
			518
			-215
			56
			-313
			25
			322
			-36
			-52
			833
			1,858
			-84
			-345
			-437
			452
			13
			592
			340
			-18
			-115
			114
			45

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			598
			-16
			111
			27
			-408
			2,283
			-357
			-14
			572
			22
			14
			171
			1,532
			12
			6
			30
			1
			103
			37
			15
			43
			4
			19
			-60
			34
			-27
			-21
			10
			4
			199

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			239
			56
			45
			55
			96
			-1
			18
			64
			103
			1
			525
			-25
			82
			31
			-1,603
			-7,287
			-12
			155
			426
			-31
			26
			-652
			-6,282
			89
			123
			128
			297
			3,007
			-5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-54
			169
			101
			1,423
			817
			2
			43
			92
			-683
			86
			43
			793
			1,466
			-53
			86
			449
			952
			-11
			-1,288
			-35
			5
			46
			67
			142
			-1
			165
			343
			332
			-3
			-86

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			1
			-25
			3
			68
			-95
			16
			17
			-20
			-1
			-27
			15
			-1
			21
			4
			-2
			19
			342
			11
			1,106
			83
			15
			-381
			5
			-122
			-16
			108
			7
			48
			-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			61
			101
			-59
			-8
			48
			264
			-126
			268
			21
			-2
			137
			548
			-38
			-8
			36
			-42
			11
			-33
			-411
			-11
			22
			-10
			1,878
			-161
			75
			420
			-11
			45
			-14
			-1,936

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-78
			72
			148
			57
			-18
			-66
			-32
			62
			-20
			156
			353
			492
			129
			578

TY 2012 Investments Corporate Stock Schedule

Name: TUW CLAUD D STEFFENHAGEN 15056501

EIN: 16-6384243

Name of Stock	End of Year Book Value	End of Year Fair Market Value
BOEING CO	4,347	4,899
COCA COLA CO	5,727	6,525
AMERICAN EXPRESS CO	6,520	8,910
APPLE INC	3,192	5,322
AETNA INC	6,992	7,178
COMCAST CORP CL A	4,360	7,472
BROADCOM CORP- CL A	7,721	6,974
CVS/CAREMARK CORP	5,580	7,011
AIR PRODS & CHEMS INC	4,146	4,201
CUMMINS INC	3,906	5,418
EATON CORP	5,190	5,418
CARNIVAL CORP	6,281	6,802
EXXON MOBIL CORP	4,318	5,626
AMERICAN WATER WORKS CO INC	5,885	5,941
BRISTOL MYERS	6,487	6,518
CISCO SYSTEMS INC	7,449	7,270
EMC CORP/MASS	7,484	6,958
MONSANTO CO	3,821	4,259
HALLIBURTON CO	5,632	6,591
JP MORGAN CHASE & CO	8,696	9,453
ALTERA CORP	6,354	7,222
ORACLE CORP COM	5,192	7,330
PEPSICO INC	5,697	6,501
MICROCHIP TECHNOLOGY INC	7,612	7,170
EXPRESS SCRIPTS HOLDING CO	7,654	6,750
MCDONALDS CORP	7,621	7,498
GILEAD SCIENCES INC	3,854	6,243
NOBLE ENERGY INC	5,377	6,104
QUALCOMM INC	5,123	6,495
SUNCOR ENERGY INC	7,096	5,936

Name of Stock	End of Year Book Value	End of Year Fair Market Value
PRUDENTIAL FINANCIAL INC	9,433	9,066
MONDELEZ INTERNATIONAL INC	7,028	6,618
NORKOLK SOUTHERN CORP	5,235	4,947
METLIFE INC	8,497	8,894
UNITED PARCEL SERVICE	4,021	4,792
TARGET CORP	6,309	6,805
NOBLE CORP	6,409	5,745
VANGUARD TELECOMM SVCS ETF	2,615	2,800

TY 2012 Investments - Other Schedule

Name: TUW CLAUD D STEFFENHAGEN 15056501

EIN: 16-6384243

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
DFA GLOBAL REAL ESTATE SEC	AT COST	52,668	57,968
DFA INTERNATIONAL SMALL CO INS	AT COST	22,424	25,313
FIDELITY NEW MARKETS INCOME FD	AT COST	44,001	46,816
OPPENHEIMER INTL GROWTH FD Y	AT COST	28,655	43,358
DFA US MICRO CAP	AT COST	12,496	12,114
HARBOR INTL FD	AT COST	32,014	43,883
DFA INFLATION PROT SEC INSTL	AT COST	22,191	22,869
BLACKROCK HIGH YIELD BD INSTL	AT COST	135,618	142,898
DFA EMERG MKT INSTL	AT COST	63,706	69,194
LOOMIS SAYLES BD FD INSTL	AT COST	50,738	52,987
VANGUARD TOTAL BD MARKET INSTL	AT COST	115,850	121,098
OPPENHEIMER DEVELP MKT	AT COST	60,471	68,019
DFA US S/C VALUE PORT	AT COST	11,667	12,049
CALAMOS MKT NEUT INC FD	AT COST	89,042	93,864
T ROWE PRICE MID-CAP VALUE	AT COST	32,592	35,105
WELLS FARGO ADV-PREC METALS	AT COST	41,579	32,535
ISHARES GOLD TRUST	AT COST	34,924	33,942
DFA COMMODITY STRATEGY FD	AT COST	23,241	22,966

TY 2012 Other Expenses Schedule

Name: TUW CLAUD D STEFFENHAGEN 15056501

EIN: 16-6384243

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
NYS EPTL FILING FEE	250	0		250

TY 2012 Other Income Schedule

Name: TUW CLAUD D STEFFENHAGEN 15056501

EIN: 16-6384243

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
FEDERAL EXCISE TAX REFUND	448	0	

TY 2012 Taxes Schedule

Name: TUW CLAUD D STEFFENHAGEN 15056501

EIN: 16-6384243

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX W/H	24	370		0